

unknown. We presume it is likely to play some role, as is the possibility of imperfect adherence to treatment. More subtle effects are of potential value in informing about drug mechanisms relevant to therapeutic response, and here, power is limited. Given that limitation, we tried to minimize false negatives through the use of relaxed significance criteria but tried to control false positives by combining expression and genetic data. Nevertheless, replication of our findings are required. Our follow-up observation of association between *PDE7B*, a novel candidate gene, and schizophrenia does, however, suggest that the use of convergent data may have successfully enriched for findings of true relevance to schizophrenia and its response to treatment.

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Genome-Wide Association Study of Schizophrenia in a Japanese Population

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Background: Genome-wide association studies have detected a small number of weak but strongly supported schizophrenia risk alleles. Moreover, a substantial polygenic component to the disorder consisting of a large number of such alleles has been reported by the International Schizophrenia Consortium.

Method: We report a Japanese genome-wide association study of schizophrenia comprising 575 cases and 564 controls. We attempted to replicate 97 markers, representing a nonredundant panel of markers derived mainly from the top 150 findings, in up to three data sets totaling 1990 cases and 5389 controls. We then attempted to replicate the observation of a polygenic component to the disorder in the Japanese and to determine whether this overlaps that seen in UK populations.

Results: Single-locus analysis did not reveal genome-wide support for any locus in the genome-wide association study sample (best $p = 6.2 \times 10^{-6}$) or in the complete data set in which the best supported locus was *SULT6B1* (rs11895771: $p = 3.7 \times 10^{-5}$ in the meta-analysis). Of loci previously supported by genome-wide association studies, we obtained in the Japanese support for *NOTCH4* (rs2071287: $p_{\text{meta}} = 5.1 \times 10^{-5}$). Using the approach reported by the International Schizophrenia Consortium, we replicated the observation of a polygenic component to schizophrenia within the Japanese population ($p = .005$). Our trans Japan–UK analysis of schizophrenia also revealed a significant correlation (best $p = 7.0 \times 10^{-5}$) in the polygenic component across populations.

Conclusions: These results indicate a shared polygenic risk of schizophrenia between Japanese and Caucasian samples, although we did not detect unequivocal evidence for a novel susceptibility gene for schizophrenia.

Key Words: Genome-wide association study, *NOTCH4*, polygenic component, schizophrenia, *SULT6B1*

Epidemiologic studies show that genetic factors account for more than 80% of the population variance in susceptibility for schizophrenia; however, as with virtually all other relatively common disorders, it has historically proven difficult to identify the specific genetic variants involved (1).

The application of genome-wide association technology to large case–control samples of mainly European ancestry has recently implicated a number of risk loci for which the evidence is strong. These include loci defined by single nucleotide polymorphisms (SNPs) in which the effects are weak (odds ratios [ORs] 1.1–1.25) among which the strongest supported loci are *zinc finger protein 804 A (ZNF804A)* (2–5), a broad region including the major histocompatibility complex (MHC) on chromosome 6p21.3–22.1 (6–8), *neurogranin (NRGN)*, and *transcription factor 4 (TCF4)* (8).

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Although the robust support for a number of recently implicated loci represents something of a break from the past inconsistencies, little of the genetic variance of schizophrenia can be explained by the loci identified thus far. One explanation for this is that much of the risk is conferred by common but weak genetic effects that require larger samples. Another explanation is that most of the risk cannot be readily detected by genome-wide association studies (GWAS), the missing genetic component being conferred by mutations that exert substantial individual effects that are rare or even unique to individual pedigrees.

Although the relative contributions of these classes of variant awaits empiric resolution, the GWAS of the International Schizophrenia Consortium (ISC) provided strong support for a substantial polygenic contribution (at least 30%) to the population risk of schizophrenia, much of which is conferred by common alleles with small effect sizes (6,9,10). The basic principle of their analysis was that in the presence of a substantial common polygenic component, although most of the individual genetic effects will not be

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detectable in current sample sizes, the sum of many such effects across multiple SNPs might differ between cases and controls. After discounting the influence of various potential sources of bias, the authors concluded that the findings were best explained by the existence of an important polygenic component to the disorder comprising a large number of common alleles, although some contribution from low-frequency alleles was not excluded or deemed unlikely (6).

There were two additional striking findings in the ISC article (6). The first was that those alleles selected as “risk” alleles for schizophrenia were also enriched in people with bipolar disorder, supporting the hypothesis of shared genetic susceptibility between these disorders (11,12). The second was that sets of “risk” alleles defined from white individuals of European origin were better at predicting affected status in other white European subjects than they were in African Americans, although an attenuated effect was seen in an African American sample. This may be attributable to differences in allele frequencies and linkage disequilibrium between Europeans and African Americans, although genetic heterogeneity remains a possibility. In this article describing a study that sought novel susceptibility variants, we report the first GWAS for schizophrenia in a Japanese sample. Although the Japanese population is considered relatively homogeneous (13), GWAS studies in other populations strongly suggest that our study of 575 cases and 564 controls is underpowered to detect any findings at genome-wide levels of significance. Thus, we attempted to enhance power by following up the top 150 of the most strongly supported SNPs from the GWAS in an independent sample of 1511 cases and 1517 controls drawn from the Japanese population as well as 479 cases and 2938 controls from the United Kingdom (2). We also sought to examine whether the Japanese population shares with Europeans a polygenic component for schizophrenia and bipolar disorder using schizophrenia and bipolar case–control samples from the United Kingdom that have been previously subjected to GWAS (2,14). Because it is unlikely that stratification effects would bias the allele distributions en masse in samples ascertained in Japan in the same direction as in a European sample, confirmation of a shared polygenic effect argues strongly against the idea that residual uncontrolled stratification is responsible for the effect. Moreover, because rare alleles of large effect are expected to reflect an ongoing process of new mutation (to compensate for their removal by selection), the existence of transcontinental effects also argue against the idea that rare alleles alone can drive this effect, it being unlikely that relatively new variants would be carried on the same ancestral haplotypes in both populations.

Methods and Materials

Participants

We selected 575 patients with schizophrenia (43.5 ± 14.8 years) and 564 healthy controls (44.0 ± 14.4 years) for genome-wide association analysis (our screening GWAS: [JPN_GWAS]). All subjects were unrelated, living in the Tokai area of the mainland of Japan, and self-identified as Japanese. The details of the sample and copy number variation analysis of this GWAS data set have been reported previously (15), and see also Supplement 1.

For follow-up studies, we used an independent Japanese sample comprising 1511 cases (aged 45.9 ± 14.0 years) and 1517 controls (aged 46.0 ± 14.6 years) diagnosed and ascertained in the same way as the GWAS data set. These samples were recruited from three areas on the Japanese mainland, comprising the Kansai and Chugoku areas in addition to the Tokai area. To enhance the sample in the replication analysis, data were added from 934 Japanese

controls genotyped by Illumina550 (Illumina, San Diego, California) as part of the Japanese Single Nucleotide Polymorphisms (JSNP) project (<http://snp.ims.u-tokyo.ac.jp/index.html>). If SNP data were available in the JSNP sample, we merged the two sample sets to form a final Japanese replication sample (we refer this as “Rep_JPN”) comprising 1511 cases and 2451 controls (SNPs genotyped in both samples can be seen in Table S1 in Supplement 2).

We additionally included data from a UK schizophrenia GWAS data set of 479 cases and 2938 controls genotyped using the Affymetrix 500K array (Santa Clara, California), details of which have been reported before (2,14).

For the polygenic component analysis, we also included the Wellcome Trust Case-Control Consortium (WTCCC) bipolar disorder data set of 1868 cases and 2938 shared controls, details of which are reported elsewhere (2,14).

After complete description of the study to the subjects, written informed consent was obtained. This study was approved by the ethics committees of each university participating in this project.

GWAS and Quality Control

Genotyping was performed using the Affymetrix Genome-Wide Human SNP Array 5.0 according to the manufacturer’s protocol. After applying several quality control (QC) criteria (e.g., call rate $\geq 95\%$, autosomal chromosomes, Hardy–Weinberg equilibrium (HWE) $\geq .0001$ and minor allele frequency [MAF] $\geq 5\%$; Supplement 1), the final GWAS consisted of 1108 samples (560 cases and 548 controls) and 297,645 SNPs (MAF $\geq 5\%$).

Q-Q plots were generated on the basis of allele-wise analysis of SNPs that passed QC (Supplement 1), and our observed value of λ is consistent with those generally reported in well-matched samples ($\lambda = 1.065$ and $\lambda_{1000} = 1.117$).

Follow-Up Genotyping

Follow-up genotyping in our independent Japanese case–control sample was performed by Sequenom (San Diego, California) using the Sequenom iPLEX Gold System. Markers that could not be assayed on this platform were genotyped using a TaqMan assay (Applied Biosystems, Foster City, California).

Candidate SNPs were selected for replication as follows. First, the top 200 SNPs were identified (corresponding to $p \sim < 5 \times 10^{-4}$). Highly correlated markers based on $r^2 > .9$ to a more significant marker within 100 kb (r^2 was based on HapMap information [release Number 24, October 2008] and our own GWAS from controls) were then removed. From this list, we included the following: 1) SNPs with $p < 5 \times 10^{-5}$ ($n = 15$ after 11 redundant SNPs removed. Total number = 26. Of these, two SNPs failed for primer design. 2) Under the premise that in GWAS analysis, power favors more common alleles and that the enrichment for true associations is greater in this category of alleles (6), SNPs with MAF $\geq 10\%$ surpassing a more relaxed threshold ($P < \sim 3.5 \times 10^{-4}$) were selected, corresponding to the top 150 SNPs ($n = 76$ after 12 low MAF SNPs and 36 redundant SNPs removed. This resulted in a total of 124. Of these, 5 SNPs failed primer design. We additionally included 13 SNPs that ranked from 151st to 200th on the grounds that they could be included in the Sequenom panels of markers without compromising the design of the higher-priority SNPs. Consequently, 97 SNPs were genotyped in the replication sample, of which 5 did not pass QC on the basis of genotype call rate ($> .95$) and HWE ($p > .001$). All genotype calls were confirmed by visual inspection of cluster plots.

SNP-Based Association Analysis

Consistent with most other GWAS, our study is based upon allele-wise association analysis which assumes an additive model.

Genomic control adjusted p values were also calculated based upon median chi-square statistics. This was performed using PLINK v1.07 (16).

Combined analysis across data sets (Meta_JPN: JPN_GWAS + Rep_JPN, Meta_ALL: JPN_GWAS + Rep_JPN + UK schizophrenia) were conducted using the Cochran–Mantel Haenszel (CMH) approach conditioned by sample as implemented in PLINK v. 1.07.

Polygenic Component Analysis

Discovery (for selecting “score alleles” based on association statistics) and targeting (for calculation of polygenic score) samples are summarized in Table S2 in Supplement 1. Briefly, we examined five discovery and target pairs:

1. Japanese: A set of 280 cases and 274 controls were selected for discovery, and the results were tested in an additional set of 280 cases and 274 controls. The discovery/target samples were selected at random (on the basis of random number generation) from the Japanese GWAS data set. This procedure was repeated 1000 times to ensure the results of this analysis were representative of random divisions of the data set.
- 2, 3. Each of the UK schizophrenia (479 schizophrenia and 2938 controls) (2) and bipolar (1868 cases and 2938 controls) (14) samples were used separately as a discovery data set to generate lists of “risk” alleles that were tested in the full Japanese GWAS sample.
- 4, 5. The full Japanese GWAS sample was used as a discovery data set to generate lists of “risk” alleles that were tested in the UK schizophrenia and bipolar data sets.

For the UK data sets, we used the QC criteria applied in the primary manuscripts (2,14) in which SNPs that deviated from HWE ($p < 1 \times 10^{-5}$ in cases or .001 in control) and had a low call rate ($< 97\%$) were excluded. Note that the criteria for HWE exclusion in the UK data set is slightly different from that in the Japanese GWAS. The precise choice of HWE filter is arbitrary, but we note that both data sets criteria are on the more stringent side of customary practice.

Following the ISC (6), we reduced the set of SNPs by removing SNPs that are in linkage disequilibrium (LD) using the same criteria applied by the ISC (r^2 threshold at .25, window size 200 SNPs). In the tests of the split Japanese data set, we used LD-pruned SNPs selected on the basis of the metrics in the full set of Japanese controls. For all comparisons between Japanese and European data sets, we pruned SNPs sequentially first on the basis of the LD metrics in the discovery data set and second on those in the target data set. Polygenic score was calculated by weighting scores for “risk” alleles by the logOR observed in the discovery data set according to the method used by the ISC (6).

Nominally associated alleles were selected on the basis of the genomic-control adjusted p value in the allele-wise association analysis from the discovery samples at the following liberal significance thresholds (P_T) ($P_T < .5$, $P_T < .4$, $P_T < .3$, $P_T < .2$ and $P_T < .1$). The polygenic score was calculated using PLINK v. 1.07. Nagaelkerke’s pseudo R^2 (a measure of variance explained by a particular factor) was calculated by logistic regression analysis using R (<http://www.r-project.org>) with covariation for “nonmissing SNPs” according to the ISC study (6).

Results

Single Marker Association Analysis

A summary plot of the GWAS (MAF $\geq 5\%$) is presented in Figure S1 in Supplement 1. We did not observe any associations at a widely

used approximate benchmark for genome-wide significance ($p = 7.2 \times 10^{-8}$) (17). The strongest associations were observed at rs12218361, which maps to chromosome 10 at 126.06 Mb and is 3’ of *ornithine aminotransferase (OAT)*, $p_{\text{allele}} = 6.2 \times 10^{-6}$, two-tailed), and rs11895771, which maps to chromosome 2 at 37.27 Mb within *sulfotransferase family, cytosolic, 6 B, member1 (SULT6B1)*, $p_{\text{allele}} = 8.0 \times 10^{-6}$, two-tailed). The most significant 200 markers are given in Table S1 in Supplement 2.

We genotyped 97 LD-pruned SNPs mainly from the top 150 GWAS findings in an independent Japanese replication sample (1511 cases and 1517 controls). For 22 of these, it was possible to expand the control sample size using data from the Japanese population based on the public database (JSNP). Data for 81 SNPs were also available in the UK data set (Affymetrix 500 K chip) and were included in the association analysis. On the basis of the replication sample from Japanese (Rep_JPN) alone, rs9880957 showed the most significant association ($p = 2.8 \times 10^{-3}$, two-tailed, OR = 1.2), but the associated allele was not the same as in the GWAS. Additionally, we undertook set-based analysis (using PLINK) to investigate whether there was an excess of association signals for these top GWAS findings in the replication data set that surpassed nominal p thresholds (e.g., $p < .1$, .05, .01, .001) in the Rep_JPN and UK data sets (10,000 permutation without lambda correction for all SNPs that passed the p threshold). However, no significant enrichment was observed (data not shown). That finding is compatible with the polygenic analysis we describe subsequently and with the now widely accepted hypothesis that common alleles that might be detectable in principle by GWAS exert effects that are too weak to be substantially enriched for associations that surpassed the threshold we specified for follow-up.

In the CMH analysis of the complete Japanese sample (Meta_JPN: JPN_GWAS + Rep_JPN), the best p was found at rs1011131 in LOC392288 ($p = 1.2 \times 10^{-4}$, two-tailed), which is weaker than in the initial GWAS ($p = 2.5 \times 10^{-5}$, two-tailed). Further expanding the sample size by including UK samples (Meta-ALL: JPN_GWAS + Rep_JPN + UK schizophrenia) did not provide convincing support for any locus (Table S1 in Supplement 2). The strongest association signal in Meta-ALL was rs11895771 ($p = 3.7 \times 10^{-5}$, two-tailed) in *SULT6B1*, which had been ranked second in the screening GWAS (Table 1).

Excluding *ZNF804A* (the Japanese data for which were included in the paper by O’Donovan *et al.*) (2), we additionally tested regions containing schizophrenia candidate loci supported by genome-wide significant associations in previous GWAS data sets (6–8). Specifically, we focused on three regions: the MHC region (Chr6 25 ~ 33 Mb), *NRGN*, and *TCF4*. In this analysis, we first imputed ungenotyped SNPs in these regions (boundaries ± 1 Mb) for fine mapping (the imputation method is presented in Supplement 1). None of the specific SNPs at these loci that have been reported by others (6–8) as genome-wide significant were imputable in our Japanese GWAS sample (Figures S2–S4 in Supplement 1). However, interestingly, we did observe a strong, fairly well circumscribed association signal on chromosome 6 in the region of *NOTCH4* (Figure S2 in Supplement 1). Furthermore, genetic association within *NOTCH4* has been reported (18) in another Japanese study (non-overlapping with the present sample) at rs2071287 (Figure S2 in Supplement 1), which is in complete LD ($D' = 1$, $r^2 = .56$) with rs2071286, the best SNP tested in our GWAS data. Because that previously supported SNP (rs2071287) is also associated in our GWAS ($p = 2.1 \times 10^{-3}$), we then followed up this SNP in the Rep_JPN sample; rs2071287 was again significantly associated ($P_{\text{allele}} = .018$, two-tailed, Figure S5 in Supplement 1; note: we could not impute this SNP with high confidence in the UK schizophrenia

Table 1. Top Single Nucleotide Polymorphisms Based on GWAS and Meta-Analysis

CHR	SNP	BP	Closest Gene	Meta-ALL (JPN_GWAS+Rep_JPN+UK_SCZ)				Meta_JPN (JPN_GWAS+Rep_JPN)				JPN_GWAS		Rep_JPN		UK_SCZ				
				A1	MAF	A2	P _{CWH}	OR ^a	L95	U95	P _{CWH}	OR ^a	L95	U95	P _{allele}	OR ^a	P _{allele}	OR ^a	P _{allele}	OR ^a
2	rs11895771	37266439	SULT6B1	T	.49	G	3.7×10^{-5}	.84	.77	.91	4.1×10^{-4}	.84	.76	.92	8.0×10^{-6}	.64	.14	.92	.033	.84
7	rs1011131	19474460	LOC392288	G	.07	C	1.2×10^{-4}	1.30	1.14	1.48	1.2×10^{-4}	1.31	1.14	1.50	2.5×10^{-5}	1.78	.054	1.17	.63	1.14
14	rs1176970	4050514	LOC644919	G	.15	C	1.4×10^{-4}	1.22	1.10	1.35	3.0×10^{-4}	1.27	1.12	1.44	3.2×10^{-4}	1.58	.041	1.17	.14	1.14
1	rs4908274	103162502	COL11A1	A	.28	T	3.1×10^{-4}	1.20	1.09	1.32	3.1×10^{-4}	1.20	1.09	1.32	1.1×10^{-4}	1.45	.067	1.12	NA	NA
6	rs2294424	11860537	C6orf105	T	.41	C	5.0×10^{-4}	1.15	1.06	1.24	5.0×10^{-4}	1.17	1.28	1.07	1.2×10^{-4}	1.40	.081	1.1	.41	1.08
2	rs13010889	40617519		A	.15	C	.0011	.85	.77	.94	.0016	.85	.77	.94	8.7×10^{-5}	.67	.17	.92	.40	.84
2	rs17026152	40611159		A	.26	G	.0012	.85	.77	.94	.0012	.85	.77	.94	1.3×10^{-4}	.69	.15	.92	NA	NA
6	rs2787566	101985455	GRIK2	A	.04	G	.0014	1.34	1.12	1.61	.0014	1.39	1.1	1.7	2.8×10^{-4}	2.03	.15	1.19	.49	1.16
6	rs2071286	32287874	NOTCH4	T	.19	C	.0014 ^b	.87	.79	.95	.0049 ^b	.86	.78	.96	3.3×10^{-4}	.68	.23 ^b	.93	.13	.87
8	rs17462248	29426926		G	2	T	.0017	1.16	1.06	1.27	.020	1.14	1.0	1.3	2.1×10^{-4}	1.52	.60	1.04	.030	1.2

p values were calculated on the basis of the allele-wise test (two-tailed).

A1, minor allele based on whole sample; A2, major allele based on whole sample; BP, base position; CHR, chromosome(hg18); GWAS, genome-wide association study; JPN_GWAS: our screening GWAS; L95, lower bound of 95% confidence interval for OR; MAF, minor allele frequency based on whole sample; NA, not analyzed; OR, odds ratio; SNP, single nucleotide polymorphism; U95, upper bound of 95% confidence interval for odds ratio, UK-SCZ: UK schizophrenia.

^aOR was calculated on the basis of A1 in Meta-ALL as reference.

^bControls from Japanese SNPs (JSNP) were merged into the replication sample.

data set because of the high missing rate of 12%). Next we conducted a meta-analysis based on Meta_JPN (imputed data from JPN_GWAS was down-weighted using PROPER-INFO from SNPTEST by METAL: <http://www.sph.umich.edu/csg/abecasis/metal/>) and the sample of Tochigi (18). This provided fairly strong evidence for association ($P_{meta} = 5.1 \times 10^{-5}$, two-tailed, Figure S5 in Supplement 1).

Polygenic Component Analysis

p values and pseudo-*R*² statistics (Nagaelkerke's *R*²) for the analysis based on the split Japanese sample are presented in Figure 1 and in Table S3 in Supplement 1. The polygenic scores in the target data were higher in the cases than the controls and, in most cases, significantly so. As in the ISC study, the evidence became stronger and the pseudo-*R*² improved at more liberal *P*_T values. The most significant correlation was found at *P*_T < .5 (*p* = .005). In this condition, the pseudo-*R*² was slightly lower (*R*² = .021) compared with the ISC study (6) in which *R*² ≤ .032 were observed in the Caucasian samples (Figure 1), although we note that the ISC study used information from a greater number of SNPs, with the larger sample available to that group allowing the inclusion of SNPs with MAF as low as 2%.

The results of the analysis based on discovery in the UK schizophrenia data set and targeting the JPN_GWAS are shown in Figure 2 (Table S3 in Supplement 1). Again, as with the ISC data, the signal and predictive power improved at the more liberal thresholds, with only the most relaxed threshold (corresponding to the optimal threshold from the ISC study) attaining significance (*p* = .029). However, the analysis using the WTCCC bipolar sample for discovery and the Japanese as the target did not reveal significant support for shared risk across disorders (Figure 2 and Table S3 in Supplement 1).

Following are the results of the analyses based on discovery in the JPN_GWAS and testing in the UK schizophrenia and bipolar data sets. Alleles trained in this direction were highly significant, but weakly predictive, of schizophrenia status in the UK sample (*p*_{min} = 7.0×10^{-5}) than those analyses based on training in the UK data sets. Again, no significant effect was observed for bipolar disorder. In the schizophrenia analysis, we observed no clear relationship

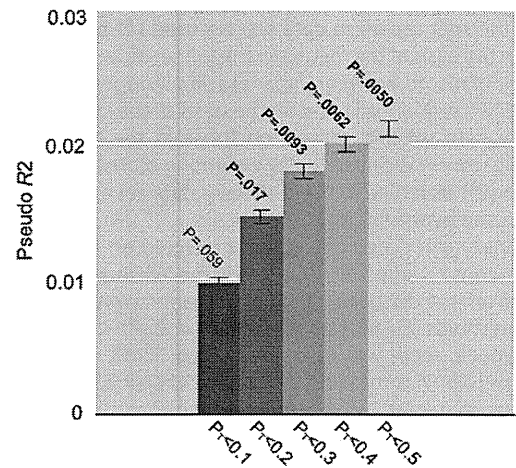


Figure 1. Polygenic component analysis for the pair within screening genome-wide association studies samples. *p*_T = *p* threshold. Pseudo *R*² and *p* values represent the mean and median values, respectively, from 1000 random divisions of the data set. Error bars represent the 95% confidence intervals for *R*² from those repeat analyses. Bold numbers represent significant *p* values (< .05).

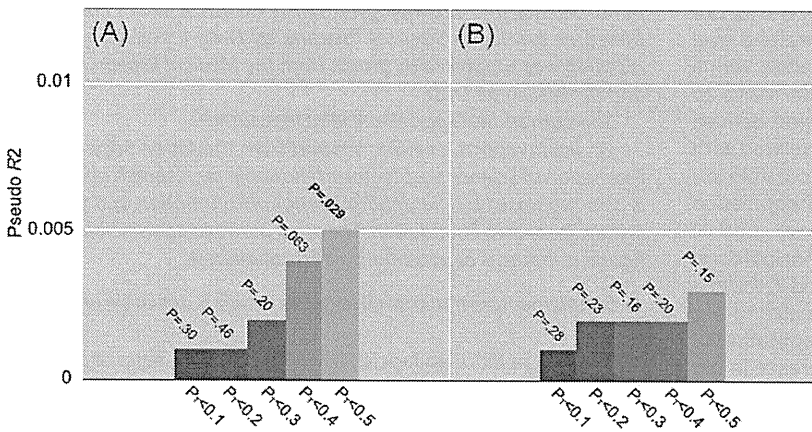


Figure 2. Polygenic component analysis for the pairs of Wellcome Trust Case-Control Consortium (WTCCC) data sets/screening genome-wide association studies (GWAS). **(A)** UK schizophrenia/screening GWAS discovery/target pair. **(B)** WTCCC bipolar/screening GWAS discovery/target pair. $p_T = p$ threshold. Bold numbers represent significant p values ($< .05$).

between the test allele significance threshold (P_T) and either the statistical support or the pseudo- R^2 (Figure 3 and Table S3 in Supplement 1).

Discussion

In this study, we did not detect unequivocal evidence for a novel susceptibility gene for schizophrenia, although our results do provide weak support for association between *SULT6B1* and schizophrenia, and our analyses of previously implicated regions and candidate genes provide support for the hypothesis that previous findings at the MHC region of chromosome 6 may point to *NOTCH4*. The absence of association at genome-wide levels of significance is not surprising given the relatively small size of our GWAS. Recent large-scale GWAS of schizophrenia suggest that the effect sizes of common risk alleles are small (ORs < 1.25). Power analysis suggests that our GWAS has only .18% power under an additive model to detect at $\alpha = 7.2 \times 10^{-8}$, a susceptibility variant with an allele frequency of .3 conferring an OR of 1.25. Clearly, with power like this, it would be extremely unlikely that any one locus would be detected at strong levels of support; however, in the presence of a thousand or more loci as has been suggested (6), the power to detect at least one of these would be considerably greater, albeit the subsequent power to replicate that specific locus would once again be low.

Despite the obvious power limitations, two findings are worthy of comment. The most strongly associated individual SNP was rs11895771 at *SULT6B1* (Meta-ALL $p = 3.7 \times 10^{-5}$). *SULT6B1* is a member of one of the subfamilies of cytosolic sulfotransferases (SULT) that catalyze the sulfonation of xenobiotics, hormones, and

neurotransmitters, including 17 β -estradiol and corticosterone (19), functions that are at least plausibly related to schizophrenia (20–22), and brain function (23–25) more widely.

The second locus of interest was *NOTCH4*. *NOTCH4* has been reported to be associated with schizophrenia in a small UK sample (26) (not overlapping with the present sample), but replication data from candidate gene studies have not been strongly supportive. However, a recent synthesis of GWASs as well as a large number of additional subjects reported a genome-wide significant association at rs3131296 (8), which is located within *NOTCH4* (Figure S2 in Supplement 1), although the extensive LD across the MHC region makes pinpointing the source of that signal to a specific gene impossible. It is therefore of interest in our evaluation of the MHC region that the signal clearly maximized to the *NOTCH4* region (Figure S2 in Supplement 1), lending support to the hypothesis that this may be the relevant susceptibility gene in the region. We are unable to evaluate the specific SNP (rs3131296) reported in the SGENE study for the Japanese population because of the failure of imputation. In the Japanese population, the MAF of rs3131296 differs considerably from that in Europeans (MAF = 10% and 2.3% for CEU and JPT populations, respectively, in HapMap Phase 3 data, 13% reported in SGENE), which means the ability of this marker to tag a common functional variant is likely to differ significantly between populations. Given the evidence for association observed in our study and the prior genetic evidence for *NOTCH4*, this locus warrants further detailed analysis in larger and more ethnically diverse samples.

This study provides the first independent (of the samples used by the ISC) replication of the polygenic score analysis reported by

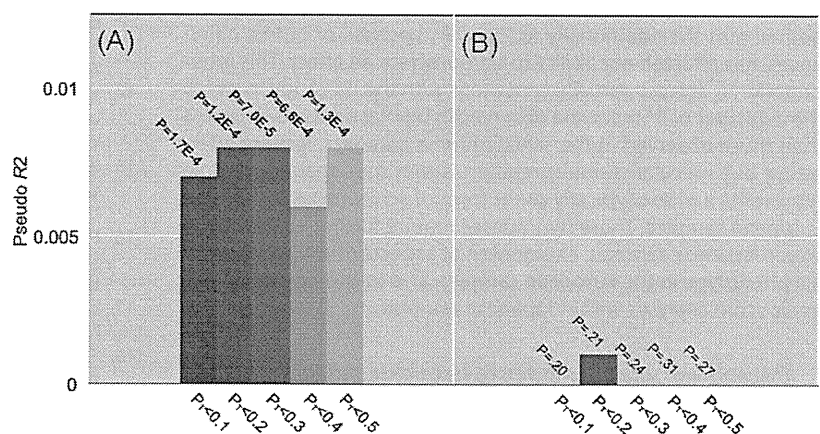


Figure 3. Polygenic component analysis for the pairs of the screening genome-wide association studies (GWAS)/Wellcome Trust Case-Control Consortium (WTCCC) data sets. **(A)** Screening GWAS/UK schizophrenia discovery/target pair. **(B)** Screening GWAS/ WTCCC bipolar discovery/target pair. $p_T = p$ threshold. Bold numbers represent significant p values ($< .05$).

the ISC (6). Although our sample is low powered (power is .6 for our full sample and .56 for half of the sample to detect at an alpha level of .5, a weak genetic effect [OR 1.1] conferred by an allele with a frequency of .3), the set of “risk” alleles (in quotation marks to emphasize that most are not likely to be true risk alleles) derived from half of the Japanese sample was significantly correlated with affection status in the other half of the samples. One possible important confounding factor to consider is an effect of population stratification. To check for this as a possible effect, we used 1) principal components analysis–adjusted (the first 10 principal components) discovery statistics for the selection of SNPs and 2) the first 10 principal component vectors as covariates in calculating the polygenic score in the target sample. However, the application of either or both of these did not lead to a material difference in the results (Table S4 in Supplement 1), indicating that stratification is not likely to explain our replication of the ISC findings.

Our Japan–UK analyses also suggests this effect is unlikely to be due to stratification (this was also convincingly argued in the ISC study) because the Japanese and UK schizophrenia samples are ascertained directionally for the same stratification biases and because the UK schizophrenia sample, but not the UK bipolar sample, would be unlikely to be stratified in that manner. Instead, those data point to a shared genetic component to schizophrenia susceptibility across major ethnic groups, as predicted by an effect driven by common “risk” alleles rather than rare alleles, although not excluding an effect of rare alleles, which are much more likely to reside on different haplotype backgrounds in different populations. However, there is also evidence for population differences in risk. Thus, the analyses restricted to the Japanese population showed much higher maximal estimates for R^2 (.021) compared with the analyses of schizophrenia between populations ($R^2 = .005 \sim .008$) and was more similar to the estimates of R^2 when the analyses were performed within European populations (6). The ISC also undertook one cross-population analysis, between Caucasian and African Americans. As in our study, R^2 was much lower between the ethnic groups (.004) than within the European populations. These results suggest that although at least some “risk” alleles are shared across populations, there are also differences in those “risk” alleles or at least in the extent to which they are tagged by markers at the density currently provided by the arrays we have studied. At a practical level, this means that failures to replicate findings across ethnic groups, even with respect to common alleles, should be treated with considerable caution.

One intriguing finding was our failure to find evidence that “risk” alleles for bipolar disorder in the European sample predict risk of schizophrenia in the Japanese sample (or vice versa). One likely explanation is that there is only a partial overlap between “risk” alleles for schizophrenia and bipolar disorder and that this, together with the additionally reduced R^2 because of ethnic differences, has affected our ability to demonstrate an effect. This interpretation is at least partially consistent with the ISC study in which the measures of R^2 that were observed in bipolar data sets were less than those observed in the schizophrenia data sets. A more interesting but speculative interpretation is that the Japanese sample represents a phenotypically purer form of schizophrenia than the European samples. These hypotheses require further evaluation in larger Japanese samples, exploration of aspects of the schizophrenia phenotype in the European samples, and transdiagnostic polygenic score analyses within Japanese samples.

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The authors MCO and NI are joint last authors.

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Pallidal Hyperdopaminergic Innervation Underlying D2 Receptor-Dependent Behavioral Deficits in the Schizophrenia Animal Model Established by EGF

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Abstract

Epidermal growth factor (EGF) is one of the ErbB receptor ligands implicated in schizophrenia neuropathology as well as in dopaminergic development. Based on the immune inflammatory hypothesis for schizophrenia, neonatal rats are exposed to this cytokine and later develop neurobehavioral abnormality such as prepulse inhibition (PPI) deficit. Here we found that the EGF-treated rats exhibited persistent increases in tyrosine hydroxylase levels and dopamine content in the globus pallidus. Furthermore, pallidal dopamine release was elevated in EGF-treated rats, but normalized by subchronic treatment with risperidone concomitant with amelioration of their PPI deficits. To evaluate pathophysiologic roles of the dopamine abnormality, we administered reserpine bilaterally to the globus pallidus to reduce the local dopamine pool. Reserpine infusion ameliorated PPI deficits of EGF-treated rats without apparent aversive effects on locomotor activity in these rats. We also administered dopamine D1-like and D2-like receptor antagonists (SCH23390 and raclopride) and a D2-like receptor agonist (quinpirole) to the globus pallidus and measured PPI and bar-hang latencies. Raclopride (0.5 and 2.0 $\mu\text{g}/\text{site}$) significantly elevated PPI levels of EGF-treated rats, but SCH23390 (0.5 and 2.0 $\mu\text{g}/\text{site}$) had no effect. The higher dose of raclopride induced catalepsy-like changes in control animals but not in EGF-treated rats. Conversely, local quinpirole administration to EGF-untreated control rats induced PPI deficits and anti-cataleptic behaviors, confirming the pathophysiologic role of the pallidal hyperdopaminergic state. These findings suggest that the pallidal dopaminergic innervation is vulnerable to circulating EGF at perinatal and/or neonatal stages and has strong impact on the D2-like receptor-dependent behavioral deficits relevant to schizophrenia.

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Introduction

Epidermal growth factor (EGF) and structurally related EGF-like peptides (such as neuregulin-1; NRG1) regulate GABAergic and dopaminergic development [1–5]. Genetic studies suggest that the genomic mutation or polymorphism for EGF, NRG1 and their receptors (ErbBs) is associated with schizophrenia risk [6–9]. Changes in the expression levels of EGF, NRG1 and ErbBs are also found in postmortem brains and peripheral blood of schizophrenia patients [10–13]. Both EGF and NRG1 are known to influence their own receptor binding and provoke signal cross-talks of other ErbB subtype(s) via ErbB1–4 receptor heterodimerization [14], [15]. Accordingly, abnormal ErbB signaling might be one of key features in schizophrenia neuropathology and/or etiology, although the pathophysiological nature of ErbB signaling in schizophrenia is largely unresolved [16], [17].

Among many environmental factors implicated in schizophrenia etiology, maternal viral infection and obstetric complications are suggested to play an important role in regulating vulnerability to schizophrenia [18–21]. These inflammatory insults often evoke abnormal cytokine signaling and perturb normal brain development

[18], [21]. For instance, EGF levels in the amniotic fluid can sometimes reach the order of a microgram per liter, which is sufficient to occupy almost all EGF receptors (ErbB1) in the human fetus and lead to unfavorable uterine contractions and pre-term labor [22], [23]. To evaluate the impact of prenatal and perinatal ErbB hyper-signaling on neurobehavioral development, various ErbB ligands such as EGF and NRG1 were subcutaneously administered to rodent pups as their neurodevelopmental period matches the second trimester of human fetus having immature blood–brain barrier and initiating glial proliferation [24]. We found that ErbB ligands can penetrate the immature blood–brain barrier and reach brain neurons, resulting in various behavioral impairments at the post-pubertal stage [25]. For example, rats challenged with EGF as neonates display behavioral abnormalities in acoustic prepulse inhibition (PPI), latent inhibition of learning, social interaction, and methamphetamine sensitivity [26], [27]. The magnitude of the behavioral deficits, however, depends upon the type of ErbB ligands administered and the genetic background of the host animals [4], [27], [28]. In rodents, therefore, abnormal ErbB signaling in the prenatal and/or perinatal stage results in the neurobehavioral deficits [26–28] and/or dopaminergic abnormal-

ities relevant to schizophrenia [4], although the neuropathologic mechanism underlying the individual deficits remains to be clarified.

Here, we prepared the animal model for schizophrenia by subcutaneously injecting EGF to newborn rats and studied the mechanism for their PPI abnormality. Based upon our latest finding on the neurotrophic interactions between EGF signaling and dopamine [5], [29], [30], we mainly characterized dopaminergic neuropathology of these rats using neurochemical and anatomical approaches. Furthermore we explored the pathologic mechanism underlying their behavioral deficits by pharmacologically manipulating local dopamine transmission.

Results

Upregulation of dopaminergic markers in the globus pallidus continuing until adulthood

At the early postnatal stage of rats, EGF is verified to reach the brain through the immature blood-brain barrier and promote phenotypic development of midbrain dopaminergic neurons, leading to neurobehavioral abnormalities relevant to schizophrenia [26], [31]. However, there was a question of whether the neurotrophic influences on dopaminergic neurons can continue until the post-pubertal stage when rats develop the neurobehavioral abnormalities [26]. To address this question, here, we quantitated and compared protein levels of tyrosine hydroxylase (TH; a rate-limiting enzyme for dopamine synthesis) in various brain regions of EGF-treated and control rats at their adult stage using enzyme immunoassay (ELISA) [32], [33] (Fig. 1A). In the globus pallidus, TH protein levels were significantly higher in EGF-treated rats than in control rats ($P=0.035$). There were no significant differences in the other brain regions in this ELISA (Fig. 1A). In the globus pallidus, there was concomitant elevation of tissue dopamine content ($P=0.030$) and its metabolites, DOPAC ($P=0.014$) (Fig. 1B, C) and HVA ($P=0.019$, data not shown). We confirmed the pallidal hyperdopaminergic state by immunoblotting; protein levels of TH and VMAT2, but not those of D β H (a marker for noradrenergic neurons), were significantly elevated in EGF-treated rats at the adult stage (Fig. 1D).

To determine whether the TH increase in the globus pallidus accompanies morphological alterations, we investigated the neuroanatomical features of dopaminergic fibers and terminals in EGF-treated rats (Fig. 2). In contrast to the neurochemical alterations, the anatomical difference in TH immunoreactivity was less marked. The density of TH-immunoreactive fibers was higher in EGF-treated rats only in the lateral area of medial and caudal globus pallidus neighboring the striatum. In rostral globus pallidus, however, we failed to detect the difference (data not shown).

Antipsychotic effects on extracellular dopamine levels in the globus pallidus of EGF-treated rats

Sensorimotor gating involves pallidal function and dopaminergic transmission [34]. We next monitored extracellular dopamine levels in the globus pallidus using a microdialysis technique and estimated a link between local dopamine concentration and sensorimotor gating (Fig. 3A). In agreement with the previous results [26], neonatal EGF treatment reduced PPI scores at the adult stage and an atypical antipsychotic agent ameliorated the PPI reduction (Fig. 3B). EGF-treated animals also exhibited an increase in pulse alone-startle amplitude compared with control animals but not with risperidone-treated animals [$F(2,33)=3.61$, $P=0.038$] (Fig. 3C). We found that basal extracellular dopamine levels (1.52 ± 0.19 nM) in pallidal dialysates from EGF-treated rats were significantly elevated than in those from controls

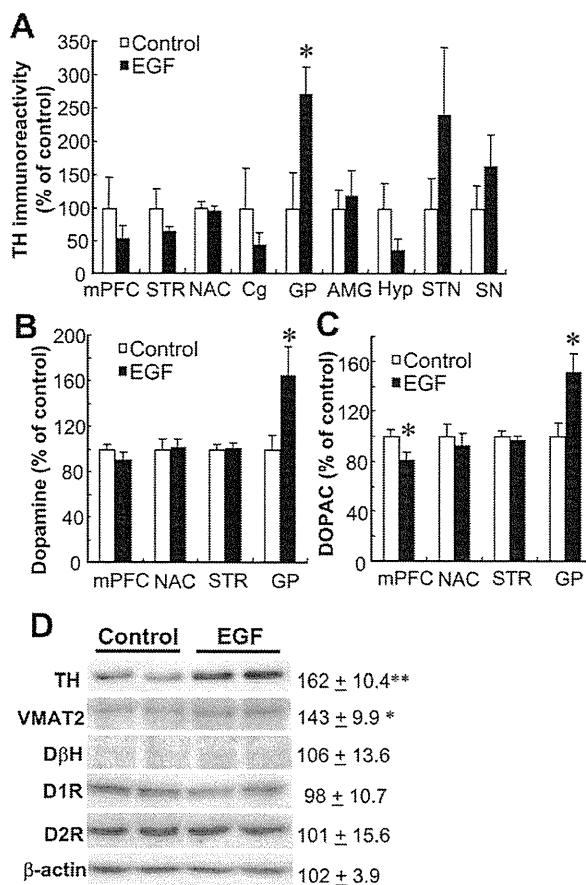


Figure 1. Effects of neonatal EGF challenge on tyrosine hydroxylase and dopamine metabolism at the adult stage. EGF or cytochrome c (control) was daily injected (s.c.) into neonatal rats from PND2 to PND10, and rats were bred until adulthood (PND 56). (A) Levels of tyrosine hydroxylase (TH) immunoreactivity in brain tissue homogenates were determined by ELISA and presented as a ratio of control levels (mean \pm SEM). Tissue contents of dopamine (B) and its metabolite DOPAC (C) were measured by HPLC. (D) Tissue lysates from the globus pallidus of EGF rats and controls (8 weeks old, $N=4$) were subjected to immunoblotting for antibodies directed against TH, vesicular monoamine transporter 2 (VMAT2), dopamine beta hydroxylase (D β H), dopamine D1 receptor (D1R), dopamine D2 receptor (D2R), and β -actin (an internal control). Immunoreactivity was measured by densitometric analysis and its percentage ratio to that of control rats was calculated (mean \pm SEM). Abbreviations; medial prefrontal cortex (prelimbic cortex; mPFC), striatum (STR), nucleus accumbens (NAC), cingulate cortex (Cg), globus pallidus (GP), amygdala (AMG), hypothalamus (Hyp), subthalamic nucleus (STN), and substantia nigra (SN). * $P<0.05$, ** $P<0.01$ by two-tailed t-test. doi:10.1371/journal.pone.0025831.g001

(0.85 ± 0.06 nM; $P<0.001$, $N=11-13$) but decreased by subchronic treatment with risperidone (0.67 ± 0.08 nM; $P<0.001$, $N=11$) [$F(2,33)=14.8$, $P<0.001$] (Fig. 3D). Following potassium depolarization stimuli, extracellular dopamine levels were also elevated in EGF-treated rats but not in risperidone-administered EGF-treated rats [$F(2,33)=5.07$, $P=0.008$, $N=11-13$]. The release difference at both the basal and evoked states suggests that EGF altered the capacity of net dopamine release but not the excitability of dopamine terminals. Of note, the overall changes in extracellular dopamine levels exhibited an opposite trend to that of the changes in PPI levels in the above experiments. These

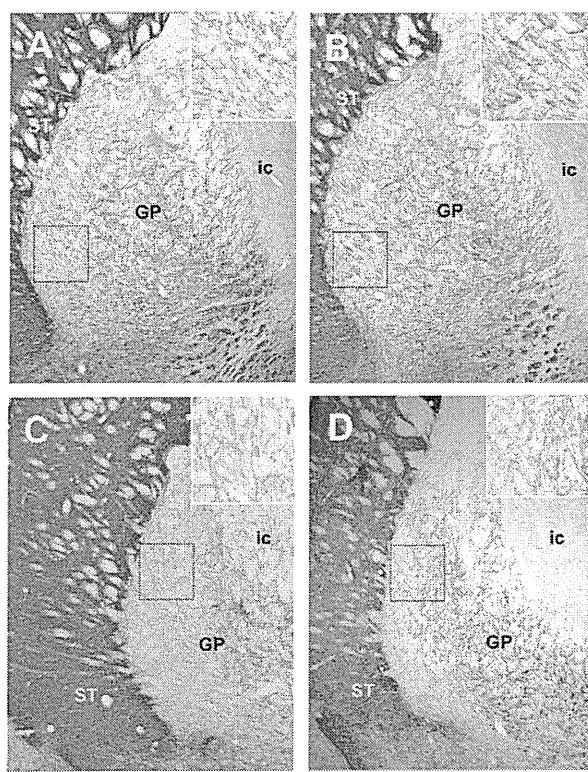


Figure 2. Immunohistochemical analysis of tyrosine hydroxylase-positive fibers and terminals in the globus pallidus. Coronal sections of the striatum containing medial and caudal globus pallidus (1.2 and 1.6 mm posterior from the bregma) were prepared from control and EGF-treated rats and immunostained with anti-TH antibody (N=3–4 rats per group). TH-immunoreactive fibers in medial (A, B) and caudal (C, D) globus pallidus of control (A, C) and EGF rats (B, D) are shown. A lateral area of the globus pallidus is marked with a window, enlarged 2-fold, and presented in the top-right corner of each picture. ST; striatum, GP; globus pallidus, ic; internal capsule. Scale bar, 500 μ m.

doi:10.1371/journal.pone.0025831.g002

results raise the hypothesis that enhanced pallidal dopamine release might be associated with the PPI decrease in this model.

Local reserpine administration to the globus pallidus ameliorates prepulse inhibition deficits

To confirm our hypothesis, we administered reserpine (an inhibitor of VMAT) or vehicle to reduce local dopamine content in synaptic vesicles and measured prepulse inhibition (Fig. 4A). A three-way ANOVA with EGF treatment and reserpine challenge as the between-subjects factors and prepulse intensity as the within-subjects factor revealed a significant interaction between EGF treatment and reserpine challenge [$F_{(1,29)} = 7.81, p = 0.009$]. Repeated Fisher's LSD revealed that reserpine challenge significantly improved PPI scores in EGF rats ($P = 0.012$). In contrast, reserpine did not alter PPI scores of control rats or pulse-alone startles of both groups of rats. Following the behavior tests, we monitored local dopamine contents to ascertain the regional specificity of the reserpine action (Fig. 4B). The dose of reserpine reduced the dopamine pool in the globus pallidus of EGF rats ($P < 0.001$) but not in the neighboring striatum of EGF rats or in these regions of control rats.

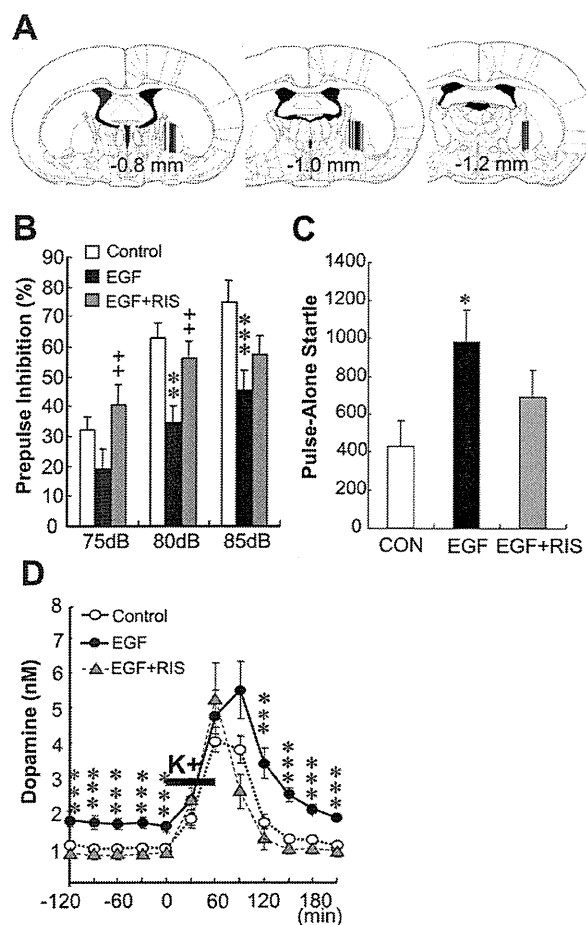


Figure 3. Pallidal dopamine release enhanced in EGF-treated rats and normalized with risperidone. Neonatal rats were treated with EGF or cytochrome c (control) as described in Figure 1. Risperidone (1 mg/kg, i.p.) was administered to some of the EGF-treated rats for 14 days at the adult stage. (A) The location of dialysis probe was examined and is shown in rat brain atlas. Six rats were excluded for incorrect probe placement. The digit represents the distance from the bregma. (B) PPI levels were monitored in control, EGF-treated and EGF+risperidone-treated animals following microdialysis. (C) Pulse-alone startle responses and PPI levels were monitored in control, EGF-treated and EGF+risperidone-treated animals following microdialysis. (D) Basal concentrations of dopamine in dialysates were monitored for 150 min, dopamine release was evoked by perfusion of 80 mM KCl over 60 min (solid bar), and then monitored over 150 min. Data represent dopamine concentrations in 30-min fractions (nM, mean \pm SEM, N=11–13 rats per group). There was a significant interaction between time and dopamine release [$F_{(12,198)} = 2.72, P = 0.002$]. * $P < 0.05$, *** $P < 0.001$, compared with controls and ++ $P < 0.01$, compared with EGF-treated rats by Fisher's LSD. doi:10.1371/journal.pone.0025831.g003

There was a significant difference in pulse-alone startle amplitude between EGF-treated and control rats [$F_{(1,28)} = 16.4, P < 0.001$ for EGF]. Our current design to evaluate reserpine action on %PPI might be inappropriate due to the basal difference in pulse-alone startle amplitudes of EGF and control rats [35]. We prepared another set of animals and gave the lower intensity of tone stimuli (110 dB for main pulses) to EGF-treated rats (Fig. 4C, D). The PPI difference between EGF and control groups as well as the risperidone effect still remained, even though there was no significant difference in the amplitudes of pulse-alone startles among groups.

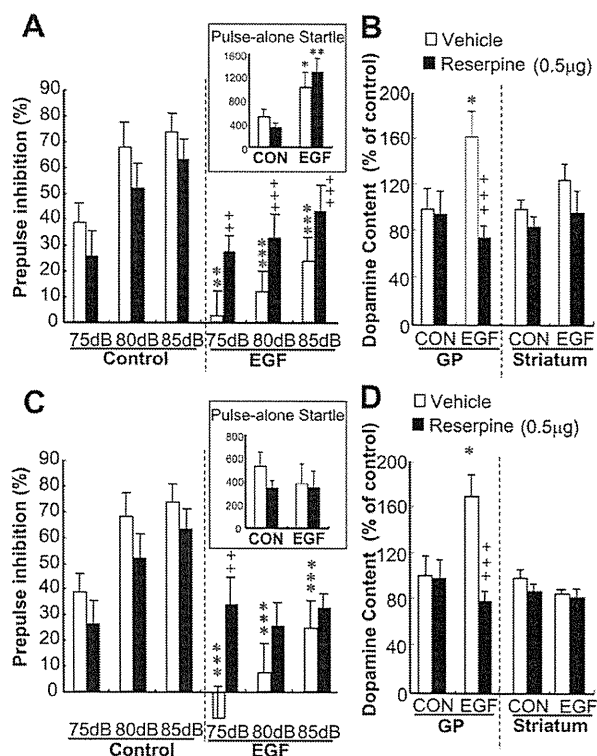


Figure 4. Effects of pallidal reserpine infusion on prepulse inhibition. (A) Startle responses of EGF and control (CON) rats were triggered with 120-dB tone pulse and PPI scores were measured with 75-, 80-, and 85-dB prepulse stimuli 120 min after local vehicle or reserpine infusion to both hemispheres of the globus pallidus. Pulse-alone startle responses to a 120-dB tone were measured in arbitrary units and are shown in the inset. (C) To match pulse-alone startle responses between EGF and control rats, 110-dB and 120-dB pulses were given to EGF and control rats, respectively, as shown in the insert. Following PPI test in (A) and (C), dopamine content was measured in the globus pallidus (GP) and neighboring striatum and shown in (B) and (D), respectively. Bars indicate mean \pm SEM (N=8–9 each). * P <0.05, ** P <0.01, *** P <0.001, compared with vehicle-infused control rats; ++ P <0.01, +++ P <0.001, compared with vehicle-infused EGF rats by Fisher's LSD. doi:10.1371/journal.pone.0025831.g004

To control the potential aversive effects of reserpine injection, we assessed the exploratory motor behaviors of reserpine-injected animals (Fig. 5A, B). We found no significant influence of reserpine on horizontal movement. As EGF-treated rats are known to exhibit a decrease in social interaction scores [26], we also evaluated the effects of reserpine on social behaviors following the above exploratory locomotor test (Fig. 5C). Two-way ANOVA for sniffing duration revealed a significant main effect of EGF [$F(1,30) = 17.8, P < 0.001$] but no main effect of reserpine or no interaction between EGF and reserpine. The same statistical conclusion was drawn for sniffing counts as well. These results indicate that reserpine-induced pallidal dopamine reduction affected PPI levels of EGF rats, but did not influence their motor function or deficits in social behaviors.

Effects of pallidal dopamine D2-like receptor blockade and activation on prepulse inhibition

To test the possibility that the reserpine effects on PPI might result from its influences on the noradrenergic or serotonergic systems, we manipulated local dopaminergic transmission using

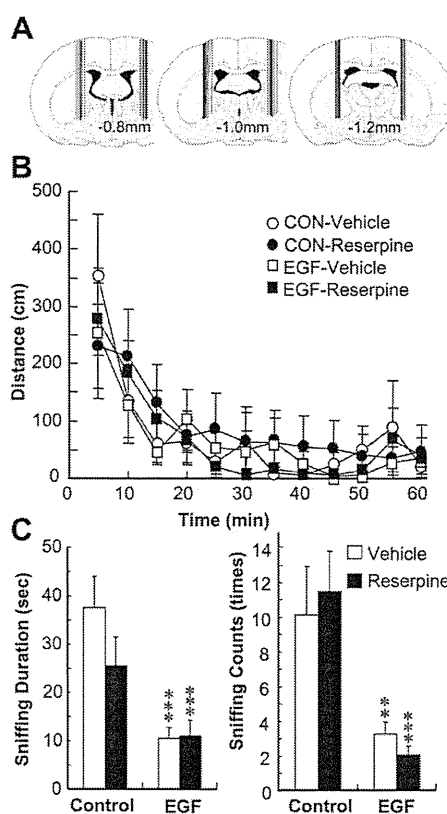


Figure 5. Influences of pallidal reserpine infusion on locomotor activity and social interaction. EGF and control (CON) rats received local reserpine- or vehicle-infusion to both hemispheres of the GP. (A) Cannula placement was confirmed in fixed brains, and two out of 36 rats were excluded from the final data analysis due to incorrect cannula placement. (B) Two hours after pallidal infusion, rats were placed in the automated activity monitoring chamber for 60 min. Data represent horizontal movement (cm) for every 5 min (mean \pm SEM, N=7–9 for each group). (C) Following locomotor test, an unfamiliar male rat was placed in the same chamber. The number and duration of sniffing behaviors of operated rats were counted for 10 min. Bars indicate mean \pm SEM (N=8–9 for each group). ** p <0.01, *** p <0.001 by Fisher's LSD, compared with vehicle-infused controls. doi:10.1371/journal.pone.0025831.g005

dopamine receptor antagonists. We bilaterally administered a dopamine D1-like receptor antagonist, SCH23390 (0.5 μ g and 2 μ g per site), or a dopamine D2-like receptor antagonist, raclopride (0.5 μ g and 2 μ g per site), to the globus pallidus (Fig. 6A). SCH23390 failed to affect PPI scores at any dose in both groups of rats (Fig. 6B). In contrast, raclopride had differential effects in EGF and control rats [$F(2,43) = 3.91$ for EGF \times raclopride dose, $P = 0.028$] (Fig. 6C). Post-hoc testing revealed that raclopride injection significantly ameliorated the PPI deficits of EGF rats ($P = 0.019$ and 0.043 for 0.5 μ g and 2 μ g raclopride, respectively) but not affected PPI levels of control rats. Similar to the results of the reserpine experiment, vehicle-treated control rats failed to react to the D2-like receptor antagonist at any dose.

To address whether a hyperdopaminergic state in the globus pallidus is sufficient to induce PPI deficits, we examined the effects of local stimulation of D2-like receptors. The bilateral challenge of naïve rats with quinpirole, a D2-like receptor agonist (5 μ g per site), markedly disrupted PPI [$F(1,16) = 6.50, P = 0.022$] without altering pulse-alone startle (Fig. 7). These results support our

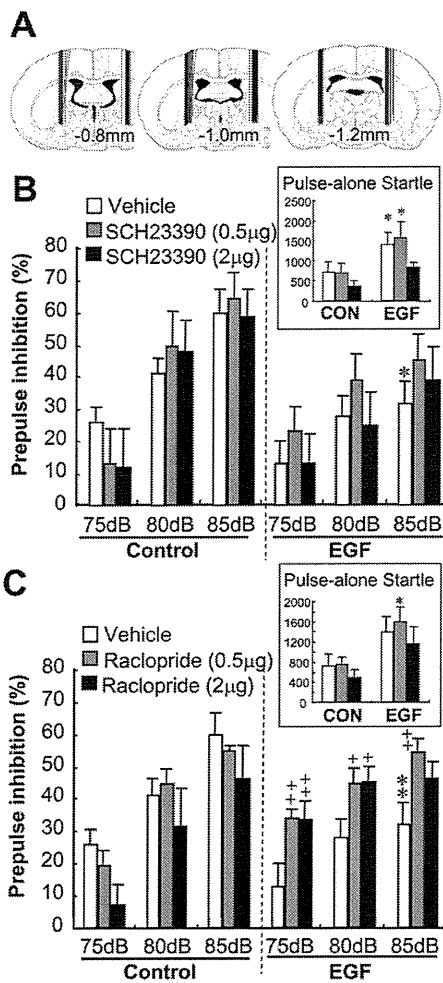


Figure 6. Effects of pallidal infusion of dopamine receptor antagonists on PPI deficits. Different doses of the D1-like receptor antagonist [SCH23390; 0 µg (vehicle), 0.5 µg or 2 µg per site] or the D2-like receptor antagonist [raclopride; 0 µg (vehicle alone), 0.5 µg or 2 µg per site] were administered to both hemispheres of the globus pallidus of EGF and control rats. (A) Cannula placement was confirmed in fixed brains, and four out of 69 rats were excluded from the final data analysis due to incorrect cannula placement. Rats receiving SCH23390 (B) or raclopride (C) were subjected to PPI test with 75-, 80- and 85-dB prepulse stimuli combined with a 120-dB startle tone. Pulse-alone startle responses to a 120-dB tone were measured in arbitrary units and are shown in the inset. Bars indicate mean ± SEM (N=8–9 for each group). Data of rats receiving vehicle alone (control) were shared in (B) and (C). *P<0.05, **P<0.01, compared with vehicle-infused controls; +P<0.05, ++P<0.01, compared with vehicle-infused EGF rats by Fisher's LSD. doi:10.1371/journal.pone.0025831.g006

argument that elevated dopamine release in the globus pallidus is responsible for the PPI deficits.

Difference in cataleptic actions of a D2-like receptor antagonist on EGF-treated and control rats

Because dopamine D2-like receptor blockade is known to induce cataleptic behaviors [36], we used the bar-hang immobility test to estimate the effects of the antagonist on catalepsy scores (Fig. 8A). Prior to drug administration, EGF-treated and control rats were

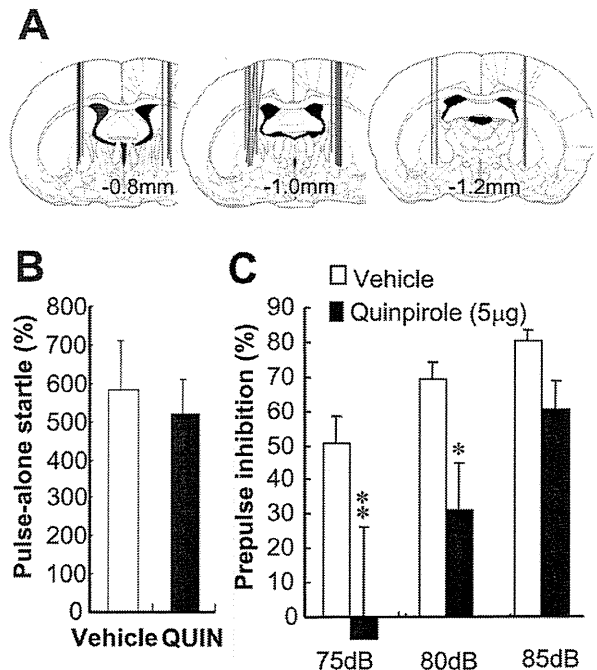


Figure 7. Effects of pallidal infusion of a dopamine D2-like agonist on PPI. A dopamine D2-like agonist, quinpirole (QUIN) (5 µg per site), or vehicle was administered to both hemispheres of the GP of naïve rats. (A) Cannula placement was confirmed in fixed brains, and one out of 18 rats was excluded from the final data analysis due to incorrect cannula placement. Fifteen minutes after quinpirole injection, pulse-alone startles (B) and PPI scores (C) were measured with 75-, 80- and 85-dB prepulse stimuli combined with a 120-dB startle tone. Bars indicate mean ± SEM (n=8 or 9 each). *p<0.05, **p<0.01, compared with vehicle-infused controls by Fisher LSD. doi:10.1371/journal.pone.0025831.g007

subjected to the first session of the bar-hang test. There was a significant basal difference in bar-hang latency [F(1,43)=19.0, P<0.001 for EGF] (Fig. 8B). Pallidal infusion of the D2-like receptor antagonist produced differential effects on EGF and control rats [F(2,44)=9.07, P<0.001 for raclopride dose×EGF]. The higher dose of raclopride significantly increased bar-hang latency in control rats (P<0.001) but not in EGF rats. Thus, EGF rats appear to be insensitive to the given doses of the D2-like receptor blocker in this test. These results rule out the possibility that the observed raclopride effects on PPI in EGF-treated rats might reflect its cataleptic action. Moreover, this experiment revealed the lower sensitivity of EGF-treated rats to pallidal D2-like receptor blockade.

Of note, the pallidal infusion of the D2-like receptor agonist quinpirole to naïve rats significantly diminished the bar-hang latency, compared with the latency before injection [F(2,24)=4.54, P=0.021] (Fig. 8C). The bar-hang latency of quinpirole-infused rats was significantly shorter than that of vehicle-infused controls (P<0.001) and indistinguishable from that of EGF-treated rats. All these results suggest that pallidal dopaminergic signals negatively regulate bar-hang latency. Therefore, the shorter bar-hung latency of EGF-treated rats supports our argument that pallidal dopamine function was up-regulated in these animals.

Discussion

In the present investigation, we attempted to address the question of how EGF challenge at the perinatal stage alters later

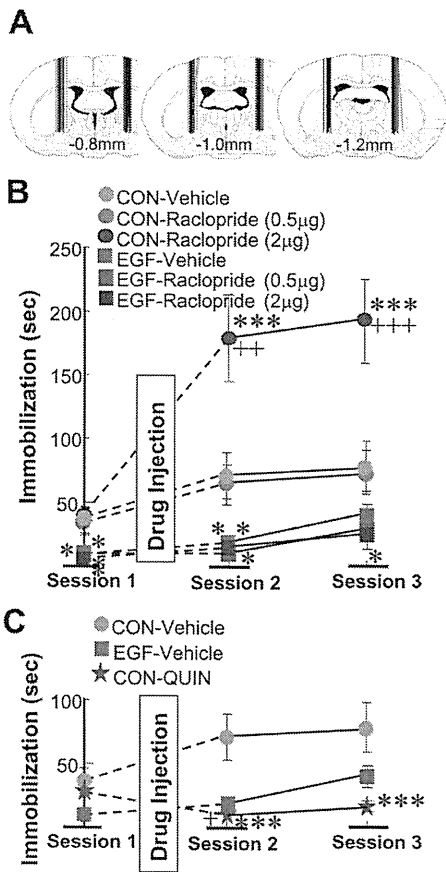


Figure 8. Measurement of immobility in the bar-hang test following pallidal infusion of the dopamine receptor antagonist and agonist. (A) Cannula placement was confirmed in fixed brains, and four out of 63 rats were excluded from the final data analysis due to incorrect cannula placement. The bar-hang test consisted of 3 blocks with 20-min intervals. Vehicle, raclopride (B; 0.5 and 2.0 µg per site) or quinpirole (QUIN) (C; 10.0 µg per site) was bilaterally injected into the globus pallidus of EGF and control (CON) rats after block #1. In each session we measured the latency until one of the paws of rats was removed from the horizontal bar (mean ± SEM, N=8–9 rats each). *P<0.05, **P<0.01, ***P<0.001, compared with vehicle-infused control rats; +P<0.05, ++P<0.01, +++P<0.001, compared with the value of session #1 by Fisher's LSD. Note: The pallidal infusion of the D2-like receptor agonist to control rats (green star) significantly reduced the latency.

doi:10.1371/journal.pone.0025831.g008

dopaminergic neurotransmission and dopamine-associated behavioral traits. The present analyses of this model provided us with the following information: 1) The hyperdopaminergic phenotype was maintained in the globus pallidus until adulthood. 2) The amounts of pallidal dopamine release were elevated at the adult stage of EGF-treated rats and normalized with antipsychotic treatment. 3) The reserpine-driven reduction in pallidal dopamine pool ameliorated the PPI deficits of EGF-treated rats. 4) The D2-like receptor blocker also normalized PPI levels of EGF-treated rats without affecting the catalepsy index. 5) Conversely, local administration of the D2-like receptor agonist to naïve rats caused deficits in PPI and bar-hang latency similar to that seen in EGF-treated rat. These results reveal that EGF exposure to rat pups produces persistent neurotrophic influences on the nigropallidal

dopamine neurons and their functions. Thus, we postulate that the behavioral deficits of this EGF model, in part, can be ascribed to the D2 receptor-dependent hyperdopaminergic states of this basal ganglia circuit.

Trophic actions and selectivity of epidermal growth factor peripherally administered

EGF and other ErbB ligands (such as neuregulin-1) are neurotrophic not only for midbrain dopaminergic neurons but also for GABAergic neurons and glial cells [1–5], [37], [38]. Although we have been investigating the phenotypic influences of peripheral EGF challenges on developing GABAergic and glial cells, these effects did not persist until the adult stage [2], [26], [31]. As such, the hyperdopaminergic influence found in the present investigation is the sole phenotypic change that we have detected in the adult stage of EGF-treated rats. Similar to this study, we find long-lasting influences of neonatal neuregulin-1 challenges on the dopamine system [4]. With the given widespread action of these ErbB ligands, however, we cannot rule out the possibility that undetected changes in GABAergic or glial phenotype or function still remain in this model [2], [5], [37], [38].

Individual dopaminergic systems of mesostriatal, mesolimbic, and mesofrontocortical pathways appear to be differentially regulated by individual neurotrophic factors [5], [37–39]. Among these neurons, a subset of dopaminergic neurons in the ventral tier of the substantia nigra pars compacta mainly express the EGF receptor (ErbB1), which constitute of highly branched dopaminergic neurons in the mesostriatal and pallidal pathways [5], [40], while the neuregulin-1 receptors (ErbB4) are most enriched in mesofrontocortical dopamine neurons [37]. Therefore, it is likely that mesostriatal dopaminergic population responded to exogenous EGF and contributed to the pallidal hyperdopaminergic states of the present animal model. In contrast to the neurochemical changes, the number of dopamine terminals in the globus pallidus was less remarkable. In this context, the neurotrophic feature of EGF on dopamine neurons remains to be fully characterized; its effects on cell survival, phenotypic enhancement, or terminal arborization. Our preliminary study failed to detect apparent difference in the number of dopamine neurons (data not shown).

In contrast to the present EGF model, the mice treated with neuregulin-1 as neonates, in which dopaminergic influences are most pronounced in the prefrontal cortex, exhibit less marked deficits in PPI but more severe impairments in social behaviors [4], [41]. The difference of the behavioral traits between the two models might reflect the distinct neurotrophic actions of EGF and neuregulin-1 on these cell subpopulations.

Neuropathological implication of the globus pallidus for schizophrenia and its animal model

The globus pallidus is part of the indirect pathway of the basal ganglia circuit and receives dopaminergic innervation [42], [43]. The pathway initiates from the medium spiny neurons (MSN) in the striatum carry dopamine D2 receptors [44–46] and regulates sensorimotor gating, motor coordination, attention, learning and antipsychotic pharmacology [47–51]. Kodosi and Swerdlow (1995) showed that parts of globus pallidus, the ventral and caudal pallidum, are involved in sensorimotor gating [50]. The preceding report does not contradict the present finding although we did not distinguish these subregions of the globus pallidus. The dopaminergic abnormality in the indirect pathway represents one of the neuropathological features of this model and contributes to its behavioral deficits.

With respect to the antipsychotic effect of the D2 receptor antagonism in the indirect pathway, however, several controversies still remain; systemic administration of haloperidol failed to result in clear PPI improvement in EGF-treated rats [26]. In this context, neurobehavioral consequences of D2 receptor antagonism may significantly differ depending upon the brain regions where the antagonist acts [50]. Although we made the best efforts to minimize the technical artifacts and variations of cannula implantation, we cannot fully rule out aversive influences of the microsurgery on behavioral testing. The regional specificity of the drug actions should warrant future independent studies. As far as we compared the animals in control and experimental groups that equally received the surgery, however, the anti-dopaminergic manipulations produced the consistent results in behavioral tests.

The inhibition of dopamine D2 receptor at presynaptic sites of MSN fibers facilitates GABA release in the globus pallidus, leading to motor dysfunction such as catalepsy [42], [52–55]. In accordance with this cataleptic mechanism, our intrapallidal infusion of the D2-like receptor antagonist to control rats resulted in an increase in the catalepsy index while the D2-like receptor agonist conversely decreased the catalepsy index. We observed a similar D2-like receptor-dependency of the agonist and antagonist in the PPI paradigm. These results suggest the possibility that these behavioral deficits of EGF-treated rats involve the hyper-activation of D2-like receptors. However, the abnormalities of the present model in social behavior and pulse-alone startle appeared to be distinct from the pallidal dopamine neuropathology. In this context, EGF-treated rats should have the unrevealed structural or phenotypic alteration(s) underlying these behavioral impairments. Whether these impairments also involve the dopamine system remains to be investigated.

Of note, alterations in pallidal morphology and abnormal activation of this brain region are often implicated in schizophrenia. Brain imaging studies reveal pallidal structural abnormalities in schizophrenia patients [56–61]. Functional imaging also suggests an activation impairment in this brain region of patients [62], [63]. These abnormalities might have any association with the pallidal hyperdopaminergic states found in the present EGF model, especially if excess dopamine exerts neurotrophic and cell mitotic activities on pallidal cells and increases the tissue volume or function [64].

Vulnerability of midbrain dopaminergic development to neurotrophic cytokines

Schizophrenia animal models established by maternal immune challenges or neonatal hypoxia appear to support the dopamine hypothesis for schizophrenia although several controversies still remain [65–67]. For example, the animal model established by maternal challenge with bacterial lipopolysaccharide shows a long-lasting increase in TH levels and dopamine turnover, at least, in the nucleus accumbens [68], [69]. Perinatal asphyxia and viral infection also result in distinct abnormalities in the dopamine system [70–74]. Our preceding study suggests that neuregulin-1, another ErbB receptor ligand, also induce several behavioral abnormalities relevant to schizophrenia, when neuregulin-1 is administered to newborn mice [4]. These findings indicate the possibility that the phenotypic and functional abnormalities in these immune/inflammatory models might involve EGF or other ErbB ligand(s) acting on midbrain dopamine neurons at prenatal or perinatal stages [25].

In conclusion, the developmental regulation of midbrain dopaminergic neurons is more vulnerable against peripheral cytokine signals than previously thought. The present results indicate that perinatal and potentially prenatal exposure to EGF

or EGF-related cytokines may produce crucial and persistent impact on dopaminergic innervation and function in the indirect pathway of the basal ganglia circuit. In light of dopamine D2 receptor antagonism, which is commonly implicated in antipsychotic pharmacology, the present cytokine model may help to elucidate its antipsychotic mechanism as well as to validate the dopamine hypothesis for schizophrenia.

Materials and Methods

Ethics statement

All of the animal experiments described were approved by the Animal Use and Care Committee guidelines of Niigata University (Approval No18 on April 26, 2010) and performed in accordance with the guidelines of NIH-USA. Every effort was made to minimize the discomfort of the animals in addition to the number of animals used in the experiments.

Animals

Male newborn Sprague-Dawley rats (SLC Ltd., Hamamatsu, Japan) were housed with a dam under a 12-h light/dark cycle (lights on 8:00 a.m.) in a plastic cage (276×445×205 mm). The rats were allowed free access to food and water. After weaning [postnatal day (PND) 20–30], rats were separated and housed with 2–3 rats per cage. Each adult animal (PND 56–94) was used in each experiment. Naïve Sprague-Dawley rats (all male, PND 56–70; SLC) were also used in control experiments. Recombinant human EGF (Higeta Shouyu Co., Chiba Japan) was dissolved in saline. EGF (0.875 µg/g) was administered subcutaneously (s.c.) each day to half of the littermates during PND 2–10 [26]. Control littermates received an injection of the same dose of cytochrome c (control protein) on the same schedule. The dose of EGF used in this study did not produce any apparent growth retardation in rats and mice [26], [28]. Some of adult rats daily received risperidone (1 mg/kg, i.p.; Janssen Pharmaceuticals Inc) or saline for 14 days.

Enzyme-linked immunosorbent assay (ELISA)

Rats were anesthetized with halothane, and brains were removed and cut into 1-mm thick slices. Using published boundaries [75], we identified and punched out each brain region of interest. TH levels were measured using ELISA [10]. In brief, brain tissues were homogenized in 10 volumes of homogenization buffer [0.1 mM phenylmethanesulfonyl fluoride, 0.1 mM benzethonium chloride, 1 mM benzamidine (Sigma Chemical Co., St. Louis, MO), and 10 µg/ml aprotinin]. Brain homogenates were centrifuged at 14000× g for 20 min at 4°C, and the supernatants were stored at –80°C until use. Protein concentrations were determined using a Micro BCA kit (Pierce, Rockland, IL) with bovine serum albumin (BSA) as a standard.

Tissue homogenates or striatal lysates (standards) were loaded into ELISA plate wells coated with mouse monoclonal anti-TH antibody (a gift from Dr. Hatanaka and Dr. Takei). Plates were incubated with rabbit polyclonal anti-TH antibody (Chemicon, Temecula, CA) followed by incubation with anti-rabbit IgG β-galactosidase (1:1000, American Qualex, San Clemente, CA). The fluorescence of the enzyme products from a reaction with 4-methylumbelliferyl-β-D-galactoside (MUG, Sigma) was measured using a microplate reader (COLONA electric Co., Ltd., Ibaraki, Japan).

Immunoblotting

Each brain tissue was dissected as described above and homogenized in 200 µl lysis buffer [2% sodium dodecylsulfate (SDS), 10 mM Tris-HCl buffer (pH 7.4), 5 mM ethylenediamine-

N,N,N',N'-tetraacetic acid (EDTA), 10 mM NaF, 2 mM Na₃VO₄, 0.5 mM phenylarsine oxide], and boiled at 95°C for 5 min. After centrifugation at 12000 rpm for 20 min, the supernatant was harvested. The supernatant was mixed with 5× sample buffer [0.31 M Tris-HCl (pH 6.8), 10% SDS, 50% glycerol, 25% 2-mercaptoethanol] and boiled at 95°C for 5 min. Denatured protein samples were subjected to 7.5% SDS-polyacrylamide gel electrophoresis and transferred to a nitrocellulose membrane (Schleicher and Schull, Dassel, Germany) by electrophoresis. The membrane was probed with anti-TH (1:2000, Chemicon), anti-vesicular monoamine transporter 2 (VMAT2) (1:1000, Chemicon), anti-dopamine-beta-hydroxylase (DβH) (1:500, Chemicon), anti-dopamine D1 receptor (1:1000, Sigma) or anti-D2 receptor (1:1000, Chemicon) antibodies. After washing, membrane immunoreactivity was detected using anti-rat, anti-rabbit, or anti-mouse immunoglobulin antibody conjugated to horseradish peroxidase (Jackson Immunoresearch Laboratory, West Grove, PA) followed by a chemiluminescence reaction (ECL kit, GE Health Science Inc., Tokyo, Japan) and exposure to X-ray films. Film images carrying a linear range of darkness of bands were subjected to film scanning and converted to the 8-bit digital data. Densitometric quantification of band intensity was performed with the free software Image J (National Institutes of Health, USA).

Immunohistochemistry

Rats were anesthetized with halothane, perfused transcardially for 7 min with phosphate-buffered saline (150 mM NaCl, 0.1 M sodium phosphate; pH 7.5) followed by 4% paraformaldehyde in phosphate-buffered saline. Brains were removed and post-fixed in the same fixation solution for 24 h at 4°C. Fixed brains were immersed in 30% sucrose solution for 3–5 days, and frozen in resin (Tissue-Tek, Sakura Finetek U.S.A. Inc. Torrance, CA). Sections (40 μm) were cut with a cryostat (CM1510, Leica, Nussloch, Germany). After rinsing in Tris-buffered saline [TBS; 0.1 M Tris-HCl (pH 7.4), 150 mM NaCl] containing 0.2% Triton X-100, sections were pretreated with 6% BSA and 0.2% Triton X-100 in TBS and then incubated with anti-TH antibody (1:1000, Chemicon) in TBS containing 3% BSA and 0.2% Triton X-100 for 48–72 h at 4°C. After rinsing in TBS/0.2% Triton X-100 three times, sections were incubated with biotinylated anti-rabbit immunoglobulin antibody (1:200, Jackson Immunoresearch Laboratory). Immunoreactivity was visualized using a Vectastain Elite ABC kit (Vector Laboratories, Burlingame, CA) using diaminobenzidine as a substrate.

Determination of monoamine contents

Each brain region was homogenized in 0.1 M perchloric acid containing 0.1 mM EDTA, and 100 nM isoproterenol. After centrifugation at 12000 rpm for 20 min, the supernatants and pellets were harvested. Concentrations of dopamine and its metabolites, 3,4-dihydroxyphenylacetic acid (DOPAC) and homovanillic acid (HVA), in supernatants were analyzed by HPLC-electrochemistry [4]. The mobile phase containing 50 mM trisodium citrate (pH 3.2), 25 mM NaH₂PO₄, 10 mM diethylamine, 0.03 mM EDTA, 2.5 mM 1-octane sulfonic acid sodium salt, 6% methanol, 1% dimethylacetamide was delivered at 0.5 mL/min. Monoamines were separated on an analytical HPLC column (CA-50DS, 4.6×150 mm, Eicom, Kyoto, Japan) and detected with a graphite electrode (WE-3G, Eicom) to which +700 mV was applied. Data analysis was performed with a data acquisition computer (Powerchrom, Eicom). Tissue pellets were homogenized in 0.5 N NaOH and subjected to protein determination with a Micro BCA kit (see above). Tissue monoamine contents were normalized with protein concentrations.

Local drug administration to the globus pallidus

Control and EGF-treated rats (PND 56–70) were anesthetized with sodium pentobarbital (65 mg/kg, i.p.). After confirming deep anesthesia, a rat was mounted on a stereotaxic apparatus with an incisor bar set at 3.3 mm below the interaural line. The skull was exposed and two holes were drilled for bilateral implantation of guide cannulae (23 G stainless-steel pipes) into the GP. The stereotaxic coordinates were 0.9 mm anterior, ±3.0 mm lateral from the bregma, and 4.5 mm below the dura mater. After allowing the rat at least 10 days of recovery from surgery, a 30-G needle connected to Teflon tubing and a Hamilton syringe was placed 2 mm below the tip of the guide cannula. The drug (0.5 μl) was injected over a period of 30 sec, and the needle left in place for an additional 30 sec. Rats were placed in their home cage for 5–15 min, to allow for local diffusion of the drug; the rats were then subjected to behavioral tests (see below). When rats received reserpine, rats were placed in their home cage for 120 min to deplete the local dopamine pool. The cannula position was confirmed after the completion of the behavioral tests (see below).

Reserpine (Daiichi Sankyo Pharmaceutical Inc., Tokyo, Japan) was dissolved in phosphate-buffered solution (pH 4.0) containing 3 mg/mL DL-methionine and 70 mg/mL propylene glycol. Conventional dopamine receptor ligands, SCH23390, raclopride and quinpirole, were all obtained from Sigma and dissolved in 10% dimethyl sulfoxide (DMSO) in saline (vehicle).

Microdialysis

Rats were anesthetized with sodium pentobarbital (65 mg/kg i.p.) and mounted in a stereotaxic apparatus. The skull was exposed and a hole was drilled for unilateral implantation of a guide cannula (AG-8, Eicom) into the GP. The stereotaxic coordinates were 0.9 mm anterior; 3.0 mm lateral from the bregma, and 4.8 mm below the dura mater. After allowing the rat at least 10 days of recovery from surgery microdialysis experiments were performed.

The microdialysis probe (2 mm active area, A-I-8-02, Eicom) was connected to Teflon tubing (0.65 mm o.d., 0.12 mm i.d.; Bioanalytical Systems Inc., West Lafayette, IN). The rat was perfused with artificial cerebrospinal fluid (pH 7.0) containing 147 mM NaCl, 2.7 mM KCl, 1.2 mM CaCl₂, and 0.5 mM MgCl₂ at a flow rate of 0.7 μL/min. Dialysate was discarded to obtain a steady state for at least 18 h after implantation of the probe, and then dialysate samples were collected every 30 min. The first five fractions were collected to determine basal levels of dopamine. The perfusion medium was switched to the medium containing a high concentration of potassium (80 mM KCl, 69.7 mM NaCl, 1.2 mM CaCl₂, 0.5 mM MgCl₂; pH 7) for 60 min (for two fractions). The perfusion medium was then switched back to the original medium and five fractions were additionally collected. In all, total 12 fractions were collected.

Dopamine in the dialysates was determined by HPLC with electrochemical detection. The mobile phase containing 48 mM citric acid, 24 mM sodium acetate, 10 mM NaCl, 0.5 mM EDTA, 2.5 mM SDS, and 16% acetonitrile (pH 4.8) was delivered at 50 μL/min. Dopamine was separated on an analytical column (BDS Hypersil C18 1×100 mm, Thermo Fisher Scientific, Yokohama, Japan) and detected with a 3 mm glassy carbon electrode detector (Unijet flow cell; Bioanalytical Systems Inc.) to which +550 mV was applied. Data analysis was performed with the analysis software (Epsilon LC; Bioanalytical Systems Inc.). Data were not compensated with the recovery rate.

Confirmation of cannula positioning

After local drug injection or microdialysis, rats were deeply anesthetized with halothane and decapitated. Brains were quickly removed and fixed in 4% paraformaldehyde for 3 days. Fixed brains were cut into 50- μ m sections using a vibratome (Dosaka EM Ltd., Kyoto, Japan). Each section was stained with 0.5% cresyl violet solution. The location of a microdialysis probe or injection needle was determined under a microscope according to a stereotaxic atlas [75]. Animals that exhibited incorrect cannula placement were removed from the data analysis.

Measurement of acoustic startle and prepulse inhibition of startle response

Acoustic startle amplitude was measured in a startle chamber (SR-Lab Systems, San Diego Instruments, San Diego, CA) [34]. Rats were placed into a startle chamber with 70-dB background noise. Five minutes later, the startle amplitude was recorded in a session that included multiple trial types: (i) a 120-dB 40-ms noise burst presented alone (pulse); (ii–iv) 40-ms 120-dB noise burst following prepulses by 100 ms (20-ms noise burst) that were 5, 10, and 15 dB above background noise (i.e., 75-, 80-, 85-dB prepulse, respectively); and (v) no stimulus (background noise alone). The percentage PPI of startle responses was calculated as: $100 - [(startle\ response\ on\ prepulse-pulse\ stimulus\ trials - no\ stimulus\ trials) / (pulse-alone\ trials - no\ stimulus\ trials)] \times 100$. To match the magnitude of pulse alone startles between groups, a 120-dB noise burst was replaced with a 110-dB noise burst in some experiments.

Analysis of locomotor activity

Side effects of reserpine were estimated by monitoring spontaneous locomotor activity under novel conditions. Reserpine-infused rats were placed in an open field box (45 cm length \times 45 cm width \times 30 cm height, MED Associates, St. Albans, VA, USA) under a moderate light level (200 Lx). Line crossings and rearing counts were measured by photo-beam sensors (25 mm intervals for horizontal axis and 150 mm for vertical axis).

Social interaction test

The index for social interaction of rats was measured according to Futamura et al. (2003) [26]. Following the above locomotor test, reserpine- or vehicle-infused rats were exposed to an unfamiliar male rat that was housed in another cage, and was age, body-weight, and gender-matched. All tests were videotaped and scored in blind. Scoring of social interaction times and duration was based on sniffing behaviors, defined as active chasing of the partner, shaking the nose near the partner, and contacting the partner with the nose.

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Measurement of immobilization levels in the bar-hang test

Immobilization levels and the cataleptic effects of drugs were measured using a bar-hang test method [76]. In the bar-hang test, the front paws of the rat were gently placed on a horizontal metal bar (5 mm diameter) and placed 10 cm above ground level. The test was terminated when the paw of animal was released from the bar or 300 sec had passed, and the total time until the animals removed the paw from the bar was recorded. Rats were subjected to three blocks (separated by 20-min intervals) of three trials. Scores at the three different time blocks (after 1-h acclimation, 20 and 40 min after drug infusion) were monitored for comparison purposes.

Statistical analysis

All data are expressed as means \pm SEM. Statistical differences in the behavioral data were determined by analysis of variance (ANOVA). When univariate data were obtained from two groups, two-tailed *t*-test was used for comparison. Behavioral scores were initially subjected to factorial ANOVA using neonatal EGF treatment (two levels) and local drug infusion (two or three levels) as between-subjects factors, and prepulse magnitude (three levels) or test session (two levels) as a within-subjects factor. As the initial analyses yielded a significant factorial interaction, data were subjected to a Fisher's LSD *post-hoc* test with or without repeated measure. The interaction of a within-subjects factor with a between-subjects factor was estimated by multivariate analysis of variance (MANOVA). Individual statistical differences between data points are shown in the figures. Correlations between dopamine release and PPI were examined by Pearson's correlation analysis. A *p* value less than 0.05 was regarded as statistically significant. Statistical analyses were performed using StatView software (SAS Institute Inc., Cary, NC, USA). *N* values in parentheses represent the number of animals used in each group.

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Author Contributions

Conceived and designed the experiments: H. Nawa. Performed the experiments: HS YZ YI MM MA KS RW. Analyzed the data: HS H. Nawa. Contributed reagents/materials/analysis tools: H. Namba. Wrote the paper: H. Nawa.

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Measurement and comparison of serum neuregulin 1 immunoreactivity in control subjects and patients with schizophrenia: an influence of its genetic polymorphism

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Abstract *Neuregulin-1 (NRG1)* gene is implicated in the etiology or neuropathology of schizophrenia, although its biological contribution to this illness is not fully understood. We have established an enzyme-linked immunosorbent assay (ELISA), which recognizes the NRG1 β 1 immunoglobulin-like (Ig) domain, and measured soluble Ig-NRG1 immunoreactivity in the sera of chronic schizophrenia patients ($n = 40$) and healthy volunteers ($n = 59$). ELISA detected remarkably high concentrations of Ig-NRG1 immunoreactivity in human serum (mean 5.97 ± 0.40 ng/mL, $\sim 213 \pm 14$ pM). Gender and diagnosis exhibited significant effects on serum Ig-NRG1 immunoreactivity. Mean Ig-NRG1 immunoreactivity in the schizophrenia group was 63.2% of that measured in the control group. Ig-NRG1 immunoreactivity in women was 147.1% of that seen in men. We also attempted to correlate six SNPs of NRG1 genome with serum Ig-NRG1 immunoreactivity. Analysis of covariance with compensation

for gender identified a significant interaction between diagnosis and SNP8NRG243177 allele. The T allele of this SNP significantly contributed to the disease-associated decrease in Ig-NRG1 immunoreactivity. Although we hypothesized a chronic influence of antipsychotic medications, there was no significant effect of chronic haloperidol treatment on serum Ig-NRG1 immunoreactivity in monkeys. These findings suggest that serum NRG1 levels are decreased in patients with chronic schizophrenia and influenced by their SNP8NRG243177 alleles.

Keywords Neuregulin · Schizophrenia · Serum · ELISA · SNP

Abbreviations

NRG	Neuregulin
SNP	Single-nucleotide polymorphism
ELISA	Enzyme-linked immunosorbent assay
LI	Like immunoreactivity
ANOVA	Analysis of variance
ANCOVA	Analysis of covariance

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Introduction

Many studies have indicated a genetic linkage between the human chromosome locus 8p21-p12 and schizophrenia (Blouin et al. 1998; Kendler et al. 1996; Pulver et al. 1995). Stefansson et al. (2002) first reported that the *neuregulin-1 (NRG1)* gene, which resides in this genomic locus, is associated with the vulnerability to schizophrenia. Subsequent studies have confirmed the genetic association with *NRG1* throughout different countries and populations