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# Analysis of 5' Nontranslated Region of Hepatitis A Viral RNA Genotype I from South Korea: Comparison with Disease Severities

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## Abstract

The aim of the study was to analyze genotype I hepatitis A virus (HAV) 5' nontranslated region (NTR) sequences from a recent outbreak in South Korea and compare them with reported sequences from Japan. We collected a total of 54 acute hepatitis A patients' sera from HAV genotype I [27 severe disease (prothrombin time INR $\geq$ 1.50) and 27 mild hepatitis (prothrombin time INR <1.00)], performed nested RT-PCR of 5' NTR of HAV directly sequenced from PCR products (~300 bp), and compared them with each other. We could detect HAV 5'NTR sequences in 19 of the 54 (35.1%) cases [12 of 27 severe cases (44.4%) and 7 of 27 self-limited cases (25.9%)], all of which were subgenotype IA. Sequence analysis revealed that sequences of severe disease had 93.6%–99.0% homology and of self-limited disease 94.3%–98.6% homology, compared to subgenotype IA HAV GBM wild-type IA sequence. In this study, confirmation of the 5'NTR sequence differences between severe disease and mild disease was not carried out. Comparison with Japanese HAV A10 revealed <sup>222</sup>C to G or T substitution in 8/12 cases of severe disease and <sup>222</sup>C to G or T and <sup>392</sup>G to A substitutions in 5/7 and 4/7 cases of mild disease, respectively, although the nucleotide sequences in this study showed high homology (93.6%–100%). In conclusion, HAV 5'NTR subgenotype IA from Korea had relatively high homology to Japanese sequences previously reported from Japan, and this region would be considered one of the antiviral targets. Further studies will be needed.

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## Introduction

Although hepatitis A vaccination is highly effective, providing herd protection and decreasing mortality and morbidity related to the hepatitis A virus (HAV) [1–3], HAV is still a common cause of hepatitis reportedly leading to occasional lethal acute liver failure in many countries of the world [4–7]. Recently, a rise in the frequency of hepatitis A outbreaks was observed in South Korea, which lies adjacent to Japan, while the number of adult hepatitis A cases in Japan has been progressively decreasing during the last several years. There is a concern regarding a possible HAV epidemic in Japan in the near future, as universal vaccination against hepatitis A is not performed in this country.

HAV is a member of the genus *Hepatovirus* in the *Picornaviridae* family, and has a positive-sense single-stranded RNA genome approximately 7.5 kb in length [8]. The genome codes a large open reading frame (ORF), which is flanked by 5' nontranslated region (5'NTR) and 3'NTR. The downstream part of 5'NTR represents the internal ribosomal entry site (IRES), which mediates cap-independent translation initiation and is important for HAV replication [9,10]. 5'NTR of HAV is also known as one of the

most highly conserved in the HAV genome sequences, making this region one of the likely candidates for antiviral targets [9,11]. It was reported that nucleotide variations in the central portion of 5'NTR of HAV may influence the severity of hepatitis A [12].

Human HAV strains can be grouped into four genotypes (I, II, III and IV) and unique simian strains belong to three additional genotypes (IV, V and VI). Between each of these genotypes, the nucleotide sequence varies by 15–20% of the base positions in the P1 region [13]. Genotype I is the most abundant type worldwide, and genotype IA in particular has been reported from North America, Korea, China, Japan and Thailand [14].

The aim of this study is to characterize the recent HAV genotype I 5'NTR sequences in Korea, to compare them with those reported from Japan and to clarify this region as a target candidate for anti-HAV drugs.

## Materials and Methods

### Patients

Fifty-four patients infected with HAV subgenotypes IA and IB were included in this study. Serum samples were collected at four

hospitals located in the Seongnam city area, near Seoul, South Korea. Our study was approved by the Seoul National University Bundang Hospital Institutional Review Board (IRB), and we obtained written informed consent from every patient enrolled during Sep 2008 to Aug 2008. We collected serum or plasma samples immediately after hospital admission, and they were stored at  $-70^{\circ}\text{C}$ . The 54 patients comprised 27 with severe disease, defined as prolonged prothrombin time [international normalized ratio (INR)  $>$  or  $=$  1.5] and 27 with mild disease: self-limited acute hepatitis in this study (Table S1A & S1B).

### Primers for PCR and Direct Sequencing

For amplification of HAV sequences and bidirectional direct sequencing of the amplified segments, we prepared several primers for PCR and sequencing as previously described [12]. These primers were prepared with the sequence reported by Cohen et al [8].

### Detection of Hepatitis A Virus RNA in Serum

RNA was extracted from sera using the acid guanidinium-phenol-chloroform method. Reverse transcription was performed with HAV genome specific antisense primer (5'-AGTACCTCA-GAGGCAAACAC-3') as previously described [12].

In the first round PCR, 1  $\mu\text{l}$  of 20  $\mu\text{l}$  of the cDNA solution was used. The first round PCR was performed with 50  $\mu\text{l}$  of reaction mixture containing 25 pmol of outer antisense primer (5'-AGTACCTCAGAGGCAAACAC-3') and sense primer (5'-TCTTGGAAAGTCCATGGTGAG-3'), 200  $\mu\text{M}$  of each dNTP, 50 mM KCl, 10 mM Tris HCL (pH 8.3), 1.5 mM  $\text{MgCl}_2$ , 0.001% gelatin, and 2.5 units of Ex Taq polymerase (Takara Bio Inc., Ohtsu, Shiga, Japan). Amplification conditions consisted of 35 cycles of  $95^{\circ}\text{C}$  for one minute,  $50^{\circ}\text{C}$  for one minute, and  $72^{\circ}\text{C}$  for one minute, and 1  $\mu\text{l}$  of the first round product was used for the second round of PCR with the same PCR mixture, except 1.0  $\mu\text{M}$  of inner sense primer (5'-GGGACTTGATACCT-CACCGC-3') and antisense primer (5'-CCACATAAGGCC-CAAAGAA-3') were used. Amplification conditions for the second round were the same as those for the first round. The second-round PCR products (6  $\mu\text{l}$ ) were analyzed by 8% polyacrylamide gel electrophoresis, stained with SyBr green (Takara), and visualized by UV transillumination. In all experiments, the negative samples showed negative results for HAV RNA. HAV genotypes were determined by previously described methods based on the VP1-P2A region [14].

### Direct Sequencing of HAV cDNA Fragments

To prepare the sequence template (nucleotides 75-638 of 5'NTR of HAV), PCR products were treated with ExoSAP-ITR (Affymetrix, Inc., Santa Clara, CA), and then sequenced using a BigDye(R) Terminator v3.1 Cycle Sequencing Kit (Life Technologies, Tokyo, Japan). Sequences were analyzed using Applied Biosystems 3730xl (Life Technologies).

### Nucleotide Sequence Accession Numbers

The nucleotide sequence data reported in this article will appear in GenBank nucleotide sequence databases with accession numbers AB571027 to AB571045.

### Phylogenetic Analysis

To examine the heterogeneity of the viral sequences obtained, a phylogenetic tree was constructed using the neighbor joining methods. To confirm the reliability of the phylogenetic tree, bootstrap resampling tests were performed 10,000 times. These

analyses were conducted with the Genetyx-WIN program, version 10 (Software Development, Tokyo, Japan).

### Statistical analysis

Differences in proportions among the groups were compared by Fisher's exact probability test, Student's t test and Welch's t test.

## Results

### Clinical Features of Patients with Acute Hepatitis A Genotype 1 in Korea

Characteristics of these patients at admission are summarized in Table S1. There were no differences in age and gender ratio between the severe and mild disease groups. Mean age of the severe and mild disease groups was  $32.1 \pm 6.1$  and  $32.6 \pm 5.8$  years, respectively. Male gender was dominant in both groups (male/female: 19/8 and 18/9 in the severe and mild disease groups, respectively). Almost all patients of both groups were subgenotype IA, with only two and one being subgenotype IB in the severe and mild disease groups, respectively.

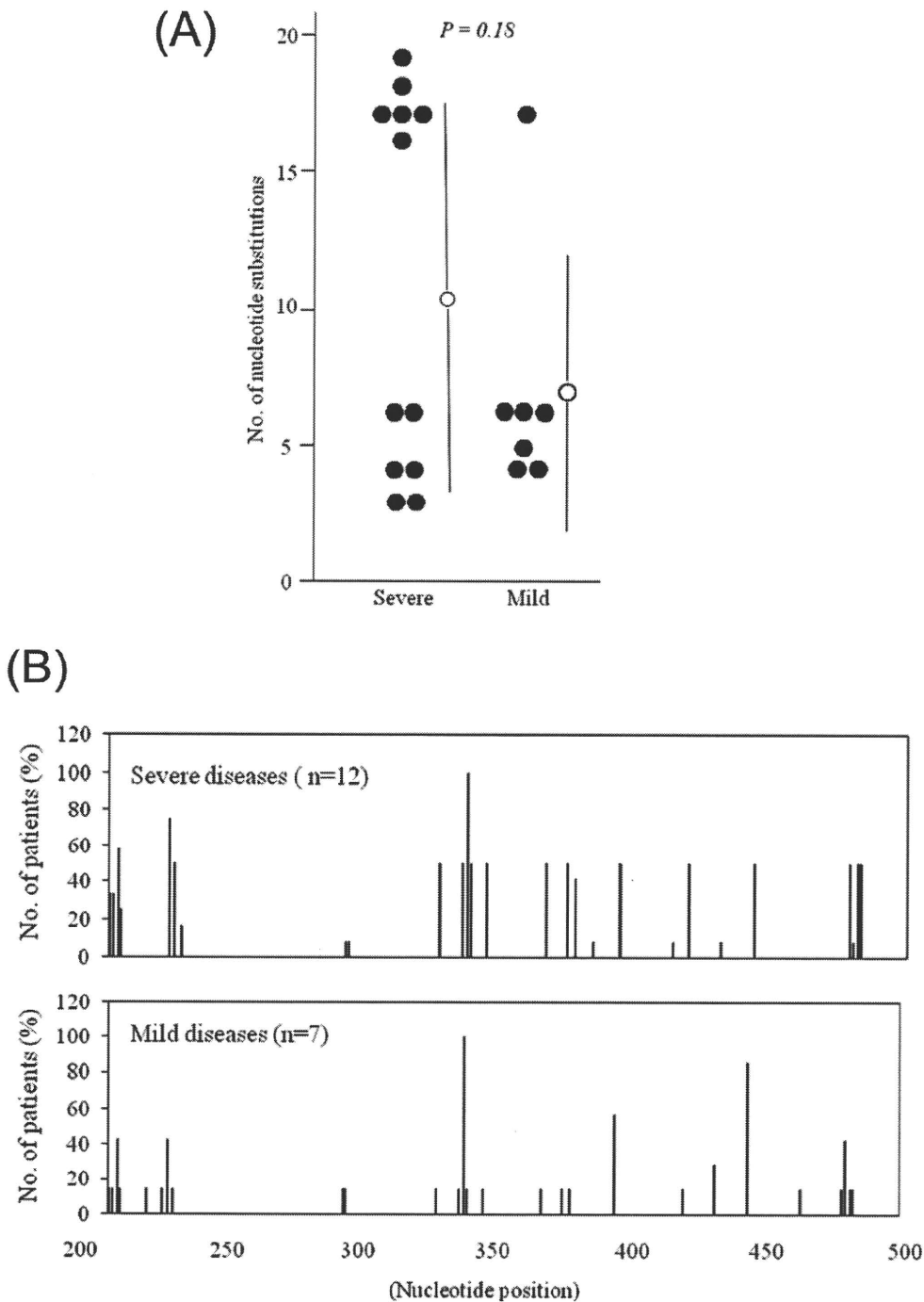
### Sequence Analysis of Korean Isolates

Although the VP1/2A region could be detected in the same serum or stool samples of the same patients, we could detect HAV 5'NTR sequences in 19 of the 54 (35.1%) cases [12 of 27 severe cases (44.4%) and 7 of 27 self-limited cases (25.9%)] by reverse-transcription-nested PCR. All these sequences were subgenotype IA. Then we performed further sequence analysis in these 19 patients by the methods of Fujiwara et al [12]. Japanese studies showed that fewer nucleotide variations were found between nucleotides 200 and 500 of 5'NTR in cases of fulminant hepatitis and severe acute hepatitis than in cases of self-limited acute hepatitis [12]. We thusly performed sequence analysis of the region between nucleotides 200 and 500.

Sequences between nucleotides 200 and 500 were then compared with the wild-type HAV GBM/WT RNA (X75215) [15]. The nucleotide sequence identities of 5'NTR from severe and mild cases ranged from 93.6% to 99.0% and from 94.3% to 98.6%, respectively, compared with wild-type HAV GBM sequence. The distribution of nucleotide variations is shown in Table S2A & S2B. Sequences from cases of severe and mild diseases were mostly similar. Although there was no statistical significance,  $^{214}\text{C}$ ,  $^{220}\text{T}$  and  $^{464}\text{T}$  were found in one case each of the mild disease group (Table S2B). On the other hand,  $^{227}$ deletion of nucleotide and  $^{362}\text{A}$ , respectively, were found in two and one cases of the severe disease group (Table S2A). The number of nucleotide substitutions is shown in Figure 1A & 1B. The average number of substitutions between nucleotides 200 and 500 was 10.8 (6.8) [mean (SD)] per case in severe disease and 6.8 (4.5) in mild disease. Differences between severe and mild cases were not statistically significant. We could not construct a phylogenetic tree using these sequences (data not shown).

### Comparison to Japanese HAV Sequences Reported from 1984 to 1999

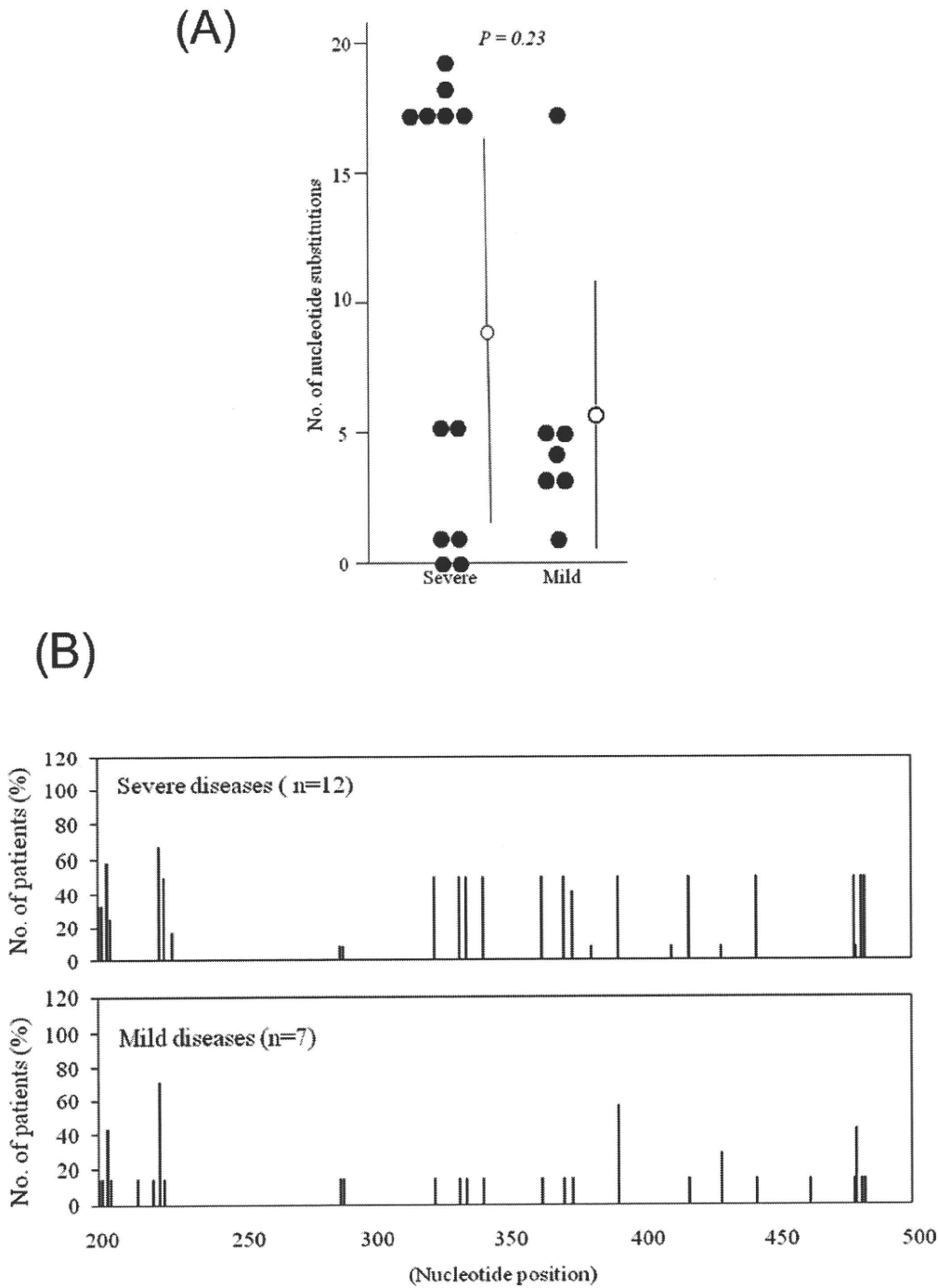
5'NTR of HAV possesses a secondary structure including stems and loops, functions as an IRES, and plays an important role in translation and replication of this virus [9,16]. There are six domains in IRES, which is located between nucleotides 151 and 734. Portions of domains III and IV are present between nucleotides 200 and 500. Domain III is located between nucleotides 99 and 323, and domain IV is located between nucleotides 324 and 586. The region between nucleotides 203 and



**Figure 1. Disease severity and nucleotide substitutions in HAV IRES when compared with HAV GBM.** (A) Number of nucleotide substitutions between nucleotides 200 and 500. Nucleotide sequences were compared with HAV GBM/WT RNA (X75215) [15]. Bars represent mean (SD). Severe, severe disease; Mild, mild disease. (B) Distribution of nucleotide substitutions between nucleotides 200 and 500 of the 5' non-translated region. Bars indicate the percentage of cases with substitutions at each nucleotide position.  
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250 is particularly pyrimidine-rich. To examine the homology with the HAV sequences from Japan reported by Fujiwara et al. [12], we compared the sequences from nucleotides 200 to 500 with A10 (AB045328) from Japan [12]. The nucleotide sequence identities of 5'NTR from severe and mild disease groups ranged

from 94.3% to 99.6% and from 93.6% to 100%, respectively, compared with the HAV A10 sequence [12] (Table S3A & S3B). In the Korean group, we found <sup>222</sup>C to G or T substitution in 8/12 cases of severe disease and <sup>222</sup>C to G or T and <sup>392</sup>G to A substitutions in 5/7 and 4/7 cases of mild disease, respectively.



**Figure 2. Disease severity and nucleotide substitutions in HAV IRES when compared with HAV A10.** (A) Number of nucleotide substitutions between nucleotides 200 and 500 Nucleotide sequences were compared with A10 (AB045328) from Japan [12]. Bars represent mean (SD). Severe, severe disease; Mild, mild disease. (B) Distribution of nucleotide substitutions between nucleotides 200 and 500 of the 5' non-translated region. Bars indicate the percentage of cases with substitutions at each nucleotide position. doi:10.1371/journal.pone.0015139.g002

The number of nucleotide substitutions is shown in Figure 2A & 2B, with the average number between nucleotides 200 and 500 being 9.7 (8.2) [mean (SD)] per case in severe disease and 5.4 (5.2) in mild disease. Again, differences between severe and mild cases were not statistically significant.

**Discussion**

The number of adult hepatitis A cases has been progressively increasing during the last several years in Korea [6,14]. In Japan, on the other hand, the number of patients with sporadic type A

hepatitis has recently been on the decrease. In the 9 years from 1999 inclusive, 763, 381, 491, 502, 303, 139, 170, 320 and 157 hepatitis A cases were reported to the Infectious Disease Surveillance Center, National Institute of Infectious Diseases, Tokyo, Japan ([www.nih.go.jp](http://www.nih.go.jp)). Japan lies adjacent to Korea, separated by the Sea of Japan. The two countries have some cultural similarities. In Japan, there is no universal vaccination program against hepatitis A and hepatitis B. These circumstances have raised concerns about a possible HAV epidemic in Japan. We then analyzed HAV genome sequences from Korea and compared them with the reported sequences from Japan over the past several years.

In the present study, as most of the HAV strains belonged to subgenotype IA in Korea [14], we chose only genotype I patients for analysis. Among 54 HAV IgM positive sera, 35.1% (n = 19) were positive for HAV RNA by nested RT-PCR for 5'NTR. All these strains belonged to subgenotype IA. We tried to perform phylogenetic tree analysis, but these 19 strains formed a single cluster to which almost all Japanese sequences reported by Fujiwara et al [12] belonged (data not shown). Fujiwara et al [12] found an association between the severity of hepatitis A and nucleotide variations in 5'NTR of Japanese HAV RNA. In the present study, we did not confirm 5'NTR sequence differences between severe disease and mild disease.

The age of HAV sequence-analyzed patients in the present study was  $30.5 \pm 5.9$  and  $31.4 \pm 5.0$  years, respectively, in severe and mild diseases. The gender of HAV sequence-analyzed patients was male-dominant (male/female: 8/4 and 6/1 in the severe disease and mild disease groups, respectively). In the study by Fujiwara et al [12], the patients were also male-dominant, but their age with fulminant hepatitis and severe acute hepatitis ( $43.1 \pm 14.4$  year,  $P = 0.010$  and  $41.6 \pm 12.6$ ,  $P = 0.010$ , respectively) was significantly higher than the age of severe-disease patients. On the other hand, the age of their patients with self-limited acute hepatitis was similar to that of our mild-disease patients. We defined patients with prothrombin time INR  $\geq 1.50$  as severe hepatitis in this study, whereas Fujiwara et al [12] defined patients with prothrombin time of less than 40% as severe hepatitis with (fulminant hepatitis) or without encephalopathy (severe acute hepatitis).

In Japan, similar to the situation in Korea [6], young adults seem not to have protective antibody against HAV, and so it appears that hepatitis A cases can be expected to increase in the near future.

A previous study showed that the 5' border of IRES is located between nucleotides 151 and 257, while the 3' border extends to the 3' end of 5'NTR, between nucleotide 695 and the first initiation codon at 735 [17]. <sup>222</sup>C to G or T substitution was

located on the loop structure at domain IIIa of HAV IRES. A previous Japanese study showed that nucleotide 225 substitutions occurred in 80% of the sequences around nucleotide position 222 [12]. <sup>392</sup>G to A substitution located at domain IV of HAV IRES was observed in 64.2% (9/14) of the Korean HAV sequences. Fujiwara et al [12] also reported that substitutions at nucleotide 391 were seen in 32% of Japanese HAV patients. It is possible that these substitutions were non-specific mutations.

In conclusion, HAV 5'NTR subgenotype IA from Korea had relatively high homology to the Japanese sequences previously reported, and this region may represent a viable antiviral target. In Japan, as in Korea, the introduction of childhood vaccination and catch-up vaccination for adolescents and young adults should be considered.

## Supporting Information

**Table S1 Patient Characteristics.** (A) Severe disease, (B) Mild disease. (DOC)

**Table S2 Comparison of the nucleotide sequences of the HAV 5' non-translated region with GBM.** (A) Severe disease, (B) Mild disease. The consensus sequence for HAV GBM/WT RNA (X75215) [15] is shown on the top. Dots indicate conserved nucleotides; differences are shown by the appropriate single letter nucleotide. -, deletion mutant. (DOC)

**Table S3 Comparison of the nucleotide sequences of the HAV 5' non-translated region with GBM.** (A) Severe disease, (B) Mild disease. The consensus sequence for A10 (AB045328) from Japan [12] is shown on the top. Dots indicate conserved nucleotides; differences are shown by the appropriate single letter nucleotide. -, deletion mutant. (DOC)

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## Author Contributions

Conceived and designed the experiments: TK SHJ FI OY. Performed the experiments: TK SHJ. Analyzed the data: TK SHJ KF. Contributed reagents/materials/analysis tools: TK SHJ FI KF OY. Wrote the paper: TK SHJ KF. Collected the samples: SHJ.

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# The requirement for a sufficient period of corticosteroid treatment in combination with nucleoside analogue for severe acute exacerbation of chronic hepatitis B

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## Abstract

**Background** The prognosis of severe acute exacerbation of chronic hepatitis B is very poor if signs of liver failure appear. We have reported the efficacy of the early introduction of sufficient doses of corticosteroids (CSs) and nucleoside analogues (NAs), but the optimal period of immunosuppressive therapy has not been well demonstrated. In this study, we analyzed patients with severe acute exacerbation of chronic hepatitis B treated with CSs and NAs, prospectively, in order to clarify the factors affecting their outcome.

**Methods** Ten patients, admitted to our liver unit between 2000 and 2009, were defined as having severe exacerbation of chronic hepatitis B based on our uniform criteria, and were enrolled in this study. NAs and sufficient doses of CS were introduced as soon as possible after making the diagnosis of severe disease prospectively.

**Results** Seven of the 10 patients recovered. The absence of fulminant hepatitis on admission, the improvement of prothrombin time (PT) activity and the decline of hepatitis B virus (HBV) DNA during the first 2 and 4 weeks, respectively, were significant in the recovered patients, while the worsening of total bilirubin level during 4 weeks,

especially between week 2 and week 4, was significant in those who died.

**Conclusions** In severe acute exacerbation of chronic hepatitis B, more than a few weeks of CS treatment in combination with an NA is required in the early stage, whereas a short period of conventional pulse therapy would be insufficient for treating this condition.

**Keywords** Chronic hepatitis B · Severe exacerbation · Corticosteroid · Nucleoside analogue

## Abbreviations

HBV Hepatitis B virus  
CS Corticosteroid  
NA Nucleoside analogue

## Introduction

Exacerbation of hepatitis B in chronic hepatitis B virus (HBV) carriers may occur spontaneously or in relation to cytotoxic or immunomodulatory therapy. A clinical picture ranging from anicteric hepatitis to severe exacerbation, sometimes fulminant liver failure, may develop, and it is associated with high mortality [1]. In a retrospective Japanese survey of HBV carriers with hematologic malignancies, a 53% incidence of severe hepatitis with a 24% mortality rate was reported in relation to chemotherapy [2]. For the treatment of patients with severe exacerbation, liver transplantation may be a viable option, although it is contraindicated in patients with underlying malignancies. However, the problem of the shortage of donor livers still remains in Japan. Thus, therapies other than transplantation must be further investigated.

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In HBV infection, liver injury is considered to be induced mainly by cytotoxic T-lymphocyte-mediated cytolytic pathways in HBV-infected hepatocytes [3], and it was suggested that treating chronic hepatitis B patients with corticosteroids (CSs) in order to inhibit an excessive immune response and prevent cytolysis of infected hepatocytes would be reasonable, if the HBV could be controlled [4]. However, the advantage of CSs in the treatment of chronic active hepatitis B was not confirmed by control studies, and their use for routine management has fallen out of favor [5–7], although those studies mainly dealt with cases of clinically “nonsevere” hepatitis.

As to the effects of CS treatment for “severe and potentially life-threatening” exacerbation of chronic hepatitis B, Lau et al. [8] reported that the reintroduction of long-term high-dose CS in the early phase of reactivation after the withdrawal of immunosuppressive therapy prevented both progressive clinical deterioration and the potential need for orthotopic liver transplantation.

Recently, nucleoside analogues (NAs) have been administered safely even in severe disease [9–13], and in our previous studies, we reported that the introduction of high-dose CS and NA could significantly reverse deterioration in patients with “clinically severe, life-threatening” exacerbation of chronic hepatitis B compared with historical controls, when used in the early stage of the illness [14, 15]. But the dose and the period of CS use have still to be clarified.

In this study, we analyzed patients with clinically severe exacerbation of chronic hepatitis B treated with the initiation of sufficient doses of CS and NA prospectively, in order to clarify the factors affecting the outcome and the optimal period of sufficient CS therapy required to suppress an excessive host immune response.

## Patients and methods

### Patients

Ten patients with severe acute exacerbation of chronic hepatitis B admitted to our liver unit (Chiba University Hospital and related hospitals) between 2000 and 2009 were studied. The diagnosis of a chronic hepatitis B viral carrier state was made based on either the positivity of hepatitis B surface antigen (HBsAg) for at least 6 months before entry or, in patients with follow-up periods less than 6 months before entry, it was based on the positivity of HBsAg, presence of anti-hepatitis B core antibody (HBcAb) at a high titer, and negativity or a low titer of IgM anti-hepatitis B core antibody (IgM-HBc). Patients fulfilling all the following three criteria during the course were defined as having severe exacerbation: prothrombin time

(PT) activity less than 60% of normal control, total bilirubin (T-Bil) greater than 3.0 mg/dl, and alanine transaminase (ALT) greater than 300 IU/l during the course. Patients with PT activity less than 40% of control and hepatic encephalopathy were defined as having fulminant hepatitis. All patients were in poor general condition, including general malaise, fatigue, jaundice, edema, ascites, and encephalopathy. Histological examination was performed in the convalescent phase or after the death of patients. The work described in this manuscript has been carried out in accordance with The Code of Ethics of the World Medical Association (Declaration of Helsinki) and the guidelines of the ethics committee of our institutional review boards. Informed consent was obtained from all patients or appropriate family members.

All patients were negative for IgM anti-HAV antibody, anti-hepatitis D antibody, anti-HCV antibody, HCV RNA, IgM anti-Epstein–Barr virus antibody (IgM-EBV), IgM anti-herpes simplex virus antibody (IgM-HSV), IgM anti-cytomegalovirus antibody (IgM-CMV), anti-nuclear antibody, anti-smooth muscle antibody, liver kidney microsomal antibody-1, and anti-mitochondrial antibody. Patients with histories of recent exposure to drugs and chemical agents as well as those with recent heavy alcohol intake were ruled out. One patient was HIV-positive but had no clinical evidence of acquired immune deficiency syndrome.

### Treatment protocols

All patients treated were examined prospectively. Informed consent was obtained from the patients or appropriate family members. Patients were treated with the NA, lamivudine (LMV) before 2007 or with entecavir (ETV) after 2007, and CS. Early introduction of CS was defined as follows: 40 mg or more of prednisolone (PSL) daily was administered within 10 days after the diagnosis of severe disease, using the above-mentioned criteria. This dosage was maintained for a minimum of 4 days. When the patient showed a trend toward remission of PT, the dosage was reduced by 10 mg at least every 4 days and tapered off. Patients for whom more than 10 days had already passed after the diagnosis before they had been admitted to our unit were treated with delayed introduction of CS (delayed CS). Patients with marked prolongation of PT were treated with 1000 mg of methylprednisolone (MPSL) daily for 3 days followed by the same PSL therapy as that described above.

LMV was administered at a daily dose of 100–300 mg. ETV was administered at a daily dose of 0.5–1.0 mg. Patients were also treated with intravenous glycyrrhizin (stronger neominophagen C; SNMC), an aqueous extract of licorice root, at 60–100 ml/day this agent is reported to

have anti-inflammatory activity and has been used for the treatment of chronic viral hepatitis in Japan [16].

### Serological markers

HBsAg, hepatitis B envelope antigen (HBeAg), anti-HBe antibody (HBeAb), HBcAb, IgM-HBc, IgM anti-HAV antibody, and anti-hepatitis D antibody were detected by commercial radioimmunoassay (Abbott Laboratories, Chicago, IL, USA), and HCV RNA was measured by nested reverse transcription polymerase chain reaction (RT-PCR) [17]. Second-generation anti-HCV antibody was measured by enzyme immunoassay (Ortho Diagnostics, Tokyo, Japan). IgM-EBV, IgM-CMV, and IgM-HSV were examined by enzyme-linked immunosorbent assays. Anti-nuclear antibody, anti-smooth muscle antibody, anti-mitochondrial antibody, and anti-liver kidney microsomal-1 antibody were examined by a fluorescent antibody method. HBV DNA level was measured by Amplicor HBV monitor (Roche Diagnostics, Tokyo, Japan).

### Statistical analysis

Differences in proportions among groups were compared by Fisher's exact probability test, Student's *t*-test, and Welch's *t*-test.

## Results

### Clinical features of severe chronic hepatitis B patients on admission

Of the 10 patients, 8 were men and 2 women. Mean age at the time of admission was  $49.2 \pm 11.2$  years. Four patients had primary diseases and conditions (one non-Hodgkin's lymphoma, one rheumatoid arthritis after a curative

operation for hepatoma, one HIV-positive without immunodeficiency, and one gastrointestinal stromal tumor), and 3 had been treated with immunosuppressive or cytotoxic drugs, suffering exacerbations after their withdrawal. Four patients were diagnosed with fulminant hepatitis on admission (Table 1).

Mean PT activity was  $32 \pm 10\%$ , mean ALT  $894 \pm 596$  IU/l, and mean T-Bil  $12.4 \pm 8.5$  mg/dl. HBeAg/HBeAb status was +/- in 3, -/+ in 6, and +/+ in 1. Mean HBV DNA was  $6.2 \pm 1.6$  logcopy/ml, and precore/core promoter mutation status is shown in Table 2. Mean alfa-fetoprotein (AFP) was  $250.8 \pm 293.1$  ng/ml and mean hepatocyte growth factor (HGF) was  $7.0 \pm 10.6$  ng/ml (Table 2).

### Types of therapies

As the initial CS, 1000 mg of MPSL was introduced to 4 patients, 60 mg of PSL to 5, and 40 mg of PSL to one. The mean duration between the diagnosis of severe disease and introduction of CS was  $6.4 \pm 4.8$  days, and the mean duration of CS therapy was  $63.6 \pm 56.5$  days. Eight patients were treated with early CS and 2 with delayed CS. As the NA, LMV was introduced to 7 patients and ETV to 3. In the 4 patients with fulminant hepatitis, artificial liver support (plasma exchange and hemodiafiltration) was performed (Table 3).

### Biochemical responses to therapy

Changes in PT activities, ALT levels, T-Bil levels, and HBV DNA levels after the introduction of combination therapy are shown in Fig. 1. Mean PT activity was  $34 \pm 10\%$  before initiation of the combination therapy (week 0),  $58 \pm 23$  at 2 weeks after starting (week 2), and  $62 \pm 30$  at 4 weeks (week 4). The improvement in PT activity was significant between week 0 and 2, and between week 0 and 4 ( $p = 0.01$  and  $p = 0.02$ , respectively)

**Table 1** Clinical features of patients

Patient	Age (years)	Sex	Onset	Complication	History of immunosuppressive or cytotoxic therapy	Fulminant hepatitis on admission
1	43	M	2000		–	–
2	30	M	2002		–	–
3	35	M	2002		–	–
4	45	M	2003	HIV (+)	–	+
5	55	M	2004		–	+
6	63	F	2005		–	+
7	65	M	2006	Rheumatoid arthritis, post-operation for hepatoma	+	+
8	55	F	2007	Non-Hodgkin's lymphoma	+	–
9	51	M	2007		–	–
10	50	M	2009	Gastrointestinal stromal tumor	+	–

**Table 2** Biochemical, virological and histological features of patients

Patient	PT (%)	ALT (IU/l)	T-Bil (mg/dl)	D-Bil (mg/dl)	$\alpha$ -Fetoprotein (ng/ml)	Hepatocyte growth factor (ng/ml)	Liver histology	HBeAg	HBeAb	HBV DNA (logcopy/ml)	Precore mutation	Core promoter mutation
1	28	1463	9.1	5.8	658.9	2.07	CH (F3, severe)	+	-	8.6	Wild	Mutant
2	26	1897	19.0	15.1	106.5	2.04	CH (F2, severe)	+	-	7.2	ND	ND
3	24	723	9.3	7.4	18.9	0.75	CH (F3, severe)	-	+	7.2	Mixed	Wild
4	32	278	10.6	4.5	672.8	33.69	ND	-	+	5.2	Mutant	Wild
5	32	129	12.7	7.6	103.0	3.68	ND	-	+	4.2	Mixed	Mutant
6	30	968	9.9	4.9	674.2	9.80	Massive necrosis	+	+	4.3	Mixed	Mutant
7	21	364	10.5	6.6	184.4	9.37	ND	-	+	5.8	Mixed	Mutant
8	57	1595	3.0	2.0	6.8	ND	ND	-	+	7.6	ND	ND
9	35	938	6.6	4.8	20.8	0.39	ND	+	-	7.5	Wild	Mutant
10	34	584	33.5	26.0	61.6	1.15	CH (F3, severe)	-	+	4.8	Mixed	Mutant

PT prothrombin time, ALT alanine transaminase, T-Bil total bilirubin, D-Bil direct bilirubin, HBeAg hepatitis B envelope antigen, HBeAb hepatitis B envelope antibody, HBV hepatitis B virus, ND not done, CH chronic hepatitis

(Fig. 1a). The mean ALT level was  $1260 \pm 539$  IU/l at week 0,  $101 \pm 77$  at week 2, and  $50 \pm 33$  at week 4. The ALT levels fell in all patients during the treatment course, with the decline between weeks 0 and 2, and between weeks 0 and 4 being significant ( $p < 0.001$ ) (Fig. 1b). The mean T-Bil level was  $11.9 \pm 9.1$  mg/dl at week 0,  $10.2 \pm 8.2$  at week 2, and  $9.9 \pm 9.5$  at week 4. Changes in T-Bil levels were not significant in 4 weeks (Fig. 1c). HBV DNA was  $6.4 \pm 1.6$  log copies/ml at week 0,  $4.7 \pm 1.4$  at week 2, and  $3.9 \pm 1.4$  at week 4. The differences were significant between weeks 0 and 2 and weeks 0 and 4 ( $p = 0.02$  and  $p = 0.001$ , respectively) (Fig. 1d).

#### Comparison of backgrounds on admission between recovered patients and nonsurvivors

Differences in age, sex, a history of immunosuppressive or cytotoxic therapy, PT activity, ALT level, T-Bil level, HBV DNA level, HBeAg/HBeAb status, AFP level, and HGF level on admission were not significant between recovered patients and nonsurvivors. The presence of fulminant hepatitis on admission was significantly different between the two patient groups ( $p = 0.03$ ).

#### Comparison of responses to therapy between recovered patients and nonsurvivors

The mean PT activity was  $32 \pm 5\%$  at week 0,  $63 \pm 21$  at week 2, and  $67 \pm 23$  at week 4 in the recovered patients, and  $30 \pm 7\%$ ,  $36 \pm 4$ , and  $27 \pm 6$  in the nonsurvivors. Improvement of PT activity was significant between weeks 0 and 2, and between weeks 0 and 4 ( $p = 0.003$  and  $p = 0.006$ , respectively) in the recovered patients, but there was no significant difference in PT activity levels in the non-survivors.

The mean ALT level was  $1068 \pm 589$  IU/l at week 0,  $81 \pm 31$  at week 2, and  $59 \pm 39$  at week 4 in the recovered patients, and  $1387 \pm 57$  IU/l,  $80 \pm 78$ , and  $30 \pm 5$  in the nonsurvivors. The ALT levels fell significantly between weeks 0 and 2, and between weeks 0 and 4 in both groups ( $p < 0.005$ ).

The mean T-Bil level was  $15.8 \pm 9.8$  mg/dl at week 0,  $10.3 \pm 10.0$  at week 2, and  $7.7 \pm 8.4$  at week 4 in the recovered patients, and  $7.2 \pm 3.8$  mg/dl,  $13.1 \pm 2.2$ , and  $21.1 \pm 2.5$  in the nonsurvivors. Changes in T-Bil levels were not significant during 4 weeks in the recovered patients, but the increases between weeks 0 and 4, and between weeks 2 and 4 were significant in the nonsurvivors ( $p = 0.006$  and  $p = 0.02$ , respectively).

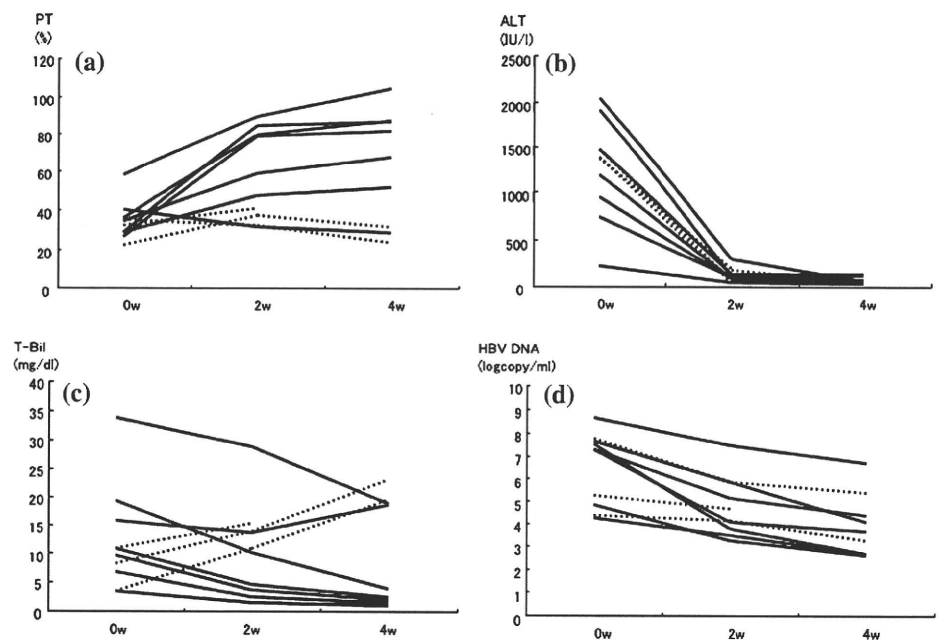
The mean HBV DNA was  $6.7 \pm 1.6$  log copies/ml at week 0,  $4.7 \pm 1.7$  at week 2, and  $3.7 \pm 1.5$  at week 4 in the recovered patients, and  $5.7 \pm 1.8$  log copies/ml,  $4.8 \pm 0.9$ , and  $4.3 \pm 1.5$  in the nonsurvivors. HBV DNA

**Table 3** Therapies and responses

Patient	Duration between diagnosis of severe disease and introduction of corticosteroid (days)	Corticosteroid therapy		Nucleoside analogue	Artificial liver support	Outcome
		Drug	Period (days)			
1	4	MPSL + PSL	63	Lamivudine	–	Recovery
2	3	PSL	183	Lamivudine	–	Recovery
3	3	PSL	150	Lamivudine	–	Recovery
4	3	MPSL + PSL	21	Lamivudine	PE + CHDF	Death
5	8	PSL	32	Lamivudine	PE + CHDF	Recovery
6	9	PSL	37	Lamivudine	PE + CHDF	Death
7	12	PSL	35	Lamivudine	PE + CHDF	Death
8	1	PSL	60	Entecavir	–	Recovery
9	5	MPSL + PSL	31	Entecavir	–	Recovery
10	16	MPSL + PSL	24	Entecavir	–	Recovery

PSL prednisolone, MPSL methylprednisolone, PE plasma exchange, CHDF continuous hemodiafiltration

**Fig. 1** Prothrombin time (PT) activities (a), alanine transaminase (ALT) levels (b), total bilirubin (T-Bil) levels (c), and hepatitis B virus (HBV) DNA levels (d) before and after treatment in 7 recovered patients and 3 nonsurvivors. Solid and dashed lines denote values for recovered and dead patients, respectively. w, Weeks



declined significantly between weeks 0 and 2, and between weeks 0 and 4 in the recovered patients ( $p = 0.04$  and  $p = 0.003$ , respectively). In contrast, the decline of the HBV load was not significant in the nonsurvivors.

The mean duration between the diagnosis of severe disease and the introduction of CS was  $5.7 \pm 5.0$  days in the recovered patients, and  $8.0 \pm 4.6$  days in the nonsurvivors. The difference between them was not significant. The mean duration of CS therapy was  $77.6 \pm 63.2$  days in the recovered patients.

**Histological examination**

Liver histology in 5 patients showed massive necrosis in one nonsurvivor and chronic hepatitis in 4 recovered patients (F2, severe in 1 and F3, severe in 3) (Table 2).

**Long-term outcomes of recovered patients**

In the 7 recovered patients, LMV was introduced to 4 and ETV to 3, and adefovir was not introduced at all. CS doses were tapered to cessation in all patients.

**Discussion**

The prognosis of severe acute exacerbation of chronic hepatitis B is very poor if signs of liver failure appear. This is recognized everywhere around the world [1, 18, 19]. The survival rate of patients with fulminant liver failure in HBV carriers was less than 20% without liver transplantation in a Japanese nationwide survey between 1998 and 2003 [18]. No effective therapy other than liver transplantation is

established for severe acute exacerbation of chronic hepatitis B. Therefore, establishing other effective therapies is urgently required for such patients. This has been an important clinical problem in Japan, where a serious shortage of donor livers still remains.

As mentioned above, in our previous study, we reported that the introduction of high-dose CS could reverse deterioration in patients with “clinically severe, life-threatening” exacerbation of chronic hepatitis B, when used in the early stage of the illness [14].

Recently, NAs, which are strongly active against HBV by interfering with HBV reverse transcriptase activity, have been administered in patients with chronic hepatitis B, and dramatic biochemical and histological improvements were achieved. It was proven that NAs could be administered safely even in severe disease [9–13], but the mortality is still high in patients with liver failure. Tsubota et al. [20] reported that LMV monotherapy offered no significant advantage over conventional supportive treatment for rapid progression to hepatic failure, nor did the therapy offer improvement and prolongation of short-term survival in patients with spontaneous severe acute exacerbation of chronic hepatitis B, but they noted that the combination of any effective therapeutic strategies with LMV should be aggressively instituted. Chien et al. [21] reported that LMV failed to prevent death in patients with severe acute exacerbation if it was administered after the serum bilirubin level rose above 20 mg/dl.

HBV DNA is reduced rapidly with the administration of NAs, but improvement in liver function and liver regeneration, is delayed by a few weeks to a few months [10, 14, 15]. During this time-lag phase, excessive immunological reaction may continue, liver cell injury may progress, and liver regeneration may be impaired. If effective therapeutic approaches were to be available in this phase, they would certainly be beneficial for these patients. CS therapy would be a candidate, as it inhibits excessive immune response and prevents cytolysis of infected hepatocytes. Therefore, we defined the criteria of severe disease in 1997, as described above, and after 1997 we treated patients with severe disease with the early initiation of sufficient doses of CS prospectively, and we used a combination of early and sufficient doses of CS and NA after 1999. In our previous studies, we described the significant effect of the combination therapy of CS and NA compared with historical controls [14, 15].

However, CS has not been used for the treatment of chronic hepatitis B because it might enhance the replication of HBV through a steroid-responsive element in the HBV genome [22]. Gregory et al. [23] reported that CS would likely have proved beneficial if treatment had been started “much earlier” in the course of the illness, while CS was equally ineffective in the treatment of severe viral hepatitis

by a double-blind, randomized trial. On the other hand, Hansson et al. [24] reported that, in the treatment of fulminant hepatitis B patients with foscarnet, clinical recovery was related to the influence on the immune system rather than an influence on the reduction of HBV DNA.

In our previous study, none of the patients treated with high doses of CS monotherapy showed increased HBV replication during short-term observation periods [14]. In another study, HBV DNA decreased significantly during the first 4-week period with a combination therapy of CS and NA [15]. In these studies, we used a historical control instead of a randomized controlled group, because ethical issues prevent a randomized control study in such life-threatened patients. Therefore, we believe that CS is not contraindicated for the treatment of a specific population of chronic hepatitis B patients.

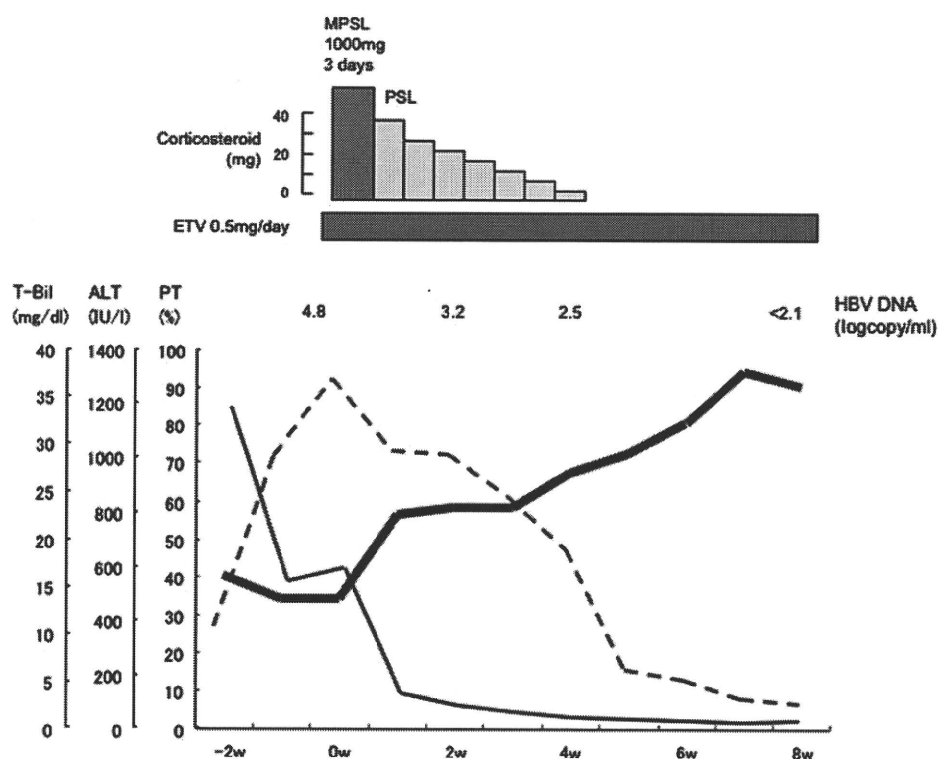
In the present study, the differences in background parameters on admission, including age, PT activity, T-Bil level, HBV DNA level, AFP level, and HGF level were not significant between the recovered patients and the nonsurvivors. The difference in mean duration between the diagnosis of severe disease and the introduction of CS was also not significant between the two groups. These findings contradicted our expectations that liver function deterioration would be more advanced, liver regeneration would be more impaired, and the timing of introduction of CS would be later in the nonsurvivors than in the recovered patients. The only difference found between the groups on admission was in the presence of fulminant hepatitis.

Regarding the relationship between responses to the therapy and clinical outcomes, improvement of PT activity and decline of HBV DNA between weeks 0 and 2, and between weeks 0 and 4 were found in the recovered patients, and significant improvements of ALT levels between weeks 0 and 2 and between weeks 0 and 4 were found in both groups. The improvement of T-Bil level was not significant during this period in the recovered patients, while the increases of T-Bil level between weeks 0 and 4, and between weeks 2 and 4 were significant in the nonsurvivors.

Taken together, the findings of the present study indicate that patients with fulminant hepatitis on admission, no improvement of PT activity during the first 2 weeks of combined CS and NA treatment, and worsening of T-Bil level during 4 weeks of treatment, especially worsening between week 2 and week 4, could not possibly be salvaged by the combination therapy of CS and NA and would urgently need liver transplantation. This timing of the assessment would be sufficiently early to avoid infectious complications.

We were able to shorten the CS treatment period while monitoring the viral load after we were able to use an NA in combination with the CS from 1999, and recently, the period has become shortened, to 20–30 days.

**Fig. 2** Clinical course of a 50-year-old male patient. He was an asymptomatic carrier of HBV with hepatitis B envelope antibody (HBeAb). He was treated with surgery and imatinib for gastrointestinal stromal tumor. After 6 months of imatinib treatment, he had severe exacerbation of chronic hepatitis B and was transferred to our unit. Entecavir (ETV) and corticosteroid pulse (methylprednisolone; MPSL) was administered the day after admission, and he responded to the therapy. *Thick solid, thin solid, and dashed lines* denote PT, ALT, and T-Bil, respectively



In our previous studies, none of the patients with delayed CS of more than 10 days after the diagnosis of severe disease recovered, with/without antiviral drugs including NA being implemented. This might have been because large numbers of hepatocytes were likely already destroyed and inhibition of the inflammatory reaction might not have been effective when the start of the treatment was delayed beyond 10 days. In the present study, one patient (patient 10 in Table 1) with a high T-Bil level of more than 30 mg/dl and prolonged PT activity recovered with ETV and delayed CS introduced 16 days after diagnosis (Fig. 2). It was not clear why this patient recovered regardless of such advanced disease, and a greater number of such patients should be studied.

Two patients (patients 4 and 6) died even with early CS and NA. The timing of diagnosing severe disease was delayed in these patients, although CS and NA were started within 10 days after this diagnosis. This emphasizes the necessity for even earlier diagnosis of severe disease.

Recently, Matsumoto et al. [25] reported 2 patients with severe exacerbation of chronic hepatitis B with coagulopathy who were treated with a combination of ETV and early-phase CS, based on our previous report. Although one patient met our criteria and the other did not, without jaundice, and the durations from clinical onset to the administration of the combination therapy were not described, both patients recovered successfully. This case

report supports our previous studies [14, 15]. Matsumoto et al. [25] stopped CS after HBV DNA became undetectable, and as a result, the periods of immunosuppression were sufficient, at 10 and 12 weeks, respectively. We suppose that the periods could be shortened as described above in order to avoid infectious complications. HBV DNA levels decreased in both patients during the clinical course in spite of using CS, which was in accordance with our present and previous studies.

In summary, our study indicates that more than a few weeks of CS treatment in combination with an NA is required in the early stage of severe acute exacerbation of chronic hepatitis B, whereas a short period of conventional pulse therapy would be insufficient for this condition. However, the number of patients in our study was small and further studies are necessary.

**Acknowledgments** We are indebted to all our colleagues at the liver units of our hospitals who cared for the patients described herein.

**Conflict of interest statement** No conflicts of interest exist.

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## Portal hemodynamics and clinical outcomes of patients with gastric varices after balloon-occluded retrograde transvenous obliteration

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### Abstract

**Background** Long-term hemodynamic effects and clinical outcomes after balloon-occluded retrograde transvenous obliteration (B-RTO) remain unclear. The purpose of this study was to evaluate long-term clinical results and effects on portal hemodynamics after B-RTO for the treatment of gastric varices with spontaneous gastrorenal shunt.

**Methods** A total of 21 patients with cirrhosis and gastric varices treated by B-RTO were evaluated. The cumulative survival rate was calculated, portal blood flow was measured by Doppler ultrasonography, and liver function was estimated on the basis of Child-Pugh classification before and 1 year after B-RTO.

**Results** Gastric varices disappeared or decreased markedly in size in all patients. Overall cumulative survival rates at 1, 3 and 5 years were 90.48, 71.11 and 53.71%, respectively. Portal blood flow increased significantly from  $681.9 \pm 294.9$  to  $837.0 \pm 279.1$  ml/min ( $P = 0.0125$ ) after B-RTO. Child-Pugh score was not significantly changed ( $P = 0.755$ ) after obliteration, but serum albumin was elevated significantly from  $3.49 \pm 0.49$  to  $3.75 \pm 0.53$  g/dl ( $P = 0.0459$ ). The ascites score was significantly increased ( $P = 0.0455$ )

after B-RTO, but all cases of ascites could be controlled with medication.

**Conclusions** Balloon-occluded retrograde transvenous obliteration is a safe and effective treatment for gastric varices with gastrorenal shunt. Portal blood flow and serum albumin parameters are increased, and liver function is unchanged after B-RTO.

**Keywords** Balloon-occluded retrograde transvenous obliteration (B-RTO) · Portal hemodynamics · Doppler ultrasonography

### Introduction

The prevalence of gastric varices in patients with portal hypertension is approximately 30%, lower than that of esophageal varices [1–3]. The bleeding frequency of gastric varices is also lower than that of esophageal varices [4–7], but represents a severe complication in patients with cirrhosis [1, 2]. Gastric varices are frequently supplied by the short and posterior gastric veins, and are almost always associated with a large gastrorenal shunt [3]. Since blood flow in these collateral veins is fast and abundant, the mortality rate is very high once bleeding starts, and outcomes are worse than those for esophageal varices [1]. Balloon-occluded retrograde transvenous obliteration (B-RTO) is a safe, effective method for embolizing gastric varices through the gastrorenal shunt that is commonly used in Japan [8–10]. This technique has not been widely adopted in other countries. Changes in portal hemodynamics are achieved because the portosystemic shunt is embolized. Some reports indicate that B-RTO increases portal blood flow, but those results were short term, within 4 weeks after B-RTO [9, 11–14]. The present study

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evaluated long-term clinical results and portal hemodynamics after B-RTO, measuring blood flow in the portal vein by Doppler ultrasonography.

**Methods**

We retrospectively evaluated the medical records of all patients who underwent B-RTO for the treatment of gastric varices at our hospital between November 1998 and March 2009. The aim of this study was to assess long-term outcomes following B-RTO, including survival rate and portal hemodynamics.

**Patients**

The B-RTO procedure was performed at our hospital for 21 patients (16 men, 5 women) displaying cirrhosis with gastric varices and gastroduodenal shunt between November 1998 and March 2009. Contrast-enhanced CT before B-RTO showed the presence of gastroduodenal shunt in all patients. In 20 patients, the B-RTO procedure was immediately technically successful. In the remaining patient, the first B-RTO procedure was unsuccessful, but a repeat procedure proved successful. Gastric varices were entirely thrombosed in all patients, as shown by contrast-enhanced CT after B-RTO.

Patient characteristics are summarized in Table 1. Mean patient age was  $60.2 \pm 9.0$  years (range 42–74 years). Median duration of follow-up was 1461 days ( $1740 \pm 1161$  days; range 196–3787 days). The cause of liver cirrhosis was hepatitis B ( $n = 2$ ), hepatitis C ( $n = 11$ ) or chronic alcohol ingestion ( $n = 8$ ). Child-Pugh classification was A in 11 patients and B in 10 patients. The mean Child-Pugh score was  $6.38 \pm 1.24$ . All patients showed gastric varices with acute bleeding or danger of rupture. The danger of rupture of the varices was determined if it was markedly increased in size endoscopically. The form of varices was endoscopically evaluated according to the general rules proposed by the Japanese Research Society for Portal Hypertension [15]. The form of varices was classified as small straight (F1), enlarged tortuous (F2) or large coil-shaped (F3). Gastric varices were considered as F2 in 7 patients and F3 in the remaining 14 patients, with no F1 cases. Gastric varices were located in the fundus in all patients. Nine patients had a history of previous episodes of gastric variceal bleeding (urgent cases,  $n = 9$ ); among them, two patients had spurting bleeding and were treated by endoscopic hemostasis, such as injection sclerotherapy, and seven patients had only adhesion clots and had already stopped bleeding at endoscopy, while the remaining 12 patients had no such history. Endoscopic follow-up of the 12 patients revealed varices that were

**Table 1** Patient characteristics

Sex	
Male	16
Female	5
Age (years)	
Median	63
Range	42–74
Follow-up (days)	
Median	1461
Range	196–3787
Etiology	
HBV infection	2
HCV infection	11
Alcohol	8
Child-Pugh class	
A	11
B	10
Form of GV	
F2	7
F3	14
Bleeding	
Urgent cases	9
Elective cases	12
HCC	
Concomitant <sup>a</sup>	10
Not concomitant	11

GV gastric varices, F2 enlarged tortuous varices, F3 large coil-shaped varices, HCC hepatocellular carcinoma

<sup>a</sup> Concomitant or past history of HCC before B-RTO

markedly increased in size and in danger of rupture (elective cases,  $n = 12$ ). Ten patients had concomitant or past history of hepatocellular carcinoma (HCC), but no patient underwent a treatment for HCC within 1 year after B-RTO.

**B-RTO procedure**

All patients were in stable condition. After we confirmed gastric varices with gastroduodenal shunt by endoscopy, computed tomography and ultrasound, we performed B-RTO. A 6-F balloon catheter (Selecon MP catheter; Clinical Supply, Gifu, Japan) was inserted into the gastroduodenal shunt via the right jugular vein. Through the balloon catheter, retrograde venography was performed under balloon inflation to confirm the demonstration of both gastric varices and the inflow routes. Additional specialized techniques to treat minor collaterals were utilized for collateral draining veins, such as inferior phrenic veins visualized by retrograde venography, and microcoil embolization was performed to prevent leakage of the sclerosing agent into the systemic circulation [2, 14].

When the gastric varices were visualized and retention of contrast medium in the gastric varices was identified, the sclerosing agent was slowly injected into the gastric varices from the balloon catheter until feeding veins from the portal or splenic veins began to be visualized. After infusion of the sclerosing agent, the balloon was kept inflated overnight, and the catheter was removed the next morning. The sclerosing agent comprised 5% ethanolamine oleate with iopamidol (EOI) prepared by mixing equal volumes of 10% ethanolamine oleate and iopamidol. To prevent renal dysfunction caused by EOI-induced hemolysis, an intravenous infusion of 4000 U of haptoglobin was administered [2].

### Doppler ultrasonography

Portal blood flow was measured before and about 1 year after B-RTO by Doppler sonography (APLIO SSA 770; Toshiba, Tokyo, Japan), using a 3.0- to 6.0-MHz convex probe equipped for color and pulsed-wave Doppler (range 1.8–3.0 MHz). Doppler measurements were obtained by an expert operator. Doppler sample volume, with a width of approximately half the lumen, was placed in the middle of the vessel. Aliasing was avoided by using the best pulse repetition frequency in relation to velocity in the vessel. The angle of incidence of the Doppler beam to all vessels was kept within 60° to minimize intrinsic errors. All measurements were taken after overnight fasting, with the patient at rest and during a breath-hold in a supine position. As two patients died within 1 year after B-RTO, they did not undergo Doppler ultrasonography 1 year after B-RTO.

### Follow-up evaluation

Follow-up evaluation included survival rate, liver function and portal blood flow as measured by Doppler ultrasonography. Survival in the follow-up period was measured in days from the date of B-RTO until the date of death for all patients. Liver function reserve was estimated on the basis of the Child-Pugh classification. According to the Child-Pugh classification, ascites were scored from 1 to 3 as: 1, no ascites; 2, slight ascites or ascites suppressed by medication; 3, moderate or refractory ascites. Follow-up examinations, including gastrointestinal endoscopy, CT and serum examination, were conducted 1, 3 and 6 months after B-RTO, then every 3 months. We compared data from 19 patients before and 1 year after B-RTO, as two patients died within 1 year after B-RTO.

### Statistical analysis

All values are expressed as mean  $\pm$  standard deviation, median or percentage. The Kaplan-Meier method was used

to calculate rates of survival for all patients. Distribution of survival was analyzed in relation to various factors (age, sex, cause of liver cirrhosis, presence of previous episodes of gastric varices, presence of HCC and Child-Pugh classification). Univariate analyses (log-rank tests) were used to determine differences in these distributions. Data before and after B-RTO were compared using the Wilcoxon signed-ranks test or the paired *t* test. The relationship between the annual rate of change in portal blood flow and liver function reserve was analyzed using Student's *t* test. Differences were considered significant for values of  $P < 0.05$  for all tests. SPSS statistical software (SPSS, Chicago, IL) was used for all statistical analyses.

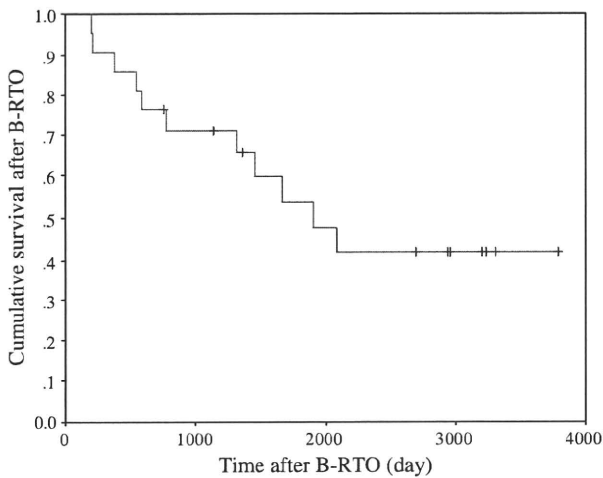
### Results

In 20 patients, the B-RTO procedure was immediately technically successful. In the remaining patient, the first B-RTO procedure was unsuccessful, but a repeat procedure proved successful. Occlusion of minor collateral vessels was necessary for three patients, and microcoil embolization was performed. No complications were encountered with B-RTO procedures. Gastric varices disappeared or markedly decreased in size in all patients by final follow-up. No recurrence of gastric varices was found.

A total of 11 patients (52.4%) died during follow-up. Median time to death was 777 days ( $1015 \pm 698$  days; range 196–2092 days). One patient died from colorectal cancer, and the other causes of death were HCC or hepatic failure. Two patients died within 1 year after B-RTO, with one patient dying from HCC and hepatic failure 7 months after B-RTO and the other dying from hepatic failure 6 months after B-RTO. Overall cumulative survival rates at 1, 3 and 5 years were 90.48, 71.11 and 53.71%, respectively (Fig. 1). Univariate analysis failed to identify any significant prognostic factors related to survival in this study (Table 2).

Flow in the portal trunk increased significantly from  $681.9 \pm 294.9$  ml/min before the procedure to  $837.0 \pm 279.1$  ml/min after 1 year ( $n = 19$ ,  $P = 0.0125$ ) (Fig. 2). The annual rate of change in portal blood flow was a  $13.4 \pm 22.8\%$  increase in Child-Pugh classification A and  $36.4 \pm 42.7\%$  increase in Child-Pugh classification B, but the difference was not significant ( $P = 0.1960$ ).

Child-Pugh score did not change significantly from  $6.32 \pm 1.29$  before to  $6.16 \pm 1.17$  ( $n = 19$ ,  $P = 0.755$ ) at 1 year after B-RTO (Fig. 3). Total bilirubin did not change significantly from  $1.6 \pm 1.4$  mg/dl before to  $1.5 \pm 1.1$  mg/dl ( $n = 19$ ,  $P = 0.3089$ ) at 1 year after B-RTO, and prothrombin time percentage activity did not change significantly from  $74.8 \pm 11.6\%$  before to  $76.7 \pm 8.8\%$  ( $n = 19$ ,  $P = 0.4716$ ) at 1 year after B-RTO. The ascites



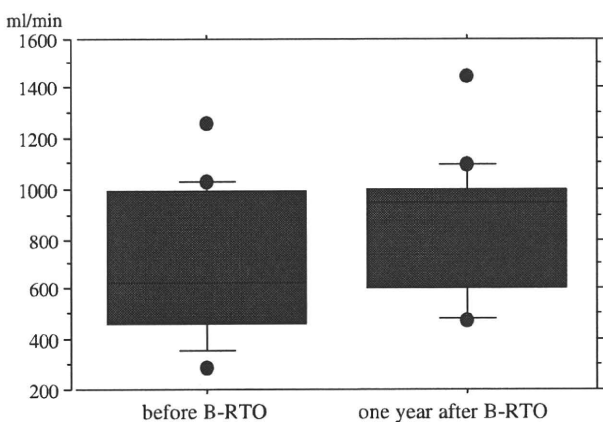
**Fig. 1** Cumulative survival rate after B-RTO. Two patients died within 1 year after B-RTO, and overall cumulative survival rates at 1, 3 and 5 years were 90.48, 71.11 and 53.71%, respectively

**Table 2** Univariate analysis of prognostic factors affecting overall survival after B-RTO

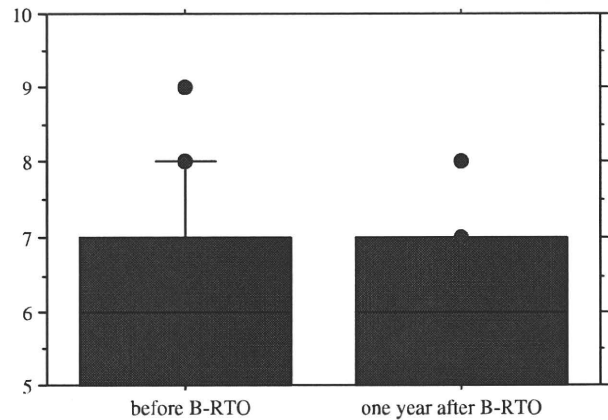
Variable	Univariate analysis P
Age >60 years	0.294
Male	0.3472
Hepatitis viral infection	0.3498
Previous episode of GV bleeding	0.4511
Concomitant HCC <sup>a</sup>	0.1216
Child-Pugh B and C	0.1033

The log-rank test was used for univariate analysis  
*B-RTO* balloon-occluded retrograde transvenous obliteration, *GV* gastric varices, *HCC* hepatocellular carcinoma

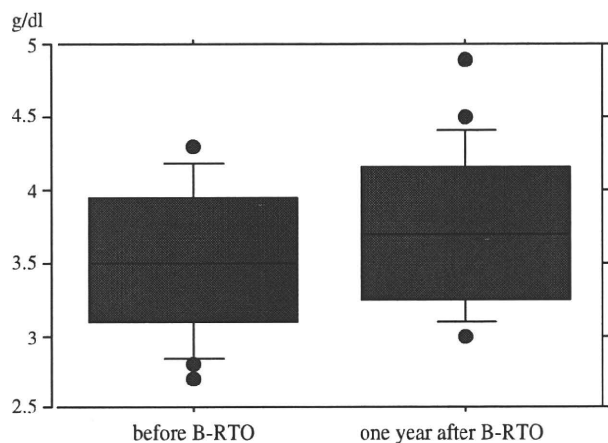
<sup>a</sup> Concomitant or past history of HCC before B-RTO



**Fig. 2** Change in portal blood flow. Flow in the portal trunk was significantly increased from  $681.9 \pm 294.9$  to  $837.0 \pm 279.1$  ml/min at 1 year after B-RTO ( $n = 19, P = 0.0125$ )



**Fig. 3** Change in Child-Pugh score. No significant change in Child-Pugh ( $n = 19, P = 0.755$ ) was seen 1 year after B-RTO



**Fig. 4** Change in serum albumin level. Serum albumin was significantly elevated from  $3.49 \pm 0.49$  g/dl before B-RTO to  $3.75 \pm 0.53$  g/dl at 1 year after B-RTO ( $n = 19, P = 0.0459$ )

score increased significantly from  $1.16 \pm 0.38$  at baseline to  $1.37 \pm 0.50$  ( $n = 19, P = 0.0455$ ) at 1 year after B-RTO. However, all cases of ascites were suppressed by medication. Serum albumin was significantly elevated from  $3.49 \pm 0.49$  g/dl before B-RTO to  $3.75 \pm 0.53$  g/dl at 1 year after B-RTO ( $n = 19, P = 0.0459$ ) (Fig. 4).

No patients showed recurrence of gastric varices. Worsening of esophageal varices was identified in nine patients at 1 year. While no bleeding of esophageal varices was identified, two patients needed endoscopic injection sclerotherapy for enlarged tortuous varices with red color sign after B-RTO.

**Discussion**

Ruptured esophagogastric varices represent a life-threatening complication in patients with portal hypertension.