

Table 6 Life-table estimates of survival at 12 years by risk group for CNS regimens

CNS regimens by risk group		Measure of survival, % (s.e.)			
		CNS relapse-free		BM relapse-free	
<i>Low risk</i>					
L874A	IT × 3+CRT (18 Gy)	97.2 (3.2)	ns	77.2 (6.8)	ns
L874B	IT × 13+HDMTX × 3	90.2 (5.4)		82.6 (6.5)	
L911	Same as in L874B	90.7 (4.5)		85.3 (5.6)	
<i>Intermediate risk</i>					
I841B	IT × 3+CRT (18 Gy)	87.5 (8.3)	ns	67.9 (11.1)	ns
I841C	IT × 1+HDMTX × 5	87.1 (5.4)		72.3 (6.9)	
I874A	IT × 3+HDMTX × 1+CRT (18 Gy)	100	P = 0.01	68.1 (6.8)	ns
I874B	IT × 1+HDMTX × 4	79.3 (6.2)		70.6 (7.0)	
I911	TIT × 24	98.1 (1.9)		83.9 (1.9)	
<i>High risk</i>					
H851A	IT × 3+HDMTX × 6	74.7 (8.6)		31.9 (9.6)	
H851B	Same as in H851A	60.2 (9.6)		55.8 (9.8)	
H874A	TIT × 8+HDMTX × 3+CRT (18 Gy)	95.5 (2.5)		67.8 (5.4)	
H874B	Same as in H874A	88.3 (5.6)		43.3 (6.6)	
H911	TIT × 6+CRT (18 Gy)	92.4 (3.3)		77.4 (5.1)	
HH911	TIT × 6+CRT (24 Gy)	71.7 (11.1)		50.1 (11.0)	

Abbreviations: BM, bone marrow; CRT, cranial radiation; HDMTX, high-dose MTX; IT, intrathecal MTX; MTX, methotrexate; ns, not significant; TIT, triple IT.

HDMTX: 100 mg/kg for I841C; 2 g/m² for L874B/I874A/L911; 4.5 g/m² for I874B; 3 g/m² for H874A/B. Folic acid (15 mg/m²) was given orally every 6 h for a total seven doses. Rescue begins 36 h from the start of MTX infusion.

hepatocarcinoma and one further I874A patient developed a malignant fibrous histiocytoma.

ALL911. A total of 230 children were enrolled in the ALL911 study, of which 223 patients were evaluable. Ages ranged from 0.7 to 15.8 years, with a median of 5.0. In this study, HHR patient outcome was extremely poor compared with that of HR patients. On the other hand, HR group outcomes compared favorably to those of both LR and IR groups. Unfavorable prognostic features included NCI high-risk, older age (>10 years) and very high WBC counts ($\geq 100 \times 10^9/l$), in descending order (Table 5d). One HHR911 patient developed myelodysplastic syndrome and one IR911 patient developed chronic myelogenous leukemia.

Extramedullary relapse

Table 6 shows CNS relapse-free survival by the CNS prophylaxis regimen. In our four studies, more than half of the patients received prophylactic CRT and proportion of the patients in each study is shown in Table 2. From the three protocols including L874, I841 and I874, two regimens with or without CRT were compared with respect to their ability to prevent CNS leukemia and to improve the overall ALL outcome. The CRT regimens seem to be associated with better CNS-free survival outcome than the HDMTX regimen. However, the difference was significant in only I874 but not other studies (L874 and I841), and no significant difference in systemic survival rates was observed between the two CNS regimens in either risk group. The CNS remission rate of patients treated with the I911 protocol was significantly higher than that of patients given the HDMTX regimen in the I874B protocol ($P=0.0127$), and was comparable to that of patients given the CRT regimen in the I874A protocol. In HR groups, HDMTX regimens without CRT (H851A/B) showed very poor CNS-free survival outcomes.

The incidence of isolated testicular relapse was very different between maintenance regimens. In the randomized study of SR patients in ALL811, only one of 36 (2.8%) males who received an intermittent cyclic regimen of MTX and 6MP (S811A) developed testicular relapse, in contrast to seven of 31 (22.6%) males receiving continuous administration of low-dose MTX and 6MP for maintenance chemotherapy (S811B). In addition, from the 841 protocol, only seven additional testicular relapses were seen for 497 males (Table 4).

Late effects

Questionnaire results about late adverse effects in the four studies (ALL811, ALL841, ALL874 and ALL911) were obtained from 521 of 640 (81.4%) living patients and from 313 of 381 (82.1%) deceased patients. The results are shown in Table 7. Late effects were observed in 70/834 (8.4%) patients, of whom 17 had at least two late effects. Hepatitis and short stature were most commonly reported, followed by secondary malignancy, disturbed neurocognitive function, gonadal dysfunction and cardiomyopathy. There were no cases of osteoporosis or osteopenia. More than half the patients with short stature, leukoencephalopathy or visual disturbance received CRT.

Since late adverse effects are closely correlated with cumulative doses of cytotoxic drugs used in treatment protocols, we highlighted such cumulative doses of cytotoxic drugs and antimetabolites in each protocol (Table 8). In ALL811, CPM and DOX were used only for the HR protocols. In ALL841, CPM was used for only HR, but DOX was used for the HR and I841C protocols. In ALL874, CPM and anthracycline were used, as in ALL841. In ALL911, CPM was used for the IR and HR protocols and anthracycline was used for all the protocols, including SR patients. Consequently, cumulative doses of anthracycline exceeded 400 mg/m² in nine protocols (HR811B, IR841C, HR841B, IR874A and IR874B and 911LR/IR/HR/HR) and

Table 7 Late adverse effects of patients in the JCCLSG ALL studies

Study	ALL811	ALL841	ALL874	ALL911	Total	Relevant factors	
						Relapse	CRT
No. of patients (alive/deceased)	81/68	107/76	214/122	148/52	550/318		
Short stature	2/0	4/0	7/0	7/0	20/0	5	12
Hepatitis	3/0	7/0	10/0	1/0	21/0	4	1
Leukoencephalopathy	0/0	0/2	1/1	1/0	2/3	4	4
Cardiomyopathy	2/0	0/1	1/0	0	3/1	4	3
MR/LD	1/0	1/0	3/0	1/0	6/0	2	3
Gonadal dysfunction	3/0	0	0	2/0	5/0	3	2
Liver dysfunction	0	0/1	1/0	0	1/1	0	0
Visual disturbance	0	0	0	3/0	3/0	2	2
EEG abnormality	0	0	0/1	0	0/1	0	1
DM	0	0	1/0	0	1/0	0	1
Sudden death	0	0	0	0/1	0/1	0	0
Secondary malignancy	1/1	0/1	1/1	2/0	4/3	1	5
Others	4/0	0	4/0	4/0	12/0	2	5
Subtotal	8/4	8/4	26/2	20/1	79/9	27	39

Abbreviations: ALL, acute lymphoblastic leukemia; CRT, cranial irradiation; DM, diabetes mellitus; JCCLSG, Japanese Childhood Cancer and Leukemia Study Group; LD, learning disturbance; MR, mental retardation.

Table 8 Cumulative doses of drugs used in the JCCLSG ALL studies

Study	VCR (mg)	CPM (mg)	PSL (mg)	LASP (kg)	DOX (mg)	THP (mg)	6MP (mg)	MTX (mg)	HDMTX (g)	VP16 (mg)	Ara-C (mg)
ALL811											
SR-A	86	0	25 230	0	0	0	34 125	8100	18	0	0
SR-B	86	0	25 230	0	0	0	50 750	2940	18	0	0
HR-A	60	1200	17 280	0	300	0	22 750	0	6.1	0	0
HR-B	110	7800	20 490	0	540	0	23 325	1500	0	0	0
ALL841											
LR-A	86	0	25 230	0	0	0	34 125	5850	30	0	0
LR/IR-B	86	0	25 230	98	0	0	34 125	5850	30	0	0
IR-C	108	0	16 830	20	540	0	21 875	0	10.5	0	0
HR-A	72	1200	17 430	0	240	0	22 750	0	183	0	0
HR-B	108	7800	14 150	0	460	0	23 100	1320	27	0	0
ALL874											
LR-A	86	0	25 230	20	0	0	34 125	8775	0	0	0
LR-B	86	0	25 230	20	0	0	34 125	8100	6	0	0
IR-A	94	0	15 030	64	420	0	19 250	225	92	0	0
IR-B	88	0	13 680	64	450	0	18 375	0	105.5	0	0
HR-A	84	2400	22 270	38	225	0	31 290	6750	7.5	0	3600
HR-B	84	3600	22 270	38	225	0	26 250	6750	7.5	0	18 000
ALL911											
LR	60	0	17 430	24	0	450	29 250	6900	6	0	0
IR	92	1600	24 370	44	0	450	33 110	7650	0	0	2400
HR	88	2400	23 470	44	225	240	33 425	7200	0	0	3600
HHR	66	2400	16 870	44	225	180	23 415	4725	0	3600	10 800

Abbreviations: ALL, acute lymphoblastic leukemia; Ara-C, cytarabine; CPM, cyclophosphamide; DOX, doxorubicin; HDMTX, high-dose MTX (≥ 500 mg/m²); HR, high risk; HHR, high-high-risk; IR, intermediate risk; JCCLSG, Japanese Childhood Cancer and Leukemia Study Group; LASP, L-asparaginase; LR, low risk; 6MP, 6-mercaptopurine; MTX, methotrexate; PSL, prednisolone; THP, pirarubicin; VCR, vincristine; VP16, etoposide. All doses are shown per square meter of body surface area.

cumulative doses of CPA exceeded 4 g/m² in two protocols (HR811B and HR851B).

Discussion

Our results reveal that the cure rate has gradually increased from 55% with ALL811 to 70% with ALL911 over an observation period of 15 years, while toxic death rates during

remission were below 1% in all protocols except ALL911. These results are favorably comparable to those from large pediatric ALL trials by other study groups, and reflect the effectiveness of risk-directed therapy and improvements in supportive care of children with ALL.²⁻⁴

Improvement of outcome in each protocol study seems to be attributable to their respective aims. As shown in Table 4, better outcome in ALL841 as compared with that in the ALL811 is partly explained by a decrease in isolate testicular relapse.

This might be the result of the cyclic schedule of intermediate-dose MTX in maintenance therapy. Improvement in ALL874 as compared with ALL841 was mainly due to a decrease in isolated CNS relapse. This was achieved by the CRT regimen for HR patients and extended intrathecal treatment for LR patients. The better survival rates in ALL911 as compared with ALL874 were mainly due to improved outcomes of the HR patients. The intensified chemotherapy of this protocol decreased the incidence of bone marrow relapse, although therapy-related death during remission increased to 4%. More importantly, as shown in Tables 5a–5d, outcomes of patients with high ($50\text{--}99 \times 10^9/l$) WBC counts in ALL874 and ALL911 were markedly improved in comparison with those in the ALL811 and ALL841 studies. This is probably due to employment of consolidation therapy (CCM regimen) and reinduction therapy.¹⁴

Another major interest in our studies is a unique intermittent cyclic regimen for maintenance chemotherapy. In the ALL811 study, we showed that intermittent cyclic administration of intermediate-dose MTX (225 mg/m^2 , intravenous) alternating biweekly 6MP (170 mg/day , orally for 5 days) was more effective than conventional administration of low-dose MTX ($20 \text{ mg/m}^2/\text{week}$, orally) and 6MP (50 mg/m^2 , orally, every day).⁷ As a result of these data, intermittent cyclic administration of MTX and 6MP has become a standard regimen of maintenance chemotherapy in JCLLSG ALL protocols.

CNS protective chemotherapy without CRT for treatment of non-HR patients with ALL has been widely accepted.^{15–19} In our study, the LR patients of L874B and L911 who received HDMTX therapy as CNS prophylaxis showed 7–9% cumulative incidence of isolated CNS relapse. However, BFM-based intensive chemotherapy using extended intrathecal chemotherapy has reported lower than 5% incidence of CNS relapse.^{15,19–21} Similar results are seen in the 1911 protocol, where an extended triple intrathecal MTX regimen with intensive systemic therapy achieved a 2% cumulative incidence of CNS relapse in the IR patients. Thus, it is likely that systemic intravenous infusions of HDMTX could not be substituted for intrathecal injections of MTX in the maintenance therapy for CNS protection. This is also supported by the results of meta-analysis of CNS-directed therapy, which show that radiotherapy can be replaced by long-term intrathecal therapy but not by intravenous MTX.²²

Whether CRT can be excluded from preventive therapy for HR patients is still subject to controversy. In ALL851, we employed CNS chemoprophylaxis without CRT for the HR patients, but failed to prevent CNS relapse.⁸ Since high incidence of CNS relapse is associated with high initial WBC count and T-cell phenotype,^{23,24} development of a new strategy for these subgroups could overcome this difficult matter. In fact, a recent report from the Memphis group has shown that complete omission of prophylactic CRT without compromising OS can be achieved by using risk-adjusted chemotherapy based on minimal residual disease levels and pharmacogenetics.²⁵

In the ALL841–911 studies, the incidence of isolated testicular relapse was 7 of 278 relapses (2.5%), which was considerably lower than the general rate of about 10%.²⁶ The cyclic schedule of MTX at an intermediate dose in our maintenance therapy may contribute to prevention of relapse in sanctuary sites, especially in the testes.

Development of curative therapy for pediatric ALL has produced a large population of childhood cancer survivors who face increased risk of a variety of health problems resulting from their cancer or its treatment. In particular, secondary malignancy by alkylating agents and anthracycline cardio-

toxicity are the most serious late events in pediatric cancer treatment.^{27,28} Fortunately, the incidences of secondary malignancies and cardiotoxicity were relatively small in our ALL studies. Although pirarubicin was chosen as an anthracycline drug with less cardiotoxicity than DOX, it is unclear whether pirarubicin could reduce the incidence of cardiotoxicity without jeopardizing the overall outcome.^{29,30} In fact, our observation period with a median of 13 years (range 8–22 years) after diagnosis is too short to estimate the true incidence of late adverse effects, because excess mortality continues at least as long as 25–30 years after treatment, for cancer survivors.²⁷ Therefore, establishment of a long-term, follow-up care system based on collaboration between clinical and laboratory investigators is our most urgent issue.^{31,32}

Conflict of interest

The authors declare no conflict of interest.

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Prevalence and prognostic impact of allelic imbalances associated with leukemic transformation of Philadelphia chromosome–negative myeloproliferative neoplasms

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Philadelphia chromosome–negative myeloproliferative neoplasms (MPNs) including polycythemia vera, essential thrombocythemia, and primary myelofibrosis show an inherent tendency for transformation into leukemia (MPN-blast phase), which is hypothesized to be accompanied by acquisition of additional genomic lesions. We, therefore, examined chromosomal abnormalities by high-resolution single nucleotide polymorphism (SNP) array in 88 MPN patients, as well as 71 cases with MPN-blast phase, and correlated

these findings with their clinical parameters. Frequent genomic alterations were found in MPN after leukemic transformation with up to 3-fold more genomic changes per sample compared with samples in chronic phase ($P < .001$). We identified commonly altered regions involved in disease progression including not only established targets (*ETV6*, *TP53*, and *RUNX1*) but also new candidate genes on 7q, 16q, 19p, and 21q. Moreover, trisomy 8 or amplification of 8q24 (*MYC*) was almost exclusively detected in

***JAK2V617F*– cases with MPN-blast phase. Remarkably, copy number–neutral loss of heterozygosity (CNN-LOH) on either 7q or 9p including homozygous *JAK2V617F* was related to decreased survival after leukemic transformation ($P = .01$ and $P = .016$, respectively). Our high-density SNP-array analysis of MPN genomes in the chronic compared with leukemic stage identified novel target genes and provided prognostic insights associated with the evolution to leukemia. (*Blood*. 2010; 115(14):2882-2890)**

Introduction

Philadelphia chromosome–negative myeloproliferative neoplasms (MPNs) including polycythemia vera (PV), essential thrombocythemia (ET), and primary myelofibrosis (PMF) are defined as clonal hematopoietic stem cell disorders and characterized by increased proliferation of terminally differentiated myeloid cells. The tyrosine kinase *JAK2* is directly linked to the pathogenesis of MPN with the identification of *JAK2V617F* as a recurring gain-of-function mutation.^{1,2} Almost all cases with PV, and roughly 50% of patients with ET and PMF, carry this specific mutation localized on chromosome 9p24.

The long-term outcome of patients with acute myeloid leukemia (AML) secondary to MPN, myelodysplastic syndrome (MDS), or treatment with cytotoxic agents is relatively poor compared with

patients with de novo AML. Patients with de novo and secondary AML have a similar spectrum of cytogenetic abnormalities, but the occurrence of cytogenetic changes associated with unfavorable risk such as 5q–, –7/7q–, trisomy 8, or complex karyotype is higher in secondary AML.^{3,4} However, so far only a small number of studies with limited number of cases have explored the chromosomal alterations and/or clinical markers associated with acceleration to blast phase of patients with MPN.

Previously, we developed the copy number analyzer for Affymetrix GeneChip (CNAG) program and the new algorithm allele-specific copy number analysis using anonymous references (AsCNAR).^{5,6} These techniques in combination with high-density single nucleotide polymorphism (SNP) array provide a robust and

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Table 1. Clinical features of MPN/MPN-blast phase cases (unmatched and matched)

	Unmatched MPN	Unmatched MPN-blast phase	Matched MPN	Matched MPN-blast phase
All cases, no. (%)	77 (56)	60 (44)	11 (50)	11 (50)
MPN diagnosis no. (%)				
PV samples	21 (27)	17 (28)	2 (18)	2 (18)
ET samples	31 (40)	18 (30)	1 (09)	1 (09)
PMF samples	25 (33)	25 (42)	8 (73)	8 (73)
Sex, M:F				
PV samples	1:2	1:1	1:1	1:1
ET samples	1:2	1:1	0:1	0:1
PMF samples	2:1	2:1	2:1	2:1
Mean age at diagnosis, y, \pm SD*				
PV	57 \pm 5	68 \pm 5	—	—
ET	59 \pm 6	69 \pm 7	—	—
PMF	57 \pm 6	65 \pm 9	59 \pm 9	65 \pm 7
Mean blast count in bone marrow, \pm SD, no. (%)†				
PV samples	< 5%	70 \pm 20	—	—
ET samples	< 5%	66 \pm 23	—	—
PMF samples	< 5%	70 \pm 21	< 5%	66 \pm 24
JAK2V617F (+) no. (%)				
PV samples	21/21 (100)	14/17 (82)‡	2/2 (100)	1/2 (50)
ET samples	18/31 (58)	6/18 (33)	0/1 (0)	0/1 (0)
PMF samples	16/25 (64)	12/25 (48)	5/8 (62.5)	4/8 (50)
c-MPL mutation positive, no. (%)				
PV samples	1/21 (5)†	0/17 (0)	0/2 (0)	0/2 (0)
ET samples	0/31 (0)	1/18 (6)	0/1 (0)	0/1 (0)
PMF samples	3/25 (12)	2/25 (8)	1/8 (12.5)	1/8 (12.5)

MPN indicates myeloproliferative neoplasm; PV, polycythemia vera; ET, essential thrombocytosis; M, male; F, female; and PMF, primary myelofibrosis.

*Data are available for 27 unmatched MPN (10 PV, 10 ET, and 7 PMF) and 54 unmatched MPN-blast phase (15 PV, 18 ET, and 21 PMF) cases, and 8 matched MPN (PMF) cases.

†This c-MPL mutation in a PV patient has already been validated and reported by Kawamata et al.⁸

‡Significantly fewer cases with JAK2V617F in blast phase vs chronic phase ($P = .045$).

detailed approach to detect large and small copy number changes, as well as copy number-neutral loss of heterozygosity (CNN-LOH). To obtain a comprehensive profile of genomic alterations associated with leukemic transformation in MPN, we applied this interrogational method and performed a systemic analysis of 159 samples obtained from patients either in chronic phase or blast phase of MPN.

Methods

Patients and clinical samples

In total, samples from 148 patients were analyzed by SNP-array. One hundred fifty-nine samples were obtained, of which 88 (55%) were diagnosed with MPN in chronic phase (23 PV, 32 ET, 33 PMF) and 71 (45%) with MPN in blast phase (19 PV, 19 ET, 33 PMF). Diagnosis was based on the World Health Organization criteria,⁷ and an overview of patients, including clinical data, is given in Table 1. This study received institutional review board approval from the Cedars-Sinai Medical Center, and informed consent was obtained from all patients in accordance with the Declaration of Helsinki. Given the relatively high incidence of homozygous JAK2V17F patients diagnosed with ET (3/18 in chronic phase, 2/6 in blast phase), which is usually lower for this disorder,⁹ we suggest that at least some cases diagnosed with ET may have been incorrect.

Samples were provided by (1) Department of Hematology, Mayo Clinic (n = 35); (2) Brigham and Women's Hospital, Harvard University, School of Medicine (n = 46); (3) Department of Hematology, Archet Hospital (n = 44); (4) MLL Munich Leukemia Laboratory, (n = 14); (5) Division of Hematology-Oncology, Chang Gung Memorial Hospital (n = 14); and (6) Division of Hematology, Sheba Medical Center and Sackler School of Medicine, Tel-Aviv University (n = 6).

SNP-Chip analysis

A total of 159 tumor specimens (MPN and/or MPN-blast phase) were analyzed on GeneChip SNP genotyping microarrays (GeneChip Mapping 50K and/or 250K arrays; Affymetrix) as described previously.^{5,6} After appropriate normalization of mean array intensities, signal ratios were calculated between tumors and anonymous normal references in an allele-specific manner. Genome-wide determination of allele-specific copy numbers (AsCNs) and detection of CNN-LOH at each SNP were inferred from the observed signal ratios based on the hidden Markov model using CNAG/AsCNAR algorithms (<http://www.genome.umin.jp>).^{5,6} For clustering of patient samples with regard to the status of copy number changes, as well as CNN-LOH, CNAG-Graph software (Tokyo University) was used. Size, position, and location of genes were identified with the University of California, Santa Cruz (UCSC) Genome Browser (<http://genome.ucsc.edu>)¹⁰ and Ensemble Genome Browser (<http://www.ensembl.org>).¹¹ Germline copy number changes previously described as copy number variants at Database of Genomic Variants (<http://projects.tcag.ca/variation>)¹² and UCSC Genome Browser were excluded. SNP-array data used in this study are available in the Gene Expression Omnibus (GEO) database under accession number GSE19647.¹³

Comparison of 50K versus 250K SNP-Chip analysis in MPN chronic phase

SNP-array analysis of 46 of our MPN samples (10 PV, 20 ET, 16 PMF; kindly provided by D.G.G. at Brigham and Women's Hospital, Harvard University) has already been reported by our group.⁸ At that time, only 50K arrays were available, whereas later in this study, the 250K arrays were accessible and used to analyze additionally 42 MPN and 71 MPN-blast phase samples. Because no significant differences in either number of deletions, duplications/amplifications, or CNN-LOH per case were found as analyzed by the 50K compared with 250K array (supplemental Table 1, available on the *Blood* website; see the Supplemental Materials link at the top of the online article), we combined the analysis of both platforms in our

results. Supplemental Table 2 lists all individual samples and the array that was used.

Cytogenetics

Routine cytogenetic analysis with conventional banding techniques was performed in 35 of 88 MPN (10/23 PV, 10/32 ET, 15/33 PMF) and 63 of 71 MPN-blast phase (15/19 PV, 18/19 ET, 30/33 PMF) cases according to standard procedures as previously described.¹⁴ No routine fluorescent in situ hybridization (FISH) panel was applied, but in some cases, however, FISH analysis was performed to supplement conventional cytogenetic analysis (supplemental Table 2).

Allele-specific PCR for *JAK2V617F* mutation

For the detection of *JAK2V617F*, allele-specific polymerase chain reaction (PCR) was performed according to the previously reported method.¹⁵

Direct mutation screening

Primers were designed to amplify and sequence coding exons and splice junctions of the following genes: *TET2*, *c-CBL*, *TP53*, and *RUNX1*. We screened only the 11 matched samples that showed genomic changes in the particular gene regions. Primer details are available from the corresponding author (N.H.T.).

We evaluated all MPN and MPN-blast phase patients with 1pCNN-LOH for the *MPLW515* mutation (exon 10) by direct sequencing. If no mutation was detected in this cohort, we also screened the other coding exons of the *c-MPL* gene previously shown to be mutated in MPN.⁸

Validation of acquired genomic copy number changes including CNN-LOH

To confirm the somatic origin of genomic copy number changes, quantitative genomic real-time (QG RT)-PCR was performed on the genomic DNA from the hybridized MPN and matched MPN-blast phase samples according to the calculation method described by Weksberg et al.¹⁶ For example, we used primers for the *RUNX1* gene (21q22.12; supplemental Figure 1A) as well as *TET2* gene (4q24; data not shown) and a random region on chromosome 21q21.1 and 4p15.1, respectively, as a reference in patient 121.

Detection of acquired CNN-LOH was also validated by QG RT-PCR and subsequently by nucleotide sequencing. Three SNP sequences (rs919275, rs10854117, and rs10854117) on chromosome 19p in case 36 at diagnosis of PV, as well as at leukemic transformation, were determined (supplemental Figure 1B). The genomic region of each SNP site was amplified, and products were purified and sequenced (supplemental Figure 1C). In addition, we confirmed loss of CNN-LOH on 9p after leukemic transformation in matched case 120 using SNP sequences rs3858029, rs1360461, and rs10818814 on chromosome 9 (data not shown).

Homozygous deletions of *CUTL1* and *SH2B2* (case 138) as well as *PIG-A* (case 121) in both MPN and/or MPN-blast phase samples were also confirmed by QG RT-PCR (supplemental Figure 2). Primers for these experiments will be provided upon request.

Statistical analysis

Wilcoxon rank sum tests were used to assess differences in continuous variables, and categorical variables were assessed using chi-square tests, all with a significance level of $\alpha = .05$. The methods of estimations included the standard deviation (\pm SD) of the sampling distribution. Asterisks shown in the figures indicate significant differences of experimental groups in comparison with the corresponding control condition (* $P < .05$; ** $P < .001$). Survival analysis was performed using the Kaplan-Meier method, and survival curves were compared using the log-rank test.

Results

Lower frequency of *JAK2V617F* and 9p alterations after leukemic transformation

In the present study, we examined 159 samples (88 MPN and 71 MPN-blast phase) from a total of 148 patients. An overview of the clinical

features of matched and unmatched cases including sex, age, leukemic blast infiltration, and mutational status (*JAK2V617F*, *c-MPL*) is provided in Table 1. The sex ratio of male and female patients in chronic phase was 1:2 for PV and ET, whereas after transformation, the ratio was balanced with 1:1. For PMF patients, the male-to-female ratio was 2:1 in both MPN chronic and blast phase.

Overall, the incidence of *JAK2V617F* was almost 20% less in the blast phase compared with the chronic phase for both the matched and unmatched MPN cases (unmatched cases: $P < .05$; Figure 1A). Cases that were negative for *JAK2V617F* were also exclusively negative for 9p duplication, trisomy 9, or 9pCNN-LOH in the chronic as well as leukemic stage of MPN. 9pCNN-LOH was noted approximately 3 to 4 times more often than 9p duplication and/or trisomy 9 in *JAK2V617F*⁺ MPN cases during either the chronic or blast phase (Figure 1A), but the frequency of 9pCNN-LOH was significantly less in the blast crisis compared with the chronic phase of unmatched PMF and PV patients (supplemental Table 3). In contrast, unmatched ET cases had about the same frequency of 9pCNN-LOH in the chronic phase versus the blast phase of the disease. Furthermore, in the analysis of the 11 matched MPN cases, 7 were positive for *JAK2V617F* (64%), 4 had 9p CNN-LOH (37%), and 1 had 9p duplication (9%) at first diagnosis (Figure 1A). In comparison, 2 of these patients were *JAK2V617F*⁺ with either trisomy 9 or 9pCNN-LOH during their chronic phase (1 PV, 1 PMF), but no longer had detectable *JAK2V617F* with a normal chromosome 9 after leukemic evolution (Figure 1B).

JAK2V617F mutational status had no impact on time to transformation or survival

In the evaluation of clinical data for MPN-blast phase patients, no significant correlation was noted between the prevalence of *JAK2V617F* at transformation and either age, percentage of leukemic blast cells in the marrow, or pretreatment with alkylating agents and/or hydroxyurea (data not shown). Moreover, we found no statistical association between either time to leukemic transformation or overall survival and the *JAK2V617F* status at transformation in PV, ET, or PMF patients. The overall survival of MPN-blast phase patients with *JAK2V617F* versus blast phase patients without this mutation is provided in Figure 2A ($P = .6$). In addition, with respect to the comparably low frequency of *MPLW515*-positive MPN-blast phase patients (6%), we noted no impact of the *c-MPL* mutational status on either time to transformation (data not shown) or the overall survival in MPN patients who underwent leukemic transformation ($P = .5$; Figure 2B).

However, regardless of the mutational status of MPN-blast phase patients, we noted that the time from diagnosis of MPN to leukemic transformation was significantly shorter in those with pre-existing PMF (median, 58 months) compared with patients with either prior PV (median, 98 months) or ET (median, 110 months; $P = .01$). This earlier transformation resulted in a decreased overall survival from the time of diagnosis of the underlying MPN in leukemic patients with preceding PMF patients compared with preceding PV or ET ($P = .02$; Figure 2C), which is congruent with previously published results.¹⁷

Increased number of additional genomic changes after leukemic transformation

Altogether, a relatively low number of genomic alterations was found by SNP-array analysis in the chronic phase of the MPN samples (Figure 3A). In contrast, 2 to 3 times more abnormalities per sample were detected after leukemic evolution in both matched and unmatched cases with MPN (both $P < .001$; Figure 3A). We

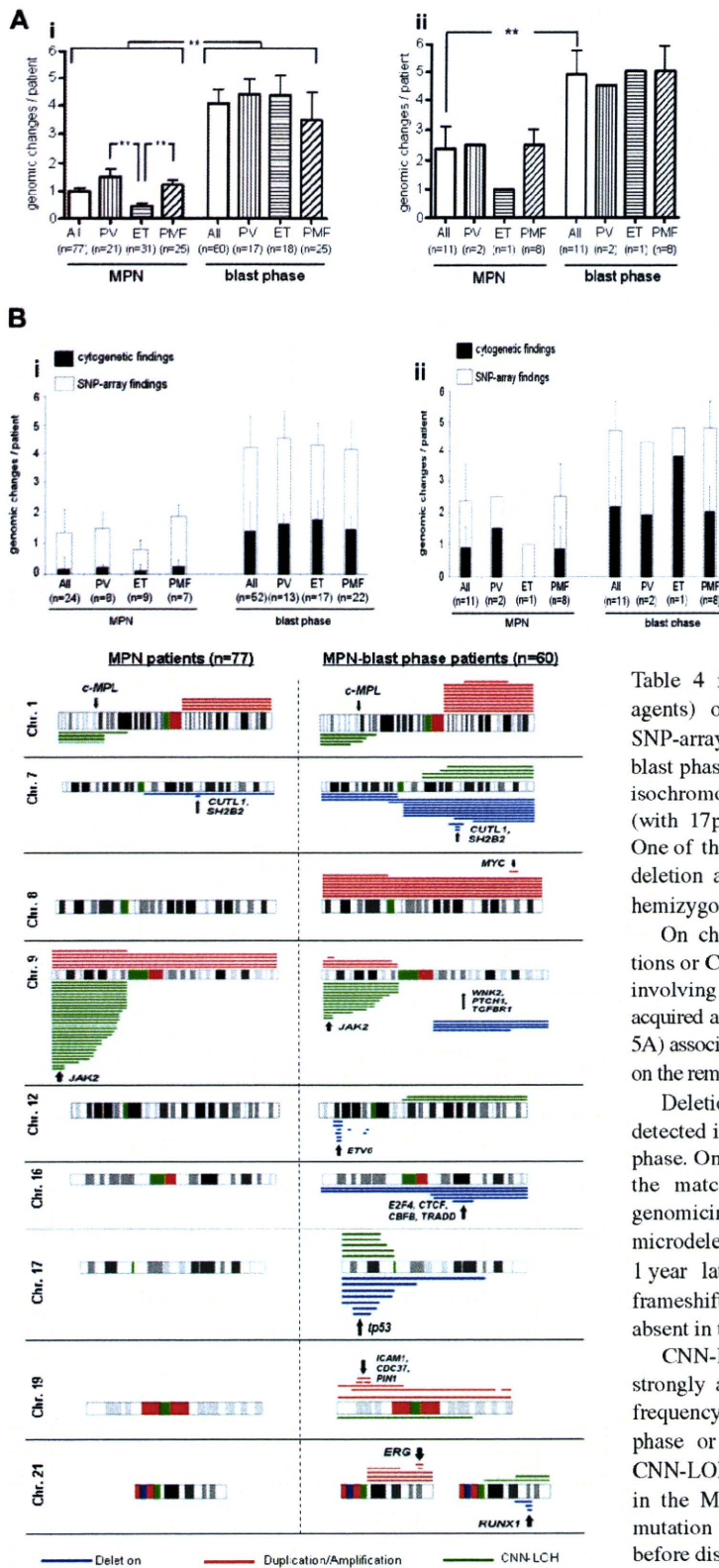


Figure 3. Genomic alterations per MPN patient in chronic versus blast phase. (A) Mean of SNP-array alterations per patient in MPN versus MPN-blast phase with (i) unmatched samples and (ii) matched samples (\pm SD); $^{**}P < .001$. (B) Mean of SNP-array aberrations compared with cytogenetic alterations per patient in chronic versus blast phase with (i) unmatched samples and (ii) matched samples (\pm SD).

Figure 4. Overview of gains and losses detected by CNAG software. Indicated are the most common altered regions in unmatched MPN-blast phase patients ($n = 60$; right-sided cytobands) compared with unmatched MPN patients ($n = 77$; left-sided cytobands). Each line represents 1 sample with either deletion (blue), duplication/amplification (red), or CNN-LOH (green). Candidate genes of the minimal altered regions are highlighted by arrows.

Table 4 indicates pretreatment (hydroxyurea and/or alkylating agents) of 47 MPN-blast phase patients and their individual SNP-array findings. Deletion or CNN-LOH on 17p in unmatched blast phase cases was associated with either complex karyotype or isochromosome 17 ($P = .01$), and significantly decreased survival (with 17p deletion: $P = .012$; with 17p CNN-LOH: $P = .018$). One of the 11 matched MPN samples (case 120) acquired a 17p deletion at diagnosis of blast phase (Figure 5A), resulting in a hemizygous mutant *TP53* (M133K; Figure 5Bii).

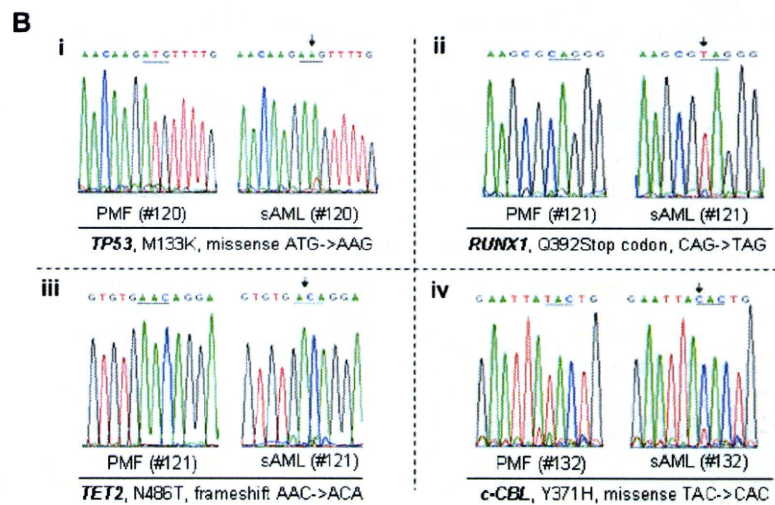
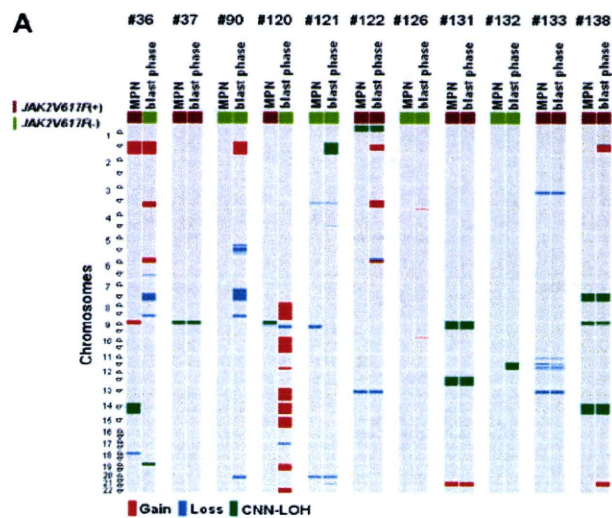
On chromosome 21, SNP-chip analysis revealed either deletions or CNN-LOH in 8% of unmatched cases in MPN-blast phase involving the transcription factor *RUNX1* at q22.12. Patient 121 acquired a small deletion of that locus in the leukemic sample (Figure 5A) associated with a mutation of the Runt domain of the *RUNX1* gene on the remaining allele (Q392Stop codon; Figure 5Bii).

Deletion or CNN-LOH on 4q24 spanning the *TET2* gene was detected in 6% of unmatched blast phase cases and 1% in chronic phase. One *TET2* mutation was found by nucleotide sequencing in the matched MPN samples. *JAK2V617F*⁻ case 121 had no genomic imbalances on 4q at diagnosis of PMF, but acquired a microdeletion (1 Mbp) on 4q24 (*TET2*) after leukemic evolution 1 year later (Figure 5A). The remaining allele had a *TET2* frameshift mutation (N486T; Figure 5Biii), and the mutation was absent in the matched PMF sample.

CNN-LOH involving 11q23.3, which has been shown to be strongly associated with *c-CBL* mutations,²³ had an even lower frequency, with only 2% of unmatched MPN cases in either chronic phase or blast crisis. The *JAK2V617F*⁻ patient 132 had 11q CNN-LOH with a homozygous *c-CBL* missense mutation (Y371H) in the MPN-blast phase sample. Both the CNN-LOH and the mutation were absent in the corresponding chronic phase, 2 years before disease progression (Figure 5A-Biv).

Besides these already well-known targets, SNP-array analysis detected commonly altered regions on chromosomes 1, 7, 16, 19, and 21 encompassing potentially new candidate genes involved in MPN transformation. These imbalances were either absent or at least very infrequent in the chronic phase of the disease (Figures

Figure 5. Gains and losses in matched MPN samples and mutational analysis. (A) Most commonly altered genomic regions in MPN samples (left sample column) compared with matched blast phase samples (right sample column) evolved from 11 patients (2 PV, 1 ET, 8 PMF). Each line represents 1 sample with either deletion (blue), duplication/amplification (red), or CNN-LOH (green). (Bi) Hemizygous *TP53* mutation detected in MPN-blast phase sample of case 120 associated with acquired 17q deletion, which was not present in the MPN phase of case 120. (ii) Hemizygous *RUNX1* mutation detected in MPN-blast phase sample (case 121) associated with acquired deletion at 22q22.1, which was not present in the MPN phase of case 121. (iii) Hemizygous *TET2* mutation detected in MPN-blast phase sample of case 121 associated with acquired cryptic deletion on 4q24, which was not present in the MPN phase of case 121. (iv) Homozygous *c-CBL* mutation detected in MPN-blast phase sample of case 132 associated with acquired 11q CNN-LOH, which was not present in the MPN phase of case 132.



4 and 5A, supplemental Figure 4). Ten percent of unmatched and 18% of matched MPN-blast phase cases had either duplication/amplification or CNN-LOH on 19p. The commonly involved region spanned a small locus (2 Mbp) at 19p13.2, where, among others, the genes *PIN1*, *ICAM1*, and *CDC37*, which have been associated with carcinogenesis, are located.²⁴⁻²⁶ In addition, the minimal region (1.8 Mbp) of amplifications/duplications/trisomy on chromosome 21 detected in 8% of unmatched and 9% matched

MPN-blast phase samples harbored the oncogenic transcription regulator *ERG* (q22.2).

Table 2. Pretreatment in 47 MPN-blast phase cases and frequency of 17p and 7q deletions

Pretreatment				
Hydroxyurea	-	+	-	+
Alkylating agents	-	-	+	+
SNP-array alteration (17p vs 7q)				
No <i>del(17)(p)</i> , no <i>del(7)(q)</i>	17	16	0	1
<i>del(7)(q)</i>	2	2	2	2
<i>del(17)(p)</i>	0	4*	0	1*
<i>del(17)(p)</i> and <i>del(7)(q)</i>	0	0	0	0

Numbers of blast-phase patients are presented. MPN indicates myeloproliferative neoplasm; and SNP, single nucleotide polymorphism.

*A total of 5 cases with *del(17)(p)* pretreated with hydroxyurea ($P = .035$).

Complete or partial deletion ($-7/7q-$), as well as CNN-LOH of the long arm of chromosome 7, was one of the most common abnormalities detected by SNP-array analysis in up to 25% of unmatched and 27% matched samples evolved in the blast phase. SNP-array also revealed 3 unmatched cases (32, 87, and 116) with a heterozygous microdeletion encompassing the 7q22.1 locus, which was not detectable by cytogenetic analysis. Moreover, case 138 with 7qCNN-LOH had a homozygous deletion on 7q22.1 in both the matched MPN and MPN-blast phase samples (supplemental Figure 2A). The minimally deleted region spanned a small region of 0.88 Mbp at 7q22.1 covering only 2 target genes, *CUTL1* and *SH2B2*. The homozygous deletion of these genes in patient 138 was confirmed by QG RT-PCR (supplemental Figure 2B). Deletions of the long arm of chromosome 7 were found more often in MPN-blast phase patients pretreated with hydroxyurea and/or alkylating agents, but the findings were not statistically significant ($P = .2$; Table 2).

Also worth mentioning, 1 microdeletion encompassing the chromosome X-linked *PIG-A* gene occurred in male patient 121 at

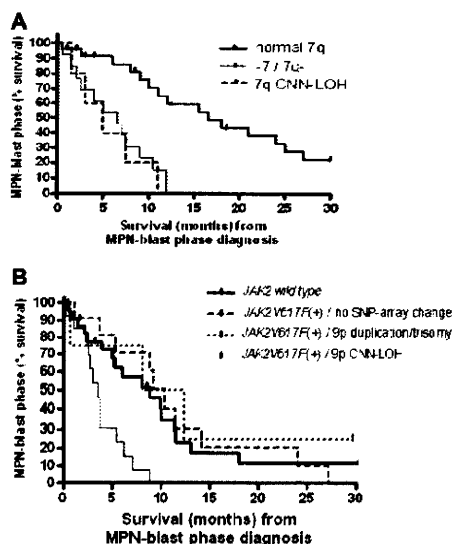


Figure 6. Survival analysis in MPN-blast phase. (A) Survival from the time of diagnosis of blast phase in transformed MPN patients with normal chromosome 7 (normal 7q) compared with either monosomy 7 (-7) or deletion of 7q ($7q-$) or 7qCNN-LOH. (B) Survival from the time of diagnosis of blast phase in transformed MPN patients with homozygous *JAK2V617F*⁺ associated with 9pCNN-LOH compared with either heterozygous *JAK2V617F*⁺ with 9p duplication/trisomy 9 or no abnormality, or patients without the mutation (*JAK2* wild type). Median survival (months) and the case numbers for each group (transformed PV, ET, or PMF) are listed in supplemental Table 5.

leukemic transformation (supplemental Figure 2C). This patient had a normal chromosome X in his chronic phase of PMF.

CNN-LOH is a marker of poor survival in MPN patients after leukemic evolution

SNP-array technology provides efficient and effective detection of segmental CNN-LOH. In the present study, the most prominent regions for CNN-LOH besides chromosome 9p (*JAK2*) were on 7q and 17p (*TP53*) in patients with MPN-blast phase. In marked contrast to CNN-LOH on 9p, CNN-LOH on 7q or 17p almost never occurred in the chronic phase of the disorder in matched and unmatched samples. As mentioned previously, cases with CNN-LOH and/or deletion of 17p were associated with either complex karyotype or isochromosome 17 and decreased survival.

As also expected, survival in the MPN-blast phase was significantly decreased in patients with $-7/7q-$ (median, 3.75 months) compared with those without chromosome 7 alterations (median, 9 months; $P = .008$). In addition, the unbalanced translocation, $der(1;7)(q10;p10)$, a nonrandom chromosomal abnormality rarely found in AML, was detected by SNP-chip and FISH in 7% of unmatched samples after leukemic evolution and was also associated with an inferior outcome compared with patients without chromosome 7 imbalances ($P = .014$). Strikingly, survival continued to be significantly decreased in MPN-blast phase, when cases with only 7qCNN-LOH were compared with those with a normal 7q ($P = .01$; Figure 6A; supplemental Table 5).

The *JAK2V617F* mutational status in terms of heterozygosity or homozygosity appeared to have no influence on the duration to leukemic evolution. Regardless of the underlying MPN subgroup, no statistical difference in the time to leukemic transformation was found comparing *JAK2V617F*⁺ patients with normal chromosome 9 to mutant positive blast phase patients with either 9p duplication/trisomy 9 ($P = .28$) or 9pCNN-LOH ($P = .21$). In-

stead, we found that homozygous *JAK2V617F* had an impact on survival after MPN transformation. Blast phase patients with 9pCNN-LOH resulting in a homozygous *JAK2* mutation had a worse outcome (median, 4 months) compared with *JAK2V617F*⁺ MPN-blast phase patients with either 9p duplication/trisomy 9 (median, 7.5 months) or no abnormality on 9p (median, 9 months), as well as patients without *JAK2V617F* (median, 7 months, $P = .016$; Figure 6B; supplemental Table 5). Homozygous *JAK2V617F* in association with CNN-LOH diagnosed at leukemic transformation was independent of known risk factors such as 5q-, $-7/7q-$, or complex karyotype ($P > .05$).

Discussion

Oncogenic *JAK2* signaling is an important event in MPN.^{1,2} Recently, we and others showed that homozygosity for *JAK2V617F* is closely related to chromosome 9pCNN-LOH in MPN patients.^{1,6,8,9} However, the transformation process of MPN to MPN-blast phase is not well understood.

Recent findings suggested that transition from heterozygosity to homozygosity for *JAK2V617F* is associated with a hyperproliferative disease profile and may be important for disease progression, at least from PV to secondary myelofibrosis.²⁷ Moreover, Barosi et al showed in a longitudinal prospective study that the presence of a *JAK2V617F* hematopoietic clone was significantly associated with leukemic transformation in PMF.²⁸ This is in contrast to our present findings showing that not only the mutational status of *JAK2V617F*, but also 9pCNN-LOH with homozygous *JAK2V617F*, had no impact on the time to leukemic transformation in patients with MPN-blast phase. In addition, 2 of the 11 matched MPN samples, initially positive for *JAK2V617F* with either trisomy 9 or 9pCNN-LOH, became negative for these abnormalities after leukemic transformation. Although only tested in unpaired samples, PMF and PV samples also showed a significantly smaller number of both *JAK2V617F*⁺ and 9pCNN-LOH in the blast phase compared with the chronic phase. Interestingly, and also contrary to the previously cited studies, Tefferi et al noted a significant association between a low *JAK2V617F* allelic burden and evolution to blast phase in a large cohort of PMF patients.²⁹ Even though these data are not completely congruent with our findings, the results of Tefferi et al and our results point to the coexistence of a more dominant *JAK2V617F*-negative clone with a higher propensity to undergo clonal evolution. This is congruent with recent studies indicating that *JAK2V617F*⁺ MPN can result in *JAK2V617F*⁻ MPN-blast phase.^{30,31} But still, some of our matched cases with *JAK2V617F*⁺ had no change in abnormalities including *JAK2* mutational status as well as 9pCNN-LOH, allowing the existence of a common pre-*JAK2V617F* clone. Taken together, the presence of *JAK2V617F* appears not to be a prerequisite for leukemic transformation of MPN, suggesting that additional genetic events are required for full transformation.

SNP-array analysis was able to capture practically all cytogenetic abnormalities and to uncover additional lesions with potentially important clinical implications. The number of genomic alterations was more than 2 to 3 times greater in the blast phase as in the chronic phase of matched and unmatched cases with MPN. Noticeably, ET patients had fewer alterations in their chronic phase samples compared with the PV and PMF cases, whereas the number was comparable in all 3 MPN subgroups after their transformation. Being aware of the increased number of new

genomic changes enables investigators to focus on the identification of causative genes associated with the evolution of MPN to leukemia.

Commonly altered regions in blast crisis samples were detected on chromosomes 8, 12, 17, and 21 encompassing *MYC*, *ETV6*, *TP53*, and *RUNX1*, respectively, which are already known to be involved in the development of de novo and secondary AML.¹⁸⁻²² Gain of chromosomal material at 8q24.21 was almost exclusively found in *JAK2V617F*⁻ samples, suggesting that increased activity of *MYC* might allow selection of clones that do not require the *JAK2* gain-of-function mutation. Furthermore, deletion of 17p (*TP53*) was significantly associated with prior exposure to hydroxyurea as well as a complex karyotype in samples with MPN-blast crisis, which is in accordance with recent results.^{32,33} Interestingly, not only deletion, but also 17pCNN-LOH, was associated with a complex karyotype, a poor prognostic marker in myeloid malignancies.

In addition, regions on chromosomes 1q, 7q, 16q, 19p, and 21q were frequently altered in the evolution to the leukemic phase and may harbor promising new candidate genes. Abnormalities involving chromosome 7 are frequently detectable in de novo and secondary AML,³⁴⁻³⁷ and preceding studies have found a critical breakpoint region involving a locus at centromeric band 7q22, whereas the telomeric breakpoint varies from q32 to q36. Interestingly, the minimal deleted region in our cohort was located at 7q22.1 encompassing only 2 promising target genes, *SH2B2* (previously named *APS*) and *CUTLI*. *SH2B2* regulates and enhances *JAK2*-mediated cellular responses,³⁸ and the *CUTLI* gene encodes for a *CUT* family member of the homeodomain proteins that can repress the expression of developmentally regulated myeloid genes.³⁹ Moreover, genome-wide inspection for minimal regions of duplications/amplifications and CNN-LOH revealed several interesting genes, such as *PINI*, *ICAMI*, and *CDC37* on 19p as well as *ERG* on 21q. Whereas the latter 3 targets have been shown to possess potential progrowth activity in de novo AML and/or MDS,^{25,26,40} *PINI* is known to be overexpressed in a variety of cancers and may act as an oncogene via promotion of cell cycle progression and proliferation.²⁴

Mutations of the *c-CBL* gene are tightly associated with 11qCNN-LOH and are commonly diagnosed in patients with chronic myelomonocytic leukemia.^{23,41,42} Although MPN shares clinical as well as hematologic features with chronic myelomonocytic leukemia, we detected 11qCNN-LOH only in a minority of our study population, suggesting that *c-CBL* mutations are rare events leading to transformation of chronic MPN to leukemic blast phase.

In contrast to recent findings showing frequent LOH on 4q associated with *TET2* mutations in patients diagnosed with MDS/MPN,⁴³ we detected CNN-LOH or deletions at 4q24 (*TET2*) only in a minority of our patients in the chronic as well as blast phase of MPN. Nevertheless, our study was not sufficient to explore these findings in more detail and make conclusions on tumor suppressor *TET2* and its potential role in leukemic transformation.

However, with regard to the variety of detected allelic imbalances, we suggest that no single candidate gene or molecular pathway is sufficient and necessary to cause transformation of chronic MPN to blast phase. Like de novo AML, MPN-blast phase appears to be a heterogeneous disease prone to have evolved multiple mechanisms to provide a proliferative advantage to the abnormal leukemic clone.

CNN-LOH involving chromosomal regions that are also frequently affected by deletions may have prognostic implications similar to the deletions visible by karyotyping. In our study,

prognostic evaluation was based mainly on SNP-array data from blast phase samples without the incorporation of SNP-array results from the matched chronic phase. Moreover, we implied the survival and clinical outcome only of MPN patients who underwent leukemic transformation, without comparison with survival and outcome in untransformed chronic phase. However, as expected, blast phase patients with loss of chromosomal material on 7q showed poor survival, because this is known to be predictive for rapid progression and poor response in AML therapy.³⁵⁻³⁷ MPN-blast phase patients with cytogenetically undetectable 7qCNN-LOH had comparable survival rates to those with -7/7q- in their leukemic cells, which is in accordance with previously published data.⁴⁴

In addition, 9pCNN-LOH with homozygous *JAK2* mutation was also linked to an inferior outcome in MPN-blast crisis in comparison with patients with either heterozygous *JAK2V617F* or wild-type *JAK2*. In contrast to LOH on 17p, the prognostic impact of 9pCNN-LOH was independent of established risk factors such as -7/7q-, 5q-, or complex karyotype. Although *JAK2V617F* in association with 9pCNN-LOH appeared to have no impact on the time to MPN transformation, we suggest that the homozygous driver mutation in combination with additional newly acquired aberrations in terms of a second hit may have an implication on the clinical course of MPN-blast phase patients.

In conclusion, high-density SNP-array technology allowed precise identification of chromosomal aberrations, including CNN-LOH, and complemented conventional cytogenetic techniques in patients with chronic and transformed MPN. Our analysis provided prognostic details to further improve clinical prognosis, as well as novel interesting candidate genes potentially involved in the transformation of MPN.

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Authorship

Contribution: N.H.T and U.O.K. performed the research, analyzed the data, and wrote the paper; D.H.T.L., N.K., G.B.I., T.L., T.W., D.N., M.K.-M., M.K., M.S., L.-Y.S., A.N., and S.D.R. assisted with the research; C.M.-T., R.M., T.H., D.G.G., and A.T. designed and performed the research; and S.O. and H.P.K. directed the overall study.

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Deregulated Intracellular Signaling by Mutated *c*-CBL in Myeloid Neoplasms

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Abstract

c-CBL encodes a 120-kDa protein involved in intracellular signal transduction in a wide variety of cell types. Recently, frequent mutations of *c*-CBL have been reported in myeloid neoplasms showing both myelodysplastic and myeloproliferative features, in which most mutations are present in a homozygous state, as a result of allelic conversion in 11q. *c*-CBL has ubiquitin E3 ligase activity for a wide variety of tyrosine kinases, and thereby, negatively regulates tyrosine kinase signaling. Accordingly, *c*-CBL seems to have tumor suppressor functions, loss of which promotes tumorigenesis. On the other hand, once mutated, it is converted to an oncogenic protein and commits to myeloid leukemogenesis through a kind of gain of function causing aberrant signal transduction. The inhibition of mutant CBL protein or signaling pathways that it activates would have a role in therapeutics of myeloid neoplasms with *CBL* mutations.

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Background

c-CBL proto-oncogene is a cellular counterpart of a viral oncogene, *v*-CBL, isolated from a transforming retrovirus that causes B-cell lymphoma and myeloid neoplasms in mice (1). *c*-CBL is recognized as a 120-kDa cytoplasmic protein rapidly phosphorylated after cytokine stimulation. Interacting with a broad spectrum of signaling and cytoskeletal molecules as a multi-adaptor protein as well as an E3 ubiquitin ligase, *c*-CBL is thought to be involved in intracellular signaling (2, 3). Although *c*-CBL was first identified through its oncogenic versions in mice, its role in human carcinogenesis has been elusive until recently, when frequent mutations of *c*-CBL have been reported in a subset of myeloid neoplasms (4–10). Mutations of *c*-CBL are found in a variety of myeloid neoplasms, including acute myeloid leukemia and myelodysplastic syndromes (4–7, 10, 11), but they are most frequent in those subtypes of myeloid neoplasms that are now grouped into

myelodysplastic-myeloproliferative neoplasms (MDS-MPN) in the World Health Organization classification (12). MDS-MPN include chronic myelomonocytic leukemia (CMML), juvenile myelomonocytic leukemia (JMML), and atypical chronic myeloid leukemia (aCML). *c*-CBL mutations are found in 5% of aCML (8) and up to 15% of JMML (9, 13, 14) and CMML (7, 10). They originate from immature hematopoietic progenitors and are commonly characterized by the production of dysplastic blood cells and myeloproliferative features (12, 15). Mutations seem to be somatic in most adult cases, but germline mutations were reported in some JMML cases in children (9). A conspicuous genetic feature of *c*-CBL mutations in these myeloid neoplasms is that mutations are homozygous in most cases, as a result of an allelic conversion of 11q arms that leads to duplication of the mutated parental copy of 11q and loss of the remaining wild-type allele, or “uniparental” disomy of the 11q arms. Mutations rarely accompany deletions of the wild-type allele (7–10), indicating the gain-of-function nature of the mutations rather than a simple loss-of-function (see below).

In mammals, three CBL homologs, *c*-CBL, CBL-b, and CBL-c, exist and are grouped into the CBL family of proteins (2, 3). All three homologs have a conserved N-terminal domain [tyrosine kinase-binding (TKB) domain], for their binding to phosphorylated tyrosine kinases, and a RING finger domain, as well as an intervening linker sequence. *c*-CBL and CBL-b, but not CBL-c, have extended C-terminal structures, including a proline-rich domain, a ubiquitin-associated-leucine zipper motif at the C terminus, and several tyrosine residues that are phosphorylated upon cytokine and/or growth factor stimulation (Fig. 1). The TKB domain consists of a four-helix bundle, a Ca²⁺-binding EF hand, and a variant Src homology 2 (SH2) domain (16),

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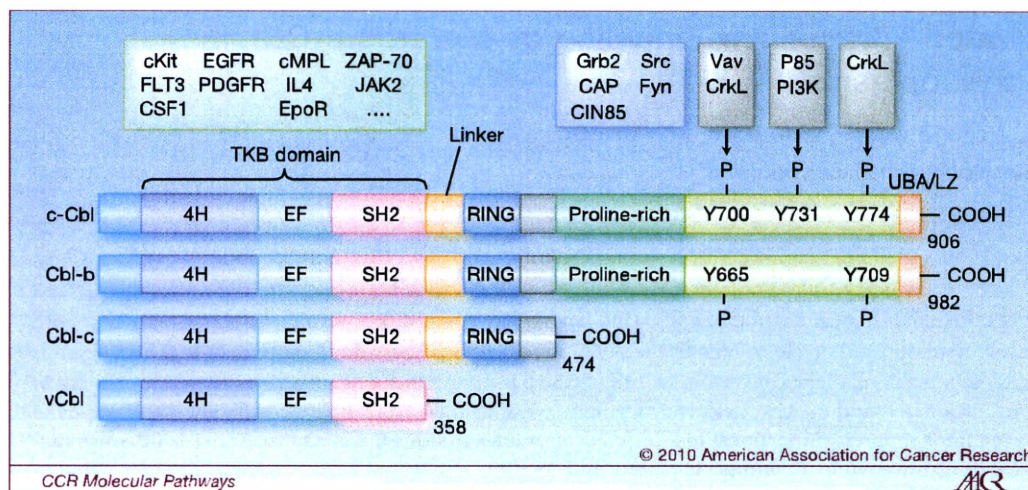


Fig. 1. Structure of CBL family proteins. Domain structures of CBL family proteins are depicted. Major tyrosine phosphorylation sites in c-CBL are indicated. Molecular interactions of c-CBL with cytokine receptors and other signaling molecules are also shown on top.

through which c-CBL binds to a phosphotyrosine-containing residue within a variety of activated tyrosine kinases. The spectrum of tyrosine kinases with which c-CBL can interact is thought to be determined by these N-terminal structures and includes receptor tyrosine kinases (RTK), such as epidermal growth factor receptor (EGFR; refs. 17–19), platelet-derived growth factor receptor (PDGFR; refs. 20–22), insulin-receptor (23, 24), c-Kit (25, 26), and FLT3 (5, 27), as well as non-RTKs (JAK2, ZAP70, and Syk; ref. 28). After being targeted to activated tyrosine kinases, c-CBL initiates a series of interactions with a variety of molecules as a multi-adaptor protein to transmit signals (Fig. 2A). First, c-CBL itself is phosphorylated at multiple tyrosine residues, to which a number of signaling molecules, including Vav (Y700; ref. 29), Crk/CrkL (Y700 and Y774; refs. 30–34), and the p85 subunit of PI3 kinase (Y731; refs. 35, 36) are recruited. The proline-rich domain provides binding sites for a variety of Src homology 3 (SH3)-containing proteins, including Grb2 (17, 18, 26, 32, 37–39) and NCK (40, 41), Src family tyrosine kinases (Fyn and Src; refs. 42–44), as well as CAP and CIN85 (45, 46). Grb2 constitutively binds to c-CBL in unstimulated cells, playing a role in recruitment of c-CBL to phosphorylated RTKs when cells are stimulated with their ligands. Src family kinases are responsible for phosphorylation of c-CBL on RTK stimulation. The long list of molecules making direct or indirect interactions with c-CBL is found in an excellent review (3), and the list is still growing. The complexity of molecular interactions of CBL comprises “the CBL interactome” and provides the basis for the diverse biological functions of c-CBL. Among these, the most extensively studied is its function as a negative regulator of tyrosine kinase signaling.

The negative regulation of tyrosine kinases by c-CBL was first implicated through genetic studies in *Caenorhabditis elegans*, in which the c-CBL ortholog, *sl-1*, was shown to

be upstream of RAS (*let-60*) and Grb2 (*sem5*), and to suppress vulval induction that depends on *let23*, the ortholog of EGFR (47). Later, it was molecularly shown in mammalian cells that the negative regulation involves multi-ubiquitinylation of RTKs (21, 48, 49). c-CBL has E3 ubiquitin ligase activity, which is mediated by the linker-RING finger domains (50). c-CBL recruits E2 ubiquitin conjugating enzymes and ubiquitin monomers at the linker-RING finger interface and multi-ubiquitinylates the activated RTKs (Fig. 2A, upper panel). Depending on the multi-ubiquitinylation of the kinases, the kinase-c-CBL complexes are directed to endocytosis for subsequent degradation at lysosomes and/or proteasomes, or for recycling (21, 48, 49), which, in either case, limits kinase signals. Although multi-ubiquitinylation is critical for these reactions to occur, two c-CBL-bound adaptor molecules, CIN85 and CD2AP, mediate the endocytosis (45, 46). The negative regulatory roles of c-CBL in tyrosine kinase signaling suggest that the protein could have an anti-oncogenic function. In fact, c-CBL null mice have an enlarged thymus, expanded hematopoietic progenitor pools, splenomegaly with extramedullary hematopoiesis, as well as increased repopulating capacity of their bone marrow cells (10, 51–53). Blastic transformation of chronic myelogenous leukemia in a bcr/abl-transgenic model is accelerated in the c-CBL null background (10). Finally, c-CBL null mice developed invasive cancers with complete penetrance.⁹ Combined, these observations support that c-CBL can act as a tumor suppressor.

In contrast to the tumor suppressor function of the wild-type c-CBL, when transduced into NIH3T3 cells, c-CBL mutants isolated from human and murine neoplasms, as well as v-CBL, show clear transforming capacity in terms of

⁹ Unpublished data.

anchorage-independent growth in soft agar and tumor generation in nude mice (10, 54). Bone marrow cells transduced with mutant *c-CBL* (R420Q and 70Z mutants) generate generalized mastocytosis, a myeloproliferative disease, and myeloid leukemia in lethally irradiated mice with long latency but high penetrance (55). The transforming activity of mutant *c-CBL* seems to be mediated by alteration of the E3 ubiquitin ligase activity. Except for rare mutations causing a premature truncation of the TKB domain, most *c-CBL* mutations in myeloid neoplasms are missense changes at highly conserved amino acid positions within the linker and RING finger domains, or involve splice-site sequences, leading to amino acid de-

letions within these domains. Although the E3 ubiquitin ligase activity primarily depends on the RING finger domain, the intact linker sequence, which tightly packs with the TKB domain as well as with the E2 ligase, is also considered to be essential for efficient ubiquitinylation to occur (56). The crystal structure of the *c-CBL*/UBCH7 complex suggests that Y371 is important for the integrity of the linker-TKB interface (56). Thus, tumor-derived *c-CBL* mutations are expected to affect the E3 ubiquitin ligase activity. In fact, linker-RING finger mutations found in myeloid neoplasms, as well as other artificially introduced mutations within these domains, were shown to have compromised E3 ubiquitin ligase activity (5, 8, 10, 54). Moreover,

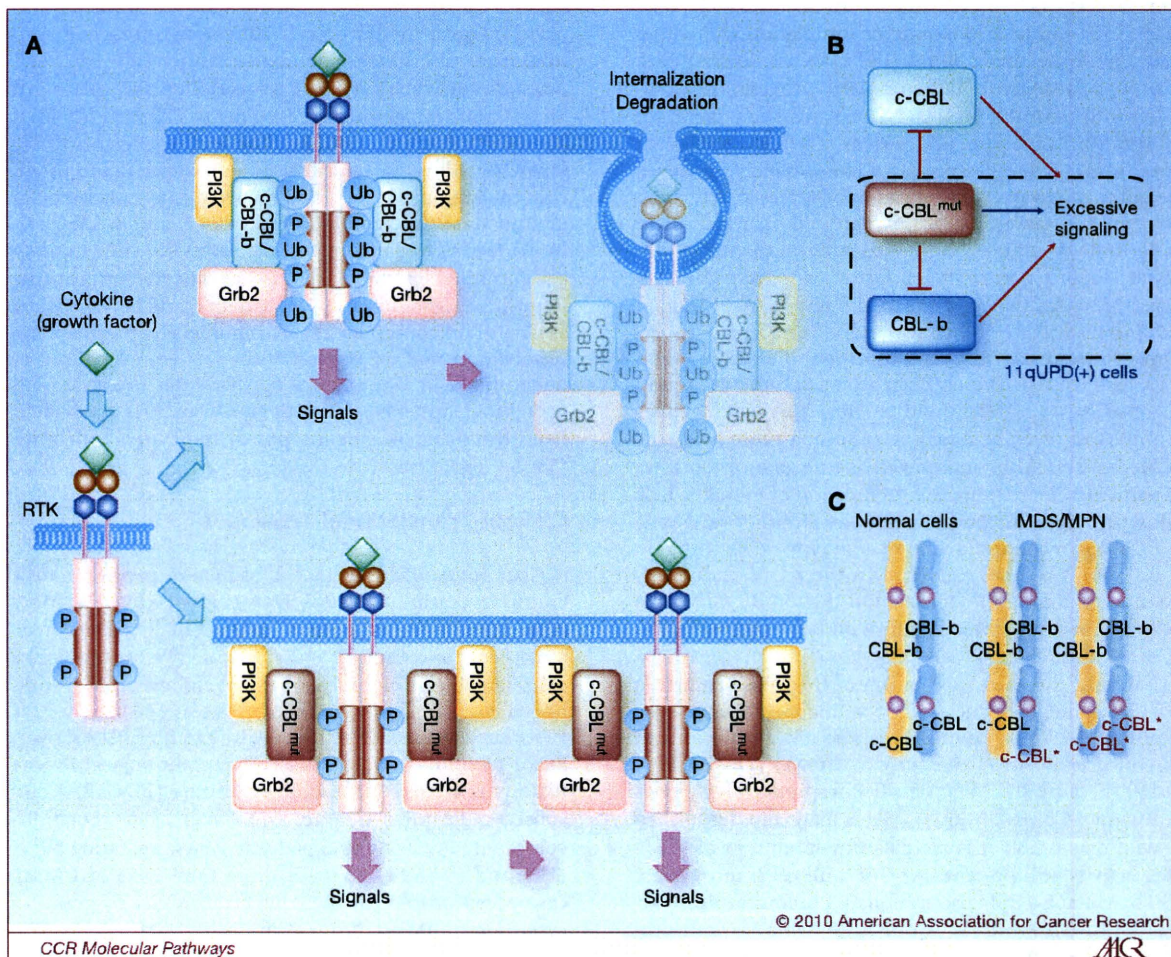


Fig. 2. Putative mechanism of gain of function of *c-CBL* mutants. **A**, after cytokine (growth factor) stimulation, RTKs are phosphorylated, to which *c-CBL* or *CBL-b* binds to ubiquitinate the receptors, while participating in signal transduction. Ubiquitinated RTKs are then subjected to degradation or recycling. On the other hand, when mutant *c-CBL* binds to the activated RTKs, downregulation of the RTKs is compromised, leading to prolonged signaling. **B**, putative mechanisms of the gain of function of *c-CBL* mutants; the *CBL-b*-inhibition model (red line) and the mechanism mediated by positive regulatory functions of *c-CBL* (blue line). **C**, in the *CBL-b*-inhibition model, a *c-CBL* mutant inhibits the E3 ubiquitin ligase activity of both *c-CBL* and *CBL-b*. In the heterozygous state, the inhibitory action of the *c-CBL* mutant is largely titrated out by three intact copies of *c-CBL* and *CBL-b*, leading to only modest increase in sensitivity to cytokines, as compared with the normal state (middle panel). When the mutant allele is duplicated by an allelic conversion in 11q, the mutant protein expressed from the two mutated alleles can effectively inhibit the remaining enzymatic activity from *CBL-b* (right panel).

these *c-CBL* mutants strongly inhibit the E3 ligase activity of wild-type *c-CBL*, indicating that linker-RING finger mutants act in a dominant negative manner against wild-type *c-CBL* (10). This finding is expected because a simple loss-of-function would not explain the dominant effect of *c-CBL* mutant on transforming activity in NIH3T3 cells expressing wild-type *c-CBL*. Interestingly, this inhibitory effect does not seem to depend on dimerization with the wild-type *c-CBL*, but on intact binding to phosphorylated tyrosine kinases, because a G306E mutation abolishes oncogenic capacity of these *c-CBL* mutants.¹⁰ Thus, when overexpressed in EGFR-transduced NIH3T3 cells, mutant *c-CBL* inhibits ubiquitinylation of EGFR, leading to prolonged activation of the receptor after EGF stimulation. Similarly, transduction of *c-CBL* mutants into hematopoietic cell lines results in prolonged activation of *c-Kit*, *FLT3*, and *Jak2* kinases after stimulation with either their ligands or interleukin 3 (IL-3; Fig. 2A, lower panel; refs. 10, 55). Murine hematopoietic progenitors transduced with tumor-derived *c-CBL* mutants show increased cell survival in the presence of stem cell factor, similar to those from *c-CBL* null mice (10). Unexpectedly, however, the effect of these *c-CBL* mutants becomes much more prominent in the *c-CBL* null background, in which these *c-CBL* mutants induce exaggerated survival or even proliferative responses to stem cell factor. Moreover, the augmented proliferative and/or survival responses of mutant *c-CBL*-transduced cells are also found for a broader spectrum of cytokines, including thrombopoietin, IL-3, and *FLT3* ligand (10). These effects of *c-CBL* mutants found in the *c-CBL* null background are not explained by either a simple loss of *c-CBL* functions or inhibition of wild-type *c-CBL*, but should be interpreted as true gain of function. Of particular interest, the gain of function of mutant *c-CBL* is lost in large part by the presence of either wild-type *c-CBL* allele or cotransduction of wild-type *c-CBL*. The gain of function becomes apparent in the *c-CBL* null background, explaining the observation that *c-CBL* mutations are found in a homozygous state with loss of the wild-type *c-CBL* in most cases (7–10).

Currently, the exact mechanism of the gain of function of *c-CBL* mutants is unclear. A possible mechanism is inhibition of CBL homologs (Fig. 2B, red arrow) and/or CBL-intrinsic positive regulatory machinery (Fig. 2B, blue arrow). Because the hypersensitive response to cytokines in mutant *c-CBL*-transduced cells is markedly diminished by wild-type *c-CBL*, it is mediated by inhibition of "CBL-like" activity still present in *c-CBL* null cells, most likely CBL-b. Mutant *c-CBL* also inhibits E3 ubiquitin ligase activity of CBL-b, which is expressed in hematopoietic progenitor cells (10). *c-CBL/CBL-b* double knockout T cells show exaggerated proliferative response to anti-CD3 stimulation and prolonged T-cell receptor signaling, as compared with *c-CBL* or *CBL-b* single knockout T cells (57).

¹⁰ Unpublished data.

According to this model, two mutant *c-CBL* alleles could functionally titrate out two wild-type *CBL-b* alleles, whereas one mutant *c-CBL* allele might not be sufficient to overcome one wild-type *c-CBL* plus two wild-type *CBL-b* alleles (Fig. 2C).

Another possible mechanism of the gain of function of mutated *c-CBL* is related to its function as a multi-adaptor, which is implicated in positive regulatory functions in signal transduction (Fig. 2B, blue arrow). As an adaptor protein, kinase-bound *c-CBL* recruits a number of molecules involved in signal transductions and cytoskeletal regulations. For examples, upon either IL-4 or granulocyte colony-stimulating factor stimulation, *c-CBL* is tyrosine-phosphorylated and binds to the p85 subunit of phosphoinositide 3 kinase (PI3K) to transmit mitogenic and/or survival signals (58, 59). Similarly, CBL was shown to regulate integrin-mediated cell adhesion, spreading, and migration in a PI3K-dependent manner (60, 61). CBL promotes activation of MAP kinases after stimulation of Met tyrosine kinase through binding to Crk (62). *c-CBL* is one of the downstream substrates and/or effectors of Src kinase signaling, necessary for bone resorption and osteoclast migration (63). It is also involved in cytoskeletal regulation via activation of Rac1 or Cdc42, and R-RAS (64). In the face of loss of negative regulatory functions due to compromised E3 ubiquitin ligase activity, the intrinsic role in positive signaling of *c-CBL* protein could be unmasked as gain of function (Fig. 2B). This model could explain the observation that *c-CBL* mutations were much more frequent than CBL-b mutations in MDS-MPN, because both proteins clearly have different functionalities, as evident from the different phenotypes of their knockout mice (51, 52, 65).

Clinical-Translational Advances

Gene mutations in signal transduction pathways are a common feature of MPN. Deregulated kinase activity caused by *bcr-abl* and mutated *JAK2* is a hallmark of chronic myelocytic leukemia and classical myeloproliferative disorders, including polycythemia vera, essential thrombocythemia, and primary myelofibrosis (66). Genes for RTKs, such as PDGFRs (PDGFRA/B) and fibroblast growth factor receptors (FGFR) are also recurrent targets of gene fusions in hypereosinophilic syndrome (PDGFRA) and subsets of CMML (FGFR; ref. 67). Finally, gene mutations commonly involving RAS pathway genes, including *NF-1*, *RAS*, and *PTPN11*, occur in more than 70% of CMML cases, responsible for their hypersensitivity to granulocyte-macrophage colony-stimulating factor (15, 67). The recent finding of frequent *c-CBL* mutations in the MDS-MPD subgroup revealed a novel mechanism for excessive cell signaling through deregulated kinase activity in MPN, especially MDS-MPN subtypes, and also provided an insight into the therapeutics of *c-CBL*-mutated myeloid neoplasms.

Because *c-CBL* mutations induce excessive tyrosine kinase signaling, use of tyrosine kinase inhibitors could be

a logical approach to the control of *c-CBL*-mutated neoplasms. However, the broad spectrum of *c-CBL*-regulated tyrosine kinases may preclude the efficacy of selective kinase inhibitors, whereas the use of pan-kinase inhibitors would increase a risk of the development of unacceptable adverse effects. Otherwise, identification of functionally relevant kinases regulated by mutated *c-CBL* would enable efficient targeting of such inhibition. Alternatively, the downstream signaling pathways, including JAK/STAT, PI3K, as well as RAS/extracellular signal-regulated kinase (ERK) signalings, are also potential therapeutic targets for inhibition with low molecular-weight compounds.

Given the gain-of-function nature of *c-CBL* mutants, inhibition of these mutant proteins would be a more reasonable approach, regardless of the exact mechanism of the gain-of function. Because the oncogenic action of mutant *c-CBL* proteins depends on their intact binding to target kinases, inhibition of this binding would be a potential approach, especially when the inhibition could be specifically directed to mutant *c-CBL*, but be saved for CBL-b. Recently, piceatannol, a naturally occurring phenol stilbenoid, was shown to induce loss of the CBL family of proteins including mutant CBL (70Z mutant; ref. 68). Piceatannol was initially isolated as an antileukemic agent from a domesticated oilseed and was shown to inhibit a broad spectrum of tyrosine kinases including Sky, Src, Lck, and FAK, as well as some serine-threonine kinases (69–72). It also induces selective loss of CBL-associated proteins; levels of PDGFR β , *c-Abl*, and EGFR are reduced by piceatannol treatment, whereas those of *c-Src*, Lyn, Syk, and Grb2 are unaffected (68). The molecular mechanism that underlies piceatannol-induced CBL loss is still unclear. It does not depend on proteasome, lysosome, and caspase activation, but rather on reactive oxygen species, which seems to be distinct from the mechanism of inhibition of kinase activities (68). Although piceatannol shows a broad spectrum of biological activity as an anti-inflammatory, antihistamine, and

general antitumor agent *in vitro* (73–75), because of its broad biochemical actions, it has not been determined if, or to what extent, the biological activities of piceatannol depend on piceatannol-induced loss of CBL proteins. Although loss of both *c-CBL* and CBL-b is likely to result in increased tyrosine kinase activity, it also induces CBL-associated molecules and inhibits activity of a number of kinases, actually showing general antitumor activity. Unfortunately, no information is currently available about the antitumor effect of piceatannol on *c-CBL*-mutated leukemia. In *c-CBL*-mutated leukemic cells, loss of mutant *c-CBL* may further augment antitumor activity of this agent.

Conclusion

c-CBL mutations are tightly associated with myeloproliferative myeloid neoplasms, especially the MDS-MPD subtype. *c-CBL* seems to act as a tumor suppressor, but when mutated, it is converted to an oncogenic protein. Although the oncogenic potential of *c-CBL* mutants is thought to be related to a type of gain of function, the molecular basis of this gain of function has not been fully understood. Undoubtedly, the effect of these mutations on the E3 ubiquitin ligase activity is essential for the gain of function. What complicates the mechanism is the fact that *c-CBL* has dual functionalities; it can behave as a multi-adaptor signal transducer, while also terminating signals by ubiquitinating activated tyrosine kinases. Clearly, to understand the exact oncogenic mechanism of *c-CBL* mutants and to develop effective therapeutics, further *in vivo* and *in vitro* analyses are required.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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