

sarcoma, while those were highly expressed in rhabdomyosarcoma cell lines RMS and SJRH-30 (Table VI). Benign tumors examined did not express *ADAMTS4*.

No detection of secreted ADAMTS4 protein in the patient sera. To evaluate the amount of secreted *ADAMTS4* protein in the patients, we analyzed *ADAMTS4* protein levels in sera by ELISA. In agreement with the results described above, *ADAMTS4* was detected in culture supernatants of EWS cell lines SJES-2 and SJES-5 (Fig. 6). The concentration of *ADAMTS4* in SJES-2 cells was about twice as high as that in SJES-5 cells. For a positive control, culture supernatant of the NIH3T3/*ADAMTS4* cells was also measured (39.9 ng/ml, data not shown). It is noteworthy that *ADAMTS4* protein was detected in 2 out of 3 cases of osteosarcoma and in the only case of osteofibrous dysplasia. Consistent with the very high level of *ADAMTS4* transcript shown in the extreme right lane among osteosarcoma samples in Fig. 5, serum from the same patient showed the high level of *ADAMTS4* protein in ELISA as shown in Fig. 6 (middle lane among osteosarcoma samples). The other positive samples of osteosarcoma in both figures are not derived from the same patient, because only either serum or RNA was available in these two patients. *ADAMTS4* protein was not detected in the 6 EWS patient sera examined. These results indicated that *ADAMTS4* is expressed and secreted in EWS cells, but that the *ADAMTS4* protein in the serum is not suitable as a marker for EWS.

Discussion

EWS is an aggressive neoplasm with a strong propensity to spread into neighboring tissues. Many patients are diagnosed at advanced stages of EWS. Since EWS has worse prognosis than other soft-tissue sarcomas, it is clinically important to distinguish EWS from other sarcomas. The reason for the poor prognosis in EWS patients is suggested to be that the micro-metastases are formed before clinical symptoms arise and tumors are detected (42). Currently, diagnosis of EWS is determined mainly by CD99 expression or by genetic aberrations that are exemplified by *EWS-FLI1* fusion gene. Since both markers show lack of sensitivity, specificity or feasibility, more useful biomarkers such as surface antigens or secreted proteins are required in clinical areas.

In the present study, we searched for membrane and secreted proteins derived from EWS cell lines using the retrovirus-mediated signal sequence trap method SST-REX, and identified *ADAMTS4* as a possible EWS marker. We demonstrated that *ADAMTS4* was expressed in EWS cell lines and tissue samples derived from EWS patients. Interestingly, expression of *ADAMTS4* was correlated with expression of *EWS-FLI1*, which is a hallmark of EWS. In addition, we demonstrated that *ADAMTS4* was secreted from EWS cells, although we could not detect *ADAMTS4* in serum samples derived from EWS patients.

It should be noted that two cases of the osteosarcoma patient samples were found to express high levels of *ADAMTS4*. It is tempting to speculate that a subclass of osteosarcoma with different property may exist.

In conclusion, we identified *ADAMTS4* as a possible marker of EWS by using SST-REX. This is the first report to

show the correlation between *ADAMTS4* and EWS. Although *ADAMTS4* protein in the serum could not be used as a biomarker for EWS, our study suggested that RNA transcripts of *ADAMTS4* in the tissue sections are useful markers of EWS. Further studies will be required to determine the usefulness of this molecule in differential diagnosis and/or evaluation of the disease activity in clinical settings.

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Prognostic significance of the *BAALC* isoform pattern and *CEBPA* mutations in pediatric acute myeloid leukemia with normal karyotype: a study by the Japanese Childhood AML Cooperative Study Group

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Abstract High *BAALC* (brain and acute leukemia, cytoplasmic) gene expression may indicate an adverse prognosis for adults who have acute myeloid leukemia (AML) and a normal karyotype, but its prognostic significance for pediatric AML cases is unclear. Whether different *BAALC* isoform patterns are of prognostic significance is also unclear. Newly diagnosed AML patients with normal

karyotype who were treated by the Japanese Childhood AML Cooperative Treatment Protocol AML 99 were analyzed in terms of their *BAALC* expression levels ($n = 29$), *BAALC* isoforms ($n = 29$), and *CEBPA* mutations ($n = 49$). Eleven and 18 patients exhibited high and low *BAALC* expression, respectively, but these groups did not differ significantly in terms of overall survival (54.6 vs. 61.1%, $P = 0.55$) or event-free survival (61.4 vs. 50.0%, $P = 0.82$). Three of these 29 patients (10.3%) expressed the exon 1-5-6-8 *BAALC* isoform along with the expected 1-6-8 isoform and had adverse clinical outcomes. Novel

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CEBPA mutations were also identified in four of 49 patients (8.2%). All four patients have maintained complete remission for at least 5 years. Thus, 1-5-6-8 isoform expression may be associated with an adverse prognosis in pediatric AML with normal karyotype. *CEBPA* mutations may indicate a favorable prognosis.

Keywords Pediatric AML · Normal karyotype · *BAALC* · *CEBPA*

1 Introduction

Cytogenetic abnormalities in acute myeloid leukemia (AML) that are detected at the time of diagnosis are important prognostic factors that help to determine the clinical outcome. However, 10–20% of pediatric AML cases lack known genetic abnormalities that can be used to predict clinical outcome [1]. For example, while tandem duplications of mixed lineage leukemia gene (*MLL*) and *fms*-like tyrosine kinase-3 (*FLT3*) correlate with a poor prognosis in pediatric AML [2], internal tandem duplications (ITD) of *FLT3* occur much more rarely in pediatric AML than in adult AML patients; indeed, there is an age-associated increase in this mutation (from 1.5% in infants to nearly 20% in teenage patients) [3]. Thus, most normal karyotype pediatric AML patients lack known markers that are of prognostic significance. To improve the prognostic stratification of this heterogeneous group of patients, novel markers should be identified.

The *BAALC* (brain and acute leukemia, cytoplasmic) gene is believed to participate in the development of AML and chronic myelogenous leukemia in blast crisis [5]. Previous studies have also reported that high *BAALC* expression levels reflect an adverse prognosis for adult AML with a normal karyotype [6–10]. However, how *BAALC* expression levels relate to the clinical outcome of pediatric AML remains unclear.

CEBPA is a transcription factor that coordinates the granulocytic differentiation of common myeloid progenitors. *CEBPA* mutations have been detected in 7–15% of adult patients with AML and are most frequently found in the AML M1 and M2 subtypes [French–American–British (FAB) classification] [10, 11]. Previous reports indicate that *CEBPA* mutations reflect a favorable prognosis in adult AML with normal karyotype [12, 13]. However, it is unclear whether the same relationship exists between *CEBPA* mutations and pediatric AML.

While previous studies have mainly examined various prognostic factors in terms of gene mutations [9–14] and changes in gene expression [15–20], some recent studies have also reported the prognostic significance of the expression of different isoforms in leukemia [21–25].

Consequently, in the present study, we investigated the prognostic relevance of high *BAALC* expression, *BAALC* isoform patterns, and *CEBPA* mutations in pediatric AML with normal karyotype. This study was performed by the Japanese Childhood AML Cooperative Study Group, which employed the AML 99 protocol [2, 4, 26, 27].

2 Patients and methods

2.1 Patients

This study included 124 of the 241 pediatric patients who were newly diagnosed with de novo AML from January 2000 to December 2002. The 241 patients included 52 patients with a normal karyotype and 49 of those were recruited into the 124-patient group. None of these 49 patients had AML-M3 or Down syndrome. AML was diagnosed according to the FAB classification, and a routine G-banding method was used for cytogenetic analysis. Of the 124 cases, 104 were subjected to *BAALC* expression analysis; of these 104 subjects, 29 had a normal karyotype. These 29 normal karyotype cases were also subjected to *BAALC* isoform analysis. All 49 normal karyotype cases were subjected to *CEBPA* mutation analysis. The characteristics of the patients subjected to *BAALC* isoform analysis and *CEBPA* mutation analysis are shown in Table 1a. The 29 normal karyotype patients who were subjected to *BAALC* isoform and expression analyses did not differ significantly in age, white blood cell (WBC) counts at diagnosis, remission rates or overall survival (OS) from the remaining 20 normal karyotype patients who were not analyzed for *BAALC* isoform and expression (Table 1b). In accordance with the Declaration of Helsinki and upon approval from the Ethics Committees of Kyoto University, informed consent was obtained from each patient or the patient's parents before entering this study.

2.2 *BAALC* expression analysis

Comparative real-time RT-PCR assays were performed and *BAALC* expression levels were measured as previously reported [5, 6]. The *BAALC* expression values of the patient group were divided at the median value (0.57) and patients were said to have a low and high *BAALC* expression if they had expression values within the lower and upper 50% of values, respectively [7].

2.3 *BAALC* isoform analysis

BAALC isoform analysis was performed by RT-PCR followed by direct sequencing. For this, the PCR product was cut out of the gel, purified with a QIAquick gel extraction kit (Qiagen, Chatsworth, CA), and sequenced by the

Table 1 Characteristics of AML patients subjected to BAALC expression, BAALC isoform, and CEBPA mutation analyses

	BAALC		CEBPA
	All (n = 104)	Normal karyotype (n = 29)	Normal karyotype (n = 49)
(a)			
FAB			
M0	4	2	4
M1	18	2	6
M2	36	9	12
M4	18	8	12
M5	19	7	12
M7	7	1	3
UN	2	0	0
Age	4 days to 15 years	3 months to 15 years	3 months to 15 years
Sex			
Male	60	16	27
Female	44	13	22
Risk group			
Low	45	0	0
Intermediate	51	29	49
High	8	0	0
	BAALC		P value
	Analyzed patients (n = 29)	Non-analyzed patients (n = 20)	
(b)			
Age			
Median	8 years	8 years	
Range	3 months to 15 years	7 months to 15 years	0.591
WBC at diagnosis ($\times 10^9/L$)			
Median	53.09	15.20	
Range	2.30–343.40	1.20–236.90	0.275
Remission rates	89.7%	100%	0.083
Overall survival	58.6%	65.2%	0.639

UN undifferentiated

dideoxynucleotide termination method with ABI 3100 (Applied Biosystems, Foster City, CA). The primers used are shown in supplementary Table 1. The conditions for the PCR reactions were 94°C for 5 min followed by 35 cycles of 94°C for 30 s, 65°C for 30 s, and 72°C for 30 s, and one cycle of 72°C for 7 min.

2.4 CEBPA mutation analysis

The entire coding region of the gene was amplified using overlapping PCR primer pairs followed by direct sequencing as previously described [28].

2.5 Statistical analysis

Survival distributions were estimated using the Kaplan-Meier method and the differences were compared using the

log-rank test. OS and event-free survival (EFS) were defined as the time from diagnosis to death from any cause or the last follow-up and the time from diagnosis to event (relapse or death from any cause), respectively.

3 Results

3.1 BAALC expression levels

High BAALC expression was associated with M0, M1, and M2 FAB subtypes, while M4 and M5 FAB subtypes correlated with low BAALC expression (Fig. 1). Healthy volunteers (I) have remarkably small range of BAALC expression levels compared to AML patients (II, III), as the previous study was reported [15]. We did not observe significant differences between normal karyotype patients

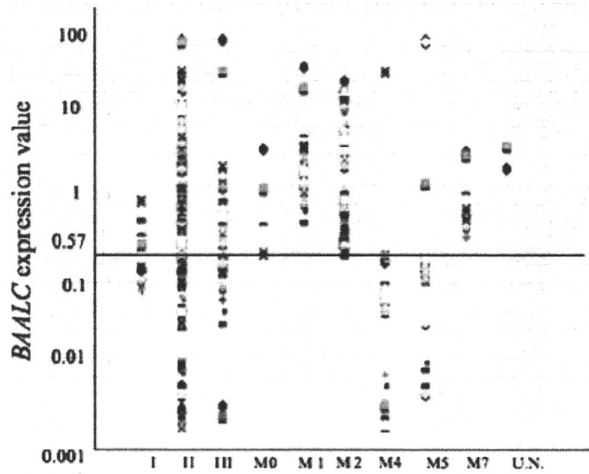


Fig. 1 *BAALC* expression levels in pediatric AML with normal karyotype and FAB subtype patients. The dot plot indicates the individual *BAALC* expression levels of healthy volunteers (I, $n = 9$), all AML patients (II, $n = 104$), AML patients with normal karyotype (III, $n = 29$), and the M0 ($n = 4$), M1 ($n = 18$), M2 ($n = 36$), M4 ($n = 18$), M5 ($n = 19$), M7 ($n = 7$), and undifferentiated (U.N., $n = 2$) FAB subtype patients

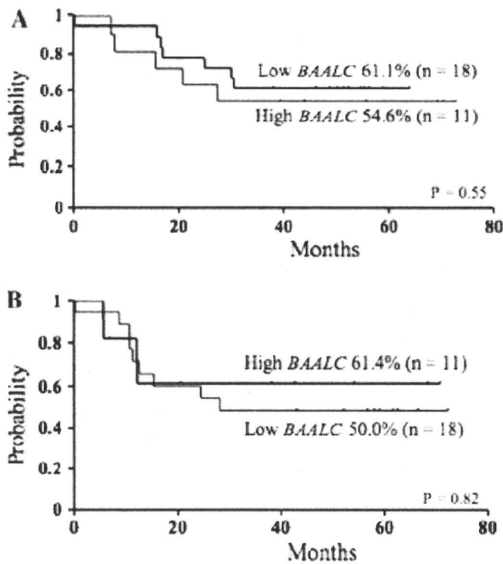


Fig. 2 Kaplan–Meier analysis of the overall survival (OS) and event-free survival (EFS) of pediatric AML patients with normal karyotype who express *BAALC* at high and low levels. The OS (a) and EFS (b) of the high and low *BAALC*-expressing pediatric AML with normal karyotype patients did not differ significantly

with high ($n = 11$) and low ($n = 18$) *BAALC* expression with regard to their OS (54.6 vs. 61.1%, $P = 0.55$, Fig. 2a) or EFS (61.4 vs. 50.0%, $P = 0.82$, Fig. 2b).

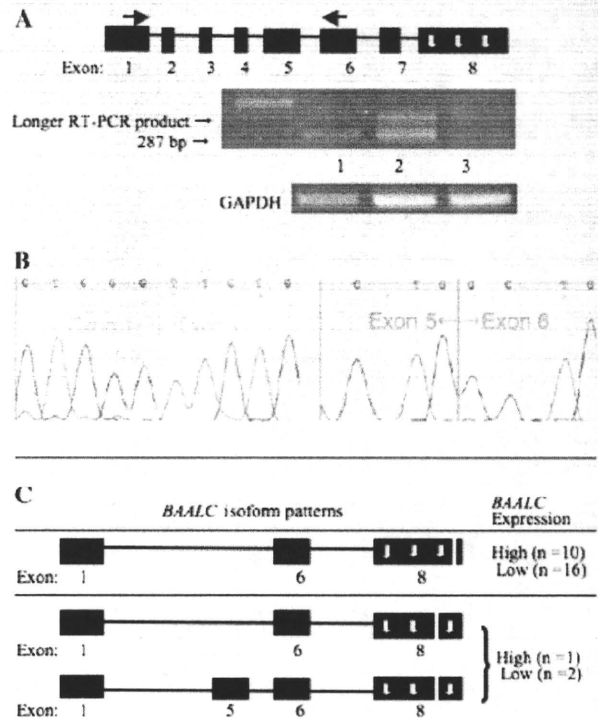


Fig. 3 a Schematic depiction of the *BAALC* gene, which consists of eight exons (indicated by black boxes). The three polyadenylation signals in the 3' untranslated region (UTR) of exon 8 that lead to three differently sized transcripts are indicated by down arrows. Comparative RT-PCR using primers in exons 1 and 6 revealed that three samples had a longer RT-PCR product in addition to the expected 287 bp product. b A partial sequence trace of exons 1, 5, and 6 in the longer RT-PCR product. c Schematic depiction of the relationship between *BAALC* isoform patterns and expression levels. Three (10.3%) of the 29 cases had the 1-5-6-8 isoform

3.2 *BAALC* isoform pattern and its relationship to *BAALC* expression levels

The *BAALC* gene consists of eight exons and its transcription followed by alternative splicing yields several different transcripts. Five stable isoforms have been detected in leukemic blasts, namely 1-8, 1-6-8, 1-5-6-8, 1-4-5-6-8, and 1-5-6-7-8 [5]. To investigate the prognostic relevance of *BAALC* isoform patterns for pediatric AML patients, we subjected 29 pediatric AML patients with normal karyotype to RT-PCR and direct sequencing. All samples had the predicted product, which consisted of exon 1, 6, and 8 sequences (1-6-8). However, three samples (10.3%) also had a longer RT-PCR product that contained in addition the exon 5 sequence (1-5-6-8) (Fig. 3a, b). How these isoform patterns relate to the *BAALC* expression levels of the 29 patients is depicted schematically in Fig. 3c. One of three patients with the

Table 2 Characteristics of patients with the 1-5-6-8 BAALC isoform

Case no.	BAALC expression value	Relapse	Clinical outcome	FAB classification	Age (years)	Sex
1	High (2.43)	+	Dead	M4	13	Female
2	Low (0.39)	-	Dead	M5a	6	Male
3	Low (0.21)	+	Dead	M4	15	Male

Table 3 CEBPA mutations

FAB subtype	All (n = 49)	CEBPA mutations
M0	4	0
M1	6	2 c. 1074_1075insAGA c. 1092_1093insCAC
M2	12	2 c. 214_224delCCCCGCACGCG c. 212_213insC and c. 720_726insCGCACC
M4	12	0
M5	12	0
M7	3	0
Total	49	4

Sequence numbering is according to GenBank accession number U34070

1-5-6-8 isoform had high BAALC expression. Of the 26 patients with the 1-6-8 isoform only, 10 and 16 showed high and low BAALC expression, respectively. The three patients with the 1-5-6-8 isoform had a poor prognosis (Table 2).

3.3 CEBPA mutation

CEBPA mutations were detected in four of the 49 AML with normal karyotype patients (8.2%). Two of these belonged to the M1 subset, and the remaining two belonged to the M2 subset. N-terminal frameshift mutations and in-frame insertions in the basic-leucine zipper (bZIP) domain were detected (Table 3). Novel mutations were identified, namely, c. 212_213insC, c. 214_224delCCCCGCACGCG, c. 720_726insCGCACC, c. 1074_1075insAGA, and c. 1092_1093insCAC. One patient had biallelic mutations in both the N-terminal part and the bZIP domain of CEBPA.

4 Discussion

High BAALC expression was associated with the M2 subset and the more immature M0/M1 FAB subtypes, while the monocytic-differentiated M4 and M5 FAB subtypes correlated with low BAALC expression (Fig. 1). This relationship between BAALC expression and FAB subtypes is

generally consistent with previously reported observations of adult AML cases [7], although the high BAALC expression in the M2 subtype cases was only observed in the pediatric AML patients. BAALC expression level was not associated with WBC counts at diagnosis [all AML patients ($n = 104$), $P = 0.91$; AML with normal karyotype ($n = 29$), $P = 0.97$]. The BAALC gene is normally expressed by neuroectoderm-derived tissues and CD34-positive hematopoietic progenitor cells and has been implicated in AML and chronic myelogenous leukemia in blast crisis [5]. Recently, quantification of BAALC gene expression made it possible to assess MRD in patients with CD34-positive acute leukemia [29]. Little is known about the functions of the BAALC gene, but it has been reported to mediate the anabolic action of PTH (parathyroid hormone) on bone cells [30]. It also serves as a marker of the mesodermal lineage (especially muscle) [31] and synaptogenesis [32], and a study on hematopoietic progenitor cells has shown that BAALC downregulation occurs upon cell differentiation [33]. Thus, while the functions of the BAALC gene remain unclear, our observations are consistent with the notion that it may be associated with monocytic cell differentiation.

We did not observe significant differences between normal karyotype patients with high ($n = 11$) and low ($n = 18$) BAALC expression with regard to their OS (54.6 vs. 61.1%, $P = 0.55$, Fig. 2a) or EFS (61.4 vs. 50.0%, $P = 0.82$, Fig. 2b). These results are not consistent with those of a previous study that examined the BAALC expression of adult normal karyotype AML patients [7]. In that study, high BAALC expression was significantly associated with a poor OS and a higher cumulative incidence of relapse. The discrepancy between this study and ours could reflect the fact that in the other study, the BAALC expression values of the patient group were divided at the median value of twelve healthy volunteers, which served as the cutoff [15]. We compared the results according to two different cutoff levels. AML samples were dichotomized at the median value (0.15) of nine healthy volunteers, but we also observed no significant differences of two expression groups (date not shown). To resolve this apparent discrepancy, a larger number of pediatric AML patients will need to be studied.

The BAALC gene consists of eight exons and its transcription followed by alternative splicing yields several

different transcripts in leukemic blasts, namely, 1-8, 1-6-8, 1-5-6-8, 1-4-5-6-8, and 1-5-6-7-8 [5]. In our study, all samples of 29 pediatric AML patients with normal karyotype had the *BAALC* isoform that consisted of exon 1, 6, and 8 sequences (1-6-8). However, three samples (10.3%) also had the *BAALC* isoform that contained in addition the exon 5 sequence (1-5-6-8) (Fig. 3a, b). One of the three patients with the 1-5-6-8 isoform also had high *BAALC* expression. With regard to prognosis, all three patients with the 1-5-6-8 *BAALC* isoform have died (Table 2). Two relapsed after complete remission and the third died after intracranial hemorrhage during induction therapy. Of the 29 normal karyotype patients who were subjected to *BAALC* isoform and expression analyses, *FLT3*-ITD were found in eight (27.6%), *FLT3*-D835Mt in two (6.9%), *NRAS* mutations in two (6.9%), and *KRAS* mutations in four (13.8%), but no *NPM1* gene mutations were found [4]. There are no differences of *FLT3*-ITD, *MLL*-PTD and *CEBPA* in high and low *BAALC* expression group (data not shown). Of the three 1-5-6-8 *BAALC* isoform-bearing patients, one had the *FLT3*-ITD mutation and another patient had a *RAS* mutation. A previous study did not detect a difference in outcome between cytogenetically normal adult AML patients with and without *NRAS* mutations [34]. Thus, it seems that the possession of the 1-5-6-8 *BAALC* isoform by pediatric AML patients with normal karyotype may be associated with a candidate for some adverse prognostic factor. Studies with greater patient numbers should be performed to confirm this. Recent reports have suggested that the isoform patterns of other genes (i.e., *AML1-ETO9a* [21], *WT1* [22], *PML/RARa* [23], *Ikaros* [24], and *FHIT* [25]) are of prognostic significance, which supports the significance of investigating the *BAALC* isoform patterns.

CEBPA mutations were detected in four of the 49 AML patients with normal karyotype (8.2%). Two of these belonged to the M1 subset and the remaining two belonged to the M2 subset. One patient had biallelic mutations in both the N-terminal part and bZIP domain of *CEBPA*. To date, two categories of *CEBPA* mutations have been reported: out-of-frame ins/del mutations that often occur in the N-terminal region, and in-frame ins/del mutations that often occur in the C-terminal region [12, 13]. The mutations in both the N-terminal part and bZIP domain have been described in adult AML, but the reported frequencies vary considerably, ranging between 11 and 47% [35]. In a study of pediatric AML patients, of whom six had a normal karyotype, four of the six (67%) had one or more *CEBPA* mutations [36], but the clinical outcomes associated with these mutations are unclear. Notably, in the absence of poor prognostic factors, adult patients with *CEBPA* mutations have been shown to have favorable clinical outcomes [33, 37]. In our study, none of the patients exhibiting a

CEBPA mutation also had the *FLT3*-ITD mutation and all maintained complete remission for at least 5 years. The statistical significance was not indicated for insufficient sample numbers in AML 99 protocol between normal karyotype patients with *CEBPA* mutation (+) ($n = 4$) and mutation (-) ($n = 45$) with regard to their OS (100 vs. 55.4%, $P = 0.14$) or EFS (100 vs. 48.9%, $P = 0.09$) (supplementary Fig. 1). But differing from previous report about pediatric AML patients with *CEBPA* mutations, the presentation of clinical information about them may be evaluated. Thus, in the absence of other adverse factors, *CEBPA* mutations may also be suspected to favorable prognostic factors for pediatric AML with normal karyotype.

In summary, we report here for the first time that the presence of the 1-5-6-8 *BAALC* isoform may be associated with a poor prognosis for pediatric AML patients with normal karyotype. In contrast, *CEBPA* mutations are suspected to a good prognosis.

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ORIGINAL ARTICLE

AID-induced T-lymphoma or B-leukemia/lymphoma in a mouse BMT model

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Activation-induced cytidine deaminase (AID) diversifies immunoglobulin through somatic hypermutation (SHM) and class-switch recombination (CSR). AID-transgenic mice develop T-lymphoma, indicating that constitutive expression of AID leads to tumorigenesis. Here, we transplanted mouse bone marrow cells transduced with AID. Twenty-four of the 32 recipient mice developed T-lymphoma 2–4 months after the transplantation. Surprisingly, unlike AID-transgenic mice, seven recipients developed B-leukemia/lymphoma with longer latencies. None of the mice suffered from myeloid leukemia. When we used nude mice as recipients, they developed only B-leukemia/lymphoma, presumably due to lack of thymus. Analysis of AID mutants suggested that an intact form with SHM activity is required for maximum ability of AID to induce lymphoma. Except for a K-ras active mutant in one case, specific mutations could not be identified in T-lymphoma; however, Notch1 was constitutively activated in most cases. Importantly, truncations of Ebf1 or Pax5 were observed in B-leukemia/lymphoma. In conclusion, this is the first report on the potential of AID overexpression to promote B-cell lymphomagenesis in a mouse model. Aberrant expression of AID in bone marrow cells induced leukemia/lymphoma in a cell-lineage-dependent manner, mainly through its function as a mutator.

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Introduction

Under physiological conditions, activation-induced cytidine deaminase (AID) is expressed in germinal center (GC) B-cells and initiates somatic hypermutation (SHM) and class-switch recombination (CSR) by deaminating a cytosine to create a uracil.^{1,2} Structurally, the N-terminal or C-terminal domain of AID is indispensable for SHM or CSR, respectively.^{3–5} Interestingly, expression of AID is increased in B-lymphoid leukemia or GC-derived B-lymphoma, with frequent hypermutation of proto-oncogenes and reciprocal chromosomal translocation.^{6–9} In fact, recent studies have shown that AID is required for GC-derived lymphomagenesis and c-Myc/IgH chromosomal

translocations.^{10,11} In addition, elevated expression of endogenous AID and aberrant somatic mutations in tumor-related genes have also been observed in cancerous tissues related to inflammation.¹² Analysis of AID-transgenic (Tg) mice has revealed that constitutive expression of AID leads to tumorigenesis; ubiquitous and constitutive expression of AID induced lethal T-lymphoma with no apparent chromosomal translocation, occasionally accompanied by lung, liver, and gastric cancers,^{13,14} and specific expression of AID in double-positive thymocytes also induced T-lymphoma.¹⁵ However, neither AID-Tg mice specifically expressing AID in single-positive thymocytes and mature T-cells nor AID-Tg mice with CD19⁺ B-cell-specific expression of AID developed lymphoma/leukemia.^{15,16} These results suggest that susceptibility to AID-induced tumorigenesis depends on tissue or cell lineage, but the underlying mechanism remains obscure. Importantly, sequencing analysis in AID-Tg mice indicated that AID is an organ-specific mutator of non-Ig genes.¹⁴ To prevent accumulation of unfavorable mutations induced by AID, its activity is tightly regulated by several mechanisms.¹⁷

In this study, we focused on AID-mediated leukemogenesis and created a mouse bone marrow transplantation (BMT) model, using BM cells retrovirally transduced with AID. Notably, recipient mice developed B-leukemia/lymphoma, albeit less frequently as compared with thymic T-lymphoma.

Materials and methods

Retroviral constructs, transfection, and retrovirus production

Murine AID (mAID), mAID mutants (G23S⁴ and Δ189–198⁵), human AID (hAID), and hAID mutants (P20³ and JP8B³) were subcloned into the pMYsIG vector as described in 'Supplementary Materials and methods'. All constructs were verified by DNA sequencing. Expression of wild-type or mutant AID was recognized in 293T cells transiently transfected with each construct. Retroviruses were generated by transient transfection of Plat-E packaging cells with FuGene 6 (Roche Diagnostics, Mannheim, Germany), as described earlier.^{18–20}

Mouse BMT

Mouse BMT was performed as described earlier.²⁰ C57BL/6 CD45.1 or CD45.2 mice were used as donors or recipients,

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respectively. Infected cells (2×10^5) were intravenously injected into recipient mice, which had been administered a sublethal dose of γ -irradiation. Overall survival was estimated using the Kaplan–Meier method and log-rank test. Data are presented as the means \pm s.d. PB smears and cytospin slides were stained with Hemacolor (Merck, Darmstadt, Germany). Tissues were fixed in 4% w/v buffered formalin, embedded in paraffin, and then sliced and stained with standard hematoxylin and eosin. All animal studies were approved by the Animal Care Committee of the Institute of Medical Science, The University of Tokyo.

Flow cytometric analysis

Cells were stained with phycoerythrin-conjugated monoclonal antibodies (eBiosciences, San Diego, CA, USA), as described.²⁰ Flow cytometric analysis was performed with a FACSCalibur equipped with CellQuest software (BD Biosciences, San Jose, CA, USA) and Flowjo software (Tree Star, San Carlos, CA, USA).

Western blotting

Equal numbers of cells were denatured in pre-heated sample buffer. Western blotting was performed as described.²⁰ Anti-AID mAb raised against the N-terminus of mAID, and anti- α -tubulin Ab (T6074, Sigma-Aldrich, St Louis, MO, USA) were used.

Southern blotting

Southern blotting was performed as described.²⁰ Briefly, 10 μ g of genomic DNA digested with *EcoRI* was electrophoresed on a 0.7% agarose gel. Proviruses were probed with a ³²P-labeled GFP probe.

Reverse transcription and real-time PCR

Real-time PCR was performed using LightCycler (Roche Diagnostics), as described.²⁰ cDNA was amplified using a SYBR Premix EX Taq (Takara, Shiga, Japan). Primer pairs and conditions used for real-time PCR are listed in 'Supplementary Materials and methods'. Informed consent for the use of the human leukemia/lymphoma cells was obtained from patients in accordance with the Declaration of Helsinki, and study approval was obtained from the ethics committee of the Institute of Medical Science, the University of Tokyo (Approval Number 20-10-0620).

Sequencing of target genes

Genomic PCR was performed by using AmpliTaq Gold (Roche Molecular Systems Inc., Branchburg, NJ, USA) and the primer pairs described in 'Supplementary Materials and methods'. The PCR products were gel-purified and directly sequenced. If necessary, PCR products were subcloned and sequenced.

Treatment of AID-induced T-lymphoma cell lines with γ -secretase inhibitor

Cell lines of AID-induced T-lymphoma were established by culturing tumor cells in RPMI1640 with 20% FBS. Human T-acute lymphoblastic leukemia (T-ALL) cell lines Jurkat and HPB-ALL were cultured in RPMI1640 with 10% FBS. Various concentrations of γ -secretase inhibitor (DAPT, 565770, Calbiochem, Darmstadt, Germany) or vehicle (DMSO, Wako, Osaka, Japan) were added to 5×10^3 cells for 72 h. Cell growth was estimated by using CellTiter-Glo (Promega, Madison, WI, USA). Cleavage of intracellular domains of Notch1 (ICN) was detected by anti-ICN antibody (2421, Cell Signaling, Beverly, MA, USA) in western blotting.

Results

Transduction with AID into BM cells causes B-leukemia/lymphoma as well as thymic T-lymphoma in a mouse BMT model

First, we asked whether transduction of wild-type mAID (WT) into BM cells caused leukemia/lymphoma other than T-lymphoma in a BMT model ($n = 32$) (Figure 1). We confirmed efficient retrovirus infection: 50–70% and 76–84% of the BM cells transduced with WT or mock, respectively, were GFP-positive before transplantation. The recipient mice of WT-transduced cells developed thymic T-lymphoma more frequently than did AID-Tg mice¹³ (75 vs 35%). The disease was associated with hepatosplenomegaly, killing the mice in 2–4 months after transplantation (Figure 1a; Supplementary Figure 1; Supplementary Table 1). Histological and flow cytometric analyses showed that the thymus was filled with the T-lymphoblastic cells CD3^{dull}, CD4⁺, CD8⁺, and Thy1.2⁺, indicating the differentiation block at early stages of T-cell development in thymus (Figures 1b–d). The complete blood counts of these mice were usually normal, except for the increase of T-lymphoblastic cells or mature granulocytes in some cases (Supplementary Figure 1; Supplementary Table 1, and data not shown). Notably, 7 of 32 transplanted mice (22%) developed B-leukemia/lymphoma with pancytopenia and splenomegaly, and died with significantly longer latencies as compared with those of T-lymphoma (Figures 1a–d; Supplementary Figure 1; Supplementary Table 1). Spleen and BM were filled with B-lymphoblastic cells in most cases, while affected lymph nodes differed in size among cases. B-lymphoma cells were B220⁺, CD19⁺, CD43^{dull/+}, c-kit^{dull/+}, and IgM[–] (Figure 1d). Neither Bcl-6 induction^{21,22} nor abnormal Ikaros deletions²³ were detected in these cells (data not shown). There was a wide range of GFP-positive ratios among AID-induced T- or B-lymphoma cells, irrespective of disease severity (Supplementary Figure 2 and data not shown). Sequencing analysis of GFP gene integrated into the genome revealed multiple mutations, resulting in reduced green fluorescence. Interestingly, one recipient developed both T- and B-lymphoma. None of the mice suffered from myeloid leukemia. The lymphoma cells, irrespective of T- or B-lineage, were serially transplantable and developed T- or B-leukemia with shorter latencies, respectively (data not shown). The recipient mice of mock-transduced cells did not develop any leukemia/lymphoma (Figure 1a). Collectively, transduction with AID into BM cells led to thymic T-lymphoma or B-leukemia/lymphoma, but not myeloid leukemia in a mouse BMT model. Similar results were obtained when Balb/c mice were used (data not shown).

We next asked whether the integration of retroviruses influenced the different phenotypes (T- or B-lymphoma) in AID-induced leukemogenesis. Southern blot analysis demonstrated a single or several proviral integrations in T-lymphoma samples (Figure 1e, left panel). On the other hand, we found that a single integration was predominant in B-lymphoma samples (Figure 1e, right panel). In one recipient harboring both T- and B-lymphoma, a distinct integration was confirmed in each sample (Figure 1e, right panel, lanes *B and *T), indicating the double cancer in this case. We identified a single or several retroviral integration sites (RIS) from lymphoma samples by inverse PCR method (Supplementary Table 2). However, we could not find any specific relationship between RIS and different phenotypes of lymphomas. In addition, a common integration site was identified only in one recipient (ID69) (Supplementary Table 2). These results suggested that AID-induced lymphomagenesis mainly depended on its intrinsic function, but not RIS.

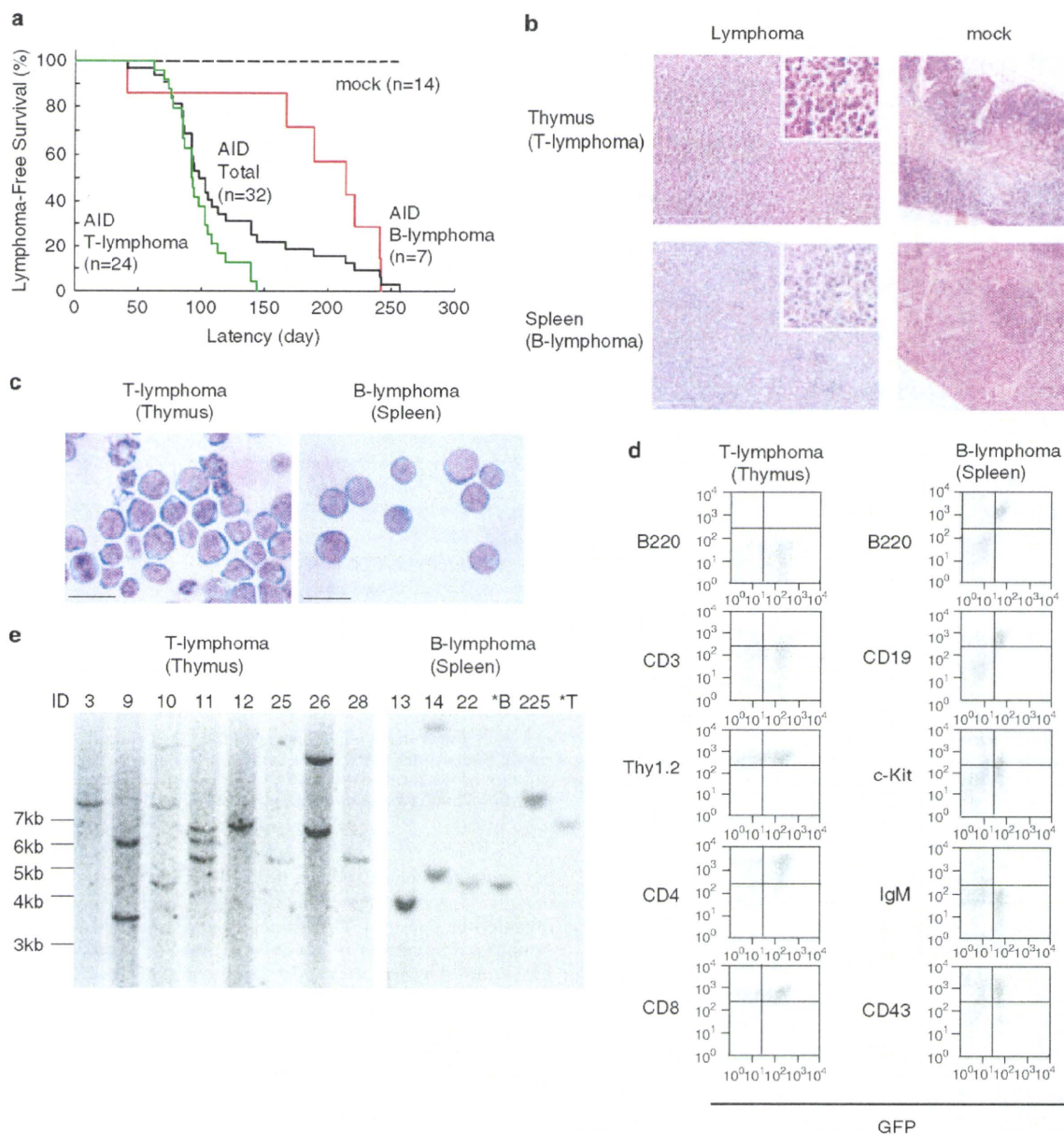


Figure 1 AID-induced T-lymphoma and B-leukemia/lymphoma in a mouse BMT model. **(a)** Kaplan–Meier plot of survival (black lines). Survival curve for AID recipient mice that developed T-lymphoma or B-lymphoma is indicated by green or red line, respectively. **(b)** Hematoxylin and eosin staining of AID-induced T-lymphoma (thymus, upper/left panel), control thymus (upper/right panel), AID-induced B-lymphoma (spleen, lower/left panel), or control spleen (lower/right panel). Magnifications $\times 200$ (overview) and $\times 400$ (insert). Scale bars: $200\ \mu\text{m}$. **(c)** Cytospin preparations of T-lymphoma (left panel) and B-lymphoma (right panel). Magnification $\times 1000$. Scale bars: $20\ \mu\text{m}$. **(d)** Flow cytometric analysis of lymphoma cells. **(e)** Southern blotting of T-lymphoma (left panel) and B-lymphoma (right panel). *B or *T in the right panel indicates B- or T-lymphoma, respectively, which was found in the same mouse (ID 29).

We then asked whether other hematopoietic malignancies, including myeloid leukemia, are induced in the absence of thymus. We used athymic nude mice as recipients of a BMT model, finding that 5 of 8 nude mice transplanted with AID-transduced BM cells developed B-leukemia/lymphoma, but no other hematopoietic diseases were observed (Supplementary Figure 3). Thus, B-leukemia/lymphoma was predominantly induced in the absence of thymus, but transduction of AID into BM cells did not induce myeloid leukemia. Altogether, these results suggested that the oncogenic transformation of

AID-transduced BM cells requires *in vivo* environment suitable for differentiation and proliferation of immature lymphoid cells.

Impaired lymphomagenesis by SHM-defective AID mutants

To examine how SHM and/or CSR activities of AID contribute to lymphomagenesis, we constructed a BMT model using mutant forms of AID: missense mutant G23S with decreased SHM activity⁴; and truncation mutant $\Delta 189\text{--}198$ defective for CSR

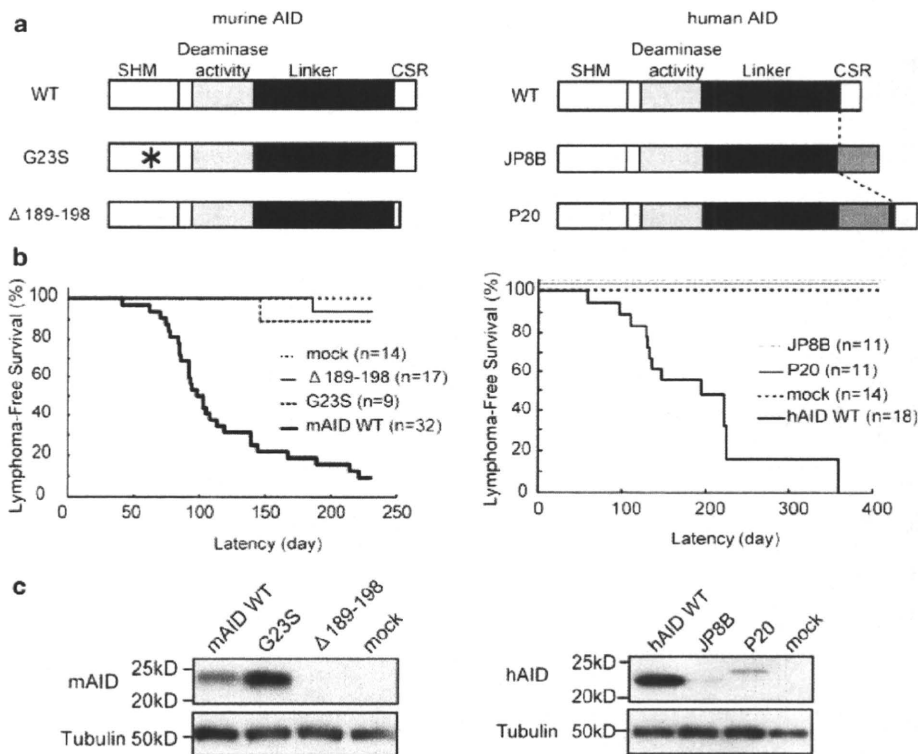


Figure 2 A BMT model using mutant forms of AID. (a) Diagrams of WT or mutant forms of AID. Left panel, mAID. G23S harbors a missense mutation (asterisk). Δ189–198 mutant lacks C-terminal 10 residues. Right panel, human AID (hAID). JP8B has a frameshift replacement of the C-terminus with 26 residues. P20 has an insertion of 34 residues. (b) Kaplan–Meier curves for the survival. Left panel, mAID. Right panel, hAID. (c) Expression of WT or mutant forms of AID in GFP-sorted BM cells by western blotting. Left panel, mAID. Right panel, hAID.

activity (Figure 2a, left panel).⁵ Interestingly, recipients of these mutants showed a significantly decreased incidence of lymphoma as compared with those of WT (G23S $n = 1/9$, 11%; Δ189–198 $n = 1/17$, 6%) (Figure 2b, left panel). Each mutant developed only T-lymphoma. The expression level of G23S was comparable with that of WT in GFP-sorted BM cells (Figure 2c, left panel). On the other hand, the expression of Δ189–198 mutant in GFP-sorted BM cells was hardly detectable (Figure 2c, left panel). Similar results were obtained when hAID and its C-terminal mutants JP8B and P20 were transduced into BM cells (Figures 2a–c, right panels). Therefore, it was difficult to evaluate the effect of the CSR activity of AID on lymphomagenesis. Altogether, these results suggest that the intact form of AID with SHM activity is required to maximally exert its oncogenic activity.

An active mutation of *K-ras* in one case as well as multiple point mutations of *Notch1*, *PTEN*, and *c-Myc* was observed in AID-induced T-lymphoma

As point mutations are introduced into non-Ig genes such as *TCR* or *c-Myc* gene in T-lymphoma cells of AID-Tg mice,¹³ we next asked which mutations caused by AID were responsible for T-lymphomagenesis in a mouse BMT model. On the basis of the fact that AID-mediated mutations occur after about 100 nucleotides downstream of the promoter and extend to 1–2 kb,²⁴ we performed genomic sequencing of several possible target genes in the region encompassing 0.5–1 kb from the transcription start site, in addition to the mutational hotspots implicated in tumorigenesis (Supplementary Table 3 and data

not shown). Similar to the results on AID-Tg mice,¹³ multiple mutations were observed in the *c-Myc* gene. An activating mutation of *K-ras* (G13D) was detected in 1 out of 14 analyzed samples, although no mutation was found in *N-ras*, *H-ras*, or *p53* tumor suppressor gene. As for genes involved in human T-ALL, *Notch1*²⁵ and *Pten*, but not *Fbxw7*, had multiple mutations. Intriguingly, we found several mutations in exon 27 (HD domain) and exon 34 (PEST domain), mutational hotspots of *Notch1* far downstream from the transcription start site. Consistent with the earlier report,¹³ detected mutations were predominantly transition mutations and strongly biased to GC bases. Collectively, multiple mutations in T-lymphoma were introduced by AID, probably in association with lymphomagenesis.

Most AID-induced T-lymphoma cells exhibited constitutive activation of *Notch1* and were susceptible to a γ -secretase inhibitor

The finding that *Notch1* mutations were observed in AID-induced T-lymphoma led us to address the question of whether these mutations caused the activation of *Notch1*, leading to T-lymphomagenesis. Interestingly, western blot analysis demonstrated that cleavage of intracellular *Notch1* (ICN) was evident in most T-lymphoma samples tested (Figure 3a), indicating that constitutive activation of *Notch1* occurred in most T-lymphoma cells. In support of this finding, real-time PCR analysis showed increased expression of *Hes1* and *c-Myc* and decreased expression of *PTEN* in most samples (Figure 3b). Expression levels of *Notch1* did not significantly vary among these samples, except for a few cases. Interestingly, when we treated two cell

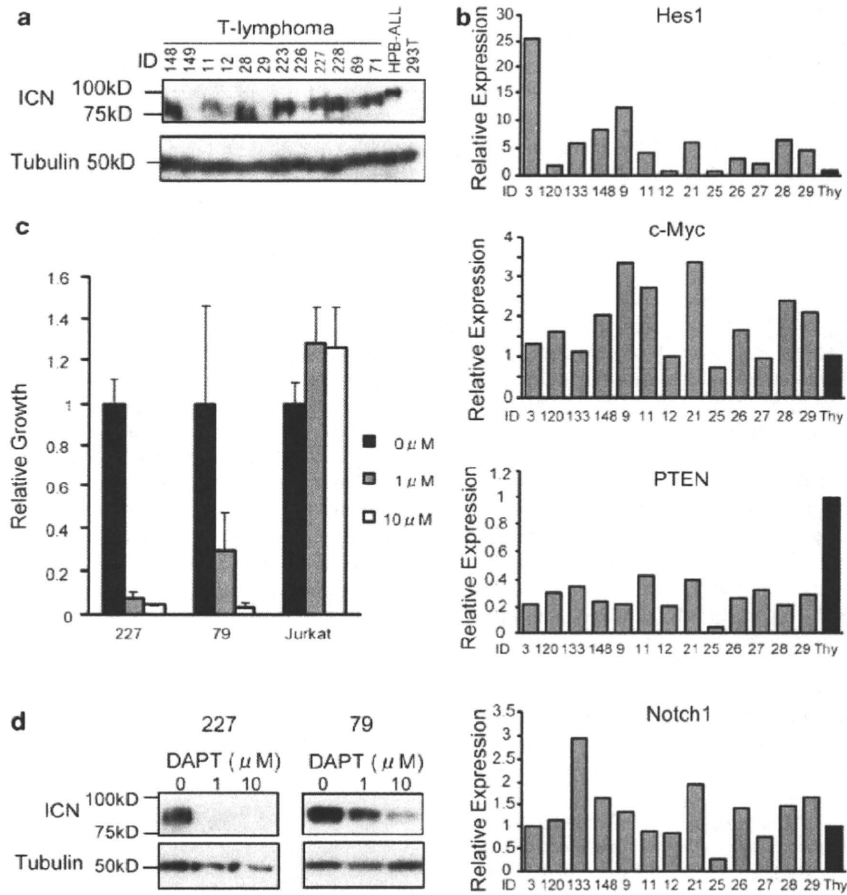


Figure 3 Notch1 is constitutively activated in AID-induced T-lymphoma. (a) Cleavage of intracellular Notch1 (ICN) in AID-induced T-lymphoma confirmed by western blotting. (b) Relative expression levels of Hes1, c-Myc, PTEN, or Notch1 in T-lymphoma samples and normal thymocytes (Thy) measured by real-time PCR. (c) The relative growth estimated by colorimetric assay. Two cell lines 227 and 79 established from AID-induced T-lymphoma, or Jurkat cells were treated with indicated concentrations of DAPT for 72 h. The means \pm s.d. of triplicate measurements are shown. Data are representative of three independent experiments. (d) Cleavage of ICN examined by western blotting. Cells were treated as described in (c). Data are representative of three independent experiments.

lines established from AID-induced T-lymphoma with a γ -secretase inhibitor, DAPT, the growth as well as the cleavage of ICN of these cell lines was dose dependently inhibited by DAPT (Figures 3c and d). Although Notch1 mutations did not account for constitutive activation of Notch1 in all cases, these results indicated that T-lymphomagenesis in most cases was induced by Notch1 activation, probably in conjunction with AID-introduced mutations of the related genes.

Truncation mutations of Ebf1 and Pax5 were found in AID-induced B-lymphoma

To investigate the relevant mechanism of AID-induced B-lymphomagenesis, we performed genomic sequencing of the *Ebf1* and *Pax5* genes of AID-induced B-leukemia/lymphoma of seven recipient mice (Supplementary Table 4 and data not shown). Intriguingly, sequencing of *Ebf1* revealed a 23-base deletion and a 4-base insertion in exon 2, which together resulted in truncation in one sample. In addition, multiple point mutations were found in three samples. As for *Pax5*, we found a truncation caused by a couple of 2-base deletions and a point mutation in exon 1a in one case, and point mutations in two

cases. On the basis of the recent study,²⁶ the aberrations of *Pax5* and *Ebf1* genes described above might have some function in B-lymphomagenesis in the recipient mice. On the other hand, we did not find c-Myc/IgH chromosomal translocations in B-lymphoma samples analyzed by PCR combined with Southern blotting (data not shown).²⁷ Collectively, these results suggested that B-lymphomagenesis in a BMT model was, at least in part, due to AID-introduced mutations/deletions of the genes regulating B-cell differentiation.

Discussion

In this study, we constructed a mouse BMT model to test whether AID is implicated in the pathogenesis of leukemia/lymphoma including myeloid leukemia. Our results revealed that aberrant expression of AID in BM cells led to T-lymphoma and less frequently B-leukemia/lymphoma, but not myeloid leukemia (Figure 1). The recipient mice developed 'thymic' T-lymphoma, but not 'peripheral type' T-lymphoma observed in 65% of AID-Tg mice.¹³ It was noteworthy that B-leukemia/lymphoma was observed in our BMT model, but not in AID-Tg

mice,^{13,15,28} because AID is implicated in the pathogenesis of human B-cell malignancy.^{6–9} Interestingly, nude mice developed only B-leukemia when used as recipients, probably due to lack of thymus (Supplementary Figure 3). The differences between AID-Tg mice and the BMT model were probably caused by the expression levels in the different types of cells, although we could not completely exclude the possibility that RIS affected the phenotypes. We have two hypotheses for AID-induced lymphomagenesis in the BMT model: (1) AID-transduced stem/progenitor cells may move to thymus, where they would be susceptible to AID-mediated mutations and rapidly acquire oncogenic properties at an early stage of T-lineage development; (2) AID could introduce some mutations and transform cells at stem/progenitor levels, which would commit to T-lineage in thymus. Otherwise, AID-transduced stem/progenitor cells would be transformed during the early B-lineage development in BM and spleen, which result in B-leukemia/lymphoma. Both hypotheses would explain why no lymphoma was observed in AID-Tg mice with its expression restricted to mature lymphocytes.^{15,28} However, it is not clear why AID overexpression did not induce myeloid leukemia. We found scarcely detectable levels of AID in human myeloid malignancy (Supplementary Figure 4). Recent study showed that chronic myeloid leukemia (CML) does not express AID unless CML cells are forced into B-lineage conversion by Pax5.⁹ It is possible that the protective machinery efficiently works against AID functioning as a mutator in myeloid cells, but not in lymphoid cells. Indeed, we confirmed that AID overexpression did not affect myeloid cell development in BM one month after transplantation (data not shown). In addition, sorted myeloid progenitors (common myeloid progenitors and granulocyte-macrophage progenitors) transduced with AID did not cause myeloid leukemia in our BMT model (data not shown). According to the recent studies, the balance between error-prone repair (EPR) and high-fidelity repair (HFR) determines the outcome of AID-generated uracils, that is, accumulation or elimination of mutations.²⁹ It is tempting to speculate that the frequencies of uracils generated by AID are not different between the myeloid- and lymphoid-lineage, but that HFR overcomes EPR in the myeloid-lineage. In any case, solving the riddle of how AID induces leukemia/lymphoma in a cell-lineage-dependent manner will help understand AID functions.

It is generally accepted that the N-terminal or C-terminal domain of AID is important for SHM or CSR activity, respectively,^{3–5} but neither activity is regulated in an exclusively distinct way. Our results showed that AID mutants with decreased SHM or CSR activity have impaired oncogenic activity (Figure 2). It must be noted that expression levels of mouse mutant $\Delta 189–198$ as well as human mutants JP8B and P20 in GFP-sorted BM cells were lower than those of WTs, possibly due to protein instability of these C-terminal mutants.³⁰ Therefore, we cannot answer the question whether CSR activity is indispensable for oncogenicity of AID, but we assume that the maximum ability of AID to cause lymphoma requires an intact form of AID with SHM activity.

We clarified to some extent the mechanism by which AID-introduced mutations of tumor-related genes led to lymphomagenesis (Supplementary Table 3). As reported on AID-Tg mice,¹³ multiple mutations of the *c-Myc* gene were found in T-lymphoma samples. The *Notch1* gene was mutated in exon 1 and mutational hotspots (HD and PEST domains) in four cases. Intriguingly, *Notch1* was constitutively activated in T-lymphoma more frequently than expected from the mutation frequency of *Notch1* (Figure 3). We speculate that AID-mediated mutations of other genes caused secondary *Notch1* activation, resulting in

T-lymphoma. However, one such candidate, *Fbxw7*,³¹ did not have significant mutations. Further examination will identify unknown mutations responsible for human T-lymphoma/leukemia.

Sequencing analysis of AID-induced B-leukemia/lymphoma samples revealed frequent mutations in the *Ebf1* and *Pax5* genes (Supplementary Table 4 and data not shown). Importantly, we found truncation mutations in *Ebf1* and *Pax5* that probably have oncogenic properties; mono-allelic deletions of these genes were observed in human B-ALL.²⁶ As for chromosomal instability, *c-Myc/IgH* translocation was not detected (data not shown). The presence of TCR translocations was unlikely, as no chromosomal translocation was detected in AID-induced T-lymphoma observed in AID-Tg mice.^{13,15} The present results suggest that, like thymic T-lymphoma, B-leukemia/lymphoma was induced by AID-introduced mutations/deletions of the key molecules regulating B-cell differentiation and/or proliferation.

In conclusion, this is the first report on the potential of AID overexpression to promote B-cell lymphomagenesis. Aberrant expression of AID in bone marrow cells induced leukemia/lymphoma in a cell-lineage-dependent manner, probably because an intact form of AID efficiently introduced mutations into the responsible genes, thereby disrupting normal development of lymphoid progenitors.

Conflict of interest

TK serves as a consultant for R&D Systems.

Acknowledgements

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Supplementary Information accompanies the paper on the Leukemia website (<http://www.nature.com/leu>)

EDUCATIONAL REPORT

Long-term results of Tokyo Children's Cancer Study Group trials for childhood acute lymphoblastic leukemia, 1984–1999

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We report the long-term results of Tokyo Children's Cancer Study Group's studies L84-11, L89-12, L92-13, and L95-14 for 1846 children with acute lymphoblastic leukemia, which were conducted between 1984 and 1999. The value of event-free survival (EFS) ± s.e. was 67.2 ± 2.2% at 10 years in L84-11, which was not improved in the following two studies, and eventually improved to 75.0 ± 1.8% at 10 years in L95-14 study. The lower EFS of the L89-12 reflected a high rate of induction failure because of infection and delayed remission in very high-risk patients. The L92-13 study was characterized by short maintenance therapy; it resulted in poor EFS, particularly in the standard-risk (SR) group and boys. Females did significantly better than males in EFS in the early three studies. The gender difference was not significant in overall survival, partly because >60% of the males survived after the testicular relapse. Randomized studies in the former three protocols revealed that intermediate- or high-dose methotrexate therapy significantly reduced the testicular relapse rate. In the L95-14 study, gender difference disappeared in EFS. Contrary to the results of larger-scale studies, the randomized control study in the L95-14 reconfirmed with updated data that dexamethasone 8 mg/m² had no advantage over prednisolone 60 mg/m² in the SR and intermediate-risk groups. Prophylactic cranial irradiation was assigned to 100, 80, 44, and 44% of the patients in the studies, respectively. Isolated central nervous system relapse rates decreased to <2% in the last two trials. Secondary brain tumors developed in 12 patients at 8–22 years after cranial irradiation. Improvement of the remission induction rates and the complete omission of irradiation are currently main objectives in our studies.

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Introduction

We present here the long-term results of four studies for childhood acute lymphoblastic leukemia (ALL) of Tokyo Children's Cancer Study Group (TCCSG) conducted between 1984 and 1999.

Treatment protocol for SR and IR of the L84-11 study^{1,2} was based on the early St Jude's total therapy.³ ALL-BFM 81⁴ protocol was modified and introduced to extremely high-risk group regimen for the first time. The protocols of the following three studies L89-12,^{1,5} L92-13,^{1,6} and L95-14,⁷ were designed on the basis of the ALL-BFM framework. All the four protocols contained trials to reduce the number of patients who received irradiation, as had been reported in other studies.^{8,9} The second point of analysis was on a gender difference^{10–12} with respect to long-term event-free survival (EFS) and overall survival (OS). Randomized studies were mostly designed to test whether or not intermediate-dose methotrexate (ID-MTX) and high-dose methotrexate (HD-MTX) could replace the cranial irradiation. It is intended to describe the further long-term outcome of the patients who were treated in L92-13 study, which was characterized by very short maintenance therapy. We published the discordant results on the randomized comparison between dexamethasone and prednisolone in 2005, which was updated in this analysis.⁷

Materials and methods

Total of 1846 newly diagnosed patients with ALL aged 1–15 years entered into the four studies—that is L84-11 ($n=484$),

Table 1 Event-free survival, overall survival, and CNS relapse of TCCSG studies L84-11, L89-12, L92-13, and L95-14

Study	Year	Number of patients	Complete remission rate (corrected) ^a	Event-free survival \pm s.e.%			Overall survival \pm s.e.%			Isolated and any CNS relapse rate \pm s.e.% 10 year
				5 years	10 years	15 years	5 years	10 years	15 year	
L84-11	1984–1989	484	97.3 (98.6)%	71.2 \pm 2.1	67.2 \pm 2.2	66.3 \pm 2.2	80.7 \pm 1.8	74.3 \pm 2.0	73.5 \pm 2.1	4.1 \pm 1.0 5.5 \pm 1.1
L89-12	1989–1992	418	92.8 (95.7)%	67.2 \pm 2.4	64.4 \pm 2.4	62.3 \pm 2.6	77.7 (2.1)	73.5 \pm 2.2	71.9 \pm 2.2	3.7 \pm 1.1 5.4 \pm 1.3
L92-13	1992–1995	347	96.5 (97.7)%	63.7 \pm 2.7	60.1 \pm 2.7	57.7 \pm 2.9	80.4 (2.1)	77.9 \pm 2.2	77.4 \pm 2.4	1.0 \pm 0.6 2.6 \pm 1.0
L95-14	1995–1999	597	95.0 (97.4)%	76.8 \pm 1.8	75.0 \pm 1.8	—	84.9 (1.5)	82.0 \pm 1.6	—	1.7 \pm 0.6 2.8 \pm 0.7

Abbreviations: CNS, central nervous system; s.e., standard error; TCCSG, Tokyo Children's Cancer Study Group.

^aCorrected remission (rate %): patients who achieved delayed remission were included in remission, and censored patients during the induction phase were excluded from the total.

L89-12 ($n=418$), L92-13 ($n=347$), and L95-14 ($N=597$)—as shown in Table 1. Diagnoses were made based on morphology, immunophenotype, and cytogenetics in each institution; the ALL committee evaluated these results for eligibility. Patients aged 1–6 years presented with a leukocyte count $<20 \times 10^9/l$ and B-precursor phenotype were classified into the standard-risk (SR) group in all the studies. Definitions of the intermediate-risk (IR) and high-risk (HR) or extremely high-risk groups varied across the four studies. Nonetheless, HR patients were mostly defined as having one of the following: initial leukocyte count $\geq 100 \times 10^9/l$, age of ≥ 10 years, leukocyte count $\geq 50 \times 10^9/l$; Philadelphia chromosome (Ph) or BCR-ABL fusion gene product positive, 11q23 chromosome translocation or MLL gene rearrangements, and T-ALL with otherwise IR-risk factors. The remainder of the SR and HR patients was assigned to the IR group. Analysis of the outcome was based on the risk classification of the NCI/Rome criteria.¹³

Leukemic-cell karyotype was obtained from 20 to 30% of the patients in the first three studies. The DNA index was measured by flow cytometry.

Infants were excluded from these studies, and their treatment results were already published elsewhere.^{14–16}

Treatment

The precise regimens of L84-11,² L89-12,⁵ L92-13,⁶ and L95-14⁷ studies were available in earlier publications. Table 2 provides a summary of regimens in each study.

L84-11 study (1984–1989). Both the SR and HR groups were randomized at early intensification into two arms—that is S1 and S2, and H1 and H2, respectively. In the S2 and H2 arms, the patients received three courses of ID-MTX (500 mg/m²) with a single dose of leucovorin rescue (12 mg/m²) at 48 h, in conjunction with double-drug intrathecal injections (DIT) before cranial irradiation. In the S1 and H1 arms, 18 Gy of cranial irradiation with five doses of triple-drug intrathecal injections (TIT) were administered without ID-MTX.

The DIT consisted of methotrexate (MTX) 15 mg/m² \leq 15 mg and hydrocortisone 30 mg/m² \leq 30 mg, respectively. The TIT consisted of DIT and cytosine arabinoside (CA) 30 mg/m² \leq 30 mg.

L89-12 study (1989–1992). The regimen was based on the BFM backbone in all three risk groups. There was a week of prophase treatment with prednisolone alone to evaluate initial steroid response, as BFM group described.¹⁷ The main objective was to determine whether cranial irradiation was essential to the

treatment of SR patients or not. To do so, the SR patients were randomly assigned to the SR0 and SR18 arms, and patients in the SR0 arm were given three courses of HD-MTX (3 g/m²) with three DIT without cranial irradiation. The doses of intrathecal injection were reduced from those of the earlier study, changing to age-adjusted calculation. The patients assigned to the SR18 arm received 18 Gy of cranial irradiation and three doses of TIT. The randomization ratio in SR arms changed from 1:1 to 2:1 in the last half period, so that there were 83 patients enrolled in SR0 arm and 64 in SR18 arm. The HR group was treated with a single arm of BFM-style therapy for 2 years, modified with an insertion of HD-MTX (3 g/m², two courses) between the induction (Ia) and early intensification and cranial irradiation (Ib). Four courses of multiple-drug intensifications were given during the first year followed by 1-year maintenance therapy.

L92-13 study (1992–1994). A major objective was to evaluate 1-year therapy in all risk groups. The length of the maintenance therapy was kept to a minimum of 6 months in the SR group and 3 months in each of the IR and HR groups. All three risk regimens had BFM-type structures. This protocol was characterized by the use of intermediate-dose cytosine arabinoside (ID-CA, 500 mg/m²/day for 4 days) and high-dose cytosine arabinoside (HD-CA, 1 or 2 g/m²/day for 4 days) in the early intensification and in the re-intensification phases.

The SR regimen had two courses of HD-MTX (3 g/m²) and two DITs. The early intensification phases were complete before week 28; 24 weeks were left for the continuous therapy. IR group was randomized either to IR18 arm with 18-Gy cranial irradiation, or to IR0 arm with two courses of HD-MTX (3 g/m²/day) without cranial irradiation. All patients of the HR group were given 2 weekly courses of HD-CA (2 g/m², six doses for 3 days) and mitoxantrone (2 days) after remission induction.

L95-14 study (1994–1999). SR and IR groups were randomized into prednisolone arm (PSL) and dexamethasone arm (DEX) not only in the induction, but also in re-induction phase and three courses of late intensification for SR and two courses for IR. During remission induction, prednisolone (60 mg/m²) or dexamethasone (8 mg/m²) was given for 4 weeks and tapered. In the re-induction and intensification courses, prednisolone (40 mg/m²) or dexamethasone (6 mg/m²) were given for 2 weeks in each arm. For patients presenting with leukocyte count $\geq 150 \times 10^9/l$ and aged 7 years or older (assigned to allo-stem-cell transplantation (SCT) group), allogeneic bone marrow transplantation from HLA-matched family donor, if any, and autologous blood or marrow SCT or chemotherapy could be elected. For patients presented with

Table 2 Treatment protocols of the four studies

Studies	TCCSG risk	Number	Therapy period (years)	Cranial irradiation**	Remission induction	Early intensification	CNS prophylaxis	Reinduction	Intensification	Continuation
L84-11	SR	194	3.5	100%	P V5 Asp	Randomized S1:CRX18/itMHC(5) vs S2:IDMTX(3)/itMH(3) Randomized H1:CRX24/itMHC(5) vs H2:IDMTX(3)/itMH(3) Cy1 CA(4x4) 6mp	S1:none vs S2:CRX18/itMHC(5) H1:none vs H2:CRX24/itMHC(5) CRX24/itMHC(5)	Dex V2/itMH...q16m(7) Dex V2 IDMTX/itMH...q12w(4)— 2.5-3.5 years Dex V2 D2, Dex V2 Cy, Dex B Acr, Dex V2 Asp, Dex V2 MTX(iv)— first, second year Cy(4), HDCA(4), IDMTX/itMHC(4)—third year Dex V4 Ad4 Asp, Cy B(8) itMH(2)		MTX+6mp (throughout) MTX+6mp (throughout) MTX+6mp
L89-12	SR	142	2	80%	P V4 Asp T2 itMHC(1)	Vp CA(4x3) 6mp itMHC(3) CRX18 itMH(3) HDMTX(2)/itMH(2)	Randomized HDMTX/itMH(3) vs CRX18/itMHC(3) Cy1 CA(4x4) 6mp itMHC(3) CRX18 /itMHC(3) Cy2 CA(4x4) 6mp	Dex V3 Asp T(3) Vp4 B4 6mp/itMH(2) Dex V3 Asp T4 P Vp4 B4 Acr(2), P Vp4 Cy4 Asp(2) mP HDCA Asp Mit(2), P Vp4 B4 Acr(2), P Vp4 Cy4 Asp(2) IDCA0.5gx4/Mit(2)		MTX+6mp (1.5 years) MTX+6mp (1 year) MTX+6mp (1 year)
L92-13	SR	124	1	44%	P V4 Asp T2 itMH(1)	Mit CA(4x4) 6mp Cy1 CA(4x4) 6mp itMHC(3) HDCA2gx6Mit (2) itMH(2)	Randomized HDMTX(2)/itMH(2) vs CRX18/itMH (3) CRX18/itMH(3) Cy1 CA(4x4) 6mp	P V3 Asp T2 P V3 Asp T2 P V3 Asp T(2)		MTX+6mp (6 months) MTX+6mp (3-4 months) MTX(iv)q4W+6mp (3-4 months)
L95-14	SR	231	2	44%	Randomized* P vs Dex and V5 Asp T2 itMH(2) Randomized* P vs Dex and V5 Asp T2 Cy1 itMH(2)	Cy1 CA(5x3) 6mp itMHC(3) Cy1 CA(5x3) 6mp itMHC(3)	Randomized HDMTX/itMH(3) vs CRX18/itMH(3) Asp MTX+6mp	P vs Dex* V3 Asp T3 P vs Dex* V3 Asp T3	Cy1 CA(2x5) 6mp(1), P vs Dex* V3 Asp(3) IDMTX(no CF)/itMH(3) Cy1CA(2x5) 6mp(1), P vs Dex* V3 Asp T3(2) HDCA/Asp(1), IDMTX(no CF)(2), Cy1 CA(2x5) 6mp(1)	MTX+6mp (1 year+) MTX+6mp (1 year+) MTX+6mp (1 year+)
HR	HR	237	2	100%	P V5 Asp D4 Cy2/itMH(2-3)	HDCA2gx4/Asp(2)/itMH(2)	CRX18/itMHC(3) Cy1 CA(5x3) 6mp(1)	Dex V4 Ad4 Asp(1), P V3 Asp Ad2(2)		MTX+6mp (1 year)

Abbreviations: CNS, central nervous system; HEX, extremely high risk; HR, high risk; IR, intermediate risk; SR, standard risk; TCCSG, Tokyo Children's Cancer Study Group. Acr, aclarubicin; Ad, doxorubicin; Asp, L-asparaginase; B, behenoyl cytosine arabinoside; CA, cytosine arabinoside; CRX18, cranial irradiation 18 Gy; Cy, cytoxin; D, daunorubicin; Dex, dexamethasone (8 mg/m² in induction 6 mg/m² consolidation of dex arm); HDCA, high-dose cytosine arabinoside (1-2 g/m²); HDMTX, high-dose methotrexate (3 g/m²); IDCA, intermediate-dose cytosine arabinoside (500 mg/m²); itMH, double intrathecal injection of methotrexate and hydrocortisone; itMHC, triple intrathecal injection of methotrexate, cytosine arabinoside, and hydrocortisone; IDMTX, intermediate-dose methotrexate (500 mg/m²); Mit, mitoxantrone; mP, methy-prednisolone; MTX, oral methotrexate; MTX(iv), intravenous MTX (75 mg/m²); (noCF), no leucovorin rescue; P, prednisolone (60 mg/m² in induction 40 mg/m² consolidation of P arm); T, THP-adriamycin (pirarubicin); V, vincristine; Vp, etoposide; 6mp, oral 6 mercaptopurine. Number after drug-dose, (Number), repeat. Randomizations were written with bold letters. Randomized*, initially randomized for whole course. **Proportion of the patients who were initially assigned to cranial irradiation arm; actual proportion was lower than the assigned.

leukocyte count $\geq 100 \times 10^9/l$, or 10 years old or older with leukocyte count $\geq 50 \times 10^9/l$ (assigned to auto-SCT group), autologous blood or marrow SCT or chemotherapy could be elected. Each institute declared the choice in advance of the study initiation.

Statistical analysis

The duration of EFS was defined as the time from the initiation of therapy to the date of failure (that is any relapse, death, or diagnosis of secondary malignancy) or to the date when patients were confirmed to be in remission and alive. Patients who did not achieve complete remission at the end of the initial induction phase or who died before the confirmation of remission were considered to have failed at day 0, even if they entered remission later with a second course or through additional treatment. The probability of EFS and s.e. was estimated by the Kaplan–Meier method (Greenwood), and differences were tested by the log-rank test. Analysis was performed with the intent to treat. ‘Any central nervous system (CNS) relapse’ include both ‘isolated CNS relapse’ and CNS relapse combined with other sites. Probability of cumulative CNS relapse was estimated by inversed Kaplan–Meier method,

which involves subtraction of Kaplan–Meier products from 100%. Only patients who had CNS relapse were failure, and all the others were censored. Cumulative probability of any secondary malignancy was calculated using the same method. Patients who received modified treatment were censored at that point in time. The patients who did not enter complete remission or had died during induction were treated as at the date of the beginning of treatment. Patients who were confirmed as remaining in first remission and alive, or who were lost of follow-up, were censored for EFS analysis; all those who were alive with or without disease were censored in OS analysis at the date of last contact.

Follow-up was updated in 2008. The proportions of patients whose data of the last 5 years were available were 144 of 357 (40.3%) in L84-11 study, 197 of 306 (64.3%) in L89-12, 220 of 266 (82.7%) in L92-13, and 449 of 489 (91.8%) in L95-14.

Results

Probability of EFS, OS, and cumulative CNS relapse rate of each study are shown in Tables 1 and 3. There was no improvement in EFS during the first three studies. The OS of L92-13 improved,

Table 3 Summary of the study results

Studies	L84-11	L89-12	L92-13	L95-14
Number of eligible patients (B+T)	484	418	347	597
Number of B/T	420/32	375/43	315/32	539/58
Average age (B/T) year	5.7/8.8	5.9/8.2	5.8/7.7	5.9/7.7
Average WBC (B/T)	20.1/108.0	31.6/137.5	38.4/146.1	30.6/167.0
Number of censored early	0	1 (0.2%)	2 (0.6%)	9 (1.5%) ^a
Death during induction	3 (0.6%)	12 (2.9%) ^b	5 (1.4%)	10 (1.7%) ^c
Failure of initial remission	11 (2.3%) ^d	17 (4.1%) ^e	5 (1.4%)	11 (1.8%) ^f
Complete remission (rate)	470 (97.1%)	388 (92.8%)	335 (96.0%)	567 (95.0%)
Corrected remission (rate) ^g	477 (98.6%)	399 (95.7%)	337 (97.7%)	573 (97.4%)
Death in first remission	19 (3.9%)	7 (1.7%)	6 (1.7%)	22 (3.7%) ^h
Number of censored in first remission	13 (2.7%)	13 (3.1%) ⁱ	31 (8.9%) ^j	21 (3.5%) ^k
Number of patients at event free	308 (63.6%)	256 (61.2%)	180 (55.3%)	428 (71.7%)
Number of relapse after remission	123 (26.1%)	104 (26.9%)	112 (33.4%)	92 (16.7%)
Site of relapse: total	123 (100%)	104 (100%)	112 (100%)	92 (100%)
Isolated bone marrow (BM)	72 (58.5%)	70 (67.3%)	87 (78.4%)	68 (73.9%)
Isolated CNS	17 (13.8%)	13 (12.5%)	3 (2.7%)	10 (10.9%)
Isolated testis	19 (15.4%)	6 (5.8%)	9 (7.8%)	7 (7.6%)
BM+CNS	6 (4.9%)	4 (3.8%)	3 (2.7%)	5 (5.4%)
BM+testis	7 (5.7%)	7 (6.7%)	6 (3.6%)	1 (1.1%)
CNS+testis	1 (0.8%)	1 (0.9%)	0	0 (0%)
Other sites	1 (0.8%)	3 (2.9%)	3 (2.7%)	1 (1.1%)
Secondary AML/MDS	0/1	3/1	0/0	2/1
Brain tumor/Other	5/1 ^l	4	2	1
Any BM	85 (69.1%)	81 (77.9%)	97 (87.4%)	74 (80.4%)
Any CNS	24 (19.5%)	18 (17.3%)	6 (5.4%)	15 (16.3%)
Any testis	27 (22.0%)	14 (13.5%)	15 (13.3%)	8 (8.7%)
Any testis/males	27 (10.3%)	14 (5.8%)	15 (8.5%)	8 (2.4%)

Abbreviations: AML, acute myeloid leukemia; CNS, central nervous system; MDS, myelodysplastic syndrome; SCT, stem-cell transplantation; WBC, white blood cells.

^aFour patients assigned in dexamethasone arm dropped off, one in prednisolone arm, and four in HR risk group dropped off.

^bMarrow suppression and infection.

^cFive deaths in dexamethasone arm, two deaths in prednisolone arm, three deaths in HR risk.

^d7/11 entered into remission in the following phase.

^e11/17 patients entered remission in the following phase.

^fAll 11 failures in HR risk group; 3 Ph+ALL, 4 chromosomal translocations, 6/11 entered into remission in the following phase.

^gCorrected remission (rate %): patients who achieved delayed remission were included in remission, and censored patients during the induction phase were excluded from the total.

^h18/22 deaths in HR risk group, 5 related with transplants.

ⁱ7/13 patients underwent SCT in CR1.

^j26/31 patients underwent SCT in CR1.

^k9/21 patients underwent SCT in CR1.

^lOlfactory neuroblastoma.