



**Figure 1.** Facial appearance of patients with *RAF1* mutations. **a–f:** patients with p.S257L mutations. **a:** NS135; **b:** NS146; **c:** NS215; **d:** NS256; **e:** NS258 at 6 months; **f:** 2 years and 4 months; **g:** NS222 with p.S427G. [Color figure can be viewed in the online issue, which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com).]

mutations. ELK transactivation in cells expressing p.H103G and p.R191I was not enhanced.

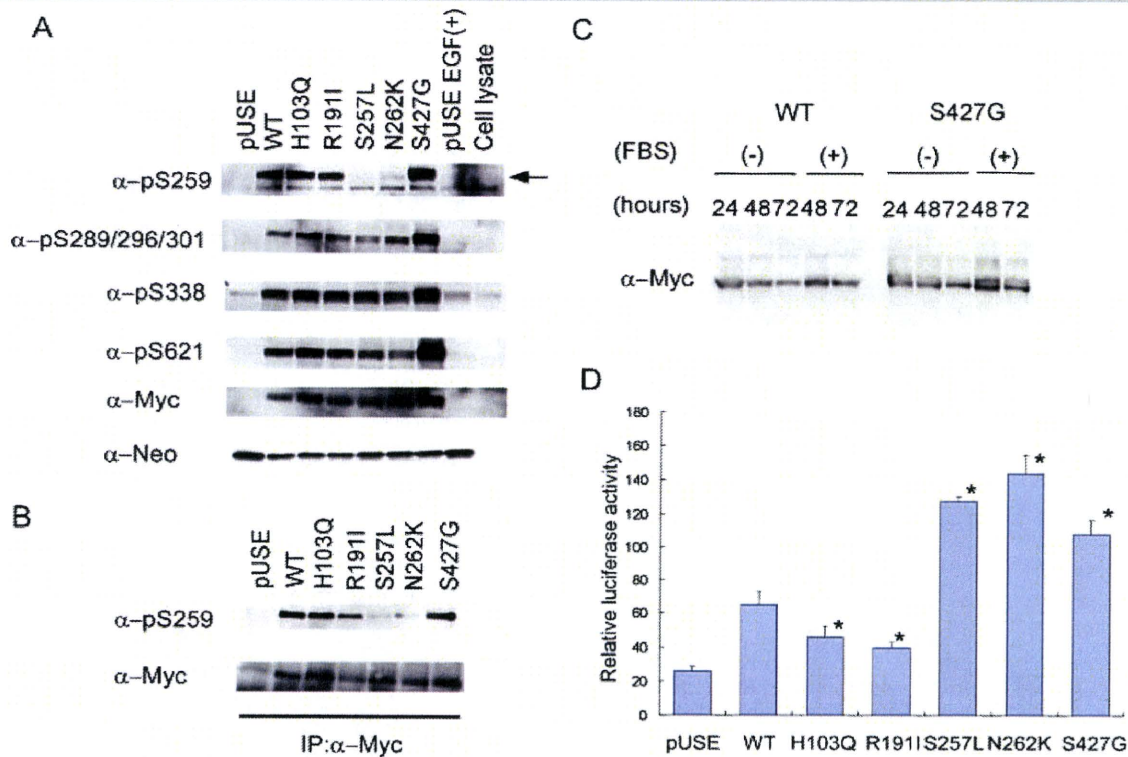
### Phosphorylation State, ERK Activation, and Binding to the Scaffolding Protein 14-3-3 in Mutations in the CR2 Domain

Previous studies as well as the present study showed that mutations in NS-associated *RAF1* mutations were clustered in the CR2 domain. We hypothesized that amino acid changes in the CR2 domain impaired phosphorylation of serine at 259. We additionally generated expression construct harboring p.S259F and p.P261A substitutions, and their phosphorylation status was examined using anti-pRAF1 (S259) antibody together with RAF1 WT, p.S257L, p.N262K, and p.S427G (Fig. 3A). The results showed that phosphorylated proteins were scarcely observed in p.S257L, p.S259F, p.P261A, and p.N262K. Phosphorylation of ERK p44/42 was determined using anti-p-ERK (p44/42) antibody. All mutations activated the downstream ERK without any stimulation. The level of ERK phosphorylation in cells expressing mutants was lower than that in those treated with epidermal growth factor (EGF), suggesting that the expression of p.S257L,

p.S259F, p.P261A, and p.N262K resulted in a partial activation of ERK.

Anti-pRAF1 (S259) antibody was produced by immunizing rabbits with a synthetic phospho-peptide corresponding to residues surrounding Ser259 of human RAF1. To examine if this antibody was able to recognize phosphorylation at S259 when mutations such as S257L and N262K were introduced, we performed a solid-phase immunoassay using biotinylated peptides as per the manufacturer's recommendation (Mimotopes, Victoria, Australia; Supp. Methods). The result showed that at least in peptides, this antibody could recognize serine phosphorylation in amino acid 259 when mutations S257L and N262K were introduced (Fig. 3B). These results support the data in Figure 3A, suggesting that S259 was not phosphorylated in mutants in the CR2 domain.

To examine if the RAF1 mutants without S259 phosphorylation were able to bind to 14-3-3, we cotransfected three double mutants (WT/S621A, S257L/S621A, and N262K/S621A) with FLAG-tagged 14-3-3, and coimmunoprecipitation was performed using anti-Myc antibody (Fig. 3C). The result showed that the WT/S621A mutant bound 14-3-3. In contrast, p.S257L/S621A and



**Figure 2.** Analysis of phosphorylation status, degradation, and effect on downstream signaling in RAF1 mutants identified in this study. **A:** Phosphorylation status of wild-type (WT) RAF1 and mutants. Expression levels of RAF1 proteins and their phosphorylation levels were detected with different antibodies indicated in the figure. Transfection efficiency was measured using antineomycin phosphotransferase II ( $\alpha$ -Neo) antibody. The arrow indicates the serine-phosphorylated expressed RAF1. **B:** Phosphorylation of S259 was confirmed by immunoprecipitation. Myc-tagged RAF1 was immunoprecipitated using anti-Myc antibody and the phosphorylation of S259 was determined. **C:** Time course experiments of WT RAF1 and p.S427G. The RAF1 protein was detected using anti-Myc antibody (clone 4A6; Millipore). FBS, fetal bovine serum. **D:** ELK transactivation in WT and mutants. Results are expressed as the means and standard deviations of mean values from triplicate samples. A significant increase in relative luciferase activity (RLA) was observed in cells transfected with p.S257L, p. N262K, and p.S427G, but not in cells transfected with p.H103Q or p.R191I. WT, wild-type; \* $P < 0.01$  by Student's *t*-test.

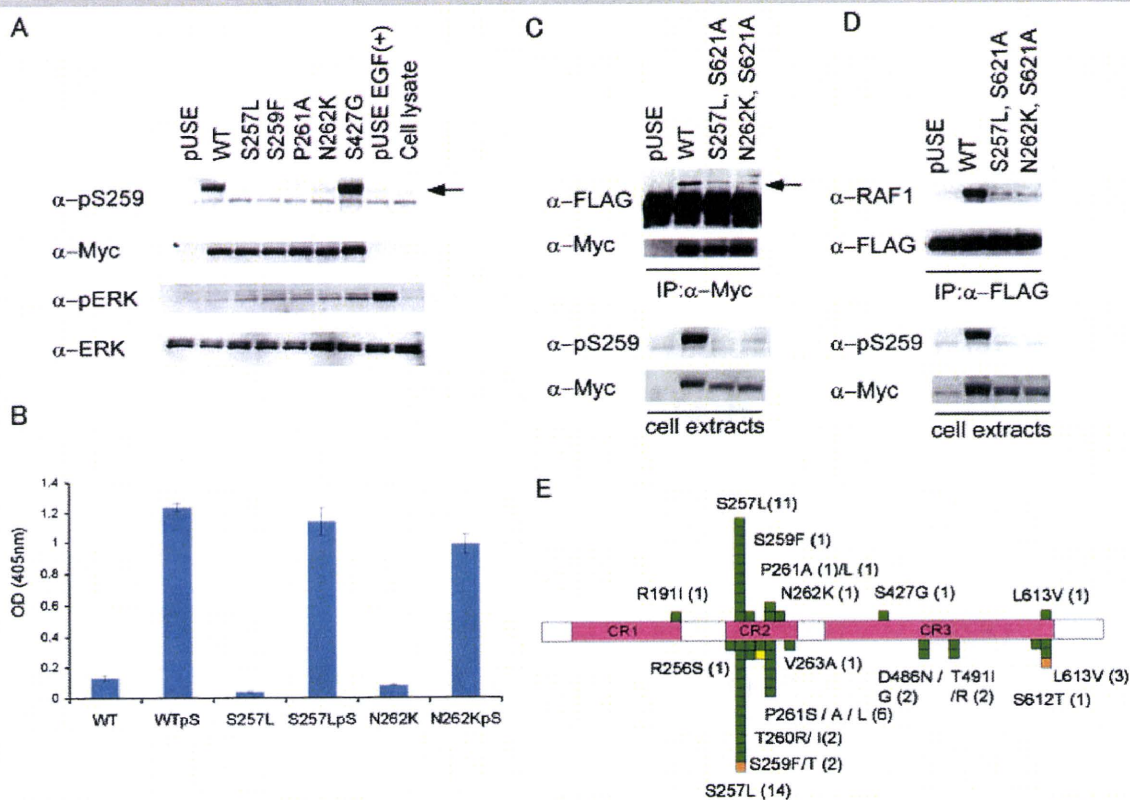
p.N262K/S621A mutants did not bind 14-3-3, suggesting that the decreased phosphorylation of S259 prevented 14-3-3 binding. A similar result was obtained in the coimmunoprecipitation study using anti-FLAG antibody (Fig. 3D). These results showed that mutants in the CR2 domain impaired phosphorylation of S259, abrogated the binding to 14-3-3 and resulted in a partial activation of ERK.

## Discussion

In this study, we identified eight different *RAF1* mutations in 18 patients: p.S257L in 11 patients and p.R191I, p.S259F, p.P261A, p.P261L, p.N262K, p.S427G, and p.L613V in one patient each. Sixteen patients were diagnosed as having NS, although we were not able to reevaluate 2 patients with Costello syndrome. Examination of detailed clinical manifestations in the present study and past studies showed that patients with *RAF1* mutations were associated with hypertrophic cardiomyopathy, arrhythmia, and mental retardation. Results from previous studies and the present study showed 41/52 (79%) mutations to be located in the CR2 domain (Fig. 3E). We first demonstrated that mutations in the CR2 domain had impaired phosphorylation of S259. This caused the impaired binding of RAF1 to 14-3-3, resulting in a partial activation of downstream ERK. These results suggest that

dephosphorylation of S259 is the primary mechanism of activation of mutant RAF1 located in the CR2 domain.

Phosphorylation of S259 and subsequent binding to 14-3-3 have been shown to be important for suppression of RAF1 activity [Dhillon et al., 2007]. Light et al. [2002] examined the phosphorylation status at S259 in the p.S257L mutant. Their experiment showed that phosphorylation of S259 still existed in the p.S257L mutant. The mutant was not able to bind 14-3-3 [Light et al., 2002]. In contrast, our functional studies demonstrated that all four mutants located in the CR2 domain (p.S257L, p.S259F, p.P261A, and p.N262K) impaired phosphorylation of S259 and that two of them impaired binding of 14-3-3. Impaired binding to 14-3-3 was also shown in p.P261S mutant [Pandit et al., 2007]. The reason for the difference on S259 phosphorylation between the result by Light et al. [2002] and ours is unclear. Enhanced kinase activities of mutants, including p.S257L, p.P261S, p.P261A, and p.V263A, were demonstrated in a previous study [Razzaque et al., 2007]. Phosphorylation levels at S338 in p.S257L and p.N262K were not enhanced compared to that in WT RAF1 (Fig. 2A), suggesting that the activation mechanism in these mutants is different from that of the normal state upon RAS-GTP binding. Indeed, ERK activation was partial compared with that in cells after EGF treatment (Fig. 3A). These results suggest that the conformational change around S259 due to amino acid changes results in the decreased phosphorylation of S259 and that mutant

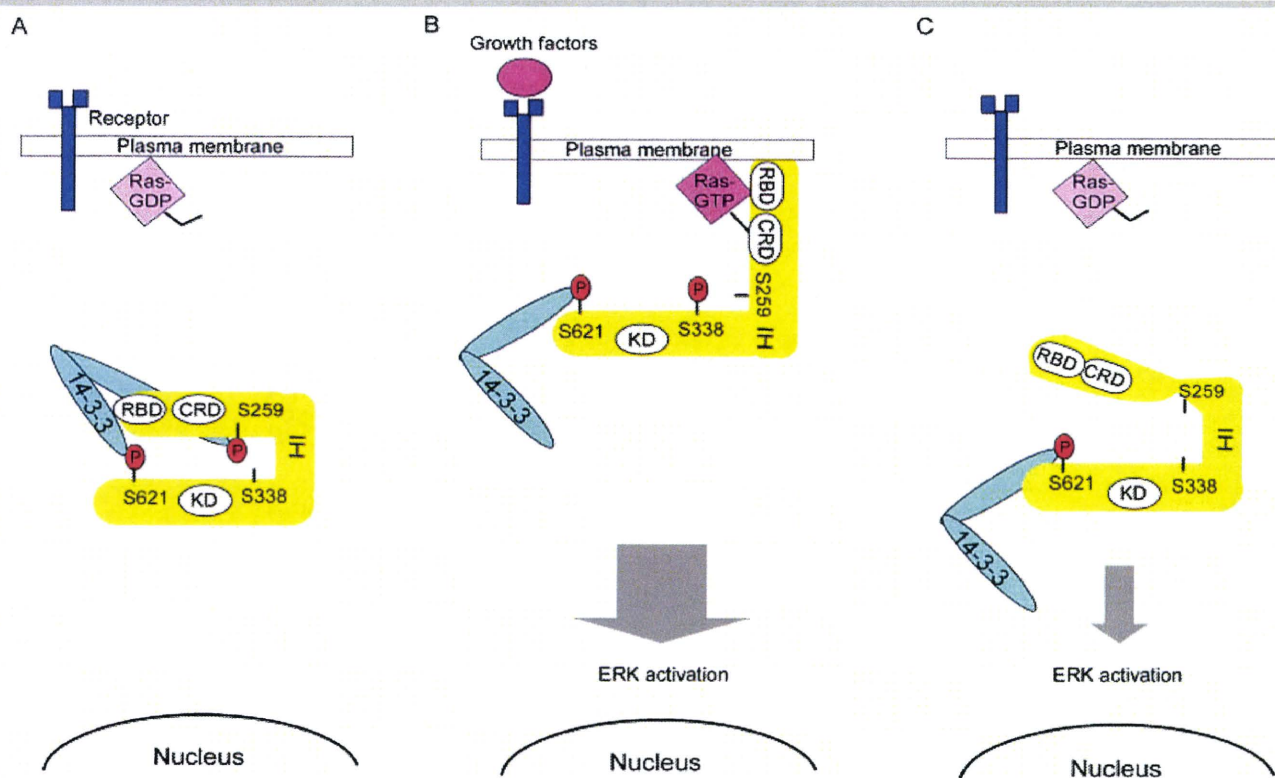


**Figure 3.** Phosphorylation of S259, binding to 14-3-3 and ERK activation of mutants located in the CR2 domain. **A:** Phosphorylation status of WT and mutants located in the CR2 domain. Phosphorylation of S259 was not observed in cells expressing p.S257L, p.S259F, p.P261A, and p.N262K. In order to examine the level of full activation of ERK, mock-transfected cells were treated with 10 ng/ml EGF. ERK activation was observed in cells expressing p.S257L, p.S259F, p.P261A, and p.N262K, but was weaker than those in cells expressing p.S427G and EGF-treated cells. The arrow indicates the serine-phosphorylated expressed RAF1. **B:** Epitope mapping of the anti-pRAF1 (S259) antibody using a solid-phase immunoassay. The antibody was able to recognize peptides with S257L or N262K mutations when S259 was phosphorylated, but was not able to recognize peptides without Ser259 phosphorylation. Results are expressed as the means and standard deviations of mean values from triplicate samples. **C:** Binding of RAF-1 to 14-3-3. HEK293 cells were transfected with constructs harboring FLAG-tagged 14-3-3 and one construct of pUSE WT, p.S257L/p.S621A, or p.N262K/ p.S621A. Immunoprecipitation was performed using anti-Myc antibody, and 14-3-3 binding was determined by anti-FLAG antibody (upper panel). Phosphorylation of S259 and RAF1 expression were determined in cell lysates used for the immunoprecipitation (lower panel). The arrow indicates the band for 14-3-3. **D:** Binding of 14-3-3 to RAF-1. Immunoprecipitation was performed using anti-FLAG antibody and RAF1 binding was examined using anti-RAF1 antibody (upper panel). The binding of 14-3-3 to endogenous RAF1 was scarcely observed (lane 1, pUSE). Phosphorylation of S259 and RAF1 expression were determined using cell lysates used for the immunoprecipitation (lower panel). **E:** Domain organization and the distribution of mutations in RAF1 protein. The three regions conserved in all RAF proteins (conserved region [CR] 1, CR2, and CR3) are shown in pink. Mutations identified in this study are shown above the bar and those reported before [Ko et al. 2008; Pandit et al. 2007; Razzaque et al. 2007] are shown below the bar. Green squares indicate families with NS. Orange squares indicate patients with LEOPARD syndrome and the yellow square indicates a patient with hypertrophic cardiomyopathy.

RAF-1 then dissociates from 14-3-3; the substrate would thus be targeted to the catalytic domain in the CR3 domain (Fig. 4).

To highlight the clinical pictures of patients with RAF1 mutations, clinical manifestations in 52 patients with RAF1 mutations [Ko et al., 2008; Pandit et al., 2007; Razzaque et al., 2007], 172 patients with PTPN11 mutations [Jongmans et al., 2005; Musante et al., 2003; Tartaglia et al., 2002; Zenker et al., 2004], 73 patients with SOS1 mutations [Ferrero et al., 2008; Narumi et al., 2008; Roberts et al., 2007; Tartaglia et al., 2007; Zenker et al., 2007a] and 18 patients with KRAS mutations [Carta et al., 2006; Ko et al., 2008; Lo et al., 2008; Schubbert et al., 2006; Zenker et al., 2007b] are summarized in Table 3. The frequency of perinatal abnormalities was similar between patients with RAF1 and SOS1. In contrast, the description of perinatal abnormalities was rare in patients with PTPN11 and KRAS mutations. Growth failure and mental retardation were observed in 100 and 94% of NS with

KRAS mutations, respectively. Growth failure and mental retardation were observed in 87 and 56% of patients with RAF1 mutations, respectively. In contrast, those manifestations were less frequent (63 and 43%) in patients with PTPN11 mutations. The frequency of mental retardation was lowest in patients with SOS1 mutations (18%). We were unable to compare gene-specific features in craniofacial characteristics because such details were not described in the previous reports. As for skeletal characteristics, short stature was frequently manifested in patients with RAF1 mutations (82%) followed by KRAS mutation-positive patients (71%). The association of short stature was lower in PTPN11 mutation-positive and SOS1 mutation-positive patients (56 and 38%, respectively). It is noteworthy that the association of hypertrophic cardiomyopathy was specifically high (73%) in RAF1 mutation-positive patients. In contrast, hypertrophic cardiomyopathy was observed in 20% of clinically diagnosed Noonan



**Figure 4.** Schematic model of WT and mutant activation. **A:** In an inactive state, RAF1 is phosphorylated on S259 and S621 and is bound to 14-3-3. **B:** In growth-factor stimulation, the GTP-bound RAS binds to the CR1 domain of RAF1, which displaces 14-3-3. S259 is dephosphorylated by protein phosphatase 1 (PP1) and/or protein phosphatase 2A (PP2A). After RAF1 is recruited to the plasma membrane, phosphorylation of S338, Y341, T491, and S494 occurs. The phosphorylation of these residues is thought to be important for the full activation of RAF1. **C:** Mutants whose amino acid changes are located in the CR2 domain. It has been reported that S259 was phosphorylated by Akt and dephosphorylated by PP1 and/or PP2A. Amino acid changes in the CR2 domain would cause structural changes in the CR2 domain, leading to the access of PP2A to S259. Alternatively, Akt kinase would not be able to phosphorylate S259. S259 is dephosphorylated without stimulation and substrate(s) would be able to enter the kinase domain, leading to a partial activation. RBD, RAS-binding domain; CRD, cysteine-rich domain; KD, kinase domain; IH, isoform-specific hinge segment region. [Color figure can be viewed in the online issue, which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com).]

patients [van der Burgt 2007] and in 7, 10, and 17% of patients with *PTPN11*, *SOS1*, and *KRAS* mutations, respectively. These results strongly suggest that patients with *RAF1* mutations have a significantly higher risk of hypertrophic cardiomyopathy. Mitral valve abnormality and arrhythmia were also frequently observed in patients with *RAF1* mutations (27 and 56%, respectively). In summary, these results highlight specific manifestations of patients with *RAF1* mutations: high frequency of hypertrophic cardiomyopathy, septal defects of the heart, short stature, and less frequent PS (Supp. Fig. S1). The high frequency of heart defects would be associated with a high risk of sudden death in *RAF1* mutation-positive patients.

The present study is the first to identify p.S427G in a patient with NS. The same mutation has been reported in a patient with therapy-related acute myeloid leukemia [Zebisch et al., 2006]. The patient reported by Zebisch et al. [2006] first developed immature teratoma, yolk sack tumor, and embryonal testicular carcinoma. Thirty-five months after tumor resection and chemotherapy, the patient developed acute myeloid leukemia. Molecular analysis of *RAF1* revealed the de novo p.S427G mutation in leukemia cells and DNA from buccal epithelial cells [Zebisch et al., 2006]. Whether or not the patient had an NS phenotype was not mentioned. *RAF1* mutations have been rarely reported in malignant tumors. As far as we could determine, only six mutations, including p.P207S, p.V226I, p.Q335H, p.S427G, p.I448V, and p.E478K, have been identified in

tumors and therapy-related leukemias [Pandit et al., 2007; Razzaque et al., 2007]. A previous study as well as our results showed that p.S427G mutant has transformation capacity [Zebisch et al., 2009], is resistant to apoptosis when introduced into NIH3T3 cells [Zebisch et al., 2009] and activates ERK and ELK transcription, suggesting that p.S427G is a gain-of-function mutation. We identified p.S427G in a familial case of NS. The mother and boy have not yet developed malignant tumors. Although no NS patients with *RAF1* mutations have developed malignant tumors, careful observation might be prudent in *RAF1* mutation-positive children.

We identified two novel mutations, p.R191I and p.N262K. p.R191I is located in the CR1, and arginine at amino acid position 191 is evolutionally conserved [Mercer and Pritchard, 2003]. Activation of ERK was not observed in cells expressing p.R191I. ELK transactivation was rather decreased; parental samples were not available. There is a possibility that this change is a polymorphism.

In conclusion, we identified *RAF1* mutations in 18 patients and detailed clinical manifestations in mutation-positive patients were examined. Our analysis of patients with mutations in *RAF1*, *PTPN11*, *SOS1*, and *KRAS* showed hypertrophic cardiomyopathy and short stature to be frequently observed in patients with *RAF1* mutations. Functional analysis revealed that dephosphorylation of S259 would be the essential mechanism for ERK activation in *RAF1* mutations. Despite recent progress in molecular characterization of NS and related disorders, genetic causes in

**Table 3. Clinical Manifestations in NS Patients with *RAF1*, *PTPN11*, *SOS1*, and *KRAS* Mutations**

	<i>RAF1</i> <sup>a</sup> (%)	<i>PTPN11</i> <sup>b</sup> (%)	<i>SOS1</i> <sup>c</sup> (%)	<i>KRAS</i> <sup>d</sup> (%)
Total patients	52	172	73	18
Perinatal abnormality				
Polyhydramnios	12/34 (35)	ND	9/16 (56)	2
Fetal macrosomia	11/31 (35)	ND	9/15 (60)	ND
Growth and development				
Failure to thrive in infancy	13/15 (87)	35/56 (63)	ND	3/3 (100)
Mental retardation	25/45 (56)	71/164 (43)	12/67 (18) <sup>e</sup>	16/17 (94) <sup>f</sup>
Outcome				
Died	6/28 (21)	ND	ND	ND
Craniofacial characteristics				
Relative macrocephaly	32/38 (84)	ND	9/21 (43) <sup>e</sup>	9/11 (82)
Hypertelorism	34/36 (94)	15/28 (54) <sup>e</sup>	5/6 (83)	12/12 (100)
Downslanting palpebral fissures	29/37 (78)	19/28 (68)	20/22 (91)	9/12 (75)
Ptosis	28/37 (76)	18/29 (62)	19/24 (79)	10/15 (67)
Epicanthal folds	24/35 (69)	15/28 (54)	ND	2/9 (22) <sup>e</sup>
Low set ears	32/36 (89)	56/64 (88)	20/22 (91)	7/10 (70)
Skeletal characteristics				
Short stature	41/50 (82)	97/172 (56) <sup>e</sup>	22/58 (38) <sup>e</sup>	12/17 (71)
Short neck	35/46 (76)	15/29 (52) <sup>e</sup>	17/22 (77)	9/10 (90)
Webbing of neck	38/46 (83)	36/122 (30) <sup>e</sup>	3/6 (50)	7/14 (50) <sup>e</sup>
Cardiac defects				
Hypertrophic cardiomyopathy	37/51 (73)	10/135 (7) <sup>e</sup>	7/73 (10) <sup>e</sup>	3/18 (17) <sup>e</sup>
Septal defect	22/52 (42)	41/170 (24) <sup>e</sup>	17/73 (23) <sup>e</sup>	5/18 (28)
Atrial septal defect	16/51 (31)			4/18 (22)
Ventricular septal defect	6/52 (12)			1/18 (6)
Pulmonic stenosis	11/50 (22)	125/171 (73) <sup>f</sup>	53/73 (73) <sup>f</sup>	7/18 (39)
Patent ductus arteriosus	2/20 (10)	ND	ND	1/18 (6)
Mitral valve anomaly	13/49 (27)	ND	ND	3/18 (17)
Arrhythmia	14/25 (56)	ND	ND	ND
Skeletal/extremity deformity				
Cubitus valgus	9/31 (29)	14/61 (23)	1/6 (17)	2/2 (100)
Pectus deformity	25/44 (57)	108/171 (63)	38/56 (68)	13/16 (81)
Skin/hair anomaly				
Curly hair	14/41 (34)	ND	15/22 (68) <sup>f</sup>	1/12 (8)
Hyperelastic skin	12/33 (36)	ND	1/6 (17)	3/12 (25)
Café au lait spots	3/34 (9)	ND	1/6 (17)	1/9 (11)
Lentigines	3/35 (9)	ND	ND	ND
Naevus	12/37 (32)	ND	ND	ND
Genitalia				
Cryptorchidism	13/23 (57)	75/138 (54)	22/39 (56)	4/11 (36)
Blood test abnormality				
Coagulation defects	3/15 (20)	46/90 (51)	14/66 (21)	2/9 (22)

ND, not described.

<sup>a</sup>[Ko et al., 2008; Pandit et al., 2007; Razzaque et al., 2007]; and this study.

<sup>b</sup>[Jongmans et al., 2005; Musante et al., 2003; Tartaglia et al., 2002; Zenker et al., 2004].

<sup>c</sup>[Ferrero et al., 2008; Ko et al., 2008; Narumi et al., 2008; Roberts et al., 2007; Tartaglia et al., 2007; Zenker et al., 2007a].

<sup>d</sup>[Carta et al., 2006; Ko et al., 2008; Lo et al., 2008; Schubert et al., 2006; Zenker et al., 2007b].

<sup>e</sup>The frequency of the manifestation in patients with the gene was significantly lower compared with that observed in *RAF1*-positive patients ( $P < 0.05$  by Fisher's exact test).

<sup>f</sup>The frequency of the manifestation in patients with the gene was significantly higher compared with that observed in *RAF1*-positive patients ( $P < 0.05$  by Fisher's exact test).

approximately 30% of NS and related disorders remain unknown. Presently unknown genetic causes for mutation-negative NS and related disorders remain to be identified in molecules in future studies.

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