#### Healthy subject study

Mean dopamine  $D_2$  receptor occupancies in the striatum were  $74.8\pm8.0\%$  at 1.5 h,  $60.1\pm5.6\%$  at 8 h, and  $31.9\pm6.4\%$  at 25.5 h after administration of 16 mg of perospirone in healthy subjects (Fig. 2). The mean plasma concentrations of both perospirone and ID-15036 reached a peak at 1 h after administration, then rapidly decreased, and were not detectable at 25.5 h after (Fig. 3a, b). Estimated half-lives of plasma concentrations of perospirone and ID-15036 were 2.2 and 1.9 h, respectively. No subject complained of severe side effects such as extrapyramidal symptoms or sleepiness.

#### Discussion

#### Clinical dose of perospirone

A previous study reported that dopamine D<sub>2</sub> receptor occupancy using [11C]raclopride was 44.4% with 8 mg of perospirone at 1 h post-administration (Sekine et al. 2006). PET studies have suggested that more than 70% dopamine D<sub>2</sub> receptor occupancy is necessary for antipsychotic effect and that 80% occupancy causes extrapyramidal symptoms (Farde et al. 1992; Kapur et al. 2000a; Nordstrom et al. 1993). Two patients (numbers 1 and 5) administered perospirone at 16 mg 2.5 h before PET scanning showed over 70% occupancy. On the other hand, one patient (number 4) taking 8 mg did not reach 70% occupancy in spite of a short interval between the last administration and PET scan. In healthy subjects, a peak of about 75% occupancy was also obtained with 16 mg of perospirone. Although some patients could be maintained at less than 70% occupancy, 16 mg of perospirone seems to be the necessary dose for achieving antipsychotic effect. The plasma concentrations of perospirone and ID-15036 inducing 70% occupancy (EC<sub>70</sub>) were 0.72 and 4.43 ng/ml, respectively. Side effects could not be evaluated in this study because some patients were taking

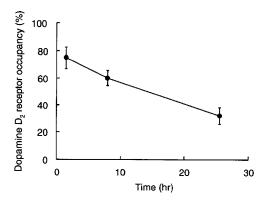


Fig. 2 Time course of mean dopamine  $D_2$  receptor occupancy in healthy subject study. Bars represent standard deviation of mean

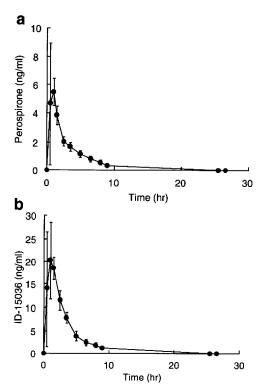


Fig. 3 Time course of mean plasma concentrations of perospirone (a) and ID-15036 (b) in healthy subjects study. *Bars* represent standard deviation of mean

benzodiazepines or anti-Parkinson drugs, and plasma prolactin levels were not measured.

Pharmacokinetics and contributions to receptor occupancy of perospirone and ID-15036

In healthy subjects, plasma concentrations of perospirone and ID-15036 peaked at 1 h after administration, with the half-lives of plasma concentrations being 2.2 and 1.9 h, respectively. The plasma concentration of ID-15036 was fourfold that of perospirone. These results were in good agreement with the previous study showing that the  $T_{\rm max}$  values were 0.8 (perospirone) and 1.1 h (ID-15036), and  $T_{1/2}$  was 1.9 h (perospirone; Yasui-Furukori et al. 2004). As ID-15036 has affinity for the dopamine  $D_2$  receptor ( $K_i$ =5.84 nM) and blocks the dopamine  $D_2$  receptor of the in vivo rat brain (Takahashi et al. 1998), both perospirone and ID-15036 contributed to dopamine  $D_2$  receptor occupancy, and the plasma concentrations of both were fitted to the occupancy curve.

Effects of affinity and pharmacokinetics of antipsychotics on time course of receptor occupancy

Dopamine D<sub>2</sub> receptor occupancy was about 75% at 1.5 h after perospirone administration and then showed a rela-



tively rapid decline. After 25.5 h, about 30% occupancy remained, although plasma concentrations of perospirone and ID-15036 were not detectable. The time to reach half of the peak occupancy of 75% was 22 h. The time courses of receptor occupancy and plasma concentration were quite different. In comparison, risperidone and olanzapine showed sustained occupancy; about 80% occupancy 5 or 6 h after administration decreased to only 70% after 24 h (Takano et al. 2004; Tauscher et al. 2002). On the other hand, quetiapine showed transient occupancy; 64% occupancy after 2 h decreased to 0% after 24 h (Kapur et al. 2000b). Some factors such as the time course of plasma concentration of antipsychotics or affinity for dopamine D<sub>2</sub> receptor were considered to affect the time course of receptor occupancy (Kapur and Seeman 2001; Takano et al. 2004). For example, high affinity and long half-life of plasma concentration (e.g., risperidone ( $K_i=1.1$  nM,  $T_{1/2}=$ 17.8 h) and olanzapine  $(K_i=5.1 \text{ nM}, T_{1/2}=19.5 \text{ h}))$ expressed sustained occupancy, and low affinity and short half-life of plasma concentration (e.g., quetiapine ( $K_i$ = 122 nM,  $T_{1/2}$ =3.2 h)) expressed transient occupancy (Gefvert et al. 1998; Seeman 2002; Takano et al. 2004; Tauscher et al. 2002). Perospirone has high affinity for dopamine D<sub>2</sub> receptor and a short half-life of plasma concentration (Takahashi et al. 1998; Yasui-Furukori et al. 2004). These features may cause relatively rapid decrease in occupancy, from 75% at 1.5 h of perospirone administration to 32% after 25.5 h, but the occupancy did not completely disappear within a day. In patients taking 32 mg perospirone (number 6), dopamine D<sub>2</sub> receptor occupancy was 65% at 17.5 h after, supporting an intermediate time course between sustained and transient occupancy.

Possibility of new dosing schedule with perospirone

There are several opinions concerning the dosing schedule of antipsychotics. A recent clinical study reported that extended antipsychotic dosing (every second or third day) was effective and decreased side effects for chronic patients with schizophrenia (Remington et al. 2005). An animal study reported that transient antipsychotic medication was more effective for amphetamine-induced behavioral abnormality than continuous one (Samaha et al. 2008). These findings indicate that sustained occupancy might not necessarily be required for antipsychotic therapy of schizophrenia. In prodromal episode-based intervention, antipsychotic drugs were used occasionally, and long antipsychotic-free periods were sometimes inserted. However, some studies reported that intermittent medication increased the relapse rate in schizophrenia (Gaebel et al. 2002; Herz et al. 1991; Schooler et al. 1997). Because perospirone shows an intermediate time course between sustained and transient occupancy, its single administration may become a new dosing schedule choice for an antipsychotic drug. Indeed, the administration of perospirone once a day indicated antipsychotic effects and preventions from relapse for chronic patients with schizophrenia (Kusumi et al. 2008). Four patients in the present study (numbers 1, 4, 5, and 6) taking 16 mg or more at least once a day were maintained for more than 6 months. Further study of relationships between clinical response and receptor occupancy of various dosing schedules in patients with schizophrenia will be needed.

Regional difference of dopamine D<sub>2</sub> receptor occupancy

Regional differences of dopamine D<sub>2</sub> receptor occupancy between the striatum and extrastriatum in some second-generation antipsychotic drugs have been discussed (Arakawa et al. 2008; Ito et al. 2009; Pilowsky et al. 1997; Talvik et al. 2001). In the present study, the mean occupancy of four healthy subject and two patients (number 1 and 5) in a short interval between the administration of 16 mg of perospirone and PET scanning seemed to differ very little (75.1% in the striatum with [<sup>11</sup>C]raclopride and 77.0% in the temporal cortex with [<sup>11</sup>C]FLB 457). It is suggested that there were no regional differences of dopamine D<sub>2</sub> receptor occupancy between the striatum and extrastriatum with perospirone despite the subjects, study protocols, and radioligands being different.

#### Conclusion

Sixteen milligrams of perospirone caused over 70% dopamine  $D_2$  receptor occupancy near its peak level, then becoming about half after 22 h. The time courses of receptor occupancy and plasma concentration were quite different. This single dosage may be sufficient for the treatment of schizophrenia and might be useful as a new dosing schedule choice.

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#### ORIGINAL PAPER

# Extrastriatal dopamine $D_2$ receptor occupancy in olanzapine-treated patients with schizophrenia

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Abstract Olanzapine is described as a multi-acting receptor-targeted antipsychotic agent. Although regional differences of dopamine D2 receptor occupancy, i.e., limbic selectivity, were reported for olanzapine, contradictory results were also reported. We measured dopamine D2 receptor occupancy of olanzapine in extrastriatal regions in patients with schizophrenia using positron-emission tomography with [11C]FLB457 and the plasma concentrations of olanzapine. Ten patients with schizophrenia taking 5-20 mg/day of olanzapine participated. Dopamine D<sub>2</sub> receptor occupancy in the temporal cortex ranged from 61.1 to 85.8%, and plasma concentration was from 12.7 to 115.4 ng/ml. The  $ED_{50}$  value was 3.4 mg/day for dose and 10.5 ng/ml for plasma concentration. The ED<sub>50</sub> values obtained in this study were quite similar to those previously reported in the striatum. In conclusion, although the subjects and methods were different from previous striatal occupancy studies, these results suggest that limbic occupancy by olanzapine may not be so different from that in the striatum.

**Keywords** Dopamine D<sub>2</sub> receptor occupancy · Extrastriatum · Olanzapine · Positron-emission tomography · Schizophrenia

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#### Introduction

Olanzapine is a second-generation antipsychotic drug that is widely used in the treatment of schizophrenia [7]. Most second-generation antipsychotic drugs, such as clozapine, risperidone, olanzapine and quetiapine, have high affinity for several kinds of neuroreceptors in addition to dopamine D<sub>2</sub> receptors [6]. Olanzapine has high affinity for dopamine  $D_2$  receptors (Ki = 11 nM) as well as for other receptors, i.e., serotonin 5-HT<sub>2A</sub> (4 nM), 5-HT<sub>2C</sub> (11 nM), muscarine  $m_1-m_5$  (1.9-25 nM), adrenaline  $\alpha_1$  (19 nM) and histamine H<sub>1</sub> (7 nM) receptors [6]. The pharmacological profile is similar to that of clozapine, described as a multi-acting receptor-targeted antipsychotic agent. The difference in occupancy of dopamine D<sub>2</sub> receptors with clozapine between striatal and extrastriatal regions has been reported as 'limbic selectivity' [23]. This feature was considered one of the reasons for the low risk of extrapyramidal symptoms and a possible effect for negative symptoms

Some animal studies reported greater effects on dopamine  $D_2$  receptors by olanzapine in the extrastriatum than in the striatum [24, 27]. In human studies, higher occupancy in the temporal cortex than in the striatum was also reported for olanzapine [3, 34]. On the other hand, in another human study using olanzapine, no difference in dopamine  $D_2$  receptor occupancies between the striatum and extrastriatum was also reported [16]. In those studies, occupancies in the striatum and extrastriatum were measured from the same data, despite their quite different receptor densities [15].

In the present study, dopamine D<sub>2</sub> receptor occupancy in extrastriatal regions by olanzapine was measured in patients with schizophrenia using positron-emission tomography (PET) with [<sup>11</sup>C]FLB 457, an optimized



radiotracer for measuring extrastriatal dopamine  $D_2$  receptors [10]. The receptor occupancy in the extrastriatum was compared with that in the striatum by olanzapine previously measured using [ $^{11}$ C]raclopride [13].

#### **Methods**

#### Subjects and study protocol

Ten patients, aged 23–47 years (36.2  $\pm$  9.0, mean  $\pm$  SD), diagnosed with schizophrenia according to DSM-IV criteria, participated in this study (Table 1). After complete explanation of the study, written informed consent was obtained from all patients. Exclusion criteria were current or past substance abuse, organic brain disease or epilepsy. Subjects with severe liver or renal dysfunction, or having undergone electroconvulsive therapy within 90 days prior to this study were also excluded. The patients had been taking fixed dosages of olanzapine for more than 2 weeks before this study. Doses of olanzapine were 5 mg/day in two patients, 7.5 mg/day in two patients, 10 mg/day in three patients, 15 mg/day in one patient and 20 mg/day in two patients. The duration between PET scan and the last administration of olanzapine was between 2 and 20 h. Clinical symptoms were assessed by positive and negative symptom scale (PANSS). This study was approved by the Ethics and Radiation Safety Committee of the National Institute of Radiological Sciences, Chiba, Japan.

#### PET procedure

A PET scanner system, ECAT EXACT HR+ (CTI-Siemens, Knoxville, TN, USA), was used for all subjects. A head fixation device was used to minimize head

movement. Before the dynamic scan, a transmission scan for attenuation correction was performed using a <sup>68</sup>Ge-<sup>68</sup>Ga source. The dynamic PET scan was then performed for 90 min after intravenous bolus injection of 197.0-238.0 MBq (217.5  $\pm$  13.9 MBq, mean  $\pm$  SD) of [11C]FLB 457. The specific radioactivity of [11C]FLB 457 was 85.8-339.9 MBq/nmol (188.0 ± 79.1 MBq/nmol, mean  $\pm$  SD); the injected mass of FLB 457 was 0.24- $0.90~\mu g~(0.64\pm0.20~\mu g,~mean\pm SD)$ . Venous blood samples were taken before and after PET scanning to measure the plasma concentration of olanzapine. The average values of plasma concentration before and after PET scanning were used. The drug concentration of one patient (No. 8) could not be determined because of a technical error. Magnetic resonance images of the brain were acquired with 1.5 T MRI, Gyroscan NT (Philips Medical Systems, Best, Netherlands). T1-weighted images of 1-mm slices were obtained.

#### Data analysis

All emission scan data were reconstructed with a Hanning filter. Regions of interest (ROIs) were defined for the temporal cortex as for the extrastriatal region and cerebellar cortex [3, 34]. ROIs were drawn manually on PET images with reference to individual MR images. The values of ROIs for right and left sides were averaged. Binding potential (BP $_{\rm ND}$ ) of dopamine D $_{\rm 2}$  receptor was calculated using a three-parameter simplified reference tissue model [18]. The cerebellum was used as reference tissue because of its negligible density of dopamine D $_{\rm 2}$  receptors [28].

Receptor occupancy of antipsychotic drug is expressed as follows: Occupancy (%) =  $(BP_{base} - BP_{drug})/BP_{base} \times 100$ , where  $BP_{base}$  is  $BP_{ND}$  in the drug-free state and  $BP_{drug}$  is  $BP_{ND}$  after administration of the drug. In this study,

Table 1 Patient characteristics, plasma concentration of olanzapine, and dopamine D<sub>2</sub> receptor occupancy

No.	Age (years)	Sex	Duration of illness (years)	PANSS	Dose (mg/day)	Duration of fixed dose (months)	Other medication	Plasma concentration (ng/ml)	Receptor occupancy (%)
_								(8,)	
1	41	M	6.5	50	5	14	_	20.1	61.6
2	45	M	8	38	5	25	BZ	12.7	72.2
3	30	F	12	49	7.5	13	_	25.9	65.6
4	45	F	4	95	7.5	0.5	BZ, AP	25.5	76.9
5	23	M	0.8	50	10	7	_	48.5	69.7
6	41	M	17	44	10	30	BZ	21.8	61.1
7	47	M	27	92	10	3	_	44.5	81.8
8	32	M	17	75	15	16	BZ	ND	67.9
9	23	M	5	101	20	0.5	BZ	61.0	79.5
10	37	F	11	92	20	3	BZ	115.4	85.8

BZ benzodiazepine, AP anti-parkinsonian drug, ND not determined



mean BP $_{ND}$  of age-matched ten normal male subjects (age range 21–49; 36.2  $\pm$  9.1 years, mean  $\pm$  SD) measured by the same procedure as for the patients was used as BP $_{base}$  because of the lack of individual baseline BP $_{ND}$ .

The relationship between receptor occupancy and dose (or plasma concentration) of antipsychotic drug can be expressed as follows:

Occupancy(%) = 
$$D/(D + ED_{50}) \times 100$$
,

where D is the dose of olanzapine and ED<sub>50</sub> is the dose required to induce 50% occupancy [1, 13, 31]. In this study, maximum occupancy was fixed at 100%, the same as previous occupancy studies with olanzapine [13].

Measurement of plasma concentration of olanzapine

Plasma concentrations of olanzapine were determined using a validated high-performance liquid chromatography (HPLC) method (JCL Bioassay Corporation., Hyogo, Japan).

#### Statistical analysis

Correlations between dopamine  $D_2$  receptor occupancy in the temporal cortex and daily dose, plasma concentration, age, duration of illness and PANSS (total or sub scores) were assessed using Pearson's correlation coefficient.

#### Results

Dopamine  $D_2$  receptor occupancy in the temporal cortex ranged from 61.1 to 85.8% (Table 1). Plasma concentration of olanzapine ranged from 12.7 to 115.4 ng/ml.  $ED_{50}$  was 3.4 mg/day for the daily dose (Fig. 1) and 10.5 ng/ml for

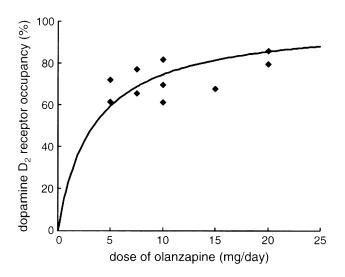


Fig. 1 Relationship between dopamine  $D_2$  receptor occupancy and daily dose of olanzapine

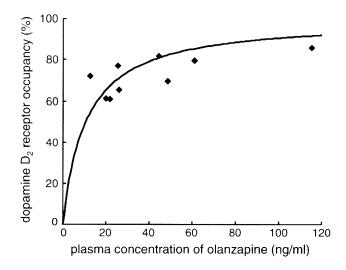


Fig. 2 Relationship between dopamine D<sub>2</sub> receptor occupancy and plasma concentration of olanzapine

the plasma concentration (Fig. 2). The PANSS score ranged from 38 to 101. Average PANSS scores of all patients were  $68.6 \pm 24.7$ .

A positive correlation was observed between dopamine  $D_2$  receptor occupancy in the temporal cortex and plasma concentration ( $r=0.72,\ P=0.029$ ), but not daily dose within this dose range ( $r=0.57,\ P=0.082$ ). A positive correlation was also observed with total PANSS scores ( $r=0.80,\ P=0.0054$ ), positive scores ( $r=0.78,\ P=0.0074$ ), negative scores ( $r=0.68,\ P=0.032$ ), and general scores ( $r=0.78,\ P=0.0072$ ). No correlations were observed between dopamine  $D_2$  receptor occupancy in the temporal cortex and age (P=0.85) or duration of illness (P=0.81).

#### Discussion

Although the measured occupancy value was above 50% with 5-20 mg/day of olanzapine, the calculated ED<sub>50</sub> value from the present result in the temporal cortex was 3.4 mg/ day for the daily dose and 10.5 ng/ml for the plasma concentration. The previously reported ED<sub>50</sub> of olanzapine in the striatum was 4.5 mg/day for the daily dose and 10.3 ng/ml for the plasma concentration [13].  $ED_{50}$  of plasma concentration in the extrastriatum of the present study was similar to that reported in the striatum, meaning that there was no noteworthy regional difference in dopamine D<sub>2</sub> receptor occupancy by olanzapine between the striatum and extrastriatum. Based on 70-80% of dopamine D<sub>2</sub> receptor occupancy [8, 14, 20], the optimal daily dose of olanzapine would be about 8-14 mg/day. This estimated dose was in fairly good agreement with the current clinical dose (5-20 mg/day in Japan).



According to electrophysiological measurement, the effect of olanzapine was reported preferentially in the ventral tegmental area (A10) [27], and olanzapine was reported to increase c-fos expression to a greater degree in the nucleus accumbens than in the dorsolateral striatum [24]. These findings suggested that olanzapine had preferentially different regional effects for extrastriatal regions. The concept of 'limbic selectivity', i.e., differences in dopamine D2 receptor occupancy between the striatum and extrastriatum, has been discussed. Although there are several reports about 'limbic selectivity' of second-generation antipsychotics, such as clozapine [9, 17, 23, 34], risperidone [5, 34], quetipaine [17, 26] and amisulpride [4, 34], it has also been reported that there is no limbic selectivity with second-generation antipsychotics such as clozapine [32] and risperidone (and paliperidone) [1, 11, 35].

Contradictory results of limbic selectivity have also been reported for olanzapine. Two studies showed higher occupancy in the temporal cortex than in the striatum using [ $^{123}$ I]epidepride SPECT ( $82.8 \pm 4.2\%$  in the temporal cortex and  $41.3 \pm 17.9\%$  in the striatum) [3] and [ $^{76}$ Br]FLB 457 PET (83.6  $\pm$  10.5% in the temporal cortex and  $45.1 \pm 20.9\%$  in the striatum) [34]. On the other hand, no significant difference in occupancy between the temporal cortex (67.5  $\pm$  7.1%) and striatum (70.9  $\pm$  6.9%) was reported using [18F]fallypride PET [16]. Regional differences in occupancies were calculated from the area under the time-activity curve ratio in [123I]epidepride SPECT and [<sup>76</sup>Br]FLB 457 PET [3, 34]. A previous study reported that the ratio method underestimated striatal occupancy using high-affinity radioligand such as [123] [123] epidepride or [11C]FLB 457 (probably also [76Br]FLB 457) because radioligand bindings did not reach equilibrium due to the high density of dopamine D2 receptors in the striatum [21]. In addition, because none of the studies concerning regional difference of occupancy by olanzapine presented plasma concentrations [3, 16, 34], ED<sub>50</sub> of the extrastriatum could not be compared with the present

Differences of occupancy or EC<sub>50</sub> values in the same brain region (e.g. striatum) were reported using different radioligands ("Discussion" in [19]). As commented above, this difference may be caused using different affinity radioligands at high-density receptor regions [21]. In this study, the dopamine D<sub>2</sub> receptor bindings in the temporal cortex were measured using [ $^{11}$ C]FLB 457 because the dopamine D<sub>2</sub> receptor density of the temporal cortex is very low compared with that of the striatum ( $B_{\text{max}} = 0.4$  and 16.6 pmol/g tissue, respectively) [15]. Recently, the absence of regional difference between striatal and extrastriatal occupancy of risperidone was reported using

[<sup>11</sup>C]raclopride and [<sup>11</sup>C]FLB 457 by precise methods [11]. These results suggest that optimal radioligands are necessary for different brain regions with different receptor densities.

The significant positive correlation between temporal dopamine  $D_2$  receptor occupancy and PANSS suggests that higher doses tend to be used for severe symptoms of schizophrenia. However, as this was an open study and the number of patients was limited, further studies (such as randomized controlled trials) are needed.

In the present study, the mean BP<sub>ND</sub> value of agematched healthy subjects was used as value of the drugfree state. Although previous studies showed no difference in BP<sub>ND</sub> values of the temporal cortex between normal subjects and patients with schizophrenia [29, 33] or between the sexes [12], individual differences in BP<sub>ND</sub> values may lead to potential error in the estimation of dopamine D<sub>2</sub> receptor occupancy [8]. Moreover, there is a possibility of upregulation of dopamine D<sub>2</sub> receptor by neuroleptic treatment [25]. When BP<sub>base</sub> changes by  $\pm 15\%$ , the estimated occupancy ranges from 41 to 57% for an assumed occupancy of 50%. The effect of displaceable binding of [ $^{11}$ C]FLB 457 in the cerebellum may also lead to an underestimation of receptor occupancy [2, 22].

Although the time point of the scan following the last drug administration was different among the scans, plasma concentration was measured and the reported time-course of occupancy of olanzapine fitted well with the occupancy simulated by plasma concentration [30].

In conclusion, dopamine D<sub>2</sub> receptor occupancy ranged from 61.1 to 85.8% in the temporal cortex of patients with schizophrenia taking 5–20 mg/day of olanzapine. The ED<sub>50</sub> values were 3.4 mg/day for dose and 10.5 ng/ml for plasma concentration of olanzapine, in fairly good agreement with the reported values in the striatum using [<sup>11</sup>C]raclopride. Although the subjects and methods were different from previous striatal occupancy studies, these results suggest that limbic occupancy by olanzapine may not be so different from that in the striatum.

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### Serotonergic Neurotransmission in the Living Human Brain: A Positron Emission Tomography Study Using [<sup>11</sup>C]DASB and [<sup>11</sup>C]WAY100635 in Young Healthy Men

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KEY WORDS normal database; serotonin; serotonin transporter; serotonin 1A receptor; positron emission tomography

ABSTRACTThe central serotonergic (5-HT) system is closely involved in regulating various mental functions such as mood and emotion. In this system, the serotonin transporter (5-HTT) and the 5-HT<sub>1A</sub> receptor play important roles in the pathophysiology and treatment of mood and anxiety disorders. However, only a few integrated databases have considered the intraindividual relationship between pre- and postsynaptic serotonergic transmission. In the present study, we constructed a database of 5-HTT and 5-HT<sub>1A</sub> receptors using positron emission tomography (PET) with [11C]DASB and [11C]WAY100635, respectively. Seventeen healthy young men participated in this study. After anatomic standardization of original images,  $BP_{\mathrm{ND}}$  was calculated on a voxel-byvoxel basis using reference tissue methods. The highest binding to 5-HTT was observed in the dorsal raphe nucleus, striatum, and thalamus; moderate binding, in the insula and cingulate cortex; and very low binding, in the cerebral neocortex. In contrast, the highest binding to 5-HT<sub>1A</sub> receptors was seen in the hippocampal regions, insula, neocortical regions, and dorsal raphe nucleus, and very low binding was found in the thalamus and basal ganglia. These distribution patterns were in agreement with those reported in human postmortem studies and previous PET investigations. In addition, exploratory analysis indicated significant negative correlations between the BP<sub>ND</sub> values with both radiotracers in certain regions of the brain, such as the cingulate, insula, and frontal, temporal and parietal cortices (Pearson's correlation, P < 0.05). These databases facilitate the understanding of the regional distribution of serotonergic neurotransmission function in the living human brain and the pathophysiology of various neuropsychiatric disorders. Synapse 65:624–633, 2011. © 2010 Wiley-Liss, Inc.

#### INTRODUCTION

The central serotonergic (5-hydroxytryptamine, 5-HT) system is one of the major neurotransmitters in the brain and is intricately involved in the regulation of various mental functions such as emotion and cognition. Therefore, dysregulation of this system has been implicated in a variety of neuropsychiatric conditions including mood and anxiety disorders, schizophrenia, etc. (Cooper et al., 2002; Nestler et al., 2008).

To date, seven distinct families (5-HT<sub>1</sub>-5-HT<sub>7</sub>) and at least 16 subtypes of 5-HT receptors and a serotonin transporter (5-HTT) have been identified (Hoyer et al.,

2002; Kitson, 2007). Each subtype has distinct functions, and their distributions in the brain are heterogeneous. Many attempts have been made to develop suita-

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ble radiotracers to visualize and quantify central serotonergic transmission in the living human brain by using PET and single photon emission computed tomography (SPECT) (Brust et al., 2006; Kumar and Mann, 2007; Meyer, 2007; Moresco et al., 2006). In the serotonergic system, only 5-HTT, 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, and 5-HT<sub>4</sub> receptors and 5-HT synthesis have been visualized in living human brain because of the limited availability of radioligands for humans, although a few have been under development (Diksic and Young, 2001; Lundquist et al., 2006; Marner et al., 2009; Moresco et al., 2006).

The 5-HTT is responsible for the reuptake of 5-HT from the synaptic cleft and the modulation of its extracellular concentration. Therefore, 5-HTT is the primary target molecule of selective serotonin reuptake inhibitors (SSRIs), the most commonly used antidepressants today (Brust et al., 2006; Meyer, 2007). In contrast, the 5-HT<sub>1A</sub> receptor is localized as a somatodendritic autoreceptor in the dorsal raphe nuclei and as a postsynaptic receptor in the cortical and limbic serotonin terminal fields throughout the brain. The 5-HT<sub>1A</sub> autoreceptor in the dorsal raphe controls the firing of general 5-HT transmission. In addition, it is the target of 5-HT<sub>1A</sub> agonists such as buspirone and tandospirone, which have anxiolytic properties. Therefore, both serotonergic functions have been reported to be closely involved in various neuropsychiatric conditions including mood and anxiety disorders, schizophrenia, etc. [see reviews, e.g., (Brust et al., 2006; Drevets et al., 2007; Kumar and Mann, 2007; Savitz et al., 2009; Stockmeier, 2003)].

Recently, we created a normal database for the dopaminergic neurotransmission system by studying healthy volunteers and using five different PET radiotracers, although different cohorts were used for each tracer (Ito et al., 2008). We discussed anatomic localization of receptors and transporters compared with the results of human postmortem studies using autoradiography. In contrast, there has been little integrated database information regarding normal serotonergic transmission, particularly using multiple radioligands to examine both pre- and postsynaptic serotonergic function in the same individuals (Lundberg et al., 2007). In the present study, we conducted two PET scans for each subject using [11C]DASB and [11C]WAY100635 for 5-HTT and 5-HT<sub>1A</sub> receptor imaging, respectively. We generated parametric images with anatomic standardization of 5-HTT and 5-HT<sub>1A</sub> binding and subsequently examined the neuroanatomical localization for inter- and intrasubject comparisons.

#### MATERIALS AND METHODS Subjects

This study was approved by the Ethics and Radiation Safety Committee of the National Institute of Radiological Sciences, Chiba, Japan. Seventeen healthy

men aged 24.4  $\pm$  5.9 (mean  $\pm$  standard deviation, (SD); range 20–40) years were recruited. All subjects gave their informed written consent before participating in the study. Based on their medical history and an unstructured examination by psychiatrists, the subjects were found to be free of somatic, neurological, and psychiatric disorders. All participants were nonsmokers. The participants had no history of current or previous drug abuse and had not taken any drugs within 2 weeks before the PET studies. The duration between the two PET scans was 7.2  $\pm$  8.7 (range, 0–28) days.

All participants underwent magnetic resonance imaging (MRI) of the brain with a 1.5T MR scanner (Philips Medical Systems, Best, The Netherlands). Three-dimensional volumetric acquisition of a T1-weighted gradient echo sequence produced a gapless series of thin transverse sections (TE: 9.2 msec; TR: 21 msec; flip angle: 30°; field of view: 256 mm; acquisition matrix: 256  $\times$  256; slice thickness: 1 mm). The MRI results revealed no apparent structural abnormalities.

#### PET procedure

All PET studies were performed with a Siemens ECAT Exact HR+ system (CTI-Siemens, Knoxville, TN), which provides 63 sections with an axial field of view of 15.5 cm. The intrinsic spatial resolution was 4.3 mm in-plane and 4.2 mm full-width at half-maximum (FWHM) axially. With a Hanning filter (cutoff frequency: 0.4 cycle/pixel), the reconstructed in-plane resolution was 7.5 mm FWHM. Data were acquired in three-dimensional mode for [11C]WAY100635 and two-dimensional mode for [11C]DASB, [<sup>11</sup>C]DASB is substantially trapped at first pass through human lungs because of the high expression of 5-HTT on the pulmonary membrane, leading to excessive random counts from three-dimensional head recordings (Suhara et al., 1998). Scatter was corrected for the three-dimensional mode (Watson et al., 1996). A head-fixation device with thermoplastic attachments (Fixster Instruments, Stockholm, Sweden) for individual fit minimized head movement during PET measurements. A 10-min transmission scan using a <sup>68</sup>Ge-<sup>68</sup>Ga line source was performed in order to correct for attenuation. After an i.v. bolus injection of [11C]WAY100635 or [11C]DASB, data were acquired for 90 min in a consecutive series of time frames. The frame sequence consisted of nine 20-s frames, five 1min frames, four 2-min frames, eleven 4-min frames, and six 5-min frames for [11C]DASB; and twelve 20-s frames, sixteen 1-min frames, ten 4-min frames, and five 6-min frames for [11C]WAY100635. Injected radioactivity was  $749.7 \pm 37.4$  MBq and  $224.8 \pm 9.1$  MBq for [11C]DASB and [11C]WAY100635, respectively. Specific radioactivity was 281.7 ± 92.7 GBq/µmol and

 $153.9 \pm 61.8$  GBq/ $\mu$ mol at the time of injection for [11C]DASB and [11C]WAY100635, respectively.

#### **Preprocessing**

A PET summation image of all frames and dynamic images were coregistered to each individual MR image by using PMOD (PMOD Technologies, Zurich, Switzerland). The individual MR image was spatially normalized to the Montreal Neurological Institute (MNI) stereotaxic brain, and subsequently, the transformation parameters were applied to the coregistered PET dynamic images. Thus, the PET and MR images of all subjects were anatomically standardized to the MNI template. Volumes of interest (VOIs) were manually delineated by a rater (H.T.) in the cerebellar cortex, dorsal raphe nuclei, thalamus, and striatum for [11C]DASB, and in the cerebellar cortex and hippocampal area for [11C]WAY100635, on the averaged and standardized PET summation and MR images by using the PMOD fusion tool. Circular VOIs with a diameter of 8 mm in the transaxial planes were set for the dorsal raphe nucleus from z = -38 to z = -30 in the coordinate of the MNI template. To obtain regional time-activity curves, regional radioactivity was calculated for each time frame, corrected for decay and plotted versus time.

#### Calculation of binding potentials and generation of parametric images for both tracers

For the PET study with [11C]WAY100635, the binding potential (BP) for nondisplaceable radioligand in tissue (BP<sub>ND</sub>) (Innis et al., 2007) was calculated using a reference tissue model on a voxel-by-voxel basis (Lammertsma and Hume, 1996).

$$C_T(t) \!=\! R_1 C_R(t) + \left(k_2 \!-\! \frac{R_1 k_2}{1 \!+\! BP_{\rm ND}}\right) \! C_R(t) \otimes \exp\left(\! \frac{-k_2 t}{1 \!+\! BP_{\rm ND}}\! \right),$$

where  $C_T(t)$  is the radioactivity concentration in a brain region, and  $C_R(t)$  is the radioactivity concentration in the reference region.  $R_1$  is the ratio of  $K_1/K_1$  ( $K_1$ , influx rate constant for the brain region,  $K_1$ , influx rate constant for the reference region),  $k_2$  is the efflux rate constant for the brain region, and  $\otimes$  denotes the convolution integral. In this analysis, three parameters (BP<sub>ND</sub>,  $R_1$ , and  $k_2$ ) were estimated by the basis function method (Gunn et al., 1997, 1998). The cerebellum was used as reference region because a postmortem study indicated that the cerebellum cortex is almost devoid of  $5-HT_{1A}$  receptors (Varnas et al., 2004).

For the PET study with [11C]DASB, BP<sub>ND</sub> was calculated by using a multi-linear reference tissue model 2 (MRTM2) (Ichise et al., 2003),

$$C_T(T) = -\frac{V}{V'b} \left( \smallint_0^T C_R(t) dt + \frac{1}{k_2'} C_R(t) \right) + \frac{1}{b} \smallint_0^T C_T(t) dt$$

where  $C_T(T)$  is the radioactivity concentration in a brain region, and  $C_R(t)$  is the radioactivity concentration in total distribution volumes (i.e.,  $V = K_1/k_2$ ,  $V' = K_1'/k_2'$ ;  $k_{2}$ , the efflux rate constant from the reference tissue to plasma). b is the intercept term, which becomes constant for  $T > t^*$ . The  $k_2$  value was estimated by the MRTM method (Ichise et al., 2002) and, to minimize the variability of  $k_2$  estimation, a weighted mean  $k_2$  value according to the VOI size over the raphe nucleus, thalamus, and striatum was calculated with the cerebellum as reference region, because postmortem studies indicated that the cerebellum cortex is almost devoid of 5-HTT (Kish et al., 2005; Varnas et al., 2004). BP<sub>ND</sub> is then calculated from the two regression coefficients as,

the reference region. V and V' are the corresponding

$$\text{BP}_{\text{ND}} = -(\gamma_1/\gamma_2 + 1),$$

where  $\gamma_1 = -V/(V'b)$  and  $\gamma_2 = 1/b$ , respectively.

#### Image data analysis

VOIs were placed on the standardized BP<sub>ND</sub> images for the bilateral putamen; caudate nucleus; globus pallidus; thalamus and its subregions (pulvinar, mediodorsal, and anteriodorsal); hippocampal regions including the parahippocampus, uncus, and hippocampus; anterior and posterior cingulate; and the lateral temporal cortex, basal side as well as the convex side of the frontal cortex, parietal cortex, and occipital cortex (Fig. 1, Table I). The same VOI set was applied for both [11C]WAY100635 and [11C]DASB in all subjects.

#### Statistical analysis

Data are presented as mean ± SD. Pearson's correlation coefficient was calculated for the evaluation of correlation. Correction for multiple comparisons was not performed during the analysis because of the large number of correlations performed, and these results were considered exploratory. Laterality of the hemispheres was tested by performing a paired t-test for each region. SPSS package version 16 (SPSS, Chicago, IL) was used for statistical analysis.

#### RESULTS

For both radiotracers, parametric BP<sub>ND</sub> images with anatomic standardization were obtained from 17 participants. The averaged images for all subjects are presented in Figures 2 and 3; mean and SD values of BP<sub>ND</sub> are shown in Table II and Figure 4.

Distribution patterns of the 5-HTT and 5-HT<sub>1A</sub> receptors in the human brain were measured with radioligands [11C]DASB and [11C]WAY100635, respectively, and they were observed to be quite different. The highest binding to 5-HTT was observed in the dorsal raphe nuclei, thalamus, and striatum. Moderate binding was observed in the insula, hippocampal area, and the anterior and posterior cingulate; very

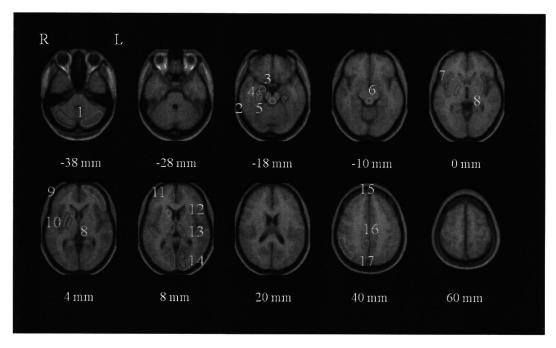


Fig. 1. VOIs drawn on the anatomically standardized MR images averaged for all subjects.  $Z=-38,\ -28,\ -18,\ -10,\ 0,\ 4,\ 8,\ 20,\ 40,\ 60$  mm parallel to the anterior commissure and posterior commissure (AC-PC) line in the MNI template brain. L denotes left side of the brain; R denotes right side; 1, cerebellar cortex; 2, lateral

temporal cortex; 3, uncus (amygdala); 4, hippocampus; 5, parahippocampal gyrus; 6, dorsal raphe nucleus; 7, insula; 8, globus pallidus; 9, base of frontal cortex; 10, putamen; 11, anterior cingulate; 12, head of caudate nucleus; 13, thalamus; 14, occipital cortex; 15, frontal convexity; 16, posterior cingulate; 17, parietal cortex.

TABLE I. Representative MNI coordinates and volumes in VOIs drawn on the MNI template image

	Right				Left				
Region	X	Y	Z	Volume (cm <sup>3</sup> )	X	Y	$\boldsymbol{z}$	Volume (cm <sup>3</sup> )	Total volume (cm <sup>3</sup> )
Cerebellum	25	-70	-38	4.20	-31	-69	-38	4.30	8.50
Dorsal raphe nucleus	0	-31	-14						1.13
Striatum				1.69				1.99	3.68
Putamen	26	-1	4	1.34	-28	-2	4	1.54	2.88
Caudate head	14	14	8	0.35	-15	12	8	0.45	0.80
Globus Pallidus	20	-8	0	0.89	-18	-6	0	0.95	1.84
Thalamus	10	-23	8	1.67	-12	-22	8	1.60	3.27
Hippocampal complex				1.62				1.73	3.34
Uncus	21	-7	-18	0.70	-27	-24	-18	0.80	1.50
Hippocampus	29	-16	-18	0.19	-28	-13	-18	0.22	0.41
Parahippocampus	26	-24	-18	0.70	-27	-24	-18	0.80	1.50
Insula	38	4	-2	1.74	-38	3	- <b>2</b>	1.46	3.20
Anterior cingulate	5	43	8	0.53	-7	43	8	0.58	1.10
Posterior cingulate	6	-47	40	0.91	-9	-47	40	0.72	1.63
Base side of frontal cortex	34	45	4	4.58	-36	45	4	4.66	9.24
Frontal convexity	31	29	40	3.23	-35	24	40	3.25	6.51
Lateral temporal	53	-17	-18	5.58	-55	-17	-18	5.26	10.84
Occipital cuneus	13	-81	8	2.47	-9	-81	8	2.54	5.02
Parietal	49	-56	40	3.17	-51	-57	40	3.30	6.46

low binding was observed in the neocortical regions. In contrast, the highest binding to  $5\text{-HT}_{1A}$  receptors was observed in the medial temporal regions including the hippocampus, uncus (amygdala), parahippocampus, and insula. Then, slightly lower binding was observed in the cingulate cortex and other cortical regions in descending order, with very low binding being seen in the basal ganglia and thalamus. As shown in Figure 4, when we divide brain regions into 5-HTT transporter rich regions and 5-HT $_{1A}$  receptor

rich regions, the relationships between mean values of  $\mathrm{BP_{ND}}$  in 5-HTT and 5-HT $_{1A}$  receptors were quite different. No linear correlation was found in 5-HTT transporter-rich regions between the mean values of  $\mathrm{BP_{ND}}$  in 5-HTT and 5-HT $_{1A}$  receptors, while a positive linear correlation, except the occipital cortex (r=0.862, P=0.006), was found between them in the 5-HT $_{1A}$  receptor-rich regions.

There was no significant difference between the two sides of the brain with either of the radiotracers,

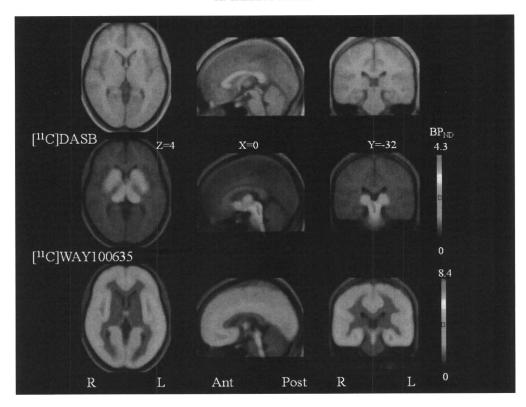


Fig. 2. Averaged images of MRI, [ $^{11}$ C]DASB image fused with MRI, and [ $^{11}$ C]WAY100635 image fused with MRI for all subjects. In left to right columns, transaxial, saggital, and coronal planes of the

brain are displayed. X=0 mm, Y=-32 mm, and Z=4 mm indicate the coordinates of the three planes in the MNI template brain. R represents right; L, left. Ant indicates anterior; Post, posterior.

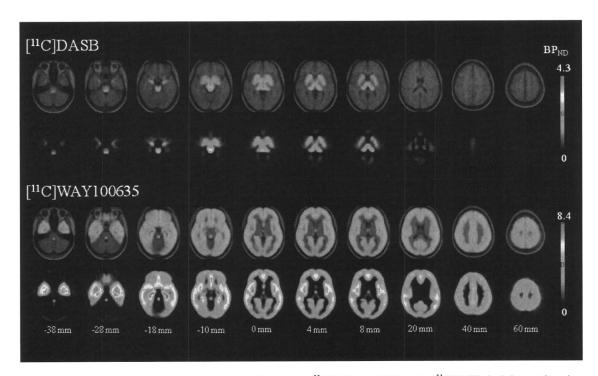


Fig. 3. Averaged  $BP_{ND}$  images with anatomical standardization of both radiotracers (axial planes). From the top row to the bottom: fused  $[^{11}\text{C}]\text{WAY100635}$  and MRI, only  $[^{11}\text{C}]\text{WAY100635},$  fused

 $[^{11}\mathrm{C}]\mathrm{DASB}$  and MRI, only  $[^{11}\mathrm{C}]\mathrm{DASB}.$  In left to right columns: from ventral to dorsal planes of transverse images. L denotes left side of the brain; R denotes right side. Color bar indicates the value of BP<sub>ND</sub>.

TABLE II. BPND values for each VOI

	[ <sup>11</sup> C]DASB							
Region	Total	Right	Right Left		Right	Left	r	P
Dorsal raphe nucleus	$3.10 \pm 0.97$			$2.17 \pm 0.54$			-0.191	0.464
Striatum	$1.42 \pm 0.28$	$1.40 \pm 0.27$	$1.43 \pm 0.30$	$1.42 \pm 0.39$	$1.23 \pm 0.38$	$1.58 \pm 0.53$	-0.333	0.192
Putamen	$1.46 \pm 0.29$	$1.45 \pm 0.28$	$1.47 \pm 0.30$	$1.72 \pm 0.47$	$1.48 \pm 0.48$	$1.94 \pm 0.66$	-0.368	0.146
Caudate head	$1.25 \pm 0.30$	$1.20 \pm 0.28$	$1.29 \pm 0.35$	$0.32 \pm 0.23$	$0.29 \pm 0.24$	$0.34 \pm 0.25$	0.208	0.423
Globus Pallidus	$1.15 \pm 0.36$	$1.14 \pm 0.48$	$1.15 \pm 0.31$	$0.35 \pm 0.20$	$0.30 \pm 0.22$	$0.40 \pm 0.24$	-0.446	0.073
Thalamus	$1.88 \pm 0.48$	$1.89 \pm 0.53$	$1.87 \pm 0.44$	$0.95 \pm 0.27$	$1.03 \pm 0.33$	$0.87 \pm 0.26$	-0.210	0.420
Anterior nucleus	$1.93 \pm 0.48$	$2.01 \pm 0.57$	$1.84 \pm 0.41$	$1.38 \pm 0.41$	$1.37 \pm 0.60$	$1.38 \pm 0.34$	-0.407	0.105
Dorsomedial nucleus	$2.01 \pm 0.51$	$2.08 \pm 0.55$	$1.93 \pm 0.50$	$1.23 \pm 0.45$	$1.30 \pm 0.55$	$1.16 \pm 0.51$	-0.328	0.199
Pulvinar	$2.18 \pm 0.73$	$2.03 \pm 0.71$	$2.31 \pm 0.79$	$0.89 \pm 0.41$	$1.02 \pm 0.43$	$0.77 \pm 0.51$	-0.079	0.762
Hippocampal complex	$0.83 \pm 0.18$	$0.83 \pm 0.18$	$0.82 \pm 0.19$	$6.30 \pm 0.97$	$6.27 \pm 1.03$	$6.33 \pm 0.95$	-0.306	0.232
Uncus	$1.20 \pm 0.27$	$1.18 \pm 0.26$	$1.23 \pm 0.29$	$5.78 \pm 1.05$	$5.90 \pm 1.10$	$5.66 \pm 1.06$	-0.268	0.297
Hippocampus	$0.61 \pm 0.18$	$0.58 \pm 0.22$	$0.65 \pm 0.17$	$7.01 \pm 1.34$	$7.34 \pm 1.55$	$6.71 \pm 1.36$	-0.226	0.383
Parahippocampus	$0.52 \pm 0.12$	$0.54 \pm 0.13$	$0.51 \pm 0.13$	$6.61 \pm 1.03$	$6.36 \pm 1.10$	$6.82 \pm 1.11$	-0.322	0.208
Insula	$0.70 \pm 0.17$	$0.75 \pm 0.19$	$0.65 \pm 0.15$	$6.65 \pm 1.13$	$6.64 \pm 1.14$	$6.67 \pm 1.20$	$^*-0.506$	0.038
Anterior cingulate	$0.45 \pm 0.09$	$0.42 \pm 0.11$	$0.47 \pm 0.10$	$5.39 \pm 0.83$	$5.46 \pm 0.93$	$5.33 \pm 0.90$	$^*-0.539$	0.026
Posterior cingulate	$0.35 \pm 0.07$	$0.37 \pm 0.07$	$0.33 \pm 0.10$	$4.76 \pm 0.69$	$4.91 \pm 0.73$	$4.57 \pm 0.81$	$^*$ $-0.484$	0.049
Base side of frontal cortex	$0.21 \pm 0.07$	$0.22 \pm 0.08$	$0.20 \pm 0.06$	$4.45 \pm 0.76$	$4.54 \pm 0.80$	$4.36 \pm 0.75$	$^*$ -0.539	0.026
Frontal convexity	$0.20 \pm 0.06$	$0.20 \pm 0.06$	$0.19 \pm 0.06$	$4.55 \pm 0.82$	$4.53 \pm 0.78$	$4.56 \pm 0.87$	-0.464	0.061
Lateral temporal	$0.25 \pm 0.05$	$0.26 \pm 0.06$	$0.23 \pm 0.05$	$5.73 \pm 0.86$	$5.70 \pm 0.86$	$5.76 \pm 0.87$	*-0.603	0.010
Occipital cuneus	$0.39 \pm 0.10$	$0.38 \pm 0.11$	$0.40 \pm 0.11$	$2.59 \pm 0.51$	$2.55 \pm 0.56$	$2.62 \pm 0.51$	-0.433	0.083
Parietal	$0.12 \pm 0.04$	$0.13\pm0.04$	$0.12\pm0.04$	$4.54\pm0.71$	$4.61 \pm 0.73$	$4.46 \pm 0.74$	*-0.718	0.001

r indicates Pearson's correlation coefficients between total BPND of [ $^{11}$ C]DASB and that of [ $^{11}$ C]WAY100635 for each VOI. \*Indicates P < 0.05.

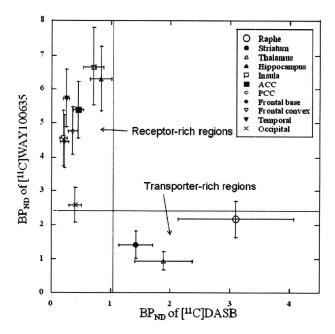


Fig. 4. Relationships between mean BP<sub>ND</sub> values of [<sup>11</sup>C]DASB and those of [<sup>11</sup>C]WAY100635. The error bars indicate standard deviation.

indicating no apparent laterality in the distribution of 5-HTT and 5-HT $_{1A}$  (paired t-test, data not shown). Moreover, no significant correlation between age and the binding of 5-HTT and 5-HT $_{1A}$  was found in any of the brain regions within the age range (20–40 yr) of our sample (Pearson's correlation coefficient, P > 0.05, data not shown). In addition, with regard to the intraindividual relationship between the binding of both [ $^{11}$ C]DASB and [ $^{11}$ C]WAY100635 in the same region of the brain, significant negative correlations were observed in the anterior and posterior cingulate, insula, basal side of the frontal cortex, lateral temporal cortex, and parietal

cortex (Table II and Fig. 5), whereas no significant positive correlation was observed.

#### DISCUSSION

We constructed a database of 5-HTT and 5-HT $_{1A}$  serotonergic function by using parametric images generated by the anatomic standardization of the brains of 17 healthy young men. Anatomical standardization enables visualization of the entire neuroanatomy along the same coordinates with the help of multiple radiotracers that permit inter- and intrasubject comparisons. In the current study, the distributions of the two serotonergic markers differed. In addition, an exploratory study revealed significant inverse linear correlations between  ${\rm BP}_{\rm ND}$  of presynaptic 5-HTT and that of postsynaptic 5-HT $_{1A}$  receptor binding in certain areas of the brain, such as the frontal, temporal, and parietal cortices; insula; and anterior and posterior cingulate.

Overall, the distribution patterns of 5-HTT and 5-HT<sub>1A</sub> binding in the human brain were in accordance with those observed in previous human PET and postmortem studies (Brust et al., 2006; Drevets et al., 2007; Hall et al., 1997; Hoyer et al., 1986; Ito et al., 1999; Kish et al., 2005; Laruelle et al., 1988; Pazos et al., 1987; Rabiner et al., 2002; Savitz et al., 2009; Stockmeier, 2003; Varnas et al., 2004).

## Localization of 5-HTT and 5-HT $_{1A}$ in respective regions of the brain

In general, distributions in 5-HTT and  $5\text{-HT}_{1A}$  receptors are quite different, and can be categorized into two groups, 5-HTT-rich regions and 5-HT $_{1A}$  receptor-rich regions (Fig. 4). In 5-HT $_{1A}$  receptor-rich regions such as the cerebral cortex and limbic

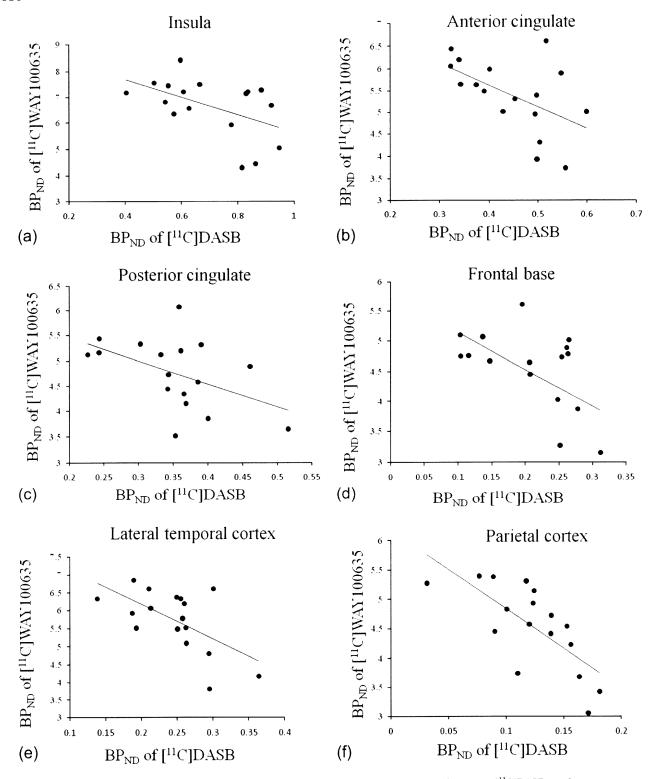


Fig. 5. Areas showing significant correlations of  $BP_{ND}$  values between [\$^{11}C\$]DASB and [\$^{11}C\$]WAY100635 in intrasubject comparisons. (a) insula, (b) anterior cingulate, (c) posterior cingulate, (d) base side of frontal cortex, (e) lateral temporal cortex, (f) parietal cortex.

regions, they tend to positively correlate with each other except the occipital cortex. This finding could reflect innervation of serotonergic fibers in those regions.

#### 5-HTT-rich region

**Brain stem.** High binding to 5-HTT was observed in large areas of the midbrain, and it continuously

extended to the thalamus; this finding indicated rich distribution in these regions. In contrast, moderate binding to 5-HT<sub>1A</sub> receptors was observed only in the dorsal and medial raphe, with this distribution indicating that 5-HT<sub>1A</sub> receptor exists only in these regions of the midbrain (the sagittal section, middle column in the bottom row in Figure 2; the transverse sections, the first to fourth columns in the second row from the bottom; further, refer to the volume of interest 6 in Figure 1, which represents the raphe nuclei). These distribution patterns of 5-HTT and 5-HT<sub>1A</sub> were consistent with those observed in previous human postmortem autoradiography studies (Hall et al., 1997; Varnas et al., 2004). In the present study, however, 5-HT<sub>1A</sub> binding was not very high as compared with that in other areas (Table II). For example, the mean  $BP_{\rm ND}$  value in the dorsal raphe was approximately one-third of that in the hippocampus, which exhibited the highest value of all regions examined. Further, in a detailed human autoradiography study with [3H]WAY100635, binding to the dorsal raphe was highest (140 pmol/g tissue), followed by the hippocampus (123 pmol/g tissue). However, this discrepancy may be a result of the limited spatial resolution of the PET scanner, resulting in excessive spillover from the raphe and other small structures.

Subcortical regions. In the striatum (putamen and caudate) and globus pallidus, relatively high levels of binding to 5-HTT were observed. In contrast, 5-HT $_{1A}$  receptor binding was very low or absent in the caudate nucleus and globus pallidus, while a low level of binding was found in the putamen. However, postmortem autoradiography studies showed very low levels of binding in the putamen, similar to those in the caudate and globus pallidus (Hall et al., 1997; Varnas et al., 2004); the high BP $_{ND}$  values in the putamen may be attributed to spillover from the insula, which exhibits a very high level of 5-HT $_{1A}$  binding.

The level of 5-HTT binding in the thalamus was the second highest among all brain regions, after the dorsal raphe nucleus; further, there was no marked difference in 5-HTT distribution among the subregions of the thalamus. These findings were similar to those of a previous human postmortem study (Varnas et al., 2004). On the other hand, the level of binding to the 5-HT<sub>1A</sub> receptor was very low in the thalamus, although a little binding was observed in its medial parts regions (Table II and Figs. 2–4); this finding was similar to those of previous autoradiography studies showing much less or no binding in these regions (Hall et al., 1997; Varnas et al., 2004).

#### 5-HT<sub>1A</sub> receptor-rich region

Cerebral neocortex. Relatively high binding to 5- $\mathrm{HT}_{1A}$  and low binding to 5- $\mathrm{HTT}$  were observed in the

neocortical regions. The 5-HT<sub>1A</sub> receptor was widely distributed in the cerebral cortex but sparsely in the occipital cortex. The distribution of 5-HTT was very low in the neocortical regions as compared with other brain regions, but was found to be homogeneous among the cortical regions (Figs. 2–4, Table II). These findings were consistent with those of previous postmortem autoradiography studies (Hall et al., 1997; Laruelle et al., 1988; Varnas et al., 2004).

Limbic regions. In the hippocampal regions that include the parahippocampus, hippocampus, and uncus (amygdala), highest binding to 5-HT<sub>1A</sub> and moderate binding to 5-HTT were observed (Figs. 2–4, Table II). Postmortem autoradiography studies in humans revealed that the highest binding to 5-HT<sub>1A</sub> was observed particularly over the CA1 field in the hippocampus (Hall et al., 1997; Varnas et al., 2004). In contrast, binding to 5-HTT was higher in the uncus as compared to other hippocampal regions, a finding in agreement with a previous postmortem study (Varnas et al., 2004).

Within the cingulate cortex, both 5-HTT and 5-HT $_{1A}$  binding can be described in descending order as follows: ventral (subcallosal) cingulate > anterior cingulate > posterior cingulate (Table II and Figs 2 and 3). In particular, the ventral cingulate is thought to be involved in the regulation of emotions and has been repeatedly reported to be associated with depression (Drevets et al., 2008; Seminowicz et al., 2004).

Very high 5-HT<sub>1A</sub> binding and intermediate 5-HTT binding were observed in the insula. These distributions are in accordance with those found in previous human postmortem autoradiography studies (Varnas et al., 2004), although BP<sub>ND</sub> values in the insula can be affected by spillover from the striatum, which exhibits high levels of 5-HTT binding.

Our findings together suggest that the limbic regions, including the hippocampal area, cingulate cortex, and insula, are relatively rich in serotonergic innervation. Thus, serotonergic transmission in these regions might play a pivotal role in modulating emotion and cognition.

#### Intraindividual relationship between the binding of both [11C]DASB and [11C]WAY100635 in the same region of the brain

With respect to the intraindividual relationship between regional 5-HTT and 5-HT $_{1A}$  distribution, we found significant negative linear correlations between the binding of [ $^{11}$ C]DASB and [ $^{11}$ C]WAY100635 in the insula; anterior and posterior cingulate; and lateral temporal, frontal base, and parietal cortices. This result suggests that serotonergic transmission might be modulated by a cooperative relationship between 5-HTT and 5-HT $_{1A}$ .

There have been only a few reports on pre- and postsynaptic serotonergic functions in individuals. In a study of 12 men, Lundberg et al. (2007) showed a positive linear correlation between 5-HTT and 5-HT<sub>1A</sub> binding in the raphe nuclei and hippocampal complex using [11C]MADAM and [11C]WAY100635, respectively; however, a positive linear correlation was not observed in the frontal cortex. Another study that examined gender differences in binding using [11C]MADAM and [11C]WAY100635 also reported an interrelationship between 5-HTT and 5-HT<sub>1A</sub> receptors (Jovanovic et al., 2008). They found a significant positive correlation between BP<sub>ND</sub> of 5-HTT and 5-HT<sub>1A</sub> receptors in the hippocampus of eight women, whereas no significant correlation was observed in seven men. The discrepancy between their results and ours could be attributed to the use of different 5-HTT radiotracers, sample size, and subjects' background. In particular, the age range of subjects in the study by Lundberg et al. was wider than ours, and different degrees of atrophy can cause positive correlation in BP<sub>ND</sub> between 5-HTT and 5-HT<sub>1A</sub> receptor. This is because older subjects are likely to have more brain atrophy, because of which both BP<sub>ND</sub> values are lower than those of young subjects. Our results suggest that subjects exhibiting higher 5-HTT binding are likely to have less 5-HT<sub>1A</sub> receptor binding and vice versa. One possible interpretation of this intersubject difference is that individuals with higher 5-HT synthesis and release show a decrease in the 5- $HT_{1A}$  receptor function to dampen the transmission at the postsynaptic site and an increase in 5-HTT function in order to reuptake more 5-HT at the presynaptic site, whereas individuals with lower 5-HT synthesis and release show an increase in the 5-HT<sub>1A</sub> receptor function and a decrease in 5-HTT function to reuptake less 5-HT; that is, pre- and postsynaptic 5-HT functions are modulated cooperatively to compensate the overall 5-HT transmission. However, these studies were done under resting condition, and therefore challenge study designs using drugs or stress may help to better understand the relationship between pre- and postsynaptic functions.

#### Limitation

There are several limitations to the current study. First, the two PET studies were not always performed on the same day. Good reproducibility for both ligands (Kim et al., 2006; Rabiner et al., 2002), however, has been demonstrated, and it has been shown that the endogenous 5-HT level has no direct effect on binding (Rabiner et al., 2002; Talbot et al., 2005); hence, it is unlikely that the endogenous level affected the results. Second, the sample size was small, especially for a correlation study between pre- and postsynaptic functions, and our study should necessarily be

regarded as a preliminary one. Third, we focused only on 5-HTT and 5-HT<sub>1A</sub> receptors because they reportedly play pivotal roles in serotonergic functions. However, there are more than 10 receptor systems in the brain that affect serotonergic transmission. In addition, monoamine oxidase as well as 5-HTT are known to control the 5-HT concentration in the synapse (Nestler et al., 2008). Finally, the current study includes only young men, and we should expand it to women and individuals of a wider range of ages. Such a database will be helpful even for clinical studies on depression and anxiety disorders, since these disorders are known to show different prevalence and clinical features depending on gender and age (Fernandez et al., 1995; Gorman, 2006; Pigott, 1999). The physiological differences in the 5-HT system based on gender and age might explain the characteristics of depression and anxiety disorders.

In summary, we constructed a normal database to elucidate regional distributions of 5-HT $_{1A}$  and 5-HTT binding. The neuroanatomy of the 5-HT $_{1A}$  and 5-HTT serotonergic systems was discussed mostly by comparing our findings with those of previous postmortem studies. Furthermore, we explored the linear negative correlation between pre- and postsynaptic functions in certain parts of the brain. The results obtained indicate the involvement of a cooperative or complementary process in serotonergic transmission. Further studies are required to elucidate the modulation of 5-HT transmission in neuropsychiatric disorders and to clarify the various serotonergic systems involving pre- and postsynaptic functions in different regions of the brain.

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# Peripheral benzodiazepine receptors in patients with chronic schizophrenia: a PET study with [11C]DAA1106



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#### Abstract

Inflammatory/immunological process and glial contribution are suggested in the pathophysiology of schizophrenia. We investigated peripheral benzodiazepine receptors in brains of patients with chronic schizophrenia, which were reported to be located on mitochondria of glial cells, using [¹¹C]DAA1106 with positron emission tomography. Fourteen patients and 14 age- and sex-matched normal controls participated in this study. PET data were analysed by two-tissue compartment model with metabolite-corrected plasma input. Clinical symptoms were assessed using the Positive and Negative Syndrome Scale. There was no significant difference between [¹¹C]DAA1106 binding of the cortical regions of normal controls and patients with schizophrenia, whereas the patients showed a positive correlation between cortical [¹¹C]DAA1106 binding and positive symptom scores. There was also a positive correlation between [¹¹C]DAA1106 binding and duration of illness. Although the correlations need to be interpreted very cautiously, involvement of glial reaction process in the pathophysiology of positive symptoms or progressive change of schizophrenia might be suggested.

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Key words: Microglia, peripheral benzodiazepine receptor, positive symptoms, schizophrenia.

#### Introduction

An accumulating body of evidence has suggested that the pathophysiology of schizophrenia could be related to the dysregulation of the inflammatory response system, such as increased levels of *in vivo* IL-1RA, sIL-2R, and IL-6 (Lin *et al.* 1998; Nawa & Takei, 2006; Potvin *et al.* 2008; Zhang *et al.* 2004). Microglia has been regarded as a mediator of neuroinflammation via the release of pro-inflammatory cytokines, nitric oxide (NO) and reactive oxygen species (ROS) in the central nervous system (CNS). Peripheral benzodiazepine receptor (PBR) was reported to reflect neuronal injury and inflammatory lesions in the brain by increased expression of the number of binding sites in glial cells including activated microglia and reactive astrocytes

as visualized *in vivo* using PET with [¹¹C]PK11195 (Shah *et al.* 1994). Recent reports demonstrated that [¹¹C]PK11195 binding was increased in patients with acute-onset schizophrenia (van Berckel *et al.* 2008) and in patients with schizophrenia during psychosis (Doorduin *et al.* 2009). However, the affinity (Chaki *et al.* 1999) and permeability of the blood–brain barrier was low for PK11195, reportedly a substrate of efflux transporter P-glycoprotein (Jakubikova *et al.* 2002; Vaalburg *et al.* 2005). Low uptake of [¹¹C]PK11195 in the brain could hamper stable quantitative analysis.

(N-5-fluoro-2-phenoxyphenyl)-N-(2,5-dimethoxylbenzyl) acetamide (DAA1106) is a potent and selective ligand for PBR with high affinity (Chaki *et al.* 1999; Okuyama *et al.* 1999). [<sup>11</sup>C]DAA1106 is accumulated at high levels in the mouse brain (Zhang *et al.* 2003), and the radioactivity of [<sup>11</sup>C]DAA1106 at 30 min after injection was reported to be four times higher than that of [<sup>11</sup>C]PK11195 in the monkey brain (Maeda *et al.* 2004). A quantitative analysis method for [<sup>11</sup>C]DAA1106 binding in the human brain has been well established with the two-tissue compartment model (Ikoma *et al.* 2007). [<sup>11</sup>C]DAA1106 was

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