

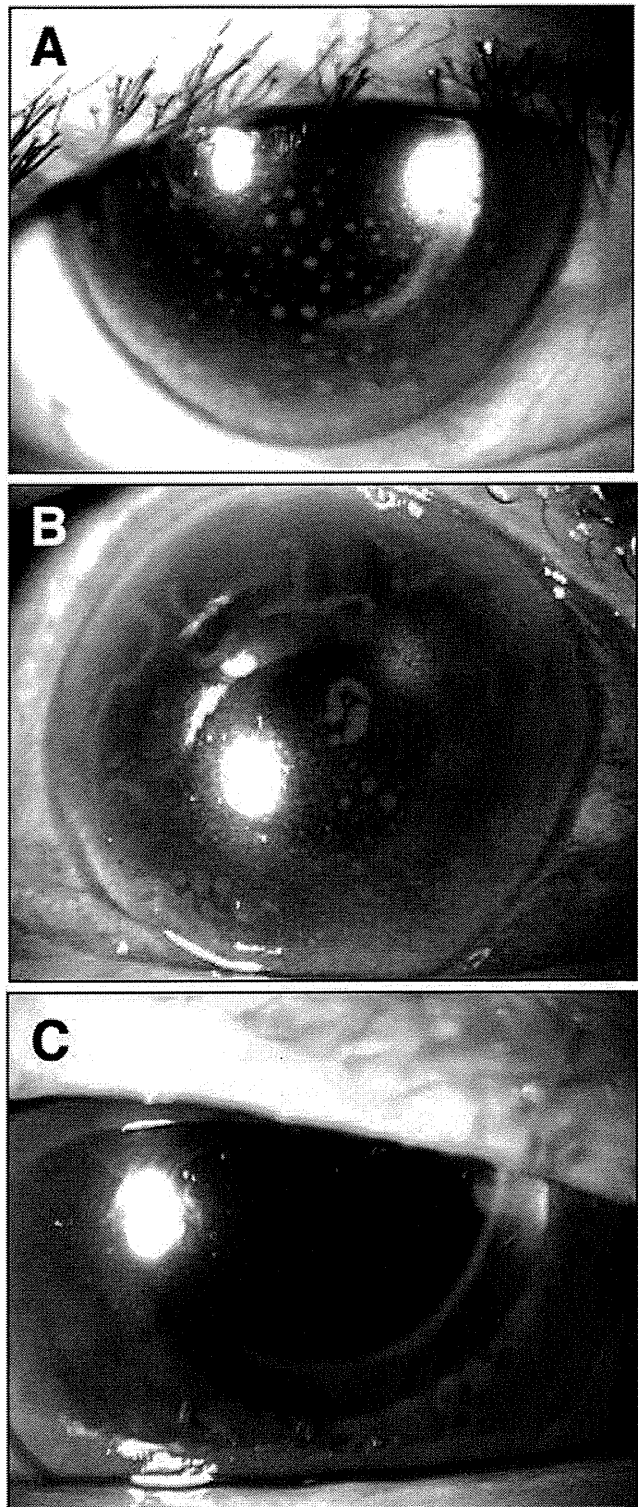
was also found to be positive for *C. albicans*. After being treated, he had complete resolution of his symptoms.

### Case 3

A 73-year-old man was referred to the Uveitis Clinic at our hospital in July 2008 because of keratic precipitates (KPs), cells in the anterior chamber, and anterior vitreous opacity in his RE that was associated with recurrent anterior uveitis. In his RE, diffuse pigmented KPs were seen (Fig. 5a). After considering both the clinical features and whole body inspections, we diagnosed this case as idiopathic uveitis. Although he was treated with topical corticosteroid and an antibiotic for 2 months, the KPs expanded (Fig. 5b). During the treatment, diffuse pigmented KPs continued to expand and then united. In addition, we also observed cells in the anterior chamber with hypopyon and dense anterior vitreous opacity. After informed consent was obtained, pars plana vitrectomy was performed in order to obtain a vitreous sample. Although fungi were not detected in a culture test, real-time PCR detected  $1.8 \times 10^3$  copies/ml of the *Aspergillus* 18S rRNA gene (Table 1). Microbiological investigations performed using both culture and Gram's staining of the vitreous sample proved to be negative. A blood test for  $\beta$ -D-glucan and fungal antigens including *Aspergillus* were also negative. We diagnosed the patient as having *Aspergillus*-associated late postoperative endophthalmitis that was related to his 2007 cataract surgery. The patient was subsequently treated using systemic fluconazole. The medication proved to be effective in treating the infectious endophthalmitis, with the inflammation in the anterior segment of his RE completely disappearing (Fig. 5c). After treatment, *Aspergillus* DNA in his sample was below the PCR detection level.

### Discussion

PCR is well suited for the detection of fungal moieties due to its specificity and applicability for use with small samples such as ocular specimens. Moreover, real-time quantitative PCR can be used to determine whether or not the fungus is related to endophthalmitis. By utilizing our broad-range real-time PCR for the 18S rRNA sequence, we were able to rapidly diagnose *Candida* or *Aspergillus* endophthalmitis in a few patients that exhibited clinical evidence of a fungal infection. While our methodology showed both positive and negative results, it was generally more helpful than waiting for culture results, as the culture tests used to detect *Candida* or *Aspergillus* are both difficult to perform and require longer amounts of time due to the slow growth rates for these species [5, 6, 13]. In addition, the specificity of our PCR examination is good enough so



**Fig. 5** PCR results for case 3. **a** Slit photograph of the right eye with an *Aspergillus* infection. Diffuse pigmented keratic precipitates (KPs) are seen. **b** The pigmented KPs are expanded and united. Like the previous case, the *Aspergillus* DNA gene ( $1.8 \times 10^3$  copies/ml) but not the *Candida* DNA was detected in the sample. **c** After treatment, the inflammation completely disappeared

that even a negative test is of benefit, as it helps to prevent making an incorrect diagnosis and administering a treatment for an infectious agent that is not present. Thus, this broad-range and real-time PCR system for ocular samples can provide a rapid diagnosis for those patients suffering from an unknown intraocular disorder such as idiopathic uveitis or endophthalmitis.

Fungal endophthalmitis is a sight-threatening disease that is most commonly caused by the *Candida* species. This disease usually accounts for a few percent of all of the cases of culture-proven endophthalmitis. The disease is normally acquired from an endogenous source that is spread by hematogenous dissemination. However, its occurrence may also be secondary to trauma, intraocular surgery, or corneal ulceration.

As confirmation of this suspected clinical disease is often difficult, there is frequently a delay in starting treatments. In the present patients, it was difficult to ascertain whether *Candida* or *Aspergillus* species were the causative agent in the intraocular inflammation. Since, in general, all of the patients were elderly and were immunocompetent, there was no focus area for the fungal infection systemically. As seen in Table 1, however, there were three exceptions. These included one case with a history of trauma (case 4), one case with a history of ocular surgery (case 3), and one case involving a normal infant (case 7), and for whom the case report details have been previously published [14].

In cases of fungal endophthalmitis in immunocompetent patients, specific additional antimycotic therapy has been shown to be effective in controlling the inflammation in the eye. In fact, all of the patients who were rapidly diagnosed by this PCR method were well controlled by the antimycotic treatment. Moreover, our PCR system was not only able to detect the conserved sequence of the fungal 18S rRNA gene, but it was also able to provide quantitative information from the ocular samples.

In recent years, PCR technology has been demonstrated to have a great potential in the detection and identification of low copy numbers of a microorganism's DNA in clinical samples [7–12, 15, 16]. It also holds great promise for being able to identify small numbers of organisms in small sample volumes, a situation that is commonly seen when trying to examine intraocular samples from patients with infectious endophthalmitis. We evaluated these PCR techniques in order to determine a reliable and effective protocol for detecting *Candida* or *Aspergillus* species DNA in ocular samples. Our specific aims were to try and significantly increase the number of intraocular samples from which a confirmed diagnosis could be made and to reduce the time it took to make a mycologic diagnosis. In many previous reports, DNAs of *Candida* and *Aspergillus* species were detected in patients with clinically suspected

fungal endophthalmitis [7–10, 15–20]. For example, *Candida* species such as *C. albicans*, *C. parapsilosis*, *C. tropicalis*, *C. guilliermondii*, *C. glabrata*, and *C. krusei* have been increasingly recognized as being capable of causing fungal endophthalmitis. However, *C. albicans* has been shown to be the causative agent in the majority of cases of culture-proven endophthalmitis. Moreover, *Aspergillus* such as *A. fumigatus*, *A. flavus*, *A. nidulans*, *A. niger*, and *A. terreus* have also been reported to be the causative species in an unknown ocular infection [17–20]. To detect these fungal species, our present PCR system used paired primers and specific probes that were based upon the 18S rRNA genes of *Candida* and *Aspergillus* (see Fig. 1).

In one patient who was clinically suspected of having *Candida* endophthalmitis, our new PCR method did not detect any fungal genome in the ocular sample (case 8 in Table 1). However, it should be noted that this sample was aqueous humor and not vitreous fluid. Perhaps if a vitreous sample had been obtained, we might have detected *Candida* DNA, as *Candida* endophthalmitis often results from hematogenous dissemination. In fact, this particular patient received intravascular catheters after his initial surgery. Thus, in order to be able to make an accurate diagnosis, the type of sample that is collected may be very important.

Although there are many advantages for using our PCR assay, there is one disadvantage when attempting to diagnose fungal ocular infection. While our PCR examination was able to detect all species of *Candida* and *Aspergillus* DNA, it could not detect other fungi DNA. Recently, Vollmer et al. reported on a novel broad-range real-time PCR assay for the rapid detection of human pathogenic fungi [21]. Their assay targeted a part of the 28S large subunit rRNA (rDNA) gene. Since this PCR assay can examine *Candida* species, *Aspergillus* species, *Cryptococcus* species, among others, we are currently trying to develop a new PCR examination that uses these primers and probes for the diagnosis of fungal ocular infections, including fungal endophthalmitis.

In conclusion, utilization of the PCR assay to examine ocular samples in patients with suspected fungal endophthalmitis and idiopathic uveitis or endophthalmitis appears to be clinically useful for detecting *Candida* and *Aspergillus* DNA. Thus, broad-range PCR for the 18S rRNA sequence is a reliable tool for the diagnosis of fungal endophthalmitis and in screening for fungal infections. Moreover, because real-time PCR is an accurate method of quantitating fungal copies, real-time quantitative PCR can be used to determine whether the fungus is related to the endophthalmitis. Since the sensitivity of conventional culture techniques is not high and these cultures tend to take a long time due to their slow growth, the use of a broad-range and real-time PCR system to analyze ocular samples may be a better way to obtain a rapid diagnosis in

patients suffering from unknown intraocular infectious disorders. As early treatments are also essential for infectious endophthalmitis, this method may help to ensure that patients receive timely and optimal treatments. However, this is currently a limited research tool and not widely available for clinical labs at the present time. As a next step, we will need to work on making these tests widely available to clinical labs as oppose to only having them in research labs. In the near future, it is assumed that a comprehensive PCR system for examining fungi, bacteria, parasites, and viruses will become available, and be able to be used in the diagnosis of ocular infectious disorders.

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## **Dysregulated microRNAs affect pathways and targets of biologic relevance in nasal-type natural killer/T-cell lymphoma**

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## Dysregulated microRNAs affect pathways and targets of biologic relevance in nasal-type natural killer/T-cell lymphoma

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**We performed a comprehensive genome-wide miRNA expression profiling of extranodal nasal-type natural killer/T-cell lymphoma (NKTL) using formalin-fixed paraffin-embedded tissue (n = 30) and NK cell lines (n = 6) compared with normal NK cells, with the objective of understanding the pathogenetic role of miRNA deregulation in NKTL. Compared with normal NK cells, differentially expressed miRNAs in NKTL are predominantly down-regulated. Re-expression of down-**

**regulated miRNAs, such as miR-101, miR-26a, miR26b, miR-28-5, and miR-363, reduced the growth of the NK cell line and modulated the expression of their predicted target genes, suggesting the potential functional role of the deregulated miRNAs in the oncogenesis of NKTL. Taken together, the predicted targets whose expression is inversely correlated with the expression of deregulated miRNA in NKTL are significantly enriched for genes involved in cell cycle-related, p53,**

**and MAPK signaling pathways. We also performed immunohistochemical validation for selected target proteins and found overexpression of MUM1, BLIMP1, and STMN1 in NKTL, and notably, a corresponding increase in MYC expression. Because MYC is known to cause repression of miRNA expression, it is possible that MYC activation in NKTL may contribute to the suppression of the miRNAs regulating MUM1, BLIMP1, and STMN1. (*Blood*. 2011;118(18):4919-4929)**

### Introduction

Extranodal nasal-type natural killer/T-cell lymphoma (NKTL) is an aggressive lymphoma with a strong association with EBV. The pathogenesis of this tumor is poorly understood, but in recent years gene expression profiling (GEP) studies have demonstrated the pathogenetic role of several oncogenic pathways in NKTL, such as AKT, STAT3, NF- $\kappa$ B, Notch-1, and Aurora kinase A.<sup>1,2</sup> We recently performed a genome-wide GEP using formalin-fixed paraffin-embedded (FFPE) tissue and, in addition to NF- $\kappa$ B, we also identified deregulation of c-Myc and p53 pathways, and overexpression of survivin in NKTL.<sup>3</sup>

MicroRNAs (miRNAs) are short, noncoding RNAs that post-transcriptionally regulate the expression of multiple mRNAs. To date, > 1000 human miRNA precursor sequences have been identified and deposited in miRBase.<sup>4</sup> miRNAs play a key role in the control of normal biologic processes, including hematopoiesis, and have been implicated in the development of human cancer.<sup>5,6</sup> In lymphoid malignancies, miR-155 is overexpressed in Hodgkin lymphoma and non-Hodgkin lymphoma, and dysregulation of miR-16-1 control of cyclinD1 has been reported in mantle cell lymphoma. Yamanaka et al performed northern analysis on NKTL using a limited number of probe sets and found overexpression of miR-155 and miR-21, which results in the activation of AKT signaling.<sup>7</sup> Furthermore, quantification of miRNAs can have potential diagnostic and prognostic utility in lymphoma.<sup>5,6,8</sup> miRNA expression profiling (MEP) has been increasingly used in cancer

research; and in recent years, it has been possible to obtain meaningful and reproducible profiles using FFPE tissue.<sup>9</sup> To the best of our knowledge, there have been no reports of genome-wide MEP on NKTL in the published literature.

In this study, we performed the first miRNA expression profiling on a series of NKTL using FFPE tissues in relation to normal NK cells and NK tumor cell lines, with the main objective of understanding the pathogenic role and mechanisms of miRNA dysregulation in NKTL. We also performed a combined analysis of the miRNA profiles, and the gene expression profiles obtained in our previous study using bioinformatics target prediction with subsequent functional validation to identify essential target genes and signaling pathways that are deregulated by miRNA in NKTL.

### Methods

#### Case selection, cell lines, and control tissues

Patients with a diagnosis of NKTL were identified from the archives of the Department of Pathology, National University Hospital, from 1990 to 2010 and classified according to the 2008 WHO lymphoma classification. Cases with no additional tissue available for immunohistochemical or genetic analysis were excluded. A total of 38 cases of NKTL were selected, of which 33 cases were used for tissue microarray construction and 9 cases were subjected to GEP in our previous study.<sup>3</sup> According to the WHO

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criteria, all cases expressed CD3, cytotoxic markers (granzyme B and/or TIA-1), and EBER. Immunoreactivity for CD56, CD8, and CD4 was present in 66% (25 cases), 13% (5 cases), and 5% (2 cases), respectively. The clinical and immunophenotypic data of the cases are summarized in supplemental Table 1 (available on the *Blood* Web site; see the Supplemental Materials link at the top of the online article).

Thirty cases of NKTL with adequate FFPE tissue and good-quality RNA were selected for miRNA profiling. The study also included 6 NK cell lines (KHYG-1, NK-92, HANK-1, SNT-8, SNK-6, and NK-YS). In addition, 3 paired samples of normal NK cells (unstimulated and stimulated) as well as 2 cases each of normal skin, intestinal, nasal, and lymph node FFPE tissue were also included as control tissue. The study is approved by the Domain Specific Review Board of the National Healthcare Group, Singapore.

### NK cell lines and cultures

The NK-tumor cell lines used in this study included NK-92 (ATCC), KHYG-1 (Japanese Collection of Research Bioresources), HANK-1 (gift from Dr Yoshitoyo Kagami), SNK-6, SNT-8 (gift from Dr Norio Shimizu), and NK-YS (gift from Dr YL Kwong). The culture conditions and phenotypic and genotypic characteristics of the NK cell lines, which are well characterized in previous studies,<sup>10,11</sup> are summarized in supplemental Table 2. Although 2 of these cell lines are derived from Aggressive Natural Killer-cell leukemia (KHYG and NK-92), only very few miRNAs (24 of 723 miRNAs on chip, 3%) have 2-fold or more difference in expression between these and the other NK/T lymphoma cell lines. They were therefore grouped together as NKTL cell lines for comparison of miRNA expression against tumor samples from patients.

### Isolation of normal NK cells from peripheral blood

Highly purified (90%-99%) untouched normal human NK cells were isolated from whole blood samples obtained from healthy donors and buffy coat packs of whole blood samples from the Blood Donation Center, National University Hospital, using the NK cell isolation kit (Miltenyi Biotec) as previously described.<sup>3</sup> The isolated NK cells were subsequently stimulated by culturing in the presence of human recombinant IL-2 (Miltenyi Biotec). Cell block preparations of normal NK cells were prepared as previously described.<sup>3</sup>

### RNA extraction from FFPE, NK cell lines, and normal NK cells

Total RNA from NKTL FFPE tissues and FFPE normal tissue controls was isolated using RecoverAll Total Nucleic Acid Isolation (Applied Biosystems) according to the manufacturer's instructions. All the sections were deparaffinized with xylene, subjected to proteinase K digestion, and RNA extracted as per the manufacturer's protocol.

Total RNA was extracted from freshly isolated cells from NK cell lines and normal NK cell samples obtained from healthy donors using miRNeasy mini kit (QIAGEN) protocol with DNaseI treatment included. The concentration and purity of the total RNA extracted were measured using the NanoDrop ND Version 3.0 spectrophotometer (NanoDrop Technologies). RNA quality was assessed with the Agilent 2100 Bioanalyzer (Agilent Technologies) and the RNA 6000 LabChip kit (Agilent Technologies).

### miRNA profiling and analysis

miRNA expression was profiled using Agilent human miRNA Microarray Version 2 (Agilent Technologies). Each array contained 60-mer probes representing 723 human and 76 human viral miRNAs from the miRBase Version 10.1. The array experiment was carried out using Agilent miRNA system protocol Version 2.0. Briefly, each RNA sample was labeled with Cyanine3-pCp and hybridized to the Agilent human miRNA microarray using the miRNA Complete Labeling and Hyb Kit (Agilent p/n 5190-0456). The slide was washed using Gene Expression Wash Buffer Kit (Agilent p/n 5188-5327) and then scanned using an Agilent DNA microarray scanner. The raw miRNA expression data were extracted from the scanned image using Agilent Feature Extraction Version 10 software. The raw expression values of miRNA were normalized and analyzed using R Version 2.11.0 and

Bioconductor Version 2.8. The microarray data are deposited on the Gene Expression Omnibus (accession number GSE31377).

### Transfection of synthetic miRNAs and anti-miRNA inhibitors

miRNA mimics, which are chemically synthesized double-stranded RNA molecules, were designed to mimic endogenous mature miRNAs. They enable detailed study of miRNA biologic effects via gain-of-function experiments.<sup>12-14</sup> Cells were transfected with miRNA mimics (Dharmacon RNA Technologies) and anti-miRNA inhibitors (Ambion) at a final concentration of 50nM using DharmaFECT (Dharmacon RNA Technologies) according to the manufacturer's instructions. The control miRNA mimic used was a mimic based on *Caenorhabditis elegans* miRNA (cel-miR-67). The anti-miRNA inhibitor negative control #1 purchased from Ambion is an RNA oligonucleotide designed to serve as a negative control for experiments involving anti-miRNA inhibitors. Total RNA and protein were collected for assay 2 days after transfection.

### Cell growth assay

To generate cell growth curve, cells were harvested and counted at 24-hour intervals. The counting results were validated using the CellTiter 96 Aqueous Non-Radioactive Cell Proliferation Assay (Promega) by a linear relationship ( $r^2 = 0.99$ ) between the number of cells and absorbance at 490 nm from each well.

### Re-expression of miRNAs using lentivectors

Expression of miRNA precursors were driven by CMV promoters in a HIV-based lentiviral vector purchased from Systems Biosciences. The construct consists of the native stem loop structure of miRNA and 200 to 400 bp of upstream and downstream flanking genomic sequence cloned into the pMIRNA1-plasmid. Packaging of the miRNA constructs in pseudoviral particles was performed using the third-generation packaging system. NK-YS cells were infected with the lentivirus with an efficiency of ~ 95% as determined by green fluorescent protein measurement by flow cytometry. Empty vector lentivirus was used as a control for the experiments.

### Real-time RT-PCR quantification of miRNAs

Total RNAs, including small RNAs, were purified by miRNeasy Mini Kit (QIAGEN). cDNAs were synthesized from total RNA using TaqMan MicroRNA Reverse Transcription Kit with gene-specific primers. Reverse transcription reactions (for final quantity or concentrations) contained 10-ng RNA samples, 0.67 $\mu$ M of dNTP, 1 $\times$  RT primer, 1 $\times$  RT buffer, 3.8 U of RNase inhibitor, and 50 U of reverse transcriptase. The 15- $\mu$ L reactions were incubated for 30 minutes at 16°C, 30 minutes at 42°C, 5 minutes at 85°C, and then held at 4°C. Real-time RT-PCR quantification of miRNA expression was carried out using TaqMan MicroRNA Assays Kit (Applied Biosystems) according to the manufacturer's protocol. The 20- $\mu$ L PCR included 1.33  $\mu$ L RT product, 1 $\times$  PCR Master mix, and 1 $\times$  TaqMan-primers mix (Applied Biosystems). Reactions were incubated in a 96-well plate at 95°C for 10 minutes, followed by 40 cycles of 95°C for 15 seconds and 60°C for 1 minute. The threshold cycle ( $C_t$ ) was determined using default threshold settings. All experiments were done in triplicates. The U6 snRNA was used as a control to normalize miRNA input in the real-time RT-PCR assay.

### Real-time RT-PCR quantification of mRNAs

cDNAs from total RNA were obtained by the SuperScriptR III Reverse Transcriptase (Invitrogen) according to the manufacturer's instructions. SYBR PCR Master Mix (Applied Biosystems) was used for quantitative PCR as recommended by the manufacturer. GAPDH was used as a control to normalize mRNA input. All experiments were done in triplicates.

### Luciferase reporter assay

A PCR-amplified fragment that contains 2 predicted miR-101 binding sites at the 3'-UTR of STMN1 was cloned into a dual-luciferase expression vector pmirGLO (Promega) to create the STMN1 reporter constructs. The seed and surrounding sequences at binding site #1 (position 278-292 of



**Table 1. Deregulated miRNA in NKTL and NK cell lines compared with normal NK cells**

miRNA	Cell lines versus normal		NKTL versus normal		Chromosome location	Genomic position	Target genes
	q-value	Fold change	q-value	Fold change			
hsa-miR-342-5p	0.00047	0.17804	0.00000	0.17231	14	099645783-099645765	POFUT1
hsa-miR-26b	0.00049	0.05876	0.00000	0.02942	2	218975644-218975625	BCL2, IGF1, SETD7, FOXP2, CAPRIN1, PSD3, HOXA5, KPNA2, E2F7, ENPEP, EZH2, HMGA1, NAMPT, PIM1, SC4MOL, ACVR1C, AGPAT5, ASCC3, CKS2, CTTNBP2NL, DCDC2, IARS, KIF18A, LARP1, MTM1, NFE2L3, NUP50, SLC7A11
hsa-miR-363	0.00049	0.05325	0.00000	0.06310	X	133131078-133131095	BCL2, IGF1, SETD7, FOXP2, PSD3, ATP2A2, BCAT2, DOCK9, SMAD6, ADCY3, ASB7, CHCHD10, FMN2, NFIB, RAB23, RGL1, SLC7A11, YIPF4
hsa-miR-150	0.00049	0.00483	0.00000	0.01099	19	054695901-054695916	MYB, ELK1, CTH, ENSA
hsa-miR-28-5p	0.00049	0.17303	0.00000	0.14000	3	189889297-189889278	IGF1, SETD7, CAPRIN1, HTRA2, MAD2L1, TLN2
hsa-miR-152	0.00049	0.28067	0.00000	0.27612	17	043469539-043469553	E2F7, ANK2, ATP2A2, B4GALT2, BBC3, CEP55, DPP3, EMP1, HMGB3, IGF1, KLC2
hsa-miR-361-3p	0.00049	0.23188	0.00000	0.19701	X	085045302-085045320	CD3EAP, OSR2
hsa-miR-22*	0.00049	0.32882	0.00000	0.34642	17	001563996-001564012	No predicted targets
hsa-miR-340	0.00049	0.26426	0.00000	0.29925	5	179374967-179374984	AGPAT5, AHR, CDON, CIT, DEPDC1B, E2F7, FHL2, GK, HECW2, IGF1, ING3, MYO1C, NUPL1, PHLDA1, SLC7A11, TIAM1
hsa-miR-598	0.00049	0.49291	0.00000	0.48708	8	010930141-010930158	No predicted targets
hsa-miR-181a-2*	0.00049	0.23242	0.00000	0.22641	9	126494639-126494623	No predicted targets
hsa-miR-132	0.00050	0.45398	0.00000	0.42973	17	001899973-001899987	HBEGF, BRI3, HN1, TLN2, VDAC2, ADCY3, AHYC, AZIN1, CAPRIN1, FKBP2, NFIB, PPM1G, SCN2A, TRIB1, TTK
hsa-miR-194	0.00050	0.32209	0.00024	0.32691	1	218358171-218358185	HBEGF, TLN2, CTAGE5, LPHN2, PRR7, VDAC2
hsa-miR-768-3p	0.00050	0.13168	0.00000	0.08066	16	070349814-070349832	AHR, CENPE, HOXA4
hsa-miR-873	0.00050	0.22803	0.00000	0.22284	9	028878923-028878939	FOXX2, MPDU1, TLN2
hsa-miR-338-3p	0.00052	0.13277	0.00001	0.15864	17	076714282-076714301	FKBP1A, ARPC1B
hsa-miR-215	0.00053	0.44650	0.00119	0.45644	1	218357881-218357900	DYRK3, LPAR4, TRIP13
hsa-miR-186	0.00054	0.26956	0.00000	0.14583	1	071305952-071305971	CDC42, BTF3, PPM1G, SMAD6, ACSL4, BCAT1, BMP2K, EIF2S2, ENPEP, PRDM1, PSD3, PSMD11, PSPH, RGS22, VEGFA, ZCCHC5
hsa-miR-140-3p	0.00054	0.27234	0.00000	0.16194	16	068524566-068524552	FOXX2, UBE2C
hsa-miR-140-5p	0.00054	0.30678	0.00000	0.13482	16	068524528-068524508	ARHGAP19, CASP3, ST5, TTK
hsa-miR-374b	0.00055	0.32813	0.00000	0.13347	X	073355147-073355164	TFDP1, CCNE2, EIF2S2, EIF4G1, ENSA, GNB2, HOXA11, HSPA4, HTRA2, LARP1, NFIB, SMAD6
hsa-miR-26a	0.00056	0.14699	0.00048	0.23283	12	056504708-056504721	BCL2, IGF1, SETD7, PSD3, EZH2, HOXA5, KPNA2, E2F7, ENPEP, HMGA1, NAMPT, PIM1, SC4MOL, ASCC3, CKS2, CTTNBP2NL, DCDC2, IARS, KIF18A, LARP1, MTM1, NFE2L3, NUP50, SLC7A11
hsa-let-7g	0.00057	0.21467	0.00001	0.10030	3	052277392-052277411	ACVR1C, AP1S1, CASP3, CDC25A, COL15A1, CYP19A1, DPP3, EZH2, FAM118A, POLR3D, SCD, TARBP2, TTLL4, ATP2A2, BCAP29, BCAT1, CCNF, CD86, DUSP4, HMGA1, RGS16, SOCS1, THRSP, ZCCHC5
hsa-miR-342-3p	0.00057	0.14972	0.00000	0.07856	14	099645827-099645812	ENSA, SLC35F2, TIAM1
hsa-miR-101	0.00057	0.33272	0.00000	0.09962	1	065296713-065296731	STMN1, BCL2, IGF1, PSD3, EZH2, EMP1, ING3, PANK3, PHLDA1, TRIB1, ACCN2, ASCC3, DDIT4, HNRNPAB, LMNB1, POMP, SCN2A, SELI
hsa-miR-192	0.00060	0.33056	0.00044	0.32841	11	064415251-064415268	DYRK3, LPAR4, TRIP13
hsa-miR-374a	0.00065	0.29720	0.00000	0.09324	X	073423885-073423905	EIF2S2, ENSA, GK, GNB2, HOXA11, HSPA4, LARP1, NFIB, SMAD6, TFDP1
hsa-miR-876-5p	0.00070	0.43160	0.00004	0.39927	9	028853673-028853691	EME1, FOXM1, DNAJC12, NTRK2, PHLDA1, TFAP2A, ZCCHC5

**Table 1. Deregulated miRNA in NKTL and NK cell lines compared with normal NK cells (continued)**

miRNA	Cell lines versus normal		NKTL versus normal		Chromosome location	Genomic position	Target genes
	q-value	Fold change	q-value	Fold change			
hsa-miR-22	0.00076	0.19107	0.00000	0.19752	17	001563958-001563975	BATF3, DDIT4, HOXA4, IPO7, MTHFD2, RFXANK, APBB2, NET1, PPM1G, TIAM1
hsa-miR-10a	0.00111	0.42780	0.00008	0.36971	17	044012265-044012284	BCL6, SOBP, STK24, TIAM1, ZNF367
hsa-miR-590-5p	0.00168	0.45785	0.00000	0.17107	7	073243500-073243479	ING3, NFIB, RBPJ, TIAM1, ZNF367
hsa-miR-30b	0.00258	0.26088	0.00000	0.09993	8	135881995-135882010	ADAM22, DDIT4, NFIB, PPARGC1B, SCN2A, SLC41A2, ASCC3, CCNE2, CELSR3, FGD6, PRDM1, RHEBL1, SCN8A, SMARCD2, SOCS1, AVEN, AZIN1, BCL2, DEPDC4, FRMD6, HOXA11, IL2RA, IRF4, ITSN1, MTA1, PRICKLE1, RGL1, SETD7, STXBP1, SUPT3H, TFDP1
hsa-miR-181c	0.00324	0.40588	0.00000	0.24845	19	013846560-013846542	FKBP1A, NR6A1, CTTNBP2NL, DDIT4, E2F7, HOXA11, NR4A3, APOO, ATP2A2, CDON, FAM3C, IPPK, ITSN1, MAP1A, MINA, NLN, PDIA6, PHLDA1, PRDX3, SCD, SLC25A37
hsa-miR-142-5p	0.00404	0.34288	0.00000	0.01692	17	053763643-053763660	SRI, AHR, HN1, PPM1G, RBBP8, RNH1, SLC41A2
hsa-let-7a	0.00602	0.45269	0.00420	0.37315	11	121522486-121522504	ACVR1C, AP1S1, CASP3, CDC25A, CYP19A1, DPP3, EZH2, SCD, TARBP2, ATP2A2, BCAP29, BCAT1, BRF2, CCNF, COL15A1, DUSP4, FAM118A, HOXB4, POLR3D, RGS16, SOCS1, THRSP, TRIB1, TTLL4, ZCCHC5
hsa-miR-155	0.00690	11.45284	0.00119	2.16728	21	025868188-025868172	BNC2, SGK3, TLE4, TSHZ3, EIF2C4, FGF7, GPM6B, KLRC3, LHX9, MYLK, PCDH9, PDLIM5, RAB34, RREB1, SOX11, ZNF618
hsa-let-7c	0.01070	0.27938	0.00160	0.41876	21	016834050-016834032	ACVR1C, CASP3, CDC25A, CYP19A1, DPP3, EZH2, SCD, TARBP2, TTLL4, AP1S1, ATP2A2, BCAT1, BRF2, CCNF, COL15A1, DUSP4, FAM118A, HOXB4, POLR3D, RGS16, SOCS1, THRSP, TRIB1
hsa-miR-378	0.01145	2.53182	0.00059	4.08760	5	149092643-149092631	GPM6B, IGF1R, WDR37
hsa-miR-181a	0.01665	0.38679	0.00000	0.16954	1	197094860-197094873	FKBP1A, NR6A1, CTTNBP2NL, DDIT4, E2F7, HOXA11, NR4A3, APOO, ATP2A2, CDON, FAM3C, IPPK, ITSN1, MAP1A, MINA, NLN, PDIA6, PHLDA1, PLAU, PRDX3, SCD, SLC25A37
hsa-miR-142-3p	0.01796	0.45692	0.00000	0.01686	17	053763605-053763626	TFG, FKBP1A, GNB2, ATP2A2
hsa-miR-15a	0.04502	0.49695	0.00000	0.13992	13	049521304-049521323	CCNE1, CDCA4, CHEK1, MYB, WEE1, CDC25A, KIF23, LPHN2, PDIA6, PPAP2A, SMARCD2, STXBP1, TARBP2, ACSL4, ANKRD13B, BCL2, BTF3, CDC42, E2F7, FKBP1A, FSD1, IARS, LIPE, OTX1, PANK1, PHF19, PIM1, PPIF, PPIL1, PTPN3, SELI, SMYD5, ZCCHC5

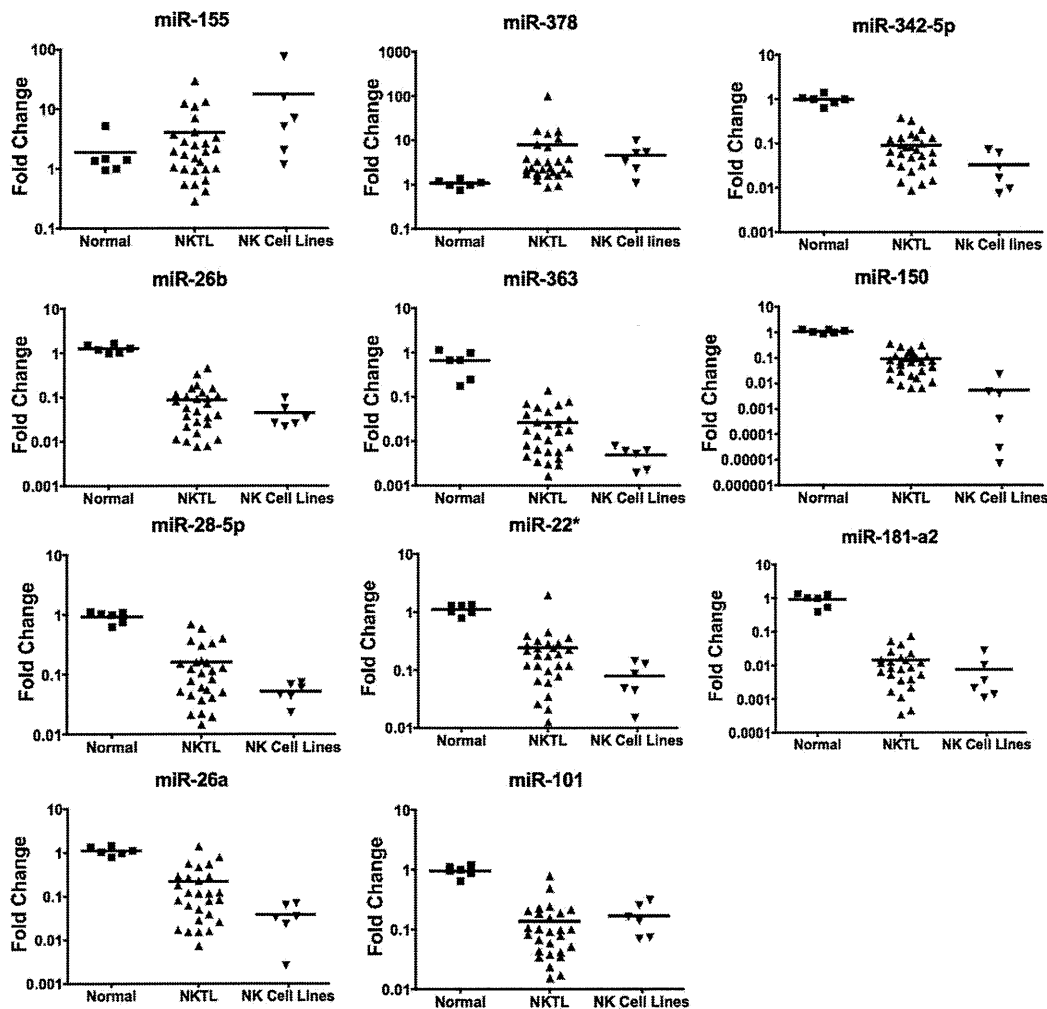
3'-UTR, ATGGCTAGTACTGTA) and site #2 (position 437-448, CACAGT-GCTGTT) within this construct were separately mutated to CTGGCTA-ATACGGTA and CGCAGCGCTCTC, respectively, using a Quick-change II site-directed mutagenesis kit (Agilent/Stratagene). The reporter construct containing full-length PRDM1 3'-UTR, the miR-101 and miR-186 precursor expression vectors, and their corresponding control plasmids were purchased from GeneCopoeia, System Biosciences, and Cell Biolabs, respectively. HEK-293T cells were cotransfected, in triplicate wells, with STMN1 reporter construct and miR-101 precursor expression vector at a ratio of 1:6 using Lipofectamine (Invitrogen) for 48 hours. PRDM1 reporter construct and miR-186 precursor vector were similarly transfected at a ratio of 1:200 for 72 hours before harvest. Firefly and Renilla luciferase activities of cell lysates were determined by a dual-luciferase reporter assay system (Promega). The ratio of firefly to Renilla luminescence of cells ectopically expressing miRNAs was compared with that of cells transfected with control miRNA precursor plasmid. miRNA overexpression was confirmed

by real-time PCR using TaqMan probes specific to respective miRNAs. Results are presented as averages of 3 independent experiments.

### IHC

Immunohistochemistry (IHC) was performed for MUM1, BLIMP1, and STMN1 on 4- $\mu$ m sections from the TMA blocks of NKTL cases. For those cases that were not included in the TMA, 4- $\mu$ m sections were cut from whole paraffin blocks (5 cases). IHC was also performed on cell blocks of normal NK cells for comparison (see supplemental Table 3 for more details). Appropriate positive tissue controls were used. The immunohistochemical expression for all the antibodies was scored as a percentage of the total tumor cell population per 1-mm core diameter ( $\times 400$ ) by one of the authors (S.-B.N.), as previously described.<sup>3</sup> For MUM1 and BLIMP1 antibodies, positive expression was defined as nuclear staining in 20% or more of the tumor population. For STMN1, positive





**Figure 1. Quantitative RT-PCR validation of miRNA expression profiling data.** Eleven miRNAs that were deregulated in NKTL were selected for validation by quantitative RT-PCR. In every case, miRNAs down-regulated in NKTL compared with normal NK cells were also found to be down-regulated by quantitative RT-PCR. Similar observations were made for up-regulated miRNAs. All comparisons are statistically significant ( $P < .05$ ).

expression was defined as cytoplasmic and/or nuclear staining in 20% or more of the tumor population.

## Results

### miRNA dysregulation in NKTL

We compared the miRNA expression of NKTL FFPE samples ( $n = 30$ ) with that of normal NK cells and the respective normal FFPE tissue controls from nasal, skin and soft tissue, intestinal tract, and lymph node, as well as that of NK cell lines with normal NK cells (supplemental Tables 4 and 5). Among the miRNAs showing at least 2-fold and statistically significant difference ( $P < .05$ ) in expression, 2 were found to be up-regulated and 39 were down-regulated in both NK cell lines and FFPE NKTL samples compared with normal NK cells (Table 1). miR-342-5p, miR-26b, miR-363, miR-150, and miR-28-5p are the top 5 down-regulated miRNAs, whereas miR-155 and miR-378 are up-regulated in both NK cell lines and FFPE NKTLs.

We performed quantitative PCR validation of 11 selected miRNAs, including the top 5 down-regulated miRNAs, 2 up-regulated miRNAs, and a few interesting miRNAs, which may be involved in tumor oncogenesis. On the whole, quantitative PCR

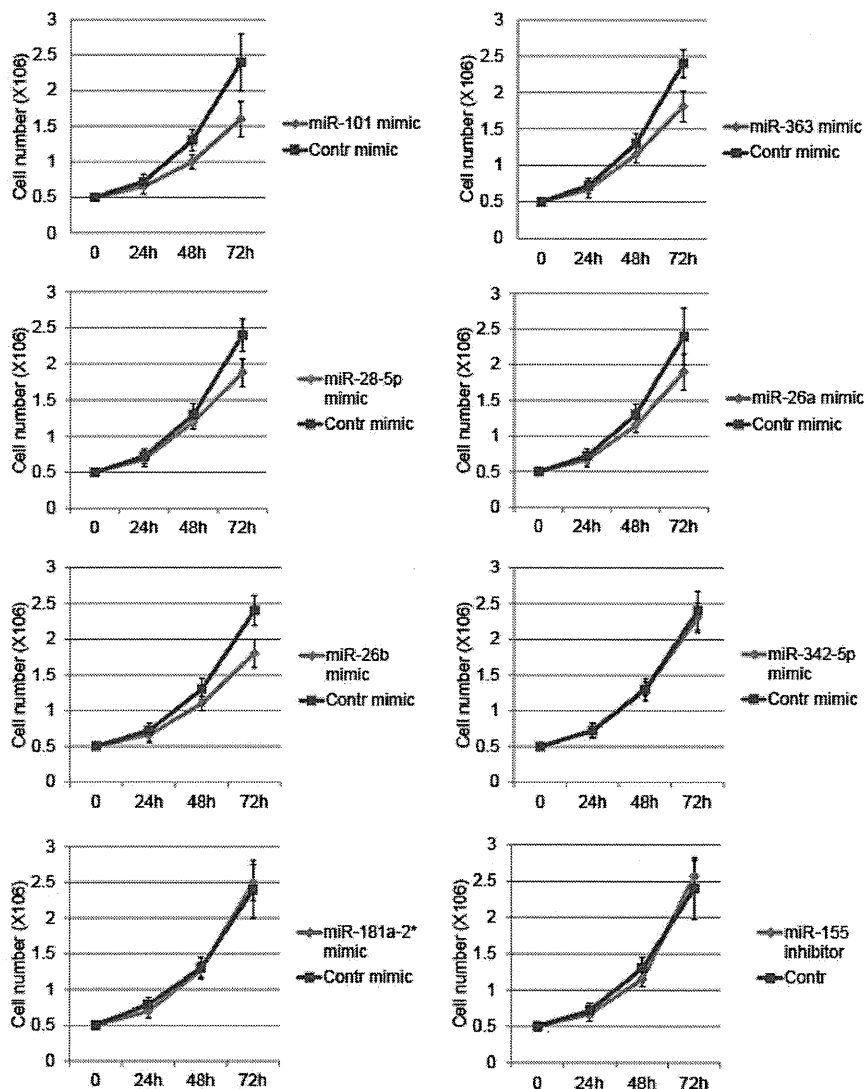
results were consistent with MEP data showing overexpression of miR-155 and miR-378 and underexpression of miR-342-5p, miR-26b, miR-363, miR-150 and miR-28-5p, miR-22\*, miR-181a-2\*, miR-26a and miR-101 in NK cell lines and NKTL FFPE samples compared with normal NK cells (Figure 1).

The validity of the MEP platform and results was further verified by comparing the miRNA expressed in our normal and stimulated NK cells with that detected by sequencing methods.<sup>15</sup> There is substantial overlap between our data list and the list generated by sequencing method (supplemental Figure 1).

### Functional relevance of dysregulated miRNAs in NKTL

To assess the relevance of the dysregulated miRNAs to the biology of NKTL, we incubated the NKYS cell line with miRNA mimics for down-regulated miRNAs, including miR-101, miR-363, miR-28-5p, miR-26a, miR-26b, miR-342-5p, and miR-181a-2\*, and miRNA inhibitor for one of the overexpressed miRNAs, miR-155. The use of miR-101, miR-363, miR-28-5p, miR-26a, and miR-26b mimics substantially reduced growth of NK-YS cells (Figure 2). This suggests that these miRNAs could play a potential role in the growth and proliferation of NKTL.

Next, we identified high-probability predicted target genes of these deregulated miRNAs by intersecting targets predicted by 6 algorithms,

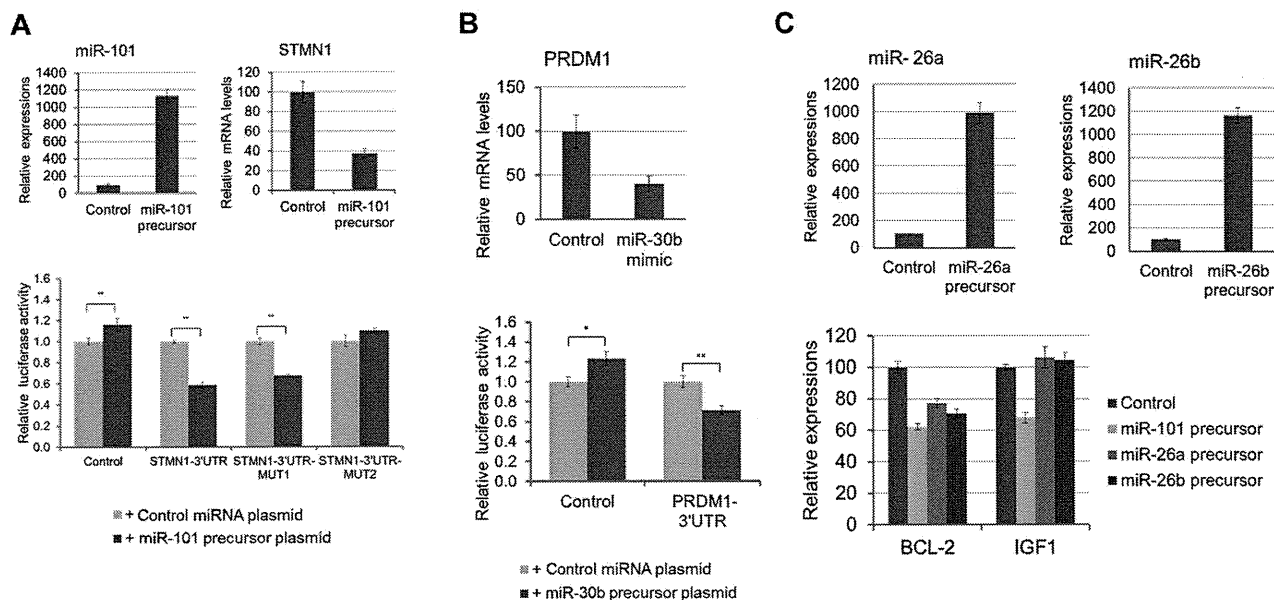


**Figure 2. Effect of deregulated miRNAs on growth of NKTL cells.** Growth curves of NK-YS cells transfected with synthetic miRNAs and anti-miRNA inhibitors. Cell number was counted at the indicated time points after transfections. Counting results were validated by Cell-Titer 96 Cell Proliferation Assay. Error bars represent SD; n = 3.

including mirBase (<http://microrna.sanger.ac.uk>), targetScan (<http://www.targetscan.org>), miRanda (<http://www.microrna.org>), tarBase (<http://diana.cslab.ece.ntua.gr/tarbase>), mirtarget2 (<http://mirdb.org/miRDB>), and pictar (<http://pictar.mdc-berlin.de>; Table 1). We further assessed the expression of the target genes in those samples that also have GEP done and further narrowed down the relevant target genes to those whose expression is inversely correlated with the expression of the deregulated miRNAs. We selected a number of target genes of the 3 miRNAs (miR-101, miR-26a, and miR-26b), which were shown to alter the growth of NK-YS for further validation. We used lentiviral vectors as an alternate method to express miR-101, miR-26a, and miR-26b in NK-YS. This resulted in a significant increase in the expression of these miRNAs and a corresponding decrease in the expression of *STMN1* (Figure 3A), one of the target genes of miR-101, and *BCL2*, a target gene shared by miR-101, miR-26a, and miR-26b (Figure 3C). On the other hand, *IGF1* is only down-regulated on miR-101 expression but not miR-26a or miR-26b expression, although it is also predicted to be targets of all 3 miRNAs (Figure 3C). This inconsistency may be explained by the known discrepancies between predicted target and actual targets. We therefore proceeded to validate several miRNAs and their predicted targets, which may be of relevance in NKTL. In the 3'-UTR of *STMN1*, there are 2 predicted binding sites of miR-101. To confirm that miR-101 binds to 3'-UTR of *STMN1* and

affects its expression, and to clarify which of these binding sites are the most important, we performed luciferase assay with different *STMN1* 3'-UTR constructs; no mutation, first binding sequence mutated (MUT1) or second binding sequence mutated (MUT2) (supplemental Figure 2). Our results showed that the reporter with the fragment of 3'-UTR of *STMN1* that contains the 2 seed sequences reduced luciferase activity. The MUT1 reporter construct (with the first seed sequence mutated) showed similar level of luciferase activity as the wild-type reporter construct, whereas the MUT2 reporter construct (with the second seed sequence mutated) demonstrated a restoration to the same level as the empty control reporter. This suggests that the second seed sequence is the critical binding site for miR-101.

Next, we validated the relationship between miR-30b (underexpressed in NKTL) and *PRDM1* (gene encoding BLIMP1), which has been shown to be important for NK cell maturation and may therefore be of biologic relevance to NKTL. The use of miR-30b mimic in NKYS leads to repression of *PRDM1* mRNA expression. The effect of miR-30b on *PRDM1* expression is confirmed on luciferase reporter assay when expression of miR-30b precursor inhibited *PRDM1* expression (Figure 3B). These results provide further evidence that deregulation of miRNA is of functional and biologic relevance in NKTL.



**Figure 3. Effect of deregulated miRNAs on expression of their target genes.** (A) miR-101 represses *STMN1*. Top: Reintroduction of miR-101 into NKYS cells reduced mRNA levels of *STMN1*. mRNA levels of *STMN1* were determined by quantitative RT-PCR analysis. Cells were transfected by lentivirus produced by miRNA precursor expression vectors or a control vector. Cells were harvested 4 days after transduction. Mature miR-101 transcript was determined by TaqMan miRNA assay. Bottom: 3'-UTR luciferase reporter assay of *STMN1*. The 293T cells were cotransfected with a reporter construct with or without 3'-UTR of *STMN1* that contain 2 potential miR-101 binding sites and an miRNA expression vector with or without hsa-miR-101 precursor sequence. Reporter constructs with point mutations to the seed sequence of either miR-101 target site were similarly cotransfected. Luciferase activity was determined 48 hours after transfection. (B) miR-30b represses *PRDM1*. Top: Reintroduction of miR-30b into NK-Y cells reduced mRNA levels of *PRDM1*. Cells were transiently transfected by miRNA-30b mimics. Expression of *PRDM1* at 48 hours after transfection was determined by quantitative RT-PCR analysis. Bottom: 3'-UTR luciferase reporter assay of *PRDM1*. The 293T cells were cotransfected with a reporter construct with or without the whole 3'-UTR of *PRDM1* cloned to the distal end of the firefly luciferase gene and an miRNA expression vector with or without the hsa-miR-30b precursor sequence. Luciferase activity was determined 48 hours after transfection. (C) Re-expression of miR-101, miR-26a, or miR-26b in NK-Y cells reduced expression of *BCL-2*. Cells were transfected by lentivirus produced by miRNA precursor expression vectors or a control vector and were harvested 4 days after transduction for quantitative RT-PCR analysis.

### Downstream pathways affected by dysregulated miRNA

Although previously thought to mediate mainly the inhibition of protein translation, recent studies suggest that miRNAs also extensively down-regulate mRNA expression through mRNA decay.<sup>16</sup> We therefore correlated the expression of predicted target genes using data from our previous GEP study<sup>3</sup> with the expression of the dysregulated miRNA and identified a total of 226 target genes whose gene expressions were inversely correlated with the expression of the 41 deregulated miRNA (supplemental Figure 3). It is apparent that some miRNAs, such as miR-30b, miR-15a, let-7a, let-7c, and let-7d, regulate multiple target genes, whereas others have only one specific target gene. Conversely, some target genes (eg, *E2F7* and *EZH2*) are regulated by multiple miRNAs, whereas others are regulated by a single miRNA.

There is a significant enrichment among these predicted target genes for genes involved in cell cycle-related pathways, MAPK and p53 signaling pathways (Table 2). This is consistent with our previous findings showing increased expression of cell cycle-related genes and activation of p53 pathway in NKTL.<sup>3</sup>

### IHC reveals overexpression of target proteins of suppressed miRNAs in NKTL

To further validate our gene expression results, we performed IHC for selected target proteins of the deregulated miRNAs in NKTL, including MUM1/IRF4, BLIMP1, and STMN1 on TMA sections containing 33 samples of NKTL and whole paraffin sections of 5 cases that were not included in the TMAs. In corroboration with the MEP findings, we observed a significant percentage of our NKTL cases showing positive expression for MUM1/IRF4 (20 of 38, 53%), BLIMP1 (17 of 34, 50%), and STMN1 (20 of 35, 57%; Figure 4A-B; supplemental Table 6A). In contrast, normal NK cells

show minimal ( $\leq 5\%$ ) to absent expression of the 3 target proteins (supplemental Table 6B). Similarly, these proteins were aberrantly expressed in NKTL cell lines (supplemental Figure 4). In addition, cases with greater percentage ( $> 10\%$ ) of positive staining cells for the 3 proteins, had higher expression of the corresponding mRNA (Figure 4C). The expression of these mRNAs is also significantly inversely correlated with the expression of their regulating miRNAs (supplemental Figure 3), further suggesting that the overexpression of MUM1, BLIMP1, and STMN1 in NKTL may be driven by abnormal expression of their regulating miRNAs.

### Mechanism of miRNA dysregulated in NKTL

Next, we investigated the possible mechanisms of miRNA dysregulation in NKTL. A number of the dysregulated miRNAs are located within host gene sequences. However, only 3 miRNAs, miR-152, miR-598, and miR-378, have correlated expression with their host genes. The deregulation of these miRNA and gene expression is not associated with known deletion or amplification of the genomic locus based on a previous publication of array comparative genomic hybridization analysis.<sup>17</sup> Two underexpressed miRNAs, miR-186 and miR-101, are located within a genomic locus that is commonly deleted in NKTL, chromosome 1p21.3-p31.2 (Table 3).<sup>17</sup> The miRNA signature of NKTL from our analysis is one associated with mainly down-regulation of miRNAs. Recently, it has been shown that MYC can cause extensive repression of miRNA expression.<sup>18</sup> Indeed, in our cohort, tumor samples with increased expression of BLIMP1, MUM1, and STMN1 proteins, regulated by their underexpressed miRNAs, showed higher MYC nuclear expression, consistent with MYC activation (Figure 4B). EBV infection is universal in NKTL. Indeed, 4 of the deregulated

**Table 2. Enriched KEGG pathways among predicted gene targets of deregulated miRNAs in NKTL**

KEGGID	P	Odds ratio	Count	Size	Term	Genes
770	.00005	22.96432681	4	15	Pantothenate and CoA biosynthesis	BCAT1, BCAT2, PANK1, PANK3
290	.00044	23.4	3	11	Valine, leucine, and isoleucine biosynthesis	BCAT1, BCAT2, IARS
4115	.00055	6.206116464	6	68	p53 signaling pathway	CASP3, CCNE1, CHEK1, IGF1, CCNE2, BBC3
4110	.00057	4.531014493	8	123	Cell cycle	CCNE1, CDC25A, CHEK1, MAD2L1, TFDP1, TTK, WEE1, CCNE2
4114	.00155	4.293859649	7	112	Oocyte meiosis	ADCY3, ADCY8, CCNE1, IGF1, IGF1R, MAD2L1, CCNE2
4914	.00175	4.853855006	6	85	Progesterone-mediated oocyte maturation	ADCY3, ADCY8, CDC25A, IGF1, IGF1R, MAD2L1
5215	.00945	3.844590369	5	87	Prostate cancer	BCL2, CCNE1, IGF1, IGF1R, CCNE2
4510	.00994	2.730212766	8	196	Focal adhesion	BCL2, CDC42, ELK1, IGF1, IGF1R, MYLK, VEGFA, TLN2
4912	.01513	3.38227185	5	98	GnRH signaling pathway	ADCY3, ADCY8, CDC42, HBEGF, ELK1
4614	.02164	8.205761317	2	17	Renin-angiotensin system	ENPEP, NLN
5210	.03373	3.113924051	4	84	Colorectal cancer	BCL2, CASP3, IGF1R, ACVR1C
4150	.03533	3.868 75	3	51	mTOR signaling pathway	IGF1, VEGFA, DDIT4
5414	.03884	2.963230862	4	88	Dilated cardiomyopathy	ADCY3, ADCY8, ATP2A2, IGF1
4010	.04517	1.984836601	8	263	MAPK signaling pathway	CASP3, CDC42, DUSP4, ELK1, FGF7, STMN1, NTRK2, ACVR1C
52	.04708	5.119341564	2	26	Galactose metabolism	GCK, B4GALT2
450	.04708	5.119341564	2	26	Seleno amino acid metabolism	AHCY, CTH

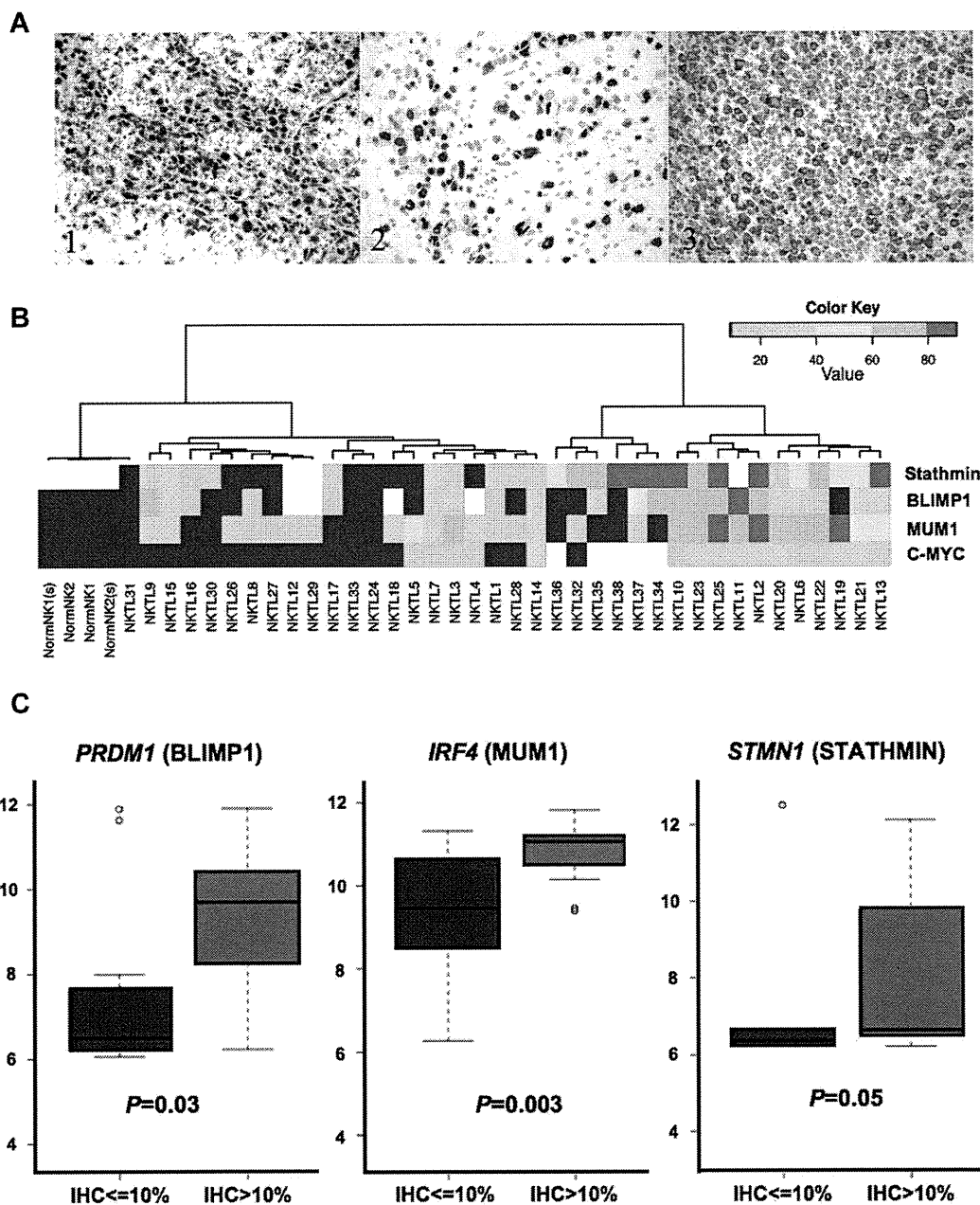
miRNAs that we observed have been reported to be down-regulated (let 7g, let-7 and let-7c)<sup>19</sup> and up-regulated (miR-155)<sup>20</sup> on EBV infection (Table 3), suggesting that EBV infection may also have an effect on miRNA deregulation in NKTL.

## Discussion

NKTL is a highly aggressive tumor, and a better understanding of the molecular abnormalities underlying this condition will provide important insights into the biology of this disease and potential new therapeutic avenues. To the best of our knowledge, this is the first comprehensive genome-wide study of miRNA expression profiling using the microarray platform on FFPE NKTL samples. The validity of our results was supported by quantitative PCR validation as well as corroboration of our *in silico* functional analysis with IHC in a larger dataset, showing good correlation between MEP, GEP, IHC, and RT-PCR results. In the present study, we characterized the miRNA signature of NKTL compared with normal NK cells. Our results identified the dysregulated miRNAs in NKTL, target genes involved, and activation of signaling pathways that may be relevant to the pathophysiology of the disease and could potentially serve as therapeutic targets.

We found that the predominant changes are down-regulation of miRNAs. We validated that the expression of a number of these down-regulated miRNAs, such as miR-101, miR-26a, miR26b, miR-30b, miR-28-5, and miR-363, affects growth of the NK-YS cell line. In addition, they modulated the expression of their predicted target genes, suggesting that these miRNAs are of functional relevance, and their suppression could lead to increased expression of a number of genes implicated in oncogenesis. Indeed, we confirmed, for the first time, that miR-101 directly regulate *STMN1*.

A recent study by Paik et al reported that miRNA-146a is down-regulated in NKTL and may function as a tumor suppressor in NK/T-cell lymphoma.<sup>21</sup> In line with this study, we also detected down-regulation of miR-146a in FFPE NKTL compared with normal NK cells but not between NK cell lines and normal NK cells (see supplemental Tables 4 and 5). Only 2 miRNAs (miR-155 and miR-378) were up-regulated in both NKTL and NK cell lines compared with normal NK cells. Overexpression of miR-155 induced activation of AKT signaling pathway in NK cell lymphoma,<sup>7</sup> whereas overexpression of *miR-378* has been found to enhance cell survival, reduce caspase-3 activity, and promote tumor growth and angiogenesis.<sup>22</sup> In corroboration with data from GEP studies in NKTL, targets of dysregulated miRNA in NKTL are



**Figure 4. Expression of protein targets of deregulated miRNA.** (A) IHC showing overexpression of (i) BLIMP1, (ii) MUM1, and (iii) STATHMIN in the tumor cells. BLIMP1 and MUM1 are expressed in the nuclei, whereas STATHMIN is expressed in the cytoplasm of the neoplastic lymphoid cells. All photographs were taken with a DP20 Olympus camera (Olympus) using an Olympus BX41 microscope (Olympus); images were acquired using DP Controller 2002 (Olympus) and processed using Adobe Photoshop Version 7.0 (Adobe Systems). Original magnifications  $\times 600$ . (B) The percentage of tumor cells staining for the different protein markers are represented in the form of a heat map. The color scale corresponding to the percentage of positive staining cells is appended in the left upper corner. Samples with results represented by white indicate that the stain was not done because of inadequate material. Cases with the highest expression of STATHMIN, MUM1, and BLIMP1 also have the highest expression of MYC. (C) The expression of mRNA corresponding to these proteins was higher in those samples where  $> 10\%$  of tumor cells are staining positive for each protein marker. For BLIMP1 and MUM1, it is statistically significant.

significantly enriched for genes involved in the cell cycle-related pathway, p53 pathway, and MAPK signaling pathway.<sup>1-3</sup> This suggests that oncogenic pathways activated in NKTL may be in part mediated by miRNA dysregulation.

BLIMP1 and MUM1/IRF4, 2 of the up-regulated targets identified in this study with corresponding protein overexpression, are of interest as they have been previously implicated in T- and NK-cell malignancies.<sup>23</sup> Our study reveals that the up-regulation of BLIMP1 and IRF4 in NKTL may be driven by the suppression of their regulating miRNAs. Besides being a master regulator of terminal B-cell differentiation, BLIMP-1 also plays a role in the

later stages of T-cell differentiation.<sup>24,25</sup> Recently, BLIMP1 was shown to be required for NK-cell maturation and for regulating their proliferative potential.<sup>26</sup> We found that BLIMP1 expression is significantly higher and aberrant in a subset of NKTL compared with normal NK cells. Expression of BLIMP1 is also associated with chemoresistance and poorer disease outcome in T-cell malignancies. The multiple myeloma oncogene-1 (MUM1/IRF4) encodes a transcription factor thought to play a central role in the development of lymphoid cells. Besides being expressed in B cells and plasma cells, IRF4 is known to be expressed in activated T-cell malignancies and to regulate T-cell transformation. Expression of

**Table 3. Potential mechanisms of miRNA deregulation in NKTL**

Dysregulated miRNA	Dysregulated in NKTL	Genomic loci	Affected by EBV infection	Host gene	Correlation with host gene expression	Abnormality in genomic loci	aCGH band
hsa-miR-342-5p	-	Chromosome 14		EVL			
hsa-miR-26b	-	Chromosome 2		CTDSP1			
hsa-miR-363	-	Chromosome X					
hsa-miR-150	-	Chromosome 19					
hsa-miR-28-5p	-	Chromosome 3		LPP			
hsa-miR-152	-	Chromosome 17	+	COPZ2	Yes		
hsa-miR-361-3p	-	Chromosome X		CHM			
hsa-miR-22*	-	Chromosome 17		C17orf91			
hsa-miR-340	-	Chromosome 5		RNF130			
hsa-miR-598	-	Chromosome 8		XKR6	Yes		
hsa-miR-181a-2*	-	Chromosome 9		NR6A1			
hsa-miR-132	-	Chromosome 17					
hsa-miR-194	-	Chromosome 1		IARS2			
hsa-miR-768-3p	-	Chromosome 16		AP1G1			
hsa-miR-873	-	Chromosome 9					
hsa-miR-338-3p	-	Chromosome 17		AATK			
hsa-miR-215	-	Chromosome 1		IARS2			
hsa-miR-186	-	Chromosome 1		ZRANB2		-	1p21.3-p31.2
hsa-miR-140-3p	-	Chromosome 16		WWP2			
hsa-miR-140-5p	-	Chromosome 16		WWP2			
hsa-miR-374b	-	Chromosome X		NCRNA00182			
hsa-miR-26a	-	Chromosome 12		CTDSP2			
hsa-let-7g	-	Chromosome 3	-	WDR82			
hsa-miR-342-3p	-	Chromosome 14		EVL			
hsa-miR-101	-	Chromosome 1				-	1p21.3-p31.2
hsa-miR-192	-	Chromosome 11					
hsa-miR-374a	-	Chromosome X		NCRNA00182			
hsa-miR-876-5p	-	Chromosome 9					
hsa-miR-22	-	Chromosome 17		C17orf91			
hsa-miR-10a	-	Chromosome 17					
hsa-miR-590-5p	-	Chromosome 7		EIF4H			
hsa-miR-30b	-	Chromosome 8					
hsa-miR-181c	-	Chromosome 19					
hsa-miR-142-5p	-	Chromosome 17					
hsa-let-7a	-	Chromosome 11	-	LOC399959			
hsa-miR-155	+	Chromosome 21	+	MIR155HG			
hsa-let-7c	-	Chromosome 21	-	C21orf34			
hsa-miR-378	+	Chromosome 5		PPARGC1B	Yes		
hsa-miR-181a	-	Chromosome 1					
hsa-miR-142-3p	-	Chromosome 17					
hsa-miR-15a	-	Chromosome 13		DLEU2			

- indicates down-regulated; +, upregulated; and empty fields, no abnormalities detected.

IRF4 is also associated with inferior overall survival in peripheral T-cell lymphoma, and this association was observed across PTCL subtypes.<sup>27</sup> Recently, MUM1/IRF4 expression in PTCLs, including NKTL, was linked to expression of BLIMP1. PTCL cell lines treated in vitro with the proteasome inhibitor bortezomib down-regulated MUM1/IRF4, an effect dependent on NF- $\kappa$ B inhibition and associated with BLIMP1 down-regulation.<sup>28</sup> Given that IRF4 overexpression is oncogenic in vitro,<sup>29</sup> and because NKTL lacks good treatment options, MUM1/IRF4 might represent a potential therapeutic target in patients with NKTL.

miRNAs are often encoded in fragile sites in the genome, where their expression can be altered by events, such as genomic amplification, loss of heterozygosity, viral integration, or genomic rearrangement.<sup>30</sup> Our analysis revealed that 5% of the deregulated miRNAs in NKTL correlated with their host gene expression and may be deregulated as a result of abnormalities affecting the host genes. However, except for miR-101 and miR-186, which are

located on 1p21.3-p31.2 that has been previously reported to be deleted in NKTL,<sup>17</sup> chromosomal alteration appears to be an unlikely mechanism contributing to the deregulation of miRNAs in NKTL.

EBV infection has been described to regulate the expression of miRNAs in Burkitt lymphoma.<sup>31</sup> Our results reveal the down-regulation of let-7g, let-7a, and let-7c, and up-regulation of miR-155 in both NK cell lines and FFPE NKTL samples. miRNAs let-7g, let-7a, and let-7c have been demonstrated in other studies to be down-regulated by EBV.<sup>19</sup> Similarly, overexpression of miR-155 has been demonstrated in EBV-infected B lymphocytes displaying type III latency,<sup>20</sup> and this is because of EBV gene expression and not epigenetic differences in cell lines tested.<sup>32</sup> It is plausible that EBV may play a role in the dysregulation of these miRNAs in NKTL. Our result is also consistent with the study by Yamanaka et al, which demonstrated that overexpression of miR-155 resulted in the activation of AKT signaling pathway in NK cell lymphoma.<sup>7</sup>



As most of the dysregulated miRNAs are down-regulated, one important mechanism driving changes in miRNA may be MYC activation, which has been shown to repress a large number of miRNAs in tumor development.<sup>18</sup> We have shown previously that MYC is activated in a substantial number of NKTL,<sup>3</sup> and here we show correlation between MYC activation and overexpression of target proteins of down-regulated miRNA, suggesting that MYC activation may be one of the mechanisms in the deregulation of miRNA in NKTL.

In conclusion, our study indicates that the deregulation of miRNAs is of functional relevance, providing an additional mechanism by which some of the oncogenic pathways may be deregulated and hence contribute to the pathogenesis of NKTL. Furthermore, miRNAs may themselves be potential therapeutic targets that can be exploited in the future.<sup>33,34</sup>

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## Authorship

Contribution: S.-B.N. designed experiments, performed IHC and scoring, and wrote the manuscript; J.Y. J.L.-S.T., and J.T. performed miRNA functional studies; G.H. performed bioinformatics analysis; V.S. and B.L. performed experiments; C.B. performed microarray experiments; Y.-L.K., N.S., and K.A. provided cell lines and approved the final manuscript; and W.-J.C. designed experiments, performed analysis, and wrote the manuscript.

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# Novel Mouse Xenograft Models Reveal a Critical Role of CD4<sup>+</sup> T Cells in the Proliferation of EBV-Infected T and NK Cells

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## Abstract

Epstein-Barr virus (EBV), a ubiquitous B-lymphotropic herpesvirus, ectopically infects T or NK cells to cause severe diseases of unknown pathogenesis, including chronic active EBV infection (CAEBV) and EBV-associated hemophagocytic lymphohistiocytosis (EBV-HLH). We developed xenograft models of CAEBV and EBV-HLH by transplanting patients' PBMC to immunodeficient mice of the NOD/Shi-*scid*/IL-2R $\gamma^{null}$  strain. In these models, EBV-infected T, NK, or B cells proliferated systemically and reproduced histological characteristics of the two diseases. Analysis of the TCR repertoire expression revealed that identical predominant EBV-infected T-cell clones proliferated in patients and corresponding mice transplanted with their PBMC. Expression of the EBV nuclear antigen 1 (EBNA1), the latent membrane protein 1 (LMP1), and LMP2, but not EBNA2, in the engrafted cells is consistent with the latency II program of EBV gene expression known in CAEBV. High levels of human cytokines, including IL-8, IFN- $\gamma$ , and RANTES, were detected in the peripheral blood of the model mice, mirroring hypercytokinemia characteristic to both CAEBV and EBV-HLH. Transplantation of individual immunophenotypic subsets isolated from patients' PBMC as well as that of various combinations of these subsets revealed a critical role of CD4<sup>+</sup> T cells in the engraftment of EBV-infected T and NK cells. In accordance with this finding, *in vivo* depletion of CD4<sup>+</sup> T cells by the administration of the OKT4 antibody following transplantation of PBMC prevented the engraftment of EBV-infected T and NK cells. This is the first report of animal models of CAEBV and EBV-HLH that are expected to be useful tools in the development of novel therapeutic strategies for the treatment of the diseases.

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## Introduction

Epstein-Barr virus (EBV) is a ubiquitous  $\gamma$ -herpesvirus that infects more than 90% of the adult population in the world. EBV is occasionally involved in the pathogenesis of malignant tumors, such as Burkitt lymphoma, Hodgkin lymphoma, and nasopharyngeal carcinoma, along with the post-transplantation lymphoproliferative disorders in immunocompromised hosts. Although EBV infection is asymptomatic in most immunologically competent hosts, it sometimes causes infectious mononucleosis (IM), when primarily infecting adolescents and young adults [1]. EBV infects human B cells efficiently *in vitro* and transform them into lymphoblastoid cell lines (LCLs) [2]. Experimental infection of T

and NK cells, in contrast, is practically impossible except in limited conditions [3,4]. Nevertheless, EBV has been consistently demonstrated in T or NK cells proliferating monoclonally or oligoclonally in a group of diseases including chronic active EBV infection (CAEBV) and EBV-associated hemophagocytic lymphohistiocytosis (EBV-HLH) [5,6,7,8,9,10]. CAEBV, largely overlapping the systemic EBV<sup>+</sup> T-cell lymphoproliferative diseases of childhood defined in the WHO classification of lymphomas [11], is characterized by prolonged or relapsing IM-like symptoms, unusual patterns of antibody responses to EBV, and elevated EBV DNA load in the peripheral blood [12,13,14]. CAEBV has a chronic time course with generally poor prognosis; without a proper treatment by hematopoietic stem cell transplantation, the

## Author Summary

Epstein-Barr virus (EBV) is a ubiquitous human herpesvirus that infects more than 90% of the adult human population in the world. EBV usually infects B lymphocytes and does not produce symptoms in infected individuals, but in rare occasions it infects T or NK lymphocytes and causes severe diseases such as chronic active EBV infection (CAEBV) and EBV-associated hemophagocytic lymphohistiocytosis (EBV-HLH). We developed mouse models of these two human diseases in which EBV-infected T or NK lymphocytes proliferate in mouse tissues and reproduce human pathologic conditions such as overproduction of small proteins called "cytokines" that produce inflammatory responses in the body. These mouse models are thought to be very useful for the elucidation of the pathogenesis of CAEBV and EBV-HLH as well as for the development of therapeutic strategies for the treatment of these diseases. Experiments with the models demonstrated that a subset of lymphocytes called CD4-positive lymphocytes are essential for the proliferation of EBV-infected T and NK cells. This result implies that removal of CD4-positive lymphocytes or suppression of their functions may be an effective strategy for the treatment of CAEBV and EBV-HLH.

majority of cases eventually develop malignant lymphoma of T or NK lineages, multi-organ failure, or other life-threatening conditions. Monoclonal or oligoclonal proliferation of EBV-infected T and NK cells, an essential feature of CAEBV, implies its malignant nature, but other characteristics of CAEBV do not necessarily support this notion. For example, EBV-infected T or NK cells freshly isolated from CAEBV patients, as well as established cell lines derived from them, do not have morphological atypia and do not engraft either in nude mice or *scid* mice (Shimizu, N., unpublished results). Clinically, CAEBV has a chronic time course and patients may live for many years without progression of the disease [15]. Although patients with CAEBV do not show signs of explicit immunodeficiency, some of them present a deficiency in NK-cell activity or in EBV-specific T-cell responses, implying a role for subtle immunodeficiency in its pathogenesis [16,17,18].

EBV-HLH is the most common and the severest type of virus-associated HLH and, similar to CAEBV, characterized by monoclonal or oligoclonal proliferation of EBV-infected T (most often CD8<sup>+</sup> T) cells [5,6]. Clinical features of EBV-HLH include high fever, pancytopenia, coagulation abnormalities, hepatosplenomegaly, liver dysfunction, and hemophagocytosis [19]. Overproduction of cytokines by EBV-infected T cells as well as by activated macrophages and T cells reacting to EBV is thought to play a central role in the pathogenesis [20]. Although EBV-HLH is an aggressive disease requiring intensive clinical interventions, it may be cured, in contrast to CAEBV, by proper treatment with immunomodulating drugs [21]. No appropriate animal models have been so far developed for either CAEBV or EBV-HLH.

NOD/Shi-*scid*/IL-2R $\gamma$ <sup>null</sup> (referred here as NOG) is a highly immunodeficient mouse strain totally lacking T, B, and NK lymphocytes, and transplantation of human hematopoietic stem cells to NOG mice results in reconstitution of human immune system components, including T, B, NK cells, dendritic cells, and macrophages [22,23]. These so called humanized mice have been utilized as animal models for the infection of certain human viruses targeting the hemato-immune system, including human immunodeficiency virus 1 (HIV-1) and EBV [24,25,26,27,28,29,30]. Xeno-

transplantation of human tumor cells to NOG mice also provided model systems for several hematologic malignancies [31,32,33]. To facilitate investigations on the pathogenesis of CAEBV and EBV-HLH and assist the development of novel therapeutic strategies, we generated mouse models of these two EBV-associated diseases by transplanting NOG mice with PBMC isolated from patients with the diseases. In these models, EBV-infected T, NK, or B cells engrafted in NOG mice and reproduced lymphoproliferative disorder similar to either CAEBV or EBV-HLH. Further experiments with the models revealed a critical role of CD4<sup>+</sup> T cells in the *in vivo* proliferation of EBV-infected T and NK cells.

## Results

### Engraftment of EBV-infected T and NK cells in NOG mice following xenotransplantation with PBMC of CAEBV patients

Depending on the immunophenotypic subset in which EBV causes lymphoproliferation, CAEBV is classified into the T-cell and NK-cell types, with the former being further divided into the CD4, CD8, and  $\gamma\delta$ T types. The nine patients with CAEBV examined in this study are characterized in Table 1 and include all these four types. Intravenous injection of 1–4 $\times$ 10<sup>6</sup> PBMC isolated from these nine patients resulted in successful engraftment of EBV-infected T or NK cells in NOG mice in a reproducible manner (Table 1). The results with the patient 1 (CD4 type), patient 3 (CD8 type), patient 5 ( $\gamma\delta$ T type), and patient 9 (NK type) are shown in Figure 1. Seven to nine weeks post-transplantation, EBV DNA was detected in the peripheral blood of recipient mice and reached the levels of 10<sup>5</sup>–10<sup>8</sup> copies/ $\mu$ g DNA (Figure 1A). By contrast, no engraftment of EBV-infected cells was observed when immunophenotypic fractions containing EBV DNA were isolated from PBMC and injected to NOG mice (Figure 1A and Table 2). An exception was the CD4<sup>+</sup> T-cell fraction isolated from patients with the CD4 type CAEBV, that reproducibly engrafted when transplanted without other components of PBMC (Figure 1A, Table 2). Flow cytometry revealed that the major population of engrafted cells was either CD4<sup>+</sup>, CD8<sup>+</sup>, TCR $\gamma\delta$  or CD16<sup>+</sup>CD56<sup>+</sup>, depending on the type of the donor CAEBV patient (Figure 1B). EBV-infected cells of identical immunophenotypes were found in the patients and the corresponding mice that received their respective PBMC (Figure 1B). Although human cells of multiple immunophenotypes were present in most recipient mice, fractionation by magnetic beads-conjugated antibodies and subsequent real-time PCR analysis detected EBV DNA only in the predominant immunophenotypes that contained EBV DNA in the original patients (Figure 1B, Table 1). The EBV DNA load observed in individual lymphocyte subsets in the patient 3 and a mouse that received her PBMC is shown as supporting data (Table S1). When PBMC from three healthy EBV-carriers were injected intravenously to NOG mice, as controls, no EBV DNA was detected from either the peripheral blood, spleen, or liver (data not shown). Histological analyses of the spleen and the liver of these control mice identified no EBV-encoded small RNA (EBER)-positive cells, although some CD3-positive human T cells were observed (Figure S2). Analysis of TCR V $\beta$  repertoire demonstrated an identical predominant T-cell clone in patients (patients 1 and 3) and the corresponding mice that received their PBMC (Figure 1C). The general condition of most recipient mice deteriorated gradually in the observation period of eight to twelve weeks, with loss of body weight (Figure S1), ruffled hair, and inactivity.

NOG mice engrafted with EBV-infected T or NK cells were sacrificed for pathological and virological analyses between eight

**Table 1.** Patients with EBV-T/NK LPD and the results of xenotransplantation of their PBMC to NOG mice.

Patient number	Diagnosis	Sex	Age	Type of infected cells	<sup>1</sup> EBV DNA load in the patients	<sup>2</sup> Engrafted cells in mice	<sup>3</sup> Engraftment	<sup>1</sup> EBV DNA load in mice
1	CAEBV	F	25	CD4	9.2×10 <sup>5</sup>	<u>CD4</u> , CD8	3/3	1.0~3.8×10 <sup>7</sup>
2	CAEBV	M	46	CD4	1.3~7.2×10 <sup>5</sup>	<u>CD4</u> , CD8	2/2, 3/3	2.6~10×10 <sup>5</sup>
3	CAEBV	F	35	CD8	2.1~78×10 <sup>5</sup>	<u>CD8</u> , CD4	2/2, 2/2	1.1~33×10 <sup>6</sup>
4	CAEBV	M	28	CD8	8.2×10 <sup>5</sup>	<u>CD8</u> , CD4	3/3	1.1~2.5×10 <sup>6</sup>
5	CAEBV	M	10	γδT	2.2×10 <sup>6</sup>	<u>γδT</u> , CD4, CD8	2/2	3.8~6.5×10 <sup>6</sup>
6	CAEBV	F	15	γδT	6.2×10 <sup>5</sup>	<u>γδT</u> , CD4, CD8	2/2	2.2~11×10 <sup>5</sup>
7	CAEBV	M	13	NK	1.1~6.7×10 <sup>5</sup>	<u>NK</u> , CD4, CD8	2/2, 2/2	0.6~15×10 <sup>4</sup>
8	CAEBV	F	13	NK	6.3×10 <sup>6</sup>	<u>NK</u> , CD4, CD8	3/3, 2/2	0.8~1.9×10 <sup>5</sup>
9	CAEBV	M	8	NK	1.2~8.7×10 <sup>5</sup>	<u>NK</u> , CD4, CD8	2/2, 3/3	1.8~7.2×10 <sup>5</sup>
10	EBV-HLH	M	10	CD8	2.8~38×10 <sup>4</sup>	<u>CD8</u> , CD4	2/2, 2/2	6.5~9.9×10 <sup>4</sup>
11	EBV-HLH	M	50	CD8	6.2×10 <sup>5</sup>	<u>CD8</u> , CD4	4/4	7.0~45×10 <sup>4</sup>
12	EBV-HLH	M	1	CD8	3.1×10 <sup>5</sup>	<u>CD8</u> , CD4	2/2	6.0~9.1×10 <sup>4</sup>
13	EBV-HLH	M	64	CD8	3.2~3.9×10 <sup>5</sup>	<u>CD8</u> , CD4	2/2, 2/2	5.0~30×10 <sup>5</sup>

<sup>1</sup>EBV DNA copies/μg DNA in the peripheral blood.

<sup>2</sup>EBV DNA was detected only in the cells of the underlined subsets.

<sup>3</sup>Number of mice with successful engraftment per number of recipient mice is shown for each experiment.

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and twelve weeks post-transplantation. On autopsy, the majority of mice presented with splenomegaly, with slight hepatomegaly in occasional cases (Figure 2A). Histopathological findings obtained from a representative mouse (recipient of PBMC from the patient 3 (CD8 type)) are shown in Figure 2B and reveal infiltration of human CD3<sup>+</sup>CD20<sup>-</sup> cells to major organs, including the spleen, liver, lungs, kidneys, and small intestine. These cells were positive for both EBER and human CD45RO, indicating that they are EBV-infected human T cells (Figure 2B). In contrast, no EBV-infected T cells were found in mice transplanted with PBMC isolated from a normal EBV carrier (Figure S2). Histopathology of a control NOG mouse is shown in Figure S2. Morphologically, EBV-infected cells are relatively small and do not have marked atypia. The infiltration pattern was leukemic and identical with chronic active EBV infection in children [34]. The architecture of the organs was well preserved in spite of marked lymphoid infiltration. The spleen showed marked expansion of periarterial lymphatic sheath owing to lymphocytic infiltration. In the liver, a dense lymphocytic infiltration was observed in the portal area and in the sinusoid. The lung showed a picture of interstitial pneumonitis and the lymphocytes often formed nodular aggregations around bronchioles and arteries. In the kidney, dense lymphocytic infiltration caused interstitial nephritis. In the small intestine, mild lymphoid infiltration was seen in mucosa. Quantification of EBV DNA in the spleen, liver, lymph nodes, lungs, kidneys, adrenals, and small intestine of this mouse revealed EBV DNA at the levels of 1.5–5.1×10<sup>7</sup> copies/μg DNA. Mice transplanted with PBMC derived from CAEBV of other types exhibited similar infiltration of EBV-infected T or NK cells to the spleen, liver, and other organs (Figure 2C and data not shown).

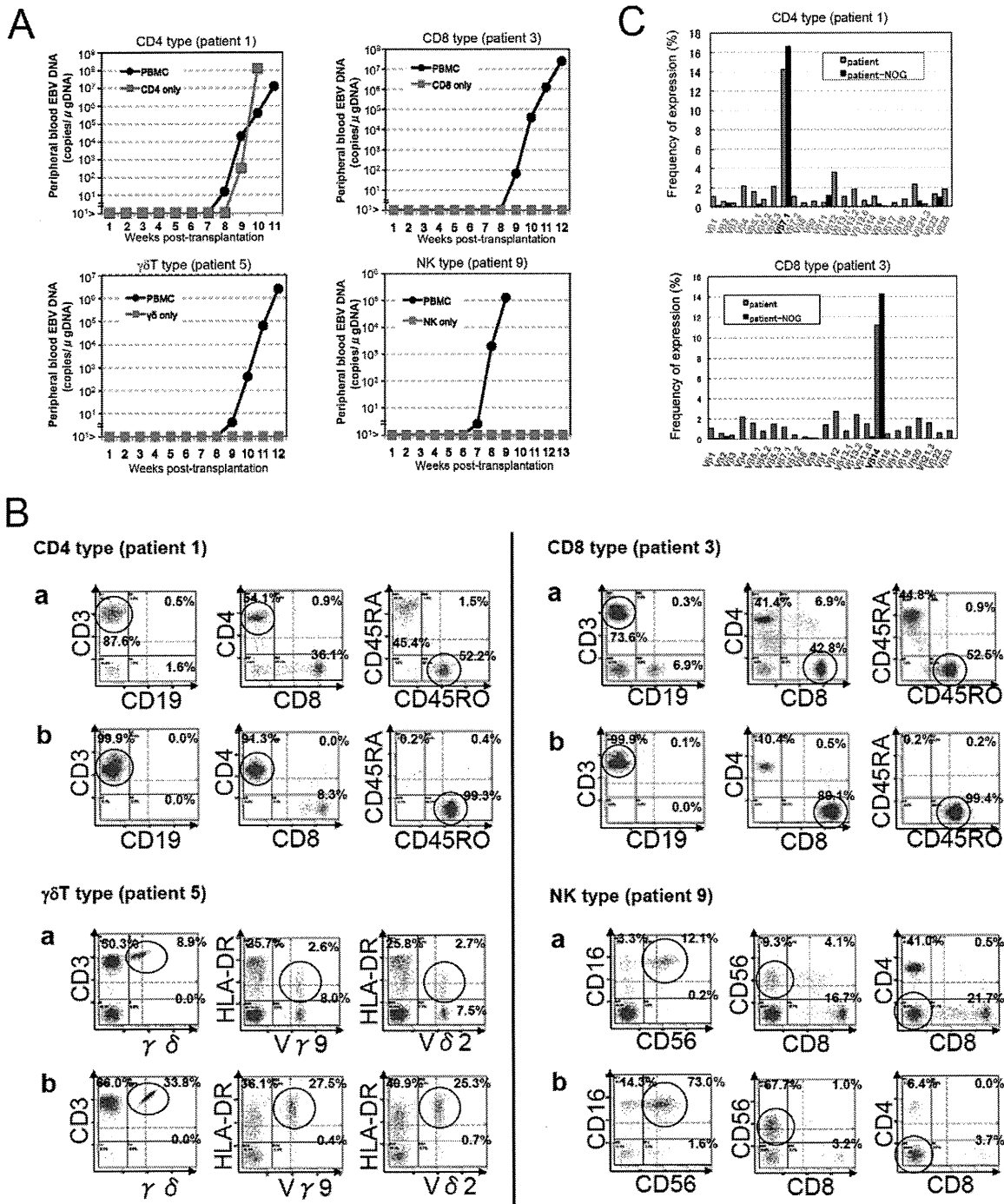
### EBV-infected T- and NK-cell lines established from CAEBV patients do not engraft in NOG mice

We established EBV-positive cell lines of CD4<sup>+</sup> T, CD8<sup>+</sup> T, γδT, and CD56<sup>+</sup> NK lineages from PBMC of the patients listed in Table 1 by the method described previously [35], and confirmed by flow cytometry that the surface phenotypes of EBV-infected cells in the original patients were retained in these cell lines (data

not shown). To test whether these cell lines engraft in NOG mice, 1–4×10<sup>6</sup> cells were injected intravenously to NOG mice. The results are shown in Figure 3A and indicate that CAEBV-derived cell lines of the CD8<sup>+</sup> T, γδT, and CD56<sup>+</sup> NK phenotypes do not engraft in NOG mice. Neither human CD45-positive cells nor EBV DNA were detected in the peripheral blood of the mice up to twelve weeks post-transplantation. When the recipient mice were sacrificed at twelve weeks post-injection, no EBV DNA could be detected in the spleen, liver, bone marrow, mesenteric lymph nodes, and kidneys. In contrast, the CD4<sup>+</sup> T cell lines derived from the CD4-type patients 1 and 2 engrafted in NOG mice and induced T lymphoproliferation similar to that induced by PBMC isolated freshly from these patients (Figure 3A and data not shown). These results, together with the results of transplantation with EBV-containing subsets of PBMC, indicate that EBV-infected T and NK cells, with the exception of those of the CD4<sup>+</sup> subset, are not able to engraft in NOG mice, when they are separated from other components of PBMC, suggesting that some components of PBMC are essential for the outgrowth EBV-infected T and NK cells in NOG mice.

### Engraftment of EBV-infected T and NK cells in NOG mice requires CD4<sup>+</sup> T cells

To identify the cellular component required for the engraftment of EBV-infected T and NK cells in NOG mice, we transplanted PBMC of CAEBV patients after removing individual immunophenotypic subsets by magnetic beads-conjugated antibodies. The results are shown in Figure 3B and summarized in Table 2. With respect to the patients 3 and 4, in whom CD8<sup>+</sup> T cells are infected with EBV, removal of CD8<sup>+</sup> cells from PBMC, as expected, resulted in the failure of engraftment, whereas elimination of CD19<sup>+</sup>, CD56<sup>+</sup>, or CD14<sup>+</sup> cells did not affect engraftment. Importantly, elimination of CD4<sup>+</sup> cell fraction, that did not contain EBV DNA, resulted in the failure of engraftment of EBV-infected T cells (Figure 3B and data not shown). In the experiments with the patients 5 and 6, in whom γδT cells were infected, removal CD4<sup>+</sup> cells that did not contain EBV DNA, as well as that of γδT cells, resulted in the failure of engraftment.



**Figure 1. Engraftment of EBV-infected T or NK cells in NOG mice following transplantation with PBMC of patients with CAEBV.** A. Measurement of EBV DNA levels. PBMC obtained from the CAEBV patients 1 (CD4 type), 3 (CD8 type), 5 ( $\gamma\delta$ T type), and 9 (NK type) were injected intravenously to NOG mice and EBV DNA load in their peripheral blood was measured weekly by real-time PCR. The results of transplantation with whole PBMC or with isolated EBV DNA-containing cell fraction are shown. B. Flow-cytometric analysis on the expression of surface markers in the peripheral blood lymphocytes of patients (a) with CAEBV and NOG mice (b) that received PBMC from them. Human lymphocytes gated by the pattern of side scatter and human CD45 expression were further analyzed for the expression of various surface markers indicated in the figures. The results from the patients 1, 3, 5, and 9, and the corresponding mice that received their respective PBMC are shown. Circles indicate the fractions that contained EBV DNA. C. Analysis on the expression of TCR V $\beta$  repertoire. Peripheral blood lymphocytes obtained from the patients 1 (CD4 type) and 3 (CD8 type), and from the corresponding mice that received their respective PBMC were analyzed for the expression of V $\beta$  alleles. The percentages of T cells expressing each V $\beta$  allele are shown for the patients (grey bars) and the mice (black bars). doi:10.1371/journal.ppat.1002326.g001

Removal of CD8<sup>+</sup>, CD14<sup>+</sup>, CD19<sup>+</sup>, or CD56<sup>+</sup> cells did not have an influence on the engraftment (Figure 3B and data not shown). Regarding the patients 8 and 9 in whom EBV resided in CD56<sup>+</sup>

NK cells, removal of CD4<sup>+</sup> as well as CD56<sup>+</sup> cells resulted in the failure of engraftment, whereas that of CD8<sup>+</sup>, CD19<sup>+</sup>, or CD14<sup>+</sup> cells did not affect engraftment (Figure 3B and data not shown). In



**Table 2.** Results of xenotransplantation with subsets of PBMC obtained from CAEBV patients.

Number of patient	Diagnosis	Phenotype of infected cells	Cell fraction transplanted	Number of transplanted cells	Engraftment
1	CAEBV	CD4	PBMC	2×10 <sup>6</sup>	+
			CD4	2×10 <sup>6</sup>	+
			PBMC-CD4	3×10 <sup>6</sup>	–
			PBMC-CD8	2×10 <sup>6</sup>	+
			PBMC-CD56	2×10 <sup>6</sup>	+
			PBMC-CD14	2×10 <sup>6</sup>	+
			PBMC-CD19	2×10 <sup>6</sup>	+
3	CAEBV	CD8	PBMC	2×10 <sup>6</sup>	+
			CD8	3×10 <sup>6</sup>	–
			PBMC-CD4	3×10 <sup>6</sup>	–
			PBMC-CD8	3×10 <sup>6</sup>	–
			PBMC-CD56	2×10 <sup>6</sup>	+
			PBMC-CD14	2×10 <sup>6</sup>	+
			PBMC-CD19	2×10 <sup>6</sup>	+
5	CAEBV	γδT	PBMC	2×10 <sup>6</sup>	+
			γδT	3×10 <sup>6</sup>	–
			PBMC-CD4	3×10 <sup>6</sup>	–
			PBMC-γδT	3×10 <sup>6</sup>	–
			PBMC-CD8	3×10 <sup>6</sup>	+
			PBMC-CD56	3×10 <sup>6</sup>	+
			PBMC-CD14	3×10 <sup>6</sup>	+
9	CAEBV	NK	PBMC	2×10 <sup>6</sup>	+
			NK	3×10 <sup>6</sup>	–
			PBMC-CD4	3×10 <sup>6</sup>	–
			PBMC-CD8	3×10 <sup>6</sup>	+
			PBMC-CD56	3×10 <sup>6</sup>	–
			PBMC-CD14	3×10 <sup>6</sup>	+
			PBMC-CD19	3×10 <sup>6</sup>	+
11	EBV-HLH	CD8	PBMC	2×10 <sup>6</sup>	+
			PBMC-CD4	4×10 <sup>6</sup>	–

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the patients 1 and 2, in whom CD4<sup>+</sup> T cells were infected, only the removal of CD4<sup>+</sup> cells blocked the engraftment of EBV-infected cells and depletion of either CD8<sup>+</sup>, CD19<sup>+</sup>, or CD14<sup>+</sup> cells had no effect (Figure 3B and data not shown). These results suggested that EBV-infected cells of the CD8<sup>+</sup>, γδT, and CD56<sup>+</sup> lineages require CD4<sup>+</sup> cells for their engraftment in NOG mice. To confirm this interpretation, we performed complementation experiments, in which EBV-containing fractions of the CD8<sup>+</sup> (patient 4), γδT (patient 5), or CD56<sup>+</sup> (patient 7) phenotypes were transplanted together with autologous CD4<sup>+</sup> cells. The results are shown in Figure 3A and indicate that EBV-infected CD8<sup>+</sup>, γδT, or CD56<sup>+</sup> cells engraft in NOG mice when transplanted together with CD4<sup>+</sup> cells. Similarly, when EBV-infected cell lines of the CD8<sup>+</sup>, γδT, and CD16<sup>+</sup> lineages were injected intravenously to NOG mice together with autologous CD4<sup>+</sup> cells, these cell lines engrafted to the mice (Figure 3A). Finally, to further confirm the essential role of CD4<sup>+</sup> cells, we examined the effect of the OKT-4 antibody that depletes CD4<sup>+</sup> cells in vivo [24]. PBMC isolated from the CAEBV patient 3 (CD8 type) and the patient 8 (NK type) were injected

intravenously to NOG mice and OKT-4 was administered intravenously for four consecutive days starting from the day of transplantation. The results are shown in Figure 4 and indicate that OKT-4 can strongly suppress the engraftment of EBV-infected T and NK cells. In the mice treated with OKT-4, no splenomegaly was observed and EBV DNA was not detected either in the peripheral blood, spleen, liver, or lungs at eight weeks post-transplantation.

**Analysis on the EBV gene expression associated with T or NK lymphoproliferation in NOG mice**

Previous analysis of EBV gene expression in patients with CAEBV revealed the expression of EBNA1, LMP1, and LMP2A with the involvement of the Q promoter in the EBNA genes transcription and no expression of EBNA2, being consistent with the latency II type of EBV gene expression [36,37,38]. To test whether EBV-infected T and NK cells that proliferate in NOG mice retain this type of viral gene expression, we performed RT-PCR analysis in the spleen and the liver of mice that received