

# ORIGINAL ARTICLE

# Clinical application of array-based comparative genomic hybridization by two-stage screening for 536 patients with mental retardation and multiple congenital anomalies

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Recent advances in the analysis of patients with congenital abnormalities using array-based comparative genome hybridization (aCGH) have uncovered two types of genomic copy-number variants (CNVs); pathogenic CNVs (pCNVs) relevant to congenital disorders and benign CNVs observed also in healthy populations, complicating the screening of disease-associated alterations by aCGH. To apply the aCGH technique to the diagnosis as well as investigation of multiple congenital anomalies and mental retardation (MCA/MR), we constructed a consortium with 23 medical institutes and hospitals in Japan, and recruited 536 patients with clinically uncharacterized MCA/MR, whose karyotypes were normal according to conventional cytogenetics, for two-stage screening using two types of bacterial artificial chromosome-based microarray. The first screening using a targeted array detected pCNV in 54 of 536 cases (10.1%), whereas the second screening of the 349 cases negative in the first screening using a genomewide high-density array at intervals of approximately 0.7 Mb detected pCNVs in 48 cases (13.8%), including pCNVs relevant to recently established microdeletion or microduplication syndromes, CNVs containing pathogenic genes and recurrent CNVs containing the same region among different patients. The results show the efficient application of aCGH in the clinical setting. Journal of Human Genetics (2011) 56, 110-124; doi:10.1038/jhg.2010.129; published online 28 October 2010

Keywords: array-CGH; congenital anomaly; mental retardation; screening

# INTRODUCTION

Mental retardation (MR) or developmental delay is estimated to affect 2-3% of the population.1 However, in a significant proportion of cases, the etiology remains uncertain. Hunter<sup>2</sup> reviewed 411 clinical cases of MR and reported that a specific genetic/syndrome diagnosis was carried out in 19.9% of them. Patients with MR often have

congenital anomalies, and more than three minor anomalies can be useful in the diagnosis of syndromic MR.<sup>2,3</sup> Although chromosomal aberrations are well-known causes of MR, their frequency determined by conventional karyotyping has been reported to range from 7.9 to 36% in patients with MR.4-8 Although the diagnostic yield depends on the population of each study or clinical conditions, such studies

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suggest that at least three quarters of patients with MR are undiagnosed by clinical dysmorphic features and karyotyping.

In the past two decades, a number of rapidly developed cytogenetic and molecular approaches have been applied to the screening or diagnosis of various congenital disorders including MR, congenital anomalies, recurrent abortion and cancer pathogenesis. Among them, array-based comparative genome hybridization (aCGH) is used to detect copy-number changes rapidly in a genome-wide manner and with high resolution. The target and resolution of aCGH depend on the type and/or design of mounted probes, and many types of microarray have been used for the screening of patients with MR and other congenital disorders: bacterial artificial chromosome (BAC)-based arrays covering whole genomes, 9,10 BAC arrays covering chromosome X,11,12 a BAC array covering all subtelomeric regions,13 oligonucleotide arrays covering whole genomes, 14,15 an oligonucleotide array for clinical diagnosis16 and a single nucleotide polymorphism array covering the whole genome. 17 Because genome-wide aCGH has led to an appreciation of widespread copy-number variants (CNVs) not only in affected patients but also in healthy populations, 18-20 clinical cytogenetists need to discriminate between CNVs likely to be pathogenic (pathogenic CNVs, pCNVs) and CNVs less likely to be relevant to a patient's clinical phenotypes (benign CNVs, bCNVs).21 The detection of more CNVs along with higher-resolution microarrays needs more chances to assess detected CNVs, resulting in more confusion in a clinical setting.

We have applied aCGH to the diagnosis and investigation of patients with multiple congenital anomalies and MR (MCA/MR) of unknown etiology. We constructed a consortium with 23 medical institutes and hospitals in Japan, and recruited 536 clinically uncharacterized patients with a normal karyotype in conventional cytogenetic tests. Two-stage screening of copy-number changes was performed using two types of BAC-based microarray. The first screening was performed by a targeted array and the second screening was performed by an array covering the whole genome. In this study, we diagnosed well-known genomic disorders effectively in the first screening, assessed the pathogenicity of detected CNVs to investigate an etiology in the second screening and discussed the clinical significance of aCGH in the screening of congenital disorders.

# MATERIALS AND METHODS

# Subjects

We constructed a consortium of 23 medical institutes and hospitals in Japan, and recruited 536 Japanese patients with MCA/MR of unknown etiology from July

2005 to January 2010. All the patients were physically examined by an expert in medical genetics or a dysmorphologist. All showed a normal karyotype by conventional approximately 400–550 bands-level G-banding karyotyping. Genomic DNA and metaphase chromosomes were prepared from peripheral blood lymphocytes using standard methods. Genomic DNA from a lymphoblastoid cell line of one healthy man and one healthy woman were used as a normal control for male and female cases, respectively. All samples were obtained with prior written informed consent from the parents and approval by the local ethics committee and all the institutions involved in this project. For subjects in whom CNV was detected in the first or second screening, we tried to analyze their parents as many as possible using aCGH or fluorescence *in situ* hybridization (FISH).

## Array-CGH analysis

Among our recently constructed in-house BAC-based arrays,<sup>22</sup> we used two arrays for this two-stage survey. In the first screening we applied a targeting array, 'MCG Genome Disorder Array' (GDA). Initially GDA version 2, which contains 550 BACs corresponding to subtelomeric regions of all chromosomes except 13p, 14p, 15p, 21p and 22p and causative regions of about 30 diseases already reported, was applied for 396 cases and then GDA version 3, which contains 660 BACs corresponding to those of GDA version 2 and pericentromeric regions of all chromosomes, was applied for 140 cases. This means that a CNV detected by GDA is certainly relevant to the patient's phenotypes. Subsequently in the second screening we applied 'MCG Whole Genome Array-4500' (WGA-4500) that covers all 24 human chromosomes with 4523 BACs at intervals of approximately 0.7 Mb to analyze subjects in whom no CNV was detected in the first screening. WGA-4500 contains no BACs spotted on GDA. If necessary, we also used 'MCG X-tiling array' (X-array) containing 1001 BAC/PACs throughout X chromosome other than pseudoautosomal regions.<sup>12</sup> The array-CGH analysis was performed as previously described.<sup>12,23</sup>

For several subjects we applied an oligonucleotide array (Agilent Human Genome CGH Microarray 244K; Agilent Technologies, Santa Clara, CA, USA) to confirm the boundaries of CNV identified by our in-house BAC arrays. DNA labeling, hybridization and washing of the array were performed according to the directions provided by the manufacturer. The hybridized arrays were scanned using an Agilent scanner (G2565BA), and the CGH Analytics program version 3.4.40 (Agilent Technologies) was used to analyze copy-number alterations after data extraction, filtering and normalization by Feature Extraction software (Agilent Technologies).

# Fluorescence in situ hybridization

Fluorescence *in situ* hybridization was performed as described elsewhere<sup>23</sup> using BACs located around the region of interest as probes.

#### **RESULTS**

# CNVs detected in the first screening

In the first screening, of 536 cases subjected to our GDA analysis, 54 (10.1%) were determined to have CNV (Figure 1; Tables 1 and 2).

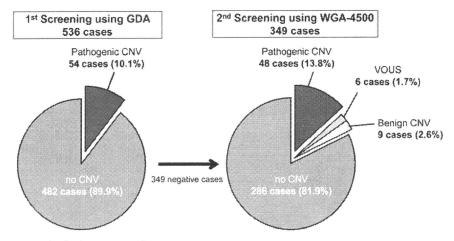


Figure 1 Percentages of each screening in the current study.



Table 1 A total of 40 cases with CNV at subtelomeric region(s) among 54 positive cases in the first screening

|        | Position where  | CNV detected   |  |                                     |                                |
|--------|-----------------|----------------|--|-------------------------------------|--------------------------------|
| Gender | Loss            | Gain           | Corresponding disorder <sup>a</sup>                                    | OMIM or citation                    | Parental analysis <sup>b</sup> |
| M      | 1p36.33         |                | Chromosome 1p36 deletion syndrome                                      | #607872                             |                                |
| M      | 1p36.33p36.32   |                | Chromosome 1p36 deletion syndrome                                      | #607872                             |                                |
| M      | 1p36.33p36.32   |                | Chromosome 1p36 deletion syndrome                                      | #607872                             |                                |
| M      | 1p36.33p36.32   |                | Chromosome 1p36 deletion syndrome                                      | #607872                             |                                |
| M      | 1q44            |                | Chromosome 1q43-q44 deletion syndrome                                  | #612337                             |                                |
| F      | 2q37.3          |                | 2q37 monosomy <sup>c</sup>   | Shrimpton et al.24                  |                                |
| F      | 2q37.3          |                | 2q37 monosomy <sup>c</sup>   | Shrimpton et al.24                  |                                |
| M      | 3q29            |                | Chromosome 3q29 deletion syndrome                                      | #609425                             |                                |
| F      | 5p15.33p15.32   |                | Cri-du-chat syndrome   | #123450                             |                                |
| M      | 5q35.2q35.3     |                | Chromosome 5q subtelomeric deletion syndrome                           | Rauch et al. <sup>25</sup>          |                                |
| F      | 6p25.3          |                | Chromosome 6pter-p24 deletion syndrome                                 | #612582                             |                                |
| M      | 7q36.3          |                | 7q36 deletion syndrome <sup>d</sup>                                    | Horn et al. <sup>26</sup>           |                                |
| F      | 7q36.3          |                | 7q36 deletion syndrome <sup>d</sup>                                    | Horn et al.26                       |                                |
| M      | 9p24.3p24.2     |                | Chromosome 9p deletion syndrome  | #158170                             |                                |
| F      | 9q34.3          |                | Kleefstra syndrome   | #610253                             |                                |
| F      | 10q26.3         |                | Chromosome 10q26 deletion syndrome                                     | #609625                             |                                |
| F      | 16p13.3         |                | Chromosome 16p13.3 deletion syndrome                                   | #610543                             |                                |
| F      | 22q13.31        |                | Chromosome 22q13 deletion syndrome                                     | #606232                             |                                |
| M      | 22q13.31q13.33  |                | Chromosome 22q13 deletion syndrome                                     | #606232                             |                                |
| M      |                 | 15q26.3        | 15q overgrowth syndrome <sup>c</sup>                                   | Tatton-Brown et al.27               |                                |
| F      |                 | 15q26.3        | 15q overgrowth syndrome <sup>c</sup>                                   | Tatton-Brown et al.27               |                                |
| M      |                 | 21q22.13q22.3  | Down's syndrome (partial trisomy 21)                                   | #190685                             |                                |
| M      |                 | Xp22.33        | A few cases have been reported; e.g. V5-130 in Lu et al. <sup>28</sup> |                                     |                                |
| M      |                 | Xq28           | Chromosome Xq28 duplication syndrome                                   | #300815                             |                                |
| F      | 1q44            |                | Chromosome 1q43-q44 deletion syndrome                                  | #612337                             |                                |
|        |                 | 8p23.2p23.3    |  |                                     |                                |
| M      | 3p26.3          |                | 3p deletion syndrome <sup>d</sup>                                      | Fernandez et al.29                  |                                |
|        |                 | 12p13.33p11.22 |  |                                     |                                |
| F      | 3p26.3          |                | 3p deletion syndrome <sup>d</sup>                                      | Fernandez et al.29                  |                                |
|        |                 | 16p13.3        | Chromosome 16p13.3 duplication syndrome                                | #613458                             |                                |
| F      | 4q35.2          |                | 4q— syndrome <sup>d</sup>  | Jones et al.30                      |                                |
|        |                 | 7q36.3         |  |                                     |                                |
| M      | 5p15.33         |                | Cri-du-chat syndrome   | #123450                             |                                |
|        |                 | 20p13          |  |                                     |                                |
| M      | 5p15.33p15.32   |                | Cri-du-chat syndrome   | #123450                             |                                |
|        |                 | 2p25.3         |  |                                     |                                |
| F      | 6q27            |                | 6q terminal deletion syndrome <sup>d</sup>                             | Striano et al.31                    |                                |
|        |                 | 11q25          |  |                                     |                                |
| F      | 6q27            |                | 6q terminal deletion syndrome <sup>d</sup>                             | Striano et al.31                    |                                |
|        |                 | 8q24.3         |  |                                     |                                |
| M      | 7q36.3          |                | 7q36 deletion syndrome <sup>d</sup>                                    | Horn et al.26                       | dn                             |
|        |                 | 1q44           |  |                                     |                                |
| M      | 9p24.3p24.2     |                | Chromosome 9p deletion syndrome  | #158170                             |                                |
|        |                 | 7q36.3         |  |                                     |                                |
| F      | 10p15.3p15.2    |                | Chromosome 10p terminal deletion <sup>d</sup>                          | Lindstrand et al.32                 | pat                            |
|        |                 | 7p22.3p22.2    |  |                                     |                                |
| M      | 10p15.3         |                | Chromosome 10p terminal deletion <sup>d</sup>                          | Lindstrand et al.32                 |                                |
|        |                 | 2p25.3         |  |                                     |                                |
| M      | 10q26.3         |                | Chromosome 10q26 deletion syndrome                                     | #609625                             |                                |
|        |                 | 2q37.3         | Distal trisomy 2q <sup>d</sup>   | Elbracht et al.33                   |                                |
| M      | 18q23           | and the second | Chromosome 18q deletion syndrome                                       | #601808                             |                                |
|        |                 | 7q36.3         |  |                                     |                                |
| F      | 22q13.31q13.33  | . 400.0        | Chromosome 22q13.3 deletion syndrome                                   | #606232                             | pat                            |
|        | _2410.01410.00  | 17q25.3        | One case was reported  | Lukusa <i>et al</i> . <sup>34</sup> | F                              |
| M      | Xp22.33/Yp11.32 | 2 · 4= 0.0     | Contiguous gene–deletion syndrome on Xp22.3 <sup>d</sup>               | Fukami <i>et al.</i> <sup>35</sup>  |                                |
|        |                 |                |  |                                     |                                |

Abbreviations: F, female; CNV, copy-number variant; M, male; OMIM, Online Mendelian Inheritance in Man; dn, de novo CNV observed in neither of the parents. 
<sup>a</sup>The name of disorder is based on entry names of OMIM, expect for entry names in DECIPHER and description in each cited article. 
<sup>b</sup>pat, father had a balanced translocation involved in corresponding subtelomeric regions. 
<sup>c</sup>Entry names in DECIPHER. 
<sup>d</sup>Description in each cited article.

All the CNVs detected in the first screening were confirmed by FISH. Among the positive cases, in 24 cases one CNV was detected. All the CNVs corresponded to well-established syndromes or already described disorders (Table 1). In 16 cases two CNVs, one deletion and one duplication, were detected at two subtelomeric regions, indicating that one of parents might be a carrier with reciprocal translocation involved in corresponding subtelomeric regions, and at least either of the two CNVs corresponded to the disorders. We also performed parental analysis by FISH for three cases whose parental samples were available, and confirmed that in two cases the subtelomeric aberrations were inherited from paternal balanced translocation and in one case the subtelomeric aberrations were de novo (Table 1). In the other 14 cases, CNVs (25.9%) were detected in regions corresponding to known disorders (Table 2).

# CNVs detected in the second screening and assessment of the CNVs

Cases were subject to the second screening in the order of subjects detected no CNV in the first screening, and until now we have analyzed 349 of 482 negative cases in the first screening. In advance, we excluded highly frequent CNVs observed in healthy individuals and/or in multiple patients showing disparate phenotypes from the present results based on an internal database, which contained all results of aCGH analysis we have performed using WGA-4500, or other available online databases; for example, Database of Genomic Variant (http://projects.tcag.ca/variation/). As a result, we detected 66 CNVs in 63 cases (Figure 1; Table 3). Among them, three patients (cases 36, 42 and 44) showed two CNVs. All the CNVs detected in the second screening were confirmed by other cytogenetic methods including FISH and/or X-array. For 60 cases, we performed FISH for confirmation and to determine the size of each CNV. For five cases, cases 13, 36, 48, 57 and 63, with CNVs on the X chromosome, we used the X-array instead of FISH. For cases 4, 6, 16-19 and 34, we also used Agilent Human Genome CGH Microarray 244K to determine the refined sizes of CNVs. The maximum and minimum sizes of each CNV determined by these analyses are described in Table 3.

# Well-documented pCNVs emerged in the second screening

CNVs identified for recently established syndromes. We assessed the pathogenicity of the detected CNVs in several aspects (Figure 2).<sup>21,37,38</sup> First, in nine cases, we identified well-documented pCNVs, which are responsible for syndromes recently established. A heterozygous deletion at 1q41-q42.11 in case 2 was identical to patients in the first report of 1q41q42 microdeletion syndrome.<sup>39</sup> Likewise a CNV in case 3 was identical to chromosome 1q43-q44 deletion syndrome (OMIM: #612337), 40 a CNV in case 4 was identical to 2q23.1 microdeletion syndrome, 41 a CNV in case 5 was identical to 14q12 microdeletion syndrome<sup>42</sup> and a CNV in case 6 was identical to chromosome 15q26-qter deletion syndrome (Drayer's syndrome) (OMIM: #612626).<sup>43</sup> Cases 7, 8 and 9 involved CNVs of different sizes at 16p12.1-p11.2, the region responsible for 16p11.2-p12.2 microdeletion syndrome. 44,45 Although an interstitial deletion at 1p36.23p36.22 observed in case 1 partially overlapped with a causative region of chromosome 1p36 deletion syndrome (OMIM: #607872), the region deleted was identical to a proximal interstitial 1p36 deletion that was recently reported.<sup>46</sup> Because patients with the proximal 1p36 deletion including case 1 demonstrated different clinical characteristics from cases of typical chromosome 1p36 deletion syndrome, in the near term their clinical features should be redefined as an independent syndrome.<sup>46</sup>

CNVs containing pathogenic gene(s). In four cases we identified pCNVs that contained a gene(s) probably responsible for phenotypes. In case 10, the CNV had a deletion harboring GLI3 (OMIM: \*165240)

Table 2 Other cases among 54 positive cases in the first screening

|        | Position where | e CNV detected |                           |          |
|--------|----------------|----------------|---------------------------|----------|
| Gender | Gain           | Loss           | Corresponding disorder    | ОМІМ     |
| F      |                | 4p16.3         | Ring chromosome           |          |
|        |                | 4q35.2         |                           |          |
| M      |                | 3q22.323       | BPES                      | #110100  |
| M      |                | 2q22.3         | ZFHX1B region             | *605802  |
| M      |                | 4q22.1         | Synuclein (SNCA) region   | *163890  |
| F      |                | 7p21.1         | Craniosynostosis, type 1  | #123100  |
| F      |                | 7q11.23        | Williams syndrome         | #194050  |
| F      |                | 8q23.3q24.11   | Langer-Giedion syndrome   | #150230  |
| M      | 15q11.2q13.1   |                | Prader-Willi/Angelman     | #176270/ |
|        |                |                |                           | #105830  |
| F      |                | 17p11.2        | Smith-Magenis syndrome    | #182290  |
| M      |                | 17q11.2        | Neurofibromatosis, type I | +162200  |
| M      | 22q11.21       |                | DiGeorge syndrome         | #188400  |
| F      |                | 22q11.21       | DiGeorge syndrome         | #188400  |
| F      | Xp22.31        |                | Kallmann syndrome 1       | +308700  |
| F      | Whole X        |                | Mosaicism                 |          |

Abbreviations: CNV, copy-number variant; F, female; M, male; OMIM, Online Mendelian Inheritance in Man.

accounting for Greig cephalopolysyndactyly syndrome (GCS; OMIM: 175700).<sup>47</sup> Although phenotypes of the patient, for example, pre-axial polydactyly of the hands and feet, were consistent with GCS, his severe and atypical features of GCS, for example, MR or microcephaly, might be affected by other contiguous genes contained in the deletion.<sup>48</sup> Heterozygous deletions of BMP4 (OMIM: \*112262) in case 11 and CASK (OMIM: \*300172) in case 13 have been reported previously. 49,50 In case 12, the CNV contained YWHAE (OMIM: \*605066) whose haploinsufficiency would be involved in MR and mild CNS dysmorphology of the patient because a previous report demonstrated that haploinsufficiency of ywhae caused a defect of neuronal migration in mice51 and a recent report also described a microdeletion of YWHAE in a patient with brain malformation.<sup>52</sup>

Recurrent CNVs in the same regions. We also considered recurrent CNVs in the same region as pathogenic; three pairs of patients had overlapping CNVs, which have never been reported previously. Case 16 had a 3.3-Mb heterozygous deletion at 10q24.31-q25.1 and case 17 had a 2.0-Mb deletion at 10q24.32-q25.1. The clinical and genetic information will be reported elsewhere. Likewise, cases 14 and 15 also had an overlapping CNV at 6q12-q14.1 and 6q14.1, and cases 18 and 19 had an overlapping CNV at 10p12.1-p11.23. Hereafter, more additional cases with the recurrent CNV would assist in defining new syndromes.

CNVs reported as pathogenic in previous studies. Five cases were applicable to these criteria. A deletion at 3p21.2 in case 20 overlapped with that in one case recently reported.<sup>53</sup> The following four cases had CNVs reported as pathogenic in recent studies: a CNV at 7p22.1 in case 21 overlapped with that of patient 6545 in a study by Friedman et al., <sup>14</sup> a CNV at 14q11.2 in case 22 overlapped with those of patients 8326 and 5566 in Friedman et al., 14 a CNV at 17q24.1-q24.2 in case 23 overlapped with that in patient 99 in Buysse et al.54 and a CNV at 19p13.2 in case 24 overlapped with case P11 in Fan et al.<sup>55</sup>

Large or gene-rich CNVs, or CNVs containing morbid OMIM genes. In cases inapplicable to the above criteria, we assessed CNVs

| np | g |
|----|---|
| _  | _ |

Table 3 Sixty-three cases with CNV in the 2nd screening

| CNV Corresponding assess- or candidate                 | gene(s)               |  |   |  |   |  |  |  |   |  | 6113   | ВМР4   | YWHAE   | CASK   |
|--|-----------------------|--|---|--|---|--|--|--|---|--|--|--|---|--|
| CNV<br>assess-   | ment <sup>d</sup>     | ۵  | ۵   | ۵  | ۵   | ۵  | ۵  | ۵  | ۵   | ۵  | ۵  | ۵  | ۵   | ۵  |
| Protein- CNV<br>Parental coding asses                  |                       | 32   | 35  | 11   | 7   | 25   | 9  | 138  | 134   | 125  | 70   | 18   | 22  | 6  |
| Parental   | analysis              | qp   | пр  |  |   |  |  | qu   | иp  |  | иp   | ир   | up  | qp   |
|  | Size (max)            | 2 558 590  | 6481439   | 2 144 037  | 2 228 419                                       | 6721200  | 3742919  | 5 648 152  | 4 258 984   | 5 602 254  | 9887190  | 3 089 980  | 1018839   | 4 103 418  |
| CNVa   | Size (min)            | 1670237  | 5001798   | 74028  | 2167571   | 5391583  | 3714368  | 2816866  | 951773  | 3 364 502  | 8187144  | 2746662  | 930 940   | 4034171  |
| the identified   | End (max)             | 11 143 717   | 22 467 931                                      | 44141010   | 49879891  | 35489337   | 96 942 334   | 31 443 492   | 31 443 492  | 34 476 095   | 45 508 196   | 55 054 754   | 2026967   | 45 495 709   |
| Base position and size of the identified CNV $^{ m a}$ | End (min)             | 10561097   | 21 534 398 2                                    | 432516602  | 498558261                                       | 34 689 412   | 96 928 421   | 29 825 404   | 29 825 404  | 32773200   | 44 657 334   | 54 730 496   | 2 0 7 7 1 5 1                                       | 45419624 45495709  |
| Base positii   | Start (min)           | 8890860  | 165326002                                       | 431776322  | 47 688 255 1                                    | 29 297 829   | 93214053   | 27 008 538   | 28873631  | 29 408 698   | 36470190   | 51 983 834   | 1146211   | 41385453   |
|  | Start (max)           | 8 585 127  | 215 986 492 216 532 600 221 534 398 222 467 931 | 241 996 973 243 177 632 243 251 660 244 141 010  | 147 651 472 147 688 255 149 855 826 149 879 891 | 28 768 137   | 93 199 415   | 25795340   | 27 184 508  | 28873841   | 35 621 006   | 51 964 774   | 1 008 128   | 41 392 291   |
|  | FISH                  | ish del(1)(p36.23p36.22)<br>(RP11-462M3+,<br>RP11-106A3-,<br>RP11-28P4+)dn | 2.11)   | ish del(1)(q44)<br>(RP11-56019+,<br>RP11-156E8-) | ish del(2)(q23.1)<br>(RP11-375H16-)             | ish del(14)(q13.2)<br>(RP11-831F6-)                | ish del(15)(q26.2)<br>(RP11-308P12-)                 | ish del(16)(p11.2)<br>(RP11-75J11-)dn                  | ish del(16)(p11.2)<br>(RP11-360L15-,<br>RP11-388M20+,<br>RP11-75J11+)dn | ish del(16)(p11.2)<br>(RP11-388M20-,<br>RP11-75J11-) | ish del(7)(p14.1p13)<br>(RP11-258111+,<br>RP11-2J17-,<br>RP11-346F12-)dn | ish del(14)(q22.1)<br>(RP11-122A4-,<br>RP11-316L15+)dn | ish del(17)(p13.3)<br>(RP11-4F24-,<br>RP11-26N6+)dn | ish del(X)(p11.4p11.3)<br>(RP11-95C16-,<br>RP11-829C10-)dn |
|  | WGA-4500 <sup>b</sup> | ar cgh<br>1p36.23p36.22<br>(RP11-81J7→<br>RP11-19901x1                     | ar cgh 1q41<br>(RP11-135J2→<br>RP11-239E10)x1   | arr cgh 1q44<br>(RP11-156E8)x1                   | arr cgh 2q23.1<br>(RP11-72H23)x1                | arr cgh 14q12q13.2<br>(RP11-36909→<br>RP11-26M6)x1 | arr cgh 15q26.2q26.3<br>(RP11-79C10→<br>RP11-80F4)x1 | arr cgh 16p12.1p11.2<br>(RP11-309I14→<br>RP11-150K5)x1 | arr cgh 16p12.1p11.2<br>(RP11-360L15→<br>RP11-150K5)x1                  | arr cgh 16p11.2<br>(RP11-368N21 →<br>RP11-499D5)x1   | arr cgh 7p14.2p13<br>(RP11-138E20→<br>RP11-52M17)x1                      | arr cgh 14q22.1q22.3<br>(RP11-122A4→<br>RP11-172G1)x1  | arr cgh 17p13.3<br>(RP11-294J5→<br>RP11-35707)x1    | arr cgh Xp11.3p11.4<br>(RP11-1069J5→<br>RP11-245M24)x1     |
|  | CNV Position          | 1p36.23p36.22 arr cgh<br>1p36.2<br>(RP11-<br>RP11-1                        | 1q41q42.11                                      | 1944   | 2q22  | del 14q12q13.2                                     | 15q26.2  | del 16p12.1p11.2                                       | del 16p11.2   | 16p11.2  | 7p14.2p13  | 14q22.1q22.3   | 17q13.3   | del Xp11.4p11.3  |
|  | CNV                   | le de  | del   | del  | del   | del  | del  | del  | del   | del  | de   | del  | del   | de   |
| Remarkable<br>clinical                                 | features              |  |   | Epilepsy   |   |  | СНО  | СНО  | СНО   |  |  | Corneal  | Idiopathic<br>Ieukodystrophy                        |  |
| Clinical   | Case Gender diagnosis | MCA/MR   | MCA/MR  | MCA/MR   | MCA/MR  | MCA/MR   | MCA/MR   | MCA/MR   | MCA/MR  | MCA/MR   | MCA/MR   | MCA/MR   | MCA/MR  | MCA/MR   |
|  | Gender                | Σ  | Σ   | ш  | LL.   | Ŀ  | Σ  | Σ  | Σ   | ш.   | Σ  | LL.  | Σ   | Σ  |
|  | Case                  | Н  | 0   | m  | 4   | 22   | 9  | _  | $\infty$  | Q  | 10   | 11   | 12  | 133  |



Table 3 Continued

| Corresponding                                 | assess- or candidate | genets/                       |  |   |   |   |   |   |   |  |   |   |   |  |  |
|---|----------------------|-------------------------------|--|---|---|---|---|---|---|--|---|---|---|--|--|
| CNV   | assess-              |                               | ۵  | ۵   | ۵   | ۵   | ۵   | ۵   | ۵   | ۵  | ۵   | ۵   | ۵   | ۵  | ۵                                      |
| Protein- CNV                                  | Soding               | Scritco                       | 99   | 10  | 18  | 12  | 99  | 41  | 175   | 28   | > 30  | 59  |   | > 30   | 17                                     |
| 1010000                                       | Parental coding      | allalysis                     | qu   |   |   |   | пр  | dn  |   | dp   |   |   | dp  |  |  |
|   | Sizo (may)           | Size (Iliax) alialysis gelles | 6071847  | 4367524   | 2 043 665   | 2456211   | 3 368 825   | 2 093 622   | 6 421 283   | 3 223 668                                      | 1 194 214   | 4011417   | 3 3 0 4 9 0 2                                     | 24 441 446   | 2 435 264                              |
| SNVa  | Circ (min)           |                               | 1 194 290 1  | 3328992   | 2 003 399   | 2427416   | 3345595   | 2 077 638   | 5030632   | 341 762  | 228 305   | 3656310   | 1719919   | 8 172 705 2  | 1 273 226                              |
| ne identified (                               |                      | End (max)                     | 851017181419429016071847   | 79851528  | 29 088 950  | 30 577 807  |   |   | 52 571 544  | 6409277  | 21 264 945  | 64 587 782 3  | 12553279 1719919                                  | 2714666 18   | 10638054 1273226                       |
| and size of th                                |                      | End (min) E                   | 83926178 8   | 79474428 7  | 29057401 2  | 30 559 024 3  | 914057 10   | 005827 10   | 51390597 5  | 6233987  | 20534929 2  | 64592701 6  | 11968772 1  | 86969111   | 9793705 1                              |
| Base position and size of the identified CNVª |                      | Start (min) EI                | 69731888 83  | 76145436 79   | 27 054 002 29   | 28131608 30   | 102 560 783 102 568 462 105 914 057 105 929 608   | 103917900 103928189 106005827 106011522                               | 46359965 51   | 5892225 6                                      | 20306624 20                                       | 60 936 391 64   | 10248853 11                                       | 91 696 986 109 869 691 112 714 666 18 172 705 24 441 446 | 8520479                                |
|   |                      | Start (max) Sta               | 69029871 69  | 75484004 76   | 27 045 285 27   | 28 121 596 28   | 560 783 102   | 917 900 103   | 46150261 46   | 3185609 5                                      | 20070731 20                                       | 60 576 365 60   | 9248377 10  | 88273220 91  | 8 202 790 8                            |
|   | 3                    | Sta                           | upu  | ish del(6)(q14.1) 75<br>(RP11-5N7-,RP11-<br>990K4-,RP11-116+) |   | 23)   | ish del(10)(q24.33) 102<br>(RP11-416N2-)dn  | ish del(10)(q24.33) 103<br>(RP11-416N2-)dn                            | 1.31)   | ish del(7)(p22.1)<br>(RP11-2K20-)dn            | ish dup(14)(q11.2) 2C<br>(RP11-152G22++)          |   | 9)(p13.2)   | ish dup(2)(q11.2) 86<br>(RP11-542D13++)                  | ish dup(4)(p16.1) 8<br>(RP11-301J10++) |
|   | digit                | FISH                          | 11- ish del(6<br>(RP11-2   | ish del(6<br>(RP11-5<br>990K4-                                |   |   |   |   |   | ish del(7<br>(RP11-2                           | ish dup(<br>(RP11-1                               | ish del(17)<br>(q24.1q24.2)<br>(RP11-93E5-,<br>RP11-89L7-,<br>RP11-79K13- | ish del(19<br>(91021-)                            | ish dup(<br>(RP11-5                                      | ish dup(<br>(RP11-3                    |
|   | 40000                | WGA-4500°                     | arr cgh 6q12q14.2(RP11- ish del(6)(q13)<br>502L6→<br>RP11-232L4)x1 | arr cgh 6q14.1<br>(RP11-343P23→<br>RP11-217L13)x1             | del 10p12.1p11.23 arr cgh 10p12.1p11.23<br>(RP11-89D1→<br>91A23k1 | del 10p12.1p11.23 arr cgh 10p12.1p11.23 (RP11-21806→ RP11-RP11- 1811111x1 | del 10q24.31q25.1 arr cgn 10q24.31q25.1 $(RP11.108L7 \rightarrow RP11.108L7) \times 1000$ | 10q24.32q25.1 arr cgh 10q24.32q25.1<br>(RP11-21N23 →<br>RP11-99N20)x1 | 2 arr cgh 3p21.31p21.2<br>(RP11-24F11→<br>RP11-89F17)x1 | arr cgh 7p22.1<br>(RP11-90J23→<br>RP11-2K20)x1 | arr cgh 14q11.2<br>(RP11-152G22→<br>RP11-84D12)x3 |   | arr cgh 19p13.2<br>(RP11-19704→<br>RP11-164D24)x1 | arr cgh 2q11.2q13(<br>RP11-90G13→<br>RP11-79K7)x3        | arr cgh 4p16.1<br>(RP11-17!9)x3        |
|   | OMIV Bootson         | CINV POSITION                 | del 6q12q14.1  | del 6q14.1  | del 10p12.1p11.3  | del 10p12.1p11.3  | del 10q24.31q25   | del 10q24,32q25   | del 3p21.31p21.2  | del 7p22.1                                     | dup 14q11.2                                       | del 17q24.1q24.2  | del 19p13.2                                       | dup 2q11.2q13  | dup 4p16.1                             |
| Remarkable                                    |                      | reatures                      |  |   | СНД   |   | СНД   |   |   |  | Corneal opacity, CHD                              |   |   | Epilepsy   | CHD                                    |
|   | Clinical             | case Gender diagnosis         | MCA/MR   | ZLS   | MCA/MR  | MCA/MR  | MCA/MR  | MCA/MR  | MCA/MR  | MCA/MR   | MCA/MR  | MCA/MR  | SMS susp.   | MCA/MR   | MCA/MR                                 |
|   | 2                    | cende                         | Σ  | Σ   | ഥ   | Σ   | Σ   | Σ   | ட   | Σ  | ш   | Σ   | Σ   | Σ  | Σ                                      |
|   | C                    | Case                          | 14   | 15  | 16  | 17  | 18  | 19  | 20  | 21   | 22  | 23  | 24  | 25   | 26                                     |



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| Table  | 3     | Table 3 Continued     | 7                        |                  |   |   |   |             |                              |   |            |               |                             |                       |                        |
|--------|-------|-----------------------|--------------------------|------------------|---|---|---|-------------|------------------------------|---|------------|---------------|-----------------------------|-----------------------|------------------------|
|        |       |                       | Remarkable               |                  |   |   |   | Base posit  | ion and size o               | Base position and size of the identified CNVª | CNVa       |               | Prote                       | Protein- CNV          | Corresponding          |
|        |       | Clinical              | clinical                 |                  |   |   |   |             |                              |   |            | P.            | Parental coding             |                       | ss- or candidate       |
| Case G | ender | Case Gender diagnosis | features                 | CNV Position     | WGA-4500 <sup>b</sup>                                 | FISH <sup>b</sup>   | Start (max)                                     | Start (min) | End (min)                    | End (max)                                     | Size (min) | Size (max) aı | analysis genes <sup>c</sup> | esc ment <sup>d</sup> | t <sup>d</sup> gene(s) |
| 27     | L     | MCA/MR                |                          | del 7q22.1q22.2  | arr cgh 7q22.1q22.2<br>(RP11-10D8→<br>RP11-72J24)x1   | ish del(7)(q22.1q22.2)<br>(RP11-124G15+,RP11-<br>188E1-,RP11-95P19-)          | 97314215  | 98261079    | 98261079 105604920 106451506 | 106 451 506                                   | 7 343 841  | 9137291       | 135                         | 55<br>P               |                        |
| 28     | L     | MCA/MR                | Epilepsy                 | del 12q13,13     | arr cgh 12q13.13<br>(RP11-7418→<br>RP11-624J6)x1      | ish del(12)(q13.13)<br>(RP11-624J6-)  | 50 987 232                                      | 51016427    | 51 956 291                   | 52 180 088                                    | 939864     | 1192856       | 4                           | 44 P                  |                        |
| 29     | Σ     | MCA/MR                |                          | dup 16q22.3      | arr cgh 16q22.3<br>(RP11-90L19→<br>RP11-89K4)x3       | ish dup(16)(q22.3)<br>(RP11-115E3++,<br>RP11-90L19++)                         | 70355260  | 70848592    | 72328913                     | 73 785 124                                    | 1 480 321  | 3429864       | 8                           | 25 P                  |                        |
| 30     | Σ     | RTS susp.             |                          | dup 16q24.1      | arr cgh 16q24.1<br>(RP11-140K16 →<br>RP11-44201)x3    | ish dup(16)(q24.1)<br>(RP11-770B4++,<br>RP11-140K16++)                        | 82 699 729                                      | 82 797 548  | 83749375                     | 84 123 857                                    | 951827     | 1424128       | 1                           | 16 P                  |                        |
| 31     | Σ     | MCA/MR                | Epilepsy                 | del 2q24.2q24.3  | arr cgh 2q24.2<br>(RP11-89L13→<br>RP11-79L13)x1       | ish del(2)(q24.2)<br>(RP11-638N12-)   | 160 407 234 161 072 815 162 883 584 166 923 475 | 161072815   | 162883584                    | 166 923 475                                   | 1810769    | 6516241       | 7                           | 28<br>P               | TBR1                   |
| 32     | ≥     | MCA/MR                |                          | del 3p26.2       | arr cgh 3p26.2<br>(RP11-32F23)x1                      | ish del(3)(p26.2)<br>(RP11-32F23-)  | 3 943 353                                       | 4016797     | 4 198 468                    | 4329970                                       | 181671     | 386617        |                             | 2<br>P                | SUMF1                  |
| 33     | Σ     | MCA/MR                | <b>IgA</b><br>deficiency | del 7q21.11      | arr cgh 7q21.11<br>(RP11-22M18)x1                     | ish del(7)(q21.11)<br>(RP11-115M2+,<br>RP11-35304-,<br>RP11-22M18-)           | 83 597 839                                      | 83 601 541  | 84 549 609                   | 84788160                                      | 948 068    | 1190321       |                             | ε<br>Ε                | SEMA3A                 |
| 34     | Σ     | MCA/MR                |                          | dup 14q32.2      | arr cgh 14q32.2<br>(RP11-128L1)x3                     | ish dup(14)(q32.2)<br>(RP11-177F8++)  | 99330486  | 99337358    | 99841558                     | 99845472                                      | 504 200    | 514 986       |                             | 7 P                   | EML1, YY1              |
| 35     | Σ     | MCA/MR                | Epilepsy                 | dup 16p13.3      | arr cgh 16p13.3<br>(RP11-349111)x3                    | ish dup(16)(p13.3)<br>(RP11-349111++)   | 4851459   | 5678447     | 5 906 909                    | 6165923                                       | 228 462    | 1314464       |                             | <u>Ф</u>              | A2BP1                  |
| 36     | Σ     | MCA/MR                |                          | dup Xp22.2p22.13 | arr cgh Xp22.2p22.13<br>(RP11-2K15→<br>RP11-115110)x3 | not performed<br>(X-tiling array)   | 16874735  | 16952121    | 17 596 600                   | 17638351                                      | 644479     | 763616        |                             | 2                     |                        |
|        |       |                       |                          | dup Xp21.3       | arr cgh Xp21.3<br>(RP11-438J7)x3                      | not performed<br>(X-tiling array)   | 28704076  | 28 704 076  | 28868075                     | 28868075                                      | 163 999    | 163 999       |                             | T<br>P                | ILIRAPLI               |
| 37     | ш.    | MCA/MR                |                          | del 1p34.3       | arr cgh 1p34.3<br>(RP11-89N10→<br>RP11-416A14)x1      | ish del(1)(p34.2)<br>(RP11-195A8+,<br>RP11-166F21-)dn                         | 37 830 131                                      | 38338265    | 39 466 349                   | 39 583 645                                    | 1128084    | 1753514       | dn                          | ۸<br>۲                |                        |
| 38     | Σ     | MCA/MR                | Hyper<br>IgE             | dup 1q25.2       | arr cgh 1q25.2<br>(RP11-177A2→<br>RP11-152A16)x3      | ish dup(1)(1q25.2)<br>(RP11-177A2++,<br>RP11-152A16++)                        | 177 088 480 177 196 858 177 535 659 177 859 828 | 177 196 858 | 177 535 659                  | 177 859 828                                   | 338801     | 771348        | dn                          | 6                     |                        |
| 39     | Σ     | MCA/MR                |                          | del 2p24.1p23.3  | arr cgh 2p24.1p23.3<br>(RP11-80H16 →<br>RP11-88F6)x1  | ish del(2)(p23.3)<br>(RP11-88F6-,<br>RP11-373D23+)dn                          | 20 037 821                                      | 23 094 244  | 26815794                     | 28414457                                      | 3721550    | 8376636       | dn 8                        | 98<br>P               |                        |
| 40     | L     | MCA/MR                | СНД                      | del 3p26.1p25.3  | arr cgh 3p26.1p25.3<br>(RP11-128A5→<br>RP11-402P11)x1 | ish del(3)(p26.1p25.3)<br>(RP11-936E1-,<br>RP11-402P11-,<br>RP11-1079H21+) dn | 8 190 557                                       | 8 497 949   | 9 9 3 0 9 7 3                | 10026217                                      | 1 433 024  | 1835660       | dn 1                        | 18<br>P               |                        |



| pen          |
|--------------|
| Continu      |
| က            |
| <b>Table</b> |

| Daibacascaso | assess- or candidate                                      | gene(s)               |  |  |   |  |   |   |  |  |  |   |                                       |                                       |  |
|--------------|---|-----------------------|--|--|---|--|---|---|--|--|--|---|---------------------------------------|---------------------------------------|--|
| ANO          |   | ment <sup>d</sup>     | ۵  | ω  | ۵   | ۵  | ۵   | ω   | ۵  | ۵  | ۵  | ۵   | ω                                     | ω                                     | Ω  |
| Dvotoin      | coding asse   | genes                 | 123  | 11   | 12  | 12   | 1   | 15  | 9  | 113  | 23   | 18  | 1                                     | 1                                     | m  |
|              | Parental coding   | analysis              | qu   | mat  | dp  | dn   | dn  | pat   | qu   | dn   | dn   | mat   | pat                                   | pat                                   | mat  |
|              |   | Size (max)            | 7832879  | 1517140  | 5770485   | 6 002 971  | 1 458 769   | 280335  | 3642522  | 3364767  | 2 037 409  | 2392511   | 250850                                | 1752211                               | 1 421 706                                      |
|              | CNNa  | Size (min)            | 5893173  | 593 434  | 5289394   | 4081515  | 917819  | 280335  | 2121913  | 1080724  | 816079   | 2362422   | 176050                                | 170578                                | 1020329  |
|              | he identified   | End (max)             | 49 198 542   | 58 887 574   | 81 493 446  |  | 34 909 905  | 19590642  | 51 861 143   | 4 460 252  | 6881792  | 46 795 588                                      | 2628216                               | 20 798 445                            | 67838977 1020329 1421706                       |
|              | Base position and size of the identified CNV <sup>a</sup> | End (min)             | 48177538   | 58742633   | 81110557 8  | 061320318  | 34813379  | 9 590 642   | 51288665   | 3 499 581  | 6859584  | 46 795 584                                      | 2619407                               | 19656108                              | 67 501 700 (                                   |
| 2            | Base position   | Start (min) E         | 42284365 4   | 58149199 5   | 75821163 8  | 175 650 310 176 531 688 180 613 203 181 653 281                      | 33.895.560 3  | 19310307 19590642   | 49166752 5   | 2418857  | 6 043 505  | 44433162 4                                      | 2 443 357                             | 19485530 1                            | 66 481 371 6                                   |
|              |   | Start (max) St        | 41365663 42  | 57.370.434 58  | 75722961 75   | 650310176  | 33.451.136 33   | 19310307 19   | 48218621 49  | 1095485  | 4844383 (  | 44 403 077 44                                   | 2377366                               | 19046234 19                           | 66417271 66                                    |
|              |   | Sta                   | 41   | 57   | 75  | 175  | 33  | 19  | 48   | 1  | 4  | 44  | 0                                     | 19                                    | 99   |
|              |   | FISH <sup>b</sup>     | ish del(3)(p22.1)<br>(RP11-61H16+,<br>RP11-241P3-,<br>RP11-78010+)dn | ish del(3)(p14.2)<br>(RP11-79J19-,<br>RP11-230A22+)mat | ish del(8)<br>(q21.11q21.13)<br>(RP11-225J6-,<br>RP11-48B3+)dn        | ish del(3)(q26.32)<br>(RP11-300L9+,<br>RP11-105L6-)dn                | ish del(13)(q13.2)<br>(RP11-142E9+,<br>RP11-381E21-,<br>RP11-98D3+)dn | ish del(22)(q11.21)<br>(RP11-155F2O-,<br>RP11-590C5-,<br>RP11-54C2-)pat | ish del(18)(q21.2)<br>(RP11-159D14+,<br>RP11-186B13-,<br>RP11-111C17-)dn |  | ish del(19)(p13.3)<br>(RP11-330I7-)dn            | ish del(X)(p11.3)<br>(RP11-203D16-)mat          | ish dup(3)(p26.3)<br>(RP11-6301++)pat | ish dup(5)(p14.3)<br>(RP11-91A5++)pat | ish dup(5)(q13.1)<br>(RP11-105A11++)mat        |
|              |   | WGA-4500 <sup>b</sup> | arr cgh 3p22.1p21.31<br>(RP11-241P3→<br>RP11-88B8)x1                 | arr cgh 3p14.3p14.2<br>(RP11-80H18→<br>RP11-79J9)x1    | 8q21.11q21.13 arr cgh 8q21.11q21.13<br>(RP11-225J6→<br>RP11-214E11)x1 | 3q26.31q26.33 arr cgh 3q26.31-q26.33<br>(RP11-292L5 → RP11-356N16)x1 | arr cgh 13q13.2<br>(RP11-269G10→<br>90F5)x1                           | arr cgh 22q11.21<br>(RP11-155F20→<br>54C2)x1                            | arr cgh 18q21.2<br>(RP11-89B14)x1  | arr cgh 19p13.3<br>(RP11-49M3→<br>RP11-268021)x3 | arr cgh 19p13.3<br>(RP11-30F17→<br>RP11-330I7)x1 | arr cgh Xp11.3<br>(RP11-151G3→<br>RP11-48J14)xO | arr cgh 3p26.3<br>(RP11-6301)x3       | arr cgh 5p14.3<br>(RP11-91A5)x3       | arr cgh 5q13.1<br>(RP11-40N8→<br>RP11-91C10)x3 |
|              |   | CNV Position          | del 3p22.1p21.31   | del 3p14.3p14.2  | del 8q21.11q21.13   | del 3q26.31q26.33  | del 13q13.2q13.3  | del 22q11.21  | del 18q21.2  | dup 19p13.3                                      | del 19p13.3                                      | del Xp11.3                                      | dup 3p26.3                            | dup 5p14.3                            | dup 5q13.3                                     |
| 0            | Kemarkable<br>clinical                                    | features              | р  | Corneal d<br>opacity                                   | 5   |  |   | 7   | 5  | 0  | Autism d   | J   | p                                     | р                                     | D  |
|              | Clinical  | Case Gender diagnosis | MCA/MR   | MCA/MR   |   | MCA/MR   | MCA/MR CHD  |   | aRS  | MCA/MR   | MCA/MR   | MCA/MR  | MCA/MR                                | MCA/MR                                | MCA/MR   |
|              |   | Gende                 | Σ  | Σ  |   | Σ  | Σ   |   | LL.  | Σ  | L  | Σ   | Σ                                     | Σ                                     | Σ  |
|              |   | Case                  | 4  | 42   |   | 43   | 44  |   | 45   | 46   | 47   | 48  | 49                                    | 90                                    | 51   |

|     | Clinical              | Remarkable<br>clinical |                  |  |   |   | Base positi | on and size of    | Base position and size of the identified CNV <sup>a</sup> | CNVa       |                | Protein-                    | Protein- CNV       | CNV Corresponding         |
|-----|-----------------------|------------------------|------------------|--|---|---|-------------|-------------------|---|------------|----------------|-----------------------------|--------------------|---------------------------|
| sen | Case Gender diagnosis |                        | CNV Position     | WGA-4500 <sup>b</sup>                            | FISH <sup>b</sup>                                       | Start (max)                                     | Start (min) | End (min)         | End (max)   | Size (min) | Size (max) a   | analysis genes <sup>c</sup> | nes <sup>c</sup> m | ment <sup>d</sup> gene(s) |
| Σ   | 1 MCA/MR              | ~                      | dup 7p22.3       | arr cgh 7p22.3<br>(RP11-23D23)x3                 | ish dup(7)(p22.3)<br>(RP11-23D23++,<br>RP11-1133D5+)mat | 1   | 954016      | 954 584           | 1101944   | 268        | 1101943        | mat                         | 12                 | B                         |
| LL. | MCA/MR                | or.                    | dup 8p23.2       | arr cgh 8p23.2<br>(RP11-79119→<br>RP11-89112)x3  | ish dup(8)(p23.2)<br>(RP11-89119++,<br>RP11-89112++)pat | 3324954   | 3726061     | 4 564 671         | 5973493   | 838610     | 2648539        | pat                         | 1                  | <b>S</b>                  |
| Σ   | 1 MCA/MR              | ~                      | dup 9q33.1       | arr cgh 9q33.1<br>(RP11-150L1)x3                 | ish dup(9)(q33.1)<br>(RP11-150L1++)pat                  | 118 980 752 119 452 372 119 614 984 120 011 559 | 19452372 1  | 196149841         | 20011559  | 162612     | 162612 1030807 | pat                         | 2                  | В                         |
| L   | : MCA/MR              | ~                      | dup 10q22.3      | arr cgh 10q22.3<br>(RP11-79M9)x3                 | ish dup(10)(q22.3)<br>(RP11-79M9++)mat                  | 77356915  | 77 718 484  | 77873148 78230039 | 78 230 039  | 154664     | 873 124        | mat                         | Н                  | 8                         |
| Σ   | 1 MCA/MR              | RELBW, hepatoblastoma  | dup 12q21.31     | arr cgh 12q21.31<br>(RP11-91C4)x3                | ish dup(12)(q21.31)<br>(RP11-91C4++,<br>RP11-142L2+)pat | 80 924 954                                      | 82678148    | 82 830 190        | 85 768 388  | 152042     | 152042 4843434 | pat                         | m                  | ω.                        |
| Σ   | 1 68                  |                        | del Xp11.23      | arr cgh Xp11.23<br>(RP11-876B24)<br>x0 mat       | not performed<br>(X-tiling array)                       | 47 752 808                                      | 47747918    | 47852109 47868412 | 47 868 412  | 104 191    | 115604         | mat                         | m ·                | ш                         |
| Σ   | 1 MCA/MR              | ~                      | dup 8q11.23      | arr cgh 8q11.23<br>(RP11-221P7)x3                | ish dup(8)(q11.23)<br>(RP11-221P7++,<br>RP11-26P22++)   | 53665974  | 53717675    | 54 235 229        | 54 576 654  | 517 554    | 910680         |                             | 8                  | vous                      |
| ഥ   | MCA/MR                | Ricro-<br>cephaly      | dup 10q11.21     | arr cgh 10q11.21<br>(RP11-178A10)x3              | ish dup(10)(q11.21)<br>(RP11-178A10++)                  | 41 986 946                                      | 42 197 693  | 42 320 775        | 43 603 027  | 123082     | 1616081        |                             | 15 V               | Nous                      |
| Σ   | 1 MCA/MR              | ~                      | dup 11p14.2p14.1 | arr cgh 11p14.2p14.1<br>(RP11-1L12)x3            | ish dup(11)<br>(p14.2p14.1)<br>(RP11-1L12++)            | 26723462  | 27 033 270  | 27 213 374        | 27 445 504  | 180 104    | 722042         |                             | 4                  | vous                      |
| LL  |                       | ~                      | dup 12p11.1      | arr cgh 12p11.1<br>(RP11-88P4)x3                 | ish dup(12)(p11.1)<br>(RP11-472A10++)                   | 33 333 493                                      | 33 359 944  |                   |   | 213012     | 239 463        |                             |                    | vous                      |
| ഥ   | aRS                   |                        | dup 12q21.31     | arr cgh 12q21.31<br>(RP11-91124→<br>RP11-91C4)x3 | ish dup(12)(q21.31)<br>(RP11-91C4++,<br>RP11-142L2++)   | 79949648  | 82 172 368  | 83968319          | 85 768 388  | 1795951    | 5818740        |                             | 12 V               | snox                      |
| ш.  | M                     | Congenital             | dup Xq12         | arr cgh Xq12<br>(RP11-90P17→<br>RP11-383C12)x3   | Not performed<br>(X-tiling array)                       | 66212661  | 66216353    | 66 921 699        | 66 948 538  | 705346     | 735877         |                             | 1 >                | vous                      |

Abbreviations: aRS, atyplical Rett syndrome, B, benign; CNV, copy-number variant; dn: de novo CNV observed in neither of the parents; ELBW, extremely low birth weight; FISH, fluorescence in situ hybridization; GS, Gillespie syndrome; mat: CNV identified also in father, RTS, Rubinstein–Taybi syndrome; SMS, Smith–Magenis syndrome; VOUS, variant of uncertain clinical significance; ZLS, Zimmermann–Laband syndrome.

The notation system is based on ISCN2005,36 but a passed on ISC

Table 3 Continued

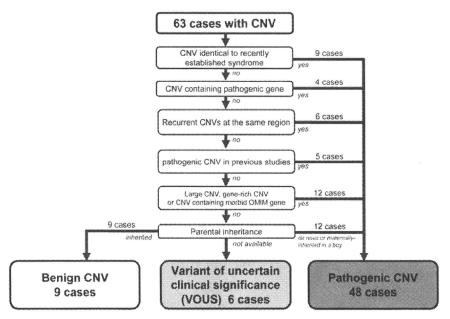


Figure 2 A flowchart of the assessment of CNVs detected in the second screening.

from several aspects. A CNV that contains abundant genes or is large (>3 Mb) has a high possibility to be pathogenic. The CNVs in cases 25–30 probably correspond to such CNVs. Also, we judged a CNV containing a morbid OMIM gene as pathogenic. TBR1 (OMIM: \*604616) in case 31, SEMA3A (OMIM: \*603961) in case 33, SEMA3A (OMIM: \*603961) in case 33, SEMA3A (OMIM: \*600013) in case 34, 60,61 A2BP1 (OMIM: \*605104) in case 3562 and IL1RAPL1 (OMIM: \*300206) in case 36.63 Several previous reports suggest that these genes are likely to be pathogenic, although at present no evidence of a direct association between these genes and phenotypes exists.

CNVs de novo or X maternally inherited. Among the remaining 27 cases, 12 cases had CNVs considered pathogenic as their CNVs were de novo (cases 37-47) or inherited del(X)(p11.3) from the mother (case 48). In the second screening we performed FISH for 36 CNVs of the 34 cases whose parental samples were available to confirm that 24 cases had de novo CNVs, which were probably pathogenic. A CNV in case 48, a boy with a nullizygous deletion at Xp11.3 inherited from his mother, was also probably relevant to his phenotype (Tables 3 and 4). Meanwhile, although case 57 was a boy with a deletion at Xp11.23 inherited from his mother, he was clinically diagnosed with Gillespie syndrome (OMIM: #206700) that was reported to show an autosomal dominant or recessive pattern, 64 thus we judged that the deletion was not relevant to his phenotype. As a result, cases 49-57 had only CNVs inherited from one of their parents which are likely to be unrelated to the phenotypes; that is, bCNV (Table 4).

As a result, we estimated that 48 cases among 349 analyzed (13.8%) had pCNV(s) in the second screening (Table 3; Figure 2). The CNVs of the remaining six cases, cases 58–63, were not associated with previously reported pathogenicity and their inheritance could not be evaluated, thus we estimated they were variants of uncertain clinical significance (VOUS).<sup>38</sup>

## DISCUSSION

Because aCGH is a high-throughput technique to detect CNVs rapidly and comprehensively, this technique has been commonly used for

analyses of patients with MCA and/or MR.<sup>38,65–68</sup> However, recent studies of human genomic variation have uncovered surprising properties of CNV, which covers 3.5–12% of the human genome even in healthy populations.<sup>18–20,69</sup> Thus analyses of patients with uncertain clinical phenotypes need to assess whether the CNV is pathogenic or unrelated to phenotypes.<sup>21</sup> However, such an assessment may diminish the rapidness or convenience of aCGH.

In this study, we evaluated whether our in-house GDA can work well as a diagnostic tool to detect CNVs responsible for wellestablished syndromes or those involved in subtelomeric aberrations in a clinical setting, and then explored candidate pCNVs in cases without any CNV in the first GDA screening. We recruited 536 cases that had been undiagnosed clinically and studied them in a two-stage screening using aCGH. In the first screening we detected CNVs in 54 cases (10.1%). Among them, 40 cases had CNV(s) at subtelomeric region(s) corresponding to the well-established syndromes or the already described disorders and the other 14 cases had CNVs in the regions corresponding to known disorders. Thus about three quarters of cases had genomic aberrations involved in subtelomeric regions. All the subtelomeric deletions and a part of the subtelomeric duplications corresponded to the disorders, indicating that especially subtelomeric deletions had more clinical significance compared to subtelomeric duplications, although the duplication might result in milder phenotypes and/or function as a modifier of phenotypes.<sup>70</sup> Moreover, parental analysis in three cases with two subtelomeric aberrations revealed that two of them were derived from the parental balanced translocations, indicating that such subtelomeric aberrations were potentially recurrent and parental analyses were worth performing. Recently several similar studies analyzed patients with MCA/MR or developmental delay using a targeted array for subtelomeric regions and/or known genomic disorders and detected clinically relevant CNVs in 4.4-17.1% of the patients. 28,65,70,71 Our detection rate in the first screening was equivalent to these reports. Although such detection rates depend on the type of microarray, patient selection criteria and/or number of subjects, these results suggest that at least 10% of cases with undiagnosed MCA/MR and a normal karyotype would be detectable by targeted

Table 4 Parental analysis of 34 cases in the second screening

|                 |        | Clinical      |         | CNV           | Size of t     | CNV (bp)      | Protein-coding | Parental |               |
|-----------------|--------|---------------|---------|---------------|---------------|---------------|----------------|----------|---------------|
| Case            | Gender | diagnosis     | del/dup | Position      | Min.          | Мах.          | genes          | analysis | Pathogenicity |
| 1               | M      | MCA/MR        | del     | 1p36.23p36.22 | 1 670 237     | 2 558 590     | 32             | de novo  | Р             |
| 2               | M      | MCA/MR        | del     | 1q41q42.11    | 5 0 0 1 7 9 8 | 6 481 439     | 35             | de novo  | Р             |
| 7               | M      | MCA/MR        | del     | 16p12.1p11.2  | 2816866       | 5 648 152     | 138            | de novo  | Р             |
| 8               | М      | MCA/MR        | del     | 16p11.2       | 951 773       | 4 258 984     | 134            | de novo  | Р             |
|                 |        | with CHD      |         | •             |               |               |                |          |               |
| 10              | M      | MCA/MR        | del     | 7p14.2p13     | 8516513       | 9 421 233     | 70             | de novo  | Р             |
| 11              | F      | MCA/MR        | del     | 14q22.1q22.3  | 2746662       | 3 089 980     | 18             | de novo  | Р             |
| 12              | М      | MCA/MR        | del     | 17q13.3       | 930 940       | 1018839       | 22             | de novo  | Р             |
| 13              | M      | MCA/MR        | del     | Xp11.4p11.3   | 4034171       | 4103418       | 9              | de novo  | Р             |
| 14              | М      | MCA/MR        | del     | 6q12q14.1     | 14 194 290    | 16071847      | 56             | de novo  | Р             |
| 18              | М      | MCA/MR        | del     | 10q24.31q25.1 | 3 3 4 5 5 9 5 | 3 3 6 8 8 2 5 | 66             | de novo  | Р             |
| 19              | М      | MCA/MR        | del     | 10q24.32q25.1 | 2077638       | 2 093 622     | 41             | de novo  | P             |
| 21              | М      | MCA/MR        | del     | 7p22.1        | 341 762       | 3 223 668     | 28             | de пovo  | Р             |
| 24              | М      | SMS susp.     | del     | 19p13.2       | 1719919       | 3 304 902     | 23             | de novo  | Р             |
| 37              | F      | MCA/MR        | del     | 1p34.3        | 1128084       | 1753514       | 7              | de novo  | Р             |
| 38              | M      | MCA/MR        | dup     | 1g25.2        | 338 801       | 771 348       | 9              | de novo  | Р             |
| 39              | М      | MCA/MR        | del     | 2p24.1p23.3   | 3 721 550     | 8376636       | 86             | de пovo  | Р             |
| 40              | F      | MCA/MR        | del     | 3p26.1p25.3   | 1 433 024     | 1 835 660     | 18             | de novo  | Р             |
| 41              | М      | MCA/MR        | del     | 3p22.1p21.31  | 5 893 173     | 7 832 879     | 123            | de novo  | Р             |
| 42 <sup>a</sup> | М      | MCA/MR        | del     | 8g21.11g21.13 | 5289394       | 5 770 485     | 12             | de novo  | Р             |
| 42ª             | М      | MCA/MR        | del     | 3p14.3p14.2   | 593 434       | 1 517 140     | 11             | Maternal | В             |
| 43              | М      | MCA/MR        | del     | 3g26.31g26.33 | 4081515       | 6 002 971     | 12             | de novo  | Р             |
| 44 <sup>b</sup> | М      | MCA/MR        | del     | 13q13.2q13.3  | 917819        | 1 458 769     | 1              | de novo  | Р             |
| 44 <sup>b</sup> | М      | MCA/MR        | del     | 22q11.21      | 917819        | 1 458 769     | 15             | Paternal | В             |
| 45              | F      | Rett syndrome | del     | 18g21.2       | 2121913       | 3 642 522     | 9              | de novo  | P             |
| 46              | М      | MCA/MR        | dup     | 19p13.3       | 2 041 395     | 2 404 096     | 113            | de novo  | P             |
| 47              | F      | MCA/MR        | del     | 19p13.3       | 816079        | 2 037 409     | 23             | de novo  | P             |
| 48 <sup>c</sup> | M      | MCA/MR        | del     | Xp11.3        | 2 362 422     | 2392511       | 18             | Maternal | P             |
| 49              | M      | MCA/MR        | dup     | 3p26.3        | 176 050       | 250 850       | 1              | Paternal | В             |
| 50              | M      | MCA/MR        | dup     | 5p14.3        | 170 578       | 1 752 211     | 1              | Paternal | В             |
| 51              | M      | MCA/MR        | dup     | 5q13.3        | 1 020 329     | 1 421 706     | 3              | Maternal | В             |
| 52              | M      | MCA/MR        | dup     | 7p22.3        | 568           | 1 101 943     | 12             | Maternal | В             |
| 53              | F      | MCA/MR        | dup     | 8p23.2        | 838 610       | 2 648 539     | 1              | Paternal | В             |
| 54              | M      | MCA/MR        | dup     | 9q33.1        | 162612        | 1 030 807     | 2              | Paternal | В             |
| 55              | F      | MCA/MR        | dup     | 10q22.3       | 154 664       | 873 124       | 1              | Maternal | В             |
| 56              | М      | MCA/MR        | dup     | 12q21.31      | 152 042       | 4 843 434     | 3              | Paternal | В             |
| 57              | M      | Gillespie     | del     | Xp11.23       | 104 191       | 115 604       | 3              | Maternal | В             |
| J,              | IVI    | syndrome      | uci     | Αμιτ.20       | 10-131        | 113004        | 5              | Material | ь             |

Abbreviations: B, benign: CNV, copy-number variant; F, female; M, male; MCA/MR, multiple congenital anomalies and mental retardation; P, pathogenic.

Another interesting observation in the first screening was that subtelomeric rearrangements frequently occurred even in patients with MCA/MR of uncertain whose karyotype had been diagnosed as normal. This result may be consistent with a property of subtelomeric regions whose rearrangements can be missed in conventional karyotyping,<sup>72</sup> and in fact other techniques involving subtelomeric FISH or MLPA also identified subtelomeric abnormalities in a number of patients with MCA and/or MR in previous reports.<sup>70,73,74</sup> Our result may support the availability of prompt screening of subtelomeric regions for cases with uncertain congenital disorders.

In the second screening we applied WGA-4500 to 349 cases to detect 66 candidate pCNVs in 63 cases (18.1%), and subsequently assessed the pathogenicity of these CNVs. The pCNVs included nine

CNVs overlapping identical regions of recently recognized syndromes (cases 1–9; deletion at 1p36.23–p36.22, 1q41–q42.11, 1q43–q44, 2q23.1, 14q12, 15q26-qter and 16p11.2–p12.2, respectively), four CNVs containing disease-associated genes (cases 10–13; GLI3, BMP4, YWHAE and CASK, respectively), three pairs of CNVs of recurrent deletions (cases 14, 15: at 6q12–q14.1 and 6q14.1; case 16, 17: at 10p12.1–p11.23 and case 18, 19: at 10q24.31–q25.1 and 10q24.32–q25.1), five CNVs identical to pCNVs in previous studies (cases 20–24), six large and/or gene-rich CNVs (cases 25–30) and six CNVs containing a morbid OMIM gene (cases 31–36). For the remaining cases, we estimated the pathogenicity of the CNVs from a parental analysis (Table 4). We judged the 11 de novo CNVs (cases 37–47) and 1 CNV on chromosome Xp11.3 inherited from

<sup>&</sup>lt;sup>a</sup>Two CNVs were detected in case 42.

<sup>&</sup>lt;sup>c</sup>Nullizygous deletion inherited from his mother probably affected the phenotype.

the mother (case 48) as probably pathogenic. And nine inherited CNVs (cases 49-57) were probably benign. The clinical significance of CNVs in the other six cases, cases 58-63, remains uncertain (VOUS). As a result we estimated CNVs as pathogenic in 48 cases among 349 cases (13.8%) analyzed in the second screening. None of the pCNVs corresponded to loci of well-established syndromes. This may suggest that our two-stage screening achieved a good balance between rapid screening of known syndromes and investigation of CNV of uncertain pathogenicity.

Table 5 Summary of parental analyses

|            |                     | Average   | size (bp) | The average number of |  |  |
|------------|---------------------|-----------|-----------|-----------------------|--|--|
|            |                     | Min.      | Мах.      | protein-coding genes  |  |  |
| Pathogenio | c CNVs <sup>a</sup> |           |           |                       |  |  |
| del        | 23                  | 3 309 267 | 4 597 689 | 43                    |  |  |
| dup        | 2                   | 1 190 098 | 1 587 722 | 61                    |  |  |
| Total      | 25                  | 3 139 733 | 4 356 892 | 44                    |  |  |
| Benign CN  | IVs <sup>b</sup>    |           |           |                       |  |  |
| del        | 3                   | 538 481   | 1 030 504 | 10                    |  |  |
| dup        | 8                   | 334 432   | 1740327   | 3                     |  |  |
| Total      | 11                  | 390 082   | 1 546 739 | 5                     |  |  |

Abbreviation: CNV, copy-number variant. <sup>a</sup>Twenty-four *de novo* CNVs and case 48. bEleven inherited CNVs other than case 48.

Among the cases with parental analyses, the 25 pCNVs had larger sizes and contained more protein-coding genes (average size, 3.1 Mb at minimum to 4.4Mb at maximum; average number of genes, 44) as compared with the 11 inherited bCNVs that were probably unrelated to phenotypes (average size, 0.39 Mb at minimum to 1.5 Mb at maximum; average number of genes, 5) (Table 5). Although all of the 25 pCNVs except 2 were deletions, about three quarters (8 of 11 cases) of the inherited bCNVs were duplications (Table 5). These findings are consistent with previously reported features of pCNVs and bCNVs.21,38

We also compared our current study with recent aCGH studies meeting the following conditions: (1) a microarray targeted to whole genome was applied; (2) patients with MCA and/or MR of uncertain etiology, normal karyotype and the criteria for patients selection were clearly described; (3) pathogenicity of identified CNVs were assessed. On the basis of the above criteria, among studies reported in the past 5 years, we summarized 13 studies (Table 6). 10,14,15,17,54,55,75-81 Diagnostic yield of pCNVs in each study was 6.3-16.4%, and our current diagnostic yield of the second screening was 13.8%. Though cases with subtelomeric aberration detected in the first screening had been excluded, our diagnostic yield was comparable to those of the reported studies. It is not so important to make a simple comparison between diagnostic yields in different studies as they would depend on the conditions of each study, for example, sample size or array resolution,<sup>38,82</sup> however it seems interesting that the higher resolution of a microarray does not ensure an increase in the rate of detection of pCNVs. One recent study showed data that may explain the discrepancy between the resolution of microarray and diagnostic yield.<sup>54,83</sup> The authors analyzed 1001 patients with MCA and/or MR using one

Table 6 Previous studies of analyzing patients with MCA and/or MR using aCGH targeted to whole genome

|                                | Applied array |                     |                           | Patients         |                    | Pathogenic CNV  |      |
|--------------------------------|---------------|---------------------|---------------------------|------------------|--------------------|-----------------|------|
| Author (year)                  | Туре          | Number <sup>a</sup> | Distribution <sup>b</sup> | Number           | Type of disorders  | Number          | %    |
| Schoumans et al. <sup>75</sup> | BAC           | 2600                | 1.0 Mb*                   | 41               | MCA and MR         | 4               | 9.8  |
| de Vries et al.76              | BAC           | 32 477              | Tiling                    | 100              | MCA and/or MR      | 10              | 10.0 |
| Rosenberg et al.77             | BAC           | 3500                | 1.0 Mb*                   | 81               | MCA and MR         | 13              | 16.0 |
| Krepischi-Santos et al. 78     | BAC           | 3500                | 1.0 Mb*                   | 95               | MCA and/or MR      | 15              | 15.8 |
| Friedman et al.14              | SNP           | Affymetrix 100K     | 23.6 kb**                 | 100              | MR                 | 11              | 11.0 |
| Thuresson et al.79             | BAC           |                     | 1.0 Mb*                   | 48               | MCA and MR         | 3               | 6.3  |
| Wagenstaller et al.80          | SNP           | Affymetrix 100K     | 23.6 kb**                 | 67               | MR                 | 11              | 16.4 |
| Fan et al. <sup>55</sup>       | Oligo         | Agilent 44K         | 24 kb-43 kb**             | 100°             | MCA and MR, Autism | 15 <sup>d</sup> | 15.0 |
| Xiang et al. <sup>15</sup>     | Oligo         | Agilent 44K         | 24 kb-43 kb**             | 40e              | MR, DD and autism  | 3               | 7.5  |
| Pickering et al.10             | BAC           | 2600                | 1 Mb*                     | 354 <sup>f</sup> | MCA and/or MR      | 36 <sup>g</sup> | 10.2 |
| McMullan et al.17              | SNP           | Affymetrix 500K     | 2.5 kb-5.8 kb**           | 120              | MCA and/or MR      | 18              | 15.0 |
| Bruno et al.81                 | SNP           | Affymetrix 250K     | 2.5 kb-5.8 kb**           | 117              | MCA and/or MR      | 18              | 15.4 |
| Buysse et al.54                | BAC           | 3431                | 1 Mb*                     | 298              | MCA and/or MR      | 26              | 8.7  |
|                                | Oligo         | Agilent 44K         | 24 kb-43 kb**             | 703              | MCA and/or MR      | 74              | 10.5 |
| Our current study              | BAC           | 4523                | 0.7 Mb                    | 349              | MCA and MR         | 48              | 13.8 |
| Total                          |               |                     |                           | 2613             |                    | 305             | 11.7 |

Abbreviations: BAC, bacterial artificial chromosome; CNV, copy-number variant; DD, developmental delay; MCA, multiple congenital anomalies; MR, mental retardation; SNP, single nucleotide

gSeventeen cases with an abnormal karvotype were excluded.

The number of clones or name of array is described.

<sup>&</sup>lt;sup>b</sup>Each distribution referred to each article (\*) or manual of each manufacturer (\*\*).

cAll cases were analyzed by both a targeted array and a genome-wide array

<sup>&</sup>lt;sup>d</sup>In five cases, CNVs were also identified by a targeted array.

Ten cases with an abnormal karyotype we're excluded.

Only cases studied with an array throughout the genome are described. Ninety-eight cases were also analyzed by a targeted array.

of two types of microarray, BAC array and oligonucleotide array. The BAC array was applied for 298 patients to detect 58 CNVs in 47 patients, and among them 26 CNVs (8.7%) were determined to be causal (pathogenic). Conversely, the oligonucleotide arrays were applied for 703 patients to detect 1538 CNVs in 603 patients, and among them 74 CNVs (10.5%) were determined to be pathogenic. These results may lead to the following idea: a lower-resolution microarray detects a limited number of CNVs likely to be pathogenic, because such CNVs tend to be large, and a higher-resolution microarray detects an increasing number of bCNVs or VOUS.38 Indeed, in studies using a high-resolution microarray, most of the CNVs detected were smaller than 500 kb but almost all pCNVs were relatively large. 54,81,83 Most of the small CNVs were judged not to be patho-

genic, and the percentage of pCNVs stabilized at around 10%. This

percentage may suggest a frequency of patients with MCA/MR caused

by CNV affecting one or more genes, other than known syndromes

and subtelomeric aberrations. The other patients may be affected by

another cause undetectable by genomic microarray; for example a

point mutation or microdeletion/duplication of a single gene, aberra-

tion of microRNA, aberration of methylation states, epigenetic aberra-

tion or partial uniparental disomy. As recently hypothesized secondary insult, which is potentially another CNV, a mutation in a phenotypically related gene or an environmental event influencing the phenotype, may result in clinical manifestation.<sup>84</sup> Especially, in two-hit CNVs, two models have been hypothesized: (1) the additive model of two co-occurring CNVs affecting independent functional modules and (2) the epistatic model of two CNVs affecting the same functional module.85 It also suggests difficulty in selecting an optimal platform in the clinical screening. Nevertheless, information on both pCNVs and bCNVs detected through studies using several types of microarrays is unambiguously significant because an accumulation of the CNVs will create a map of genotype-phenotype correlation that would determine the clinical significance of each CNV, illuminate gene function or establish a new syndrome.

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# Japan Elaprase<sup>®</sup> Treatment (JET) study: Idursulfase enzyme replacement therapy in adult patients with attenuated Hunter syndrome (Mucopolysaccharidosis II, MPS II)

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#### ABSTRACT

This open-label clinical study enrolled 10 adults with attenuated Mucopolysaccharidosis II and advanced disease under the direction of the Japan Society for Research on Mucopolysaccharidosis Disorders prior to regulatory approval of idursulfase in Japan. Ten male patients, ages 21-53 years, received weekly intravenous infusions of 0.5 mg/kg idursulfase for 12 months. Significant reductions in lysosomal storage and several clinical improvements were observed during the study (mean changes below). Urinary glycosaminoglycan excretion decreased rapidly within the first three months of treatment and normalized in all patients by study completion (-79.9%). Liver and spleen volumes also showed rapid reductions that were maintained in all patients through study completion (-33.2% and -31.0%, respectively). Improvements were noted in the 6-Minute Walk Test (54.5 m), percent predicted forced vital capacity (3.8 percentage points), left ventricular mass index (-12.4%) and several joint range of motions (8.1-19.0 degrees). Ejection fraction and cardiac valve disease were stable. The sleep study oxygen desaturation index increased by 3.9 events/h, but was stable in 89% (8/9) of patients. Idursulfase was generally well-tolerated. Infusion-related reactions occurred in 50% of patients and were mostly mild with transient skin reactions that did not require medical intervention. Two infusion-related reactions were assessed as serious (urticaria and vasovagal syncope). One patient died of causes unrelated to idursulfase. Anti-idursulfase antibodies developed in 60% (6/10) of patients. In summary, idursulfase treatment appears to be safe and effective in adult Japanese patients with attenuated MPS II. These results are comparable to those of prior studies that enrolled predominantly pediatric, Caucasian, and less ill patients. No new safety risks were identified.

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#### Introduction

Mucopolysaccharidosis type II (MPS II, Hunter syndrome, OMIM #309900) is an X-linked recessive, lysosomal storage disorder caused by a deficiency of iduronate-2-sulfatase (IDS, EC3.1.6.13). This lysosomal enzyme catalyzes the first step in the degradation of the glycosaminoglycans (GAG), dermatan sulfate and heparan sulfate [1]. Iduronate-2-sulfatase deficiency leads to the accumulation of GAG within the lysosomes of virtually every cell in the body and is excreted in excessive amounts in the urine. MPS II encom-

passes a wide phenotypic spectrum that includes severe and attenuated forms. The severe form has onset of symptoms by 2–4 years old, progression of somatic symptoms and severe cognitive impairment during childhood, and death by 10–15 years of age. The attenuated form has a later onset in childhood, slower and milder progression of somatic disease, little to no cognitive impairment, and survival into adulthood. (Fig. 1) Common clinical features include coarse faces, upper airway obstruction, cardiac valve regurgitation, restrictive lung disease, hepatosplenomegaly, hernias, joint contractures, poor endurance, and reduced quality of life [2,3]. *IDS* gene mutations are heterogeneous, but some show genotype–phenotype correlations: deletions and gross rearrangements of the *IDS* gene are associated with the severe form, whereas missense

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Fig. 1. A 23-year-old Japanese male study patient with MPS II. (A) Before treatment. (B) After 12 months of idursulfase treatment. Note the coarse facial features characteristic of MPS II. At baseline, the patient had severely limited shoulder range of motion (flexion and abduction), which improved following treatment.

mutations are more often associated with attenuated disease [4–10]. No racial or geographic differences have been observed. Females are only rarely affected, most often through skewed X-inactivation [1]. MPS II is the most prevalent MPS disorder in Asia, accounting for >50% of all MPS patients in Japan [10]. The annual incidence of all MPS disorders in Japan is estimated to be 1/50,000–1/60,000, and approximately half of the cases are due to MPS II. The estimated birth incidence of MPS II in Japan is, therefore, 1/90,000–1/100,000 [11], similar to the 1/92,000 to 1/162,000 incidences reported for predominantly Caucasian countries [12–15].

Until recently, treatment of MPS II was mainly palliative and focused on alleviating clinical symptoms through a variety of surgeries, medical devices, therapies, and medications. Several patients have undergone hematopoietic stem cell transplant (HSCT) as a source of iduronate-2-sulfatase, but unlike for MPS I, cognitive decline is not halted and the long-term effects on somatic disease are not well-documented [16,17]. Therefore, most centers consider the risk-benefit profile unfavorable and do not recommend HSCT for patients with MPS II.

Idursulfase (Elaprase<sup>®</sup>, Shire Human Genetic Therapies, Inc., Cambridge, MA, USA) is a recombinant human form of iduronate-2-sulfatase that is produced in a human cell line. Preclinical studies carried out in an MPS II knockout-mouse model [18] and in a Phase 1/2 dose-ranging study of MPS II patients [19] indicated that idursulfase was effective at reducing lysosomal GAG. The safety and efficacy of idursulfase was confirmed in a Phase 2/3 double-blind, placebo-controlled clinical study that randomized 96 MPS II pa-

tients to one of three treatment arms for 52 weeks: 0.5 mg/kg idursulfase weekly, 0.5 mg/kg idursulfase alternating with placebo every other week, or placebo weekly [20]. The primary efficacy endpoint was a composite of changes in percent predicted forced vital capacity (FVC) and the 6-Minute Walk Test (6MWT). Patients who received weekly idursulfase showed a greater difference in the composite endpoint compared to placebo (p = 0.005) than did the every other week idursulfase group (p = 0.042). The weekly idursulfase arm showed a mean 44.3 m increase in 6MWT distance (37 m difference from placebo, p = 0.013) and a mean 3.45 percentage point increase in percent predicted FVC (2.7 percentage point difference from placebo, p = 0.065). These clinical changes were associated with significant reductions versus placebo in urinary GAG level (-52.5%, p < 0.0001), liver volume (-25.3%, p < 0.0001), and spleen volume (-25.1%, p < 0.0001). Idursulfase was well-tolerated, with infusion-related reactions being the most common drug-related related adverse events, occurring in 69% (22/32) of patients in the weekly idursulfase arm.

Idursulfase was approved for the treatment of MPS II by the United States Food and Drug Administration (FDA) in July 2006 and by the European Medicines Agency (EMEA) in January 2007. Due to the life-threatening nature of the disease and the small number of patients, the Japanese Ministry of Health, Labour, and Welfare (MHLW) Committee for the Use of Unapproved Drugs recommended that idursulfase be approved based on ethical grounds and the results of overseas clinical trials, which included four Japanese patients. The committee also requested that idursulfase be made available to the most seriously ill MPS II patients prior to approval, which occurred in October 2007. Consequently, the Japan Elaprase Treatment (JET) study was initiated under the direction of the Japan Society for Research on MPS Disorders. Here, we present the results of this study.

# Materials and methods

#### **Patients**

To be eligible for the study, patients had to meet all of the following inclusion criteria: (1) Documented deficiency of iduronate-2-sulfatase enzyme activity of <10% of the lower limit of normal with a normal enzyme activity level of one other sulfatase. (2) Male and above 20 years of age. (3) Clinically advanced disease status with <80% predicted FVC and New York Heart Association Class II–IV. (4) Capable of showing improved quality of life. (5) Able to complete study assessments.

Patient exclusion criteria included: (1) Previous bone marrow or cord blood transplant. (2) Known hypersensitivity to one of the components of idursulfase. (3) Previous treatment with idursulfase. (4) Unable to receive weekly infusions of idursulfase at the patient's local hospital. All patients provided signed informed consent prior to enrollment.

# Study design

This was a multi-center, open-label study that enrolled 10 adult males with MPS II at 5 clinical sites in Japan. The study adhered to the guidelines set forth in the Declaration of Helsinki. Idursulfase was manufactured by Shire Human Genetic Therapies, Inc. and distributed by Genzyme Corporation (Cambridge, MA, USA). Genzyme Corporation performed all statistical analyses, and Genzyme Japan KK (Tokyo, Japan) provided data management support.

# Idursulfase

Patients were administered 0.5 mg/kg idursulfase diluted in saline to a final volume of 100 cc intravenously over 3 h on a weekly

basis (±3 days) for up to 12 months. Infusions rates were ramped up over the first hour as described in the Phase 2/3 study [20]. Patients were monitored during each infusion and were discharged 1 h after completing the infusion, if clinically stable.

# Efficacy assessments

Urinary GAG level was determined as the concentration of uronic acid normalized for creatinine (mg/g creatinine) and was measured using the carbazole reaction at a central laboratory (SRL Medisearch, Tokyo, Japan) or at Osaka City University Hospital. Liver and spleen volumes were quantitated by computerized tomography (CT), with the upper limits of normal being 2.5% and 0.2% of body weight, respectively. Percent predicted FVC and the 6MWT were performed according to American Thoracic Society guidelines [21,22]. Cardiac structure and function were evaluated by echocardiography (two-dimensional and M-mode). Left ventricular mass index (LVMI) was calculated as the left ventricular mass normalized for body surface area, with normal values defined as <131 g/m<sup>2</sup>. Active joint range of motion was measured by goniometry, and included the shoulder (flexion, extension, and abduction), elbow (flexion and extension), hip (flexion and extension), and knee (flexion and extension). Left and right joint ranges of motion for each were averaged for each patient. The sleep study oxygen desaturation index (ODI) was assessed by pulse oximetry and defined as the number of desaturations (<89% oxygen saturation or  $\geq$ 4% decrease in oxygen saturation from baseline lasting ≥ 10 s) per hour of sleep. A normal ODI was considered to be <5 events/h [23].

#### Safety assessments

Safety evaluation included continuous monitoring of adverse events and periodic clinical laboratory and physical examination evaluations. Adverse events were reported by severity (mild, moderate, severe, life-threatening) and by relatedness to idursulfase. An infusion-related reaction was defined as any adverse event occurring during or following an infusion (i.e., within 24 h of infusion initiation) that was reported by the investigator as related to idursulfase. Antibodies to idursulfase were measured by an enzyme-linked immunosorbant assay (ELISA; Shire Human Genetic Therapies).

#### Table 1 Summary of efficacy changes after 12 months of treatment with idursulfase.

Baseline 12 months Change % Change p-Value Urinary GAG (mg/g creatinine) 9 106.4 ± 7.8 21.2 ± 2.9 -85.2 ± 7.1  $-79.9 \pm 2.2$ 0.004 Liver volume (cc) 10 1491.2 ± 92.9 993.2 ± 75.0 0.002 -498.0 ± 70.2  $-33.2 \pm 4.0$ Spleen volume (cc) 10 210.2 ± 22.5 138.1 + 12.5-721 + 157 $-31.0 \pm 5.5$  $0.002^{\dagger}$ 6-Minute Walk Test (m) 7 286.0 ± 53.4 340.5 ± 49.6  $54.5 \pm 27.0$ 37.4 ± 18.1 0.109 Forced vital capacity (% predicted) 9  $39.9 \pm 6.6$  $43.7 \pm 6.0$  $3.8 \pm 2.8$  $15.0 \pm 8.0$ 0.250 Forced vital capacity (L) 9  $1.4 \pm 0.3$ 1.5 ± 0.2  $0.1 \pm 0.1$  $16.3 \pm 8.0$ 0.250 Left ventricular mass index (g/m<sup>2</sup>) 6 139.9 ± 25.1 133.2 ± 38.9 -6.7 ± 15.5  $-12.4 \pm 11.1$ 0.563 Left ventricular ejection fraction (%) 10 67.0 ± 5.2 64.3 ± 6.0  $-2.8 \pm 2.5$  $-6.1 \pm 5.7$ 0.244 Joint range of motion (degrees) NA Shoulder flexion 10  $109.8 \pm 7.1$ 93.8 ± 4.9  $15.0 \pm 7.3$ 0.066 Shoulder extension 10 44.1 ± 4.1 43.8 ± 3.8  $-0.3 \pm 4.1$ 0.945 Shoulder abduction 10 763+39 95,3 ± 8,1  $19.0 \pm 8.8$ 0.125 Knee flexion 9  $103.7 \pm 8.5$ 114.4 ± 5.2  $10.7 \pm 10.3$ 0.461 Knee extension 9 -11.1 ± 4.5  $0.8 \pm 2.5$  $-10.3 \pm 5.0$ 0.875 Hip flexion 9 89.2 ± 8.1 103.3 ± 7.6 14.2 ± 5.1 0.031 9 Hip extension  $3.1 \pm 5.0$  $1.9 \pm 6.7$  $-1.3 \pm 1.8$ 0.750 Elbow flexion 10 120.9 ± 4.0 121,8 ± 3,7  $0.9 \pm 2.5$ 0.828 Elbow extension 10 -43.1 ± 4.2 35.0 ± 4.2  $8.1 \pm 3.4$ 0.063 Oxygen desaturation index (events/h)  $18.5 \pm 6.1$  $22.3 \pm 7.4$ 

The last observation carried forward (LOCF) method was used to replace a missing value at the 12-month timepoint.

All values are the observed means ± SEM. All p-values are based on the Wilcoxon signed rank test for change from baseline to the 12-month timepoint.

# Statistics

Efficacy results are reported as the mean  $\pm$  standard error of the mean (SEM). For missing data at 12 months, the last observation carried forward method was used for values obtained at 6 months or later. The number of evaluable patients was at least nine for each endpoint, except for LVMI (n = 6, primarily due to missing baseline data) and the 6MWT (n = 7, primarily due to the inability to perform the test). The Wilcoxon signed rank test was used to evaluate changes in efficacy endpoint from baseline to 12 months, and p-values <0.05 were considered statistically significant. Percent change was tested for pharmacodynamic parameters (i.e., urinary GAG level and liver and spleen volumes), whereas absolute change was tested for clinical endpoints.

#### Results

#### Patient disposition

Ten adult Japanese males with attenuated MPS II were enrolled in the study and received idursulfase treatment. Nine patients completed the 12-month study; one patient died of causes unrelated to idursulfase after receiving 41 of 44 scheduled infusions (see Safety Section). Compliance with treatment was excellent, with all 10 patients receiving >93% of scheduled infusions; 80% (8/10) of patients did not miss a single scheduled infusion.

#### **Patients**

The mean patient age was 30.1 years (range 21.1-53.9). All patients had been diagnosed during mid-childhood or adolescence with MPS II (mean age 7.9 years), and all had advanced disease burden at the time of enrollment into the study. All patients had short stature (height <3rd percentile for Japanese adult males). Past medical history was significant for the following MPS II-related features (n = number of patients): valvular heart disease consisting mainly of aortic and/or mitral valve insufficiency (10), joint contractures (7), hepatomegaly (7), deafness (6), retinal degeneration (5), sleep apnea (5), otitis media

 $3.9 \pm 3.5$ 

NA

0.426

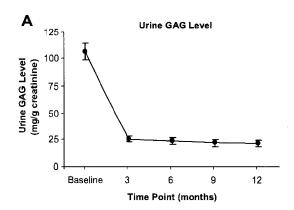
NA, not applicable. Some patients had values of 0 at baseline that precluded calculation of percent change.

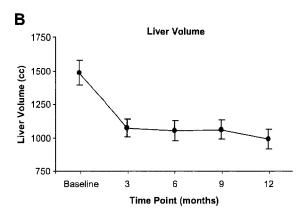
<sup>&</sup>lt;sup>†</sup> The p-value is based on the Wilcoxon signed rank test for % change from baseline to the 12-month timepoint.

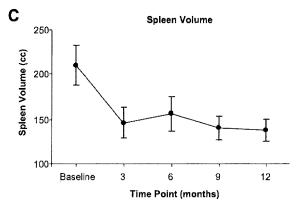
(4), macroglossia (3), umbilical hernia (2), carpal tunnel syndrome (2), heart failure (2), and left ventricular hypertrophy (1).

# Urinary glycosaminoglycan (GAG)

All nine evaluable patients had elevated urinary GAG levels at baseline (mean 106.4 mg/g creatinine, approximately 8 times the upper limit of normal); one patient lacked an appropriate baseline value (Table 1). Following idursulfase treatment, urinary GAG levels decreased rapidly within the first three months of treatment and remained low for the remainder of the study (Fig. 2A). There was a statistically significant mean decrease in the urinary GAG level of  $-79.9 \pm 2.2\%$  from baseline to 12 months (p = 0.004). All nine evaluable patients showed a >70% decrease in urinary GAG levels and had normal values by the end of the study.







**Fig. 2.** The effects of idursulfase treatment on lysosomal storage over 12 months. (A) Urinary GAG level. (B) Liver volume. (C) Spleen volume. All changes are reported as mean ± SEM.

# Liver and spleen volumes

At baseline, 9 (90%) patients had hepatomegaly (mean 1.3 MN, multiples of normal) and all 10 (100%) patients had splenomegaly (mean 2.4 MN) by CT. After 12 months of treatment, mean liver volume decreased by  $-33.2 \pm 4.0\%$  and mean spleen volume decreased by  $-31.0 \pm 5.5\%$  (Fig. 2B and C; Table 1), and both changes were statistically significant (p = 0.002). Most of the reductions occurred within the first three months of treatment. By the end of the study, all patients had liver volumes within the normal range and spleen volumes that were <2 MN, demonstrating efficient reduction of lysosomal GAG storage.

#### 6-Minute Walk Test (6MWT)

At baseline, the mean 6MWT distance was 286.0 m for the seven patients who could perform the test (Table 1). All but one patient walked <399 m, the lower limit of normal for healthy adult men in the United States [24]. Three patients could not perform the 6MWT: one patient broke his leg just prior to the start of the study; one patient was wheelchair-bound secondary to shortness of breath and muscle weakness; and one patient was obese and could only walk a few steps with assistance. By the end of the study, the mean 6MWT distance had increased by  $54.5 \pm 27.0$  m (Fig. 3A). This change represents a relative increase of 37.4%, and included one patient whose 6MWT distance increased by 131%. Four patients (57%) showed a clinically meaningful improvement of  $\geqslant 54$  m [25], while the one patient with a normal 6MWT at baseline showed a decline (-71 m).

## Percent predicted forced vital capacity (FVC)

Nine patients underwent spirometry at baseline and all showed a restrictive lung disease pattern: three were classified as having a severe defect (<50% predicted FVC) and five had a very severe defect (<34% predicted FVC) [26]. At baseline, mean percent predicted FVC was 39.9% (Table 1), and after 12 months it increased by 3.8  $\pm$  2.8 percentage points (Fig. 3B). This improvement corresponds to a relative increase of 15.0% over baseline, which is considered clinically meaningful ( $\geq$ 15% relative change) [25] and was achieved by four (44%) patients. Similarly, mean FVC increased by 16.3% over the baseline of 1.4 L. The mean forced expiratory volume in 1 s (FEV<sub>1</sub>):FVC ratio remained unchanged at 0.70 during the study.

# Cardiac

All patients had valve disease that remained stable during the study. The mean ejection fraction (EF) was normal at baseline and showed little change over 12 months (67.0–64.3%, change of  $-2.8 \pm 2.5\%$ ) (Table 1). One patient with pre-existing cardiac failure showed gradual worsening during the study (EF 27–14%). At baseline, mean LVMI was slightly elevated at 139.9 g/m² (normal <131 g/m²), and 50% (3/6) of evaluable patients had an elevated LVMI. After 12 months, mean LVMI decreased by -12.4%, with four patients showing a clinically meaningful improvement of >10% [27]. The patient with the largest LMVI at baseline showed a further increase (254.1–312.9 g/m²).

# Joint range of motion

Fig. 4 and Table 1 show the changes in joint range of motion observed during the study. At baseline, patients had significant joint contractures involving the shoulder (flexion, extension, and abduction), knee (flexion and extension), hip flexion and extension), and elbow (flexion and extension). Following 12 months of treatment, several joints showed increased range of motion, including mean

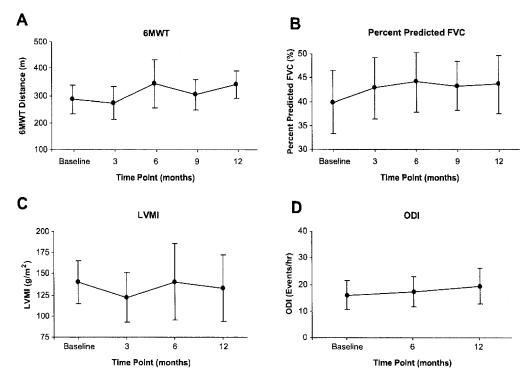


Fig. 3. The effects of idursulfase treatment on clinical endpoints over 12 months. (A) 6-Minute Walk Test. (B) % Predicted forced Vital Capacity. (C) Left Ventricular Mass Index. (D) Oxygen Desaturation Index. All changes are reported as mean ± SEM.

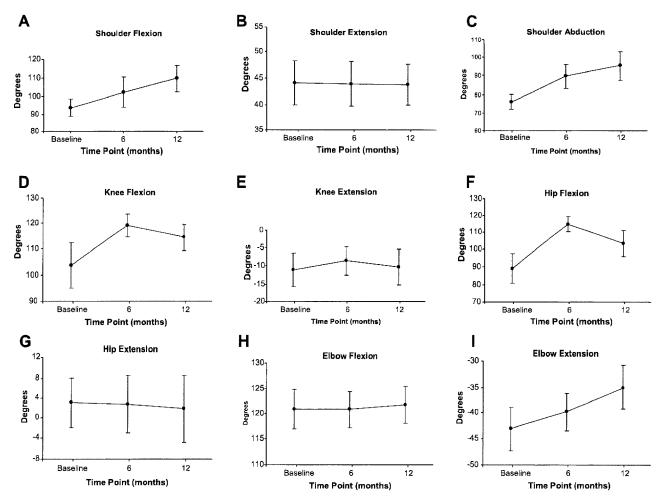


Fig. 4. The effects of idursulfase treatment on joint range of motion over 12 months. (A) Shoulder flexion. (B) Shoulder extension. (C) Shoulder abduction. (D) Knee flexion. (E) Knee extension. (F) Hip flexion. (G) Hip extension. (H) Elbow flexion. (I) Elbow extension. All changes are reported as mean ± SEM.