

Fig. 4. PK/PD analysis and the dose-dependent reduction in HCV. Twelve HCV-infected mice were randomized into three groups ($n=4$ each) and then underwent two periods of telaprevir BID dosing for 5 days, separated by a 1-week washout period. Before the second period, the mice in the vehicle control group were additionally assigned to active drug groups. During the second period, mice that received the high or low doses were crossed over to the alternative treatment. Serum and plasma samples were collected once daily 5 h after dosing. Mean \log_{10} changes (\pm SEM) in serum HCV RNA were calculated from the combined results from both periods (a) and each period separately (b). Arrows indicate the times of dosing. *, $P<0.05$ versus vehicle control group; #, $P<0.05$ versus 100 mg kg⁻¹ group. (c) Correlation between \log_{10} reduction in serum HCV and telaprevir concentrations in plasma. Linear regressions (solid lines) and 95% CI (dashed lines) are indicated.

One possible explanation is that viral kinetics after liver transplantation in humans may play a role in HCV clearance under immunosuppressed conditions (Dahari *et al.*, 2005; Powers *et al.*, 2006; Schiano *et al.*, 2005). This observation suggests that this mouse model is capable of evaluating ‘first-phase’ HCV clearance after drug treatment.

In a clinical trial of telaprevir, CHC patients who exhibited a continuous decline in viral kinetics had mean plasma trough levels above 1000 ng ml⁻¹; therefore, a dose of 750 mg TID was selected for further clinical studies (Sarrazin *et al.*, 2007). When HCV-infected mice were administered 100 or 300 mg telaprevir kg⁻¹, a plasma concentration above 1000 ng ml⁻¹ was maintained beyond 8 h in mice treated with 300 mg kg⁻¹ but not in those treated with 100 mg kg⁻¹. This result suggests that the extrapolation of telaprevir doses from this mouse model to human studies depends on body surface area, i.e. approximately 15th of a dose in this mouse model may be equivalent to a dose in humans. In another cohort of mice treated with 100 and 300 mg telaprevir kg⁻¹ BID, a

dose-dependent reduction in HCV was observed and the plasma telaprevir concentration correlated significantly with the HCV reduction level. Therefore, the PK/PD results in this mouse model may be able to indicate a targeted dose range in clinical studies.

Whereas a telaprevir concentration in plasma equivalent to its dosage in clinical trials was achieved in this mouse model, the serum HCV RNA level plateaued at a decrease of approximately 2 log₁₀-fold within several days of treatment. A saturated reduction of approximately 2 log₁₀-fold after treatments with BILN 2061 and IFN was also reported in an analogous mouse model (Kneteman *et al.*, 2006; Vanwolleghem *et al.*, 2007). These observations led us to examine HCV replication in the chimeric human liver. In the relative quantification of HCV RNA against human-specific endogenous gene expression, we observed a correlation between the serum HCV RNA level and the mean Δ Ct value in the liver, despite no correlation between the total RNA concentration and each Ct value of two target genes in the liver RNA extracts. This result can be interpreted to indicate that HCV replicated only in

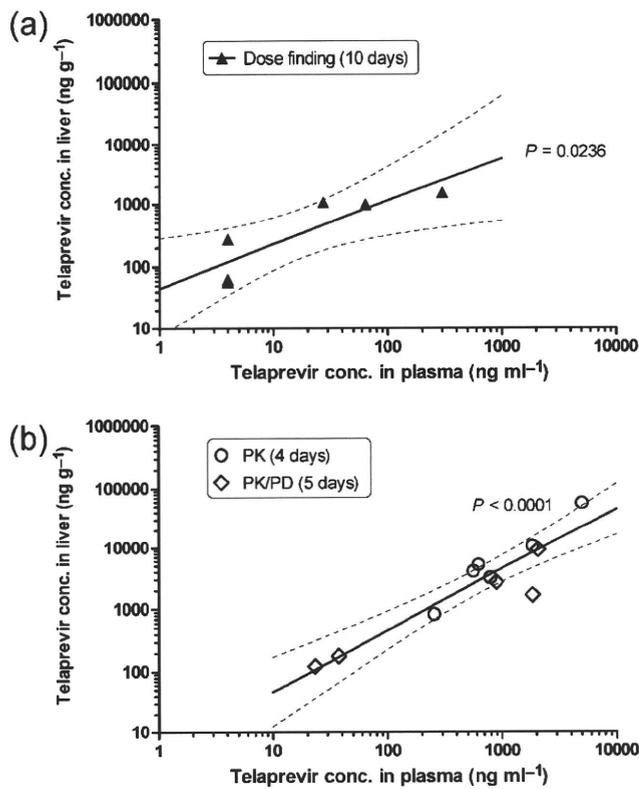


Fig. 5. Correlation between telaprevir concentrations in the liver and plasma. Telaprevir concentrations in the liver and plasma were determined at the end of the three different experiments indicated in Fig. 1 (dose-finding), Fig. 3 (PK) and Fig. 4 (PK/PD). Telaprevir concentrations in the liver were plotted against those in plasma 5 (a) or 8 (b) h after the last dose. Linear regressions (solid lines) and 95 % CI (dashed lines) are indicated.

engrafted human hepatocytes, and the observed HCV reduction in serum might reflect virus replication in the human hepatocyte grafts. Moreover, the relative content of HCV RNA was 2×10^3 – 1×10^5 -fold lower than $h\beta_2m$ expression, whereas an HCV replicon cell line, which had approximately 1000 replicon genomes per cell (Quinkert *et al.*, 2005), contained nearly equal amounts of both genes (data not shown). HCV replication was much lower in the engrafted human hepatocytes than in an HCV replicon cell line, and HCV infected only a small portion of the engrafted human hepatocytes. It has been reported that 4–25 % of hepatocytes in a CHC patient were positive for replicative-intermediate RNA, and the mean number of viral genomes per productively infected hepatocyte ranged from 7 to 64 molecules (Chang *et al.*, 2003). Also, a more recent report suggested that the percentage of HCV antigen-positive hepatocytes in patients varied from 0 to 40 %, and the HCV content in 2000 microdissected HCV-positive cells ranged from 40 to 1800 international units using a branched DNA assay (Vona *et al.*, 2004). Therefore, we suggest that HCV replication efficiency in engrafted human hepatocytes is equivalent to that in CHC patients.

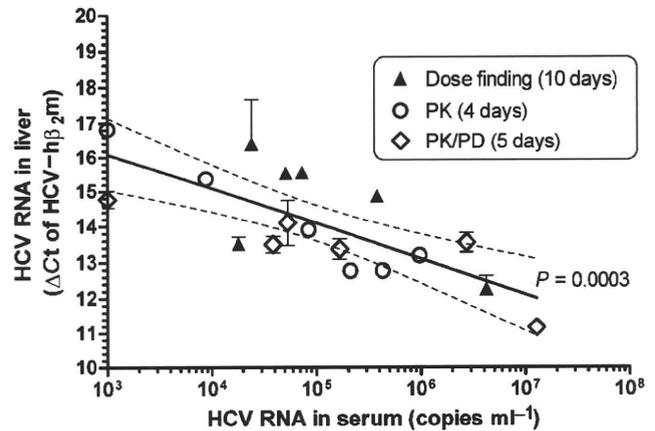


Fig. 6. Correlation between HCV content in the liver and serum. Relative quantification of HCV RNA levels in the liver was determined by the difference between threshold cycles (ΔCt) of HCV RNA and $h\beta_2m$ in a duplex real-time RT-PCR analysis. Linear regressions (solid line) and 95 % CI (dashed lines) are indicated.

The differences observed between the engrafted human hepatocytes and the HCV replicon cell line can be explained by the following assumptions: approximately 10 % of engrafted human hepatocytes are productively

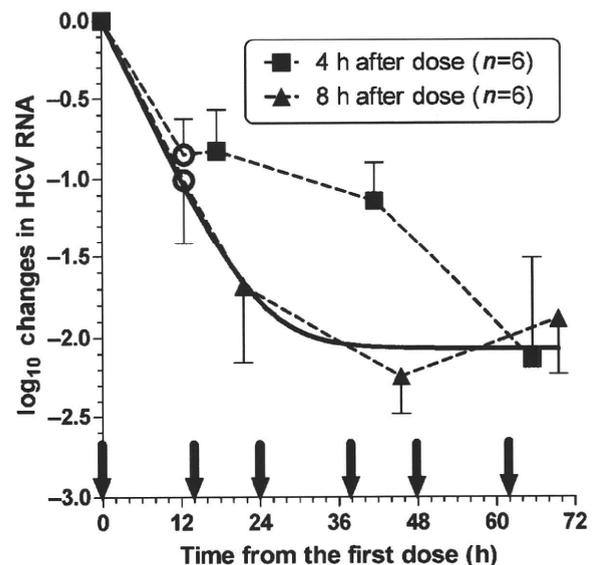


Fig. 7. Viral dynamics under BID telaprevir treatment. Mice were administered 200 mg telaprevir kg^{-1} BID at the times indicated by arrows. Serum samples were collected just before the second dose was administered and 4 ($n=6$) or 8 ($n=6$) h after every two doses were administered. Mean \log_{10} changes (\pm SEM) in serum HCV RNA are plotted. The solved equation described in Methods was fitted to the values in the 8 h group (solid line), and the estimated efficacy and virion clearance rates were 0.992 (95 % CI 0.982–1.00) and 0.200 (95 % CI 0.110–0.291), respectively.

infected and harbour approximately ten HCV genomes per cell at baseline steady state and a 2 log₁₀-fold reduction is achieved with drug treatment.

Mathematical models have proven valuable in understanding the *in vivo* dynamics of HCV, and very rapid dynamic processes occur on timescales of hours to days, and slower processes occur on timescales of weeks to months (Perelson & Ribeiro, 2008). In the last experiment, we observed a biphasic decline in the HCV RNA level after BID dosing for 3 days. During the first 2 days of the treatment, a discrepancy in viral kinetics between the serum-sampling time points was noted. Similarly, fluctuations in viral kinetics during the first-phase slope were observed in patients who received IFN three times a week (Pawlotsky *et al.*, 2004). Variable efficacy rate determined by PK parameters can explain fluctuations during the first-phase slope in mathematical model analysis (Tall *et al.*, 2006). However, it is difficult to evaluate the individual temporal changes in viral and drug kinetics using a mouse model as only a limited volume of blood is available for analysis. Therefore, we assumed a constant efficacy rate (ϵ) and omitted a turnover rate of hepatocytes because of the short duration of treatment. The estimated clearance rate (c) in this study was 4.8 day⁻¹. Additionally, the mean slope of 0.144 log₁₀ h⁻¹ (Fig. 2b) could be transformed to 0.332 h⁻¹=8.0 day⁻¹ according to the change of base of a logarithm. The estimated clearance rates in this mouse model basically agreed with estimates determined in humans infected with HCV genotype 1 and undergoing IFN-based therapies (Herrmann *et al.*, 2003; Neumann *et al.*, 1998; Pawlotsky *et al.*, 2004) or large-volume plasma apheresis (Ramratnam *et al.*, 1999). Total virion production during steady-state viral kinetics in this mouse model was calculated by multiplying c by the initial viral load (V_0) and then normalizing the extracellular fluid volume. From previous studies, it was determined that 10¹¹–10¹³ virions are produced daily in patients with high HCV loads (Neumann *et al.*, 1998; Ramratnam *et al.*, 1999). In this mouse model, the volume of extracellular fluid and weight of the liver were approximately 20 and 9% of the body weight (data not shown), and the mean log₁₀ V_0 (\pm SEM) among the mice with mean clearance rates of 4.8 and 8.0 per day were 6.96 \pm 0.26 and 7.00 \pm 0.33, respectively. The results of the calculations indicated that 1 g of the chimeric human liver produced 1 \times 10⁸–2 \times 10⁸ virions per day. The typical weight of the human liver is 1–2 kg; thus, the capacity of human hepatocytes to produce HCV in this mouse model may be equivalent to that in CHC patients. In conclusion, a mouse model with a chimeric human liver can simulate HCV replication in human patients quantitatively and dynamically, and this mouse model may be suitable for preclinical evaluations of novel HCV-specific agents and other therapeutic strategies, including combination regimens.

METHODS

Generation of mice with chimeric human livers and HCV infection. The generation of uPA^{+/+}/SCID^{+/+} mice and transplantation of frozen human hepatocytes was performed at

PhoenixBio. Graft function was monitored on the basis of HSA levels in blood (Tsuge *et al.*, 2005). All the mice had high HSA levels, which suggested that nearly half of their livers were repopulated by human hepatocytes (Tateno *et al.*, 2004). After obtaining written informed consent, we collected sera periodically from patients who were chronically infected with HCV genotype 1b and failed to respond to PEG-IFN and RBV therapy. The mice were inoculated with the serum samples via the orbital vein after anaesthetization. The experimental protocol was approved by the Ethics Review Committee for Animal Experimentation of the Graduate School of Biomedical Sciences, Hiroshima University.

Compound preparation and experimental designs. The telaprevir formulations were kindly provided by Vertex Pharmaceuticals. A telaprevir suspension was prepared as described previously (Perni *et al.*, 2006) and used in experiments 1 and 2. In the other experiments, a telaprevir suspension was prepared daily as in the tablet formulation (Forestier *et al.*, 2007; Hézode *et al.*, 2009; McHutchison *et al.*, 2009). A suspension of telaprevir was administered via oral gavage.

Experiment 1: preliminary dose-finding study. Ten out of 11 mice developed serum HCV loads greater than 10⁴ copies ml⁻¹. Nine mice with high viral loads (>10⁵ copies ml⁻¹) were randomized and administered 10 or 100 mg telaprevir kg⁻¹ BID or TID over three periods. During period 1, the mice were administered 100 ($n=4$) or 10 ($n=4$) mg telaprevir kg⁻¹ or vehicle ($n=1$) BID at 18:00 and 10:00 h for 7 days, and serum samples were collected before treatment and 1 h after administration in the morning on the third and/or seventh day. During period 2, the mice were administered 100 ($n=5$) or 10 ($n=4$) mg telaprevir kg⁻¹ TID for 3 days, and serum samples were collected before treatment and 4 h after administration of the last dose. Three mice died between periods 2 and 3. During period 3, the mice were administered 100 ($n=3$) or 10 ($n=3$) mg telaprevir kg⁻¹ TID for 10 days. The mice were sacrificed 5 h after administration of the last dose, and plasma, serum and liver samples were collected.

Experiment 2: evaluation of HCV turnover. Eleven mice were infected with HCV and eight mice survived for more than 15 weeks with steady-state and high viral loads (10⁶–10⁸ copies ml⁻¹). Six of the mice were administered 200 mg telaprevir kg⁻¹ TID at 9:00, 14:00 and 19:00 h for 4 days. On day 1, serum samples were collected before dose administration, 4 h after the first and second doses were administered, and 2 h after the third dose was administered ($n=2$ each). On day 2, serum samples were collected 1 h after each of the three doses was administered ($n=2$ each). Serum samples were also collected 4 h after the first and second doses were administered on day 3 ($n=3$ each) and 4 h after the second dose was administered on day 4.

Experiment 3: PK analysis. After a washout period, six mice from experiment 2 were administered 100 or 300 mg telaprevir kg⁻¹ ($n=3$ each) BID at 19:00 and 9:00 h for 4 days. Serum samples were collected before dose administration, 4 ($n=1$) or 8 ($n=2$) h after administration of the second dose, and 5 h after every two doses were administered. After the final dose was administered, plasma for PK analysis was collected at 1 and 4 h. The mice were sacrificed at 8 h, and serum, plasma and liver samples were collected.

Experiment 4: dose dependence and PK/PD analysis. Thirty-six mice were infected with HCV and 13 survived for more than 13 weeks. The median survival time of this cohort was 81 days after infection. Twelve HCV-infected mice were randomized into three groups (A–C; $n=4$ each) and underwent two periods of BID dosing for 5 days, which were separated by 1-week washout periods. During the first period, the mice in groups A, B and C were administered 300 mg telaprevir kg⁻¹, 100 mg telaprevir kg⁻¹ and vehicle,

respectively. Because two mice in group A and two mice in group C died before the second period, two remaining mice in group C and one back-up mouse were assigned to group A ($n=2$) and group B ($n=1$). During the second period, mice that received high or low doses were crossed over to the alternative treatment. Serum samples were collected before the first dose was administered and 5 h after every two doses were administered. Plasma samples were also collected at the same time on days 1, 3 and 5 in the first period and days 1, 3 and 4 in the second period. The mice were sacrificed 8 h after administration of the final dose, and serum, plasma and liver samples were collected.

Experiment 5: viral kinetics with BID dosing After infection of 45 mice, 12 HCV-infected mice maintained steady-state and high viral loads (1.2×10^6 – 8.5×10^7 copies ml^{-1}) for more than 6 months. The median survival time of this cohort was 131 days after infection. These mice were treated with 200 mg telaprevir kg^{-1} BID at 19:00 and 9:00 h for 3 days. The mice were divided into two groups and serum samples were collected just before the second dose was administered and 4 ($n=6$) or 8 ($n=6$) h after every two doses were administered.

Serum RNA extraction and HCV RNA quantification. HCV RNA was isolated from 10 μl serum under denaturing conditions using a SepaGene RV-R kit (Sanko Junyaku). The dried precipitates were dissolved in 10 μl diethylpyrocarbonate-treated water. Extracts were duplicated and assayed by quantitative real-time RT-PCR using TaqMan EZ RT-PCR core reagents (Applied Biosystems). Nucleotide positions of the probe and primer sets refer to HCV H77 strain (GenBank accession no. AF009606). The TaqMan probe 5'-6-FAM-CTGCGGAACCGGTGAGTACAC-BHQ-1-3' (nt 148–168) was purchased from Biosearch Technologies, and the forward (5'-CGGGAGAGCCATAGTGG-3'; nt 130–146) and reverse (5'-AGTACCACAAGGCCTTCG-3'; nt 272–290) primers were purchased from Sigma-Aldrich. The 25 μl RT-PCR mixture contained 0.2 nmol forward and reverse primers ml^{-1} , 0.3 nmol TaqMan probe ml^{-1} and 5 μl extracted RNA, and was monitored using a PRISM 7900HT sequence detection system (Applied Biosystems). The thermal profile was 2 min at 50 °C, 30 min at 60 °C for reverse transcription and 5 min at 95 °C, followed by 45 cycles of 20 s at 95 °C and 1 min at 62 °C. The HCV replicon I_{389neo}/NS3-3'/5.1 (Lohmann *et al.*, 1999) RNA was transcribed *in vitro* using a T7 RiboMax Express Large Scale RNA Production System (Promega) and purified twice using gel filtration. The concentration of this transcribed RNA was determined by absorbance at 260 nm and serially diluted 10-fold to prepare a standard curve for each assay.

Liver RNA extraction and HCV RNA quantification. A Wizard SV total RNA Isolation System (Promega) was used to obtain a DNase I-treated total RNA sample. The total RNA concentration was determined by absorbance at 260 nm. Total RNA samples were assayed by duplex real-time RT-PCR for relative quantification of HCV RNA using endogenous control gene expression of human β_2 -microglobulin ($h\beta_2m$; GenBank accession no. NM_004048), the TaqMan probe 5'-CAL Fluor Orange 560-AGTGGGATCG-AGACATGTAAGCAGCATCAT-BHQ-1-3' (nt 401–430), and the forward and reverse primer set of 5'-TTGTCACAGCCCAA-GATAGTT-3' (nt 379–399) and 5'-TGCGGCATCTTCAAACC-3' (nt 434–450). To adjust the efficacy of PCR amplification of both target genes, the reaction condition was modified from the HCV single-probe assay. The temperature for extension was 60 °C, the concentration of the HCV probe was 0.24 nmol ml^{-1} and the reaction mixture contained the TaqMan probe/primer set for $h\beta_2m$: 0.2 nmol primers ml^{-1} and 0.12 nmol TaqMan probe ml^{-1} . Because both target genes double after one cycle of PCR, a difference in Ct between HCV and $h\beta_2m$ ($\Delta Ct = Ct_{\text{HCV}} - Ct_{h\beta_2m}$) theoretically indi-

cates a relative quantity of HCV RNA per control gene expression of $2^{-\Delta\Delta Ct}$.

Determination of drug concentration. Plasma and liver samples were analysed using chiral liquid chromatography followed by tandem mass spectrometry. After reconstitution, sample extracts were separated by normal-phase chromatography on a 2×250 mm Hypersil CPS-1 column (Thermo Hypersil-Keystone) with a mobile phase of heptane:acetone:methanol (82:17:1). Analyte concentrations were determined by turbo ion spray liquid chromatography/tandem mass spectrometry in the positive-ion mode. Analysis was performed at SRL or Mitsubishi Chemical Medience.

Statistical analysis. The HCV RNA level in serum was normalized by logarithmic conversion. Statistical analysis was performed with a mixed linear model using SAS (SAS Institute). Mean differences between two groups were evaluated with Student's *t*-test. The difference compared with vehicle control at each time point was evaluated by Dunnett's multiple comparisons test. Linear and non-linear regression analyses were performed using GraphPad Prism 5 (GraphPad Software).

Viral dynamics model analysis. The basic mathematical model for the analysis of HCV infection *in vivo*, which is a system of three ordinary differential equations for uninfected cells (T), productively infected cells (I) and free virus (V), has been reviewed elsewhere (Perelson & Ribeiro, 2008). Briefly, one of the three equations ($dV/dt = pI - cV$), where viral particles are produced at rate p per infected cell and cleared at rate c per virion, was solved. During treatment for 2–3 days, if one assumes that the number of I is approximately constant and equal to its pre-treatment value and that the viral level was at its set-point value (V_0), then $pI = cV_0$. Using this relationship in the equation $dV/dt = (1 - \epsilon)pI - cV$, where ϵ is the effectiveness in blocking virion production, yields $dV/dt = (1 - \epsilon)cV_0 - cV$, $V(0) = V_0$ with the solution $V(t) = V_0(1 - \epsilon + \epsilon e^{-ct})$. Because the log change of viral load at time t [$\log \Delta V(t)$] equals $\log V(t)/V_0$, the solved equation [$\log \Delta V(t) = \log(1 - \epsilon + \epsilon e^{-ct})$] was fitted to the values obtained in this study via non-linear least-squares regression in order to estimate ϵ and c .

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Prolonged recurrence-free survival following OK432-stimulated dendritic cell transfer into hepatocellular carcinoma during transarterial embolization

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Introduction

Many locoregional therapeutic approaches including surgical resection, radiofrequency ablation (RFA) and transcatheter hepatic arterial embolization (TAE) have been taken in the search for curative treatments of hepatocellular carcinoma (HCC). Despite these efforts, tumour recurrence rates remain high [1,2], probably because active hepatitis and cirrhosis in the surrounding non-tumour liver tissues causes *de novo* development of HCC [3,4]. One strategy to reduce tumour recurrence is to enhance anti-tumour immune responses that may induce sufficient inhibitory effects to prevent tumour cell growth and survival [5,6]. Dendritic

Summary

Despite curative locoregional treatments for hepatocellular carcinoma (HCC), tumour recurrence rates remain high. The current study was designed to assess the safety and bioactivity of infusion of dendritic cells (DCs) stimulated with OK432, a streptococcus-derived anti-cancer immunotherapeutic agent, into tumour tissues following transcatheter hepatic arterial embolization (TAE) treatment in patients with HCC. DCs were derived from peripheral blood monocytes of patients with hepatitis C virus-related cirrhosis and HCC in the presence of interleukin (IL)-4 and granulocyte-macrophage colony-stimulating factor and stimulated with 0.1 KE/ml OK432 for 2 days. Thirteen patients were administered with 5×10^6 of DCs through arterial catheter during the procedures of TAE treatment on day 7. The immunomodulatory effects and clinical responses were evaluated in comparison with a group of 22 historical controls treated with TAE but without DC transfer. OK432 stimulation of immature DCs promoted their maturation towards cells with activated phenotypes, high expression of a homing receptor, fairly well-preserved phagocytic capacity, greatly enhanced cytokine production and effective tumoricidal activity. Administration of OK432-stimulated DCs to patients was found to be feasible and safe. Kaplan–Meier analysis revealed prolonged recurrence-free survival of patients treated in this manner compared with the historical controls ($P = 0.046$, log-rank test). The bioactivity of the transferred DCs was reflected in higher serum concentrations of the cytokines IL-9, IL-15 and tumour necrosis factor- α and the chemokines CCL4 and CCL11. Collectively, this study suggests that a DC-based, active immunotherapeutic strategy in combination with locoregional treatments exerts beneficial anti-tumour effects against liver cancer.

Keywords: dendritic cells, hepatocellular carcinoma, immunotherapy, recurrence-free survival, transcatheter hepatic arterial embolization

cells (DCs) are the most potent type of antigen-presenting cells in the human body, and are involved in the regulation of both innate and adaptive immune responses [7]. DC-based immunotherapies are believed to contribute to the eradication of residual and recurrent tumour cells.

To enhance tumour antigen presentation to T lymphocytes, DCs have been transferred with major histocompatibility complex (MHC) class I and class II genes [8] and co-stimulatory molecules, e.g. CD40, CD80 and CD86 [9,10], and loaded with tumour-associated antigens, including tumour lysates, peptides and RNA transfection [11]. To induce natural killer (NK) and natural killer T (NK T) cell activation, DCs have been stimulated and modified to

Table 1. Patient characteristics.

Patient no.	Gender	Age (years)	HLA	TNM stages	No. of tumours	Largest tumour (mm)	Child–Pugh	KPS	Post-TAE Rx
1	M	60	A11 A33	III	5	35	B	100	RFA
2	M	57	A11 A24	III	1	21	B	100	RFA
3	M	57	A11 A31	III	2	39	B	100	RFA
4	M	77	A2 A24	III	2	35	A	100	RFA
5	F	83	A11 A24	III	3	29	B	100	RFA
6	F	74	A2 A24	II	1	35	A	100	RFA
7	F	72	A24 A33	III	3	41	B	100	RFA
8	F	65	A2 A11	II	4	12	B	100	RFA
9	M	71	A2 A11	II	4	16	A	100	RFA
10	M	79	A11 A24	III	2	40	A	100	RFA
11	M	71	A2 A24	II	1	28	A	100	RFA
12	M	56	A2 A26	III	2	25	B	100	RFA
13	M	64	A2 A33	III	2	37	B	100	RFA

M, male; F, female; TNM, tumour–node–metastasis; Child–Pugh, Child–Pugh classification; KPS, Karnofsky performance scores; TAE, transcatheter arterial embolization; Rx, treatment; HCC, hepatocellular carcinoma; HLA, human leucocyte antigen; RFA, percutaneous radiofrequency ablation.

produce larger amounts of cytokines, e.g. interleukin (IL)-12, IL-18 and type I interferons (IFNs) [10,12]. Furthermore, DC migration into secondary lymphoid organs could be induced by expression of chemokine genes, e.g. C-C chemokine receptor-7 (CCR7) [13], and by maturation using inflammatory cytokines [14], matrix metalloproteinases and Toll-like receptor (TLR) ligands [15].

DCs stimulated with OK432, a penicillin-inactivated and lyophilized preparation of *Streptococcus pyogenes*, were suggested recently to produce large amounts of T helper type 1 (Th1) cytokines, including IL-12 and IFN- γ and enhance cytotoxic T lymphocyte activity compared to a standard mixture of cytokines [tumour necrosis factor- α (TNF- α), IL-1 β , IL-6 and prostaglandin E₂ (PGE₂)] [16]. Furthermore, because OK432 modulates DC maturation through TLR-4 and the β_2 integrin system [16,17] and TLR-4-stimulated DCs can abrogate the activity of regulatory T cells [18], OK432-stimulated DCs may contribute to the induction of anti-tumour immune responses partly by reducing the activity of suppressor cells. Recently, in addition to the orchestration of immune responses, OK432-activated DCs have themselves been shown to mediate strong, specific cytotoxicity towards tumour cells via CD40/CD40 ligand interactions [19].

We have reported recently that combination therapy using TAE together with immature DC infusion is safe for patients with cirrhosis and HCC [20]. DCs were infused precisely into tumour tissues and contributed to the recruitment and activation of immune cells *in situ*. However, this approach by itself yielded limited anti-tumour effects due probably to insufficient stimulation of immature DCs (the preparation of which seems closely related to therapeutic outcome [21,22]). The current study was designed to assess the safety and bioactivity of OK432-stimulated DC infusion into tumour tissues following TAE treatment in patients with cirrhosis and HCC. In addition to documenting the safety of

this approach, we found that patients treated with OK432-stimulated DCs displayed unique cytokine and chemokine profiles and, most importantly, experienced prolonged recurrence-free survival.

Patients and methods

Patients

Inclusion criteria were a radiological diagnosis of primary HCC by computed tomography (CT) angiography, hepatitis C virus (HCV)-related HCC, a Karnofsky score of $\geq 70\%$, an age of ≥ 20 years, informed consent and the following normal baseline haematological parameters (within 1 week before DC administration): haemoglobin ≥ 8.5 g/dl; white cell count $\geq 2000/\mu\text{l}$; platelet count $\geq 50\,000/\mu\text{l}$; creatinine < 1.5 mg/dl and liver damage A or B [23].

Exclusion criteria included severe cardiac, renal, pulmonary, haematological or other systemic disease associated with a discontinuation risk; human immunodeficiency virus (HIV) infection; prior history of other malignancies; history of surgery, chemotherapy or radiation therapy within 4 weeks; immunological disorders including splenectomy and radiation to the spleen; corticosteroid or anti-histamine therapy; current lactation; pregnancy; history of organ transplantation; or difficulty in follow-up.

Thirteen patients (four women and nine men) presenting at Kanazawa University Hospital between March 2004 and June 2006 were enrolled into the study, with an age range from 56 to 83 years (Table 1). Patients with verified radiological diagnoses of HCC stage II or more were eligible and enrolled in this study. In addition, a group of 22 historical controls (nine women and 13 men) treated with TAE without DC administration between July 2000 and September 2007 was included in this study. All patients received RFA therapy to increase the locoregional effects 1 week later [24].

They underwent ultrasound, computed tomography (CT) scan or magnetic resonance imaging (MRI) of the abdomen about 1 month after treatment and at a minimum of once every 3 months thereafter, and tumour recurrences were followed for up to 360 days. The Institutional Review Board reviewed and approved the study protocol. This study complied with ethical standards outlined in the Declaration of Helsinki. Adverse events were monitored for 1 month after the DC infusion in terms of fever, vomiting, abdominal pain, encephalopathy, myalgia, ascites, gastrointestinal disorder, bleeding, hepatic abscess and autoimmune diseases.

Preparation and injection of autologous DCs

DCs were generated from blood monocyte precursors, as reported previously [25]. Briefly, peripheral blood mononuclear cells (PBMCs) were isolated by centrifugation in Lymphoprep™ Tubes (Nycomed, Roskilde, Denmark). For generating DCs, PBMCs were plated in six-well tissue culture dishes (Costar, Cambridge, MA, USA) at 1.4×10^7 cells in 2 ml per well and allowed to adhere to plastic for 2 h. Adherent cells were cultured in serum-free media (GMP CellGro® DC Medium; CellGro, Manassas, VA, USA) with 50 ng/ml recombinant human IL-4 (GMP grade; CellGro®) and 100 ng/ml recombinant human granulocyte-macrophage colony-stimulating factor (GM-CSF) (GMP grade; CellGro®) for 5 days to generate immature DC, and matured for a further 2 days in 0.1 KE/ml OK432 (Chugai Pharmaceuticals, Tokyo, Japan) to generate OK-DC. On day 7, the cells were harvested for injection, 5×10^6 cells were suspended in 5 ml normal saline containing 1% autologous plasma, mixed with absorbable gelatin sponge (Gelfoam; Pharmacia & Upjohn, Peapack, NJ, USA) and infused through an arterial catheter following Lipiodol (iodized oil) (Lipiodol Ultrafluide, Laboratoire Guerbet, Aulnay-Sous-Bois, France) injection during selective TAE therapy. Release criteria for DCs were viability > 80%, purity > 30%, negative Gram stain and endotoxin polymerase chain reaction (PCR) and negative in process cultures from samples sent 48 h before release. All products met all release criteria, and the DCs had a typical phenotype of CD14⁻ and human leucocyte antigen (HLA)-DR⁺.

Flow cytometry analysis

The DC preparation was assessed by staining with the following monoclonal antibodies for 30 min on ice: anti-lineage cocktail 1 (lin-1; CD3, CD14, CD16, CD19, CD20 and CD56)-fluorescein isothiocyanate (FITC), anti-HLA-DR-peridinin chlorophyll protein (PerCP) (L243), anti-CCR7-phycoerythrin (PE) (3D12) (BD PharMingen, San Diego, CA, USA), anti-CD80-PE (MAB104), anti-CD83-PE (HB15a) and anti-CD86-PE (HA5.2B7) (Beckman Coulter, Fullerton, CA, USA). Cells were analysed on a fluorescence activated cell sorter (FACS0Calibur™ flow cytometer. Data

analysis was performed with CELLQuest™ software (Becton Dickinson, San Jose, CA, USA).

DC phagocytosis

Immature DCs and OK432-stimulated DCs were incubated with 1 mg/ml FITC dextran (Sigma-Aldrich, St Louis, MO, USA) for 30 min at 37°C and the cells were washed three times in FACS buffer before cell acquisition using a FACS-Calibur™ cytometer. Control DCs (not incubated with FITC dextran) were acquired at the same time to allow background levels of fluorescence to be determined.

Enzyme-linked immunosorbent assay (ELISA)

DCs were seeded at 200 000 cells/ml, and supernatant collected after 48 h. IL-12p40 and IFN- γ were detected using matched paired antibodies (BD Pharmingen) following standard protocols.

Cytotoxicity assays

The ability of DCs to exert cytotoxicity was assessed in a standard ⁵¹Cr release assay [19]. We used the HCC cell lines Hep3B and PLC/PRF/5 [American Type Culture Collection (ATCC), Manassas, VA, USA] and a lymphoblastoid cell line T2 that expresses HLA-A*0201 (ATCC) as target cells. Target cells were labelled with ⁵¹Cr. In a 96-well plate, 2.5×10^3 target cells per well were incubated with DCs for 8 h at different effector/target (E/T) ratios in triplicate. Percentage of specific lysis was calculated as follows: (experimental release – spontaneous release)/(maximum release – spontaneous release) \times 100. Spontaneous release was always < 20% of the total.

NK cell activity

NK cell cytotoxicity against K562 erythroleukemia target cells was measured by using ⁵¹Cr-release assay, according to previously published methods [26], with PBMCs obtained from the patients. All experiments were performed in triplicate. Percentage of cytotoxicity was calculated as follows: {[experimental counts per minute (cpm) – spontaneous cpm]/[total cpm – spontaneous cpm]} \times 100.

Intracellular cytokine expression

Freshly isolated PBMCs were stimulated with 25 ng/ml phorbol 12-myristate 13-acetate (PMA; Sigma-Aldrich) and 1 μ g/ml ionomycin (Sigma-Aldrich) at 37°C in humidified 7% CO₂ for 4 h. To block cytokine secretion, brefeldin A (Sigma) [27] was added to a final concentration of 10 μ g/ml. After addition of stimuli, the surface staining was performed with anti-CD4-PC5 (13B8.2), anti-CD8-PerCP (SK1) and anti-CD56-PC5 (N901) (Beckman

Coulter). Subsequently, the cells were permeabilized, stained for intracellular IFN- γ and IL-4 using the FastImmune™ system (BD Pharmingen), resuspended in phosphate-buffered saline (PBS) containing 1% paraformaldehyde (PFA), and analysed on a flow cytometer ($\approx 10\,000$ gated events acquired per sample).

IFN- γ enzyme-linked immunospot (ELISPOT) assay

ELISPOT assays were performed as described previously with the following modifications [28–30]. HLA-A24 restricted peptide epitopes, squamous cell carcinoma antigen recognized by T cells 2 (SART2)₈₉₉ (SYTRLFLIL), SART3₁₀₉ (VYDYNCHVDL), multi-drug resistance protein 3 (MRP3)₇₆₅ (VYSADIFL), MRP3₅₀₃ (LYAWEPSFL), MRP3₆₉₂ (AYVPQAWI), alpha-fetoprotein (AFP)₄₀₃ (KVIQESQAL), AFP₄₃₄ (AYTKKAPQL), AFP₃₅₇ (EYSRRHPQL), human telomerase reverse transcriptase (hTERT)₁₆₇ (AYQVCGPPL) (unpublished), hTERT₄₆₁ (VYGFVRACL) and hTERT₃₂₄ (VYAETKHFLL) were used in this study. Negative controls consisted of an HIV envelope-derived peptide (HIVenv₅₈₄). Positive controls consisted of 10 ng/ml PMA (Sigma) or a CMV pp65-derived peptide (CMVpp65₃₂₈). The coloured spots were counted with a KS ELISPOT Reader (Zeiss, Tokyo, Japan). The number of specific spots was determined by subtracting the number of spots in the absence of antigen from the number of spots in its presence. Responses were considered positive if more than 10 specific spots were detected and if the number of spots in the presence of antigen was at least twofold greater than the number of spots in the absence of antigen.

Cytokine and chemokine profiling

Serum cytokine and chemokine levels were measured using the Bioplex assay (Bio-Rad, Hercules, CA, USA). Briefly, frozen serum samples were thawed at room temperature, diluted 1:4 in sample diluents, and 50 μ l aliquots of diluted sample were added in duplicate to the wells of a 96-well microtitre plate containing the coated beads for a validated panel of 27 human cytokines and chemokines (cytokine 27-plex antibody bead kit) according to the manufacturer's instructions. These included IL-1 β , IL-1Ra, IL-2, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-12p70, IL-13, IL-15, IL-17, basic fibroblast growth factor (FGF), eotaxin, G-CSF, GM-CSF, IFN- γ , interferon gamma-induced protein (IP)-10, monocyte chemoattractant protein (MCP)-1, MIP-1 α , MIP-1 β , platelet-derived growth factor (PDGF)-BB, regulated upon activation normal T cell-expressed and secreted (RANTES), TNF- α and vascular endothelial growth factor (VEGF). Eight standards (ranging from 2 to 32 000 pg/ml) were used to generate calibration curves for each cytokine. Data acquisition and analysis were performed using Bio-Plex Manager software version 4.1.1.

Arginase activity

Serum samples were tested for arginase activity by conversion of L-arginine to L-ornithine [31] using a kit supplied by the manufacturer (BioAssay Systems, Hayward, CA, USA). Briefly, sera were treated with a membrane filter (Millipore, Billerica, MA, USA) to remove urea, combined with the sample buffer in wells of a 96-well plate, and incubated at 37°C for 2 h. Subsequently, the urea reagent was added to stop the arginase reaction. The colour produced was read at 520 nm using a microtitre plate reader.

Statistical analysis

Results are expressed as means \pm standard deviation (s.d.). Differences between groups were analysed for statistical significance by the Mann–Whitney *U*-test. Qualitative variables were compared by means of Fisher's exact test. The estimated probability of tumour recurrence-free survival was determined using the Kaplan–Meier method. The Mantel–Cox log-rank test was used to compare curves between groups. Any *P*-values less than 0.05 were considered statistically significant. All statistical tests were two-sided.

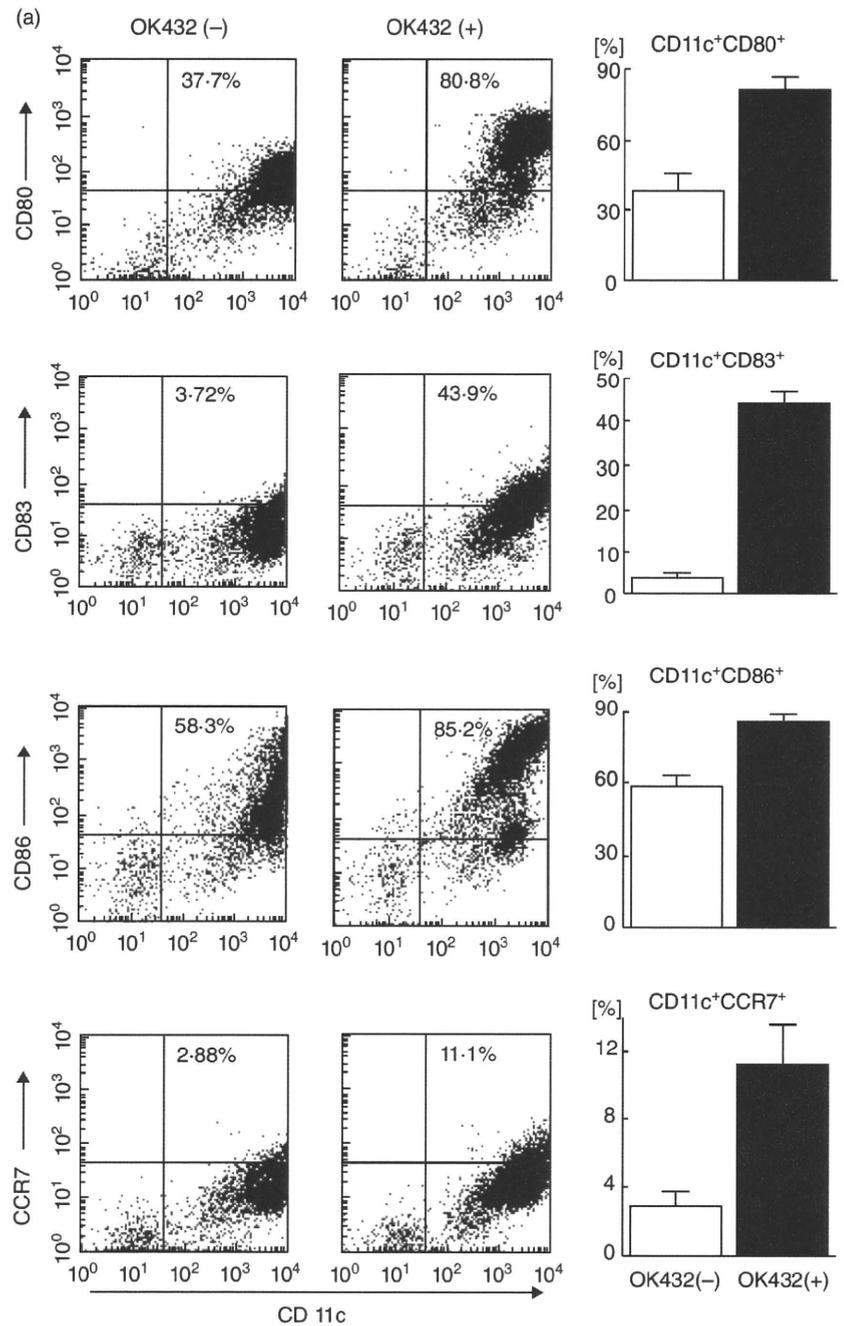
Results

Preparation of OK432-stimulated DCs

Adherent cells isolated from PBMCs of patients with cirrhosis and HCC (Table 1) were differentiated into DCs in the presence of IL-4 and GM-CSF. The cells were stimulated with 0.1 KE/ml OK432 for 3 days; 54.6 \pm 9.5% (mean \pm s.d.; *n* = 13) of OK432-stimulated cells showed high levels of MHC class II (HLA-DR) and the absence of lineage markers including CD3, CD14, CD16, CD19, CD20 and CD56, in which 30.9 \pm 14.2% were CD11c-positive (myeloid DC subset) and 14.8 \pm 11.2 were CD123-positive (plasmacytoid DC subset), consistent with our previous observations [20]. As reported [32,33], greater proportions of the cells developed high levels of expression of the co-stimulatory molecules B7-1 (CD80) and B7-2 (CD86) and an activation marker (CD83) compared to DCs prepared without OK432 stimulation (Fig. 1a). Furthermore, the chemokine receptor CCR7 which leads to homing to lymph nodes [13,34] was also induced following OK432 stimulation.

To evaluate the endocytic and phagocytic ability of the OK432-stimulated cells, uptake of FITC-dextran was quantitated by flow cytometry (Fig. 1b). The cells showed lower levels of uptake due to maturation compared to DCs prepared without OK432 stimulation, while the OK432-stimulated cells derived from HCC patients preserved a moderate uptake capacity. As expected, the OK432-stimulated cells produced large amounts of cytokines IL-12 and IFN- γ (Fig. 1c). In addition, they displayed high cyto-

Fig. 1. Effects of OK432 stimulation on the properties of dendritic cells (DCs) generated from blood monocyte precursors in patients with cirrhosis and hepatocellular carcinoma (HCC) ($n = 13$). (a) Lineage cocktail 1 (lin^{-}) human leucocyte antigen D-related (HLA-DR $^{-}$) subsets with [OK432(+)] and without [OK432(-)] stimulation were analysed for surface expression of CD80, CD83, CD86 and CCR7. Dot plots of a representative case are shown in the left-hand panel. Mean percentages [\pm standard deviation (s.d.)] of positive cells are indicated in the right-hand panel. OK432 stimulation resulted in the expression of high levels of CD80, CD83, CD86 and CCR7 in the lin^{-} human leucocyte antigen D-related (HLA-DR $^{-}$) DC subset. (b) DC subsets with and without OK432 stimulation were incubated with fluorescein isothiocyanate (FITC) dextran for 30 min and the uptake was determined by flow cytometry. A representative analysis is shown in the upper panel. Mean fluorescence intensities (MFIs) (\pm s.d.) of the positive cells are indicated in the lower panel. OK432-stimulated cells showed lower levels of uptake due to maturation. (c) DC supernatants were harvested and the concentrations of interleukin (IL)-12 and interferon (IFN)- γ measured by enzyme-linked immunosorbent assay (ELISA). OK432-stimulated cells produced large amounts of the cytokines. The data indicate means \pm s.d. of the groups with and without the stimulation. All comparisons in (a–c) [OK432(+)] versus [OK432(-)] were statistically significant by the Mann-Whitney U -test ($P < 0.005$). (d) Tumoricidal activity of DCs assessed by incubation with ^{51}Cr -labelled Hep3B, PLC/PRF/5 and T2 targets for 8 h at the indicated effector/target (E/T) cell ratios. OK432-stimulated cells displayed high cytotoxic activity against the target cells. The results are representative of the cases studied.



toxic activity against HCC cell lines (Hep3B and PLC/PRF/5) and a lymphoblastoid cell line (T2) although DCs without OK432 stimulation lysed none of the target cells to any great degree (Fig. 1d). Taken together, these results demonstrate that OK432 stimulation of IL-4 and GM-CSF-induced immature DCs derived from HCC patients promoted their maturation towards cells with activated phenotypes, high expression of a homing receptor, fairly well-preserved phagocytic capacity, greatly enhanced cytokine production and effective tumoricidal activity, consistent with previous observations [16,19].

Safety of OK432-stimulated DC administration

Prior to the administration of OK432-stimulated DCs to patients, the cells were confirmed to be safe in athymic nude mice to which 100-fold cell numbers/weight were injected subcutaneously (data not shown). Subsequently, OK432-stimulated DC administration was performed during TAE therapy in humans, in which DCs were mixed together with absorbable gelatin sponge (Gelfoam) and infused through an arterial catheter following iodized oil (Lipiodol) injection, as reported previously [20]. Adverse events were

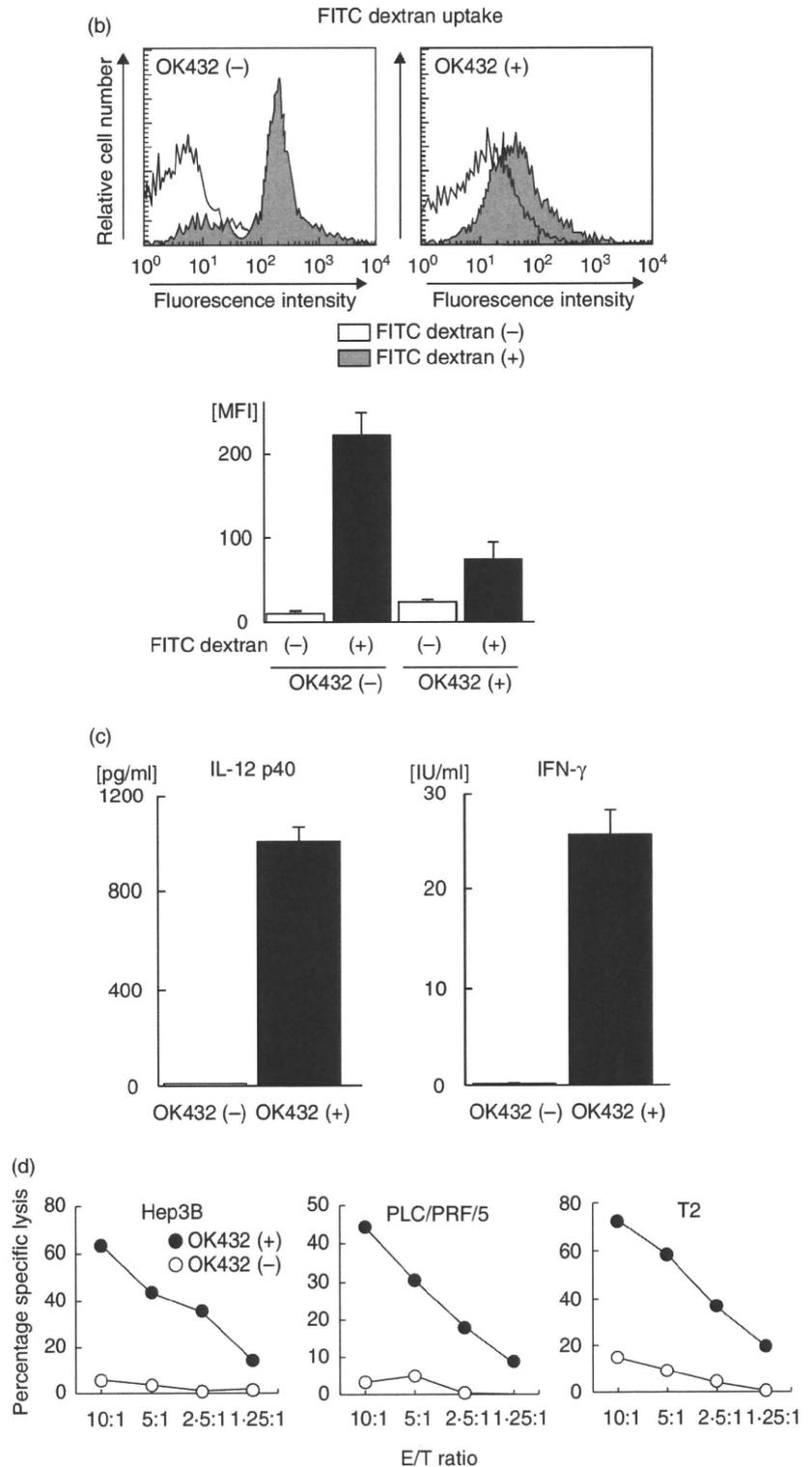


Fig. 1. *Continued*

monitored clinically and biochemically after DC infusion (Table 2). A larger proportion (12 of 13) of the patients were complicated with high fever compared to those treated previously with immature DCs (five of 10) [20], due probably to the proinflammatory responses induced by OK432-stimulated DCs. However, there were no grades III or IV

National Cancer Institute Common Toxicity Criteria adverse events, including vomiting, abdominal pain, encephalopathy, myalgia, ascites, gastrointestinal disorders, bleeding, hepatic abscess or autoimmune diseases associated with DC infusion and TAE in this study. There was also no clinical or serological evidence of hepatic failure or autoimmune

Table 2. Adverse events.

Patient no.	Fever (days)	Vomiting	Abdominal pain	Encephalopathy	Others [†]
1	2	No	No	No	No
2	2	No	No	No	No
3	1	No	No	No	No
4	3	No	No	No	No
5	3	No	No	No	No
6	4	No	No	No	No
7	10	No	No	No	No
8	No	No	No	No	No
9	2	No	No	No	No
10	1	No	No	No	No
11	2	No	No	No	No
12	2	No	No	No	No
13	1	No	No	No	No

[†]Other adverse events include myalgia, ascites, gastrointestinal disorder, bleeding, hepatic abscess and autoimmune diseases.

response in any patients. Thus, concurrent treatment with OK432-stimulated DC infusions can be performed safely at the same time as TAE in patients with cirrhosis and HCC.

Recurrence-free survival following DC infusion

A further objective of this study was to determine clinical response following DC infusion. A group of historical controls treated with TAE without DC administration was reviewed for this study (Table 3). The clinical characteristics including tumour burden and hepatic reserve were comparable between patients treated with TAE and OK432-stimulated DC transfer ($n = 13$) and those historical controls with TAE but without DC administration ($n = 22$). We com-

pared the recurrence-free survival between these patient groups. Kaplan–Meier analysis indicated that patients treated with TAE and OK432-stimulated DC transfer had prolonged recurrence-free survival compared with the historical controls that had been treated with TAE alone (recurrence rates 360 days after the treatments; two of 13 and 12 of 22, respectively; $P = 0.046$, log-rank test) (Fig. 2). The results demonstrated that OK432-stimulated DC transfer during TAE therapy reduces tumour recurrence in HCC patients.

NK cell activity and intracellular cytokine responses in PBMCs

To assess systemic immunomodulatory effects of OK432-stimulated DC transfer, PBMCs were isolated 1 and 3 months after treatment and NK cell cytotoxicity against K562 erythroleukaemia target cells measured using the ⁵¹Cr-release assay (Fig. 3). The level of NK cell was unaltered following treatment. In addition, cytokine production capacity of lymphocyte subsets was quantitated by measuring intracellular IFN- γ and IL-4 using flow cytometry. There were also no significant changes in terms of cytokine production capacity in the CD4⁺, CD8⁺ and CD56⁺ subsets in the patients treated with OK432-stimulated DCs.

Immune responses to peptide epitopes derived from tumour antigens

To assess the effects on T cell responses to tumour antigens, PBMCs were obtained 4 weeks after DC infusion, pulsed with peptides derived from AFP, MRP3, SART2, SART3 and hTERT. IFN- γ production was then quantitated in an

Table 3. Clinical characteristics of patients treated with TAE + OK-DC and TAE alone.

	TAE + OK-DC	TAE	<i>P</i>
No. of patients	13	22	
Age (years)	68.2 \pm 9.1	70.0 \pm 7.6	n.s. [‡]
Gender (M/F)	9/4	13/9	n.s. [‡]
White cell count ($\times 10^2/\mu\text{l}$)	34.4 \pm 11.6	41.4 \pm 18.9	n.s. [‡]
Lymphocytes ($\times 10^2/\mu\text{l}$)	10.4 \pm 3.6	12.4 \pm 4.7	n.s. [‡]
Platelets ($\times 10^4/\mu\text{l}$)	11.5 \pm 10.2	10.3 \pm 5.8	n.s. [‡]
Hepaplastin test (%)	64.6 \pm 11.6	75.5 \pm 24.3	n.s. [‡]
ALT (IU/l)	56.7 \pm 38.9	67.9 \pm 44.6	n.s. [‡]
Total bilirubin (mg/dl)	1.3 \pm 0.7	1.1 \pm 0.6	n.s. [‡]
Albumin (g/dl)	3.4 \pm 0.6	3.6 \pm 0.4	n.s. [‡]
Non-cancerous liver parenchyma (no.)			
Chronic hepatitis	0	8	
Cirrhosis (Child–Pugh A/B/C)	13 (5/8/0)	14 (6/8/0)	n.s. [‡]
TNM stages (I/II/III/IV-A/IV-B)	0/4/9/0/0	3/8/11/0/0	n.s. [‡]
No. of tumours	2.5 \pm 1.3	1.9 \pm 1.3	n.s. [‡]
Largest tumour (mm)	30.2 \pm 9.4	32.6 \pm 15.2	n.s. [‡]
AFP	204.8 \pm 404.1	201.8 \pm 544.2	n.s. [‡]

Results are expressed as means \pm standard deviation. [†]Mann–Whitney *U*-test. [‡]Fisher's exact test. TAE, transcatheter arterial embolization; OK-DC, OK432-stimulated dendritic cells; ALT, alanine transaminase; TNM, tumour–node–metastasis; AFP, alpha-fetoprotein; Child–Pugh, Child–Pugh classification; n.s., not significant.

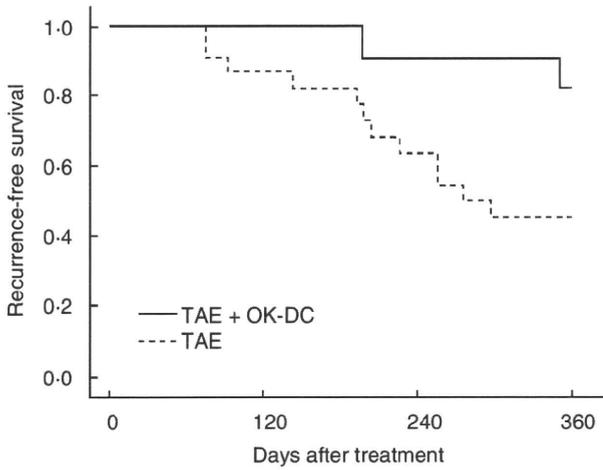


Fig. 2. Recurrence-free survival of patients treated with transcatheter hepatic arterial embolization (TAE) with [TAE + OK-stimulated dendritic cells (DC); $n = 13$] and without (TAE: historical controls; $n = 22$) OK432-stimulated DC administration. Time zero is the date of TAE. All patients underwent ultrasound, computed tomography (CT) scan or magnetic resonance imaging (MRI) of the abdomen about 1 month after treatment and at a minimum of once every 3 months thereafter. Kaplan–Meier analysis indicated that TAE + OK-DC treatment prolonged recurrence-free survival compared with the TAE-alone group (recurrence rates 360 days after the treatments; two of 13 and 12 of 22, respectively; $P = 0.046$, log-rank test).

ELISPOT assay. Cells producing IFN- γ in response to stimulation with HLA-A24 [the most common HLA-A antigen (58.1%) in Japanese populations [35]]-restricted peptide epitopes derived from tumour antigens MRP3 and hTERT were induced in three of six HLA-A24-positive patients (numbers 2, 6 and 11) after treatment with TAE and OK432-stimulated DCs (Fig. 4). To understand the immunological and clinical significance of the T lymphocyte responses, PBMCs obtained from the historical control patients who had been treated with TAE without DC administration were also evaluated by ELISPOT. Similarly, positive reactions were observed in four (numbers t8, t19, t20 and t22) of six HLA-A24-positive patients. These data indicate that T lymphocyte responses to HLA-A24 restricted peptide epitopes of tumour antigens were induced following the TAE therapy, but no additional responses were observed as a result of OK432-stimulated DC transfer in the current study.

Serum levels of cytokines, chemokines and arginase activity

To screen for immunobiological responses induced following OK432-stimulated DC transfer, serum levels of cytokines and chemokines were measured simultaneously using the Bio-Plex multiplex suspension array system. The results were compared with the historical control patients treated with TAE without DC administration. Interestingly, serum con-

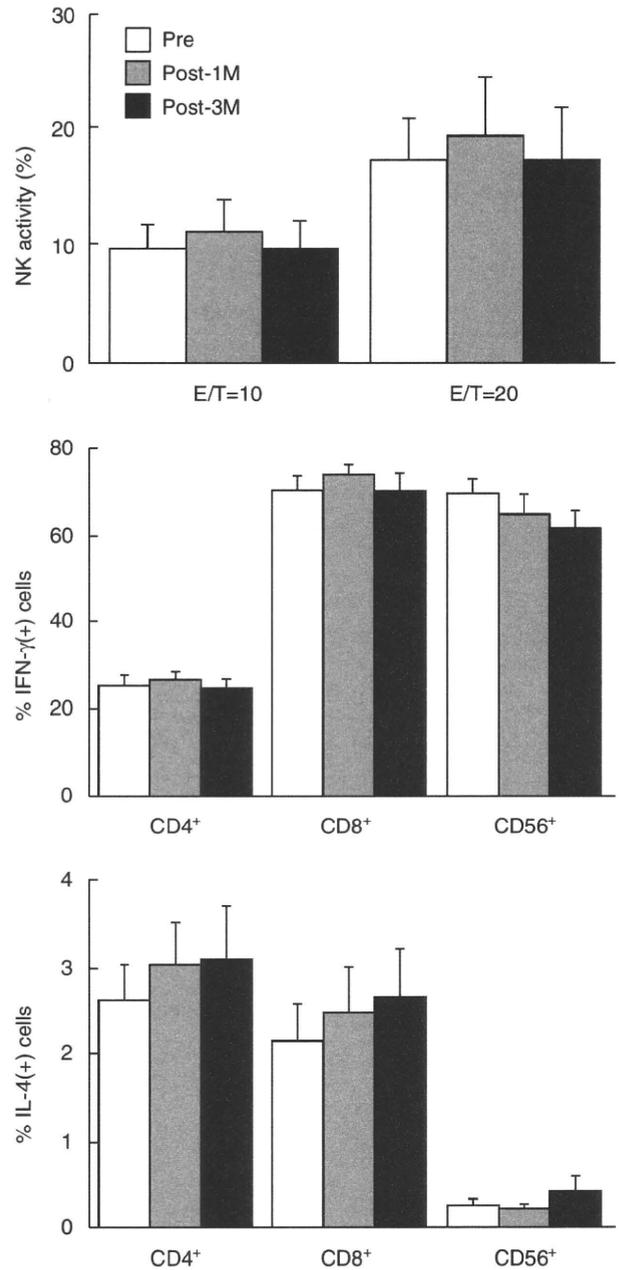
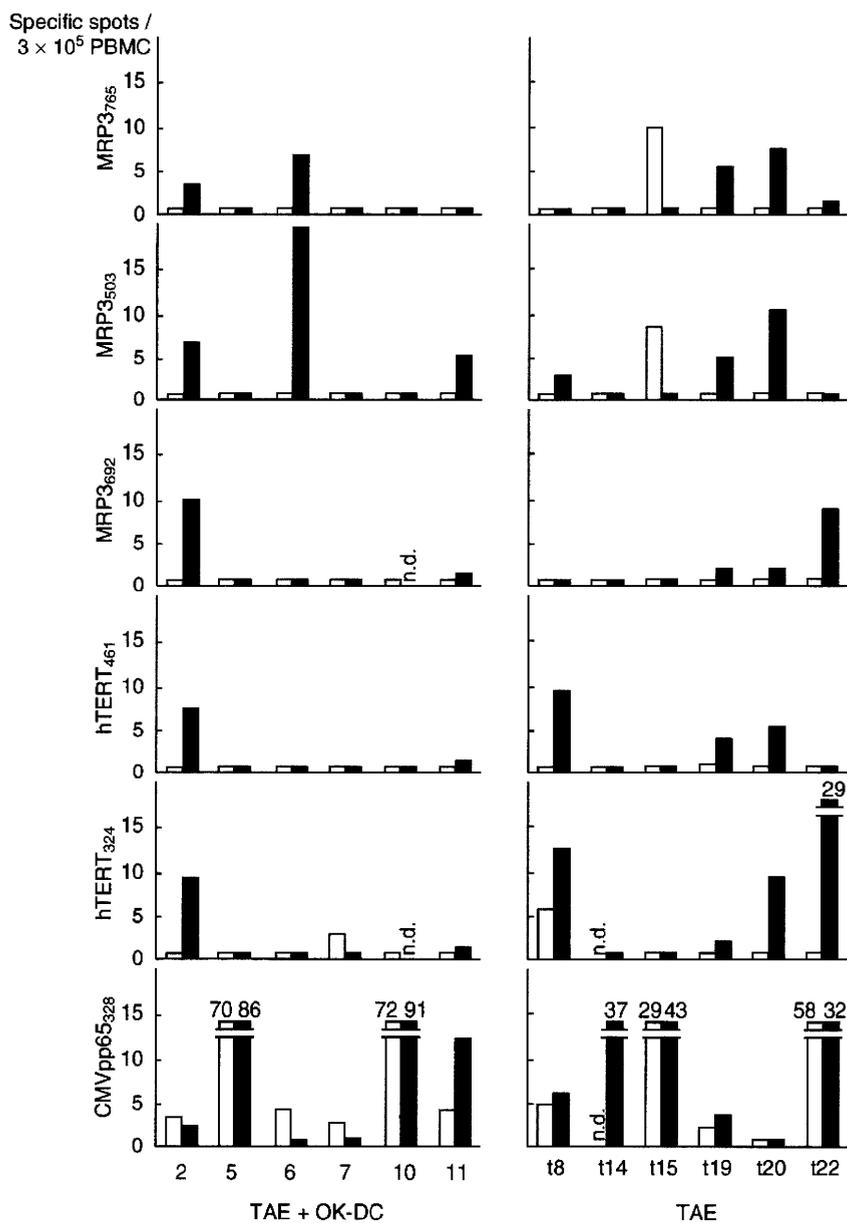


Fig. 3. Natural killer (NK) cell activity and intracellular cytokine production in peripheral blood mononuclear cells (PBMCs) of patients treated with OK432-stimulated dendritic cells (DCs) during transcatheter hepatic arterial embolization (TAE) therapy ($n = 13$). PBMCs were isolated before and 1 and 3 months after treatment and used for the analyses. Upper panel: NK cell cytotoxicity against K562 erythroleukaemia target cells was evaluated at the effector/target (E/T) cell ratios shown. NK cell activities were not changed following treatment. Middle and lower panels: PBMCs were stimulated with phorbol 12-myristate 13-acetate (PMA) and ionomycin, stained for CD4, CD8 and CD56 expression, permeabilized and stained for intracellular interferon (IFN)- γ and interleukin (IL)-4. Percentages of cytokine-positive cells were quantitated by flow cytometry. There were no significant changes in terms of cytokine production capacity in the CD4+, CD8+ and CD56+ subsets following the treatments. The data are given as means \pm standard deviation of the groups.

Fig. 4. Immune responses to human leucocyte antigen (HLA-DR⁻)-A24-restricted peptide epitopes derived from tumour antigens in HLA-A24-positive patients treated with OK432-stimulated DCs during transcatheter hepatic arterial embolization (TAE) therapy (numbers 2, 5, 6, 7, 10 and 11) and HLA-A24-positive historical controls treated with TAE without dendritic cell (DC) transfer (numbers t8, t14, t15, t19, t20 and t22). Peripheral blood mononuclear cells (PBMCs) were obtained before (open bars) and 1 month after the infusion (solid bars), pulsed with the peptides derived from squamous cell carcinoma antigen recognized by T cells 2 (SART2), SART3, multi-drug resistance protein 3 (MRP3), alpha-fetoprotein (AFP), human telomerase reverse transcriptase (hTERT) and interferon (IFN)- γ production was quantitated by enzyme-linked immunospot (ELISPOT). Negative controls consisted of a human immunodeficiency virus (HIV) envelope-derived peptide (HIVenv₅₈₄). Positive controls consisted of 10 ng/ml phorbol 12-myristate 13-acetate (PMA) or a cytomegalovirus (CMV) pp65-derived peptide (CMVpp65₃₂₈). The number of specific spots was determined by subtracting the number of spots in the absence of antigen from the number of spots in its presence. T lymphocyte responses to the peptide epitopes were induced following TAE therapy, but no additional responses were observed after DC transfer. Numbers denote specific spots beyond the upper limit of γ -axis; n.d., not determined.



centrations of IL-9, IL-15 and TNF- α were greatly increased after OK432-stimulated DC infusion, in contrast to their reduction following TAE treatment alone (Fig. 5a). Furthermore, the chemokines eotaxin (CCL11) and MIP-1 β (CCL4) were induced markedly after DC transfer, although they were also decreased after TAE alone. These data indicate that transfer of OK432-stimulated DC during TAE therapy induced unique immune responses that may be mediated by the cytokines IL-9, IL-15 and TNF- α and the chemokines eotaxin and MIP-1 β .

In addition, serum arginase activity was reported to reflect numbers of myeloid-derived suppressor cells (MDSCs) that may inhibit T lymphocyte responses in cancer patients [36]. Therefore, serum arginase activity was measured after OK432-stimulated DC infusion, and it was found that it was

increased six- or sevenfold in patients treated with TAE. However, this increase was independent of the presence or absence of OK432-stimulated DC transfer (Fig. 5b). None the less, serum arginase activity was decreased again 4 weeks after treatment with both TAE and OK432-stimulated DC transfer but tended to be maintained at a high levels in patients treated with TAE without DC transfer. However, these differences did not reach statistical significance ($P > 0.05$). Because arginase activity is known to be relatively high in liver and HCC cells [37], the influence of tissue injury was assessed biochemically by measuring serum levels of ALT and LDH activities. We did not observe ALT or LDH elevation, indicating that the increase of arginase activity was not due to tissue damage following treatment. Collectively, these results demonstrate that infusion of OK432-stimulated

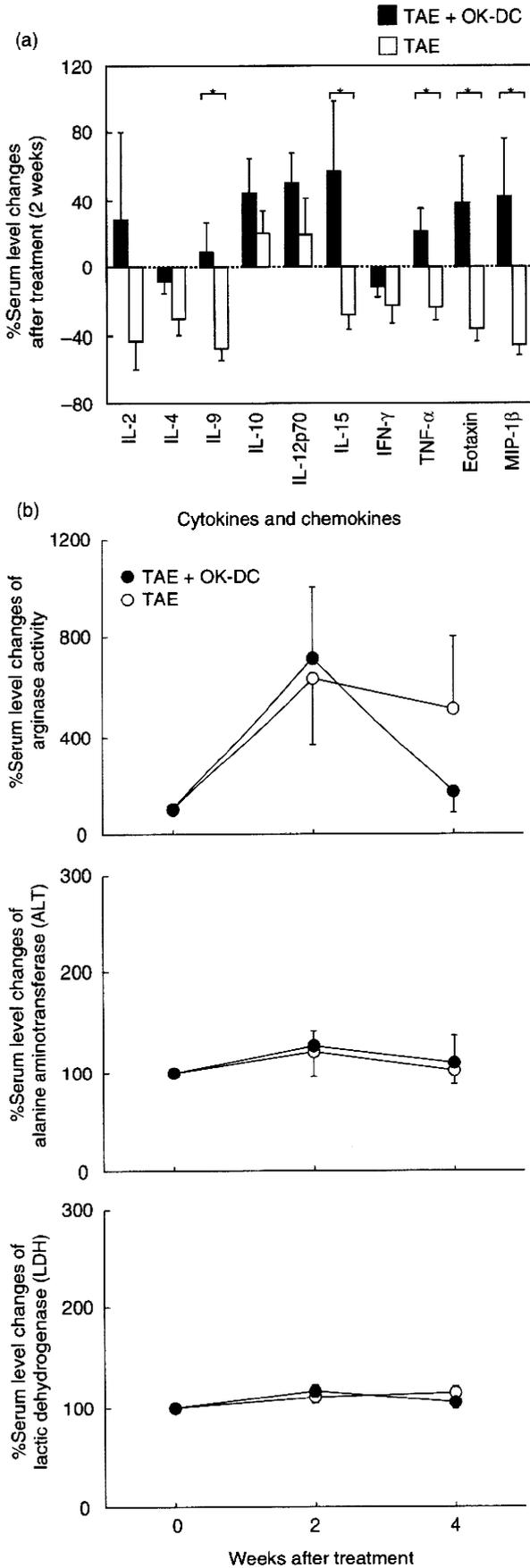


Fig. 5. Cytokine and chemokine profiling and arginase activity in sera of patients treated with OK432-stimulated dendritic cells (DCs) during transcatheter hepatic arterial embolization (TAE) therapy (TAE + OK-DC; $n = 13$) and the historical controls treated with TAE without DC transfer (TAE; $n = 22$). (a) Serum samples were examined for their content of a validated panel of cytokines and chemokines using the Bioplex assay. Percentage changes in serum levels 2 weeks after the treatments were calculated as follows: $[(\text{post-treatment level} - \text{pretreatment level}) / \text{pretreatment level}] \times 100$. The data are means \pm standard error of the mean (s.e.m.) of the groups. $*P < 0.05$ when compared by the Mann-Whitney U -test. (b) Serum samples were tested for arginase activity by conversion of L-arginine to L-ornithine, and for alanine aminotransferase (ALT) and lactic dehydrogenase (LDH) activities. While there was a trend for the arginase activity in the TAE + OK-DC group to decrease 4 weeks after treatment, the difference did not reach statistical significance ($P > 0.05$). Percentage changes in serum levels 2 weeks after the treatments were calculated as follows: $[(\text{post-treatment level} - \text{pretreatment level}) / \text{pretreatment level}] \times 100$. The data indicate means \pm s.e.m. of the groups.

DCs during TAE treatment may reduce the immunosuppressive activities of MDSCs, and assist in developing a favourable environment for the induction of anti-tumour immunity.

Discussion

Although many novel strategies, including immunotherapies, have been developed in an attempt to suppress tumour recurrence after curative treatments for HCC, recurrence rates and survival times have not been improved significantly [38]. In the current study, we first established that OK432-stimulated DC administration during TAE therapy did not cause critical adverse events in patients with cirrhosis and HCC. Most importantly, DC transfer resulted in prolonged recurrence-free survival after combination therapy with TAE and OK432-stimulated DC administration. In terms of the immunomodulatory effects of DC transfer, although NK cell activity, intracellular cytokine production and T lymphocyte-mediated immune responses were not altered in PBMCs from treated patients, serum levels of IL-9, IL-15 and TNF- α and the chemokines eotaxin and MIP-1 β were enhanced markedly after DC transfer. In addition, serum levels of arginase activity were decreased following DC transfer. Collectively, this study demonstrated the feasibility, safety and beneficial anti-tumour effects of OK432-stimulated DC infusion into tumour tissues for patients with cirrhosis and HCC, suggesting the ability of an active immunotherapeutic strategy to reduce tumour recurrence after locoregional treatment of HCC.

DCs were stimulated with OK432 prior to infusion into tumour tissues through an arterial catheter. OK432 was reported to activate DCs through its binding to TLR-2 and -4 [16,39] that can be used for cancer therapy [33]. The current results indicate that OK432 stimulation of immature DCs

from HCC patients promoted their maturation processes while preserving antigen uptake capacity and enhancing tumoricidal activity, consistent with previous observations [16,19] and supporting the current strategy in which OK432-stimulated DCs were infused directly into tumour tissues. Because the tumoricidal activity of unstimulated DCs was not observed in *in vitro* experiments, OK432 stimulation obviously altered the cytotoxic properties of DCs. One of the mechanisms of DC killing was reported to be CD40/CD40 ligand interaction [19]. Further studies are needed to determine the killing mechanisms of DCs derived from HCC patients in a direct [TNF, TNF-related apoptosis inducing ligand (TRAIL), Fas ligand, nitric oxide (NO) and perforin/granzyme] and indirect (MHC-restricted) manner [40–43]. Although the main mechanism by which OK432-stimulated DCs prolonged the recurrence-free survival was not elucidated, the tumoricidal activity of mature DCs was implicated in *in vivo* enhancement of antigen presentation, co-stimulation and inflammatory cytokine production.

Very recent reports document injection of OK432-stimulated DCs into patients with cancer of the gastrointestinal tract or pancreas [44,45], but their anti-tumour effects were not defined clearly. The current study shows for the first time that OK432-stimulated DCs induce beneficial anti-tumour responses when transferred into tumour tissues during TAE therapy. The anti-tumour responses may have been enhanced as a result of optimal activation of the DCs with OK432 or combining infusion of stimulated DCs with TAE therapy. Inappropriately activated DCs may be unable to generate sufficient numbers of properly activated effector T lymphocytes [46]. As shown in Fig. 1, all these alterations could contribute to the further enhancement of anti-tumour effects compared to those in our previous study with immature DCs [20]. Furthermore, the tumour cell death-promoting therapies, e.g. chemotherapy [47] and TAE [48], can be expected to enhance the effects of therapeutic cancer vaccines by redressing the immunosuppressive tumour environment.

NK cell activity and intracellular cytokine responses in CD4⁺ and CD8⁺ T lymphocytes and CD56⁺ NK cell subsets in PBMCs were not changed significantly in patients treated with OK432-stimulated DCs. Furthermore, we did not observe tumour antigen-specific T lymphocyte responses associated clearly with DC administration. The data suggest therefore that the immune responses induced by the therapy applied here were not detectable systemically. Because cytotoxic T lymphocyte responses were enhanced in patients receiving $> 3 \times 10^7$ cells [49,50], the numbers of transferred OK432-stimulated DCs were apparently not sufficient to induce responses detectable in the peripheral blood, but were enough to exert beneficial anti-tumour effects. In addition, many studies have concluded that cytotoxic T lymphocyte responses rarely predict clinical outcomes of DC-based immunotherapies [51,52] and that in many cases, also including our own studies

[28,30], tumour-specific effector T lymphocytes co-exist with the tumours. Consistent with these observations, the current results suggest that cytotoxic T lymphocyte responses in PBMCs are not reliable predictors of beneficial anti-tumour effects in patients treated with the current OK432-stimulated DC strategy.

Serum levels of the cytokines IL-9, IL-15 and TNF- α and the chemokines eotaxin and MIP-1 β were increased following OK432-stimulated DC transfer, but decreased after TAE therapy without DC administration. IL-9 and IL-15 belong to the cytokine receptor common gamma chain (γ_c ; CD132) family, a member of the type I cytokine receptor family expressed on most lymphocyte populations [53]. IL-9 exerts pleiotropic activities on T and B lymphocytes, mast cells, monocytes and haematopoietic progenitors [54,55]. IL-15 and TNF- α are known to prime T lymphocytes and NK cells when secreted by DCs [56] and to induce anti-tumour immune responses [57]. Eotaxin is known to selectively recruit eosinophils also contributing to anti-tumour effects [58,59], and MIP-1 β is a chemoattractant for NK cells, monocytes and a variety of other immune cells [60]. In addition, serum levels of arginase tended to decrease after DC transfer. Because serum arginase activity reflects the numbers of MDSCs that inhibit T lymphocyte responses in cancer patients [36], the patients treated with OK432-stimulated DCs might have developed lower levels of suppressor cells. Collectively, the results suggest that infusion of OK432-stimulated DCs may orchestrate the immune environment in the whole body that could enhance beneficial anti-tumour effects, although the precise molecular and cellular mechanisms associated with the actions of these cytokines and chemokines were not defined clearly in the current analysis.

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Disclosure

The authors have declared that no conflict of interest exists.

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Enhancement of tumor-specific T-cell responses by transcatheter arterial embolization with dendritic cell infusion for hepatocellular carcinoma

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Transcatheter arterial embolization (TAE) destroys a tumor by the induction of necrosis and/or apoptosis and causes inflammation with cytokine production, which may favor immune activation and presentation of tumor-specific antigens. In the current study, we attempted to identify the effect of TAE on tumor-specific T-cell responses and the additional effect of dendritic cell (DC) infusion performed during TAE. The prevalence of tumor antigen-specific T cells was determined by interferon- γ enzyme-linked immunospot analysis using alpha-fetoprotein (AFP) and tumor antigen-derived peptides in 20 and 13 patients with hepatocellular carcinoma (HCC) who received TAE and TAE with DC infusion, respectively. The increased frequency of AFP-specific T cells was observed in 6 of 20 patients after TAE. It was observed more frequently in patients with DC infusion than in those with TAE alone. However, tumor recurrence was not completely prevented in patients albeit displayed enhanced immune responses. The evidence that the enhanced immune responses were transient and attenuated within 3 months was provided in time-course analysis. In conclusion, TAE with DC infusion enhances the tumor-specific immune responses more effectively than TAE alone. Although the effect is not sufficient to prevent HCC recurrence, these results may contribute to the development of novel immunotherapeutic approach for HCC.

Hepatocellular carcinoma (HCC) is one of the most common malignancies and has gained major clinical interest because of its increasing incidence. Although current advances in therapeutic modalities have improved the prognosis of patients with HCC, the survival rate is still unsatisfactory.¹⁻⁴ One of the reasons for the poor prognosis is the high rate of recurrence after treatment.⁵ Therefore, the development of new antitumor therapies to protect against recurrence is important to improve the prognosis for HCC.

To protect against recurrence, tumor antigen-specific immunotherapy is an attractive strategy. Several recent studies of cancer treatment causing tumor necrosis or apoptosis have shown that they induce the activation of tumor-specific

immune responses.⁶⁻¹⁰ The mechanism to activate host immune responses against tumors is still unknown; however, several studies *in vitro* or *in vivo* suggest that cytokine production, attracting leukocyte infiltration, increase of tumor antigen uptake by macrophages or dendritic cells (DCs) and release of heat shock protein caused by inflammation at the tumor site are associated with the phenomenon.¹¹⁻¹⁷

Transcatheter arterial embolization (TAE) has been used extensively in the Western world and Asia to treat unresectable HCCs.¹⁸⁻²⁰ Although several previous randomized controlled trials have failed to show a survival benefit in patients treated with TAE compared to untreated patients,^{21,22} recent studies demonstrated a survival benefit for TAE *versus* conservative treatment in carefully selected patients.²³⁻²⁵

Histological assessment of resected HCC after TAE shows that the treatment induces necrotic and apoptotic changes in the tumor.²⁶⁻²⁹ Moreover, it is reported that the serum levels of macrophage-colony stimulating factor and the lipopolysaccharide-stimulated production of interleukin-1 beta, IL-6 and tumor necrosis factor-alpha in peripheral whole blood were increased after TAE.³⁰⁻³² Taken together with the previously described knowledge of immune responses after treatment to induce tumor necrosis or apoptosis, these observations support the hypothesis that the induction of apoptotic or necrotic cell death and inflammatory cytokines by TAE favors immune activation and induction of tumor-specific T-cell

Key words: immune response, AFP, CTL, immunotherapy, epitope

Abbreviations: HLA: human leukocyte antigens; IFN: interferon;

HCV: hepatitis C virus; ELISPOT: enzyme-linked immunospot;

TAE: transcatheter arterial embolization; MRP: multidrug resistance-

associated protein; hTERT: human telomerase reverse transcriptase

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