

Figure 2 | Loss of Brg1 leads to heart development defects in the zebrafish embryos. (a, b) Lateral views at 5 dpf of WT (a) and brg1<sup>s481</sup> (b) zebrafish embryos. Arrowhead in b shows pericardial oedema. (c, d) Frontal views of WT or brg1<sup>s481</sup> zebrafish embryos at 48 hpf, showing myocardium, labelled with cmlc2:eGFP (c), and endocardium, labelled with flk1:eGFP (d). Original magnification: ×100. (e) Cardiac gene expression in WT and brg1<sup>s481</sup> zebrafish embryos for indicated transcripts (left panels, top to bottom: cmlc2, amhc, bmp4 and notch1b; right panels, top to bottom: vmhc, nppa, tbx2b and ncx). White arrow shows normal absence of nppa at the atrioventricular (AV) junction, grey triangles show staining of pacemaker cells, red brackets show normal and expanded domains of AV canal markers (bmp4 and tbx2b). Original magnification: ×200.

expressed normally (Fig. 1d), indicating deregulation of a specific programme in Nkx2–5:: $Cre;Brg1^{fif}$  hearts.

We conclude that *Brg1* regulates specific programmes of gene expression in the developing heart that are critical for differentiation of cardiac myocytes and cardiac morphogenesis.

Brg1 is critical for zebrafish heart development. The BAF complex is conserved throughout evolution<sup>10</sup>. Zebrafishes have a single BAF complex ATPase, brg1. young, a loss-of-function mutation of brg1 (refs 18, 19), results in defects in retinal neurogenesis and pericardial oedema, which often indicates a defective heart function. We isolated a new mutation in brg1, brg1<sup>s481</sup>, which is predicted to be a null allele (Supplementary Fig. S2); this mutation creates a premature stop codon, predicting a truncation at amino-acid

residue 252 (of 1,627), deleting all functional domains including the ATPase/SNF2 domain and the bromodomains. This mutation fails to complement the published yng allele<sup>18,19</sup> and is phenocopied by morpholino oligonucleotide (MO) treatment (see below), consistent with a null allele. These mutants formed a heart; however, after 48h of development, the heart became hypoplastic and had severe arrhythmias with sporadic arrests in contraction (Fig. 2a,b; Supplementary Movies 1 and 2). The survival of brg1 mutant embryos to a late stage is likely related to the presence of maternal brg1 transcripts19. To knock down brg1 in other transgenic lines, translation-inhibiting MOs20 were injected. Knockdown of brg1 by MO does not alter endocardial differentiation, and vascular development occurred normally (Supplementary Fig. S3). However, the heart chamber displayed severe stenosis (Fig. 2c,d). Co-injection of an MO targeting p53 was used to investigate a role for cell death in the brg1 cardiac phenotype21. Injection of p53 MO into brg1 mutant embryos resulted in an identical cardiac phenotype to that observed in uninjected mutant siblings (Supplementary Fig. S3). Gene expression analysis (Fig. 2e) demonstrated that, although differentiation of both heart chambers occurred properly, brg1<sup>s481</sup> zebrafish had lost the regionalization of nppa expression, which marks 'working' myocardium in fish and mice22; this is reminiscent of the loss of Brg1 in the mouse. Expression of atrioventricular canal-specific genes, including bmp4, tbx2b and notch1b, was abnormal in brg1<sup>s481</sup> embryos, suggesting patterning abnormalities in brg1 mutant zebrafish embryos. Expression of the Na+/Ca++ exchanger (Ncx) was elevated in brg15481 embryos, which could explain contractility defects in  $brg1^{s481}$  embryos<sup>23,24</sup>. We conclude that, in zebrafish, as in the mouse, brg1 is required for a specific programme essential for cardiac morphogenesis and patterning.

To uncover the cellular mechanisms underlying loss of brg1 in zebrafish, cardiomyocyte migration, proliferation and shape were analysed. During cardiac cone tilting, an early event in the formation of the zebrafish heart tube, atrial myocardium undergoes leftdirected anterior migration<sup>25</sup> (Fig. 3a-c; Supplementary Movie 2). In brg1 morphants, anterior cardiomyocytes, especially those on the right side of the heart, displayed randomized trajectories (Fig. 3d-f; Supplementary Movie 3), resulting in the failure of proper heart jogging, which is indispensable for subsequent heart looping and chamber formation. We monitored the growth of the heart by counting the number of cardiomyocytes at different time points (Fig. 3g-n). At early stages, cell numbers between control and brg1 morphants were comparable (at 28 hpf,  $166\pm12$  (n=4) cardiomyocytes in wild type (WT) hearts versus 145±14 in brg1 morphants (n=5)). As development proceeded, however, growth in myocardial cell number obviously lagged in brg1 morphants and appeared to halt by 48 hpf (251  $\pm$  13 (n = 6) in WT hearts versus 173  $\pm$  14 in brg1 morphants (n=4); Fig. 30; Supplementary Movies 4 and 5).

During zebrafish heart development, confined myocardial cellshape changes are a key parameter in cardiac morphogenesis<sup>22</sup>. In WT embryos, outer curvature cardiomyocytes became flattened and elongated and were aligned relative to each other (Fig. 3p; Supplementary Movie 6). In brg15481 embryos, cardiomyocytes had a cuboidal shape throughout the heart (Fig. 3q; Supplementary Movie 7). Because of the altered and variable cell shape, it is difficult to assess cell size, and thus we have not quantified this parameter; however, cell size did not appear to be grossly altered. As the changes in cell shape require a balance between extrinsic (blood flow) and intrinsic (contractility) biomechanical forces<sup>22</sup>, we examined the circulation in brg1<sup>s481</sup> embryo (Supplementary Movie 8). The circulation in mutant embryos was slower than that in WT embryos at 36 hpf, but still robust, suggesting that reduced circulation did not cause the abnormal myocyte shapes. Rather, the cellshape changes in brg1 mutants may reflect either an intrinsic defect in myocardial morphogenesis and/or be secondary to abnormal heart contractility.

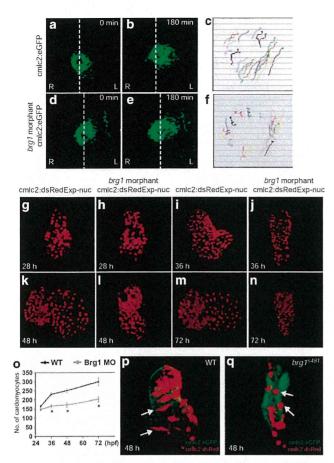
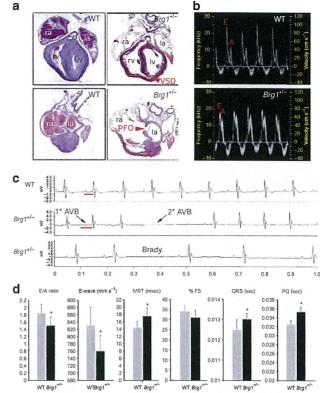


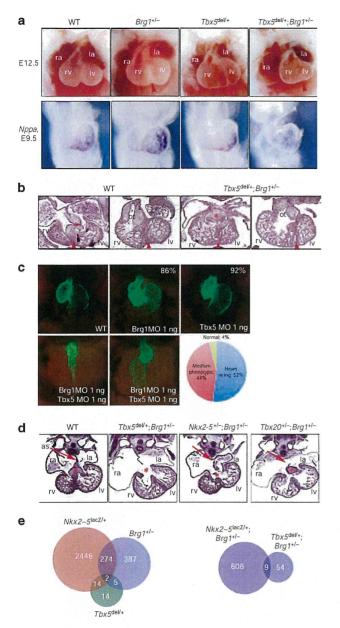
Figure 3 | Defective cardiomyocyte migration and cell shape in zebrafish brg1 mutants. (a-f) Imaging of atrial cell migration in WT embryos (a-c) and brg1 morphants (d-f). (a, b, d, e) Dorsal views of heart in Tg(cmlc2:eGFPtwu34) embryos between 18 and 21 hpf; dotted white lines indicate the embryonic midline. (c, f) Arrows of different colours indicate the trajectories of individual cells. Original magnification: ×200. (g-o) Measurement of cardiomyocyte numbers. Three-dimensional reconstructions of the nuclear DsRed signal from Tg(cmlc2:dsRedExp-nuchsc4) embryos are shown (g-n). The 28 hpf embryos (g, h) are shown in dorsal view, the 36 hpf (i, j), 48 hpf (k, l) and 72 hpf (m, n) embryos are shown in anterior views. (o) Quantitation of cardiomyocyte cell numbers. Data are mean  $\pm$  s.d., n = 5-8 embryos; \*P < 0.05 by t-test. Original magnification: ×400. (p, q) Three-dimensional assessment of cell morphologies in  $Tg(cmlc2:eGFP^{twu34})$ -expressing hearts that exhibit mosaic expression of Tg(cmlc2:dsRedExp-nuchsc4). Arrows point to representative cells. (p) WT cells transplanted; (q) brg1<sup>5481</sup> cells transplanted. Original magnification: ×400. A, atrium; L, left; R, right; V, ventricle.

CHDs in Brg1 haploinsufficient mice. The defects upon cardiac-specific deletion of Brg1 and the phenotype of brg1 zebrafish show that Brg1 is critical for specific aspects of cardiac gene expression. As  $Brg1^{+/-}$  mice are underrepresented after birth<sup>13</sup>, we hypothesized that the partially penetrant lethality in  $Brg1^{+/-}$  mice might be due to CHDs. We found that 50% of  $Brg1^{+/-}$  mice died before 3 weeks of age (compared with 0% in WT controls), and in neonatal mice (n=10) we found CHDs such as dilated hearts (5/10), muscular ventricular septal defects (2/10) and incomplete closure of the atrial septum (4/10), a condition known as patent foramen ovale (Fig. 4a). Specific heterozygous deletion of Brg1 in ventricular myocytes (with Nkx2.5::Cre) and cardiac precursors (with  $Mef2cAHF::Cre^{26}$ ) also led to CHDs, indicating that these are not due to nonspecific



**Figure 4 | CHDs in** *Brg1* **heterozygous null mice.** (a) Histology of postnatal day 0 WT (left panels) and  $Brg1^{*/-}$  hearts (right panels), showing dilated chambers, muscular ventricular septal defect (VSD) and patent foramen ovale (PFO) in  $Brg1^{*/-}$  hearts. Top and bottom panels are planes of section of the same heart at the level of the outflow tract (top panels) and at the level of the atrial septum (bottom panels). Original magnification: ×50. (b) Doppler waveforms of flow at the mitral valve of adult WT and  $Brg1^{*/-}$  mice, showing altered E and A wave amplitudes in  $Brg1^{*/-}$  mice. (c) ECG telemetry in WT and  $Brg1^{*/-}$  mice, showing prolonged PQ interval, sinus pause and second-degree atrioventricular block in  $Brg1^{*/-}$  mice. (d) Quantitation of selected parameter in WT (grey bars) and  $Brg1^{*/-}$  (black bars) mice. Units of measure are indicated in parentheses next to the graphed metric title. Data are mean  $\pm$  s.d.; n = 5; \*P < 0.05. Ia, left atrium; Iv, left ventricle; ra, right atrium; ry, right ventricle.

effects of loss of Brg1 outside the heart (Supplementary Fig. S4). The lack of severe defects in Nkx2.5::Cre;Brg1<sup>fl+</sup> mice (in which heterozygous loss of Brg1 is only in ventricular myocytes) indicate that the defects in Brg1+/- mice are likely to result from combined defects in multiple cardiac cell types. Brg1+/- mice that survived the neonatal period had structurally normal hearts, but we also identified specific abnormalities in heart function in adult Brg1+/- mice, as determined by high-frequency ultrasound and electrical function (Fig. 4b-d). Haemodynamic anomalies consisted of predominantly impaired cardiac relaxation, as determined primarily by a decrease in E-wave amplitude (Fig. 4b,d). Defects in cardiac electrical function were also found in surviving Brg1+/- mice by telemetry electrocardiogram (ECG), which included atrioventricular block, mild prolongation of the QRS complex (indicative of slowed conduction) and occasional sinus node dysfunction (resulting in an irregular heart beat; Fig. 4b-d). We conclude that *Brg1* haploinsufficiency predisposes mice to CHDs and abnormal heart function. This important result identifies a dosage requirement for BAF complexes in specific aspects of cardiac morphogenesis and functional maturation, and suggests a potential mechanistic link to disease-causing mutations in human CHD.



Brg1 genetically interacts with transcription factor genes. The morphological and physiological heart defects in Brg1+/- mice are reminiscent of those in Tbx5 haploinsufficient mice4,27,28. We hypothesized that Brg1 might be a critical determinant of the dosage sensitivity of Tbx5. To look for a genetic interaction between Tbx5 and Brg1, we generated mice heterozygous for both Tbx5 and Brg1 (Brg1+/-; Tbx5del/+ mice). Compared with Brg1+/- or Tbx5del/+ mice, which had normal heart morphologies at E12.5, all Brg1+/-;  $Tbx5^{del/+}$  mice (n=6) had severe defects in heart formation at this stage, including hypoplastic left ventricle and dilated atria (Fig. 5a,b). Although Brg1+/-; Tbx5del/+ hearts appeared relatively normal at E11.5, this genetic interaction was evident at the level of Nppa gene expression at E9.5, which was expressed at normal levels in  $Brg1^{+/-}$  mice, at slightly reduced levels in  $Tbx5^{del/+}$  mice, but was undetectable in Brg1+/-; Tbx5del/+ mice (Fig. 5a). Trivial explanations might be that, in  $Brg1^{+/-}$ ;  $Tbx5^{del/+}$  mice, the expression of Tbx5 is much lower than in Tbx5<sup>del/+</sup> or Brg1<sup>+/-</sup> mice or that expression of its interacting partners (Nkx2-5, Gata4) is lower, predisposing the mice to more severe CHDs; this mechanism was discounted by

Figure 5 | Genetic interactions between Brg1 and cardiac transcription factor genes. (a) Brg1 and Tbx5 genetically interact. The top row shows an external view of hearts from E12.5 WT, Brg1+/-, Tbx5del/+ and Brg1+/-; Tbx5<sup>del/+</sup> embryos; the bottom row shows expression of Nppa at E9.5 for the same genotypes. Original magnification: ×50. (b) Histology of E11.5 WT and Bra1+/-; Tbx5del/+ embryos. Bra1+/- or Tbx5del/+ embryos are indistinguishable from WT. Asterisk indicates atrioventricular cushion and arrowhead indicates interventricular septum. Original magnification: ×100. (c) Brg1 and Tbx5 interactions in zebrafish. Tg(cmlc2:eGFPtwu34) control (WT) or MO-injected embryos are shown in ventral-anterior views at 72 hpf. Brg1MO: MO directed against Brg1; Tbx5MO: MO directed against Tbx5. All MOs were injected at 1 ng. Percentages in the Brg1MO and Tbx5MO show the percentage of normal hearts. The graph shows the percentage of phenotypes observed in double knockdown experiments (n = 74, 91 and 126 for brg1, tbx5 and brg1+tbx5 morpholino injections, respectively). Original magnification: ×400. (d) Brg1 genetically interacts with Tbx5, Nkx2-5 and Tbx20. Histology of E12.5 hearts shows specific defects in Brg1+/-; Tbx5del/+,  $Brg1^{+/-}$ ; $Nkx2-5^{+/-}$  and  $Brg1^{+/-}$ ; $Tbx20^{+/-}$  embryos, compared with WT.  $Nkx2-5^{+/-}$  and  $Tbx20^{+/-}$  hearts are structurally identical to WT hearts. Asterisk indicates atrioventricular cushion and arrow indicates atrial septum (as). Original magnification: ×100. (e) Summary of microarray analysis performed on E11.5 hearts of the indicated genotypes. Venn diagrams show the number of altered transcripts for each genotype, using a statistical cutoff of P < 0.01 and fold change > 0.3. Ia, left atrium: lv, left ventricle; ot, outflow tract; ra, right atrium; rv, right ventricle.

quantitative reverse transcription-PCR and microarray analysis that showed similar levels of Tbx5, Nkx2-5 and Gata4 messenger RNA (mRNA) in  $Brg1^{+/-}$  and  $Brg1^{+/-}$ ;  $Tbx5^{del/+}$  hearts at E11.5 (Supplementary Fig. S5 and Supplementary Data 1).

In zebrafish, mutation of tbx5 in the heartstrings mutant<sup>29</sup> leads to defects in heart looping and progressive deterioration of the heart. The similarity between tbx5 and brg1 mutants in the fish raised the possibility that genetic interactions between these two genes may exist also in zebrafish. We performed double knockdown of brg1 and tbx5 via MOs. When 1 ng brg1 or tbx5 morpholino was injected, the heart developed normally in all cases (Fig. 5c). In contrast, co-injection of brg1 and tbx5 morpholino (1 ng each) resulted in 52% of embryos displaying a severe heartstrings-like phenotype and 44% of embryos having a milder unlooped, tube-like heart phenotype (n=120; Fig. 5c), demonstrating a clear and conserved genetic interaction between brg1 and tbx5.

We utilized the variable dose dependency of other critical cardiac transcription factors in the mouse to test further genetic interactions with Brg1. Although Tbx5 haploinsuffiency in the mouse mimics CHDs in humans4, Nkx2-5 heterozygous mice display only very mild aspects of CHDs caused by human NKX2-5 mutations<sup>30,31</sup>, and Tbx20 haploinsufficiency does not result in any detectable heart defects<sup>32</sup>. We generated compound heterozygous mice for Brg1 and for either Tbx5, Nkx2-5 or Tbx20; all mouse mutations are loss-offunction alleles with no known dominant activity. In all three cases, dramatic and specific defects in heart formation were observed in all compound heterozygous mice (n = 4-8) at E12.5 (Fig. 5d), and no compound heterozygous mice survived past E14.5. Defects included severe loss of cardiac cushion formation (Tbx5+/-;Brg1+/- and  $Brg1^{+/-}$ ;  $Nkx2-5^{+/-}$ ), thinned atrial walls ( $Tbx5^{+/-}$ ;  $Brg1^{+/-}$ ,  $Tbx20^{+/-}$ ;  $Brg1^{+/-}$ ), hypoplastic ventricles  $(Tbx5^{+/-};Brg1^{+/-}, Nkx2-5^{+/-};$  $Brg1^{+/-}$ ) and thinned ventricular walls ( $Nkx2-5^{+/-}$ ; $Brg1^{+/-}$ ,  $Tbx20^{+/-}$ ; Brg1+/-). gata5 mutant fishes also have heart defects33, and mouse Gata4, the functional homologue of zebrafish gata5, interacts with BAF complexes<sup>7,8</sup>; we also observed an interaction of brg1 and gata5 low-dose MOs, supporting a more general importance of dosedependent interactions between Brg1 and cardiac transcription factors (Supplementary Fig. S2).

These strong genetic interactions between *Brg1* and cardiac transcription factor genes in mouse and zebrafish demonstrate a finely regulated interdependency between cardiac DNA-binding transcription factors and BAF chromatin remodelling complexes.

Programmes regulated by Brg1 and cardiac transcription factors. The genetic interaction between Brg1 and cardiac transcription factor genes could be a result of a genetic programme common to all transcription factors that is sensitive to Brg1 levels or, alternatively, Brg1 may potentiate each transcription factor's specific genetic programme. To address this question, we performed transcriptional profiling of E11.5 hearts from mice heterozygous for deletions of *Brg1*, *Tbx5* or *Nkx2–5*, and mice that were compound heterozygotes for Brg1 and each transcription factor gene. We observed varying degrees of overlap between the sets of genes that were altered by decreased dosages of Brg1, Tbx5 and Nkx2-5, indicating some specificity to the programmes that are regulated by these factors (Fig. 5e and Supplemental Data 1, and quantitative RT-PCR (QRT-PCR) validation in Supplementary Fig. S5). Clustering analysis defined specific groups of genetic interactions (Fig. 6a,b), indicating considerable complexity to the genetic interactions between Nkx2-5 or Tbx5 and Brg1. For example, some genes were altered mostly in compound heterozygous hearts (clusters a, m, g, t). These genes are more resistant to an imbalance between Brg1 and the DNA-binding factors, but still rely on the balance of Brg1 and Tbx5 or Nkx2-5 for their normal regulation. Imbalance in the expression of these sets of genes is likely to be key for the compound heterozygous phenotype observed between Brg1 and Tbx5 or Nkx2-5.

Perhaps more surprisingly, many genes that were altered in single heterozygous ( $Nkx2-5^{\text{lacZ}l+}$ ,  $Brg1^{+/-}$  or  $Tbx5^{\text{del}l+}$ ) hearts compared with WT hearts were not significantly altered in compound heterozygous ( $Nkx2-5^{\text{lacZ}l+}$ ; $Brg1^{+/-}$  or  $Tbx5^{\text{del}l+}$ ; $Brg1^{+/-}$ ) hearts (clusters d, e, l, n, o and u in Fig. 6a,b). One possible interpretation of this striking interaction is that the relative levels of Nkx2–5 (or Tbx5) and Brg1 need to be maintained for normal expression of this set of genes, and that loss of one allele of either Nkx2-5 or Brg1 in single heterozygotes upsets this balance, leading to an allelic ratio of 2:1 or 1:2; however, in  $Nkx2-5^{\text{lacZ}l+}$ ; $Brg1^{+/-}$  hearts the balance is restored (a 1:1 allelic ratio). This implies that the relative levels between the DNA-binding factors (Tbx5 and Nkx2–5) and Brg1 is critical for a large set of genes.

The types of genes identified in the transcriptional profiling assays were from a wide range of functional classes, as exemplified from statistical exploration of Gene Ontology classifications that revealed broad classes of transcripts enriched in specific clusters (Fig. 6). Several genes important for heart development were identified in the different clusters of differentially regulated genes, including several previously identified as altered in Nkx2-5- or Tbx5-deficient hearts<sup>31,34,35</sup>. One particular novel set of transcripts was of interest: in  $Nkx2-5^{lacZ/+}$  and  $Brg1^{+/-}$  hearts, we measured a clear increase in expression of several genes associated with the haematopoietic programme, including key regulators of this programme such as Gata1, Klf1 and Tal1. This implies that Nkx2-5/Brg1 suppresses haematopoietic differentiation in the embryonic heart.

It is difficult to precisely link the contribution of the different genetic programmes regulated by individual factor dosage and combinatorial dosage to the heart defects in each genetic modification, especially with only one snapshot in developmental time. Furthermore, many of the affected transcripts are likely to be due to indirect effects. However, it is clear that overlapping programmes are sensitive to the dosage of *Brg1* and the cardiac transcription factor genes.

Reduced promoter occupancy by Brg1 in mutant hearts. Genetic interactions between Brg1 and cardiac transcription factors may reflect a direct physical interaction that is sensitive to the dosage of each factor, in one or multiple cell types, as well as interactions

between various cell types in which one factor is haploinsufficient, and another cell type in which the interacting factor is haploinsufficient. To address the former possibility, we performed chromatin immunoprecipitation (ChIP) for Brg1 on E9.5 hearts from WT,  $Tbx5^{\text{del}/+}$ ,  $Brg1^{+/-}$  and  $Tbx5^{\text{del}/+}$ ;  $Brg1^{+/-}$  embryos at the cardiomyocyte-specific Nppa and Gja5 promoters (Fig. 7a). We found that at the Nppa gene, Brg1 occupancy was reduced in Brg1+/- hearts, consistent with a limiting amount of Brg1, and that at both Nppa and Gja5, Brg1 occupancy was reduced in Tbx5del/+ hearts, indicating that reduced levels of Tbx5 directly result in reduced occupancy of Brg1 (Fig. 7b). Brg1 occupancy on both promoters was further reduced in Tbx5<sup>del/+</sup>;Brg1<sup>+/-</sup> embryos, suggesting that the interdependency of Tbx5 and Brg1 is partly compensated by interactions with other factors, perhaps other transcription factors that can interact with BAF complexes. These results provide a mechanism for some of the dosage-related relationships between Tbx5 and Brg1 on Tbx5 target genes, and support the notion that at least some of the effects of the genetic interaction between Tbx5 and Brg1 reflect cell-autonomous direct interactions.

Brg1-dependent transcriptional activation in vitro. We investigated whether transcriptional activation of cardiac genes directly requires the function of Brg1. We previously showed that Tbx5, Nkx2-5, Gata4 and the BAF complex subunit Baf60c can activate cardiac gene expression in non-cardiac mesoderm8. We found that co-transfection of NIH3T3 fibroblasts with Tbx5, Nkx2-5 and Gata4 expression constructs could robustly induce de novo the cardiacspecific gene Nppa, a marker of differentiated cardiomyocytes, the promoter of which is well characterized as a target of these transcription factors (Fig. 7c) (refs 4, 36). As Baf60c is expressed in NIH3T3 fibroblasts, exogenous Baf60c did not enhance the effect (data not shown). Other cardiac genes examined were not induced by this combination of factors. To determine the requirement for Brg1 in the de novo activation of Nppa, we depleted Brg1 with endoribonuclease prepared small interfering RNAs (esiRNAs)37. esiRNAs directed against Brg1 resulted in an ~50% decrease in Brg1 protein level (Fig. 7d). Decreased levels of Brg1 completely prevented Nppa activation by cardiac transcription factors (Fig. 7e,f), suggesting that this transcriptional activation is dependent on limiting amounts of functional Brg1-containing BAF complexes.

#### Discussion

We demonstrated a critical, conserved and dosage-sensitive role for the BAF chromatin remodelling complex ATPase Brg1 in vertebrate heart development. Our results further show an important interdependency between BAF complexes and disease-related cardiac transcription factors, which suggests a mechanism for CHDs caused by mutations in these transcription factor genes and implications for multigenic inheritance of CHDs.

In mouse and zebrafish, Brg1 is required for important and specific aspects of heart development. In particular, chamber morphogenesis is disrupted in both mice and fish lacking Brg1, and proliferation of cardiac progenitors is reduced. A key subset of cardiac genes was affected by the loss of Brg1, consistent with specific roles for BAF complexes in differentiation of other cell types  $^{9,14,19,38-42}$ . In many of these cases, Brg1 is required for differentiation after specification. Our results support a clear role for Brg1 in cardiac differentiation. As the function of Gata4, Nkx2-5 and Tbx5 relies on BAF complexes, in part via Baf60c7,8, loss of Brg1 likely affects target genes primarily by reducing the activation potential of cardiac transcription factors. Loss of brg1 in zebrafish demonstrates a strikingly conserved role for BAF complexes in vertebrate cardiac development. The morphogenetic and gene expression defects in brg1 mutant fish closely resemble that seen in mice lacking Brg1, suggesting that the gene networks regulated by BAF complexes are well conserved in vertebrate evolution.

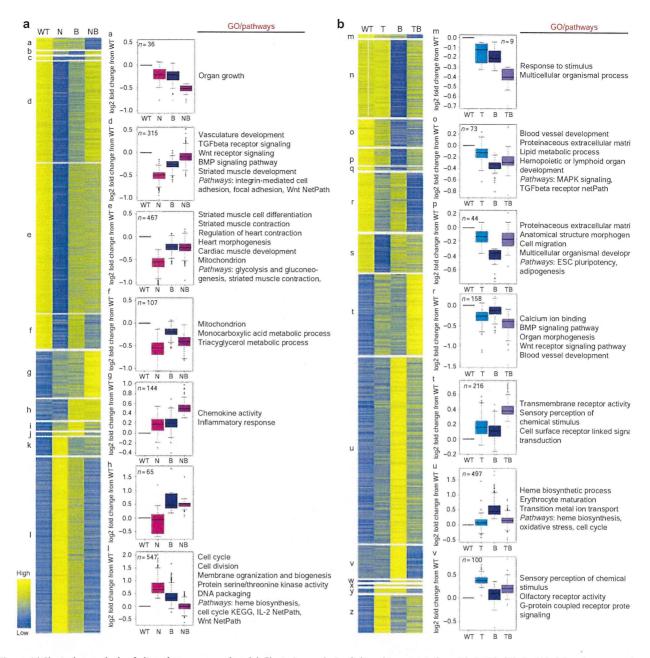


Figure 6 | Clustering analysis of altered gene expression. (a) Clustering analysis of altered transcripts from  $Nkx2-5^{+/-}$  (N),  $Brg1^{+/-}$  (B) and compound heterozygotes,  $Nkx2-5^{+/-}$ ;  $Brg1^{+/-}$  (NB) E11.5 hearts. Heart maps for all statistically significant clusters indicate the average value for each group. All biological replicates within groups were included in cluster analyses; figures were made by taking the mean for each group. Box plots for a selection of clusters show the median (middle line), third and first quartile (top and bottom (hinges) of the boxes); the 'whiskers' extend 1.5× the length of the box, with other points (outliers) plotted as circles. Statistically significantly overrepresented Gene Ontology (GO) categories (adjusted P < 0.01) are shown. Genotypes are shown on the x axis; y axis shows log 2 fold change from WT. (b) Clustering analysis as in B, but for transcripts from  $Tbx5^{del/+}$  (T),  $Brg1^{+/-}$  (B) and compound heterozygotes,  $Tbx5^{del/+}$ ;  $Brg1^{+/-}$  (TB) E11.5 hearts.

Notably, mice heterozygous for a deletion of Brg1 have heart defects. This important finding indicates that Brg1 is haploinsufficient in the heart. Haploinsufficiency of Brg1 has been reported in the brain and immune system, indicating that certain cell types are sensitive to the dosage of Brg1. The important dose dependency of Brg1 function in cardiogenesis shows that, as for DNA-binding transcription factors, normal formation of the heart relies on precise levels of functional BAF complexes. Furthermore, the genetic interaction between Brg1 and several cardiac transcription factor genes

shows that transcription factor function is intimately linked to levels of Brg1. The resulting CHDs are likely to reflect these interactions in multiple cell types. Our promoter occupancy data indicate that, for at least a portion of co-regulated genes, a direct cell-autonomous dosage-related interaction exists. Specific genetic programmes are sensitive to the dosage of each transcription factor, and there is considerable complexity in the genomic regulation of gene expression by Brg1 and cardiac transcription factors. In some cases, a clear interrelationship exists between the dosage effects of either factor,

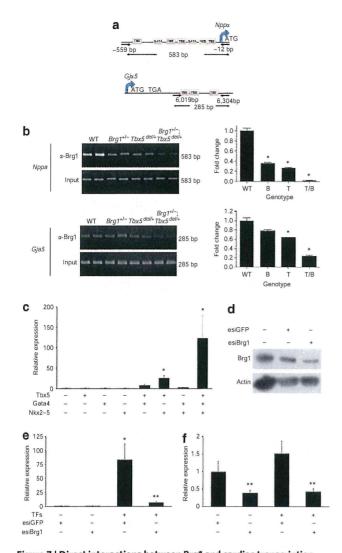


Figure 7 | Direct interactions between Brg1 and cardiac transcription factors. (a) Diagram indicating the location of primer pairs for amplification of immunoprecipitated chromatin-associated DNA for Nppa and Gia5. Locations of conserved T-box-binding elements (TBE), GATA factor-binding sites (GATA) and Nkx2-5-binding sites (NKE) are shown. ATG: start codon: TGA: translational stop codon. Nucleotide positions shown are relative to start codon. (b) ChIP of Brg1 at the Nppa or Gja5 promoters from E9.5 hearts of the indicated genotypes. On the left are EtBr-stained gels showing amplification, and quantitation by QPCR is shown in the graphs on the right. Data are mean  $\pm$  s.e.; n = 3; \*P < 0.05. (c) Quantitation of Nppa mRNA in NIH3T3 fibroblasts transfected with combinations of Tbx5, Nkx2-5 and Gata4. Data are mean  $\pm$  s.e.; n = 3; \*P < 0.05 versus mock transfection. (d) Western blot to measure reduction of Brg1 protein levels by anti-Brg1 esiRNAs. Actin is a loading control. (e) Quantitation of Nppa mRNA in NIH3T3 fibroblasts transfected with Tbx5, Nkx2-5 and Gata4, with or without esiRNAs targeting Brg1, or control esiRNAs. TFs = Tbx5, Gata4 and Nkx2-5. Data are mean ±s.e.; n=3; \*P<0.05 versus mock transfection; \*\*P<0.05 esiBrg1\* versus esiBrg1 $^-$ . (f) Brg1 mRNA levels in the same samples as in c. Data are mean $\pm$ s.e.; n=3; \*\*P<0.05 esiBrg1\* versus esiBrg1-.

resulting in a more profound effect in embryos haploinsufficient for *Brg1* and *Nkx2–5* or *Tbx5*. In other words, diminution of levels of one factor will reduce that factor's chance of interaction with BAF complexes, and this can be accentuated when Brg1 levels are also lower. Our molecular data support a model (Fig. 8, model a) for

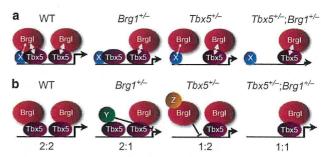


Figure 8 | Models for the interaction between DNA-binding transcription factors and Brg1. Two models for the interaction between DNA-binding transcription factors and Brg1. Model (a) is based on the dosage-sensitive interrelationship between DNA-binding transcription factors (represented by Tbx5) and Brg1. In Brg1+/- hearts, some Tbx5-binding events are not accompanied by concomitant Brg1 binding, resulting in decreased gene expression. In  $Tbx5^{+/-}$  hearts, Brg1 can only interact with one of two potential Tbx5-binding events, but may still interact more weakly with other DNA-binding transcription factors (X). In Tbx5+/-;Brg1+/- hearts, all quantitative interactions are impaired, leading to further reduction in target gene expression. Model (b) is based on the normalization of gene expression in  $Tbx5^{+/-}$ ; $Brg1^{+/-}$  and  $Nkx2-5^{+/-}$ ; $Brg1^{+/-}$  hearts, and is based on the allelic balance between the two genes. In Brg1+/- hearts, the ratio of Tbx5 to Brg1 alleles is now 2:1, which allows some Tbx5-binding events to interact with other factors, including a hypothetical repressor protein (Y). In Tbx5+/- hearts, the ratio of Tbx5 to Brg1 alleles is now 1:2, which allows some Brg1-binding events to interact with other factors, including a hypothetical repressor or sequestrating protein (Z). In Tbx5<sup>+/-</sup>;Brg1<sup>+/-</sup> hearts, the balance is restored to 1:1, eliminating the potential for other interactions.

direct interactions between Brg1 and cardiac transcription factors, and imply that reduced recruitment of BAF complexes may be a significant molecular mechanism underlying transcription factor haploinsufficiency in congenital heart disease.

The observation that expression levels of several transcripts that are altered in heart heterozygous for a null allele of Nkx2-5, Tbx5 or Brg1 are restored to normal or near-normal levels in  $Nkx2-5^{lacz/+}$ ; Brg1+/- or Tbx5<sup>lacz/+</sup>;Brg1+/- compound heterozygous hearts indicates that for some genes, the relative allelic balance of Nkx2-5 (or Tbx5) and Brg1 may be more important than their absolute levels. The mechanism underlying this dosage interdependence is not known, but may include increased potential for interaction with other proteins that would alter the functional output on target genes (Fig. 8, model b). Thus, haploinsufficiency of cardiac transcription factors in CHDs is predicted to result in an imbalance between transcription factors and BAF complexes, likely resulting in impaired transcriptional activation at loci sensitive to this balance. The reason for distinct dosage-sensitive responses from one group of genes to the next is not known, but perhaps the chromatin status of some genes requires different dosage-related interactions between BAF complexes and DNA-binding transcription factors.

We cannot discern which specific group of genes, between those that respond more in the compound heterozygotes, those that return to WT levels in compound versus single heterozygotes, or combinations thereof, is responsible for the phenotypic output of transcription factor or *Brg1* haploinsufficiency. It is likely that deregulation of many different genes in various functional classes would be responsible for the complex altered morphology and function that we observe.

In conclusion, we demonstrated a critical requirement for Brg1 in the development of the vertebrate heart, and importantly showed that there exists a fine balance between Brg1 levels and those of cardiac transcription factors that have been implicated in human CHD. The genetic interactions between Brg1 and cardiac transcription factor

genes predict that, in the developing heart, maintaining relative levels of BAF complexes and transcription factors is critical for the timely and precise activation of groups of genes during development. In human congenital heart disease, disruption of these dosage-sensitive interactions would predict the impaired activation of specific gene networks that are essential for specific aspects of cardiac morphogenesis and function. We propose that an imbalance in this relationship is a molecular basis underlying CHDs caused by mutations in cardiac transcription factors. Although such a finely regulated interrelationship is perhaps more prone to disruption, it confers significant advantages in the fine quantitative regulation of transcript levels, which is an essential component of complex morphogenesis. These results further underscore the potential for multigenic effects on dominant mutations, and suggest that polymorphisms in BAF complex subunit genes, including but not restricted to Brg1, may modulate the penetration and phenotypic consequence of disease-causing mutations in transcription factor genes in human populations.

#### Methods

**Mouse strains and embryology.** The following mouse strains were used:  $Brg1^{L2l+14}$ ,  $Nkx2-5::Cre^{15}$ ,  $Mef2cAHF::Cre^{26}$ ,  $Tbx5^{dell+4}$ ,  $Nkx2-5::Cre^{15}$ ,  $Tbx20^{+l-32}$ ,  $Z/EG^{44}$  and RYR<sup>45</sup>.  $Brg1^{+l-}$  mice were generated by crossing  $Brg1^{L2l-}$  mice with ElIa-Cre mice<sup>46</sup>. For all experimental analyses, at least three embryos per genotype were examined. All animal experiments were conducted following guidelines established and approved by the UCSF Institutional Animal Care and Use Committee, and in accordance with best practices outlined by the Canadian Council on Animal Care and licensed by Lab Animal Services at the Hospital for Sick Children.

Analysis of protein and mRNA expression. Immunostaining and in situ hybridization procedures were carried out by standard protocols. For immunostaining, cryosections were incubated with primary antisera against enhanced green fluorescent protein (EGFP; Abcam ab13970, 1:300) and with alpha-tropomyosin (CH1 monoclonal, Hybridoma bank, 1:50), followed by incubation with Alexa Fluor 488-coupled goat-anti-chicken immunoglobulin (Ig) G (Invitrogen A11039, 1:200) and Alexa Fluor 594-coupled goat anti-mouse IgG (Invitrogen A110320), and were mounted with Prolong gold with 4,6-diamidino-2-phenylindole (Invitrogen), to stain nuclei. In situ hybridization by whole mount or on 10 µm paraffin sections was performed using previously described protocols<sup>47</sup>. RNA probes were labelled with digoxigenin-labelled UTP, hybridized to samples and after washes were incubated with alkaline phosphatase-coupled anti-digoxigenin antisera (Roche); colorimetric detection was carried out by incubation with BM purple (Roche). Optical projection tomography (OPT) was performed as described using embryos labelled for alphacardiac actin (Actc) by fluorescent in situ hybrization (using the TSA amplification system, Amersham). OPT images were acquired in the GFP channel for tissue autofluorescence, and in the red channel for Actc fluorescence. Three-dimensional reconstructions were analysed and rendered in Amira 4.0 (Visage Imaging). QRT-PCR was performed with Taqman probe sets (Supplementary Table S1).

 $\label{lem:cardiac physiology.} Cardiac function was assessed by high-frequency ultrasound and telemetry ECG^{4.27}. For ultrasound assessment of cardiac function, a high$ frequency ultrasound imaging system (Vevo 660, VisualSonics) with a 30 MHz transducer was used for in vivo evaluation of cardiac morphology and function as previously described in detail<sup>27</sup> Mice were anaesthetized with isoflurane at 1.5% by face mask, and body temperature was maintained at ~37 °C. An M-mode recording of the left ventricle was made in long-axis view and analysed for wall thickness and chamber dimension. Fractional shortening was also calculated as a measure of left ventricular systolic function. Mitral Doppler flow spectrum was recorded in apical four-chamber view, with the Doppler sample volume placed at the centre of mitral orifice and at the tip level of the valves for the highest velocities. The peak velocities of the early ventricular filling wave (E wave) and the late ventricular filling wave caused by atrial contraction (A wave) were measured, and their ratio was calculated. The left ventricular systolic and diastolic time intervals were also measured, including the isovolumic relaxation time, ventricular diastolic filling time, isovolumic contraction time and ventricular ejection time. All parameters were averaged for three cardiac cycles.

For ECG telemetry, telemetry devices (DSI) were implanted subcutaneously dorsally under anaesthesia (sodium pentobarbital, 0.033 mg g $^{-1}$  i.p.), and the l eads were placed in lead II conformation. Following a 72 h recovery period, mice housed in individual cages were placed on telemetry detection platforms and continuous ECG tracings were acquired for 24 h. ECGs were manually examined for arrhythmias. Ultrasound measurements and ECG intervals were calculated during periods of regular sinus rhythm.

**Zebrafish embryology and genetics.** The  $brg1^{148i}$  allele was identified in a diploid ENU mutagenesis screen for mutations affecting endodermal organ morphogenesis A C-to-T base-pair change at position 754 in the brg1 (smarca4) coding sequence

creates a premature STOP codon at amino acid 252. MOs targeting brg1 and p53 have been previously published and validated<sup>20,21</sup>. RNA in situ hybridization probes for myl7/cmlc2, nppa, bmp4, tbx2b and notch1b have been characterized<sup>49,50</sup>. For the ncx1h (slc8a1a) probe, a 560 bp PCR product amplified from cDNA was used as a template.

For mosaic labelling of cardiomyocytes, embryos from Tg(cmlc2:eGFP<sup>(ml,k)</sup>) + in-crosses were injected at the one-cell stage with 10 pg of pBIScel-cmlc2:dsRed plasmid DNA with I SceI enzyme (NEB) in I SceI buffer.

For time-lapse analysis, Tg(cmlc2:eGFP) control embryos or morphants were mounted in agarose, and imaged at 28.5 °C using a 25× water objective. To count cardiomyocytes at various stages, we used the Tg(cmlc2:dsRedExp-nuclus) line. Mounted embryos were imaged with a 40× water immersion lens. Sequential confocal images were taken with a standardized step size of 0.65 im in the z-direction. Quantification of myocardial cells, cell migration tracking and three-dimensional reconstructions of confocal stacks were carried out using Volocity (Improvision).

Microarrays and statistical analysis. Affymetrix mouse Gene ST 1.0 arrays were hybridized and scanned according to the manufacturer's recommendations. Raw intensities from CEL files were analysed using Affymetrix Power Tools (APT, version 1.10.1) to generate robust multiarray average<sup>51</sup> expression intensities on a log-2 scale for each probe set and various quality metrics. The probe intensities were background-corrected, quantile-normalized and summarized for each probe set using a robust fit of linear models. Linear models were fitted for each gene to estimate genotype effects and associated significance using the limma package<sup>52</sup> in R/Bioconductor. Because sample sizes are often small in microarray experiments, the eBayes function in limma computes s.e. that are moderated across all genes on the array. The interpretation of the moderated t-statistics is the same as an ordinary t-statistics. Nkx2-5/Brg1 and Tbx5/Brg1 experiments were analysed separately using 2×2 factorial models. Linear contrasts were used to extract comparisons of interest. P-values were adjusted for multiple testing by controlling for false-discovery rate using the Benjamini-Hochberg method53. Genes differentially expressed > 1.3fold with adjusted P < 0.01 were considered significantly different between groups. QRT-PCR and ChIP data were analysed by analysis of variance, followed by post hoc Tukey's test; P < 0.05 was considered significant. Pairwise comparisons were made using t-test; P < 0.05 was considered significant.

Clustering and pathway analysis. Genes that were declared to be differently expressed were subjected to unsupervized cluster analysis with the R package HOPACH $^{\rm S4}$ . All biological replicates within groups were included in cluster analyses. Pearson's correlations between genes were used as the distance metric, and default settings were used for other parameters. Clusters with similar expression patterns between genotypes were collapsed for further analysis. GOElite (www.genmapp.org/go\_elite/go\_elite.html/) was used to identify overrepresented gene ontology terms and pathways among the sets of differentially expressed genes. All genes that were subjected to expression analysis were used as the 'denominator' gene list for detecting overrepresentation. Null distributions were derived by permutation (n = 2000). Significance was assessed using false-discovery rate-adiusted P-values.

Cell culture and ChIP. NIH/3T3 mouse embryonic fibroblasts were seeded in a 24well format at 40,000 cells per well; 2 days after seeding, cells were transfected using Lipofectamine 2000 reagent (Invitrogen) with a combination of HA-Tbx5, myc-GATA4 and FLAG-Nkx2-5 overexpression plasmids (200 ng) or empty pcDNA3.1 (600 ng total). esiRNAs were generated as described<sup>37</sup>; briefly, regions of EGFP or Brg1 were amplified by PCR (using the following primers: eGFP\_esi1 (forward): 5'-TAATACGACTCACTATAGGGCGTAAACGGCCACAAGTTCA-3'; eGFP\_esi2 (reverse): 5'-TAATACGACTCACTATAGGGATGGGGGTGTTCTGCTGGTA-3'; Brg1 esiRNA (forward): 5'-GGGCGGGTGTGTCCCTGTACAACAACAACC-3'; Brg1 esiRNA (reverse): 5'-GGGCGGGTCTGCAGCTCTTGAAGATAGTGG-3'. Brg1 PCR products were further amplified with an adaptor primer containing T7 sequences (5'-TAATACGACTCACTATAGGGAGACCACGGGCGGGT-3'). EGFP and Brg1 products were then purified using a Qiagen PCR Clean Up kit and were in vitro transcribed using T7 RNA polymerase (Roche). Transcribed RNA was annealed to yield double stranded RNA. After purification (Roche; Quick Spin Columns),  $10\,\mu g$  of double stranded RNA was subjected to digestion using purified glutathione S-transferase (GST)-RNAse III fusion protein and purified by ethanol precipitation to generate pools of 21 bp esiRNAs.

In knockdown experiments, 450 ng of either esiGFP or esiBrg1 was transfected. Relative expression was determined by quantitative PCR using TaqMan probes against Nppa and Brg1; expression was normalized to  $\beta$ -actin (probe-set details in Supplementary Table S1). Each reaction was performed in technical triplicate.

ChIP was performed as previously described<sup>8</sup>, using chromatin isolated from E12.5 embryonic hearts, with anti-Brg1 antiserum (Upstate 07-478) or normal rabbit IgG (Santa Cruz 2027), using 1 µg of antiserum. PCR was performed using the following primers: Nppa-595F: 5'-TCTTTCACCTGACTGCTAACA-3', Nppa-12R: 5'-AGCATCTCCCGTTTTATAG-3', Gja5-F1: 5'-ACGACTGTGGCAGCA GGTGTTCTGC-3', Gja5-R1: 5'-AGAACCAGCAGGTGCCTTTCCCCT-3'. Amplicons were 573 bp long for *Nppa* and 583 bp long for *Gja5*. Quantification was performed using the same primers and SYBR green quantification.

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#### **Author contributions**

J.K.T. and B.G.B. designed the study. J.K.T. performed most of the work on mice. X.L. carried out most of the work on zebrafish, under the direction of I.C.S. C.M. isolated the zebrafish *brg1* mutation under the direction of D.Y.R.S. J.M.A. and P.D.-O. performed cell culture assays. H.S. performed chromatin immunoprecipitation under the direction of J.K.T. A.K.H., R.-F.Y. and K.S.P. designed and performed bioinformatics analysis of array data. RNA isolation and preparation were performed by A.D.M. and J.N.W. R.P.H., D.M. and P.C. provided genetically modified mouse strains. Y.Z. and Y.-Q.Z. performed mouse physiological measurements under the direction of B.G.B. and R.M.H., respectively. B.G.B. wrote the paper with contributions from all authors.

#### Additional information

Accession codes: Microarray data have been deposited in GEO under the accession number GSE26191.

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### Epigenetic factors and cardiac development

## Jan Hendrick van Weerd<sup>1,2,3†</sup>, Kazuko Koshiba-Takeuchi<sup>1,2†</sup>, Chulan Kwon<sup>4</sup>, and Jun K. Takeuchi<sup>1,2,5\*</sup>

<sup>1</sup>Cardiovascular Regeneration, Institute of Molecular and Cellular Biosciences, the University of Tokyo, Tokyo, Japan; <sup>2</sup>Biological Sciences, Graduate School of Sciences, the University of Tokyo, Tokyo, Japan; <sup>3</sup>Heart Failure Research Center, Academic Medical Center, University of Amsterdam, Amsterdam, The Netherlands; <sup>4</sup>Division of Cardiology, Johns Hopkins University School of Medicine, Baltimore, MD, USA; and <sup>5</sup>JST PRESTO, Understanding Life by iPS Technologies, Japan

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#### **Abstract**

Congenital heart malformations remain the leading cause of death related to birth defects. Recent advances in developmental and regenerative cardiology have shed light on a mechanistic understanding of heart development that is controlled by a transcriptional network of genetic and epigenetic factors. This article reviews the roles of chromatin remodelling factors important for cardiac development with the current knowledge of cardiac morphogenesis, regeneration, and direct cardiac differentiation. In the last 5 years, critical roles of epigenetic factors have been revealed in the cardiac research field.

Keywords

Epigenetics • Chromatin remodelling factors • Baf60c • Cardiac transcription factors • Cardiac cell fate • Cardiac reprogramming

This article is part of the Spotlight Issue on: Cardiac Development

## 1. Introduction: cardiac morphogenesis and diseases

The heart is the first functional organ to form in developing embryos, and cardiogenesis takes place in a highly conserved manner from insects to vertebrates. After the formation of three germ layers (ectoderm, endoderm, and mesoderm), pre-cardiac mesodermal cells arise bilaterally from the nascent mesoderm. These cells migrate into the midline and differentiate, giving rise to the contractile heart.

In mammals and birds, the bilateral cardiogenenic mesodermal cells migrate and merge at the anterior midline to generate the cardiac crescent, a crescent-shaped heart-forming region at the cranial border of the embryonic disc. <sup>1-3</sup> Upon folding of the embryonic disc, the cardiac crescent positions towards a developing neck area of the embryo and the edges of the cardiac crescent migrate to fuse and form the primitive heart tube. <sup>4</sup> The resulting heart tube undergoes the process of looping and chamber formation, accompanied by the activation of specific cardiac gene expression programmes required for the differentiation and maturation of precardiac cells to generate the myocardium of the atrial and ventricular chambers, as well as the inflow tract, atrioventricular canal, and outflow tract. <sup>5.6</sup>

Two distinct pools of pre-cardiac fields were identified, both of which contribute to heart formation.  $^{7}$  The first heart field (FHF) cells are derived from the cardiac crescent and give rise to the

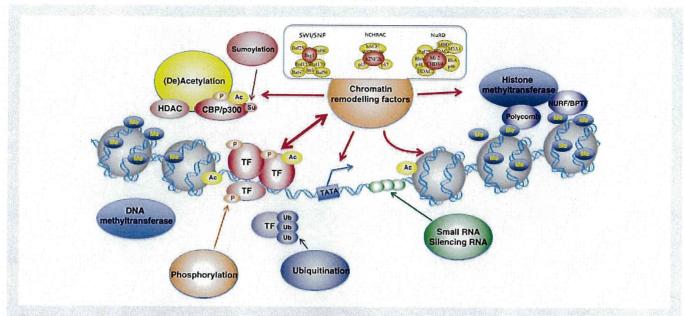
formation of the left ventricle and a part of the atria.  $^8$  Cells from the second heart field (SHF), described by Kelly et al.,  $^9$  are located in the pharyngeal mesoderm dorsal to the heart tube, giving rise to the outflow tract and right ventricle myocardium at the arterial pole of the heart.  $^9-11$ 

The induction, expansion, and differentiation of pre-cardiac cells are controlled by various signalling molecules, including bone morphogenetic proteins (BMPs),  $^{12}$  fibroblast growth factors (FGFs),  $^{8}$  and wingless-related MMTV integration site (Wnt) proteins.  $^{13}$  BMP and FGF signals are important for the induction and differentiation of cardiogenesis.  $^{12,14,15}$  BMPs play a key role in the specification of FHF cells by activating the expression of cardiac transcription factors such as Nkx2–5, Gata4, and Tbx5.  $^{16,17}$  SHF progenitors, on the other hand, require Wnt/ $\beta$ -catenin signalling for their proper development.  $^{13,18,19}$  Wnt/ $\beta$ -catenin signals positively regulate the expansion of SHF progenitors and affect the expression of Islet1 (Isl1), a marker for multi-potent cardiac progenitor cells (CPCs).  $^{18,20,21}$ 

The mammalian heart consists of various cell types, including atrial and ventricular cardiomyocytes, fibroblasts, endocardial cells, epicardial cells, cells from the conduction system (sinoatrial node, atrioventricular node, purkinje fibers), smooth muscle cells making up the aorta and (coronary) arteries, and cells from the autonomous nervous system. Formation of the functional heart requires proper development of these cardiac cells through tight transcriptional regulation of cardiac genes. The fact that congenital cardiac anomalies occur at a high

<sup>&</sup>lt;sup>†</sup> These authors contributed equally to the work presented here.

<sup>\*</sup> Corresponding author. Tel: +81 3 5841 8488; fax: +81 3 5841 8487, Email: junktakeuchi@iam.u-tokyo.ac.jp (or) takeuchi.j.ab@m.titech.ac.jp



**Figure I** Chromatin structure and remodelling factors. DNA is organized in chromatin composed of condensed nucleosomes: units of 146 bp of DNA wrapped twice around an octamer of two copies of each histone protein H2A, H2B, H3, and H4. The flexible amino-terminal tails of the histones, protruding outward from the nucleosome, allow for post-translational modifications through (de)acethylation, phosporylation, ubiquitination, methylation, and sumoylation. Such covalent modifications alter DNA—histone interactions, affecting accessibility of transcription factors.

frequency [ $\sim$ 1–2% of human live births suffer from a form of congenital heart defects (CHDs)] and mutations in numerous transcription factors can cause CHDs indicates the complexity of cardiac development. <sup>22–24</sup> At the epigenetic level, transcription factors are regulated by the assembly of DNA in higher-order chromatin structures (*Figure 1*). In this review, we will focus on epigenetic chromatin remodelling factors that are important for cardiac development, and discuss how these factors can be exploited to regulate the directed differentiation of non-cardiac cells towards fully functional cardiomyocytes in the search for new therapies against human CHDs.

# 2. Epigenetic factors and their roles in cardiac development

### 2.1 Epigenetic regulation: chromatin remodelling and DNA methylation

Eukaryotic development requires epigenetic mechanisms to control gene transcription for cell specification and differentiation. Chromatin remodelling is one of the essential epigenetic mechanisms for gene regulation (*Figure 1*). Chromatin is a multifaceted complex that serves to efficiently pack the large amount of DNA in the 5  $\mu m$  cell nucleus and to regulate gene transcription.  $^{25-27}$  It consists of nucleosomes that are formed by wrapping of DNA around a core of histones. Condensation of nucleosomes enables the packing of all the genomic molecules into the relatively small nucleus. This compact, higher-order organization of chromatin requires regulatory mechanisms to allow the access of transcription factors to the DNA. The chromatin state often determines gene activation and repression. 'Open chromatin' (euchromatin) refers to a lightly packed form of DNA that allows active gene transcription, whereas

'closed chromatin' (heterochromatin) is a tightly packed form of DNA in which transcription is repressed.  $^{28,33,34}$ 

The state of chromatin structure can be regulated by ATP-dependent chromatin remodelling complexes or modifications of histone tails. <sup>32,35</sup> The ATP-dependent chromatin remodelling complexes use the energy of ATP hydrolysis to modify chromatin structure. They can be classified into the complexes of SWI/SNF, ISWI, nucleosome remodelling and deacetylase complex (NuRD), and INO80 on the basis of their catalytic ATPase subunits. <sup>31,35–38</sup> Modification of histone tails is often enzymatically reversible <sup>39–41</sup> and results in an alteration of the interaction between chromatin and DNA. These modifications include acetylation, <sup>42</sup> methylation, <sup>43</sup> phosphorylation, <sup>44</sup> sumoylation, <sup>45</sup> and ubiquitination. <sup>46</sup>

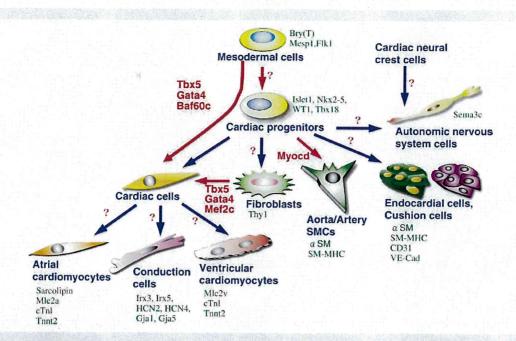
Another epigenetic mechanism that regulates gene transcription, besides histone modification, is DNA methylation.  $^{47,48}$  DNA methylation typically occurs at CpG sites that contain cytosine-guanine nucleotides in a linear sequence. CpG-rich islands, short stretches of DNA with a relatively high frequency of CpG sites,  $^{48}$  are often found at promoters of mammalian genes, and the extent of methylation at these sites is well correlated with the transcription status of corresponding genes. DNA methylation functions to stably silence gene transcription.  $^{47}$ 

## 2.2 Chromatin remodellers for cardiac development and CHDs

#### 2.2.1 Brg1/Brm-associated factor complex

The Brg1/Brm-associated factor (BAF) chromatin remodelling complex is the mammalian SWI/SNF complex composed of at least 11 subunits, and their variable arrangements contribute to distinct functions during development.<sup>31,49</sup>

The ATPase subunit of the BAF complex is encoded either by homologous genes *Brg1* (Brahma-related gene 1) or *Brm*, but Brg1 is the



**Figure 2** Cardiac cell types derived from multipotent progenitors. Differentiated cardiac cell types are marked by indicated genes (green). Recently, several factors have been defined as master regulators for cardiomyogenesis (red). The combination of Tbx5, Gata4, and Baf60c induces direct differentiation of mesodermal cells into ectopic beating myocytes, bypassing the cardiac progenitor state. Tbx5, Gata4, and Mef2c together can also induce cardiomyocytes from fibroblasts. Factors for direct induction of other cardiac cell types are currently unknown (question marks).

indispensable ATPase of the BAF complex.  $^{31.49,50}$  Brg1 acts in the BAF complex to increase promoter accessibility for transcription factors, but it can also directly bind to transcription factors such as Gata proteins to regulate gene transcription.  $^{51}$  Mice heterozygous for Brg1 deletion exhibit cardiac morphogenetic defects, suggesting haploinsufficiency of Brg1 in heart development.  $^{52}$  It turns out that the proper dosage of Brg1 is critical for normal heart development, as the disruption of the balance between Brg1 and CHD-causing cardiac transcription factors such as Tbx5, Tbx20, and Nkx2–5 leads to severe cardiac anomalies.  $^{52}$  In mouse embryos, Brg1 activates  $\beta$ -myosin heavy chain ( $\beta$ -MHC, expressed primarily in foetal myocytes) while repressing  $\alpha$ -MHC expressed in adult myocytes.  $^{53}$  Although silenced in adult mice, Brg1 is reactivated upon cardiac stress in adult myocytes and induces an  $\alpha$ -MHC to  $\beta$ -MHC shift, suggesting its role in maintaining myocytes in an embryonic state.  $^{53,54}$ 

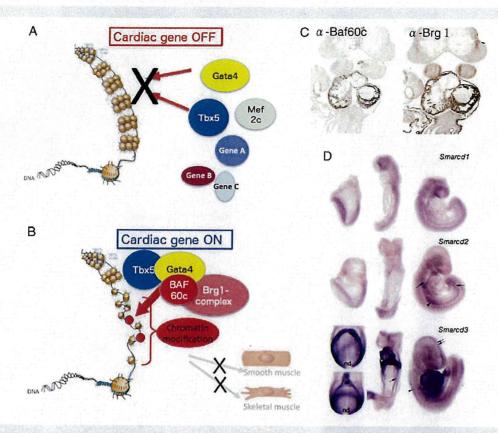
Baf60c is the cardiac-specific subunit of the BAF complex during early development and required for the ectopic induction of cardiomyocyte differentiation in combination with Gata4 and Tbx5. <sup>55</sup> (Figure 2, Figure 3A and B). Baf60c is encoded by the gene Smarcd3, whose mRNA is initially restricted to the developing heart from mouse embryonic day (E) 7.5. <sup>50,56</sup> Its subfamily members, Smarcd1 and Smarcd2, which encode Baf60a and Baf60b, respectively, are not expressed in the developing heart at these stages, indicating the tissue specificity of Baf60c in embryonic development (Figure 3C and D). <sup>50,57</sup> Baf60c cooperates with Tbx5 to initiate their target gene activation for FHF formation. <sup>55,58,59</sup> Baf60c deficiency leads to outflow tract shortening, hypoplastic right ventricles and atria, and lack of atrioventricular canal. <sup>56,57</sup> Baf60a plays a role in linking the glucocorticoid receptor to the BAF complex, and is involved in c-fos/c-jun-mediated transcriptional activity. The precise role of Baf60b is

unclear, but it is specifically ubiquitinated by Unkempt, a RING finger protein partner of Rac GTPase. Although the significance of this ubiquitination is not completely understood, it is thought to be involved in maintaining the stoichiometry of the SWI/SNF complex.

Polybromo (BAF180), the prominent subunit of the BAF-related PBAF complex, is also involved in cardiogenesis by potentiating transcriptional activation mediated by nuclear receptors, such as RXR $\alpha$ , VDR, and PPAR $\gamma$ . Deletion of Baf180 does not lead to early embryonic lethality, but, similar to RXR $\alpha$  deletion, results in a very thin cardiac wall with diminished trabeculae. BAF180 is expressed in the epicardium and holds a non-redundant function to that of Baf60c in the respect that it mediates late aspects of cardiac chamber maturation and coronary development. Ablating other subunits of the BAF complex (Baf47, Baf155, or Baf250) cause embryonic lethality at pre-implantation (Baf47, 155) or E6.5 (Baf250) in mice, indicating an essential role of the BAF complex for early embryonic development.  $^{31,62,63}$ 

#### 2.2.2 NuRD and histone deacetylase complex

The NuRD complex contains histone deacetylases that function as transcriptional repressors. <sup>64</sup> Similar to the BAF complex, the NuRD complex exhibits diverse functions as a result of variable assemblies. Their ATPase activity resides in the two Mi-2 proteins, CHD3 and/or CHD4. <sup>65</sup> NuRD complexes mediate gene repression and regulate cell patterning and differentiation during early development. <sup>66,67</sup> The NuRD complex associates with Whsc1 (Wolf—Hirschhorn syndrome candidate 1) methyltransferase <sup>68</sup> and interacts with the Spalt-family zinc-finger transcription factor Sall4, <sup>69</sup> which is involved in interventricular septum development, <sup>70</sup> suggesting that the complex may play a role in heart development.



**Figure 3** Chromatin remodelling factor-mediated regulation of cardiac transcription factors. (A) In the absence of Baf60c, the cardiac transcription factors Gata4, Mef2c, and Tbx5 may not have access to their target genes. The highly condensed euchromatin in which the DNA is tightly wrapped around histones makes transcription factors inaccessible to regulatory DNA, thereby represses transcription. (B) Chromatin remodelling factors modify chromatin organization by unwinding DNA from the histones, making target sequences accessible for transcription factors. Baf60c acts as a bridge to bring the Brg1 complex together with the transcription factors Tbx5 and Gata4 in a tissue-specific manner. (C) Heart-restricted expression of Baf60c and Brg1 shown by immunostaining. (D) Expression of Smarcd3 (encoding Baf60c) restricted to cardiogenic mesoderm and the developing heart shown by in situ hybridization (adapted from Lickert et al. <sup>56</sup>).

#### 2.2.3 Histone methyltransferase

Whsc1 is a histone methyltransferase that regulates activation of Nkx2–5, a homeobox protein critical for cardiac morphogenesis.<sup>68</sup> Whsc1 physically associates with Nkx2–5 and is required for the negative regulation of Nkx2–5 and its target genes, possibly through histone H3 trimethylation at lysine 36 H3K6me3.<sup>68</sup> Similar to Nkx2–5 mutations, Whsc1 mutations cause CHD, including atrial and ventricular septum defects in mice and human.<sup>68,71</sup>

Smyd1 (SET and MYND domain 1), a member of the lysine methyltransferase family, is specifically expressed in muscle tissue and acts as a transcriptional repressor by catalysing histone methylation through the SET domain. T2,73 Smyd1-deleted mouse embryos exhibit severe cardiac defects, including loss of the right ventricle with disrupted cardiomyocyte maturation. In zebrafish, Smyd1 is essential for cardiac muscle contraction and myofiber maturation, suggesting a conserved role of Smyd1 for cardiac development.

#### 2.2.4 High mobility group chromatin protein

The high mobility group (HMG) of nuclear proteins exerts its function by architectural remodelling of the chromatin structure and by forming multi-protein complexes with promoter/enhancer sites, leading to transcriptional activation of their target genes.<sup>75</sup> The cardiac HMG member,

HMGA2, was shown to play important roles for cardiac differentiation *in vitro* and *in vivo*. Overexpression or siRNA-mediated knockdown of HMGA2 enhances or blocks cardiomyocyte differentiation *in vitro*, respectively. In *Xenopus* embryos, normal heart formation is blocked upon morpholino-mediated knockdown of HMGA2.<sup>75</sup> The fact that 'HMGA2 is abundantly expressed during embryogenesis, whereas its expression is almost undetectable in adult tissues' further indicates its role for embryonic heart development.<sup>75</sup> Furthermore, Nkx2–5 appears to be a target of HMGA2; in the presence of BMP, HMGA2 forms a protein complex with Smad1/4 and synergistically up-regulates promoter activity of Nkx2–5 in the presence of BMP stimulation through Smad- and HMGA2-binding elements. Moreover, promoter activity of Nkx2–5 requires a conserved HMGA2-binding site.<sup>75</sup>

# 3. Cell fate specification and epigenetic signalling

### 3.1 Transcription factors with instructive roles for cardiac differentiation

Cardiac transcription factors play critical roles in the early processes of cardiac cell specification and lineage determination. A number of

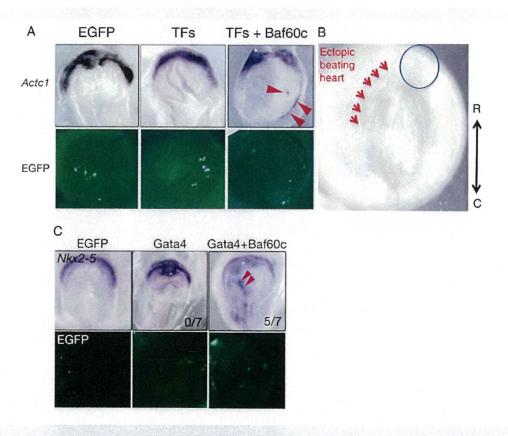
gain-of-function experiments have been carried out to identify factors to induce cardiac differentiation. For instance, the ectopic overexpression of Gata5, a zinc-finger transcription factor essential for proper heart and endoderm development, induces the expression of several cardiac genes (Nkx2-5, Gata4, Gata6) in zebrafish.76 Gata4 possesses a similar potential in Xenopus.77 However, the observed ectopic heart tissues appear to be formed as a secondary effect, as the overexpression of Gata genes causes additional axis-formation along the rostro-caudal axis.  $^{76,77}$  Conditional deletion of  $\beta$ -catenin in the early endoderm layer leads to ectopic heart formation with Nkx2-5 expression, and this phenotype is attributed to blockage of the inhibitory role of the Wnt pathway on cardiac differentiation. 78,79,80 In Xenopus, overexpression of myocardin was sufficient to induce ectopic expression of  $\alpha$ -SMA,  $\alpha$ -cardiac actin, and Nkx2-5. However, myocardin alone appears to be insufficient for establishing beating heart cells.<sup>81</sup> One of the key regulators for early heart development is Tbx5, a T-box transcription factor. 58,82,83 Tbx5 specifies the left-right identity of the cardiac chambers and the development of the ventricular septum.<sup>84</sup> Mice heterozygous for Tbx5 exhibit malformed cardiac chambers with an abnormal inter-ventricular septum, and homozygous deletion of Tbx5 alleles results in the absence of the left ventricle. 58,59 Similarly, human mutations in Tbx5 cause the Holt— Oram syndrome, which is characterized by atrial septal defects, upper

limb defects, and anomalies of the digits. S8,85,86 The importance of Tbx5 in heart development is also exemplified by the fact that its role is evolutionarily conserved among species. Although overexpression of Tbx5 affects cardiac septum morphogenesis, it is not enough to induce cell differentiation into cardiomyocytes. Given that no single transcription factor so far has been shown to sufficiently induce cardiomyocytes, the developmental programme of cardiogenesis might be activated through multiple factors.

### 3.2 Master regulators for cardiomyogenesis

'Master regulators' control multiple genes to direct cell differentiation and are sufficient to activate an entire developmental programme. In 1988, Davis and colleagues demonstrated that overexpression of MyoD, a basic-helix-loop-helix (bHLH) transcription factor, is sufficient to convert fibroblasts to skeletal muscle cells. Similarly, another bHLH-type transcription factor, myocardin, is sufficient to activate the developmental programme of smooth muscle differentiation. However, as described earlier, no single transcription factor is known to act as a master regulator for cardiomyogenesis.

Recently, various combinations of cardiac transcription factors were used in an attempt at the directed transdifferentiation of non-cardiac cells into the cardiomyocyte lineage. <sup>55,57</sup> In this study, developmentally critical cardiac transcription factors (Gata4, Nkx2–5,



**Figure 4** Ectopic induction of cardiomyogenesis by defined factors (Tbx5, Gata4, and Baf60c). (A) Ectopic induction of cardiac tissues by co-overexpression of TFs (Tbx5, Nkx2–5, and Gata4) and Baf60c. The early cardiomyocyte marker Actc1 was used to monitor the induction of cardiomyocytes. The chromatin remodelling component Baf60c is required for the induction. (B) Beating heart tissues (arrowheads) are observed in non-cardiogenic mesoderm upon overexpression of Tbx5, Gata4, and Baf60c. At this stage, the endogenous heart cells do not beat, indicating accelerated cardiac differentiation by the defined factors. (C) Whole-mount *in situ* hybridization showing that Gata4 requires Baf60c to induce ectopic expression of Nkx2–5. EGFP expression indicates transfected cells (adapted from Takeuchi and Bruneau<sup>55</sup>).

Actc1, Myl7 induction	Beating heart induction	Tbx5	Gata4	Gata1	Nkx2-5	Baf60c	Baf60b
×	×	+	_	-	-	_	
×	×	_	+	_	_	_	
×	×	_	_	+	_	_	
×	×	+	+		_	_	
×	×	+	_	+	_	_	
×	×	-		_	+	_	
×	×	+	-	_	+		
×	×	_	+	_	+	_	
×	×	+	+	_	+	_	
0	×	_	+	_	_	+	
0	×	_	+	_	_	_	+
0	×		_	+	_	+	_
×	×	_		+	_	_	+
0	0	+	+	_	_	+	_
0							

Table I Combinatorial activation of beating heart by Gata4, Tbx5, and Baf60c +, transfection of DNA; O, cardiac marker induction or beating heart induction

and Tbx5) were introduced into mesodermal cells of developing mouse embryos in different combinations. However, any combination of Gata4, Nkx2–5, and/or Tbx5 did not fully induce cardiomyocyte differentiation, suggesting that these transcription factors are not sufficient for cardiomyogenesis (*Figure 4A, Table 1*). Surprisingly, the addition of Baf60c, a cardiac-specific subunit of BAF chromatin remodelling complexes, <sup>56</sup> led to ectopic differentiation of mesodermal cells into beating cardiomyocytes. <sup>55</sup>

Chromatin modification is a dynamic process required for the proper function of transcription factors, allowing them to have access to their target loci (Figure 3A and B). Genome-wide screening revealed the presence of cardiac-specific chromatin remodelling factors, <sup>56</sup> indicating their potential involvement in directed transdifferentiation. Indeed, expression dosage of Baf60c allowed Gata4 to access its target genes by modifying their chromatin structures, leading to the ectopic expression of cardiac genes. Tbx5 overexpression promoted cardiomyogenesis by repressing the activation of noncardiac mesodermal genes.<sup>55</sup> Chromatin immunoprecipitation assays confirmed these findings by showing the presence of the heartspecific Baf60c-remodelled chromatin. Furthermore, the binding of Gata4 and Tbx5 to the cTnT promoter region required Baf60cmediated chromatin remodelling, suggesting that the combination of Gata4, Tbx5, and Baf60c acts as a master regulator for cardiomyocyte differentiation from mesodermal cells (Figure 3).55

More recently, leda et al.<sup>91</sup> demonstrated that combinatorial over-expression of developmentally critical transcription factors is sufficient to the direct reprogramming of cardiac fibroblasts into functional cardiomyocytes. Interestingly, Gata4 and Tbx5 were also required for the reprogramming, although Mef2c was used instead of Baf60c (Figure 2). The induced cardiomyocytes expressed the cardiac-specific markers Actc1, Myh6, Ryr2, and Connexin43, whereas Col1a2—a marker for fibroblasts—was markedly down-regulated. Strikingly, they exhibited a global gene expression profile similar to that of cardiomyocytes, with cardiomyocyte-like chromatin patterns on several genes, indicating epigenetic resetting. H3K27me3 (trimethylated histone H3 of lysine 27) and H3K4me3 (trimethylated

histone H3 of lysine 4) mark transcriptionally inactive or active chromatin, respectively. Purther methylation analyses of induced cardiomyocytes revealed decreased levels of H3K27me3 and increased levels of H3K4me3 in the promoters of cardiomyocyte genes. P1

Curiously, Baf60c was not required for the reprogramming of cardiac fibroblasts. This is likely due to cell-type differences between embryonic mesodermal cells and fibroblasts. It is reasonable to speculate that cardiac or dermal fibroblasts share similar chromatin patterns with cardiogenic cells, so that overexpression of cardiac chromatin remodellers may not be necessary for the event. Also, Mef2c may regulate expression of chromatin remodelling factors required for cardiac reprogramming.

#### 3.3 Approaches for cardiac regeneration

CHDs are the most common birth defects in humans, and heart disease remains the leading cause of human death worldwide. The high morbidity and mortality is largely attributed to the limited regenerative capacity of the heart. Recent research has focused on developing new strategies, especially cell-mediated therapies, to treat damaged hearts. One approach is to utilize pluripotent stem cells (PSCs) such as embryonic stem cells (ESCs) or induced PSCs (iPSCs). These cells are highly plastic and can expand and differentiate into most of existing cells, including functional cardiomyocytes. 75,93,94 After birth, the heart itself is insufficient in its regenerative response upon damage, such as from infarcts, as most cardiomyocytes are terminally differentiated and do not proliferate. Therefore, ESCs or iPSCs may hold potential for treating cardiac defects. In addition, iPSC transplantation is advantageous over whole-organ transplantation in that these cells can be directly obtained from patients to avoid immune rejection.95 However, transplantation of undifferentiated iPSCs into the mouse heart has resulted in teratoma formation.<sup>96</sup> Analysis of these teratomas revealed cell types from all three embryonic germ layers, indicating that existing cardiac cells do not guide iPSCs to differentiate into cardiac cells. 96,97 As described earlier, cardiogenesis takes place in a highly coordinated fashion by interactions of multiple factors, 12,98-101 and it will therefore be

important to understand the cardiogenic mechanisms of these factors for iPSC-mediated cardiac therapy.

CPC-based therapy offers a better approach for heart regeneration. CPCs are committed pre-cardiac cells with a potential to differentiate into multiple cardiac cell types, including cardiomyocytes, smooth muscle cells, and endothelial cells. <sup>20,21,102</sup> The identification of Isl1<sup>+</sup> cells led to the discovery of the undifferentiated CPCs, which has advanced our knowledge of multi-potent CPCs. <sup>20,103</sup> They are also marked by Nkx2-5 or Flk1 and can be isolated from early developing embryos or differentiating pluripotent cells. <sup>21,102,104</sup> A recent trial of embryonic CPC transplantation in post-myocardial infarcted hearts of non-human primates showed successful engraftment with myocardial differentiation, <sup>105</sup> suggesting that CPCs can be used as an effective source for heart regeneration. Understanding the mechanisms of lineage-specific differentiation of CPCs will accelerate the CPC-mediated cardiac therapeutics.

Hattori et al. 106 recently introduced a novel approach to isolate cardiomyocytes. By use of tetramethylrhodamine ethyl ester perchlorate (a fluorescent dye specific to mitochondria), they successfully isolated embryonic and neonatal cardiomyocytes (>99% purity) by fluorescence-activated cell sorting. Moreover, transplantation of these purified cardiomyocytes did not induce teratoma formation, and their aggregation resulted in long-term survival of the transplanted myocytes in vivo. 106 Further studies will be necessary to test their effects on damaged hearts and large animals. Induced cardiomyocytes from directed differentiation also have tremendous therapeutic potential to treat heart disease. However, the differentiation method will need to be optimized before a clinical trial. For example, the differentiation efficiency needs to be improved with quantitative studies and more rigorous functional assays should be carried out in vitro and in vivo. In addition, it will be important to test whether endogenous cells such as cardiac fibroblasts can be directly differentiated into cardiomyocytes in vivo.

### 4. Future perspectives

Numerous genetic and epigenetic factors regulating cardiac morphogenesis, differentiation, and maturation have been identified through decades of progress in developmental cardiology. The knowledge gained from the developmental studies led to the recent breakthrough discoveries of defined factors, whose co-overexpression is sufficient to instruct non-cardiac cells to convert into cardiomyocytes. The defined factors (Gata4 and Tbx5 with Baf60 or Mef2c), also essential for cardiac development, are transcription and chromatin remodelling factors that act cooperatively with others, highlighting the importance of a mechanistic understanding of transcriptional and chromatin regulation. It would be interesting to see whether direct differentiation of other cardiac lineages such as smooth muscle, endothelial, or conduction cells also occurs through defined factors. As illustrated in Figure 2, different types of cardiac cells express distinct gene products, but it is mostly unknown if these cell types can be directly obtained from multi-potent progenitors by defined factors. It would be important to identify factors that can activate the programmes for individual cardiac lineage determination.

Although cardiac transcription factors have been extensively studied for their roles and targets, the mechanisms by which chromatin remodellers modulate activation or repression of specific signalling and transcriptional networks are not well understood. Further investigation will be required to elucidate the roles of cardiac epigenetic

factors for a better understanding of the process of cardiogenesis, as well as directed cardiac differentiation or reprogramming.

Given that the mammalian heart has limited regeneration capacity, direct reprogramming is emerging as a novel form of potential cardiac therapeutics along with CPC-mediated transplantation. 105,106,107 We are rapidly entering into a new era of cardiac regenerative medicine that combines knowledge from the diverse fields of heart biology, including developmental, molecular and cellular cardiology, and cardiac physiology. This integrative approach and effort should accelerate novel discoveries for future cardiac therapeutics as well as preventive strategies for CHD.

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