

Fig. 2. A: transfer function from sympathetic stimulation to the HR response obtained in sedentary and exercised-trained rats. Gains (*top*), phase shifts (*middle*), and coherence (Coh.) functions (*bottom*) are presented. B: calculated step response to 1-Hz tonic sympathetic stimulation. Thick lines represent the mean, whereas thin lines indicate  $\pm$  SD values. The gray solid curves in the gain and step response panels (*right*) duplicates the means (*left*).

pathetic tonus, which can decrease the gain of the vagal transfer function (17).

It has been documented that the intensity of exercise as well as the duration of exercise training are related to the autonomic adaptation to exercise training (28). These factors have been shown to be largely variable among different studies. A well-controlled experimental setup is needed to clarify these issues.

#### Dynamic Gain Values of Sympathetic and Vagal Transfer Functions Corresponding to HRV Frequency Bands

HRV is considered to reflect autonomic tone (19). The VLF component is likely to reflect changes in vasomotor tone in relation to thermoregulation and local adjustment of resistance in individual vascular beds; the LF component is considered to

be a marker of sympathetic activity, although it remains a matter of debate; and the HF component mainly originates from respiratory activity and is considered to be mediated by vagal input (27). In rats, Cerutti et al. (8) determined that the LF component ranged between 0.27 and 0.74 Hz, and the HF component was  $> 0.75$  Hz.

Averaged dynamic gain values of sympathetic transfer function for VLF and LF bands did not differ between the sedentary and exercised-trained groups (Fig. 4A). These results suggest that changes in the peripheral sympathetic control of HR likely do not contribute significantly to training-induced alterations in HRV. Therefore, the lower percentage of LF power and LF/HF ratio in the exercised-trained group (Table 2) may indicate reduced activation of sympathetic outflow from autonomic centers (23). In contrast, averaged dynamic gain values of vagal transfer function for VLF, LF, and HF bands (Fig. 4B) as

Table 4. Sympathetic transfer function parameters and step response

	Sedentary	Exercise Trained
Gain, beats·min <sup>-1</sup> ·Hz <sup>-1</sup>	4.2 $\pm$ 1.5	4.5 $\pm$ 1.5
Natural frequency, Hz	0.07 $\pm$ 0.01	0.08 $\pm$ 0.01
Damping ratio	1.96 $\pm$ 0.55	1.69 $\pm$ 0.15
Lag time, s	0.71 $\pm$ 0.10	0.62 $\pm$ 0.11
Steady-state response, beats/min	3.6 $\pm$ 1.6	4.2 $\pm$ 1.2
80% rise time, s	12.9 $\pm$ 2.7	12.1 $\pm$ 3.0

Values are means  $\pm$  SD. See APPENDIX for transfer function parameters.

Table 5. AP and HR during dynamic vagal stimulation protocol

	Sedentary		Exercise Trained	
	Prestimulation	During stimulation	Prestimulation	During stimulation
AP, mmHg	72 $\pm$ 21	68 $\pm$ 15	92 $\pm$ 14	80 $\pm$ 21
HR, beats/min	373 $\pm$ 18	327 $\pm$ 38 †	372 $\pm$ 14	301 $\pm$ 32 †

Values are means  $\pm$  SD. † $P < 0.05$  compared with prestimulation.

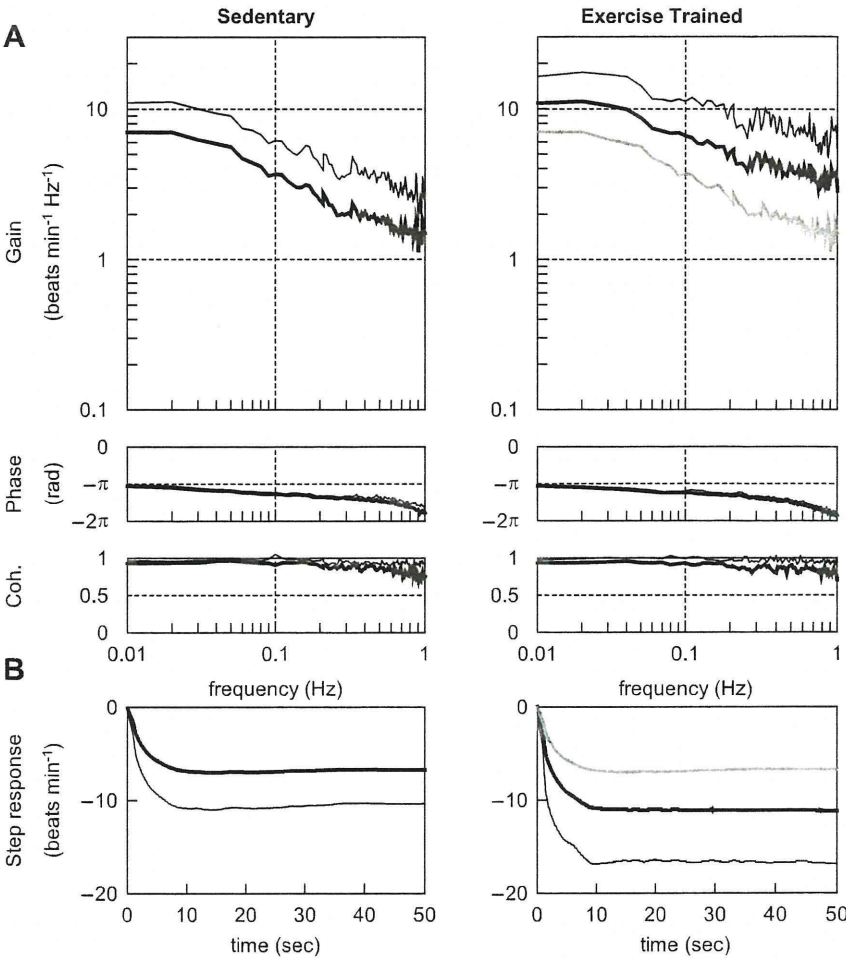


Fig. 3. A: transfer function from vagal stimulation to the HR response obtained in sedentary and exercised-trained rats. Gains (top), phase shifts (middle), and coherence functions (bottom) are presented. B: calculated step response to 1-Hz tonic vagal stimulation. Thick lines represent the mean, whereas thin lines indicate  $\pm$  SD values. The gray solid curves in the gain and step response panels (right) duplicate the means (left).

well as the percentage of HF power (Table 2) were significantly greater in the exercised-trained compared with the sedentary group. These results suggest that the augmentation in HRV induced by exercise training is, at least in part, mediated by augmentations in the peripheral vagal control of HR.

What are the possible mechanisms underlying augmentations in the peripheral vagal control of HR? Danson and Paterson (10) have presented evidence that neuronal nitric oxide synthase may be a key enzymatic protein underlying such training-induced increases in cardiac vagal function. This group has also demonstrated that HR changes in response to vagal stimulation are enhanced by exercise training in wild-type mice but not in heterozygous neuronal nitric oxide syn-

thase knockout mice (9). Another candidate for augmentations in the peripheral vagal control of HR is muscarinic receptors, which play a fundamental role in HR control via vagally mediated regulation. However, the effects of exercise training have been inconsistent among studies, showing both increases (12) and no change (2, 3) in muscarinic receptors in the myocardium of rats. The possibility cannot be dismissed that training-induced changes in the activity of afferent inputs mediating vagal outflow may also contribute to the alterations in HRV (4). Further investigation is needed to clarify these issues.

Perspectives and Significance

To date, the mechanisms underlying increased HRV after exercise training remain to be elucidated. HRV may reflect both the autonomic outflow from the central nervous system and the peripheral autonomic regulation of atrial pacemaker cells. In human studies, it is difficult to separately examine each factor. The findings of the present study suggest that the augmentation in HRV induced by exercise training is, at least in part, mediated by augmentations in the peripheral vagal control of HR. In other words, even if vagal outflow from the central nervous system remains unchanged after exercise training, HRV could be increased by an enhanced responsiveness in the peripheral vagal, but not sympathetic, regulation of HR.

Table 6. Vagal transfer function parameters and step response

	Sedentary	Exercise Trained
Gain, beats·min <sup>-1</sup> ·Hz <sup>-1</sup>	6.1 $\pm$ 3.0	9.7 $\pm$ 5.1 <sup>#</sup>
Corner frequency, Hz	0.11 $\pm$ 0.05	0.17 $\pm$ 0.09
Lag time, s	0.10 $\pm$ 0.08	0.17 $\pm$ 0.08
Steady-state response, beats/min	-6.7 $\pm$ 3.6	-11.2 $\pm$ 5.7 <sup>#</sup>
80% Fall time, s	4.3 $\pm$ 2.2	4.3 $\pm$ 1.5

Values are means  $\pm$  SD. <sup>#</sup>*P* = 0.06 compared with sedentary group. See APPENDIX for transfer function parameters.



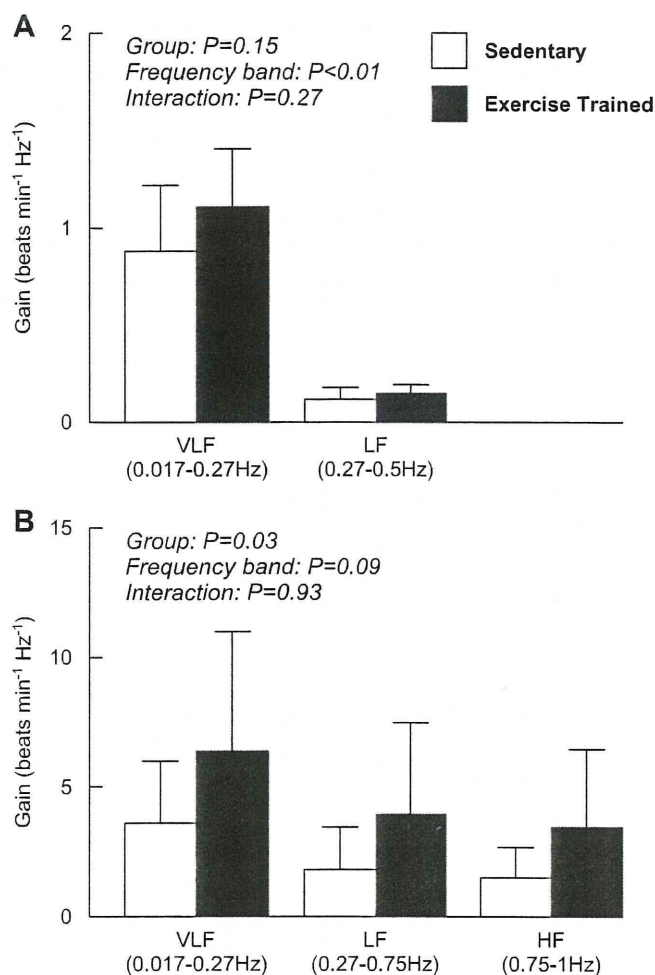


Fig. 4. Averaged sympathetic (A) and vagal (B) gain calculated from corresponding transfer function in very low frequency (VLF), low frequency (LF), and high frequency (HF) bands.

It has been well documented that decreased HRV is observed in heart failure (18) as well as in a variety of lifestyle-related diseases such as diabetes (16), hypertension (24), and obesity (1). Furthermore, reductions in HRV are related to increases in mortality rates as well as the occurrence of adverse cardiac events (32). Exercise training-induced augmentations in HRV maintain the potential to partially correct or normalize the autonomic dysfunction manifest in these disease states (4). Understanding the mechanisms contributing to the alterations in HRV induced by exercise training may significantly impact the development of novel therapeutic strategies for the treatment of autonomic dysfunction.

#### Limitations

There are several limitations to this study. First, the rats were slightly hyperventilated throughout the stimulation protocol. We cannot rule out the possibility that the hyperventilation might have affected the results reported. Second, dynamic sympathetic stimulation lowered mean AP in sedentary rats although sinoaortic barodenervation was performed. This may be explained by a possible difference in left ventricular functional capacity. For example, under conditions of equivalent

HR, changes in systolic blood pressure were smaller in sedentary rats compared with exercised-trained rats (13). Third, the stimulation amplitude was fixed at 10 V for both sympathetic and vagal nerve stimulation. It should be noted, however, that our preliminary results indicated that 10 V was sufficiently large enough to evoke maximal HR responses. Fourth, transfer function data were obtained from anesthetized animals. This must be taken into account when interpreting the present results as anesthesia may affect the peripheral autonomic regulation of atrial pacemaker cells. Finally, we stimulated the sympathetic and vagal nerves according to a binary white noise signal. Although this method of stimulation is quite different from the physiological pattern of neuronal discharge, the coherence was near unity over the frequency range of interest. This finding indicates that the system properties do not vary considerably in response to different patterns of stimulation.

#### Conclusion

In the present study, it was demonstrated for the first time that exercise training did not alter dynamic sympathetic control of HR, while it did augment dynamic vagal control of HR. In addition, the group effect was significant with regard to the dynamic gain values for the vagal transfer functions corresponding to VLF, LF, and HF bands. This finding suggests that enhancements in the peripheral vagal control of HR may, at

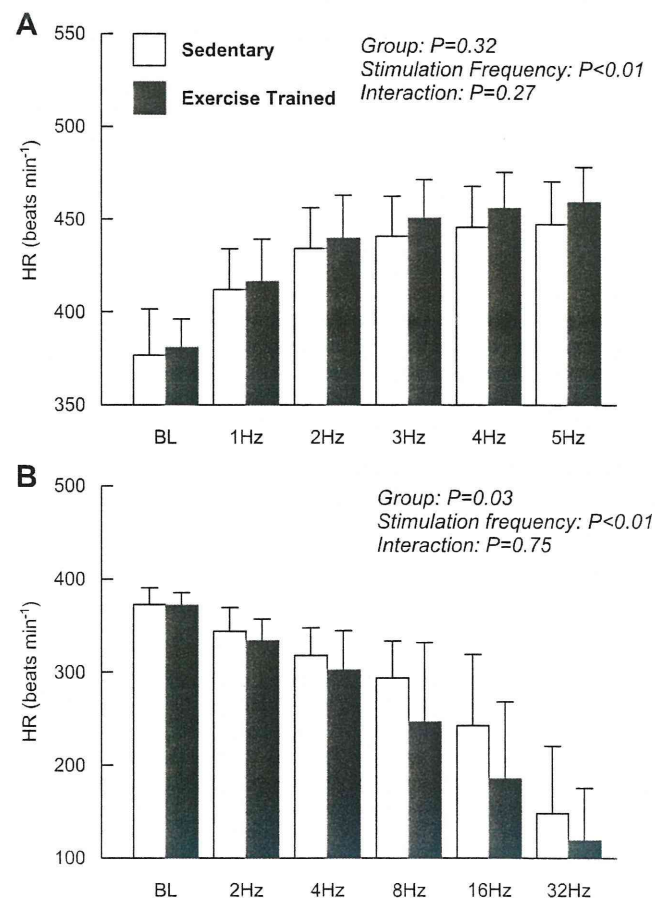


Fig. 5. HR response to stepwise sympathetic (A) and vagal (B) stimulation obtained in sedentary and exercised-trained rats.

least in part, contribute to the exercise-induced augmentation in HRV in healthy rats.

#### APPENDIX: TRANSFER FUNCTION ANALYSIS

The dynamic transfer function from binary white noise stimulation to the HR response was estimated based on the following procedure. Input-output data pairs of the stimulation frequency and HR were resampled at 10 Hz to be consistent with our previous study (21). Subsequently, data pairs were partitioned into eight 50% overlapping segments consisting of 1,024 data points each. For each segment, the linear trend was subtracted and a Hanning window was applied. A fast Fourier transform was then performed to obtain the frequency spectra of nerve stimulation  $[N(f)]$  and HR  $[HR(f)]$ . Over the eight segments, the power of the nerve stimulation  $[S_{N-N}(f)]$ , the power of the HR  $[S_{HR-HR}(f)]$ , and the cross-power between these two signals  $[S_{N-HR}(f)]$  were ensemble averaged. Finally, the transfer function  $[H(f)]$  from nerve stimulation to the HR response was determined using the following equation (20).

$$H(f) = \frac{S_{N-HR}(f)}{S_{N-N}(f)}$$

To quantify the linear dependence of the HR response on vagal or sympathetic stimulation, the magnitude-squared coherence function  $[Coh(f)]$  was estimated employing the following equation (20).

$$Coh(f) = \frac{|S_{N-HR}(f)|^2}{S_{N-N}(f) \cdot S_{HR-HR}(f)}$$

Coherence values range from zero to unity. Unity coherence indicates perfect linear dependence between the input and output signals; in contrast, zero coherence indicates total independence between the two signals.

Since the transfer function from sympathetic stimulation to HR response in rats approximated a second order low-pass filter with pure delay (21), we determined the parameters of the sympathetic transfer function using the following equation.

$$H(f) = \frac{K}{1 + 2\zeta \frac{f}{f_N} + \left(\frac{f}{f_N}\right)^2} e^{-2\pi f j L}$$

where  $K$  is dynamic gain (in beats·min<sup>-1</sup>·Hz<sup>-1</sup>),  $f_N$  is the natural frequency (in Hz),  $\zeta$  is the damping ratio,  $L$  is lag time (in s), and  $f$  and  $j$  represent frequency and imaginary units, respectively. These parameters were estimated by means of an iterative nonlinear least squares regression.

Since the transfer function from vagal stimulation to HR response in rats approximated a first-order, low-pass filter with pure delay (21), we determined the parameters of the vagal transfer function using the following equation.

$$H(f) = \frac{-K}{1 + \frac{f}{f_c}} e^{-2\pi f j L}$$

where  $K$  represents the dynamic gain (in beats·min<sup>-1</sup>·Hz<sup>-1</sup>),  $f_c$  denotes the corner frequency (in Hz),  $L$  denotes the lag time (in s), and  $f$  and  $j$  represent frequency and imaginary units, respectively. The negative sign in the numerator indicates the negative HR response to vagal stimulation. These parameters were estimated by means of an iterative nonlinear least squares regression.

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#### DISCLOSURES

No conflicts of interest, financial or otherwise, are declared by the author(s).

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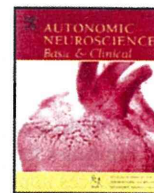
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# Involvement of the mechanoreceptors in the sensory mechanisms of manual and electrical acupuncture

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## ABSTRACT

The modalities of acupuncture can be broadly classified into manual acupuncture (MA) and electroacupuncture (EA). Although MA has been reported to cause winding of tissue around the needle and subsequent activation of the sensory mechanoreceptors and nociceptors, the sensory mechanisms of acupuncture stimulation are not fully understood. To test the hypothesis that the involvement of the mechanoreceptors in the sensory mechanism is different in MA and EA, we examined the effects of a stretch-activated channel blocker gadolinium on the hemodynamic responses to hind limb MA and EA in anesthetized rats ( $n=9$ ). Gadolinium significantly attenuated the MA-induced bradycardic response ( $-22 \pm 5$  vs.  $-10 \pm 3$  bpm,  $P<0.05$ ) and tended to attenuate the MA-induced depressor response ( $-30 \pm 5$  vs.  $-18 \pm 4$  mm Hg,  $P=0.06$ ). On the other hand, gadolinium significantly attenuated both the EA-induced bradycardic ( $-22 \pm 5$  vs.  $-9 \pm 4$  bpm,  $P<0.01$ ) and depressor responses ( $-32 \pm 6$  vs.  $-15 \pm 5$  mm Hg,  $P<0.01$ ). These results indicate that the mechanoreceptors are involved in the sensory mechanisms for both MA and EA.

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## 1. Introduction

Acupuncture has been used to modulate autonomic nervous activity and cardiovascular function (Kimura and Sato, 1997; Lin et al., 2001). The modalities of acupuncture can be broadly classified into two categories: manual acupuncture (MA) and electroacupuncture (EA). MA and EA induce similar changes in the functional magnetic resonance imaging signal in the human brain (Napadow et al., 2005). Neural mechanisms involved in acupuncture have been the focus of investigations. The effects of EA are considered to be related to stimulation of finely myelinated (group III) and unmyelinated (group IV) fibers, which activate opioid receptors in the rostral ventrolateral medulla to inhibit sympathetic outflow (Chao et al., 1999). Depletion of group IV fibers by neonatal capsaicin treatment reduces the influence of EA on the pressor responses to mechanical stimulation of visceral organs (Tjen-A-Looi et al., 2005). The extensive network of tangential cutaneous axons, coupled with their communications with the large numbers of Merkel cells, might be considered a new division of the autonomic nervous system: the cutaneous intrinsic visceral afferent nervous system (Silberstein, 2009).

Although cardiovascular responses induced by acupuncture-like stimulation are known to be reflexes mediated via somatic afferent nerves, visceral afferent nerves and autonomic efferent nerves (Sato

et al., 1994, 2002; Tjen-A-Looi et al., 2005; Uchida et al., 2007; Yamamoto et al., 2008; Silberstein, 2009), the sensory mechanisms of MA and EA that initiate afferent nerve discharge are not fully understood. Langevin et al. (2001) proposed that MA causes winding of tissues around the needle and subsequent activation of sensory mechanoreceptors and nociceptors, and also suggested that changes in extracellular milieu induced by MA are important factors for neuromodulation. Burnstock (2009) proposed that mechanical deformation of the skin leads to the release of ATP from keratinocytes, fibroblasts and other cells; then the sensory nerves are activated through purinergic receptors. Although EA may induce MA-like stimuli via electrical twitching of surrounding tissues, EA may also directly depolarize sensory axons and nerve terminals adjacent to the needle and induce reflex responses. If the direct depolarization is the major sensory mechanism of EA, inhibition of mechanoreceptors would not significantly attenuate the effects of EA. On the other hand, if the mechanical stimulation plays a dominant role in the sensory mechanism of EA, inhibition of mechanoreceptors would significantly attenuate the effects of EA.

Among mechanoreceptors, mechanosensitive ion channels detect mechanical stimuli and transduce these stimuli into electrical signals in sensory neurons. Gadolinium chloride is widely used experimentally as an inhibitor of stretch-activated ion channels and physiological responses of tissues to mechanical stimulation (Adding et al., 2001). To test the hypothesis that the contribution of mechanoreceptors in the sensory mechanism differs in MA and EA, we examined the effects of gadolinium on the hemodynamic responses to MA and EA in anesthetized rats.

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## 2. Methods

### 2.1. Surgical preparation

Animal care was provided in strict accordance with the Guiding Principles for the Care and Use of Animals in the Field of Physiological Sciences approved by the Physiological Society of Japan. All protocols were reviewed and approved by the Animal Subject Committee at the National Cerebral and Cardiovascular Center. Male Wistar Kyoto rats weighing from 310 to 460 g were anesthetized by an intraperitoneal injection of pentobarbital sodium (50 mg/kg) and ventilated mechanically via a tracheal tube with oxygen-enriched room air. The depth of anesthesia was maintained by continuous intravenous infusion of pentobarbital sodium ( $20\text{--}25\text{ mg kg}^{-1}\text{ h}^{-1}$ ) through a double lumen catheter inserted into the right external carotid vein. Ringer solution ( $6\text{ mg kg}^{-1}\text{ h}^{-1}$ ) was administered to maintain fluid balance. Arterial blood pressure (AP) was measured using a catheter inserted into the right common carotid artery. Heart rate (HR) was determined from AP using a cardiometer. Body temperature was maintained at approximately 38 °C using a heating pad.

### 2.2. MA and EA stimulations ( $n=9$ )

With the animal in the supine position, both hind limbs were lifted to obtain a better view of the lateral sides of the lower legs. An acupuncture needle with a diameter of 0.2 mm (CE0123, Seirin-Kasei, Japan) was inserted into a point below the knee joint just lateral to the tibia in the left or right leg. For MA stimulation, the acupuncture needle was inserted to a depth of 5–10 mm and manually twisted clockwise and counter-clockwise, and moved up and down at a frequency of 1–2 Hz for a duration of 120 s. Two to three MA trials were conducted with an intervening interval of more than 5 min within which AP and HR returned to the respective pre-stimulation values. For EA stimulation, another acupuncture needle was inserted into a point approximately 1 cm from the above-mentioned needle toward the ankle joint and used as the ground. EA was applied for 120 s using an isolator connected to an electrical stimulator (SEN 7203, Nihon Kohden, Japan). The pulse width and the stimulus current were set at 500  $\mu\text{s}$  and 5 mA, respectively. The stimulation frequency was set at 10 Hz in six and at 20 Hz in three of the nine rats. The pulse duration was based on previous studies (Tjen-A-Looi et al., 2005; Yamamoto et al., 2008; Uchida et al., 2008). The amplitude and frequency were selected so that the magnitudes of reflex hemodynamic responses became comparable to those induced by MA before gadolinium administration. In each animal, two to three EA trials were conducted with an intervening interval of more than 5 min within which AP and HR returned to the respective pre-stimulation values.

Gadolinium chloride hexahydrate was dissolved in saline at a concentration of 20 mM (Nakamoto and Matsukawa, 2007). After performing MA and EA under control conditions, we administered the gadolinium solution intravenously (2 ml/kg). After 10 min, we repeated MA and EA. The acupuncture needle positions were kept unchanged between MA and EA trials as well as before and after the gadolinium administration.

In a supplemental protocol ( $n=7$  additional rats), to examine the possibility that simple insertion of needles caused significant hemodynamic influences, an acupuncture needle (CE0123, Seirin-Kasei, Japan) was only inserted into a point below the knee joint just lateral to the tibia in the left or right leg and placed for a duration of 120 s. Needle was inserted to a depth of 5–10 mm.

### 2.3. Aortic depressor nerve stimulation ( $n=6$ )

Using a pair of platinum electrodes, we identified the aortic depressor nerve (ADN) running along the common carotid artery, based on the AP pulse-synchronous nerve activity monitored through a loud speaker. After a depressor response to brief electrical stimulation of

the nerve was confirmed, the electrodes and the nerve were fixed and insulated by silicone glue (Kwik-Sil, World Precision Instruments, FL, USA). The nerve fibers caudal to the electrodes were then crushed by a tight ligature so that only the afferent fibers directed to the central nervous system were stimulated. In four of the six rats, the right ADN was stimulated. In the remaining two rats, the left ADN was stimulated because of failure to stimulate the right ADN properly. The ADN was stimulated for 120 s at a frequency of 50 Hz (pulse width: 2 ms, voltage: 2 V). ADN stimulation was repeated with an interval of 5 min until the AP and HR responses appeared to be reproducible under control conditions. We then administered the gadolinium solution intravenously (20 mM, 2 ml/kg). After 10 min, we repeated the ADN stimulation.

### 2.4. Data analysis

Data were digitized using a 16-bit analog-to-digital converter (Contec, Japan) and stored at 200 Hz on a laboratory computer system. First, AP and HR data were averaged every 10 s. Averaged time courses of AP and HR responses were then obtained from two to three trials of MA, EA or ADN stimulation in each animal. Next, the effects of MA, EA or ADN were examined using repeated-measures one-way analysis of variance (ANOVA) followed by Dunnett's test (Glantz, 2002). The baseline data point immediately before stimulation was treated as a single control point for the Dunnett's test. Finally, the maximum effect of MA, EA or ADN stimulation was quantified by the differences between the minimum and baseline values for AP and HR ( $\Delta\text{AP}$  and  $\Delta\text{HR}$ ). The effects of gadolinium on  $\Delta\text{AP}$  and  $\Delta\text{HR}$  were examined by a paired-t test (Glantz, 2002). The differences were considered significant at  $P<0.05$ . Data are presented in mean  $\pm$  SE values.

## 3. Results

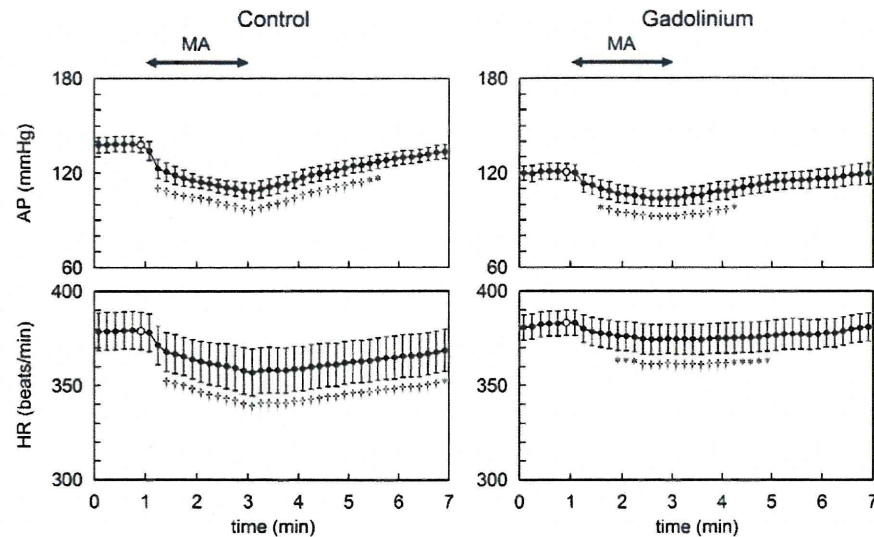
Fig. 1 depicts the averaged time courses of AP and HR responses to MA ( $n=9$  rats). MA gradually decreased AP and HR under control conditions. The minimum AP and HR were reached near the end of the MA stimulation period. After the cessation of MA, AP and HR gradually returned toward the respective baseline values. Intravenous gadolinium administration significantly decreased baseline AP from  $138 \pm 5$  to  $120 \pm 5$  mm Hg ( $P<0.01$ ) but had no significant effect on baseline HR ( $379 \pm 10$  vs.  $383 \pm 7$  bpm). Following gadolinium administration, although MA also decreased AP and HR significantly,  $\Delta\text{AP}$  tended to be attenuated ( $-30 \pm 5$  vs.  $-18 \pm 4$  mm Hg;  $68 \pm 16\%$  of the pre-gadolinium;  $P=0.06$ ) and  $\Delta\text{HR}$  was significantly attenuated ( $-22 \pm 5$  vs.  $-10 \pm 3$  bpm;  $57 \pm 23\%$  of the pre-gadolinium;  $P<0.05$ ) compared to control conditions.

Fig. 2 depicts the averaged time courses of AP and HR responses to EA ( $n=9$  rats). Under control conditions, EA decreased AP and HR. Both responses reached almost a steady state at approximately 1 min of EA stimulation. AP and HR remained decreased during the rest of the EA stimulation period, and gradually returned toward the respective baseline values after the cessation of EA. Intravenous gadolinium administration significantly decreased baseline AP from  $140 \pm 5$  to  $123 \pm 7$  mm Hg ( $P<0.01$ ) but did not affect baseline HR ( $385 \pm 9$  vs.  $384 \pm 7$  bpm). Following gadolinium administration, although EA significantly decreased AP, the decrease in HR was only significant at 55 s of EA stimulation.  $\Delta\text{AP}$  ( $-32 \pm 6$  vs.  $-15 \pm 5$  mm Hg;  $38 \pm 11\%$  of the pre-gadolinium;  $P<0.01$ ) and  $\Delta\text{HR}$  ( $-22 \pm 5$  vs.  $-9 \pm 4$  bpm;  $37 \pm 14\%$  of the pre-gadolinium;  $P<0.01$ ) were attenuated significantly compared to control conditions.

In the supplemental protocol ( $n=7$  rats), the insertion of an acupuncture needle alone did not significantly change AP ( $138 \pm 9$  vs.  $138 \pm 9$  mm Hg) or HR ( $399 \pm 20$  vs.  $400 \pm 20$  bpm).

Fig. 3 shows the averaged time courses of AP and HR responses to ADN stimulation ( $n=6$  rats). ADN stimulation decreased AP and HR under control conditions. The minimum AP and HR were reached at 15 s of ADN stimulation. Both parameters remained decreased during the rest of the ADN stimulation period, and returned toward the respective





**Fig. 1.** Time courses of arterial pressure (AP) and heart rate (HR) responses induced by manual acupuncture (MA) averaged from 9 rats. MA gradually decreased AP and HR under control conditions (left) and after gadolinium administration (right). Gadolinium treatment tended to attenuate the AP response and significantly attenuated the HR response induced by MA, compared to control conditions. Data are mean  $\pm$  SE values. \* $P < 0.05$  and  $^{\dagger}P < 0.01$  versus the control data point (open circle) immediately before the application of MA.

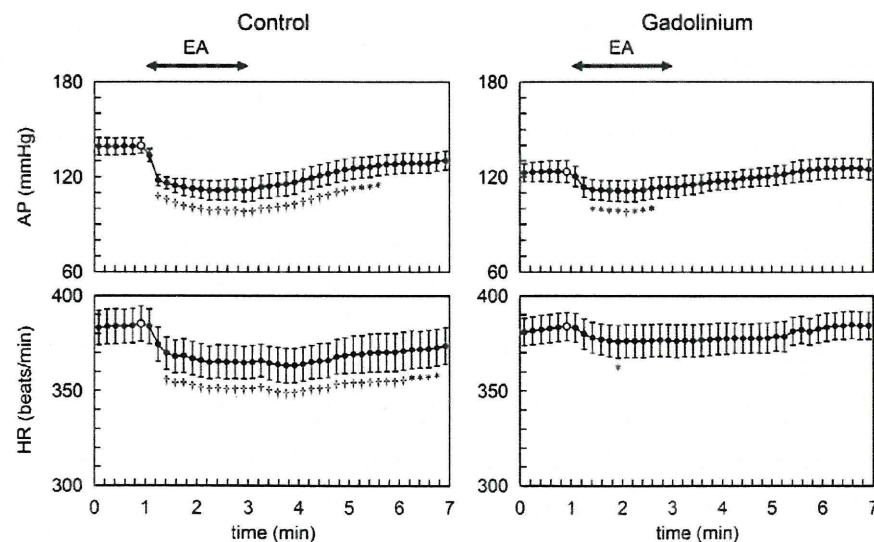
baseline values after the cessation of ADN stimulation. AP and HR appeared to recover more rapidly compared to those observed after MA and EA. Intravenous gadolinium administration significantly decreased baseline AP from  $126 \pm 4$  to  $118 \pm 2$  mm Hg ( $P < 0.01$ ) but had no significant effect on baseline HR ( $373 \pm 13$  vs.  $369 \pm 11$  bpm). Following gadolinium administration, ADN stimulation significantly decreased AP and HR. Neither  $\Delta AP$  ( $-43 \pm 7$  vs.  $-49 \pm 3$  mm Hg) nor  $\Delta HR$  ( $-27 \pm 8$  vs.  $-34 \pm 5$  bpm) was attenuated compared to control conditions.

#### 4. Discussion

We have shown that ion channels blocked by gadolinium are implicated in the hypotensive and bradycardic effects of acupuncture at the hind limb in rats, irrespective of technique.

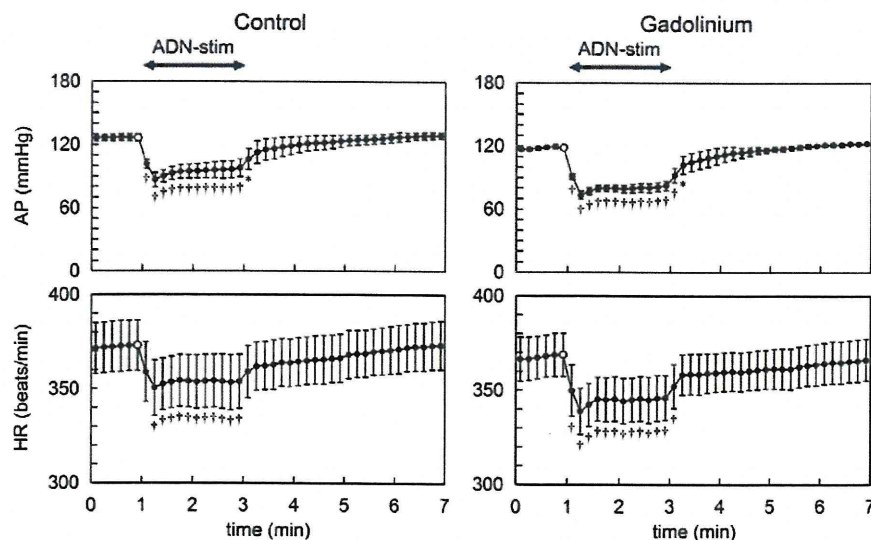
#### 4.1. Effects of gadolinium on AP and HR responses to MA and EA

Insertion of acupuncture needle alone did not change AP and HR significantly, indicating that continuous stimulation either by MA or EA was necessary to induce sustained AP and HR responses. Mechanoreceptors are thought to play an important role in the sensory mechanism of MA. Because gadolinium blocks mechanosensitive ion channels in sensory neurons (Cho et al., 2002), we hypothesized that intravenous administration of gadolinium would attenuate the AP and HR responses to MA. As expected,  $\Delta AP$  tended to be attenuated after gadolinium administration (Fig. 1, top). However, since gadolinium also decreased baseline AP, it is uncertain whether the attenuation of  $\Delta AP$  was mainly attributable to the inhibition of reflex response to MA or to the decreased baseline AP. On the other hand, gadolinium did not significantly affect baseline HR and



**Fig. 2.** Time courses of AP and HR responses induced by electroacupuncture (EA) averaged from 9 rats. EA gradually decreased AP and HR under control conditions (left) and after gadolinium administration (right). Gadolinium significantly attenuated both AP and HR responses induced by EA, compared to control conditions. Data are mean  $\pm$  SE values. \* $P < 0.05$  and  $^{\dagger}P < 0.01$  versus the control data point (open circle) immediately before the application of EA.





**Fig. 3.** Time courses of AP and HR responses induced by electrical stimulation of the aortic depressor nerve (ADN-stim) averaged from 6 rats. ADN-stim decreased AP and HR under control conditions (left) and after gadolinium administration (right). Gadolinium did not attenuate the AP and HR responses induced by ADN-stim, compared to control conditions. Data are mean  $\pm$  SE values. \* $P < 0.05$  and † $P < 0.01$  versus the control data point (open circle) immediately before the application of ADN-stim.

significantly attenuated  $\Delta$ HR induced by MA (Fig. 1, bottom). Judging from the HR response, it is conceivable that gadolinium inhibits the reflex hemodynamic responses to MA.

We assumed that direct depolarization of sensory axons and nerve terminals adjacent to the needle could be the major sensory mechanism of EA. In fact, direct electrical stimulation of muscle afferent fibers evokes a variety of cardiovascular responses similar to those induced by EA (Sato et al., 1981). If direct depolarization is the major sensory mechanism for EA, inhibition of mechanoreceptors would have no significant effect on EA, because the results of the ADN stimulation protocol indicates that the axonal conduction would not be blocked even after gadolinium administration once the afferent nerve is discharged (Fig. 3). Contrary to this assumption, gadolinium significantly attenuated  $\Delta$ AP and  $\Delta$ HR induced by EA (Fig. 2), suggesting that the mechanoreceptors play an important role in the sensory mechanism of EA, as in the case of MA. EA probably causes electrical twitching of surrounding tissues and exerts MA-like stimulation through the mechanoreceptors.

Despite the significant contribution of mechanoreceptors to the sensory mechanisms of both MA and EA, the fact that the hemodynamic responses to MA and EA were not entirely abrogated after gadolinium administration indicates the presence of sensory mechanisms other than the mechanosensitive ion channels. Not all capsaicin-sensitive neurons are mechanosensitive, and gadolinium has no effect on capsaicin-induced calcium transient in sensory neurons (Gschossmann et al., 2000). Depletion of group IV fibers by neonatal capsaicin treatment reduces the influence of EA on the pressor responses to mechanical stimulation of visceral organs (Tjen-A-Looi et al., 2005), suggesting an importance of capsaicin-sensitive neurons in the mechanisms of acupuncture. Nociceptive neurons are therefore a likely candidate for the residual sensory mechanism after gadolinium administration. The group IV C-fiber tactile afferents is known to be widely distributed in the skin of mammals (Wessberg et al., 2003). These fibers could be regarded as a cutaneous intrinsic visceral afferent nervous system (Silberstein, 2009). In addition, the present results do not rule out the possibility that direct depolarization of sensory axons or nerve terminals occurs during EA. Albeit this assumption, EA seemed to have received even greater influence from gadolinium than MA (Figs. 1 and 2). Because MA with needle movements can cause greater deformations in the adjacent extracellular milieu compared to EA, MA may have induced signal transductions other than mechanosensitive ion channels, such as integrin-linked signal transduction pathways

(Aplin et al., 1998), resulting in the greater residual hemodynamic responses after gadolinium administration. Further studies are required in the future to solve this question.

#### 4.2. Effects of gadolinium on the AP and HR responses to ADN stimulation

Gadolinium decreased baseline AP, suggesting actions other than the inhibition of mechanosensitive ion channels. For instance, gadolinium has been shown to block voltage-gated calcium, sodium and potassium channels (Adding et al., 2001). To exclude the possibility that gadolinium attenuates the reflex hemodynamic responses to MA and EA via nonspecific mechanisms such as the inhibition of central autonomic neurotransmission, we performed the ADN stimulation experiment. Gadolinium did not attenuate  $\Delta$ AP and  $\Delta$ HR induced by ADN stimulation (Fig. 3). It is unlikely, therefore, that gadolinium inhibits the central autonomic neurotransmission from afferent to efferent nerve activities or significantly blunted the AP and HR responses to changes in autonomic nerve activities.

#### 4.3. Implication of MA and EA

Although the present results indicate that MA and EA may share a common sensory mechanism, EA may be more flexible than MA in terms of its application for biomedical engineering because the effects of EA can be controlled quantitatively by adjusting the stimulation current and stimulation frequency. As an example, a previous study from our laboratories has demonstrated that servo-controlled hind limb electrical stimulation can reduce AP at a prescribed target level in anesthetized cats (Kawada et al., 2009). EA can be applied continuously using a stimulating device without the attendance of an acupuncturist once the needle is properly positioned. Continuous electrical stimulation of auricular acupuncture points for 48 h/week has been shown to be more effective than auricular acupuncture without electrical stimulation for the treatment of chronic cervical pain in an outpatient population (Sator-Katzenschlager et al., 2003). Although further studies are required, EA delivered via a dedicated stimulating device may be an additional modality to the treatment of cardiovascular diseases.

#### 4.4. Limitations

First, the present study was conducted under pentobarbital anesthesia. Because anesthesia affects the autonomic tone, AP and HR



responses may differ when different anesthetics are used or when the animals are in a conscious state. However, as we compared the effects of gadolinium on the reflex responses to MA and EA under the same anesthetic conditions, the interpretation of the sensory mechanisms for MA and EA should be valid. Second, we performed EA at frequencies of 10 or 20 Hz in order to obtain AP and HR responses comparable to those observed during MA under control conditions. Because the effects of EA may differ depending on the magnitude of stimulation including pulse duration, current and frequency (Uchida et al., 2008; Kawada et al., 2009), further studies are needed to examine whether the effects of gadolinium on EA-induced hemodynamic responses vary depending on the stimulation intensities.

#### 4.5. Conclusion

Intravenous administration of gadolinium attenuated the AP and HR responses to both MA and EA, suggesting that the mechanosensitive ion channels are involved in the sensory mechanisms of both MA and EA. EA may cause electrical twitching of surrounding tissues and induce MA-like stimulation through mechanoreceptors.

#### Acknowledgments

This study was supported by Health and Labour Sciences Research Grants (H19-nano-Ippan-009, H20-katsudo-Shitei-007, and H21-nano-Ippan-005) from the Ministry of Health, Labour and Welfare of Japan; by a Grant-in-Aid for Scientific Research (No. 20390462) from the Ministry of Education, Culture, Sports, Science and Technology of Japan; and by the Industrial Technology Research Grant Program from the New Energy and Industrial Technology Development Organization (NEDO) of Japan.

#### Appendix A

In an attempt to demonstrate that gadolinium does not significantly affect the hemodynamic responses to direct nerve stimulation related to acupuncture at the hind limb, we performed an additional protocol of tibial nerve stimulation in 5 anesthetized rats. The right tibial nerve was exposed and placed on a pair of platinum electrodes, and was stimulated for 120 s (500  $\mu$ s, 10 Hz, 2 or 5 mA).  $\Delta$ AP was  $-10.5 \pm 3.5$  mm Hg under baseline conditions, which was attenuated to  $-8.2 \pm 4.4$  mm Hg after gadolinium administration ( $74 \pm 15\%$  of the pre-gadolinium,  $P < 0.01$ ). Although the relative reduction seemed smaller than that observed in EA ( $38 \pm 11\%$  of the pre-gadolinium, see main text), because the reduction of  $\Delta$ AP could be partly attributable to the decreased baseline AP after gadolinium administration, we could not judge whether gadolinium had truly inhibited the hypotensive effect of tibial nerve stimulation. Unfortunately, the tibial nerve stimulation did not change HR significantly in our experimental conditions ( $\Delta$ HR =  $-1.1 \pm 4.4$  bpm before gadolinium vs.  $\Delta$ HR =  $-1.4 \pm 4.1$  bpm after gadolinium), as opposed to a previous study (Uchida et al., 2008). As a result, we could not judge the effect of gadolinium based on HR either. We think the ADN stimulation

protocol in the main text would be a second best surrogate to indicate the inability of gadolinium to block hemodynamic responses induced by direct activation of the afferent nerve.

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## Dynamic characteristics of baroreflex neural and peripheral arcs are preserved in spontaneously hypertensive rats

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**Kawada T, Shimizu S, Kamiya A, Sata Y, Uemura K, Sugimachi M.** Dynamic characteristics of baroreflex neural and peripheral arcs are preserved in spontaneously hypertensive rats. *Am J Physiol Regul Integr Comp Physiol* 300: R155–R165, 2011. First published November 3, 2010; doi:10.1152/ajpregu.00540.2010.—Although baroreceptors are known to reset to operate in a higher pressure range in spontaneously hypertensive rats (SHR), the total profile of dynamic arterial pressure (AP) regulation remains to be clarified. We estimated open-loop transfer functions of the carotid sinus baroreflex in SHR and Wistar Kyoto (WKY) rats. Mean input pressures were set at 120 (WKY<sub>120</sub> and SHR<sub>120</sub>) and 160 mmHg (SHR<sub>160</sub>). The neural arc transfer function from carotid sinus pressure to efferent splanchnic sympathetic nerve activity (SNA) revealed derivative characteristics in both WKY and SHR. The slope of dynamic gain (in decibels per decade) between 0.1 and 1 Hz was not different between WKY<sub>120</sub> ( $10.1 \pm 1.0$ ) and SHR<sub>120</sub> ( $10.4 \pm 1.1$ ) but was significantly greater in SHR<sub>160</sub> ( $13.2 \pm 0.8$ ,  $P < 0.05$  with Bonferroni correction) than in SHR<sub>120</sub>. The peripheral arc transfer function from SNA to AP showed low-pass characteristics. The slope of dynamic gain (in decibels per decade) did not differ between WKY<sub>120</sub> ( $-34.0 \pm 1.2$ ) and SHR<sub>120</sub> ( $-31.4 \pm 1.0$ ) or between SHR<sub>120</sub> and SHR<sub>160</sub> ( $-32.8 \pm 1.3$ ). The total baroreflex showed low-pass characteristics and the dynamic gain at 0.01 Hz did not differ between WKY<sub>120</sub> ( $0.91 \pm 0.08$ ) and SHR<sub>120</sub> ( $0.84 \pm 0.13$ ) or between SHR<sub>120</sub> and SHR<sub>160</sub> ( $0.83 \pm 0.11$ ). In both WKY and SHR, the declining slope of dynamic gain was significantly gentler for the total baroreflex than for the peripheral arc, suggesting improved dynamic AP response in the total baroreflex. In conclusion, the dynamic characteristics of AP regulation by the carotid sinus baroreflex were well preserved in SHR despite significantly higher mean AP.

systems analysis; transfer function; white noise; sympathetic nerve activity; arterial pressure

THE ARTERIAL BAROREFLEX IS an important negative feedback system that stabilizes systemic arterial pressure (AP) against exogenous disturbances in daily activities. The sympathetic limb of the arterial baroreflex system may be analyzed by dividing it into two principal subsystems (23). One is a controller subsystem that describes the relationship between baroreceptor pressure input and efferent sympathetic nerve activity (SNA). The other is an effector subsystem that describes the relationship between SNA and AP. Hereafter, in this article, we refer to the former as the neural arc and the latter as the peripheral arc (9). In normal physiological conditions, changes in AP affect SNA via the neural arc, and the changes in SNA, in turn, affect AP via the peripheral arc. This

closed-loop operation makes it difficult to identify the dynamic characteristics of the neural and peripheral arcs separately (see APPENDIX) (18). To circumvent the closed-loop problem, the carotid sinus baroreceptor regions were isolated from the systemic circulation, and open-loop transfer function analyses were performed in anesthetized rabbits (9) and rats (31). In both species, the neural arc revealed “derivative” characteristics, which means that the dynamic gain of the SNA response becomes greater as the frequency of modulation increases. In contrast, the peripheral arc showed “low-pass” characteristics, which means that the dynamic gain of the AP response becomes smaller as the frequency of modulation increases. It has been interpreted that the fast neural arc partially compensates for the slow peripheral arc to improve the speed of response of the total baroreflex system (9).

In chronic hypertension, the arterial baroreflex is reset to operate in a higher pressure range (2). Both carotid sinus (24) and aortic baroreceptors (30) show the resetting in spontaneously hypertensive rats (SHR). Although changes in vascular properties induced by sustained hypertension, such as reduced distensibility, may decrease the baroreflex sensitivity, the dynamic characteristics of AP regulation by the arterial baroreflex in hypertension are not fully understood. In a previous study, Harada et al. (7) have shown that the baroreflex neural arc retains its derivative characteristics in SHR. Since they perturbed AP by aortic balloon inflation and deflation, they were unable to quantify the dynamic AP response to changes in SNA (i.e., the peripheral arc). As a result, the total profile of the dynamic AP regulation in SHR remains to be clarified. The aim of the present study was to comprehensively identify the dynamic characteristics of the neural arc, peripheral arc, and total baroreflex in SHR and compare them with those estimated in normotensive Wistar Kyoto rats (WKY).

### MATERIALS AND METHODS

Animals were cared for in strict accordance with the Guiding Principles for the Care and Use of Animals in the Field of Physiological Sciences, which has been approved by the Physiological Society of Japan. All experimental protocols were reviewed and approved by the Animal Subjects Committee at the National Cerebral and Cardiovascular Center.

**Surgical preparation.** Main experiments were performed in age-matched male WKY ( $n = 7$ ,  $21.6 \pm 3.7$  wk) and SHR ( $n = 6$ ,  $22.2 \pm 4.5$  wk). Each rat was anesthetized with an intraperitoneal injection (2 ml/kg) of a mixture of urethane (250 mg/ml) and  $\alpha$ -chloralose (40 mg/ml), and mechanically ventilated with oxygen-enriched room air. A venous catheter was inserted into the right femoral vein, and the above anesthetic mixture, diluted 20-fold, was administered continuously ( $2\text{--}3\text{ ml}\cdot\text{kg}^{-1}\cdot\text{h}^{-1}$ ). An arterial catheter was inserted into the right femoral artery to measure AP. Heart rate (HR) was obtained

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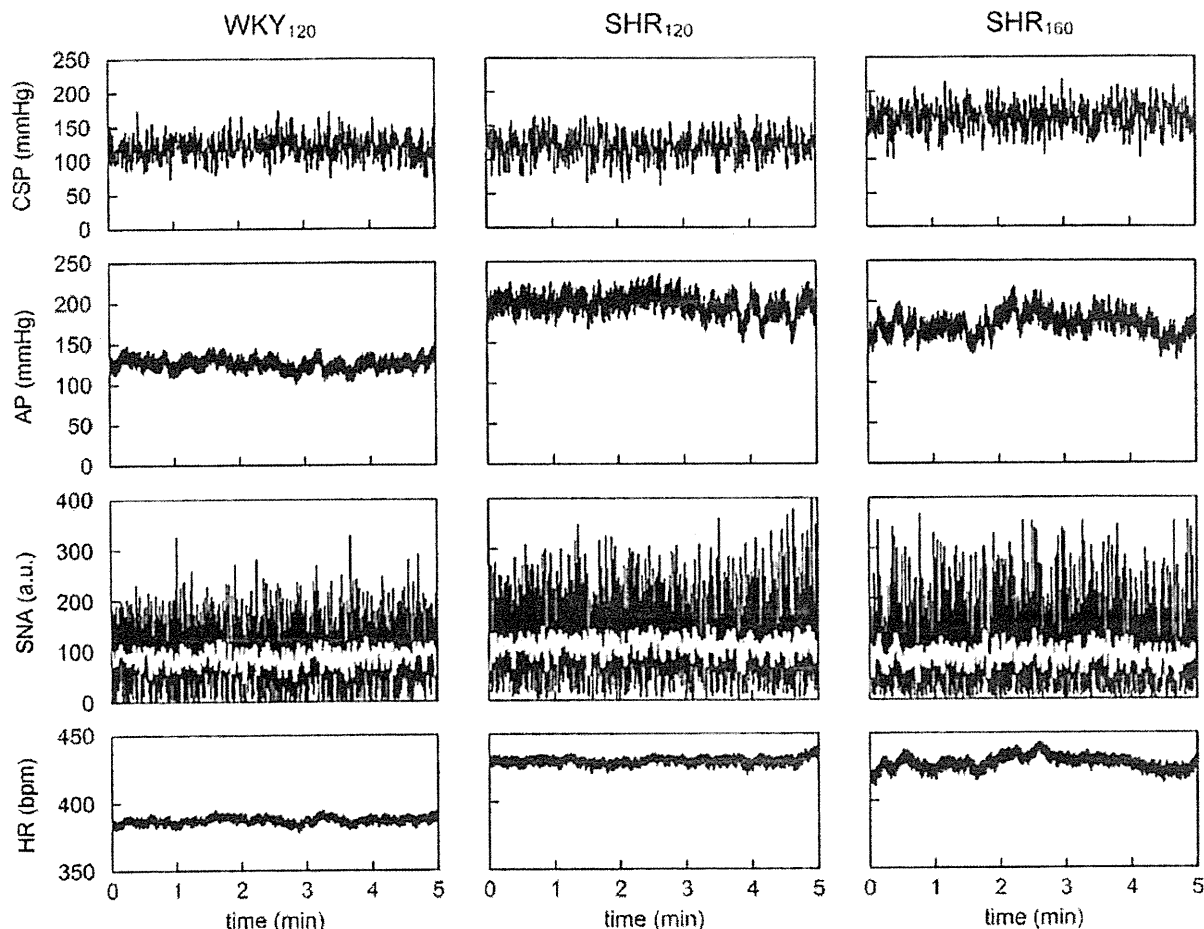


Fig. 1. Typical recordings of carotid sinus pressure (CSP), arterial pressure (AP), sympathetic nerve activity (SNA), and heart rate (HR) obtained from a Wistar Kyoto (WKY) rat and a spontaneously hypertensive rat (SHR). CSP was perturbed according to a Gaussian white noise with mean input pressure of 120 mmHg (WKY<sub>120</sub> and SHR<sub>120</sub>) or 160 mmHg (SHR<sub>160</sub>). White lines in the SNA data indicate 2-s moving average SNA signals.

from AP through a cardiometer. Another venous catheter was inserted into the left femoral vein to infuse Ringer solution (6 ml·kg<sup>-1</sup>·h<sup>-1</sup>).

A postganglionic branch from the splanchnic sympathetic nerve was exposed through a left flank incision and a pair of stainless-steel wire electrodes (Bioflex wire AS633; Cooner Wire, Chatsworth, CA) was attached to record SNA. The nerve and electrodes were covered with silicone glue (Kwik-Sil; World Precision Instruments, Sarasota, FL, USA) for insulation and fixation. To quantify the nerve activity, the preamplified nerve signal was band-pass filtered at 150–1,000 Hz, and then full-wave rectified and

low-pass filtered with a cut-off frequency of 30 Hz. Pancuronium bromide (0.4 mg·kg<sup>-1</sup>·h<sup>-1</sup>) was administered to prevent muscular activity from contaminating the SNA recording. At the end of the experiment, we confirmed the disappearance of SNA after an intravenous bolus injection of a ganglionic blocker hexamethonium bromide (60 mg/kg) and recorded the noise level.

Bilateral vagal and aortic depressor nerves were sectioned at the neck to avoid reflexes from the cardiopulmonary region and aortic arch. The carotid sinus regions were isolated from the systemic circulation using previously reported procedures (32, 34) with modifications. Briefly, a 7–0 polypropylene suture with a fine needle (PROLENE; Ethicon, Cornelia, GA) was passed through the tissue between the external and internal carotid arteries, and the external carotid artery was ligated close to the carotid bifurcation. The internal carotid artery was embolized by injecting two to three steel balls (0.8 mm in diameter; Tsubaki Nakashima, Nara, Japan) from the common carotid artery. The isolated carotid sinuses were filled with warmed Ringer solution through catheters inserted into the common carotid arteries. The carotid sinus pressure (CSP) was controlled using a servo-controlled piston pump. Heparin sodium (100 U/kg) was given intravenously to prevent blood coagulation. Body temperature was maintained at ~38°C with a heating pad.

**Protocols.** Some animals showed deterioration of baroreflex responses soon after the completion of the surgical preparation, possibly due to the surgical damage to the carotid sinus nerves or the low brain

Table 1. Mean arterial pressure, heart rate, and sympathetic nerve activity during dynamic input protocol

	WKY <sub>120</sub>	SHR <sub>120</sub>	SHR <sub>160</sub>
Mean AP, mmHg	105 ± 5	176 ± 17**	143 ± 14†
Mean HR, bpm	406 ± 18	432 ± 19	423 ± 15
Mean SNA, au	85 ± 12	147 ± 15*	110 ± 15†

Data are presented in means ± SE values [*n* = 7 for Wistar-Kyoto (WKY) and *n* = 6 for spontaneously hypertensive rats (SHR)]. AP, arterial pressure; HR, heart rate; SNA, sympathetic nerve activity; bpm, beats per minute; au, arbitrary unit. \**P* < 0.05 and \*\**P* < 0.01, WKY<sub>120</sub> vs. SHR<sub>120</sub> by unpaired-*t*-test with Bonferroni correction. †*P* < 0.05, SHR<sub>120</sub> vs. SHR<sub>160</sub> by paired-*t*-test with Bonferroni correction.



perfusion after bilateral common carotid occlusion. The baroreflex study described below was conducted only in animals showing persistent baroreflex-mediated SNA, AP, and HR responses for more than 30 min after completion of the surgical preparation.

To estimate dynamic characteristics of the carotid sinus baroreflex, CSP was perturbed for 20 min using a Gaussian white noise (GWN) signal with a standard deviation of 20 mmHg (11, 12). The whiteness of the input is essential to estimate the system characteristics stably over a frequency range of interest (see APPENDIX). The mean input CSP was set at 120 mmHg in WKY (WKY<sub>120</sub>) and SHR (SHR<sub>120</sub>). Taking into account a priori knowledge that the baroreceptor is reset to a higher pressure range in SHR (2, 24), the same rats in SHR<sub>120</sub> were also tested at a mean input CSP of 160 mmHg (SHR<sub>160</sub>). The switching interval of GWN was 500 ms. The resulting input power spectral density was relatively constant up to 1 Hz, which was expected to cover the upper frequency range of interest with respect to the sympathetic arterial baroreflex in rats (31).

A supplemental protocol was performed in an additional three 18-wk-old male WKY rats to test the effect of changing the mean input CSP on the transfer function estimation. The GWN input was applied with the mean CSP set at 120 mmHg (WKY<sub>120-S</sub>) and 160 mmHg (WKY<sub>160-S</sub>). Six data sets were analyzed by acquiring two data sets from each rat using GWN signals of different sequences.

**Data analysis.** Data were sampled at 200 Hz using a 16-bit analog-to-digital converter and stored in a dedicated laboratory com-

puter system. Dynamic characteristics of the baroreflex neural arc, peripheral arc, total baroreflex, and HR control were estimated by a standard open-loop transfer function analysis (see APPENDIX) (20). Data analysis was started from 120 s after initiation of the GWN input. The input-output data pairs were resampled at 10 Hz, and 12 segments were processed using 50%-overlapping bins of 1,024 points each.

To facilitate understanding of the transfer function, the step response corresponding to the transfer function was calculated as follows. A system impulse response was derived from the inverse Fourier transform of the transfer function. The step response was then obtained from the time integral of the impulse response.

Because the magnitude of SNA varied among animals depending on the recording conditions, SNA was normalized in each animal by assigning unity to the mean dynamic gain for frequencies below 0.03 Hz in the neural arc transfer function, for WKY<sub>120</sub> and SHR<sub>120</sub>. The following parameters of the transfer functions were compared: dynamic gain values at 0.01, 0.1, and 1 Hz ( $G_{0.01}$ ,  $G_{0.1}$ , and  $G_1$ ), and the slope of dynamic gain ( $G_{slope}$ ) for the frequency range of 0.1 to 1 Hz.  $G_{slope}$  was calculated by a regression analysis between log frequency and log dynamic gain. For step response analysis of the neural arc, the negative peak response ( $S_{peak}$ ), time to the negative peak ( $T_{peak}$ ), and step response at 10 s ( $S_{10}$ ) were calculated. For step response analyses of the peripheral arc, total baroreflex, and HR control, the steady-state response at 50 s ( $S_{50}$ ) and initial slope ( $S_{slope}$ ) were calculated. To calculate  $S_{slope}$ , a threshold value was determined at 5%  $S_{50}$ , and the

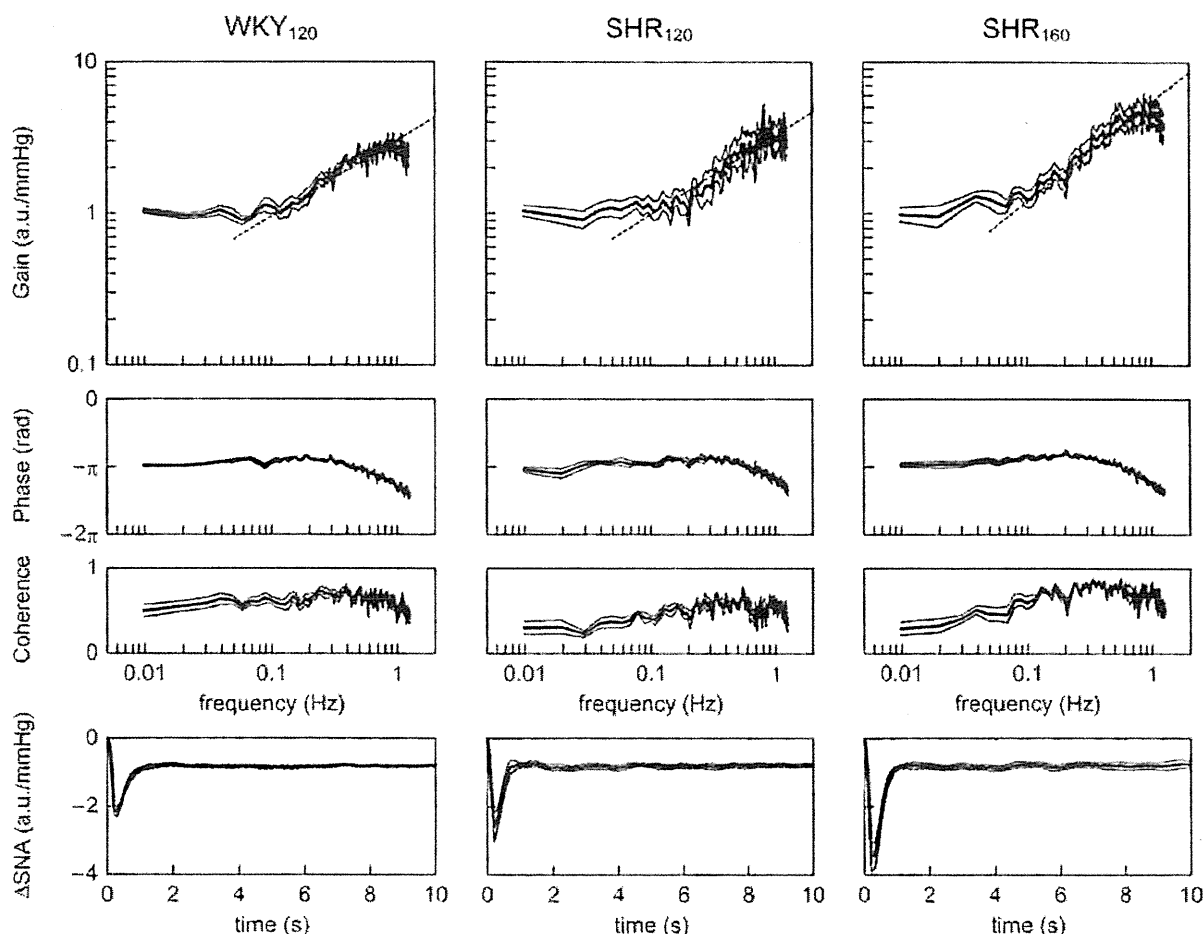


Fig. 2. Transfer functions of the baroreflex neural arc from CSP to SNA averaged for WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups. Gain, phase, and coherence plots are shown. In the gain plots, the dashed oblique line indicates the mean slope of the dynamic gain ( $G_{slope}$ ) estimated in the frequency range from 0.1 to 1 Hz.  $G_{slope}$  is significantly steeper in SHR<sub>160</sub> than in SHR<sub>120</sub>. Bottom: step responses of SNA calculated from the corresponding neural arc transfer functions. The peak response ( $S_{peak}$ ) is significantly more negative in SHR<sub>160</sub> than in SHR<sub>120</sub>. In all panels, the bold and thin lines indicate mean and mean  $\pm$  SE values, respectively.

first data point that exceeded the threshold was obtained. Starting from this first data point, a regression analysis was repeated while increasing the number of data points for the regression. The steepest slope thus obtained was defined as  $S_{\text{slope}}$ .

**Statistical analysis.** All data are presented as means  $\pm$  SE. Differences between WKY<sub>120</sub> and SHR<sub>120</sub> were tested using unpaired *t*-test. Differences between SHR<sub>120</sub> and SHR<sub>160</sub> were tested using paired *t*-test. Taking into account the duplicated comparisons of the SHR<sub>120</sub> data, differences between groups were considered to be significant when  $P < 0.05$  with Bonferroni correction (i.e.,  $P < 0.025$  and  $P < 0.005$  were interpreted as  $P < 0.05$  and  $P < 0.01$ , respectively) (5). In the supplemental protocol, parameters were compared between WKY<sub>120-S</sub> and WKY<sub>160-S</sub> using paired *t*-test.

## RESULTS

Figure 1 shows the typical experimental data obtained from an individual rat in the WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups. The SHR<sub>120</sub> and SHR<sub>160</sub> data were derived from the same animal. In each group, CSP was perturbed according to a GWN signal, which caused variations in AP, SNA, and HR. The mean AP was significantly higher in SHR<sub>120</sub> than in WKY<sub>120</sub>, confirming hypertension in SHR (Table 1). The mean AP was significantly lower in SHR<sub>160</sub> than in SHR<sub>120</sub>, indicating that increasing the mean CSP enabled the reduction of the mean AP in SHR. The white lines in the SNA plots indicate 2-s moving average signals. Although mean SNA was higher in SHR<sub>120</sub> than in WKY<sub>120</sub>, this comparison could be influenced by the normalization of SNA. The mean SNA in SHR<sub>160</sub> decreased significantly to  $74 \pm 6\%$  of that in SHR<sub>120</sub>. Although changes in mean HR appeared to parallel the changes in mean AP, there were no significant changes across the groups (Table 1).

The neural arc transfer functions averaged from the WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups are shown in Fig. 2. In the gain plots,  $G_{0.01}$  approximated unity in WKY<sub>120</sub> and SHR<sub>120</sub> because of the normalization procedure (Table 2). The dynamic gain became greater as the frequency increased above 0.1 Hz. There were no significant differences in  $G_{0.01}$ ,  $G_1$ , and  $G_{\text{slope}}$  between WKY<sub>120</sub> and SHR<sub>120</sub>.  $G_{0.01}$  also approximated unity in SHR<sub>160</sub>, although SNA was normalized by the same normalization factor used for the SHR<sub>120</sub> data. While  $G_{0.01}$  did not differ significantly between SHR<sub>120</sub> and SHR<sub>160</sub>,  $G_1$  and  $G_{\text{slope}}$  were significantly greater in SHR<sub>160</sub>. The phase plots of three groups were similar: the phase was close to  $-\pi$  radians at 0.01 Hz, deviated slightly toward 0 radians until 0.5 Hz, and then delayed beyond  $-\pi$  radians as the frequency increased above 0.7 Hz. For the step responses,  $S_{10}$  and  $T_{\text{peak}}$  did not differ between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub>.  $S_{\text{peak}}$  was not significantly different between WKY<sub>120</sub> and SHR<sub>120</sub> but was significantly more negative in SHR<sub>160</sub> than in SHR<sub>120</sub>.

The peripheral arc transfer functions averaged from the WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups are shown in Fig. 3. In the gain plots, the dynamic gain became smaller, as the frequency increased above 0.05 Hz.  $G_{0.01}$ ,  $G_{0.1}$ ,  $G_1$ , and  $G_{\text{slope}}$  did not differ significantly between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub> (Table 2). The phase plots of three groups were similar: the phase approached 0 radians at 0.01 Hz and was delayed by  $-2\pi$  radians as the frequency increased to 1 Hz. For the step responses,  $S_{50}$  and  $S_{\text{slope}}$  did not differ between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub>.

Table 2. Parameters of estimated transfer functions and step responses

	WKY <sub>120</sub>	SHR <sub>120</sub>	SHR <sub>160</sub>
Neural arc			
$G_{0.01}$ , au/mmHg	$1.04 \pm 0.04$	$1.06 \pm 0.08$	$1.01 \pm 0.11$
$G_{0.1}$ , au/mmHg	$1.15 \pm 0.11$	$1.16 \pm 0.14$	$1.35 \pm 0.13$
$G_1$ , au/mmHg	$2.73 \pm 0.21$	$3.41 \pm 0.51$	$4.84 \pm 0.64^{\dagger\dagger}$
$G_{\text{slope}}$ , dB/decade	$10.1 \pm 1.0$	$10.4 \pm 1.1$	$13.2 \pm 0.8^{\dagger}$
$S_{10}$ , au/mmHg	$-0.81 \pm 0.03$	$-0.79 \pm 0.05$	$-0.79 \pm 0.11$
$S_{\text{peak}}$ , au/mmHg	$-2.22 \pm 0.15$	$-2.71 \pm 0.35$	$-3.60 \pm 0.40^{\dagger\dagger}$
$T_{\text{peak}}$ , s	$0.37 \pm 0.03$	$0.33 \pm 0.02$	$0.35 \pm 0.02$
Peripheral arc			
$G_{0.01}$ , mmHg/au	$0.75 \pm 0.07$	$0.79 \pm 0.14$	$0.79 \pm 0.14$
$G_{0.1}$ , mmHg/au	$0.35 \pm 0.05$	$0.48 \pm 0.14$	$0.45 \pm 0.12$
$G_1$ , mmHg/au	$0.014 \pm 0.008$	$0.008 \pm 0.002$	$0.008 \pm 0.003$
$G_{\text{slope}}$ , dB/decade	$-34.0 \pm 1.2$	$-31.4 \pm 1.0$	$-32.8 \pm 1.3$
$S_{50}$ , mmHg/au	$0.89 \pm 0.06$	$0.85 \pm 0.15$	$0.81 \pm 0.15$
$S_{\text{slope}}$ , mmHg $\cdot$ au $^{-1}\cdot$ s $^{-1}$	$0.14 \pm 0.01$	$0.20 \pm 0.05$	$0.18 \pm 0.05$
Total baroreflex			
$G_{0.01}$ , mmHg/mmHg	$0.91 \pm 0.08$	$0.84 \pm 0.13$	$0.83 \pm 0.11$
$G_{0.1}$ , mmHg/mmHg	$0.41 \pm 0.06$	$0.53 \pm 0.09$	$0.58 \pm 0.10$
$G_1$ , mmHg/mmHg	$0.023 \pm 0.009$	$0.025 \pm 0.005$	$0.034 \pm 0.006$
$G_{\text{slope}}$ , dB/decade	$-24.6 \pm 1.3^{\dagger\dagger}$	$-22.3 \pm 1.3^{\dagger\dagger}$	$-19.8 \pm 1.3^{\dagger\dagger}$
$S_{50}$ , mmHg/mmHg	$-1.03 \pm 0.10$	$-0.85 \pm 0.08$	$-0.83 \pm 0.08$
$S_{\text{slope}}$ , mmHg $\cdot$ mmHg $^{-1}\cdot$ s $^{-1}$	$-0.20 \pm 0.02$	$-0.28 \pm 0.04$	$-0.32 \pm 0.05$
HR control			
$G_{0.01}$ , bpm/mmHg	$0.46 \pm 0.05$	$0.22 \pm 0.04^{**}$	$0.27 \pm 0.05$
$G_{0.1}$ , bpm/mmHg	$0.11 \pm 0.01$	$0.04 \pm 0.01^{**}$	$0.08 \pm 0.02^{\dagger}$
$S_{50}$ , bpm/mmHg	$-0.52 \pm 0.07$	$-0.18 \pm 0.04^{**}$	$-0.23 \pm 0.05$
$S_{\text{slope}}$ , bpm $\cdot$ mmHg $^{-1}\cdot$ s $^{-1}$	$-0.050 \pm 0.004$	$-0.025 \pm 0.005^{**}$	$-0.036 \pm 0.009$

Data are presented as means  $\pm$  SE ( $n = 7$  for WKY and  $n = 6$  for SHR).  $G_{0.01}$ ,  $G_{0.1}$ , and  $G_1$ , dynamic gain values at 0.01, 0.1, and 1 Hz, respectively;  $G_{\text{slope}}$ , slope of dynamic gain between 0.1 and 1 Hz;  $S_{50}$ , steady-state response at 50 s;  $S_{\text{peak}}$ , peak response;  $S_{\text{slope}}$ , initial slope;  $S_{10}$ , step response at 10 s;  $T_{\text{peak}}$ , time to the negative peak.  $^{**}P < 0.01$  WKY<sub>120</sub> vs. SHR<sub>120</sub> by unpaired-*t*-test with Bonferroni correction.  $^{\dagger\dagger}P < 0.01$  and  $^{\dagger}P < 0.05$ , SHR<sub>120</sub> versus SHR<sub>160</sub> by paired-*t*-test with Bonferroni correction.  $^{\dagger\dagger}P < 0.01$ , peripheral arc versus total baroreflex by paired-*t*-test in each group.



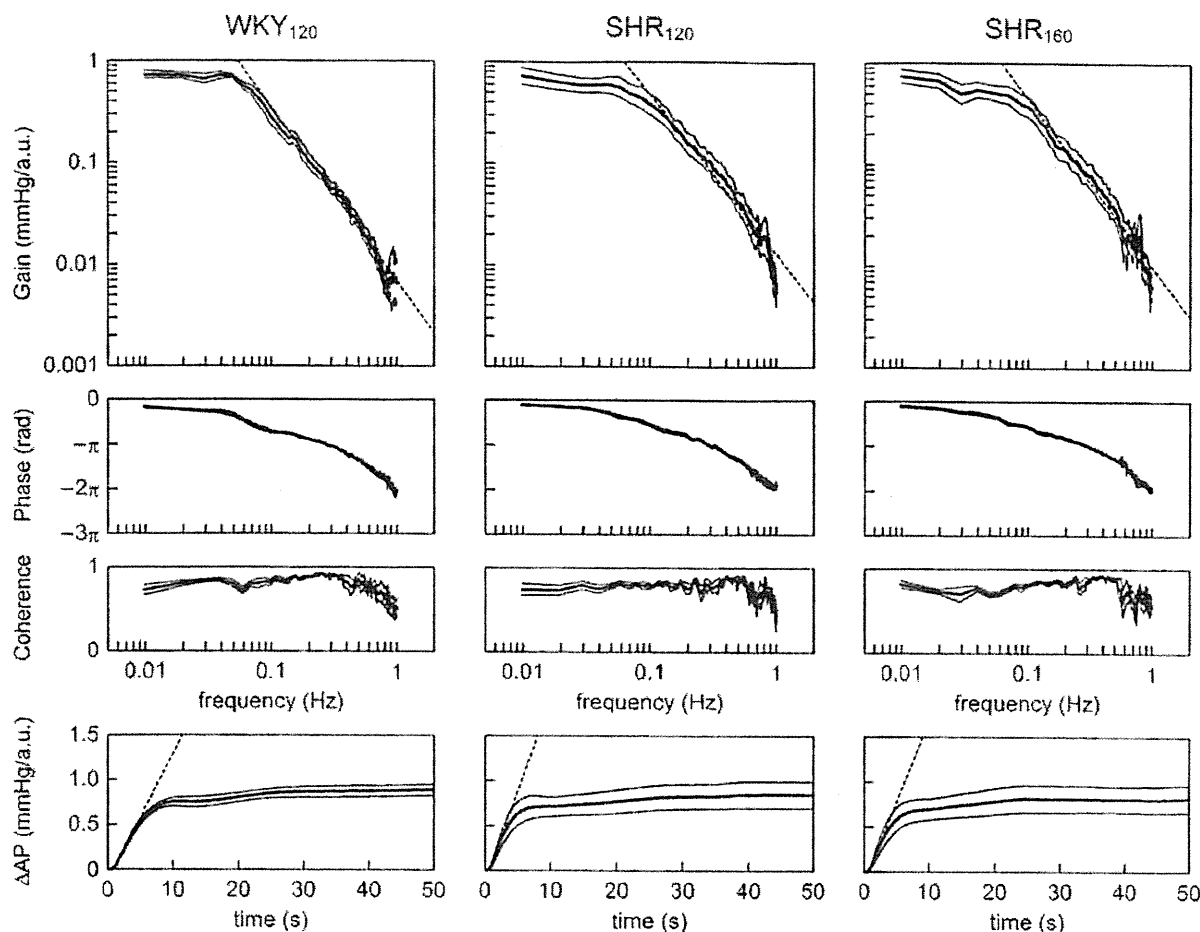


Fig. 3. Transfer functions of the baroreflex peripheral arc from SNA to AP averaged for WKY<sub>120</sub>, SHR<sub>120</sub> and SHR<sub>160</sub> groups. Gain, phase, and coherence plots are shown. The dashed oblique line in the gain plot indicates  $G_{\text{slope}}$ . There is no significant difference in  $G_{\text{slope}}$  between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub>. *Bottom*: step responses of AP calculated from the corresponding peripheral arc transfer functions. The dashed oblique line in the step response indicates the initial slope ( $S_{\text{slope}}$ ) of the step response. There were no significant differences in  $S_{\text{slope}}$ . In all panels, the bold and thin lines indicate mean and mean  $\pm$  SE values, respectively.

The total baroreflex transfer functions are depicted in Fig. 4. In the gain plots, the dynamic gain declined as the frequency increased above 0.05 Hz, indicating low-pass characteristics of the AP response to the CSP input.  $G_{0.01}$ ,  $G_{0.1}$ ,  $G_1$ , and  $G_{\text{slope}}$  did not differ significantly between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub> (Table 2). The phase plots of three groups were also similar: the phase approached  $-\pi$  radians at 0.01 Hz, reflecting the negative feedback operation attained by the total baroreflex. The phase was delayed as the frequency increased. For the step response,  $S_{50}$  and  $S_{\text{slope}}$  did not differ between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub>. Within-group comparisons using paired  $t$ -test indicated that  $G_{\text{slope}}$  was significantly less negative in the total baroreflex than in the peripheral arc transfer function (Table 2).

The transfer functions from CSP to HR are shown in Fig. 5. In the gain plots, the dynamic gain decreased as the frequency increased.  $G_{0.01}$  and  $G_{0.1}$  were significantly smaller in SHR<sub>120</sub> than in WKY<sub>120</sub> (Table 2). Although  $G_{0.01}$  did not differ between SHR<sub>120</sub> and SHR<sub>160</sub>,  $G_{0.1}$  was significantly greater in SHR<sub>160</sub> than in SHR<sub>120</sub>.  $G_1$  was not compared because coherence near zero and the phase with increased scatter suggested poor reliability of the estimated transfer functions above 0.8

Hz. In the phase plots, the phase approached  $-\pi$  radians at 0.01 Hz, indicating that HR responded negatively to the CSP input. For the step responses, both  $S_{50}$  and  $S_{\text{slope}}$  were significantly less negative in SHR<sub>120</sub> than in WKY<sub>120</sub>, while  $S_{50}$  and  $S_{\text{slope}}$  did not differ significantly between SHR<sub>120</sub> and SHR<sub>160</sub>.

When the carotid sinus baroreflex was virtually closed by adjusting CSP to AP, mean AP (and thus mean CSP) in WKY was close to 120 mmHg and that in SHR was near 160 mmHg (Table 3). The mean HR and SNA in WKY under the baroreflex closed-loop conditions, however, seemed higher than those observed in WKY<sub>120</sub>. Similarly, the mean HR and SNA in SHR seemed higher than those observed in SHR<sub>160</sub>.

Data obtained from the supplemental protocol were summarized in Fig. 6 and Table 4. The gray lines indicate transfer functions derived from WKY<sub>120-S</sub>, while the black lines indicate those derived from WKY<sub>160-S</sub>. In the neural arc transfer function, dynamic gain values below 0.1 Hz tended to be lower in WKY<sub>160-S</sub> than in WKY<sub>120-S</sub>.  $G_{\text{slope}}$  did not differ between WKY<sub>120-S</sub> and WKY<sub>160-S</sub>. In the neural arc step response,  $S_{\text{peak}}$  did not differ significantly, and  $S_{10}$  was marginally attenuated in WKY<sub>160-S</sub> ( $P = 0.06$ ). In the peripheral arc, parameters of the transfer function did not differ statistically between

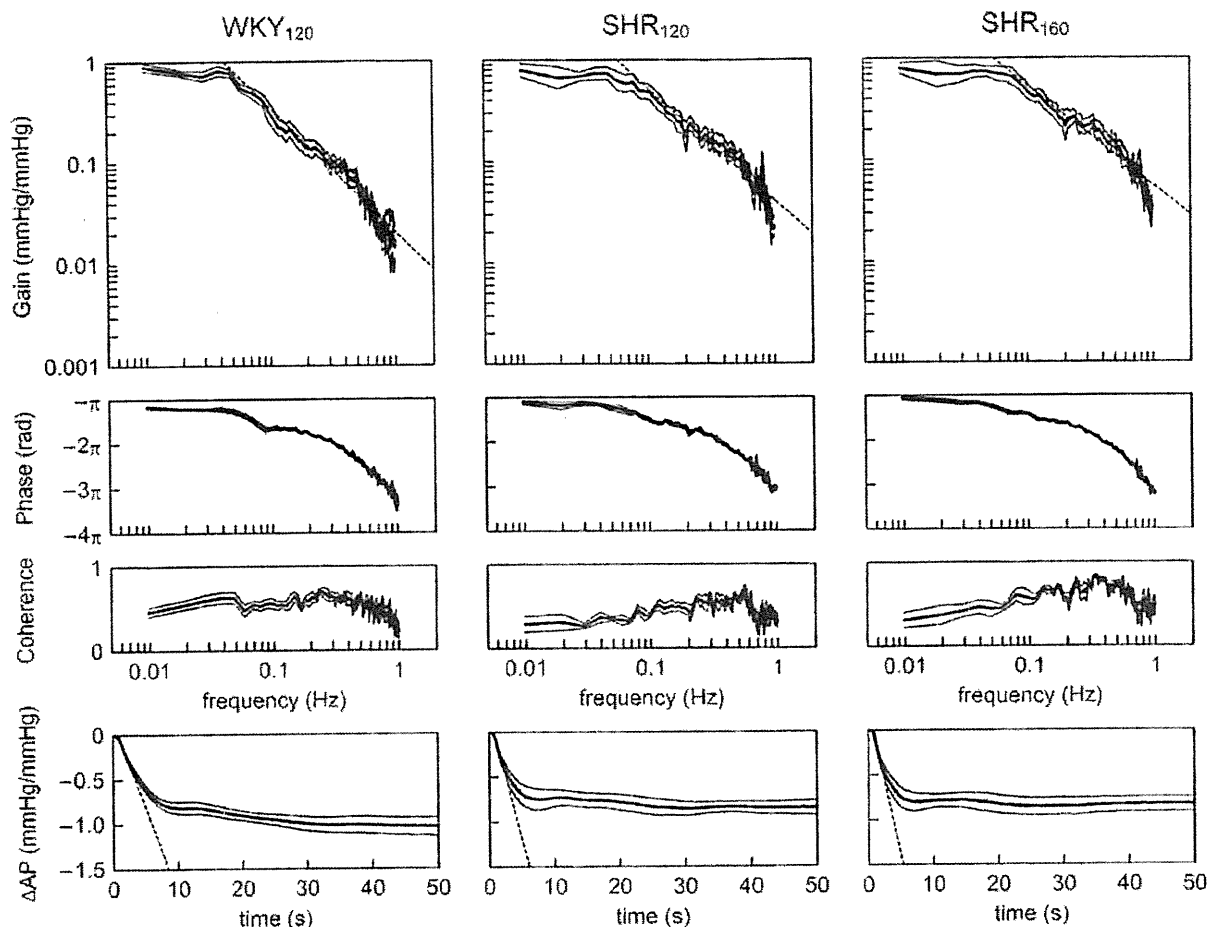


Fig. 4. Transfer functions of the total baroreflex from CSP to AP averaged for WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups. Gain, phase, and coherence plots are shown. The dashed oblique line in the gain plot indicates  $G_{\text{slope}}$ . There is no significant difference in  $G_{\text{slope}}$  between WKY<sub>120</sub> and SHR<sub>120</sub> or between SHR<sub>120</sub> and SHR<sub>160</sub>. *Bottom*: step responses of AP calculated from the corresponding total baroreflex transfer functions. The dashed oblique line in the step response indicates the  $S_{\text{slope}}$ . There were no significant differences in  $S_{\text{slope}}$ . In all panels, the bold and thin lines indicate mean and mean  $\pm$  SE values, respectively.

WKY<sub>120-S</sub> and WKY<sub>160-S</sub>. In the peripheral arc step response,  $S_{\text{slope}}$  was significantly gentler in WKY<sub>160-S</sub>. In the total baroreflex, although parameters of the transfer function did not differ statistically between WKY<sub>120-S</sub> and WKY<sub>160-S</sub>, parameters of the step response,  $S_{50}$  and  $S_{\text{slope}}$ , were significantly attenuated in WKY<sub>160-S</sub>.

## DISCUSSION

In the present study, we comprehensively identified the open-loop transfer functions of the neural arc, peripheral arc, and total baroreflex in SHR using the normotensive WKY as a reference. Despite significant resetting of the baroreflex, the dynamic characteristics of AP regulation in SHR were comparable to those of WKY, except for a slight augmentation of the derivative characteristics of the neural arc at higher pressure input in SHR. On the other hand, the transfer function related to sympathetic HR control was significantly depressed in SHR compared with WKY.

**Neural arc transfer function in SHR.** The neural arc transfer function showed derivative characteristics in both WKY and SHR (Fig. 2), consistent with the findings of Harada et al. (7). The present results, however, differ slightly from the previous

report in the following aspect. We demonstrated that  $G_1$  and  $G_{\text{slope}}$  were significantly greater, and  $S_{\text{peak}}$  was significantly more negative in SHR<sub>160</sub> than in SHR<sub>120</sub>, indicating that higher pressure input enhanced the derivative characteristics in SHR. This was not simply an effect of the higher pressure input, because the higher pressure input did not increase  $G_1$ ,  $G_{\text{slope}}$ , or  $S_{\text{peak}}$  in WKY (Fig. 6).

Both mechanosensory transduction at baroreceptors and central processing from baroreceptor afferent nerve activity to efferent SNA contribute to the generation of the derivative characteristics of the neural arc (16). According to a study by Brown et al. (1), the frequency response characteristics of aortic nerve discharge are similar between WKY and SHR in the frequency range of 0.1 to 20 Hz. Although direct comparison is difficult, the present results seem to be in line with their findings. Despite significant resetting in the static characteristics (24), dynamic characteristics of the carotid sinus baroreceptor transduction may not change appreciably in SHR.

**Peripheral arc transfer function in SHR.** There were no significant differences in the parameters of the peripheral arc transfer function between WKY and SHR (Fig. 3 and Table 2). A major neurotransmitter at the sympathetic nerve



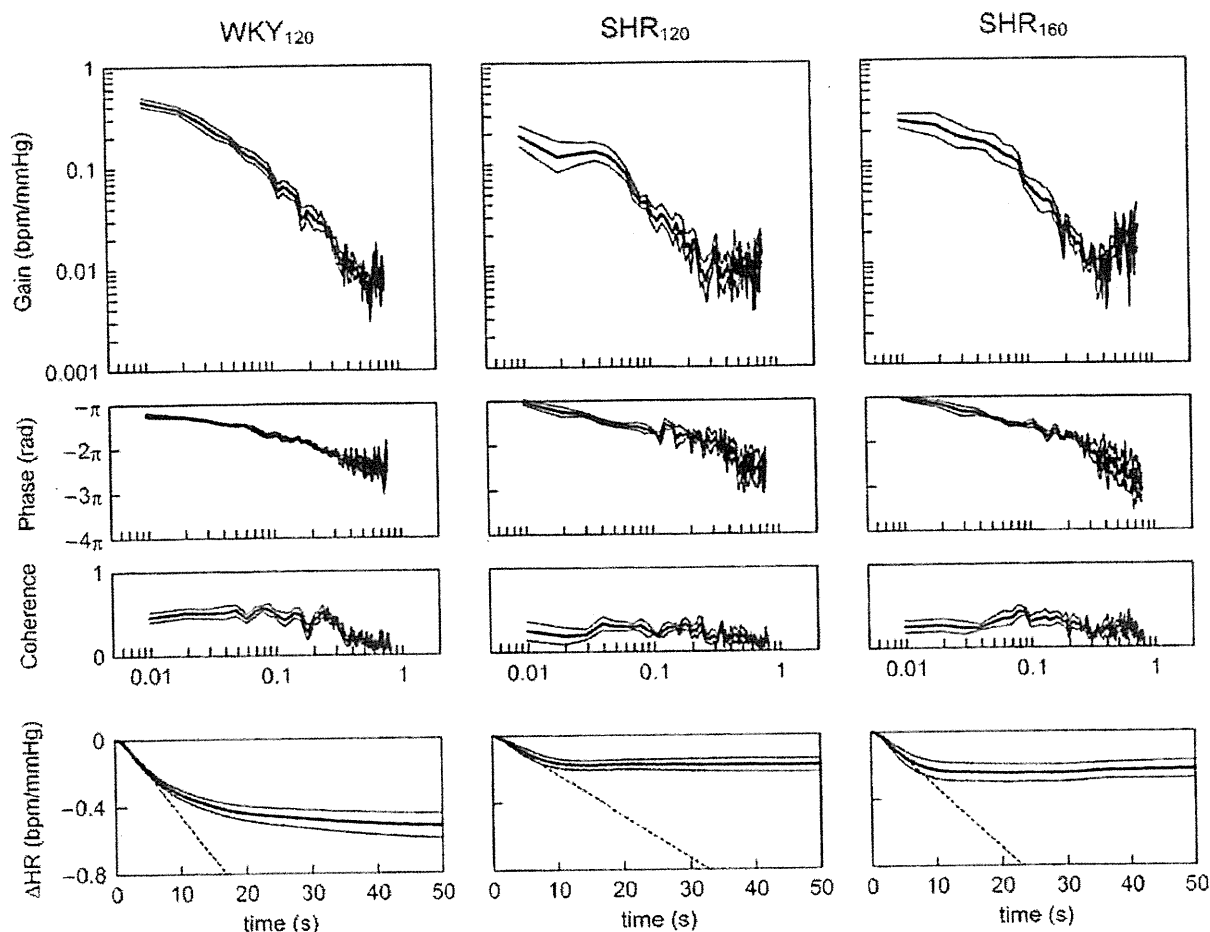


Fig. 5. Transfer functions from CSP to HR averaged for WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups. Gain, phase, and coherence plots are shown. The dynamic gain values at 0.01 and 0.1 Hz are significantly smaller in SHR<sub>120</sub> than in WKY<sub>120</sub>. *Bottom*: step responses of HR calculated from the corresponding transfer functions. The dashed oblique line in the step response indicates  $S_{\text{slope}}$ . The steady-state step response and  $S_{\text{slope}}$  are significantly attenuated in SHR<sub>120</sub> compared with WKY<sub>120</sub>. In all panels, the bold and thin lines indicate mean and mean  $\pm$  SE values, respectively.

endings is norepinephrine. The peripheral arc transfer function may thus reflect the combined dynamic properties of norepinephrine kinetics at the neuroeffector junction and the effector response to adrenergic stimulation (13). Neuronal uptake and  $\alpha$ -adrenergic autoinhibition of norepinephrine operate to the same extent during electrical stimulation of the spinal cord in both SHR and WKY (36). Although norepinephrine uptake abnormalities have been reported in SHR (27, 28), the present results indicate that in this model, the influence of altered norepinephrine kinetics on the overall dynamic characteristics of the peripheral arc may be limited.

Table 3. Mean AP, HR, and SNA under conditions of virtually closed baroreflex

	WKY	SHR
Mean AP, mmHg	121 $\pm$ 3	156 $\pm$ 5**
Mean HR, bpm	414 $\pm$ 16	433 $\pm$ 13
Mean SNA, au	103 $\pm$ 14	130 $\pm$ 18

Data are presented in means  $\pm$  SE ( $n = 7$  for WKY and  $n = 6$  for SHR). bpm, beats per minute; au, arbitrary unit. \*\* $P < 0.01$  by unpaired- $t$ -test.

In pithed rats, pressor response to electrical stimulation of the spinal cord is greater in SHR than in WKY (21, 36). Pressor response to norepinephrine or epinephrine is also enhanced in SHR (36). While the maximum pressor response to methoxamine is greater in SHR than in WKY, the pressor response to submaximal doses of methoxamine is attenuated in SHR (21). The present results suggest that the dynamic characteristics of the peripheral arc are not remarkably different between WKY and SHR despite possible differences in vascular sensitivity to adrenergic stimulation.

*Total baroreflex transfer function in SHR.* There were no significant differences in the parameters of the total baroreflex transfer function between WKY and SHR (Fig. 4, Table 2), even though AP was significantly higher in SHR than in WKY. In contrast, the step response of the total baroreflex was significantly attenuated in WKY<sub>160-S</sub> than in WKY<sub>120-S</sub> (Fig. 6, Table 4), indicating that the preservation of the total baroreflex function at the higher pressure input may be unique to SHR. In each of the WKY<sub>120</sub>, SHR<sub>120</sub>, and SHR<sub>160</sub> groups,  $G_{\text{slope}}$  was significantly less negative in the total baroreflex than in the corresponding peripheral arc, suggesting an improvement of dynamic gain in the higher-frequency range of 0.1 to 1 Hz. The

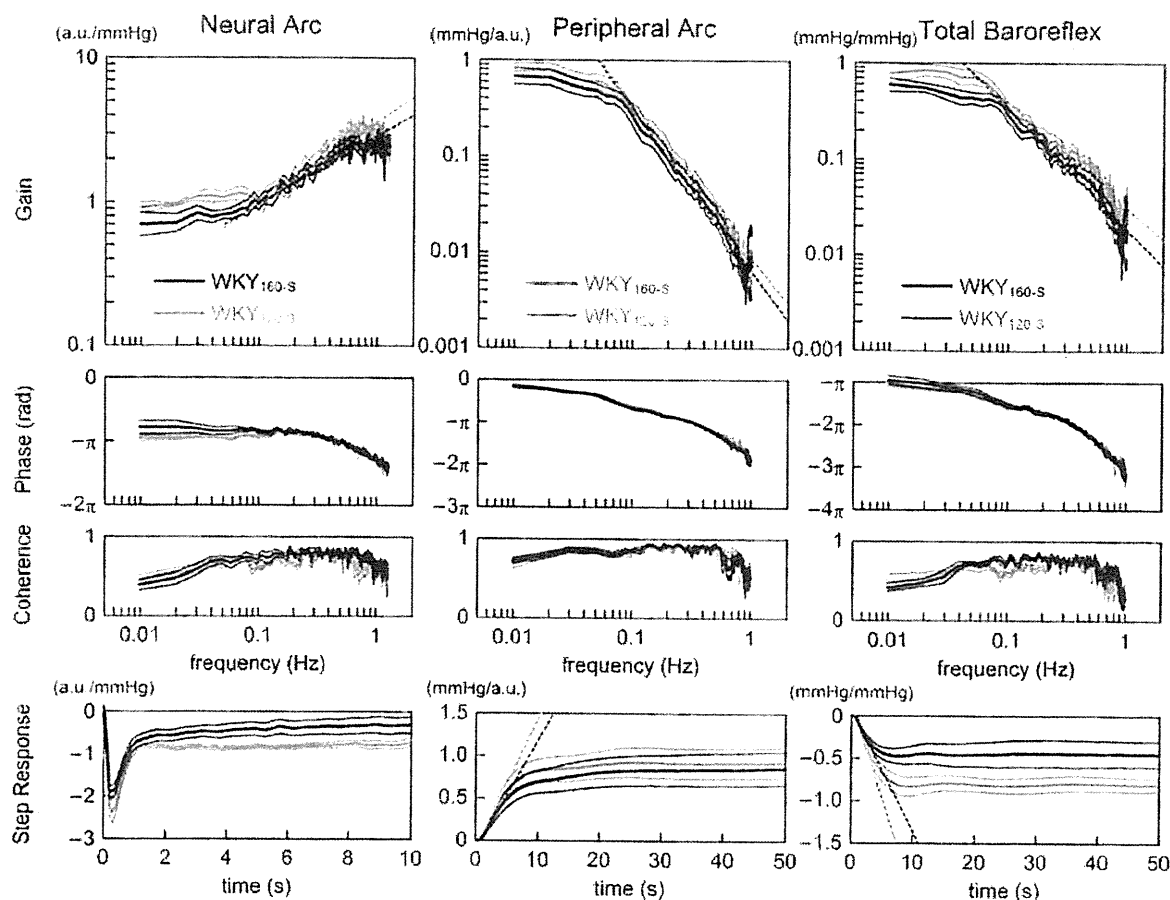


Fig. 6. Transfer functions of the neural arc, peripheral arc, and total baroreflex obtained from an additional protocol. In each panel, the gray lines indicate the transfer functions estimated from WKY<sub>120-S</sub>. The black lines indicate the transfer functions estimated from WKY<sub>160-S</sub>. No significant enhancement at the higher pressure input was observed in the derivative characteristics of the neural arc transfer function between 0.1 and 1 Hz. *Bottom*: step responses corresponding to the respective transfer functions.  $S_{slope}$  in the peripheral arc was significantly gentler in WKY<sub>160-S</sub> than in WKY<sub>120-S</sub>. Both  $S_{50}$  and  $S_{slope}$  in the total baroreflex were significantly attenuated in WKY<sub>160-S</sub> than in WKY<sub>120-S</sub>. In all panels, the bold and thin lines indicate mean and mean  $\pm$  SE values, respectively.

neural arc may thus serve as an accelerating mechanism to improve the dynamic AP regulation in both WKY and SHR. Because  $G_{slope}$  in the neural arc was significantly greater in SHR<sub>160</sub> than in SHR<sub>120</sub> and  $G_{slope}$  in the peripheral arc did not differ between the two groups,  $G_{slope}$  in the total loop is expected to be less negative in SHR<sub>160</sub>. This difference was not detected statistically, however, probably because an increase of  $G_{slope}$  by 2.4 dB/decade in the neural arc was partially offset by a decrease of  $G_{slope}$  by 1.4 dB/decade in the peripheral arc.

The well-preserved total baroreflex transfer function in SHR is in marked contrast to the significant depression of total baroreflex transfer function in chronic heart failure rats after myocardial infarction (12). Osborn (26) has demonstrated that sinoaortic denervation does not chronically increase mean AP in SHR, suggesting that the arterial baroreflex does not contribute much to the chronic regulation of mean AP in SHR. Nevertheless, the present results imply that the arterial baroreflex in SHR is still important for attenuating acute disturbances in AP.

**Transfer function of HR control.** In contrast to the total baroreflex transfer function, the transfer function of HR control showed significant depression in dynamic gain in SHR. Al-

though the decreased baroreflex-mediated HR response is primarily attributed to a defect in parasympathetic control (29), the present results obtained in vagotomized rats indicate that the dynamic sympathetic control of HR may also be depressed in SHR. Despite the significant alteration in the sympathetic HR control, the total baroreflex transfer function did not differ between WKY and SHR, suggesting little contribution of HR to the determination of dynamic AP regulation. The lack of significant effect of HR on the dynamic AP regulation is consistent with the findings in rabbits (13, 25).

**Baroreflex closed-loop conditions.** In the present experimental settings, the baroreflex could be virtually closed by adjusting CSP to AP. The closed-loop operating AP (Table 3) provides a rationale for the selection of the mean input pressure of CSP. When CSP was perturbed around the operating-point pressure, however, mean SNA and AP usually decreased (10). The phenomenon may be related to the input pulsatility and the effect of input amplitude (3, 17, 35). Mean SNA and AP are expected to decrease as the input amplitude of CSP perturbation increases when the mean CSP is lower than the midpoint of the inverse sigmoidal curve characterizing the CSP-SNA relationship.



Table 4. Parameters of estimated transfer functions and step responses in an additional protocol

	WKY <sub>120-S</sub>	WKY <sub>160-S</sub>
<b>Neural arc</b>		
$G_{0.01}$ , au/mmHg	$0.93 \pm 0.07$	$0.76 \pm 0.14$
$G_{0.1}$ , au/mmHg	$1.08 \pm 0.13$	$1.02 \pm 0.09$
$G_1$ , au/mmHg	$3.12 \pm 0.34$	$2.74 \pm 0.25$
$G_{slope}$ , dB/decade	$11.4 \pm 1.2$	$9.6 \pm 0.8$
$S_{10}$ , au/mmHg	$-0.77 \pm 0.09$	$-0.32 \pm 0.19$
$S_{peak}$ , au/mmHg	$-2.41 \pm 0.25$	$-1.97 \pm 0.16$
$T_{peak}$ , s	$0.35 \pm 0.02$	$0.36 \pm 0.03$
<b>Peripheral arc</b>		
$G_{0.01}$ , mmHg/au	$0.83 \pm 0.17$	$0.76 \pm 0.17$
$G_{0.1}$ , mmHg/au	$0.35 \pm 0.07$	$0.33 \pm 0.08$
$G_1$ , mmHg/au	$0.025 \pm 0.015$	$0.030 \pm 0.017$
$G_{slope}$ , dB/decade	$-32.1 \pm 1.0$	$-33.7 \pm 1.2$
$S_{50}$ , mmHg/au	$0.92 \pm 0.18$	$0.85 \pm 0.20$
$S_{slope}$ , mmHg·au <sup>-1</sup> ·s <sup>-1</sup>	$0.15 \pm 0.03$	$0.13 \pm 0.03^{**}$
<b>Total baroreflex</b>		
$G_{0.01}$ , mmHg/mmHg	$0.88 \pm 0.19$	$0.63 \pm 0.08$
$G_{0.1}$ , mmHg/mmHg	$0.39 \pm 0.06$	$0.35 \pm 0.06$
$G_1$ , mmHg/mmHg	$0.022 \pm 0.009$	$0.042 \pm 0.022$
$G_{slope}$ , dB/decade	$-21.6 \pm 0.9$	$-24.8 \pm 1.6$
$S_{50}$ , mmHg/mmHg	$-0.81 \pm 0.08$	$-0.45 \pm 0.15^*$
$S_{slope}$ , mmHg·mmHg <sup>-1</sup> ·s <sup>-1</sup>	$-0.22 \pm 0.03$	$-0.14 \pm 0.04^*$

Data are presented as means  $\pm$  SE ( $n = 6$  data sets from 3 rats). \* $P < 0.05$  and \*\* $P < 0.01$  by paired  $t$ -test.

**Limitation.** First, we performed the experiments in anesthetized rats, which might have affected the estimation of baroreflex function. However, since we compared the baroreflex dynamic characteristics between WKY and SHR under the same anesthetic procedures, the interpretations of the present results may be reasonable. Second, although we examined splanchnic SNA as a representative of systemic SNA, the dynamic characteristics of SNA response could vary in different neural districts (15). Nevertheless, the derivative charac-

teristics of the neural arc in SHR were also evident even when renal SNA was evaluated (7). Third, we occluded the common carotid arteries to isolate the carotid sinuses. Although the vertebral arteries were preserved, we cannot rule out the possibility that the carotid occlusion might have affected the present results. Finally, we transected the vagal nerves to obtain baroreflex open-loop conditions. Further studies are needed to clarify the role of the vagal system in dynamic cardiovascular regulation. Especially, the dynamic HR control may vary greatly in the presence or absence of the vagal efferent nerves (22).

In summary, the neural arc transfer function retained the derivative characteristics in SHR. The peripheral arc and total baroreflex transfer function did not differ significantly between SHR and WKY, suggesting that dynamic AP regulation was well preserved in SHR. In contrast, the dynamic sympathetic HR control seemed significantly attenuated in SHR compared with WKY.

#### Perspectives and Significance

The arterial baroreflex has been considered to play a minor role in the long-term AP regulation, since denervation of baroreceptor-afferent fibers does not result in a long-lasting hypertension (4, 6). Recent findings, however, indicate that the stimulation of baroreceptor afferent fibers may reduce SNA and AP for a longer period (19). A carotid baroreceptor stimulator has been explored as an alternative therapy for multiple drug-resistant hypertension (8). The device, however, does not seem to take the dynamic characteristics of AP regulation into account and delivers a prescribed stimulation. Ideally, such devices should be activated on a necessary basis, i.e., depressor and pressor function should operate only in the face of hypertensive and hypotensive events, respectively. Understanding of the dynamic characteristics of AP regula-

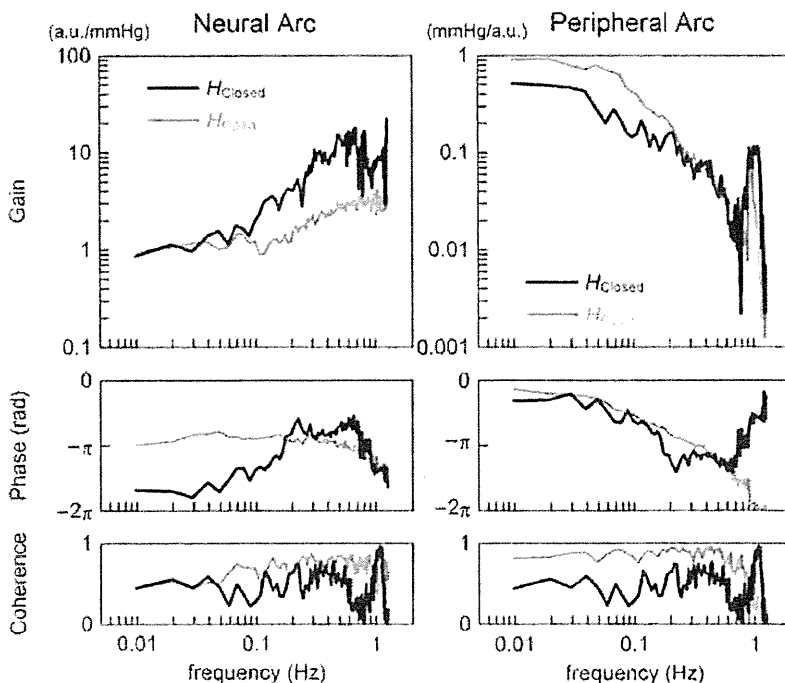


Fig. 7. Comparison of the transfer functions estimated using open-loop data with an exogenous perturbation (gray lines,  $H_{Open}$ ) and those estimated using closed-loop baseline data without exogenous perturbations (black lines,  $H_{Closed}$ ) in the same rat. The transfer functions estimated using closed-loop baseline data do not usually extract the dynamic characteristics of the baroreflex neural and peripheral arcs precisely (see APPENDIX for details).

tion in physiological and pathological conditions will contribute to designing an intelligent controller system of such devices (14, 33).

## APPENDIX

**Consideration on open-loop systems analysis.** If a standard transfer function analysis is applied to closed-loop baseline data without exogenous perturbations, the resultant transfer function cannot usually extract open-loop system characteristics precisely. Fig. 7 represents neural and peripheral arc transfer functions estimated using open-loop data with an exogenous CSP input (the gray lines,  $H_{\text{Open}}$ ) and those estimated using closed-loop baseline data without exogenous perturbations (the black lines,  $H_{\text{Closed}}$ ) in the same rat. In the gain plot of the neural arc, although  $H_{\text{Closed}}$  reveals derivative characteristics, they are much more exaggerated than those seen in  $H_{\text{Open}}$ . The phase plot of  $H_{\text{Closed}}$  does not reflect the inverse relation between CSP and SNA below 0.1 Hz. In the gain plot of the peripheral arc, dynamic gain values below 0.2 Hz are smaller in  $H_{\text{Closed}}$  than in  $H_{\text{Open}}$ . Although the phase plots are similar between  $H_{\text{Closed}}$  and  $H_{\text{Open}}$ , they are dissociated, for instance, at around 0.2 Hz. The phase plots of  $H_{\text{Closed}}$  are mathematically reversed, and the coherence plots of  $H_{\text{Closed}}$  are mathematically identical between the neural and peripheral arcs. To what extent  $H_{\text{Closed}}$  resembles  $H_{\text{Open}}$  critically depends on the property and magnitude of inherent noise under a given condition, which is usually unknown.

Whiteness of an input signal is prerequisite to estimate a system open-loop transfer function as follows. Take the estimation of a neural arc transfer function, for example. SNA can be expressed in the frequency domain as

$$SNA(f) = H_N(f)CSP(f) + N(f) \quad (A1)$$

where  $SNA(f)$ ,  $CSP(f)$ , and  $N(f)$  denote Fourier transforms of SNA, CSP, and inherent central noise that is unknown.  $H_N(f)$  represents the neural arc transfer function. Calculating cross-spectral densities between terms of Eq. A1 and  $CSP(f)$  and performing ensemble averages, we have

$$E[SNA(f)CSP(f)^*] = H_N(f)E[CSP(f)CSP(f)^*] + E[N(f)CSP(f)^*] \quad (A2)$$

where  $CSP(f)^*$  indicates a complex conjugate of  $CSP(f)$ . Because the system characteristics are supposed to be time invariant,  $H_N(f)$  can be outside the operation of ensemble average,  $E[\dots]$ . The last term  $E[N(f)CSP(f)^*]$  asymptotically diminishes when CSP is white noise, because the white noise is statistically independent of other signals. Therefore,  $H_N(f)$  can be estimated as follows:

$$H_N(f) = \frac{E[SNA(f)CSP(f)^*]}{E[CSP(f)CSP(f)^*]} \quad (A3)$$

Note that the inherent noise in SNA can affect AP through the baroreflex peripheral arc. Under baroreflex closed-loop conditions, CSP is inevitably influenced by AP and thus by the inherent noise in SNA. In other words,  $N(f)$  and  $CSP(f)$  are no longer independent once the baroreflex is closed. In this situation,  $H_N(f)$  has to be calculated as

$$H_N(f) = \frac{E[SNA(f)CSP(f)^*] - E[N(f)CSP(f)^*]}{E[CSP(f)CSP(f)^*]} \quad (A4)$$

Applying Eq. A3 instead of Eq. A4 is one of the reasons for the dissociation between  $H_{\text{Closed}}$  and  $H_{\text{Open}}$ . Unfortunately, Eq. A4 cannot be used ordinarily for analyzing the closed-loop data because  $N(f)$  is unknown.

Another important issue is that Eq. A3 can be ill posed if the denominator is close to zero. In other words, CSP needs to have sufficient power spectral densities at all the frequencies of interest. If

there are no sufficient inputs at specific frequencies, there is no way to identify the system characteristics at those frequencies without any assumption or a priori knowledge about the system. The white noise input, which is rich in frequency components, meets the conditions required to stably solve the Eq. A3.

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## DISCLOSURES

No conflicts of interest, financial or otherwise, are declared by the authors.

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