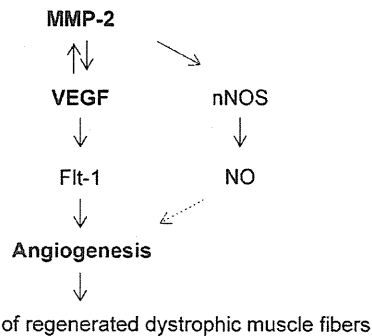


dystrophic muscle. Although VEGF is known to be located upstream of MMP-2, we suggest that MMP-2 and VEGF may regulate each other in the skeletal muscle.

We conducted microarrays to comprehensively identify differentially expressed genes in *mdx/MMP-2<sup>-/-</sup>* mice, because other genes could affect the phenotype. Among the genes up-regulated at 3 months of age in *mdx/MMP-2<sup>-/-</sup>* mice, S100A8 and A9 mRNA levels were significantly increased. S100 proteins are involved in the pathogenesis of cellular stress condition such as wound healing or inflammatory disorders (41). In particular, S100A8 and A9 are required for transcriptional activation of the *MMP-2* gene (42). We suggest, therefore, that overexpression of S100A8 and A9 in *mdx/MMP-2<sup>-/-</sup>* mice may compensate for MMP-2 ablation. In addition, microarray analysis (Supplementary Material, Table S4) and RT-PCR revealed that MMP-3 was significantly increased in the skeletal muscle of *mdx/MMP-2<sup>-/-</sup>* mice at 3 months of age when compared with that of the age-matched *mdx* mice. It has been reported that MMP-3 is significantly elevated in the synovium by intra-articular injection of recombinant S100A8 in knee joints of normal mice and was increased in macrophages stimulated by recombinant S100A8 or S100A8/A9 heterodimer (43). The up-regulation of MMP-3 in the skeletal muscle of *mdx/MMP-2<sup>-/-</sup>* mice at 3 months of age may be caused by overexpression of S100A8 and A9.

We also found that expression of nNOS in the *mdx/MMP-2<sup>-/-</sup>* mice was significantly lower than in *mdx* mice at 3 months of age. This further reduction in nNOS may have been caused by MMP-2 ablation in the *mdx* mice, or impairment of angiogenesis may secondarily induce a further decrease in nNOS. NO is increased in the ischemic hindlimb and eliminating NO impairs the revascularization process (44). It has been reported that an nNOS transgene in *mdx* mice ameliorated muscular dystrophy (45) and that *mdx* mice expressed dystrophin only in smooth muscle cells, restoring vascular nNOS expression and NO-dependent vasoregulation and resulting in improvement in dystrophic pathology (46). Taken together, these studies show that MMP-2 ablation in *mdx* mice may result in further reduction of nNOS in the dystrophic muscle, with a detrimental effect on the function and regeneration of the dystrophic muscle. It is reported that nNOS levels are reduced in inflammatory conditions (47). Actually, our data showed that the expression of some cytokines (e.g. CCL-2) was significantly increased in the skeletal muscle of *mdx/MMP-2<sup>-/-</sup>* at 3 months of age. Taken a report that S100A8/A9 enhances the gene expression of pro-inflammatory proteins such as CCL-2 (48), S100A8/A9 up-regulation may enhance pro-inflammatory genes, resulting in the down-regulation of nNOS expression in *mdx/MMP-2<sup>-/-</sup>* mice at 3 months of age. Interestingly, nNOS<sup>-/-</sup> mice in an acute lung injury model show reduced expression of VEGF protein (49). The decrease in nNOS levels via increased cytokines may also reduce VEGF expression in the skeletal muscle of *mdx/MMP-2<sup>-/-</sup>* mice at 3 months of age.

We assessed differences in the degradation patterns of DGC in the skeletal muscles between *mdx* and *mdx/MMP-2<sup>-/-</sup>* mice. The  $\beta$ -DG degradation was reduced by MMP-2 ablation in *mdx* mice at 1 month of age. Nevertheless, at 3 months of



**Figure 7.** Hypothetical schema of the role of MMP-2 in the dystrophin-deficient skeletal muscle. We hypothesize that MMP-2 influences angiogenesis and muscle regeneration via up-regulation of VEGF and its receptor Flt-1 during regeneration of the dystrophin-deficient skeletal muscle. MMP-2 may also affect angiogenesis in the dystrophin-deficient skeletal muscle through coordination of NO produced by nNOS.

age,  $\beta$ -DG degradation was unchanged in the presence of MMP-2. Previous reports documented that MMP-2 as well as MMP-9 were able to degrade  $\beta$ -DG (50) and that macrophage-derived MMP-2 in a mouse model of experimental autoimmune encephalomyelitis participated in tissue injury via  $\beta$ -DG degradation through proteolytic activity (10). However, our data indicated that  $\beta$ -DG degradation by MMP-2 was not apparent in the process of muscle regeneration. The expression of  $\beta$ -sarcoglycan remained unchanged in the skeletal muscle of *mdx/MMP-2<sup>-/-</sup>* mice at 1 and 3 months of age when compared with *mdx* of the same age. These results suggest that the ablation of MMP-2 does not significantly influence the degradation of  $\beta$ -DG and  $\beta$ -sarcoglycan in the regeneration process of the dystrophic muscle.

In this study, we found that reduction in angiogenesis via decreased VEGF and nNOS expression may impair regeneration in the skeletal muscle of *mdx* mice with MMP-2 ablation (Fig. 7). Corticosteroids are promising agents for the prevention of progression in various diseases including DMD; however, they inhibit VEGF and MMP-2, resulting in a reduction in tissue angiogenesis (51,52). Normal rats treated with corticosteroids exhibit muscle atrophy and weakness with a concomitant reduction in VEGF expression (53,54); therefore, the decrease in MMP-2 and VEGF by corticosteroids might be associated with the pathogenesis in steroid myopathy.

## MATERIALS AND METHODS

### Mice

Control (strain: C57 BL/6J) and MMP-2 knockout (strain: C57BL/6J-Mmp2tm) mice were purchased from Jackson Laboratory (Bar Harbor, ME, USA). Dystrophin-deficient (*mdx*) mice (strain: C57BL/6J-DMD*mdx*) were a gift from the Institute of Neuroscience, National Research Center of Neurology and Psychiatry (Tokyo, Japan). MMP-2 knockout (MMP-2<sup>-/-</sup>) mice were crossed with *mdx* mice to generate littermate WT, MMP-2<sup>-/-</sup>, *mdx/MMP-2<sup>+/+</sup>* and *mdx/MMP-2<sup>-/-</sup>* mice. All genotypes were determined using PCR

analysis of mice tail DNA. An amplification-resistant mutation system assay was used to identify control and *mdx* mice (55). MMP-2 knockout and control mice were identified using the primer sets suggested by the Jackson Laboratory. Mice were housed in a plastic cage in a temperature-controlled environment with a 12-h light/dark cycle and free access to food and water. All experiments with animals were carried out in accordance with the institutional guidelines and approved by the Institutional Review Board of Shinshu University, Japan.

### Muscle tissue extraction and preparation

TA muscles were carefully dissected and frozen in isopentane cooled by liquid nitrogen for histological and immunohistochemical analyses and protein and RNA isolation, and were stored at  $-80^{\circ}\text{C}$ . Ten-micrometer transverse cryostat sections were cut in the center of the TA muscle belly to obtain the largest cross-sectional area (CSA), placed on slides, air-dried and stained with hematoxylin and eosin (H&E). Serial sections were stained to demonstrate myofibrillar ATPase activity. Diaphragm, quadriceps and gastrocnemius muscles were also dissected and frozen, and were stained with H&E as noted above. The sections were viewed and photographed using a digital camera system (Leica Microsystems, Wetzlar, Germany).

### Cardiotoxin muscle injury and histochemistry

We injected 100  $\mu\text{l}$  of cardiotoxin (10 mM in 0.9% NaCl) (Sigma, St Louis, MO, USA) into the TA muscle of WT and MMP-2<sup>-/-</sup> mice at 6 weeks of age using a 27-gage needle and a 1-ml syringe. The needle was inserted deep into the TA muscle longitudinally toward the knee from the ankle. The needle was held in place for a few seconds and then slowly withdrawn along the long axis of the anterior tibial muscle with a little pressure to allow the cardiotoxin to permeate throughout the muscle. The TA muscles were isolated before the injection, and 3 and 7 days after; the muscles were then frozen in liquid nitrogen-cooled isopentane. Ten-micrometer transverse cryostat sections were stained with H&E, and the diameters of 500 muscle fibers were measured.

### Morphometric analysis

Morphometric analysis was performed to determine the CSA of each muscle fiber by using the H&E-stained TA, diaphragm, quadriceps and gastrocnemius muscle sections, separately recording the CSAs for perinuclear fibers and centronuclear regenerated fibers. Necrotic fibers, when present, were discarded. The distribution of muscle fiber CSAs was examined using National Institutes of Health (Bethesda, MD, USA) images. At least 1000 fibers were analyzed for each muscle, and muscle fiber boundaries were determined to count the size and number of the fibers. All images were obtained under identical conditions and at the same magnification. For the CSA histogram, histological parameters were evaluated and treated as previously described (56). Variability in fiber size was determined by the mean  $\pm$  S.E.M. values.

Study for vessels was performed on 6- $\mu\text{m}$ -thick TA muscle sections stained with anti-PECAM-1 antibody. Serial

H&E-stained sections were used to count the number of muscle fibers. The number and size of each vessel and number of muscle fibers were counted under identical conditions and at same magnification. We determined the number of vessels per myofiber and endothelial area of PECAM-1-positive vessels in total area.

### Immunohistochemical analysis

For immunofluorescent staining, serial cross-sections (6- $\mu\text{m}$  thick) from frozen skeletal muscle tissues were mounted on glass slides. The sections were air-dried and blocked in 10% goat serum in phosphate-buffered saline (PBS) for 30 min and incubated with primary antibodies in blocking solution at  $4^{\circ}\text{C}$  overnight. The sections were washed briefly with  $1\times$  PBS before incubation with secondary antibodies for 1 h at room temperature and then washed three times for 30 min with  $1\times$  PBS. The slides were mounted using a fluorescence medium with 4',6-diamidino-2-phenylindole (Vector Laboratories, Burlingame, CA, USA), visualized using a fluorescent microscope (Olympus, Tokyo, Japan), and images were captured using a VB-7010 camera (Keyence, Osaka, Japan). The primary antibody dilutions and sources were as follows: rat monoclonal anti-PECAM-1 (1:50; BD Transduction Laboratories, San Jose, CA, USA), rabbit polyclonal anti-VEGF-A (1:50; Santa Cruz Biotechnology, Santa Cruz, CA, USA), rabbit polyclonal anti-Flt-1 (1:100; Santa Cruz Biotechnology), rabbit monoclonal anti-Flk-1 (1:100; Cell Signaling Technology, Danvers, MA, USA), rat monoclonal anti-laminin  $\alpha 2$ -chain (1:100; Enzo Life Sciences, Plymouth Meeting, PA, USA), rabbit polyclonal anti-nNOS (1:200; Invitrogen, Carlsbad, CA, USA), rat monoclonal anti-NCAM (1:100; Millipore, Billerica, MA, USA), rat monoclonal anti-Mac3 (1:50 BD; Transduction Laboratories), mouse anti- $\beta$ -sarcoglycan (1:200; Leica Microsystems). Alexa Fluor<sup>®</sup> 488 or Alexa Fluor<sup>®</sup> 568-conjugated secondary antibodies were obtained from Invitrogen and used at 1:500 dilution.

### Total protein extract and western blotting

Muscle tissues (20 mg) were homogenized in 150  $\mu\text{l}$  of 5% sodium dodecyl sulfate (SDS) sample buffer (50  $\mu\text{mol/l}$  Tris-HCl, pH 8.0, 10  $\mu\text{mol/l}$  ethylenediaminetetraacetic acid, 5% SDS and 5%  $\beta$ -mercaptoethanol). After centrifugation (10 min at 15 000g), the protein concentration was estimated in the supernatant using the BCA Protein Assay Kit (Bio-Rad, Hercules, CA, USA). Protein homogenates recovered from the supernatant from each sample were denatured for 5 min at  $95^{\circ}\text{C}$  in reducing buffer (50  $\mu\text{l}$  of SDS buffer containing 5% SDS, 0.01% bromophenol blue, 10% glycerol and 5%  $\beta$ -mercaptoethanol). Protein extracts (10  $\mu\text{g/lane}$ ) were submitted to SDS-polyacrylamide gel electrophoresis (7.5 or 12.5%) with pre-stained standard proteins (Bio-Rad) to achieve more accurate molecular weight determination. The resulting gel was transferred onto a 0.2- $\mu\text{m}$  nitrocellulose membrane (Millipore) using a transfer buffer (25 mmol/l Tris-HCl, pH 8.3, 192 mmol/l glycine and 20% methanol). The membranes were blocked with Tris buffer, 0.1% Tween 20 (TBST) containing 5% milk (w/v) for 1 h at room

temperature. All membranes were incubated with primary antibodies at 4°C overnight followed by several washes with TBST. The membranes were incubated with peroxidase-conjugated secondary antibodies (Bio-Rad) for 1 h, washed several times with the washing buffer described above and visualized using an enhanced chemiluminescence system according to the manufacturer's protocol (Amersham, Little Chalfont, UK). Protein signals were quantified by scanning densitometry using the program package of the National Institutes of Health. The results from each experimental group were expressed as integrated intensities relative to the control samples. Equal loading of proteins was assessed on stripped blots by immunodetection using the anti-glyceraldehyde-3-phosphate dehydrogenase (GAPDH) antibody. The primary antibody dilutions and sources were as follows: goat anti-VEGF-A (1:200; Santa Cruz Biotechnology), mouse anti-nNOS (1:1000; BD Transduction Laboratories), rabbit anti-MMP-9 (1:1000; Millipore), mouse anti- $\beta$ -DG (1:800; Leica Microsystems), mouse anti- $\beta$ -sarcoglycan (1:400; Leica Microsystems) and mouse anti-GAPDH (1:3000; Millipore).

### Gelatin zymography

Frozen skeletal muscles were homogenized in an extraction buffer (62.5 mM Tris-HCl, pH 6.8, 2% SDS and 10% glycerol), and total protein content was assessed using a BCA Protein Assay Kit (Bio-Rad). Each extract (50  $\mu$ g) was dissolved in a loading buffer provided by the manufacturer and subsequently electrophoresed through a gelatin-containing SDS-polyacrylamide gel provided as part of the Gelatin Zymography Kit (Invitrogen). The gel was washed with regenerating buffer and subsequently incubated for 24 h at 37°C in developing buffer that was also provided by the manufacturer. The gels were stained in Coomassie Brilliant Blue (CBB) and destained with a destaining solution (Bio-Rad). Gelatinolytic activity was identified as clear bands on a blue background. Gelatin zymography detects the activity of both pro- and active forms of gelatinolytic MMPs. This is because exposure to SDS during gel electrophoresis activates the pro-form MMPs without proteolytic cleavage of the prodomain. Equality of the protein concentration was confirmed by CBB staining. Myosin heavy chain was used as a loading control.

### RNA isolation and gene expression profiling

Frozen tissues (20 mg) for each muscle were homogenized, and the total RNA was isolated using an RNeasy Fibrous Tissue Kit (Qiagen, Hilden, Germany) according to the manufacturer's instructions. cDNA synthesis, biotin-labeled target synthesis, Mouse Genome 430 2.0 Array Gene Chip (Affymetrix, Santa Clara, CA, USA) array hybridization, staining and scanning were performed according to the standard protocols supplied by Affymetrix. The quality of the data was controlled using Microarray Suite MAS 5.0 (Affymetrix). The MAS-generated raw data were uploaded to GeneSpring GX software version 10 (Silicon Genetics, Redwood City, CA, USA). The software calculated signal intensities, and each signal was normalized to the median of its values in all samples or the 50th percentile of all signals for a specific hybridization

experiment. Fold ratios were obtained by comparing normalized data for *mdx* and *mdx*/MMP-2<sup>-/-</sup> mice.

### Analyses by RT-PCR

Single-strand cDNA was synthesized using the QuantiTect Reverse Transcription Kit (Qiagen). The levels of mRNA and 18S rRNA were quantified using fluorescent dye SYBR-green detection (Roche Diagnostics, Basel, Switzerland) with 10 nM of each primer at a final volume of 10  $\mu$ l, and the reactions were carried out in duplicate using the StepOnePlus RT-PCR system (Applied Biosystems, Foster City, CA, USA). Thermal cycling conditions for all primers were 10 min at 95°C, then 40 cycles each of 15 s at 94°C, 30 s at 48°C, 1 min at 72°C and a final extension of 10 min at 72°C. For each gene, all samples were amplified simultaneously. Each RNA quantity was normalized to its respective 18S rRNA mRNA quantity. Primer sequences for RT-PCR are shown in Supplementary Material, Table S1.

### Statistical analysis

Results are expressed as mean  $\pm$  S.E.M. Statistical analysis was performed using an unpaired *t*-test for two-group comparisons, and multiple comparisons were performed using a one-way ANOVA. Intergroup comparison was carried out using the Bonferroni correction. Statistical significance was set at  $P < 0.05$ . Statistical analyses were carried out using the software SigmaStat, version 2.0 (Aspire Software, Ashburn, VA, USA).

### SUPPLEMENTARY MATERIAL

Supplementary Material is available at *HMG* online.

*Conflict of Interest statement.* None declared.

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## Original Article

## Exon-skipping events in candidates for clinical trials of morpholino

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**Abstract** *Background:* Duchenne muscular dystrophy (DMD) and Becker muscular dystrophy (BMD) are caused by abnormalities in the *DMD* gene. The majority of DMD patients have out-of-frame deletion(s), which disrupt the reading frame; while some cases of DMD are caused by duplication or nonsense mutation(s). Most patients with BMD have in-frame deletion(s), which preserve the reading frame. The phenotype of BMD is generally milder than that of DMD. Antisense morpholino-mediated exon skipping, which changes out-of-frame deletions to in-frame deletions, is a promising therapeutic approach for DMD. It is necessary, however, to confirm the exon-skipping event in cells of DMD patients before the clinical trial.

*Methods:* Fibroblasts isolated from four DMD patients were induced to differentiate into the myogenic lineage by infection with Ad.CAGMyoD. The cells were then transfected with two types of morpholino. The exon-skipping event was analyzed on reverse transcription–polymerase chain reaction.

*Results:* Morpholino B30, which is located at the splicing enhancer of exon 51 of the *DMD* gene, yielded the desired exon 51-skipping event in all deletion patterns of cells tested. Morpholino I25, which is located at the exon donor, induced two different exon-skipping patterns, which are total or partial exon 51-skipping events. According to the sequence analysis, the unexpected unskipped regions were the 95 bp section and the 188 bp section of exon 51, showing that the cryptic splicing donor was newly produced with I25. Unfortunately, these cryptic splicing donors gave rise to out-of-frame patterns. Based on these *in vitro* results, B30 would presumably be an effective therapy. Interestingly, the cocktail of B30 and I25 appeared to yield a more efficient exon 51-skipping event.

*Conclusion:* An *in vitro* system was developed that could easily screen the effectiveness of antisense sequences and identify good candidates for therapy with morpholino.

**Key words** Duchenne muscular dystrophy, dystrophin, exon skipping, fibroblast, morpholino.

Duchenne muscular dystrophy (DMD) is caused by defective expression of the *DMD* gene, resulting in the absence of the dystrophin protein in muscle fibers.<sup>1</sup> Approximately 60% of DMD/Becker muscular dystrophy (BMD) patients have deletions in the *DMD* gene itself, while the remaining 40% of patients have duplication, small deletions or point mutations in the region that encodes the gene.<sup>2–7</sup> DMD, which manifests as a severe muscle weakness phenotype, results from an out-of-frame deletion(s) in the *DMD* gene, leading to prematurely truncated, dysfunctional dystrophin.<sup>8</sup> In contrast, BMD, which results from an in-frame deletion(s) in the *DMD* gene, leads to the synthesis of internally defective but largely functional dystrophin. Thus, the muscle weakness seen in BMD is generally milder than that of DMD. Ninety percent of DMD and BMD patients seem to fit this rule.<sup>2</sup> Van Deutekom *et al.*, Wilton *et al.*, Dunckley *et al.* and

Takeshima *et al.* reported promising results for a genetic therapy aimed at restoring the reading frame of the dystrophin pre-mRNA in cells from the mdx mouse model and from DMD patients.<sup>9–12</sup> The strategy corrects the reading frame by inducing the skipping of specific exons during pre-mRNA splicing by using antisense oligonucleotides (AON) that interfere with the splicing of the targeted exons. This restoration may convert a Duchenne phenotype into a Becker phenotype.<sup>13</sup>

Phosphorodiamidate morpholino oligonucleotides (PMO) are a type of AON in which the phosphodiester bond is replaced by a phosphorodiamidate linkage and the ribose is replaced by a morpholino moiety. PMO seem most promising because they have higher affinity to their target nucleic acid sequences and greater resistance to degradation than conventional nucleic acids.<sup>14</sup> It is hard, however, to transfect PMO into cells *in vitro*, because they are non-ionic.<sup>15</sup> Therefore, there are major limitations in evaluating PMO in cultured cells.<sup>16</sup>

Alter *et al.* showed that weekly i.v. injections of PMO induce the expression of functional levels of dystrophin in skeletal muscles of the dystrophic mdx mouse, resulting in improved

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muscle function.<sup>17</sup> Yokota *et al.* reported that i.v. injections of a three-morpholino cocktail into DMD dogs induced therapeutic levels of dystrophin expression throughout the body (the average level was approx. 26% of the normal level), and the phenotype was improved.<sup>18</sup> Intramuscular injection of antisense oligonucleotide PRO051 (2-*O*-methyl oligonucleotides) and AVI-4658 (morpholino oligomer) induced dystrophin synthesis in DMD patients with suitable mutations, suggesting that further studies might be feasible.<sup>19,20</sup>

The exclusion of target exon 51 is predictive of the restoration of the DMD open reading frame (ORF) in 17% of DMD patients with a deletion mutation.<sup>21</sup> Exon 51 is the target for intervention in the present experimental design.<sup>22</sup> Fortunately, the BMD patients with in-frame deletions (exons 45–51 and 50–51) that encompass exon 51 are reported to have a mild phenotype.<sup>23</sup> Although this method is promising, 10% of the mutations recorded in the Leiden DMD mutation databases do not follow the reading-frame rule.<sup>2</sup> Prior to the initiation of clinical treatment, the correct exon-skipping events with the antisense sequence must be confirmed, because the sequences are different between human patients and animal models, and a small percentage of patients with the Duchenne type actually have an in-frame deletion in the *DMD* gene.<sup>2</sup> Therefore, we developed a system that can easily screen antisense sequences and identify patients who are eligible for the therapy.

## Methods

### Strategy

Fibroblasts isolated from DMD patients were induced to differentiate into the myogenic lineage by infection with Ad.CAG-MyoD, an adenoviral vector encoding MyoD regulated by the CAG promoter.<sup>24,25</sup> The cells were then transfected with two PMO, termed B30 and I25 by Arechavala-Gomez *et al.*,<sup>22</sup> which were designed to induce exon 51 skipping. B30 is located at the splicing enhancer of exon 51 of the *DMD* gene, and I25 is located at the exon donor. B30 was reported to be the most efficient inducer of exon 51 skipping, while I25 was a poor inducer that yielded a faint exon 51-skipping event. We analyzed the exon-skipping event on reverse transcription-polymerase chain reaction (RT-PCR) after transfection.

### Cell culture and AON transfection

The study protocol was approved by the institutional ethics committee of Kumamoto University. Human primary fibroblasts were isolated from the buttocks or upper arms of four DMD patients (ages 10, 18, 8, and 12 years) who had deletions of exons 45–50, 48–50, 49–50, and 50, respectively, in the *DMD* gene. Patients with deletions of exons 45–50 and 49–50 can walk by themselves, while patients with deletions of 48–50 and 50 require the use of a wheelchair. The range of deletions was determined on multiplex ligation-dependent probe amplification of the *DMD* gene (Mitsubishi Kagaku Bio-clinical Lab., Tokyo, Japan). A total of  $4 \times 10^5$  cells were seeded onto a 6 cm dish 1 day before infection. Cells were cultured in growth medium (Dulbecco's modified Eagle's medium [DMEM]; Invitrogen, Carlsbad, CA,

USA) with 10% fetal bovine serum (FBS; Moregate Biotech, Bulimba, Qld, Australia) and were infected with Ad.CAGMyoD at a multiplicity of infection of 20 (total amount, 1.33  $\mu$ L of  $6 \times 10^9$  PFU/mL) in 1 mL of FCS-free Hanks' Balanced Salt solution (HBSS; Invitrogen) for 2 h. During the infection, the HBSS was occasionally stirred. Sequentially, 3 mL of growth medium was added onto the dish. On the following day, the culture medium was replaced with differentiation medium (DMEM plus 2% FBS). When myoblasts fused to form myotubes, usually after 4 days,<sup>24,25</sup> the differentiated cells were transfected with 10  $\mu$ mol/L PMO (10  $\mu$ mol/L B30, 10  $\mu$ mol/L I25, or 5  $\mu$ mol/L of each) using Endo-Porter according to the manufacturer's instructions (Gene Tools, Philomath, OR, USA). The standard control (Gene Tools) was used for the negative control under each condition. At 7–8 days after infection, RNA was isolated from the cells for RT-PCR.

### Nested RT-PCR

Primary PCR consisted of 38 cycles of 94°C (1 min), 55°C (1 min) and 72°C (3 min) with the pairs of primers described here (Figs 1,2). Ten 1  $\mu$ L aliquots of the primary reaction products were then re-amplified in nested PCR consisting of 20 cycles of 94°C (1 min), 55°C (1 min) and 72°C (3 min) with the following primers (Figs 1,2): for the patient with the exon 45–50 deletion, exon 44-f1 (5'-gcgattgacagatctgtg-3') and exon 53-r (5'-aactgttgctccgggttctg-3') for the first PCR and exon 44-f2 (5'-ggcggcgtttcattatgatat-3') and exon 52-r (5'-ttccaactggggacgcctctgttc-3') for the second PCR; for the patient with a deletion of exon 48–50, exon 46-f (5'-gctagatcccacttgaacctg-3') and exon 53-r for the first PCR, and exon 47-f (5'-tgccgacgggaattctcaaa-3') and exon 52-r for the second PCR; and for the patients with the exon 49–50 deletion and the exon 50 deletion, exon 47-f and exon 53-r for the first PCR and exon 48-f (5'-gcttgaagaccttgaagagc-3') and exon 52-r for the second PCR. PCR products were analyzed on 3% agarose gels.

### Sequence analysis

DNA fragments amplified on PCR were sequenced with the dideoxy chain termination method using an automated sequencer (ABI Prism 310; Applied Biosystems, Tokyo, Japan) following the standard protocol.

## Results

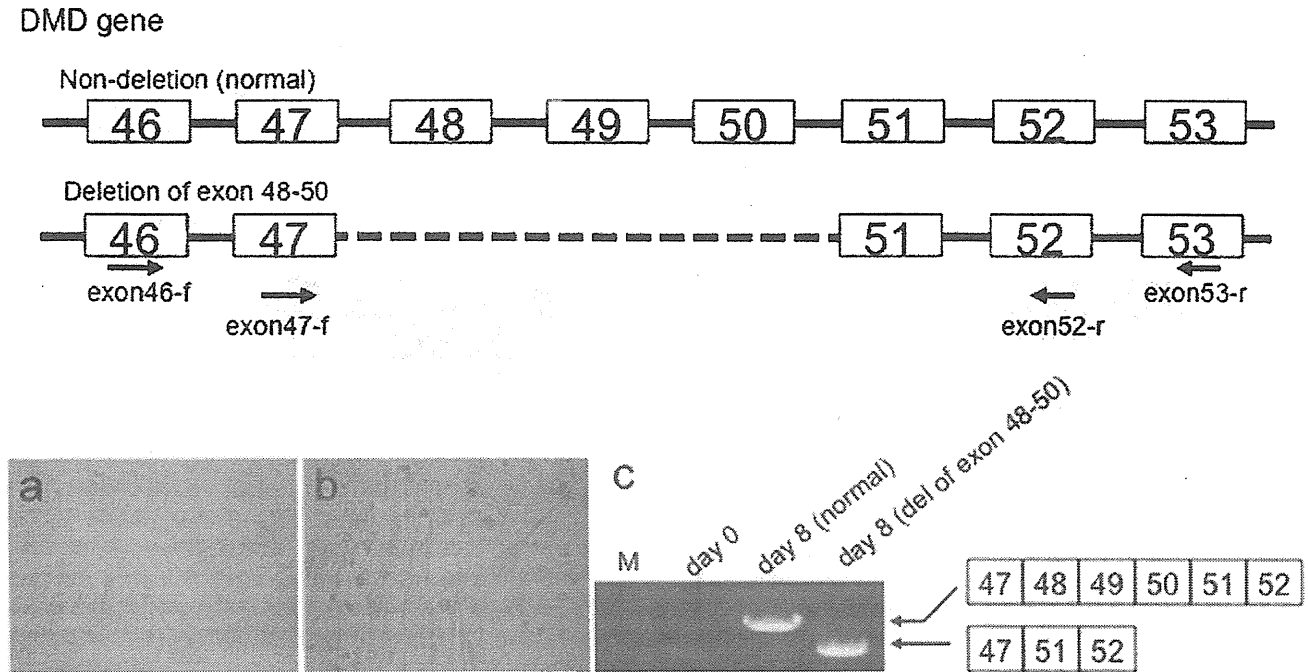
### Differentiation of fibroblasts into myotubes with Ad.CAGMyoD

Fibroblasts (Fig. 1a,b) began to fuse together at 4 days after infection and differentiate into myotubes at 6 days after infection. On RT-PCR dystrophin expression was detected from at least 7–8 days after infection (Fig. 1c).

### Exon 51 skipping after Endo-Porter-mediated transfection of 10 $\mu$ mol/L PMO

Fibroblasts with deletions of exon 45–50, 48–50, 49–50 and 50 of the *DMD* gene were used to check the exon 51-skipping event after being stably transfected with 10  $\mu$ mol/L B30 or I25, or 5  $\mu$ mol/L of each, with Endo-Porter. RT-PCR showed that the





**Fig. 1** Dystrophin expression from fibroblast into muscle lineage after infection of Ad.CAGMyoD. Upper panels, normal gene without deletions and the locations of the deletions of exon 48–50 in the Duchenne muscular dystrophy (DMD) gene. Arrows, locations of reverse transcription–polymerase chain reaction (RT-PCR) primers. (a) Non-Ad.CAGMyoD-infected non-deleted fibroblasts. (b) Fibroblasts at 8 days after infection of Ad.CAGMyoD. The fibroblasts were fused together. (c) Dystrophin expression was not detected in non-infected fibroblasts (day 0) but was detected in fibroblasts with non-deletion (normal) and deletion of exon 48–50 at 8 days after infection. M, DNA Molecular Weight Marker X (Roche, Penzberg, Germany).

transfection of B30 always yielded the exon 51-skipping event (Fig. 2). The size of the RT-PCR bands from cells with the exon 45–50 deletion, the exon 48–50 deletion, the exon 49–50 deletion and the exon 50 deletion was 164, 170, 154 and 256 bp, respectively. In the case of I25 transfection, cells with the exon 45–50 deletion yielded the long partial exon 51-skipped transcript (352 bp) and the exon 51-skipped transcript (164 bp; Fig. 2a); cells with the exon 48–50 deletion yielded the long partial exon 51-skipped transcript (358 bp) and the short partial exon 51-skipped transcript (265 bp; Fig. 2b); cells with the exon 49–50 deletion yielded the long partial exon 51-skipped transcript (342 bp) and the exon 51-skipped transcript (154 bp; Fig. 2c); and cells with the exon 50 deletion yielded all sizes of transcripts (the 444 bp long partial exon 51-skipped transcript; the 351 bp short partial exon 51-skipped transcript; and the 256 bp exon 51-skipped transcript; Fig. 2d). Interestingly, in the case of cells with the exon 48–50 deletion, the non-exon 51-skipped band was absent; only the long and short partial exon 51-skipped transcripts were observed (Fig. 2b).

When a cocktail of B30 and I25 was transfected, cells with the exon 49–50 deletion yielded the long partial exon 51-skipped transcript and the exon 51-skipped transcript, and cells with the exon 50 deletion yielded all types of transcripts (Fig. 2c,d). The density of the exon 51-skipped band after transfection with the cocktail of B30 and I25 was greater than the density of the non-exon 51-skipped band. Interestingly, when I25 was used, the

long partial exon 51-skipped transcripts were always observed (Fig. 2).

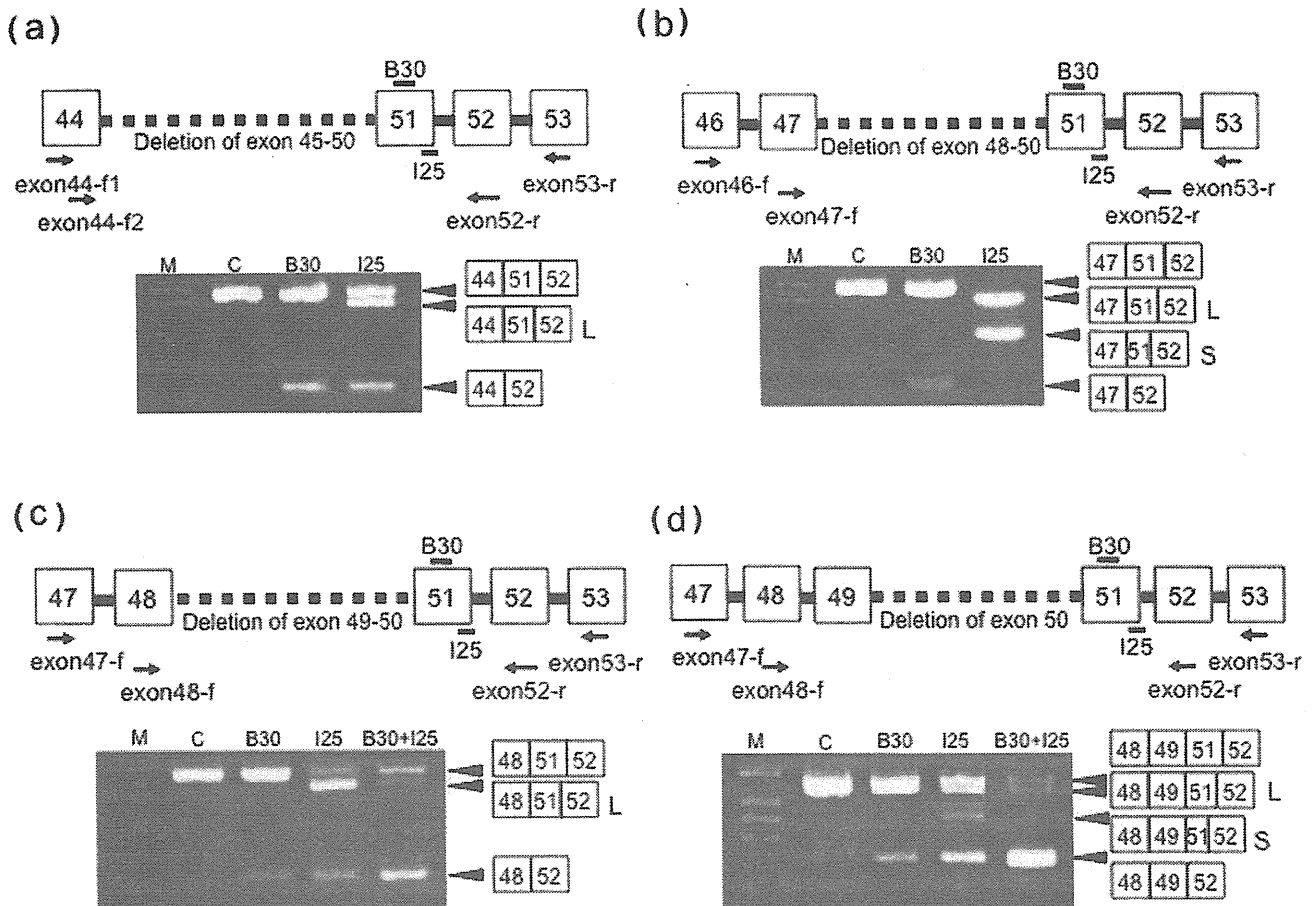
**Sequence analysis of short and long fragments**

Reverse transcription–polymerase chain reaction of cells transfected with I25 showed two kinds of bands different to the non-exon 51-skipped transcript and the exon 51-skipped transcript. According to the sequence analysis, the short fragment contained a 95 bp section of exon 51 (Fig. 3a), and the long fragment contained a 188 bp section of exon 51 (Fig. 3b). The 3' end of the 95 bp section is GAGIGTA (exon1 intron), which partially coincides with the consensus sequence of the splicing donor, C/AAGIGTA/G (exon1 intron), without the first G of GAG in the 3' end of the exon (Fig. 3a).<sup>26,27</sup> The 3' end of the 188 bp section is ATGIGTG (exon1 intron), which also partially coincides with the consensus sequence of the splicing donor, C/AAGIGTA/G (exon1 intron), without the second T in ATG of the 3' end of the exon (Fig. 3b). Thus, both segments appear to be cryptic splicing donors.

**Discussion**

In the present study we show that it is important to check the exon-skipping event *in vitro* by using patient fibroblasts before the initiation of therapy. Generally, PMO are not suitable for *in vitro* experiments,<sup>15,16</sup> but they are powerful tools for exon-skipping therapy.



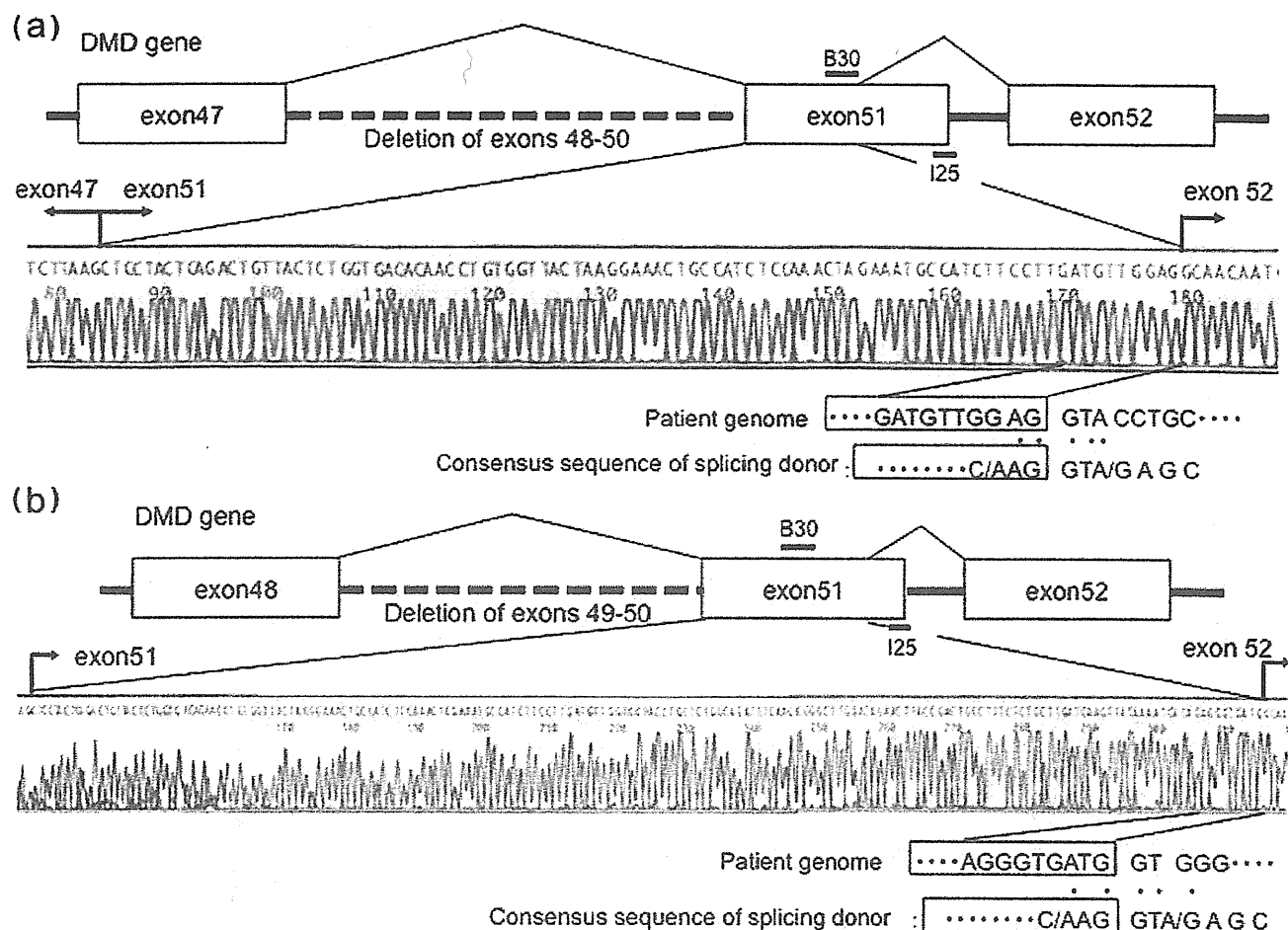


**Fig. 2** Endo-Porter transfection with 10  $\mu\text{mol/L}$  of antisense morpholino. The Endo-Porter-mediated transfection of 10  $\mu\text{mol/L}$  of phosphorodiamidate morpholino oligonucleotide (PMO) was stable. Upper panels, locations of the deletions in the Duchenne muscular dystrophy (DMD) gene. The deletions were (a) exons 45–50, (b) 48–50, (c) 49–50 and (d) 50. Arrows, locations of the reverse transcription–polymerase chain reaction (RT-PCR) primers. The positions of B30 and I25, the two PMO, are indicated. (a–d) RT-PCR of RNA isolated from fibroblasts that differentiated into muscle lineage and were subjected to Endo-Porter-mediated transfection of morpholino. The illustrations to the right of the gel show the pattern of exon-skipping. (a–d) The exon 51-skipping event was also correctly detected in cells transfected with B30. In contrast, RT-PCR of I25-transfected cells produced two kinds of bands, a long and a short partial exon 51-skipped transcript. (c,d) The transfection of a cocktail of B30 and I25 yielded the skipping patterns of B30 plus I25. Interestingly, the cocktail appeared to mediate a more efficient exon 51-skipping event. C, transfection of standard for a negative control; L, long partial exon 51-skipped transcript; M, DNA Molecular Weight Marker X (Roche, Penzberg, Germany); S, short partial exon 51-skipped transcript.

We initially performed single RT-PCR to detect exon skipping, but the results showed many extra bands. These extra bands might be caused by repeated sequences of the rod domain of the *DMD* gene. Therefore, we performed nested RT-PCR. After transfection of B30, only the exon 51-skipping event was observed. In contrast, after transfection of I25, we detected two kinds of longer partial exon 51-skipped transcripts that were different from the non-exon 51 and exon 51-skipped transcripts. These two types of partial exon 51-skipped transcripts were also observed in the Aartsma-Rus *et al.* study after I25 was injected i.m. into a transgenic mouse expressing a complete copy of the human *DMD* gene.<sup>2</sup> The authors, however, did not provide a clear description of these skipped transcripts. Sequence analysis in the present study produced two bands, a 95 bp band and a 188 bp band, which each included part of exon 51, because I25 produced

two cryptic splicing donors on exon 51. Unfortunately, these cryptic splicing donors resulted in an out-of-frame deletion. Therefore, we should check the consensus sequence of a splicing donor when we design sequences for antisense therapy. Such various exon-skipping patterns might be explained by differences in differentiation, because the PMO were transfected into cells in the differential stage from fibroblast to myotube. In addition, the different exon-skipping patterns were also caused by differences in the deletion patterns of the *DMD* gene, which is difficult to explain and suggests a difference in the exon-skipping pattern between wild type and the DMD dog.<sup>18</sup>

The transfection of a cocktail of B30 and I25 yielded the skipping pattern of B30 plus the pattern of I25. Interestingly, the cocktail appeared to yield a more efficient exon 51-skipping event than an equivalent total amount of one PMO. Thus, it seems



**Fig. 3** Sequence analysis of short and long fragments after transfection with I25. (a,b) Upper panels, locations of deletions in the Duchenne muscular dystrophy (DMD) gene and the splicing pattern. The bottom schema shows the border of cryptic donor. Dots, nucleotides that are identical to the consensus sequence of the splicing donor. Boxes, exons. (a,b) The short fragment (short partial exon 51-skipped transcript) and the long fragment (long partial exon 51-skipped transcript) included 95 and 188 bp of exon 51, respectively, because the cryptic splicing donor was produced.

that B30 and I25 together inhibited the splicing of exon 51 more than either B30 or I25 alone.

We checked the exonic splicing enhancer (ESE) scores of the sequences of B30 and I25. The sequence motifs recognized by the four most abundant SR proteins (SF2/ASF, SC35, SRp40 and SRp55) are implemented in the ESEfinder software. The scores/threshold of the SF2/ASF, SC35, SRp40, and SRp55 motif of B30 were 0.31027/1.956, 1.47752/ 2.383, .34852/2.67 and 0.41398/2.676, respectively. For I25, the scores were 3.09235/1.956, 0.48692/ 2.383, 1.52791/2.67, and 1.02577/2.676, respectively. The I25 ESE score is higher than that of B30. The score sometimes did not represent the true ESE.<sup>28</sup>

Aartsma-Rus *et al.* noted that not every effective PMO has high values of ESE score for SR protein binding sites and some ineffective PMO do have high values. Furthermore, ESEfinder predicts putative ESE sites for the most abundant SR proteins only.<sup>29</sup> So several important variables, such as the local sequence context, the splice-site strengths, the position of the ESE along

the exon and the presence of silencer elements, are likely to play a significant role in ESE activity.<sup>28</sup> We calculated ESE score, but the skipping efficiency does not accord with score like in other articles.

In conclusion, B30 is suitable for clinical therapy, because transfection with B30 consistently yielded only the exon 51-skipped band. I25 is not suitable, because various undesired transcripts were detected after its transfection. Chaouch *et al.* also analyzed the dystrophin expression in immortalized fibroblasts of a DMD patient by using engineered U7 small nuclear RNAs harboring the antisense sequence required to restore an in-frame dystrophin mRNA by skipping exon 51.<sup>30</sup>

Our strategy is a faster and easier method for the screening of exon skipping in each patient, and it allows for many kinds of PMO to be checked prior to the initiation of therapy.

We conclude that clinical trials of PMO should be performed with prior *in vitro* experiments using cellular systems to check the possible effectiveness in patients.

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## Effective Drug Delivery System for Duchenne Muscular Dystrophy Using Hybrid Liposomes Including Gentamicin along with Reduced Toxicity

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It is known that gentamicin (GM) could be a possible treatment for Duchenne Muscular Dystrophy (DMD). However, GM therapy has been hindered by several problems such as severe side effects of GM. In order to resolve these problems, we developed the drug delivery system (DDS) of GM using hybrid liposomes (HL) composed of L- $\alpha$ -dimyristoylphosphatidylcholine (DMPC) and polyoxyethylene(23) lauryl ether (C<sub>12</sub>(EO)<sub>23</sub>). The hydrodynamic diameters of HL including GM (GM-HL) were 60–90 nm with a narrow range of the size distribution and the sizes were kept almost constant for over 4 weeks, suggesting that GM-HL could avoid the reticuloendothelial system *in vivo*. Furthermore, GM-HL accumulated more to the skeletal muscle cells of X chromosome-linked muscular dystrophy (mdx) mice as compared to those of normal mice. Significantly, we succeeded in increasing dystrophin positive fibers in skeletal muscle cells of mdx mice using GM-HL along with the reduction of ototoxicity. It is suggested that GM should be carried more efficiently into the muscular cells of mdx mice by HL. These results indicate that HL could be an effective carrier in the DDS of GM therapy for DMD.

**Key words** Duchenne muscular dystrophy; hybrid liposome; gentamicin; drug delivery system; X chromosome-linked muscular dystrophy mouse

Duchenne/Becker muscular dystrophy (DMD/BMD) is caused by a defective expression of the dystrophin gene resulting in the absence of the dystrophin protein in muscle fibers.<sup>1,2</sup> Approximately 60% of DMD/BMD patients have deletions in the dystrophin gene itself,<sup>3–5</sup> while the remaining 40% have small deletions or point mutations in the region that encodes the gene. Furthermore, nonsense mutations located within the gene account for approximately 5–13% of the muscular dystrophies.<sup>6,7</sup>

Aminoglycoside antibiotics such as gentamicin (GM) had the ability to allow the ribosome to read through a premature-termination codon of the dystrophin gene, which prevented normal translation of dystrophin protein.<sup>8,9</sup> Barton-Davis *et al.* demonstrated the possibility of treating X chromosome-linked muscular dystrophy (mdx) mouse, which was an animal model for DMD that possessed a nonsense mutation in the dystrophin gene, with GM *in vivo*.<sup>10</sup> They used GM to suppress the nonsense mutations and could restore dystrophin expression successfully in mdx mouse. However, the GM therapy has been hindered by several problems such as severe side effects of GM, especially nephrotoxicity and ototoxicity, the poor delivery profile to muscle tissue, and short half-life in blood. Recently, the phase 2b clinical trial of PTC 124 (3-[5-(2-fluorophenyl)-[1,2,4]oxadiazol-3-yl]-benzoic acid),<sup>11</sup> which is a new drug to induce reading through a premature-termination codon without clear side-effects, showed that the primary endpoint of the change in 6 min walk distance tests did not reach any statistical significance within the 48 weeks duration of the study according to Genzyme corporation announcement.<sup>12</sup>

Therefore, to overcome these inadequacies of GM therapy for DMD, we encapsulated GM in hybrid liposomes (HL) for the delivery system. HL can be prepared by just the sonication of vesicular and micellar molecules in a buffer solution.<sup>13,14</sup> HL are free from any contamination with organic

solvents and remain stable for longer periods. The physical properties of these liposomes such as size, membrane fluidity, phase transition temperature, and hydrophobicity can be controlled by changing the constituents and compositional ratios. In the course of our study for HL, the following interesting results have been obtained. (a) Stereochemical control of the enantioselective hydrolysis of amino acid esters could be established by temperature regulation and changing the composition of the HL.<sup>12,13</sup> (b) Inhibitory effects of HL including antitumor drugs,<sup>15</sup> sugar surfactants,<sup>16</sup> or polyunsaturated fatty acids<sup>17</sup> have been observed on the growth of tumor cells *in vitro* and *in vivo*. (c) High inhibitory effects of HL on the growth of tumor cells along with the induction of apoptosis *in vitro*<sup>18</sup> and *in vivo*<sup>19</sup> have been obtained without using drugs.<sup>20</sup> Successful clinical chemotherapy with drug-free HL to patients with lymphoma has been reported after passing the committee of bioethics.<sup>21</sup> (d) A good correlation between membrane fluidity of HL and antitumor effects on the growth of tumor cells has been observed.<sup>22</sup> These studies indicate that HL had no cytotoxicity and could be effective carriers for improving solubilization and stabilization of hydrophilic<sup>23</sup> and hydrophobic agents in the drug delivery system (DDS).

In this study, we reported the therapeutic effects of HL including GM (GM-HL) on the mdx mice *in vivo*. The reduction of side effects of GM-HL is also discussed on the basis of the results from auditory brainstem response (ABR) tests and biodistribution analysis of HL.

### MATERIALS AND METHODS

**Preparation of HL, GM-HL and NBD-HL** HL were prepared by sonication of a mixture containing 95 mol% L- $\alpha$ -dimyristoylphosphatidylcholine (DMPC) (NOF, Tokyo, Japan) and 5 mol% polyoxyethylene(23) lauryl ether (C<sub>12</sub>(EO)<sub>23</sub>) (Sigma-Aldrich, St. Louis, MO, U.S.A.) using a bath type soni-

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cator (VS-N300, VELVO-CLEAR, Tokyo, Japan) in phosphate buffered saline (PBS(-)) at 45 °C with 300 W, and filtered with a 0.20  $\mu\text{m}$  cellulose acetate filter (ADVANTEC, Tokyo, Japan). HL including GM (GM-HL) or 1-palmitoyl-2-[12-[(7-nitro-2-1,3-benzoxadiazol-4-yl)amino]dodecanoyl]-sn-glycero-3-phosphocholine (NBDPC) (NBD-HL) were prepared with GM (Schering-Plough, Kenilworth, NJ, U.S.A.) or NBDPC (Avanti Polar Lipids, Alabaster, AL, U.S.A.) by the same method of HL, respectively.

**Dynamic Light Scattering Measurement** Apparent mean hydrodynamic diameters ( $d_{\text{hy}}$ ) of HL, GM-HL and NBD-HL were measured using a light scattering spectrometer (ELS-8000, Otsuka Electronics, Osaka, Japan) with a He-Ne laser light source (633 nm). The diameter was calculated by Stokes-Einstein equation (Eq. (1)),

$$d_{\text{hy}} = (\kappa T) / (3\pi\eta D) \quad (1)$$

where  $\kappa$  is Boltzmann constant,  $T$  is the absolute temperature,  $\eta$  is the viscosity of the solvent and  $D$  is the diffusion coefficient.

**Electron Microscopy** Electron micrographs of GM-HL were obtained by means of a negative-staining method. Sample solutions of GM-HL were mixed with a 4% aqueous solution of ammonium molybdate. The sample was then applied to a carbon grid and dried overnight in a vacuum desiccator at room temperature. The electron micrographs were taken on an electron microscope (JEM-100SX, JEOL, Tokyo, Japan).

**Therapeutic Experiment of GM-HL *in Vivo*** All animal experiments were approved by the committee of the Center for Animal Resources and Development, Kumamoto University, Japan. Eight-week-old mdx mice, which have a stop codon TAA in exon23 of the dystrophin gene, were intraperitoneally injected with either GM-HL, GM alone or HL. The given dosages of GM were 1 $\times$  (34 mg/kg/d), 5 $\times$  (170 mg/kg/d), and 10 $\times$  (340 mg/kg/d). The number of mice for GM-HL 1 $\times$ , GM-HL 5 $\times$ , GM-HL 10 $\times$ , GM 1 $\times$ , GM 10 $\times$ , HL 1 $\times$ , HL 5 $\times$ , and control (non-treated) were 3, 2, 1, 3, 7, 3, 2, and 5, respectively. After 2 weeks of the injection (3 times/d), the skeletal muscles were isolated, and the blood samples were collected from the treated mice. The efficiency of dystrophin positive fibers was calculated by the average number of dystrophin positive fibers in 3 randomly chosen photographs of dystrophin immunostaining skeletal muscle tissues per mouse. The creatine kinase (CK) and creatinine levels of each mouse were measured by a laboratory examination agency (SRL, Tokyo, Japan).

**Immunohistochemical Staining of Dystrophin and Histological Analysis** Skeletal muscles from gastrocnemius of treated mdx mice were frozen in isopentane pre-cooled in liquid nitrogen and 10- $\mu\text{m}$ -thick sections were cut with a cryostat. The expression of dystrophin was analyzed with mouse monoclonal anti-dystrophin antibody (DYS2; 1:25, Novacastra Laboratories, Newcastle, U.K.) and biotinylated anti-mouse immunoglobulin G (IgG) reagent (VECTOR M.O.M. Immunodetection Kit, Vector Laboratories, Burlingame, CA, U.S.A.) as the secondary antibody. The immunoreactivity was visualized using 3,3'-diaminobenzidine as the chromogen substrate. The average number of dystrophin positive fibers was counted on 3 photographs that were randomly taken per mouse.

**Confocal Laser Microscopy** The accumulation of fluorescence-labeled HL (NBD-HL)<sup>24)</sup> to the skeletal muscle was observed using confocal laser microscopy (CLM). NBD-HL were intraperitoneally injected into normal (B10, 8-week-old) and mdx mice. After the injection, the skeletal muscles (gastrocnemius) were isolated from the mice each time (1, 2, 6 h). The dissected muscles were embedded in an OCT compound and rapidly frozen. The cryosections of each muscle were made and stained with TO-PRO-3 dye (Invitrogen, Carlsbad, CA, U.S.A.) solution including an antifade reagent (0.5% 1,4-di-azobicyclo-(2,2,2)-octane) for detecting the cell nucleus. The sections were observed using CLM (TCS-SP, Leica Microsystems, Wetzlar, Germany) with 488 nm Ar laser line for NBDPC detection (emission; 500–600 nm) and 633 nm He-Ne laser line for TO-PRO-3 detection (emission; 640–703 nm). The biodistribution of NBD-HL to the organs of mdx mice was also observed using CLM. NBD-HL were intravenously injected into the mdx mice. After 1 h of the injection, the organs (brain, lung, liver, heart, kidney, spleen and skeletal muscle) were isolated from the mice. The dissected organs were embedded in an OCT compound and their cryosections were stained with TO-PRO-3 and observed using CLM as described above.

**Auditory Brainstem Response (ABR)** Female (8-week-old,  $n=1$ ) and male (8-week-old,  $n=7$ ) mdx mice were treated with GM-HL 10 $\times$  and GM 10 $\times$  for 14 d, respectively. After 2 weeks of the intraperitoneal (i.p.) injection (3 times/d), the hearing ability was determined by the auditory brainstem response (ABR).<sup>25)</sup> ABR was obtained from mice anesthetized with a mixture of nitrous oxide/oxygen (1:1) gas and 3% halothane. Responses were differentially recorded between subcutaneous stainless steel electrodes at the vertex (active) and mastoid (reference), and the lower back served as ground. Testing was performed in a sound-attenuated box. The ABR, response to the sound of clicks, were recorded using a signal processor (Neuropack  $\mu$ , Nihon Kohden, Tokyo, Japan).

**Statistical Analysis** Statistical analysis was performed by Student's *t*-test. A confidence level  $p < 0.05$  was considered significant.

## RESULTS

**Morphology of HL, GM-HL and NBD-HL** Morphologies of HL, GM-HL and NBD-HL were examined on the basis of dynamic light scattering measurements and electron microscopy. The hydrodynamic diameters ( $d_{\text{hy}}$ ) of HL, GM-HL and NBD-HL were almost the same sizes of 60–90 nm with a narrow range of size distribution (Fig. 1A). The diameters remained stable for more than 4 weeks. An electron micrograph of GM-HL showed the presence of spherical vesicles with a diameter of 60–90 nm as shown in Fig. 1B.

**Accumulation of HL to Muscle Cells *in Vivo*** The accumulation of NBD-HL to the skeletal muscle cells of normal (B10) and mdx mice *in vivo* was observed using CLM. The results are shown in Fig. 2. The green fluorescence of NBD-HL was detected in the cytoplasm and cytoplasmic membranes of myofibers of normal and mdx mice. Interestingly, the NBD-HL accumulated more in the cytoplasmic membranes of mdx mice (Fig. 2B) after 1 h of the i.p. injection as compared with those of normal mice (Fig. 2A). Then,

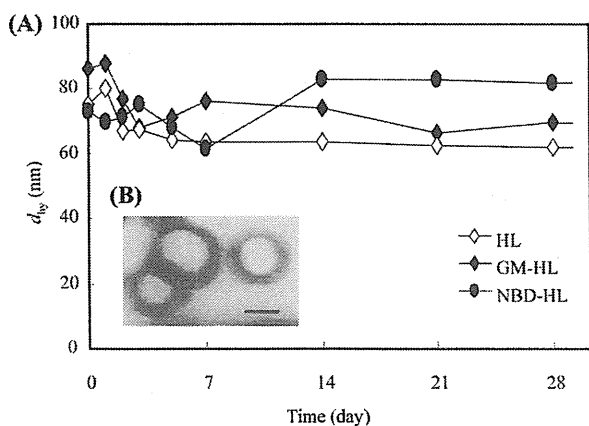


Fig. 1. Morphology of HL, GM-HL and NBD-HL

(A) Time courses of hydrodynamic diameters ( $d_h$ ) change for HL, GM-HL and NBD-HL prepared by sonication method. The  $d_h$  of HL, GM-HL and NBD-HL were measured using a light scattering spectrometer at 25°C. HL and GM-HL: [DMPC]=30 mM, [C<sub>12</sub>(EO)<sub>23</sub>]=1.58 mM, [GM]=10 mg (potency)/ml. NBD-HL: [DMPC]=10 mM, [C<sub>12</sub>(EO)<sub>23</sub>]=0.549 mM, [NBDPC]=0.439 mM. (B, inset) An electron micrograph of GM-HL by a negative staining method. [DMPC]=10 mM, [C<sub>12</sub>(EO)<sub>23</sub>]=0.526 mM, [GM]=3.33 mg (potency)/ml. Scale bar: 50 nm.

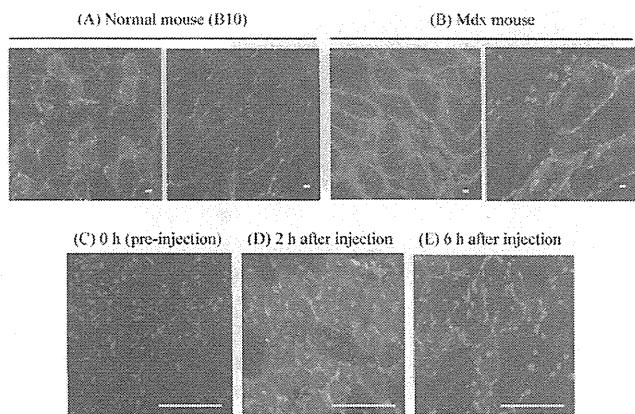


Fig. 2. Fluorescence Micrographs of Skeletal Muscle Cells for Normal and Mdx Mice after the i.p. Injection of NBD-HL

After the i.p. injection of NBD-HL into normal (B10) and mdx mice, the skeletal muscles were isolated from the mice, the cryosections of each muscle were stained with TO-PRO-3, and the stained sections were observed using CLM. Green: NBD-HL, Red: TO-PRO-3. (A) Normal and (B) mdx mice after 1 h of the injection of NBD-HL. Mdx mice after (C) 0 h (pre-injection), (D) 2 h and (E) 6 h of the injection of NBD-HL. Dose: [DMPC]=67.98 mg/kg, [C<sub>12</sub>(EO)<sub>23</sub>]=6.59 mg/kg, [NBDPC]=3.76 mg/kg. Scale bar: (A) (B) 10 μm, (C) (D) (E) 100 μm.

we investigated the retention time of NBD-HL in the myofibers of mdx mice. The higher fluorescence intensity of NBD-HL was observed in the myofibers of mdx mice after 2 h of the i.p. injection (Fig. 2D) compared with that of pre-injection (Fig. 2C). Furthermore, the fluorescence intensity of NBD-HL was consecutively observed after 6 h of the injection (Fig. 2E).

**Therapeutic Effects of GM-HL on Mdx Mice *in Vivo***

The therapeutic effects of GM-HL on mdx mice were investigated *in vivo*. Generally, the CK level indicates the degree of muscle necrosis and the average CK level of mdx mice (control) in this experiment was 4239±501.1 (IU/l) as shown in Fig. 3. On the other hand, after 2 weeks of the i.p. injection, the average CK levels of GM-HL 1×, 5×, and 10× injected mdx mice decreased to 685±140 (IU/l), 759±122 (IU/l) and 610 (IU/l), respectively. The average CK levels of GM 1×

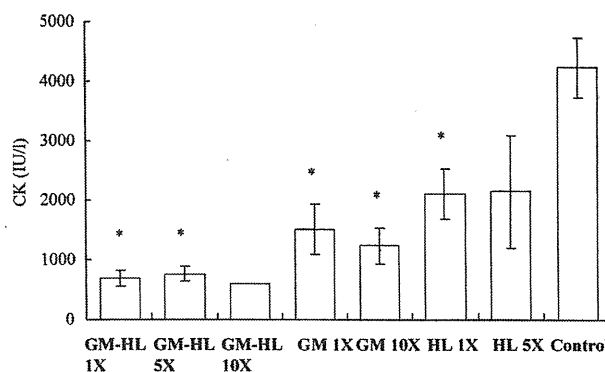


Fig. 3. CK Level in Blood of Mdx Mice after the Treatment with GM-HL, GM and HL

After 2 weeks of injection (3 times/d), the blood was collected from the treated mdx mice and the creatine kinase (CK) level of each mouse was measured. Data represent the mean±S.E. (n=1-6). Control means a CK level of the untreated mdx mice. Dose: GM-HL 1×: [DMPC]=694.0 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=64.39 mg/kg/d, [GM]=34 mg/kg/d, GM-HL 5×: [DMPC]=3470 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=322.0 mg/kg/d, [GM]=170 mg/kg/d, GM-HL 10×: [DMPC]=6940 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=643.9 mg/kg/d, [GM]=340 mg/kg/d, GM 1×: [GM]=34 mg/kg/d, GM 10×: [GM]=340 mg/kg/d, HL 1×: [DMPC]=694.0 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=64.39 mg/kg/d, HL 5×: [DMPC]=3470 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=322.0 mg/kg/d. \* Significant difference (p<0.05) compared with control.

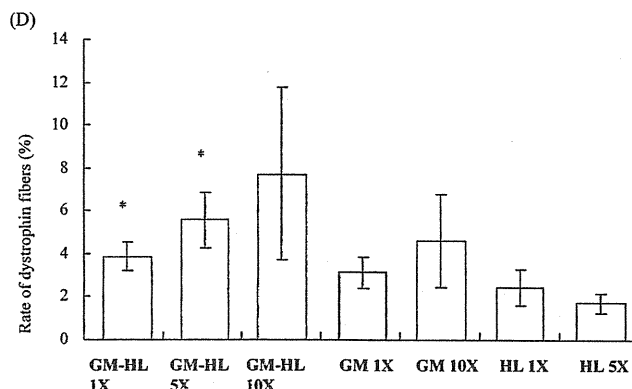
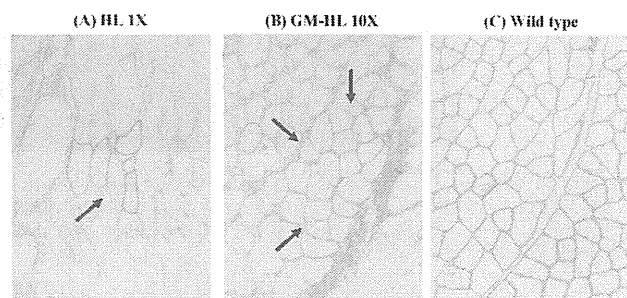


Fig. 4. Expression of Dystrophin in Skeletal Muscle Tissues of Mdx Mice after the Treatment with GM-HL, GM and HL

After 2 weeks injection (3 times/d), skeletal muscles were isolated from the treated mice and the expression of dystrophin was analyzed with mouse monoclonal anti-dystrophin antibody and biotinylated anti-mouse IgG reagent. The immunoreactivity was visualized using 3,3'-diaminobenzidine and the average number of dystrophin positive fibers was counted on 3 photographs that were randomly taken per mouse. Dystrophin immunostaining of skeletal muscle tissues was isolated from (A) HL 1× treated mdx mice, (B) GM-HL 10× treated mdx mice and (C) untreated normal mice (Wild type). (D) Rate of dystrophin positive fiber in skeletal muscle tissues of GM-HL, GM and HL treated mdx mice. \* Significant difference (p<0.05) compared with HL 5×. Dose: GM-HL 1×: [DMPC]=694.0 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=64.39 mg/kg/d, [GM]=34 mg/kg/d, GM-HL 5×: [DMPC]=3470 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=322.0 mg/kg/d, [GM]=170 mg/kg/d, GM-HL 10×: [DMPC]=6940 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=643.9 mg/kg/d, [GM]=340 mg/kg/d, GM 1×: [GM]=34 mg/kg/d, GM 10×: [GM]=340 mg/kg/d, HL 1×: [DMPC]=694.0 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=64.39 mg/kg/d, HL 5×: [DMPC]=3470 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=322.0 mg/kg/d.



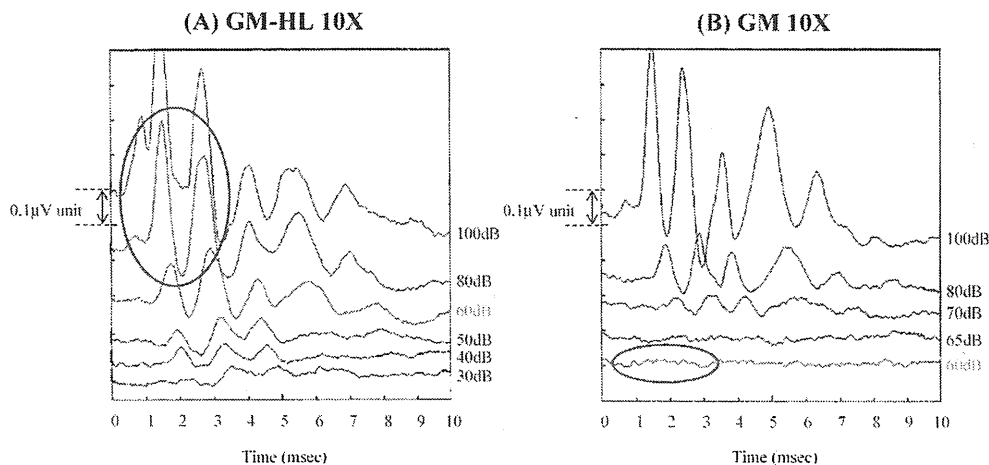


Fig. 5. ABR of Mdx Mice Treated with GM-HL 10× and GM 10×

After 2 weeks of i.p. injection of (A) GM-HL 10× and (B) GM 10× in to the mdx mice (3 times/d), the ABR was obtained from anesthetized mice in a sound-attenuated box. The responses were differentially recorded between subcutaneous stainless steel electrodes at the vertex (active) and mastoid (reference), and the lower back served as ground using a signal processor. Dose: GM-HL 10×: [DMPC]=6940 mg/kg/d, [C<sub>12</sub>(EO)<sub>23</sub>]=643.9 mg/kg/d, [GM]=340 mg/kg/d, GM 10×: [GM]=340 mg/kg/d.

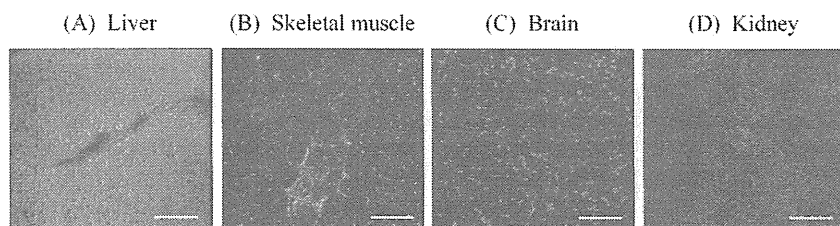


Fig. 6. Biodistribution of NBD-HL in Mdx Mice after i.v. Injection *in Vivo*

After 1 h of i.v. injection of NBD-HL into mdx mice, the organs were isolated from the mice. The dissected organs of (A) liver, (B) skeletal muscle, (C) brain and (D) kidney were stained with TO-PRO-3 and the sections were observed using CLM. Green: NBD-HL, Red: TO-PRO-3. Dose: [DMPC]=67.98 mg/kg, [C<sub>12</sub>(EO)<sub>23</sub>]=6.59 mg/kg, [NBDPC]=3.76 mg/kg. Scale bar: 100 µm.

and 10× were 1521±422 (IU/l) and 1237±293 (IU/l), respectively. The average CK levels of HL 1× and 5× were 2114±430 (IU/l) and 2145±955 (IU/l), respectively. The CK levels of GM-HL injected mdx mice showed a decreasing tendency in comparison with those of HL and GM, alone. The total CK levels of GM-HL (1× and 5×), GM (1× and 10×) and HL 1× significantly decreased in comparison with that of control ( $p<0.05$ ). Furthermore, the dystrophin immunostaining of skeletal muscle tissues of mdx mice indicated that the dystrophin positive fibers were well observed in GM-HL 10× injected mice (Fig. 4B) as compared with HL 1× injected mice (Fig. 4A). The rate of dystrophin positive fibers of GM-HL 1×, GM-HL 5×, GM-HL 10×, GM 1×, GM 10×, HL 1×, and HL 5× were 3.87±0.676%, 5.58±1.31%, 7.73±4.01%, 3.13±0.718%, 4.62±2.17%, 2.44±0.835%, and 1.74±0.450%, respectively as shown in Fig. 4D. The efficiency of dystrophin positive fibers in the GM-HL 10× injected group was the highest followed by the GM-HL 5× injected group. The rates of dystrophin positive fibers for GM-HL (1× and 5×) were significantly higher than that for HL 5× ( $p<0.05$ ).

**Suppression of the Ototoxicity of GM by Using GM-HL** The ototoxicity of GM-HL on the mdx mice was evaluated by ABR tests *in vivo*. As shown in Fig. 5, the ABR of the mdx mice after the injection of GM-HL 10× was observed to 30 dB, though that after the injection of GM 10× was observed only to 70 dB. The ABR of GM-HL 10× in-

jected mdx mice was normal as observed in the wave of 60 dB, while that of GM 10× injected mice was abnormal as observed in the wave of 60 dB and as being almost flat. Furthermore, the biodistribution of NBD-HL in mdx mice after the intravenous (i.v.) injection was examined using CLM. In the organs of brain, liver, kidney, intestine, skeletal muscle and spleen, the accumulation of NBD-HL was observed in the liver (Fig. 6A) and skeletal muscle (Fig. 6B) of mdx mice after 1 h of the injection. No accumulation of NBD-HL was detected in other organs including the brain (Fig. 6C) and kidney (Fig. 6D).

DISCUSSION

Pharmacological approaches for DMD with promising candidates for using drugs such as aminoglycoside antibiotics, calcium blockers, steroids, *etc.* are under investigation. Some of them have been effective for animal models. However, they are not enough to improve the muscle weakness for patients. One of these reasons is that the effective dose as a medicine is not injected to patients because of the severe side effects. For the reduction of the side effects, several DDS utilizing nanopolymers<sup>26)</sup> and viral vectors<sup>27)</sup> *etc.* were reported for the treatment of DMD. However, there were very few reports to achieve the more selective delivery to dystrophic muscles comparing with normal muscles.

In this study, we investigated the effects of DDS using HL



composed of DMPC and C<sub>12</sub>(EO)<sub>23</sub> as a carrier of GM for DMD therapy *in vivo*. It is very important to clarify the characteristics such as the size, shape and stability of liposomes in DDS. So, we prepared HL including GM and examined the morphology of GM-HL on the basis of dynamic light scattering measurements and electron microscopy. The hydrodynamic diameters of HL, GM-HL and NBD-HL were 60–90 nm, which remained stable for over 4 weeks (Fig. 1). It is worthy to note that GM-HL having 60–90 nm in diameter could avoid the reticuloendothelial system *in vivo*.<sup>28</sup> Next, we observed the accumulation of NBD-HL in skeletal muscles of mdx and normal mice *in vivo* using CLM and demonstrated that HL could accumulate more to myofibers of mdx mice as compared with those of normal mice (Figs. 2A, B) and retained in the cells at least 6 h after the injection (Fig. 2E). Significantly, the increasing dystrophin positive fibers in skeletal muscle cells (Fig. 4) and decreasing CK levels (Fig. 3) in the blood of mdx mice were observed after the treatment with GM-HL. It is suggested that GM should be carried more efficiently into the muscular cells of mdx mice by HL. These results indicate that GM-HL could be more effective for DMD therapy than GM alone. Furthermore, we evaluated the ototoxicity of GM-HL on the mdx mice by ABR tests and the results indicated that GM-HL 10× suppressed the ototoxicity in mdx mice (Fig. 5). In addition, the serum creatinine concentrations of GM-HL injected mdx mice were normal (data not shown), suggesting that GM-HL could suppress nephrotoxicity. Three of 4 GM 10× injected mice lost 1–2 g of weight, while the GM-HL 10× injected mice gained weight (data not shown). It is attractive that HL-GM have not only more therapeutic effects but also less side effects as compared with GM alone. Finally, we examined the biodistribution of HL in mdx mice *via i.v.* injections *in vivo* in order to investigate the possibility of clinical applications in the future. The accumulation of NBD-HL was observed in the liver and skeletal muscle in comparison with other organs after 1 h of the *i.v.* injection (Figs. 6A, B). Especially, the accumulation was hardly observed in brain (Fig. 6C) and kidney (Fig. 6D). In the previous paper, we indicated the same biodistribution of NBD-HL except skeletal muscle in the normal mice.<sup>29</sup> These results suggest that HL could be metabolized in liver, and the toxicities of GM to kidney and auditory nerve systems could be suppressed by using HL as the drug carrier.

The first advantage of using HL as DDS is that this system could reduce toxicity. The second one is that the dosage could be stable for more than 4 weeks. The third one is that it could allow an escape from the reticuloendothelial system. The results obtained in this study suggest that the DDS with HL could be applied in the novel therapy using GM for patients with DMD.

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〔特集：抗うつ薬の治験の現状と未来/「抗うつ薬の臨床評価方法に関するガイドライン」〕

## 「抗うつ薬の臨床評価方法に関するガイドライン」の作成背景\*

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要約：「抗うつ薬に関する臨床評価ガイドライン」が、2010（平成22）年11月に厚生労働省より通知された。国際的には、精神神経疾患領域の医薬品開発は活発に行われ、抗うつ薬については、SSRI（Selective Serotonin Reuptake Inhibitors）やSNRI（Serotonin-Norepinephrine Reuptake Inhibitor）等は欧米では開発は終了しており、新たな作用機序を有する化合物の開発が既に行われている。この現状を鑑みて、ドラッグ・ラグ（欧米で承認されている医薬品が本邦においては未承認であり、国民に提供されていない状態）をきたすことなく、海外と協調しながら効率的に医薬品開発を進めていくためにも、本ガイドラインの開発が開始された。本ガイドラインは、抗うつ薬の開発を目的とした臨床試験（治験）の計画、実施、評価法等について標準的方法と手順を概説したものである。ガイドライン作成にあたり、科学的かつ倫理的に臨床試験を実施するためにも、その基本方針は、国際的な標準性の担保、日常診療に有益なエビデンスの構築が可能となるような計画立案のためのツールであること、そして、医療機関、製薬企業そして規制当局等の全ての関係者が共有可能なツールであることとした。本稿では、本ガイドラインの各設定の検討内容等を紹介し、その作成背景について説明する。

キーワード：抗うつ薬に関する臨床評価ガイドライン、抗うつ薬、治験、臨床試験、医薬品開発

医薬品開発を取り巻く環境は、絶えず大きく変化している。本邦では実施医療機関の体制が整備（McCurry, 2007; 文部科学省・厚生労働省, 2007）され、臨床試験の知識も普及しただけでなく、新薬の承認審査の体制も整備（Ichimaru et al, 2010; Ishibashi et al, 2010）されている。精神神経疾患領域については、臨床試験の登録件数は悪性疾患領域に次いで2位と多く（Karlberg, 2008）、当該領域の新薬開発は活発である。抗うつ薬の開発については、近年、特に大きな変化がみられており、SSRI（Selective Serotonin Reuptake Inhibitors）やSNRI（Serotonin-Norepinephrine Reuptake Inhibitor）等の標準治療薬（Anderson et al, 2008; American Psychiatric Association, 2010; National Institute for Health and Clinical Excellence, 2009）は、全世界的には開発が終了しており、欧米では従来になかった新たな作用機序を有する化合物が臨床開発の段階に入っている（中林ら, 2009, 2010）。本邦では、これらのSSRIやSNRI等がようやく導入されたところではあるが、今後の医薬品の臨床開発は、新たな作用機序を有する化合物も対象となっていくものと思われる。これは、同時に有効性や安全性の情報が少ない化合物に対して、エビデンスを構築していく挑戦でもある。

科学的に薬効を評価するために、ICH（International Conference on Harmonisation of Technical Requirements for

Registration of Pharmaceuticals for Human Use, 日米EU医薬品規制調和国際会議）により、臨床試験ならびに非臨床試験における全般的事項について取りまとめられてきた。そして各疾患領域ごとの臨床評価の方法については、欧米の規制当局や厚生労働省により臨床評価ガイドラインとして取りまとめられてきたが、本邦では抗うつ薬の臨床評価ガイドラインはこれまでに存在しなかった。また、新薬の開発を目的とした臨床試験の成功確率は高いものではなく（Kola and Landis, 2004; Frank and Hargreaves, 2003）、抗うつ薬については容易でないことがこれまでも指摘（Laughren, 2001）されてきた。今後の抗うつ薬の開発は、本邦でも新たな化合物が対象となることが予測され、科学性や倫理性を担保しつつ、より効率的に臨床開発を進めていくためにも臨床評価ガイドラインの開発が必要と考えられた。このため、2009（平成21）年4月より「抗うつ薬に関する臨床評価ガイドライン」（以下、ガイドライン）の作成に着手（樋口, 2009）した。

本稿では、各設定の検討内容等を紹介しガイドラインの作成背景について説明する。

### I. 「抗うつ薬に関する臨床評価ガイドライン」 作成の基本方針について

抗うつ薬として開発される新医薬品の有効性および安全性を検討するため、以下をガイドライン作成の基本方針として、臨床試験の計画、実施、評価法等を概説することとした。

・国際的な標準性を担保すること

海外と同時開発を行う方法の1つとしての国際共同治験

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が提唱（森・宇山，2008）され，既にその具体的方法についても規制当局により示されており（厚生労働省医薬食品局審査管理課長，2007），今後の抗うつ薬においても，ドラッグ・ラグ（欧米で承認されている医薬品が本邦においては未承認であり，国民に提供されていない状態）をきたすことなく海外と協調した開発が望まれる．このためには，国際的に標準性を担保する必要があると考えた．

- ・日常診療に有益なエビデンスの構築が可能となるような計画立案のためのツールであること

治験により得られたエビデンスは，新薬が承認され製造販売された後には貴重な情報となるため，日常臨床で重要となり注目すべき事項について明確にすることとした．

- ・医療機関，製薬企業そして規制当局等，医薬品開発に関わる全ての関係者が共有できるツールであること

臨床試験の成否には，薬効自体だけでなく，試験デザインや実施上の問題等の方法論的問題も影響（中林，2010）する．このため，本ガイドラインは，医薬品開発を行う製薬企業のみならず，治験を行う医療機関，そして新薬の承認審査に関わる規制当局が共有できるツールとすることが必要と考えられた．

## II. 「抗うつ薬に関する臨床評価ガイドライン」のために行った調査

ガイドラインの作成過程は，ガイドライン（案）の作成と公表，ガイドライン（案）に対するパブリックコメントの募集，そしてパブリックコメントに対する回答とガイドラインの完成からなる．ガイドライン（案）の作成に先行して行った事前調査では，上記の基本方針をもとに，医薬品の臨床開発の基本的考え方，臨床試験デザインの設定状況，そして臨床的概念の確立度の観点から調査を行った（表1）．

医薬品の臨床開発に関連する事項は，抗うつ薬の臨床開

発における製造販売のための承認を得るのに必要なエビデンスについて検討した．さらに，国内外での整合性を保つために，ICH ガイドラインや欧米の臨床評価ガイドラインのみでなく，厚生労働省からの関連通知，独立医薬品医療機器総合機構の公表資料，そして国内の他疾患領域の臨床評価ガイドラインについても調査した．臨床試験の計画や成績の評価では，既に得られているエビデンスを参考にすることも多いため，臨床試験登録データベースにより，これまでに実施された臨床試験デザインの概要を調査し，設定状況を確認した．また，症候や疾患単位等に関する新たな臨床的概念の確立度については，国内外の診療ガイドラインおよび公表論文を調査し，コンセンサスの程度について検討した．

## III. 「抗うつ薬に関する臨床評価ガイドライン」の作成

ガイドラインは，2009（平成21）年4月より開発に着手（樋口，2009）した．2010（平成22）年3月に，「抗うつ薬に関する臨床評価ガイドライン（案）」を完成させ，4月9日の公表と同時に，パブリックコメントの募集が開始（厚生労働省医薬食品局審査管理課，2010a）された．寄せられた意見を踏まえて修正および検討が行われ，2010（平成22）年11月16日に，パブリックコメントに関する回答（厚生労働省医薬食品局審査管理課，2010c）とともに，最終的な内容として「抗うつ薬に関する臨床評価ガイドライン」（厚生労働省医薬食品局審査管理課長（2010b）が厚生労働省より通知された）．

ガイドラインでは，臨床試験の計画や実施，そして開発ストラテジーに関する説明が中心であるが，臨床試験の計画や実施に必要と考えられる非臨床試験に関連する内容についても説明した．ガイドラインでの臨床試験の分類については，各試験の目的と位置付けをより明確にするために，臨床薬理試験，探索的試験および検証的試験として分類し

表1 「抗うつ薬に関する臨床評価ガイドライン」作成のために行った調査の対象

- |                   |   |
|-------------------|---|
| ① 医薬品の臨床開発に関連する事項 | <ul style="list-style-type: none"> <li>・ ICH ガイドライン</li> <li>・ 抗うつ薬開発に関する欧米ガイドライン               <ul style="list-style-type: none"> <li>– EMA (European Medicines Agency) 臨床評価ガイドライン (Committee for Proprietary Medical Products (CPMP), 2002)</li> <li>– FDA (U.S. Food and Drug Administration) 臨床評価ガイドライン (U.S. Food and Drug Administration, 1977)</li> </ul> </li> <li>・ 厚生労働省からの関連通知</li> <li>・ 独立医薬品医療機器総合機構公表資料</li> <li>・ 国内の他疾患領域の臨床評価ガイドライン</li> </ul>                        |
| ② 臨床試験デザインの設定状況   | <ul style="list-style-type: none"> <li>・ 臨床試験登録データベース等               <ul style="list-style-type: none"> <li>– 米国国立衛生研究所 (NIH: National Institutes of Health) データベース<br/>ClinicalTrials.gov (<a href="http://www.clinicaltrials.gov/">http://www.clinicaltrials.gov/</a>)</li> <li>– 世界保健機構 (WHO: World Health Organization) データベース<br/>International Clinical Trials Registry Platform (<a href="http://www.who.int/ictrp/en/">http://www.who.int/ictrp/en/</a>)</li> </ul> </li> </ul> |
| ③ 臨床概念の確立度        | <ul style="list-style-type: none"> <li>・ 診療ガイドライン</li> <li>・ 公表論文等</li> </ul>   |

た。これは、ICH E8 ガイドライン（厚生省医薬安全局審査管理課長，1998a）では、臨床試験の分類の基礎として開発相による区分（第 I 相，第 II 相，そして第 III 相等）は必ずしもふさわしくなく、目的による分類が望ましいとされていることが指摘され、ICH E9 ガイドライン（厚生省医薬安全局審査管理課長，1998b）では開発相による区分が使用されていないことを勘案したためである。探索的試験および検証的試験については、試験の計画や実施において共通する留意点が多いため、ガイドラインでは、臨床試験の全般的事項を総論として「III 臨床評価方法」の項で、そして各試験個別の留意点を各論として「IV 臨床試験」の項で説明する構成とした（表 2）。また、ガイドラインでの探索的試験は、用量反応関係を検討するための試験を主に想定し、有効性シグナルを検討するための POC（Proof of Concept）試験や早期探索的臨床試験（厚生労働省医薬食品局審査管理課長，2010d）等は目的が大きく異なるため、これら開発初期に行われる探索的試験は主要な対象としなかった。ガイドラインでの主要な設定背景を以下に説明する。

#### 1. 抗うつ薬開発のための臨床試験における総論的留意点

抗うつ薬開発のための臨床試験（探索的試験および検証的試験）に共通する全般的事項を、ガイドラインの「III 臨床評価方法」の項で総論として説明した（表 2）。

##### 1) プラセボ対照無作為化二重盲検比較試験の必要性

近年、精神神経疾患領域の関連学会でも、治験におけるプラセボ対照無作為化二重盲検比較試験（以下、プラセボ対照試験）の是非については、これまでも議論されてきたところである。しかし、うつ病患者を対象とした臨床試験では、プラセボに対する反応性が高い（Khan et al, 2005）だけでなく、この反応性が一定しないことから、実薬対照

非劣性試験（または同等性試験）では、無効同等の可能性が排除できず、有効用量を検討し有効性を検証することに限界があることは、ICH E10 ガイドライン等でも指摘（厚生労働省医薬局審査管理課長，2001）されてきた。ガイドラインでは、抗うつ薬の開発において治験薬の有効用量とその用量範囲を明確にするには、プラセボ対照試験が必要であることを説明した。

##### 2) 探索的試験および検証的試験の投与期間の設定

探索的試験および検証的試験の投与期間については、有効性および安全性評価の観点のほかに、臨床試験の実施状況や臨床的観点から設定した。EMA（European Medicines Agency）は、投与期間を約 6 週間とすることを提唱（Committee for Proprietary Medical Products（CPMP），2002）しているが、投与期間が 6 週間未満となると安全性評価の点で十分に行えない可能性を考慮して、ガイドラインでは 6 週間以上と設定した。うつ病を対象とした企業主導のプラセボ対照試験における投与期間（表 3）は、大半（87/110 試験，79.1%）が 6～8 週間と設定されている。投与期間が 8 週間を超えてプラセボに対して優越性を示せる抗うつ剤が存在した場合、臨床的観点からはその薬剤の必要性については疑問があり、ガイドラインでの最長投与期間を 8 週間と設定し、これを超える場合には臨床的意義を説明する必要があることを説明した。

##### 3) 対象集団の設定

選択基準は国際的な診断基準を用いて設定される。本邦の医療現場では、DSM-IV-TR（Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, Text Revision）や ICD-10（International Statistical Classification of Diseases and Related Health Problems, 10th Revision）等の診断基準が汎用されている。表 4 に示したとおり、うつ病対象のプラセボ対照試験では、DSM-IV-TR もしくは DSM-IV が

表 2 「抗うつ薬に関する臨床評価ガイドライン」目次

I	緒言
II	非臨床試験
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表 3 プラセボ対照試験（企業主導）における投与期間

投与期間	試験数
4 週間	2
6 週間	22
7 週間	1
8 週間	64
9 週間	2
10～11 週間	7
12～14 週間	3
16 週間	1
不明	8
合計	110

情報源：NIH 臨床試験登録データベース（ClinicalTrials.gov: <http://clinicaltrials.gov>），検索条件：試験デザイン：プラセボ対照無作為化二重盲検比較試験（ランダム化治療中止試験を除く），対象疾患：major depressive disorder，年齢層：adult（18～65 歳）or senior（66 歳以上），資金源：industry，開始年：2004～2010 年，開発相：phase II or phase III.

一般的に使用 (66/110 試験, 60.0%) されており, 試験間の比較可能性を保つために, ガイドラインでは DSM-IV-TR の使用を推奨した。

臨床試験における被験者集団の重症度の分布は, 有効性評価に影響を及ぼすことは既に報告 (Kirsch et al, 2008; Fournier et al, 2010) されており, 軽度のうつ病患者を対象とした場合は, 有効性の証明は困難であることも指摘 (Committee for Proprietary Medical Products (CPMP), 2002) されている。ガイドラインでは, 薬効評価の観点で必ずしも軽度のうつ病患者を含める必要はないと設定した。

臨床試験での高齢者 (65 歳以上) の検討については, ICH ガイドラインでも, 最近は大きな変化がみられる。つまり, ICH E7 ガイドラインに関する Q&A (厚生労働省医薬食品局審査管理課, 2010e) により, 開発対象の患者集団と臨床試験の被験者集団の類似性を担保する重要性が説明されている。うつ病は高齢者にも多く, ガイドラインでは高齢者に関する検討の必要性についても説明した。具体的には, 高齢者と非高齢者間の薬物動態や薬効の差異を検討し, 差異がみられた場合には, 必要に応じて高齢者と非高齢者の試験を別の計画とするように設定した。

#### 4) 有効性評価の方法

うつ病の症状評価尺度は複数存在するが, 臨床試験の主要評価項目では, 信頼性および妥当性が検討され, 国際的に普及した症状評価尺度を使用する必要がある。現状では, ハミルトンうつ病評価尺度 (HAM-D: Hamilton Depression Rating Scale) または MADRS (Montgomery-Åsberg Depression Rating Scale) が主要評価項目と設定されることが大半 (HAM-D: 43/110 試験, 39.1%, MADRS: 48/110 試験, 43.6%) であり (表 5), ガイドラインではこれらの評価尺度を推奨した。また, 症状評価の評価者間のばらつきやバイアスについては, 臨床試験の成否に関わるため, 特に最近では問題視 (Mackin et al, 2006; Kobak et al, 2010) されており, ガイドラインでは, 統一した評価を行うことと評価者の適格性評価の必要性についても説明した。

表 4 プラセボ対照試験 (企業主導) で選択基準に使用されている診断基準

診断基準	試験数
DSM-IV-TR	45
DSM-IV	21
不明	44
合計	110

情報源: NIH 臨床試験登録データベース (ClinicalTrials.gov: <http://clinicaltrials.gov>), 検索条件: 試験デザイン: プラセボ対照無作為化二重盲検比較試験 (ランダム化治療中止試験を除く), 対象疾患: major depressive disorder, 年齢層: adult (18~65 歳) or senior (66 歳以上), 資金源: industry, 開始年: 2004~2010 年, 開発相: phase II or phase III.

#### 5) 安全性評価の方法

医薬品開発を目的とした臨床試験は, 有効性の検討のために症例数が計画されるが, 安全性については問題が十分に検出できない可能性も残る (藤原・山本, 2009)。このために, 被験者の安全性を可能な限り確保するだけでなく, 次の開発段階での計画や製造販売後の臨床現場への情報提供のために, 安全性評価の方法は以下のように多軸的に行うことをガイドラインでは必要とした。

- ・ 治験における一般的評価
- ・ 安全性プロファイルとして重要な有害事象
- ・ うつ病治療において注目すべき有害事象

安全性プロファイルとして重要な有害事象は, 治験薬の作用機序に関連した事象であり, 例えば, SSRI の消化器症状等もこれに該当する。うつ病治療において注目すべき有害事象は, 日常臨床でも問題となる事象であり, 近年, 種々の検討が行われている抗うつ薬に関連した自殺 (Laughren, 2006; Barbui et al, 2009; Bridge et al, 2007; Stone et al, 2009) や抗うつ薬投与の中止に関連した事象 (離脱症候群等) (Fava et al, 1997; Garner et al, 1993; Schatzberg et al, 1997) 等についてガイドラインでは具体的に提示した。

#### 6) 小児集団を対象とした臨床試験について

小児集団を対象とした臨床試験の難しさは, 臨床現場においても経験されている。しかし, 小児集団では成人と比較して, 抗うつ薬の投与後の自殺のリスクが高いことが報告 (Laughren, 2006; Stone et al, 2009) されていることから, 小児のうつ病においてもリスク・ベネフィットバランスを十分に評価する必要がある。ガイドラインでは, プラセボ対照試験が原則的には必要であることを説明した。同時に, 臨床試験での安全管理の必要性についても説明した。また, 診断や評価が容易ではないことや, 安全性評価

表 5 プラセボ対照試験 (企業主導) で主要評価項目に使用されている症状評価尺度

主要評価項目	試験数
MADRS	48
HAM-D	43
IDS-C30	2
QIDS-SR	1
不明	16
合計	110

MADRS: Montgomery-Åsberg Depression Rating Scale, HAM-D: Hamilton Depression Rating Scale, IDS-C30: 30-Item Inventory of Depressive Symptomatology-Clinician-Rated, QIDS-SR: Quick Inventory of Depressive Symptomatology-Self Rated, 情報源: NIH 臨床試験登録データベース (ClinicalTrials.gov: <http://clinicaltrials.gov>), 検索条件: 試験デザイン: プラセボ対照無作為化二重盲検比較試験 (ランダム化治療中止試験を除く), 対象疾患: major depressive disorder, 年齢層: adult (18~65 歳) or senior (66 歳以上), 資金源: industry, 開始年: 2004~2010 年, 開発相: phase II or phase III.