Fig. 6 mRNA expression levels of osteoclast related genes. X-axes represent days of culture, and y-axes represent expression levels relative to Ti group of the first time point. Data are shown as the mean  $\pm$  SD (n=6). \*Statistically different between HA and Ti groups (P < 0.05)

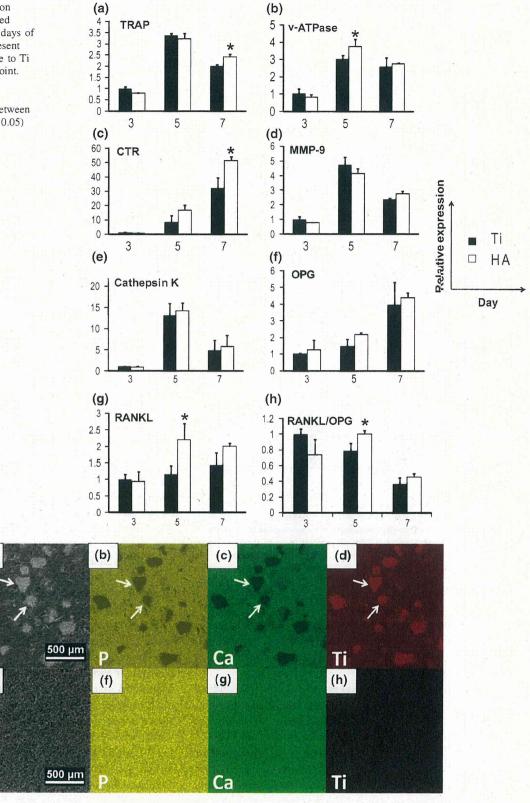


Fig. 7 SEM micrographs of HA coating (a, e) and corresponding X-ray elemental maps for P in yellow (b, f), Ca in green (c, g) and Ti in red (d, h). Osteoclast culture was performed on the HA coated Ti

(a)

(e)

plates for 7 days and the resorption areas were indicated by *arrows* (a-d). The HA coated Ti plates with no cells were soaked in the same culture medium for 7 days as control (e-h)



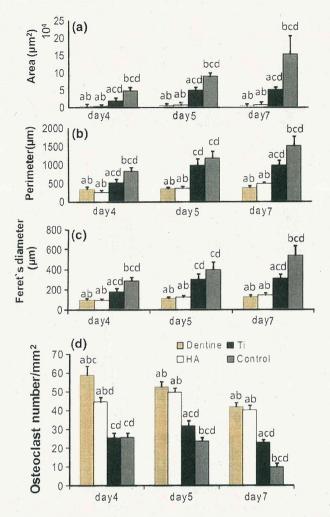


Fig. 5 Osteoclast morphometry. The area (a), perimeter (b) and Feret's diameter (c) (Feret's diameter is the longest distance between any two points along the osteoclast surface) were quantified using an image analyzer (Image J). TRAP positive cells with three or more nuclei were counted (d). Each value is represented as the mean  $\pm$  SD (n=6). Statistically different (P<0.01): a compared with control, b compared with Ti, c compared with HA and d compared with dentine

MMP-9 mRNA expression. These results suggest that the sputtered HA surface stimulated osteoclast formation and function by controlling the mRNA transcription that regulates osteoclast.

We also examined the expressions of RANKL and its decoy receptor OPG. The RANKL/OPG ratio plays a crucial role in coordinating the sequence of osteoclast differentiation during the bone remodeling cycle [24]. In the present study, OPG mRNA level was slightly increased on HA than on Ti although the difference was not significant and the level of RANKL mRNA on HA was significantly elevated at day 5, which resulted in an apparent increase in RANKL/OPG ratio favorable for osteoclast

formation. A few studies have addressed the effect of implant microtopography on osteoclast formation and activity. Lossdörfer S et al. cultured MG63 cells on titanium disks with different surface roughness, and found that OPG mRNA levels increased on rougher surfaces while RANKL expression was independent of surface microtopography [25]. We observed a higher RANKL/OPG ratio on the sputtered HA than on Ti in the present study. It is probably due to the difference of chemical composition between HA and Ti. Our results for the first time suggested that the sputtered HA surface might stimulate osteoclast formation by up-regulation of RANKL expression from osteoblasts in the co- culture system.

Another explanation of our findings is that HA has the ability to adsorb and release proteins because it has multiple potential protein binding sites and a larger specific surface area compared to other calcium phosphates. The HA film has an adsorption site at the a-plane area ( $Ca^{2+}$ ) and at the c-plane area (PO<sub>4</sub>-, OH-), which can attract acidic proteins with negative sites (COO-) and basic proteins with positive sites (NH<sub>2</sub><sup>+</sup>), respectively [26]. The previous study has reported that the surface area of the sputtered HA film was increased by the hydrothermal treatment, owing to the growth of HA crystals in the c-axis direction [27]. The adsorption amount of proteins is proportionate to the surface area of HA, and the release rate of proteins depends on HA resorption [28]. Additionally, osteoclast adhesion and migration are regulated by  $\alpha_1 \beta_3$ integrin which binds to a variety of extracellular matrix proteins including vitronectin, osteopontin and bone sialoprotein [29], and osteoprogenitor cells and osteoblasts also express a wide panel of integrins, which regulate osteoblast function and mineralization [30, 31]. In the present study, the sputtered HA may promote the osteoblast and osteoclast formation and function through the integrin mediated signaling pathway as a result of recruitment of extracellular matrix proteins onto the coating. Further studies are needed to elucidate the mechanism in detail from this point of view.

Recently, several studies suggested that osteoclast, besides the resorptive activity, may have an anabolic effect on bone formation. Zhao et al. reported that the cell surface molecule ephrinB2 present on the osteoclasts mediated anabolic signals to the osteoblasts [32]. In further support of the role of osteoclast in bone formation, Karsdal et al. showed that ostoeclasts secreted non-bone derived factors which induce preosteoblasts to form bone-like nodules [33]. Thereby, these findings indicate the importance of the presence of osteoclasts for induction of bone formation. Better understanding of how osteoclasts respond to different implant surfaces may help contribute to the development of implants that improve osseointergration.



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## Implantation of green tea catechin $\alpha$ -tricalcium phosphate combination enhances bone repair in rat skull defects

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Abstract: The purpose of the present study is to investigate effects of the combination of epigallocatechin-3-gallate (EGCG) and  $\alpha$ -tricalcium phosphate ( $\alpha$ -TCP) on bone regenerative capacity in a bilateral rat calvarial bone defect model. Materials and methods: Bilateral 5-mm-diameter calvarial defects were created in adult male Wistar rats and filled with preparations of EGCG (0, 0.1, 0.2, 0.4 mg) combined with  $\alpha$ -TCP particles. This was done by dissolving EGCG in 100% ethanol (50  $\mu$ L/14 mg) and dropping under sterile condition. The control group was left unfilled (n=8). The animals were sacrificed at 2 and 4 weeks. Radiological images were taken, and histological analysis was done. Six animals from control (0 mg EGCG +  $\alpha$ -TCP) group and (0.2 mg EGCG+  $\alpha$ -TCP) group were labeled with fluorescent dyes and histomorphometrically analyzed (n=6) at 2 and 4 weeks. Results: Histo-

morphometric analysis revealed that the combination of EGCG and  $\alpha$ -TCP at doses of 0.1 and 0.2 mg yielded significantly more new bone formation than untreated control group at 2 and 4 weeks (p < 0.05). Mineral apposition rate at 0.2-TCP group was enhanced compared with the one of the positive control  $\alpha$ -TCP group at 4 weeks (p < 0.05). Conclusion: The combination of  $\alpha$ -TCP particles and 0.2 mg EGCG stimulates maximum bone regeneration in rat calvarial defects, and this combination would be potentially effective as bone graft material. © 2011 Wiley Periodicals, Inc. J Biomed Mater Res Part B: Appl Biomater 98B: 263–271, 2011.

Key Words: green tea, epigallocatechin-3-gallate,  $\alpha$ -TCP, rat calvarial bone defect, bone formation

### INTRODUCTION

Osteoporosis is a skeletal disease characterized by low bone mass and microarchitectural deterioration of bone tissue with a consequent increase in bone fragility and susceptibility to fracture. 1Recent research suggests that green tea aids in optimizing bone health.2-4 Epigallocatechin-3-gallate (EGCG), the most abundant and biologically active catechin in green tea, has anti-inflammatory and anticancer properties, the ability to reduce serum lipid and blood pressure and to modulate immune response. 1,5 Additionally, EGCG was found to induce apoptotic cell death of osteoclast-like multinucleated cells<sup>6,7</sup> and ameliorated experimentally induced arthritis in mice.8 Such pharmacological effects of catechins may be useful for prophylaxis or treatment of inflammatory bone disease. Recent in vitro studies show that EGCG increases bone mineral nodules in cell lines. 9,10 However, there has been no study that investigates the effect of the bone regenerative capacity of EGCG in vivo.

On the other hand, alpha-tricalcium phosphate ( $\alpha$ -TCP) is bioactive and degradable material, which is potentially useful as a bone substitute.  $^{9-11}$  We have demonstrated that optimum degradation rate and its capacity for space maintenance in addition to its osteoconductive properties make  $\alpha$ -TCP an ideal scaffold for bone regeneration.  $^{10,11}$  Thus, the objective of this study is to investigate whether the combination of EGCG and  $\alpha$ -TCP would promote bone regeneration in a rat calvarial defect model.

### MATERIALS AND METHODS

### Preparation of α-TCP and EGCG combination

 $\alpha$ -TCP particles (diameter of 500–710  $\mu$ m) were kindly supplied from Advance Co. (Tokyo, Japan).  $\alpha$ -TCP particles and EGCG (Tokyo Chemical Industry Co.) were combined in the following manner. The latter was dissolved in 100% ethanol, and the solution was dropped onto the  $\alpha$ -TCP particles under sterile conditions at a concentration of 50 uL/14 mg and completely dried out in a sterile hood. Uniform

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distribution of the EGCG into the material was achieved because of the porous nature of the  $\alpha$ -TCP particles. Most likely maximum amounts entered through the porosities leaving only minimal amount on the surface of the particles.

 $\alpha$ -TCP particles containing the following doses of EGCG were prepared: 0, 0.1, 0.2, and 0.4 mg per 14 mg  $\alpha$ -TCP particles. The selection of this range of concentration was based on our previous pilot study. The loading efficacy was determined by dividing the released amount of EGCG by the initial loaded EGCG concentration multiplied by 100%.

### EGCG release from α-TCP

The release of EGCG was measured using a UV-Visible spectrophotometer, Nanodrop, ND-1000 (Nanodrop Technologies, Wilmington, USA). The absorbance was measured at 238 nm, and working curve for calculation of EGCG concentrations was established from the absorbance values of six EGCG standard solutions. The samples were placed in 500 uL of 0.1M tris buffer solution (pH 7.4) and positioned in an Taitec Personal 11 Shaker (Taitec Corp., Tokyo, Japan) set at 100 rpm and 37°C. The amount of EGCG released into the solution was measured 24 hr after the initial immersion, then every day for 14 days. The percentage of released EGCG at each time point was finally calculated.

### Surgical procedures

This study was approved by the institutional committee for animal experiments. Fifty eight adult Wistar rats (18-weeks old) were used. The animals were anesthetized with a combination of ketamine-xylazine (40 and 5mg/kg). The dorsal aspect of the cranium was shaved and prepared aseptically for surgery. A 20-mm-long incision on the scalp was made along the sagittal suture. Skin, subcutaneous tissue, and periosteum were reflected to expose the parietal bones. Two full-thickness bone defects of 5-mm diameter were created in the dorsal part of the parietal bone lateral to the sagittal suture.

A 5-mm trephine bur was used to create the defects. To prevent overheating of the bone edges, copious irrigation with saline was done. Care was taken during the surgical procedure to prevent damage to duramater, which was achieved in all the defects by intermittent drilling technique. After TCP particles were filled in the defects, the periosteum was carefully repositioned and sutured so that TCP particles were stabilized in the defects by pressure from periosteum. In 14 animals, both defects were left untreated to serve as negative control. In 44 animals, both defects were filled with 14 mg of a-TCP or a-TCP combined with 0.1-, 0.2-, or 0.4-mg EGCG, designated as TCP-0, TCP-0.1, TCP-0.2, or TCP-0.4 group, respectively (n = 8 for each group). The periosteum and subcutaneous tissues were sutured in place using 4-0 Vicryl polygalactin suture (Ethicon, NJ) and the scalp with 4-0 silk (ELP Akiyma Co., Tokyo, Japan). For bone histomorphometrical analysis, 18 animals were injected with calcein (0.03 g/100 g body weight) and tetracycline (0.09 g/100 g body weight) intraperitoneally, 7 days and 1 day before sacrifice, respectively. Thus, three animals of each of control, TCP-0, TCP-0.2 group (n=6) in 2-week and 4-week groups were fluorescently labeled.

### Tissue harvest and radiological analyses

Animals were sacrificed 2 and 4 weeks after surgery. The skin was dissected, and the defect sites along with surrounding bone and soft tissue were removed. Then, X-ray imaging was performed by a micro-CT scanner (InspeXio; Shimadzu Science East Corporation, Tokyo, Japan) with a voxel size of 50  $\mu m/pixel$ . Tri/3D-Bon software (RATOC System Engineering Co., Tokyo, Japan) was used to make a 3D reconstruction from the obtained set of scans.

### Histological evaluation

After the radiological analyses, the specimens were fixed in 10% neutralized formalin for 1 week. The specimens from the animals that had not received vital labeling were decalcified in 5% formic acid for 2 weeks and then embedded in paraffin. Before embedding the samples, an incision was made through the middle of the bone defects to ensure that the microtome sections were made in the area of interest. Coronal sections of  $5-\mu m$  thickness were prepared, stained with hematoxylin-eosin, and observed under an optical microscope. The values of total defect area and area of newly formed bone were measured with imageJ software, and the bone fill percentage (%) to the total defect was calculated.

### Measurement of mineral apposition rate

After harvesting and fixation procedures, the specimens were dehydrated in graded alcohol (60, 70, 80, 90, and100% ethanol), stained with Villanueva Bone stain solution for 2 weeks, and embedded in polyester resin Rigolac (Rigolac-70F, Rigolac-2004, Nisshin EM Co., Tokyo, Japan) resin. Five-micrometer-thick sections were cut coronally (exakt, Mesmer, Ost Einbeck, Germany), and the sections were observed under a fluorescent microscope for fluorochrome labeling. The smallest interlabel distance was measured per dose interval.

### Statistical analysis

Data were first analyzed by one-way ANOVA. When this analysis suggested a significant difference between groups (p < 0.05), the data were further analyzed by Sheffe post hoc multiple comparison tests.

### **RESULTS**

### In vitro release behavior of EGCG from $\alpha\text{-TCP}$

The observed drug loading efficiency of  $\alpha$ -TCP for EGCG was 91.45+/-4.74. Approximately 40% of adsorbed EGCG was released after 24 hr. This initial burst release was followed by the gradual and stable release of the drug that was maintained until 2 weeks (Figure 1).

### Macroscopic observation

All animals recovered well after surgery. Infection of the wounds was not noted visually. Side effects such as paralysis, convulsions, respiratory distress, or signs of pain were

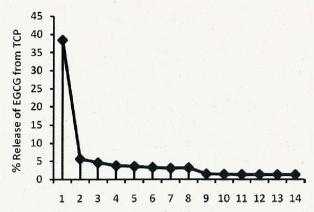


FIGURE 1. In vitro release pattern of EGCG from alpha-TCP.

not observed. In all animals, the soft tissue wounds healed uneventfully without showing clinical signs of inflammation expect the one, which is usually observed after the surgery.

### Radiological observation

Control group. Scanty amount of new bone was formed in some areas along the margin of bone defect at 2 and 4 weeks [Figure 2(Ia,IIa)].

TCP-0 and TCP-0.4 groups. Scanty amount of bone was formed in between the TCP particles at 2 weeks, which

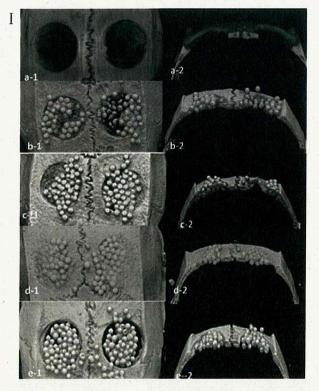
progressively increased at 4 weeks. Compared with the control group, much new bone formation was seen at the defect margins at both 2 and 4 weeks [Figure 2(Ib,e,IIb,e)].

TCP-0.1 and TCP-0.2 groups. At 2 weeks, the new bone was formed not only at the margin of the defect but also in between the  $\alpha$ -TCP particles invading the  $\alpha$ -TCP particles [Figure 2(Ic,d)]. New bone continued to form between the defect margin and the  $\alpha$ -TCP particles at 4 weeks [Figure 2(IIc,d)].

### Histological observation

In the control group, at 2 weeks, only a thin layer of new bone was seen at the defect margins without achieving complete defect closure. The central portion of defect was filled with compressed fibrous connective tissue [Figures 4(a) and 5(a)]. More new bone became evident at 4 weeks with bone forming in the middle in most of the defects [Figure 6(a)].

At 2 weeks, only a few areas of new bone formation was seen at the defect margins and between some adjacent  $\alpha$ -TCP particles in TCP-0 and TCP-0.4 groups [Figure 3(b,e)]. Each particle demonstrated many spaces inside it forming a reticulate structure. The areas of new bone became increased at 4 weeks [Figure 4(b,e)]. At 2 weeks, there were many areas of new bone in between the  $\alpha$ -TCP particles in the TCP-0.2 and TCP-0.1 group, characterized by irregular trabeculae of immature bone and osteoid rimmed by osteoblasts. The new bone seemed to be formed abundantly near



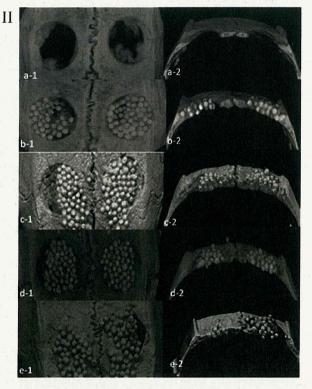


FIGURE 2. Micro-CT images of calvarial defect at I-2w, II-4w representing middle of the bone defect of (a1,a2) control, (b1,b2) TCP, (c1,c2) 0.1-mg EGCG-TCP, (d1,d2) 0.2-mg EGCG-TCP, and (e1,e2) 0.4-mg EGCG-TCP.

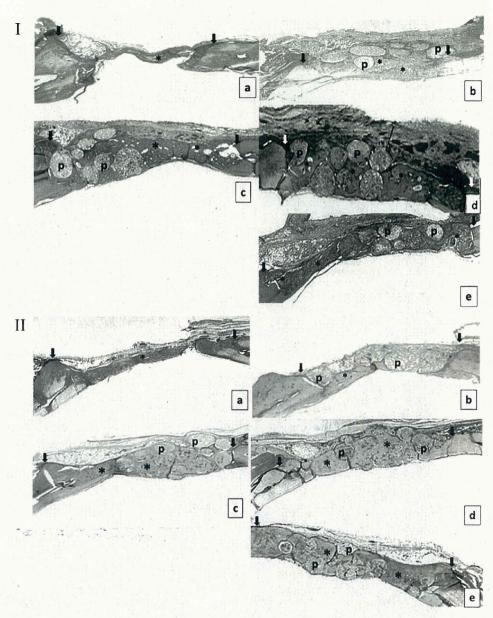


FIGURE 3. Photomicrographs of the calvarial defects at I-2w, II-4w representing middle of the bone defects of (a) control, (b) TCP, (c) 0.1-mg EGCG-TCP, (d) 0.2-mg EGCG-TCP, and (e) 0.4-mg EGCG-TCP. Arrow shows defect margins, \* = newly formed bone, and P = TCP particles.

the duramater as well as the defect margin surface. Numerous blood vessels were also associated with the newly formed bone. Active osteoblasts lined around the surface of the  $\alpha$ -TCP particles, and bone matrix appeared to be deposited inside the TCP particles [Figure 4(d)]. Thus, the latter appeared to be partially obliterated by bone. At 4 weeks, more advanced bone formation was seen in all the groups [Figure 6(d)]. In TCP-0.4 group, showed many  $\alpha$ -TCP particles, which were still invaded by fibroblasts [Figure 5(f)].

### Measurement of mineral apposition rate

Mineral apposition rate (MAR) values are shown in Figure 6.

At 2 weeks, there was no statistical difference between control, TCP-0, and TCP-0.2. However, at 4 weeks, the MAR in TCP-0.2 group was significantly higher than those of the control and other TCP groups. Relatively higher apposition rate in the TCP-0.2 group at 4 weeks was in line with the increasing new bone formation in this group at 4 weeks. In all the groups, MARs at 2 weeks were high compared with those at 4 weeks [Figure 6(a,b)].

### DISCUSSION

Green tea is prepared by rapidly steaming the fresh leaves of the plant Camellia sinensis to stop the enzymatic

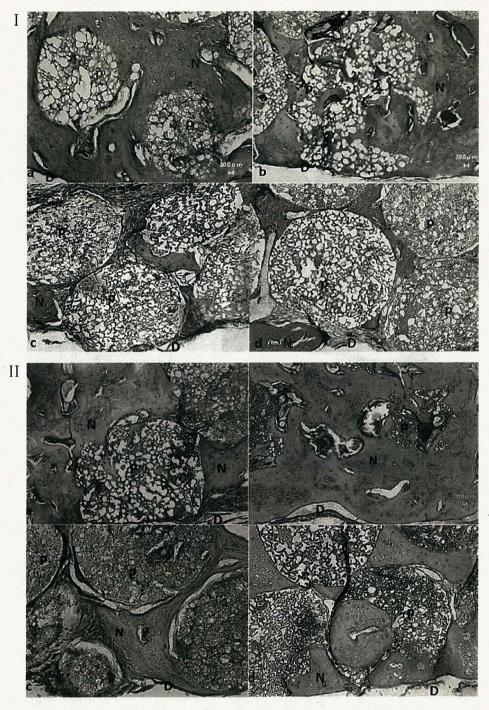
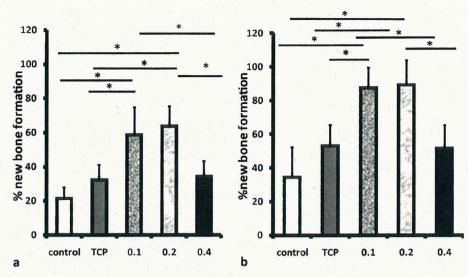


FIGURE 4. Photomicrographs of the calvarial defects at I-2w, II-4w representing middle of the bone defects of (a) 0.1-mg EGCG-TCP, (b) 0.2-mg EGCG-TCP, (c) 0.4-mg EGCG-TCP, and (d) TCP. D = duramater, P = alpha TCP particles, and N = newly formed bone. (Hematoxylin and eosin stain, original magnification  $\times 20$ , scale bar 20  $\mu$ m.)

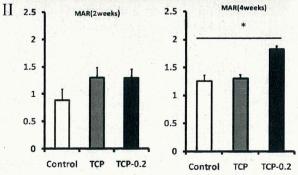
reaction, thus preventing fermentation and generating a catechin-rich stable compound. Epidemiological studies show that habitual consumers of green tea have lesser incidence of osteoporosis-induced hip bone fracture and a higher bone mineral density.<sup>3,4</sup> EGCG, the major component of green tea, is subject of intense investigation, because accumulating chemical and biochemical evidences have shown it to be the most biologically active. EGCG has been reported



**FIGURE 5.** Bone volume in the defect at (a) 2 weeks and (b) 4 weeks. Bone volume was measured. Bars and error bars represent means and SEM, respectively. n = 8 in all the groups. ANOVA 2 weeks (F4, 35.22.07, p < 0.0001), 4 weeks (F4, 35.22.532, p < 0.0001.)

to have antioxidant, anti-inflammatory, $^5$  and anticarcinogenic properties. $^{12-23}$  Recently vasodilatory, antiviral, and antimicrobial activities have also been reported. $^{1,24-26}$ 





**FIGURE 6.** (I) Method of measurement of mineral apposition rate; arrow head represent fluorochrome labeling, and double arrow shows the smallest interdistance between the fluorochrome labels. (II) Mineral apposition rates at (a) 2 weeks and (b) 4 weeks ( $\mu$ m/day). Bars and error bars represent means and SEM, respectively. (n=6) for each group at each time point.

Although the possible mechanisms of effects of EGCG on various cells and tissues have been reported, there are only few studies exploring the effects on bone. Recent *in vitro* studies suggest a possible role of EGCG in enhancing bone formation. Thus, the present study was conducted to test whether local application of EGCG would enhance bone formation *in vivo*. Different doses of EGCG were combined with osteoconductive  $\alpha$ -TCP particles and applied to the rat calvarial bone defect model, and it was found that 0.2-mg EGCG induced maximum bone regeneration.

Bone healing takes place as a consequence of a well-orchestrated sequence of molecular signals in the required cells. In addition, creation of a favorable environment with osteoconductive scaffold facilitates the process of bone regeneration.  $\alpha\text{-TCP}$  is osteoconductive and biodegradable material. The optimal degradation rate and space maintenance capacity attribute to its efficacy in bone regeneration. In our previous study, a combination of  $\alpha\text{-TCP}$  and simvastatin was found to induce new bone formation.  $^{10,11}$  Hence, the EGCG and  $\alpha\text{-TCP}$  combination was applied to test the efficacy in promoting bone regeneration in vivo.

Five-millimeter calvarial defect is not critical sized defects, because the defect in untreated control group was bridged with newly formed bone in the present study although regenerated bone in the control group was thin. Since this bone defect model has been widely used, 10,11,29-31 we also used this model in the present study. Notably, bone regeneration in calvarial defect model occurs in unloaded condition. Thus, the results in the present study would be potentially different from bone regeneration of loaded bone.

In the present study,  $\alpha$ -TCP worked as scaffold for bone regeneration, and it also released EGCG as a carrier. The results of the release experiment showed biphasic release pattern of EGCG from  $\alpha$ -TCP with an initial fast release followed by a slower continuous phase. Although

the exactly similar release pattern of EGCG is not expected *in vivo*, the basic pattern of EGCG release, initial burst release followed by the slow release, would also occur *in vivo*. Bone formation in the bone defect was affected by the dose of EGCG applied to the defect. In our experiment 0.2-mg EGCG consistently showed the highest bone formation.

In the histological sections at 2 weeks, many active osteoblasts were observed bordering around the surface of  $\alpha\text{-}TCP$  particles and depositing bone matrix. Bone marrow mesenchymal stem cells give rise to osteoprogenitor cells which in turn differentiate to osteoblasts when stimulated. Previous in vitro studies showed that EGCG promotes osteoblastic differentiation in mesenchymal D1 cells.  $^{27}$  Furthermore, significantly higher values of bone volume at 4 weeks in TCP-0.2 group suggested a maximum stimulation of the local cells by EGCG. Significantly increased MARs in this group further suggests the increased activity of individual osteoblasts.

Interestingly, the addition of EGCG was beneficial in promoting new bone formation in the TCP-0.1 and TCP-0.2 groups. However, significantly enhanced bone formation compared with the 0-TCP was not observed in the 0.4-TCP group. This was consistently observed in both the time points. Many health benefits of green tea are primarily attributed to its antioxidant and free radical scavenging ability.32 Free Radicals are species containing one or more unpaired electrons, such as nitric oxide (NO -). The oxygen radical superoxide  $(0_2^{\bullet})$  and the nonradical hydrogen peroxide (H2O2) are produced during normal metabolism. Reactive oxygen species (ROS) have been implicated in many chronic disease states, such as osteoporosis, in inflammatory bone diseases, and during the normal inflammatory phase of healing 33 It is known that oxidative stress, which occurs due to an excessive amount of reactive oxygen specie, leads to an increase in osteoblast and osteocyte apoptosis, among other changes, and a decrease in osteoblast numbers and the rate of bone formation via Wnt/β-catenin signaling. 34,35 Recent studies showed that oxidative stress inhibited osteoblastic differentiation35-37 via extracellular signal-regulated kinases (ERKs) and ERK-dependent NF-kB signaling pathways.38 Osteoblasts can produce antioxidants, such as glutathione peroxidase, to protect against ROS,35,39 as well as transforming growth factor  $\beta(TGF-\beta)$ , which is involved in a reduction of bone resorption.<sup>40</sup> ROS are also involved in bone resorption with a direct contribution of osteoclastgenerated superoxide to bone degradation, 41,42 and oxidative stress increases differentiation and function of osteoclasts.43 Thus, excessive amount of ROS is potentially harmful to the cell. However, moderately elevated level of ROS is effective in promoting healing by upregulating growth factors and promoting angiogenesis. 2,44

EGCG is also known to undergo biological transformation and hence has differential effects in response to the concentration and oxygen tension.  $^{2,45-48}$ 

Recent review of literature suggests that the bioactive components in green tea positively influences bone health

by four different mechanisms<sup>35,49</sup>: decreased oxidative stress,<sup>50</sup> increased activity of antioxidant enzymes,<sup>51–55</sup> decreased expression of proinflammatory mediators,<sup>2,56,57–60</sup> and a possible osteoimmunological action.<sup>2,35,56</sup>

EGCG modulates the level of reactive oxygen and nitrogen species which in turn dose dependently signals for a repair response, while it also inhibits proliferation and/or induces apoptosis. Since these effects are dose-dependent, it is reasonable that EGCG stimulated bone regeneration at the optimal dose but not stimulated it at the higher dose in the present study.

In the present study, we demonstrated that an optimal concentration of EGCG was critical for eliciting the signal for bone formation. Although the mechanism of the stimulative effect of EGCG and  $\alpha$ -TCP combination in the present study is unclear, we speculate it as the following. The biphasic release pattern from α-TCP particles may have provided optimal amount of EGCG during the initial inflammatory phase of healing, which was effective in down regulating the overall inflammatory response. The antioxidant property may have reduced the acute inflammatory phase and elicited a quicker reparative phase in wound healing. In addition, the osteoconductivity and space maintenance capacity of  $\alpha$ -TCP particles may also have played an important role in the maximum bone regeneration in TCP-0.2 group by providing a suitable niche for cellular proliferation. More bone was consistently found in all the particle-filled defects compared with the empty control defects. The space-maintaining capacity of  $\alpha$ -TCP was thus advantageous in the outcome of bone augmentation procedure. The empty unfilled defects, which served as the negative control group, revealed only a thin layer of new bone formation along the periphery of defect.

### CONCLUSION

This study demonstrated the bone promoting effect of local application of EGCG. It was determined that 0.2 mg was the optimal dose for the maximum bone regeneration of 5-mm-diameter bone defects in rat calvaria when applied in combination with  $\alpha$ -TCP. Further studies are required to validate the effect of this optimal dose of EGCG with  $\alpha$ -TCP combination in different clinical conditions.

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## Research Paper Imaging Technology

# Biomechanical effect of crestal bone osteoplasty before implant placement: a three-dimensional finite element analysis

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M. Hudieb, S. Kasugai: Biomechanical effect of crestal bone osteoplasty before implant placement: a three-dimensional finite element analysis. Int. J. Oral Maxillofac. Surg. 2011; 40: 200–206. © 2010 International Association of Oral and Maxillofacial Surgeons. Published by Elsevier Ltd. All rights reserved.

Abstract. This study investigated the biomechanical effects of crestal bone osteoplasty and flattening procedures carried out in edentulous knife-edge ridges to restore bone width before implant placement on the virtually placed implants using finite element methods. Three-dimensional models representing a knife-edged alveolar bone with two different crestal cortical bone thicknesses (1.6 mm, thin group; 3.2 mm, thick group) were created. Gradual crestal bone osteoplasty with 0.5 mm height intervals was simulated. Cylindrical implants with abutments and crowns were constructed and subjected to oblique loads. Maximum stress was observed at the cervical region around the implant neck. Different osteoplasty levels showed different stress values and distributions. Highest compressive stress was observed in the flat models (60.8 MPa and 98.3 MPa in thick and thin groups, respectively), lowest values were observed when osteoplasty was limited to the sharp edge (36.8 MPa and 38.9 MPa in thick and thin groups, respectively). The results suggested that eliminating the sharp configuration in knife-edge ridges improved stress and strain outcomes, but flattening the alveolar crest and/or uncovering the cancellous bone resulted in a marked increase in compressive stress and strain values in the peri-implant bone that may influence the longevity of implants placed in these ridges.

Keywords: osteoplasty; dental implant; knife edge ridge; bone stress; strain; finite element analysis.

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Dental implants have been widely used for prosthetic rehabilitation of partially and completely edentulous patients. Adequate available bone dimensions are considered a prerequisite for successful and predictable implant treatment<sup>13</sup>. Following tooth extraction, continuous bone resorption usually takes place and results, initially, in a narrow ridge with a knife-edge form<sup>4.7</sup>.

A relatively large percentage of narrow ridges with knife-edge configuration have been reported at the edentulous mandibular and maxillary bony crests<sup>21</sup>. Treatment for completely removable dentures may require preprosthetic surgical reduction of the sharp edges to prevent painful denture pressure points at the knife-edged borders. Increasing the horizontal width

of the bone prior to implant placement is required in these ridges to host the implant in the alveolar bone properly<sup>16,21</sup>. This can be achieved by osteoplasty, performed to eliminate and flatten the thin sharp edge configuration, and/or additional bone augmentation and surgical procedures such as onlay bone grafts<sup>5</sup>, guided bone regeneration<sup>3</sup> horizontal distraction<sup>25</sup> and

### Knife edge and different levels of osteoplasty models

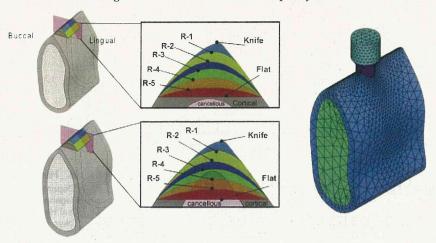


Fig. 1. Basic mandibular bone segments used for the thin and thick cortical bone groups. Simulation of flattening and several levels of osteoplasty in the middle part of the bone segment are shown in the cross-sectional image. The name of each model refers to the outer bone curvature used. Cancellous bone was exposed in R4, R5 and Flat models of the thin cortical bone group. The meshed FE model of the thick knife edge bone is shown on the right.

sagittal osteotomies of the edentulous ridge<sup>5</sup>.

When the narrow width is limited to the alveolar bone crest in ridges with adequate height, osteoplasty or flattening procedures are recommended rather than bone augmentation procedures <sup>16</sup>. The local anatomy and geometry of the peri-implant bone influence the distribution and intensity of the stresses generated in the surrounding bone, because of the mechanical interlocking relationship between the implant and the surrounding bone <sup>10,19</sup>. Excessive stresses generated around dental implants are considered to be one of the main causes of peri-implant bone loss and/or implant failure <sup>6,12</sup>.

The effect of crestal bone osteoplasty and the flattening procedures that precede implant placement on the biomechanical behavior of dental implants has not been assessed in relation to the stress and strain generated around the dental implants that will be placed in these ridges. The purpose of this study was to evaluate the biomechanical effect of surgical reduction and flattening of the narrow alveolar bone crest on the biomechanical behavior of the future implants using three dimensional (3D) finite element analysis (FEA). Several methods have been used to evaluate the biomechanical aspect of dental implants such as FEA, strain gauges and photo-elastic models. Of these methods, FEA is considered the method of choice to calculate the stresses generated in complex geometries and to evaluate different variables simultaneously9.

### Materials and methods

#### Model design

A 3D model of an edentulous mandibular segment with knife-edge configuration was constructed. A cross-sectional CT scan for the mandibular first premolar region was selected with a bucco-lingual dimension of less than 2 mm at the alveolar crest level, which is considered as the knife-edge ridge according to Pietro-kovski et al.<sup>21</sup>.

The image was plotted and used to determine x and y coordinates for points that describe the outline of the external cortical bone surface. These coordinates were imported into the FEM software (ANSYS 9.0, ANSYS Inc., PA, USA) as keypoints that were connected by smooth lines/curves using a 'spline algorithm'. The area was divided into a cancellous core surrounded by a layer of cortical bone. Two basic bone models (Knife models) were created with exactly the same external cortical bone outline, but different cortical bone thicknesses at the crestal region. The first had a uniform layer of cortical bone 1.6 mm thick (thin group), and the second had cortical bone thickness at the crestal region of 3.2 mm (thick group). The two-dimensional images were extruded in the z axis to create a 3D bone model with a mesio-distal length of 20 mm. The alveolar bone models were approximately 12.25 mm wide bucco-lingually and 28.5 mm heigh infero-superiorly.

Flattening and several levels of osteoplasty were simulated for the two basic

Knife alveolar bone models by gradually subtracting the bone in the crestal region until a flattened surface was obtained in the Flat models, with 1 mm of bone on the buccal and distal sides. A vertical distance of 0.5 mm (measured from the highest point vertically) was removed each time to produce the Reduction models: R1, R2, R3, R4 and R5 (Fig. 1). The reduction was performed in a curved way to preserve the bucco-lingual width and was limited to the central part of the bone segment with a mesio-distal length of 8 mm, corresponding to the standard implant diameter (4 mm) and 2 mm on both mesial and distal sides. 14 models were constructed (Fig. 1). A cylindrical implant, 4 mm in diameter and 10 mm long, was placed vertically in the alveolar ridge, with a simplified abutment and crown, 6 mm in diameter and 8 mm high.

### Loading and boundary conditions

All materials were assumed to be isotropic, homogeneous and linearly elastic. The interfaces between the materials were assumed bonded or osseointegrated. Young's moduli of the cortical bone, cancellous bone, implant, and prosthetic structures were assumed to be 15 GPa, 1.5 GPa, 110 GPa and 96.6 GPa, respectively. A Poisson's ratio of 0.3 was used for all the materials, except for the prosthetic structures, which had a ratio of 0.35°

The models were fixed in all directions on the mesial and distal surfaces of the bone<sup>23</sup>. A load of 200 N applied 30°

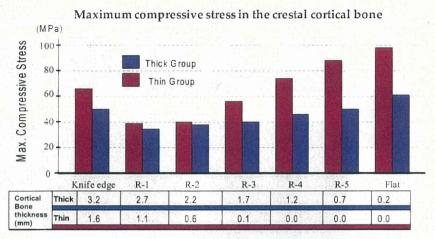


Fig. 2. Maximum compressive stress values (MPa) in the crestal bone around the necks of the implants on application of the oblique loads: Thin, thin cortical bone group; thick, thick cortical bone group. The thickness of the crestal cortical bone at the midline is shown in each model of the two groups.

buccal from the vertical axis<sup>15</sup> was applied at the center of the occlusal surface of the crown.

All models were meshed with 20-node tetrahedral elements. A convergence test was performed to determine the number of elements to ensure the validity of calculations. A finer mesh was generated around the neck of the implant. Depending on the model, element numbers ranges were 75,175–82,793 and 90,435–98,328 in the thick and thin groups, respectively. The compressive stress and strain results were calculated and recorded for all models in the bone structures.

### Results

The maximum compressive stress and strain values in each of the 14 models are summarized in Figs 2 and 3. On loading the implant, maximum compressive stresses were observed at the cervical region of the cortical bone in all models. In the knife-edge models, the maximum

stress was noted at the mesial and distal sides of the implant neck on the sharp bone edge (Figs 4 and 5). Maximum cortical bone stress values were 49.5 MPa and 66.3 MPa in the thick and thin models. respectively. A marked reduction in stress was noted when the sharp edge was eliminated in R1 models with peak compressive stress of 36.8 MPa and 38.9 MPa for thick and thin cortical bone models, respectively. The maximum compressive stress was increased progressively as the alveolar crest height became reduced. The highest compressive stress value occurred around the implant in the flat models with 60.8 MPa and 98.3 MPa in the thick and thin cortical bone models, respectively (Figs 4 and 5).

In the cancellous bone, the maximum stress values were considerably lower than those in the cortical bone in all models. Peak stresses were observed at the crestal region under the cortical bone plate around the implant neck in R3, R4, R5 and Flat models of the thin group and the Flat model

of the thick group. In the rest of the models, peak stresses were observed at the lingual side of the implant apex (Fig. 6). The distribution of the maximum compressive strain was similar to the compressive stress in the corresponding models.

### Discussion

FEA is used increasingly to predict the performance of dental implants. It can be undertaken by studying mechanical parameters such as the stress or strain generated in the implant and surrounding bone structures<sup>9</sup>. FEA comprises several steps starting with the pre-processing stage, in which the implant and bone models are developed. To obtain accurate results and precisely evaluate the biomechanical aspects of treatment options, it is essential to develop and design models that represent clinical conditions. Previous FEA studies have tended to ignore the local anatomy of the crestal bone and implant site preparation, for example by representing the alveolar bone with a simplified rectangle in two dimensions or a block with rectangular cross-section in 3D models. When anatomical models are used, the crestal region is often modified to have a flat contour with a uniform cortical bone layer. Recently, more accurate, realistic models have been constructed using CT scan data and other advanced digital imaging techniques. The data used are commonly selected for the ideal bone morphology of a well rounded alveolar bone (class III Cawood and Howell classification<sup>4</sup>) which does not represent other bone morphology types.

PIETROKOVISKI et al.21 investigated the characteristics of edentulous ridges in

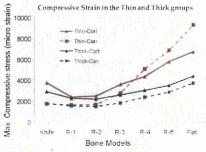


Fig. 3. The maximum compressive strain values (micro strain) in the cortical and cancellous bones in each model of the thin and thick groups. Cort-Thin, cortical bone in the thin group; Canc-Thin, cancellous bone in the thin group; Cort-Thick, cortical bone in the thick group; Canc-thick, cancellous bone in the thick group.

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Fig. 4. The effects of gradual reduction of the alveolar bone crest and the exposure of cancellous bone on the compressive stress in the thin cortical bone group. The figure on the left indicates the viewing angle and force direction (red arrow). Models are sectioned halves and viewed from the mesial side (upper graphics). The crestal regions in the Knife-edge and Flat models are enlarged (lower graphics). The same contour scale was used for all models in the thin group. The red area represents the highest compressive stress.

human jaws. They reported 43% with a knife-edge alveolar crest in the mandible and 38% in the premolar region. Osteoplasty procedures in which the alveolar crest is surgically reduced or flattened are considered to be the most common approach that routinely restores the required width for implant placement in thin and/or knife-edge ridges <sup>17</sup>. In this study, bone models represented class IV (knife-edge ridge) and different levels of osteoplasty.

Altering the morphology and geometry of the crestal bone by osteoplasty procedures was found to influence the distribution and magnitude of generated perimplant bone stress and strain. In the knife-edge models, a relatively high compressive stress was found at the mesial and distal sides of the implant neck, where the sharp, thin edge is located. Lower stress, with an even distribution, was observed at the lingual side. The strong, bony curvature (characterized by the acute angle,

measured between the vertical axis of the implant and the external lingual cervical bone surfaces) presented at the lingual side probably accounts for the reduction of stress at this region; when implants are attached to a cortical bone with stronger curvature, stress will be directed from the outer cortical edge to the inner bone 11.24. As the sharp edge was eliminated in R1 models, the stress generated was redistributed over a larger area. Thus the maximum stress was reduced in



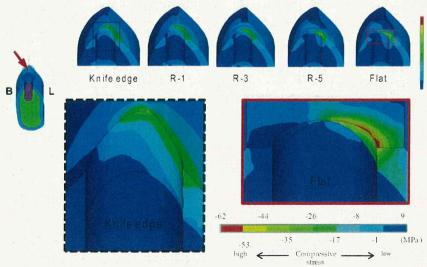


Fig. 5. The effects of gradual reduction of the alveolar bone crest on the compressive stress in the thick cortical bone group. The crestal regions in the Knife-edge and Flat models are enlarged (lower graphics). The same contour scale was used for all models in the thick group.

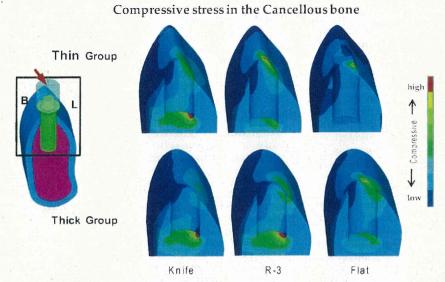


Fig. 6. The compressive stress distributions in the cancellous bone were shown in the Knife edge, R3, and Flat models of the thick (upper graphics) and thin (lower graphics) groups sectioned halves and viewed from the mesial side. Red contour represents the maximum stress in each model. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

the mesial and distal regions around the implant. Maintaining the strong curvature lingually in these models (R1) may explain the preservation of the low stress level, which was evenly distributed on the lingual side. A progressive increase of stress was observed in the rest of the models as the curvature strength and the cortical bone thickness were reduced further.

Reduction of crestal bone curvature increased the resultant stress and strain, regardless of the cortical bone thickness. This was confirmed when comparing different bone reduction levels. For instance, the thick R4 model showed a higher maximum stress value than the thin R2 model, although the cortical bone thickness in the former was two times larger than the latter (Fig. 2). This demonstrates the importance of the crestal bone contour as a key factor in the resultant stress outcome around dental implants.

Bone models with larger crestal cortical bone thickness exhibited a relatively lower peri-implant stress and strain compared with the corresponding models with the same osteoplasty reduction levels but with less cortical bone thickness. This agrees with previous reports in the literature <sup>10,20</sup>.

When the alveolar crest is surgically reduced or flattened, it may result in sharp edges to the remaining cortical bone and exposure of the cancellous bone. These changes in the bone could lead to local stress and strain concentrations and fatigue failures<sup>8</sup>. This would explain why the maximum stress was located at the sharp

cortical edges along with the substantial increase in stress and strain values in the R3, R4, R5 and Flat models in the thin cortical bone group.

The distribution of peak stresses in the cancellous bone was influenced by the presence and the thickness of the cortical bone layer. In bone models with compromised cortical bone thickness (thin R3, and thick Flat) or with uncovered cancellous bone (thin R4, R5 and Flat), maximum stresses were found at the crestal region. This might be due to the inward displacement of the thin cortical bone plate in those models.

Osteoplasty procedures resulted in reduction of the cortical bone support/ thickness and/or exposure of the cancellous bone to direct forces in the crestal region. The amount of bone reduction depends on the diameter of the implant to be placed and the width of the available bone. Class IV (knife-edge) has shown a larger need for crestal bone reduction. In this study, an implant with a standard diameter of 4 mm was used to provide the 1 mm of supporting bone at the buccal and lingual sides, the required bucco-lingual width was 6 mm. Depending on the thickness of the cortical bone layer, osteoplasty may result in exposing the cancellous bone as demonstrated in the thin group, which represents the average cortical bone thickness. The crestal cortical bone was doubled in the thick group to study the influence of gradual reduction and flattening with and without cancellous bone exposure.

The potential fatigue damage to the bone is expected to occur under excessive dynamic loading that exceeds 4000 microstrain under compressive forces<sup>8,18</sup>. This is attributed to the accumulation of induced micro-damage that exceeds the bone repair capacity<sup>2,8</sup>. The compressive stress and strain parameters were used as a risk scale in this study but inherent simplifications made in FEA may limit the direct application of quantitative results obtained from these analyses.

The aim of this study was not to predict the exact in vivo stresses, but to explore the possible differences that result as a consequence of modifying crestal bone morphology. Limitations of this study include single static loading and the vertical placement of the implant and the bone quality used. Previous studies have indicated that in comparative analysis, the relative accuracy of the results is not affected by these parameters 14. A cylindrical non-threaded implant was used in this study as it is considered the neutral design, and to establish a baseline from which other implant designs can be compared in further studies. The implant-bone attachment was assumed to be perfectly and continuously bonded. This is because of the absence of reliable data regarding the interfacial tensile strength.

In this study, the stress and strain generated around the dental implant were investigated immediately after osseointegration. Accordingly, neither bone resorption nor corticalization was represented. The central region of cancellous bone was

uncovered in some models, but the cortical bone support that is considered critical for implant stability was maintained for implants in all models.

Based on the results of this study, osteoplasty procedures may critically affect the stress and strain outcomes in the periimplant bone structure. The high stress and strain generated around dental implants could cause mechanical overloading of the bone and may result in alveolar bone loss that affects the long term success of the implant treatment. Exposing the cancellous bone has significantly increased cancellous bone strain around the implant. Accordingly, from a biomechanical point of view, a sharp edge should be carefully eliminated while flattening the crestal region and uncovering cancellous bone should be avoided.

Rounding or reduction of the alveolar bone may redistribute and decrease the stress. Based on the results of this study, and taking into consideration the wide variation in bone morphology and cortical bone thickness among individuals, it may be impossible to draw a definitive conclusion regarding the limit to which the crestal region can be reduced without causing considerable increase in the resultant stresses.

When the alveolar bone ridge is rounded or flattened, the available bone height for implant placement is reduced. Consequently, shorter implants are placed in these ridges 7,17. Simultaneously, the crown height space is increased. This change in the crown/implant ratio increases the stress generated in the peri-implant bone structures 22. In the current study, the intrabony implant length and the supra-crestal abutment and prosthesis heights were standardized to exclude the effect of crown/implant ratio.

In conclusion, crestal bone osteoplasty procedures performed prior to implant placement may improve the resultant stress and strain outcomes around the dental implant neck when the sharp edge of the knife-edge ridge is eliminated. Flattening the alveolar crest and uncovering the cancellous bone may have the disadvantage of significantly increasing stress and strain in the crestal bone region.

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### Competing interests

None declared.

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### 206 Hudieb and Kasugai

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## 次世代の歯科再生治療の実現に向けて

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### 歯科再生治療の実現に向けたこれまでの取り組み

次世代の歯科治療として、「歯科再生治療」が期待されている 1) 歯科再生治療には、 南髄や南周組織を幹細胞やサイトカインにより治療する組織再生治療に加え<u>、疾</u>患や傷 害により喪失した歯を再生により取り戻す、歯の再生治療の技術開発が進められてい る<sup>2)</sup> 歯をまるごと再生するための戦略として、歯の器官原基である歯胚を再生し、胎 児期の発生プログラムを再現することにより再生歯を発生させる技術開発が進められて いる 1,3~5)

歯をまるごと再生する治療技術を開発するには、三次元的な細胞操作により歯胚を再 生する技術を開発し、再生歯胚を歯の喪失部位に移植をして萌出、機能させる再生治療 や、歯胚に由来する歯の組織をすべて再生した再生歯ユニットを作製し、口腔内に移植、 生着させる治療方法が考えられる(図1).

まず筆者らは、2007年に、未分化な上皮細胞と間葉細胞を高細胞密度で区画化して 器官原基を再生する三次元的な細胞操作技術である「器官原基法」を開発し,正常な組 織構造を有する歯や毛包を高頻度に再生することを可能とし、幅広い器官再生の研究に 道を拓いた(図2) 6 さらにこの技術を応用することにより、歯の太さと咬頭数の制 御も可能としたづ

次に筆者らは、再生歯胚移植による歯の再生のコンセプトの実証に向け、研究を行っ た(図1) 成体マウスの歯の喪失部位に再生歯胚を移植すると、再生歯胚は正常に発 生し、再生歯が口腔内に萌出して咬合するとともに、機械的外力に応答する歯根膜機能 や、侵害刺激を中枢へ伝達可能な神経機能を有する機能的に完全な歯を再生可能である ことを明らかとした(図3)<sup>8)</sup>.



### **海生歯ユニット移植による機能的な歯の再生**

歯の再生治療のもう1つのコンセプトとして、再生歯胚から発生可能な歯の組織を 再生することにより、成熟した再生歯と歯根膜、歯槽骨を有する再生歯ユニットを作製 し、歯の喪失部位に移植して生着させる方法が考えられる(図1).

この治療方法は、インプラント治療と同じように、移植後、即時あるいは早期の機能 回復が期待される。このコンセプトは歯の再生だけではなく、即時機能可能な段階にま で発生させた再生器官を移植して、即時に機能不全状態を回復させることができれば、 生命に関わる臓器の再生にも適応可能な治療技術として発展する可能性を有している 9)