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Schwann cell autophagy induced by SAHA, 17-AAG, or clonazepam can reduce bortezomib-induced peripheral neuropathy

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BACKGROUND: The proteasome inhibitor bortezomib has improved the survival of patients with multiple myeloma but bortezomib-induced peripheral neuropathy (BiPN) has emerged as a serious potential complication of this therapy. Animal studies suggest that bortezomib predominantly causes pathological changes in Schwann cells. A tractable system to evaluate combination drugs for use with bortezomib is essential to enable continuing clinical benefit from this drug.

METHODS: Rat schwannoma cells were pretreated with vincristine (VCR), histone deacetylase inhibitors, anticonvulsants, or a heat-shock protein 90 (HSP90) inhibitor. To then monitor aggresome formation as a result of proteasome inhibition and the activation of chaperone-mediated autophagy (CMA), we performed double-labelling immunofluorescent analyses of a cellular aggregation-prone protein marker.

RESULTS: Aggresome formation was interrupted by VCR, whereas combination treatments with bortezomib involving suberoylanilide hydroxamic acid, 17-allylamino-17-demethoxy-geldanamycin, or clonazepam appear to facilitate the disposal of unfolded proteins via CMA, inducing HSP70 and lysosome-associated membrane protein type 2A (LAMP-2A).

CONCLUSIONS: This schwannoma model can be used to test BiPN-reducing drugs. The present data suggest that aggresome formation in Schwann cells is a possible mechanism of BiPN, and drugs that induce HSP70 or LAMP-2A have the potential to alleviate this complication. Combination clinical trials are warranted to confirm the relevance of these observations.

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The development of novel agents, such as proteasome inhibitors and immunomodulatory drugs has improved the survival outcome for multiple myeloma (MM) patients (Kumar *et al.*, 2008). However, the incidence of peripheral neuropathy (PN) has emerged as a significant problem in the new therapeutic era for MM (Richardson *et al.*, 2006, 2009b; Argyriou *et al.*, 2008). In younger patients with MM, primary treatments have included vincristine (VCR), doxorubicin, and dexamethasone, and also high-dose therapy with melphalan supported by autologous stem cell transplantation. However, in some of these patients, VCR treatments have caused PN. Moreover, bortezomib was the first proteasome inhibitor to be approved for the treatment of relapsed/refractory as well as newly diagnosed MM patients (Richardson *et al.*, 2003; San Miguel *et al.*, 2008). However, this treatment can cause peripheral nerve damage leading to the development of bortezomib-induced peripheral neuropathy (BiPN). Owing to these adverse effect, bortezomib will be discontinued even in patients that respond well to this drug. Not surprisingly, bortezomib has recently become one of the mainstays in ongoing clinical trials of combination therapies for MM.

A couple of recent studies have reported neurophysiological and pathological findings for bortezomib administration in animal models (Cavaletti *et al.*, 2007; Bruna *et al.*, 2010; Meragalli *et al.*, 2010). Another histopathological study in rats reported that bortezomib did not affect neurons but did cause damage to Schwann cells (Cavaletti *et al.*, 2007). Another report has however shown that alterations to Schwann cells might be a secondary effect of bortezomib (Bruna *et al.*, 2010). At present, treatments for BiPN are lacking, although anticonvulsants have been administered to MM patients with this disorder (Richardson *et al.*, 2006; Argyriou *et al.*, 2008). In addition, although a dose-modification guideline for BiPN has been published (Richardson *et al.*, 2009b), it is difficult to accurately evaluate neurotoxicity in patients during bortezomib therapy and thus determine when treatment should discontinue. Hence, combination bortezomib treatments for MM involving agents that function as prophylactics against BiPN, rather than drugs that treat BiPN, are highly desirable. However, there are currently few (if any) investigative tools available to develop such therapies as the molecular mechanisms underlying BiPN remain to be elucidated.

To elucidate the molecular mechanisms underpinning the onset of BiPN in our current study, we first reviewed previous reports on neurodegenerative diseases in which protein aggregates are responsible for the cellular toxicity. When the activity of proteasome is inhibited, misfolded proteins will form aggregates

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known as aggresomes (Johnston *et al*, 1998). Aggresomes were initially described as inclusion bodies in the cells of patients with neurodegenerative diseases (Kopito, 2000) such as amyotrophic lateral sclerosis (Bruijn *et al*, 1998; Mezey *et al*, 1998), Parkinson's disease (Mezey *et al*, 1998), and Huntington's disease (Bennett *et al*, 2007). In our present experiments, we employed a schwannoma cell system to monitor aggresome formation after treatment with bortezomib. Furthermore, we examined whether additional treatments could reduce the number and size of these aggregates and thus potentially suppress the onset of BiPN.

MATERIALS AND METHODS

Schwann cell pretreatment and bortezomib treatment

A rat schwannoma cell line RT4-D6P2T (purchased from ATCC, Manassas, VA, USA, on 28 May 2007) was cultured in Dulbecco's modified Eagle's medium (Sigma-Aldrich, St Louis, MO, USA) containing 10% FBS (Bioserum, Victoria, Australia). RT4-D6P2T cells were cultured for less than 2 months after reconstitution from stocks, which were frozen upon receipt from the ATCC. The cells

had been validated by the supplier using DNA fingerprinting and no additional authentication was performed in our laboratory. The morphology of the RT4-D6P2T cells showed no changes over the course of the study.

At 1 day before pretreatment, the RT4-D6P2T cells were plated at a density of 5×10^7 cells per well on four-well chamber slides. They were then either untreated or pretreated with 40 nM VCR (Sigma-Aldrich) for 1 h or pretreated for 24 h with either 5 μ M suberoylanilide hydroxamic acid (SAHA; Merck & Co. Inc., Whitehouse Station, NJ, USA), 0.5 μ M 17-allylamino-17-demethoxygeldanamycin (17-AAG; Sigma-Aldrich), 50 nM clonazepam (CZP; Sigma-Aldrich), or 6 mM valproic acid (VPA; Sigma-Aldrich). The dose of each reagent was determined by its half maximal inhibitory value (IC_{50}). For VCR pretreatments, the cells were washed twice with PBS: 2.68 mM KCl, 1.47 mM KH_2PO_4 , 136.89 mM NaCl, and 8.10 mM Na_2HPO_4 (Dainippon Sumitomo Pharma Co. Ltd., Osaka, Japan) before the addition of 40 nM bortezomib (Millennium Pharmaceuticals, Cambridge, MA, USA) for 3 h. Following pretreatment with other reagents, the cells were not washed before the 3-h treatment with 40 nM bortezomib. As a final step, the cells were washed twice with PBS, incubated for a further 24 h, and then fixed.

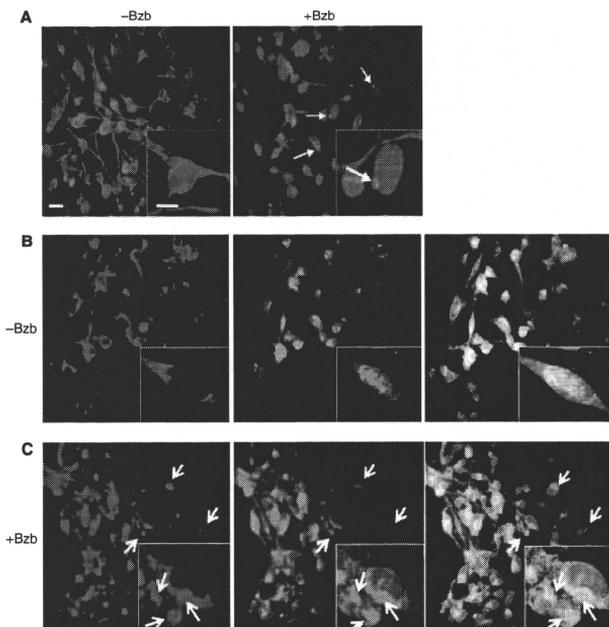


Figure 1 Bortezomib induces aggresome formation at the microtubule-organising centres (MTOCs) of Schwann cells. **(A)** In untreated RT4-D6P2T cells, γ -tubulin is distributed homogeneously throughout the cytoplasm (left panel). In bortezomib (Bzb)-treated cells, aggresomes form as distinct pericentriolar structures (arrows) with weak staining in the cytoplasm (right panel). Insets in the right panel show the juxtannuclear rounded structures evident at higher magnification. **(B)** Untreated RT4-D6P2T cells contain dynein (red), which is distributed homogeneously in the cytoplasm with predominant localisation in the pennular region, and vimentin (green), which is distributed diffusely throughout the cytoplasm and above the nuclei. **(C)** In bortezomib-treated cells, dynein (red) and vimentin (green) appear as rounded structures at the MTOC (arrows) and are colocalised in the region adjacent to the nuclei (yellow signals in the merged image of both fluorochrome channels). Bar, 20 μ m. -Bzb, untreated; +Bzb, bortezomib treated.

Immunohistochemical analysis

The RT4-D6P2T cells were fixed with PBS containing 4% paraformaldehyde for 10 min at 4°C, washed with TBS (20 mM Tris and 500 mM NaCl (pH 7.4)) with 0.1% IGPAL CA-630 (Fluka, Buchs, Switzerland) for 3 × 5 min, fixed in methanol for 10 min at 4°C, and blocked with PBS containing 4% BSA (Sigma-Aldrich) for 30 min at room temperature. The cells were then incubated overnight at 4°C with primary antibodies diluted at a ratio of 1:50 in PBS with 4% BSA (γ-tubulin (Sigma-Aldrich), dynein (Sigma-Aldrich), vimentin (Santa Cruz Biotechnology, Santa Cruz, CA, USA), heat-shock protein 70 (HSP70; Santa Cruz Biotechnology), peripheral myelin protein 22 (PMP22; Millipore, Bedford, MA, USA), and lysosome-associated membrane protein type 2A (LAMP-2A; Abcam, Cambridge, MA, USA)). The cells were then washed 3 × 5 min in TBS with 0.1% IGPAL CA-630 and incubated with secondary antibodies diluted at a ratio of 1:100 in PBS with

4% BSA, for 1 h at room temperature (Alexa Fluor 488-conjugated chicken anti-rabbit IgG and Alexa Fluor 555-conjugated goat anti-mouse IgG (Molecular Probes, Eugene, OR, USA)). After a further washing for 3 × 5 min in TBS with 0.1% IGPAL CA-630, the cells were mounted on slides with VECTASHIELD (Vector Laboratories, Burlingame, CA, USA). We note that all washes were performed at room temperature. Images of the cells were captured on a laser scanning confocal microscope BZ-8000 (Keyence, Osaka, Japan) and analysed by BZ-Analyzer software (Keyence). The thickness of the optical sections analysed was 0.4 μm.

Quantification of aggresomes and round structures outside of the Schwann cells

Aggresomes and round structures outside of the cells were identified by the colocalisation of PMP22 and γ-tubulin, counted

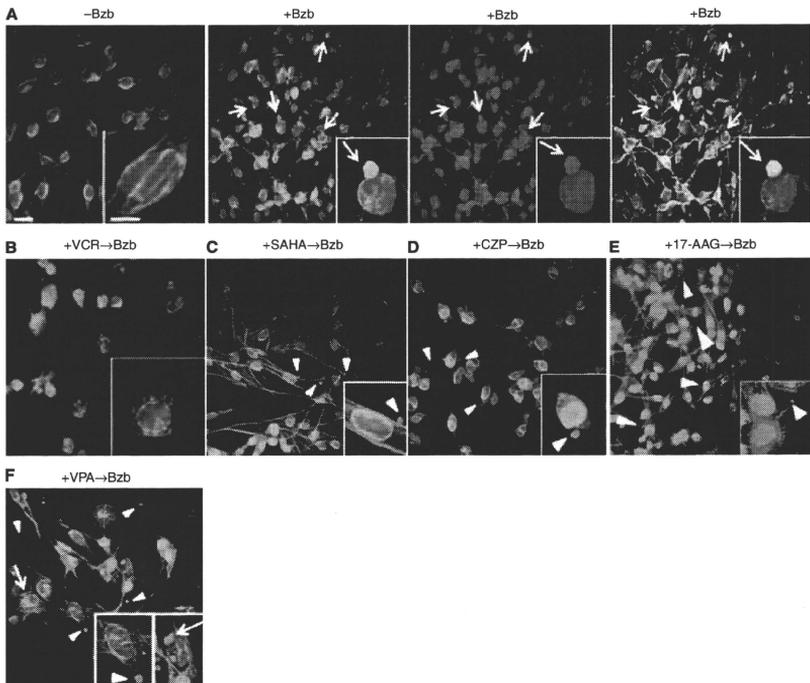


Figure 2 Vincristine (VCR) abrogates aggresome formation and in a combination treatment with bortezomib augments the exocytosis of endogenous misfolded proteins. **(A)** Peripheral myelin protein 22 (PMP22) is homogeneously distributed throughout the cytoplasm of RT4-D6P2T cells before treatment with bortezomib (left panel). After treatment with bortezomib (Bzb), PMP22 appears to undergo retrograde transport towards the MTOC where it forms perinuclear aggresomes (arrows, middle panel, green signals) and colocalises with γ-tubulin (arrows, middle panel, red signals). A merged image of both fluorophores is shown in the far right panel (yellow signal). **(B)** Following pretreatment with VCR, a microtubule depolymerisation agent, PMP22 signals are evident at multiple sites in a granular pattern of aggregates throughout the cytoplasm, most notably in the perikaryon. Cells pretreated with **(C)** suberoylanilic hydroxamic acid (SAHA), a known histone deacetylase inhibitor (HDACi), or **(D)** donozepam (CZP), an anticoumulsant, and **(E)** 17-allylamino-17-demethoxy-geldanmycin (17-AAG), a HSP90 inhibitor, fail to form aggresomes, but instead form rounded structures outside of the cell (arrowheads), which are smaller than the perinuclear aggresomes. **(F)** Pretreatment with valproic acid (VPA) causes the appearance of similar rounded structures outside of the cells (arrowheads) in addition to juxtannuclear aggresomes (arrows).

Translational Therapeutics

in triplicate from 200 cells, and expressed as a percentage of the total cells.

Growth inhibition assay of MM cells

The human MM cell lines, MM.1S, RPMI8226 (purchased from ATCC), and KMS-18 (kindly provided by Dr T Otsuki, Department of Hygiene, Kawasaki Medical School, Kurashiki, Japan) were maintained in RPMI1640 (Sigma-Aldrich) containing 10% FBS. The growth-inhibitory effects upon MM cells were determined using a 3-(4, 5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) assay (Sigma-Aldrich). At 1 day before treatment, 9.0×10^4 cells per 90 μ l aliquot were cultured in 96-well plates (Sumitomo Bakelite, Higashikangawa, Japan) in triplicate at 37°C. Cells were either untreated or pretreated for 24 h with the same concentration of each reagent used with the RT4-D6P2T cells except for VCR. The cells were then cultured further with varying concentrations (from 0.5 to 3 nM) of bortezomib for 48 h. Optical densities at 570 and 630 nm were measured using a multiplate reader. Stock MTT was added to each of the wells in the assay, and the plates were further incubated at 37°C for 5 h. Dimethyl sulphoxide (Sigma-Aldrich) was added to all wells and mixed thoroughly. After a few minutes at room temperature to ensure that all formazan crystals were dissolved, the plates were read on a SpectroMax 340PC³⁸⁴ VersaMax (Molecular Devices, Sunnyvale, CA, USA), using a test wavelength of 570 nm and a reference wavelength of 630 nm. Cell growth (%) was calculated as follows: $(OD_{630} - OD_{570} \text{ of the samples} / OD_{630} - OD_{570} \text{ of the control}) \times 100$.

RESULTS

Aggresomes form at MTOC following proteasome inhibition in Schwann cells

A diffuse expression pattern of γ -tubulin, a protein that adheres to the centrosome (Dietenberg *et al*, 1998), was observed in the cytoplasm of RT4-D6P2T cells. Following a 3-h treatment with 40 nM bortezomib, however, γ -tubulin staining in the cytoplasm became weak and coalesced to form round structures in the juxtannuclear area (Figure 1A). Similarly, the dynein and vimentin proteins became rounded and localised in region adjacent to the nucleus after exposure to bortezomib (Figure 1C).

Vincristine abrogates bortezomib-induced aggresome formation and combination treatments augment the exocytosis of endogenous misfolded proteins from Schwann cells

We next examined whether endogenous misfolded proteins destined to be processed by the ubiquitin-proteasome system could be induced to aggregate and undergo retrograde transport towards the microtubule-organising center (MTOC) upon proteasome inhibition. To accomplish this, we employed the cellular marker PMP22, a short-lived glycoprotein present in Schwann cells (Fortun *et al*, 2003). Following bortezomib treatment, PMP22 showed a distinct juxtannuclear and rounded appearance and localised with γ -tubulin to form aggresomes (Figure 2A), as previously reported (Fortun *et al*, 2003). Interestingly, treatments with VCR completely abrogated the bortezomib-induced accumulation of PMP22, which was instead observed as numerous spots in the perikaryon (Figure 2B).

We next analysed whether treatments with a combination of reagents could reduce aggresome formation. Intriguingly, pretreatment with the histone deacetylase inhibitor (HDAC) SAHA (Figure 2C), the anticonvulsant CZP (Figure 2D), or the HSP90 inhibitor 17-AAG (Figure 2E) caused the appearance of round structures, which were smaller than aggresomes, outside of the

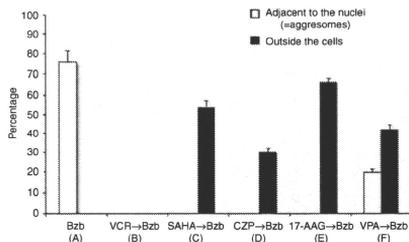


Figure 3 The percentages of round structures adjacent to the nuclei (i.e., aggresomes) and outside the cells were calculated from images showing the colocalisation of PMP22 and γ -tubulin (yellow signals in the far right panel in Figure 2A). These numbers were measured in triplicate and are expressed as the means \pm s.d. The letters in parentheses under the treatment categories correspond to the images above.

cells and with no juxtannuclear aggresomes (Figure 3). In contrast, pretreatment with VPA, also an anticonvulsant and an HDACi, caused the appearance of rounded structures outside of the cells in addition to juxtannuclear aggresomes (Figures 2F and 3).

Chaperone-mediated autophagy is responsible for the enhanced exocytosis of misfolded proteins in Schwann cells during proteasome inhibition

To analyse the molecular mechanisms underlying the enhanced exocytosis of misfolded proteins in Schwann cells, we used an antibodies against the HSP70 chaperone protein and the receptor for chaperone-mediated autophagy (CMA) at the lysosomal membrane (which is a unique isoform of LAMP-2, LAMP-2A) (Cuervo and Dice, 2000; Kaushik *et al*, 2006). After treatment with SAHA, 17-AAG, or CZP (Figure 4A, B, or C, respectively) followed by bortezomib, HSP70 and LAMP-2A were found to localise in structures outside of the cells.

Drugs that protect Schwann cells from aggresome formation due to bortezomib treatment do not disrupt the growth inhibitory effects of bortezomib in myeloma cells

Pretreatments of MM cells with the same drugs used in the RT4-D6P2T cell experiments had few negative effects on the profound growth inhibitory effects of bortezomib (Figure 5).

DISCUSSION

The findings of our present study using a schwannoma cell model system suggest that aggresome formations caused by proteasome inhibition and the excretion pathways of intracellular misfolded proteins are targets for combination drug candidates that will alleviate the onset of BiPN during bortezomib treatment.

A recent study of skin biopsies has revealed that BiPN manifests as predominantly large fibres (Chaudhry *et al*, 2008). On the other hand, in some BiPN patients who develop treatment-emergent neuropathy, the underlying cause has been attributed to the impairment of small fibres (Richardson *et al*, 2006), even though such fibres comprise myelinated A δ and unmyelinated C fibres. In contrast, it has been proposed that 68–85% of BiPN cases are reversible (Richardson *et al*, 2009a, b).

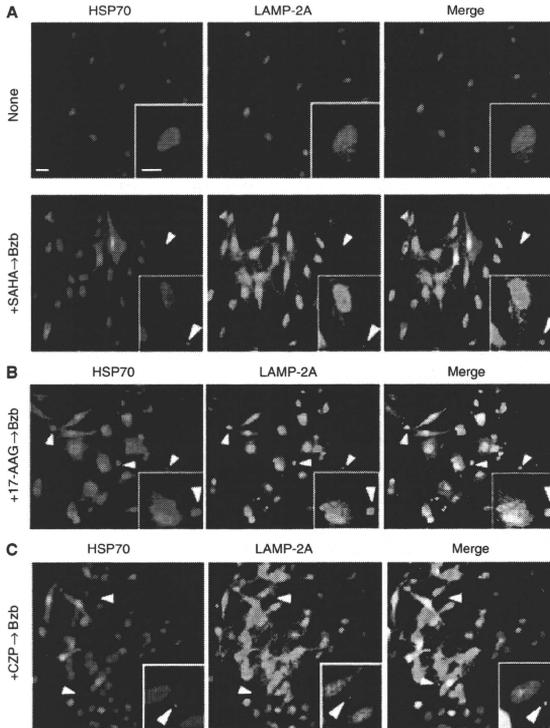


Figure 4 Combination treatments with bortezomib can augment the exocytosis of misfolded proteins through the chaperone-mediated autophagy of Schwann cells. The distributions of HSP70/HSC70 (red), a chaperone protein, and LAMP-2A (green), a lysosomal membrane protein with a specific role in chaperone-mediated autophagy, are shown in response to combination treatments with (A) SAHA, (B) 17-AAG, and (C) CZP. The colocalisation of both proteins is evidenced by the small rounded structures outside of the cells that appear as an orange signal (arrowheads). Bar, 20 μ m.

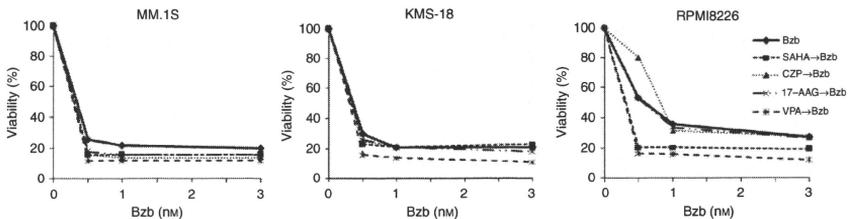


Figure 5 The same combinations used in Figures 2 and 3 do not suppress the growth inhibition of multiple myeloma (MM) cells induced by bortezomib. In MM1 cells (MM.1S, KMS-18, and RPMI8226) were treated with bortezomib alone or in combination with SAHA, CZP, 17-AAG, or VPA. The proportion of viable cells after pretreatment with each drug followed by bortezomib treatment is indicated as a percentage of the untreated cells. These numbers were measured in triplicate and are expressed as the means \pm s.d.

Although it has already been demonstrated that the behaviour of cells of neoplastic origin can differ markedly from normal cells (Scuteri *et al*, 2006), cell lines are usually more tractable for experimental purpose than primary culture cells. In addition, because BiPN is predominantly sensory (Richardson *et al*, 2006; Richardson *et al*, 2009a, b), it would have been desirable to use cell

lines that would somewhat mimic the peripheral sensory nerves. No such cells are currently available however and we thus employed schwannoma cells for analysis, which are benign and differentiated tumour cells, rather than neuroblastoma cells used in previous reports (Scuteri *et al*, 2006; Ciszmadia *et al*, 2008).

Our present data are consistent with previous observations that misfolded proteins form aggregates throughout the cell if they are not degraded by the proteasome (Figure 2B). Furthermore, such aggregates are then transported in a microtubule (MT)-dependent manner to the MTOC on the dynein motor complex (Figure 1C, red) (Johnston *et al*, 1998; Kopito, 2000; Garcia-Mata *et al*, 2002). After treatment with bortezomib, it has been shown that vimentin, the most common component of the intermediate filament cytoskeleton (Franke *et al*, 1978), collapses to form a 'cage' surrounding the aggresome, which then adopts a 'rounded' morphology (Figure 1C, green) (Johnston *et al*, 1998; Garcia-Mata *et al*, 1999). Moreover, our observations of aggresome formations with a distinct juxtanuclear spherical appearance that colocalise with γ -tubulin (Figure 1A, right) after treatment with proteasome inhibitor in Schwann cells corroborate those of a previous study (Fortun *et al*, 2003). Moreover, our results demonstrating that the fate of intracellular ubiquitinated aggregation-prone proteins may be relevant to the development of BiPN support previous findings for the gene expression profiles of bone marrow cells in MM patients with treatment-emergent BiPN (Richardson *et al*, 2009b). These authors identified distinct classes of gene transcripts, namely those involved in the initiation and regulation of protein translation, and their results indicated that enriched proteins that are released from MM cells may be toxic to the peripheral nervous system (Richardson *et al*, 2009b).

PMP22 is associated with a demyelinating PN, Charcot-Marie-Tooth disease type 1A (Patel *et al*, 1992), and VCR is contraindicated in patients with this disease. In our present study, we observed that VCR treatment resulted in the dispersion of aggregates in the cytoplasm and no formation of juxtanuclear aggresomes (Figure 2B). In other words, because VCR is an MT-disrupting drug, our result suggests that pretreatment with this agent might increase BiPN by hindering the movement of unfolded proteins along the MTs with dynein motor complexes (Figure 6A). Indeed, other investigators have suggested that the neuropathy produced by VCR treatment may compromise the ability of the patients to receive bortezomib (Kyle and Rajkumar, 2009).

The central aim of our current study was to develop a clinically relevant *in vitro* system to test drugs that could be combined with bortezomib to reduce the incidence of BiPN. One of the tested candidates was the anticonvulsant VPA, which has been used previously to alleviate the symptoms of painful diabetic neuropathy (Kocher *et al*, 2004). However, the 6 mM concentration of VPA used in our experiments is more than 4000-fold higher than the previously reported clinical dosage (Munster *et al*, 2009). Furthermore, our results suggest that VPA may be less effective in

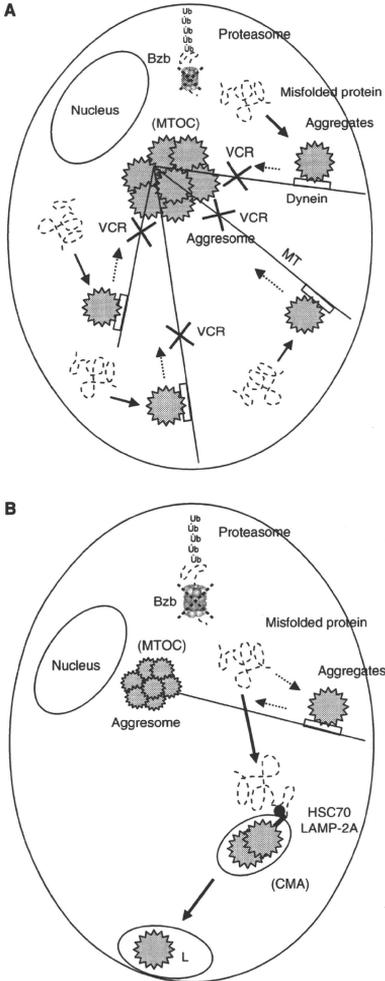


Figure 6 Schematic representation of the disruption of aggresome formation (**A**) and chaperone-mediated autophagy (CMA) (**B**). (**A**) Small peripherally formed aggregates are transported along the microtubule (MT) tracks by retrograde motors (i.e., dyneins) to a juxtanuclear pericentriolar location, the MT organisation centre (MTOC). 'Xvcr' indicates that VCR pretreatment before the administration of bortezomib (Bzb) hinders aggresome formation. This is likely because VCR is an MT-disrupting drug and the aggregates would be unable to move along the MTs on the dyneins towards the MTOC. (**B**) SAHA, CZP, 17-AAG, and VPA have the potential to enhance the expression of HSP70/heat-shock cognate protein of 70 Kd (HSC70), which recognises the specific motif targeted by CMA in its substrate proteins. Lysosome-associated membrane protein type 2A (LAMP-2A) is a unique receptor for CMA. Aggregated proteins are delivered from the cytoplasm out of the cells through lysosomes (L) by CMA.

reducing BiPN than other HDACi's such as SAHA or anticonvulsants like CZP. Indeed, pretreatment with VPA followed by bortezomib was found to elicit juxtanuclear aggresome formation in addition to the formation of rounded structures outside of the cells (Figures 2F and 3). On the other hand, SAHA has been shown previously to disrupt bortezomib-induced aggresome formation in MM cells (Nawrocki et al, 2008) as a result of the destruction of HDAC6, which promotes aggresome inclusion of misfolded polyubiquitinated proteins on the dynein motor complexes along the MTs (Kawaguchi et al, 2003). The 5 μm concentration of SAHA used in this study was two- to five-fold higher than the clinically usable dose in our previous pharmacokinetic analyses of phase I trials of oral SAHA (Watanabe et al, 2010). However, the 40 nm quantity of bortezomib used in this study is equivalent to that observed in our earlier study (Ogawa et al, 2008), and the 40 nm of VCR, 50 nm of CZP, and 0.5 μm of 17-AAG used in our analyses are equivalent to the doses for these compounds reported in other studies (Goetz et al, 2005; Corona et al, 2008; dos Santos et al, 2009, respectively).

The results of our current analyses shown in Figure 4 suggest that following pretreatment with the candidate drugs, the aggregated proteins are discarded outside of the cells by CMA (Kaushtik et al, 2006). This evidence in support of CMA as the mechanism of disposal in this case is that the antibody used in our experiments does not distinguish between HSP70 and the heat-shock cognate protein of 70 Kd (HSC70) (Shen et al, 2009), which recognises the CMA-targeting motif in the substrate protein (Agaraberes et al, 1997). To our knowledge, the role of CMA either under conditions of proteasome inhibition or in the nervous system has never been previously reported. However, HSP70 and LAMP-2A, a specific receptor for CMA, were found in our analysis to be colocalised in the rounded structures including misfolded proteins (Figure 4). By inducing the chaperone protein, we speculate that these agents may promote an additional degradation pathway via lysosomes to excrete aggregated proteins from Schwann cells. This is different from the retrograde transport of aggregated proteins to form aggresomes along MTs from the periphery in the cytoplasm to the MTOC, thus aiding cells in the disposal of aggregated proteins (Figure 6B).

The overexpression of HSP70, which could be induced by SAHA alone in our experiments (Figure 4A, data not shown), is a

well-described consequence of HSP90 inhibition by 17-AAG (Guo et al, 2005). This finding is consistent with the results from series of previous reports, which showed that a pan-HDACi similar to SAHA inhibits the HSP90 deacetylase HDAC6 (Bali et al, 2005), and that acetylation of HSP90 releases heat-shock factor-1 from HSP90 (Zou et al, 1998) and consequently induces HSP70 expression (Morimoto, 1998). Furthermore, our present *in vitro* data may corroborate the results of a clinical trial with bortezomib and tanespimycin (a cremophor-based formulation of 17-AAG) in which BiPN was reduced (Mitsiades et al, 2009; Richardson et al, 2010). In the case of SAHA, a multicentre phase I trial in combination with bortezomib for relapsed or refractory MM patients has been performed and only mild PN was reported (Badros et al, 2009). Another case series has reported gastrointestinal tract events only without discontinuation or dose adjustments of either agent (Mazumder et al, 2010). Interestingly, HSP70 has also been shown to have a major role in the cellular defence against the toxic effects of misfolded proteins in neurodegenerative diseases such as amyotrophic lateral sclerosis (Gifondorwa et al, 2007), Parkinson's disease (Roodveldt et al, 2009), and Huntington's disease (Wacker et al, 2009).

As the binding of substrates, that is, misfolded proteins, to LAMP-2A is the limiting step for degradation via CMA (Cuervo and Dice, 1996), the induction of LAMP-2A as well as HSP70/HSC70 may be a promising marker for screening drugs that may reduce BiPN.

In summary, although the results of our present study are preliminary and *in vitro* only, our data suggest that the combination of bortezomib and SAHA, 17-AAG, or CZP has the potential to reduce BiPN. As bortezomib is currently an important component of combination treatment for MM, our *in vitro* system may allow MM patients to continue to benefit from bortezomib in the future.

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Bortezomib potentially inhibits cellular growth of vascular endothelial cells through suppression of G2/M transition

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Bortezomib, a selective 26S proteasome inhibitor, has shown clinical benefits against refractory multiple myeloma. The indirect anti-angiogenic activity of bortezomib has been widely recognized; however, the growth-inhibitory mechanism of bortezomib on vascular endothelial cells remains unclear, especially on the cell cycle. Here, we showed that bortezomib (2 nM of the IC₅₀ value) potently inhibited the cellular growth of human umbilical vascular endothelial cells (HUVECs) via a vascular endothelial growth factor receptor (VEGFR)-independent mechanism resulting in the induction of apoptosis. Bortezomib significantly increased the vascular permeability of HUVECs, whereas a VEGFR-2 tyrosine kinase inhibitor decreased it. Interestingly, a cell cycle analysis using flow cytometry, the immunostaining of phospho-histone H3, and Giemsa staining revealed that bortezomib suppressed the G2/M transition of HUVECs, whereas the mitotic inhibitor paclitaxel induced M-phase accumulation. A further analysis of cell cycle-related proteins revealed that bortezomib increased the expression levels of cyclin B1, the cdc2/cyclin B complex, and the phosphorylation of all T14, Y15, and T161 residues on cdc2. Bortezomib also increased the ubiquitination of cyclin B1 and wee1, but inhibited the kinase activity of the cdc2/cyclin B complex. These protein modifications support the concept that bortezomib suppresses the G2/M transition, rather than causing M-phase arrest. In conclusion, we demonstrated that bortezomib potently inhibits cell growth by suppressing the G2/M transition, modifying G2/M-phase-related cycle regulators, and increasing the vascular permeability of vascular endothelial cells. Our findings reveal a cell cycle-related mode of action and strongly suggest that bortezomib exerts an additional unique vascular disrupting effect as a vascular targeting drug. (*Cancer Sci* 2010; 101: 1403–1408)

The proteasome is an essential enzyme complex for nonlysosomal and ATP-dependent proteolytic pathways. The ubiquitin-proteasome pathway plays an important role in the intracellular degradation of damaged, oxidized, or misfolded proteins^(1–4) as well as in the cell cycle progression. Such damaged, oxidized, or misfolded proteins have been identified as substrates for the ubiquitin/proteasome system.^(1,5–7) In addition, this system has been implicated in the regulation of cell proliferation, differentiation, survival, apoptosis, and angiogenesis.^(8,9) Because of these unique effects of the proteasome/ubiquitin system on cellular regulation, the proteasome is a novel and promising target for cancer therapy.^(10–12)

Bortezomib (Velcade, PS-341), a selective 26S proteasome inhibitor, demonstrates potent antitumor activity against several human cancers and has been clinically used mainly in patients with refractory multiple myeloma.^(13–15) The main mechanism of action of this drug was initially thought to be

the inhibition of nuclear factor- κ B (NF- κ B), which acts as a transcription factor for anti-apoptotic proteins, such as Bcl-2, c-IAP2, and survivin. Accumulating data indicates that bortezomib disrupts the cell cycle by modifying cyclins and inhibits the up-regulation of interleukin-6 (IL-6), which plays an important role in the proliferation of myeloma cells, by inhibiting NF- κ B and stabilizing p53, p21, and p27, resulting in its anticancer activity.^(1,16–18)

Bortezomib exerts an anti-angiogenic effect by decreasing the secretion of vascular endothelial growth factor (VEGF) from myeloma cells.^(19,20) This anti-angiogenic effect of bortezomib is considered an indirect effect on vascular endothelial cells resulting from ligand depletion. Meanwhile, direct negative proliferative effects of bortezomib on vascular endothelial cells have emerged which play an important role in its anti-angiogenic activity. Roccaro *et al.*⁽⁹⁾ reported that bortezomib induces inhibition of angiogenesis in functional assays of angiogenesis, including chemotaxis, adhesion to fibronectin, capillary formation on Matrigel, and chick embryo chorioallantoic membrane assay using multiple myeloma patient-derived endothelial cells and human umbilical vein endothelial cells (HUVECs). Podar *et al.*⁽²¹⁾ reported that Caveolin-1 is a molecular target of bortezomib in multiple myeloma cells and HUVECs and this is required for VEGF-triggered multiple myeloma. However the underlying mechanism responsible for the direct negative proliferative effect of bortezomib on vascular endothelial cells remains unclear, especially with regard to its effect on the cell cycle.

To gain insight into the direct anti-angiogenic effects of bortezomib on HUVECs, we examined cellular proliferation, tube formation, VEGF receptor-2 (VEGFR-2) signaling, the apoptotic pathway, vascular permeability, cell cycle analysis, and effects of drugs on cell cycle-related proteins.

Materials and Methods

Anticancer agents. Bortezomib was provided by Millennium Pharmaceuticals (Cambridge, MA, USA). The VEGFR-2 tyrosine kinase inhibitor (VEGFR-2-TKI) Ki8751 (IC₅₀ value for VEGFR-2 kinase inhibition = 0.90 nM) was purchased from Sigma (St. Louis, MO, USA). Paclitaxel was purchased from Wako Pure Chemical Industries (Osaka, Japan). Each chemical agent was dissolved in dimethylsulfoxide for use in the *in vitro* experiments.

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Cell cultures. HUVECs were maintained in Humedia-EG2 (Kurabo, Tokyo, Japan) with 2% fetal bovine serum and 0.1% gentamicin-amphotericinB with the addition of 10 ng/mL of epidermal growth factor, 5 ng/mL of fibroblast growth factor, and 2 ng/mL of VEGF (R&D Systems, Minneapolis, MN, USA). All the cell lines were incubated at 37°C with humidified 5% CO₂.

In vitro growth inhibition assay. Growth inhibition was evaluated using the MTT assay, as described previously.⁽²²⁾ The experiment was performed in triplicate.

Western blotting. The antibodies used for western blotting were anti-phospho-VEGFR-2 (Tyr1175), anti-VEGFR-2, anti-MAPK, anti-phospho-MAPK, anti- β -actin, anti-cleaved or non-cleaved-caspase3, anti-cleaved or non-cleaved-poly ADP-ribose polymerase (PARP), anti-cyclin B1, anti-phospho-cdc2, anti-cdc2, anti-phospho-wee1, anti-wee1, anti-phospho-cdc25C, anti-cdc25C, anti-phospho-chk1 and -2, and anti-chk1 and -2 (Cell Signaling, Beverly, MA, USA). HUVECs were cultured overnight in serum-starved medium and then exposed to the indicated concentrations of bortezomib or Ki8751 for 3 h before the addition of 10 ng/mL of VEGF for 5 min. The western blot analysis was performed as described previously.⁽²³⁾ The experiment was performed in duplicate.

Immunoprecipitation. Total cell lysates were immunoprecipitated with anti-wee1, cdc2 antibodies (Cell Signaling), or anti-cyclin B1 antibody (Santa Cruz Biotechnology, Santa Cruz, CA, USA) overnight at 4°C. The protein complex was incubated with protein G-agarose (Invitrogen, San Diego, CA, USA) for 1 h at 4°C and washed three times with lysis buffer. After sequential centrifugation and washing, the pellets were resuspended in 1.5 \times sample loading buffer and subjected to immunoblot analysis.

Cell cycle analysis. Cells were treated with the indicated concentrations of bortezomib for 24 h. The cells were then harvested, washed with PBS, fixed with 70% ethanol at -20°C overnight, washed again with PBS, and then stained with 5 μ g/mL of propidium iodide containing 0.1% Triton X-100, 0.1 mM EDTA, and RNase I (BD Bioscience, San Jose, CA, USA). The stained cells were then analyzed for DNA content using a FACS Calibur flow cytometer (BD Biosciences) and the cell cycle distributions were calculated using ModFit LT software. The experiment was performed in triplicate.

Giemsa staining. Morphological changes in mitotic cells were evaluated using Giemsa staining. HUVECs treated with bortezomib (1 μ M) or paclitaxel (1 μ M) for 24 h were fixed with 10% neutral-buffered formaldehyde before staining and were stained for 30 min, then washed with tap water for 5 min. The morphological changes were evaluated using a light microscope ($\times 40$).

Immunofluorescence staining of phospho-histone H3. HUVECs were treated with 1 μ M of bortezomib or paclitaxel for 24 h and were then fixed and permeabilized with 4% formaldehyde/PBS for 15 min. The cells were blocked with 5% normal goat serum in PBS for 60 min. After washing, anti-phospho-histone H3 antibody (Cell Signaling) was diluted 1:200 in PBS/Triton and incubated for 1 h at room temperature, followed by detection using Alexa Fluor 594 goat antirabbit IgG antibody (Invitrogen) for 1 h. After washing, the cells were counterstained with 1 μ g/mL of 4',6-diamidino-2-phenylindole (DAPI) in PBS for 5 min. Images were obtained using fluorescence microscopy (IX71; Olympus, Tokyo, Japan). The mitotic index was calculated by dividing the number of p-Histone H3-positive cells by the total number of treated cells (DAPI-positive cells). At least 100 cells were scored per low-power field, and the cells were counted over three fields. The experiment was performed in triplicate.

cdc2/cyclinB1 kinase assay. The cdc2/cyclinB1 kinase activity in the cells was quantified using a Cyclic Cdc2-CylinB Kinase Assay Kit (Cyclex, Nagano, Japan) according to the

manufacturer's instructions. The experiment was performed in triplicate.

In vitro permeability assay. Transwell permeability assays were performed using monolayers of HUVECs and an *in vitro* vascular permeability assay kit (Chemicon, Temecula, CA, USA). Briefly, HUVECs seeded onto collagen-coated inserts were pretreated with or without bortezomib (1, 0.1 μ M) or VEGFR-2-TKI (1 μ M) for 6 h, and VEGF (20 ng/mL) was added, except in the control sample, 4 h thereafter. Two hours after the addition of VEGF, fluorescein isothiocyanate dextran (FITC dextran) was added on the top of the cells and the extent of FITC dextran permeation was determined by measuring the fluorescence of the plate well solution, according to the supplier's instructions. The experiment was performed in triplicate.

Results

Bortezomib potentially inhibited the cellular growth of HUVECs independent of VEGF signaling. To evaluate the growth inhibitory activity of bortezomib *in vitro*, we performed MTT assays on HUVECs under the 20 ng/mL of VEGF or without it. Bortezomib exhibited a potent growth inhibitory activity on HUVECs with an IC₅₀ of 2 nM; however, VEGF stimulation did not influence the growth inhibitory activity of bortezomib (Fig. 1a).

To address the question whether the growth inhibitory activity of bortezomib involves VEGFR-2 signaling, we compared the inhibitory effects of bortezomib with that of a VEGFR-2-TKI, Ki8751, on the phosphorylation levels of VEGFR and MAPK. Bortezomib did not inhibit the phosphorylation level of VEGFR-2, whereas Ki8751 (0.01–1 μ M) completely inhibited VEGFR-2 phosphorylation (Fig. 1b). Similar results were observed for MAPK phosphorylation. These results indicate that the growth inhibitory activity of bortezomib is induced via a VEGFR-2 signaling-independent mechanism.

Bortezomib increases vascular permeability *in vitro*. Generally, the characteristics of vascular disrupting agents include a potent anti-proliferative effect. Microtubule-binding drugs (MBD) are widely used in cancer chemotherapy and also have clinically relevant vascular-disrupting properties. The disruption of adherens junctions contributes to the rounding of endothelial cells, leading to a direct increase in vasculature permeability.⁽²⁴⁾ Therefore, we examined the effect of bortezomib on vasculature permeability to gain an insight into its vascular-disrupting properties. As expected, Ki8751 significantly decreased vasculature permeability during VEGF stimulation, in contrast to the situation in untreated controls. On the other hand, bortezomib significantly increased the vasculature permeability of vasculature endothelial cells in a dose-dependent manner (Fig. 1c). This result supports the hypothesis that bortezomib has vascular-disrupting properties in HUVECs in addition to its potent growth inhibitory effect.

Bortezomib induces apoptosis of HUVECs. We speculated that the potent growth inhibitory activity of bortezomib was based on the induction of apoptosis; thus, we evaluated the expression levels of cleaved caspase 3, cleaved PARP, and ubiquitinated protein from whole cell lysates. The expression levels of cleaved caspase 3 and PARP showed that bortezomib induced the activation of caspase 3 at a dose of 0.1 μ M and subsequent PARP cleavage in HUVECs in a dose- and time-dependent manner (Fig. 2). The accumulation of ubiquitinated proteins, which represents a direct effect of bortezomib, was observed at 0.01 μ M in a time-dependent manner. These findings indicate that bortezomib is capable of inducing the apoptosis of HUVECs at a relatively low concentration.

Bortezomib inhibits G2/M transition. An analysis of the cell cycle distribution of HUVECs revealed that bortezomib significantly increased the population of cells in the G2/M phase

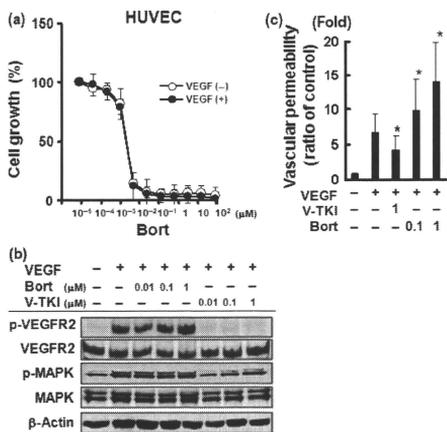


Fig. 1. Bortezomib potently inhibited the cellular growth and increased the vascular permeability of HUVECs. (a) *In vitro* growth-inhibitory effect of bortezomib on HUVECs using an MTT assay with 10 ng/mL vascular endothelial growth factor (VEGF) or without it. The data shown represents the average \pm SD of three independent experiments. (b) Effects of bortezomib on VEGF signaling in HUVECs. Western blot analysis was performed for the expression and phosphorylation levels of VEGF receptor-2 (VEGFR-2) and MAPK. HUVECs were cultured under serum-starved conditions and exposed to bortezomib or K18751 at the indicated concentrations for 3 h. After 10 ng/mL VEGF stimulation for 5 min, the cells were analyzed. (c) Effect of bortezomib on vascular permeability *in vitro*. HUVECs were seeded onto collagen-coated inserts and were pretreated with or without bortezomib (0.1 and 1 μ M) or VEGFR-2 tyrosine kinase inhibitor (VEGFR-2-TKI) (1 μ M) for 6 h. After 20 ng/mL of VEGF stimulation for 2 h, fluorescein isothiocyanate dextran (FITC dextran) was added on the top of the inserts and the extent of FITC dextran permeation was determined by measuring the fluorescence of the plate well solution. The relative vascular permeability was calculated using the ratio to the permeability in the control cells (untreated). The data shown represents the average \pm SD of three independent experiments. * P < 0.05. Bort, bortezomib; V-TKI, VEGFR-2-TKI.

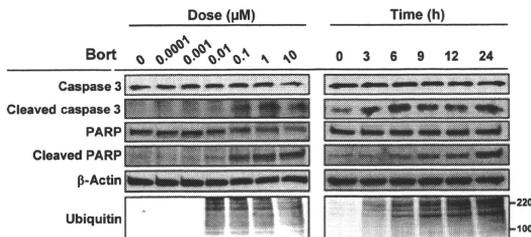
(Fig. 3a). This effect was observed when the cells were exposed to 0.01 μ M of bortezomib. Generally, morphological changes, including the disappearance of the nuclear membrane, chromosomal condensation, and cytoplasmic round formation, are observed in mitotic cells. Therefore, we evaluated whether bortezomib induced morphological changes in HUVECs specific to mitotic cells. Paclitaxel, a well-known tubulin binder and mitotic inhibitor, was used as a control. Paclitaxel clearly induced these morphological changes specific to mitotic cells; however, bortezomib did not induce these changes with Giemsa staining (Fig. 3b). Further analysis using phospho-histone H3 immunostaining, an M-phase-specific marker, demonstrated that bortezomib significantly decreased the number of mitotic cells while paclitaxel markedly increased it (Fig. 3c,d). Together, these results indicated that both bortezomib and paclitaxel induced cell cycle arrest at the G2/M phase; however, bortezomib did not increase the number of mitotic cells unlike paclitaxel. These results suggest that bortezomib inhibits the G2/M transition in HUVECs.

Bortezomib decreases cdc2/cyclin B kinase activity. Cell cycle progression at the G2/M transition is regulated by cdc2/cyclin B complex activity, and the activation of this complex is controlled as a consecutive process as follows: (i) the levels of cyclin B protein are increased during late S and G2 phases; (ii) cyclin B binds to unphosphorylated cdc2 and forms an inactive cdc2/cyclin B complex; (iii) cdc2 is phosphorylated at its T14, Y15, and T161 residues during the G2 phase; and (iv) the dephosphorylation of T14 and Y15 on cdc2 by phosphatase cdc25 activates the cdc2/cyclin B complex and introduces the cells to mitosis.

Bortezomib increased the expression of cyclin B1 in a dose- and time-dependent manner, and an immunoprecipitation analysis showed that bortezomib also increased the production of cdc2/cyclin B complexes (Fig. 4a). Bortezomib markedly increased the phosphorylation status of the T14, Y15, and T161 residues on cdc2 in a dose- and time-dependent manner, suggesting that bortezomib promoted the presence of the inactive form of the cdc2/cyclin B complex (Fig. 4b). These results showed that bortezomib inhibits the G2/M transition. In addition, we examined the effects on a competing kinase, wee1, and the phosphatase cdc25C. Increased expression and phosphorylation levels of wee1 were observed after bortezomib treatment, whereas no remarkable changes in cdc25C expression or phosphorylation were observed (Fig. 4b). Regarding the effects of bortezomib on the proteasome-ubiquitin pathway, we found that the ubiquitination of wee1 and cyclin B protein was increased by bortezomib in a dose-dependent manner, suggesting that the increase in the ubiquitination of wee1 and cyclin B may be at least partially involved in the suppression of the G2/M transition and the mode of action of this drug (Fig. 5a). Finally, a kinase assay of the cdc2/cyclin B complex showed that bortezomib (0.01 μ M) significantly inhibited the kinase activity of the complex, indicating that the inhibition of kinase activity might suppress the G2/M transition (Fig. 5b).

Together, these results revealed that bortezomib increases the expression levels of cyclin B1, the formation of the cdc2/cyclin

Fig. 2. Bortezomib induces apoptosis of HUVECs. Western blot analysis was performed for the cleaved form and the expression levels of caspase 3, poly ADP-ribose polymerase (PARP), and whole ubiquitinated-protein. HUVECs were treated with bortezomib at the indicated concentrations for 24 h and analyzed (left panel), or they were treated with bortezomib at 0.1 μ M for the indicated hours (right panel). Protein size markers are shown at 100 and 220 kDa.



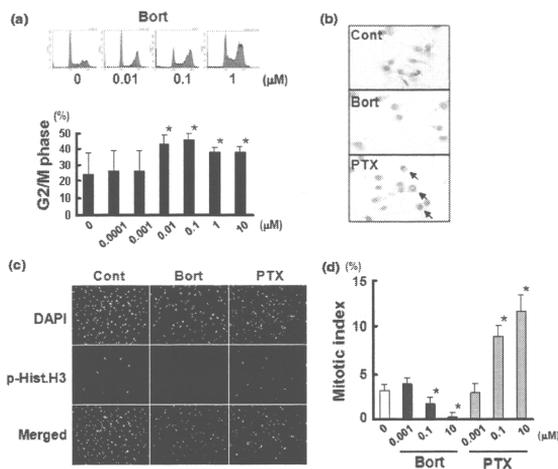


Fig. 3. Bortezomib suppresses the G2/M transition. (a) DNA histogram of HUVECs treated with bortezomib. HUVECs were treated with bortezomib at the indicated concentrations. The upper panel represents the result of a flow cytometry analysis, and the lower panel shows the population at the G2/M phase. * $P < 0.05$. (b) Giemsa staining of HUVECs treated with bortezomib or paclitaxel at 1 μM . The arrows indicate cells with mitotic changes (disappearance of the nuclear membrane, chromosomal condensation, and cytoplasmic round formation). (c) Immunostaining for phospho-histone H3 (p-Hist.H3) and 4',6-diamidino-2-phenylindole (DAPI) observed with fluorescence microscopy. p-Hist.H3 was used as an M-phase-specific molecular marker. Note that both bortezomib and paclitaxel induced cell cycle arrest at the G2/M phase, but unlike paclitaxel, bortezomib did not increase the number of cells in the M phase. (d) Mitotic index after treatment with bortezomib or paclitaxel at the indicated concentrations in HUVECs. The mitotic index was calculated using the number of p.Hist.H3-positive cells per the total number of cells (DAPI-positive cells). The columns indicate the average \pm SD of three independent experiments. * $P < 0.05$. Bort, bortezomib; Cont, untreated control; PTX, paclitaxel.

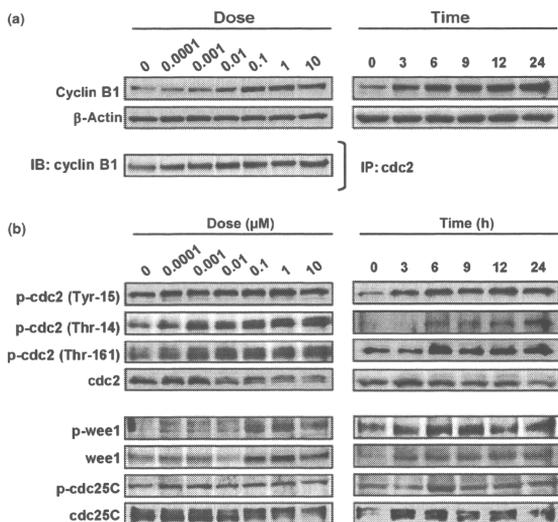


Fig. 4. Bortezomib increases the expression levels of cyclin B1, the production of the cdc2/cyclin B complex, and the phosphorylation of the T14, Y15, and T161 residues on cdc2 in HUVECs. (a) Western blots of the cyclin B1 expression levels in whole protein (upper panel) and samples immunoprecipitated with a cdc2 antibody (lower panel). (b) Western blots for G2/M-phase-related cell cycle regulators. HUVECs were treated with bortezomib at the indicated concentrations for 24 h and for the indicated hours at 0.1 μM . IB, immunoblot; IP, immunoprecipitation.

B complex, the phosphorylation of T14, Y15 and T161 residues on cdc2, and the ubiquitination of cyclin B1 and wee1. Bortezomib also significantly inhibited the kinase activity of cdc2/cyclin B. These modifications of G2/M-phase-related cell cycle regulators suggest that bortezomib suppresses the G2/M transition (Fig. 5c). We concluded that bortezomib potently inhibits cell growth of vascular endothelial cells by suppressing the

G2/M transition through modifying G2/M-phase-related cycle regulators.

Discussion

Inhibition of the 26S proteasome results in the accumulation of cyclins A, B, D, E, p21, and p27, thereby disrupting the cell

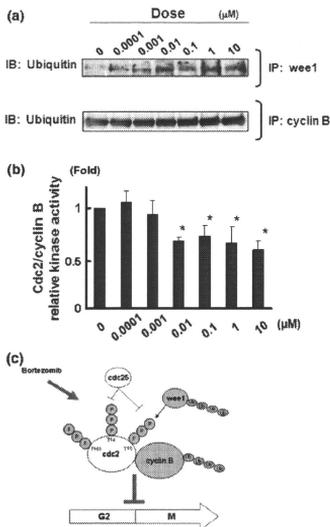


Fig. 5. Bortezomib increases the ubiquitination of cyclin B1 and wee1 and inhibits the kinase activity of cdc2/cyclin B. (a) Western blots for the ubiquitination levels in the samples immunoprecipitated with wee1 antibody (lower panel) or cyclin B1 antibody (upper panel) at the indicated concentrations. Whole cell lysates were used for the analysis. The relative kinase activity was calculated using the ratio to the activity level in the control (untreated). The data shown represents the average \pm SD of three independent experiments. $*P < 0.05$. (c) Schematic diagram of the effects of bortezomib on G2/M-phase cell cycle progression in vascular endothelial cells. Bortezomib increases the expression levels of cyclin B1, the production of the cdc2/cyclin B complex, and the phosphorylation of the T14, Y15, and T161 residues on cdc2. Bortezomib also increases the ubiquitination of cyclin B1 and wee1. Changes in the expression or phosphorylation levels of cdc2 were not detected. These modifications of G2/M-phase-related cell cycle regulators suggest that bortezomib suppresses the G2/M transition.

cycle and promoting cell death via multiple pathways.⁽²⁵⁾ In cancer cells, bortezomib leads to an increase in the accumulation and activation of G2/M-phase-related cycle regulators cyclin A and cyclin B1, and also leads to cell cycle blockade at the G2/M phase.⁽¹⁾ However, whether bortezomib inhibits G2/M transition or induces M-phase arrest has been uncertain. In addition, no data on the effects of bortezomib on the cell cycle arrest at the G2 phase in vascular endothelial cells has been available.

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Our data showed that bortezomib caused cell cycle arrest at the G2 phase, not at the M phase, using Giemsa staining and immunofluorescence staining of the phospho-histone H3 in HUVECs (Fig. 3). Because bortezomib inhibits the G2/M transition, we focused on changes in G2/M-phase-related cell cycle regulators, such as cyclin B and cdc2. We found that bortezomib increased the expression levels of cyclin B, ubiquitinated cyclin B, and the cyclin B/cdc2 complex in dose- and time-dependent manners (Figs 4 and 5a). Further analysis revealed that the phosphorylation statuses of the T14, Y15, and T161 residues on cdc2 were markedly increased, indicating the presence of the inactive form of cdc2 that occurs during G2 arrest; the kinase activity of the cyclin B/cdc2 complex was also inhibited by this treatment (Figs 4b,5b). These data indicate that bortezomib inhibits the G2/M transition, rather than causing M-phase arrest. Since few anticancer drugs are known to suppress the G2/M transition, our results provide an insight to the unique mode of action of bortezomib. The expression, ubiquitination, and phosphorylation of wee1 were markedly increased after bortezomib treatment. Wee1 degrades via the proteasome-ubiquitin pathway, similar to cyclin B, and activated wee1 inhibits cdc2 kinase activity. Therefore, these results raise the possibility that wee1 is involved in the mode of action of bortezomib.

Vascular targeting agents (VTAs) including VEGFR-TKIs target the development of new vessels and have a preventative action, require chronic administration, and are likely to be of particular benefit in early stage or asymptomatic metastatic disease. Meanwhile, vascular disrupting agents (VDAs) target established tumor blood vessels,⁽²⁶⁾ causing a rapid collapse in tumor blood flow leading to a prolonged period of vascular shutdown and culminating in the extensive necrosis of tumor cells.⁽²⁷⁾ VDAs are therefore given acutely, show immediate effects, and may have particular efficacy against advanced disease. Thus, VDAs are considered to be different from VTAs in some key aspects including the type or extent of disease which has sensitivity to the agents and the treatment scheduling.⁽²⁶⁾

Generally, the characteristics of VDAs include a potent anti-proliferative effect, the induction of G2/M-phase arrest, and an increase in vascular permeability.⁽²⁸⁾ Our results indicate that bortezomib exerts similar effects on vascular endothelial cells. Thus, we speculate that bortezomib could be categorized as a VDA and that the vascular disrupting effect of bortezomib might be at least partly responsible for its antitumor activity.

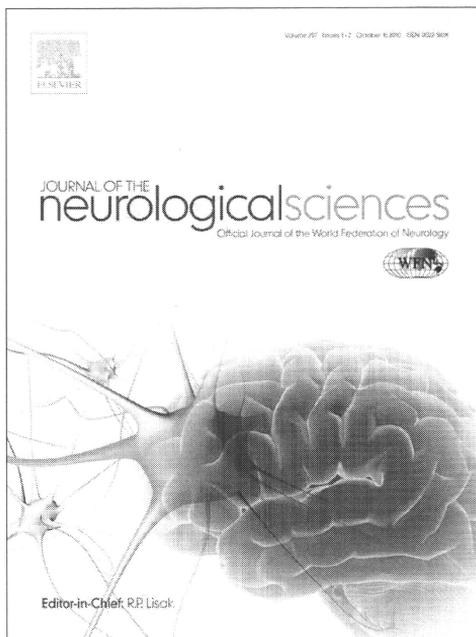
In conclusion, we demonstrated that bortezomib potently inhibits cellular growth by suppressing the G2/M transition in vascular endothelial cells. Our findings strongly suggest that bortezomib has a unique additional vascular disrupting effect.

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Short communication

Successful treatment with rituximab and thalidomide of POEMS syndrome associated with Waldenström macroglobulinemia

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ABSTRACT

A POEMS syndrome is a rare disorder characterized by **polyneuropathy, organomegaly, endocrinopathy, monoclonal gammopathy and skin abnormalities** including hyperpigmentation and hypertrichosis. Here we report a 55-year-old female case of a POEMS syndrome associated with Waldenström macroglobulinemia. The patient had bed-bound polyneuropathy, splenomegaly, IgM- λ type monoclonal (M) protein, elevated λ -type free light chain (FLC), infiltration of CD20-positive lymphoplasmacytic cells in bone marrow, edema and hypertrichosis, and was diagnosed to have an 'atypical' POEMS syndrome associated with macroglobulinemia. Nerve conduction studies and a sural nerve biopsy confirmed a demyelination and axonal degeneration without IgM deposition on myelin sheathes. None of neuron-related auto-antibodies characteristic of IgM paraproteinemic neuropathies was detected in her serum and cerebrospinal fluid. Weekly administration of rituximab (375 mg/m²) combined with thalidomide (50 mg/day) was initiated. By eight weeks of the treatment, the ambulation activity of the patient was restored and her polyneuropathy completely disappeared as determined by clinical symptoms and electrophysiological examinations. This is the first case report presenting a POEMS syndrome associated with WM treated with rituximab and thalidomide. The further examinations of the present case should shed light on the pathogenesis of the 'atypical' POEMS syndrome.

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1. Introduction

A POEMS syndrome is a rare paraneoplastic syndrome characterized by **polyneuropathy, organomegaly, endocrinopathy, monoclonal (M) protein and skin abnormalities**. This syndrome is associated with plasma cell dyscrasia, mostly accompanying IgG- λ or IgA- λ paraproteinemia [1]. Reportedly, there have been only a few cases of POEMS syndrome associated with Waldenström macroglobulinemia (WM) [2,3].

We report here a rare case presenting symptoms characteristic of POEMS syndrome associated with the infiltration of CD20-positive clonal lymphocytes in bone marrow and hyper-macroglobulinemia, indicating simultaneous occurrence of POEMS syndrome and WM. Improvement of neurological symptoms by the treatment targeting CD20-positive lymphocytes is also described.

2. Case report

A 55-year-old Japanese woman, who had suffered from intense general fatigue, a progressive paresthesia, and peripheral ataxic gait disturbance over the preceding 2 months, was admitted to our hospital. Physical and neurological examination on admission revealed mild conjunctival anemia, cervical lymph nodes swelling (elastic soft, 5 mm each), hepato-splenomegaly, pitting edema of the legs, cutaneous hyper pigmentation and hyper trichosis at her knee joints, hand clumsiness with distal weakness in upper and lower extremities, impaired grip strengths (right 2.5 kg and left 2.0 kg), glove-and-stocking type sensory disturbance, and all her deep tendon reflexes were decreased. She could still stand with someone's help, but disable to walk as of mainly ataxia. Laboratory tests revealed anemia with hemoglobin of 7.5 g/dL. The white blood cell count was 4200/ μ L, consisting 60% of lymphocytes. She had an elevated serum IgM level (4061 mg/dL), while her IgA (4 mg/dL) and IgG (534 mg/dL) levels were markedly low, and also an M-protein was detected by her serum protein electrophoresis. There was no evidence of endocrine abnormality (Table 1). Bone marrow aspiration showed marked infiltration of lymphoplasmacytic cells at a proportion of 60.4% (Fig. 1A). Immunohistochemical staining of her bone marrow showed

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Table 1
Laboratory findings and nerve conduction studies during clinical course.

		Before 1st RTM	After 4th RTM	6 mo after 8th RTM	Normal limits
Hb		6.5	12.1	13.5	11.4–15.6 (g/dL)
Plt		1.1	18.2	19.4	13.6–35.2 ($\times 10^4/\mu\text{L}$)
VEGF		265	63	NT	<38.3 (pg/mL)
sIL-2R		3281	557	381	145–519 (U/mL)
IgM		4061	3606	1270	46–260 (mg/dL)
FLC- λ		78.7	53.1	NT	5.7–26.3 (mg/dL)
κ/λ		0.08	0.11	NT	0.26–1.65
Ulnar	CMAP	2.5	4.3	7.43	5.0 mV
	MCV	13.1	48.3	64.4	49.9 m/s
	SNAP	NR	0.9	10.60	6.9 μV
Tibial	SCV	NR	45.3	55.6	46.8 m/s
	CMAP	NR	1.3	5.19	4.3 mV
	MCV	NR	29.8	39.4	41.6 m/s

RTM: rituximab, Hb: hemoglobin, Plt: platelet, VEGF: vascular endothelial growth factor, sIL-2R: soluble IL-2 receptor, FLC- λ : serum immunoglobulin free light chain λ , κ/λ : κ and λ ratio, CMAP: compound muscle action potential, MCV: motor conduction velocity, SNAP: sensory neuron action potential, SCV: sensory conduction velocity, NR: not recordable, NT: not tested.

that the lymphoplasmacytic cells were positive for both IgM and λ light chain. Flow cytometry analysis of her bone marrow mononuclear cells revealed the monoclonal proliferation of lymphocytes at a proportion of 44.1%. These monoclonal cells were also positive for CD19, CD20 and Smlg- λ , but negative for CD5 and CD10 (Fig. 1B). There was also an elevation of soluble IL-2 receptor (3281 U/mL), plasma vascular endothelial growth factor (VEGF: 265 pg/mL), and

serum γ immunoglobulin free light chain (FLC, λ chain predominant). None of an anti-myelin-associated glycoprotein (MAG), anti-sulfoglucuronyl paralogoside (SGPG), or anti-ganglioside IgM/IgG antibodies, characteristic of neural antibodies in IgM paraproteinemic neuropathies [4], was identified. Nerve conduction studies showed low amplitudes of compound muscle action potential (CMAP) and decreases in motor nerve conduction velocity, undetectable sensory

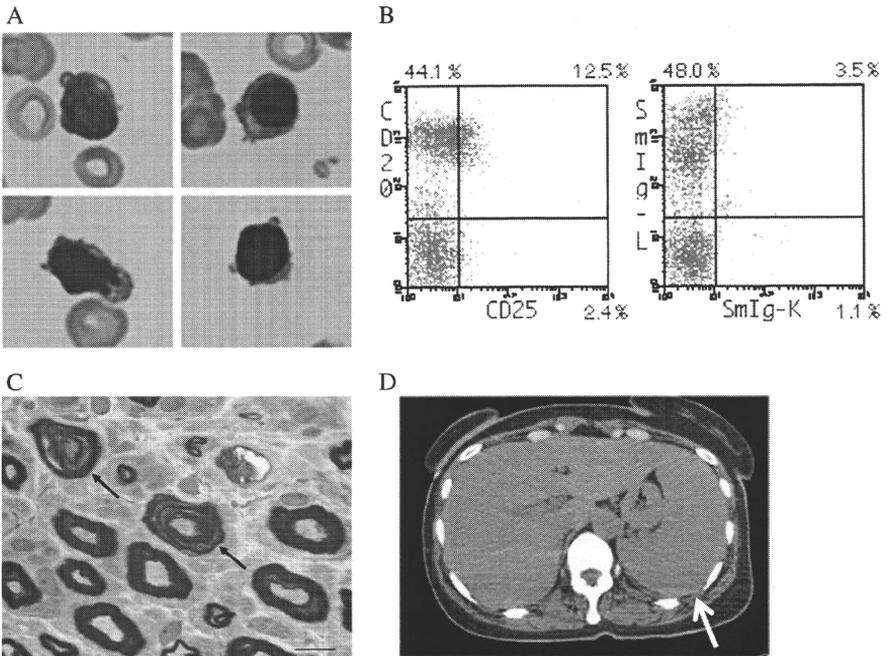


Fig. 1. (A) Morphology of lymphocytes in the bone marrow aspiration sample. Some lymphocytes showed basophilic cytoplasm with slightly eccentric nucleus which indicates a tendency to differentiate into plasma cells. Magnification: 1000 \times , May–Giemsa staining. (B) Flow cytometry analysis of lymphocytes in bone marrow aspiration sample. Clonal proliferation of lymphocytes positive for CD20 and surface Ig- λ was identified. (C) Nerve biopsy showing uncompact myelin lamellae (arrows); toluidine blue staining. (D) Computed tomography scan shows hepatosplenomegaly.

neuron action potential (SNAP) at her ulnar nerve and undetectable SNAP and CMAP at her tibial nerve, suggesting coexistence of demyelinations and axonal degenerations. These laboratory findings are summarized in Table 1. Pathological findings from her peripheral sural nerve biopsy represented axonal drop-out, bunch of myelin ovoid structures, uncompacted myelin lamellae, and widely spaced myelin as seen in cases of paraproteinemia associated neuropathies and/or POEMS syndrome (Fig. 1C). However, immunohistochemical examination showed the absence of IgM deposition on myelin sheaths. Although our examination could not detect any bone sclerotic lesions, (18)F-fluoro-deoxy-2-glucose positron emission tomography showed abnormal uptake into her sternum, ilium, spine, liver and spleen. The hepato-splenomegaly was shown in her abdominal computed tomography (CT) scan (Fig. 1D).

We diagnosed she suffered from an 'atypical' POEMS syndrome. The presenting clinical signs and findings met its diagnostic criteria [1]: 1) polyneuropathy, 2) M-proteinemia, 3) hepato-splenomegaly, 4) edema and hypertrichosis, and 5) elevation of plasma VEGF, but even without the evidence showing the bone sclerosis lesions (bearing two major and three minor criteria). Results of bone marrow biopsy, aspiration and flow cytometric analysis showing clonal infiltration of CD19+, CD20+, Smlg- λ + lymphocytes support an association with WM, although POEMS syndrome is usually associated with plasma cell dyscrasia.

As her platelet counts decreased from 28.8 to $1.1 \times 10^9/\mu\text{L}$ at the hospital-day 16, the platelet transfusion and dexamethasone (24 mg) were administered. However, her platelets recovered transiently and her neurological findings did not improve at all, indicating dexamethasone alone was not effective. She got started with rituximab (375 mg/m², weekly administration for 8 cycles) and thalidomide (50 mg/day, daily oral administration) [5]. Soon after the second administration of rituximab, her numbness started to improve. Four weeks later, she could walk with assistance, and her grip strength was also getting recovered (right: 10.0 kg, left: 11.0 kg). The series of nerve conduction studies kept improving to almost normal value at 6 months after RTM treatment (Table 1). Hemoglobin and platelet counts also recovered while VEGF and sIL-2R decreased. Flow cytometry analysis of bone marrow sample revealed that CD19+, CD20+, Smlg- λ + monoclonal lymphocytes decreased to 0.1%. The CT scan showed an amelioration of hepato-splenomegaly. These findings indicated substantial improvement in both POEMS syndrome and WM with the combination of thalidomide and rituximab (Table 1). The serum IgM level was getting less but still high (3606 mg/dL), as well as λ LC levels (κ : 5.83 mg/L, λ : 53.10 mg/L, κ/λ : 0.11). Finally she walked without any support and discharged from our hospital after 5 cycles of rituximab. Administration of rituximab was discontinued after 8 cycles while thalidomide was continued for 3 months after the last administration of rituximab, and IgM continued to decrease to as low as 1451 mg/dL. The patient no longer had numbness and was able to come back to work as a farmer.

3. Discussion

POEMS syndrome is a rare disorder characterized by polyneuropathy, organomegaly, endocrinopathy, M-protein and skin changes. It is associated with plasma cell dyscrasias, mostly accompanying IgG- λ or IgA- λ paraproteinemia [1,6]. There are limited numbers of case reports of POEMS syndrome associated with WM [2,3]. In a report from the Mayo Clinic among 99 patients with POEMS syndrome, only one single patient displayed IgM M-protein [7]. Recently, a serum VEGF is known as a useful diagnostic marker for POEMS syndrome [8]. This case filled 2 of major criteria: polyneuropathy and monoclonal plasma proliferative disorder, and also 3 of minor criteria: organomegaly, volume overload, and skin changes in clinical diagnostic criteria of POEMS syndrome [1,6]. Also VEGF, as a clinical marker, was elevated in her serum and supported diagnosis of POEMS syndrome.

This vasodilating growth factor may cause skin changes, leg edema, hemangioma, and edematous change in the perineurium of peripheral nerves. In this case, initially serum VEGF level was high before treatment and then decreased, parallel to the recovery of the polyneuropathy symptoms, as association of VEGF to the pathogenesis of polyneuropathy can be suspected as previously reported.

Since POEMS syndrome mostly has an underlying plasma cell dyscrasia, treatments with such as melphalan and high-dose chemotherapy supported by stem cell transplantation are chosen as first line treatment. However, because WM appeared to be underlying this case, we utilized a combination of thalidomide and rituximab, based on previous reports showing the efficacy of thalidomide in POEMS syndrome [9,10] and rituximab in WM [11,12], respectively. Even though we considered a potential risk of neurotoxicity with a thalidomide therapy, high-dose chemotherapy supported by stem cell transplantation was not chosen because it is not recommended as a primary treatment for macroglobulinemia [6,11]. During the treatment with rituximab and thalidomide, her polyneuropathy dramatically improved both symptomatically and physiologically although the serum IgM and FLC concentrations remained high. On the initiation of the disease, her serum IgM concentration (1358 mg/dL) was less than that at the time of admission to our hospital, even when the patient was already suffering from severe numbness and ataxic paraplegia. From her clinical course, we considered that serum IgM might not directly contribute to the severity of the neuropathy. A nerve biopsy in POEMS syndrome has been reported to show uncompacted myelin lamellae without immunoglobulin fixation [13], which is compatible with our case. In addition, the serum auto-antibodies against peripheral nerve antigens (MAG, SCPC, and gangliosides) were negative. Therefore, factors other than auto-antibodies are likely to be involved in the development of polyneuropathy in this case.

The POEMS syndrome is known to have a λ light chain monoclonal antibody which is highly restricted to the $\text{V}\lambda 1$ subfamily in the immunoglobulin λ light chain variable region [14]. On the other hand, the κ light chain is preferentially present in WM (κ/λ ratio is 5:1) [8]. Our case had λ light chains, suggesting the importance of the λ light chain in the POEMS syndrome. However the role of the λ light chain in this case may be complicated, because our observation suggests an improvement of polyneuropathy despite IgM and FLC remaining in the serum. The detailed analysis of this unusual case may reveal important information regarding the pathogenesis of the 'atypical' POEMS syndrome.

In conclusion, we reported the first case of a POEMS syndrome associated with WM characterized by IgM- λ type monoclonal (M) protein and infiltration of CD20-positive lymphoplasmacytic cells. The combination therapy of rituximab and thalidomide effectively decreased CD20-positive lymphoplasmacytic cells and also improved her neurological symptoms. Our case suggested a new point of view for the diagnostic and therapeutic strategy of the 'atypical' POEMS syndrome.

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