

The Cox proportional hazards model was used to evaluate the independent effects of the studied variables on OS (Table 3). PS, postoperative recurrence, best response to first-line chemotherapy and docetaxel were identified as significant prognostic variables ( $P = 0.0272$ ,  $P = 0.0030$ ,  $P = 0.0022$  and  $P = 0.0376$ , respectively).

OS by docetaxel and EGFR-TKI treatment status

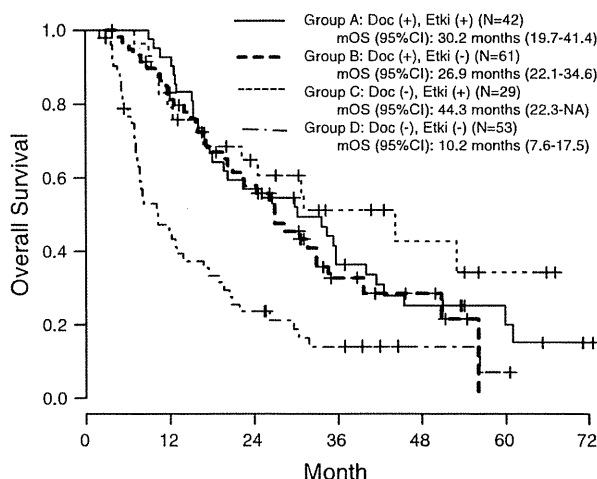
Of the various monotherapy regimens, only docetaxel and EGFR-TKI treatment were significantly correlated with OS in univariate analysis. Thus, we compared OS among groups categorized by docetaxel and EGFR-TKI treatment status. The 185 patients were classified into four groups: Group A ( $n = 42$ )—both EGFR-TKIs and docetaxel; Group B ( $n = 61$ )—docetaxel but not EGFR-TKIs; Group C ( $n = 29$ )—EGFR-TKIs but not docetaxel; and Group D ( $n = 53$ )—neither docetaxel nor EGFR-TKIs. As shown in

**Table 3** Univariate and multivariate analysis of survival time data

Clinical variables	<i>N</i>	<i>mOS</i> (months)	Log-rank test <i>P</i> value	Cox analysis <sup>a</sup> <i>P</i> value
<b>Sex</b>				
Male	137	20.2	0.0019	0.4167
Female	48	35.7		
<b>PS</b>				
0–1	159	26.9	0.0066	0.0272
2–4	26	13.4		
<b>Smoking status</b>				
Never	55	35.7	0.0001	0.1100
Ever	130	18.9		
<b>Histology</b>				
Ad	150	22.6	0.0671	0.4902
non-Ad	35	22.1		
<b>Stage</b>				
Postoperative recurrence	34	31.8	0.0231	0.0030
Others	151	20.1		
<b>Best response to first-line treatment</b>				
PR, SD	136	26.9	0.0011	0.0022
PD, NE	49	12.3		
<b>Docetaxel</b>				
(+)	103	28.2	0.0250	0.0376
(–)	82	16.7		
<b>EGFR-TKI</b>				
(+)	71	33.7	0.0023	0.1949
(–)	114	19.4		

*mOS* Median overall survival, *Ad* adenocarcinoma, *PR* partial response, *SD* stable disease, *PD* progressive disease, *NE* not evaluable, *PS* performance status, *EGFR-TKI* epiderma growth factor receptor tyrosine kinase inhibitor

<sup>a</sup> Multivariate analysis using a Cox proportional hazards model



**Fig. 2** Kaplan–Meier survival curves of four classified by docetaxel and EGFR-TKI treatment status. Group A patients treated with docetaxel and EGFR-TKIs. Group B patients treated with docetaxel but not EGFR-TKIs. Group C patients treated with EGFR-TKIs but not docetaxel. Group D patients treated with neither docetaxel nor EGFR-TKIs. Among patients in Group D, survival was significantly shorter than that of the other groups. *Doc* docetaxel, *Etki* EGFR-TKIs, *CI* confidence interval, *mOS* median overall survival

Fig. 2, median OS (95% confidential interval) in Groups A, B, C, and D was 30.2 months (19.7–41.4), 26.9 months (22.1–34.6), 44.3 months (22.3–NA), and 10.2 months (7.6–17.5), respectively. Among patients in Group D, survival was significantly shorter than that of the other groups. Table 4 shows the characteristics of the patients, best response to first-line chemotherapy, EGFR mutation status and number of regimens by group. The proportion of females, never smokers, and patients with non-squamous cell carcinoma were higher in Groups A and C as compared with Groups B and D. Regarding best response to first-line chemotherapy, PD was more frequent in Group D as compared with the other groups. Drug-sensitive EGFR mutations were more frequent in Groups C than in the other groups.

In Group D, two patients with drug-sensitive EGFR mutations did not receive EGFR-TKIs: one patient refused EGFR-TKI treatment and detection of mutations was posthumous in the other patient.

Association between total duration of chemotherapy and hospitalization, by docetaxel and EGFR-TKI treatment status

Figure 3 shows a scatter plot of the correlation between total duration of chemotherapy and hospitalization according to docetaxel and EGFR-TKI treatment status. Median total duration of hospitalization was 162 days (range 19–793), and median total duration of chemotherapy

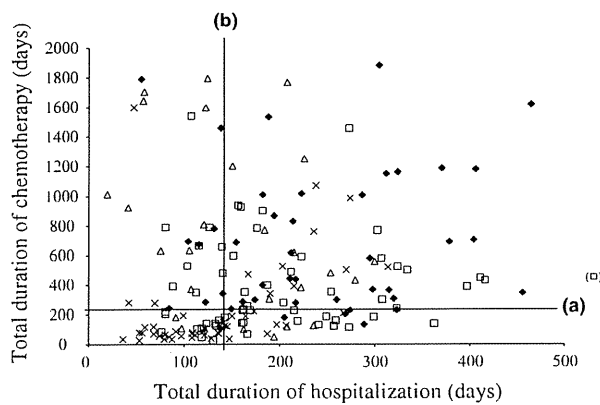
**Table 4** Patient characteristics by docetaxel and EGFR-TKI treatment status

Clinical variables	Group			
	A Doc (+), TKI (+)	B Doc(+), TKI (-)	C Doc (-), TKI (+)	D Doc (-), TKI (-)
Number of patients	42	61	29	53
Sex				
Male/female	23/19	56/5	10/19	48/5
Median age (range)	63 (40–81)	65 (38–85)	68 (33–84)	67 (39–86)
PS				
0/1/2/3–4	10/27/4/1	12/40/9/0	6/22/1/0	10/32/8/3
Smoking status				
Never/ever	27/15	4/57	19/10	5/48
Histology				
Ad/non-Ad	40/2	43/18	29/0	38/15
Stage				
Postoperative recurrence	6	16	5	7
Others	36	45	24	46
Best response to first-line treatment				
PR/SD	19/14	26/22	14/6	19/16
PD/NE	4/5	4/9	5/4	12/6
EGFR mutation status				
Drug-sensitive mutations	8	0	12	2
Wild-type or other mutations	6	15	2	5
Unknown	28	46	15	46
Number of regimens				
Median (range)	4 (3–12)	3 (1–8)	2 (1–7)	1 (1–5)

*Doc* docetaxel, *TKI* epidermal growth factor receptor tyrosine kinase inhibitor, *Ad* adenocarcinoma, *PS* performance status, *PR* partial response, *SD* stable disease, *PD* progression disease, *NE* not evaluable, *EGFR* epidermal growth factor receptor

was 287 days (range 28–1815). Patients in Group C had a tendency toward a longer total duration of chemotherapy and shorter hospitalization. By contrast, among patients in

Group D, total duration of chemotherapy was shorter and hospitalization was longer. Even when total duration of chemotherapy was longer, however, total duration of hospitalization did not proportionally increase and, with the exception of one patient, did not exceed 500 days.



**Fig. 3** Scatter plot of total duration of chemotherapy and hospitalization classified by docetaxel and EGFR-TKI treatment status. Lines (a) and (b) indicate median duration of total treatment (287 days) and hospitalization (162 days), respectively. Even when total duration of chemotherapy was longer, however, total duration of hospitalization did not proportionally increase. *Closed diamond*, *open square*, *open triangle* and *cross* indicates a patient for Group A, Group B, Group C and Group D, respectively. *Open square in parentheses* indicates a patient for whom the total duration of chemotherapy and hospitalization were 471 and 793 days, respectively

**Discussion**

In this retrospective study, we examined the associations between OS and clinical variables in patients with advanced NSCLC who received at least one dose or course of outpatient chemotherapy in our institution. Patients receiving outpatient chemotherapy had long median OS of 22.3 months, which was similar to that observed in NSCLC patients with drug-sensitive EGFR mutations in the IPASS trial [24].

In our institution, most NSCLC patients who received outpatient chemotherapy were treated with non-platinum monotherapy until disease progression. Subsequently, many patients received long-term chemotherapy comprising multiple cycles and regimens. Multivariate analysis showed that PS, postoperative recurrence, best response to first-line chemotherapy, and treatment with docetaxel were significantly associated with longer OS. Despite the

limitations inherent to the retrospective design of this study, it is important to perform such studies as preparation for prospective studies. Weiss et al. [25] reported the impact of induction chemotherapy on response to second-line chemotherapy, in patients with advanced NSCLC. In multivariate analysis, they noted that sex, stage, PS, and best response to first-line chemotherapy were significantly associated with OS, results which are corroborated in our study. Thus, best response to first-line chemotherapy appears to be critical for long survival. Patients with advanced NSCLC are now being treated with increasingly effective regimens, including those with bevacizumab or pemetrexed as first-line chemotherapy. We expect that best response to first-line chemotherapy will continue to substantially increase and that subsequent improvements in chemotherapy will continue to prolong survival in patients with advanced NSCLC.

A recent meta-analysis of randomized trials showed that long-term chemotherapy that persisted beyond a standard number of cycles was associated with a clinically relevant and statistically significant improvement in PFS and a clinically modest but statistically significant improvement in OS [26]. Maintenance chemotherapy has also been recently recognized as a long-term chemotherapy options [7–9]. In maintenance therapy trials of gemcitabine and pemetrexed in patients with NSCLC [7, 8], PFS was significantly longer in patients who received gemcitabine or pemetrexed than in those receiving best supportive care or placebo. OS was significantly longer in patients who received pemetrexed and in those receiving gemcitabine who had a high baseline Karnofsky PS (>80). In a phase III study [9], Fidas et al. compared docetaxel given immediately as maintenance therapy after 4 cycles of carboplatin plus gemcitabine with docetaxel given after disease progression as second-line chemotherapy. A statistically significant improvement in PFS and an increase in OS were observed in patients who received maintenance docetaxel. Thus, numerous studies suggest that long-term chemotherapy prolongs survival in patients with advanced NSCLC. In Japan, medical insurance system allows patients to receive a variety of approved anti-cancer agents, which facilitates the use of long-term chemotherapy regimens and approved genetic testing such as EGFR mutation analysis. Such system may prolong survival in Japanese patients with advanced NSCLC.

In the present study, docetaxel was significantly associated with longer OS in multivariate analysis. Because docetaxel was frequently used in multi-cycles chemotherapy in each line with long-term SD or PR, docetaxel would be associated with longer OS. Docetaxel may be a particularly important anti-cancer agent in prolonging survival in patients with advanced NSCLC. Hanna et al. reported that pemetrexed and docetaxel conferred equal survival benefit

as second-line regimens in patients with NSCLC, although pemetrexed was more convenient and less toxic [27]. However, Vergnenegre et al. reported that pemetrexed was less cost-effective than docetaxel as second-line chemotherapy in patients with advanced NSCLC [28]. Thus, docetaxel is likely to be suitable for long-term chemotherapy due to its equal efficacy and high cost-effectiveness.

In the present study, select patients who responded to EGFR-TKIs showed markedly longer survival when therapy was continued until disease progression. Since June 2007, EGFR-testing has been available for all patients with lung cancer in Japan. Thus, most patients with drug-sensitive EGFR mutations are now appropriately treated with EGFR-TKIs as first- or second-line therapy. Such improvements will further prolong survival in patients with advanced NSCLC. Even when patients who responded to EGFR-TKIs received these agents for an extended period of time, total duration of hospitalization did not increase. It is therefore reasonable to assume that personalized, targeted agents, such as EGFR-TKIs, will decrease rather than increase treatment costs.

Although there was not a statistically significant association between OS and non-platinum monotherapy regimens other than docetaxel, some patients who respond to a particular regimen might have longer survival with multiple cycles. To maximize survival, it is important that patients not only show response to first-line chemotherapy, but also continue an effective regimen until disease progression. This may not always result in higher treatment costs, as long-term chemotherapy did not prolong total duration of hospitalization in our study. Long-term chemotherapy with third-generation agents such as docetaxel and others likely prolongs survival at lower cost in comparison with recent ones such as bevacizumab and pemetrexed, which are costly. We anticipate prospective trials of the efficacy and cost-effectiveness for NSCLC.

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## The PCR-invader method (structure-specific 5' nuclease-based method), a sensitive method for detecting EGFR gene mutations in lung cancer specimens; comparison with direct sequencing

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### Abstract

**Background** Several sensitive assays, including the PCR-invader method (structure-specific 5' nuclease-based method), have been used to detect EGFR mutations in non-small-cell lung cancer (NSCLC). However, validation has not been reported. We assessed the detection rate of EGFR mutation by the PCR-invader method and direct sequencing using same clinical specimens.

**Patients and methods** EGFR mutations were analyzed with the PCR-invader method and compared with direct sequencing using paraffin tissues and pleural and pericardial effusions from NSCLC patients. The relationships between the treatment responses and mutations were evaluated retrospectively.

**Results** Fifty-four samples from 42 NSCLC patients were studied. EGFR mutations were identified in 52% of the patients and 52% of the samples with the PCR-invader method, but only in 43% of the patients and in 35% of the samples by direct sequencing. In the samples obtained from the same patients at different sites and different times, EGFR mutations were coincident in nine out of ten patients

by the PCR-invader method but in six out of ten patients by direct sequencing. Seventeen patients with EGFR mutations were treated with gefitinib; the response rate (RR) and disease control rate (DCR) were 41 and 94%, and median treatment duration was more than 6 months. Seven EGFR mutation-negative patients were treated with gefitinib; the RR and DCR were 0 and 14%, and median treatment duration was 1 month.

**Conclusion** The PCR-invader method was useful for detecting EGFR mutations in clinical lung cancer specimens and is more sensitive than direct sequencing.

**Keywords** EGFR mutation · Non-small-cell lung cancer · PCR-invader method · Direct sequencing · Validation · Gefitinib

### Introduction

Lung cancer is the leading cause of cancer death in the world today. Recent efforts, including large-scale DNA sequencing, indicate that activating mutations in EGFR, BRAF, PI3K, and K-ras genes are generally non-overlapping and identifiable in approximately 40% of non-small-cell lung cancer (NSCLC) [1–4]. EGFR mutation has been reported as a very important factor in decision-making for treatment of NSCLC [3–6]. A recent prospective randomized phase III study (IPASS study) revealed the superiority of gefitinib (an EGFR inhibitor) to standard platinum-based treatment for first line treatment of lung adenocarcinoma, and documented a significantly higher response rate (RR) of 71.2% in EGFR mutation-positive patients but an extremely low RR of 1.1% in mutation-negative patients [5].

Several different EGFR mutation-detection methods are used in daily practical settings and laboratories [7–11], but

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standardization and validation of these methods are needed [12]. The PCR-invader assay (serial invasive signal amplification reaction with structure-specific 5' nuclease using PCR product) is a sensitive method with which to detect gene mutations such as SNPs [13–17]. To compare the detection rate of EGFR mutation with the PCR-invader method and direct sequencing, which is the current standard [12], we analyzed clinical samples from NSCLC patients.

## Patients and methods

Patients with NSCLC whose specimens were available for DNA extraction were eligible. From May 2007 to August 2008, 42 patients provided written informed consent at Yokohama Municipal Citizen's Hospital. Approval for the study was obtained from the institutional review board. Specimens (archived paraffin-embedded tissues, pleural effusions, and pericardial effusions) were obtained by surgery, transbronchial lung biopsy (TBLB), lymph node biopsy, or effusion drainage. DNA was extracted using a QIAamp DNA Mini Kit (Qiagen, California, USA). In cases with paraffin-embedded specimens, DNA was extracted with gross dissection with confirmation of adjacent slices having enough (at least 70% of surface area) cancer cells. DNA extraction, the PCR-invader method, and direct sequencing were performed by BML (Tokyo, Japan).

EGFR exons 18, 19, 20, and 21 were amplified by polymerase chain reaction (PCR) using specific primers (Table 1) with Ex *Taq* polymerase (Takara Bio, Shiga, Japan). PCR reaction was performed with the cycles: 94°C 2 min, 96°C 10 s, 65°C 30 s for 50 cycles, 72°C 7 min, 95°C 5 min, 4°C: hold. EGFR mutations were analyzed using the PCR-invader method (Fig. 1) [13–17] and the results were compared with those of direct sequencing. Briefly, in the initial reaction of the PCR-invader method, the target nucleic acid, invader-oligo, and signal probe form a three-dimensional invader structure. A highly specific enzyme (cleavase) recognizes the structure and cleaves the flap portion. In the second reaction, the released flap hybridizes with FRET-probe to make a three-dimensional structure as in the first reaction, and cleavase cleaves it to produce a fluorophore whose signal can be measured [13–17]. The PCR-invader method can only detect known mutations (exon 18: G719A/C/S, exon 19: deletion, exon 20: S768I, exon 21: L858R·L861Q, and known resistant mutation exon 20: T790M) by using probes specific to those mutations (Table 1). The “exon 19 deletion” mutations are in fact several types. The invader assay probes (Table 1) used here for the PCR-invader method are specially constructed to detect three types of “exon 19 deletion” mutations:

E746-A750del type1 (DEL1; 2235-2249del GGAATTAAG AGAAGC), E746-A750del type2 (DEL2; 2236-2250del GAATTAAGAGAAGCA), and L747-P753del insS (INS-S). There are other mutations with the “exon 19 deletion”, although the frequency is not high. To ensure detection of other types of “exon 19 deletion” mutations, the PCR-invader method included electrophoresis of exon 19 PCR products in the clinical setting. If there were several bands suggesting “exon 19 deletion” but the invader assay probes did not detect the three types of “exon 19 deletion”, sequencing followed to elucidate the exact deletion.

Direct sequencing was performed using purified PCR products with a BigDye terminator sequencing kit (Ver. 1.1; Applied Biosystems, California, USA). Sequencing was carried out with the primers as indicated in Table 1. Sequencing was confirmed with forward and reverse reactions. An ABI Prism 3130xl genetic analyzer (Applied Biosystems) was used for analysis.

In patients treated with an EGFR-tyrosine kinase inhibitor (EGFR-TKI), the relationships between the responses (according to RECIST criteria [18]) and EGFR gene mutations were evaluated retrospectively.

To show the sensitivity of the PCR-invader method, sensitivity assay was done using cell lines with known EGFR mutation status, H1650 (exon 19 deletion: DEL1), H1975 (L858R and T790 M), and SK-MES-1 (wild type) [8, 19]. These cell lines were purchased from ATCC. Cell lines were mixed with different ratio, H1650 and SK-MES-1: 0:1, 0.5:0.5, 0.1:0.9, 0.01:0.99, 0.001:0.999, and 1:0; H1975 and SK-MES-1: 0:1, 0.5:0.5, 0.1:0.9, 0.01:0.99, 0.001:0.999, and 1:0. These cell mixtures were sent to BML (Tokyo, Japan) for the analysis of EGFR mutation by PCR-invader method. BML were not aware of the composition of the cell mixtures.

## Results

### Characteristics of patients and samples

The median age of the 42 patients was 65 years (range 33–82) (Table 2). All of the patients were Japanese. The histology was adenocarcinoma in 90% of patients, squamous cell carcinoma in 7% of patients. The samples used were archived paraffin-embedded tissues ( $n = 49$ ), pleural effusion specimens ( $n = 4$ ), and pericardial effusion specimens ( $n = 1$ ).

### EGFR mutation by the PCR-invader method

EGFR mutations were detected in 22 patients ( $n = 22/42$ : 52%) and in 28 samples ( $n = 28/54$ : 52%) by use of the PCR-invader method. Eleven patients (50%) had an exon

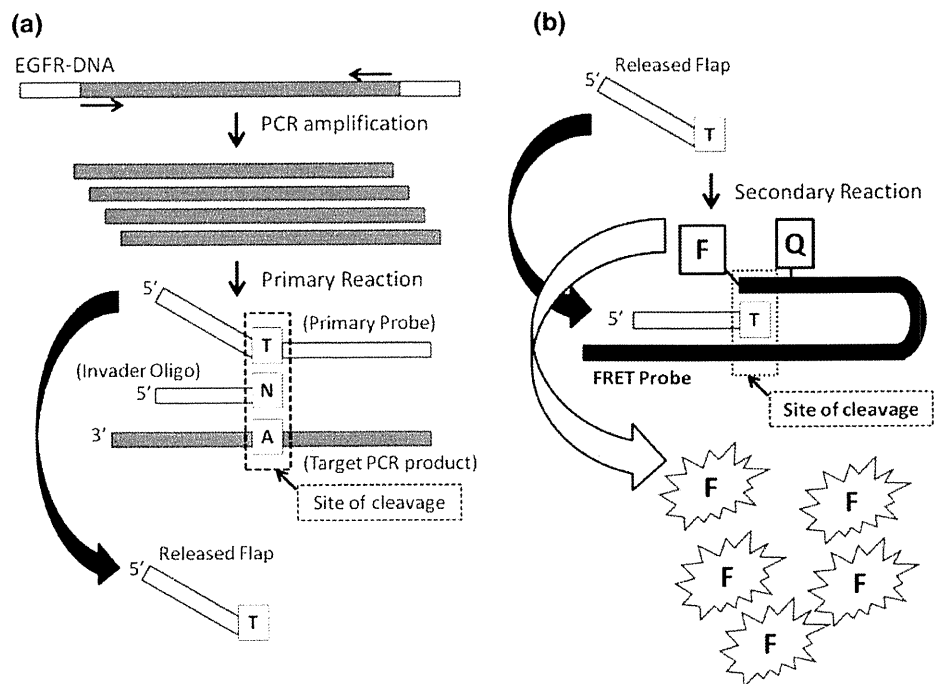
**Table 1** Primers

Lesion	Name	Sequence	
<b>PCR primers</b>			
Exon 18	EGFRex18-F	GAGAAGCTCCCAACCAAGCTC	
	EGFRex18-R	CAGGGACCTTACCTTATACACCG	
Exon 19	EGFRex19-F	GTCATAGGGACTCTGGATCCCA	
	EGFRex19-R	CAGCAAAGCAGAACTCACATCG	
Exon 20	EGFRex20-F	GCCTCTCCCTCCCTCCAGGAAG	
	EGFRex20-R	CCGGACATAGTCCAGGAGGCA	
Exon 21	EGFRex21-F	CAGCCAGGAACGTACTGGTG	
	EGFRex21-R	CCACCTCTTACTTTGCCTCC	
Lesion	Name	Sequence	Modification
<b>Invader assay probes (primer mixture)</b>			
G719A	sG719A_S1f	CGCGCCGAGGCCAGCACTTTGATCTT	3' Amination
	sG719A_S2r	ACGGACGCGGAGGCCAGCACTTTGATCTT	3' Amination
	sG719A_Inv	ACCGTGCCGAACGCACCGGAGT	
G719C	sG719C_S1f	CGCGCCGAGGCCAGCACTTTGATCTTTTT	3' Amination
	sG719C_S2r	ACGGACGCGGAGACAGCACTTTGATCTTTTTG	3' Amination
	sG719C_Inv	CGTGCCGAACGCACCGGAGCT	
G719S	asG719S_S1f	CGCGCCGAGGGGCTCCGGTGCG	3' Amination
	asG719S_S2r	ACGGACGCGGAGAGCTCCGGTGCG	3' Amination
	asG719S_Inv	CTTGAGGATCTTGAAGGAACTGAATTCAAAA AGATCAAAGTGCTGT	
"E746-A750del type1"	s746-del1_S1f	CGCGCCGAGGTGCTTCTCTTAATTCCTTGAT	3' Amination
	s746-del1_S2r	ACGGACGCGGAGTTTGATAGCGACGGGA	3' Amination
	s746-del1_Inv	CATCGAGGATTTCTTGTGGCTTTCCGAGATGTC	
"E746-A750del type2"	s746-del2_S1f	CGCGCCGAGGTTGCTTCTCTTAATTCCTTGA	3' Amination
	s746-del2_S2r	ACGGACGCGGAGTCTTGATAGCGACGGG	3' Amination
	s746-del2_Inv	ACATCGAGGATTTCTTGTGGCTTTCCGAGATGC	
"L747-P753del insS"	as747-delinsS_S1f	CGCGCCGAGGTTAAGAGAAGCAACATCTCC	3' Amination
	as747-delinsS_S2r	ACGGACGCGGAGTCGAAAGCCAACAAGG	3' Amination
	as747-delinsS_Inv	CAGAAGGTGAGAAAGTTAAAATCCCCGTCG CTATCAAGGAAC	
S768I	sS768I_S1f	CGCGCCGAGGCTGGCCATCACGTAG	3' Amination
	sS768I_S2r	ACGGACGCGGAGATGGCCATCACGTAGG	3' Amination
	sS768I_Inv	GGCACACGTGGGGTTGTCCACGT	
T790M	sT790M_S1f	CGCGCCGAGGGGTGATGAGGTGCACGGTG	3' Amination
	sT790M_S2r	ACGGACGCGGAGATGATGAGGTGCACGGTG	3' Amination
	sT790M_Inv	GCAGCCGAAGGGCATGAGCTGCT	
L858R	asL858R_S1f	CGCGCCGAGGTGGCCAAACTGCTG	3' Amination
	asL858R_S2r	ACGGACGCGGAGGGGCCAAACTGCTG	3' Amination
	asL858R_Inv	CCGACGATGTCAAGATCACAGATTTGGGCC	
L861Q	sL861Q_S1f	CGCGCCGAGGAGTTTGCCAGCC	3' Amination
	sL861Q_S2r	ACGGACGCGGAGTGTGTTGGCCAGCC	3' Amination
	sL861Q_Inv	GCATGGTATTCTTCTCTCCGCACCCAGCC	
Lesion	Name	Sequence	
<b>Sequencing primers</b>			
Exon 18	EGFR18F	CATGCCGTGGCTGCTGGTCC	
	EGFR18R	AGTAGATGATGGAAATATACAGCTTGCA	

**Table 1** continued

Lesion	Name	Sequence
Exon 19	EGFR19F	CAGATCACTGGGCAGCATGT
	EGFR19R	AGAGCAGCTGCCAGACATGA
Exon 20	EGFR20F	CCCTCCTTCTGGCCACCATGC
	EGFR20R	CCATGGCAAACCTTTGCTATCC
Exon 21	EGFR21F	AGAGCTTCTTCCCATGATGATCTG
	EGFR21R	ACAGCTAGTGGGAAGGCAGC

**Fig. 1** Schematic illustration of the EGFR-invader method. Target DNA is amplified by multiplex PCR. During the primary reaction, an invader oligo and a matched primary probe are annealed to the target PCR product, overlapping at the mutation position (in this case “A”). The cleavase enzyme recognizes this three-dimensional structure and releases the 5' flap. If the primary probe does not match the mutation position, cleavase will not act and cleavage of the primary probe will not occur. In the secondary reaction, the 5' flap anneals to the FRET probe and the second cleavage reaction releases the fluorescent dye (Refs. [15–17]). *F* fluorescein, *Q* quencher



**Table 2** Baseline patients characteristics (*n* = 42)

Age	Median (range)	65 (33–82)
Sex	Male:female	15:27
Histology	Adeno:squamous:large	38:3:1
Smoking	Never:former:current:unknown	16:12:8:6

*Adeno* adenocarcinoma, *squamous* squamous cell carcinoma, *large* large-cell carcinoma

19 deletion, 10 patients (45.5%) an L858R point mutation, and 1 case (4.5%) a L861Q point mutation. All mutations detected in this study were gefitinib-sensitive mutations and none had the EGFR-TKI resistant T790M mutation.

Comparison of PCR-invader and direct sequencing methods

The PCR-invader method detected EGFR mutations in 5 patients with a negative result by direct sequencing

**Table 3** Comparison of PCR-invader method and direct sequencing for detection of EGFR mutation in 42 patients (a) and 54 samples (b)

	PCR-invader method	
	Mutation (+)	Mutation (–)
<b>(a) Patients, <i>n</i> (%)</b>		
Direct sequencing		
Mutation (+)	17 (40%)	1 (2%)
Mutation (–)	5 (12%)	19 (45%)
<b>(b) Samples, <i>n</i> (%)</b>		
Direct sequencing		
Mutation (+)	17 (31%)	1 (2%)
Mutation (–)	11 (20%)	25 (46%)

(Table 3). In contrast, direct sequencing detected a rare but known EGFR mutation (T847I) in one patient (2.4%) with a negative result by use of the PCR-invader method. Direct



sequencing detected EGFR mutations in 18/42 patients (43%) and 19/54 samples (35%).

#### Sex, smoking, and EGFR mutation status

EGFR mutations were detected in 19/27 females (70%) and in 4/15 males (27%) (Table 4). EGFR mutations were detected in 13/16 non-smokers (81%) and in 5/20 smokers (25%).

**Table 4** Relationship between EGFR mutation and sex (a) and smoking status (b)

Patients, <i>n</i> (%)	Mutation (+)	Mutation (–)
(a)		
Female	19 (45%)	8 (19%)
Male	4 (10%)	11 (26%)
(b)		
Never smoker	13 (36%)	3 (8%)
Smoker (current/former)	5 (1/4) (14%)	15 (7/8) (42%)

Mutation positive (+) means positive results from the PCR-invader method or from direct sequencing, or both

**Table 5** EGFR mutations with different specimens

Pt	Sex	Sample	Date	EGFR mutation			
				Mutation	PCR invader result	Sequencing result	K-ras
1	F	Surgery	March 1999	(+)	L858R	(–)	ND
		TBLB	January 2006	(+)	L858R	L858R	ND
		Supra clavicular LN	June 2007	(+)	L858R	(–)	ND
2	F	Pleural effusion	May 2007	(+)	E746-A750del type2	(–)	ND
		TBLB	October 2005	(+)	E746-A750del type2	E746-A750del type2	ND
3	M	Surgery (rt-upper lobe)	March 2007	(+)	L858R	(–)	ND
		Surgery (rt-middle lobe)	March 2007	(+)	L858R	(–)	ND
4	F	TBLB	September 2007	Wild type	(–)	(–)	ND
		Pleural effusion	November 2007	(+)	E746-A750del type1	E746-A750del type1	ND
5	F	TBLB	August 2007	(+)	L747-A750del insP	L747-A750del insP	ND
		Pleural effusion	January 2008	(+)	L747-A750del insP	L747-A750del insP	ND
6	F	Upper lobe (partial resection)	February 2007	Wild type	(–)	(–)	Wild type
		Lower lobe	February 2007	Wild type	(–)	(–)	Wild type
7	M	TBLB	September 2006	Wild type	(–)	(–)	ND
		Neck LN	September 2006	Wild type	(–)	(–)	ND
8	M	Surgery	September 2006	Wild type	(–)	(–)	Mutation (GAT)
		Partial resection	May 2007	Wild type	(–)	(–)	Mutation (GAT)
9	M	TBLB	December 2007	Wild type	(–)	(–)	ND
		Pericardial effusion	January 2008	Wild type	(–)	(–)	ND
10	M	TBLB	December 2007	Wild type	(–)	(–)	ND
		Brain metastasis	January 2008	Wild type	(–)	(–)	ND

Pt patient, date the time the samples were taken from patients, mutation final decision from both PCR-invader result and sequencing result, (+) mutation positive, (–) EGFR wild type, ND not determined

#### EGFR mutation search with different specimens

EGFR mutations detected by use of the PCR-invader method were coincident at different sites and different times in nine out of ten patients (Table 5). In one patient, the primary lung biopsy sample was negative for EGFR mutation, but a pleural effusion sample obtained at the time of relapse was positive for EGFR mutation. More precisely, the PCR-invader method yielded the same results for 9 different samples from 4 EGFR mutation-positive patients, and for 10 samples from 5 EGFR mutation-negative patients. The reproducibility of different times and different sites was verified in most cases by use of the PCR-invader method.

On the other hand, direct sequencing furnished coincident results for different specimens for only one patient out of five EGFR mutation-positive patients.

#### Treatment with EGFR-TKI

Twenty-four patients were treated with EGFR-TKI (gefitinib) at some point during the treatment courses (from 1st line to 6th line) (Table 6). In the EGFR mutation-positive patients ( $n = 17$ ), RR was 41%, and the disease control

rate (DCR; PR + SD) was 94%. The range of treatment duration was 3 weeks to more than 20 months and the median treatment duration was more than 6 months. In the EGFR mutation-negative patients ( $n = 7$ ), RR was 0% and DCR was 14%. The range of treatment duration was 2 weeks to 2 months and the median treatment duration was 1 month. Patients with EGFR mutation were treated longer and had better disease control with EGFR-TKI.

#### Assessment of the sensitivity of the PCR-invader method

To show the sensitivity of the PCR-invader method, sensitivity was assessed by use of cell lines with known EGFR mutation status. As shown in Fig. 2, exon 19 deletion was detected in the cell line mixture of 1% (Fig. 2d) to 0.1 % (Fig. 2e) of a mutation-positive cell line (H1650). Also, L858R and T790M were detected in the cell line mixture of 1% (Fig. 2j) to 0.1 % (Fig. 2k) of a mutation-positive cell line (H1975), although with borderline threshold for

T790M in the 0.1% mixture (Fig. 2k). For the exon 19 deletion, the results were positive for both DEL1 and DEL2 in Fig. 2b and f, although the fluorescence level is much higher for DEL1. This suggests cross-reaction might be a problem for exon 19 deletion, especially for amounts of mutation-positive cells as high as 50% (Fig. 2b, f). According to the results, the PCR-invader method can detect the known EGFR mutation in lung cancer cells at 100–1000-fold dilution.

#### Discussion

The PCR-invader method detected EGFR mutations in clinical lung cancer specimens more effectively than direct sequencing. Direct sequencing detected a rare but known mutation in one patient. Most important mutations can be detected by use of the PCR-invader method. By use of the PCR-invader method, EGFR mutations were coincident in samples obtained from the same patients at different sites

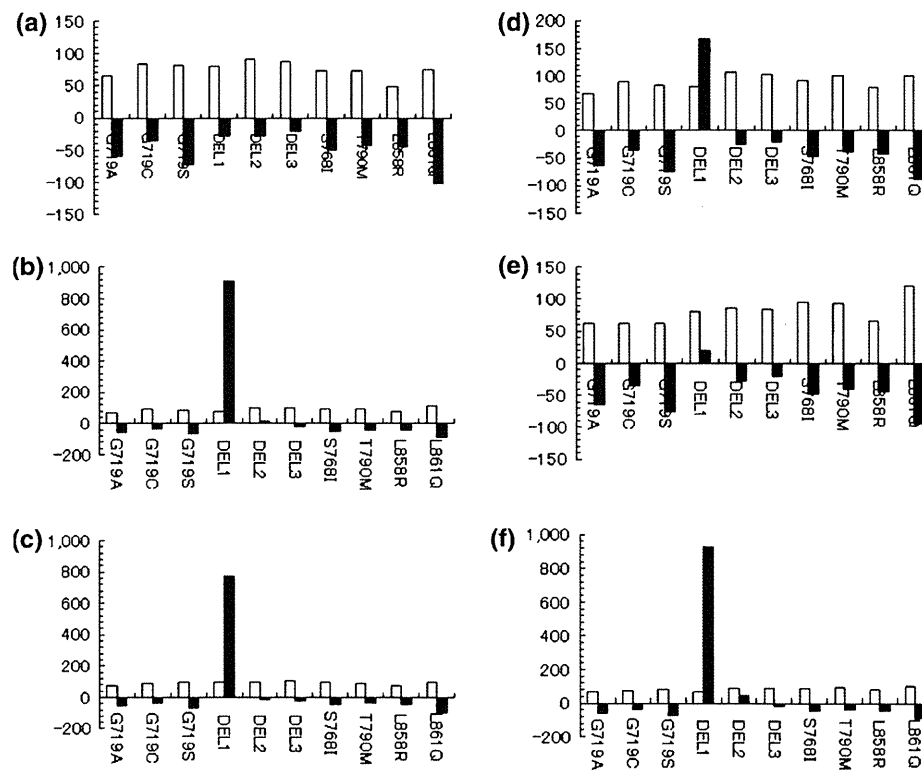
**Table 6** Comparison between EGFR mutation status and response to EGFR tyrosine kinase inhibitor

Pt	Sex	Histology	EGFR mutation	PCR-invader	Sequencing	EGFR-TKI	Response	Duration <sup>a</sup>
1	F	Ad	(+)	DEL1	DEL1	1st	PR	7M
2	F	Ad	(+)	DEL1	DEL1	2nd	PR	6M
3	M	Ad	(+)	DEL2	DEL2	2nd	PR	10M
4	F	Ad	(+)	L747-T751del <sup>b</sup>	L747-T751del	2nd	PR	7M
5	F	Ad	(+)	L858R	L858R	1st	PR	6M
6	F	Ad	(+)	L858R	L858R	2nd	PR	8M
7	F	Ad	(+)	L858R	L858R	5th	PR	3M
8	M	Ad	(+)	DEL1	(-)	4th	SD	20M
9	F	Ad	(+)	DEL2	(-)	3rd	SD	4M
10	F	Ad	(+)	DEL1	DEL1	1st	SD	7M
11	F	Ad	(+)	DEL1	DEL1	3rd	SD	4M
12	F	Ad	(+)	DEL2	DEL2	2nd	SD	21M
13	F	Ad	(+)	L858R	L858R	1st	SD	1.5M
14	F	Ad	(+)	L858R	L858R	2nd	SD	7M
15	M	Ad	(+)	L858R	L858R	6th	SD	4M
16	F	Ad	(+)	L861Q	L861Q	4th	SD	4M
17	F	Ad	(+)	del insP <sup>b</sup>	del insP	2nd	PD	0.75M
1	M	Ad	(-)			2nd	PD	0.75M
2	F	Ad	(-)			2nd	PD	1M
3	F	Sq	(-)			2nd	PD	1M
4	F	Ad	(-)			3rd	PD	0.5M
5	M	Ad	(-)			3rd	PD	0.5M
6	M	Ad	(-)			3rd	PD	1M
7	M	Sq	(-)			3rd	SD	2M

Ad adenocarcinoma, Sq squamous cell carcinoma, EGFR-TKI the line of the chemotherapy when the EGFR-TKI was used, DEL1 E746-A750del (2235-2249del GGAATTAAGAGAAGC), DEL2 E746-A750del (2236-2250del GAATTAAGAGAAGCA), del insP L747-A750del insP

<sup>a</sup> Treatment duration with the EGFR-TKI (months)

<sup>b</sup> Detected on the basis of multiple bands in electrophoresis of PCR product, and after sequencing



**Fig. 2** Sensitivity assay of the PCR-invader method. Sensitivity assay was done using cell lines with known EGFR mutation status, H1650 (exon 19 del: DEL1), H1975 (L858R and T790M), and SK-MES-1 (wild type) (Refs. [8, 19]). Cell lines were mixed in different ratios. For **a** to **f**, H1650 and SK-MES-1 were mixed 0:1, 0.5:0.5, 0.1:0.9, 0.01:0.99, 0.001:0.999, and 1:0. For **g** to **i** H1975 and SK-MES-1 were mixed 0:1, 0.5:0.5, 0.1:0.9, 0.01:0.99, 0.001:0.999, and 1:0. *White squares* show quality control and a value above zero means PCR quality is good: the value = (fluorescence of the samples with detection probe for wild type sequences) – ((fluorescence of the

normal control with the same probe] × 0.8). Values of *solid squares* above zero means positive results with the detection probe for mutation sequences excluding false positives: the value = (fluorescence of the samples with detection probe for mutation sequences) – ((fluorescence of the normal control with the same probe] × 2). To exclude false positivity, this criterion was introduced and used in clinical laboratories. Normal control is the mixture of white blood cell DNA from normal human volunteers. According to the results, PCR-invader methods could detect a known EGFR mutation of lung cancer cell lines diluted 100 to 1000-fold

and different times in nine out of ten patients. Time-independent and site-independent reproducibility were verified. By using the EGFR-invader method, we can only detect known targeted mutations. However, most of the important mutations are covered and other studies such as the IPASS study mainly targeted the major mutations [5].

It is not possible to draw any definite conclusions from the current results regarding the effect with EGFR-TKI, because the study design was not prospective. The RR of our study with mutation-positive patients was relatively low (41%) compared with reported clinical trials (RR 71.2–95%) [20–25]. Several possible reasons for this are the heterogeneity of our patients including treatment line (from 1st line to 6th line), and the fact it was a non-prospective study, which means evaluation to decide a RECIST response may not be sufficient. However, the DCR in this study (94%) was almost the same as that in recent reports (81–96.5%) [5, 20–25]. This higher DCR and longer treatment duration compared with EGFR mutation-

negative patients suggested these are meaningful differences and that there are biological differences between these two groups classified according to the presence of EGFR mutation. EGFR mutation detected by the PCR-invader method can be a predictive marker of the effect with EGFR-TKI.

As shown in Table 6, association between results of treatment with EGFR-TKI and EGFR mutation status by the two methods (i.e. PCR-invader/direct sequencing, +/+ vs. +/-) are not evident. There are two EGFR mutation +/- (invader +, direct sequencing -) patients with the treatment effect of SD treated for 4 and 20 months with EGFR-TKI. On the other hand, 15 patients with EGFR mutation +/+ (invader +, direct sequencing +) were treated with EGFR-TKI and resulted in 7 PR, 7 SD, and 1 PD. Because the patients with EGFR mutation +/- had reasonable results (SD) with EGFR-TKI, it seems the EGFR mutation results with PCR-invader method are predictive of treatment.

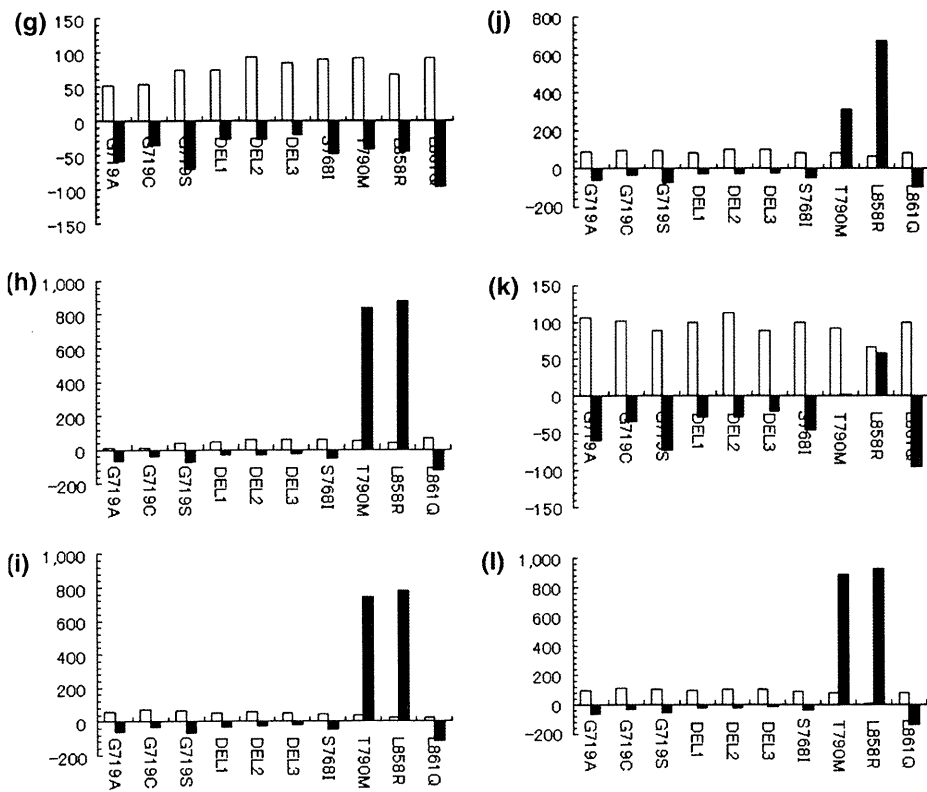


Fig. 2 continued

There are several limitations in this study. First, the work was carried out at a single institution in Japan. Samples were collected for the purpose of general clinical practice and some samples were several years old, so sample quality may be heterogeneous. No one knows the “true” positive rate for EGFR mutation in our samples. Treatments were also heterogeneous and were not prospectively planned. Even though there were sample-quality issues, we were able to detect a fairly reasonable occurrence of mutation in this study. In cases with negative results, the possibility of false negative (because of quality of samples, etc.) should be evaluated, but positive results obtained by this method should be regarded as truly positive.

One of the issues regarding the sensitivity of mutation detection with archived specimens is the quality of the formalin-fixed samples. Previous reports suggested the feasibility of combining conventional DNA extraction and the PCR-invader method using formalin-fixed paraffin wax tissues [26]. In our hands, we could not elucidate the direct effect of formalin fixation on the quality of DNA and the sensitivity of mutation detection method, even though we used the same samples with the PCR-invader method and with direct sequencing. Further study is warranted to clarify the direct effect of formalin fixation on the sensitivity of the PCR-invader method and direct sequencing.

Exon 20 insertion was not detected by the PCR-invader method, because the PCR primers were not constructed to detect those mutations. Exon 20 insertion is thought to be a resistant mutation [27]. In a review paper, the frequency reported is approximately 3% [28]. On the other hand, in the phase III study with 1st line gefitinib treatment, EGFR mutation was checked by the ARMS method, and no exon 20 insertion was detected in 132 EGFR mutation-positive patients (IPASS trial) [5]. In recent phase III studies done in Japan with 1st line gefitinib against EGFR mutation-positive NSCLC [29, 30], the targeted patients were mainly exon 19 deletion and L858R without T790M resistant mutation. Neither study has counted the exon 20 insertion mutation. One study used results from several detection methods including the PCR-invader method [29]. Exon 20 insertion may be important but from those large scale data and clinical trials, in treatment decision making with EGFR-TKI, the inclusion of exon 20 insertion detection does not seem to be mandatory.

For detection of “exon 19 deletion”, it is very important that the “exon 19 deletion” are in fact several types as mentioned in “Patients and methods”. In the 11 patients with “exon 19 deletion”, 8 patients were diagnosed as DEL1 and DEL2 and none had INS-S. One patient was diagnosed as DEL2 by the PCR-invader method, but the sequencing results revealed the exact mutation was

**Table 7** Frequency of different types of EGFR exon19 deletion

Repts	Current report PCR-invader n = 11	Tanaka (Cancer Sci 2007) PNA-LNA-PCR clamp n = 29	Sequist (JCO 2008) Sequencing n = 18	Gow (Ann Oncol 2008) Sequencing n = 15	Shigematsu (JNCI 2005) Sequencing n = 62	Tamura (Br J Cancer 2008) [28] Sequencing n = 14	Total n=149
<b>Mutation type</b>							
E746-A750del	8 (72.7%)	20 (69.0%)	14 (77.8%)	9 (60.0%)	42 (67.7%)	10 (71.4%)	103 (69.1%)
L747-P753del insS	0 (0%)	3 (10.3%)	1 (5.6%)	1 (6.7%)	3 (4.8%)	1 (7.1%)	9 (6.0%)
L747-T751del	1 (9.1%)	1 (3.4%)	1 (5.6%)	2 (13.3%)	4 (5.6%)	2 (14.3%)	11 (7.4%)
E746-T751del insA	1 (9.1%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (7.1%)	2 (1.3%)
L747-A750del insP	1 (9.1%)	2 (6.9%)	1 (5.6%)	0 (0%)	3 (4.8%)	0 (0%)	7 (4.7%)
Others	0 (0%)	3 (10.3%)	1 (5.6%)	3 (20.0%)	10 (16.1%)	0 (0%)	17 (11.4%)

Values given are number of patients (%)

E746-T751del insA, one base different from DEL2. Two other patients had L747-T751del and L747-A750del insP, those were noticed by electrophoresis of the exon 19 PCR product with negative results with the invader assay probes specific to the three “deletion” mutations. Table 7 shows the frequency of different types of exon 19 deletion from several papers. Summation of these reports revealed that the percentage of the same exon 19 deletions detected in this study was 88.6%. Even with other exon 19 deletions which were not seen in our patients, the PCR-invader method with electrophoresis and subsequent sequencing may enable us to check other rare mutations.

It is very important to address false positivity in highly specific techniques. Basically, the PCR-invader method has always been performed with positive and negative controls for quality assurance. Furthermore, detection was conducted with pre-specified thresholds as follows. If the fluorescence of the sample with the mutation detection invader probe was more than twice that of normal control DNA with same probe, the samples were regarded as mutation positive (as shown in Fig. 2). To exclude false positivity, this criterion was introduced and has been used in general practice in Japan. In the sensitivity assay, average of fluorescence values of normal control DNA with mutation detection invader probe were 21–89 according to the probes (data not shown). On the other hand, actual fluorescence values of sample with mutation detection invader probe were approximately 1000–100. For example, in the sensitivity assay with H1975 cell line (Fig. 2g–l) the actual fluorescence values of the sample with L858R probe were 1013–142.5, and that with T790M were 966–79.5 according to the mixture rate with SK-MES-1. In contrast, normal control values were 42.5 for L858R and 39.0 for T790M. So the actual values were always more than twice as high as controls in this situation with up to 1:1000 cell mixture (Fig. 2). Even though we could not eliminate the possibility of contamination, for example sample carry over, the above mentioned threshold seems good enough to eliminate the non-specific false positive results.

Our results with relatively low RR might be because of hidden mutation (for example resistant mutation of exon 19 ins), and/or other clinical factors. We believe that the most likely reasons are the retrospective nature of this study and the patients’ characteristics (mainly heavily treated patients). Further validation study in a prospective setting is warranted.

Several different sensitive EGFR mutation-detection methods are available in clinics in Japan. Direct comparisons of these sensitive methods are needed. However, on the basis of the results of this study, which compared the PCR-invader method and direct sequencing, which is the “current standard”, the former can be regarded as a standard and reliable sensitive method.

In conclusion, the PCR-invader method detected EGFR mutations in clinical lung cancer specimens more effectively than direct sequencing. Time-independent and site-independent reproducibility was verified.

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**Conflict of interest** No author has any conflict of interest.

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Original Articles

## Phase II Study of Gefitinib as a First-line Therapy in Elderly Patients with Pulmonary Adenocarcinoma: West Japan Thoracic Oncology Group Study 0402<sup>†</sup>

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**Objective:** Elderly patients prefer to receive less-toxic therapy. Monotherapy using drugs such as vinorelbine, gemcitabine or docetaxel is a preferable chemotherapy in elderly patients with advanced non-small-cell lung cancer. Gefitinib shows remarkable efficacy in patients with advanced non-small-cell lung cancer, who have activating epidermal growth factor receptor mutations. Adenocarcinoma histology is related to these mutations. Therefore, we conducted a phase II study of gefitinib as a first-line therapy in elderly patients with pulmonary adenocarcinoma.

**Methods:** Eligible patients were 70 years or older, had pulmonary adenocarcinoma, stage IIIB or IV disease, an Eastern Cooperative Oncology Group performance status of 0–2 and adequate organ functions. Patients were treated with oral gefitinib 250 mg daily until disease progression or unacceptable toxicity.

**Results:** Thirty-one patients were enrolled, of whom 30 were eligible. The median age was 78.5 years. The response rate was 20%, the disease control rate was 47%, the median progression-free survival was 2.7 months and the median overall survival was 11.9 months. Narrowing it down to those who had never smoked, the response rate increased to 43%, the disease control rate increased to 57%, the median progression-free survival prolonged to 7.1 months and the median overall survival prolonged to 13.0 months. The most frequent toxicity was rash. Other major toxicities were diarrhea, anorexia, liver dysfunction and anemia. These toxicities were mild and easily managed.

**Conclusions:** Gefitinib as a first-line therapy is active and well tolerated in elderly patients with pulmonary adenocarcinoma, especially in those who have never smoked.

*Key words:* gefitinib – elderly – adenocarcinoma – non-small-cell lung cancer

<sup>†</sup>Presented in part at the 12th World Conference on Lung Cancer, Seoul, Korea, 2–6 September 2007, and the 12th Congress of the Asian Pacific Society of Respiriology, Queensland, Australia, 30 November to 4 December 2007.

## INTRODUCTION

Lung cancer is a leading cause of cancer death worldwide. According to the national Surveillance, Epidemiology and End Results (SEER) database of the USA, 14% of patients with lung cancer were 80 years or older, 33% were 70–79 years and 53% were younger than 70 years (1). Thus, one-half of patients with lung cancer are elderly. Elderly patients prefer to receive less-toxic therapy because they often have comorbidity, major organ functions are deteriorated by aging, and therapy often causes severe complication and toxicity. Several reports based on the SEER database showed that only one-third of elderly patients with advanced non-small-cell lung cancer (NSCLC) actually received chemotherapy (2–4). Development of a new chemotherapy that is suitable for elderly patients is necessary.

Platinum-based doublet chemotherapy is a standard therapy in patients with advanced NSCLC; however, elderly patients are often unsuitable for this toxic chemotherapy. Two Italian randomized trials, known as ELVIS (5) and MILES (6), showed that monotherapy using drugs such as vinorelbine or gemcitabine is a preferable chemotherapy in elderly patients. A phase III trial conducted by the West Japan Oncology Group, formerly named the West Japan Thoracic Oncology Group (WJTOG), showed that docetaxel monotherapy is also a standard chemotherapy in elderly patients (WJTOG 9904) (7).

Gefitinib, one of epidermal growth factor receptor (EGFR)-tyrosine kinase inhibitors, shows remarkable efficacy in patients with advanced NSCLC, who have activating EGFR mutations (8–11). Some clinical features, such as adenocarcinoma histology, a history of never smoking, female sex and Asian ethnicity, are related to these mutations (12). Lee et al. (13) reported excellent efficacies of gefitinib as a first-line therapy in patients with pulmonary adenocarcinoma, who had never smoked. They showed a response rate of 69%, a median progression-free survival (PFS) of 7.6 months and a 1-year rate of overall survival (OS) of 73%. However, as the median age was 51 years in Lee's study, the efficacy and safety of gefitinib in elderly patients were unclear.

Therefore, we conducted a phase II study of gefitinib as a first-line therapy in elderly patients with pulmonary adenocarcinoma (WJTOG 0402).

## PATIENTS AND METHODS

### PATIENT SELECTION

Eligible patients were 70 years or older, had histologically or cytologically proved pulmonary adenocarcinoma, stage IIIB that was unsuitable for radical thoracic radiation or stage IV disease, an Eastern Cooperative Oncology Group performance status of 0–2, no prior chemotherapy, measurable lesions and adequate organ functions [white blood cell (WBC)  $\geq 3000/\mu\text{l}$ , hemoglobin  $\geq 9$  g/dl, platelet  $\geq 100\,000/\mu\text{l}$ , aspartate

aminotransferase and alanine aminotransferase  $\leq 100$  IU/l, total bilirubin  $\leq 1.5$  mg/dl, creatinine  $\leq 1.5$  mg/dl, arterial partial pressure of oxygen  $\geq 60$  mmHg]. Patients with interstitial pneumonitis or pulmonary fibrosis detected by computed tomography (CT) of chest were excluded.

All patients gave written informed consent.

### TREATMENT

Patients were treated with oral gefitinib 250 mg daily until disease progression or unacceptable toxicity. If grade 3 toxicity was observed, gefitinib was interrupted up to 14 days and then resumed at 250 mg every other day, i.e. dose reduction, after improvement of the toxicity. If grade 1 or worse interstitial lung disease (ILD), or other grade 4 toxicity was observed, gefitinib was stopped.

### RESPONSE AND TOXICITY EVALUATION

Before treatment, a complete medical history was obtained and physical examination was performed. The following examinations were conducted: complete blood count (CBC) with differential WBC count, blood chemistry, arterial blood gas analysis, pulse oximetry and electrocardiography. Staging procedures consisted of chest X-ray, CT of chest and upper abdomen, magnetic resonance imaging (MRI) or CT of brain, and bone scintigraphy. During treatment, CBC with differential WBC count, blood chemistry, pulse oximetry and chest X-ray were examined every 2 weeks, and CT and/or MRI for response evaluation once a month.

Response was evaluated according to the Response Evaluation Criteria in Solid Tumors (14). Extramural review of eligibility and response of all patients were performed. Toxicity was evaluated in accordance with the National Cancer Institute-Common Toxicity Criteria, version 2.0 (15).

### STATISTICAL ANALYSIS

The primary endpoint of this study was response rate. The secondary endpoints were disease control rate, PFS, OS and toxicity. The survival curves were drawn using the Kaplan–Meier method (16).

Assuming that a response rate of 30% would indicate potential usefulness, whereas a rate of 10% would be the lower limit of interest and with  $\alpha = 0.05$  (one side) and  $\beta = 0.20$ , 24 patients were required. Allowing for a 20% loss to follow-up, enrollment of a total of 30 patients was planned.

### STUDY DESIGN

This study was a multi-institution, prospective and single-arm phase II study. The study protocol was approved by the WJTOG and the institutional review boards at each participating institution. Patient registration, data monitoring and data analysis were performed at the Osaka Prefectural Medical Center for Respiratory and Allergic Diseases.



**RESULTS**

**PATIENT CHARACTERISTICS**

From December 2004 to December 2005, 31 patients were enrolled in this study from eight institutions. One patient was ineligible because of IIIA disease. The following analyses were based on 30 eligible patients.

Patient characteristics are listed in Table 1. More than half of the patients (53%) were female, the median age was 78.5 years and 14 patients (47%) had never smoked. All patients had adenocarcinoma histology including one bronchioloalveolar carcinoma feature. As we could not analyze EGFR mutation practically during the study period, EGFR mutation status was unknown in all patients.

**TREATMENT ADMINISTRATION**

The median treatment duration was 1.6 months with a range of 0.4–29.9 months. At the data cut-off (July 2007), one patient had been receiving gefitinib for 29.9 months. Dose reduction was done in six patients. Causes of stopping gefitinib were disease progression in 19 patients, no benefit over toxicity decided by the treating physician in 5 patients and patient request in 5 patients.

**Table 1.** Patient characteristics (*n* = 30)

Characteristic	No.	%
<b>Sex</b>		
Male	14	47
Female	16	53
<b>Age, years</b>		
Median	78.5	
Range	70–87	
<b>ECOG performance status</b>		
0	6	20
1	21	70
2	3	10
<b>Stage</b>		
IIIB	2	7
IV	28	93
<b>Smoking history</b>		
Never	14	47
Former	12	40
Current	4	13
<b>Previous therapy</b>		
None	23	77
Resection	3	10
Radiation	4 <sup>a</sup>	13

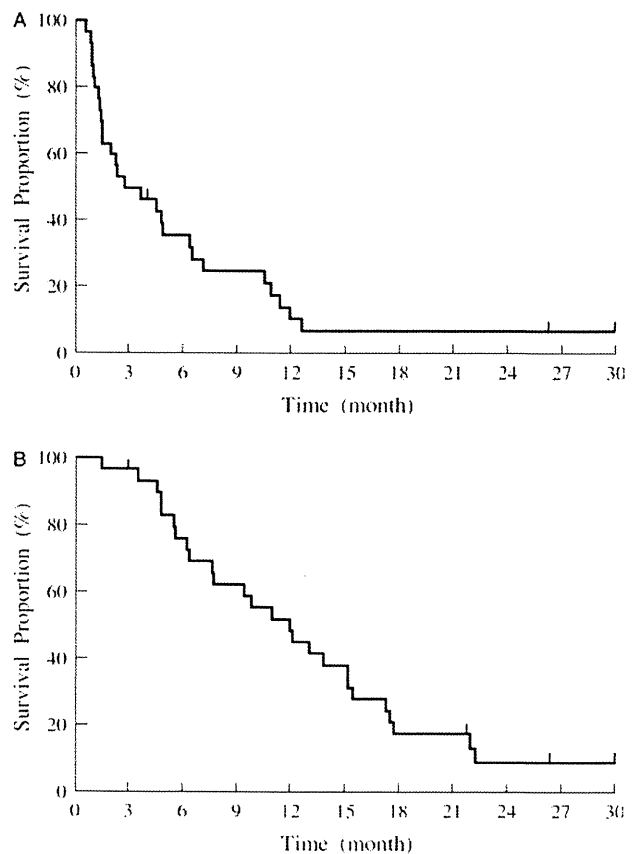
ECOG, Eastern Cooperative Oncology Group.  
<sup>a</sup>Including gamma knife in two patients.

Chemotherapy after gefitinib was as follows: re-administration of gefitinib in five patients, docetaxel in four, carboplatin plus paclitaxel in three, paclitaxel in one and S-1 in one. No chemotherapy was administered in 15 patients.

**RESPONSE, DISEASE CONTROL AND SURVIVAL**

We observed no complete response, six partial responses and eight stable diseases. So the response rate [95% confidence interval (CI)] was 20% (8–39%) and the disease control rate (95% CI) was 47% (28–66%). As all six partial responders had never smoked, we performed subset analysis according to the smoking history. There were 6 partial responses and 2 stable diseases in 14 patients who had never smoked, and 0 and 6 in 16 smokers, respectively. So the response rate (95% CI) and the disease control rate (95% CI) were 43% (18–71%) and 57% (29–82%) in those who had never smoked, and 0 and 38% (15–65%) in smokers, respectively.

The median follow-up duration was 21.7 months with a range of 2.9–29.9 months. The survival curves are shown in Fig. 1. The median PFS (95% CI) was 2.7 (0–5.7) months and the median OS (95% CI) was 11.9 (7.8–16.0) months. We also performed same subset analysis. The median PFS



**Figure 1.** Survival curves (*n* = 30). (A) progression-free survival, median 2.7 months, with a 1-year rate of 11%; and (B) overall survival, median 11.9 months, with a 1-year rate of 48%.

**Table 2.** Toxicity (*n* = 30)

	Grade					
	0	1	2	3	4	≥3
Rash	9	14	5	2	0	2 (7%)
Diarrhea	20	8	1	1	0	1 (3%)
Anorexia	19	6	3	2	0	2 (7%)
Nausea	27	2	0	1	0	1 (3%)
Fatigue	23	6	0	1	0	1 (3%)
Cardiac infarction	29	0	0	0	1	1 (3%)
ILD	30	0	0	0	0	0 (0%)
AST	18	8	2	2	0	2 (7%)
ALT	18	7	3	2	0	2 (7%)
Total bilirubin	26	3	0	1	0	1 (3%)
Creatinine	30	0	0	0	0	0 (0%)
Hemoglobin	17	6	3	4	0	4 (13%)

ILD, interstitial lung disease; AST, aspartate aminotransferase; ALT, alanine aminotransferase.

(95% CI) and the median OS (95% CI) were 7.1 (0–14.2) months and 13.0 (7.2–18.8) months in those who had never smoked, and 1.9 (0.3–3.5) months and 9.7 (5.5–14.0) months in smokers, respectively.

#### TOXICITY

Toxicities are listed in Table 2. The most frequent toxicity was rash. Other major toxicities were diarrhea, anorexia, liver dysfunction and anemia. These toxicities were mild and easily managed.

One patient developed grade 4 cardiac infarction. This patient received percutaneous transluminal coronary angioplasty and coronary stenting, and soon recovered.

There was no ILD and no treatment-related death.

#### DISCUSSION

Recently Mok et al. (17) conducted a phase III trial of gefitinib compared with carboplatin plus paclitaxel in previously untreated patients who had advanced pulmonary adenocarcinoma and who had never smoked or were former light smokers in East Asia (IPASS). The IPASS reported that the median PFS and the 1-year rate of that were 5.7 months and 25% in the gefitinib arm, and 5.8 months and 7% in the carboplatin/paclitaxel arm, respectively, and showed that gefitinib was superior to carboplatin/paclitaxel for PFS in this population [hazard ratio (HR) 0.74,  $P < 0.001$ ]. Furthermore, they showed that the gefitinib arm had significantly longer PFS than the carboplatin/paclitaxel arm (HR 0.48,  $P < 0.001$ ) in the EGFR mutation positive subgroup,

whereas significantly shorter PFS (HR 2.85,  $P < 0.001$ ) in the mutation negative subgroup. Analysis of EGFR mutation usually requires adequate tissue samples; so it is impossible to analyze that in all patients. In the IPASS, only 42% (437/1038) of patients who gave their consent for mutation analysis could know their mutation status. More than half of patients were unable to know their mutation status. We should decide to treat such patients with gefitinib or with other drugs depending on clinical features, such as histological type and smoking history. Nowadays, it becomes possible to analyze EGFR mutation using cytological samples (18,19). Needless to say, we must analyze that if possible.

This study showed the response rate was 20%, the median PFS was 2.7 months and the median OS was 11.9 months in eligible patients. Narrowing it down to those who had never smoked, the response rate increased to 43%, the median PFS prolonged to 7.1 months and the median OS prolonged to 13.0 months. Even if EGFR mutation status is unknown, patients who have adenocarcinoma histology and a history of never smoking are candidates for gefitinib treatment. Comparing with median OS of 11.9 months, the median treatment duration of 1.6 months seemed short. Chemotherapy after gefitinib was given in 14 patients; however, we do not have clear explanation about the influence of that.

Elderly patients prefer to receive less-toxic therapy. There were two randomized trials, known as V-15-32 (20) and INTEREST (21), of gefitinib compared with docetaxel in previously treated patients with advanced NSCLC. Both trials reported fewer grade 3 or 4 toxicities in the gefitinib arm than in the docetaxel arm (41 versus 82% in V-15-32, 9 versus 41% in INTEREST). Furthermore, Crinò et al. (22) conducted a randomized trial of gefitinib compared with vinorelbine in previously untreated, elderly patients with advanced NSCLC (INVITE). The INVITE also reported fewer grade 3 or 4 toxicities in the gefitinib arm than in the vinorelbine arm (13 versus 42%). These results support our results: the toxicities of gefitinib were mild and easily managed. Gefitinib is suitable for elderly patients.

Ebi et al. (23) conducted a phase II study of gefitinib as a first-line therapy in elderly patients with advanced NSCLC. They treated 49 patients: the median age was 80 years, 82% of patients had adenocarcinoma and 61% of patients had never smoked. The response rate was 25%, the median PFS was 4 months and the median OS was 10 months. These results also support our outcomes. They reported that 4 (8.2%) of 49 patients developed ILD, while there was no ILD in our study. One of the possible reasons was that we strictly excluded patients with interstitial pneumonitis or pulmonary fibrosis detected by CT. Previously the WJTOG reported a retrospective survey of ILD in 1976 patients treated with gefitinib (24). There were 70 cases of and 31 deaths from the ILD identified, corresponding to a prevalence of 3.5% and mortality of 1.6%. The ILD was significantly associated with male sex, a history of smoking and coincidental interstitial pneumonitis. With respect to ILD,

patient selection by clinical feature is important. Patients who have never smoked have a low risk of ILD.

In conclusion, gefitinib as a first-line therapy is active and well tolerated in elderly patients with pulmonary adenocarcinoma. If EGFR mutation status is unknown, this treatment may be a choice of treatment characterized by less toxicity in this population, especially in those who have never smoked.

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### Conflict of interest statement

None declared.

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## Human Epidermal Growth Factor Eyedrops for Cetuximab-Related Filamentary Keratitis

### Case Report

A 68-year-old woman with lung metastases from colorectal cancer was treated with weekly cetuximab plus biweekly CPT-11 (CPT-11+cetuximab) beginning in December 2009. On March 16, 2010, after five cycles of CPT-11+cetuximab, stable disease was confirmed on the basis of Response Evaluation Criteria in Solid Tumors (RECIST) on chest/abdominal computed tomography scans and this therapy was therefore continued. However, the patient developed grade 2 xerosis and periungual inflammation as well as grade 1 hair loss and trichomegaly related to the treatment with cetuximab.

At the end of April, the patient began to experience bilateral ocular discomfort with diminished visual acuity. She had no history of ophthalmologic problems. On April 22, an ophthalmologic fluorescein examination revealed small filamentous agents that covered the entire corneal surface of both eyes (Figure 1A), an indication of filamentary keratitis. The Schirmer test was performed without topical anesthesia and results for both eyes were normal, suggesting normal tear production. There was no apparent relationship between trichomegaly of the patient's eyelashes and the corneal lesions (Figure 1B). Despite administration of three types of eyedrops (ie, levofloxacin, fluorometholone, and sodium hyaluronate) as standard therapy for 1

month, the filamentous agents persisted with no amelioration of the patient's symptoms.

After obtaining informed consent from the patient, we chose off-label use of recombinant human epidermal growth factor (EGF) eyedrops as an alternative therapy; these eyedrops are widely used in the treatment of intractable corneal wounds. On May 26, the patient began using the EGF eyedrops (5  $\mu$ g/mL of recombinant EGF; AF-100-15; PeproTech, Rocky Hill, NJ) diluted with phosphate buffered saline twice a day. Thereafter, her symptoms gradually diminished, and the filamentous agents almost completely disappeared within 3 weeks (Figure 1C). It was not necessary to stop treatment with cetuximab as a result of the keratitis. For 3 months, until tumor progression was detected on July 26, the patient was able to continue receiving treatment with CPT-11+cetuximab, using human EGF eyedrops concomitantly, and experienced no recurrence of filamentary keratitis. Despite discontinuation of CPT-11+cetuximab and human EGF eyedrops on July 29, her filamentary keratitis has not recurred to date.

### Discussion

Filamentary keratitis is usually a chronic corneal lesion that is characterized by filamentous agents that are attached, at one or both ends, to the cornea. Patients often experience foreign-body sensations, discomfort, photophobia, increased blinking, and can occasionally experience severe pain. Filament generation is assumed to be triggered by an injury, possibly associated with inflammation, to the surface

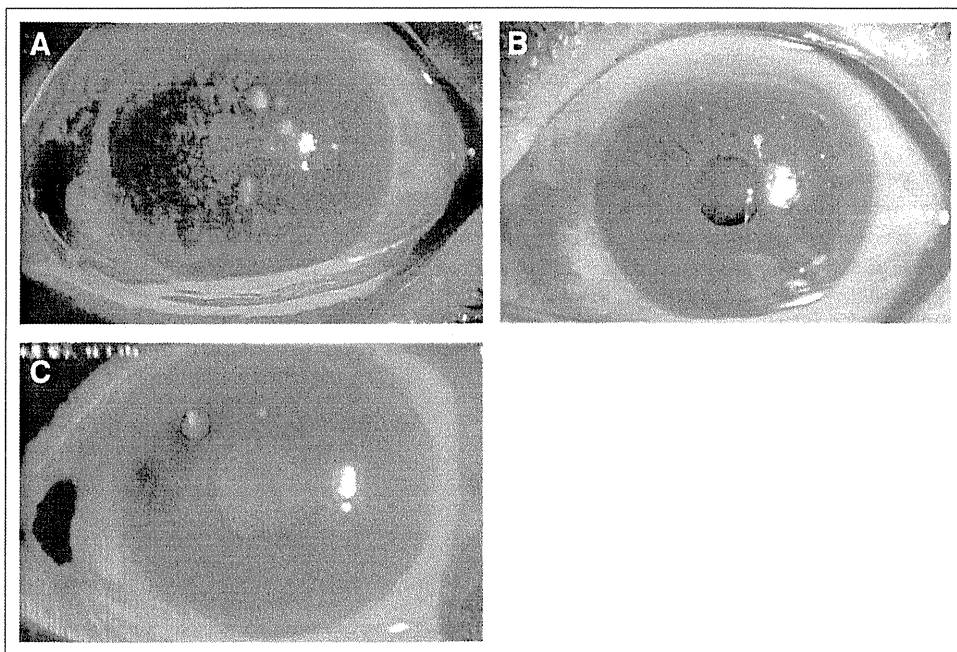


Fig 1.