

Table 1. Particle Sizes and Zeta Potentials of Lipoplexes and Bubble Lipoplexes Constructed with pUB-M^a

	particle size (nm)	zeta-potential (mV)
Barc-PEG ₂₀₀₀ lipoplex (DSTAP:DSPC:NH ₂ -PEG ₂₀₀₀ :DSPE = 7:2:1 (mol))	144 ± 13	45.7 ± 4.5
Man-PEG ₂₀₀₀ lipoplex (DSTAP:DSPC:Man-PEG ₂₀₀₀ :DSPE = 7:2:1 (mol))	143 ± 10	44.5 ± 5.8
Barc-PEG ₂₀₀₀ bubble lipoplex (DSTAP:DSPC:NH ₂ -PEG ₂₀₀₀ :DSPE = 7:2:1 (mol))	557 ± 20	46.7 ± 4.2
Man-PEG ₂₀₀₀ bubble lipoplex (DSTAP:DSPC:Man-PEG ₂₀₀₀ :DSPE = 7:2:1 (mol))	555 ± 19	45.1 ± 2.2

^a Each value represents the mean ± SD (*n* = 3).

10 Hz; intensity 1.0 W/cm²; time, 2 min) was exposed transdermally to the abdominal area using a Sonopore-4000 sonicator with a probe of diameter 20 mm. At predetermined times after injection, mice were sacrificed and spleens were collected for each experiment. In the intradermal transfection study, mice were intradermally injected with 200 μL of bubble lipoplexes at a dose of 50 μg of pDNA. At 5 min after the injection of the bubble lipoplexes, US (frequency, 2.062 MHz; duty, 50%; burst rate, 10 Hz; intensity 4.0 W/cm²; time, 2 min) was directly exposed to the injected site using a probe of diameter 6 mm. In the intrasplenic transfection, mice were directly injected into the spleen with 200 μL of bubble lipoplexes at a dose of 50 μg of pDNA. At 5 min after the injection of the bubble lipoplexes, US (frequency, 2.062 MHz; duty, 50%; burst rate, 10 Hz; intensity 4.0 W/cm²; time, 2 min) was directly exposed to the spleen using a probe of diameter 6 mm.

Measurement of the Level of mRNA Expression. Total RNA was isolated from the spleen using a GenElute Mammalian Total RNA Miniprep Kit (Sigma-Aldrich, St. Louis, MO, USA). Reverse transcription of mRNA was carried out using a PrimeScript RT reagent kit (Takara Bio Inc., Shiga, Japan). The detection of the Ub-M cDNA was carried out by real-time PCR using SYBR Premix Ex Taq (Takara Bio Inc., Shiga, Japan) and Lightcycler Quick System 350S (Roche Diagnostics, Indianapolis, IN, USA) with primers. The primers for Ub-M, gp100, TRP-2 and GAPDH cDNA were constructed as follows: primer for Ub-M cDNA, 5'-GAG CCC AGT GAC ACC ATA GA-3' (forward) and 5'-GTG CAG GGT GGA CTC TTT CT-3' (reverse); primer for gp100, 5'-GCA CCC AAC TTG TTG TTC CT-3' (forward) and 5'-GTG CTA CCA TGT GGC ATT TG-3' (reverse); primer for TRP-2, 5'-CTT CCT AAC CGC AGA GCA AC-3' (forward) and 5'-CAG GTA GGA GCA TGC TAG GC-3' (reverse); primer for GAPDH, 5'-TCT CCT GCG ACT TCA ACA-3' (forward) and 5'-GCT GTA GCC GTA TTC ATT GT-3' (reverse) (Sigma-Aldrich, St. Louis, MO, USA). The mRNA copy numbers were calculated for each sample from the standard curve using the instrument software ("Arithmetic Fit Point analysis" for the Lightcycler). Results were expressed as relative copy numbers calculated relative to GAPDH mRNA (copy numbers of Ub-M, gp100 and TRP-2 mRNA/copy numbers of GAPDH mRNA).

Isolation of Splenic CD11c⁺ Cells (Dendritic Cells) in Mice. At 6 h after transfection, spleens were harvested and spleen cells were suspended in ice-cold RPMI-1640 medium on ice. Then, red blood cells were removed by incubation with hemolytic reagent (0.15 M NH₄Cl, 10 mM KHCO₃, 0.1 mM EDTA) for 3 min at room temperature. CD11c⁺ and CD11c⁻ cells were separated by magnetic cell sorting with an auto MACS (Miltenyi Biotec Inc., Auburn, CA, USA) following the manufacturer's instructions.

Evaluation of Antigen-Specific Cytokine Secretion. To prepare the tumor cell lysates (B16BL6 cells, EL4 cells and colon-26 cells), the cells were scraped from the plates and suspended in

lysis buffer (0.05% Triton X-100, 2 mM EDTA, 0.1 M Tris, pH 7.8). After three cycles of freezing and thawing, the lysates were centrifuged at 10000g, 4 °C for 10 min and the resultant supernatants were collected. The protein concentration of cell lysates was determined with a Protein Quantification Kit (Dojindo Molecular Technologies, Inc., Tokyo, Japan). At 2 weeks after the last immunization, the splenic cells collected from immunized mice were plated in 96-well plates and incubated for 72 h at 37 °C in the presence or absence of tumor cell lysates (100 μg of proteins). IFN-γ, TNF-α, IL-4 and IL-6 in the culture medium were measured using a suitable commercial ELISA Kit (Bay Bioscience Co., Ltd, Hyogo, Japan).

CTL Assay. At 2 weeks after the last immunization, the splenic cells collected from immunized mice were plated in 6-well plates and cocultured with mitomycin C-treated tumor cells (B16BL6 cells, EL4 cells and colon-26 cells) for 4 days. After 4 days of cocultivation, nonadherent cells were harvested, washed and plated in 96-well plates with target cells (B16BL6 cells, EL4 cells and colon-26 cells) at various effector cell/target cell (E/T) ratios. The target tumor cells were labeled with ⁵¹Cr by incubating with Na₂⁵¹CrO₄ (PerkinElmer, Inc., MA, USA) in culture medium for 1 h at 37 °C. At 4 h after incubation, the plates were centrifuged, and the supernatant in each well was collected and the radioactivity of released ⁵¹Cr was measured in a gamma counter. The percentage of ⁵¹Cr release was calculated as follows: specific lysis (%) = [(experimental ⁵¹Cr release - spontaneous ⁵¹Cr release) / (maximum ⁵¹Cr release - spontaneous ⁵¹Cr release)] × 100.

Therapeutic Experiments in Solid Tumor Models. At 2 weeks after the last immunization or on the immunization day, B16BL6 cells, EL4 cells and colon-26 cells were transplanted subcutaneously into the back of the mice (1 × 10⁶ cells). The tumor size was measured with calipers in two dimensions, and the tumor volume was calculated using the following equation: volume (mm³) = π/6 × longer diameter × (shorter diameter)². The survival of the mice was monitored up to 100 days after the transplantation of tumor cells.

Therapeutic Experiments in Lung Metastatic Tumor Models. At 2 weeks after the last immunization or on the immunization day, B16BL6 cells or colon-26 cells were intravenously administered via the tail vein (1 × 10⁶ cells) and the survival of the mice was monitored up to 100 days after administration of the tumor cells. To evaluate metastasis, B16BL6/Luc cells or colon-26/Luc cells were intravenously administered via the tail vein (1 × 10⁶ cells). At 14 days after the administration of the tumor cells, the number of B16BL6/Luc cells and colon-26/Luc cells in the lung was quantitatively evaluated by measuring luciferase activity as previously reported.^{36,37}

Statistical Analysis. Results were presented as the mean ± SD of more than three experiments. Analysis of variance (ANOVA) was used to test the statistical significance of differences among groups. Two-group comparisons were performed by the Student's *t* test. Multiple comparisons between control groups and other groups were performed by the Dunnett's test, and multiple

comparisons between all groups were performed by the Tukey–Kramer test.

RESULTS

Physicochemical Properties of Bubble Lipoplexes Constructed with pUb-M. The physicochemical properties of lipoplexes and bubble lipoplexes constructed with pUb-M used in all experiments were evaluated by measuring the particle sizes and zeta potentials. The mean particle sizes and zeta potentials of nonmodified PEG₂₀₀₀-lipoplexes (Bare-PEG₂₀₀₀ lipoplexes) and mannose-conjugated PEG₂₀₀₀-lipoplexes (Man-PEG₂₀₀₀ lipoplexes) were 144 ± 13 nm, 45.7 ± 4.5 mV and 143 ± 10 nm, 44.5 ± 5.8 mV, respectively (Table 1). Moreover, the mean particle sizes and zeta potentials of nonmodified bubble lipoplexes (Bare-PEG₂₀₀₀ bubble lipoplexes) and Man-PEG₂₀₀₀ bubble lipoplexes were 557 ± 20 nm, 46.7 ± 4.2 mV and 555 ± 19 nm, 45.1 ± 2.2 mV, respectively (Table 1). These results corresponded to our previous reports using other pDNA,³³ suggesting that pDNA had no effect on the physicochemical properties of Man-PEG₂₀₀₀ bubble lipoplexes.

Splenic Dendritic Cell-Selective and Efficient Gene Expression by Gene Transfer Using Man-PEG₂₀₀₀ Bubble Lipoplexes and US Exposure. First, to investigate the level of gene expression by Man-PEG₂₀₀₀ bubble lipoplexes and US exposure in the spleen, we measured the relative mRNA copy numbers of Ub-M after transfection. As shown in Figures 1A and 1B, the level of Ub-M mRNA expression obtained by Man-PEG₂₀₀₀ bubble lipoplexes and US exposure reached a peak at 6 h after transfection. Moreover, that level of Ub-M mRNA expression was markedly higher than that obtained by Bare- and Man-PEG₂₀₀₀ lipoplexes, and significantly higher than that obtained by Bare-PEG₂₀₀₀ bubble lipoplexes and US exposure. Then, we investigated the mannose receptor-expressing cell selectivity of Ub-M mRNA expression obtained by gene transfer using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure. In the spleen, the relative mRNA copy numbers of Ub-M in CD11c⁺ cells was significantly higher than that in CD11c⁻ cells following transfection using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure (Figure 1C). On the other hand, no selective gene expression in CD11c⁺ cells was observed by gene transfer using Bare-PEG₂₀₀₀ bubble lipoplexes and US exposure (Figure 1C).

Antigen-Stimulatory Th1 Cytokine Secretion from the Splenic Cells Immunized by Man-PEG₂₀₀₀ Bubble Lipoplexes and US Exposure. To evaluate the melanoma-specific cytokine secretion from immunized splenic cells, splenic cells immunized by pUb-M were incubated with each tumor cell-lysate *in vitro*, and then, Th1 and Th2 cytokines secreted in the supernatants were measured. Following investigation of the expression level of gp100 and TRP-2, a melanoma-specific antigen, in each cell used in this study, the expression of gp100 and TRP-2 was only detected in B16BL6 cells which are melanoma cell lines (Supplementary Figure 1 in the Supporting Information). As results of the immunization according to the protocol shown in Figure 2A, the splenic cells immunized by Man-PEG₂₀₀₀ bubble lipoplexes and US exposure secreted the highest amount of IFN- γ and TNF- α , which are Th1 cytokines, in the presence of B16BL6 cell lysates (Figures 2B and 2C). On the other hand, the secretion of Th1 cytokines (IFN- γ and TNF- α) was lower in all the groups in the presence of EL4 and colon-26 cell lysates. Moreover, the secretion of IL-4 and IL-6, which are Th2 cytokines, was also lower in all the groups in the presence of each cell lysate (Figures 2D and 2E). These observations suggest that pUb-M transfer by

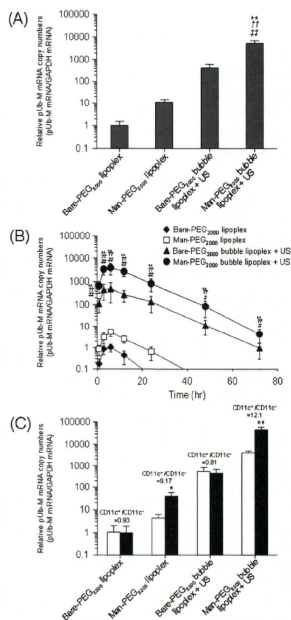


Figure 1. Enhanced Ub-M mRNA expression in the spleen and the splenic dendritic cells (CD11c⁺ cells) by Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M and US exposure *in vivo*. (A) The level of Ub-M mRNA expression obtained by Bare-PEG₂₀₀₀ lipoplexes, Man-PEG₂₀₀₀ lipoplexes, Bare-PEG₂₀₀₀ bubble lipoplexes with US exposure and Man-PEG₂₀₀₀ bubble lipoplexes with US exposure (50 μ g of pDNA) in the spleen at 6 h after transfection. Each value represents the mean \pm SD ($n = 4$). ** $p < 0.01$, compared with Bare-PEG₂₀₀₀ lipoplex; * $p < 0.01$, compared with Man-PEG₂₀₀₀ lipoplex; * $p < 0.01$, compared with Bare-PEG₂₀₀₀ bubble lipoplex + US. (B) Time-course of Ub-M mRNA expression in the spleen after transfection by Bare-PEG₂₀₀₀ lipoplexes, Man-PEG₂₀₀₀ lipoplexes, Bare-PEG₂₀₀₀ bubble lipoplexes with US exposure and Man-PEG₂₀₀₀ bubble lipoplexes with US exposure (50 μ g of pDNA). Each value represents the mean \pm SD ($n = 4$). ** $p < 0.01$, compared with the corresponding group of Bare-PEG₂₀₀₀ lipoplex; * $p < 0.05$; * $p < 0.01$, compared with the corresponding group of Bare-PEG₂₀₀₀ bubble lipoplex + US. (C) Splenic cellular localization of Ub-M mRNA expression at 6 h after transfection by Bare-PEG₂₀₀₀ lipoplexes, Man-PEG₂₀₀₀ lipoplexes, Bare-PEG₂₀₀₀ bubble lipoplexes with US exposure and Man-PEG₂₀₀₀ bubble lipoplexes with US exposure (50 μ g of pDNA). Each value represents the mean \pm SD ($n = 4$). * $p < 0.05$; ** $p < 0.01$, compared with the corresponding group of CD11c⁻ cells.

Man-PEG₂₀₀₀ bubble lipoplexes and US exposure significantly enhances the differentiation of helper T cells into Th1.

Induction of Melanoma-Specific CTLs by pUb-M Transfer Using Man-PEG₂₀₀₀ Bubble Lipoplexes and US Exposure. We investigated the melanoma-specific CTL activities in the

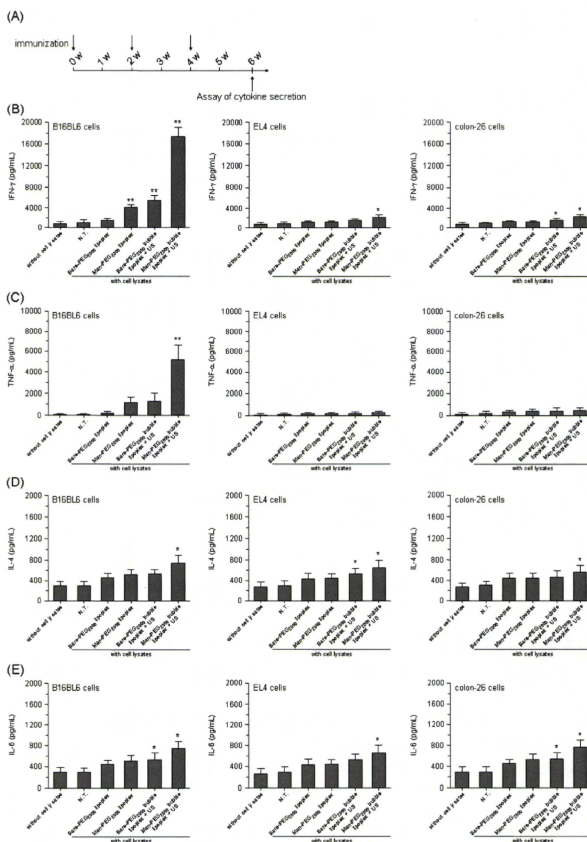


Figure 2. Melanoma-stimulatory cytokine secretion characteristics by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M and US exposure. (A) Schedule of immunization for the evaluation of melanoma-stimulatory cytokine secretion characteristics. (B–E) Each cancer cell lysate-specific IFN- γ (B), TNF- α (C), IL-4 (D) and IL-6 (E) secretion from the splenic cells immunized three times biweekly with Bare-PEG₂₀₀₀ lipoplexes, Man-PEG₂₀₀₀ lipoplexes, Bare-PEG₂₀₀₀ bubble lipoplexes with US exposure and Man-PEG₂₀₀₀ bubble lipoplexes with US exposure (50 μ g of pDNA). The splenic cells were collected at 2 weeks after the last immunization. After the immunized splenic cells were cultured for 72 h in the presence of each cancer cell lysate (100 μ g protein), IFN- γ , TNF- α , IL-4 and IL-6 secreted in the medium were measured by ELISA. Each value represents the mean + SD (n = 4). *p < 0.05; **p < 0.01, compared with the corresponding "without cell lysate" group.

splenic cells immunized by pUb-M. This experiment was performed according to the protocol shown in Figure 3A. As shown in Figure 3B, the splenic cells immunized by Man-PEG₂₀₀₀ bubble lipoplexes and US exposure showed the highest CTL activities of all groups stimulated by B16BL6 cells. In contrast, no CTL activity was obtained in all groups stimulated by EL4 and colon-26 cells (Figures 3C and 3D). These results suggest that melanoma-specific CTLs are induced effectively in the splenic

cells transfected pUb-M by Man-PEG₂₀₀₀ bubble lipoplexes and US exposure.

Cancer Vaccine Effects against Melanoma-Derived Solid and Metastatic Tumors by DNA Vaccination Using Man-PEG₂₀₀₀ Bubble Lipoplexes and US Exposure. Cancer vaccine effects against solid and metastatic tumors obtained by DNA vaccination using Man-PEG₂₀₀₀ lipoplexes and US exposure were examined. First, we evaluated the level of gp100 and

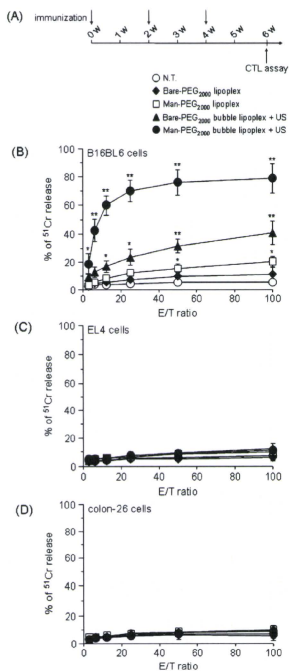


Figure 3. Evaluation of melanoma-specific CTL activities by DNA vaccination using Man-PEG₂₀₀₀ bubble lipplexes and US exposure. (A) Schedule of immunization for the assay of melanoma-specific CTL activities. (B–D) Each cancer cell lysate-specific CTL activities after immunization three times with Bare-PEG₂₀₀₀ lipplexes, Man-PEG₂₀₀₀ lipplexes, Bare-PEG₂₀₀₀ bubble lipplexes with US exposure and Man-PEG₂₀₀₀ bubble lipplexes with US exposure (50 μ g of pDNA). The splenic cells were collected at 2 weeks after the last immunization, and then, the splenic cells were cocultured with ⁵¹Cr-labeled cancer cells. CTL activities against B16BL6 cells (B), EL4 cells (C) and colon-26 cells (D) in the immunized splenic cells were determined by ⁵¹Cr release assay. Each value represents the mean \pm SD ($n = 4$). * $p < 0.05$; ** $p < 0.01$, compared with the corresponding "N.T." (no treatment) group.

TRP-2 expression in each tumor used in this study, and confirmed that the expression of gp100 and TRP-2 was only detected in B16BL6 tumor (Supplementary Figure 2 in the Supporting Information). Following investigation of cancer vaccine effects against solid tumors according to the protocol shown in Figure 4A, B16BL6-transplanted tumor growth was significantly suppressed in mice immunized with Man-PEG₂₀₀₀ bubble lipplexes constructed with pUb-M and US exposure (Figures 4B and 4D). Moreover, the survival of B16BL6-transplanted mice was significantly prolonged by DNA vaccination using Man-PEG₂₀₀₀ bubble lipplexes constructed with pUb-M and US exposure, and complete tumor-rejection was observed in 7/10 of

B16BL6-transplanted mice (Figure 4C). These vaccine effects were obtained against B16F1-transplanted mice (Supplementary Figure 4B in the Supporting Information); on the other hand, no cancer vaccine effects against EL4 and colon-26 cell-derived tumors, which do not express gp100 and TRP-2, were observed in all groups (Figures 4B–D). In addition, these DNA vaccine effects against B16BL6-derived tumors were not observed in mice immunized with Man-PEG₂₀₀₀ bubble lipplexes constructed with pcDNA3.1 (control vector) and US exposure (Supplementary Figure 3 in the Supporting Information), suggesting that DNA vaccine effects against melanoma are attributed to not pDNA transfer itself but melanoma-related antigens expressed by pUb-M.

Then, we investigated the cancer vaccine effects against a pulmonary metastatic tumor obtained by DNA vaccination using Man-PEG₂₀₀₀ bubble lipplexes and US exposure. Following experiments according to the protocol shown in Figure 5A, the level of luciferase expression derived from B16BL6/Luc cells in the lung, which express gp100 and TRP-2 (Supplementary Figures 1 and 2 in the Supporting Information), was significantly suppressed in mice immunized with Man-PEG₂₀₀₀ bubble lipplexes and US exposure (Figures 5B and 5D). Moreover, the survival of the pulmonary metastatic tumor model mice constructed with B16BL6 cells was significantly prolonged by DNA vaccination using Man-PEG₂₀₀₀ bubble lipplexes and US exposure (Figure 5C). These vaccine effects were obtained against pulmonary metastatic B16F1-derived tumor model mice (Supplementary Figure 4C in the Supporting Information); on the other hand, no therapeutic effects against colon-26 cells by DNA vaccination using this method were observed in any of the groups (Figures 5B–D).

Effect of Administration Routes of Man-PEG₂₀₀₀ Bubble Lipplexes on Cancer Vaccine Effects. Next, we evaluated the effects of the administration routes of Man-PEG₂₀₀₀ bubble lipplexes to obtain effective DNA vaccine effects. In this experiment, in addition to pUb-M transfer using intravenous administration of Man-PEG₂₀₀₀ bubble lipplexes and external US exposure, we investigated the DNA vaccine effects by pUb-M transfer using intradermal and intrasplenic administration of Man-PEG₂₀₀₀ bubble lipplexes and direct US exposure to the administration sites. In the preliminary experiments about US intensity for obtaining the highest gene expression in the spleen and skin, the optimized intensities of US exposure to the abdominal area by a probe of diameter 20 mm and to the injected sites directly by a probe of diameter 6 mm are 1.0 W/cm² and 4.0 W/cm², respectively (data not shown). Based on these investigations, we used the different US intensity depending on the probe size and US-exposed sites in this study. Following immunization against melanoma according to the protocol shown in Figure 6A, B16BL6-transplanted tumor growth was suppressed the best in mice transfected with pUb-M using intravenous injection of Man-PEG₂₀₀₀ bubble lipplexes and external US exposure (Figure 6B). Moreover, the survival of B16BL6-transplanted mice was also prolonged the best by DNA vaccination using intravenous injection of Man-PEG₂₀₀₀ bubble lipplexes and external US exposure (Figure 6C).

Duration of DNA Vaccine Effects by Man-PEG₂₀₀₀ Bubble Lipplexes and US Exposure. Finally, to investigate the duration of DNA vaccine effects following pUb-M transfer using Man-PEG₂₀₀₀ bubble lipplexes and US exposure, B16BL6 cells were retransplanted in mice in which first-transplanted tumors derived from B16BL6 cells were completely rejected by DNA

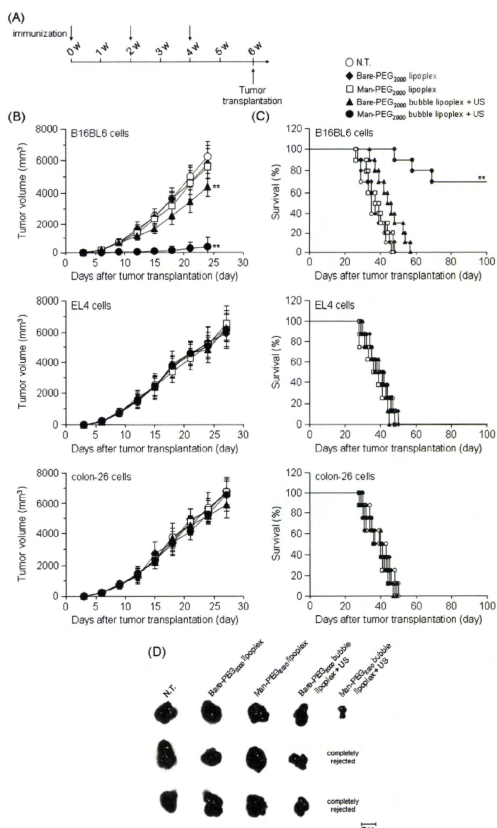


Figure 4. Cancer vaccine effects against solid tumors by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure. (A) Schedule of therapeutic experiments on solid tumors. (B, C) The suppressing effects of tumor growth against solid tumors (B) and the prolonging effects of survival in tumor-transplanted mice (C) by DNA vaccination using Bare-PEG₂₀₀₀ lipoplexes, Man-PEG₂₀₀₀ lipoplexes, Bare-PEG₂₀₀₀ bubble lipoplexes with US exposure and Man-PEG₂₀₀₀ bubble lipoplexes with US exposure (50 μ g of pDNA). Two weeks after the last immunization, B16BL6 cells, EL4 cells and colon-26 cells (1×10^6 cells) were transplanted subcutaneously into the back of mice ($n = 8-10$). The tumor volume was evaluated (each value represents the mean \pm SD), and the survival was monitored up to 100 days after the tumor transplantation. ** $p < 0.01$, compared with the corresponding "N.T." (no treatment) group. (D) Photograph of a B16BL6 cell-derived solid tumor at 15 days after the tumor transplantation in mice immunized by each transfection method ($n = 3$).

vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure at 100 days after the first transplantation (Figure 7A). As shown in Figure 7B, compared with N.T. mice, the second-transplanted tumor growth derived from B16BL6 cells was markedly suppressed and the survival of B16BL6-transplanted mice was significantly prolonged. In addition, we also evaluated the duration of DNA vaccine effects against a pulmonary metastatic tumor. Following intravenous injection of B16BL6/Luc cells into

mice at 100 days after the last immunization (Figure 7C), the level of luciferase expression derived from B16BL6/Luc cells in the lung was significantly suppressed and the survival of pulmonary metastatic tumor model mice constructed with B16BL6 cells was significantly prolonged in mice transfected with pUb-M using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure (Figure 7D). These results suggest that DNA vaccine effects by pUb-M transfer using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure

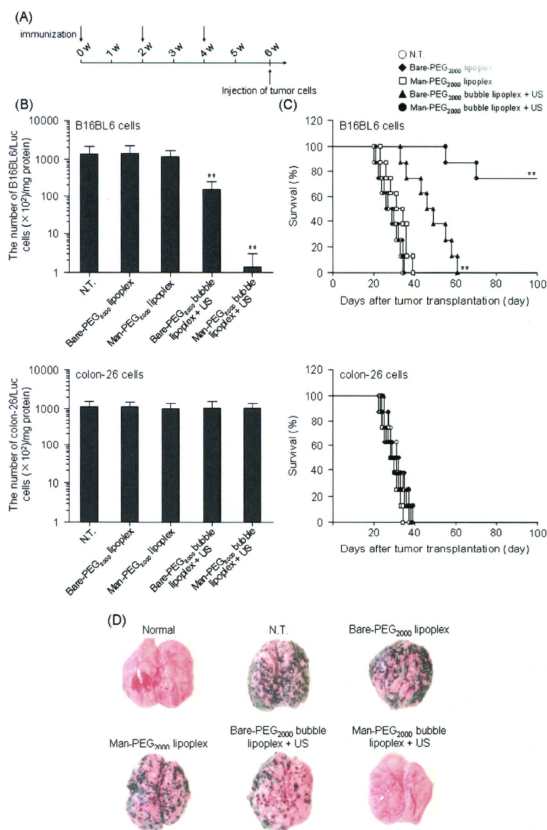


Figure 5. Cancer vaccine effects against pulmonary metastatic tumors by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure. (A) Schedule of therapeutic experiments involving pulmonary metastatic tumors. (B, C) The suppressing effects of pulmonary metastatic tumors (B) and the prolonging of survival (C) by DNA vaccination using Bare-PEG₂₀₀₀ lipoplexes, Man-PEG₂₀₀₀ lipoplexes, Bare-PEG₂₀₀₀ bubble lipoplexes with US exposure and Man-PEG₂₀₀₀ bubble lipoplexes with US exposure (50 μ g of pDNA). Two weeks after the last immunization, B16BL6/Luc and colon-26/Luc cells (for the evaluation of tumor metastasis) and B16BL6 and colon-26 cells (for the evaluation of survival) were injected intravenously (1×10^5 cells) into mice. The pulmonary metastatic tumors at 14 days after the tumor injection were evaluated by the luciferase activity ($n = 5$, each value represents the mean \pm SD), and the survival was monitored up to 100 days after the tumor injection ($n = 8$). ** $p < 0.01$, compared with the corresponding "N.T." (no treatment) group. (D) Photograph of a B16BL6-derived pulmonary metastatic tumor at 14 days after the tumor injection in mice immunized by each transfection method.

were sustained for at least 100 days against both solid and metastatic tumors.

DISCUSSION

The prognosis is poor for patients with melanoma, who exhibit a high rate of metastasis and relapse; therefore, the development

of therapy for suppressing this melanoma metastasis and relapse is required.^{2,3} It has been reported that DNA vaccination is effective for the prevention of metastasis and relapse,^{5,7,8} and especially the application of DNA vaccination against melanoma has been focused since the identification of cancer antigens such as gp100, MART-1 and TRP is proceeding in melanoma.^{10–13}

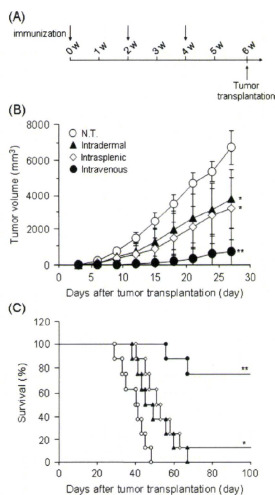


Figure 6. Effects of administration routes of Man-PEG₂₀₀₀ bubble lipplexes on DNA vaccine effects. (A) Schedule of therapeutic experiments. (B, C) The suppressing effects of tumor growth against solid tumors (B) and the prolonging of survival in tumor-transplanted mice (C) by DNA vaccination using various administration routes of Man-PEG₂₀₀₀ bubble lipplexes (50 μ g of pDNA) and US exposure. Man-PEG₂₀₀₀ bubble lipplexes were given by intradermal, intraspinal and intravenous administration into mice, and US was exposed to the injected site directly or to the abdominal area externally. Two weeks after the last immunization, B16BL6 cells (1×10^6 cells) were transplanted subcutaneously into the back of mice ($n = 8$). The tumor volume was evaluated (each value represents the mean \pm SD), and the survival was monitored up to 100 days after the tumor transplantation. * $p < 0.05$; ** $p < 0.01$, compared with the corresponding "N.T." (no treatment) group.

On the other hand, it is essential to transfer effectively into APCs such as dendritic cells to obtain potent therapeutic effects by DNA vaccination.^{14,15} In the present study, we applied an APC-selective and -efficient gene transfection method using Man-PEG₂₀₀₀ bubble lipplexes constructed with gp100 and TRP-2-encoding pDNA and US exposure to DNA vaccination against melanoma with metastatic and relapsed primaries.

The delivery of antigen-encoding gene into the dendritic cells, known as a major target cells for cancer immunotherapy, is necessary to achieve potent therapeutic effects with DNA vaccination.^{14,15} However, it seems that the number of dendritic cells distributed in organs, such as spleen and skin, is low for DNA vaccination.³⁸ Moreover, gene transfection efficiency in dendritic cells is low,²⁰ because dendritic cells are poorly dividing cells^{39,40} and immune effector cells are highly sensitive to cationic lipids.⁴¹ To overcome these obstacles, gene transfection methods using external physical stimulation, such as electroporation, hydrodynamic injection and sonoporation, have been investigated for cancer vaccination.^{21–25} In particular, sonoporation methods using microbubbles and US exposure are expected to be suitable

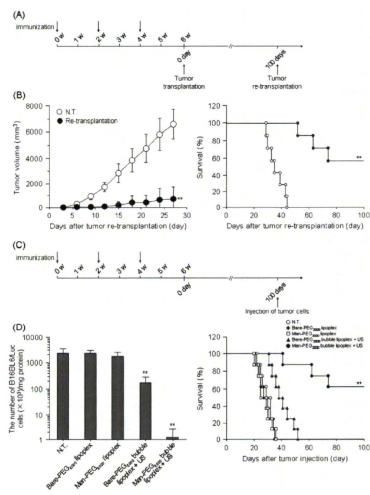


Figure 7. Duration of DNA vaccine effects by Man-PEG₂₀₀₀ bubble lipplexes and US exposure. (A) Schedule of therapeutic experiments against solid tumors. At 100 days after first transplantation of B16BL6 cells into mice immunized three times by Man-PEG₂₀₀₀ bubble lipplexes and US exposure, B16BL6 cells (1×10^6 cells) were retransplanted subcutaneously into the back of mice who completely rejected the first transplanted tumors ($n = 7$). (B) The suppressing effects of tumor growth against solid tumors and the prolonging of survival in tumor-transplanted mice by DNA vaccination using Man-PEG₂₀₀₀ bubble lipplexes and US exposure (50 μ g of pDNA). The tumor volume was evaluated (each value represents the mean \pm SD), and the survival was monitored up to 100 days after the tumor retransplantation. (C) Schedule of therapeutic experiments against metastatic tumors. At 100 days after the last immunization using Bare-PEG₂₀₀₀ lipplexes, Man-PEG₂₀₀₀ lipplexes, Bare-PEG₂₀₀₀ bubble lipplexes with US exposure and Man-PEG₂₀₀₀ bubble lipplexes with US exposure (50 μ g of pDNA), B16BL6 cells (for the evaluation of tumor metastasis) and B16BL6 cells (for the evaluation of survival days) were injected intravenously (1×10^5 cells) into mice. (D) The suppressing effects of B16BL6 cell-derived pulmonary metastatic tumors and the prolonging of survival by DNA vaccination using Bare-PEG₂₀₀₀ lipplexes, Man-PEG₂₀₀₀ lipplexes, Bare-PEG₂₀₀₀ bubble lipplexes with US exposure and Man-PEG₂₀₀₀ bubble lipplexes with US exposure (50 μ g of pDNA). The pulmonary metastatic tumors at 14 days after the injection of B16BL6 cells were evaluated by the luciferase activity ($n = 4$), and the survival was monitored up to 100 days ($n = 8$). ** $p < 0.01$, compared with the corresponding "N.T." (no treatment) group.

as a gene transfection method for DNA vaccination in clinical situation, because microbubbles and US exposure systems have been used for diagnostic imaging^{42,43} and calculus fragmentation^{44,45} in clinical situation. We have developed a gene transfection method using Man-PEG₂₀₀₀ bubble lipplexes and US exposure, and succeeded in obtaining APC-selective and -efficient gene expression following experiments using luciferase-encoding pDNA.³³ In this study using pUB-M which expresses melanoma-related antigens (gp100 and TRP-2), a high level of expression in

the spleen was obtained by gene transfer using intravenous injection of Man-PEG₂₀₀₀ bubble lipoplexes and external US exposure (Figures 1A and 1B). Moreover, this gene expression was obtained selectively in the splenic CD11c⁺ cells, known as dendritic cells⁴⁶ (Figure 1C), and these findings corresponded to those in our previous reports of using firefly luciferase-encoding pDNA.³³ In our previous report using pCMV-Luc and pCMV-OVA, we showed that the enhanced gene expression in the spleen was obtained by gene transfer using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure, and not observed in gene transfer using Man-PEG₂₀₀₀ lipoplexes or Man-PEG₂₀₀₀ bubble lipoplexes only and Man-PEG₂₀₀₀ lipoplexes with US exposure.³³ These observations suggest that splenic dendritic cell-selective and efficient expression of melanoma-related antigens can be specifically obtained by the gene transfer using Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M and US exposure.

To achieve potent therapeutic effects by DNA vaccination against cancer, the activation of Th1 immunity and the effective induction of CTLs with high antitumor activities are important.⁴⁷ The antigen presentation on MHC class I molecules is essential for efficient CTL induction.^{6,8,9} Antigens function as endogenous antigens since the cancer antigens are expressed intracellularly in DNA vaccination; consequently, the antigens are presented on MHC class I molecules.⁸ As shown in Figure 2, the enhanced secretion of Th1 cytokines (IFN- γ and TNF- α) was observed in the splenic cells immunized by Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M and US exposure by addition of B16BL6 cell lysates, compared with that of Th2 cytokines (IL-4 and IL-6). Moreover, the effective induction of CTLs against B16BL6 cells was also observed by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure (Figure 3). Recently, we have reported that the antigen presentation on MHC class I molecules was also observed in DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes constructed with OVA-encoding pDNA.³³ These results suggest that antigen presentation of melanoma antigens on MHC class I molecules is responsible for the enhanced secretion of Th1 cytokines stimulated by B16BL6 cell and the induction of CTLs against B16BL6 cells in this study. As shown in Figure 4, the growth of B16BL6 cell-derived tumors was suppressed and the survival of B16BL6 cell-transplanted mice was prolonged by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure. Since the prognosis of patients with melanoma is poor, because of the high metastatic properties of melanoma as mentioned above,^{2,3} we also investigated the vaccine effects against metastatic melanoma by DNA vaccination using this method. As shown in Figure 5, B16BL6 cell-derived pulmonary metastasis constructed by intravenous injection of tumor cells was suppressed by DNA vaccination using this gene transfection method. These DNA vaccine effects followed by Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M and US exposure were obtained against not only B16BL6 cells but also B16F1-derived tumors (Supplementary Figure 4 in the Supporting Information), suggesting that this DNA vaccination might be potent against various types of melanoma. On the other hand, the potent therapeutic effects against B16BL6-derived solid and metastatic tumor transplanted mice were not observed in DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M and US exposure (data not shown). These findings suggest that the optimized duration for immunization is essential for obtaining potent antitumor effects by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure, and DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US

exposure may be suitable for the prevention of cancer metastasis and relapse. In addition, these vaccine effects against solid and metastatic tumors were sustained for at least 100 days (Figure 7). These observations lead us to believe that the enhanced secretion of Th1 cytokines and the induction of B16BL6 cell-specific CTLs contribute to the effective and long-term DNA vaccine effects against solid and metastatic tumors, following pUb-M transfer into splenic dendritic cells using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure.

Intradermal and intrasplenic routes are widely used to transfer pDNA into the Langerhans cells known as dendritic cells in the skin or splenic dendritic cells.^{48,49} On the other hand, we obtained potent therapeutic effects by DNA vaccination using intravenous administration of Man-PEG₂₀₀₀ bubble lipoplexes and external US exposure in this study. As shown in Figure 6, the DNA vaccine effects obtained by immunization using intravenous administration of Man-PEG₂₀₀₀ bubble lipoplexes were higher, compared with that using intradermal and intrasplenic administration. In the gene transfer using intradermal/intrasplenic administration of Man-PEG₂₀₀₀ bubble lipoplexes, it is assumed that the diffusibility of Man-PEG₂₀₀₀ bubble lipoplexes is not good and the delivering efficiency to the dendritic cells may be low, because of the large particle size of Man-PEG₂₀₀₀ bubble lipoplexes (approximately 500 nm (Table 1)). Therefore, it may be difficult to deliver the antigen-encoding pDNA into a large number of dendritic cells in the gene transfection process using intradermal/intrasplenic administration of Man-PEG₂₀₀₀ bubble lipoplexes. On the other hand, when Man-PEG₂₀₀₀ bubble lipoplexes were administered intravenously, the antigen-encoding pDNA may be delivered into a large number of dendritic cells widely distributed in the spleen through the blood vessels. Therefore, it is assumed that potent vaccine effects are obtained by gene transfer in the dendritic cells widely distributed in the spleen. These results suggest that the intravenous administration of Man-PEG₂₀₀₀ bubble lipoplexes is suitable to obtain high therapeutic effects by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure.

In this study, melanoma-specific vaccine effects were induced by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure, and moreover, intravenous administration of Man-PEG₂₀₀₀ bubble lipoplexes was found to be suitable for DNA vaccination using this method in mice (Figure 6). For clinical application to achieve efficient DNA vaccination, Man-PEG₂₀₀₀ bubble lipoplexes need to be delivered to the spleen efficiently at a low dose. Recently, a medical catheter, which possesses a device to inject the microbubbles and to expose US, has been developed for the treatment of thrombolysis in clinical situation.^{44,45} During treatment, this catheter is positioned within the lesion sites via the vessels, and various types of drugs, such as the lytic agents and microbubbles, are infused simultaneously with US exposure. Since this system may enable the local injection of Man-PEG₂₀₀₀ bubble lipoplexes and direct US exposure to the spleen by catheter delivery via the blood vessels, more potent DNA vaccine effects against melanoma are expected to be obtained at a low dose of Man-PEG₂₀₀₀ bubble lipoplexes by applying this catheter-based US system in the future.

■ CONCLUSION

In the present study, we developed DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M encoding ubiquitinated melanoma-specific antigens (gp100 and TRP-2) and US exposure, and succeeded in obtaining potent DNA vaccine effects against solid and metastatic cancers derived from B16BL6

melanoma specifically. Moreover, its vaccine effects against melanoma were sustained for 100 days at least. The findings obtained in this study suggest that the gene transfection method using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure could be suitable for DNA vaccination aimed at the prevention of metastatic and relapsed cancer.

■ ASSOCIATED CONTENT

Supporting Information. Additional figures as discussed in the text. This material is available free of charge via the Internet at <http://pubs.acs.org>.

■ AUTHOR INFORMATION

Corresponding Author

*Department of Drug Delivery Research, Graduate School of Pharmaceutical Science, Kyoto University, 46-29 Yoshida-shimoadachi-cho, Sakyo-ku, Kyoto 606-8501, Japan. Phone: +81-75-753-4545. Fax: +81-75-753-4575. E-mail: hashidam@pharm.kyoto-u.ac.jp (M.H.), kawakami@pharm.kyoto-u.ac.jp (S.K.).

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Supporting Information

**Suppression of melanoma growth and metastasis by DNA vaccination using an
ultrasound-responsive and mannose-modified gene carrier**

Keita Un^{a,b}, Shigeru Kawakami^{a*}, Ryo Suzuki^c, Kazuo Maruyama^c,
Fumiyoshi Yamashita^a and Mitsuru Hashida^{a,d*}

^aDepartment of Drug Delivery Research, Graduate School of Pharmaceutical Sciences, Kyoto University, 46-29 Yoshida-shimoadachi-cho, Sakyo-ku, Kyoto 606-8501, Japan

^bThe Japan Society for the Promotion of Science (JSPS), Chiyoda-ku, Tokyo 102-8471, Japan

^cDepartment of Biopharmaceutics, School of Pharmaceutical Sciences, Teikyo University, 1091-1 Suwarashi, Midori-ku, Sagamihara, Kanagawa 252-5195, Japan

^dInstitute for Integrated Cell-Material Sciences (iCeMS), Kyoto University, Yoshida-ushinomiya-cho, Sakyo-ku, Kyoto 606-8302, Japan

***Corresponding author:**

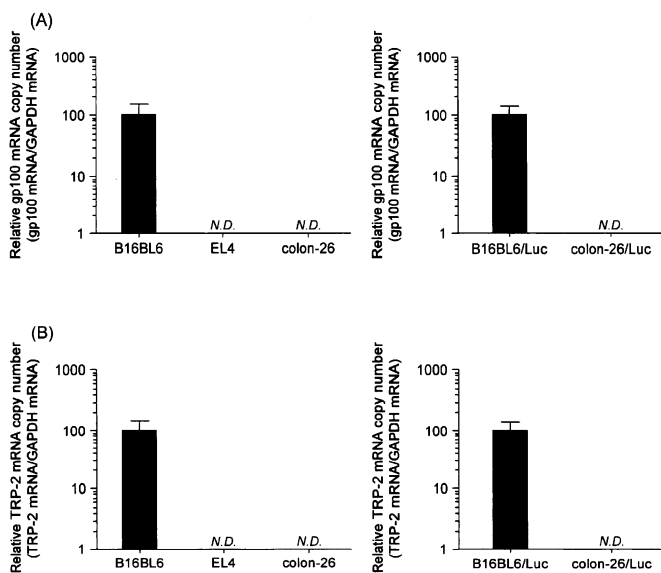
Mitsuru Hashida, Ph.D. and Shigeru Kawakami, Ph.D.

Department of Drug Delivery Research, Graduate School of Pharmaceutical Sciences,
Kyoto University, 46-29 Yoshida-shimoadachi-cho, Sakyo-ku, Kyoto 606-8501, Japan.

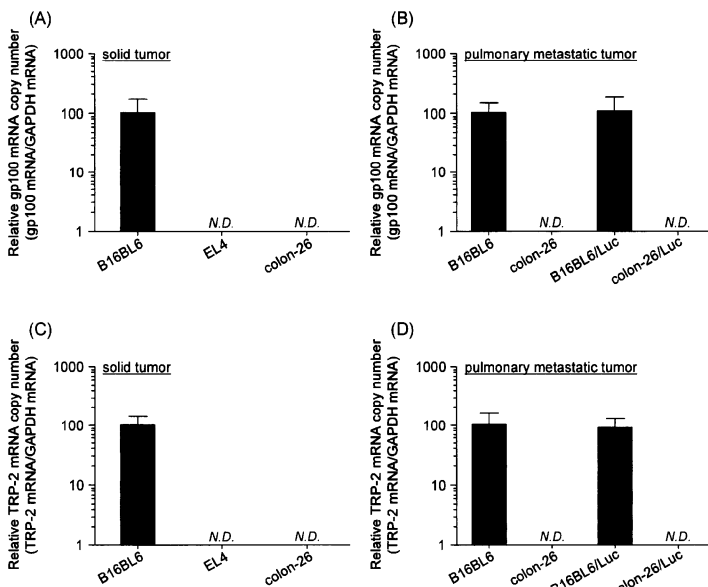
Phone: +81-75-753-4545. Fax: +81-75-753-4575.

E-mail: hashidam@pharm.kyoto-u.ac.jp (M. Hashida)

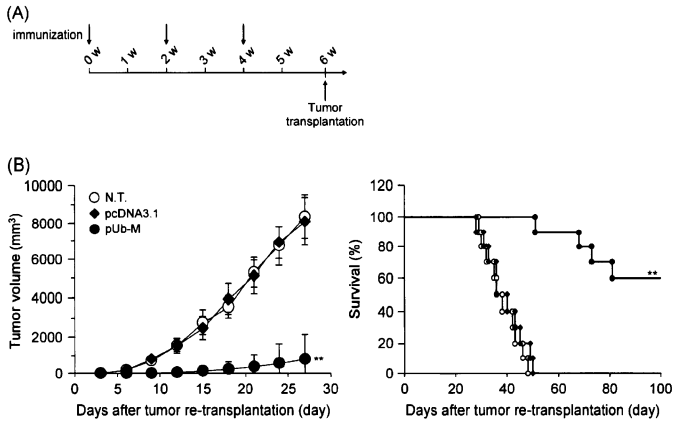
kawakami@pharm.kyoto-u.ac.jp (S. Kawakami)



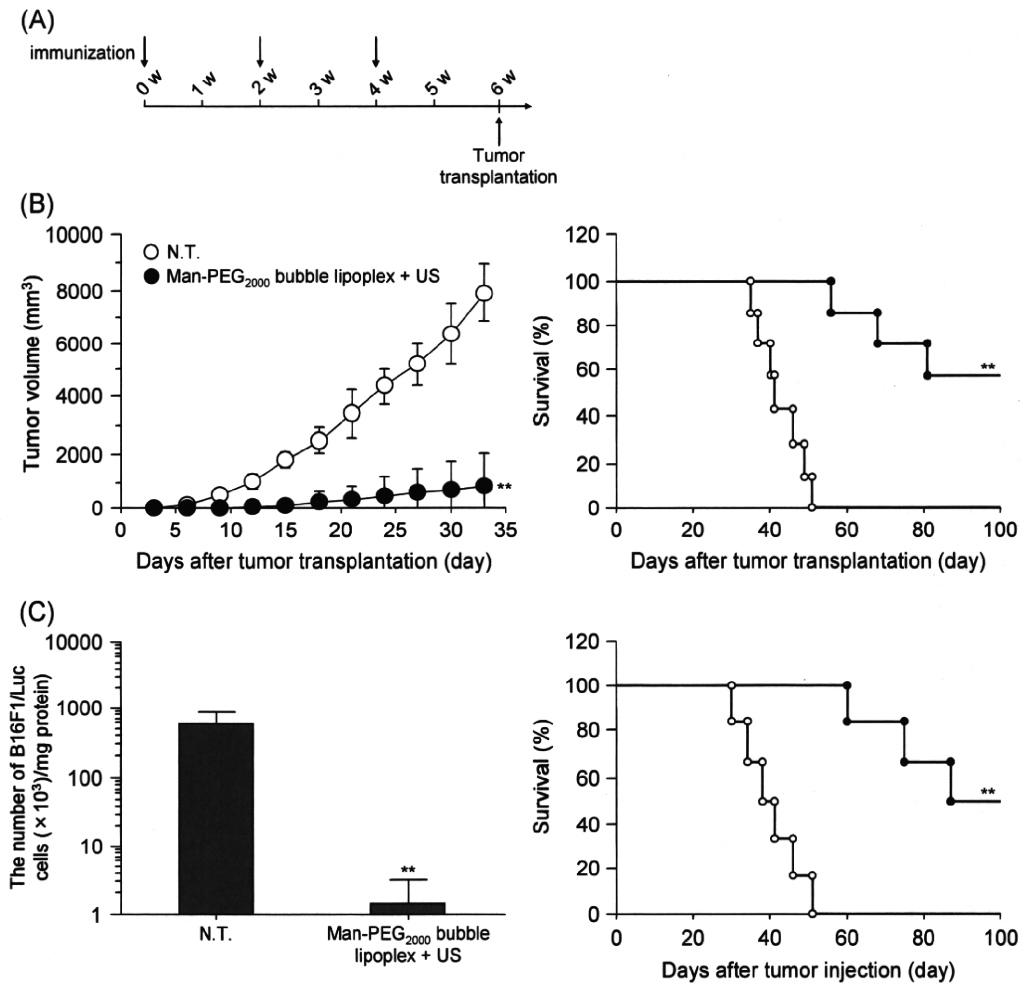
Supplementary Figure 1. The level of gp100 (A) and TRP-2 (B) mRNA expression in B16BL6, EL4, colon-26, B16BL6/Luc and colon-26/Luc cells. Each value represents the mean + S.D. ($n = 3$). N.D., non-detection.



Supplementary Figure 2. The level of gp100 (A,B) and TRP-2 (C,D) mRNA expression in B16BL6-, EL4-, colon-26-, B16BL6/Luc- and colon-26/Luc-derived solid and pulmonary metastatic tumors. The level of mRNA expression in solid tumors were measured at an approximate volume of 1000 mm³ (A,C), and the level of mRNA expression in the lung with metastatic tumors was measured at 14 days after the injection of each type of tumor cell (B,D). Each value represents the mean + S.D. ($n = 3$). N.D., non-detection.



Supplementary Figure 3. The effects of pDNA on vaccine effects against B16BL6-derived solid tumors in DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure. (A) Schedule of therapeutic experiments on solid tumors. (B) The suppressing effects of tumor growth against solid tumors and the prolonging effects of survival in tumor-transplanted mice by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes constructed with pUb-M or pcDNA3.1 (control vector) and US exposure (50 μ g pDNA). Two weeks after the last immunization, B16BL6 cells (1×10^6 cells) were transplanted subcutaneously into the back of mice ($n = 10$). The tumor volume was evaluated (each value represents the mean \pm S.D.) and the survival was monitored up to 100 days after the tumor transplantation. ** $p < 0.01$, compared with N.T.. N.T., no treatment.



Supplementary Figure 4. Cancer vaccine effects against B16F1-derived solid and pulmonary metastatic tumors by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure. (A) Schedule of therapeutic experiments. (B) The suppressing effects of tumor growth against B16F1-derived solid tumors and the prolonging effects of survival by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure (50 μ g pDNA) ($n = 7$). The tumor volume was evaluated (each value represents the mean \pm S.D.) and the survival was monitored up to 100 days after the tumor transplantation. (C) The suppressing effects of pulmonary metastatic B16F1-derived tumors and the prolonging of survival by DNA vaccination using Man-PEG₂₀₀₀ bubble lipoplexes and US exposure (50 μ g pDNA). The pulmonary metastatic B16F1-derived tumors at 14 days after the tumor injection was evaluated by the luciferase activity ($n = 5$, each value represents the mean \pm S.D.) and the survival was monitored up to 100 days after the tumor injection ($n = 6$). * $p < 0.05$; ** $p < 0.01$, compared with the corresponding group of N.T.. N.T., no treatment.