Table I. (A) Demographic data of patients treated or not with unfractionated heparin (UFH) and (B) clinical data of patients treated or not with UFH.

	With UFH (n = 172; 64·4%)	Without UFH (n = 95; 35·6%)	*P*-value
(A)			
Age (years), median (range)	71 (23–98)	73 (42–93)	0·515
Male gender (%)	122 (70·9)	53 (55·8)	0·015
Weight (kg)	60·1 ± 12·2	59·4 ± 11·6	0·673
BMI (kg/m^2)	23·3 ± 3·8	23·4 ± 3·7	0·936
HTN (%)	133 (77·3)	74 (77·9)	1·000
DM (%)	55 (32·0)	30 (31·6)	1·000
CRF (%)	17 (9·9)	5 (5·3)	0·247
HD (%)	3 (1·7)	0 (0)	0·555
Atrial fibrillation (%)	59 (34·3)	11 (11·6)	<0·001
Smoking (%)	78 (45·3)	37 (38·9)	0·303
Drinking (≥2 cups) (%)	49 (28·5)	21 (22·1)	0·249
Previous IHD (%)	33 (19·2)	5 (5·3)	0·002
Previous CVD (%)	51 (29·7)	28 (29·5)	1·000
Previous PTE (%)	0	0	–
Previous DVT (%)	4 (2·3)	1 (1·1)	0·658
History of heparin use within 3 months (%)	6 (3·5)	0 (0)	0·180
History of surgery using heparin	33 (19·2)	3 (3·2)	<0·001
History of intra-arterial catheter procedure (%)	43 (25·0)	8 (8·4)	<0·001
History of warfarin use (%)	18 (10·5)	5 (5·3)	0·176
History of antiplatelet agency use (%)	66 (38·4)	32 (33·7)	0·508
Stroke subtype			
TIA (%)	9 (5·2)	20 (21·1)	<0·001
Stroke (%)	163 (94·8)	75 (78·9)	
LAA (%)	38 (23·3)	5 (6·7)	
CE (%)	64 (39·3)	5 (6·7)	<0·001
SV (%)	26 (16·0)	48 (64·0)	
OT + UD (%)	35 (21·5)	17 (22·7)	
Platelet count (×10^9/l)	222 (103–583)	230 (119–483)	0·670
NIHSS score on admission, median (range)	5 (0–32)	3 (0–20)	<0·001
(B)			
Treatment during the hospital stay			
Warfarin use (%)	70 (40·7)	9 (9·5)	<0·001
Antiplatelet agency use (%)	105 (61·0)	84 (88·4)	<0·001
Cessation of heparin (%)	142 (82·6)	0	<0·001
Alternative anticoagulation (%)	67 (39·0)	37 (38·9)	1·000
Intra-arterial catheter procedure during the hospital stay (%)	70 (40·7)	0 (0)	<0·001
Surgery with heparin use during the hospital stay	7 (4·1)	0 (0)	0·053
Thromboembolic vents or death	25 (14·5)	4 (4·2)	0·012
Recurrence of ischaemic stroke	12 (7·0)	2 (2·1)	
Thromboembolic events during catheter	4 (2·3)	0	
Other thromboembolism	7 (4·1)	2 (2·1)	
React of heparin infusion	1 (0·6)	0	
Death	5 (2·9)	0	
NIHSS score at discharge, median (range)	2 (0–42)	1 (0–20)	–
NIHSS change, discharge-admission (range)	−2 (−21 to 19)	−1 (−8 to 9)	0·020
mRS at discharge, mean (median)	2 (0–6)	1 (0–5)	0·002
mRS at 3 months, median (range)	2 (0–6)	1 (0–5)	<0·001

BMI, body mass index; HTN, hypertension; DM, diabetes mellitus; CRF, chronic renal failure; HD, haemodyalysis; IHD, ischaemic heart disease; CVD, cerebrovascular disease; PTE, pulmonary thromboembolism; DVT, deep vein thrombosis; TIA, transient ischaemic attack; LAA, large artery atherosclerosis; CE, cardioembolism; SV, small vessel occlusion; OT, stroke with alternative aetiology; UD, stroke of undetermined aetiology; UFH, unfractionated heparin; NIHSS, National Institutes of Health Stroke Scale; mRS, modified Rankin scale.

Table II. Clinical features of HIT patients.

Pt	Age (years)	Gender	Past history	Stroke subtype	4Ts score	ELISA (OD)	SRA (mean % release)	Platelet count ($\times 10^9$/l) Baseline	Nadir	Duration of UFH (day)	Duration of UFH up to the day of platelet nadir, days	Thrombotic complication	NIHSS on admission	mRS on discharge
Definite HIT														
1	62	Male	CI, HTN	Other	4	+(2·271)	+(63·9)	331	107	11	7	None	23	Dead
2	64	Female	CI, HTN, AF	CE	5	+(1·725)	+(51·6)	436	286	18	10	None	16	4
3	88	Female	AF	CE	5	+(2·086)	+(11·0)	156	99	7	15	None	17	Dead
Clinically suspected HIT														
4	67	Male	HTN, DM, AF, CRF	CE	4	−(0·138)	−(<1)	281	210	14	7	DVT	7	4
5	82	Male	CI, HTN, AF	CR	4	−(0·052)	−(<1)	137	27	1	4	None	10	4
6	66	Male	MI, HTN	CE	4	−(0·102)	−(<1)	583	225	13	17	None	12	1
7	69	Female	HTN, AF	CE	5	−(0·091)	−(<1)	297	120	23	6	RI	7	4
Seropositive status														
8	70	Female	HTN, AF	CE	0	+(1·666)*	+(53·2)	141	123	4	NA†	None	13	2
9	59	Female	HTN, AF, AID	CE	0	+(1·505)	+(76·8)	163	158	18	NA†	None	15	4
10	87	Male	IHD, HTN, AF	CE	0	+(0·977)	+(13·3)	200	150	13	NA†	None	8	5
11	90	Female	HTN, AF	CE	2	+(2·378)	+(28·8)	235	210	9	NA†	IHD	29	5

ELISA, enzyme-linked immunosorbent assay; SRA, serotonin-release assay; OD, optical density; CI, cerebral infarction; IHD, ischaemic heart disease; HTN, hypertension; DM, diabetes mellitus; AF, atrial fibrillation; CRF, chronic renal failure; MI, myocardial infarction within 4 weeks; AID, autoimmune disease; RI, renal infarction; DVT, deep vein thrombosis; other, stroke of other determined aetiology; CE, cardioembolism; NA, not applicable.

*ELISA was negative (OD: 0·079) in the sample drawn 7 d after admission, when SRA was positive. ELISA was positive (OD: 1·666) in the sample obtained 1 week later.

†Patient did not demonstrate thrombocytopenia.

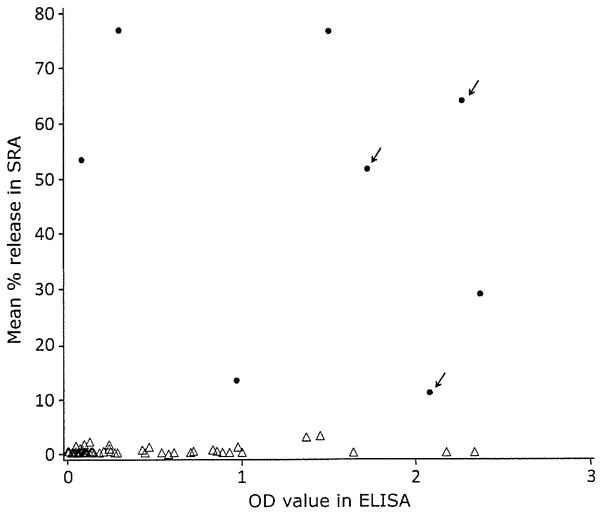

Fig 2. The correlation of optical density (OD) values for anti-platelet factor 4/heparin antibodies detected by enzyme-linked immunosorbent assay (ELISA) and mean percentage release by serotonin-release assay (SRA). These values showed poor correlation. Arrows indicate the data points of the three patients who met the criteria for definite HIT. •, SRA-positive cases, including one patient classed as 'HIT unlikely': OD = 0·298, and mean percentage release = 76·74; △, SRA-negative cases.

None of the patients in this study met the diagnosis of rapid or delayed onset HIT. None of the patients classified as definite HIT received treatment with alternative anticoagulants, such as thrombin inhibitors, nor did the patients develop additional thromboembolic events.

Discussion

HIT should be recognized as a clinicopathological syndrome because none of the currently available HIT diagnostic tools have sufficient sensitivity and specificity to be used as the primary or only tool to diagnose HIT. Thus, both clinical and serological diagnoses are crucial. In this prospective study, clinical probability was assessed using the 4Ts scoring system, which is a popular method, by two independent stroke neurologists who were blinded from the results of serological assays. As a result, 4·1% of the acute stroke patients treated with heparin were suspected clinically of having HIT with ≥4 points in the 4Ts scoring system. Among them, 1·7% (95% CI: 0·4–5·0) had platelet activating antibodies against the complexes of PF4 and heparin detected by ELISA and SRA, supporting the diagnosis of definite HIT. All of these definite HIT patients had intermediate scores in the 4Ts as well as four clinically suspected HIT cases, as shown in Table II. Thus, it was very difficult to distinguish HIT patients from non-HIT patients through clinical information alone. This may possible explain why only one among three definite HIT cases was suspected of having HIT by the treating physicians.

Our results were similar to those reported in other studies of patients with ischaemic stroke (Ramirez-Lassepas *et al*, 1984; Harbrecht *et al*, 2004) and the frequency of definite HIT was less than in surgical patients (Kappers-Klunne *et al*, 1997; Warkentin, 2007b). For two of the three definite HIT patients reported here, one had a possible alternative aetiology that could explain her platelet count fall (Case 2) and the other had a weak positive-SRA (Case 1) as described in detail in the Result section. Thus, we cannot exclude the possibility that these two patients might not have had HIT. If we exclude these patients, the incidence of HIT could be as low as 0·6%. However, this result was compatible with our previous retrospective study of the same patient population (the incident of HIT was 0·5%) (Kawano *et al*, 2008). Therefore, we can conclude that the incidence of HIT in acute stroke patients treated with UFH seems to be approximately 0·5–1·7%. These results emphasize that HIT diagnosis should be considered in the management of acute ischaemic stroke.

Another major finding was that the clinical severity and outcome of acute stroke patients who were diagnosed as having definite HIT were unfavourable. In particular, the in-hospital mortality of definite HIT was very high (66·7%). Previous reports also indicated that mortality was high in HIT patients (Warkentin *et al*, 1995, 2000; Kappers-Klunne *et al*, 1997). The present study is unique in that initial neurological severity and clinical outcomes of stroke patients with HIT were determined. The NIHSS score on admission (median, 17) in definite HIT was quite high, and the outcome at 90 d was poor. However, the poor outcome of those patients appeared to be mainly due to the severity of the initial stroke rather than HIT. Although clinical severity and outcome of patients treated with UFH were unfavourable compared to those without UFH, the patients with UFH intrinsically might be at high risk of thromboembolic complications because those patients more frequently had systemic atherosclerotic changes or embolic sources. In fact, stroke subtypes were distributed differently between patients with and without UFH in our study. Hoh *et al* (2005) reported significantly less favourable outcomes, including new thromboembolic episodes and deaths in patients with subarachnoid haemorrhage who developed HIT compared to those without HIT. They found that more patients with HIT showed a poorer Fisher Grade than those without HIT, although the diagnosis of HIT was based on clinical criteria, and serological examinations were not mandatory in the study (Hoh *et al*, 2005). It should be considered that serious neurological conditions might be vulnerable to HIT.

In the present study, four of 165 clinical non-HIT patients were positive by both ELISA and SRA. None of these patients demonstrated thrombocytopenia, nor did they die. A thromboembolic event occurred in one patient who developed an ischaemic heart event. Previous reports suggested that high OD values in ELISA and/or strong-positive SRA results were associated with a high degree of diagnostic accuracy for HIT (Warkentin *et al*, 1995, 2008; Lo *et al*, 2007). However, despite high OD values (≥1·5 units) in ELISA (Cases 8, 9, 11) or strong-positive (≥50% serotonin release) SRA results (Cases 8, 9), these patients did not develop HIT (Table II). One of the clinical non-HIT patients was ELISA-negative but SRA-positive and did not

develop any thrombocytopenia, thromboembolic event, or death. Furthermore, three of 95 patients without UFH were positive only by ELISA. In the present study, we blindly evaluated anti-PF4/heparin Abs in all clinical HIT and clinical non-HIT patients. Even if the results of anti-PF4/heparin Abs were positive, all patients with positive results would not always demonstrate HIT, and some of the positive results might not be pathological findings. Therefore, we should be aware of false negative and false positive results in both serological tests, and that diagnosis by the detection of anti-PF4/heparin Abs alone (even with a high OD value in ELISA and/or a strong-positive SRA result) can result in an overdiagnosis of HIT.

This study had some limitations. First, none of the patients underwent venous ultrasound; therefore, subclinical DVT, which is the typical thrombotic complication associated with HIT, may have been underdiagnosed. Second, the dose of UFH could be a determinant for the occurrence of HIT, as stoichiometrically optimal ratios of PF4:heparin influence immunization (Greinacher *et al*, 2008; Warkentin *et al*, 2010). However, in the present study, the dose and blood levels of UFH were not investigated.

In conclusion, the incidence of definite HIT in acute ischaemic stroke patients treated with UFH was 1·7% (95% CI: 0·4–5·0). HIT should be recognized as a clinicopathological syndrome in which both the clinical profile consistent with HIT and the results of serological tests should be carefully considered for HIT diagnosis. The clinical severity and outcome of acute stroke patients who were diagnosed as having definite HIT were unfavourable.

Author contribution

The study concept and design by H. Kawano, H. Yamamoto, S. Miyata, M. Izumi, and T. Hirano; writing by H. Kawano, H. Yamamoto, and S. Miyata; data collection by H. Kawano, H. Yamamoto, N. Toratani, M. Izumi, and T. Hirano; blinded independent assessments of the 4Ts score by S. Sato and S. Okamoto; ELISA assay by S. Miyata and I. Kakutani; SRA assay by Jo-AI. Sheppard and TE. Warkentin; analysis and interpretation of data by H. Kawano, H. Yamamoto, S. Miyata, and A. Kada; drafting of the manuscript by H. Kawano, H. Yamamoto, and S. Miyata; critical revision of the manuscript for important intellectual content by K. Toyoda, K. Nagatsuka, H. Naritomi, TE. Warkentin, and K. Minematsu; study supervision by M. Uchino and K. Minematsu.

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$CHADS_2$ score is associated with 3-month clinical outcomes after intravenous rt-PA therapy in stroke patients with atrial fibrillation: SAMURAI rt-PA Registry

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ABSTRACT

Purpose: The aim of this study was to examine whether $CHADS_2$ score is associated with clinical outcomes following recombinant tissue type plasminogen activator (rt-PA) therapy in stroke patients with atrial fibrillation (AF).

Methods: We studied 218 consecutive stroke patients with AF [126 men, mean age 74.2 (SD 9.6) years] who received intravenous rt-PA therapy. $CHADS_2$ score was calculated as follows: 2 points for prior ischemic stroke and 1 point for each of the following: age ≥ 75 years, hypertension, diabetes, and congestive heart failure.

Results: Congestive heart failure was documented in 23 patients, hypertension in 138, age ≥ 75 years in 116, diabetes in 35, and prior stroke in 35. The distribution of each $CHADS_2$ score was: score of 0, 16.1% of patients; 1, 30.3%; 2, 29.4%; and 3 to 5, 24.3%. The median initial NIHSS score for each $CHADS_2$ category was 12 (IQR 8–17), 16 (10–20), 14.5 (10–20.75), and 16 (11–21), respectively ($p = 0.168$). Symptomatic ICH within the initial 36 h was found in 2.9%, 4.6%, 6.3%, and 0% of patients with each $CHADS_2$ category, respectively. Cardiovascular events within 3 months occurred in 0%, 0%, 7.8% and 5.7%, respectively. Percentage of patients with chronic independence at 3 months corresponding to modified Rankin Scale ≤ 2 was 57.1%, 45.5%, 31.3%, and 28.3%, respectively. Adjusted $CHADS_2$ score was inversely associated with chronic independence (OR 0.72, 95% CI 0.55–0.93).

Conclusion: Lower $CHADS_2$ score was associated with chronic independence at 3 months after intravenous rt-PA therapy in stroke patients with AF.

1. Introduction

Atrial fibrillation (AF) is a major cause of ischemic stroke and systemic thromboembolism. Several risk stratification schemes have been developed to quantify the risk of stroke in patients with AF. The $CHADS_2$ score is an easy-to-use classification scheme that estimates the risk of ischemic stroke in patients with AF. It is well-validated and derived from pooled individual data from a large number of multicenter trial participants who had nonvalvular AF and were prescribed aspirin. [1,2] High-risk patients with $CHADS_2$ scores ≥ 3 are reported to benefit from warfarin therapy. [2] Physicians can use the $CHADS_2$ score to make decisions about antithrombotic therapy based on patient-specific risk of stroke, and the score is also applied to predict hemorrhagic events in high-risk patients for stroke treated with anticoagulation. [3–5] Regarding stroke outcomes, one study reported a positive association between $CHADS_2$ score and all-cause mortality after stroke. [6] However, the association between the score and functional outcomes after stroke has not yet been elucidated.

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Table 1
Baseline characteristics of patients according to $CHADS_2$ score.

	Total	$CHADS_2$ 0	$CHADS_2$ 1	$CHADS_2$ 2	$CHADS_2$ 3–5	p
Patients, n (%)	218	35 (16.1)	66 (30.3)	64 (29.4)	53 (24.3)	NA
Men, n (%)	126 (57.8)	22 (62.9)	43 (65.2)	36 (56.3)	25 (47.2)	0.226
Age, mean ± SD	74.2 ± 9.6	67.2 ± 5.1	71.0 ± 8.5	76.9 ± 11.1	79.3 ± 6.9	<0.001
Congestive heart failure, n (%)	23 (10.6)	0 (0)	2 (3.0)	3 (4.7)	18 (34.0)	<0.001
Hypertension, n (%)	138 (63.3)	0 (0)	39 (59.1)	53 (82.8)	46 (86.8)	<0.001
Age ≥ 75 years, n (%)	116 (53.2)	0 (0)	22 (33.3)	50 (78.1)	44 (83.0)	<0.001
Diabetes, n (%)	35 (16.1)	0 (0)	3 (4.6)	14 (21.9)	18 (34.0)	<0.001
Prior stroke, n (%)	35 (16.1)	0 (0)	0 (0)	4 (6.3)	31 (58.5)	<0.001
ASPECTS on initial CT (n = 215), median (IQR)	9 (7–10)	9 (8–10)	8 (7–10)	9 (8–10)	9 (8–10)	0.319
Internal carotid artery occlusion (n = 217), n (%)	41 (18.9)	7 (20.0)	9 (13.9)	14 (21.9)	11 (20.8)	0.660
Initial NIHSS, median (IQR)	15 (9.75–20)	12 (8–17)	16 (10–20)	14.5 (10–20.75)	16 (11–21)	0.168

NA: not applicable.

Intravenous (IV) recombinant tissue plasminogen activator (rt-PA) therapy is a standard treatment for acute stroke. Several clinical characteristics including higher National Institutes of Health Stroke Scale (NIHSS) score, advanced age, large infarct volume, high blood pressure, and internal carotid artery occlusion were reported to be associated with poor clinical outcome following IV rt-PA therapy for acute stroke. [7–10] However, there is no risk stratification scheme to detect early cardiovascular events and clinical outcomes after IV rt-PA therapy. This study aimed to investigate the ability of $CHADS_2$ score to predict clinical outcomes at 3 months after IV rt-PA therapy using our multicenter registry. [10,11]

2. Subjects and methods

Patients were derived from the Stroke Acute Management with Urgent Risk-factor Assessment and Improvement (SAMURAI) rt-PA Registry. [10] The details of this study have been described previously. [10] In brief, this study involved 600 consecutive stroke patients treated with IV rt-PA from October 2005 (when the therapy was approved in Japan) through July 2008 in 10 stroke centers in Japan. Patient eligibility for alteplase (rt-PA) therapy was determined based on the Japanese guideline for IV rt-PA therapy, [12] which followed the inclusion and exclusion criteria used in the National Institute of Neurological Disorders and Stroke (NINDS) study and the Japan Alteplase Clinical Trial (J-ACT). [13,14] Patients on warfarin therapy were included only when the pretreatment prothrombin time international normalized ratio (PT-INR) was <1.7. Each local Ethics Committee approved the retrospective collection of clinical data from the database and submission of the data to our central office. Each patient received a single alteplase dose of 0.6 mg/kg (the recommended dose in Japanese guidelines and the approved labeling) intravenously, with 10% given as a bolus within 3 h of stroke onset, followed by a continuous IV infusion of the remainder over 1 hour. Safety and efficacy of 0.6 mg/kg alteplase therapy was confirmed by a post-marketing multicenter study (the Japan Alteplase Clinical Trial 2: J-ACT 2) [15] and a post-marketing nationwide survey (the Japan post-Marketing Alteplase Registration Study: J-MARS). [16] We collected baseline data including sex, age, comorbidities (clinical congestive heart failure, hypertension, diabetes mellitus, and atrial fibrillation), oral warfarin intake,and initial neurologic deficits using the National Institutes of Health Stroke Scale (NIHSS), extension of early ischemic change on pretreatment CT as assessed by the Alberta Stroke Program Early CT Score (ASPECTS), and internal carotid artery occlusion on MRA or carotid ultrasound.

$CHADS_2$ score was derived from the individual stroke risk factors: congestive heart failure (C), hypertension (H), age ≥ 75 years (A), diabetes mellitus (D), and prior stroke (S). Two points were given for prior stroke, and 1 point was assigned for each of the other factors. [1,2]

The clinical outcomes were as follows: any and symptomatic intracerebral hemorrhage (ICH) within the initial 36 h; cardiovascular events within 3 months; and independence and unfavorable outcome at 3 months. ICH was defined as CT evidence of new hemorrhage, and symptomatic ICH was defined as that associated with neurological deterioration corresponding to an increase of ≥4 points from the baseline NIHSS score. A cardiovascular event was defined as any ischemic or hemorrhagic stroke, acute coronary syndrome, aortic dissection, peripheral arterial embolism, or deterioration of congestive heart failure. Independence corresponded to a modified Rankin Scale (mRS) score of 0–2, and unfavorable outcome to an mRS of 5 or 6.

Statistical analysis was performed using JMP 7.0 statistical software (SAS Institute Inc., Cary, NC, USA). Results are expressed as mean ± standard deviation other than when specified. Baseline characteristics were compared between patients with each $CHADS_2$ score component using χ^2 tests, unpaired *t*-tests, and the Mann–Whitney *U* test, as appropriate. The prevalence of each clinical outcome in patients with each

Table 2
Clinical outcomes of patients according to $CHADS_2$ score.

	$CHADS_2$ category				Model 1			Model 2		
	$CHADS_2$ 0	$CHADS_2$ 1	$CHADS_2$ 2	$CHADS_2$ 3–5	Odds ratio[a]	95% CI	p	Odds ratio[a]	95% CI	p
Intracerebral hemorrhage (ICH), n (%)	7 (20.0)	18 (27.3)	25 (39.1)	14 (26.4)	1.06	0.84–1.34	0.617	1.07	0.84–1.35	0.601
Symptomatic ICH, n (%)	1 (2.9)	3 (4.6)	4 (6.3)	0 (0)	0.74	0.37–1.34	0.340	0.73	0.36–1.35	0.370
Cardiovascular event, n (%)	0 (0)	0 (0)	5 (7.8)	3 (5.7)	1.59	0.92–2.75	0.092	1.60	0.91–2.86	0.101
Recurrent ischemic stroke, n (%)	0 (0)	0 (0)	3 (4.7)	1 (1.9)	1.40	0.65–2.89	0.358	1.61	0.63–4.06	0.290
mRS ≤ 2 at 3 months, n (%)	20 (57.1)	30 (45.5)	20 (31.3)	15 (28.3)	0.74	0.57–0.94	0.015	0.72	0.55–0.93	0.015
mRS ≥ 5 at 3 months, n (%)	3 (8.6)	17 (25.8)	21 (32.8)	25 (47.2)	1.53	1.19–1.99	0.001	1.58	1.21–2.11	0.001

Model 1: adjusted by sex and initial NIHSS score.
Model 2: adjusted by sex, initial NIHSS score, ASPECTS, and presence of internal carotid artery occlusion.
[a] Per 1 point increase of $CHADS_2$ score.

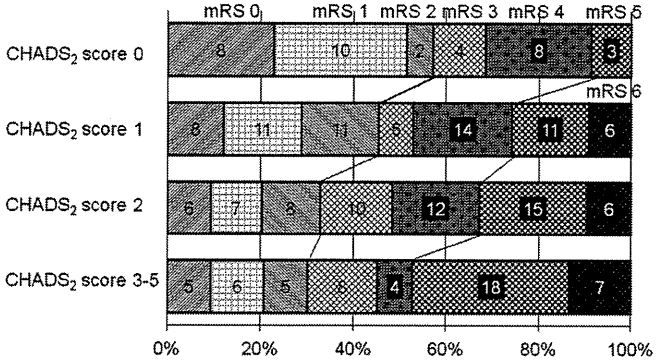

Fig. 1. $CHADS_2$ score and modified Rankin Scale at 3 months after stroke onset. The percentage of patients with mRS≤2 gradually decreased as $CHADS_2$ score increased. In contrast, that of patients with mRS≥5 gradually increased as $CHADS_2$ score increased.

$CHADS_2$ score group was calculated. Multivariate adjustment with sex and initial NIHSS (model 1) and that with sex, initial NIHSS, ASPECTS, and presence of internal carotid occlusion (model 2) were performed for clinical outcomes. All statistical tests were 2 sided, and probability values <0.05 were considered significant.

3. Results

Of a total 600 consecutive patients in the SAMURAI rt-PA Registry, 258 [146 men, mean age 75.1 (SD 10.0) years] had atrial fibrillation. Of these, 14 patients for whom no information on congestive heart failure, hypertension, diabetes, or prior stroke was available and 26 patients with prior disability corresponding to an mRS≥3 were ineligible for the study. Thus, 218 patients [126 men, mean age 74.2 (SD 9.6) years] were studied.

Of these 218 patients, 29 (13.3%) took warfarin orally and PT-INR was less than 1.7 in all these patients on admission. Congestive heart failure was documented in 23 patients (10.6%), hypertension in 138 (63.3%), age≥75 years in 116 (53.2%), diabetes in 35 (16.1%), and prior stroke in 35 (16.1%). The median $CHADS_2$ score was 2, the lower quartile was 1, and the higher quartile was 2. The distributions of each $CHADS_2$ score were: 35 patients with a $CHADS_2$ score of 0, 66 with 1, 64 with 2, 29 with 3, 19 with 4, 5 with 5, and none with 6. Because of the small number of patients with $CHADS_2$ score≥3, patients were categorized into 4 groups as follows: $CHADS_2$ 0, $CHADS_2$ 1, $CHADS_2$ 2 and $CHADS_2$ 3 to 5. Patients with $CHADS_2$ score≥3 are regarded as having high risk for stroke in the original study. [2]

Table 1 shows baseline characteristics in the 4 groups. ASPECTS, initial NIHSS score, and frequency of internal carotid artery occlusion did not differ among the 4 groups. Clinical outcomes in each group are shown in Table 2. There were no significant associations between any or symptomatic ICH and $CHADS_2$ groups. More than 5% of patients with $CHADS_2$ scores of 2 to 5, but none of those with $CHADS_2$ scores of 0 and 1, had cardiovascular events within 3 months after stroke onset. After adjustment for sex and initial NIHSS score, $CHADS_2$ score tended to be positively related to cardiovascular events within 3 months ($p=0.092$). Of a total 8 patients with cardiovascular events, 4 had recurrent ischemic stroke. Three of them had a $CHADS_2$ score of 2 and one had a score of 3. Two of them developed stroke before recommencing anticoagulation (2.8% of 71 patients without recommencement), and two developed stroke after recommencing anticoagulation (1.4% of 147 patients with recommencement).

Fig. 1 shows the association between $CHADS_2$ score and mRS at 3 months. $CHADS_2$ score was negatively related to chronic independence (mRS≤2) and positively related to unfavorable outcome (mRS≥5). Frequency of chronic independence decreased by 26% (95% CI 6–43%, $p=0.015$) and that of unfavorable outcome increased by 53% (95% CI 19–99%, $p=0.001$) for each 1-point increase in the $CHADS_2$ score after adjustment for sex and initial NIHSS score (model 1). Those associations were still significant after adding radiological profiles (ASPECTS and internal carotid artery occlusion) to the multivariate adjustment (model 2). After adjustment for sex and $CHADS_2$ score, initial NIHSS score was negatively associated with chronic independence (per 1 point increase, OR 0.86, 95% CI 0.81–0.90, $p<0.0001$) and positively associated with unfavorable outcome (per 1 point increase, OR 1.16, 95% CI 1.07–1.19, $p<0.0001$). After adjustment for $CHADS_2$ score and initial NIHSS score, female sex tended to be negatively related to chronic independence (OR 0.56, 95% CI 0.30–1.06, $p=0.077$) and were not associated with unfavorable outcome (OR 1.28, 95% CI 0.67–2.44, $p=0.456$).

Associations among each component of the $CHADS_2$ score are shown in Table 3. Advanced age was related to other $CHADS_2$ components apart from diabetes. Clinical outcomes of patients with and without each $CHADS_2$ component are shown in Table 4. Congestive heart failure, hypertension, and prior stroke were not related to any clinical outcomes. Advanced age was related to unfavorable outcome (mRS≥5) at 3 months ($p=0.002$), and diabetes was inversely related to chronic independence (mRS≤2) at 3 months ($p=0.029$).

4. Discussion

This study showed significant associations between $CHADS_2$ score and clinical outcomes following IV rt-PA therapy in acute stroke patients with AF. The major findings of this study were as follows. First, $CHADS_2$ score tended to be positively related to cardiovascular events within 3 months. The rate of cardiovascular events at 3 months after onset was more than 5% in patients with a $CHADS_2$ score of 2 or more. Second, the proportion of independent patients at 3 months decreased significantly as $CHADS_2$ score increased. $CHADS_2$ score was inversely related to independence (mRS≤2) and positively related to unfavorable outcome (mRS≥5) at 3 months.

Several established risk factors for stroke, including advanced age, high systolic blood pressure, hyperglycemia on admission, and diabetes

Table 3
Baseline characteristics of patients with and without each component of $CHADS_2$ score.

	Congestive heart failure		Hypertension		Age≥75 years		Diabetes		Prior stroke	
	Y ($n=23$)	N ($n=195$)	Y ($n=138$)	N ($n=80$)	Y ($n=116$)	N ($n=102$)	Y ($n=35$)	N ($n=183$)	Y ($n=35$)	N ($n=183$)
Age	79.6±9.7 *	74.4±10.0	74.7±10.3	73.2±8.3	81.1±4.7 §	66.3±7.5	72.1±13.1	74.6±8.8	77.6±7.8 ‡	73.5±9.8
Male	12 (47.8)	114 (58.5)	80 (58.0)	46 (57.5)	52 (44.8) §	74 (72.6)	22 (62.9)	104 (56.8)	20 (57.1)	106 (57.9)
Congestive heart failure			16 (11.6)	7 (8.8)	19 (16.4) ‡	4 (3.9)	4 (11.4)	19 (10.4)	3 (8.6)	20 (10.9)
Hypertension	16 (69.6)	122 (62.6)			81 (69.8) *	57 (55.9)	26 (74.3)	112 (61.2)	25 (71.4)	113 (61.8)
Age≥75 years	19 (82.6) ‡	97 (49.7)	81 (58.7) *	35 (43.8)			16 (45.7)	100 (54.6)	24 (68.6) *	92 (50.3)
Diabetes	4 (17.4)	31 (15.9)	26 (18.8)	9 (11.3)	16 (13.8)	19 (18.6)			7 (20.0)	28 (15.3)
Prior stroke	3 (13.0)	32 (16.4)	25 (18.1)	10 (12.5)	24 (20.7) *	11 (10.8)	7 (20.0)	28 (15.3)		
Initial NIHSS	20 (14–25) †	14 (9–19)	15 (10–20)	15 (9–20)	16 (11–21) *	14 (8–18.25)	10 (7–16) ‡	16 (11–20)	15 (11–21)	15 (9–20)

NIHSS: National Institutes of Health Stroke Scale.
* $p<0.05$, † $p<0.01$, ‡ $p<0.005$, § $p<0.001$.

Table 4
Clinical outcomes of patients with and without each component of $CHADS_2$ score.

	Congestive heart failure		Hypertension		Age ≥ 75 years		Diabetes		Prior stroke	
	Y/N (n = 23/195)	OR* (95% CI)	Y/N (n = 138/80)	OR* (95% CI)	Y/N (n = 116/102)	OR* (95% CI)	Y/N (n = 35/183)	OR* (95% CI)	Y/N (n = 35/183)	OR* (95% CI)
Intracerebral hemorrhage (ICH)	6/58	0.69 (0.23–1.85)	46/18	1.70 (0.90–3.30)	36/28	1.30 (0.68–2.50)	12/52	1.35 (0.59–2.96)	8/56	0.59 (0.23–1.35)
Cardiovascular events within 3 months	3/5	4.18 (0.72–21.25)	7/1	3.59 (0.60–68.68)	6/2	2.28 (0.40–18.19)	2/6	1.98 (0.26–10.83)	1/7	0.65 (0.03–4.15)
mRS ≤ 2 at 3 months	3/82	0.30 (0.06–1.10)	47/38	0.58 (0.29–1.13)	36/49	0.75 (0.38–1.49)	11/74	0.37 (0.14–0.88)†	13/72	1.24 (0.52–2.30)
mRS ≥ 5 at 3 months	14/52	2.37 (0.86–6.67)	47/19	1.49 (0.74–3.09)	50/16	3.13 (1.53–6.65)†	11/55	1.84 (0.74–4.48)	12/54	1.02 (0.43–2.34)

mRS: modified Rankin Scale.
*Adjusted by sex, initial National Institutes of Health Stroke Scale (NIHSS) and other $CHADS_2$ components.
† $p<0.05$.
Symptomatic ICH was omitted from the analysis because of the small number of patients.

are also known to be predictive of neurological deterioration and poor vital and functional outcome in acute stroke. [17,18] Thus, a cumulative assessment of the risk factors could be a better predictor for stroke outcome than individual factors. Some components of the $CHADS_2$ score that were reported to be definite or potential outcome predictors following acute ischemic stroke [13,19–28] were not related to any outcomes after IV rt-PA therapy in the present patients, probably due to the small sample size. However, $CHADS_2$ score itself had a strong association with both favorable and unfavorable outcomes.

$CHADS_2$ score was originally associated with risk for embolic events, and tended to be related to cardiovascular events involving stroke recurrence within 3 months in the present patients. Thus, these cardiovascular complications appeared to have some effect on mRS at 3 months. The initial neurological severity was similar among patients with different $CHADS_2$ scores, and therefore does not seem to explain the poor outcome in patients with high $CHADS_2$ score. Since advanced age and diabetes are associated with pneumonia and other febrile diseases during acute stroke, [29,30] such complications in patients with high $CHADS_2$ score may affect outcomes at 3 months.

Frequency of major hemorrhage is high in AF patients on anticoagulation with $CHADS_2$ score of >1 or >2. [3,5] However, this study did not show significant increases in ICH associated with higher $CHADS_2$ scores after rt-PA therapy. Thus, early ICH after rt-PA also does not explain the poor outcome in patients with high $CHADS_2$ scores. Patients with PT-INR ≥ 1.7 were not included according to the guideline, [12] and this might explain the present lack of association between $CHADS_2$ score and ICH, which contrasts with findings from previous reports. In addition, exclusion of patients with an initial blood pressure of >185/110 mmHg and strict blood pressure management during the initial days according to the guidelines might also decrease ICH risk and mask the contribution of $CHADS_2$ score to ICH.

The present study has some limitations which need to be discussed. First, this was a retrospective observational study with a relatively small population, which might affect the statistical findings. Second, the last component of $CHADS_2$ score was originally "prior stroke and transient ischemic attack"; however, our data on prior transient ischemic attack were incomplete, and accordingly $CHADS_2$ score in some patients might have been underestimated. Third, each component of $CHADS_2$ influenced the selection of eligible patients for rt-PA therapy; e.g., patients with advanced age and those with severe hypertension were not recognized as appropriate candidates for treatment. Thus, there were fewer patients with high $CHADS_2$ score than low $CHADS_2$ score. Although patients >80 years old and those with diabetes concomitant with prior stroke are not recommended to receive rt-PA in European countries, [31] they are eligible in the Japanese guideline. [12]

The present study indicates that risk stratification for AF patients using the $CHADS_2$ scheme is a useful predictor not only for risk of ischemic stroke but also for chronic independence following IV rt-PA therapy, regardless of anticoagulation status. Careful observation and preventive therapy for early clinical deterioration and complications may be required in such patients during the acute to subacute stage of stroke. However, the efficacy of acute intensive management of treatable $CHADS_2$ components, including acute blood pressure lowering and blood glucose normalization, for improvement of stroke outcome remains to be determined.

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Conflict of interest/disclosures

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Carotid Duplex Ultrasonography Can Predict Outcome of Intravenous Alteplase Therapy for Hyperacute Stroke

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We evaluated whether carotid duplex ultrasonography (US) can help predict the safety and efficacy of treating hyperacute stroke with intravenous (IV) tissue plasminogen activator (alteplase) therapy. Consecutive patients with stroke were assigned to the carotid artery occlusion (CO) group or the other (non-CO) group according to US findings before or immediately after receiving IV alteplase. Effectiveness and safety outcomes included early neurologic improvement, defined as a reduction in a National Institutes of Health Stroke Scale (NIHSS) score of ≥4 points within the initial 24 hours after stroke onset; completely independent routine activity, defined as a modified Rankin Scale score of ≤1 at day 90 after stroke onset; symptomatic intracranial hemorrhage (ICH) occurring within 36 hours after stroke onset; and any ICH. We enrolled 127 patients (27 in the CO group and 100 in the non-CO group) with a median baseline NIHSS score of 13 (range, 4-30). The CO group had a higher baseline NIHSS score (median, 18 vs 12; $P = .005$). After multivariate adjustment, the CO group was inversely associated with early improvement (odds ratio [OR] = 0.26; 95% confidence interval [CI] = 0.09-0.72) and independence at day 90 (OR = 0.23; 95% CI = 0.05-0.73) and positively associated with any ICH (OR = 3.11; 95% CI = 1.23-8.48). Our findings indicate that CO identified by US in the emergency clinical setting is an independent predictor of unfavorable outcome and ICH following IV alteplase therapy. **Key Words:** Alteplase—internal carotid artery occlusion—intracranial hemorrhage—ultrasonography—outcome.

Occlusion of the internal carotid artery (ICA) often provokes severe hypoperfusion of cerebral blood flow in the affected territory. Patients who sustain acute ICA occlusion tend to have poor clinical outcomes.[1] Mortality is high in patients with malignant middle cerebral artery (MCA) infarction, resulting principally from distal ICA occlusion. The fates of patients with and without a major arterial occlusive lesion might differ after intravenous (IV) tissue plasminogen activator (alteplase) therapy, because resistance to clot lysis and the fragility of infarcted brain tissue may depend on the patency of the ICA. Rapid evaluation of arterial status in the emergency clinical setting may help predict outcome after alteplase therapy.

Magnetic resonance angiography (MRA) and computed tomographic angiography (CTA) can detect occlusions or severe stenoses of the cervicocephalic arteries supplying the infarcted area in patients with acute stroke,[2,3] as well as intracranial abnormalities with greater sensitivity and specificity, than conventional cerebral angiography.[3,4] Large ischemic lesions on diffusion magnetic resonance

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imaging (MRI) before IV alteplase therapy predict poor outcome in patients with acute ischemic stroke,[5] and diffusion–perfusion mismatch can select patients with remaining salvageable tissue.[6] But MRI takes at least 15 minutes, including equipment arrangement and patient transfer, to generate information, and CTA carries a risk of renal failure and anaphylaxis.

Carotid duplex ultrasonography (US) is another noninvasive tool that can detect major extracranial carotid arterial disease.[7-10] Compared with conventional cerebral angiography, US is not associated with such invasive complications as cerebral and systemic embolism, contrast agent anaphylaxis, acute renal dysfunction, and arterial dissection.[11] Morever, with bedside US, it takes only a few minutes to detect significant occlusive lesions of carotid arteries. US findings can help identify the mechanism and type of ischemic stroke.

We tested the hypothesis that carotid duplex US findings can help predict the outcome and safety of IV alteplase therapy for patients with hyperacute ischemic stroke.

Materials and Methods

We prospectively enrolled all patients with stroke who were admitted to our emergency stroke care unit and received IV alteplase therapy between October 2005 (when this therapy was approved in Japan) and July 2008. Our institution's Ethics Committee approved the research protocol. Patients or their representatives (eg, family members) provided written informed consent for the treatment.

Patient eligibility for IV alteplase therapy was based principally on the inclusion and exclusion criteria applied in the National Institute of Neurological Disorders and Stroke (NINDS) study[12] and in the Japan Alteplase Clinical Trial (J-ACT).[13] Each patient received a single IV dose of 0.6 mg/kg (not exceeding 60 mg) of alteplase, with 10% given as a bolus, followed by a continuous IV infusion of the remainder over 1 hour, in accordance with the Japanese guidelines for IV alteplase therapy based on the J-ACT results.[13,14] As in the NINDS study,[12] the use of antithrombotic agents were prohibited for 24 hours after onset, blood pressure was maintained at <180/105 mm Hg, and neurologic symptoms were monitored.

Clinical data included age and sex; time from symptom onset (or time when the patient last appeared to be normal) to the initiation of IV alteplase therapy; carotid artery US findings before or immediately after the initiation of alteplase therapy; National Institute of Health Stroke Scale (NIHSS) score immediately before (baseline) and 24 hours after alteplase therapy; concomitant diseases; current smoking and drinking habits; imaging data, including hemorrhagic transformation detected by computed tomography (CT) or MRI during hospitalization; stroke subtype according to Trial of Org 10172 in Acute Stroke Treatment (TOAST) criteria;[15] and modified Rankin Scale (mRS) score at day 90. Among concomitant diseases, hypertension was defined as systolic blood pressure ≥140 mm Hg or diastolic blood pressure ≥90 mm Hg before stroke onset or the use of antihypertensive medication. Diabetes was defined as preceding fasting blood glucose ≥126 mg/dL or the use of oral antidiabetic agents or insulin. Hypercholesterolemia was defined as total plasma cholesterol level ≥220 mg/dL or the use of antihypercholesterolemic medication.

Patients underwent US after hospitalization while awaiting the results of blood tests or immediately after starting alteplase therapy. US was performed with a bedside unit (Sonos 5500; Philips Medical Systems, Tokyo, Japan) with a 3- to 11-MHz linear transducer. On US, absent color flow signals on the ICA indicates the occlusion at or proximal to the artery, and absent end-diastolic flow velocity of the ICA indicates intracranial ICA occlusion.[16] Thus, carotid artery occlusion was defined as either of these US findings (Fig 1). Based on the US findings, the patients were divided into 2 groups: those with carotid artery occlusion (designated the CO group) and those without carotid artery occlusion (designated the non-CO group).

Before alteplase therapy, all patients underwent intracranial MRA to serve as the gold standard reference of carotid US findings, unless contraindicated. MRA was performed using the 3-dimensional time-of-flight technique (repetition time/echo time, 35/7.2 msec; 20-degree flip angle) with a 1.5 T system (Magnetom Vision; Siemens, Germany).

Outcomes included early neurologic improvement, defined as a ≥4-point reduction in NIHSS score within the initial 24 hours, and complete independence in activities of daily living (ADL), defined as an mRS score of 0 or 1, at 90 days. To assess long-term independence, patients with a mRS score of ≥2 before stroke onset were excluded. Safety outcomes included any intracranial hemorrhage (ICH) confirmed by head CT or MRI during hospitalization, and symptomatic ICH defined as early ICH with neurologic deterioration corresponding to a ≥1-point increase in the NIHSS score within 36 hours after alteplase therapy.

Statistical Analysis

Sensitivity, specificity, positive predictive value, and negative predictive value for detectng patients with carotid artery occlusion by carotid US were calculated when intracranial MRA findings were used as gold standard. Continuous and categorized variables were compared using the Student *t*-test and the χ^2 test, respectively. Nonparametric independent group comparisons were done using the Mann-Whitney *U*-test. To determine independent clinical variables to predict outcomes, significant variables were analyzed in a logistic regression model, with multivariate adjustments for age, sex, and confounders with an association of $P < .05$ with each outcome in univariate analysis. Statistical significance was established at $P < .05$.

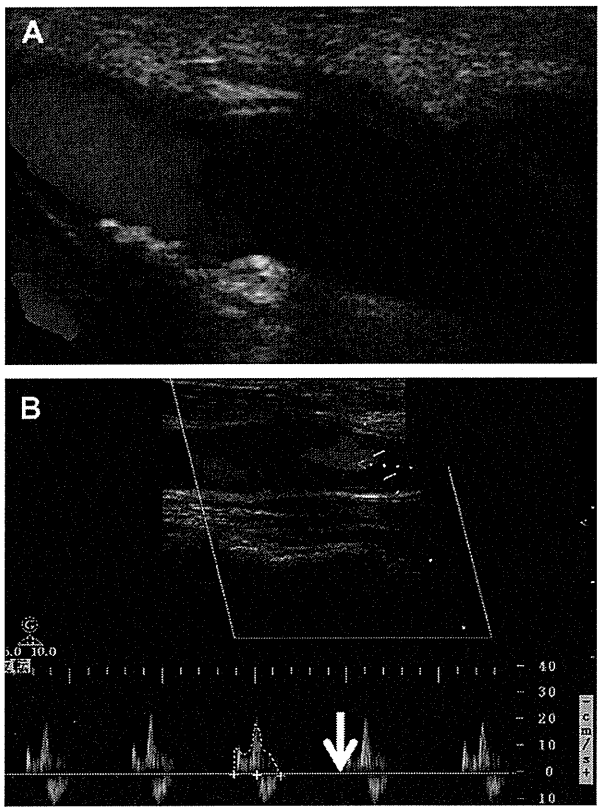

Figure 1. *Typical carotid US findings in ICA occlusion. (A) Absent flow of color in the affected ICA origin in a patient with atherothrombotic extracranial ICA occlusion. (B) Absent end-diastolic flow velocity of affected ICA (arrow) detected by pulsed Doppler US in a patient with distal ICA occlusion.*

Results

A total of 127 patients (89 men, mean age, 73 ± 9 years) were enrolled in the study. In 27 patients, carotid artery occlusion was detected by carotid US before or immediately after alteplase therapy. A total of 110 patients (87%) underwent MRA; 23 were found to have ICA occlusion. Sensitivity, specificity, positive predictive value, and negative predictive value for detect carotid artery occlusion by carotid US were 96%, 97%, 88%, and 99%, respectively. Table 1 summarizes the baseline characteristics and clinical outcomes of the study population. The median baseline NIHSS score was 13 (range, 4-30) and was higher in the CO group than in the non-CO group ($P = .005$). The median duration from symptom onset to IV alteplase therapy was 135 min (range, 50-180 min). US found no evidence of common carotid artery dissection possibly extending from the aortic arch in any patient. This finding, in combination with later examinations, ruled out aortic dissection in all patients.

Cardioembolism was the leading stroke subtype (57%). Atrial fibrillation was more common in the CO group than in the non-CO group. Early neurologic improvement and independence at day 90 were apparently less frequent in the CO group, whereas any ICH was more frequent in the CO group. Two patients in the CO group (7.4%) died within 90 days, one of symptomatic ICH and the other (who had asymptomatic ICH) of severe cerebral herniation due to massive stroke.

We used univariate analysis to test associations of the characteristic variables listed in Table 1 with outcomes (Table 2). Baseline NIHSS ($P = .042$), diabetes mellitus ($P = .049$), and carotid artery occlusion ($P = .039$) were inversely associated with early neurologic improvement. High pretreatment NIHSS score ($P = .015$) and carotid artery occlusion ($P = .002$) were inversely associated with independence at day 90. High baseline NIHSS score ($P = .047$) and carotid artery occlusion ($P = .009$) were associated with any ICH. No variables were significantly associated with symptomatic ICH.

We analyzed the contributing factors to the efficacy and safety outcomes using multivariate adjustment (Table 3). The CO group was independently associated with the absence of early neurologic improvement (odds ratio [OR] = 3.79; 95% confidence interval [CI] = 1.39-11.42; $P = .008$), absence of complete independence at day 90 (mRS score of ≥2: OR = 4.44; 95% CI = 1.38-19.96; $P = .011$), and presence of ICH (OR = 3.11; 95% CI = 1.23-8.48; $P = .016$). Diabetes mellitus (OR = 2.77; 95% CI = 1.03-8.15; $P = .043$) and low NIHSS score (OR = 1.09; 95% CI = 1.02-1.18 per 1-point decrease; $P = .011$) were associated with the absence of early neurologic improvement.

Discussion

Our data indicate that the likelihood of a good outcome was decreased and the likelihood of ICH was increased in stroke patients with US-identified ICA occlusion after IV alteplase therapy. Rapid evaluation using US thus helped predict the effectiveness and safety of alteplase therapy.

Sites of arterial occlusion before alteplase therapy have frequently been identified using transcranial Doppler (TCD) sonography. Recanalization of the ICA after IV alteplase therapy documented on TCD or angiography is reportedly complete in 10% of patients, partial in 16%, and absent in 74%.[17] In addition, terminal ICA occlusion has the least likelihood of recanalization compared with the other types of occlusion (OR = 0.1).[18] Linfante et al[19] found that patients with ICA occlusion have higher NIHSS scores on days 1 and 3 and a lower proportion of recanalization defined by TCD or MRA compared with those with MCA occlusion after alteplase therapy. Consequently, occlusions at the terminal ICA and at a tandem lesion of the ICA and MCA are predictive of poor outcome after alteplase therapy.[18,20] On the other hand, whether carotid US can detect ICA occlusion in the clinical setting of alteplase therapy has not been unequivocally established.

We used carotid US to evaluate the major cerebral arteries because Asian patients with stroke generally do

Table 1. *Baseline characteristics and clinical outcomes*

		US findings	
	Total (n = 127)	CO group (n = 27)	Non-CO group (n = 100)
Characteristic variables			
Female sex	38 (30)	8 (30)	30 (30)
Age, years	73 ± 9	75 ± 8	73 ± 10
Baseline NIHSS score	13 (4-30)	18 (5-24)	12 (4-30)§
Onset to treatment, minutes	135 (50-180)	130 (79-180)	135.5 (50-180)
Hypertension	80 (64)	21 (78)	59 (59)
Diabetes mellitus	24 (19)	5 (19)	19 (19)
Hypercholesterolemia	34 (27)	7 (26)	27 (27)
Atrial fibrillation	58 (46)	17 (63)	41 (41)‡
Current smoking	31 (25)	8 (30)	23 (23)
Alcohol	59 (47)	14 (52)	45 (45)
Stroke subtype			
Large vessel	21 (17)	7 (26)	14 (14)
Cardioembolic	72 (57)	16 (59)	56 (56)
Small vessel	2 (2)	0 (0)	2 (2)
Other	32 (26)	4 (15)	28 (28)
Outcome variables			
Early neurologic improvement*	60 (47)	8 (30)	52 (52)‡
mRS score at 3 months	3 (0-6)	4 (0-6)	2 (0-6)§
Complete independence at 3 months†	44 (35)	3 (11)	41 (41)§
Any intracranial hemorrhage	61 (48)	19 (70)	42 (42)§
Symptomatic intracranial hemorrhage	5 (4)	1 (4)	4 (4)

Values are mean ± standard deviation in age, median (range) in baseline NIHSS score, interval between onset and treatment and mRS score at 3 months, or number (%) in the remaining variables.

*Reduction in NIHSS score of ≥4 points within the initial 24 hours.

†Defined as a mRS score of 0 or 1. Eleven patients with a score ≥2 before stroke onset were excluded.

‡$P < .05$.

§$P < .01$.

not have a sufficient bone window for TCD,[21,22] and obtaining information about arterial occlusion from TCD can be difficult. As an alternative, carotid US can detect intracranial ICA occlusion based on the absence of end-diastolic flow velocity.[16] The accuracy of the diagnosis of carotid occlusion by US is sufficiently high compared with MRA findings. B-mode, color Doppler, and pulsed-wave Doppler carotid US can identify an ICA occlusion in about 5 minutes. The American Heart and Stroke Association recommends completing the initial evaluation and starting medical therapy within 60 minutes of the patient's arrival at the emergency department.[23] Head CT and bedside carotid US imaging can be completed at the emergency department within the 20 minutes or so needed to generate the results of blood tests, including serum chemistry and hemostatic parameters, at our institute.

Another reason for the routine use of carotid US is to rule out aortic dissection extending to the CCA. Concomitant aortic dissection is a conspicuous cause of in-hospital death following IV alteplase therapy in Japan (Japan Stroke Society; http://www.jsts.gr.jp [in Japanese]).

The present study has some limitations. Carotid US cannot provide information about tandem lesions. The incidence of symptomatic ICH was too low to enable an assessment of its relationship with carotid US findings.

In summary, carotid US is a simple tool for detecting ICA occlusion within a few minutes in the emergency clinical setting of hyperacute stroke. Patients with ICA occlusion according to carotid US had worse outcomes and more ICH after IV alteplase therapy. Therefore, rapid non-invasive evaluation of the carotid artery using US might improve the selection of patients likely to benefit from IV alteplase therapy. Although ICA occlusion is a pessimistic sign for success in IV alteplase therapy, patients with such a lesion may still be candidates for this therapy until an alternative therapeutic strategy is established. In the near future, endovascular thrombus retrieval and

Table 2. *Univariate analysis of outcomes*

	Early neurologic improvement*		Complete independence at day 90†		Any ICH		Symptomatic intracranial hemorrhage	
	Present (n = 60)	Absent (n = 67)	Present (n = 44)	Absent (n = 83)	Present (n = 61)	Absent (n = 66)	Present (n = 5)	Absent (n = 122)
Females	19 (32)	19 (28)	11 (25)	27 (33)	20 (33)	18 (27)	2 (40)	36 (30)
Age, years	72 ± 9	75 ± 9	71 ± 8	74 ± 10	72 ± 9	74 ± 10	78 ± 8	73 ± 10
Baseline NIHSS score	13 (5-30)	11 (4-24)‡	11 (4-30)	13 (4-26)‡	14 (4-24)	11 (4-30)‡	15 (12-21)	12 (4-30)
Onset to treatment time	127.5 (50-180)	140 (78-178)	133.5 (50-180)	139 (78-180)	139 (79-180)	133.5 (50-180)	120 (105-143)	136.5 (50-180)
Hypertension	36 (61)	44 (67)	27 (61)	53 (65)	38 (62)	42 (64)	4 (80)	76 (63)
Diabetes mellitus	7 (12)	17 (25)‡	6 (14)	18 (22)	14 (23)	10 (15)	0 (0)	24 (20)
Hyperlipidemia	16 (27)	18 (27)	11 (25)	23 (28)	13 (21)	21 (32)	0 (0)	34 (28)
Atrial fibrillation	26 (44)	32 (48)	16 (36)	42 (51)	30 (49)	28 (42)	4 (80)	54 (45)
Current smoking	10 (17)	21 (31)	9 (21)	22 (27)	16 (26)	15 (23)	1 (20)	30 (25)
Alcohol consumption	30 (51)	29 (43)	22 (51)	37 (45)	28 (46)	31 (47)	1 (20)	58 (48)
Cardioembolic (subtype)	37 (62)	35 (52)	23 (52)	49 (59)	38 (62)	34 (52)	5 (100)	67 (55)
CO group	8 (13)	19 (28)‡	3 (7)	24 (29)§	19 (31)	8 (12)§	1 (20)	26 (21)

Values are mean ± standard deviation in age, median (range) in baseline NIHSS score, and interval between onset and treatment time, or number (%).
*Reduction in NIHSS score of ≥4 points within the initial 24 hours.
†Defined as mRS score of 0 or 1. Eleven patients with a score of ≥2 before stroke onset were excluded.
‡$P < .05$.
§$P < .01$.

Table 3. *Multivariate analysis of outcomes*

	Absence of early neurologic improvement*			mRS score ≥2 at day 90			Any ICH		
	OR	95% CI	*P*	OR	95% CI	*P*	OR	95% CI	*P*
CO group	3.79	1.39-11.42	.008	4.44	1.38-19.96	.011	3.11	1.23-8.48	.016
Diabetes mellitus	2.77	1.03-8.15	.043	–	–	–	–	–	–
Baseline NIHSS score (per 1-point increase)	0.91	0.85-0.98	.011	1.05	0.98-1.13	.144	1.05	0.98-1.12	.165

Adjusted for age, sex, and confounders with an association of $P < .05$ with each outcome in univariate analysis.
Symptomatic intracranial hemorrhage was not tested due to the absence of significantly associated variables in univariate analysis.
*Increase, no change, or decrease in NIHSS score of <4 points within the initial 24 hours.

sonothrombolysis may improve the outcomes of patients with ICA occlusion, at which point this quick screening using US will work well.

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Hyoid Bone Compression–Induced Repetitive Occlusion and Recanalization of the Internal Carotid Artery in a Patient With Ipsilateral Brain and Retinal Ischemia

A 61-YEAR-OLD MAN presented with aphasia and right hemiparesis. Severe stenosis of the left internal carotid artery (ICA) was found 2 years previously when he presented with left retinal arterial branch occlusion. Brain magnetic resonance angiography, carotid ultrasonography (US), and cerebral angiography confirmed that the stenosis had progressed to asymptomatic occlusion 1 year before admission (**Figure 1**A). Brain computed tomography revealed an ischemic lesion in the left basal ganglia (**Figure 2**A). However, the left ICA images were confusing; brain magnetic resonance angiography on day 7 indicated left ICA recanalization, whereas carotid US immediately after magnetic resonance angiography showed ICA occlusion with an intraluminal thrombuslike entity (Figure 2B). Cerebral angiography showed recanalization with severe segmental stenosis on day 13 (Figure 1B); the occlusion revealed by magnetic resonance angiography on day 18 was recanalized according to carotid US 1 hour later. Carotid US on day 20 initially detected left ICA flow in the supine position that gradually diminished with an intraluminal thrombuslike entity appearing over a period of 20 minutes. Flow was suddenly visualized again after the patient sat up (video; http://www.archneurol.com). The left greater horn of the hyoid bone seemed to compress the narrowest segment of the ICA from behind (video), confirmed by helical computed tomography (Figure 1C). Because secondary atherosclerosis at the site of compression, suspected before surgery, was not observed, the operative procedure was changed from carotid endarterectomy to adhesiotomy from the circumferential tissues and patch formation of the left ICA. The hyoid bone removal was given up because of the technical difficulty. A pathological examination of the arterial wall tissue showed only fibrotic change. The left ICA remained patent after surgery. Antiplatelet therapy, started before surgery, was continued. The patient recovered without sequelae and was discharged on day 41.

Video available online at www.archneurol.com

COMMENT

This is the first article describing frequent occlusion and recanalization of a nonatherothrombotic ICA caused by the hyoid bone, confirmed by neuroimaging. To our knowledge, 2 articles[1,2] have described stroke and/or transient ischemic attack in the presence of ICA compression by the hyoid bone, but neither identified a direct relationship between the ICA compression and ischemia. Carotid US and helical computed tomography were useful for diagnosis in our patient. Hyoid bone compression should be recognized as a rare cause of ICA stenosis.

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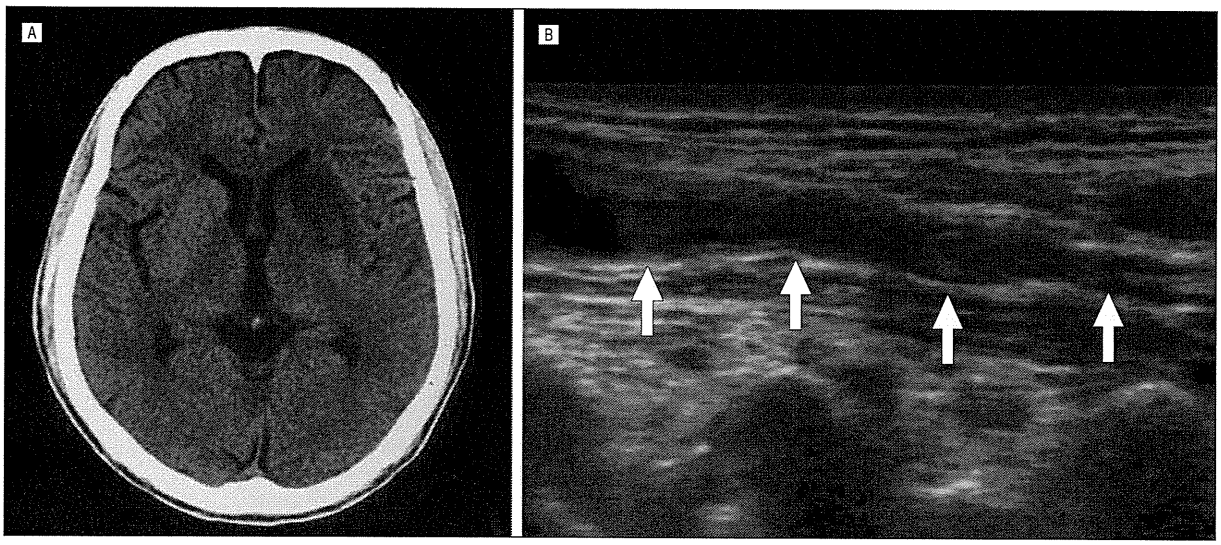

Figure 1. Imaging findings on admission. A, Brain computed tomography shows an ischemic lesion in the left basal ganglia. B, Carotid ultrasound shows a thrombuslike entity in left internal carotid artery.

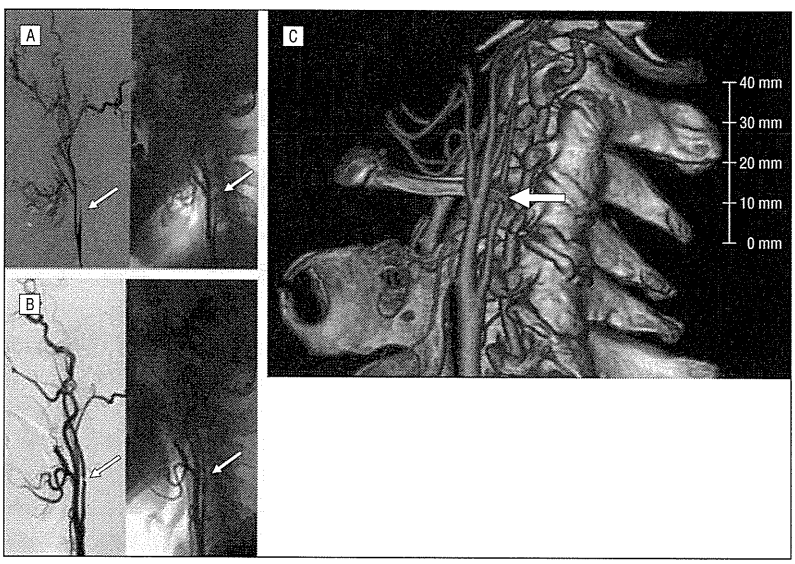

Figure 2. Imaging findings of the left internal carotid artery and hyoid bone. Cerebral angiography showed occlusion of the internal carotid artery 1 year before admission (A), recanalized, with severe segmental stenosis on day 13 (B). C, Helical computed tomography shows the greater horn of hyoid bone compressing the narrowest segment of the left internal carotid artery from behind.

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Reduced Estimated Glomerular Filtration Rate Is Associated with Stroke Outcome after Intravenous rt-PA: The Stroke Acute Management with Urgent Risk-Factor Assessment and Improvement (SAMURAI) rt-PA Registry

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Key Words

Infarction · Intracerebral hemorrhage · Renal dysfunction · rt-PA · SAMURAI

Abstract

Background: The aim of this study was to determine whether renal dysfunction affects the outcome of stroke patients treated with recombinant tissue plasminogen activator (rt-PA). ***Methods:*** A retrospective, multicenter, observational study was conducted to identify the effects of underlying risk factors on intravenous rt-PA therapy using 0.6 mg/kg alteplase in 10 stroke centers in Japan. Consecutive stroke patients with a premorbid modified Rankin Scale (mRS) score ≤3 who received rt-PA were studied. Renal dysfunction was defined as estimated glomerular filtration rate (eGFR) <60 ml/min/1.73 m^2 on admission. The outcome measures were any intracerebral hemorrhage (ICH) and symptomatic ICH within the initial 36 h; favorable (mRS 0–1) outcome, poor outcome (mRS 4–6) and mortality at 3 months. ***Results:*** Of a total of 578 patients (372 men; 64.4%, 71.4 ± 11.7 years old), renal dysfunction was present in 186 patients (32.2%). These patients were older and more commonly had hypertension, atrial fibrillation, prior ischemic heart disease and prior use of antithrombotic agents than patients without renal dysfunction. ICH (27.4 vs. 16.6%) and symptomatic ICH (8.1 vs. 2.6%) was more common in patients with renal dysfunction than in those without. At 3 months, patients with renal dysfunction had higher median mRS scores than those without (3 vs. 2). After multivariate adjustment for established outcome predictors, renal dysfunction was related to any ICH (odds ratio 1.81, 95% confidence interval 1.16–2.84), symp-

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tomatic ICH (2.64, 1.10–6.56), poor outcome (1.55, 1.01–2.38), and mortality (2.94, 1.38–6.42). ***Conclusions:*** Reduced eGFR was associated with early ICH and 3-month unfavorable outcome in stroke patients receiving intravenous rt-PA.

Introduction

Renal dysfunction is increasingly noted as a risk factor for stroke in the general population [1, 2], as well as in high-risk patients having diabetes mellitus [3], essential hypertension [4], and preexisting atherothrombotic disease [5, 6]. In a large cohort of patients with acute stroke, renal dysfunction was an independent predictor for long-term mortality and poor outcome [7–9].

Though intravenous (IV) thrombolysis is a standard therapy for acute stroke patients, the effect of renal dysfunction on vital and functional outcome measures following therapy is inconclusive. As far as we know, only one study (involving 196 stroke patients) reported that a high admission serum creatinine level was independently predictive of a modified Rankin scale (mRS) score ≥3 at 3 months after IV recombinant tissue plasminogen activator (rt-PA) [10]. This study also reported that an impaired estimated glomerular filtration rate (eGFR), defined as <90 ml/min/1.73 m^2, tended to be associated with symptomatic intracerebral hemorrhage (ICH). Since renal dysfunction appears to be an important predictor for stroke outcome, its significance for rt-PA-treated patients should be ascertained in a larger cohort using a multicenter design.

To identify adequate risk factor control in acute stroke patients treated with thrombolysis, a multicenter study group [Stroke Acute Management with Urgent Risk-Factor Assessment and Improvement (SAMURAI) Study Group] was formed. Here, we determined the association of renal dysfunction based on admission eGFR with stroke outcome after IV rt-PA using the database of this study group.

Patients and Methods

The SAMURAI rt-PA Registry Trial had a multicenter, hospital-based, retrospective, observational, cohort design [11]. Details of this study have been described previously [11, 12]. In brief, this study involved 600 consecutive patients with acute ischemic stroke receiving IV rt-PA from October 2005 to July 2008. Of these, 22 patients were ineligible for analysis; 17 patients had dependent activity of daily living before onset, corresponding to an mRS score ≥4, and 5 patients had incomplete 3-month mRS score data. Thus, the remaining 578 patients were included in the present study. Each local ethics committee approved the research protocol. Each patient received a single IV alteplase dose of 0.6 mg/kg, with 10% given as a bolus within 3 h of stroke onset, followed by a continuous IV infusion of the remainder over 1 h [13].

From the database of the SAMURAI rt-PA registers, the data listed in table 1 were extracted for this study. Neurological deficits were assessed using the National Institutes of Health Stroke Scale (NIHSS) score just before and 24 h after rt-PA. Ischemic stroke subtype according to the TOAST categories was elucidated based on information of non-contrast computed tomography (CT), diffusion-weighted magnetic resonance imaging (MRI), magnetic resonance angiography, CT angiography, cervical/transcranial ultrasound, transthoracic or transesophageal echocardiography, and 24-hour Holter monitoring in addition to neurological findings [14].

Kidney function was evaluated based on the eGFR using a revised equation for the Japanese population [15]; eGFR (ml/min/1.73 m^2) = 194 × (serum creatinine)$^{-1.094}$ × (age)$^{-0.287}$ × 0.739 (for women). To calculate eGFR, admission serum creatinine was used. According to the Kidney Disease Outcomes Quality Initiative guidelines of the National Kidney Foundation [16], renal dysfunction was defined as a reduced eGFR (<60 ml/min/1.73 m^2). The stage of renal dysfunction was classified as follows: stage 3 (eGFR 30–59 ml/min/1.73 m^2), stage 4 (15–29 ml/min/1.73 m^2), and stage 5 (<15 ml/min/1.73 m^2 or dialysis).

The major outcome measures were: any ICH defined as CT or MRI evidence of new ICH within the initial 36 h; symptomatic ICH with neurological deterioration corresponding to an increase of ≥1 point from the baseline NIHSS score (Cochrane/National Institute of Neurological Disorders and Stroke definition); favorable and poor outcome at 3 months, and mortality at 3 months. To assess favorable and poor outcome, definitions in the subanalyses of the National Institute of Neurological Disorders and Stroke rt-PA Trial (an mRS of 0–1 and 4–6, respectively) were used [17–20].

Statistical Analysis

Statistical test results were considered significant if $p < 0.05$. All analyses were performed using JMP statistical software (version 7.0.1; SAS Institute, Cary, N.C., USA). Baseline clinical characteristics and stroke features were compared using Student's unpaired t test for parametric continuous variables, Mann-Whitney's U test for nonparametric variables, and Fisher's exact test and the χ^2 test for categorical variables. To identify independent predictors of ICH within 36 h and stroke outcome at 3 months, multivariate logistic regression analysis was performed. For each outcome, sex, age, and renal dysfunction were initially entered, and the other variables listed in table 1 were chosen by a backward selection procedure using $p > 0.10$ in the likelihood ratio test for exclusion.

Results

A total of 578 patients (372 men, 71.4 ± 11.7 years old) were studied. Of these, 186 (32.2%) patients had renal dysfunction with eGFR <60 ml/min/1.73 m^2; 163 (28.2%)