

**Table 2** Mental disorders as predictors of non-completion of four educational milestones in high-income and LAMI countries

	Non-completion of primary school			Non-completion of secondary education			Non-entry of tertiary education			Non-completion of tertiary education		
	OR	95% CI	$\chi^2$	P	OR	95% CI	$\chi^2$	P	OR	95% CI	$\chi^2$	P
<b>High-income countries</b>												
Any anxiety disorder	1.1	0.8-1.5	0.5	0.49	1.3	1.2-1.4	32.0	<0.01	1.1	1.0-1.2	1.8	0.17
Any mood disorder	1.6	1.0-2.6	3.4	0.06	1.4	1.2-1.6	25.2	<0.01	1.2	1.0-1.4	6.7	0.01
Any impulse disorder	1.2	0.6-2.4	0.4	0.53	2.2	1.8-2.7	53.2	<0.01	1.1	0.9-1.4	1.3	0.25
Any substance use disorder	15.2	5.8-39.7	30.8	<0.01	2.8	2.3-3.3	122.6	<0.01	1.7	1.3-2.0	23.1	<0.01
Any mental disorder	1.2	0.9-1.6	1.6	0.20	1.4	1.3-1.5	57.4	<0.01	1.2	1.1-1.3	11.7	<0.01
<b>LAMI countries</b>												
Any anxiety disorder	0.7	0.6-0.9	6.3	0.01	1.0	0.9-1.1	0.4	0.54	1.1	0.9-1.3	0.3	0.59
Any mood disorder	1.4	0.6-2.8	0.6	0.42	1.3	1.0-1.6	4.6	0.03	0.8	0.6-1.1	2.1	0.15
Any impulse disorder	1.1	0.7-1.8	0.3	0.57	1.3	1.1-1.6	6.3	0.01	0.9	0.6-1.3	0.2	0.64
Any substance use disorder	0.1	0.0-1.0	4.0	0.05	1.5	1.1-2.1	5.6	0.02	0.7	0.5-1.0	3.6	0.06
Any mental disorder	0.8	0.7-1.0	3.3	0.07	1.0	0.9-1.1	0.3	0.59	1.0	0.8-1.2	0.1	0.72
<b>LAMI, low- and middle-income.</b>												

were the most strongly associated disorders. Among those who completed secondary education, not entering tertiary education was significantly associated with panic disorder/agoraphobia (OR=1.4, 95% CI 1.1-1.7), separation anxiety disorder (OR=1.5, 95% CI 1.1-2.0), bipolar disorder (OR=1.5, 95% CI 1.1-2.0), oppositional defiant disorder (OR=1.5, 95% CI 1.1-2.0) and ADHD (OR=1.4, 95% CI 1.0-1.8), and all four substance use disorders (ORs 1.4-1.9).

Impulse control (ORs 1.4-2.0) and substance use (ORs 1.4-1.5) disorders were most strongly associated with non-completion of tertiary education among those gaining entry to university. Such termination was only inconsistently associated with panic disorder/agoraphobia (OR=1.4, 95% CI 1.1-1.8) and with bipolar disorder at trend level (OR=1.3, 95% CI 1.0-1.8, P=0.07). At all four educational milestones greater complexity of mental health condition, as indicated by a higher number of comorbid disorders, was associated with increasing odds of early termination.

**Low- and middle-income countries**

Of the 64 associations estimated between individual mental disorders and subsequent termination of education, 29 odds ratios exceeded 1.0 and 6 of these reached statistical significance. Twenty-eight associations showed odds ratios of less than 1 and three of these reached statistical significance (online Table DS4). During primary education, social phobia (OR=0.4, 95% CI 0.2-0.9) and separation anxiety disorder (OR=0.5, 95% CI 0.3-1.0) were significantly associated with lower odds of termination of education. Among those who entered secondary education, generalised anxiety disorder was significantly associated with lower odds of termination (OR=0.6, 95% CI 0.3-1.0), whereas bipolar disorder (OR=1.8, 95% CI 1.1-3.0), conduct disorder (OR=3.0, 95% CI 2.0-4.4), oppositional defiant disorder (OR=1.6, 95% CI 1.1-2.2), alcohol abuse (OR=1.6, 95% CI 1.0-2.5) and drug dependence (OR=3.2, 95% CI 1.2-8.3) were associated with higher odds of termination. Among those who completed secondary education, only oppositional defiant disorder was significantly associated with higher odds of non-entry to tertiary education (OR=2.1, 95% CI 1.1-4.2). Among those who entered tertiary education, no disorder was significantly associated with non-completion of education. In contrast to high-income countries, in LAMI countries a larger number of disorders per respondent was associated with lower odds of early termination in primary education and higher odds of early termination in secondary education.

**Population attributable risk**

These simulations generated the expected risks of early termination of education of the population in the presence or absence of any prior mental disorders (Table 3). Comparison of these estimates showed the change in probability of not completing a particular stage of education attributable to all mental disorders. For example, in the USA the probability of people not completing secondary education increased by 11.4% in the presence of any mental disorder. The overall pattern showed that the percentage change of people not attaining educational milestones attributable to prior mental disorders was generally larger in high-income countries than in LAMI countries. Among all educational milestones, the change of probability between those with mental disorder and those without was largest for the stage of completing secondary education in both high-income and LAMI countries.

**Table 3** Attributed average probability (estimated using logistic regression models) of educational termination presented as population attributable risk proportion (0 unlikely, 1 absolutely) in high-income and LAMI countries (weighted)

Country	Did not complete primary school			Did not complete secondary education			Did not enter tertiary education			Did not complete tertiary education		
	Without disorder	With disorder	Change %	Without disorder	With disorder	Change %	Without disorder	With disorder	Change %	Without disorder	With disorder	Change %
High-income countries												
Belgium	0.0597	0.0597	0	0.2973	0.3015	1.41	0.5181	0.5361	3.47	0.7531	0.7641	1.46
Germany	0.0049	0.0053	8.16	0.3660	0.3662	0.05	0.5816	0.5826	0.17	0.9649	0.9649	0
Israel	0.0069	0.0069	0	0.2596	0.2611	0.58	0.5420	0.5427	0.13	0.7533	0.7537	0.05
Italy	0.2954	0.2960	0.20	0.6133	0.6147	0.23	0.8096	0.8092	-0.05	0.8750	0.8767	0.19
Japan	0.0125	0.0125	0	0.2961	0.2961	0	0.6227	0.6227	0	0.8070	0.8107	0.46
The Netherlands	0.0518	0.0518	0	0.3013	0.3097	2.79	0.4110	0.4195	2.07	0.6530	0.6618	1.35
New Zealand	0.0084	0.0090	7.14	0.3836	0.4026	4.95	0.6476	0.6753	4.28	0.7946	0.8147	2.53
Spain	0.2654	0.2663	0.34	0.5870	0.5901	0.53	0.6401	0.6427	0.41	0.7998	0.8079	1.01
USA	0.0275	0.0286	4	0.1521	0.1694	11.37	0.4687	0.4905	4.65	0.7417	0.7613	2.64
LAMI countries												
Colombia	0.1674	0.1674	0	0.5367	0.5436	1.29	0.7488	0.7519	0.41	0.8889	0.8903	0.16
Lebanon	0.2523	0.2541	0.71	0.6008	0.6077	1.15	0.7172	0.7220	0.67	0.8108	0.8136	0.35
Mexico	0.1749	0.1754	0.29	0.6959	0.6996	0.53	0.8136	0.8157	0.26	0.8661	0.8692	0.36
Nigeria	0.2188	0.2188	0	0.6476	0.6477	0.02	0.8183	0.8184	0.01	0.9234	0.9246	0.13
China (Beijing & Shanghai)	0.0684	0.0684	0	0.4577	0.4577	0	0.6960	0.6960	0	0.9696	0.9698	0.02
South Africa	0.1978	0.1978	0	0.6187	0.6194	0.11	0.8454	0.8472	0.22	0.9670	0.9682	0.12
Ukraine	0.0638	0.0638	0	0.1789	0.1844	3.07	0.3208	0.3277	2.15	0.6421	0.6454	0.51
LAMI, low- and middle-income.												

## Discussion

### Limitations

The results of this study should be interpreted in light of several limitations. We did not collect individual-specific information on school levels that a respondent had to repeat, or interruptions in schooling including return to school after a hiatus. Lack of a more detailed chronology of respondents' educational careers might have led our analysis to underestimate the association between disorders and termination of education. Moreover, national requirements for completing basic education and the implementation of such requirements vary across countries. The assumption that all respondents completed basic education in accordance with governmental requirements, whether they had mental disorders or not, may be an oversimplification.

Our assumption of an orderly academic progression may classify some disorders as having onset subsequent to educational termination even though the disorder might have actually occurred prior to termination. This is because disorder onset and termination of education were assessed by age and years of completed education respectively. Our analysis may also underestimate the impact of mental disorders on educational attainment because we did not examine other forms of educational termination that mental disorders can bring about. For example, mental disorders may influence grade-point averages among graduates, an outcome known to have important implications for accomplishments in later life.<sup>23</sup> Given that health-related behaviours in adolescence may influence the process of selection into educational tracks and hence social position in adulthood,<sup>24</sup> individuals with mental disorders may also select less competitive fields of study that minimise chance of access to a higher level of regular education. It therefore needs emphasising that termination of education is the end-point of a potentially complex process that may have its onset many months or years before the date of leaving education. The cross-sectional nature of our surveys clearly limits the interpretation of causal relationship between prior mental disorder and subsequent termination of education. Moreover, the WMH Survey sample may contain a lower

proportion of respondents with a history of mental disorders than the general population because of its incomplete sampling frame (e.g. exclusion of homeless individuals) and the likelihood that non-respondents had higher rates of disorders than respondents.<sup>25</sup> Our results hinged on respondents' recall of age at onset of particular disorders. We attempted to be conservative in this regard by asking the respondents to judge their certainty in recalling age at onset and, in cases of uncertainty, using the earliest age at which a respondent could remember a particular episode of the disorder (an upper bound on age at onset) as the age at onset in our analysis. Despite the use of a fully structured diagnostic instrument and rigorously trained lay interviewers, the validity of psychiatric diagnoses may vary across countries because of methodological factors such as problems in translation of research instruments, stigma-induced concealment and somatisation.<sup>26</sup> This may give rise to artificially large cross-national variations in the prevalence of mental disorders.<sup>27,28</sup> In addition to the above limitations, it is worth noting that because termination of education may trigger mental disorders in susceptible individuals, our assumption of an association between antecedent mental disorders and subsequent educational termination may err in the direction of excluding some disorders that could result partly from the termination itself.<sup>29,30</sup> Future studies should therefore examine the extent to which school performance explains the association between mental disorders and educational termination.

### Comparison with previous studies

With the above caveats, this is the first study to examine associations between mental disorders and subsequent educational termination using data from a much broader range of high-income and LAMI countries than has previously been studied. The findings are consistent with previous population-based studies in the USA and New Zealand with respect to three general patterns.<sup>2-5</sup> First, mental disorders were shown to be commonly associated with higher odds of early termination of education. In the high-income countries, associations of mental disorders with early termination of education were more consistently

significant than in previous studies, possibly owing to the large sample size in this study. Second, among mental disorders, impulse control and substance use disorders were more consistently associated with early termination of education than mood or anxiety disorders. This pattern, which has been found in several prior studies,<sup>4,31</sup> occurred in both high-income and LAMI country samples in this study. Third, among the educational milestones we examined, the strongest and most consistent associations with mental disorders were for termination prior to completion of secondary education.

### Explanations for the association

Substance use disorders were more extensively associated with non-completion of the various stages of education than all other mental disorders, especially in high-income countries. Generally, associations between mental disorders and subsequent termination of education were weaker and less consistent in LAMI than in high-income countries. For example, substance use disorders were associated only with termination in primary and secondary education in LAMI countries but with all stages of education termination in high-income countries. For several anxiety disorders (social phobia, generalised anxiety disorder and separation anxiety disorder) there were significant negative associations with early termination in the LAMI countries, indicating that in these countries people with these disorders are more likely to complete their education. These counterintuitive findings may result from random variations, given the large number of associations we have examined. None the less, there may be other explanations for these associations that should be examined in future studies. For example, anxiety disorders might be associated with psychological qualities that are conducive to positive educational outcome in these populations: for instance, individuals with anxiety disorders might over-prepare because of excessive anticipatory worries of failure and might be more responsive to high familial expectations of obtaining good school grades. They may also remain in school, because common anxiety-related manifestations, such as shyness and over-preparation, may be culturally tolerated in the examination-oriented education system of LAMI countries.<sup>32</sup> The relatively high proportion of the population that did not complete their education and the lower relative risks of early educational termination associated with mental disorders in the LAMI country sample suggest that ultimate educational attainment in these countries may be associated with family, community and other factors that operate independently of mental health.<sup>1</sup> Further country-specific analysis and in-depth contextual studies are needed to examine the considerable variation that remains among countries in the change of probability of not completing a particular stage of education attributable to mental disorders.

### Implications

Published studies on the social cost of mental disorders have focused on depression-related disability in the workplace.<sup>33</sup> They do not capture the cost of educational termination long before the large number of individuals with early-onset mental disorders enter the workforce. Research has shown that there are many adverse consequences of early termination of education across the entire life course of an individual.<sup>24</sup> There are also societal consequences, such as less training of the workforce, less capacity for full functioning in civic life, and greater demand on social welfare entitlements. These results have important implications for policy debate on how much healthcare a society should provide to its citizens in order to reduce these negative consequences. Although

cultural values about the importance of mental health relative to competing social issues are likely to vary widely across countries, our findings suggest that few countries, whether they be high-income or LAMI, can afford to forgo the opportunity to develop early interventions and treatments for mental disorders in order to minimise their costly burden for society and vulnerable citizens. A focus on termination of education may also bring to the attention of education departments and school administrators the importance of tackling problems such as substance use and impulse control disorders with mental health interventions. This is especially salient in LAMI countries where suicide and psychiatric diseases are highly stigmatised and priority for mental health research and programmes is low.<sup>27</sup>

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# Disability and treatment of specific mental and physical disorders across the world

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## Background

Advocates of expanded mental health treatment assert that mental disorders are as disabling as physical disorders, but little evidence supports this assertion.

## Aims

To establish the disability and treatment of specific mental and physical disorders in high-income and low- and middle-income countries.

## Method

Community epidemiological surveys were administered in 15 countries through the World Health Organization World Mental Health (WMH) Survey Initiative.

## Results

Respondents in both high-income and low- and

middle-income countries attributed higher disability to mental disorders than to the commonly occurring physical disorders included in the surveys. This pattern held for all disorders and also for treated disorders. Disaggregation showed that the higher disability of mental than physical disorders was limited to disability in social and personal role functioning, whereas disability in productive role functioning was generally comparable for mental and physical disorders.

## Conclusions

Despite often higher disability, mental disorders are under-treated compared with physical disorders in both high-income and in low- and middle-income countries.

## Declaration of interest

None. Funding detailed in Acknowledgements.

As healthcare spending continues to rise,<sup>1</sup> resource allocation decisions will need to be based increasingly on information about prevalence, severity and chronicity of disorders and cost-effectiveness of interventions. This will require concern about specific disorders to be based not only on information about prevalence and mortality, but also on disability.<sup>2,3</sup> Despite the fact that many studies in high-income countries have estimated the effects of specific disorders on disability,<sup>4–6</sup> comparable broad-based studies are rare in low- and middle-income countries.<sup>7</sup> The aims of the current report are to determine whether in both low- and middle-income and in high-income countries commonly occurring mental disorders are as seriously disabling as commonly occurring physical disorders according to respondent self-reports obtained in the World Health Organization (WHO) World Mental Health (WMH) Surveys.<sup>7,8</sup>

## Methods

### Sample

World Mental Health surveys were carried out in six countries classified by the World Bank as low- and middle-income (Colombia, Lebanon, Mexico, Peoples' Republic of China, South Africa, Ukraine) and nine as high-income (Belgium, France, Germany, Italy, Japan, The Netherlands, New Zealand, Spain and the USA) (see online Table DS1). The total sample size was 73 441, with individual country samples ranging from 2372 (The Netherlands) to 12 992 (New Zealand). The weighted average response rate was 70.3%, with country-specific response rates ranging from 45.9% (France) to 87.7% (Colombia). All surveys were based on probability household samples representative of regions (in China, Colombia, Japan, and Mexico) or nationally representative (other countries).

All interviews were conducted face to face by trained lay interviewers. Each interview had two parts. All respondents completed Part I, which contained assessments of core mental disorders. All Part I respondents who met criteria for any core mental disorder plus a probability subsample of approximately 25% of other Part I respondents were administered Part II. The latter assessed correlates, service use and disorders of secondary interest. Physical disorders were assessed in Part II. The Part II data were weighted to adjust for oversampling of people with mental disorders and for differential probabilities of selection within households and to match samples to population socio-demographic distributions.

Standardised interviewer-training procedures, WHO translation protocols and quality control procedures were applied across all WMH countries to ensure comparability. These procedures are described in more detail elsewhere.<sup>8</sup> Informed consent was obtained in all countries. Procedures for obtaining informed consent and protecting individuals were approved and monitored for compliance by the Institutional Review Boards of the organisations coordinating the surveys in each country.

### Measures

Physical disorders were assessed with a standard chronic disorders checklist<sup>9</sup> containing ten conditions that include asthma, cancer, cardiovascular disease (hypertension, other heart disease), diabetes, musculoskeletal disorders (arthritis, chronic back/neck pain), chronic headaches, other chronic pain disorders and stomach ulcers. Respondents were asked to report whether they had had any of the symptom-based conditions (e.g. chronic headaches) in the past 12 months and to say whether a doctor had ever told them they had any of the silent conditions (e.g. hypertension) and, if so, whether they had experienced them in the past 12 months.

Checklists of this sort yield more complete and accurate reports about chronic conditions than do open-ended questions.<sup>10</sup> Methodological studies have documented moderate to good concordance between checklist reports and medical records in high-income countries.<sup>11–14</sup> Comparable studies do not exist in low- and middle-income countries. Self-reports are obviously less accurate than assessments based on biological tests. Caution is consequently needed in interpreting the results of studies such as this one, that use self-report to assess physical conditions. The implications of this imperfect assessment were evaluated by replicating analyses only for people being treated for physical disorders. People being treated are more likely to meet full diagnostic criteria and to be more severely disabled than people who complete a self-report and who are not being treated. A remaining bias is that the conditions included in the checklist did not include the infectious diseases that are known to be so burdensome in low- and middle-income countries.

Mental disorders were assessed with version 3.0 of the WHO Composite International Diagnostic Interview (CIDI),<sup>8</sup> a fully structured lay-administered interview that generates research diagnoses of commonly occurring DSM-IV mental disorders.<sup>15</sup> The ten disorders considered here include anxiety disorders (panic disorder, generalised anxiety disorder, specific phobia, social phobia, post-traumatic stress disorder), mood disorders (major depressive disorder or dysthymia, bipolar disorder) and impulse-control disorders (intermittent explosive disorder, adult attention-deficit hyperactivity disorder, oppositional defiant disorder). Only disorders present in the past 12 months are considered. Generally good concordance has been found between CIDI diagnoses of anxiety/mood disorders and masked clinical assessment.<sup>16</sup> Composite International Diagnostic Interview diagnoses of impulse-control disorders have not been validated.

Treatment for physical disorders was assessed by asking respondents whether they had seen a medical doctor or other health professional in the past 12 months for the disorder. For mental disorders, disorder-specific treatment was assessed by asking each respondent whether 'you ever in your life talk(ed) to a medical doctor or other professional about (the disorder)' and, if so, whether 'you receive(d) professional treatment for (the disorder) at any time in the past 12 months'. Treatment of mental disorders was also assessed in a series of more general questions that asked respondents whether they had visited any type of professional in the past 12 months (types of professionals available varied across countries) 'for problems with your emotions, nerves, or your use of alcohol or drugs'. Self-reports about treatment have been shown in previous methodological studies to have generally good concordance with archival health-care utilisation records,<sup>17</sup> although this research has been carried out exclusively in high-income countries.

Disability was assessed with the Sheehan Disability Scales (SDS), a widely used self-report measure of condition-specific disability that, although up until now used only in the assessment of mental disorders, can just as well be used to assess disability caused by physical disorders. The SDS consists of four questions, each asking the respondent to rate on a 0–10 scale the extent to which a particular disorder 'interfered with' activities in one of four role domains during the month in the past year when the disorder was most severe. The four domains are:

- (a) 'your home management, like cleaning, shopping, and taking care of the (house/apartment)' (home);
- (b) 'your ability to work' (work);
- (c) 'your social life' (social);

- (d) 'your ability to form and maintain close relationships with other people' (close relationships).

The 0–10 response options were presented in a visual analogue format with labels for the response options of 'None' (0), 'Mild' (1–3), 'Moderate' (4–6), 'Severe' (7–9) and 'Very severe' (10). A global SDS disability score was also created by assigning each respondent the highest SDS domain score reported across the four domains.

Previous methodological studies have documented good internal consistency reliability across the SDS domains,<sup>18,19</sup> a result that we replicated in the WMH data by finding Cronbach's alpha (a measure of internal consistency reliability) in the range 0.82–0.92 across countries. Importantly, reliability was high both in high-income countries (median 0.86; interquartile range 0.84–0.88) and low- and middle-income countries (median 0.90; interquartile range 0.88–0.90). Previous methodological studies also have documented good discrimination between role functioning of cases and controls based on SDS scores in studies of social phobia,<sup>18</sup> panic disorder,<sup>19</sup> post-traumatic stress disorder<sup>20</sup> and substance misuse.<sup>21</sup> Similar results were found in the WMH surveys based on responses to a question asked after the SDS about days out of role: 'How many days out of 365 in the past year were you totally unable to work or carry out your normal activities because of (the illness)?' We examined the strength of SDS scores predicting variation in this relatively objective measure of disability. If the SDS measures genuine disability, we would expect correlations to be significant and comparable for physical and mental disorders. This is, in fact, what we found. In high-income countries, the multiple correlations of the four SDS domain scores predicting days out of role were 0.55 for mental disorders and 0.50 for physical disorders. The comparable correlations in low- and middle-income countries were 0.39 for mental disorders and 0.36 for physical disorders (online Table DS1).

It is important to recognise that the SDS scales are condition-specific. Respondents were asked to rate the interference to role functioning caused by a particular disorder rather than the interference caused by all their health problems. This focused approach to questioning allows SDS scores to be compared across disorders without adjusting for comorbidity. However, this requires respondents with multiple health problems to sort out the relative effects of their various conditions on their overall functioning. An indication that respondents are able to do this comes from controlled treatment studies that have documented significant improvements in SDS measures of condition-specific role functioning with treatment for generalised anxiety disorder,<sup>22</sup> panic disorder<sup>23</sup> and major depression.<sup>24</sup>

Because they are condition-specific, the SDS scales were administered separately for each of the ten mental disorders considered in this report. In the case of the physical disorders, which were only of secondary interest in the WMH surveys, the SDS scales were administered for only one physical disorder per respondent. This one disorder was selected randomly from among all the physical disorders reported by the respondent as being in existence during the 12 months before interview. This method of selection underrepresents comorbid physical disorders, which may be more severe than the pure (non-comorbid) disorders, as a function of the number of such disorders. In order to correct this bias, a weight was applied to each case equal to the number of physical conditions reported by the respondent.

### Statistical analysis

A separate observational record was created for each 12-month physical disorder for which SDS ratings were obtained (i.e. one for each respondent who reported one or more disorders) as well

as for each 12-month mental disorder reported by each respondent. An otherwise average respondent who met criteria for five 12-month mental disorders and three physical disorders would consequently be represented by six records that had a sum of weights of 8.0: one record for each of the five mental disorders (each with a condition weight of 1.0) and a sixth record for a randomly selected physical disorder (with a condition weight of 3.0).

Standard WMH respondent weights were also applied to each observational record. As noted above, these weights adjusted for differential sampling of respondents in the Part I sample as a function of household size and in the Part II sample as a function of whether or not core disorders were reported in Part I. These weighted records, which are representative of the conditions in the populations, were pooled across samples for comparative analysis. Domain-specific and global SDS means, proportions rated severe or very severe (henceforth referred to as severe) and the standard errors of these estimates were then calculated separately for each condition in each country and in more aggregated form for all high-income and all low- and middle-income countries.

Significance tests were used to test the statistical significance of pair-wise differences in SDS scores across all pairs of conditions. Within-disorder comparisons were also made to determine whether disability ratings differ in low- and middle-income *v.* high-income countries. Between-disorder comparisons were made to determine whether disability ratings are systematically different for physical disorders than mental disorders within countries. All these analyses were then replicated using only the subsample of respondents being treated for physical disorders. Finally, all pair-wise comparisons were repeated on a within-person basis: that is, by comparing SDS scores for specific pairs of conditions for the same individual (e.g. a person who had both depression and cancer who provided separate SDS ratings for these conditions). All these significance tests were adjusted for the clustering and weighting of observations.<sup>25</sup> Significance was consistently evaluated at the 0.05 level with two-sided tests.

## Results

### Self-reported disorder prevalence and treatment

Despite most prevalence estimates of self-reported chronic physical disorders differing significantly between high-income and low- and middle-income countries, the broad pattern of prevalence estimates is quite similar in the two subsamples (Table 1). Chronic back/neck pain, arthritis, chronic headaches and hypertension are estimated to be the four most common disorders in both subsamples. Cancer, diabetes and stomach ulcers are estimated to be among the least common in both subsamples. Five of the ten physical disorders are estimated to be more prevalent in high-income countries and the other five more prevalent in low- and middle-income countries. The percentage of respondents that reported receiving treatment for the disorders that we assessed at the time of interview is generally a good deal higher in high-income than low- and middle-income countries.

The broad rank-ordering of mental disorder prevalence estimates is also fairly similar across subsamples despite the fact that, unlike physical disorders, most mental disorders are estimated to be significantly more prevalent in high-income than in low- and middle-income countries. Specific phobia, depression and social phobia are estimated to be the most prevalent disorders in both subsamples; oppositional defiant disorder and attention-deficit hyperactivity disorder are estimated to be the least common. As with physical disorders, the percentage of respondents

that reported receiving treatment for the disorders that we assessed at the time of interview is consistently higher in high-income than in low- and middle-income countries.

The physical disorders were more likely to be treated than the mental disorders. In high-income countries, 64.9% ( $n=6720$ ) of all physical disorders were treated *v.* 23.7% ( $n=2637$ ) of all mental disorders. In low- and middle-income countries, 53.2% ( $n=2884$ ) of physical disorders *v.* only 7.7% ( $n=319$ ) of mental disorders were being treated. This pattern also holds for severely disabling disorders, with 77.6% ( $n=2172$ ) of severe physical disorders being treated in high-income countries and 64.0% ( $n=763$ ) in low- and middle-income countries compared with 35.3% ( $n=1378$ ) of severe mental disorders in high-income countries and 11.9% ( $n=145$ ) in low- and middle-income countries. It is noteworthy that these results show the mental-physical treatment gap to be considerably higher in low- and middle-income countries than in high-income countries.

### Individual-level disability

The physical disorders with the highest mean SDS global disability ratings in both subsamples are chronic pain disorders, although between-disorder variation in disability ratings is much greater in high-income than low- and middle-income countries (Table 2). Three physical disorders have significantly higher mean SDS global disability ratings in high-income countries (back/neck pain, headaches, other chronic pain disorders). Three others have significantly higher ratings in low- and middle-income countries (asthma, diabetes, hypertension). A similar pattern of relative disability is found for the proportion of participants rated 'severely' disabled in the total sample as well as among those being treated (online Table DS1).

The mental disorders with the highest mean SDS global disability ratings in both subsamples are bipolar disorder and depression. The lowest ratings are for specific phobia. Four mental disorders (bipolar disorder, depression, generalised anxiety disorder, post-traumatic stress disorder) have significantly higher mean global disability ratings in high-income countries. None has a significantly higher rating in low- and middle-income countries. A similar pattern of relative disability is found for the proportion of participants rated 'severely' disabled in the total sample as well as among those being treated (online Table DS1).

The SDS disability ratings for mental disorders are generally higher than for physical disorders. This is true, using Mann-Whitney tests, both for mean disability ratings (low- and middle-income  $z=3.0$ ,  $P=0.002$ ; high-income  $z=3.0$ ,  $P=0.002$ ) and proportions rated severely disabled (low- and middle-income  $z=2.5$ ,  $P=0.011$ ; high-income  $z=2.7$ ,  $P=0.007$ ). Of the 100 logically possible pair-wise disorder-specific mental/physical comparisons, mean ratings are higher for the mental disorder in 91 comparisons in high-income countries and 91 in low- and middle-income countries. Nearly all of these higher mental than physical ratings are statistically significant at the 0.05 level. Comparable results are obtained for severe disability ratings and also for both mean and severe disability ratings when we control for respondent age, gender and education, and when we focus exclusively on the subsamples of participants being treated. (Results available from the author on request.)

Consistently higher mental than physical disability ratings can also be found in both high-income and low- and middle-income countries when individual SDS domains are considered instead of global ratings (Table 3). These differences are much more pronounced for disability in social life and personal relationships than in work or household management. For example, the proportions with severe disability in work functioning associated with mental

**Table 1** 12-month prevalence of disorders and treatment in high-income and low- and middle-income World Mental Health countries

	Disorder prevalence				Treatment prevalence among participants			
	High-income		Low- and middle-income		High-income		Low- and middle-income	
	<i>n</i> <sup>a</sup>	% (s.e.)	<i>n</i> <sup>a</sup>	% (s.e.)	<i>n</i> <sup>b</sup>	% (s.e.)	<i>n</i> <sup>b</sup>	% (s.e.)
<b>Physical disorders</b>								
Arthritis	4434	18.1 (0.4)*	1627	10.0 (0.3)	1127	50.9 (1.8)	229	46.6 (4.1)
Asthma	2524	10.0 (0.3)*	542	3.5 (0.2)	494	51.0 (3.7)	122	61.4 (5.4)
Back/neck pain	5150	19.3 (0.4)*	3375	22.0 (0.5)	1632	64.8 (1.6)*	54	43.7 (2.3)
Cancer	903	4.0 (0.2)*	112	0.6 (0.1)	165	51.8 (5.2)	26	59.6 (10.2)
Chronic pain	1791	6.0 (0.2)*	1240	8.0 (0.3)	472	71.5 (3.2)*	217	52.4 (4.4)
Diabetes	1108	4.6 (0.2)	564	3.9 (0.2)	373	94.4 (1.2)*	168	76.6 (5.7)
Headaches	3363	10.9 (0.3)*	3260	20.8 (0.6)	833	49.7 (1.8)	677	49.7 (2.2)
Heart disease	1168	4.7 (0.2)*	1063	5.9 (0.2)	310	77.7 (2.9)*	171	50.9 (5.3)
High blood pressure	3382	14.0 (0.4)*	2033	13.1 (0.4)	1194	90.2 (1.4)*	553	69.8 (2.7)
Stomach ulcer	529	1.9 (0.1)*	786	5.2 (0.3)	120	67.7 (5.4)	173	60.6 (4.8)
<b>Mental disorders</b>								
ADHD	249	0.7 (0.1)*	59	0.2 (0.0)	81	29.9 (3.7)*	9	12.8 (4.2)
Bipolar disorder	612	1.4 (0.1)*	174	0.7 (0.1)	165	29.1 (2.0)*	23	13.4 (3.4)
Depression	2509	5.7 (0.2)	1360	5.2 (0.2)	737	29.3 (1.1)*	107	8.1 (1.1)
GAD	1064	2.4 (0.1)*	360	1.4 (0.1)	327	31.6 (1.8)*	22	7.2 (1.9)
IED	391	1.1 (0.1)	357	1.8 (0.1)	71	16.7 (2.2)*	25	5.2 (1.1)
ODD	76	0.2 (0.0)	34	0.2 (0.0)	24	33.4 (7.5)	2	13.5 (10.8)
Panic disorder	685	1.6 (0.1)*	211	0.7 (0.1)	212	33.1 (2.2)*	24	9.4 (2.4)
PTSD	962	2.3 (0.1)*	211	0.9 (0.1)	284	29.5 (1.9)*	11	8.1 (3.2)
Social phobia	1621	4.1 (0.1)*	419	1.9 (0.1)	342	20.8 (1.1)*	37	9.3 (2.0)
Specific phobia	2643	6.9 (0.2)*	829	3.4 (0.2)	394	13.2 (0.8)*	59	5.5 (0.9)

ADHD, attention-deficit hyperactivity disorder; GAD, generalised anxiety disorder; IED, intermittent explosive disorder; ODD, oppositional defiant disorder; PTSD, post-traumatic stress disorder.  
a. Number of respondents with the disorder.  
b. Number of participants receiving treatment.  
\* $P < 0.05$  v. low- and middle-income,  $\chi^2$ -test.

disorders in low- and middle-income and high-income countries (19.4–21.7%,  $n=673$ –2135) are only slightly higher than the proportions associated with physical disorders (17.9–18.1%,  $n=874$ –2028). The proportions with severe disability in social functioning associated with mental disorders (21.8–28.0%,  $n=775$ –2758), in comparison, are dramatically higher than those associated with physical disorders (10.3–8.9%,  $n=513$ –1168). Similar patterns of mental/physical differences are found when we compare mean disability ratings rather than the proportions rated as severe and when we compare both means and proportions rated as severe among people being treated (see online Table DS1). In addition, an attenuated version of the same general pattern holds when we compare people being treated for physical disorders to all (i.e. being treated or not) mental disorders to address the concern that the more superficial assessment of physical than mental disorders might have resulted in the inclusion of a sub-threshold of individuals with physical disorders who might have low disability (online Table DS1).

## Discussion

Four key findings emerged from the analyses. First, respondents generally attributed more disability to their mental rather than physical disorder. Second, the higher disability of mental compared with physical disorders held as strongly in low- and middle-income countries as in high-income countries. Third, the higher aggregate disability of mental than physical disorder was much more pronounced for disability in social and personal relationships than in productive (work and housework) roles. Fourth, the proportion of participants receiving treatment at the time of interview was much lower for mental than physical disorders in high-income countries and even more so in low- and middle-income countries both in the total sample and when we focused exclusively on participants rated as having a severely

disabling disorder. These findings substantially extend the results of previous studies, none of which documented comparability in the disabilities associated with such a varied set of physical and mental disorders, or disaggregated disability into the domains considered here to detect the greater relative impact of mental v. physical disorders in social/personal domains compared with productive role domains.<sup>2,4–7</sup>

These results are limited by a number of sampling and measurement problems. With regard to sampling, results could be influenced by a truncation of the severity spectrum of physical disorders. For example, persons facing the end stage of a chronic physical disease might be institutionalised or not willing or able to participate in an interview to a greater extent than people with severe mental disorders, leading to under-estimation of the relative disability of physical compared with mental disorders. Whether such a difference in sample bias actually exists, though, is unknown.

## Limitations

There were a number of measurement problems in the analysis. One is that the physical conditions checklist did not include the infectious diseases that play such an important part in morbidity in low- and middle-income countries. Our results consequently can be generalised only to chronic cardiovascular, digestive, metabolic, musculoskeletal, pain and respiratory conditions. However, the conditions considered are important sources of morbidity even in low- and middle-income countries and the results are consequently relevant to those countries despite the exclusion of infectious diseases.

Another measurement problem is that the physical disorders were assessed by a simple self-report rather than by abstracting medical records or administering medical examinations. Mental disorders were assessed more comprehensively with a fully



**Table 2** Disorder-specific global Sheehan Disability Scale ratings in high-income and low- and middle-income World Mental Health countries

	Mean disability ratings				Proportion rated as severely disabled			
	High-income		Low- and middle-income		High-income		Low- and middle-income	
	<i>n</i> <sup>a</sup>	Mean (s.e.)	<i>n</i> <sup>a</sup>	Mean (s.e.)	<i>n</i> <sup>b</sup>	% (s.e.)	<i>n</i> <sup>b</sup>	% (s.e.)
<b>Physical disorders</b>								
Arthritis	2140	3.5 (0.1)	580	3.8 (0.2)	526	23.3 (1.5)	127	22.8 (3.0)
Asthma	1040	1.9 (0.2)*	228	3.7 (0.4)	119	8.2 (1.4)*	44	26.9 (5.4)
Back/neck pain	2602	4.8 (0.1)*	1379	3.9 (0.1)	912	34.6 (1.5)*	305	22.7 (1.8)
Cancer	285	2.0 (0.3)	42	3.5 (0.7)	60	16.6 (3.2)	8	23.9 (10.3)
Chronic pain	685	5.2 (0.2)*	418	3.8 (0.3)	296	40.9 (3.6)*	109	24.8 (3.8)
Diabetes	408	2.1 (0.4)*	215	3.5 (0.5)	49	13.6 (3.4)	39	23.7 (6.1)
Headaches	1709	5.4 (0.1)*	1440	4.3 (0.2)	751	42.1 (1.9)*	401	28.1 (2.1)
Heart disease	396	3.3 (0.3)	319	3.8 (0.4)	83	26.5 (3.9)	63	27.8 (5.2)
High blood pressure	1365	1.2 (0.1)*	797	3.5 (0.2)	91	5.3 (0.9)*	144	23.8 (2.6)
Stomach ulcer	170	2.9 (0.4)	312	3.3 (0.4)	31	15.3 (3.9)	59	18.3 (3.6)
<b>Mental disorders</b>								
ADHD	228	5.4 (0.2)	45	5.1 (0.5)	87	37.6 (3.6)	14	24.3 (7.4)
Bipolar disorder	588	7.4 (0.1)*	158	6.4 (0.3)	419	68.3 (2.6)*	87	52.1 (4.9)
Depression	1536	7.1 (0.1)*	1241	6.3 (0.1)	1028	65.8 (1.6)*	622	52.0 (1.8)
GAD	1002	6.6 (0.1)*	328	5.5 (0.3)	576	56.3 (1.9)*	127	42.0 (4.2)
IED	387	4.9 (0.2)	345	4.4 (0.3)	136	36.3 (2.8)	106	27.8 (3.6)
ODD	67	5.3 (0.5)	32	5.4 (0.6)	29	34.2 (6.0)	12	41.3 (10.3)
Panic disorder	641	5.8 (0.2)	189	5.2 (0.4)	317	48.4 (2.6)*	67	38.8 (4.7)
PTSD	571	6.5 (0.2)*	112	5.6 (0.4)	329	54.8 (2.8)*	53	41.2 (7.3)
Social phobia	1621	5.0 (0.1)	419	5.4 (0.2)	593	35.1 (1.4)	164	41.4 (3.6)
Specific phobia	2643	3.4 (0.1)	829	3.3 (0.1)	537	18.6 (1.1)	144	16.2 (1.6)

ADHD, attention-deficit hyperactivity disorder; GAD, generalised anxiety disorder; IED, intermittent explosive disorder; ODD, oppositional defiant disorder; PTSD, post-traumatic stress disorder.

a. Number of respondents with valid Sheehan scores for the randomly selected physical disorder or the mental disorder. Note that the numbers for physical disorder are substantially lower than those in Table 1 because the prevalence estimates in Table 1 were based on all respondents who reported the disorder whereas the Sheehan scores were obtained only for the subsample of randomly selected physical disorders. The numbers for mental disorders in this table are slightly lower than those in Table 1 because participants with missing values on Sheehan scores were omitted from this table but not Table 1. Skip errors in the Western European surveys led to the number of cases with missing Sheehan scores being higher than would normally be expected based on *t*, respondent refusals and interviewer recording errors.

b. Number of participants rated as having a severely disabling disorder.

\**P* < 0.05 v. low- and middle-income,  $\chi^2$ -test.

structured lay-administered diagnostic interview. The more superficial assessment of physical disorders might have led to the inclusion of more individuals with sub-threshold physical disorders than those with sub-threshold mental disorders, introducing an artificial lowering of the estimated disability of physical disorders, although we addressed this in our analysis of treated physical conditions. It might also have led to artificial overlap between the assessments of mental and physical disorders to the extent that core symptoms of some physical conditions (e.g. headache, unexplained chronic pain) are markers of underlying mental disorders, although this would have attenuated physical/mental differences by increasing overlap between the two classes of disorders. In addition, the use of a self-report checklist almost certainly led to an underestimation of undiagnosed silent physical conditions. As the latter are likely to be less disabling than symptom-based conditions or diagnosed silent conditions, this bias presumably led to an artificial increase in the estimated disability of physical disorders.

Some of the WMH physical disorder prevalence estimates are lower than those in gold-standard assessments. For example, the population prevalence of diabetes has been assessed in a number of community surveys using glucose tolerance tests on blood samples.<sup>26</sup> A meta-analysis of these studies suggests that the prevalence of diabetes is highest in North America (9.2%) and Europe (8.4%), lower in India and most of Latin America (5–8%), and lowest in most of Africa and China (2–5%).<sup>27</sup> The WMH prevalence estimates, 4.6% in high-income countries and 3.9% in low- and middle-income countries, are lower than these gold-standard estimates, presumably reflecting the fact that the latter include undiagnosed cases.

In other instances the WMH prevalence estimates are higher than those in gold-standard assessments. For example, cancer prevalence data have been assembled from various administrative databases and registries in a number of countries.<sup>28</sup> Meta-analysis of these data suggest that cancer is more common in high-income than low- and middle-income countries, with the highest prevalence in North American (1.5% of the population aged 15 and older diagnosed within the past 5 years), followed by Western Europe (1.2%), Australia and New Zealand (1.1%), Japan (1.0%), Eastern Europe (0.7%), Latin America and the Caribbean (0.4%), with a much lower estimated prevalence in the rest of the world (0.2%). The much higher cancer prevalence estimates in the WMH data, 4.0% in high-income countries and 0.6% in low- and middle-income countries, presumably reflect the fact that cancer survivors who were diagnosed and treated more than 5 years ago, although not counted in cancer prevalence estimates because they have the same survival rates as the general population, often consider themselves still to have cancer and report this in community surveys.

Based on comparisons such as these with gold-standard assessments, caution is needed in interpreting the WMH prevalence estimates of physical disorders. However, the fact that the same general pattern of higher disability among mental disorders compared with physical disorders held in comparisons of individuals treated for physical disorders argues strongly that the finding of higher SDS disability associated with mental than with physical disorders is not due to imprecision in the measurement of physical disorders.

Another measurement problem involves the fact that disability was assessed with brief self-report scales rather than clinical evaluations. This might have introduced upward bias in the reported

**Table 3** Sheehan Disability Scale global and domain-specific ratings (proportion rated severely disabled) aggregated across physical (total and treated) and mental (total) disorders in high-income and low- and middle-income World Mental Health countries

	Physical disorders		Treated physical disorders		Mental disorders	
	<i>n</i> <sup>a</sup>	% (s.e.)	<i>n</i> <sup>a</sup>	% (s.e.)	<i>n</i> <sup>a</sup>	% (s.e.)
Global						
High-income	2918	23.8 (0.7) <sup>‡</sup>	2172	28.6 (1.0) <sup>†</sup>	4051	41.3 (0.8)*
Low- and middle-income	1299	24.5 (1.2) <sup>‡</sup>	735	29.4 (1.6) <sup>‡</sup>	1396	37.6 (1.3)
Work						
High-income	2028	18.1 (0.7)	1546	22.4 (1.0) <sup>†</sup>	2135	21.7 (0.7)*
Low- and middle-income	874	17.9 (1.0)	517	21.7 (1.4)	673	19.4 (0.9)
Home						
High-income	2146	17.8 (0.6)	1608	21.3 (0.9)* <sup>†</sup>	2011	19.9 (0.7)
Low- and middle-income	881	16.7 (1.0) <sup>‡</sup>	517	19.8 (1.4)	795	20.5 (1.0) <sup>†</sup>
Social						
High-income	1168	0.9 (0.4) <sup>‡</sup>	887	10.7 (0.6) <sup>†</sup>	2758	28.0 (0.8)*
Low- and middle-income	513	10.3 (0.7) <sup>†</sup>	324	13.7 (1.1) <sup>†</sup>	775	21.8 (1.0)
Close relationships						
High-income	850	6.5 (0.4) <sup>†</sup>	630	7.8 (0.6) <sup>†</sup>	2375	24.3 (0.7)*
Low- and middle-income	495	9.0 (0.7) <sup>†</sup>	305	11.7 (1.0) <sup>†</sup>	785	21.3 (1.1)

a. Number of participants rated as having a severely disabling disorder.

\* $P < 0.05$  v. low- and middle-income countries,  $\chi^2$ -test. <sup>†</sup> $P < 0.05$  v. mental disorders,  $\chi^2$ -test.

disability caused by mental disorders compared with physical disorders to the extent that people with mental disorders gave overly pessimistic appraisals of their functioning. This would seem to be an unlikely interpretation, though, in that the associations of SDS ratings with reported numbers of days out of role – a more objective indicator of disability than the SDS ratings – were found to be equivalent for mental and physical disorders. Furthermore, within-person comparison, which controlled for individual differences in perceptions, found similar results.

Another possibility is that the SDS questions might have been biased in the direction of assessing the disabilities associated with mental more than physical disorders. This would seem unlikely, though, as the SDS questions are quite broad and cover all the main areas of adult role functioning. Another possible limitation is that the SDS focused on the 'worst month' in the past year, introducing recall error that possibly was more extreme for physical disorders than mental disorders. In addition, between-disorder differences in persistence were not taken into consideration, which means that particular disorders might have been more dominant in severity ratings than suggested here if they were more persistently severe than others. The aggregate disability estimates should be interpreted cautiously because of these limitations regarding the recall period.

A final measurement problem concerning the assessment of disability relates to our use of a condition-specific measurement approach. This is an attractive approach from a statistical perspective, compared with an unconditional measurement approach (i.e. an approach that simply assesses overall disability without asking the respondent to make inferences about the conditions that caused the disability), because it produces condition-specific estimates directly, avoiding the need to rely on multivariate equations that adjust for the effects of comorbidity in predicting overall disability. However, this advantage in analytic simplicity is achieved by requiring respondents with comorbid conditions to perform the difficult task of making judgements about the effects of individual conditions on their functioning. Because of likely imprecision in these assessments, it would be useful to replicate the results reported here in multivariate analyses that evaluate the separate and joint effects of comorbid conditions in predicting an unconditional measure of disability. Unfortunately, the statistical methods needed to estimate models of this sort are very complex,<sup>29</sup> making it difficult to carry out such analyses.

### Burden of illness and likelihood of treatment

Within the context of these limitations, the results reported here are consistent with previous comparative burden-of-illness studies in suggesting that musculoskeletal disorders and major depression are the disorders with the largest contribution to disability at the individual level both in high-income and in low- and middle-income countries. Previous studies have documented this pattern only for the USA,<sup>30–32</sup> although the importance of depression has also been documented throughout the world in the World Health Surveys.<sup>7</sup> The current report replicates the World Health Surveys results regarding depression and documents for the first time the cross-national importance of musculoskeletal disorders. As noted above, the WMH results also suggest that mental disorders are especially disabling to personal relationships and social life, which implies that they are disabling more because they create psychological barriers rather than physical barriers to functioning. Among these barriers are limitations in cognitive and motivational capacities, affect regulation, embarrassment and stigma,<sup>33</sup> and a tendency to amplify physical symptoms<sup>34</sup> and associated disability.<sup>35</sup>

Given this greater disability of mental than physical disorders, it is disturbing to find that only a minority of people with severe mental disorders receive treatment and that treatment is substantially more common for comparably severe physical disorders. In high-income countries, seriously disabling mental disorders are only about half as likely to be treated as seriously disabling physical disorders (35.3% v. 77.6%), and only about 20% as likely to be treated compared with severe physical disorders in low- and middle-income countries (11.9% v. 64.0%). This low treatment rate is consistent with the low rate of recognition and treatment of mental disorders in primary care, especially if comorbid with physical disorders.<sup>36,37</sup> Combined with the burden of disability that mental disorders produce, the low treatment rates call for more attention to mental disorders.

Implications of the WMH findings for treatment are not clear because, even though treatment effectiveness trials document that common anxiety and mood disorders can often be successfully treated,<sup>38,39</sup> uncertainties exist regarding long-term outcomes. Another limitation of existing trials is that they focused on symptoms and did little to assess the effects of treatment on reducing disability.<sup>38,39</sup> In particular, long-term functional outcomes are important to track because residual disability and

recurrence of disability are major problems with chronic mental disorders.<sup>40</sup> Despite this uncertainty about long-term outcomes, the results reported here argue strongly that, on the basis of population disease burden associated with disorder-specific disability, more attention should be given to the treatment of mental disorders and that this is especially so in low- and middle-income countries.

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大規模災害や犯罪被害等による精神科疾患の実態把握と介入手法の開発に関する研究

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