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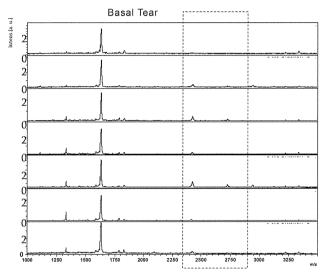
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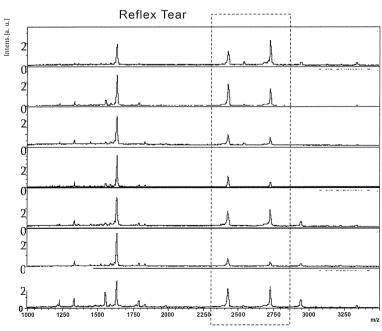
Research Article

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We next examined the profiles of proteins smaller than 3.5 kDa obtained from seven representative samples each of basal and reflex tear fluid (Fig.1D). For this purpose, the selected peak must have sufficient intensity to generate a valuable MS/MS fragment spectrum, and a spectrum is acquired in the high-resolution reflectron mode to determine the exact mass of the molecule of interest. Although it is clear from visual inspection (Fig.1D), the two peaks in the spectra obtained from the reflex tears seemed to be the key protein / peptides peaks contributing the most towards the group selection by PCA loading plots as well (data not shown). Subsequently, the TOF/TOF fragment spectrum is acquired from the same sample spot and used for de-novo

sequencing or database search. Before the analysis, the tear fluids were concentrated using a ZipTip (Millipore). In the MALDI-TOF/TOF mode, precursor ions were accelerated to 8 kV and selected in a timed ion gate. The fragments were further accelerated by 19 kV in the LIFT cell and their masses were analyzed after the ion reflector passage. S/MS spectra were searched against the human NCBI database using the *MASCOT* search algorithm (http://www.matrixscience.com/home.html), with a mass tolerance of 0.2Da for MS and 0.75 for MS/MS. No enzyme was selected and methionine oxidation and acetylation of the N terminus were used as variable modifications





**Figure 1:** (D) ClinProt profiles of basal and reflex tear fluids eluted from WCX beads in the mass range 1000-3500 Da m/z (n=7). In the reflex tear fluid samples, the height of two peaks increased markedly (m/z 2422 and 2721) (inside the dotted squares).

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The Mascot probability score ore for the peak with m/z =2,422 was 66, indicating a reasonably high confidence in identifying the peptide sequence. The sequence was determined to be QEASSFFRRDRPARHPQEQP, which matched the C-terminal fragment of proline-rich protein 4 (aa. 113-132) (locus number AAB26584) (Supplementary data A) (Fung, 2004). A complete MS/MS spectrum of the peak with m/z =2,721 was not obtained; however, the peptide sequence was determined to be RRDRPARH ~W, which partially matched the C-terminal fragment of proline-rich protein 4 (aa.120-134) in the NCBI BLAST protein-protein database (locus number AAB26584) (Supplementary data B).

We also analyzed the protease-digested HPLC fractions of our samples by 15 ESI-LC/MS/MS (esquire HCT; Bruker Daltonics). Not surprisingly, several well-known abundant tear proteins, such as lysozyme, lacritin, lipocalin, and secretoglobin, were detected, and a total of proteins, including PRP4, were identified in the reflex tear fluids. In this study, lactoferrin was not among the abundant proteins detected in tear fluids (Kijlstra, 1989).

Proline-rich proteins (PRPs) are believed to play a significant role in the oral mucosal defense system, in which they affect the aggregation of microorganisms, thereby decreasing the organisms' capacity to colonize tissue surfaces (Fung, 2004; de Souza, 2006). In addition, bacterial proteases are known to clip the N-5 -terminus of PRPs, releasing two peptides that have cytokine-like properties, by which they up-regulate the host defense against potential pathogens. PRP4 is expressed in the lacrimal acinar cells and other anterior exocrine glands (Dickinson, 1995). Since the reflex tear fluids were collected soon after the nasopharyngeal scrub, the PRP4 detected in the reflex tear fluids may have been stored in the acinar cells and released quickly after the stimulation. In addition to PRPs, lysozyme is reported to mediate protective functions in the eye (Kijlstra, 1989; Zhou, 2006; Li, 2005; Fung, 2004; de Souza, 2006). Lysozyme serves as a non-specific innate opsonin by binding to the bacterial surface, reducing the negative charge, and facilitating phagocytosis of the bacterium before opsonins from the acquired immune system arrive at the scene. In contrast to PRP4, the peak height of lysozyme showed no remarkable difference between the basal and reflex tear fluids. Thus, it is possible that PRP4 is the first molecule that rapidly confronts foreign antigens at the ocular surface.

In conclusion, the key finding of this study is the up-regulation of a C-terminus of PRP4 in the reflex tear fluids from normal healthy subjects. Accordingly, the magnetic bead

separation and MALDI-TOF analysis in combination with bioinformatics software is useful for the high-throughput protein profiling of tear fluids. This is the first study demonstrating the usefulness of the *ClinProt beads system* for r this purpose. This simple and easy approach may be applicable to the discovery of biomarkers in ocular diseases as well.

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# Isovariant maps from free $C_n$ -manifolds to representation spheres $^{\Leftrightarrow}$

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Dedicated to Professor Kazuhiko Fukui on his sixtieth birthday

#### **Abstract**

The isovariant version of Borsuk–Ulam type theorems has been studied by Wasserman and the first author. In this paper, first we consider the relation between the existence of  $C_n$ -isovariant maps from free  $C_n$ -manifolds to representation spheres and Borsuk–Ulam type inequalities for their dimensions. Our main result classifies the  $C_n$ -isovariant maps by  $C_n$ -isovariant homotopy types when a Borsuk–Ulam type inequality holds. For proving it, we use the multidegree of a  $C_n$ -equivariant map developed by the first author

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### 1. Introduction

Throughout this paper, all maps are understood to be continuous. Borsuk-Ulam theorem says that if there is a continuous map between spheres  $f: S^m \to S^n$  such that f(-x) = -f(x) for all  $x \in S^m$ , then  $m \le n$  holds [1]. From a viewpoint of the theory of transformation groups, this theorem is read as follows.

**Proposition 1.1.** Let  $C_2$  be a cyclic group of order 2. Assume that  $C_2$  acts on both  $S^m$  and  $S^n$  antipodally. If there exists a continuous  $C_2$ -map  $f: S^m \to S^n$ , then  $m \le n$  holds.

In the theory of equivariant topology, Borsuk–Ulam type theorems are one of the most basic theorems, since they state whether a G-equivariant map exists or not between given G-spaces. Recently one of such Borsuk–Ulam type results played an important role in a partial solution of the 11/8-conjecture [6]. Moreover, it has been generalized in various directions and applied in several areas of mathematics, for example, combinatorics [9], nonlinear analysis [13,14], and so on.

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Let G be a group. Suppose X and Y are G-spaces. A G-equivariant map  $\varphi: X \to Y$  is called a G-isovariant map, if it preserves the isotropy groups, that is,  $G_X = G_{\varphi(X)}$  holds for all  $X \in X$ . We note that if  $\varphi: X \to Y$  is G-equivariant, it always holds that  $G_X \subset G_{\varphi(X)}$  for each  $X \in X$ . For G-homotopy equivalent G-isovariant maps  $\varphi, \psi: X \to Y$ , let  $F: X \times [0,1] \to Y$  be a G-homotopy from  $\varphi$  to  $\psi$ . If F is a G-isovariant map, it is called a G-isovariant homotopy from  $\varphi$  to  $\psi$ , and it is said that  $\varphi$  and  $\psi$  are G-isovariantly homotopic. We denote by  $[X,Y]_G^{\text{isov}}$  the G-isovariant homotopy classes of all G-isovariant maps from X to Y. Various theories and results in the isovariant setting have been obtained by several authors. For example, isovariant surgery theory on a stratified set [2,4], isovariant homotopy theory [5], the isovariant S-cobordism theorem [2,8], and so on. The following isovariant Borsuk–Ulam theorem was proved by Wasserman [15].

**Proposition 1.2** (Isovariant Borsuk–Ulam theorem). Let G be a finite solvable group, and let V and W be G-representations. If there exists a G-isovariant map  $f: V \to W$ , then the following inequality holds:

$$\dim V - \dim V^G \leq \dim W - \dim W^G$$
,

where  $W^G$  is the G-fixed point set.

Various results concerning the relations between Borsuk–Ulam type inequalities and the existence of isovariant maps have been also studied by the first author [10–12]. In this paper, we begin by considering similar problem in the following situation: G is a finite group, the source space is a mod |G|-homology sphere on which G acts freely, where a mod |G|-homology sphere means a closed manifold whose homology groups are isomorphic to those of a sphere with  $\mathbb{Z}/|G|$  coefficients. The target space is a unitary representation sphere; namely, the unit sphere of a unitary representation of G. Our first result is:

**Theorem A.** Let G be a finite group, and M an m-dimensional mod |G|-homology sphere on which G acts freely. Let W be a unitary representation of G, and SW its G-representation sphere. If there exists a G-isovariant map  $f: M \to SW$ , then for any subgroup  $H(\neq \{e\})$  of G, the inequality

$$\dim M + 1 \le \dim SW - \dim SW^H$$

holds, where if  $SW^H = \emptyset$ , we put dim  $SW^H = -1$ .

For a given *G*-action on *SW*, the *singular set SW*<sup>>1</sup> is a subspace of *SW* defined by  $SW^{>1} = \bigcup_{\{1\} \neq H \leqslant G} SW^H$ . We obtain the following corollary immediately.

**Corollary B.** Let G be a finite group, and M an m-dimensional mod |G|-homology sphere on which G acts freely. Let W be a unitary representation of G, and SW its G-representation sphere. If there exists a G-isovariant map  $f: M \to SW$ , then the inequality

$$\dim M + 1 \le \dim SW - \dim SW^{>1} \tag{1}$$

holds, where if  $SW^{>1} = \emptyset$ , we put dim  $SW^{>1} = -1$ .

We next consider the converse of Corollary B when G is finite cyclic. Let  $C_n$  denote a cyclic group of order n. Our second result is concerning the existence of  $C_n$ -isovariant maps under the inequality (1).

**Theorem C.** Let M be an m-dimensional arcwise connected orientable closed  $C^{\infty}$ -manifold with an orientation preserving free  $C_n$ -action, and W a faithful unitary representation space of  $C_n$ . If the inequality

$$\dim M + 1 \le \dim SW - \dim SW^{>1} \tag{1}$$

holds, there exists a  $C_n$ -isovariant map  $f: M \to SW$ .

Under the assumption of Theorem C, we will discuss the classification problem of  $C_n$ -isovariant maps  $f: M \to SW$  by  $C_n$ -isovariant homotopy types; in particular, we investigate the structure of  $[M, SW]_{C_n}^{\text{isov}}$  when the  $C_n$ -action on SW

is not free. We remark that if the action is free,  $[M, SW]_{C_n}^{\text{isov}} = [M, SW]_{C_n}$  holds. We will prove that  $[M, SW]_{C_n}^{\text{isov}}$  consists of exactly one element under the inequality  $\dim M + 1 < \dim SW - \dim SW^{>1}$  by using equivariant obstruction theory.

On the other hand, if  $\dim M + 1 = \dim SW - \dim SW^{>1}$ , various types of  $C_n$ -isovariant maps from M to SW exist. In fact, set

$$\mathcal{A} = \{ H \in \operatorname{Iso}(SW) \mid \dim SW^H = \dim SW^{>1} \},\$$

then Corollary E says that there is a one to one correspondence between  $[M,SW]_{C_n}^{\text{isov}}$  and  $\bigoplus_{H\in\mathcal{A}}\mathbb{Z}$ . To construct this correspondence in Section 5, we will define the multidegree mDeg f which takes the value in  $\bigoplus_{H\in\mathcal{A}}\mathbb{Z}$  for every  $C_n$ -map  $f:M\to SW_{\text{free}}$ , where  $SW_{\text{free}}=SW\setminus SW^{>1}$ . Theorem D in Section 5 is a Hopf-type theorem for the multidegrees of the  $C_n$ -maps and the key result for the classification problem of G-isovariant maps. Incidentally, the concept of a multidegree was originally introduced by the first author for showing the existence of the  $S^1$ -isovariant maps from a rational homology sphere to a representation sphere [11].

This paper is organized as follows. In Section 2, we prove Theorem A and Corollary B. In Section 3, we give a quick review from the equivariant obstruction theory in our setting which is used for proving Theorems C and D. Section 4 presents a proof of Theorem C. Section 5 is devoted to the explanation of our main results. After defining the multidegree of a  $C_n$ -map from M to  $SW_{free}$ , we state Theorem D which is a Hopf-type theorem for the multidegrees and Corollary E which is the solution of our classification problem, while their proofs are given in Section 6. In the last section, we illustrate a couple of examples.

#### 2. Borsuk-Ulam type inequalities

In this section, we prove Theorem A. Throughout this section, G is a finite group, W is a unitary representation space of G, and M is a  $C^{\infty}G$ -manifold which satisfies the conditions given in the statement of Theorem A. For a subgroup H of G, let  $(W^H)^{\perp}$  denote the orthogonal complement of  $W^H$  in W with respect to the Hermitian product. We note that  $(W^H)^{\perp}$  is also a representation space of H.

**Lemma 2.1.** Let p be a prime factor of |G|. If there exists a G-isovariant map  $f: M \to SW$ , there exists a  $C_p$ -equivariant map  $f_p: M \to S((W^{C_p})^{\perp})$ .

**Proof.** Since  $f: M \to SW$  is  $C_p$ -isovariant and the action of  $C_p$  on M is free, it follows that  $f(M) \subset SW \setminus SW^{C_p}$ . The action of  $C_p$  on  $S((W^{C_p})^{\perp})$  is free, and there exists a  $C_p$ -homotopy equivalence between  $SW \setminus SW^{C_p}$  and  $S((W^{C_p})^{\perp})$  given by the composition

$$SW \setminus SW^{C_p} \simeq_{C_p} W \setminus W^{C_p} = ((W^{C_p})^{\perp} \setminus \{0\}) \times W^{C_p} \simeq_{C_p} (W^{C_p})^{\perp} \setminus \{0\} \simeq_{C_p} S((W^{C_p})^{\perp}).$$

Hence, we can construct a  $C_p$ -equivariant map  $f_p: M \to S((W^{C_p})^{\perp})$ .  $\square$ 

**Proof of Theorem A.** By the homological assumption on M, it holds that  $H_*(M; \mathbb{Z}/p) \cong H_*(S^m; \mathbb{Z}/p)$  for any prime factor p of |G|. By applying the  $C_p$ -Borsuk–Ulam theorem [7] to the  $C_p$ -map  $f_p: M \to SW^{C_p}$  constructed in Lemma 2.1, we see that

$$\dim M \leq \dim S((W^{C_p})^{\perp}) = \dim SW - \dim SW^{C_p} - 1.$$

Hence for any subgroup  $H \neq \{e\}$ , it holds that

$$\dim M + 1 \le \dim SW - \dim SW^H$$
.

Since dim  $SW^{>1} = \max_{\{e\} \neq H \leq G} \dim SW^H$ , Corollary B is an immediate consequence of Theorem A.

### 3. Equivariant obstruction theory

For the convenience of the readers, we give a quick review of the equivariant obstruction theory in our setting. This theory is the main tool for proving Theorems C and D. The notations and propositions in this section are based on tom Dieck's book [3].

Let G be a finite group. We first introduce suitable equivariant cohomology groups in our setting. Let (X, A) be a relative G-CW complex such that G acts freely on  $X \setminus A$ , namely the n-skeleton  $X_n$  is obtained from  $X_{n-1}$  by attaching free n-cells. The filtration  $(X_n \mid n \in \mathbb{Z})$  leads to a cellular chain complex  $C_*(X, A)$ 

$$\cdots \to H_{n+1}(X_{n+1}, X_n) \xrightarrow{d} H_n(X_n, X_{n-1}) \to \cdots,$$

where homology groups are singular homology groups with coefficients in  $\mathbb{Z}$  and d is the boundary homomorphism in the homology exact sequence for the triple  $\{(X_{n+1}, X_n, X_{n-1})\}$ .

For any integer  $n \in \mathbb{Z}$ , the G-action on  $X_n$  induces a G-action on  $H_n(X_n, X_{n-1})$ . Then,  $H_n(X_n, X_{n-1})$  is a left  $\mathbb{Z}G$ -module and  $C_*(X, A)$  becomes a chain complex of such modules. For any left  $\mathbb{Z}G$ -module  $\pi$ , the cochain complex

$$C_G^*(X, A; \pi) := \operatorname{Hom}_{\mathbb{Z}G}(C_*(X, A); \pi)$$

yields equivariant cohomology groups denoted by  $\mathfrak{H}_G^*(X,A;\pi)$ . If a left  $\mathbb{Z}G$ -module  $\pi$  is interpreted as a local coefficient system  $\{\pi\}$  on  $X/G\setminus A/G$ , then it holds that

$$\mathfrak{H}_{G}^{*}(X,A;\pi) \cong H^{*}(X/G,A/G;\{\pi\}).$$

Let Y be an arcwise connected, n-simple G-space, where  $n \ge 1$ , that is,  $\pi_1(Y, y)$  acts trivially on  $\pi_n(Y, y)$  for every base point y. Then homotopy groups with different base points are canonically isomorphic each other, so we denote this homotopy group by  $\pi_n(Y)$ . Moreover the canonical map  $\pi_n(Y) \to [S^n, Y]$  is bijective. Since the action on Y induces the G-action on  $[S^n, Y]$ , the G-action on  $\pi_n(Y)$  is also induced. Thus  $\pi_n(Y)$  becomes a  $\mathbb{Z}G$ -module, and we have

$$\mathfrak{H}_{G}^{*}(X, A; \pi_{n}(Y)) \cong H^{*}(X/G, A/G; \{\pi_{n}(Y)\}).$$

In addition, if G acts trivially on  $\pi_n(Y)$ , we obtain

$$\mathfrak{H}_G^*(X,A;\pi_n(Y)) \cong H^*(X/G,A/G;\pi_n(Y)).$$

The following proposition shall be used for proving Theorems C and D.

**Proposition 3.1.** (See [3].) Let G be a finite group, (X, A) a relative G-CW complex such that G acts freely on  $X \setminus A$ . Let Y be an arcwise connected m-simple G-space for every  $1 \le m \le \dim(X \setminus A)$ . Then, the following hold.

- (1) If  $\mathfrak{H}_G^q(X,A;\pi_{q-1}(Y))=0$  for every  $1\leq q\leq \dim(X\setminus A)$ , then any G-map  $f:A\to Y$  extends to a G-map  $X\to Y$ .
- (2) When a G-map  $f: A \to Y$  extends to two G-maps  $F, F': X \to Y$ , if  $\mathfrak{H}_G^q(X, A; \pi_q(Y)) = 0$  for every  $1 \leq q \leq \dim(X \setminus A)$ , then F and F' are G-homotopic.

#### 4. Existence of isovariant maps

Let M be an m-dimensional arcwise connected orientable closed  $C^{\infty}$ -manifold with an orientation preserving free  $C_n$ -action, and W a unitary representation space of  $C_n$ . Set

$$\mathcal{A} = \left\{ H \in \operatorname{Iso}(SW) \mid \dim SW^H = \dim SW^{>1} \right\},\,$$

where Iso(SW) is the set of isotropy groups of SW. We begin this section by proving the following lemma.

**Lemma 4.1.** For distinct subgroups  $H, H' \in A$ , we have:

- (1)  $\langle H, H' \rangle \notin A$ , where  $\langle H, H' \rangle$  is the subgroup generated by H and H'.
- (2)  $W^H \neq W^{H'}$ .

**Proof.** First, we observe that  $H_1 \subset H_2$  yields  $H_1 = H_2$  for  $H_1, H_2 \in \mathcal{A}$ . In fact, assume  $H_1 \subsetneq H_2$ . Then, it holds that  $SW^{H_2} \subsetneq SW^{H_1}$ ; thereby dim  $SW^{H_2} < \dim SW^{H_1}$  because the fixed point sets are spheres. By the definition of  $\mathcal{A}$ , we conclude that  $H_2 \notin \mathcal{A}$ , which contradicts the definition of  $H_2$ . (1) is its immediate consequence. For proving (2), we

note that  $W^H \cap W^{H'} = W^{\langle H, H' \rangle}$ . Since  $\langle H, H' \rangle \notin \mathcal{A}$ , we see that  $\dim SW^{\langle H, H' \rangle} < \dim SW^{>1} = \dim SW^H$ . Thus we have  $W^H \neq W^{H'}$ .  $\square$ 

We put  $SW_{\text{free}} = SW \setminus SW^{>1}$ . Proposition 3.1 says that if  $\mathfrak{H}_{C_n}^*(M; \pi_{*-1}(SW_{\text{free}})) = 0$ , there exists a  $C_n$ -map  $f: M \to SW_{\text{free}}$ . Put  $k = \dim SW - \dim SW^{>1}$ . Since the  $C_n$ -representation is assumed to be unitary, we have  $k \ge 2$ .

#### Lemma 4.2.

- (1) The space  $SW_{\text{free}}$  is arcwise connected, (k-2)-connected and m-simple for every  $1 \leq m \leq k-1$ .
- (2) The cyclic group  $C_n$  acts trivially on  $\pi_{k-1}(SW_{\text{free}})$ .
- **Proof.** (1) The arcwise connectivity of  $SW_{\text{free}}$  follows from  $k \geq 2$ . The (k-2)-connectivity follows from a general position argument. In fact, by the homotopy exact sequence, we see that  $\pi_i(SW_{\text{free}}) \cong \pi_{i+1}(SW, SW_{\text{free}})$  for  $i \leq \dim SW 2$ . We show that  $\pi_{i+1}(SW, SW_{\text{free}}) = 0$  for  $i \leq k-2$ . Let  $\alpha: (D^{i+1}, S^i) \to (SW, SW_{\text{free}})$  be any based map for  $i \leq k-2$ . Since dim  $D^{i+1}$  + dim  $SW^{>1}$  < dim SW, there exists a based map  $\alpha': (D^{i+1}, S^i) \to (SW, SW_{\text{free}})$  based homotopic to  $\alpha$  such that  $\alpha'(D^{i+1}) \cap SW^{>1} = \emptyset$ . This implies that  $\alpha'(D^{i+1}) \subset SW_{\text{free}}$ ; thereby  $\pi_{i+1}(SW, SW_{\text{free}}) = 0$ . Thus, if k > 2,  $SW_{\text{free}}$  is 1-connected; thereby it is m-simple for every  $1 \leq m \leq k-1$ . If k=2,  $SW_{\text{free}}$  is 1-simple by Lemma 4.3 below.
- (2) Since W is a unitary  $C_n$ -representation, the  $C_n$ -action on  $\pi_{k-1}(SW_{\text{free}})$  can extend to an  $S^1$ -action on  $\pi_{k-1}(SW_{\text{free}})$ . Hence, the map induced by  $g \in C_n$  is homotopic to the map induced by the identity element  $e \in C_n$ . Thus,  $C_n$  acts trivially on  $\pi_{k-1}(SW_{\text{free}})$ .  $\square$

**Lemma 4.3.** If k = 2, then the fundamental group  $\pi_1(SW_{\text{free}})$  is Abelian.

#### Proof. Set

$$SW_{\mathcal{A}\text{-free}} = SW \setminus \bigcup_{H \in \mathcal{A}} SW^H = \bigcap_{H \in \mathcal{A}} (SW \setminus SW^H).$$

Since dim  $SW - \dim SW^K \ge 4$  for  $\{e\} \ne K \in \operatorname{Iso}(SW) \setminus \mathcal{A}$ , we see that the inclusion  $SW_{\text{free}} \subset SW_{\mathcal{A}\text{-free}}$  induces an isomorphism  $\pi_1(SW_{\text{free}}) \cong \pi_1(SW_{\mathcal{A}\text{-free}})$  by a general position argument. Put

$$W_{\mathcal{A} ext{-free}} = W \setminus \bigcup_{H \in \mathcal{A}} W^H,$$

then  $SW_{\mathcal{A}\text{-free}}$  is a strong deformation retract of  $W_{\mathcal{A}\text{-free}}$ . It is sufficient to show that  $\pi_1(W_{\mathcal{A}\text{-free}})$  is Abelian. Since by assumption  $\dim(W^H)^{\perp}=2$  for  $H\in\mathcal{A}$ , it is irreducible. Moreover, since  $(W^H)^{\perp}\neq (W^{H'})^{\perp}$  if  $H\neq H'$ , W is decomposed as

$$W = \bigoplus_{H \in \mathcal{A}} (W^H)^{\perp} \oplus W'$$

for some W'. Thus one can see that  $W_{\mathcal{A}\text{-free}}$  is homeomorphic to  $\prod_{H \in \mathcal{A}} ((W^H)^{\perp} \setminus \{0\}) \times W'$  whose fundamental group is isomorphic to  $\bigoplus_{H \in \mathcal{A}} \mathbb{Z}$ .  $\square$ 

**Proof of Theorem C.** By Lemma 4.2, we have

$$\mathfrak{H}_{G}^{*}(M, \pi_{*-1}(SW_{\text{free}})) \cong H^{*}(M/C_{n}; \pi_{*-1}(SW_{\text{free}})).$$
 (2)

By the (k-2)-connectivity of  $SW_{\text{free}}$ , we have  $H^q(M/C_n; \pi_{q-1}(SW_{\text{free}})) = 0$  for  $q \leq k-1$ . By Proposition 3.1, this shows the existence of a  $C_n$ -map f from M to  $SW_{\text{free}}$  both of which have free  $C_n$ -action. Since  $f(M) \subset SW_{\text{free}}$ , f is also a  $C_n$ -isovariant map from M to SW.  $\square$ 

#### 5. Isovariant homotopy classes

In this section, we discuss the classification problem of  $C_n$ -isovariant maps between M and SW under the assumption of Theorem C. Since the  $C_n$ -action on M is assumed to be free,

$$[M, SW]_{C_n}^{\text{isov}} = [M, SW_{\text{free}}]_{C_n}$$

holds. For our purpose, we use equivariant obstruction theory and define the multidegree for a  $C_n$ -equivariant map. The obstruction to  $C_n$ -homotopy types lies in

$$\mathfrak{H}_{C_n}^*(M; \pi_*(SW_{\text{free}})) \cong H^*(M/C_n; \pi_*(SW_{\text{free}})).$$

Recall that  $k = \dim SW - \dim SW^{>1}$ .

**Proposition 5.1.** If dim M < k - 1, then all  $C_n$ -isovariant maps from M to SW are  $C_n$ -isovariantly homotopic each other

**Proof.** Since  $SW_{\text{free}}$  is (k-2)-connected by Lemma 4.2, we have  $H^q(M/C_n; \pi_q(SW_{\text{free}})) = 0$  for  $q \le k-2$ . Hence the obstruction vanishes; thereby all  $C_n$ -isovariant maps from M to SW are  $C_n$ -isovariantly homotopic.  $\square$ 

Next, we consider the problem when dim M = k - 1. The cohomology group  $H^q(M/C_n; \pi_q(SW_{\text{free}}))$  vanishes if  $q \neq k - 1$  by a similar argument in the proof of Proposition 5.1. Thus, the obstruction lies in

$$\mathfrak{H}^{k-1}_{C_n}(M; \pi_{k-1}(SW_{\text{free}})) \cong H^{k-1}(M/C_n; \pi_{k-1}(SW_{\text{free}})).$$

Recall

$$\mathcal{A} = \left\{ H \in \operatorname{Iso}(SW) \mid \dim SW^H = \dim SW^{>1} \right\}$$

and

$$SW_{\mathcal{A}\text{-free}} = SW \setminus \bigcup_{H \in \mathcal{A}} SW^H = \bigcap_{H \in \mathcal{A}} (SW \setminus SW^H).$$

**Lemma 5.2.** Let W be a faithful unitary  $C_n$ -representation.

- (1) The homomorphism  $i_*: \pi_{k-1}(SW_{\text{free}}) \to \pi_{k-1}(SW_{\mathcal{A}\text{-free}})$  induced by the inclusion map  $i: SW_{\text{free}} \to SW_{\mathcal{A}\text{-free}}$  is an isomorphism.
- (2) The Hurewicz homomorphisms  $h: \pi_{k-1}(SW_{\mathcal{A}\text{-free}}) \to H_{k-1}(SW_{\mathcal{A}\text{-free}})$  and  $h': \pi_{k-1}(SW_{\text{free}}) \to H_{k-1}(SW_{\text{free}})$  are isomorphic.

**Proof.** (1) By definition, we see that  $\dim(SW_{\mathcal{A}\text{-free}} \setminus SW_{\text{free}}) \leq \dim SW^{>1} - 2 = \dim SW - k - 2$ . Hence we have  $\dim SW_{\mathcal{A}\text{-free}} - \dim(S^{k-1} \times I) - \dim(SW_{\mathcal{A}\text{-free}} \setminus SW_{\text{free}}) \geq 2$ .

Thus, by a general position argument,  $i_*$  is an isomorphism.

(2) By Lemma 4.2 and the Hurewicz theorem, h' is an isomorphism. By a similar argument to Lemma 4.2,  $SW_{\mathcal{A}\text{-free}}$  is also arcwise connected and (k-2)-connected. Hence it follows from the Hurewicz theorem that h is an isomorphism.  $\square$ 

**Lemma 5.3.** Let W be a faithful unitary  $C_n$ -representation. Then, there is an isomorphism

$$\Phi: H_{k-1}(SW_{\mathrm{free}}) \to \bigoplus_{H \in \mathcal{A}} H_{k-1}(S(W^H)^{\perp}) \cong \bigoplus_{H \in \mathcal{A}} \mathbb{Z}$$

given by the following composite of isomorphisms:

$$H_{k-1}(SW_{\text{free}}) \xrightarrow{i_*} H_{k-1}(SW_{\mathcal{A}\text{-free}}) \xrightarrow{j_*} \bigoplus_{H \in \mathcal{A}} H_{k-1}(SW \setminus SW^H) \xleftarrow{\oplus i_{H_*}} \bigoplus_{H \in \mathcal{A}} H_{k-1}(S(W^H)^{\perp}) \cong \bigoplus_{H \in \mathcal{A}} \mathbb{Z},$$

where i, j and i<sub>H</sub> are inclusions.

**Proof.** By investigating the structure of  $H_{k-1}(SW_{\mathcal{A}\text{-free}})$ , we will construct the isomorphism  $\Phi$ . Put  $\mathcal{A} = \{H_1, H_2, \ldots, H_r\}$ . We note that  $SW_{\mathcal{A}\text{-free}} = \bigcap_{i=1}^r (SW \setminus SW^{H_i})$ . Since  $SW^{H_i} \cap SW^{H_r} = SW^{(H_i, H_r)}$ , we have  $(\bigcap_{i=1}^{r-1} (SW \setminus SW^{H_i})) \cup (SW \setminus SW^{H_r}) = SW \setminus \bigcup_{i=1}^{r-1} SW^{(H_i, H_r)}$ . Consider the Mayer–Vietoris exact sequence:

$$\cdots \to H_{k}\left(SW \setminus \bigcup_{i=1}^{r-1} SW^{\langle H_{i}, H_{r} \rangle}\right) \to H_{k-1}(SW_{\mathcal{A}\text{-free}}) \to H_{k-1}\left(\bigcap_{i=1}^{r-1} \left(SW \setminus SW^{H_{i}}\right)\right) \oplus H_{k-1}\left(SW \setminus SW^{H_{r}}\right)$$
$$\to H_{k-1}\left(SW \setminus \bigcup_{i=1}^{r-1} SW^{\langle H_{i}, H_{r} \rangle}\right) \to \cdots.$$

Since the representation is unitary, Lemma 4.1 yields  $\dim SW^{\langle H_i, H_r \rangle} \leq \dim SW^{>1} - 2$  for each i  $(1 \leq i \leq r-1)$ . Hence we have

$$\dim SW - \dim \bigcup_{i=1}^{r-1} SW^{\langle H_i, H_r \rangle} \ge \dim SW - (\dim SW^{>1} - 2) = k + 2,$$

thereby we see that  $H_k(SW \setminus \bigcup_{i=1}^{r-1} SW^{\langle H_i, H_r \rangle}) = 0$  and  $H_{k-1}(SW \setminus \bigcup_{i=1}^{r-1} SW^{\langle H_i, H_r \rangle}) = 0$ . Thus we have

$$H_{k-1}(SW_{\mathcal{A}\text{-free}}) \cong H_{k-1}\left(\bigcap_{i=1}^{r-1} (SW \setminus SW^{H_i})\right) \oplus H_{k-1}(SW \setminus SW^{H_r}),$$

and by induction we see that the correspondence

$$H_{k-1}(SW_{\mathcal{A}\text{-free}}) \xrightarrow{j_*} \bigoplus_{i=1}^r H_{k-1}(SW \setminus SW^{H_i})$$

is an isomorphism. As in the proof of Lemma 2.1,  $SW \setminus SW^{H_i}$  is homotopy equivalent to  $S((W^{H_i})^{\perp})$ , which is a (k-1)-dimensional sphere because  $H_i$  belongs to A. Therefore we have

$$\bigoplus_{i=1}^r H_{k-1}(SW \setminus SW^{H_i}) \cong \bigoplus_{i=1}^r H_{k-1}(S(W^{H_i})^{\perp}) \cong \bigoplus_{i=1}^r H_{k-1}(S^{k-1}) \cong \bigoplus_{i=1}^r \mathbb{Z}. \qquad \Box$$

We are now prepared to define the multidegree of  $C_n$ -map  $f: M \to SW_{\text{free}}$ .

**Definition 5.4.** Let M be an orientable (k-1)-dimensional arcwise connected closed  $C^{\infty}$ -manifold with an orientation preserving free  $C_n$ -action. Let W be a faithful unitary  $C_n$ -representation, and  $f: M \to SW_{\text{free}}$  a  $C_n$ -map. Then, we define the multidegree of f denoted by mDeg f as

$$\mathrm{mDeg}\, f = \varPhi \left( f_*[M] \right) \in \bigoplus_{H \in \mathcal{A}} \mathbb{Z},$$

where [M] is the fundamental class of M.

**Theorem D** (Hopf-type theorem). Under the assumption in Definition 5.4, the following hold.

- (1)  $\operatorname{mDeg}: [M, SW_{\operatorname{free}}]_{C_n} \to \bigoplus_{H \in \mathcal{A}} \mathbb{Z}$  is injective.
- (2) For  $C_n$ -maps  $f, g: M \to SW_{\text{free}}$ , it holds that  $\operatorname{mDeg} f \operatorname{mDeg} g \in \bigoplus_{H \in \mathcal{A}} n\mathbb{Z}$ . (3) Fix a  $C_n$ -map  $f_0: M \to SW_{\text{free}}$ . Then for any  $d \in \bigoplus_{H \in \mathcal{A}} n\mathbb{Z}$  there exists a  $C_n$ -map  $f: M \to SW_{\text{free}}$  such that

Since  $[M, SW]_{C_n}^{\text{isov}} = [M, SW_{\text{free}}]_{C_n}$ , we obtain the following corollary, which is the classification theorem of  $C_n$ -

**Corollary E.** Let  $f_0: M \to SW$  be a fixed  $C_n$ -isovariant map. Then,  $mD_{f_0}: [M, SW]_{C_n}^{\text{isov}} \to \bigoplus_{H \in \mathcal{A}} \mathbb{Z}$  defined by

$$mD_{f_0}([f]) = (mDeg f - mDeg f_0)/n$$

is a bijection.

#### 6. Proof of the main result

In this section, we prove Theorem D presented in the previous section.

**Lemma 6.1.** Let  $f_0$ ,  $f: M \to SW_{\text{free}}$  be  $C_n$ -maps. Let  $\gamma_{C_n}(f_0, f) \in \mathfrak{H}^{k-1}_{C_n}(M; \pi_{k-1}(SW_{\text{free}}))$  denote the obstruction to the existence of a  $C_n$ -homotopy between f and  $f_0$ . Then, the assignment  $[f] \in [M, SW_{\text{free}}]_{C_n} \mapsto \gamma_{C_n}(f_0, f)$  gives a bijection:

$$\rho_{C_n}(f_0): [M, SW_{\text{free}}]_{C_n} \to \mathfrak{H}_{C_n}^{k-1}(M; \pi_{k-1}(SW_{\text{free}})).$$

**Proof.** See [3, II, (3.17)].

The map  $\rho_{C_n}(f_0)$  defined in Lemma 6.1 depends on the choice of  $f_0$  which is called a reference map. Set

$$C_{C_n}^*(M) = \operatorname{Hom}_{\mathbb{Z}C_n}(C_*(M), \pi_{k-1}(SW_{\text{free}}))$$

and

$$C^*(M) = \operatorname{Hom}_{\mathbb{Z}}(C_*(M), \pi_{k-1}(SW_{\text{free}})).$$

We define a homomorphism  $\bar{\tau}: C^{k-1}(M) \to C^{k-1}_{C_n}(M)$  by  $\bar{\tau}(f)(c) = \sum_{g \in C_n} gf(g^{-1}c)$ , where  $f \in C^{k-1}(M)$  and  $c \in C_{k-1}(M)$ . Then, by [3, II, pp. 123–124],  $\bar{\tau}$  induces the norm homomorphism

$$\tau: H^{k-1}(M; \pi_{k-1}(SW_{\text{free}})) \to \mathfrak{H}^{k-1}_{C_n}(M; \pi_{k-1}(SW_{\text{free}})).$$

By forgetting the  $C_n$ -action, the forgetful map

$$\varepsilon: \mathfrak{H}^{k-1}_{C_n}\big(M; \pi_{k-1}(SW_{\mathrm{free}})\big) \to H^{k-1}\big(M; \pi_{k-1}(SW_{\mathrm{free}})\big)$$

is defined.

**Lemma 6.2.** The composition  $\tau \circ \varepsilon : \mathfrak{H}_{C_n}^{k-1}(M; \pi_{k-1}(SW_{\text{free}})) \to \mathfrak{H}_{C_n}^{k-1}(M; \pi_{k-1}(SW_{\text{free}}))$  is multiplication by n.

**Proof.** See [3, II, p. 124]. □

**Lemma 6.3.** The forgetful map  $\varepsilon:\mathfrak{H}^{k-1}_{C_n}(M;\pi_{k-1}(SW_{\mathrm{free}}))\to H^{k-1}(M;\pi_{k-1}(SW_{\mathrm{free}}))$  is injective.

**Proof.** By Eq. (2),  $\mathfrak{H}_G^*(M, \pi_{*-1}(SW_{\text{free}})) \cong H^*(M/C_n; \pi_{*-1}(SW_{\text{free}}))$ . Since dim  $M/C_n = k-1$  and the action of  $C_n$  on M is orientation preserving, we obtain that

$$\mathfrak{H}_{C_n}^{k-1}(M; \pi_{k-1}(SW_{\mathrm{free}})) \cong \pi_{k-1}(SW_{\mathrm{free}}) \cong \bigoplus_{H \in \mathcal{A}} \mathbb{Z}.$$

Since this cohomology group is torsion free, it follow from Lemma 6.2 that  $\tau \circ \varepsilon$  is injective; thereby,  $\varepsilon$  is injective.  $\Box$ 

Lemma 6.4. The norm homomorphism

$$\tau: H^{k-1}(M; \pi_{k-1}(SW_{\text{free}})) \to \mathfrak{H}_{C_n}^{k-1}(M; \pi_{k-1}(SW_{\text{free}}))$$

is an isomorphism.

**Proof.** Since  $C_{k-1}(M)$  is a free  $\mathbb{Z}C_n$ -module, it follows from [3, II, (4.5)] that  $\bar{\tau}: C^{k-1}(M) \to C_{C_n}^{k-1}(M)$  is surjective, and hence so is  $\tau$ .

Since M is orientable, it holds that

$$H^{k-1}(M; \pi_{*-1}(SW_{\text{free}})) \cong \pi_{k-1}(SW_{\text{free}}) \cong \bigoplus_{H \in A} \mathbb{Z},$$

and

$$\mathfrak{H}^{k-1}_{C_n}(M; \pi_{k-1}(SW_{\text{free}})) \cong \bigoplus_{H \in \mathcal{A}} \mathbb{Z}$$

as in the proof of Lemma 6.3. Hence  $\mathfrak{H}_{C_n}^{k-1}(M;\pi_{k-1}(SW_{\mathrm{free}}))$  and  $H^{k-1}(M;\pi_{k-1}(SW_{\mathrm{free}}))$  are free Abelian groups with the same rank. This leads that  $\tau$  is an isomorphism.  $\square$ 

Here we give a cohomological description of the multidegree. Let  $f: M \to SW_{\text{free}}$  be a  $C_n$ -map. Using the universal coefficient theorem, we have the following isomorphisms:

$$H^{k-1}\big(SW_{\text{free}}; \pi_{k-1}(SW_{\text{free}})\big) \xrightarrow{\kappa} \text{Hom}_{\mathbb{Z}}\big(H_{k-1}(SW_{\text{free}}), \pi_{k-1}(SW_{\text{free}})\big)$$

$$\xrightarrow{h^*} \text{Hom}_{\mathbb{Z}}\big(\pi_{k-1}(SW_{\text{free}}), \pi_{k-1}(SW_{\text{free}})\big),$$

where h denotes the Hurewicz homomorphism. Set

$$\iota(SW_{\text{free}}) = (h^* \circ \kappa)^{-1} (id_{\pi_{k-1}(SW_{\text{free}})}) \in H^{k-1}(SW_{\text{free}}; \pi_{k-1}(SW_{\text{free}})).$$

Then one can see that

$$\langle f^*\iota(SW_{\text{free}}), [M] \rangle = f_*([M]) \in \pi_{k-1}(SW_{\text{free}}),$$

where  $f_* \in \text{Hom}(H_{k-1}(M; \mathbb{Z}), \pi_{k-1}(SW_{\text{free}}))$  and [M] is the fundamental class of M. We obtain that

mDeg 
$$f = \Phi \circ h(\langle f^*\iota(SW_{\text{free}}), [M] \rangle)$$

**Proof of Theorem D.** (1) It is well known [3, II, (3.19)] that

$$\gamma(f,g) = f^*\iota(SW_{\text{free}}) - g^*\iota(SW_{\text{free}}) \in H^{k-1}(M, \pi_{k-1}(SW_{\text{free}})).$$

Hence we obtain that

$$\mathrm{mDeg}\,f-\mathrm{mDeg}\,g=\Phi\circ h\big(\!\big\langle\gamma(f,g),[M]\big\rangle\!\big).$$

Assume mDeg f = mDeg g, then it holds that  $\gamma(f, g) = 0$ . Since  $\gamma(f, g) = \varepsilon(\gamma_{C_n}(f, g))$  and  $\varepsilon$  is injective, we have  $\gamma_{C_n}(f, g) = 0$ . Thus f and g are  $C_n$ -homotopic.

(2) By Lemmas 6.2 and 6.4, we have  $\operatorname{Image}(\varepsilon) = nH^{k-1}(M; \pi_{k-1}(SW_{\operatorname{free}}))$ . Thus we obtain  $\gamma(f, g) = \varepsilon(\gamma_{C_n}(f, g)) \in nH^{k-1}(M; \pi_{k-1}(SW_{\operatorname{free}}))$  and hence it holds that

$$\mathrm{mDeg}\, f - \mathrm{mDeg}\, g = \Phi \circ h\big(\!\big\langle \varepsilon\big(\gamma_{C_n}(f,g)\big), [M] \big\rangle\!\big) \in \bigoplus_{H \in \mathcal{A}} n\mathbb{Z}.$$

(3) Note that

Image
$$(\varepsilon) = nH^{k-1}(M; \pi_{k-1}(SW_{\text{free}})) \cong \bigoplus_{H \in \mathcal{A}} n\mathbb{Z}.$$

Every element of  $\mathfrak{H}^{k-1}_{C_n}(M;\pi_{k-1}(SW_{\mathrm{free}}))$  is realized as  $\gamma_{C_n}(f,f_0)$  for some  $C_n$ -map  $f:M\to SW_{\mathrm{free}}$ . Therefore, any element of  $\bigoplus_{H\in\mathcal{A}} n\mathbb{Z}$  is realized by mDeg f — mDeg  $f_0$ .  $\square$ 

#### 7. Examples

Here we give a couple of examples. Put n = pq, where p and q are distinct primes.

Let g be a generator of  $C_n$ . Let  $T_m$  denote the irreducible unitary representation of  $C_n$  given by  $\rho_m: C_n \to \mathrm{U}(1)$  defined by  $\rho_m(g)(z) = \zeta^m z$ , where  $\zeta = \exp(2\pi i/n)$ . When we denote the greatest common divisor of two integers m and n by (m,n), we note that  $\ker \rho_m = C_{(m,n)}$ .

**Example 7.1.** Suppose  $M = ST_1$  and  $SW = S(T_p \oplus T_q)$ . We determine the structure of  $[M, SW]_{C_n}^{\text{isov}}$ . Since  $SW^{C_p} = S(T_p)$  and  $SW^{C_q} = S(T_q)$ , we have  $SW^{>1} = S(T_p) \sqcup S(T_q)$ , which is a Hopf-link in SW. Hence, it holds that dim M + 1 = k = 2, which shows the existence of  $C_n$ -isovariant maps from M to SW.

Next, we classify the  $C_n$ -isovariant maps. We see that  $\mathcal{A} = \{C_p, C_q\}$ . Now we define a map  $f_{\alpha,\beta} : ST_1 \to S(T_p \oplus T_q)$  by

$$f_{\alpha,\beta}(z) = \frac{1}{\sqrt{2}} \left( z^{(1+\alpha q)p}, z^{(1+\beta p)q} \right),$$

where  $\alpha, \beta \in \mathbb{Z}$ . Then, one can easily check that it is a  $C_n$ -isovariant map. By the definition of  $\Phi$ , we obtain

mDeg 
$$f_{\alpha,\beta} = (\deg(z \mapsto z^{(1+\beta p)q}), \deg(z \mapsto z^{(1+\alpha q)p})) = ((1+\beta p)q, (1+\alpha q)p).$$

If taking  $f_{0,0}$  as the reference map, we can construct a bijection

$$\mathrm{mD}_{f_{0,0}}: [ST_1, S(T_p \oplus T_q)]_{C_n}^{\mathrm{isov}} \to \mathbb{Z} \oplus \mathbb{Z}$$

by

$$\text{mD}_{f_{0,0}}(f_{\alpha,\beta}) = (\text{mDeg } f_{\alpha,\beta} - \text{mDeg } f_{0,0})/n = (\beta,\alpha).$$

**Remark 7.2.** In the setting of Example 7.1, all  $f_{\alpha,\beta}$  are  $C_n$ -homotopic each other.

**Example 7.3.** Here, we consider more general example than Example 7.1, that is, put  $M = ST_1$  and  $SW = S(rT_1 \oplus sT_p \oplus tT_q)$   $(t \ge s)$ , where  $rT_1$  means the direct sum of r-copies of  $T_1$ , and so on. If dim  $M+1 \le k$ , it holds that  $k \ge 2$ . Since the representation is assumed to be faithful, one can easily check that  $r \ne 0$  or  $s \ne 0$ .

Since  $SW^{C_p} = S(sT_p)$  and  $SW^{C_q} = S(tT_q)$ , we see that  $SW^{>1} = S(sT_p) \sqcup S(tT_q)$  and then  $k = 2(r+s) \ge 2$ , which shows the existence of  $C_n$ -isovariant maps from M to SW. If k > 2, all  $C_n$ -isovariant maps from M to SW are  $C_n$ -isovariantly homotopic each other. If k = 2, we have s = 0, r = 1 or s = 1, r = 0, and use the multidegree for our classifying problem.

Case I (s = 0, r = 1). In this case,  $SW = S(T_1 \oplus tT_q)$  and  $SW^{>1} = S(tT_q)$ . If t = 0, the action is free. If  $t \neq 0$ , we have  $Iso(SW) = \{1, C_q\}$  and  $A = \{C_q\}$ . Now we define a map  $f_\alpha : ST_1 \to S(T_1 \oplus tT_q)$  by

$$f_{\alpha}(z) = (z^{1+\alpha n}, *, \dots, *)/\|-\|,$$

where  $\alpha \in \mathbb{Z}$ . Then, one can easily verify that it is a  $C_n$ -isovariant map. By the definition of  $\Phi$ , we obtain

mDeg 
$$f_{\alpha} = \deg(z \mapsto z^{1+\alpha n}) = 1 + \alpha n$$
.

If choosing  $f_0$  as the reference map, we can construct a bijection  $\mathrm{mD}_{f_0}: [ST_1, S(T_1 \oplus tT_q)]_{G_r}^{\mathrm{isov}} \cong \mathbb{Z}$  by

$$\mathrm{mD}_{f_0}([f_\alpha]) = (\mathrm{mDeg}\,f_\alpha - \mathrm{mDeg}\,f_0)/n = \alpha.$$

Case II (s = 1, r = 0). In this case,  $SW = S(T_p \oplus tT_q)$ ,  $SW^{>1} = ST_p \sqcup S(tT_q)$  and  $Iso(SW) = \{1, C_p, C_q\}$ . If t = 1, the problem was already discussed in Example 7.1. If  $t \ge 2$ , we have  $\mathcal{A} = \{C_q\}$ . Now we define a map

$$f_{\alpha}: ST_1 \to S(T_p \oplus tT_q)$$

by

$$f_{\alpha}(z) = (z^{(1+\alpha q)p}, *, ..., *)/||-||,$$

where  $\alpha \in \mathbb{Z}$ . Then, one can easily check that it is a  $C_n$ -isovariant map. By the definition of  $\Phi$ , we obtain

mDeg 
$$f_{\alpha} = \deg(z \mapsto z^{(1+\alpha q)p}) = (1+\alpha q)p$$
.

If taking  $f_0$  as the reference map, we can construct a bijection

$$\mathrm{mD}_{f_0}: \left[ST_1, S(T_p \oplus tT_q)\right]_{C_n}^{\mathrm{isov}} \to \mathbb{Z}$$

by

$$mD_{f_0}([f_{\alpha}]) = (mDeg f_{\alpha} - mDeg f_0)/n = \alpha.$$

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# Units of the Burnside Ring That Are Not Represented by Linear *G*-spheres

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# UNITS OF THE BURNSIDE RING THAT ARE NOT REPRESENTED BY LINEAR G-SPHERES

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ABSTRACT. After recalling the Burnside ring A(G) of a compact Lie group G, we show that for an arbitrary nonsolvable compact Lie group G, there exists a unit of A(G) represented by a semilinear G-sphere, but not by a linear G-sphere. This is a refinement of Bauer's result, and also generalizes Matsuda's result.

#### 1. THE BURNSIDE RING OF A COMPACT LIE GROUP

Throughout this paper, a subgroup means a closed subgroup unless otherwise stated. We denote by  $H \leq K$  that H is a subgroup of K, and by H < K that H is a proper subgroup of K. As usual (H) denotes the conjugacy class of H and  $(H) \leq (K)$  means that H is subconjugate to K.

We shall begin by recalling the Burnside ring. General references are tom Dieck [5, 6], Fausk [7], etc. The Burnside ring A(G) of a compact Lie group G is the ring consisting of equivalence classes of finite G-CW complexes (or compact smooth G-manifolds) with respect to the following equivalence relation  $\sim$ :

$$X \sim Y$$
 if and only if  $\chi(X^H) = \chi(Y^H)$  for every subgroup H of G,

where  $\chi(-)$  denotes the Euler characteristic. The addition is given by disjoint union and the multiplication is given by cartesian product. Note that A(G) is a commutative ring.

Originally the Burnside ring  $\Omega(G)$  of a finite group G is defined as the Grothendieck ring of the category of finite G-sets and G-maps. Thus there are two definitions of the Burnside ring; however, one can see

**Proposition 1.1.** For a finite group G, the homomorphism  $i: \Omega(G) \to A(G)$  induced by regarding finite G-sets as 0-dimensional finite G-CW complexes is an isomorphism.

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*Remark.* The Burnside ring of a compact Lie group is also defined by using homogeneous spaces G/H with finite WH = NH/H, see Fausk [7].

Let s(G) be the space of subgroups of G with the Hausdorff metric induced from a bi-invariant metric on G. Then s(G) is a countable, compact metric space. Let  $\phi(G)$  be the space of conjugacy classes of subgroups H such that each WH := NH/H is finite. We denote by C(G) the ring of integer-valued continuous (or equivalently locally constant) functions on  $\phi(G)$ . The ring homomorphism  $\chi_H : A(G) \to \mathbb{Z}$  is induced by setting  $\chi_H([X]) = \chi(X^H)$ , where X is a finite G-CW complex, and then the ring homomorphism  $\varphi : A(G) \to C(G)$  is defined by setting  $\varphi([X])(H) = \chi_H([X])$ ,  $(H) \in \phi(G)$ . The following is well known, see for example [6].

#### **Proposition 1.2.** The homomorphism $\varphi$ is injective.

Via this injective ring homomorphism, one can regard A(G) as a subring of C(G). Finally we recall some definitions in group theory. The derived group  $G^{(1)}$  of G is defined as the closure of the commutator subgroup of G. Inductively  $G^{(n)}$  is defined by  $(G^{(n-1)})^{(1)}$ . A compact Lie group G is called *perfect* if  $G = G^{(1)}$  and *solvable* if  $G^{(n)} = 1$  for some n.

**Proposition 1.3.** Suppose that G is a connected compact Lie group. Then the following statements are equivalent.

- (1) G is solvable.
- (2) G is abelian.
- (3) G is a torus.

In particular, a (general) compact Lie group G is solvable if and only if the identity component  $G_0$  is a torus and  $G/G_0$  is a finite solvable group.

### 2. Representing units of the Burnside ring

Let V be an orthogonal representation space of G and SV the unit sphere of V. The action on SV is called a linear action and SV is called a linear G-sphere. A semilinear action on a sphere is a natural generalization of a linear action.

**Definition.** A smooth closed G-manifold  $\Sigma$  is called a semilinear G-sphere if, for every subgroup H of G, the H-fixed point set  $\Sigma^H$  is a homotopy sphere or the empty set.

Linear G-spheres are clearly semilinear G-spheres, but there are many semilinear G-spheres with nonlinear actions, see for example [10], [11].

**Lemma 2.1.** Let  $\Sigma$  be a semilinear G-sphere. In the Burnside ring A(G), the element  $u = 1 - [\Sigma]$  is a unit of A(G).

*Proof.* In fact,  $\varphi(u)(H) = 1 - \chi(\Sigma^H) = \pm 1$ ; therefore  $\varphi(u)$  is a unit of C(G) and so u is a unit of A(G).

**Definition.** If a unit u of A(G) is described as  $1 - [\Sigma]$  for a semilinear [resp. linear] G-sphere  $\Sigma$ , then u is called the unit realized by a semilinear [resp. linear] G-sphere.

Matsuda [4] studied the question of whether all units of the Burnside ring of a finite group G are represented by linear G-spheres, and showed that not all units are represented by linear G-spheres for an arbitrary finite nonsolvable group G. On the other hand, Bauer [1] showed that if  $G_0$  is nonabelian, then there exists a unit of A(G) represented by a G-homotopy representation, but not by a linear G-sphere. Here a G-homotopy representation is a G-CW version of a semilinear G-sphere; more precisely, it is a finite-dimensional G-CW complex X such that for every subgroup H, the H-fixed point set  $X^H$  is homotopy equivalent to a sphere  $S^n$ ,  $n = \dim X^H$ , or the empty set. We note [10] that a G-homotopy representation is not necessarily realized by a semilinear G-sphere.

Our result is the following.

**Theorem 2.2.** Let G be an arbitrary nonsolvable compact Lie group. There exists a unit of A(G) represented by a semilinear G-sphere, but not by a linear G-sphere.

We call a smooth G-disk D a quasilinear G-disk if, for every subgroup H, the H-fixed point set  $D^H$  is diffeomorphic to a disk or the empty set. One can easily check that the product of quasilinear G-disks with diagonal action is also a quasilinear G-sphere after smoothing corners, and note also that the boundary of a quasilinear G-disk is a semilinear G-sphere.

**Lemma 2.3.** If D is a quasilinear G-disk, then the element [D] represents an idempotent of A(G).

Proof. Since

$$\varphi([D])(H) = \chi(D^H) = \begin{cases} 1 & \text{if } D^H \neq \emptyset \\ 0 & \text{if } D^H = \emptyset, \end{cases}$$

we see that  $\varphi([D])$  is an idempotent of C(G) and so [D] is an idempotent of A(G).  $\square$ 

To show the theorem, we recall Oliver's work [12] in which he showed that if G is nonsolvable, then there exists a quasilinear G-disk D with the following property: For every subgroup H of G,

$$D^H = \begin{cases} \text{disk} & \text{if } H \text{ is solvable} \\ \emptyset & \text{if } H \text{ is nonsolvable.} \end{cases}$$

We call such a quasilinear G-disk a special G-disk.

Proof of Theorem 2.2. Take a special G-disk D and set  $\Sigma = \partial D$ . Replacing D by a special G-disk  $D \times D \times I$ , where I is the unit interval with trivial action, we may assume that dim  $D^H$  is odd for every nonsolvable subgroup H. By Lemmas 2.1 and 2.3, the element [D] represents a nontrivial idempotent of A(G) and  $1 - [\Sigma]$  represents a unit of A(G). Then

$$\varphi(1 - [\Sigma])(H) = (-1)^{\dim \Sigma^H + 1}$$

$$= \begin{cases} -1 & \text{if } H \text{ is solvable,} \\ 1 & \text{if } H \text{ is nonsolvable.} \end{cases}$$

On the other hand

$$\varphi(1-2[D])(H) = \begin{cases} -1 & \text{if } H \text{ is solvable,} \\ 1 & \text{if } H \text{ is nonsolvable.} \end{cases}$$

Thus it is seen that  $1 - [\Sigma] = 1 - 2[D]$ . The following proposition shows that the unit  $1 - [\Sigma]$  is not represented by a linear G-sphere.

**Proposition 2.4.** Let e be a nontrivial idempotent of A(G) (i.e.,  $e \neq 0, 1$ ). Then the unit 1-2e is not represented by a linear G-sphere.

*Proof.* Suppose that 1-2e is represented by a linear G-sphere SV. We may assume that e(1)=0 since 1-2(1-e) is represented by  $S(V\oplus \mathbf{R})$ . Since e is nontrivial, there is a subgroup H such that e(H)=1. Take a minimal subgroup H such that e(H)=1. Since  $(1-2e)(H)=(-1)^{\dim V^H}$ , it follows that  $\dim V^H$  is odd and  $\dim V^K$  is even for every K< H.

We first consider the case where the subgroup H is connected. In this case H is not a torus, because if H is a torus, it follows that e(H) = e(1) = 0 since H is solvable by Proposition 1.3; this is a contradiction. Let T be a maximal torus of H; the normalizer  $N_H(T)$  of T in H is, then, a proper subgroup of H, and  $\dim V^K$  is even for every subgroup of  $N_H(T)$ . By the following proposition proved in the next section,  $\dim V^H$  is even; this is a contradiction.

**Proposition 2.5.** Let G be a connected compact Lie group and T a maximal torus of G. Let V be a representation of G. If  $\dim V^K$  is even for every subgroup K of the normalizer  $N_G(T)$  of T, then  $\dim V^H$  is even for every subgroup H of G. In particular  $\dim V^G$  is even.

We next consider the case where H is disconnected. In this case  $H/H_0$  is not hyperelementary, where  $H_0$  is the identity component of H, because if  $H/H_0$  is hyperelementary, then  $e(H) = e(H_0)$  since  $H/H_0$  is solvable; however  $e(H_0) = 0$  and e(H) = 1 since  $H_0$  is a proper subgroup of H; this is a contradiction. We consider  $V^{H_0}$  as an  $H/H_0$ -representation; then  $\dim(V^{H_0})^{K/H_0} = \dim V^K$  is even for every hyperelementary subgroup  $K/H_0$  of  $H/H_0$ . It follows from [9, (5.4.2)] that  $\dim V^H$  (=  $\dim(V^{H_0})^{H/H_0}$ ) is even; this is a contradiction.

#### 3. Proof of Proposition 2.5

In this section, we prove Proposition 2.5 using representation theory. We refer to Bröcker and tom Dieck [3] for notation and terminology of representation theory. Consider the irreducible decomposition of V:

$$V = a_1 V_1 \oplus \cdots \oplus a_n V_n \oplus b\mathbf{R},$$

where  $a_i$ , b are non-negative integers and  $\mathbf{R}$  denotes the trivial irreducible representation. Note that  $\dim V_i > 1$ . As is well known, there are 3 types of irreducible representations; namely complex type, real type and quaternionic type. If W is an irreducible orthogonal representation of complex type or quaternionic type, then the complification  $W \otimes \mathbf{C}$  is isomorphic to  $U \oplus \bar{U}$  or  $U \oplus U$ , respectively for some irreducible complex representation U, where  $\bar{U}$  denotes the complex conjugate of U, see [3, II (6.6)]. Since

$$\dim W^H = \dim_{\mathbf{C}}(W \otimes \mathbf{C})^H = \dim_{\mathbf{C}}(U \oplus U)^H = \dim_{\mathbf{C}}(U \oplus \bar{U})^H$$

in this case, it follows that  $\dim W^H$  is even for every subgroup H. Therefore we may assume that all irreducible components are of real type. If W is an irreducible representation of real type, then  $W \otimes \mathbf{C}$  is an irreducible complex representation; therefore we may assume that V is a complex representation, and thus it suffices to show the following.

**Proposition 3.1.** Let G be a connected compact Lie group and T a maximal torus of G. Let V be a complex representation of G whose irreducible components are of real