

## IV 研究成果の刊行に関する一覧表

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雑誌

発表者氏名	論文タイトル名	発表誌名	巻号	ページ	出版年
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## V 研究成果の印刷物・別冊



# Clinical and Host Genetic Characteristics of Mendelian Susceptibility to Mycobacterial Diseases in Japan

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## Abstract

**Purpose** The aim of this study is to investigate clinical characteristics and genetic backgrounds of Mendelian susceptibility to mycobacterial diseases (MSMD) in Japan. **Methods** Forty-six patients diagnosed as having MSMD were enrolled in this study. All patients were analyzed for the *IFNGR1*, *IFNGR2*, *IL12B*, *IL12RB1*, *STAT1*, and *NEMO* gene mutations known to be associated with MSMD. **Results** Six patients and one patient were diagnosed as having partial interferon- $\gamma$  receptor 1 deficiency and nuclear factor- $\kappa$ B-essential modulator deficiency, respectively. Six of the seven patients had recurrent disseminated

mycobacterial infections, while 93% of the patients without these mutations had only one episode of infection.

**Conclusions** The patients with a genetic mutation were more susceptible to developing recurrent disseminated mycobacterial infections. Recurrent disseminated mycobacterial infections occurred in a small number of patients even without these mutations, suggesting the presence of as yet undetermined genetic factors underlying the development and progression of this disease.

**Keywords** Disseminated mycobacterial infection · IFN- $\gamma$ R1 deficiency · NEMO deficiency · flow cytometric analysis

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## Introduction

Although the outcome of mycobacterial infection is influenced by many factors, including the virulence of the pathogen and the environment of the host, it has been demonstrated that host genetic factors play important roles in the defense against mycobacteria [1]. Mendelian susceptibility to mycobacterial diseases (MSMD, MIM 209950) is a rare primary immunodeficiency syndrome characterized by a predisposition to develop infections caused by weakly virulent mycobacteria, such as *Mycobacterium bovis* bacille Calmette-Guerin (BCG) and environmental non-tuberculous mycobacteria (NTM) [2–4]. These patients are vulnerable to systemic salmonellosis and infections with *Mycobacterium tuberculosis*, the virulent mycobacterial species, to a lesser extent [5, 6]. Diseases caused by other intracellular pathogens, such as *Nocardia*, *Listeria*, *Paracoccidioides*, *Histoplasma*, and *Leishmania*, and some viruses, such as human herpes virus-8, have only rarely been reported, mostly in single patients [7–12].

To date, interferon (IFN)- $\gamma$  receptor 1 (*IFNGR1*) [13–15], IFN- $\gamma$  receptor 2 (*IFNGR2*) [16], interleukin (IL)-12 p40 subunit (*IL12B*) [17], IL-12 receptor  $\beta$  subunit (*IL12RB1*) [18–20], signal transducer and activator of transcription-1 (*STAT1*) [21], and nuclear factor- $\kappa$ B-essential modulator (*NEMO*) [22] mutations were identified as the causes of this primary immunodeficiency. On the other hand, no genetic etiology has yet been reported to be identified for about half of all patients with MSMD [3]. In addition, there have been no precise reports on the clinical characteristics and genetic backgrounds of MSMD in Asian countries, including Japan, which has a high prevalence of tuberculosis.

In this study, we analyzed patients who had a recurrent or disseminated infection with intracellular pathogens to clarify the clinical manifestations and host genetic backgrounds of MSMD in Japan.

## Materials and Methods

### Subjects

We studied 46 patients (30 males and 16 females) diagnosed as having MSMD because of recurrent infections, or blood-borne infections such as osteomyelitis/arthritis, and multiple infections at different anatomic sites by intracellular bacteria including BCG, NTM, *Salmonella* species, *Listeria monocytogenes*, or *M. tuberculosis* in 34 hospitals in Japan from 1999 to 2009. There was no consanguinity in these families. The clinical information on each patient was collected using a standardized case report form. Informed consent was obtained from the parents of the subjects before the study. This study was approved by the Ethics Committee of Kyushu University.

### Flow Cytometric Analysis

Two-color flow cytometric analysis was performed to investigate IFN- $\gamma$  receptor 1 (IFN- $\gamma$ R1) expression levels on the patients' monocytes by using an EPICS XL instrument (Beckman Coulter, Miami, FL, USA). Peripheral blood mononuclear cells (PBMCs) were stained with mouse anti-IFN- $\gamma$ R1 monoclonal antibody (MAb) (Genzyme, Cambridge, MA, USA), followed by rat phycoerythrin anti-mouse immunoglobulin antibody (BD Bioscience Pharmingen, San Diego, CA, USA). Cells were washed twice and stained with a phycoerythrin 5.1 (PC5)-anti-CD14 MAb (Beckman Coulter). IFN- $\gamma$ R1 expression was analyzed on monocytes determined by their side scatter and CD14 positivity.

### Genomic DNA and cDNA Sequence Analysis

The *IFNGR1*, *IFNGR2*, *IL12B*, *IL12RB1*, *STAT1*, and *NEMO* genes were analyzed for coding exons and flanking intronic

sequences. These genes were amplified by polymerase chain reaction (PCR) after whole genome amplification with a GenomiPhi V2 DNA Amplification Kit (GE Healthcare, Little Chalfont, UK). The PCR products were treated with an Exo-SAP-IT kit (GE Healthcare, Amersham, UK) and then were analyzed by direct sequencing with an ABI 3130 DNA sequencer (Perkin-Elmer, Foster City, CA, USA). Detected mutations were confirmed by sequencing the PCR product using cDNA as a template.

### Statistical Analysis

Comparisons of the proportions were analyzed by the  $\chi^2$  test. The Mann–Whitney *U* test was used to compare differences between quantitative variables. A *P* value less than 0.05 was considered to be statistically significant.

## Results

The median age of the patients was 8 years (range, 6 months–41 years), and the median age at the onset of infection was 1 year and 4 months (range, 4 months–6 years). The male to female ratio was 1.9:1. Only one patient had not received a BCG vaccination. There were 59 episodes of disseminated mycobacterial infections in the 46 patients. Nine (19%) of 46 patients had two or more episodes of these infections. Two of the patients had three episodes, and one had four episodes of these infections. In all episodes, BCG was the most common pathogen (82.6%, Table I). The *Mycobacterium avium* complex (MAC) was isolated during eight episodes of these infections. *M. tuberculosis* was also confirmed in two episodes of infection. No severe *Salmonella* species, *L. monocytogenes*, or viral infections were observed.

The common clinical manifestations were osteomyelitis/arthritis, lymphadenitis, and subcutaneous abscess/dermatitis (Table I and Fig. 1a). Only one patient was diagnosed as having arthritis, and the lesion spread to the adjacent bone. Two patients showed hepatosplenomegaly during the BCG infection, and two patients with the MAC infection developed pulmonary abscess. Among the BCG infections, the median intervals of time between BCG vaccination and the development of primary BCG infection were 3 (1–10 months), 4 (2–36 months), and 11 months (5–46 months) for the subcutaneous abscess/dermatitis, lymphadenitis, and osteomyelitis/arthritis, respectively (Fig. 1b).

We performed the genetic analysis on these patients for the *IFNGR1*, *IFNGR2*, *IL12B*, *IL12RB1*, *STAT1*, and *NEMO* genes. Six patients (five families) and one patient had mutations in the *IFNGR1* and *NEMO* genes, respectively (Table II). Five of the seven patients who had a mutation in the *IFNGR1* gene were the patients that we

**Table 1** The clinical manifestations of the patients with MSMD

	Patients with genetic mutation, n (%)	Patients without a genetic mutation, n (%)	Total n (%)
Causative pathogen <sup>a</sup>			
BCG	3 (42.9)	35 (89.7)	38 (82.6)
<i>M. avium</i> complex	1 (14.3)	3 (10.2)	4 (8.7)
BCG+ <i>M. avium</i> complex	2 (28.5)	0 (0)	2 (4.3)
<i>M. avium</i> complex+ <i>M. tuberculosis</i>	1 (14.3)	1 (2.6)	2 (4.3)
Sites of infection <sup>b</sup>			
Osteomyelitis/arthritis	7 (43.8)	24 (55.8)	31 (52.5)
Lymphadenitis	8 (50.0)	8 (18.6)	16 (27.1)
Dermatitis/subcutaneous	3 (18.8)	11 (25.6)	14 (23.7)
Pulmonary abscess	0 (0)	2 (4.7)	2 (3.4)

The total number exceeds 59 because some patients had multiple lesions at the same time

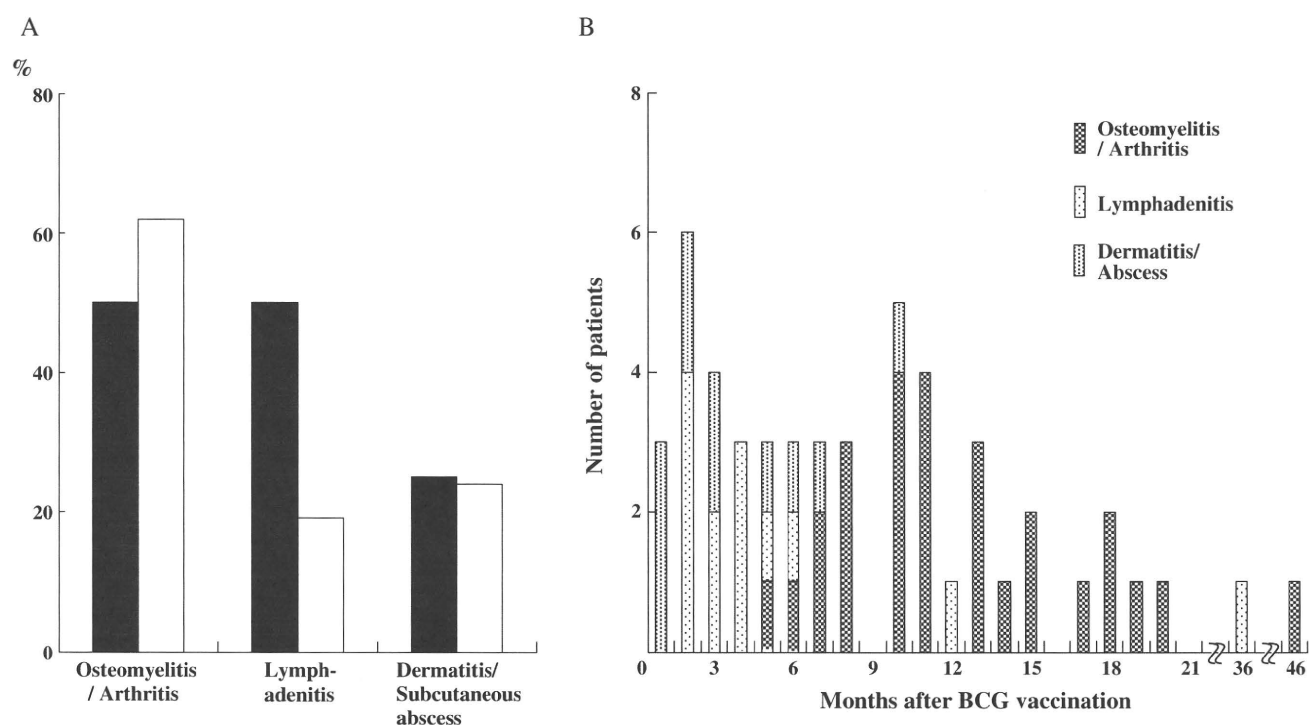
<sup>a</sup> *n*=7 for patients with a genetic mutation and *n*=39 for patients without a genetic mutation

<sup>b</sup> *n*=16 for patients with a genetic mutation and *n*=43 for patients without a genetic mutation

reported previously [14, 15], and the other two patients were newly identified. All of the IFN- $\gamma$ R1-deficient patients were heterozygotes, and the mutation was in the transmembrane domain in one patient (774del4: patient 5) and in the intracellular domain in five patients (811del4: patient 1, 818del4: patients 2–4, and 832 G>T, E278X: patient 6), which led to the expression of a truncated protein with a dominant negative effect on the IFN- $\gamma$ R1 signaling (Table II and Fig. 2a). The IFN- $\gamma$ R1 expression

levels were significantly increased in all six patients with IFN- $\gamma$ R1 deficiency (Fig. 2b). Patient 7 had a missense mutation in *NEMO* (943 G>C, E315Q). The CD14-positive cells from this patient produced a lower level of TNF in response to LPS stimulation (data not shown), which was consistent with the defect in NF- $\kappa$ B signaling.

The proportions of the patients with recurrent mycobacterial infection or multiple osteomyelitis/arthritis were



**Fig. 1** The clinical features of the patients with BCG infection. The distribution of the sites of infections (a) and the intervals between BCG vaccination and the first onset of BCG infection (b) are shown.

The black bar and the white bar represent the proportion of the patients with and without genetic mutations, respectively

**Table II** Characteristics of the patients with a genetic mutation

Patient no.	Sex	Age	Age of onset	Episodes of infections prior to detection of the genetic mutation	Genetic mutation
1 <sup>a</sup> [14]	F	1 year 7 months	10 months	BCG lymphadenitis and dermatitis Multiple BCG osteomyelitis	<i>IFNGR1</i> 811del4
2 <sup>a</sup> [14]	M	1 year 9 months	8 months	BCG lymphadenitis, hepatomegaly Multiple BCG osteomyelitis	<i>IFNGR1</i> 818del4
3 <sup>a</sup> [14]	M	2 years	2 years	Multiple BCG osteomyelitis	<i>IFNGR1</i> 818del4
4 <sup>a</sup> [14]	M	41 years	3 years	<i>M. tuberculosis</i> lymphadenitis (twice) Multiple MAC osteomyelitis	<i>IFNGR1</i> 818del4
5 <sup>a</sup> [15]	F	12 years	6 months	BCG lymphadenitis Multiple MAN osteomyelitis	<i>IFNGR1</i> 774del4
6	M	19 years	4 months	BCG lymphadenitis and dermatitis Multiple BCG osteomyelitis MAC subcutaneous abscess Multiple MAC osteomyelitis	<i>IFNGR1</i> E278X
7	M	10 years	10 months	<i>M. tuberculosis</i> lymphadenitis Multiple MAC lymphadenitis Sepsis, bacterial pneumonia (four times)	<i>NEMO</i> E315Q

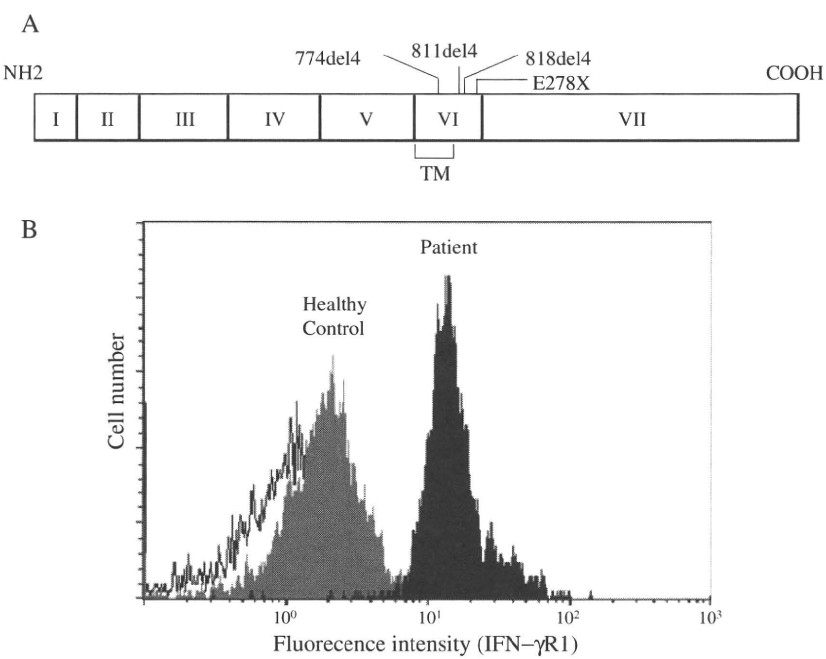
Patient 4 is the father of patient 2  
*MAC* *Mycobacterium avium* complex  
<sup>a</sup> These patients were reported previously

significantly higher in those with the genetic mutations (Table III). There were no significant differences in the age at the onset of mycobacterial infection, or in the interval of time between BCG vaccination and the first onset of BCG infection between the patients with and without genetic mutations. One patient diagnosed with BCG dermatitis died of persistent diarrhea of unknown etiology, while the others are still alive.

Discussion

In the present study, we investigated the clinical characteristics and the genetic backgrounds of the patients diagnosed as having MSMD in Japan. We observed that the patients with the genetic mutation were susceptible to developing recurrent mycobacterial infections and multiple osteomyelitis/arthritis, and IFN- $\gamma$ R1 deficiency was the most

**Fig. 2** *IFNGR1* gene mutations and the analysis of IFN- $\gamma$ R1 expression on monocytes. The sites of *IFNGR1* gene mutations in the six IFN- $\gamma$ R1-deficient patients (a) and the increased IFN- $\gamma$ R1 expression level on monocytes in patient 2 are shown (b)



**Table III** Comparison of the patients with and without a genetic mutation

	Patients with a genetic mutation ( <i>n</i> =7)	Patients without a genetic mutation ( <i>n</i> =39)
Age of onset (months)	10 (4–36)	14 (4–75)
Male to female ratio	2.5:1	1.8:1
Familial history ( <i>n</i> )	2	0
Median interval between BCG vaccination and the first onset of BCG infection (months)	9.5 (7–15, <i>n</i> =4)	10 (1–46, <i>n</i> =35)
Recurrent cases (%)	85.7*	7.7
Patients with multiple osteomyelitis/arthritis (%)	100* ( <i>n</i> =6)	4.2 ( <i>n</i> =24)

\**p*<0.0001

frequent genetic defect identified in these patients. The prevalence of MSMD is estimated to be at least 0.59 cases per million births, and the disease does not seem to be confined to any ethnic group or geographic region, according to a national retrospective study of idiopathic disseminated BCG infection in France [23, 24]. This is the first epidemiological study associated with MSMD in Japan which showed the difference in the clinical manifestation and the genetic background between Japan and Western countries.

The *IFNGR1* mutations identified in this study were in exon IV, within the transmembrane domain, or the intracellular domain of the *IFNGR1* gene (Fig. 2a), which led to a truncated protein lacking signaling motifs [25]. The truncated protein also lacks the recycling motif, which leads to the overexpression of the mutant protein (Fig. 2b) [25]. These mutations are located in important hot spots in the patients diagnosed with dominant partial IFN- $\gamma$ R1 deficiency [13], and the flow cytometric analysis of IFN- $\gamma$ R1 expression levels may be a useful method for the screening for this disease [15]. The *NEMO* mutation found in patient 7 was in exon VIII within the leucine zipper domain of the *NEMO* gene. A previous study reported that a mutation in this region disrupted a common salt bridge in the leucine zipper domain and impaired T-cell-dependent IL-12 production [22].

The patients with the genetic mutations were susceptible to recurrent mycobacterial infections and multiple osteomyelitis/arthritis as described previously [3], but no fatal mycobacterial infection was observed in this study. Unlike complete IFN- $\gamma$ R1 and IFN- $\gamma$ R2 deficiencies, which often cause fatal mycobacterial infections [13, 16], the patients with dominant partial IFN- $\gamma$ R1 and NEMO deficiencies have been reported to have a relatively mild disease and a better prognosis [13, 22]. These factors might have contributed to the good outcome of the patients in this study. In addition, the low virulence of BCG might contribute to the characteristics of BCG infection in Japan, because the BCG Tokyo 172 strain that is used in Japan for vaccination is the least virulent BCG substrain.

The *IL12RB1* mutation has been reported to be the most common cause of MSMD [4]. However, none of the patients in this study was diagnosed as having an IL-12

receptor  $\beta$ 1 deficiency. In Japan, this disease was reported in only one patient with disseminated lymphadenitis caused by *M. avium* complex [18]. It has been suggested that most complete IL-12 receptor  $\beta$ 1-deficient individuals may be asymptomatic, and only those that also have a second mutation in another gene may be more prone to infections [26, 27]. These symptomatic IL-12 receptor  $\beta$ 1-deficient patients are mainly found in families with consanguineous parents [19, 27]. Consanguineous marriages are uncommon in Japan, and there were no consanguineous families in this study. This might be the reason why no IL-12 receptor  $\beta$ 1-deficient patients were observed. Alternatively, it is possible that the causative gene mutations associated with MSMD are different among races, because the number of patients with IL-12 receptor  $\beta$ 1 deficiency was also lower than those with IFN- $\gamma$ R1 deficiency in Taiwan [28].

Although another patient had multiple osteomyelitis, and three patients had recurrent disseminated mycobacterial infections in these studies, they did not have mutations in any of the six genes. It was previously reported that no genetic etiology has yet been identified in about half of patients with disseminated and recurrent mycobacterial infections [3, 4]. This suggests the presence of as yet undetermined genetic factors in the development of this disease.

In the present study, the number of patients with genetic mutations might be too small to conclusively indicate the differences in the clinical manifestations and the host genetic backgrounds of MSMD between Japan and Western countries. However, in terms of the genetic etiology and the prognosis, it remains possible that the features of the patients diagnosed as having MSMD in the present study are different from those in previous reports [3]. Further investigations of a large number of patients are therefore warranted to more precisely evaluate the clinical manifestations and the host genetic background of MSMD in Japan.

Conclusions

We found that the patients diagnosed as having MSMD in Japan seem to have different genetic features, as well as



different clinical manifestations, compared with those in Western countries. A few patients with recurrent mycobacterial infections without mutations in the six known genes might suggest a contribution of other genetic, as well as environmental, factors in the susceptibility to recurrent infections.

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In conclusion, the associations among asthma, biofilm-forming bacteria, and revision ESS are strong and robust after adjusting for other factors in patients with CRS from a tertiary medical center. Despite its limitations, this study may improve our understanding of refractory CRS pathogenesis, possibly leading to more effective treatment strategies, such as incorporating the treatments of asthma and biofilm infection into conventional CRS therapies. Prospective cohort studies in diverse populations are needed to assess the causality of these associations.

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## Quantification of $\kappa$ -deleting recombination excision circles in Guthrie cards for the identification of early B-cell maturation defects

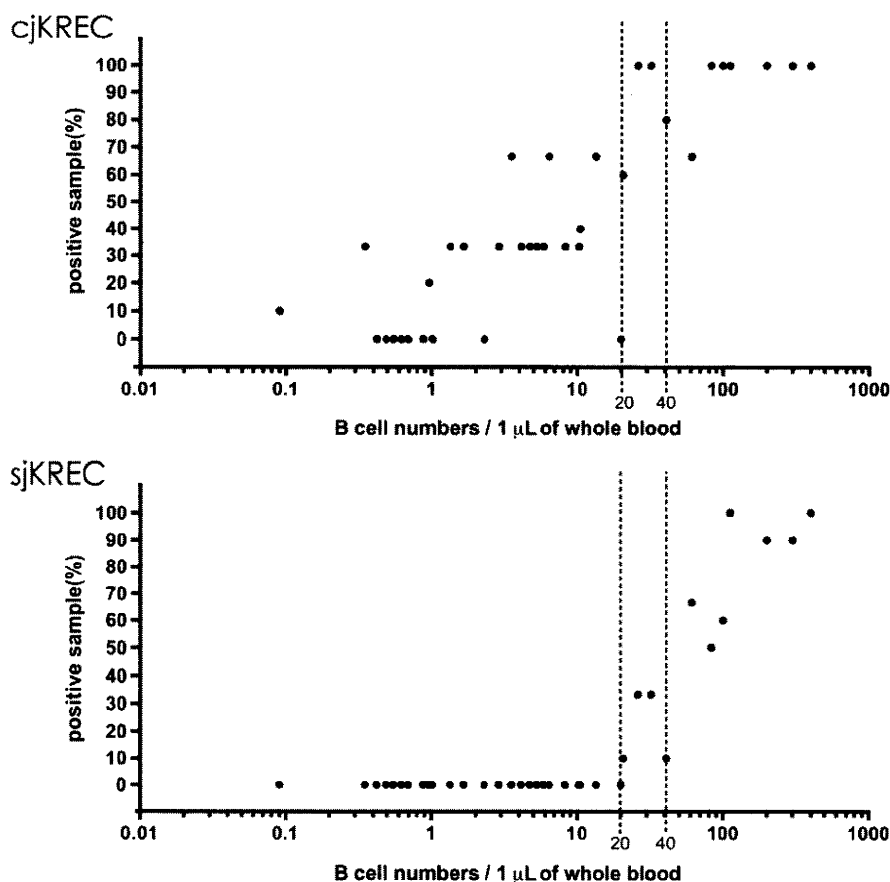
To the Editor:

X-linked agammaglobulinemia (XLA) is a primary immunodeficiency caused by severely decreased numbers of mature peripheral B lymphocytes as a result of a mutation in the *BTK* gene. Non-XLA is characterized by hypogammaglobulinemia with decreased B-cell counts (less than 2% of mature B cells) in the absence of the *BTK* gene mutation. Both XLA and non-XLA are caused by an early B-cell maturation defect.<sup>1</sup> In patients with XLA and non-XLA, recurrent infections appear between 3 and 18 months of age, whereas the mean age at diagnosis is 3 years.<sup>2</sup> This delayed diagnosis results in frequent hospitalization because of pneumonia, sepsis, meningitis, and other bacterial infections, which frequently require intravenous administration of antibiotics and can be fatal. Frequent pneumonia results in a high incidence of chronic lung diseases.<sup>3</sup> Thus, early diagnosis and early treatment, including periodical intravenous immunoglobulin replacement therapy, is essential to improve the prognosis and the quality of life of patients with XLA and non-XLA.

In the process of B-cell maturation, immunoglobulin  $\kappa$ -deleting recombination excision circles (KRECs) are produced during  $\kappa$ -deleting recombination allelic exclusion and isotypic exclusion of the  $\lambda$  chain.<sup>4</sup> Coding joint (cj) KRECs reside within the chromosome, whereas signal joint (sj) KRECs are excised from genomic DNA. cjKREC levels remain the same after B-cell division, whereas sjKREC levels decrease, because sjKRECs are not replicated during cell division.<sup>5</sup> Because the B-cell maturation defects in XLA and non-XLA occur before  $\kappa$ -deleting recombination, KRECs are not supposed to be produced. Therefore, measurements of KRECs have the potential to be applied to the identification of these types of B-cell deficiencies in patients, which consist of around 20% of all B-cell defects.<sup>6</sup> In addition, some types of combined immunodeficiencies show an arrest in B-cell maturation and can also be identified by this method. The success of newborn screening for T-cell deficiencies by measuring T-cell–receptor excision circles<sup>7</sup> prompted us to develop a newborn screening method for XLA and non-XLA by measuring KRECs derived from neonatal Guthrie cards.

The study protocol was approved by the National Defense Medical College institutional review board, and written informed consent was obtained from the parents of normal controls, the affected children, and adult patients, in accordance with the Declaration of Helsinki.

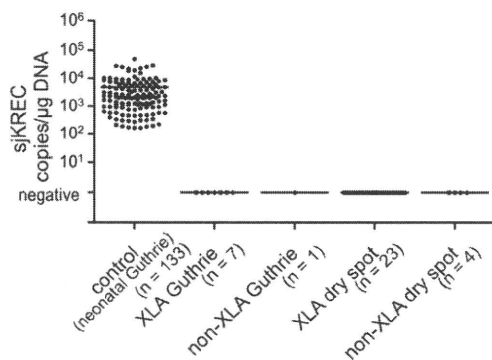
First, we determined the sensitivity of detection levels of cjKRECs and sjKRECs in Guthrie cards using real-time quantitative PCR.<sup>5</sup> Normal B cells from a healthy adult were isolated from peripheral blood (PB; mean purity, 88.5%). PB was also obtained from 1 patient with XLA (P20) whose B-cell number was 0.09 in 1  $\mu$ L whole blood and who was negative for sjKRECs ( $<1.0 \times 10^2$  copies/ $\mu$ g DNA). Various numbers of normal B cells were serially added to 1 mL whole PB obtained from this patient with XLA. The B-cell-added XLA whole blood was then applied to filter papers, and 3 punches (3 mm in diameter) of dried blood spots were used for DNA extraction. At least 3 DNA samples containing the same B-cell concentrations (0.09–400 B cells/ $\mu$ L) were used for the real-time quantitative PCR of cjKRECs and sjKRECs. The percentages of the positive samples ( $>1.0 \times 10^2$  copies/ $\mu$ g DNA) of cjKRECs and sjKRECs increased constantly



**FIG 1.** Sensitivity levels of cjKRECs and sjKRECs. Various numbers of purified normal B cells were serially added to whole PB from a patient with XLA (P20) to obtain B-cell-added XLA whole blood. cjKRECs and sjKRECs were measured in 3 to 10 samples of each concentration in triplicate. In all analyses, RNaseP (internal control) was positive ( $2.3 \pm 0.2 \times 10^5$  copies/ $\mu\text{g}$  DNA). X-axis, B-cell numbers in 1  $\mu\text{L}$  whole blood from a patient with XLA. Y-axis, Percentages of the KREC-positive results in the tests.

as the B-cell concentrations increased (Fig 1). None of the samples were positive for sjKRECs when the B-cell numbers were less than 20/ $\mu\text{L}$ , but cjKRECs were often positive. It has been reported that 90% of patients with XLA have less than 0.2% B cells in the PB at diagnosis.<sup>1</sup> Because peripheral lymphocyte numbers in neonates range from 1200 to 9800/ $\mu\text{L}$ ,<sup>8</sup> the absolute B-cell numbers of 90% of patients with XLA are estimated to be 2.4 to 19.6/ $\mu\text{L}$  at the time of blood collection for Guthrie cards, although exact B-cell numbers of XLA in neonatal periods are not known at this moment. Because neonates are known to have fewer B cells than infants,<sup>9</sup> and we observed that B-cell numbers are constantly low in patients with XLA throughout infancy (Nakagawa, unpublished data, June 2010), which is consistent with the fact that BTK plays an essential role in B-cell maturation. It is likely that neonates with XLA also have severely decreased B cells. On the other hand, all samples obtained from 400 B cells/ $\mu\text{L}$  were positive for both cjKRECs and sjKRECs. We also observed that all healthy infants (1-11 months old;  $n = 15$ ) were sjKREC-positive (Nakagawa, unpublished data, June 2010) and might have at least 600 B cells/ $\mu\text{L}$  whole blood.<sup>9</sup> From these data, it is assumed that at least 90% of patients with XLA are sjKREC-negative, and healthy neonates are positive for sjKRECs on neonatal Guthrie cards.

Next, we measured cjKRECs and sjKRECs in dried blood spots in filter papers or Guthrie cards from 30 patients with XLA and 5 patients with non-XLA and from 133 neonates born at the National Defense Medical College Hospital during this study period (August 2008 to October 2009) and 138 healthy subjects of various ages (1 month to 35 years old) to investigate the validity of this method. The levels of B cells of the patients ranged from 0.0% to 1.1% of total lymphocytes and 0.0 to 35.78/ $\mu\text{L}$ . IgG levels were 10 to 462 mg/dL (see this article's Tables E1 and E2 in the Online Repository at [www.jacionline.org](http://www.jacionline.org)). Patients with leaky phenotypes<sup>1,10</sup> were included; 1 patient (P30) had more than 1% B cells and 34.22/ $\mu\text{L}$  total B cells, and 4 patients had more than 300 mg/dL serum IgG (P12, P30, P31, P33). All of the normal neonatal Guthrie cards were positive for both cjKRECs and sjKRECs ( $7.2 \pm 0.7 \times 10^3$  and  $4.8 \pm 0.6 \times 10^3$  copies/ $\mu\text{g}$  DNA, respectively). All healthy subjects of various ages were also positive for both cjKRECs and sjKRECs (Nakagawa, unpublished data, June 2010). In contrast, specimens from all 35 B-cell-deficient patients were sjKREC-negative ( $<1.0 \times 10^2$  copies/ $\mu\text{g}$  DNA; Fig 2). All 5 patients with leaky phenotypes were also sjKREC-negative, which might be explained by the hypothesis that leaky B cells of patients with XLA are long-lived B cells that divided several times and have fewer sjKRECs than naive B cells.



**FIG 2.** Copy numbers of sjKRECs measured in neonatal Guthrie cards or dried blood spots obtained from B-cell-deficient patients. On all samples from control, neonatal Guthrie cards ( $n = 133$ ) were sjKREC-positive ( $4.8 \pm 0.6 \times 10^3$  copies/ $\mu$ g DNA). B-cell-deficient patients were negative for sjKRECs in neonatal Guthrie cards (XLA,  $n = 7$ ; non-XLA,  $n = 1$ ) and dried blood spots (XLA,  $n = 23$ ; non-XLA,  $n = 4$ ).

One patient (P27) was positive for cjKRECs, but other patients were negative for it. *RPPH1* (internal control) was detectable at the same level as in normal controls in all samples.

These results indicate that sjKRECs are undetectable in XLA and non-XLA and suggest that measurement of sjKRECs in neonatal Guthrie cards has the potential for the use of newborn mass screening to identify neonates with early B-cell maturation defects. Greater numbers of neonatal Guthrie cards should be examined to confirm this potential, and the data obtained from dried blood spots on filter papers must be examined to prove that they truly reflect the data obtained from neonatal Guthrie cards. We should also examine whether screening can reduce the cost of treatment of the bacterial infections and chronic lung diseases in patients with XLA and non-XLA and increase the benefits for these patients. An anticipated pilot study using a large cohort of newborns must address these problems. We also found that T-cell-receptor excision circles and sjKRECs can be measured simultaneously on the same plate. Thus, a pilot study of neonatal screening for both T-cell and B-cell deficiencies could be performed simultaneously.

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TABLE E1. Characteristics of patients with XLA

Patient no.	Unique patient no.	Age (y)	Sex	Serum Ig (mg/dL)			CD19 <sup>+</sup>		BTK mutation			Source	
				IgG	IgA	IgM	% Lymph	/μL	Genomic DNA	cDNA	Amino acid	Guthrie	Dry spot
P1	670	0	M	87	<6	10	0.21	12.99	29269G>T	1178-1G>T	Splice acceptor defect	x	
P2	718	0	M	215	<10	<10	0.07	7.04	11593_11594 insA	144_145insA	Arg49 frameshift	x	
P3	722	0	M	80	<1	1	<1.00	NA	25644C>T	763C>T	Arg255X	x	
P4	727	8	M	295	59	57	0.11	3.52	29269G>T	1178-1G>T	Splice acceptor defect		x
P5	732	34	M	1140*	<6	8	0.02	0.24	11631T>A	182T>A	Ile61Asn		x
P6	811	24	M	458*	0	13	0.50	5.32	23570T>G	426T>G	Tyr142X		x
P7	813	18	M	628*	109	6	0.60	6.87	23570T>G	426T>G	Tyr142X		x
P8	814	19	M	260	0	NA	0.20	3.01	16180C>T	344C>T	Ser115Phe		x
P9	815	13	M	600*	<10	<5	0.08	1.72	11590G>T	142-1G>T	Splice acceptor defect		x
P10	816	11	M	12	0	5	0.00	0.00	150kb deletion of <i>BTK</i> , <i>TIMM8A</i> , <i>TAF7L</i> , <i>DRP2</i>				x
P11	817	10	M	10	2	24	0.80	35.78	36288C>T	1928C>T	Thr643Ile		x
P12	824	13	M	462	6	27	0.41	14.49	27518C>A	895-11C>A	Splice acceptor defect		x
P13	834	5	M	<237	<37	43	0.00	0.00	25715_26210del	776+57_839+73del	Exon 9 deletion		x
P14	838	21	M	<50	<5	7	0.00	0.00	31596G>C	1631+1G>C	Splice donor defect		x
P15	839	16	M	604*	<1	<2	0.04	0.66	31596G>C	1631+1G>C	Splice donor defect		x
P16	847	11	M	698*	26	11	0.08	1.86	25536delG	655delG	Val219 frameshift		x
P17	877	14	M	20	19	8	0.21	NA	32357T>C	1750+2T>C	Splice donor defect		x
P18	880	5	M	233	39	41	0.06	NA	10941-?_14592+?del	1-?_240+?del	Exon 1-3 deletion		x
P19	888	8	M	<212	<37	150	0.15	6.60	11023G>A	83G>A	Arg28His		x
P20	891	21	M	195	<6	37	0.02	0.09	32243C>G	1638C>G	Cys502Trp		x
P21	958	0	M	<50	<10	9	0.80	27.14	31544_31547 delGTTT	1580_1583del GTTT	Cys527 frameshift		x
P22	701	2	M	115	<2	4	0.09	1.99	16172C>A	336C>A	Tyr112X		x
P23	911	0	M	<10	<6	<4	0.00	0.00	29955A>C	1350-2A>C	Splice acceptor defect	x	
P24	937	0	M	60	<2	58	0.00	0.00	11022C>T	82C>T	Arg28Cys	x	
P25	938	0	M	<20	<4	<6	0.00	0.00	36269-?_36778+?del	1909-?_2418+?del	Exon 19 deletion	x	
P26	939	0	M	60	<2	22	0.00	0.00	11022C>T	82C>T	Arg28Cys	x	
P27	890	12	M	<237	<37	<20	0.03	NA	36261G>A	1909-8G>A	Splice acceptor defect		x
P28	944	6	M	12	<1	1	0.02	NA	36281C>T	1921C>T	Arg641Cys		x
P29	948	5	M	<237	<37	<20	0.01	0.70	36261G>A	1909-8G>A	Splice acceptor defect		x
P30	1053	5	M	386	5	113	1.10	34.22	32259A>C	1654A>C	Thr552Pro		x

Age, Age at analysis of KRECs; CD19<sup>+</sup> % Lymph, CD19-positive cell percentage in lymphocytes; CD19<sup>+</sup> /μL, CD19-positive cell number in 1 μL whole peripheral blood; M, male; NA, not available; Serum Ig, serum levels of immunoglobulins at diagnosis. BTK mutation's reference sequences are NCBI NC\_000023.9, NM\_000061.2, and NP\_000052.1. \*Trough level during intravenous immunoglobulin therapy.



TABLE E2. Characteristics of patients with non-XLA

Patient no.	Unique patient no.	Age (y)	Sex	Serum Ig (mg/dL)			CD19 <sup>+</sup>		BTK mutation	Source	
				IgG	IgA	IgM	% Lymph	/μL		Guthrie	Dry spot
P31	596	4	F	386	<6	6	0.42	21.27	Normal		x
P32	719	0	F	<50	<5	<5	0.00	0.00	Normal	x	
P33	835	8	M	311	323	20	0.09	1.88	Normal		x
P34	915	0	M	<212	<37	<20	0.00	0.00	Normal		x
P35	947	0	M	<21	<37	<39	0.00	0.00	Normal		x

Age, Age at analysis of KREC; CD19<sup>+</sup> % Lymph, CD19-positive cell percentage in lymphocytes; CD19<sup>+</sup> /μL, CD19-positive cell number in 1 μL whole peripheral blood; F, female; M, male; Serum Ig, serum levels of immunoglobulins at diagnosis.

# Hoxb4 transduction down-regulates Geminin protein, providing hematopoietic stem and progenitor cells with proliferation potential

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Retrovirus-mediated transduction of Hoxb4 enhances hematopoietic stem cell (HSC) activity and enforced expression of Hoxb4 induces in vitro development of HSCs from differentiating mouse embryonic stem cells, but the underlying molecular mechanism remains unclear. We previously showed that the HSC activity was abrogated by accumulated Geminin, an inhibitor for the DNA replication licensing factor Cdt1 in mice deficient in *Rae28* (also known as *Phc1*), which encodes a member of Polycomb-group complex 1. In this study we found that Hoxb4 transduction reduced accumulated Geminin in *Rae28*-deficient mice, despite increasing the mRNA, and restored the impaired HSC activity. Supertransduction of Geminin suppressed the HSC activity induced by Hoxb4 transduction, whereas knockdown of Geminin promoted the clonogenic and replating activities, indicating the importance of Geminin regulation in the molecular mechanism underlying Hoxb4 transduction-mediated enhancement of the HSC activity. This facilitated our investigation of how transduced Hoxb4 reduced Geminin. We showed in vitro and in vivo that Hoxb4 and the Roc1 (also known as Rbx1)-Ddb1-Cul4a ubiquitin ligase core component formed a complex designated as RDCOXB4, which acted as an E3 ubiquitin ligase for Geminin and down-regulated Geminin through the ubiquitin-proteasome system. Down-regulated Geminin and the resultant E2F activation may provide cells with proliferation potential by increasing a DNA prereplicative complex loaded onto chromatin. Here we suggest that transduced Hoxb4 down-regulates Geminin protein probably by constituting the E3 ubiquitin ligase for Geminin to provide hematopoietic stem and progenitor cells with proliferation potential.

**R**etrovirus-mediated transduction of Hoxb4 has been shown to enhance activities of hematopoietic stem cells (HSCs), including self-renewal capacity in vivo and ex vivo in mice and humans (1–3). Moreover, enforced expression of Hoxb4 induces in vitro development of HSCs from differentiating mouse embryonic stem cells on OP9 stroma, suggesting that Hoxb4 also promotes developmental maturation of HSCs (4). It has therefore been anticipated that Hoxb4 can aid the development of a technological procedure for preparing a sufficient number of HSCs ex vivo (5, 6) as well as elucidate the molecular mechanism supporting HSC activity. Hox genes are widely conserved and share a homeobox encoding the homeodomain. Because the homeobox was ascertained to provide a sequence-specific DNA-binding activity, Hox genes have long been believed to specify antero-posterior positional identity through their transcriptional regulatory activity (7). Hoxb4 with an N-to-A substitution at amino acid 212 within helix 3 of the homeodomain (Hoxb4N > A) lacks DNA-binding capacity and it cannot enhance HSC activity (8). This has supported the hypothesis that Hoxb4 enhances HSC activity through its transcriptional regulatory activity. It has been further reported that Hoxb4 transcriptionally activates *c-Myc* (also known as *Myc*) (9) and down-regulates genes involved

in TNF- $\alpha$  and FGF signaling in bone marrow cells (BMCs) (10). The molecular mechanism underlying Hoxb4-mediated activation of HSCs, however, currently remains insufficiently understood.

*Rae28* and *Bmi1*, members of Polycomb-group (PcG) complex 1, have been shown to be essential for sustaining HSC activity (11, 12). PcG complex 1 maintains the transcriptionally repressed state of Hox genes through ubiquitination of histone H2A at lysine 119 (13), and Hoxb4 is one of the downstream targets for PcG complex 1 during early development (14). It is, however, presumed that Hoxb4 does not act as a downstream mediator for PcG complex 1 in sustaining HSC activity because Hoxb4 expression was not affected in hematopoietic cells deficient in *Rae28* and *Bmi1* (11, 12). *Bmi1* was shown to maintain HSC activity through direct repression of the INK4a locus encoding the p16 cyclin-dependent kinase inhibitor and p19ARF (12, 15) as well as through direct interaction with E4F1 (16). p19ARF and E4F1 are known to regulate p53 through ubiquitination (17, 18). On the other hand, we recently demonstrated that PcG complex 1, consisting of Ring1B, *Bmi1*, *Rae28*, and *Scmh1*, functions as an E3 ubiquitin ligase for Geminin, an inhibitor of DNA replication licensing factor Cdt1 (19), and that abnormal accumulation of Geminin impairs HSC activity in *Rae28*-deficient (*Rae*<sup>−/−</sup>) mice (20). In this study, we find that the impaired HSC activity in *Rae*<sup>−/−</sup> fetal liver cells (FLCs) was genetically complemented by Hoxb4 transduction and provide evidence suggesting that Hoxb4 acts as an E3 ubiquitin ligase for Geminin through the direct interaction with the Roc1-Ddb1-Cul4a ubiquitin ligase core component to regulate the protein's stability. Subsequently, down-regulated Geminin, in conjunction with its E2F activation, may facilitate DNA replication licensing to provide cells with proliferation potential (19). Geminin is further known to regulate chromatin remodeling (21) and transcription (22, 23). Here we indicate that Geminin regulation is crucial for Hoxb4 transduction-mediated enhancement of the hematopoietic stem and progenitor cell activity.

## Results

**Expression of Roc1, Ddb1, and Cul4a and Their Complex Formation with Hoxb4.** Murine BMCs were sorted for purification of a CD34<sup>−</sup> c-kit<sup>+</sup> Sca1<sup>+</sup> lineage marker-negative (lin<sup>−</sup>) subpopulation (CD34<sup>−</sup>KSL)

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The authors declare no conflict of interest.

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