## 肝線維化と細胞間ネットワーク

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索引用語: 星細胞、Kupffer細胞、酸化ストレス、NASH

# 1.

#### はじめに

近年、食生活の欧米化に伴い、わが国にお ける非アルコール性脂肪肝炎(NASH)の患者 数は年々増加傾向にある。また、C型肝炎や B型肝炎などのウイルス性肝炎から肝硬変, 肝癌を発症し、死亡するケースも少なくな い、これらの病態では、肝障害によって肝細 胞が壊死を起こし、 壊死局所にコラーゲンな どの細胞外マトリックスが過剰に蓄積するこ とによって線維化が引き起こされる(図1). 肝障害が軽度の場合、残存していた肝細胞が 増殖し、肝臓が修復され肝再生が起こる。し かし障害が重度であったり、持続する場合に は肝臓の破壊と再生のバランスが崩れ、その 代償として肝線維化が惹起される. 肝線維化 を引き起こす要因の一つとしては、肝臓の星 細胞の活性化が重要な役割を担っていること は広く認知されている。そして星細胞の活性 化は、肝臓を構成する肝細胞やKupffer細 胞、類洞内皮細胞との密接な相互作用によっ て生じている。本稿では肝線維化における星

細胞の役割を中心に、最近の報告もふまえ概 説したい。



### 肝線維化における星細胞の 位置づけ(図2)

肝線維化は活性化星細胞より産生された I型コラーゲンを主体とする細胞外マトリックスが、門脈域を主とした肝実質に過剰蓄積することで引き起こされる病態である。そのため、星細胞の活性化を抑制させ、細胞外マトリックスの産生を抑えることが肝線維化の治療に有効であると考えられている。

星細胞(stellate cell, ito cell, fat-storing cell, lipocyte, perisinusoidal cellとも呼ばれる)は類洞の肝細胞側のDisse腔に位置し、細胞から伸びる枝状の突起で類洞内皮細胞を取り囲むように存在し、一方で肝細胞とも接している。本細胞の主な機能は正常肝では大量のビタミンAを貯蔵することである。体内の全ビタミンA量の50~80%は肝臓に存在し、その約90%が星細胞に貯えられており、必要に応じて肝細胞あるいは全身へと運搬され

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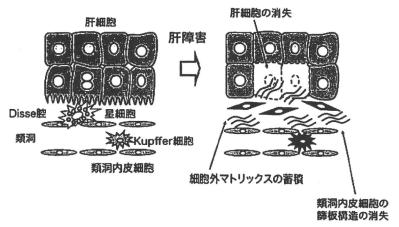
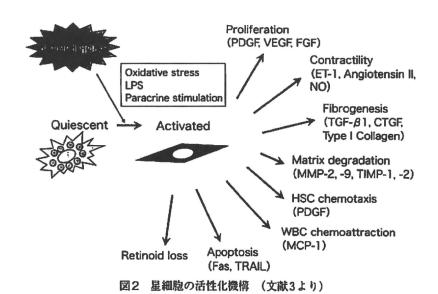


図1 肝線維化における類洞構造の変化



る<sup>1)</sup>. その他の星細胞機能として、肝特異的なpericyteとしての機能も有しており、星細胞は収縮や弛緩することにより類洞の微小循環を調節する<sup>2)</sup>. しかしながら、この細胞は肝臓が障害を受けると、細胞の機能や形態を劇的に変化させ性質の全く異なる筋線維芽細胞(=活性化星細胞)へと形質転換する<sup>3)</sup>. 星細胞は肝の炎症により活性化した Kupffer細胞や、単球由来のマクロファージにより放出

された platelet-derived growth factor (PDGF) や、transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1)の働きにより活性化する。活性化した星細胞では貯蔵していたビタミンAが減少・消失し、細胞骨格タンパク質である desmin や a-smooth muscle actinが増加することで収縮能が増強し、コラーゲンなどの細胞外マトリックスを過剰に産生する。産生されるコラーゲンはタイプIが主体となり、星細胞は

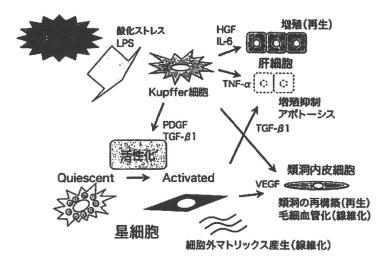


図3 壊死局所における線維化、再生の細胞間ネットワーク

活性化するとTGF-β1をおのずから発現するようになり、オートクライン的に作用することでタイプIコラーゲンの発現がさらに亢進する.この機構は、NASHやアルコール性肝障害(ASH)などの肝線維化を生じる病態で共通のメカニズムであると考えられている.しかしながら、病態によっては肝線維化にまで至るプロセスが異なっており、星細胞と他の肝臓構成細胞の相互作用が重要となる(図3).

# 3 肝線維化

#### 肝線維化の発症・進展

肝線維化の発症・進展には、炎症性サイトカインや酸化ストレスが関与していると考えられている。炎症性サイトカインは主に肝臓のマクロファージである Kupffer 細胞によって産生され、肝細胞のアポトーシスや星細胞の活性化を誘導する(図3).

Kupffer細胞は肝臓に常在しているマクロファージで、肝臓に生じた老廃物を食食する作用を持つ、肝障害が起こると、酸化ストレスや腸内細菌由来のLipolysaccharide (LPS)によりKupffer細胞は活性化され、tumor necrosis factor (TNF)-aやinterleukin-6 (IL-6),

IL-1βなどの炎症性サイトカインを産生する.
TNF-αはウイルス性肝炎やアルコール性肝炎などの肝障害への関与が知られており<sup>4</sup>,
NASHにおいても肝細胞のアポトーシスを誘導することが知られている<sup>5</sup>. さらに、活性化したKupffer細胞はPDGFやTGF-β1を産生し、これらが星細胞の活性化を誘導する.

また、肝線維化の発症と進展には酸化スト レスが関与しており、肝細胞内のミトコンド リアなどから産生される過剰な活性酸素種 (reactive oxygen species: ROS) がKupffer細 胞や星細胞の活性化の一因となる3)、酸化ス トレスの要因はさまざまであるが、その一つ に鉄がある、鉄は生体にとって必須の元素で あるが、鉄、特に2価鉄(Fe2+)の過剰蓄積は正 常な細胞にとって障害となる。実際、NASH 患者では肝細胞やKupffer細胞への鉄の過剰 蓄積が報告されており6.71. 鉄制限による食 事療法や瀉血によって線維化が改善する8.9). 肝内に蓄積した鉄がフェントン反応により強 力な酸化作用を持つ・OHを産生するため、 肝細胞のアポトーシスを誘導し、星細胞の活 性化を介して線維化を促進すると考えられ

# 4

#### 肝線維化における再生と破壊

肝障害が起こると、肝細胞壊死が生じる. その壊死局所では残存していた肝細胞が増殖し、組織修復が開始される. 肝臓は再生能力の強い臓器であり、障害が軽度で一過性である場合は再生する. しかしながら、NASHやウイルス性肝炎では障害が何十年にもわたって持続するため、細胞外マトリックス産生が過剰になる一方で、tissue inhibitor of matrix metalloproteinase (TIMP)のようなコラーゲナーゼ阻害蛋白が増加して分解機構を上回るため、肝の修復機転のバランスが崩壊して肝線維化が引き起こされる.

肝再生過程ではhepatocyte growth factor (HGF) やIL6などのサイトカインにより肝細胞の増殖が促進されるが、線維化過程ではこれら増殖因子の発現が減少し、TGF-β1など抑制性因子により肝細胞の増殖は負に制御される(図3)、NASHでは肝細胞の増殖不全が起き、肝再生の遅延がしばしばみられる<sup>10,11)</sup>、NASHの場合、肝細胞の脂肪化が再生を阻害し、酸化ストレスなどの要因によって肝臓の破壊が亢進するため線維化が進行すると考えられる。

# 5

#### 肝線維化における類洞の 毛細血管化

類洞内皮細胞には径100 nm程度の小孔が多数存在しており、これを篩板構造(sieve plate)と呼んでいる。類洞には基底膜が存在していないため、ある分子量以下の血しょう成分は類洞内皮細胞の小孔を通過して類洞から、Disse腔を介して肝細胞へと自由に移行できる。肝障害が起こると、上述したように各種サイトカインや酸化ストレスなどにより

星細胞が活性化する. 活性化星細胞によって 産生されたラミニンを含む細胞外マトリック スがDisse 腔の内皮細胞側に沈着し、基底模 様の構造を形成するようになると類洞内皮細 胞は飾板構造を失う. この一連の過程を類洞 の毛細血管化と呼び. 活性化した Kupffer 細胞や星細胞から分泌された vascular endothelial cell growth factor (VEGF)が, PDGFや basic fibroblast growth factor (b-FGF)とともに 類洞内皮細胞の増殖を促し、類洞の毛細血管 化をさらに進行させる(図3)<sup>12</sup>. この過程が 線維化時における肝細胞機能不全の引き金に なることも推測される.



#### おわりに

星細胞を中心に肝線維化における肝内ネットワークについて、基礎的な概念から最近の研究成果をふまえ紹介した。近年、食生活の欧米化に伴って年々患者数が増加傾向にあるNASHが着目されており、肝臓細胞間の相互作用も含めNASHの発症・進展のメカニズムの解明に関する研究が進んでいる。NASH症例などは糖尿病や高脂血症などを合併したケースが多く、今後の肝線維化治療は個々の細胞をターゲットとするのではなく、臓器全体、もしくは身体全体を治療する戦略も念頭にする必要があると思われる。

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# Association of IL28B Variants With Response to Pegylated-Interferon Alpha Plus Ribavirin Combination Therapy Reveals Intersubgenotypic Differences Between Genotypes 2a and 2b

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Genetic polymorphisms of the interleukin 28B (IL28B) locus are associated closely with outcomes of pegylated-interferon (PEG-IFN) plus ribavirin (RBV) combination therapy. The aim of this study was to investigate the relationship between IL28B polymorphism and responses to therapy in patients infected with genotype 2. One hundred twenty-nine chronic hepatitis C patients infected with genotype 2, 77 patients with genotype 2a and 52 patients with genotype 2b, were analyzed. Clinical and laboratory parameters, including genetic variation near the IL28B gene (rs8099917), were assessed. Drug adherence was monitored in each patient. Univariate and multivariate statistical analyses of these parameters and clinical responses were carried out. Univariate analyses showed that a sustained virological response was correlated significantly with IL28B polymorphism, as well as age, white blood cell and neutrophil counts, adherence to RBV, and rapid virological response. Subgroup analysis revealed that patients infected with genotype 2b achieved significantly lower rapid virological response rates than those with genotype 2a. Patients with the IL28B-major allele showed higher virus clearance rates at each time point than those with the IL28B-minor allele, and the differences were more profound in patients infected with genotype 2b than those with genotype 2a. Furthermore, both rapid and sustained virological responses were associated significantly with IL28B alleles in patients with genotype

Abbreviations: HCV, hepatitis C virus; HCC, hepatocellular carcinoma; IFN, interferon; PEG-IFN, pegylated-interferon; RBV, ribavirin; IL28B, interleukin 28B; SNPs, single nucleotide polymorphisms; BMI, body mass index; ALT, alanine transaminase; ISDR, the interferon sensitivity determining region; ITPA, inosine triphosphatase

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2b. IL28B polymorphism was predictive of PEG-IFN plus RBV combination treatment outcomes in patients infected with genotype 2 and, especially, with genotype 2b. In conclusion, IL-28B polymorphism affects responses to PEG-IFN-based treatment in difficult-to-treat HCV patients. J. Med. Virol. 83:871-878, 2011. © 2011 Wiley-Liss, Inc.

KEY WORDS: hepatitis C virus (HCV); chronic hepatitis C; genotype 2; PEG-IFN plus RBV therapy; combination therapy; IL28B; interferon-λ3

#### INTRODUCTION

Hepatitis C virus (HCV) infects around 170 million people worldwide and is characterized by a high probability of developing chronic inflammation and fibrosis of the liver, leading to end-stage liver failure and hepatocellular carcinoma (HCC) [Alter, 1997; Sakamoto and Watanabe, 2009]. Since the first report in 1986, type I interferons have been the mainstay of HCV therapy [Hoofnagle, 1994]. Current standards of care consist of a combination of ribavirin (RBV) plus pegylated interferon (PEG-IFN)-alpha for 48 weeks for infection with genotypes 1 and 4, and for 24 weeks for the other genotypes [Zeuzem et al., 2000; Fried et al., 2002]. Although this treatment improved substantially sustained virological response rates, it may result also in serious adverse effects and a considerable proportion of patients require early discontinuation of treatment. Patients of African origin have even poorer treatment outcomes [Rosen and Gretch, 1999]. Given this situation, a precise assessment of the likely treatment outcomes before the initiation of treatment may improve substantially the quality of antiviral treatment.

Recently, several studies have reported that genetic polymorphisms of the IL28B locus, which encodes interferon-λ3 (interleukin 28B), are associated with response to interferon-based treatment of chronic HCV infections with genotype 1 [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009] and also spontaneous clearance of HCV [Thomas et al., 2009].

While chronic HCV infections with genotype 2 are associated with good treatment outcome, there are some refractory cases among patients infected with genotype 2, similar to genotype 1. The aims of this study were to analyze retrospectively clinical and virological factors associated with treatment response in patients with chronic HCV infection with genotype 2 who were treated with PEG-IFN plus RBV combination therapy and to clarify the relationship between IL28B polymorphism and the response to combination therapy.

#### PATIENTS AND METHODS

The authors analyzed retrospectively 129 patients with chronic HCV infection with genotype 2 who received combination therapy with PEG-IFN plus RBV between December 2004 and December 2009 at 10 multicenter hospitals (liver units with hepatologists) throughout Japan. All patients had chronic active hepatitis confirmed histologically or clinically and were positive for anti-HCV antibodies and serum HCV RNA by quantitative or qualitative assays. Patients with a positive test for serum hepatitis B surface antigen, coinfection with other HCV genotypes, coinfection with human immunodeficiency virus, other causes of hepatocellular injury (such as alcoholism, autoimmune hepatitis, primary biliary cirrhosis, or a history of treatment with hepatotoxic drugs), and a need for hemodialysis were excluded.

#### Study Design

Each patient was treated with combination therapy with PEG-IFN-α2b (Peg-Intron, Schering-Plough Nordic Biotech, Stockholm, Sweden, at a dose of 1.2-1.5 μg/ kg subcutaneously once a week) or PEG-IFN- $\alpha 2a$ (Pegasys; Roche, Basel, Switzerland, at a dose of  $180~\mu g$  subcutaneously once a week) plus RBV (Rebetol, Schering-Plough Nordic Biotech or Copegus; Roche) 600-1,000 mg daily depending on the body weight (b.w.) (b.w. <60 kg: 600 mg po daily; b.w: 60-80 kg: 800 mg po daily; b.w. >80 kg: 1,000 mg po daily; in two divided doses). The duration of the combination therapy was set at a standard 24 weeks, but treatment reduction or discontinuation was permitted by doctor's decision. The rates of PEG-IFN and RBV administration achieved were calculated as percentages of actual total dose administered of a standard total dose of 24 weeks, according to body weight before therapy. During treatment, patients were assessed as outpatients at weeks 2, 4, 6, 8, and then every 4 weeks for the duration of treatment and at every 4 weeks after the end of treatment. Biochemical and hematological testing was carried out in a central laboratory. Serum HCV RNA was measured before treatment, during treatment at 4 weekly intervals, and after therapy at 4 weekly intervals for 24 weeks, by quantitative or qualitative assays.

#### **Patient Evaluation**

The following factors were analyzed to determine whether they were related to the efficacy of combination therapy: age, gender, body mass index (BMI), previous IFN therapy, grade of inflammation and stage of fibrosis on liver biopsy, pretreatment biochemical parameters, such as white blood cells, neutrophils, hemoglobin, platelet count, alanine transaminase (ALT) level, serum HCV RNA level (log IU/ml), and single nucleotide polymorphism (SNPs) in the IL28B locus (rs8099917). Liver biopsy specimens were evaluated blindly, to determine the grade of inflammation and stage of fibrosis, by an independent interpreter who was not aware of the clinical data. Activity of inflammation was graded on a scale of 0-3: A0 shows no activity, A1 shows mild activity, A2 shows moderate activity and A3 shows severe activity. Fibrosis was staged on a scale of 0-4: F0 shows no fibrosis, F1 shows moderate fibrosis, F2 shows moderate fibrosis with few septa, F3 shows severe fibrosis with numerous septa without cirrhosis and F4 shows cirrhosis.

Informed written consent was obtained from each patient who participated in the study. The study protocol conformed to the ethical guidelines of the Declaration of Helsinki and to the relevant ethical guidelines as reflected in a priori approval by the ethics committees of all the participating universities and hospitals.

#### **SNP Genotyping**

Human genomic DNA was extracted from whole blood of each patient. Genetic polymorphism of IL28B was determined by DigiTag2 assay by typing one tag SNP located within the IL28B locus, rs8099917 (22). Heterozygotes (T/G) or homozygotes (G/G) of the minor allele (G) were defined as having the IL28B minor allele, whereas homozygotes for the major allele (T/T) were defined as having the IL28B major allele.

#### **Outcomes**

The primary end point was a sustained biochemical and virological response. A sustained virological response was defined as serum HCV RNA undetectable at 24 weeks after the end of treatment. Secondary end points were a rapid virological response (HCV RNA undetectable in serum at week 4) and end-of-treatment virological response. In addition, tolerability (adverse events) and drug adherence were recorded and factors potentially associated with virological response explored.

#### Statistical Analysis

SPSS software package (SPSS 18J, SPSS, Chicago, IL) was used for statistical analysis. Discrete variables were evaluated by Fisher's exact probability test and distributions of continuous variables were analyzed by the Mann–Whitney *U*-test. Independent factors possibly affecting response to combination therapy were examined by stepwise multiple logistic-regression analysis. All *P*-values were calculated by two-tailed tests, and those of less than 0.05 were considered statistically significant.

#### RESULTS

#### Clinical Characteristics and Response to Therapy

The clinical characteristics and response rates to therapy of 129 patients are summarized in Tables I and II. Sixty-eight patients achieved a rapid virological response, whereas 44 patients remained HCV-RNA positive at week 4. Treatment reduction or cessation was permitted also to avoid side effects, and one patient stopped treatment at week 12 because he was

TABLE I. Baseline Characteristics of Participating Patients Infected With HCV Genotype 2

	<b>71</b>
Total number	129
Genotype (2a/2b)	77/52
IL28B SNPs (rs8099917)	
TT/TG/GG	100/28/1
Age (years) <sup>a</sup>	64 (20-73)
Gender (male/female)	64/65
Body mass index $(kg/m^2)^a$ $(N = 80)$	23.7 (16.9-33.5)
Previous interferon therapy (no/yes)	102/21 (unknown 6)
Histology at biopsy $(N = 96)$	
Grade of inflammation	
A0/1/2/3	10/53/29/4
Stage of fibrosis	
F0/1/2/3	7/59/19/11
White blood cells $(/\mu l)^b$ $(N = 94)$	$5,115 \pm 1,630$
Neutrophils $(/\mu l)^b$ $(N = 94)$	$2,765 \pm 1,131$
Hemoglobin $(g/dl)^b$ (N = 95)	$14.2 \pm 1.3$
Platelet count $(\times 10^{-3}/\mu l)^b$ (N = 98)	$187 \pm 95$
$ALT (IU/L)^b (N = 95)$	$82 \pm 78$
Serum HCV-RNA level (log(IU/ml)) <sup>a,c</sup>	6.2 (3.6-7.4)
Treatment duration (>16, ≤24)	19/110

SNPs, single nucleotide polymorphisms; ALT, alanine transaminase. \*Data are shown as median (range) values.

<sup>b</sup>Data are expressed as mean  $\pm$  SD.

Data are shown as log(IU/ml)).

anticipated to be a non-responder. On an intention-to-treat analysis, serum HCV-RNA levels were negative at the end of treatment in 125 of the 129 patients (97%) treated and, among them, 98 (76%) achieved a sustained virological response. The rapid virological response rate of patients infected with genotype 2b was lower significantly than that of patients infected with genotype 2a (P=0.036) (Table II). The sustained virological response rate decreased with RBV drug discontinuation and dose reduction (84% and 66% with  $\geq$ 80% and <80% of RBV dose, P=0.021, Table III). Adherences to PEG-IFN did not influence a sustained virological response or end of treatment response significantly, while RBV adherence was associated significantly with a sustained virological response (Table III).

#### Factors Associated With a Sustained Virological Response

Next the host clinical and viral factors associated with a sustained virological response were analyzed. Univariate statistical analysis showed that six parameters were associated significantly with the sustained virological response rates, including age, white blood cells, neutrophils, adherence to RBV, rapid virological response and an IL28B SNP (rs8099917) (Table IV). There was no significant association of sustained virological response with gender, previous interferon therapy, stage of fibrosis, pretreatment HCV titer or adherence to PEG-IFN. Further multivariate analyses were conducted using significant factors identified by the univariate analysis (Table V). The multiple logisticregression analysis showed that only a rapid virological response was associated with a sustained virological response (OR = 0.170, P = 0.019).

TABLE II. Response Rates to Therapy

Character		Number/total number (%)	
Overall RVR ETR SVR		12	58/112 (61) 25/129 (97) 98/129 (76)
Genotype	2a	2b	$P ext{-}\mathrm{value}$
RVR ETR SVR	46/67 (69) 74/77 (96) 56/77 (73)	22/45 (49) 51/52 (98) 42/52 (81)	0.036 NS NS

RVR, rapid virological response; ETR, end of treatment response; SVR, sustained virological response. Bold indicated P-value of less than 0.05.

TABLE III. Response Rates to Treatment According to Drug Adherence

	≧80%	<80%	P-value
PEG-IFN adherer	nce		
ETR	94/96 (98)	31/33 (94)	NS
SVR	75/96 (78)	23/33 (70)	NS
RBV adherence			- 1
ETR	72/73 (99)	53/56 (95)	NS
SVR	61/73 (84)	37/56 (66)	0.021

ETR, end of treatment response; SVR, sustained virological response; PEG-IFN, pegylated interferon;

The rates of PEG-IFN and RBV administration achieved were calculated as percentages of actual total dose administered of a standard total dose of 24 weeks, according to body weight before therapy. Bold indicated *P*-value of less than 0.05.

#### Comparison of Sustained Virological Response Rates According to IL28B SNPs

The PEG-IFN plus RBV treatment efficacy was compared after dividing the study subjects into two groups based on IL28B alleles (Table VI). Patients homozygous for the IL28B major allele (TT allele) achieved significantly higher rapid and sustained virological response rates than those heterozygous or homozygous for the IL28B minor allele (TG/GG alleles) (P < 0.05). In addition, responses to PEG-IFN plus RBV treatment were analyzed after dividing the study subjects into those with genotype 2a and with genotype 2b. The rapid and sustained virological response rates tended to be higher in patients homozygous for the IL28B major allele than those heterozygous or homozygous for the

TABLE IV. Clinical and Virological Characteristics of Patients Based on Therapeutic Response

	SVR (n = 98)	Non-SVR $(n = 31)$	P-value
Genotype (2a/2b)		56/42	21/10
IL28B SNPs (rs8099917)			
TT/TG + GG	81/17	19/12	0.024
Age (years) <sup>a</sup>	56 (20-73)	61 (40–72)	0.002
Gender (male/female)	51/47	13/18	NS
Body mass index (kg/m <sup>2</sup> ) <sup>a</sup>	22.8 (16.9-33.5)	24.1 (20.3-27.6)	NS
Previous Interferon therapy (no/yes)	80/14	22/7	NS
Grade of inflammation (A0-1/2-3)	46/28	15/7	NS
Stage of fibrosis (F0-2/3-4)	64/10	<b>21</b> /1	NS
White blood cells (/μl) <sup>b</sup>	$5.318 \pm 1.617$	$4,489 \pm 1,540$	0.032
Neutrophils (/µl)b	$2.913 \pm 1.139$	$2.278 \pm 983$	0.021
Hemoglobin (g/dl) <sup>b</sup>	$14.2 \pm 1.4$	$14.1 \pm 1.1$	NS
Platelet count (×10 <sup>-3</sup> /µl) <sup>b</sup>	$193 \pm 105$	$171 \pm 54$	NS
ALT (IU/ml)b	$79 \pm 73$	$94 \pm 92$	NS
Pretreatment Serum HCV-RNA level (log(IU/ml)) <sup>a,c</sup>	6.1 (3.6-7.4)	6.3 (4.0-6.7)	NS
PEG-IFN adherence (≥80%/<80%)	75/23	21/10	NS
RBV adherence ( $\geq 80\%/<80\%$ )	61/37	12/19	0.024
RVR/non-RVR	57/24	11/20	0.001

SNPs, single nucleotide polymorphisms; ALT, alanine transaminase; RVR, rapid virological response. Data are show as median (range) values. Data are expressed as mean  $\pm$  SD.

Data are shown as log (IU/ml)). Bold indicated P-value of less than 0.05.

TABLE V. Multivariate Analysis for the Clinical and Virological Factors Related to Sustained Response With Peg-IFN Plus RBV Therapy in 63 Patients

Factor	Category	Odds ratio (95% CI)	P-value
Regression analysis RVR	RVR Non-RVR	1 0.170 (0.039–0.744)	0.019
RBV adherence	≧80% <80%	1 0.250 (0.059–1.064)	0.061
IL28B SNPs (rs8099917)	$\mathbf{TT}\\\mathbf{TG}+\mathbf{GG}$	$0.252\ (0.0481.330)$	0.104
Age Neutrophils White blood cells		1.087 (0.976–1.211) 0.999 (0.997–1.001) 1.000 (0.999–1.002)	0.128 0.209 0.504

CI, confidence interval; SNPs, single nucleotide polymorphisms; RVR, rapid virological response, RBV, ribavirin.

IL28B minor allele infected with both genotype 2a and 2b, and these differences were more profound in patients infected with genotype 2b than with genotype 2a. The rapid and sustained virological response rates of patients with the major IL28B allele were higher significantly than those of patients with the minor IL28B allele infected only with genotype 2b (rapid virological response: 58% and 0% with IL28B major and hetero/minor, P=0.002, sustained virological response: 88% and 44% with IL28B major and hetero/minor, P=0.009).

Although the rapid virological response rate of patients infected with genotype 2b was lower significantly than that of patients infected with genotype 2a, the sustained virological response rate was higher in patients infected with genotype 2b than with genotype 2a (Table II), In order to investigate that discrepancy, sustained virological response rates in patients with or without rapid virological response were analyzed according to IL28B SNPs. In patients infected with genotype 2b and a non-rapid virological response, the sustained virological response rates differed significantly between IL28B major and hetero/minor groups (sustained virological response with non-rapid virological response: 75% and 29% with IL28B major and hetero/minor, P = 0.044), and no one achieved a rapid

virological response among the patients infected with genotype 2b and with the IL28B hetero/minor allele. In patients infected with genotype 2a, on the contrary, there was no significant correlation of rapid and sustained virological response rates between IL28B SNPs (sustained virological response with rapid virological response: 78% and 70% with IL28B major and hetero/minor, P=0.630, sustained virological response with non-rapid virological response: 57% and 43% with IL28B major and hetero/minor, P=0.552).

Next, changes in virological response rates over time were investigated in patients treated with PEG-IFN plus RBV and the time course was analyzed after separating the patients infected with genotype 2a and 2b (Fig. 1). Patients with IL28B-TG and -GG showed significantly lower rates of rapid and sustained virological response, compared to patients with IL28B-TT, and greater differences were observed according to IL28B SNPs among patients infected with genotype 2b than with 2a.

#### **Side Effects**

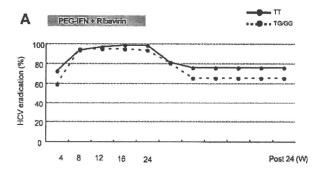
Side effects leading to Peg-IFN plus RBV discontinuation occurred in eight patients (6.2%) and discontinuation of RBV alone occurred in four patients (3.1%).

TABLE VI. Rapid and Sustained Virological Response Rates to Treatment According to IL28B SNPs

Character	IL28B major	IL28B hetero/minor	P-value
Number/total num	ber (%)		
Overall			
RVR	58/88 (66)	10/24 (42)	0.031
SVR	81/100 (81)	17/29 (59)	0.013
Genotype 2a			
RVŘ	36/50 (72)	10/17 (59)	NS
SVR	43/57 (75)	13/20 (65)	NS
Genotype 2b			
RVŘ	22/38 (58)	0/7 (0)	0.002
SVR	38/43 (88)	4/9 (44)	0.009

RVR, rapid virological response; ETR, end of treatment response; SVR, sustained virological response.

Bold indicated P-value of less than 0.05.



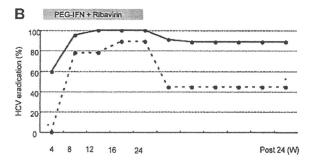


Fig. 1. Changes over time in virological response rates were confirmed in patients treated with PEG-IFN plus RBV, and the time courses were analyzed after separating the patients infected with genotypes 2a and 2b. Patients with the IL28B major (TT allele) are indicated in the figure by a continuous line and those with IL28B hetero or minor (TG or GG), by a dotted line. IL28B-TG and -GG patients showed significantly lower rates of rapid and sustained virological response, compared to IL28B-TT patients. P-values were two-tailed and those of less than 0.05 were considered to be statistically significant.  $^*P < 0.01$ .

Among the eight patients who withdrew from both drugs, four, including one who stopped at week 7, had achieved a sustained virological response. Among four patients who withdrew from RBV alone, three had achieved a sustained virological response. The events leading to drug withdrawal were HCC treatment (n=2), general fatigue (n=2), retinopathy, neuro-psychiatric event, severe dermatological symptoms suggestive of the drug-induced hypersensitivity syndrome, and arrhythmia.

#### DISCUSSION

Recent studies suggest that genetic variations in IL28B are strongly associated with response to therapy of chronic HCV infection with genotype 1 [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009] and with spontaneous HCV clearance [Thomas et al., 2009]. In this study, univariate analyses showed that the sustained virological response was correlated significantly with IL28B polymorphism (rs8099917) as well as age, adherence to RBV and rapid virological response, and multiple logistic-regression analysis showed that only a rapid virological response was associated with a sustained virological response in all patients infected with genotype 2 (Table V). Although the IL28B

polymorphisms are not so useful for predicting the clinical outcomes of PEG-IFN plus RBV combination therapy among patients with genotype 2, compared to genotype 1, IL28B polymorphism was predictive of PEG-IFN plus RBV treatment outcomes among patients with genotype 2 and, more remarkably, among patients with genotype 2b in this study. Indeed, both rapid and sustained virological response rates according to the rs8099917 genotypes were different significantly in patients with genotype 2b but not in patients with genotype 2a. Furthermore, in the plot of virological response (Fig. 1), a stronger effect of the IL28B allele was observed in patients with genotype 2b.

It has been reported that there was no significant association between genetic variation in IL28B and response to therapy of HCV patients infected with genotype 2 or 3, indicating that the prognostic value of the risk allele for treatment response might be limited to individuals with difficult-to-treat HCV genotypes [Rauch et al., 2010]. This report lacks details of the distribution of the various genotypes. The present study agrees with a more recent report that the IL28B polymorphism was associated with a sustained virological response in patients with chronic HCV infection with genotype 2 or 3 who did not achieve a rapid virological response [Mangia et al., 2010]. In Japan, the percentage of HCV infection with genotype 1b is 70%, genotype 2a is 20% and genotype 2b is 10%, whilst other genotypes are observed only rarely. In this study, the association of IL28B polymorphism with response to therapy was analyzed in more detail, considering the subtypes 2a and 2b. and IL28B polymorphism (rs8099917) found to be linked more closely to the virological response of patients infected with genotype 2b than those with genotype 2a. A recent in vitro study, which constructed several chimeric virus clones between HCV-2b and HCV-JFH1 (2a), also supported subgenotypic differences between genotype 2a and 2b [Suda et al., 2010]. The authors speculated that the prognostic value of the risk allele for treatment response might be more pronounced in individuals with difficult-to-treat HCV subgenotypes, such as patients infected with genotype 2b, compared with 2a. In addition, the prevalence of the IL28B minor allele is much higher in Caucasians and African Americans than in eastern Asian populations [Thomas et al., 2009], which suggest that the effects of IL28B polymorphism could be more pronounced in non-Asian populations. In the present results, however, the sustained virological response rate of patients infected with genotype 2b was higher than that of patients with genotype 2a overall. We speculate that, among patients infected with genotype 2b, only those with the IL28B minor variant might be treatment-refractory. That possibility might be validated further by a larger cohort study with genotype 2b.

The sustained virological response rates decreased significantly with failure of adherence to RBV (Table III), which was extracted as a factor associated with sustained virological response by univariate

analysis (Table IV). Regardless of the drug adherence, end of treatment response rates of patients infected with genotype 2 were around 94-99%, but the sustained virological response rates of the patients who received a total cumulative treatment dose of RBV of <80% was reduced significantly. As reported previously, increased RBV exposure during the treatment phase was associated with an increased likelihood of a sustained virological response [McHutchison et al., 2009] and these results confirm the importance of RBV in order to prevent relapse. Furthermore, host genetic variation leading to inosine triphosphatase (ITPA) deficiency protects against hemolytic anemia in chronic hepatitis C patients receiving RBV as revealed recently [Fellay et al., 2010]. We have reported also that the ITPA SNP, rs1127354, is confirmed to be a useful predictor of RBV-induced anemia in Japanese patients and that the incidence of early dose reduction was significantly higher in patients with ITPA-major (CC) variant as expected and, more importantly, that a significant higher sustained virological response rate was achieved in patients with the ITPA-hetero/minor (CA/AA) variant with non-genotype 1 or low viral loads [Sakamoto et al., 20101.

A rapid virological response was extracted in this study as a factor associated with sustained virological response only by multivariate analysis. It has been reported recently that a rapid virological response is an important treatment predictor and that drug adherence, which is reported to affect the therapeutic efficacy in patients infected with genotype 1, had no impact on the both sustained and rapid virological responses in combination therapy for patients infected with genotype 2 [Inoue et al., 2010]. The reasons why several host factors useful for predicting the response to therapy in patients with genotype 1, such as gender, age, progression of liver fibrosis and IL28B polymorphism had no influence on the efficacy in patients with genotype 2, can be attributed to IFN-sensitive genotypes. Similarly, the other viral factors useful for predicting the response to therapy, such as viral load and amino acid substitutions in the Core and NS5A regions had no influence on treatment outcomes. In this study, patients who achieved a rapid virological response had a high sustained virological response rate, regardless of IL28B polymorphism in patients with genotype 2a but, interestingly, none of the IL28B-TG and -GG patients with genotype 2b achieved a sustained virological response (although there were nine IL28B-TG and -GG patients with genotype 2b, two could not be determined as rapid virological response because the times at which they became HCV-negative were not recorded clearly, being described as 4-8 weeks.) These results also suggest that patients with both genotype 2b and IL28B minor allele are refractory cases.

IL28B encodes a protein also known as IFN- $\lambda$ 3 [O'Brien, 2009]. IL28A (IFN- $\lambda$ 2) and IL29 (IFN- $\lambda$ 1) are found adjacent to IL28B on chromosome 19. These three IFN- $\lambda$  cytokines, discovered in 2003 by two independent groups [Kotenko et al., 2003; Sheppard et al.,

2003] have been suggested to be involved in the suppression of replication of a number of viruses, including HCV [Robek et al., 2005; Marcello et al., 2006; Tanaka et al., 2010]. Humans have these three genes for IFN-A, and this group of cytokines is now collectively referred to as type III IFN [Zhou et al., 2007]. IFN-λ functionally resembles type I IFN, inducing antiviral protection in vitro [Kotenko et al., 2003; Sheppard et al., 2003] as well as in vivo [Ank et al., 2006]. Type III IFN utilizes a receptor complex different from that of type I IFN, but both types of IFN induce STAT1, STAT2, and STAT3 activation by activation of a highly overlapping set of transcription factors, and the two types of IFN seem to have similar biological effects at a cellular level. Some in vitro studies have suggested that IFN-α induces expression of IFN-λ genes [Siren et al., 2005]. Other in vitro studies also suggest that IFN-λ inhibits hepatitis C virus replication through a pattern of signal transduction and regulation of interferon-stimulated genes that is distinct from IFN- $\alpha$  and that the anti-HCV activity of either IFN-α or IFN-λ is enhanced by a low dose of the other [Marcello et al., 2006]. A novel mechanism of the interaction between IFN-α and IFN-λ may play a key role in the suppression of HCV [O'Brien, 2009].

In conclusion, IL28B polymorphism is predictive of PEG-IFN plus RBV treatment outcomes in patients infected with genotype 2, and more remarkably with genotype 2b. These results suggest that IL-28B polymorphism affects responses to IFN-based treatment in more difficult-to-treat subpopulations of HCV patients, and that intersubgenotypic differences between genotype 2a and 2b are revealed by responses to PEG-IFN plus RBV treatment according to IL28B variants.

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# Sequences in the Interferon Sensitivity-Determining Region and Core Region of Hepatitis C Virus **Impact Pretreatment Prediction of Response to** PEG-Interferon Plus Ribavirin: Data Mining Analysis

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The aim of the present study was to clarify the significance of viral factors for pretreatment prediction of sustained virological response to pegylated-interferon (PEG-IFN) plus ribavirin (RBV) therapy for chronic hepatitis C using data mining analysis. Substitutions in the IFN sensitivitydetermining region (ISDR) and at position 70 of the HCV core region (Core70) were determined in 505 patients with genotype 1b chronic hepatitis C treated with PEG-IFN plus RBV. Data mining analysis was used to build a predictive model of sustained virological response in patients selected randomly (n = 304). The reproducibility of the model was validated in the remaining 201 patients. Substitutions in ISDR (odds ratio = 9.92, P < 0.0001) and Core70 (odds ratio = 1.92, P =0.01) predicted sustained virological response independent of other covariates. The decisiontree model revealed that the rate of sustained virological response was highest (83%) in patients with two or more substitutions in ISDR. The overall rate of sustained virological response was 44% in patients with a low number of substitutions in ISDR (0-1) but was 83% in selected subgroups of younger patients (<60 years), wild-type sequence at Core70, and higher level of low-density lipoprotein cholesterol (LDL-C) (≥120 mg/dl). Reproducibility of the model was validated ( $r^2 = 0.94$ , P < 0.001). In conclusion, substitutions in ISDR and Core70 of

HCV are significant predictors of response to PEG-IFN plus RBV therapy. A decision-tree model that includes these viral factors as predictors could identify patients with a high probability of sustained virological response. Virol. 83:445-452, 2011.

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KEY WORDS: data mining; decision-tree model; ISDR; core region; PEG-interferon

#### INTRODUCTION

The combination of pegylated-interferon (PEG-IFN) plus ribavirin (RBV) is currently the most effective therapy for chronic hepatitis C, but the rate of sustained virological response after 48 weeks of therapy is about 50% in patients with HCV genotype 1b and a high HCV

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RNA titer [Manns et al., 2001; Fried et al., 2002]. The most reliable means to predict sustained virological response is to monitor the viral response during the early weeks of treatment. The early virological response, defined as undetectable HCV RNA at week 12, is associated with a high rate of sustained virological response [Davis et al., 2003; Lee and Ferenci, 2008]. The rapid virological response, defined as undetectable HCV RNA at week 4 of therapy, is even more predictive of sustained virological response than the early virological response [Jensen et al., 2006; Yu et al., 2008; Izumi et al., 2010]. However, there is no established means that predicts the virological response before commencing treatment. Recent reports have revealed that single nucleotide polymorphisms located near the IL28B gene show a strong association with the response to PEG-IFN plus RBV therapy [Ge et al., 2009; Suppiah et al., 2009; Tanaka et al., 2009; Kurosaki et al., 2010c]. These findings indicate that the host factor is an important determinant of the treatment response. On the other hand, the present study's authors have reported that a stretch of 40 amino acids in the NS5A region of HCV, designated as the interferon sensitivity-determining region (ISDR). has a close association with the virological response to interferon mono-therapy [Enomoto et al., 1995, 1996; Kurosaki et al., 1997]. More recently, amino acid substitutions at positions 70 and 91 of the core region have been reported to be associated with response to PEG-IFN plus RBV combination therapy [Akuta et al., 2005, 2007a]. The impact of these HCV substitutions on treatment response is yet to be validated.

Decision-tree analysis is a core component of data mining analysis that can be used to build predictive models [Breiman et al., 1980]. This method has been used to define prognostic factors in various diseases such as prostate cancer [Garzotto et al., 2005], diabetes [Miyaki et al., 2002], melanoma [Averbook et al., 2002; Leiter et al., 2004], colorectal carcinoma [Zlobec et al., 2005; Valera et al., 2007], and liver failure [Baquerizo et al., 2003]. The major advantage of decision-tree analysis over logistic regression analysis is that the results of analysis are easy to understand. The simple allocation of patients into subgroups by following the flowchart form could define the predicted possibility of outcome [LeBlanc and Crowley, 1995].

Decision-tree analysis was used for the prediction of early virological response (undetectable HCV RNA within 12 weeks of therapy) to PEG-IFN and RBV combination therapy in chronic hepatitis C [Kurosaki et al., 2010al, and more recently for the pretreatment prediction of sustained virological response [Kurosaki et al., 2010b]. In the latter model, simple and noninvasive standard tests were used as parameters; specialized tests such as viral mutations and host genetics, or invasive tests such as liver histology, were not included because the aim of that model was for use in general medical practice, especially in some countries or areas where resources are limited. Thus, the impact of viral mutations or liver histology was not considered in that model.

The present study examined whether including viral substitutions in ISDR and the core region of HCV in the decision-tree model could improve its predictive accuracy over the previous model to identify chronic hepatitis C patients who are likely to respond to PEG-IFN plus RBV therapy.

#### MATERIALS AND METHODS

#### **Patients**

This multicenter retrospective cohort study included 505 chronic hepatitis C patients who were treated with PEG-IFN alpha-2b and RBV at Musashino Red Cross Hospital, Toranomon Hospital, Tokyo Medical and Dental University, Osaka University, Nagoya City University Graduate School of Medical Sciences, Yamanashi University, Osaka City University, and their related hospitals. The inclusion criteria were: (1) genotype 1b, (2) HCV RNA titer higher than 100 kIU/ml by quantitative PCR (Cobas Amplicor HCV Monitor v 2.0, Roche Diagnostic Systems, Pleasanton, CA), (3) no coinfection with hepatitis B virus or human immunodeficiency virus, (4) no other causes of liver disease, (5) patients having undergone liver biopsy prior to IFN treatment, (6) number of substitutions in ISDR having been determined, (7) substitutions in the amino acid positions 70 and 91 of the core region having been determined, and (8) completion of at least 12 weeks of therapy. Patients were treated with PEG-IFN alpha-2b (1.5 µg/kg) weekly plus RBV. The daily dose of RBV was adjusted by weight: 600 mg for <60 kg, 800 mg for 60-80 kg, and 1,000 mg for >80 kg. For the analysis, patients were assigned randomly to either the model building (304 patients) or validation (201 patients) groups. There were no significant differences in the clinical backgrounds between these two groups (Table I). Informed consent was obtained from each patient. The study protocol conformed to the ethical guidelines of the Declaration of Helsinki and was approved by the institutional review committees of all concerned hospitals.

#### **Laboratory Tests**

Hematological tests, blood chemistry, and HCV RNA titer were analyzed before therapy and at least once every month during therapy. Sequences of ISDR and the core region of HCV were determined by direct sequencing after amplification by reverse transcription and polymerase chain reaction as reported previously. At position 70 of the core region (Core70), arginine was defined as the wild type, and glutamine or histidine was defined as the mutant type. At position 91 of the core region, leucine was defined as the wild type and methionine was defined as the mutant type, as described previously [Akuta et al., 2005]. Fibrosis and activity were scored according to the METAVIR scoring system [Bedossa and Poynard, 1996]. Fibrosis was staged on a scale of 0-4: F0 (no fibrosis), F1 (mild fibrosis), F2 (moderate fibrosis), F3 (severe fibrosis), and F4 (cirrhosis). Activity of necroinflammation was graded on a scale of

TABLE I. Comparison of Pretreatment Factors Between Model Building and Validation
Patients

	Model (n = 304)	Validation (n = 201)	P-value
Age (years)	55.6 (9.4)	56.0 (12.2)	0.80
Male (%)	53 (%)	55 (%)	0.13
Body mass index (kg/m <sup>2</sup> )	23.1 (3.1)	23.1 (4.0)	0.99
Albumin (g/dl)	4.0 (0.3)	4.0 (0.3)	0.47
Creatinine (mg/dl)	0.72(0.15)	0.72 (0.14)	0.62
AST (IU/L)	63.3 (45.6)	58.9 (46.4)	0.91
ALT (IU/L)	78.7 (58.6)	74.5 (67.5)	0.68
GGT (IU/L)	53.2 (49.1)	57.4 (63.5)	0.43
Total cholesterol (mg/dl)	170.9 (32.6)	169.4 (34.1)	0.33
Triglyceride (mg/dl)	107.0 (44.7)	105.7 (48.0)	0.90
LDL-C (mg/dl)	95.5 (28.0)	96.4 (28.8)	0.34
White blood cell count (/µl)	4,902 (1,489)	4,906 (1,319)	0.86
Hemoglobin (g/dl)	14.1 (1.3)	14.3 (1.4)	0.09
Platelets (10 <sup>9</sup> /L)	164 (56)	172 (55)	0.68
HCVRNA (10 <sup>3</sup> IU/ml)	1,859 (1,468)	2,021 (1,393)	0.09
ISDR mutations: $\geq 2$ (%)	15 (%)	20 (%)	0.11
Core 70: mutant (%)	36 (%)	29 (%)	0.22
Core91: mutant (%)	40 (%)	36 (%)	0.20
Fibrosis: F2-4 (%)	49 (%)	48 (%)	0.36
Activity: A2–3 (%)	42 (%)	34 (%)	0.10

AST, aspartate aminotransferase; ALT, alanine aminotransferase; GGT, gamma-glutamyltransferase; LDL-C, low-density-lipoprotein-cholesterol; ISDR, interferon sensitivity-determining region. Data expressed as mean (SD).

0–3: A0 (no activity), A1 (mild activity), A2 (moderate activity), and A3 (severe activity). Sustained virological response was defined as undetectable HCV RNA by qualitative PCR with a lower detection limit of 50 IU/ml (Amplicor, Roche Diagnostic Systems) at week 24 after the completion of therapy.

#### Statistical Analysis

A database of pretreatment variables included hematological tests (hemoglobin level, white blood cell count, and platelet count), blood chemistry tests (serum levels of creatinine, albumin, aspartate aminotransferase, alanine aminotransferase (ALT), gamma-glutamyltransferase (GGT), total cholesterol, triglyceride, and low-density lipoprotein cholesterol (LDL-C)), viral factors (HCV RNA titer, number of substitutions in ISDR, substitutions in the amino acid positions 70 and 91 of the core region), histological findings (stage of fibrosis and grade of activity) and patient characteristics (age, sex, and body mass index). Based on this database, decision-tree analysis was used to define a predictive model for sustained virological response.

Student's t-test was used for the univariable comparison of quantitative variables and Fisher's exact test was used for the comparison of qualitative variables. For the multivariable analysis for factors associated with sustained virological response, logistic regression models with backward selection were used to identify independent predictors of sustained virological response. Variables that showed significant association with sustained virological response by univariable analysis were included in the multivariable analysis. IBM-SPSS software v.15.0 (SPSS, Inc., Chicago, IL) was used for these analyses. For the decision-tree analysis [Segal and

Bloch, 1989], the data mining software IBM SPSS Modeler 13 (IBM SPSS, Inc.) was used, as reported previously [Kurosaki et al., 2010a,b]. In brief, the software searched for the optimal split variables to build a decision-tree structure. The entire study population was first evaluated to determine the variables and cut-off points for the most significant division into two subgroups having different probabilities of sustained virological response. Thereafter, analysis was repeated on all subgroups in the same way until either no additional significant variable was detected or the sample size was below 20.

#### RESULTS

#### Generation of the Decision-Tree Model

The decision-tree analysis selected five predictive variables to produce six subgroups of patients (Fig. 1). The number of substitutions in ISDR was selected as the best predictor of sustained virological response. The possibility of achieving sustained virological response was 83% for patients with two or more substitutions in ISDR compared with 44% for patients with a single or no substitution. Among patients with a single or no substitution in ISDR, age, with an optimal cut-off of 60 years, was selected as the variable of second split. Patients younger than 60 had the higher probability of sustained virological response (55%) compared with those older than 60 years (31%). Among younger patients, amino acid substitution at Core 70 was selected as the third variable of split—wild-type sequence being the predictor of favorable response compared with the mutant type (65% vs. 36%). Among patients with wildtype Core70, the level of serum LDL-C was selected as the fourth variable of split, with an optimal cutoff of Kurosaki et al.

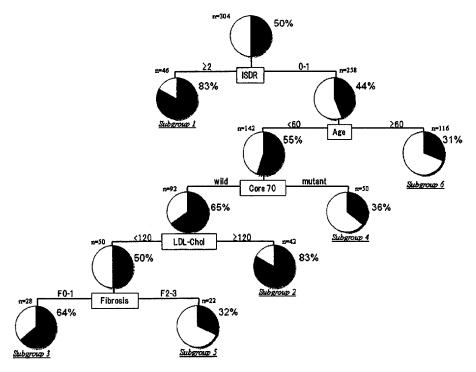


Fig. 1. Decision-tree model. Boxes indicate the factors used for splitting and the cutoff value for the split. Pie charts indicate the rate of sustained virological response for each group of patients after splitting. Terminal subgroups of patients discriminated by the analysis are numbered from 1 to 7. The rate of sustained virological response was >80% in subgroups 1 and 2, 64% in subgroup 3, and 31–36% in subgroups 4, 5, and 6. LDL-C represents low-density lipoprotein cholesterol and Core70 represents amino acid substitution at position 70 of the core region.

120 mg/dl. Patients with higher LDL-C level had the higher probability of sustained virological response (83% vs. 50%). The stage of fibrosis was selected as the final variable of split, with significant fibrosis (F2–4) being the predictor of lower sustained virological response probability (64% vs. 32%).

Among the six subgroups derived by this decision tree, the subgroup of patients with two or more substitutions in ISDR (subgroup 1) or with a single or no substitution in ISDR but younger than 60 years of age, having the wild-type Core70 and high serum level of LDL-C ( $\geq 120 \text{ mg/dl}$ ) (subgroup 2) showed the highest probability of sustained virological response (83%).

#### Validation of the Decision-Tree Model

The decision-tree model was validated using a validation dataset of 201 cases that were not included the model-building dataset. Each patient in the validation set was allocated to subgroups 1–6 using the flowchart form of the decision tree. The rates of sustained virological response were 75% for subgroup 1, 73% for subgroup 2, 65% for subgroup 3, 41% for subgroup 4, 46% for subgroup 5, and 33% for subgroup 6. The rates of sustained virological response for each subgroup of patients were correlated closely between the model building dataset and the validation dataset  $(r^2 = 0.94)$  (Fig. 2).

The six subgroups were reconstructed into three groups according to their rate of sustained virological response: the high-probability group consisted of subgroups 1 and 2, the intermediate-probability group consisted of subgroup 3, and the low-probability group consisted of subgroups 4, 5, and 6. The rate of sustained virological response in the high-probability group was high on a consistent basis: 83% for model-building patients and 74% for validation patients. The rate of sustained virological response in the intermediate-probability group was 64% for model building patients and 65% for internal validation patients. The rate of sustained virological response in the low-probability group was low on a consistent basis: 32% for model-building patients and 36% for internal validation patients (Fig. 3). Thirty percent of the patients were classified into the high-probability group and 10% of the patients were classified into intermediate-probability group, which means that about 40% of patients with higher than average probability of achieving sustained virological response were identified.

#### Effect of Dose Reductions of PEG-IFN and RBV

The possible effect of drug reductions was analyzed in the three groups of patients divided by decision tree (low-, intermediate-, and high-probability groups)

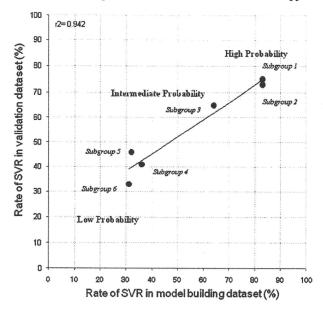


Fig. 2. Validation of the decision-tree analysis: Subgroup-stratified comparison of the rate of sustained virological response. Each patient in the validation set was allocated to subgroups 1–6 by following the flowchart form of the decision tree, and the rates of sustained virological response were then calculated and plotted for each subgroup. The x-axis represents the rate of sustained virological response in the model-building datasets and the y-axis represents the rate of sustained virological response in the validation datasets. The rates of achieving sustained virological response in each subgroup of patients correlated closely between the model-building dataset and the validation dataset (correlation coefficient:  $\mathbf{r}^2=0.94$ ).

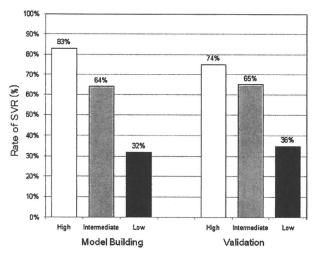


Fig. 3. Comparison of sustained virological response rates between groups divided by the decision tree. The rate of sustained virological response was compared between three groups of patients as divided by the decision-tree analysis. Black, gray, and white boxes indicate the low-probability group (subgroup 4, 5, and 6), intermediate-probability group (subgroup 3), and high-probability group (subgroup 1 and 2), respectively. The rate of sustained virological response showed significant difference between the three groups.

(Fig. 4). Patients were stratified according to the cumulative drug exposure with PEG-IFN and RBV: the good adherence group consisted of patients who took ≥80% planned doses of both PEG-IFN and RBV; the poor adherence group consisted of patients who took <80% of planned doses of both PEG-IFN and RBV. Even after adjustment for drug adherence, the three groups of patients divided by decision-tree analysis still had low, intermediate, and high probability of achieving sustained virological response, respectively, indicating that this model predicts sustained virological response independent of drug exposure.

#### Multivariable Logistic Regression Analysis

Age, sex, serum levels of creatinine, ALT, GGT, LDL-C, hemoglobin, platelet count, HCV RNA titer, ISDR substitution, substitution at Core70, substitution at Core91, histological stage of fibrosis, and grade of activity were found to be associated with sustained virological response by standard univariable analysis. Multivariable analysis including these factors showed that age, sex, LDL-C levels, GGT levels, platelet count, ISDR substitution, and substitution at Core70 showed independent associations with sustained virological response (Table II). Substitution in ISDR had the highest odds ratio, at 9.92. Fibrosis, which was selected as a significant predictor of response in the decision-tree analysis, was not found to be an independent predictor of response in standard multivariable analysis, indicating that the decision-tree analysis could identify significant predictors that would apply specifically to selected patients.

#### DISCUSSION

The present study revealed that viral factors such as substitutions in ISDR and Core70 are significant and independent predictors of sustained virological response to PEG-IFN plus RBV in chronic hepatitis C. In a decision-tree model for the pretreatment prediction of sustained virological response, the number of substitutions in ISDR was the best predictor of sustained virological response, followed by younger age, wild-type sequence at Core70, higher level of LDL-C, and absent fibrosis. This decision-tree model could identify patients with high probability of sustained virological response (83%) among difficult-to-treat genotype 1b chronic hepatitis C patients. Using this model, rapid estimates of the response before treatment can be made by allocating patients to specific subgroups with a defined rate of response simply by following the flowchart form. Because more potent therapy, such as a combination of protease inhibitor, PEG-IFN, and RBV, is under clinical trial and may become available in the near future [Hezode et al., 2009; McHutchison et al., 2009], pretreatment prediction of the likelihood of sustained virological response may be useful for both patients and physicians to support clinical decisions whether to start current standard therapy or to wait for emerging new therapies.

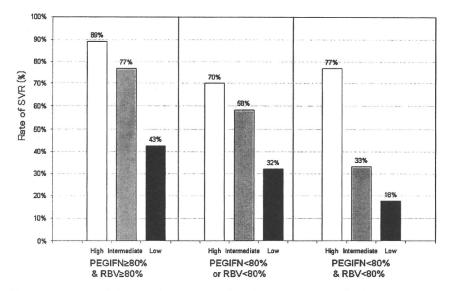


Fig. 4. Comparison of the rate of sustained virological response between the decision-tree groups stratified by drug adherence. The three groups of patients divided by the decision tree (black, gray, and white boxes indicating the low-, intermediate-, and high-probability groups, respectively) were further stratified according to cumulative drug exposure to PEG-IFN and RBV.

Two or more substitutions in ISDR had a strong impact on sustained virological response, because this factor was selected as a top variable in decision-tree analysis and had the highest odds ratio in multivariable analysis. Moreover, even among patients with unfavorable ISDR (0 or 1 mutation), younger patients (<60 years) with the wild-type sequence at Core70 and high level of LDL-C (≥120 mg/dl) had a high rate of sustained virological response. The sustained virological response rate of these two subgroups of patients was 83% in the model-building patients and 75% in the validation patients. Thus, patients with high possibility of sustained virological response could be extracted by the combined analysis of ISDR and Core70. These patients may be the best-suited candidates for treatment with the current combination therapy. Conversely, the following patients with 0-1 mutation in ISDR had a low probability of sustained virological response (32-35%): (1) older (>60 years); or (2) younger (<60 years) patients but having mutant-type sequence at Core70; or (3) younger (<60 years) patients having a wild-type sequence at Core70, but having a low level of LDL-C (<120 mg/dl) and advanced fibrosis. These patients may

be advised to wait for a more effective therapy. Decision may be made on a case-by-case basis, taking into account the potential risk of disease progression while waiting.

In a previous decision-tree model using simple and noninvasive standard tests that are available readily worldwide [Kurosaki et al., 2010b], the rate of sustained virological response was at most 65-76% among those in the high-probability group. That model focused on use by general physicians in routine general practice, especially where specialized resources, such as liver biopsy or determination of viral sequences, are not available. In that model, younger age, male sex, higher platelet counts, lower alpha-fetoprotein (AFP) levels, and lower GGT levels were identified as favorable predictive parameters. Higher AFP levels and lower platelet counts that are hallmarks of advanced fibrosis [Shiratori and Omata, 2000; Akuta et al., 2007b] were associated with low probability of sustained virological response in that model. On the other hand, the present analysis aimed to clarify the significance of viral factors for pretreatment prediction of sustained virological response, and to build an advanced model that may be used by specialist physicians engaged in the

TABLE II. Multivariable Logistic Regression Analysis for Factors Associated With SVR

	Odds	95% CI	P-value
			1 -value
$<60 \text{ vs.} \ge 60$ Male vs. female $<40 \text{ vs.} \ge 40$ $\ge 120 \text{ vs.} < 120$ $\ge 120 \text{ vs.} < 120$ $\ge 2 \text{ vs.} < 0.1$ Wild vs. mutant	2.28 3.36 2.65 1.79 2.69 9.92 1.92	1.31–3.94 1.87–5.99 1.45–4.85 0.91–3.53 1.22–5.90 3.71–26.54 1.07–3.47	0.003 <0.0001 0.002 0.094 0.014 <0.0001 0.030
	Male vs. female <40  vs. ≥40 ≥120  vs. <120 ≥120  vs. <120 ≥2  vs. 0-1	$\begin{array}{llllllllllllllllllllllllllllllllllll$	$\begin{array}{llllllllllllllllllllllllllllllllllll$

GGT, gamma-glutamyltransferase; LDL-C, low-density-lipoprotein-cholesterol; ISDR, interferon sensitivity-determining region.

treatment of hepatitis. In the present model, stage of fibrosis was selected as a predictive factor, but at lower level of significance than HCV mutations. The predicted rate of sustained virological response in the high-probability group of the present model is higher than that in the previous model (75–83% vs. 65–76%). These results indicate that substitutions in ISDR and Core70 were important pretreatment predictors of sustained virological response. Determination of these viral factors is not available readily in clinical practice, but is of value for improving the accuracy of pretreatment prediction of sustained virological response.

Substitutions in ISDR and Core 70 have been reported previously to be associated with efficacy of IFN therapy. The association between the number of substitutions in ISDR and response to therapy was demonstrated originally in patients treated with IFN mono-therapy [Enomoto et al., 1995, 1996; Kurosaki et al., 1997], but recent studies have reported a positive correlation with PEG-IFN and RBV combination therapy as well [Munoz de Rueda et al., 2008; Shirakawa et al., 2008; Ikeda et al., 2009]. Another important viral factor relevant to treatment response is amino acid substitution in Core 70. The sequence of this amino acid was reported originally to be associated with nonresponse to therapy [Akuta et al., 2005], but subsequent studies confirmed the positive correlation of a wild-type Core70 with sustained virological response [Akuta et al., 2009]. The multiple logistic regression analysis showed that ISDR and Core70 were independent factors associated with sustained virological response along with host factors. How these important viral factors and other host factors can be combined to predict response to PEG-IFN plus RBV is an important clinical question. Decision-tree modeling can make the response probability apparent by combining all these factors. Some factors that may be associated with treatment outcome, such as levels of ferritin or homocysteine, were not included. This may be a potential limitation of the present study.

It is of interest that a recent study by Li et al. [2010] has shown that a high serum level of LDL-C is linked to the IL28B major allele (CC in rs12979860). In that study, a high serum level of LDL-C was associated with sustained virological response, but it was no longer significant when analyzed together with the IL28B genotype in multivariate analysis. Thus, the association between treatment response and LDL cholesterol levels in the present study may reflect the underlining link of LDL cholesterol levels to the *IL28B* genotype. Recent reports indicate that the IL28B genotype and HCV substitutions are correlated closely [Akuta et al., 2010; Kurosaki et al., 2010c]. Still, Core70 [Akuta et al., 2010] or ISDR [Kurosaki et al., 2010c] were predictors of response to therapy independent of IL28B genotype. Future study is needed to elucidate the possible mechanisms underlying the association between HCV sequences and host genetic factors, and also the role of host and viral factors for the prediction of treatment response.

In conclusion, a data mining analysis emphasized the impact of substitutions in ISDR and Core70 on pretreatment prediction of sustained virological response to PEG-IFN plus RBV therapy. A decision-tree model that includes substitutions in ISDR and Core70 of HCV could identify patients with high probability of sustained virological response, and could thereby improve the predictive accuracy over predictions that are based on standard tests.

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