

Short Communication

Close Association of *UGT1A9* IVS1+399C>T with *UGT1A1**28, *6, or *60 Haplotype and Its Apparent Influence on 7-Ethyl-10-hydroxycamptothecin (SN-38) Glucuronidation in Japanese

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ABSTRACT:

The anticancer prodrug, irinotecan, is converted to its active form 7-ethyl-10-hydroxycamptothecin (SN-38) by carboxylesterases, and SN-38 is inactivated by UDP-glucuronosyltransferase (UGT)1A1-mediated glucuronidation. *UGT1A9* also mediates this reaction. In a recent study, it was reported that the *UGT1A9* IVS1+399 (I399)C>T polymorphism is associated with increased SN-38 glucuronidation both in vitro and in vivo. However, its role in *UGT1A9* expression levels and activity is controversial. Thus, we evaluated the role of I399C>T in SN-38 glucuronidation using 177 Japanese cancer patients administered irinotecan. I399C>T was detected at a 0.636 allele frequency. This polymorphism was in strong linkage disequilibrium (LD) with *UGT1A9**1b (-126_-118T₉>T₁₀, |D'| = 0.99) and *UGT1A1**6 (211G>A, 0.86), in moderate LD with *UGT1A1**60 (-3279T>G, 0.55), but weakly

associated with *UGT1A1**28 (-54_-39A(TA)₆TAA>A(TA)₇TAA, 0.25). Haplotype analysis showed that 98% of the I399C alleles were linked with low-activity haplotypes, either *UGT1A1**6, *28, or *60. On the other hand, 85% of the T alleles were linked with the *UGT1A1* wild-type haplotype *1. Although I399T-dependent increases in SN-38 glucuronide/SN-38 area under concentration-time curve (AUC) ratio (an in vivo marker for *UGT1A* activity) and decreases in SN-38 AUC/dose were apparent ($P < 0.0001$), these effects were no longer observed after stratified patients by *UGT1A1**6, *28, or *60 haplotype. Thus, at least in Japanese populations, influence of I399C>T on SN-38 glucuronidation is attributable to its close association with either *UGT1A1**6, *28, or *60.

Irinotecan is an important drug for treatment of various tumors including lung, colon, and gastric (Smith et al., 2006). The infused drug is metabolized to its active form 7-ethyl-10-hydroxycamptothecin (SN-38) by carboxylesterases, and SN-38 is inactivated by glucuronidation. At least four UDP-glucuronosyltransferase (UGT) isoforms, namely *UGT1A1*, *UGT1A7*, *UGT1A9*, and *UGT1A10*, are known to glucuronidate SN-38 (Gagné et al., 2002; Saito et al., 2007).

The *UGT1A* gene complex consists of 9 active first exons including *UGT1A10*, *IA9*, *IA7*, and *IA1* (in this order) and common exons 2 to 5. One of the 9 first exons can be used in conjunction with the common exons (Tukey and Strassburg, 2000). The *UGT1A* N-terminal domains (encoded by the first exons) determine substrate-binding specificity, and the C-terminal domain (encoded by exons 2 to 5) is important for binding to UDP-glucuronic acid. The 5'- or 3'-flanking region of each exon 1 is presumably involved in regulation of its expression. Substantial interindividual differences have been detected in mRNA and protein levels and enzymatic activity of the *UGT1A* isoforms (Fisher et al., 2000; Saito et al., 2007).

SN-38 glucuronidation is thought to be mediated mainly by *UGT1A1*,

and its genetic polymorphisms affecting irinotecan pharmacokinetics and adverse reactions have been already identified. The TA-repeat polymorphism, -54_-39A(TA)₆TAA>A(TA)₇TAA (*UGT1A1**28 allele), is associated with lower promoter activity, resulting in reduced SN-38 glucuronidation (Beutler et al., 1998; Iyer et al., 1999). The single nucleotide polymorphism (SNP) 211G>A (Gly71Arg, *6 allele), found mainly in East Asians, causes reduced protein expression levels and SN-38 glucuronidation activity (Gagné et al., 2002; Jinno et al., 2003). Another SNP in the enhancer region of *UGT1A1*, -3279T>G (*60 allele), is also a causative factor for reduced expression (Sugatani et al., 2002). Allele frequencies have been reported for *28 (0.09–0.13), *6 (0.15–0.19), and *60 (0.26–0.32) in Japanese and Chinese populations and for *28 (0.30–0.39), *6 (~0), and *60 (0.44–0.55) in whites (Saito et al., 2007). In a previous study, in the Japanese population, we defined haplotype *28 as the haplotype harboring the *28 allele, haplotype *6 as that harboring the *6 allele, and haplotype *60 as that harboring the *60 allele (and without the *28 or *6 allele) (Sai et al., 2004; Saeki et al., 2006). Note that most of the *28 haplotypes concurrently harbored the *60 alleles, and that the *28 and *6 alleles were exclusively present on the different chromosomes (Sai et al., 2004; Saeki et al., 2006). We have also revealed that the haplotype *28, *6, or *60 was associated with reduced SN-38 glucuronide (SN-38G)/SN-38 area under concentration-time curve (AUC) ratios, an in vivo parameter for *UGT1A* activity (Minami et al., 2007).

In a recent study, an intronic SNP of *UGT1A9*, IVS1+399 (I399)C>T, has been shown to be associated with increased *UGT1A9* protein levels and glucuronidation activities toward SN-38 and the *UGT1A9* probe drug propofol (Girard et al., 2006). Elevation of

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ABBREVIATIONS: SN-38, 7-ethyl-10-hydroxycamptothecin; UGT, UDP-glucuronosyltransferase; SNP, single nucleotide polymorphism; SN-38G, SN-38 glucuronide; AUC, area under concentration-time curve; I399, *UGT1A9* IVS1+399; LD, linkage disequilibrium.

SN-38 glucuronidation activity by this SNP is significant among subjects without *UGT1A1**28. Sandanaraj et al. (2008) have also reported that I399C/C patients showed higher SN-38 AUC than C/T and T/T patients. With the same *UGT1A1* diplotypes, patients with I399T/T (and *UGT1A9* -126_-118T₁₀/T₁₀) have shown higher SN-38G C_{max} than I399C/T (and T₉/T₁₀) patients. *UGT1A9**1*b* (*UGT1A9* -126_-118T₉>T₁₀) has been shown to have no effect on *UGT1A9* expression levels (Girard et al., 2006; Ramírez et al., 2007; Sandanaraj et al., 2008). Thus, two groups did suggest that I399T allele was associated with higher glucuronidation activity. However, using human liver microsomes, Ramírez et al. (2007) showed that I399C>T had no significant effect on both *UGT1A9* mRNA levels and glucuronidation activities for two *UGT1A9* substrates. Therefore, the roles of I399C>T in *UGT1A9* activities as well as SN-38 glucuronidation remain inconclusive.

In the present report, we reveal the linkage of I399C>T with *UGT1A1*, *UGT1A7*, and *UGT1A9* polymorphisms and analyze its association with the SN-38G/SN-38 AUC ratio and SN-38 AUC/dose (per dose) to clarify its role in SN-38 glucuronidation.

Materials and Methods

Patients. One hundred and seventy-seven patients (81 lung, 63 colon, 19 stomach, and 14 other cancer patients) administered irinotecan at the National Cancer Center were enrolled in this study as described previously (Minami et al., 2007). This study was approved by the ethics committees of the National Cancer Center and the National Institute of Health Sciences, and written informed consent was obtained from all participants. Eligibility criteria, patient profiles, and irinotecan regimens are summarized in our previous report (Minami et al., 2007). In brief, patients consisted of 135 males and 42 females with a mean age of 60.5 (26–78 years old), and their performance status was 0 (84 patients), 1 (89 patients), or 2 (4 patients). Irinotecan administrations were conducted according to the standard protocols in Japan as follows: i.v. 90-min infusion at a dose of 100 mg/m² weekly or 150 mg/m² biweekly in irinotecan monotherapy; and 60 mg/m² weekly with cisplatin in most combination therapies.

Genotyping and Haplotype Analysis. Genomic DNA was extracted from whole blood of 177 irinotecan-administered patients (Saeki et al., 2006). *UGT1A9* IVS1+399C>T (rs2741049) was genotyped using the TaqMan SNP Genotyping Assay kit (C_9096281_10) according to the manufacturer's instructions (Applied Biosystems, Foster City, CA). The *UGT1A1**28 allele [-54_-39A(TA)₆TAA>A(TA)₇TAA], *UGT1A1**6 allele [211G>A (Gly71Arg)], *UGT1A1**60 allele (-3279T>G), *UGT1A7**2 haplotype [387T>G, 391C>A and 392G>A (Asn129Lys and Arg131Lys)], *UGT1A7**3 haplotype [387T>G, 391C>A, 392G>A, and 622T>C (Asn129Lys, Arg131Lys, and Trp208Arg)], and *UGT1A9**1*b* allele (-126_-118T₉>T₁₀) were determined previously (Saeki et al., 2006). Hardy-Weinberg equilibrium analysis of I399C>T, linkage disequilibrium (LD) analysis of the *UGT1A9*, *UGT1A7*, and *UGT1A1* polymorphisms, and haplotype estimation with an expectation-maximization algorithm were performed using SNPalyze version 7.0 software (Dynacom, Chiba, Japan).

Pharmacokinetics. Pharmacokinetic data for the 176 irinotecan-treated patients (data for one patient was unavailable) were described previously (Minami et al., 2007). In brief, heparinized blood was collected before irinotecan administration and at 0, 0.33, 1, 2, 4, 8, and 24 h after termination of the first infusion of irinotecan. SN-38 and SN-38G plasma concentrations were determined by high-performance liquid chromatography, and AUC was calculated using the trapezoidal method in WinNonlin version 4.01 (Pharsight, Mountain View, CA).

Statistical Analysis. Gene dose effects of I399C>T and *UGT1A1* haplotypes (*28, *6, or *60) were assessed by the Jonckheere-Terpstra test using StatExact version 6.0 (Cytel Inc., Cambridge, MA). Multiplicity adjustment was conducted with the false discovery rate. The significant difference was set at $p = 0.05$ (two-tailed).

Results

Linkages of *UGT1A9* IVS1+399 (I399)C>T with Other Polymorphisms. In our patients, I399C>T was detected at a 0.636 allele frequency, which is almost the same as those in the HapMap data (rs2741049) for Japanese (0.663) and Han Chinese (0.633) populations, but higher than those for Europeans (0.383) and Sub-Saharan Africans (Yoruba) (0.417). Genotype distribution for this SNP was in Hardy-Weinberg equilibrium ($p = 0.418$). LD analysis was performed between I399C>T and the previously determined genotypes, *UGT1A9**1*b*, *UGT1A7**2 and *3, and *UGT1A1**28, *6, and *60, which were detected at >0.1 frequencies in Japanese populations (Saeki et al., 2006). When assessed by the |D'| value, I399C>T was in complete LD with *UGT1A7* 387T>G, 391C>A and 392G>A (*UGT1A7**2, |D'| = 1.000); in strong LD with *UGT1A9* -126_-118T₉>T₁₀ (*UGT1A9**1*b*, 0.987), *UGT1A7* 622T>C (*UGT1A7**3, 0.977), and *UGT1A1* 211G>A (*UGT1A1**6, 0.864); and in moderate LD with *UGT1A1* -54_-39A(TA)₆TAA>A(TA)₇TAA (*UGT1A1**28, 0.252). In r^2 values, the I399C>T was in strong LD with *UGT1A7**2 ($r^2 = 0.976$) and *UGT1A9**1*b* (0.916), in moderate LD with *UGT1A7**3 (0.478), but in weak LD with *UGT1A1**6 (0.261) and *UGT1A1**60 (0.208), and in little LD with *UGT1A1**28 (0.018).

Haplotype Analysis. Haplotype analysis was performed using the 9 polymorphisms including I399C>T. As shown in Fig. 1, 95% (123/129) of the I399C alleles were linked with the *UGT1A9* -126_-118T₉ allele, and 100% (225/225) of the T alleles were linked with the T₁₀ allele (*UGT1A9**1*b*). The I399C alleles were completely (129/129) linked with the *UGT1A7* 387G, 391A, and 392A alleles, and most T alleles (223/225) were linked with the 387T, 391C, and 392G alleles. The 40% (51/129) and 60% (78/129) of the I399C alleles were linked with *UGT1A7**2 and *UGT1A7**3 haplotypes, respectively. We also found that 98% (126/129) of the I399C alleles were linked with the *UGT1A1**6 (211G>A), *28 [-54_-39A(TA)₆TAA>A(TA)₇TAA], or *60 (-3279T>G). According to the *UGT1A1* haplotype definition by Sai et al. (2004), 42% (54/129), 36% (46/129), 19% (25/129), and 1% (1/129) of the I399C alleles were linked with the *UGT1A1* haplotypes *6*a* (harboring *6 allele), *60*a* (harboring *60 allele), *28*b* (harboring *60 and *28 alleles), and *28*d* (harboring *28 allele), respectively. On the other hand, 85% (191/225) of the T alleles were linked with the *UGT1A1* wild-type haplotype *1.

Association Analysis. The associations of I399C>T with irinotecan pharmacokinetic parameters were then analyzed using the estimated haplotypes. First, association with SN-38G/SN-38 AUC ratio, an in vivo parameter of *UGT1A* activity (Sai et al., 2004; Minami et al., 2007; Sandanaraj et al., 2008), was analyzed. *UGT1A7**2 had unchanged activity for SN-38 glucuronidation (Gagné et al., 2002), and neither *UGT1A9**1*b* nor *UGT1A7**3 had significant effects on the SN-38G/SN-38 AUC ratio in our previous study (Minami et al., 2007). On the other hand, the *UGT1A1**6, *28, and *60 haplotypes were associated with the reduced SN-38G/SN-38 AUC ratios (Minami et al., 2007). Although effects of the haplotype *28 and *6 were more striking, haplotype *UGT1A1**60, harboring only the *60 allele without the *28 allele, was weakly associated with the reduced ratio. To remove even this weak effect and clarify the real effect of I399C>T, *UGT1A1**60 was also considered as low-activity haplotype in this analysis. Namely, we analyzed the associations of I399C>T with the AUC ratio within the groups stratified by the *UGT1A1* haplotypes, *UGT1A1**28 (*28*b* and *28*d*), *6 (*6*a*), and *60 (*60*a*) (combined and shown as *UGT1A1*"+").

When stratified by the I399C>T genotype, a T allele-dependent

Gene	<i>UGT1A9</i>		<i>UGT1A7</i> ²				<i>UGT1A1</i> ³			Number	Frequency	
Nucleotide change	-126_- 118 T ₉ >T ₁₀	IVS1+ 399 C>T	387 T>G	391 C>A	392 G>A	622 T>C	-3279 T>G	(TA) ₆ > (TA) ₇	211 G>A			
Allele name	<i>*1b</i>		<i>*2, *3</i>	<i>*2, *3</i>	<i>*2, *3</i>	<i>*3</i>	<i>*60, *28</i>	<i>*28</i>	<i>*6</i>			
Haplotypes ¹	<i>*1C-[*]3-[*]6a</i>										47	0.133
	<i>*1C-[*]2-[*]60a</i>										44	0.124
	<i>*1C-[*]3-[*]28b</i>										21	0.059
	<i>*1C-[*]2-[*]28b</i>										4	0.011
	<i>*1C-[*]3-[*]60a</i>										2	0.006
	<i>*1C-[*]3-[*]28d</i>										1	0.003
	<i>*1C-[*]2-[*]6a</i>										1	0.003
	<i>*1bC-[*]3-[*]6a</i>										6	0.017
	<i>*1C-[*]2-[*]1</i>										2	0.006
	<i>*1C-[*]3-[*]1</i>										1	0.003
	<i>*1bT-[*]1-[*]1</i>										190	0.537
	<i>*1bT-[*]3-[*]1</i>										1	0.003
	<i>*1bT-[*]1-[*]28b</i>										22	0.062
	<i>*1bT-[*]1-[*]60a</i>										5	0.014
	<i>*1bT-[*]1-[*]6a</i>										5	0.014
	<i>*1bT-[*]1-[*]28d</i>										1	0.003
	<i>*1bT-[*]2-[*]60a</i>										1	0.003
	Allele frequency	0.653	0.636	0.370	0.370	0.370	0.223	0.280	0.138	0.167	354	1.000

Fig. 1. Haplotypes assigned by using common *UGT1A9*, *UGT1A7*, and *UGT1A1* polymorphisms. ¹Haplotypes were shown as *UGT1A9* haplotypes – *UGT1A7* haplotypes – *UGT1A1* haplotypes. Major allele, white blocks; minor allele, gray blocks. **1C*, T₉ and I399C; **1bC*, T₁₀ and I399C; **1bT*, T₁₀ and I399T in *UGT1A9*. ²*UGT1A7**2 and *3 are the haplotypes harboring the three and four *UGT1A7* alleles, respectively. ³*UGT1A1* (TA)₆>(TA)₇ indicates –54_–39A(TA)₆TAA>A(TA)₇TAA.

increase in the SN-38G/SN-38 AUC ratio was observed ($p < 0.0001$, Jonckheere-Terpstra test) (Fig. 2A). However, this trend was obviously dependent on biased distributions of *UGT1A1* haplotypes; e.g., 96% of the I399C/C patients were homozygotes for *UGT1A1**28, *6, or *60; and “*UGT1A1**28, *6, or *60”-dependent reduction of SN-38G/SN-38 AUC ratio was found within the I399T/T genotypes ($p < 0.05$). As shown in Fig. 2B, *UGT1A1**28, *6, or *60 (*UGT1A1*+) dependent reduction in the SN-38G/SN-38 ratio was observed when patients were stratified by these three haplotypes. However, no significant effect of I399C>T was found within the stratified patients ($p > 0.05$ within the –/–, –/+, or +/+ patient group in Fig. 2B). As for SN-38 AUC/dose (SN-38 AUC values adjusted by the doses used), a similar *UGT1A1* haplotype dependence was observed. Although the I399T-dependent reduction of SN-38 AUC/dose was detected ($p < 0.0001$), biased distributions of the *UGT1A1**28, *6, or *60 were again evident, and the *UGT1A1* + haplotypes-dependent increase was significant within the I399 C/T and T/T patients ($p < 0.01$ and $p < 0.05$, respectively) (Fig. 2C). Moreover, no significant effect of I399C>T on SN-38 AUC/dose was found when stratified by the *UGT1A1* haplotypes ($p > 0.05$ within the –/–, –/+, or +/+ patient group in Fig. 2D).

Discussion

In the present study, LD between I399C>T and *UGT1A1*, *UGT1A7*, or *UGT1A9* polymorphisms in Japanese populations was shown for the first time. Moreover, the apparent effect of I399C>T on SN-38 glucuronidation in Japanese cancer patients was suggested to result from its close association with *UGT1A1**28, *6, or *60.

As for the influence of I399C>T on *UGT1A9* activity, conflicting results have been reported. Girard et al. (2006) have shown that I399C>T was associated with increased *UGT1A9* protein levels and enzyme activity toward an *UGT1A9* probe drug propofol using 48 human liver microsomes derived mainly from whites. In contrast, using human liver microsomes from 46 white subjects, Ramírez et al. (2007) have revealed that the I399C>T had no significant effects on *UGT1A9* mRNA levels and in vitro glucuronidation activities toward the two *UGT1A9* substrates, flavopiridol and mycophenolic acid. Furthermore, another report has demonstrated that I399C>T had no influence on the pharmacokinetic parameters (such as AUC and C_{max}) of mycophenolic acid in 80 Japanese renal transplant recipients (Inoue et al., 2007). Thus, these latter two studies did suggest that the I399C>T polymorphism has no effect on *UGT1A9* enzymatic activity. Note that, at least for Japanese populations, no study has reported that I399C>T affects *UGT1A9* activity.

As for the influence of I399C>T on SN-38 glucuronidation, a possible enhancing effect has been suggested. Girard et al. (2006) have shown an increasing effect of I399C>T on SN-38 glucuronidation, and that this SNP did not show any close linkages with the *UGT1A1**28 or *60 allele ($r^2 < 0.06$). In addition, Sandanaraj et al. (2008) have reported that in 45 Asians consisting of Chinese (80%), Malay (18%), and others (2%), I399C/C patients had higher SN-38 AUC than C/T and T/T patients. Again, this SNP was not in LD with the *UGT1A1**28, *6, or *60 allele (r^2 were < 0.09). Furthermore, association of I399T with increased SN-38 C_{max} has been observed even after stratified patients by *UGT1A1* genotypes, although the study sample size was small. These findings suggest that the I399T

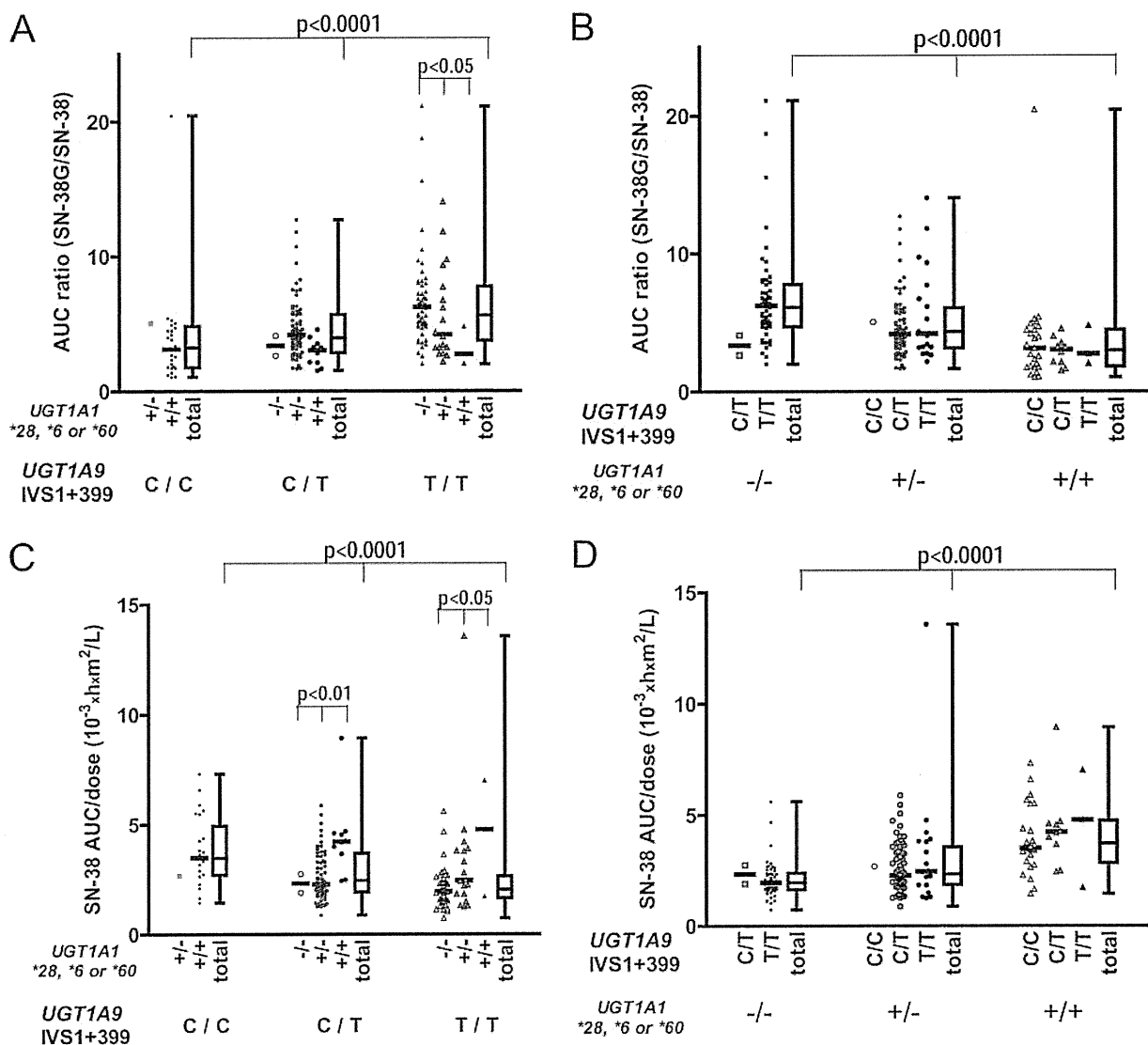


FIG. 2. Association analysis of *UGT1A9* IVS1+399 (I399)C>T with SN-38G/SN-38 AUC ratio (A and B) and SN-38 AUC/dose (C and D). A and C, I399 C/C, C/T, and T/T patients were further divided by the presence of *UGT1A1**28, *6, or *60 haplotypes: -/-, no *UGT1A1**28, *6, or *60; +/-, heterozygotes for either *UGT1A1**28, *6, or *60; +/+, homozygotes or compound heterozygotes for either *UGT1A1**28, *6, or *60. B and D, *UGT1A1* -/-, +/-, and +/+ patients were further divided by I399 C/C, C/T, and T/T genotypes. Gene dose effects of I399C>T and the *UGT1A1* + haplotype were assessed by the Jonckheere-Terpstra test.

allele was associated with increased glucuronidation activity for SN-38 without linkages with the *UGT1A1* polymorphisms. Our data demonstrate that an increase in SN-38G/SN-38 AUC ratio (i.e., increased glucuronidation activity) was also found with I399C>T; however, after stratified patients by the *UGT1A1**6, *28, or *60 haplotypes (haplotype+) showing reduced SN-38 glucuronidation activity (Sai et al., 2004; Minami et al., 2007), any significant effect of the I399C>T was no longer observed. Thus, no direct effect of I399C>T on SN-38 glucuronidation was shown in the current study in Japanese populations. The discrepancy between our study and others might be derived from ethnic and/or population differences in haplotype distribution. In fact, in our Japanese population, 98% of the I399C alleles were linked with either *UGT1A1**6, *28, or *60, whereas 85% of the T alleles were linked with *UGT1A1**1. On the other hand, in Sandanaraj's report (in Chinese + Malay), 84% of the I399C alleles were linked with *UGT1A1**6, *28, or *60, whereas only 67% of the T alleles were linked with *UGT1A1**1 (Sandanaraj et al., 2008).

In irinotecan therapies, genetic polymorphisms leading to increases in SN-38 AUC, which closely correlates with increased

risk of severe neutropenia (Minami et al., 2007), are clinically important. The current study also demonstrated no significant influence of I399C>T on SN-38 AUC/dose after stratified patients by *UGT1A1* haplotypes. Consistent with this finding, no influence of this SNP was observed on the incidence of grade 3 or 4 neutropenia after irinotecan therapy in our population (data not shown). Recently, genetic testing of *UGT1A1**6 and *28, which are related to severe neutropenia in Japanese populations, has been approved for clinical application in Japan. This study indicates that there is no clinical necessity for additional genotyping of I399C>T, at least in Japanese populations.

In conclusion of this study, the apparent influence of I399 (*UGT1A9* IVS1+399)C>T on SN-38 glucuronidation is attributable to its close association with *UGT1A1**6, *28, or *60 in the Japanese population. Furthermore, additional genotyping of I399C>T for personalized irinotecan therapy seems to be clinically irrelevant for Japanese populations.

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Circulating Endothelial Cells in Non-small Cell Lung Cancer Patients Treated with Carboplatin and Paclitaxel

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Introduction: Circulating endothelial cells (CECs) increase in cancer patients and play an important role in tumor neovascularization.

Methods: This study was designed to investigate the role of CEC as a marker for predicting the effectiveness of a carboplatin plus paclitaxel based first line chemotherapy in advanced non-small cell lung cancer (NSCLC).

Results: The CEC count in 4 ml of peripheral blood before starting chemotherapy (baseline value) was significantly higher in NSCLC patients, ranging from 32 to 4501/4 ml ($n = 31$, mean \pm SD = 595 ± 832), than in healthy volunteers ($n = 53$, 46.2 ± 86.3). We did not detect a significant correlation between the CEC count and estimated tumor volume. CECs were significantly decreased by chemotherapy as compared with pretreatment values (175.6 ± 24 and 173.0 ± 24 , day +8, +22, respectively). We investigated the correlation between baseline CEC and the clinical effectiveness of chemotherapy. CEC values are significantly higher in patients with clinical benefit (partial response and stable disease, 516 ± 458 , 870.8 ± 1215 , respectively) than in progressive disease patients (211 ± 150). Furthermore, a statistically significant decrease in CECs, on day 22, was observed only in patients with partial response. Patients who had a baseline CEC count greater than 400/4 ml showed a longer progression-free survival (>400 , 271 days [range: 181–361] versus <400 , 34 [range: 81–186], $p = 0.019$).

Conclusion: CEC is suggested to be a promising predictive marker of the clinical efficacy of the CBDCA plus paclitaxel regimen in patients with NSCLC.

Key Words: Circulating endothelial cell, NSCLC, Chemotherapy.

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Angiogenesis plays a critical role in the growth and metastasis of solid tumors.¹ The clinical importance of angiogenesis in human tumors has been demonstrated by several reports indicating a positive relationship between the blood vessel density in the tumor mass and poor prognosis, i.e., survival, in patients with various types of cancers including non-small cell lung cancer (NSCLC).^{2–6} Furthermore, Natsume et al.⁷ reported the antitumor activities of anticancer agents to be less active against vascular endothelial growth factor-secreting cells (SBC-3/VEGF), in vivo as compared with its mock transfectant (SBC-3/Neo). In recent years, antiangiogenic agents have also been demonstrated to be active against a variety of malignancies, including lung, colorectal, and renal cancer.^{8–10} Thus, angiogenesis is a promising target for cancer treatment and is related to the prognosis and efficacy of these drugs, though the tumor vessel biomarkers which predict the effectiveness of antiangiogenic agents and other anticancer agents are not always useful and have not become well-established.

Circulating endothelial cells (CECs) have been recognized as a useful biomarker for vascular damage. CECs are increased in cardiovascular disease, vasculitis, infectious disease, and various cancers.^{11–14} Recently, CECs were found to be more numerous and viable in cancer patients than in healthy subjects.^{14,15} Furthermore, elevated CECs in cancer patients were found to be nearly normalized when the tumor was removed surgically or with chemotherapy.¹⁵ Therefore, most CECs are considered to be disseminated tissue endothelial cells in the tumors and the CEC number may reflect the extent of tumor angiogenesis. Indeed, the CEC level has been demonstrated to correlate with the plasma level of VEGF, one of the pivotal factors promoting tumor angiogenesis.¹⁵ Mancuso et al. reported that CEC kinetics and viability are promising predictors of the response to chemotherapy with antiangiogenic activity in patients with advanced breast cancer.¹⁶ Thus, CEC is likely to be a useful marker for predicting the effectiveness of chemotherapy as a noninvasive angiogenesis marker.

NSCLC is the leading cause of cancer-related death worldwide. NSCLC accounts for approximately 50% of patients presenting with unresectable advanced stage,¹⁷ and platinum-based chemotherapy offers only a small improve-

ment in survival with advanced NSCLC.^{18,19} Over the past decade, several new agents against NSCLC have become available, including the taxanes, gemcitabine, vinorelbine, and irinotecan. The combination of platinum and these new agents has resulted in a high response rate and prolonged survival compared with older chemotherapy regimens (e.g., vindesine, mitomycin, ifosfamide, with cisplatin). Therefore, these regimens are considered standard chemotherapy for advanced NSCLC.^{20–26} Although new agents have different mechanisms of action, these combination regimens have not been administered based on the biologic characteristics of each tumor.

Paclitaxel inhibits several endothelial cell functions in vitro such as proliferation, migration, morphogenesis, and metalloprotease production.^{27–29} These activities result in antiangiogenic activity in in vivo xenograft models.^{27,30} Interestingly, human endothelial cells are more sensitive to paclitaxel than other cellular types.²⁹ We hypothesized that the CEC value is associated with tumor neovascularization, which is one of the targets of paclitaxel. In the present study, we investigated whether the CEC count at baseline is associated with the effectiveness of the CDDP plus paclitaxel regimen in patients with advanced-stage NSCLC.

MATERIALS AND METHODS

Patients

Patients with histologically or cytologically documented advanced NSCLC were eligible for this study. Each patient was required to meet the following criteria: (1) no prior treatment including chemotherapy, surgery, irradiation, or any fluid drainage; (2) no prior general anesthesia for diagnostic procedures including mediastinoscopy or thoracoscopy; (3) no concomitant diseases including ischemic heart diseases, systemic vasculitis, pulmonary hypertension, or serious complications including infectious disease or diabetes; (4) written informed consent. The trial document was approved by the institutional review board. The clinical characteristics of the patients are shown in Table 1.

Treatment Schedule and Response Evaluation

All patients were treated according to the following chemotherapeutic regimen: paclitaxel at 200 mg/m² over a 3-hour period followed by carboplatin at a dose with an area under the curve of 6 on day 1, repeated every 3 weeks. The treatment was repeated for three or more cycles unless the patients met the criteria for progressive disease (PD) or experienced unacceptable toxicity.

The major axis (a) and minor axis (b) of the tumor mass in each patient were measured with computed tomography. Estimated tumor volume (ETV) was calculated using the following formula; $ETV = 4/3 \times \pi (a/2 \times b/2) \times (a/2 + b/2)/2$. Computed tomography examinations were performed before treatment and with every one or two cycles of chemotherapy. Response was evaluated according to the RECIST, and tumor markers were excluded from the criteria.³¹

Assay for CEC

Blood samples from NSCLC patients and healthy volunteers were drawn into a 10-ml Celsave Preservative Tube

TABLE 1. Baseline Characteristics of the Patients

Characteristic	N = 31 No. (%)
Gender	
Male	17 (55)
Female	14 (45)
Median age (yr)	60
Range	43–71
ECOG performance status	
0	18 (58)
1	13 (42)
Stage	
IIIA	2 (6)
IIIB	7 (23)
IV	22 (71)
Histology	
Adenocarcinoma	23 (74)
Squamous cell carcinoma	4 (13)
Others	4 (13)

(Immunicon Corp. Huntingdon Valley, PA) for CEC enumeration. The CEC protocol used was approved by the Institutional Review Board and written informed consent was obtained from each subject. Samples from NSCLC were obtained before (baseline) and 8 and 22 days after starting chemotherapy. Samples were kept at room temperature and processed within 42 hours after collection. All evaluations were performed without knowledge of the clinical status of the patients. The CellTracks system (Immunicon Corp) which consists of CellTracks AutoPrep system and the CellSpotter Analyzer system was used for endothelial cell enumeration.^{32,33} In this system, CD146+/DAPI+/CD105-PE+/CD45APC- cells are defined as CECs. Briefly, cells which express CD146 were immunomagnetically captured using ferrofluids coated with CD146 antibodies. The enriched cells were then labeled with the nuclear dye 4V,6-diamidino-2-phenylindole (DAPI), CD105 antibodies conjugated to phycoerythrin (CD105-PE), and the pan-leukocyte antibody CD45 conjugated to allophycocyanin (CD45-APC). In this system, the CD146-enriched, fluorescently labeled cells were identified as CECs when the cells exhibited the DAPI+/CD105+/CD45- phenotype. We performed CEC enumeration twice, using the same sample, and calculated the mean value.

Statistical Analyses

This study was carried out as exploratory research for detecting CECs from NSCLC patients. The number of enrolled patients was therefore not precalculated. Spearman's correlation analysis was performed to investigate the correlation between CEC count and ETV. Between-group comparisons were made using the *t* test. The association between CEC count and progression free survival (PFS) was estimated using the Kaplan-Meier method. The log-rank test was used to assess the survival difference between strata. Differences were considered statistically significant at $p < 0.05$.

RESULTS

Patient Characteristics

A total of 32 patients were enrolled in the study between August 2005 and March 2006 (Table 1). One patient withdrew consent to participate. Table 1 summarizes the characteristics of the study population. The median age of the patients was 60 years (range, 43–71). The histologic and/or cytologic diagnosis was adenocarcinoma in 23 patients (74.2%), squamous cell carcinoma in 4 (12.9%), and unclassified NSCLC in 4 (12.9%). There were 17 males (54.8%). The clinical stage was IIIA in 2 patients (6.5%), IIIB in 7 (22.6%), and IV in 22 (71.0%).

Ninety-two CEC samples from 31 patients (three samples per patient) were obtained and analyzed. One sample, obtained 22 days after treatment, was not examined because of inadequate collection.

Quantification of CEC

In 31 advanced NSCLC patients, CECs ranged from 32 to 4501 cells/4.0 ml of blood, mean \pm SD = 595 ± 832 at baseline. CEC counts were elevated in a large portion of patients with NSCLC as compared with healthy volunteers ($n = 53$, mean \pm SD = $46.2 \pm 86.3/4$ ml). Case 21 had an exceptionally high CEC count (4501 at baseline). We did not detect a significant correlation between the CEC count and ETV in the 28 assessable patients ($p = 0.84$, Figure 1). The analysis of CECs during the first course of treatment showed CEC levels to be reduced by CBDCA plus paclitaxel chemotherapy as compared with pretreatment values (176 ± 141 at 8 days and 173 ± 189 at 22 days after treatment) (Figure 2). These reductions were significant ($p = 0.011$ on day 8 and $p = 0.04$ on day 22), but there was no significant difference between CEC amounts on day 8 versus day 22 ($p = 0.476$). There was no difference in the amount of CEC at baseline when patients were subgrouped according to characteristics, such as sex, smoking history, histologic type, and clinical

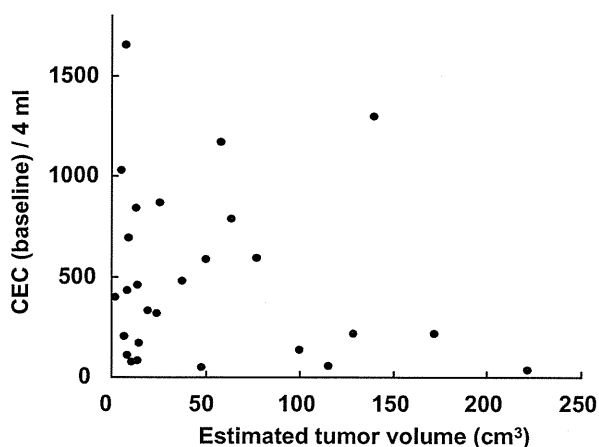
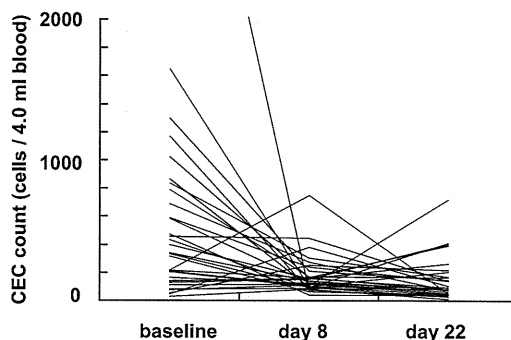


FIGURE 1. Scatter plot analysis to determine the correlation between the number of circulating endothelial cell (CEC) and estimated tumor volume (ETV). ETV is calculated with computed tomography (CT) examination. Case 21 is not included.



	baseline	day 8	day 22
mean \pm SD	595 \pm 832	176 \pm 141 *	173 \pm 189 *

FIGURE 2. Circulating endothelial cell (CEC) levels during the first course of CDDP plus paclitaxel chemotherapy. * $p < 0.05$ versus values at baseline.

stage. Furthermore, there was no correlation of CEC amounts with the blood examination data (e.g., number of white blood cells, neutrophils, lymphocytes, hemoglobin, platelets, albumin, LDH, CRP, CEA, CYFRA).

CEC Amounts and Objective Tumor Response to Chemotherapy

Thirteen (41.9%) of the 31 patients who received carboplatin and paclitaxel therapy showed a partial response (PR) and 12 (38.7%) showed stable disease (SD). The other 6 patients (19.4%) showed PD. The amounts of CEC at baseline in the patients who showed PR and SD were $516 \pm 458/4$ ml and $871 \pm 1215/4$ ml, respectively, and these values were significantly higher than in PD patients ($211 \pm 150/4$ ml, $p = 0.023$ and $p = 0.044$, respectively) (Figure 3A). Although CEC decrements during chemotherapy were observed in all three subgroups, the extent of the decrements tended to be greater in

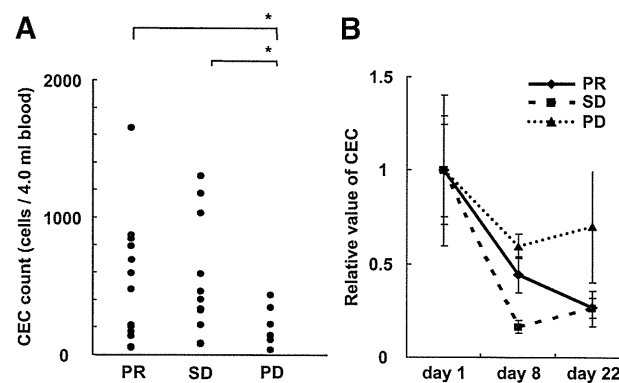


FIGURE 3. A, Comparison of circulating endothelial cell (CEC) amount at baseline in non-small cell lung cancer (NSCLC) patients with different clinical responses to CBDCA plus paclitaxel chemotherapy. * $p < 0.05$ versus values of patients with progressive disease (PD). Case 21 is not included. B, Relative change in CEC amount in patients with partial response (PR), stable disease (SD), and PD.

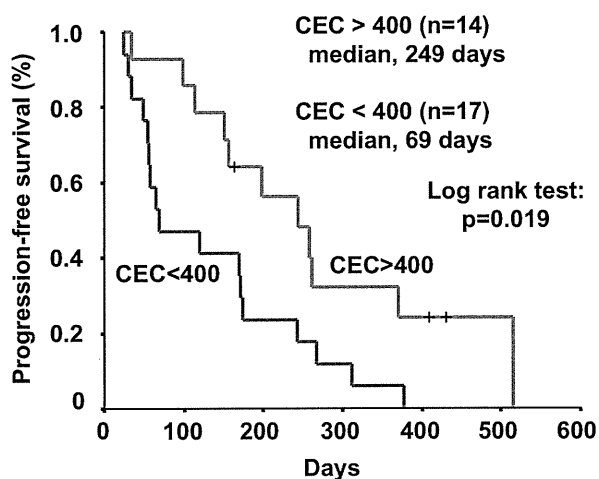


FIGURE 4. Progression-free-survival according to circulating endothelial cell (CEC) count at baseline. The median duration of progression-free survival was greater in patients whose CEC count exceeded 400 (median, 244 days) than in patients whose CEC count was less than 400 (69 days).

patients with PR and SD than in those with PD (Figure 3B). In the subgroup analysis, a significant decrease in CECs was observed on day 22 only in PR patients ($p = 0.018$).

CEC Amounts and PFS

For all 31 patients, the median PFS was 154 days (range, 81–361 days). Univariate analysis indicated that patients who had a CEC count of more than 400/4 ml at baseline showed a significantly improved PFS ($n = 14$, median; 244 days) (Log-rank test, $p = 0.019$, Figure 4). A CEC count below 400 at baseline was associated with a poorer PFS ($n = 17$, median; 69 days). The CEC count did not exceed the value of 400/4 ml in any of the healthy volunteers. When we compared the patients whose CEC counts exceeded 200 with those whose counts were less than 200, a consistent difference in PFS was observed between the two groups (>200 ; $n = 22$, median 227, <200 ; $n = 9$, median 116, $p < 0.039$).

DISCUSSION

In the present study, we investigated the number of CEC during the first course of CBDCA plus paclitaxel chemotherapy. To our knowledge, this is the first report of CEC in NSCLC patients before treatment. Our findings demonstrated CEC counts in advanced NSCLC at baseline level to be much higher than those in healthy subjects ($595 \pm 832/4.0$ ml versus $32.6 \pm 29.5/4.0$ ml). Because the NSCLC patients had not yet received anticancer therapy, these increased CECs are likely to be mostly derived from the tumor site. In a previous study, it was found that the amounts of CECs correlate strongly with tumor volume in vivo in an animal model³⁴. Nevertheless, we did not find a significant correlation between CECs and ETV. Because the number of CECs could be influenced by many factors related to tumor vasculature, neovascularization, and localization of the tumor, our failure to identify a strong correlation in this study is not surprising. We were also unable to detect a significant direct

correlation between CEC amounts and various blood examination data including tumor markers such as CEA and CYFRA. It is unclear at present what biologic characteristics of the tumor or clinical features the CEC number most closely reflects as a biomarker. Mancuso et al. reported that CECs are strongly associated with plasma levels of VCAM-1 and VEGF in breast cancer and lymphoma patients.^{15,34} Because VCAM-1 and VEGF are crucial factors for tumor angiogenesis, the variability in CEC values among NSCLC patients might indicate a difference in the neovascularization of each tumor.

We were further able to demonstrate that elevated CECs decreased dramatically after CBDCA plus paclitaxel treatment, but did not reach the level of healthy subjects. Decreased CEC values did not rise again during the first cycle of chemotherapy. Although myelosuppression was observed on day 8 and recovered on day 22 in many patients (data not shown), CEC kinetics do not parallel those of WBC, indicating that CEC kinetics might not be influenced by myelopoiesis. Several clinical studies in the field measuring CEC found chemotherapy to be associated with either an increase or a decrease in CECs.^{35–39} The different tumor types, stages, prior therapy or not, the anticancer drugs used, measuring points and quantification methods of CEC might have influenced the CEC results after treatment. In the present study, the pretreatment CEC value was much higher than that in lung cancer with metastasis (mean \pm SD = $146 \pm 270/4$ ml), as reported elsewhere.³³ Although the details of the prior therapy in patients with metastatic carcinoma were not provided,³³ chemotherapy can eventually decrease the CEC count.

Schiller et al. compared four standard chemotherapy regimens, cisplatin plus paclitaxel, cisplatin plus gemcitabine, cisplatin plus docetaxel, and carboplatin plus paclitaxel and found no significant difference in survival.²⁵ Despite the different modes of action of each nonplatinum agent against tumors and different biologic characteristics of each tumor, we could not select the regimen based on these characteristics. In our small study, the patients with PR/SD and longer PFS had higher baseline CEC values. Therefore, it seems that the baseline CEC count is a promising predictor of clinical response to the CBDCA plus paclitaxel regimen and survival in advanced NSCLC. If CEC is a marker for angiogenesis and reflects tumor neovascularization, it is likely that a high CEC is associated with a poor prognosis and lower effectiveness of antiangiogenic therapy. Paclitaxel and docetaxel are categorized as mitotic spindle agents with potent antiangiogenic properties.^{27–30} This is why a paclitaxel based regimen might be more effective against tumors with high CEC values. Nevertheless, CEC counts have also been reported to be increased in several clinical syndromes, such as cardiovascular diseases, infectious diseases, and vasculitides.^{11–13} The CEC counts in patients with vasculitides have been reported to be dozens of fold higher than those in healthy subjects,¹² therefore, we have to consider the patient condition carefully while interpreting the CEC counts in individual patients, although there were no patients with vasculitis in the present study. Further clinical investigation, with a similar approach, including other nonplatinum anticancer agents, such as

CDDP plus gemcitabine, is essential for the clinical application of CEC for made-to-order chemotherapy in NSCLC.

Antiangiogenic therapy targeting the VEGF pathway such as bevacizumab and VEGFR inhibitors have shown promise in the treatment of solid tumors.^{8,39} These agents inhibit endothelial cells through inhibition of the VEGF pathway. It was recently demonstrated that the addition of bevacizumab to CBDCA plus paclitaxel in advanced NSCLC patients produces a significant survival benefit as compared with chemotherapy alone.⁴⁰ Considering the outstanding clinical trial and our present study, it would be of great interest to investigate the role of CEC in this regimen.

In conclusion, CECs were measured in NSCLC patients before treatment. Our small clinical study indicates that the CEC count at baseline is a potential biomarker for predicting the response to chemotherapy and PFS, but further clinical evaluation is needed. In the near future, we will start a clinical investigation, using a similar approach, to examine other chemotherapeutic regimens.

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SNP Communication

Genetic Variations and Haplotype Structures of the Glutathione S-transferase Genes, *GSTT1* and *GSTM1*, in a Japanese Patient Population

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Summary: Glutathione S-transferases (GSTs) play a vital role in phase II biotransformation of many synthetic chemicals including anticancer drugs. Deletion polymorphisms in *GSTT1* and *GSTM1* are reportedly associated, albeit controversial, with an increased risk in cancer as well as with altered responses to chemotherapeutic drugs. In this study, to elucidate the haplotype structures of *GSTT1* and *GSTM1*, genetic variations were identified in 194 Japanese cancer patients who received platinum-based chemotherapy. Homozygotes for deletion of *GSTT1* (*GSTT1**0/*0 or null) and *GSTM1* (*GSTM1**0/*0 or null) were found in 47.4% and 47.9% of the patients, respectively, while 23.2% of the patients had both *GSTT1* null and *GSTM1* null genotypes. From homozygous (+/+) and heterozygous (*0/+) patients bearing *GSTT1* and *GSTM1* genes, six single nucleotide polymorphisms (SNPs) for *GSTT1* and 23 SNPs for *GSTM1* were identified. A novel SNP in *GSTT1*, 226C>A (Arg76Ser), and the known SNP in *GSTM1*, 519C>G (Asn173Lys, *B), were found at frequencies of 0.003 and 0.077, respectively. Using the detected variations, *GSTT1* and *GSTM1* haplotypes were identified/inferred. Three and six common haplotypes (N≥10) in *GSTT1* and *GSTM1*, respectively, accounted for most (>95%) inferred haplotypes. This information would be useful in pharmacogenomic studies of xenobiotics including anticancer drugs.

Keywords: *GSTT1*; *GSTM1*; nonsynonymous SNP; haplotype; haplotype-tagging SNP

Introduction

Glutathione S-transferases (GSTs) (EC 2.5.1.18) are dimeric phase II metabolic enzymes that mainly catalyze conjugation of reduced glutathione (GSH) with a variety of electrophilic compounds including carcinogens, ther-

apeutic drugs and environmental toxins as well as endogenous substances.¹⁾ In addition, GSTs possess selenium-independent GSH peroxidase activity to reduce organic hydroperoxides, and therefore, play significant roles in detoxification, occasionally toxification, and cellular protection against oxidative stress.²⁾ Noncatalytical-

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On April 28th, 2008, the novel variations described in this paper were not found in the Japanese Single Nucleotide Polymorphisms (JSNP) (<http://snp.ims.u-tokyo.ac.jp/>), dbSNP in the National Center for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/SNP/>) or SNP500Cancer Database (<http://snp500cancer.nci.nih.gov/>).

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ly, GSTs modulate signaling pathways by interacting with protein kinases³⁾ and by binding numerous ligands for nuclear hormone receptors.⁴⁾

Human GSTs are composed of three main families: cytosolic, mitochondrial and microsomal (or membrane-bound). The cytosolic family, which is principally involved in biotransformation of toxic xenobiotics, contains at least 17 genes subdivided into seven separate classes designated alpha, mu, pi, sigma, theta, zeta, and omega.^{5,6)} Increasing numbers of GST genes are identified as polymorphic.

The θ -class enzyme *GSTT1* and the μ -class enzyme *GSTM1* exhibit gene deletion polymorphisms (*GSTT1**0 and *GSTM1**0, respectively).⁷⁾ The null genotype of *GSTT1* (*GSTT1**0/*0) is found in 15–40% of Caucasians and 50–60% of Asians.⁷⁾ On the other hand, about half of both Japanese and Caucasians and 30% of Africans are homozygous for the *GSTM1* deletion (*GSTM1**0/*0).⁷⁾ In intact *GSTM1*, alleles *A and *B are used to discriminate the single nucleotide polymorphism (SNP) with amino acid substitution (thereafter, nonsynonymous SNP), 519C>G (Asn173Lys) in exon 7, in which both alleles encode proteins that are catalytically identical for the substrates, 1-chloro-2,4-dinitrobenzene (CDNB), *trans*-4-phenyl-3-buten-2-one (tPBO) and 1,2-epoxy-3-(*p*-nitrophenoxy)propane (EPNP).⁸⁾ In addition, a tandem duplication in *GSTM1* associated with ultrarapid enzyme activity was observed in Saudi Arabians.⁹⁾ A gene-dose effect has been clearly established: that is, homozygously deleted (*0/*0), heterozygously (*0/+) and homozygously intact (+/+) *GST* genotypes correspond to non-, intermediate, and high conjugators, respectively.^{10,11)}

A large number of association studies on *GSTM1* and *GSTT1* null genotypes have been performed with inter-individual differences in susceptibility to environmental toxins, cancer and other diseases, and in the outcomes of anticancer treatments. Increased risk of lung, bladder, breast and colon cancers were observed in carriers of *GSTM1* or *GSTT1* null genotypes, while other studies have reported controversial findings.^{5–7)} As for response to anti-cancer drugs, pharmacodynamic correlations have been investigated, but the obtained results are inconsistent.⁶⁾ It should be pointed out that despite the possible gene-dose effect, most association studies were only focused on null genotypes of *GSTM1* and/or *GSTT1*. Therefore, in addition to nonconjugators, discrimination between high and intermediate conjugators would be valuable to evaluate the clinical relevance of these GST loci. Also, certain SNPs in the intact genes might affect either the expression of the gene or the activity of the encoded enzyme.

In this study, we first determined the deletion genotypes (*0/0, *0/+, and +/+) of *GSTM1* and *GSTT1* by conventional PCR and TaqMan real-time quantitative PCR for 194 Japanese cancer patients treated by

platinum-based chemotherapy. Then, we resequenced the homozygous and heterozygous intact *GSTM1* and *GSTT1* genes. Lastly, linkage disequilibrium (LD) and haplotype analyses were performed using the detected SNPs.

Materials and Methods

Human genomic DNA samples: All 194 patients participating in this study were administered carboplatin or nedaplatin in combination with paclitaxel for treatment of various cancers (mainly non-small cell lung cancers) at the National Cancer Center. Genomic DNA was extracted from blood leukocytes from all subjects prior to the chemotherapy. The ethical review boards of the National Cancer Center and National Institute of Health Sciences approved this study. Written informed consent was obtained from all subjects.

Conventional PCR amplification of the *GSTT1* deletion junction: We used the genotyping assay described by Sprenger *et al.*,¹⁰⁾ in which 1460 (for *0 allele) and 466 bp (for exon 5 of the wild-type) PCR fragments were coamplified by multiplex PCR. PCR reactions were performed according to their method with minor modification.¹⁰⁾ Briefly, PCR mixtures contained 100 ng of genomic DNA, 0.2 μ M each of the 4 primers reported previously, 0.2 mM each of four deoxynucleotide triphosphates (dNTPs), and 0.75 units of HotStarTaq polymerase (Qiagen, Tokyo, Japan) in a 50 μ l volume. The PCR conditions were 95°C for 15 min, followed by 30 cycles of 94°C for 30 sec, and 65°C for 1.5 min. PCR fragments were analyzed on 1% agarose gels with ethidium bromide in TAE buffer.

Conventional PCR amplification of *GSTM1*: We used the method of McLellan *et al.* (1997),⁹⁾ in which exons 3 to 5 of *GSTM1* were coamplified with β -globin as an internal standard by multiplex PCR. The PCR reactions were carried out according to their method⁹⁾ except that 100 ng of genomic DNA and 0.75 units of HotStarTaq polymerase (Qiagen) were used in a 50 μ l total volume. The PCR conditions were 94°C for 15 min, followed by 30 cycles of 94°C for 48 sec, 62°C for 48 sec, and 72°C for 1.5 min, and then a final extension for 5 min at 72°C.

Quantitative real-time PCR for *GSTM1* and *GSTT1*: Quantitative real-time PCR using the TaqMan (5'-nuclease) assay system was carried out according to the method of Covault *et al.*,¹²⁾ in which the amounts of target *GSTM1* or *GSTT1* were quantified relative to those of the reference β -2-microglobulin (*B2M*) or cannabinoid receptor 1 (*CNR1*), respectively. Briefly, triplicate reactions were performed for 5 ng of genomic DNA used as a template in 1x TaqMan Universal PCR Master Mix with Amp Erase (50 μ l) (Applied Biosystems, Foster City, CA, USA). The thermal cycling conditions were 50°C for 2 min and then 95°C for 10 min, followed by 40 cycles of

95°C for 20 sec and 60°C for 1 min with the 7500 Real-Time PCR System (Applied Biosystems).

***GSTT1* DNA sequencing:** The heterozygous and homozygous samples for *GSTT1* (*0/+ and +/+), the 5'-flanking region (up to 801 bp upstream from the translation start site), all 5 exons with their surrounding introns and the 3'-flanking region were amplified by PCR and directly sequenced. For the 1st round PCR, the reaction mixtures contained 25 ng of genomic DNA, 1.25 units of Ex-Taq (Takara Bio. Inc. Shiga, Japan), 0.2 mM dNTPs, and 0.2 μM primers listed in **Table 1**. The PCR conditions were 94°C for 5 min, followed by 30 cycles of 94°C for 30 sec, 60°C for 1 min, and 72°C for 2 min; and then a final extension for 7 min at 72°C. The regions from 5'-flanking to exon 1 and from exon 4 to 3'-flanking were amplified separately by the nested PCR with Ex-Taq (1.25 units) and the primer sets (0.2 μM) listed in "2nd round PCR" of **Table 1**. The 2nd round PCR conditions were the same as described in the 1st round PCR. The 2nd round PCR products and the 1st round PCR products for exons 2 and 3 were then treated with a PCR Product Pre-Sequencing Kit (USB Co., Cleveland, OH, USA) and were directly sequenced on both strands using an ABI BigDye Terminator Cycle Sequencing Kit (Applied Biosystems) with the sequencing primers listed in **Table 1** (Sequencing column). Excess dye was removed by a DyeEx96 kit (Qiagen, Hilden, Germany). Eluates were analyzed on an ABI Prism 3730 DNA Analyzer (Applied Biosystems). All novel SNPs were confirmed by repeated sequencing of the PCR products generated by new genomic DNA amplifications. The genomic and cDNA sequences of *GSTT1* obtained from GenBank (NT_011520.11 and NM_000853.1, respectively) were used as reference sequences.

***GSTM1* DNA sequencing:** For samples with *0/+ and +/+, genetic variations were identified by resequencing. Particular attention was paid to avoid amplification of sequences of other homologous *GSTMs* because exon 8 of *GSTM1* is 99% identical to that of *GSTM2*.¹³⁾ We confirmed that PCR fragments were not amplified from samples with *GSTM1**0/*0 genotypes to evaluate primer specificities. The entire *GSTM1* gene except for the region through exon 8 to the 3'-flanking region was amplified in the 1st round of PCR from 25 ng of genomic DNA utilizing 1.25 units of Ex-Taq with 0.2 μM of primers listed in **Table 2**. Next, three regions (from 5'-flanking to exon 3, from exon 4 to 5, and from exon 6 to 7), were separately amplified in the 2nd round PCR from the 1st round PCR product by Ex-Taq (0.625 units) with 0.2 μM primers listed in **Table 2**. The region from exon 8 to the 3'-flanking was separately amplified from 25 ng of genomic DNA using 0.625 units of Ex-Taq with 0.2 μM primers (listed in **Table 2**). All PCR conditions were the same as those described for *GSTT1*. PCR products were then directly sequenced with the primers listed in

Table 1. *GSTT1* primer sequences

	Amplified and sequenced region	Forward primer		Reverse primer		PCR product (bp)
		Sequence (5' to 3')	Position ^a	Sequences (5' to 3')	Position ^a	
1st round PCR	multiplex 5'-flanking (up to -1366) to exon 1 Exon 4 to 3'-flanking region	CAC TCCCGCCCAAAATTAGGTT	3776166	ATGATCCCCACCCCTTATTTCG	3774444	1723
		ATCACAAGGTCAGGAGATTG	3767902	ACTCTTGGCAAACATCAGGG	3766589	1314
		ACATAATCTCTCTGCAAACTG	3773267	TGTCTCAAGGATACTCTCACCA	3772011	1257
	Exon 2					
	Exon 3	GCAAATTGTCAGAAAGGTTAAAGA	3770734	CCCACCTCTGATTAGCTTAGAAG	3768725	2010
2nd round PCR	5'-flanking (up to -801) to exon 1 Exon 4 to 3'-flanking region	TTTCAGTGGGATTCGTTTAGA	3775601	CCCCGTGGTCTATTCCGTGA	3774478	1124
		CATCACTAATCATTAGGGAA	3767648	CTGGGAAGGGGGTGTCTTTT	3766628	1021
Sequencing	5'-flanking (up to -801)	TTTCAGTGGGATTCGTTTAGA	3775601	GGCTCGCTCAITTCACATTAG	3775090	
	Exon 1	GGTGGAAATTCGACACAC	3775162	CCCCGTGGTCTATTCCGTGA	3774478	
	Exon 2 ^b	AAGGGACAAGTAGTCAGTC	3772758	AACTGGAATAGCAGGAAGGC	3772099	
	Exon 3 ^b	AAAAAAGCCACTATGTATGAAAT	3770153	AGATAAAATGGATGAACAGATGCT	3769662	
	Exon 4	CATCACTAATCATTAGGGAA	3767648	CAGACTGGGGATCGATGGTTGT	3767204	
	Exon 5 to 3'-flanking region	CATCCCAGTCTGACCCCTTTCC	3767216	CTGGGAAGGGGGTGTCTTT	3766628	

^aThe nucleotide position of the 5' end of each primer on NT_011520.11.

^bFor exons 2 and 3, the 1st round PCR product was directly sequenced.

Table 2. *GSTM1* primer sequences

	Amplified and sequenced region	Forward primer		Reverse primer		PCR product (bp)
		Sequence (5' to 3')	Position ^a	Sequences (5' to 3')	Position ^a	
1st round PCR	5'-flanking (up to -1309) to exon 7	CCACAAACAAGTTTATTGGGCG	6136872	GTACTAGACATCAATGTCACCGTT	6141347	4476
	Exon 8 to 3'-flanking region	ACAGTGAGATTTTGTCTCAGGTATT	6142766	CTCAATTCTAGAAAAGAGCGAG	6145058	2293
2nd round PCR	5'-flanking (up to -650) to exon 3	GACCACATTTCTTTACTCTGG	6137531	TAAGAATACTGTCACATGAACG	6139231	1701
	Exon 4 to 5	TCTGTGTCCACCTGCATTTCGTTCA	6139192	CTGAACACAAACTTTACCATAC	6139883	692
	Exon 6 to 7	CTAATAAATGCTGATGTATCCAAT	6140410	CCTACTATTGCCAGCTCCATCTAT	6141315	906
Sequencing	5'-flanking (up to -650)	GTCCTTCTATACCCTGACAC	6137567	AACCGAGCAGGGCTCAGAGTAT	6138145	
	Exon 1 to 2	CCCTGACTTCGCTCCCGAAC	6137956	GGACACCCGTCCCAATTAGACA	6138764	
	Exon 3	TCTGCCCACTCAGCTAAGTTG	6138577	TAAGAATACTGTCACATGAACG	6139231	
	Exon 4 to 5	TCTGTGTCCACCTGCATTTCGTTCA	6139192	CTGAACACAAACTTTACCATAC	6139883	
	Exon 6 to 7	CTAATAAATGCTGATGTATCCAAT	6140410	CCTACTATTGCCAGCTCCATCTAT	6141315	
	Exon 8 ^b	GAACTTCTGTTTCCCACATGAG	6143164	GAGTAAAGATGGGAATAACAG	6143735	
	3'-untranslated and flanking region ^b	TCGTTCTTTCTCTGTTTATT	6143701	CCTTGGGGTCTATTCAATGAG	6144362	

^aThe nucleotide position of the 5' end of each primer on NT_019273.18.

^bFor the region from exon 8 to 3'-flanking, the 1st round PCR product was directly sequenced.

“sequencing” of **Table 2** as described above for *GSTT1*. All novel SNPs were confirmed by repeated sequencing of PCR products that were newly generated by amplification of genomic DNA. The genomic and cDNA sequences of *GSTM1* obtained from GenBank (NT_019273.18 and NM_000561.2, respectively) were used as reference sequences.

Linkage Disequilibrium (LD) and haplotype analyses: Hardy-Weinberg equilibrium and LD analyses were performed by SNPalyze ver 7.0 (Dynacom Co., Yokohama, Japan). Pairwise LD ($|D'|$ and r^2 values) between two variations was calculated using 102 subjects bearing one or two *GSTT1* genes and 101 subjects bearing one or two *GSTM1* genes. Some haplotypes were unambiguous from subjects with heterozygous *0 alleles. Diplotype configurations were inferred based on estimated haplotype frequencies using Expectation-Maximization algorithms by SNPalyze software, which can handle multiallelic variations. Haplotypes containing SNPs without any amino acid change were designated as *1, and nonsynonymous SNP-bearing haplotypes were numerically numbered. Subtypes were named in their frequency order by use of alphabetical small letters.

Results

Determination of deletion polymorphisms in *GSTM1* and *GSTT1*: Both conventional PCR¹⁰ and TaqMan real-time PCR¹² were used to identify deletion of *GSTT1*. By conventional PCR, 92 out of 194 subjects (frequency = 0.474) were assigned as *GSTT1**0/*0. For all 92 samples with *GSTT1**0/*0, no significant fluorescence derived from *GSTT1* amplification was detected by TaqMan real-time PCR (mean cycle threshold, Ct, 37.6). Eighty-two (frequency = 0.423) and 20 (frequency =

0.103) subjects were identified as heterozygous (*0/+) and homozygous (+/+) for intact *GSTT1* by conventional PCR, respectively. In the TaqMan real-time PCR, the mean \pm SD of relative amounts of *GSTT1* was 1.0 ± 0.111 , and 0.448 ± 0.058 for homozygous and heterozygous *GSTT1* carriers, respectively (the mean value for the 20 homozygotes was set as 1). Since the maximum relative amount of *GSTT1* was 1.214, no gene duplication could be inferred for *GSTT1*. The assigned genotypes were consistent between both methods, and their frequencies (**Table 3a**) were in Hardy-Weinberg equilibrium ($p = 0.785$ by Pearson's chi-square test).

As for *GSTM1*, conventional PCR⁹ indicated that 93 out of 194 subjects had a homozygous deletion of *GSTM1* (*0/*0), and that the remaining 101 subjects were either heterozygotes (*0/+) or homozygotes (+/+) for intact *GSTM1*. By real-time PCR, Ct values of 93 samples with the null genotypes were greater than 36.5, which exceeded the sensitivity limits (Ct = 35) of the real-time PCR detection system, indicating that both methods gave consistent results for *GSTM1**0/*0. As for the 101 subjects with intact *GSTM1* genes (either *0/+ or +/+), the distribution of relative amounts of *GSTM1* was clustered into two groups with 1.0 ± 0.083 (16 homozygotes), and 0.51 ± 0.048 (85 heterozygotes) when the mean value of the 16 homozygotes was set as 1. No individuals showed relative amounts more than 1.216, suggesting that the duplication in *GSTM1*⁹ was not present in our population. Thus, the frequencies of *GSTM1**0/*0, *0/+, and +/+, were 0.479, 0.438, and 0.082, respectively (**Table 3a**), and in Hardy-Weinberg equilibrium ($p = 0.576$ by the Pearson's chi-square test).

Table 3b summarizes the results of the distribution of *GSTM1* and *GSTT1* deletions in our Japanese population.

About one-fourth (45 of 194 subjects) were null for both *GSTM1* and *GSTT1* genes.

Variations found in the intact *GSTT1* gene and their LD profiles: Six variations including three novel ones were found by sequencing the 5'-flanking regions, all 5 exons and their flanking regions in the 102 Japanese subjects with *0/+ and +/+ genotypes (Table 4). All detected variations were in Hardy-Weinberg equilibrium ($p \geq 0.44$ by the χ^2 test or Fisher's exact test) when assuming the presence of three alleles (wild, variant and *0

alleles) at each site. One novel nonsynonymous variation, 226C>A (Arg76Ser), was heterozygous in one subject with two intact *GSTT1* genes, and its allele frequency was 0.003 (1/388). The remaining two novel variations in the intronic regions (IVS1+71A>G and IVS2-8A>C) were also rare (allele frequency = 0.003 for both).

Three known variations (IVS1+166A>G, IVS3-36C>T and 824T>C) were found at a relatively high frequency (0.106) and were perfectly linked ($r^2 = 1.0$) with each other.

Variations found in the intact *GSTM1* gene and their LD profile: We found 23 variations, including seven novel ones, in 194 Japanese cancer patients (Table 5). Ten variations were located in the 5'-flanking region, 2 in the coding exons, 9 in the introns, and 2 in the 3'-flanking region. All detected variations were in Hardy-Weinberg equilibrium ($p > 0.37$ by the χ^2 test or Fisher's exact test) except for 1107+41C>T in the 3'-flanking region ($p = 0.003$ by the Fisher's exact test). Deviation from Hardy-Weinberg equilibrium for this variation was due to 2 more homozygotes than expected among 16 *GSTM1* +/+ subjects.

Seven novel variations, -416G>T and -165A>G in the 5'-flanking region, IVS1+97C>T, IVS1-79G>A, IVS1-78T>A, and IVS2+202G>A in the introns and 1107+128G>A in the 3'-flanking region, were found in single subjects (allele frequencies = 0.003). No novel nonsynonymous SNPs were detected.

Sixteen other variations were already reported or publicized in the dbSNP and/or JSNP databases. They were detected in more than 10 chromosomes (allele frequencies ≥ 0.026) in our population except for -423C>G and IVS2+118T>C (allele frequency = 0.003).

The pairwise $|D'|$ values between 14 common variations ($N \geq 10$) in *GSTM1* were higher than 0.95 except for the combinations between -480A>G and other variations, which showed lower $|D'|$ values ($0.27 < |D'| < 1.0$). As for the r^2 values, strong LDs ($r^2 > 0.87$) were observed among 10 variations,

Table 3. Frequencies of *GSTT1* and *GSTM1* deletions

(a)

	Genotype	N	Frequency (%)	Allele	N	Frequency (%)
<i>GSTT1</i>	*0/*0	92	0.474	*0	266	0.686
	*0/+	82	0.423			
	+/+	20	0.103	+	122	0.314
<i>GSTM1</i>	*0/*0	93	0.479	*0	271	0.698
	*0/+	85	0.438			
	+/+	16	0.082	+	117	0.302

(b)

Genotype combination		N	Frequency (%)
<i>GSTT1</i>	<i>GSTM1</i>		
	*0/*0	45	0.232
*0/*0	*0/+	42	0.216
	+/+	5	0.026
	*0/*0	39	0.201
*0/+	*0/+	34	0.175
	+/+	9	0.046
	*0/*0	9	0.046
+/+	*0/+	9	0.046
	+/+	2	0.010

*0, deletion; +, intact gene

Table 4. Summary of *GSTT1* SNPs detected in a Japanese population

SNP ID			Location	Position		Nucleotide change and flanking sequences (5' to 3')	Amino acid change	Allele frequency (N = 388)
This study	dbSNP (NCBI)	JSNP		NT_011520.11	From the translational initiation site or from the end of nearest exon			
MPJ6_GTT1001 ^a			intron1	3774618	IVS1+71A>G	catagcttagggA/Gacttctcccagc		0.003
MPJ6_GTT1002	rs140313	ssj0002194	intron1	3774523	IVS1+166A>G	gatccaagagtcA/Ggggctcccacaa		0.106
MPJ6_GTT1003 ^a			intron2	3770088	IVS2-8A>C	catgacccccacA/Ccccacagtrgg		0.003
MPJ6_GTT1004 ^a			Exon3	3770055	226C>A	ctctacctgacgC/Agcaaatataagg	Arg76Ser	0.003
MPJ6_GTT1005	rs140308		intron3	3767603	IVS3-36C>T	ctaactccctacC/Tccagtaactccc		0.106
MPJ6_GTT1006	rs4630	ssj0002197	3'-UTR	3766891	824(*101 ^b)T>C	ggaatggcttgcT/Ctaagactgccc		0.106

^aNovel variations detected in this study.

^bThe nucleotide that follows the translation termination codon TGA is numbered and starts as *1.

Table 5. Summary of *GSTM1* SNPs detected in a Japanese population

SNP ID			Location	Position		Nucleotide change and flanking sequences (5' to 3')	Amino acid change	Reported alleles	Allele frequency (N = 388)
This study	dbSNP (NCBI)	JSNP		NT_019273.18	From the translational initiation site or from the end of nearest exon				
MPJ6_GTM1001	rs412543	ssj0002146	5'-flanking	6137629	- 552C>G	agactaagccctC/Gggagtagctttc			0.044
MPJ6_GTM1002	rs3815029	ssj0002147	5'-flanking	6137641	- 540C>G	gggagtagctttC/Gggatcagaggaa			0.026
MPJ6_GTM1003	rs412302	ssj0002148	5'-flanking	6137701	- 480A>G	tcccaggtgggA/Gccaccactttt			0.064
MPJ6_GTM1004	rs3815026		5'-flanking	6137758	- 423C>G	cccttgggaactC/Gggcagcggagag			0.003
MPJ6_GTM1005 ^a			5'-flanking	6137765	- 416G>T	gaactcggcagcG/Tgagagaaggctg			0.003
MPJ6_GTM1006	rs4147561	ssj0002149	5'-flanking	6137783	- 398C>T	aaggctgagggcC/Taccgcggcagg			0.077
MPJ6_GTM1007	rs4147562	ssj0002150	5'-flanking	6137784	- 397A>T	aggctgagggcA/Tccgcggcaggg			0.077
MPJ6_GTM1008	rs4147563	ssj0002151	5'-flanking	6137788	- 393T>C	tgagggacaccT/Cgggcagggagga			0.080
MPJ6_GTM1009	rs28549287	ssj0002152	5'-flanking	6137823	- 358G>A	gagcttctccG/Atttagatctggc			0.075
MPJ6_GTM1010 ^a			5'-flanking	6138016	- 165A>G	cttactgagtgC/A/Gccccagggccc			0.003
MPJ6_GTM1011 ^a			intron 1	6138313	IVS1 + 97C>T	tcctcttcaggcC/Tgccccctcag			0.003
MPJ6_GTM1012 ^a			intron 1	6138398	IVS1 - 79G>A	ggtacgtgcagtG/Ataaactgggggc			0.003
MPJ6_GTM1013 ^a			intron 1	6138399	IVS1 - 78T>A	gtacgtgcagtT/Aaaactgggggct			0.003
MPJ6_GTM1014	rs4147564	ssj0002153	intron 2	6138670	IVS2 + 118T>C	ctgcaggctgtC/Ccttccctgagcc			0.003
MPJ6_GTM1015 ^a			intron 2	6138754	IVS2 + 202G>A	ctgtctaattgG/Aacgggtgtccct			0.003
MPJ6_GTM1016	rs737497	ssj0002154	intron 3	6139277	IVS3 - 78C>T	cccggctctctcC/Tctgctctgctt			0.077
MPJ6_GTM1017	rs4147565	ssj0002155	intron 4	6139462	IVS4 + 26A>G	gctgcaatgtgtA/Ggggggaagggtg			0.080
MPJ6_GTM1018	rs4147566	ssj0002156	intron 5	6139772	IVS5 + 140C>T	cagttattctcaC/Tgactccaatgtc			0.077
MPJ6_GTM1019	rs1065411	ssj0002159	Exon 7	6140823	519C>G	atttgagcccaaC/Gtgcttgacgcc	Asn173Lys	*B	0.077
MPJ6_GTM1020	rs1056806	ssj0002160	Exon 7	6140832	528C>T	caagtgcttggA/Tgccttcccaat	Asp176Asp		0.077
MPJ6_GTM1021	rs4147569	ssj0002161	intron 7	6143292	IVS7 - 221G>A	tgtagaatcttcG/Ataagtgttagct			0.080
MPJ6_GTM1022	rs4147570	ssj0002162	3'-flanking	6144093	1107(*450) + 41C>T ^b	ctggccatctacC/Tcagactgtctgt			0.026
MPJ6_GTM1023 ^a			3'-flanking	6144180	1107(*450) + 128G>A ^b	ggattctgctggG/Acatagtaaggcg			0.003

^aNovel variations detected in this study.

^bThe position of the 3' end of exon 8 (1107 or *450) + the position in the 3'-flanking region. (*450 indicates the position from the termination codon TAG.)

		IVS1+71 Δ>G	IVS1+166 Δ>G	IVS2-8 Δ>C	IVS2-36 226C>A Δ>G/76Ser	IVS2-36 C>T	824T>C	Number	Frequency
(a) <i>GSTT1</i>	Nucleotide change							266	0.686
	Amino acid change							78	0.201
		*1a						41	0.106
		*1b						1	0.003
		*1c						1	0.003
	*2						1	0.003	
							388	1.000	
whole deletion									
(b) <i>GSTM1</i>	Nucleotide change								
	Amino acid change								
		*0							
		*1a							
		*1b							
		*1c							
		*1d							
		*1e							
		*1f							
		*1g							
		*1h							
		*1i							
		*2a							
		*2b							
		*2c							
	*2d								
								271	0.698
								54	0.139
								10	0.026
								10	0.026
								6	0.015
								2	0.005
								1	0.003
								1	0.003
								1	0.003
								1	0.003
								17	0.044
								10	0.026
								2	0.005
								1	0.003
								388	1.000

Fig. 1. *GSTT1* (a) and *GSTM1* (b) haplotypes in a Japanese population. Each haplotype is shown in the row, and the alleles are in the columns with the white cell being the major allele and gray cell the minor (nucleotide alteration). § Haplotypes were inferred in only one patient and were ambiguous except for the marker SNPs.

−398C>T, −397A>T, −393T>C, −358G>A, IVS3−78C>T, IVS4+26A>G, IVS5+140C>T, 519C>G (Asn173Lys), 528C>T (Asp176Asp), and IVS7−221G>A. Of these variations, two (−398C>T and −397A>T) and four (IVS3−78C>T, IVS5+140C>T, 519C>G, and 528C>T) pairs of SNPs were in perfect LD ($r^2=1.0$).

Haplotype estimation and selection of haplotype-tagging SNPs (htSNPs): Based on results of the LD profiles, haplotypes of *GSTT1* and *GSTM1* were analyzed as one LD block that spans at least 7.7 kb and 6.5 kb, respectively. Using the six variations and null alleles in *GSTT1*, three common haplotypes (*GSTT1**0, *1a and *1b) and three rare haplotypes (*1c, *1d and *2a) were identified or inferred (Fig. 1a). Frequencies of the common haplotypes, *0, *1a, and *1b, were 0.686, 0.201, and 0.106, respectively. Thus, the htSNPs are either one of IVS1+166A>G, IVS3−36C>T, and 824T>C for *1b and 226C>A for *2.

For the *GSTM1* gene, three groups of haplotypes (*GSTM1**0, *1 and *2), each containing 1, 10 and 4 subtypes, were identified or inferred using the 23 variations and the null allele (Fig. 1b). The *2 group (*2a to *2d) was defined as the haplotypes harboring the known non-synonymous SNP, 519C>G (Asn173Lys), which was previously assigned *B.⁸⁾ The most dominant haplotype was *0 (0.698 frequency), followed by *1a (0.139), *2a (0.044), *1b (0.026), *1c (0.026), and *2b (0.026). These six haplotypes accounted for 95% of all haplotypes. The htSNPs that were able to resolve the 5 common haplotypes of the intact genes were −552C>G (*1b and *1d), −540C>G (*2b), −480A>G (*1b and *2b), 519C>G (Asn173Lys) (*2), and 1107+41C>T (*1c).

Discussion

The present study provides the first comprehensive data on genetic variations of *GSTT1* and *GSTM1* in Japanese, the genes encoding the phase II metabolic enzymes important for cellular defense systems. Moreover, SNPs in intact genes were identified by resequencing, and haplotype structures and tagging SNPs were shown.

It is well recognized that *0 alleles in *GSTT1* and *GSTM1* distribute with different frequencies in several ethnicities. We have shown that 47.4% and 47.9% of our Japanese population homozygously lack *GSTT1* (*GSTT1**0/*0) and *GSTM1* (*GSTM1**0/*0), respectively. The *GSTT1**0/*0 frequency is comparable to that reported previously in Japanese (54.0%)¹⁴⁾ and east Asians such as Koreans (46–62%)^{7,15)} and Chinese (49–58%),^{16,17)} but was higher than Malay (38%),¹⁷⁾ Indians (16%),¹⁷⁾ Caucasians (15–24%),^{7,18)} African Americans (22–24%),^{7,18)} Mexican Americans (10%),⁷⁾ and Scandinavians (15%).⁷⁾ On the other hand, no marked differences are found in the frequencies of *GSTM1**0/*0 between Caucasians (42–60%)^{7,18)} and East Asians including Japanese, Koreans

and Chinese (44–63%),^{7,14–16} although these frequencies were higher than that of Africans (16–36%).^{7,18} The subjects bearing neither *GSTT1* nor *GSTM1* were observed at 23.2%, the frequency of which is similar to Koreans (29.1%)¹⁵ and Shanghai Chinese (24%),¹⁶ but higher than Caucasians (7.5–10.4%)^{7,18} and Africans (3.9%).¹⁸

A number of association studies of the *GSTM1* and *GSTT1* genotypes with cancer susceptibility and cancer therapy outcome have been reported; however, the results are sometimes conflicting.^{5–7} In our 194 patients with mainly non-small cell lung cancers, the frequency of *GSTT1**0/*0 and *GSTM1**0/*0 was similar to those in healthy Japanese. This result is in good agreement with a body of literature where the effects of *GSTT1* and *GSTM1* null genotypes on lung cancer development were not clear unless other genetic traits affecting carcinogen metabolism such as *CYP1A1**2A and *GSTP1**B (Ile105Val) were combined.⁷

One novel *GSTT1* nonsynonymous variation (226C>A, Arg76Ser) was found in one subject. Arg76 is located in the α 3 helix of N-terminal domain I, which forms glutathione binding sites.^{19,20} In the crystal structure of human GSTT1-1, this residue closely (2.7 Å) contacts Tyr85 of another subunit (Protein Data Bank, 2C3T).²¹ Arg76 is conserved among human, bovine and chicken, whereas this residue is a histidine in mouse and rat. Interestingly, rat and mouse GSTT2 have Ser at position 76.

Of the six SNPs detected in *GSTT1*, three were perfectly linked, resulting in a simple haplotype structure. One of the linked SNPs, 824T>C, was analyzed for various ethnicities in the SNP500Cancer Database (<http://snp500cancer.nci.nih.gov/>). Its frequency in Japanese (0.106) was comparable to that in Caucasians (0.121), but lower than that in Africans and African-Americans (0.70).

In the *GSTM1* 5'-flanking region (up to -650), eight known SNPs in the NCBI dbSNP database were also detected in this study. This was in contrast to *GSTT1*, in which no SNPs were detected in the 5'-flanking region (up to -801 bp). Murine *GSTM1* is transcriptionally up-regulated by the Myb proto-oncogene protein through the Myb-binding site (-58 to -63) in the *GSTM1* promoter,²² whereas no studies on the mechanisms of transcriptional regulation have been performed with human *GSTM1*. The four common SNPs, -398C>T, -397A>T, -393T>C, and -358G>A (0.075–0.080 in frequencies), were almost perfectly linked with the known SNP, 519C>G (Asn173Lys, *GSTM1**B) in Japanese. The *GSTM1*a-1a isozyme (Asn173) and *GSTM1*b-1b isozyme (Lys173) were reported to have similar catalytic activities *in vitro*.⁸ Nevertheless the association of the *GSTM1**A alleles has been shown with a reduced risk for bladder cancer.²³ Therefore, the functional significance of promoter SNPs on *GSTM1* expres-

sion should be further elucidated.

In conclusion, deletions of *GSTT1* and *GSTM1* in Japanese were analyzed by conventional PCR and TaqMan real-time PCR. About one-fourth (0.232 in frequency) of subjects had double *GSTM1* and *GSTT1* null genotypes. In the intact *GSTT1* and *GSTM1* genes, six and 23 SNPs were identified, respectively, and three (*GSTT1**0, *1a, *1b) and six (*GSTM1**0, *1a, *2a, *1b, *1c and *2b) common haplotypes were inferred. Only one rare nonsynonymous SNP (226C>A, Arg76Ser) was found in *GSTT1*, suggesting that this gene is highly conserved. These findings would be useful for pharmacogenetic studies that investigate the relationship between the efficacy of anticancer drugs and *GST* haplotypes.

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