

FIGURE 1. Dynamic evolution of autologous Nef sequences in HIV-infected individuals expressing HLA-B*35. *A*, Frequency of clones representing the HIV-1 Nef amino acid sequence at the RY11 epitope region as indicated in pie charts, based on the results from the Los Alamos database (*left*). The frequencies of individuals whose autologous viruses had the Nef amino acid sequences indicated when the plasma samples were collected from HIV-infected individuals negative (*middle*) or positive (*right*) for HLA-B*35 are shown. Statistical analysis was performed by using the χ^2 test. *B*, Differences in the duration of HIV infection (months since seroconversion) and the autologous *nef* genotypes, wt, Tyr⁸⁵Phe (F85) or Arg⁷⁵Thr (T75) in HLA-B35⁺ patients. Boxes indicate values between 25th and 75th percentiles. Horizontal lines across boxes indicate the median value \pm SD. Lines extend from the box to the highest and lowest values. Data include outliers (\bullet). Statistical analysis was performed by use of the Mann-Whitney *U* test. *C*, A neighbor-joining phylogenetic tree analysis of intrahost evolution of autologous *nef* gene. Plasma HIV-1 RNA samples were collected from patient 19 at the indicated time points. The *nef* gene segment was PCR-amplified, cloned into a plasmid, and sequenced ($n = 61$). The amino acid sequences of the epitopic region are indicated at the *right* of the tree.

Toward HIV-infected primary CD4⁺ cells. CD4⁺ cells were purified from PBMC taken freshly from HIV-negative donors expressing HLA-B*3501 by using a magnetic cell separation system (Miltenyi Biotec) and stimulated with PHA (3 μ g/ml; Sigma-Aldrich) for 4 days. The activated CD4⁺ cells were then infected at relatively high titers (1 μ g of p24 Ag per 10⁶ cells) with wild-type (wt) or various variant HIV-1 for 6 h, and incubated for an additional 3–5 days. The HIV-infected CD4⁺ cells (4000 cells/well) were then mixed with CTL clones at various ET ratios for 6 h at 37°C after having been labeled with ⁵¹Cr. It should be noted that 30 \pm 5% of the cells were p24 Ag⁺ as revealed by intracellular flow cytometric analysis of HIV-infected CD4⁺ cells.

HIV-1 replication assay

PBMC samples freshly isolated from HIV-seronegative donors were first infected with wt or various variant HIV-1s at 5 ng of p24 Ag in 5×10^5 cells for 4 h. The cells were washed, suspended in a culture medium (RPMI 1640, 10% FCS), and seeded in a 96-well plate at 10⁵ cells/well. Three days later, the cells were stimulated with PHA at 2 μ g/ml. Culture supernatants were collected and replaced with a fresh medium supplemented with human rIL-2 every 3 days. To monitor viral replication, we determined the amount of p24 Ag in the culture supernatant by a specific ELISA.

In vitro kinase assay (IVKA)

IVKA was performed as described earlier (34). Briefly, Jurkat cells (10⁷) expressing wt or various variant Nef-GFP fusion proteins were lysed in KEB (50 mM Tris-HCl (pH 8), containing 137 mM NaCl, 2 mM EDTA, 0.5% Nonidet P-40, Na₃VO₄, protease inhibitor mixture) at 24 h postelectroporation. Cleared lysates were immunoprecipitated with anti-GFP polyclonal Ab and the immunoprecipitates were resuspended in KAB (50 mM HEPES (pH 8), containing 150 mM NaCl, 5 mM EDTA, 0.02% Triton X-100, 10 mM MgCl₂) with 10 μ Ci of [γ -³²P]ATP (Amersham) for 5 min. Bound proteins were then separated by SDS-PAGE and subjected to phosphorimager (Bio-Rad) visualization and quantification. Levels of immunoprecipitated Nef-GFP were determined by Western-blotting of the IVKA reactions and subsequent quantification by LICOR Odyssey.

Statistical analysis

Statistical analysis and graphical presentations were done by using a computer program, SigmaPlot, with a statistical package (Hulinks). Unless otherwise indicated, results were given as median or mean \pm SD. Statistical analysis of significance (*p* values) was based on the χ^2 , Mann-Whitney rank sum, or two-tailed *t* test, or a one-way ANOVA, where applicable, and *p* < 0.05 was considered to be significant.

Results

Evolution of PxxP region of Nef associated with HLA-B*35

We previously reported that the Arg⁷⁵ to Thr mutation (T75, amino acid numbers based on SF2 strain) in Nef was functionally asso-

ciated with escape from a CTL response specific for the RY11 epitope (Nef_{75–85}: RPQVPLRPMTY) presented by HLA-B*35 in patients in the chronic phase of an HIV-1 infection (29). When we recruited more subjects including some in the early phase of infection and analyzed their autologous *nef* genotypes, another mutation, Tyr⁸⁵ to Phe (F85), was also found in some of these HLA-B35⁺ patients (Table I). As a result, ~50 and 40% of autologous *nef* alleles encoded the F85 and T75 mutation, respectively, in patients with HLA-B*35 (Fig. 1A), whereas either mutation was found in only ~5% of patients negative for HLA-B*35 as well as in all sequences from the Los Alamos HIV database (www.hiv.lanl.gov/). These data demonstrate that both T75 and F85 single mutations in Nef were differently associated with autologous viruses in patients with HLA-B*35 expression.

Because the F85 mutation was seemingly found in HLA-B35⁺ HIV-infected subjects <2-year since seroconversion, we next analyzed the correlations between the duration of HIV infection and autologous *nef* genotypes in HLA-B35⁺ subjects (Fig. 1B). The median (\pm SD) number of months since seroconversion in subjects with autologous wt, F85, and T75 Nef sequences was 7.0 \pm 1.1, 16.0 \pm 9.4, and 72.0 \pm 19.5, respectively (Fig. 1B). This cross-sectional analysis demonstrated that HIV-1 acquired the F85 mutation earlier and the T75 mutation later concomitant with the reversion of the F85 mutation to the wt during an HIV-1 infection in subjects with HLA-B*35 expression.

Intrahost evolution of Nef mutations associated with HLA-B*35

To ask whether these mutations and reversions occurred sequentially within a subject, we collected plasma viral RNA samples at additional time points from three subjects, patients 001, 003, and 019. The amino acid sequence in the epitopic region sequentially changed from RPQVPLRPMTF to TPQVPLRPMTY (different amino acid residues are underlined; referred to as RF and TY, respectively, hereafter), within each subject (Table I).

To further characterize the intrapatient evolution in this region, the *nef* genotypes of plasma HIV-1 RNA of patient 19 were determined at several time points. The neighbor-joining phylogenetic tree showed that successive fixation of advantageous mutations and the extinction of unfavorable lineages had occurred, suggesting that the focus of the CTL response and/or the balance between the selective pressures that were at work on the epitope had changed over time (Fig. 1C). It is of interest to note that when

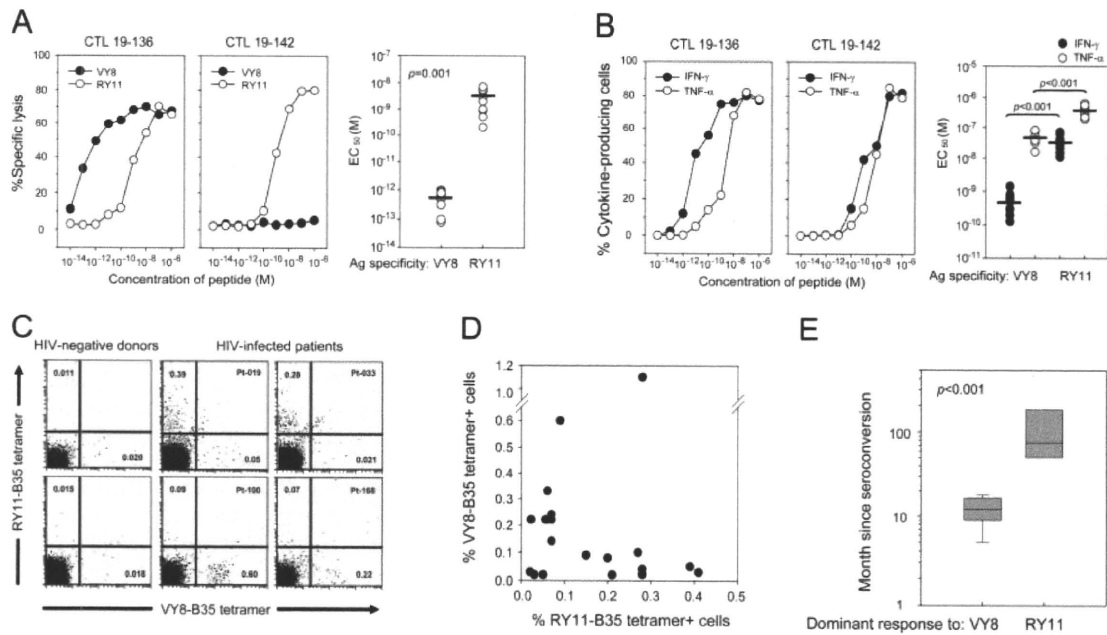


FIGURE 2. HLA-B35-restricted CTL responses toward PxxP region of Nef. *A* and *B*, Cytotoxic activity (*A*) and cytokine-producing activity (*B*) of VY8 or RY11-specific CTL clones generated from multiple donors (patients 01, 03, 19, and 136) were analyzed by using C1R-B3501 cells pulsed with various concentrations of the indicated peptides (Effector to target cell ratio = 2). Representative peptide-titration data obtained by CTL clones 19-136 and 19-142 (generated from PBMC of patient 19) specific for VY8 and RY11, respectively, are shown (*left* and *middle* panels). EC_{50} values thus obtained from an additional nine clones (total 10 clones each) generated from multiple donors are shown (*right* panels). Horizontal bars indicate means, and statistical analysis were performed by using the two-tailed *t* test. *n.s.*, not significant. Cytotoxic activity and cytokine-producing activity in the absence of the cognate peptide were always below 3 and 0.1%, respectively. *C* and *D*, PBMC samples isolated from 5 HIV-negative and 19 HIV-positive donors were analyzed by flow cytometry by using HLA-B*3501 tetramers in complex with VY8 or RY11 peptides. Cells that were $CD3^+CD8^+$ were gated and then analyzed for their frequency of HLA-tetramer⁺ cells. Some representative dot plots of 2 HIV-negative and 4 HIV-positive donors are shown with frequencies of HLA-tetramer⁺ cells in each dot plot (*C*). The frequencies of HLA-tetramer⁺ cells for VY8 and RY11 epitopes in each individual subject are shown (*D*). It should be noted that reversing the fluorochromes of the tetramers gave identical results and that the background level of HLA-tetramer staining was 0.022%, as determined by the data from 5 HIV-negative donors (mean + 3 SD). *E*, Differences in months since seroconversion between the subject groups who showed dominant CD8 T cell responses to VY8 or RY11 epitope. Boxes indicate values between 25th and 75th percentiles. Horizontal lines across boxes indicate the median value \pm SD. Lines extend from the box to the highest and lowest values. Statistical analysis was performed by using the Mann-Whitney *U* test.

the type of Nef variants changed from RF to TY by two amino acid substitutions, the Nef variant with two mutations, i.e., TPQVPLRPMTE (referred to as TF), was not apparently selected. Rather, the T75 mutation appeared to arise from a different lineage of viral quasiespecies in this host (Fig. 1C). In addition, the TF double mutation was barely found in Los Alamos HIV database (1 of 443 entries), suggesting that the combination of these two mutations causes a significant fitness cost in viral replication in vivo.

Fine epitope mapping of HLA-B35-restricted CD8 T cells to PxxP region of Nef

We next examined HLA-B35-restricted CD8 T cell responses toward the PxxP region of Nef. Although HLA-B*35 prefers proline at position 2 in its binding peptide and this region can provide various candidate peptides for CTL epitopes, only two peptides, VY8 (Nef₇₈₋₈₅: VPLRPMTY) and RY11 (Nef₇₅₋₈₅: RPQVPLRPMTY), showed substantial CTL responses in the HLA-B35⁺ subjects (data not shown), confirming previous observations (29, 35, 36). However, it is possible that VY8 is the minimum epitope for CTL, because VY8 is entirely contained within RY11. To clarify this issue, we generated CTL clones by stimulating PBMC of HLA-B35⁺ HIV-infected patients with either VY8 or RY11 peptide and then analyzed their Ag specificity by cytotoxic assays. CTL clone 136 generated from subject patient 19 (designated CTL 19-136) with VY8 stimulation showed cytolytic activities toward target cells pulsed with either peptide, although VY8 was a ~1000-fold more sensitive ligand than RY11 (Fig. 2A). In con-

trast, another CTL clone, CTL 19-142, which had been stimulated with RY11, showed cytolytic activity toward C1R-B3501 cells pulsed with RY11 but not toward those pulsed with VY8 (Fig. 2A). Furthermore, when staining CTL clones with HLA-B*3501 tetramers in complex with VY8 and RY11, CTL 19-136 and 19-142 exclusively bound the VY8- and RY11-B35 tetramers, respectively (data not shown). These data indicate that VY8 and RY11 were different optimal epitopes presented by HLA-B3501 and are recognized by a different set of CTLs.

During the peptide-titration analysis, we noticed that CTL 19-136 had much higher functional avidity for its cognate peptide than CTL 19-142, with the EC_{50} values toward the cognate Ags of CTL 19-136 and 19-142 being 2.81×10^{-13} and 7.50×10^{-10} M, respectively (Fig. 2A). We further generated CTL clones from PBMC of three additional subjects, patients 001, 003, and 033, and determined their functional avidity toward each cognate Ag. Although the functional avidity of these CTL clones were different even within the same specificity (~30-fold), VY8-specific CTL clones had more potent functional avidity than RY11-specific ones (~5000-fold), as the mean EC_{50} values of VY8- and RY11-specific CTL clones were $5.29 \pm 1.13 \times 10^{-13}$ and $3.14 \pm 0.82 \times 10^{-9}$ M, respectively (Fig. 2B).

Furthermore, evaluating the CTL sensitivity by Ag-specific IFN- γ and TNF- α production revealed that VY8-specific CTLs also showed more potent functional avidity than RY11-specific ones, as mean EC_{50} values for IFN- γ secretion were $5.30 \pm 1.21 \times 10^{-10}$ and $3.50 \pm 0.61 \times 10^{-8}$ M, and those for TNF- α

secretion, $5.02 \pm 0.69 \times 10^{-8}$ M and $3.75 \pm 0.48 \times 10^{-7}$ M, for VY8- and RY11-specific clones, respectively (Fig. 2B). However, it is interesting to note that the difference in avidity for cytokine production between VY8- and RY11-specific CTLs was smaller than that observed in cytotoxic activity (Fig. 2, A and B).

Analysis of HLA-B35-restricted CD8 T cell responses to the PxxP region of Nef ex vivo

We next examined the frequency of VY8- and RY11-specific CD8⁺ cells in patients' PBMC ex vivo by using HLA-B35 tetramers in complex with VY8 and RY11 as shown in the representative data in Fig. 2C. The background level of the HLA-tetramer analysis was considered to be 0.022% (mean + 3 SD) as the overall frequency of HLA-tetramer⁺ cells in HIV-negative donors ($n = 6$) was $0.0153 \pm 0.0022\%$. The frequency of HLA-tetramer⁺ cells in HIV-infected subjects ($n = 19$) was 0.198 ± 0.060 and 0.160 ± 0.029 for VY8 and RY11 epitopes, respectively, and both responses were not statistically different overall ($p = 0.58$, paired t test).

Interestingly, looking at the frequencies of HLA-tetramer⁺ cells in each individual subject, every subject showed a response to either the VY8 or RY11 epitope but not to both epitopes simultaneously (Fig. 2D). The median (\pm SD) number of months since seroconversion in subjects who had dominant response to VY8 or RY11 was 13.0 ± 1.4 or 76.0 ± 19 , respectively (Fig. 2E), suggesting an immunological shift from VY8 to RY11 in HLA-B35-restricted CD8 T cell responses during the course of their HIV infection.

Effects of antigenic variations on VY8- and RY11-specific CTLs

We next asked whether Nef mutations affected the binding between epitope peptides and HLA-B*3501. The HLA-I stabilization assay using RMA-S cells expressing HLA-B*3501 showed that the VY8 and RY11 peptides bound HLA-B*3501 comparably, as the EC₅₀ values for their binding activities were $20.4 \pm 7.55 \times 10^{-5}$ and $4.65 \pm 1.63 \times 10^{-5}$ M, respectively. Although the Phe substitution at the C terminus of either peptide (VY8-8F and RY11-11F) did not change their binding activities, the Thr substitution at the N terminus of RY11 (RY11-1T) resulted in \sim 10-fold increased binding activity. These data indicate that the binding activity of all peptides tested were within the range of HLA-B3501-restricted CTL epitopes (29, 31, 36, 37).

We then tested the cytotoxic activity of CTL clones toward C1R-B3501 cells pulsed with the variant peptides. A VY8-specific CTL clone, CTL 19-136, showed \sim 1000-fold decreased sensitivity toward VY8-8F (Fig. 3A). A similar trend was also observed in a panel of nine additional VY8-specific CTL clones as used in Fig. 2A, with mean EC₅₀ of $4.43 \pm 0.63 \times 10^{-13}$ and $8.23 \pm 3.08 \times 10^{-9}$ M for VY8 and VY8-8F, respectively (Fig. 3A). In contrast, a RY11-specific CTL clone, CTL 19-142, showed preserved sensitivity toward RY11-11F, whereas it showed $>$ 100-fold decreased sensitivity toward RY11-1T (Fig. 3B). Again, a panel of nine additional RY11-specific clones showed similar results, with mean EC₅₀ of $2.75 \pm 0.46 \times 10^{-9}$, $4.32 \pm 0.81 \times 10^{-9}$, and $8.47 \pm 3.28 \times 10^{-7}$ M for RY11, RY11-11F, and RY11-1T, respectively (Fig. 3B). These data indicate that VY8- and RY11-specific CTLs had different patterns of Ag fine specificity toward naturally arising variants, suggesting a direct association between the epitope evolution in autologous Nef proteins (Fig. 1B) and the kinetic change of CTL immunodominance in vivo (Fig. 2E).

Cytotoxic activity of VY8- and RY11-specific CTLs toward HIV-infected primary CD4 T cells

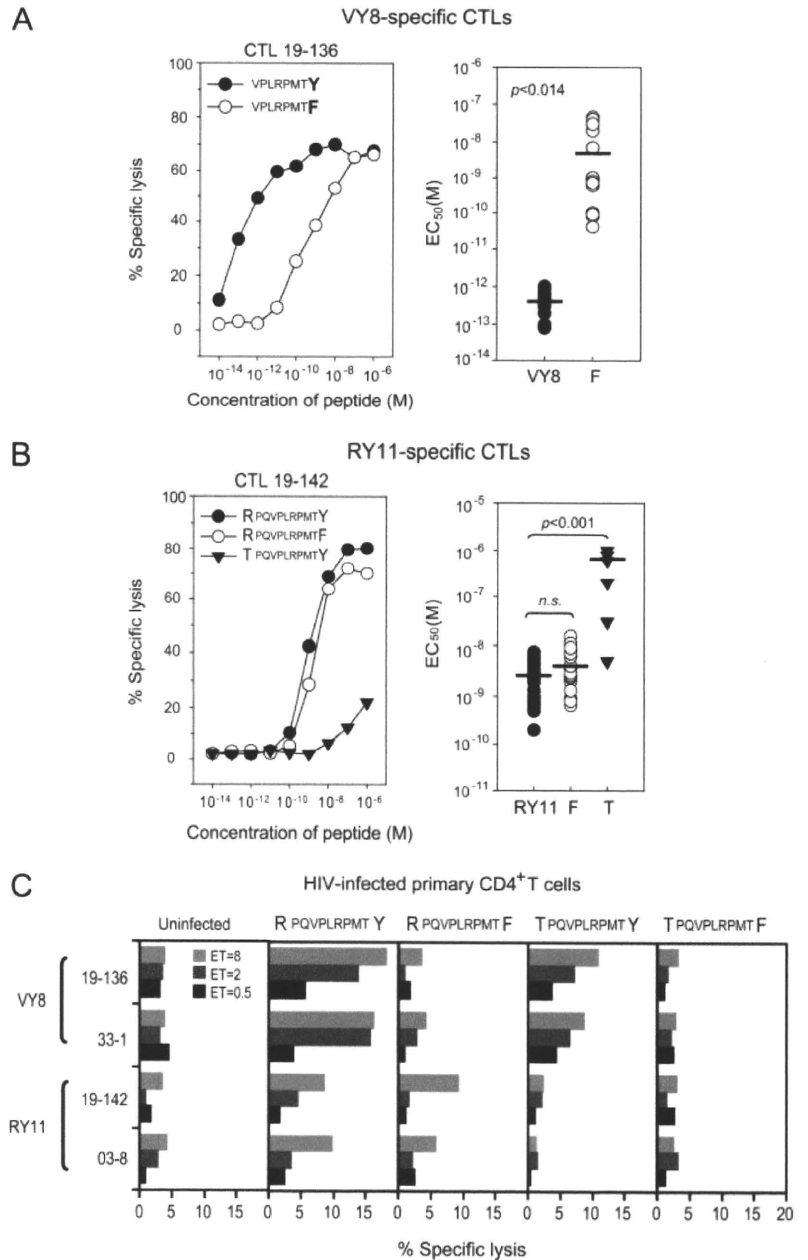
As HIV-infected CD4 T cells are the predominant target of HIV-specific CTLs in vivo, we next examined the cytotoxic activity of CTL clones toward primary CD4 T cells infected with wt or variant HIV-1. CD4 T cells prepared from HIV-negative donors (HLA-B3501⁺) were first stimulated with PHA and then infected with wt or various variant viruses. Four days later, \sim 30% of the cells appeared to be infected with all viruses, as revealed by intracellular flow cytometry for p24 Ag (data not shown), suggesting that all viruses had comparable replicative capacity when primary CD4 T cells were preactivated before infection (see below). Both CTLs specific for VY8 (CTL 19-136 and 33-1) and RY11 (CTL 19-142 and 03-8) were cytotoxic toward CD4 T cells infected with wt HIV-1 (Fig. 3C). However, the cytolytic activity of VY8-specific CTLs was more potent than that of RY11-specific ones, suggesting a link between potent functional avidity (Fig. 2A) and antiviral activity (Fig. 3C) of VY8-specific CTLs. VY8 and RY11-specific CTLs failed to kill primary CD4 T cells infected with F85 and T75 virus variants, respectively (Fig. 3C), consistent with the data obtained from the peptide-pulse experiments (Fig. 3, A and B). In contrast, although VY8-specific CTLs were cytotoxic toward primary CD4 T cells infected with the T75 variant virus, the activity toward the T75 variant was less than that toward the wt virus (Fig. 3C), suggesting that the T75 mutation, located in the region flanking the N terminus of VY8, could modulate the Ag-processing pathway for the generation of the VY8 epitope in these cells. Moreover, these data clearly show that the double mutant virus could escape from both types of CTLs (Fig. 3C). The fact that the mutations in autologous viruses is very rare in combination (Fig. 1A) suggests that the combination of both mutations imposes functional constraints on Nef.

Effects of Nef mutations on down-regulation of surface receptors

We next sought to identify such functional constraints of variants carrying these CTL escape mutations. Because Nef helps HIV-infected cells to evade CTL lysis by down-modulating cell surface HLA-I and the PxxP motif is critical for this activity (12, 17, 26, 38), we first examined whether the mutations affected the HLA-I down-regulation activity by Nef. Down-regulation of cell surface CD4, that is mediated by a different cellular pathway and does not involve the PxxP motif (27), was analyzed in parallel.

We isolated primary CD4 T cells from an HIV-negative donor, activated them with PHA, and infected them with wt or various variant viruses. In flow cytometric analysis, the surface levels of HLA-I were reduced to 40.1% of normal (uninfected cells) in cells infected with wt HIV-1, and no HLA-I down-regulation was observed in Δ Nef virus-infected cells (Fig. 4A). In contrast, the TF double variant showed diminished down-regulation activity, as the TF variant-infected cells retained 73.1% of the normal level of HLA-I, whereas F85 and T75 variants showed HLA-I down-regulation activity comparable to that of the wt, with their surface levels being 36.3 and 46.5%, respectively (Fig. 4A). The same experiments using CD4 T cells isolated from three different HIV-negative donors reproducibly showed the TF variant to have a diminished activity in terms of HLA-I down-regulation (Fig. 4B). In stark contrast, all cells infected with variant viruses except for Δ Nef showed down-regulation activity for CD4 comparable to that of the wt (Fig. 4, A and B). In addition, Western blot analysis of virus-producing cells for Nef proteins showed that all variant viruses except for Δ Nef had expression levels of Nef comparable to that of the wt (data not shown). These data demonstrate that the

FIGURE 3. CTL responses to variant Ags. *A* and *B*, VY8 and RY11-specific CTL clones (same clones as in Fig. 2, *A* and *B*) were tested for their ability to respond to variant peptides by using C1R-B3501 cells pulsed with various concentrations of the wt or variant peptides (ET = 2). Representative peptide-titration data obtained for CTL 19-136 and 19-142 are shown (each *left panel*). EC₅₀ values thus obtained for an additional 9 clones (total 10 clones) are also shown (each *right panel*). Horizontal bars indicate means, and statistic analysis was performed by using the paired *t* test. Cytotoxic activity in the absence of the peptide was always <3%. *C*, The VY8- and RY11-specific CTL clones were analyzed for their cytolytic activity toward target cells at ET = 0.5, 2, and 8 as indicated. The target cells were primary CD4⁺ T cells that had been isolated from an HIV-negative donor (HLA-B3501⁺), activated by PHA, and infected with wt or various variant viruses. The frequency of HIV-infected cells among target cells as determined by intracellular p24 Ag expression was 31.5, 33.2, 34.5, and 29.8% for wt, RF, TY, and TF variants, respectively. An additional experiment conducted by using a different blood donor (HLA-B3501⁺) showed similar results.



combination of both mutations selectively diminishes the HLA-I down-regulation activity by Nef.

Effects of Nef mutations on cytolytic activity of CTL clones with other specificity

To test whether the observed differences in HLA-I down-regulation affect the susceptibility of HIV-infected cells to recognition by CTLs, we assessed the cytolytic activity of CTL clones with specificity to HIV-1 gene products other than Nef and other restriction toward primary CD4 T cells infected with wt and Nef variant viruses.

Freshly isolated CD4 T cells from an HIV-negative donor (HLA-B35⁺ and HLA-A24⁺) were infected with various HIV-1 as above and mixed with CTL clones specific for Pol and Env epitopes presented by HLA-B*3501 as well as with a clone specific for another Nef epitope presented by HLA-A*2402 (designate as B35-Pol, B35-Env, and A24-Nef, respectively). Although the amino acid sequences in the epitope regions of

B35-Pol, B35-Env, and A24-Nef were the same among the wt and variant viruses tested, CTL-mediated killing activity appeared to be different among target cells infected with these viruses (Fig. 4C). Both B35-Pol and B35-Env CTLs showed most potent cytotoxic activity toward target cells infected with the Δ Nef variant, whereas the same CTLs showed weak cytotoxic activity toward wt virus-infected cells (Fig. 4C). Interestingly, CTLs markedly killed cells infected with the TF double mutant virus, whereas they weakly killed cells infected with either T75 or F85 single mutant virus (Fig. 4C). Moreover, in A24-Nef CTL-mediated cytotoxic activity, we also observed that the TF double mutant virus-infected cells were more potently killed than cells infected with wt or single mutant viruses (Fig. 4C). These data suggest that the diminished HLA-I down-regulation (i.e., increased level of cell surface HLA-I) in CD4 T cells infected with the TF double mutant virus resulted in increased susceptibility to killing by CTLs, leading to a possible selective disadvantage for the variant virus in vivo.

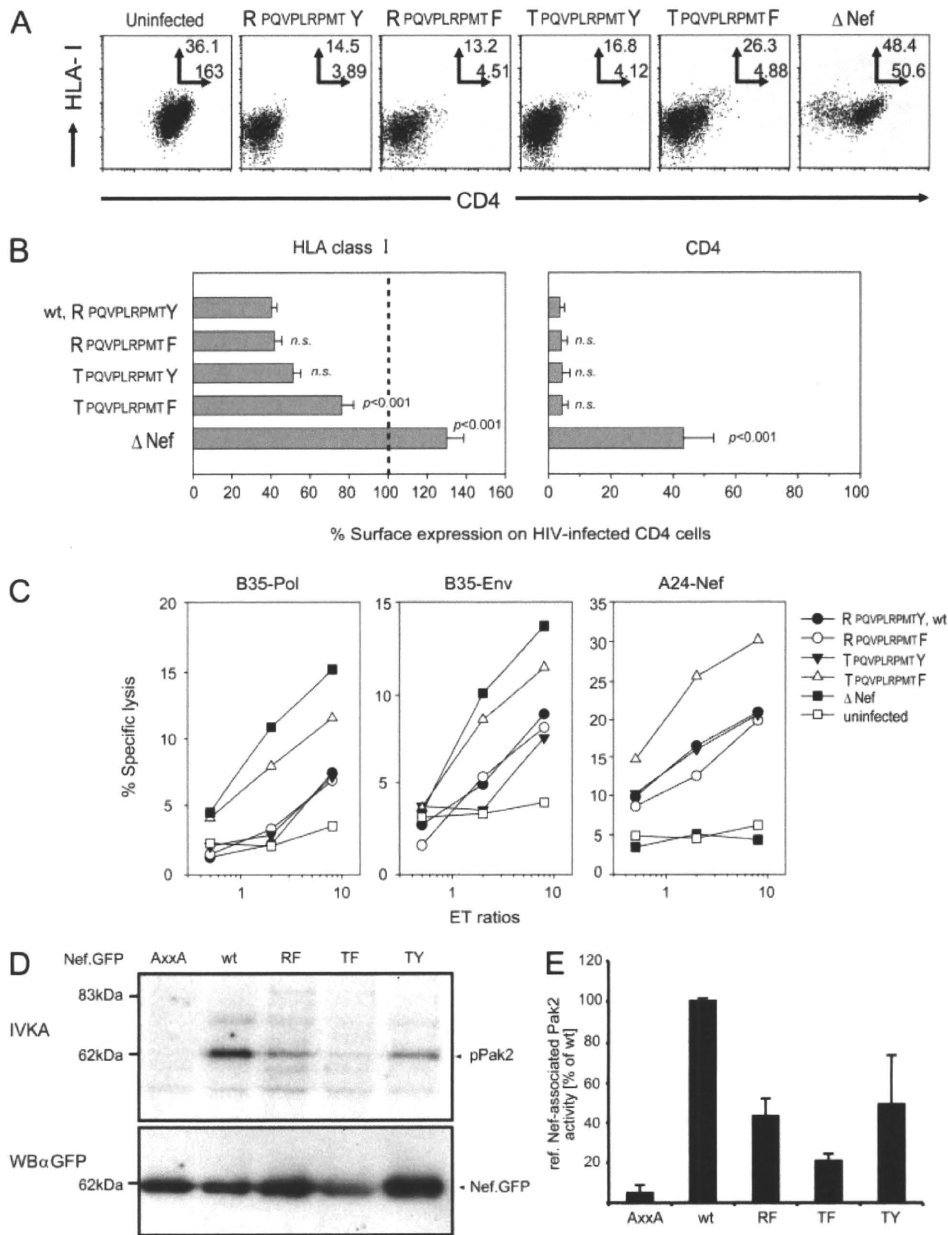


FIGURE 4. Functional consequences of CTL escape Nef mutations. *A*, Freshly isolated primary CD4⁺ cells from an HIV-negative donor (HLA-B35⁺) were activated by PHA for 3 days and then infected with wt or various variants for 5 days. The cells were stained with anti-HLA-Bw6 mAb (clone: SFR8-B6) and anti-CD4 mAb, and 7-AAD followed by intracellular staining for p24 Ag. In flow cytometric analysis, cells negative for 7-AAD and positive for p24 Ag were gated and analyzed for their fluorescence intensity for HLA-Bw6 and CD4. The frequency of infected cells was 29.6, 34.3, 30.5, 31.9, and 26.2% for HIV-1 wt, RF, TY, TF, and ΔNef variants, respectively. The mean fluorescence intensities (MFI) for HLA-Bw6 and CD4 are shown in the right upper corner of the dot plots. *B*, The same experiment as above was done by using three additional HIV-negative donors. The Ab specific for HLA-I allotypes used was either SFR8-B6 or A11,1M as appropriate for each donor. The MFI level of HLA-I and CD4 on uninfected cells was set to 100% and indicated by the dotted vertical line in the graph. Statistical analysis was performed by ANOVA with multiple comparisons vs wt. *n.s.*, not significant. *C*, Primary CD4⁺ cells infected with wt or various variant HIV-1s as in Fig. 3 (the donor carries both HLA-A*2402 and HLA-B*3501) were used as target cells for cytotoxicity by CTL clones specific for HLA-B3501-restricted Pol (Pol₂₇₃₋₂₈₂: VPLDKDFRKY), Env (Env₇₇₋₈₅: DPNPQEVVL), or HLA-A2402-restricted Nef epitope (Nef₁₃₈₋₁₄₇: RYPLTFGWCF). An additional experiment using a different blood donor (positive for both HLA-A*2402 and HLA-B*3501) showed similar results. *D*, Nef-associated Pak2 activity. Jurkat cells were electroporated with plasmid DNAs encoding the indicated Nef-GFP fusion proteins. Total cell lysates were immunoprecipitated with anti-GFP Ab, and the resultant immunoprecipitates were analyzed by IVKA for Pak2 autophosphorylation (pPak) (upper panel). The same IVKA reactions were directly separated by SDS-PAGE and analyzed for immunisolated Nef-GFP levels by Western blotting with anti-GFP Ab (lower panel). *E*, Quantification of Nef-Pak2 association. The indicated values represent the Nef-associated Pak2 activity after the levels of pPak2 had been normalized to the amounts of immunisolated Nef-GFP. Values presented are the mean of at least three independent experiments with the indicated SEM expressed relative to the wt control that was arbitrarily set to 100%.

Effects of Nef mutations on the association of Nef with the cellular kinase Pak2

Given this reduced ability to down-modulate cell surface HLA-I, we also wanted to assess whether other Nef activities that depend

on the interaction of the PxxP motif with SH3 domain-containing ligands are affected by the CTL escape mutations. To this end, we analyzed the association of Nef with cellular Pak2 kinase activity. This interaction is conserved among a variety of lentiviruses (39),

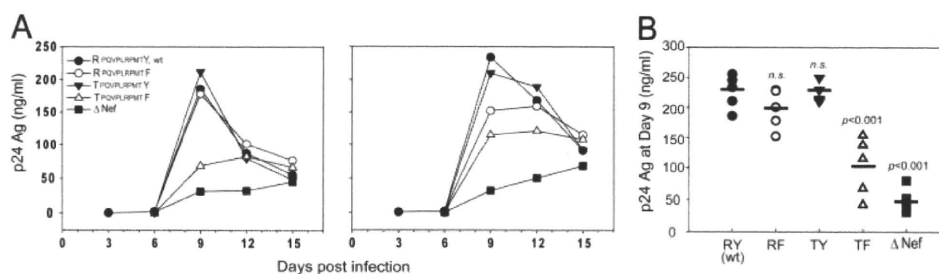


FIGURE 5. Effects of Nef mutations on viral replication in PBMC. *A*, Freshly isolated PBMC samples from two HIV-negative donors were first infected with wt or various variant HIV-1s and 3 days later cells were activated by PHA. For monitoring viral replication, culture supernatants were collected every 3 days and replaced with fresh medium containing rIL-2. *B*, The same experiment as above was done by using three additional HIV-negative donors. The level of p24 Ag obtained at day 9 postinfection was plotted and statistically analyzed based on ANOVA with multiple comparisons vs the wt. *n.s.*, not significant. Horizontal bars indicate means of data obtained for the five different PBMC donors.

strictly depends on the integrity of the PxxP motif and has multiple functional consequences that may optimize virus production (10, 40, 41). Expectedly (34, 39), wt Nef from HIV-1 SF2 (wt) showed robust association with phosphorylated Pak2 (pPak2) while the AxxA mutant (both Pro⁷⁶ and ⁸⁰ to Ala) did not show substantial association with pPak2 (Fig. 4D). Pak2 association was substantially reduced but not abrogated for the F85 (RF) and T75 (TY) single variants. According to phosphorimager quantification of the Nef-associated Pak2 signal and normalization to the levels of Nef present in the IVKA (Fig. 4E), Pak2 association was more than two-fold reduced for these two Nef variants relative to wt. The TF double mutant displayed an even stronger reduction to ~20% Pak2 association relative to wt Nef (Fig. 4, D and E). These data suggest that the T75 and F85 mutations in the PxxP region of Nef affect its ability to interact with SH3 domain-containing ligands.

Effects of Nef mutations on viral replication in PBMC

Nef significantly enhances virus replication in primary CD4 T cells, particularly if these cells are exposed to HIV-1 before activation with mitogens (42, 43). Because amino acid substitutions from prolines to alanines in the PxxP region have been shown to decrease this activity (25, 26, 32), we asked whether the T75 and F85 mutations would have similar effects.

Freshly isolated PBMC from two HIV-negative donors were first exposed to wt or various variant viruses for 3 days and subsequently activated by PHA. In both donors' PBMC, the wt and T75 variant viruses showed comparable replication kinetics, whereas the replication of the ΔNef virus was substantially delayed (Fig. 5A). The replication of the F85 variant virus was comparable to that of the wt virus in PBMC of a donor and was partially impaired with PBMC of another one (Fig. 5A). In contrast, the double TF variant virus showed delayed replication kinetics in PBMC from both donors (Fig. 5A).

To account for this donor variability, results from a total of five donors are summarized in Fig. 5B. As all PBMC samples showed a peak on day 9 after infection with the wt virus, the amounts of p24 Ag at day 9 after infection with the variant viruses were measured and statistically analyzed by multiple comparisons vs the wt (Fig. 5B). The ΔNef virus showed reproducibly the weakest replicative capacity under this assay condition, in good agreement with previous reports (26, 32). In addition, the TF double variant virus showed diminished capacity for viral replication compared with the wt; whereas each type of single variant virus did not show much difference in replication capacity (Fig. 5B). These data demonstrate that, even in the absence of HIV-specific CTL responses, the combination of T75 and F85 mutations is disadvantageous for Nef's ability to enhance virus replication.

Discussion

It is thought that the *nef* gene has higher levels of mutational plasticity in response to selective pressures compared with genes exhibiting structural or functional constraints (e.g., Gag, protease, reverse transcriptase, or integrase), because it exhibits considerable sequence diversity in vivo. In fact, some CTL escape variants of Nef, such as those with the mutations located in the CTL epitopes restricted by HLA-B*57 and HLA-A*24, have been suggested to have minimum fitness cost on the virus. This is because, in such a region, reversions are not often observed after transmission of the virus to new hosts who are negative for that particular *HLA-I* allele and the mutations are readily fixed in the population in the meantime (44, 45). In contrast, we show in the present study that the naturally arising mutations in the well-conserved PxxP region of HIV-1 Nef are selected under active CTL-mediated selective force at work and these mutations alone or in combination can modulate the pathogenic function by HIV-1 Nef including HLA-I down-regulation, enhancement of viral replication, and association with an activated cellular kinase, strongly suggesting that these mutations can impose functional constraints on the Nef activity and viral replication in vivo. Considering that various Nef activities substantially vary during the course of an infection at different stages of disease progression (4) and that there are substantial numbers of HLA-I-associated sequence variations in Nef (46–48), immunosurveillance by the Nef-specific CTLs plays additional roles in modulating the pathogenic potential of HIV-1 through selection of CTL-escape mutations in Nef particularly those in a well-conserved functional region.

It is obvious that HLA-B35-restricted CTL responses were shifted in patients during the early to chronic phase of an HIV-1 infection in our study, as the Nef VY8 epitope was dominantly recognized by CTLs relatively early in the infection, whereas the N-terminal extended RY11 epitope was recognized by CTLs in the chronic phase. This observation is in line with previous reports showing that CTL epitope specificity is different during the course of an HIV infection (23, 49, 50). Particularly, an immunodominant response directed against the HIV Gag p17-derived, HLA-A0201-restricted SL9 epitope (SLYNTVATL) was not detected early in an infection (50). Although the mechanisms underlying this phenomenon are not yet known, one possible explanation is that the responses detected in the early stage of an infection could have "mutated away," opening the field for a second wave of CTL specificities taking over in their place. The CTLs induced by a second or third waves of CTL specificities may have decreased antiviral effectiveness as predicted in the mathematical antigenic oscillation

model proposed by Nowak et al. (51). Our data support this scenario that the highly active VY8-specific CTLs elicited early in an infection were rendered ineffective apparently due to the acquisition of the F85 Nef mutation by the virus and that subsequently the cross-reactive RY11-specific CTLs, yet having moderate antiviral activity, became dominant. It is interesting to note that the T75 variant, which had been selected by RY11-specific CTLs during the chronic phase, can induce de novo variant-specific CTLs with less effective Ag-specific proliferative capacity, further reducing antiviral activity of CTLs in vivo (29).

HLA-B*35 has been documented to be associated with rapid disease progression to AIDS (52). However, a further detailed study showed that individuals having HLA-B*35 allelic variants, including B3502/3503/3504, progress more rapidly to AIDS than do those with HLA-B*3501 (53). All the HLA-B35⁺ subjects in this study were considered to carry HLA-B*3501, as the HLA-B*3501 is highly prevalent in the HLA-B35⁺ Japanese population, though we have not yet done the genotypic analysis of *HLA-B* loci of all of the subjects. Further studies are needed to clarify whether CTL responses toward the PxxP region of Nef may be associated with the difference in the disease progression among HIV-infected patients having different HLA-B35 allelic variants.

Although the TF double mutation provided the best CTL escape of the Nef variants tested here, this variant was barely selected in HLA-B35⁺ patients. This suggested that important functional constraints imposed by these combinatorial mutations precluded selection of these variants. The present study revealed at least two independent possible reasons for such a counterselection. First, the T75 and F85 double mutation in HIV-1 Nef significantly reduced the down-regulation activity of HLA-I and resulted in increased recognition by Pol- and Env-specific CTLs. Because down-regulation of MHC-I by SIV Nef in rhesus macaques limits CD8 T cell-mediated killing and contributes to the pathogenic effect of Nef in vivo (14), these results suggest that the sustained HLA-I down-regulation activity by HIV-1 Nef is required for efficient viral replication in vivo. This observation is in line with a previous report demonstrating that Nef mutations selected by Nef-specific CTLs in vitro, although most mutations disrupted *nef* reading frames in their study, leads to progeny virions that are increased in their susceptibility to CTLs with specificities for proteins other than Nef (54). However, the mutations in that report are different from representative naturally arising variations (54) as the *nef* reading frame is highly maintained intact in vivo (55) and large deletions or frame shifts are rarely observed. In contrast, the current study focused on the naturally arising mutations that are selected under Nef-specific CTL responses in vivo.

Second, the double mutation also affected PxxP-dependent activities of Nef in the absence of HIV-specific CTLs and significantly impaired Nef's ability to boost HIV-1 replication in primary human T lymphocytes. Because the individual mutations caused no significant impairment to HIV replication in the experimental system used, these results also help to explain why the double mutant is counterselected in HIV-infected patients. On the molecular level, Nef's effects on viral replication are likely mediated by a number of yet to be fully defined protein interactions. Among others, its association with Pak2 activity has also been implicated in the Nef-mediated enhancement of virus infectivity and replication (40, 56, 57). In this scenario, our results suggest that the reduction of Nef-Pak2 below a certain threshold activity may contribute to the reduction of Nef's ability to boost HIV spread. More importantly, the reduction of Pak2 association indicates that CTL escape Nef variants are impaired in their interaction with SH3 domains, which is expected to have select functional consequences in various cellular environments.

Together, these results demonstrate that CTL escape has severe consequences on the functionality of the PxxP motif in Nef, both for its role in immunoevasion and intrinsic replicative potential of the virus. Thus, a vaccine regimen that can elicit CTL responses targeting the regions involved in HLA-I down-regulation activity by Nef could be a potent candidate for future vaccine design.

Acknowledgments

We thank Y. Idegami and T. Akahoshi for their technical help. We also thank Drs. A. Kawana-Tachikawa, Zabrina Brumme, and Chanson Brumme for helpful discussion.

Disclosures

The authors have no financial conflict of interest.

References

- Kestler, H. W., 3rd, D. J. Ringler, K. Mori, D. L. Panicali, P. K. Sehgal, M. D. Daniel, and R. C. Desrosiers. 1991. Importance of the *nef* gene for maintenance of high virus loads and for development of AIDS. *Cell* 65: 651–662.
- Deacon, N. J., A. Tsykin, A. Solomon, K. Smith, M. Ludford-Menting, A. Ellett, D. J. Hooker, D. A. McPhee, A. L. Greenway, C. Chatfield, et al. 1995. Genomic structure of an attenuated quasi species of HIV-1 from a blood transfusion donor and recipients. *Science* 270: 988–991.
- Kirchhoff, F., T. C. Greenough, D. B. Brettler, J. L. Sullivan, and R. C. Desrosiers. 1995. Absence of intact *nef* sequences in a long-term survivor with nonprogressive HIV-1 infection. *N. Engl. J. Med.* 332: 228–232.
- Carl, S., T. C. Greenough, M. Krumbiegel, M. Greenberg, J. Skowronski, J. L. Sullivan, and F. Kirchhoff. 2001. Modulation of different human immunodeficiency virus type 1 Nef functions during progression to AIDS. *J. Virol.* 75: 3657–3665.
- Das, S. R., and S. Jameel. 2005. Biology of the HIV Nef protein. *Indian J. Med. Res.* 121: 315–332.
- Fackler, O. T., A. Alcover, and O. Schwartz. 2007. Modulation of the immunological synapse: a key to HIV-1 pathogenesis? *Nat. Rev. Immunol.* 7: 310–317.
- Peterlin, B. M., and D. Trono. 2003. Hide, shield and strike back: how HIV-infected cells avoid immune eradication. *Nat. Rev. Immunol.* 3: 97–107.
- Stevenson, M. 2003. HIV-1 pathogenesis. *Nat. Med.* 9: 853–860.
- Thoulouze, M. I., N. Sol-Foulon, F. Blanchet, A. Dautry-Varsat, O. Schwartz, and A. Alcover. 2006. Human immunodeficiency virus type-1 infection impairs the formation of the immunological synapse. *Immunity* 24: 547–561.
- Haller, C., S. Rauch, N. Michel, S. Hannemann, M. J. Lehmann, O. T. Keppler, and O. T. Fackler. 2006. The HIV-1 pathogenicity factor Nef interferes with maturation of stimulatory T-lymphocyte contacts by modulation of N-Wasp activity. *J. Biol. Chem.* 281: 19618–19630.
- Schindler, M., J. Munch, O. Kutsch, H. Li, M. L. Santiago, F. Bibollet-Ruche, M. C. Muller-Trutwin, F. J. Novembre, M. Peeters, V. Courgnaud, et al. 2006. Nef-mediated suppression of T cell activation was lost in a lentiviral lineage that gave rise to HIV-1. *Cell* 125: 1055–1067.
- Hung, C. H., L. Thomas, C. E. Ruby, K. M. Atkins, N. P. Morris, Z. A. Knight, I. Scholz, E. Barklis, A. D. Weinberg, K. M. Shokat, and G. Thomas. 2007. HIV-1 Nef assembles a Src family kinase-ZAP-70/Syk-PI3K cascade to down-regulate cell-surface MHC-I. *Cell Host Microbe* 1: 121–133.
- Roeth, J. F., and K. L. Collins. 2006. Human immunodeficiency virus type 1 Nef: adapting to intracellular trafficking pathways. *Microbiol. Mol. Biol. Rev.* 70: 548–563.
- Swigut, T., L. Alexander, J. Morgan, J. Lifson, K. G. Mansfield, S. Lang, R. P. Johnson, J. Skowronski, and R. Desrosiers. 2004. Impact of Nef-mediated downregulation of major histocompatibility complex class I on immune response to simian immunodeficiency virus. *J. Virol.* 78: 13335–13344.
- Borrow, P., H. Lewicki, B. H. Hahn, G. M. Shaw, and M. B. Oldstone. 1994. Virus-specific CD8⁺ cytotoxic T-lymphocyte activity associated with control of viremia in primary human immunodeficiency virus type 1 infection. *J. Virol.* 68: 6103–6110.
- Koup, R. A., J. T. Safrit, Y. Cao, C. A. Andrews, G. McLeod, W. Borkowsky, C. Farthing, and D. D. Ho. 1994. Temporal association of cellular immune responses with the initial control of viremia in primary human immunodeficiency virus type 1 syndrome. *J. Virol.* 68: 4650–4655.
- Tomiya, H., H. Akari, A. Adachi, and M. Takiguchi. 2002. Different effects of Nef-mediated HLA class I down-regulation on human immunodeficiency virus type 1-specific CD8⁺ T-cell cytolytic activity and cytokine production. *J. Virol.* 76: 7535–7543.
- Yang, O. O., S. A. Kalams, A. Trocha, H. Cao, A. Luster, R. P. Johnson, and B. D. Walker. 1997. Suppression of human immunodeficiency virus type 1 replication by CD8⁺ cells: evidence for HLA class I-restricted triggering of cytolytic and noncytolytic mechanisms. *J. Virol.* 71: 3120–3128.
- Jin, X., D. E. Bauer, S. E. Tuttleton, S. Lewin, A. Gettie, J. Blanchard, C. E. Irwin, J. T. Safrit, J. Mittler, L. Weinberger, et al. 1999. Dramatic rise in plasma viremia after CD8⁺ T cell depletion in simian immunodeficiency virus-infected macaques. *J. Exp. Med.* 189: 991–998.
- Schmitz, J. E., M. J. Kuroda, S. Santra, V. G. Sasseville, M. A. Simon, M. A. Lifton, P. Racz, K. Tenner-Racz, M. Dalesandro, B. J. Scallan, et al. 1999. Control of viremia in simian immunodeficiency virus infection by CD8⁺ lymphocytes. *Science* 283: 857–860.

21. Klotman, M. E., S. Kim, A. Buchbinder, A. DeRossi, D. Baltimore, and F. Wong-Staal. 1991. Kinetics of expression of multiply spliced RNA in early human immunodeficiency virus type 1 infection of lymphocytes and monocytes. *Proc. Natl. Acad. Sci. USA* 88: 5011–5015.
22. Choppin, J., W. Cohen, A. Bianco, J.-P. Briand, F. Connan, M. Dalod, and J.-G. Guillet. 2001. Characteristics of HIV-1 Nef regions containing multiple CD8⁺ T cell epitopes: wealth of HLA-binding motifs and sensitivity to proteasome degradation. *J. Immunol.* 166: 6164–6169.
23. Lichterfeld, M., X. G. Yu, D. Cohen, M. M. Addo, J. Malenfant, B. Perkins, E. Pae, M. N. Johnston, D. Strick, T. M. Allen, et al. 2004. HIV-1 Nef is preferentially recognized by CD8 T cells in primary HIV-1 infection despite a relatively high degree of genetic diversity. *AIDS* 18: 1383–1392.
24. Culmann-Penciolelli, B., S. Lamhamedi-Cherradi, I. Couillin, N. Guegan, J. P. Levy, J. G. Guillet, and E. Gomard. 1994. Identification of multirestricted immunodominant regions recognized by cytolytic T lymphocytes in the human immunodeficiency virus type 1 Nef protein. *J. Virol.* 68: 7336–7343.
25. Fackler, O. T., D. Wolf, H. O. Weber, B. Laffert, P. D'Aloja, B. Schuler-Thurner, R. Geffin, K. Saksela, M. Geyer, and B. M. Peterlin. 2001. A natural variability in the proline-rich motif of Nef modulates HIV-1 replication in primary T cells. *Curr. Biol.* 11: 1294–1299.
26. Saksela, K., G. Cheng, and D. Baltimore. 1995. Proline-rich (PxxP) motifs in HIV-1 Nef bind to SH3 domains of a subset of Src kinases and are required for the enhanced growth of Nef⁺ viruses but not for down-regulation of CD4. *EMBO J.* 14: 484–491.
27. Geyer, M., O. T. Fackler, and B. M. Peterlin. 2001. Structure-function relationships in HIV-1 Nef. *EMBO Rep.* 2: 580–585.
28. Milicic, A., D. A. Price, P. Zimbwa, B. L. Booth, H. L. Brown, P. J. Easterbrook, K. Olsen, N. Robinson, U. Gileadi, A. K. Sewell, et al. 2005. CD8⁺ T cell epitope-flanking mutations disrupt proteasomal processing of HIV-1 Nef. *J. Immunol.* 175: 4618–4626.
29. Ueno, T., Y. Idegami, C. Motozono, S. Oka, and M. Takiguchi. 2007. Altering effects of antigenic variations in HIV-1 on antiviral effectiveness of HIV-specific CTLs. *J. Immunol.* 178: 5513–5523.
30. Kumar, S., K. Tamura, and M. Nei. 2004. MEGA3: Integrated software for molecular evolutionary genetics analysis and sequence alignment. *Brief. Bioinform.* 5: 150–163.
31. Ueno, T., H. Tomiyama, and M. Takiguchi. 2002. Single T cell receptor-mediated recognition of an identical HIV-derived peptide presented by multiple HLA class I molecules. *J. Immunol.* 169: 4961–4969.
32. Fackler, O. T., A. Moris, N. Tibroni, S. I. Giese, B. Glass, O. Schwartz, and H.-G. Krausslich. 2006. Functional characterization of HIV-1 Nef mutants in the context of viral infection. *Virology* 351: 322–339.
33. Ueno, T., H. Tomiyama, M. Fujiwara, S. Oka, and M. Takiguchi. 2003. HLA class I-restricted recognition of an HIV-derived epitope peptide by a human T cell receptor α chain having a V δ 1 variable segment. *Eur. J. Immunol.* 33: 2910–2916.
34. Krautkramer, E., S. I. Giese, J. E. Gasteier, W. Muranyi, and O. T. Fackler. 2004. Human immunodeficiency virus type 1 Nef activates p21-activated Kinase via recruitment into lipid rafts. *J. Virol.* 78: 4085–4097.
35. Rowland-Jones, S., J. Sutton, K. Ariyoshi, T. Dong, F. Gotch, S. McAdam, D. Whitby, S. Sabally, A. Gallimore, T. Corrah, et al. 1995. HIV-specific cytotoxic T-cells in HIV-exposed but uninfected Gambian women. *Nat. Med.* 1: 59–64.
36. Tomiyama, H., K. Miwa, H. Shiga, Y. I. Moore, S. Oka, A. Iwamoto, Y. Kaneko, and M. Takiguchi. 1997. Evidence of presentation of multiple HIV-1 cytotoxic T lymphocyte epitopes by HLA-B*3501 molecules that are associated with the accelerated progression of AIDS. *J. Immunol.* 158: 5026–5034.
37. Ueno, T., H. Tomiyama, M. Fujiwara, S. Oka, and M. Takiguchi. 2004. Functionally impaired HIV-specific CD8 T cells show high affinity TCR-ligand interactions. *J. Immunol.* 173: 5451–5457.
38. Collins, K. L., B. K. Chen, S. A. Kalams, B. D. Walker, and D. Baltimore. 1998. HIV-1 Nef protein protects infected primary cells against killing by cytotoxic T lymphocytes. *Nature* 391: 397–401.
39. Kirchhoff, F., M. Schindler, N. Bailer, G. H. Renkema, K. Saksela, V. Knoop, M. C. Muller-Trutwin, M. L. Santiago, F. Bibollet-Ruche, M. T. Dittmar, et al. 2004. Nef proteins from simian immunodeficiency virus-infected chimpanzees interact with p21-activated kinase 2 and modulate cell surface expression of various human receptors. *J. Virol.* 78: 6864–6874.
40. Fackler, O. T., W. Luo, M. Geyer, A. S. Alberts, and B. M. Peterlin. 1999. Activation of Vav by Nef induces cytoskeletal rearrangements and downstream effector functions. *Mol. Cell* 3: 729–739.
41. Wolf, D., V. Witte, B. Laffert, K. Blume, E. Stromer, S. Trapp, P. d'Aloja, A. Schurmann, and A. S. Baur. 2001. HIV-1 Nef associated PAK and PI3-kinases stimulate Akt-independent Bad-phosphorylation to induce anti-apoptotic signals. *Nat. Med.* 7: 1217–1224.
42. Miller, M. D., M. T. Warmerdam, I. Gaston, W. C. Greene, and M. B. Feinberg. 1994. The human immunodeficiency virus-1 nef gene product: a positive factor for viral infection and replication in primary lymphocytes and macrophages. *J. Exp. Med.* 179: 101–113.
43. Spina, C. A., T. J. Kwok, M. Y. Chowes, J. C. Guatelli, and D. D. Richman. 1994. The importance of nef in the induction of human immunodeficiency virus type 1 replication from primary quiescent CD4 lymphocytes. *J. Exp. Med.* 179: 115–123.
44. Furutsuki, T., N. Hosoya, A. Kawana-Tachikawa, M. Tomizawa, T. Odawara, M. Goto, Y. Kitamura, T. Nakamura, A. D. Kelleher, D. A. Cooper, and A. Iwamoto. 2004. Frequent transmission of cytotoxic-T-lymphocyte escape mutants of human immunodeficiency virus type 1 in the highly HLA-A24-positive Japanese population. *J. Virol.* 78: 8437–8445.
45. Leslie, A., D. Kavanagh, I. Honeyborne, K. Pfafferott, C. Edwards, T. Pillay, L. Hilton, C. Thobakgale, D. Ramduth, R. Draenert, et al. 2005. Transmission and accumulation of CTL escape variants drive negative associations between HIV polymorphisms and HLA. *J. Exp. Med.* 201: 891–902.
46. Bhattacharya, T., M. Daniels, D. Heckerman, B. Foley, N. Frahm, C. Kadie, J. Carlson, K. Yusim, B. McMahon, B. Gaschen, et al. 2007. Founder effects in the assessment of HIV polymorphisms and HLA allele associations. *Science* 315: 1583–1586.
47. Brumme, Z. L., C. J. Brumme, D. Heckerman, B. T. Korber, M. Daniels, J. Carlson, C. Kadie, T. Bhattacharya, C. Chui, J. Szinger, et al. 2007. Evidence of differential HLA class I-mediated viral evolution in functional and accessory/regulatory genes of HIV-1. *PLoS Pathog.* 3: e94.
48. Kiepiela, P., K. Ngumbela, C. Thobakgale, D. Ramduth, I. Honeyborne, E. Moodley, S. Reddy, C. de Pierres, Z. Mncube, N. Mkhwanazi, et al. 2007. CD8⁺ T-cell responses to different HIV proteins have discordant associations with viral load. *Nat. Med.* 13: 46–53.
49. Bansal, A., E. Gough, S. Sabbaj, D. Ritter, K. Yusim, G. Sfakianos, G. Aldrovandi, R. A. Kaslow, C. M. Wilson, M. J. Mulligan, et al. 2005. CD8 T-cell responses in early HIV-1 infection are skewed towards high entropy peptides. *AIDS* 19: 241–250.
50. Goulder, P. J. R., M. A. Altfeld, E. S. Rosenberg, T. Nguyen, Y. Tang, R. L. Eldridge, M. M. Addo, S. He, J. S. Mukherjee, M. N. Phillips, et al. 2001. Substantial differences in specificity of HIV-specific cytotoxic T cells in acute and chronic HIV infection. *J. Exp. Med.* 193: 181–194.
51. Nowak, M. A., R. M. May, R. E. Phillips, S. Rowland-Jones, D. G. Lalloo, S. McAdam, P. Klenerman, B. Koppe, K. Sigmund, C. R. M. Bangham, and A. J. McMichael. 1995. Antigenic oscillations and shifting immunodominance in HIV-1 infections. *Nature* 375: 606–611.
52. Carrington, M., G. W. Nelson, M. P. Martin, T. Kissner, D. Vlahov, J. J. Goedert, R. Kaslow, S. Buchbinder, K. Hoots, and S. J. O'Brien. 1999. HLA and HIV-1: heterozygote advantage and B*35-Cw*04 disadvantage. *Science* 283: 1748–1752.
53. Gao, X., G. W. Nelson, P. Karacki, M. M. P. J. Phair, R. Kaslow, J. J. Goedert, S. Buchbinder, K. Hoots, D. Vlahov, S. J. O'Brien, and M. Carrington. 2001. Effect of a single amino acid change in MHC class I molecules on the rate of progression to AIDS. *N. Engl. J. Med.* 344: 1668–1675.
54. Ali, A., S. Pillai, H. Ng, R. Lubong, D. D. Richman, B. D. Jamieson, Y. Ding, M. J. McElrath, J. C. Guatelli, and O. O. Yang. 2003. Broadly increased sensitivity to cytotoxic T lymphocytes resulting from Nef epitope escape mutations. *J. Immunol.* 171: 3999–4005.
55. Alexander, L., E. Weiskopf, T. C. Greenough, N. C. Gaddis, M. R. Auerbach, M. H. Malim, S. J. O'Brien, B. D. Walker, J. L. Sullivan, and R. C. Desrosiers. 2000. Unusual polymorphisms in human immunodeficiency virus type 1 associated with nonprogressive infection. *J. Virol.* 74: 4361–4376.
56. Campbell, E. M., R. Nunez, and T. J. Hope. 2004. Disruption of the actin cytoskeleton can complement the ability of Nef to enhance human immunodeficiency virus type 1 infectivity. *J. Virol.* 78: 5745–5755.
57. Wiskerchen, M., and C. Cheng-Mayer. 1996. HIV-1 Nef association with cellular serine kinase correlates with enhanced viron infectivity and efficient proviral DNA synthesis. *Virology* 224: 292–301.

Correspondence

AIDS 2008, 22:993–998

Determination of a major histocompatibility complex class I restricting simian immunodeficiency virus Gag_{241–249} epitope

Several major histocompatibility complex class I (MHC-I) alleles such as *HLA-B*57* have been shown to be associated with lower viral loads and better prognosis in HIV-1 infections, and MHC-I-restricted epitope-specific effective cytotoxic T lymphocyte (CTL) responses are found to play an important role in this reduction of viral loads [1–3]. Characterization of these effective CTLs could contribute to the development of an effective AIDS vaccine.

We have developed a prophylactic vaccine using a Sendai virus vector expressing simian immunodeficiency virus mac239 (SIVmac239) Gag (SeV-Gag) and have shown its protective efficacy against SIVmac239 challenge in a group of Burmese rhesus macaques (*Macaca mulatta*) sharing an MHC-I haplotype *90-120-Ia* [4]. Involvement of SIVmac239 Gag_{241–249} (SSVDEQIQW) epitope-specific CTL responses in this viral control have been indicated [5]. Interestingly, the SIVmac239 Gag_{241–249} epitope is located in a region corresponding to the HLA-B*57-restricted HIV-1 Gag_{240–249} epitope, TW10 (TSTLQEQIAW), and TW10-specific CTL responses have also been indicated to exert strong suppressive pressure on HIV-1 replication resulting in lower viral loads [6,7]. An SIVmac239 Gag_{241–249}-specific CTL escape mutation has been shown to result in a loss of viral fitness similarly with a TW10-specific CTL escape mutation [5]. In the present study, for further analysis of SIVmac239 Gag_{241–249}-specific CTL function, we have tried to determine the MHC-I that restricts this CTL epitope.

Among eight MHC-I alleles consisting of MHC-I haplotype *90-120-Ia* [4,8], expression of three alleles, *Mamu-A*90120-4*, *Mamu-A*90120-5*, and *Mamu-B*90120-6*, was predominant at RNA levels. We cloned cDNAs of these three alleles and established HLA-A/B/C-negative human 721.221 cell lines [9] expressing these cDNAs, respectively. These cells were pulsed with 10 nmol/l of Gag_{241–249} peptide and used as target cells for the CTL assay using an SIVmac239 Gag_{241–249}-specific CTL clone as the effector. Measurement of cytotoxicity in standard ⁵¹Cr release assay [5] revealed specific killing of Gag_{241–249}-pulsed cells expressing *Mamu-A*90120-5*, indicating restriction of this CTL epitope by the *Mamu-A*90120-5* molecule (Fig. 1a).

Both of the *Mamu-A*90120-5*-restricted SIVmac239 Gag_{241–249} epitope and the HLA-B*57-restricted HIV-1 TW10 epitope are considered to have the same anchor residues, serine (S) at position 2 and tryptophan (W) at the

carboxyl terminus. Comparison of amino acid sequences of antigenic peptide-binding domains ($\alpha 1$ and $\alpha 2$ domains) in *Mamu-A*90120-5* with those in HLA-B*5701 revealed limited similarities (154/182 = 84.6%) between these two (Fig. 1b). This might be compatible with previous reports indicating that human and macaque MHC-I molecules with divergent peptide-binding grooves can bind similar or identical peptides [10,11]. MHC-I molecules form a peptide-binding groove including B-pocket and F-pocket that play a key role in determination of the binding peptide motif for its specific binding to the MHC-I. *Mamu-A*90120-5* and HLA-B*5701 showed similarity in eight of 11 residues at 7, 9, 24, 25, 34, 45, 63, 66, 67, 70, and 99, which are considered to be anchor residues involved in B-pocket binding and in seven of eight residues at 77, 80, 81, 116, 123, 143, 146, and 147 involved in F-pocket binding [11–13].

In addition, TW10 epitope-specific CTLs, HLA-B*57-restricted HIV-1 Gag_{147–155} [ISW9 (ISPRTLNAW)] epitope-specific CTLs have also been indicated to exert strong selective pressure on HIV-1 [14]. The SIVmac239 Gag_{149–157} amino acid sequence corresponding to the HIV-1 Gag_{147–155} epitope region is LSPRTLNAW, showing a difference at the amino terminus, and CTL responses specific for a peptide including the SIVmac239 Gag_{149–157} amino acid sequence were not induced by SeV-Gag vaccination in *Mamu-A*90120-5*-positive macaques (data not shown). Interestingly, the SIVmac239 Gag 148th proline (P) and 149th leucine (L) correspond to the HIV-1 Gag 146th P and the 147th L, respectively that have been indicated to be selected in HIV-1-infected humans possessing HLA-B*57. Selection of the former 146th P has been shown to result in escape from ISW9-specific CTL recognition by disturbance in antigen processing [14]. Thus, it is speculated that the SIVmac239 Gag_{149–157}-derived peptide may not be presented by *Mamu-A*90120-5* even if it has an ability to bind this peptide.

Both SIVmac239 Gag_{241–249}-specific CTLs and HIV-1 TW10-specific CTLs have been indicated to exert strong suppressive pressure on SIV/HIV-1 replication and select for a mutation resulting in escape from their recognition at the cost of viral fitness. Thus, this Gag region may be a promising CTL target for viral control, and SIVmac239 infection in *Mamu-A*90120-5*-positive macaques could be a unique model for examining viral replication in the

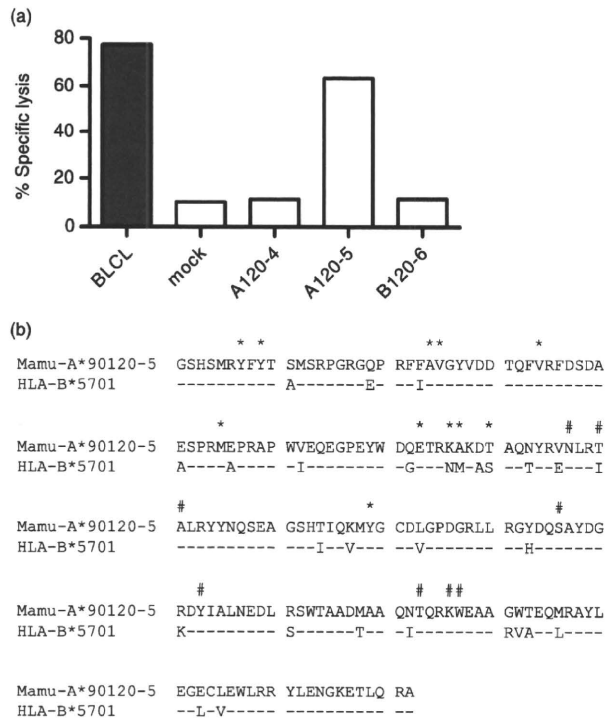


Fig. 1. Mamu-A*90120-5 that restricts the SIV Gag₂₄₁₋₂₄₉ epitope. (a) CTL assay using a Gag₂₄₁₋₂₄₉-specific CTL clone on a B-lymphoblastoid cell line derived from a macaque possessing 90-120-1a (BLCL), 721.221 cells (mock), and 721.221 cells expressing Mamu-A*90120-4 (A120-4), Mamu-A*90120-5 (A120-5), and Mamu-B*90120-6 (B120-6), respectively. (b) Amino acid sequences of the Mamu-A*90120-5 α 1 and α 2 domains in comparison with HLA-B*5701. The anchor residues involved in B and F-pocket binding are indicated by * and #, respectively.

presence of those CTLs targeting this region like TW10-specific CTLs. Finally, we obtained a phycoerythrin-conjugated Gag₂₄₁₋₂₄₉ epitope-Mamu-A*90120-5 tetramer for specific detection of Gag₂₄₁₋₂₄₉-specific CTLs. This could be useful for the analysis of Gag₂₄₁₋₂₄₉-specific CTL responses in Mamu-A*90120-5-positive macaques infected with SIVmac239.

Acknowledgements

The present work was supported in part by grants from the Ministry of Education, Culture, Sports, Science, and Technology, grants from the Japan Health Sciences Foundation, and grants from the Ministry of Health, Labor, and Welfare in Japan.

Tetsuo Tsukamoto^a, Sachi Dohki^b, Takamasa Ueno^b, Miki Kawada^a, Akiko Takeda^a, Michio Yasunami^c, Taeko Naruse^c, Akinori Kimura^c, Masafumi Takiguchi^{b,*} and Tetsuro Matano^{a,*}, ^aInternational Research Center for Infectious Diseases, The Institute of Medical Science, The University of Tokyo, Tokyo,

^bDivision of Viral Immunology, Center for AIDS Research, Kumamoto University, Kumamoto, and ^cDepartment of Molecular Pathogenesis, Medical Research Institute, Tokyo Medical and Dental University, Tokyo, Japan.

Correspondence to Dr Tetsuro Matano; International Research Center for Infectious Diseases, The Institute of Medical Science, The University of Tokyo, 4-6-1 Shirokanedai, Minato-ku, Tokyo 108-8639, Japan. E-mail: matano@m.u-tokyo.ac.jp

*These authors contributed equally to the study.

References

1. Kaslow RA, Carrington M, Apple R, Park L, Muñoz A, Saah AJ, et al. Influence of combinations of human major histocompatibility complex genes on the course of HIV-1 infection. *Nat Med* 1996; 2:405-411.
2. Migueles SA, Sabbaghian MS, Shupert WL, Bettinotti MP, Marincola FM, Martino L, et al. HLA B*5701 is highly associated with restriction of virus replication in a subgroup of HIV-infected long term nonprogressors. *Proc Natl Acad Sci U S A* 2000; 97:2709-2714.
3. Kiepiela P, Leslie AJ, Honeyborne I, Ramduth D, Thobakgale C, Chetty S, et al. Dominant influence of HLA-B in mediating the potential co-evolution of HIV and HLA. *Nature* 2004; 432:769-775.
4. Matano T, Kobayashi M, Igarashi H, Takeda A, Nakamura H, Kano M, et al. Cytotoxic T lymphocyte-based control of simian immunodeficiency virus replication in a preclinical AIDS vaccine trial. *J Exp Med* 2004; 199:1709-1718.
5. Kawada M, Igarashi H, Takeda A, Tsukamoto T, Yamamoto H, Dohki S, et al. Involvement of multiple epitope-specific cytotoxic T-lymphocyte responses in vaccine-based control of simian immunodeficiency virus replication in rhesus macaques. *J Virol* 2006; 80:1949-1958.
6. Leslie AJ, Pfafferott KJ, Chetty P, Draenert R, Addo MM, Feeney M, et al. HIV evolution: CTL escape mutation and reversion after transmission. *Nat Med* 2004; 10:282-289.
7. Goulder PJ, Watkins DI. HIV and SIV CTL escape: implications for vaccine design. *Nat Rev Immunol* 2004; 4:630-640.
8. Takahashi-Tanaka Y, Yasunami M, Naruse T, Hinohara K, Matano T, Mori K, et al. Reference strand-mediated conformation analysis (RSCA)-based typing of multiple alleles in the rhesus macaque MHC class I Mamu-A and Mamu-B loci. *Electrophoresis* 2007; 28:918-924.
9. Shimizu Y, DeMars R. Production of human cells expressing individual transferred HLA-A,-B,-C genes using an HLA-A,-B,-C null human cell line. *J Immunol* 1989; 142:3320-3328.
10. Evans DT, Knapp LA, Jing P, Piekarczyk MS, Hinshaw VS, Watkins DI. Three different MHC class I molecules bind the same CTL epitope of the influenza virus in a primate species with limited MHC class I diversity. *J Immunol* 1999; 162:3970-3977.
11. Hickman-Miller HD, Bardet W, Gilb A, Luis AD, Jackson KW, Watkins DI, et al. Rhesus macaque MHC class I molecules present HLA-B-like peptides. *J Immunol* 2005; 175:367-375.
12. Bjorkman PJ, Saper MA, Samraoui B, Bennett WS, Strominger JL, Wiley DC. The foreign antigen binding site and T cell recognition regions of class I histocompatibility antigens. *Nature* 1987; 329:512-518.
13. Saper MA, Bjorkman PJ, Wiley DC. Refined structure of the human histocompatibility antigen HLA-A2 at 2.6 Å resolution. *J Mol Biol* 1991; 219:277-319.
14. Draenert R, Le Gall S, Pfafferott KJ, Leslie AJ, Chetty P, Brander C, et al. Immune selection for altered antigen processing leads to cytotoxic T lymphocyte escape in chronic HIV-1 infection. *J Exp Med* 2004; 199:905-915.

Does tenofovir increase efavirenz hepatotoxicity?

Antiretroviral drugs have the potential to cause liver toxicity, especially in hepatitis B virus or hepatitis C virus coinfecting patients. Tenofovir is among the few antiretrovirals that are considered nonhepatotoxic, whereas efavirenz can cause liver enzyme elevations [1,2]. We report three cases of liver enzyme elevations in persistently hepatitis B virus and hepatitis C virus-negative, HIV-infected patients after the addition of tenofovir to an efavirenz-containing regimen.

Patient 1

A 58-year-old Caucasian man was on virologically successful antiretroviral therapy (zidovudine, lamivudine and efavirenz, respectively) since July 2002. In July 2007, zidovudine was replaced by tenofovir because of lipotrophy and bone marrow toxicity. Four weeks later, alanine aminotransferase (ALT, normal values <50 IU/l) was 92 IU/l and aspartate aminotransferase (AST, normal values <50 IU/l) was 62 IU/l. Both enzymes had always been within the normal range prior to the switch. Further controls showed ALT 144 IU/l and AST 84 IU/l (after a further 1 month) and ALT 142 IU/l and AST 77 IU/l 3 months after tenofovir introduction. The patient then stopped tenofovir and began didanosine. Three weeks later, ALT was 48 IU/l and AST was 44 IU/l.

Patient 2

A 34-year-old African woman was on zidovudine, lamivudine and abacavir since September 2003. In October 2006, abacavir was replaced by efavirenz because of virological failure. In August 2007, owing to anaemia, zidovudine was stopped and tenofovir was started. In September 2007, ALT and AST (previously normal) were 133 and 199 IU/l, respectively; liver enzyme elevation was confirmed subsequently after 3 weeks (ALT 186 IU/l, AST 146 IU/l). Highly active antiretroviral therapy (HAART) was stopped and, in the beginning of November 2007, ALT and AST were back to normal (36 and 30 IU/l, respectively). The patient is on abacavir, lamivudine and lopinavir/ritonavir since December 2007.

Patient 3

A 30-year-old Caucasian man was on lamivudine, tenofovir and efavirenz since April 2007. In May 2007, ALT and AST (previously normal) were 392 and 225 IU/l. ART was discontinued and, 40 days later, ALT was 23 IU/l

and AST was 29 IU/l, respectively. The patient is on didanosine, lamivudine and nevirapine since December 2007.

No cases of tenofovir-related hepatotoxicity have been reported in the literature, and the drug appears to be well tolerated even in cirrhotic patients [1]. In contrast, numerous cases of hepatotoxicity are related to efavirenz use [2,3]. Interestingly, in individuals who are slow efavirenz metabolisers, such as those with CYP2B6 loss/diminished-function alleles, efavirenz plasma area under the curve values are highest among patients receiving tenofovir [4], and an unexpected development of neuropsychiatric adverse events has been reported following addition of tenofovir to an efavirenz-containing ART regimen [5]. We have not measured efavirenz plasma concentrations in our three patients, and therefore we cannot prove whether an increased efavirenz plasma concentration is responsible for the observed rise in aminotransferase levels. Alternatively, hepatotoxicity may be responsible for a highly infrequent tenofovir-related side-effect. Analysis of large databases or pharmacokinetic studies is needed to confirm, extend and explain our observations.

Emanuela Lattuada^a, Massimiliano Lanzafame^a, Giada Carolo^a, Martina Gottardi^a, Ercole Concia^a and Sandro Vento^b, ^aUnit of Infectious Diseases, 'G.B. Rossi' Hospital, Verona, Italy, and ^bUnit of Infectious Diseases, 'Annunziata' Hospital, Cosenza, Italy.

Correspondence to Massimiliano Lanzafame, Unit of Infectious Diseases, 'G.B. Rossi' Hospital, Verona, via Strada Romana 11, San Bonifacio (VR), CAP 37047, Italy.

Tel: +39 0458128256; fax: +39 0458128257; e-mail: masino69@hotmail.com

References

1. Gutierrez S, Guillemi S, Jahnke N, Montessori V, Harrigan PR, Montaner JSG. **Tenofovir-based rescue therapy for advanced liver disease in 6 patients coinfecting with HIV and hepatitis B virus and receiving lamivudine.** *Clin Infect Dis* 2008; **46**:e28–e30.
2. Kontorinis N, Dieterich DT. **Toxicity of nonnucleoside analogue reverse transcriptase inhibitors.** *Semin Liver Dis* 2003; **23**:173–182.
3. Rivero A, Mira JA, Pineda JA. **Liver toxicity induced by nonnucleoside reverse transcriptase inhibitors.** *J Antimicrob Chemother* 2007; **59**:342–346.
4. Rotger M, Colombo S, Furrer H, Décosterd L, Buclin T, Telenti A. **Does tenofovir influence efavirenz pharmacokinetics?** *Antivir Ther* 2007; **12**:115–118.
5. Allavena C, Le Moal G, Michau C, Chiffolleau A, Raffi F. **Neuropsychiatric adverse events after switching from an antiretroviral regimen containing efavirenz without tenofovir to an efavirenz regimen containing tenofovir: a report of nine cases.** *Antivir Ther* 2006; **11**:263–265.

Methodological issues of non-inferiority trials in HIV-infected patients: a need for consensus?

We read with great interest the publication by Pulido *et al.* [1] who reported the results of a randomized trial evaluating a lopinavir–ritonavir monotherapy for maintenance in HIV-infected patients. On the basis of the primary endpoint, the authors concluded that maintenance with lopinavir–ritonavir monotherapy is non-inferior to a triple therapy in the studied population. The authors also acknowledged the limitations of their results, in particular the fact that non-inferiority was not demonstrated for all secondary endpoints.

The present report illustrates some of the methodological difficulties in the design and analysis of non-inferiority trials for HIV treatment strategies in general.

First, we are concerned by the apparent absence of a consensus regarding the choice of the primary endpoint in trials comparing different strategies of antiretroviral treatment. Pulido *et al.* [1] chose a composite endpoint to define therapeutic failure as follows: confirmed HIV RNA higher than 500 copies/ml, or loss to follow-up, or treatment discontinuation, or change of randomized therapy other than reinduction. According to the provided definition, cases in the monotherapy group with confirmed virological failure (two measurements of HIV RNA > 500 copies/ml separated by at least 2 weeks) are not considered failures, if HIV RNA is resuppressed successfully after reinduction of nucleosides. To our knowledge, this is an uncommon choice as compared with the endpoints of other randomized trials evaluating simplification regimens in HIV-infected patients [2–4]. Yet, if reinduction in the monotherapy group is not considered as therapeutic failure, non-inferiority of the two treatment strategies is more likely to be demonstrated. For example, one could assume that due to early virological failure, a number of the patients would receive reinduction treatment shortly after the switch to monotherapy. Consequently, these patients would receive the same treatment as the comparator group for almost the entire length of the trial, which in turn would downsize the difference between the two groups over the time of the trial. Thus, we believe that the secondary analyses reported by the authors, in which treatment modification was considered as failure, constitute a more cautious choice. In that case, the authors could not conclude consistently that the simplification strategy was non-inferior to a triple therapy in the studied population.

Second, we suggest that some aspects concerning the treatment of missing data and the statistical approach in non-inferiority trials should be further clarified. In some of the analyses reported by Pulido *et al.* [1], the authors considered missing data to be failures. It is noteworthy that this approach tends to equalize outcomes in the compared groups. This effect is deliberate in superiority trials, but it may be inappropriate in non-inferiority

analyses as it minimizes the difference between groups [5]. Pulido and colleagues thus tested the robustness of their results by performing an as-treated analysis. The results of additional sensitivity analyses would be more convincing by using the worst-case methodology to quantify the potential for bias due to missing data, that is considering missing data to be failures in the intervention group, but successes in the comparator group and vice versa. Indeed, a per-protocol (or as-treated) analysis in non-inferiority and equivalence designs might also bias the results towards a smaller difference between groups [5–7]. The worst-case method, by contrast, may provide a truly conservative assessment of the robustness of a binary endpoint in a non-inferiority trial and its broader application should be discussed for future trials.

Third, there is a need for a large consensus regarding the non-inferiority margin in trials evaluating maintenance strategies in treatment-experienced patients with suppressed HIV replication. For an assumed failure rate of 10%, Pulido *et al.* [1] defined a non-inferiority margin of 12%, without commenting on the latter choice. The lack of rationale for the non-inferiority margin seems indeed common in HIV trials [8], and its relevance remains to be properly assessed. According to the authors' premise, a failure rate of up to 22% is accepted in pretreated patients in whom viral replication is controlled prior to randomization. We postulate that the acceptability of this assumption should be scrutinized [9]. A consensual, clinically relevant non-inferiority margin should be defined for a given response rate and be applied to all non-inferiority trials in this population, as has been proposed in other research areas [10].

In summary, some key aspects of non-inferiority trials in HIV-infected patients warrant thorough methodological deliberation. We need an international consensus to help design future non-inferiority trials in HIV patients, as these trials are more and more common, given the potency of current antiretroviral drugs.

Laura Richert, Vincent Bouteloup, Rodolphe Thiébaud and Geneviève Chêne, INSERM U897 & CIC-EC 7, University Victor Segalen Bordeaux 2, School of Public Health (ISPED), Bordeaux, France.

Correspondence to Laura Richert, INSERM U897, University Victor Segalen Bordeaux 2, 146, rue Léon Saignat, 33076 Bordeaux cedex, France.
Tel: +33 557 57 48 12; fax: +33 557 57 11 72;
e-mail: laura.richert@isped.u-bordeaux2.fr

References

1. Pulido F, Arribas JR, Delgado R, Cabrero E, González-García J, Pérez-Eliás MJ, *et al.* Lopinavir-ritonavir monotherapy versus lopinavir-ritonavir and two nucleosides for maintenance therapy of HIV. *AIDS* 2008; 22:F1–F9.

2. Cameron W, da Silva B, Arribas J, Myers R, Bellos NC, Gilmore N, *et al.* A two-year randomized controlled clinical trial in antiretroviral-naïve subjects using lopinavir/ritonavir (LPV/r) monotherapy after initial induction treatment compared to an efavirenz (EFV) 3-drug regimen (Study M03-613). In: Program and abstracts of the XVI International AIDS Conference; 13–18 August 2006; Toronto, Canada. Abstract THLB0201.
3. Delfraissy JF, Flandre P, Delaugerre C, Ghosn J, Horban A, Girard PM, *et al.* **Lopinavir/ritonavir monotherapy or plus zidovudine and lamivudine in antiretroviral-naïve HIV-infected patients.** *AIDS* 2008; **22**:385–393.
4. Girard PM, Cabié A, Michelet C, Verdon R, Katlama C, Mercié P, *et al.* TenofovirDF + efavirenz (TDF+EFV) vs tenofovirDF+ efavirenz + lamivudine (TDF+EFV+3TC) maintenance regimen in virologically controlled patients (pts): COOL Trial. In: Program and abstracts of the 46th Interscience Conference on Antimicrobial Agents and Chemotherapy; 27–30 September 2006; San Francisco, California. Abstract H-1383.
5. Piaggio G, Elbourne DR, Altman DG, Pocock SJ, Evans SJ. **CONSORT Group. Reporting of noninferiority and equivalence randomized trials: an extension of the CONSORT statement.** *JAMA* 2006; **295**:1152–1160.
6. Jones B, Jarvis P, Lewis JA, Ebbutt AF. **Trials to assess equivalence: the importance of rigorous methods.** *BMJ* 1996; **313**:36–39.
7. Brittain E, Lin D. **A comparison of intent-to-treat and per-protocol results in antibiotic noninferiority trials.** *Stat Med* 2005; **24**:1–10.
8. Parienti JJ, Verdon R, Massari V. **Methodological standards in noninferiority AIDS trials: moving from adherence to compliance.** *BMC Med Res Methodol* 2006; **6**:46.
9. Garattini S, Bertele V. **Noninferiority trials are unethical because they disregard patients' interests.** *Lancet* 2007; **370**:1875–1877.
10. Committee for Proprietary Medicinal Products. Note for guidance on the evaluation of medicinal products indicated for treatment of bacterial infections. London: EMEA; 2004. CPMP/EWP/558/95 rev 1.

Incidence of pancreatitis in HIV-infected patients, and the association with antiretroviral therapy

We recently reported a low incidence of pancreatitis in a European cohort of HIV-positive individuals followed prospectively from 2001 to 2006, with a rate of 1.27 cases per 1000 person-years [1]. Fessel and Hurley [2], in an editorial comment in the same issue of *AIDS*, reported a much higher incidence of approximately five times that seen in our study in the years 1996–2006 in a North American cohort. The authors noted that the rate of pancreatitis remained constant over time. However, we feel that there are important differences in the definition of pancreatitis used in the two studies that may go some way to explaining the disparate incidence rates observed.

Fessel and Hurley use a definition of pancreatitis based on either the presence of plasma lipase greater than four times the upper limit of normal (ULN), amylase greater than six times the ULN, or a pancreatitis diagnosis captured in the electronic medical record. In contrast, the EuroSIDA study used a detailed case definition of pancreatitis, and all events were source verified, reviewed, and classified centrally by the study physicians. Even when considering presumptive pancreatitis, two of the following three events were required: one or more characteristic symptoms or characteristic signs of pancreatitis; raised enzymes; at least one imaging investigation suggesting pancreatitis according to a radiologist or clinician. Furthermore, raised amylases were only considered as a pancreatitis event if other aetiology could be excluded. Only if definitive source documentation could not be obtained was a pancreatitis event assumed without further investigation. Thus, we suggest that the EuroSIDA study group used more stringent criteria to define pancreatitis events that included exclusion of other possible causes of abnormal laboratory values, and required the presence of clinical manifestation of disease in nearly all cases. Thus, a lower incidence of pancreatitis would be expected in our study when compared with that found when using the definition employed by Fessel and Hurley.

Additionally, the authors highlight the lack of an association between pancreatitis and the use of stavudine and didanosine. Although they do not investigate whether this association is present in their cohort, they highlight the fact that a number of other studies have observed such an association [3,4]. The authors rightly highlight the fact that use of didanosine and stavudine is less widespread in more recent years. Indeed, much of the stavudine and didanosine use in the EuroSIDA cohort is likely to be historical, rather than current. Awareness of the potential link between these antiretrovirals and pancreatitis may have led to less use of this combination as other nucleosides were developed, and to a reduction in the use in patients most susceptible to pancreatitis. Those susceptible to this complication may have already stopped the antiretroviral(s) prior to the study period, either because of the prior occurrence of pancreatitis, or because of other related issues.

In addition to the helpful suggestions made by Fessel and Hurley, we would also highlight the importance of applying consistent case definitions between studies so that results can be reliably compared. We have already begun further work, investigating the association between pancreatitis and triglycerides [5], and strongly agree that further research is needed in this subject area.

Colette J. Smith^a, Amanda Mocroft^a and Jens D. Lundgren^b for the EuroSIDA Study Group, ^aRoyal Free and University College Medical School, London, UK, and ^bRigshospitalet and Copenhagen HIV Program, University of Copenhagen, Denmark.

Correspondence to Colette Smith, Research Department of Infection and Population Health, Royal Free and University College Medical School, Hampstead Campus, Rowland Hill Street, London, NW3 2PF, UK.
Tel: +44 20 7830 2239; e-mail: c.smith@pcps.ucl.ac.uk

References

1. Smith CJ, Olsen CH, Mocroft A, *et al.* The role of anti-retroviral therapy in the incidence of pancreatitis in HIV-positive individuals in the EuroSIDA study. *AIDS* 2008; **22**: 47–56.
2. Fessel J, Hurley LB. Incidence of pancreatitis in HIV-infected patients: comment on findings from in EuroSIDA cohort. *AIDS* 2008; **22**:145–147.

3. Maxson CJ, Greenfield SM, Turner JL. Acute pancreatitis as a common complication of 2',3'-dideoxyinosine therapy in the acquired immunodeficiency syndrome. *Am J Gastroenterol* 1992; **87**:708–713.
4. Moore RD, Keruly JC, Chaisson RE. Incidence of pancreatitis in HIV-infected patients receiving nucleoside reverse transcriptase inhibitor drugs. *AIDS* 2001; **15**:617–620.
5. Smith CJ, Mocroft A, Olsen CH, *et al.* Incidence of pancreatitis amongst HIV-positive individuals, and the association with triglycerides and antiretroviral use [Abstract number P9.10/02]. In: 11th European AIDS Conference, 24–27 October, Madrid, Spain; 2007.

Immune reconstitution syndrome to *Strongyloides stercoralis* infection

We thank McCarthy and Currie for their response [1] to our case report [2], which described a young Eritrean man with HIV and hepatitis B infections who presented with an inflammatory colitis, 6 weeks after starting antiretroviral therapy and had *Strongyloides* larvae detected in stool samples. After treatment with ivermectin, his symptoms, and the associated intestinal dilatation, resolved. His presentation was localized to the intestines and comprised loss of appetite, abdominal pain, vomiting and weight loss. There were no respiratory symptoms and no evidence of dissemination of *Strongyloides* larvae or bacterial sepsis.

We have seen and recognized the well established, strong association of corticosteroid treatment with *Strongyloides* hyperinfection syndrome [3–5] and agree that excluding *Strongyloides* infection is important when considering steroid treatment in individuals who have lived in an endemic area [1]. We also concur that immune suppression due to HIV infection itself does not appear to cause hyperinfection [6]. In this case, however, although this patient had received steroid treatment (for thrombocytopenia) in the weeks preceding presentation, there was no evidence of dissemination of the parasite outside the gastrointestinal system, and therefore a local inflammatory response, coinciding with rapid immunological recovery due to antiretroviral treatment, fits much better with the clinical picture. We, therefore, proposed that this case comprises immune reconstitution

syndrome and not disseminated infection secondary to corticosteroid therapy.

Acknowledgement

There is no conflict of interests.

Clare L. Taylor and Andrew P. Ustianowski, Monsall Unit, Department of Infectious Diseases and Tropical Medicine, North Manchester General Hospital, Delaunary Road, Crumpsall, Manchester, UK.

References

1. McCarthy JS, Currie B. Immune reconstitution syndrome to *Strongyloides stercoralis* infection: authors' response. *AIDS* 2007; **21**:1985–1986.
2. Taylor CL, Subbarao V, Gayed S, Ustianowski AP. Immune reconstitution syndrome to *Strongyloides stercoralis* infection. *AIDS* 2007; **21**:649–650.
3. Davidson RA, Fletcher RH, Chapman LE. Risk factors for strongyloidiasis: a case control study. *Arch Intern Med* 1984; **144**: 321–324.
4. Asdamongkol N, Pornsuriyasak P, Sungkanuparph S. Risk factors for *Strongyloides* hyperinfection and clinical outcomes. *South-east Asian J Trop Med Public Health* 2006; **27**:875–885.
5. Ghosh K, Ghosh K. *Strongyloides stercoralis* septicaemia following steroid therapy for eosinophilia: report of three cases. *Trans R Soc Trop Med Hyg* 2007; **101**:1163–1165.
6. Viney ME, Brown M, Omoding NE, Bailey JW, Gardner MP, Roberts E, *et al.* Why does HIV infection not lead to disseminated strongyloidiasis? *J Infect Dis* 2004; **190**:2175–2180.

Impact of Intrinsic Cooperative Thermodynamics of Peptide-MHC Complexes on Antiviral Activity of HIV-Specific CTL¹

Chihiro Motozono,* Saeko Yanaka,[†] Kouhei Tsumoto,[†] Masafumi Takiguchi,* and Takamasa Ueno^{2*}

The antiviral activity of HIV-specific CTL is not equally potent but rather is dependent on their specificity. But what characteristic of targeted peptides influences CTL antiviral activity remains elusive. We addressed this issue based on HLA-B35-restricted CTLs specific for two overlapping immunodominant Nef epitopes, VY8 (VPLRPMTY) and RY11 (RPQVPLRPMTY). VY8-specific CTLs were more potently cytotoxic toward HIV-infected primary CD4⁺ cells than RY11-specific CTLs. Reconstruction of their TCR revealed no substantial difference in their functional avidity toward cognate Ags. Instead, the decay analysis of the peptide-MHC complex (pMHC) revealed that the VY8/HLA-B35 complex could maintain its capacity to sensitize T cells much longer than its RY11 counterpart. Corroboratively, the introduction of a mutation in the epitopes that substantially delayed pMHC decay rendered Nef-expressing target cells more susceptible to CTL killing. Moreover, by using differential scanning calorimetry and circular dichroism analyses, we found that the susceptible pMHC ligands for CTL killing showed interdependent and cooperative, rather than separate or sequential, transitions within their heterotrimer components under the thermally induced unfolding process. Collectively, our results highlight the significant effects of intrinsic peptide factors that support cooperative thermodynamics within pMHC on the efficient CTL killing of HIV-infected cells, thus providing us better insight into vaccine design. *The Journal of Immunology*, 2009, 182: 5528–5536.

Human CD8⁺ CTLs recognize HIV-infected cells by interaction of their own TCRs with viral peptides bound to HLA class I molecules on the cell surface of the infected cells and eliminate them directly by cytolysis or indirectly through the production of soluble factors such as cytokines and chemokines. Among these activities, the cytotoxic activity of CTLs toward HIV-infected cells is associated with efficient viral containment *in vitro* and *in vivo* (1–3). However, significant differences exist not only in the antiviral activity of HIV-specific CTLs among specificities (4–7) but also in CTL specificities between early and chronic phases of an HIV infection (8–10). Changes in CTL specificity could lead to the accumulation of less effective antiviral CTLs in the late chronic phase of an infection (6, 11, 12). There are a number of different possibilities in the literature that potentially explain the heterogeneity in the antiviral activity of CTLs, such as: differences in functional avidity of CTLs toward exogenously pulsed synthetic peptides (7, 13), TCR usage (14, 15), cross-reactive capacity of CTLs toward variant Ags (14, 16), kinetics and amplitude of immunogenic protein expression (9, 17–19), Ag processing and presentation pathways (20, 21), and

binding activity of an antigenic peptide to a given HLA class I molecule (22). However, considering that immunodominant peptides are not always those with the highest density presented at the target cell surface (23, 24) and that immunodominant CTLs are not always correlated with effective antiviral CTL responses (25), an interesting question can be raised as to whether, and if so what, inherent characteristics of target epitope peptides support the efficient recognition by CTLs for the killing of virus-infected cells. As mentioned above, however, the antiviral activity of CTLs stems from multifactorial events, reflecting the consequence of various positive and negative factors that govern viral replication, Ag presentation, and T cell activation (26). Broad comparisons between very different virus strains, peptide Ags, and MHCs provide little information beyond highlighting just the differences. Comparisons between more closely related viral Ags and MHCs could be more revealing.

We previously reported that CD8 T cells specific for an Nef epitope (VY8, VPLRPMTY) were consistently elicited very early *in vivo*, whereas those specific for another Nef epitope (RY11, RPQVPLRPMTY) were mostly observed in the chronic phase of an HIV infection (10). Remarkably, VY8 is entirely contained within RY11; and both are presented by HLA-B35 with comparable binding activity, as assessed by a cellular HLA stabilization assay (10). As initial preliminary experiments showed that VY8-specific CTLs had more potent cytotoxic activity toward HIV-infected primary CD4⁺ cells than RY11-specific CTLs, in the present study we asked what property of these antigenic peptides is correlated with CTLs having potent antiviral cytotoxic activity. Combining a series of data obtained from T cell lines transduced with the genes for the cognate TCRs, we discovered that the decay of peptide-MHC class I complex (pMHC),³ rather than the functional avidity of TCR-pMHC interactions, substantially influenced the susceptibility of HIV-infected cells to CTL

*Division of Viral Immunology, Center for AIDS Research, Kumamoto University, Kumamoto, Japan, and [†]Department of Medical Genome Sciences, Graduate School of Frontier Sciences, The University of Tokyo, Kashiwa, Japan

Received for publication October 15, 2008. Accepted for publication February 25, 2009.

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

¹ This research was supported in part by a grant-in-aid for scientific research from the Ministry of Education, Science, Sports, and Culture of Japan (to T.U.), by a grant from Human Science Foundation (to T.U.), and by a grant-in-aid for AIDS research from the Ministry of Health, Labor, and Welfare of Japan (to T.U. and M.T.).

² Address correspondence and reprint requests to Dr. Takamasa Ueno, Division of Viral Immunology, Center for AIDS Research, Kumamoto University, 2-2-1 Honjo, Kumamoto, 860-0811, Japan. E-mail address: uenotaka@kumamoto-u.ac.jp

³ Abbreviations used in this paper: pMHC, peptide-MHC class I complex; DSC, differential scanning calorimetry; CD, circular dichroism; β_2m , β_2 -microglobulin.

killing. Furthermore, by using a biochemical approach, we found that the peptide intrinsic cooperative thermodynamics of pMHC could be an important factor to support efficient antiviral cytotoxic activity of CTLs.

Materials and Methods

Generation of CTL clones and analysis of TCR-encoding genes

CTL clones were established as previously described (6, 15) by using PBMC samples taken from *HLA-B*3501*⁺ individuals (Pt-01, Pt-03, Pt-19, and Pt-33) in the chronic phase of an HIV-1 infection. Briefly, a bulk CTL culture, which had been established by stimulation of PBMC with a synthetic peptide for 1–2 wk, was further seeded at a density of 0.8 or 5 cells/well with a cloning mixture (irradiated allogeneic PBMC and C1R-B3501 cells pulsed with 1 μ M peptide in RPMI 1640 with 10% FCS and 100 U/ml recombinant IL-2). Two weeks later, cells showing substantial Ag-specific cytolytic activity were maintained in the medium with peptide stimulation weekly. CTL clone 139 generated from PBMC of Pt-19 was designated as CTL 19-139, and other clones were similarly designated. TCR-encoding genes of CTL clones were obtained by using a SMART PCR cDNA synthesis kit (BD Clontech) and analyzed by the ImMunoGeneTics database (<http://imgt.cines.fr>) as previously described (27, 28). The study was conducted in accordance with the human experimentation guidelines of Kumamoto University.

Reconstruction of TCRs on TCR-deficient T cells

The cDNAs encoding full-length TCR α and TCR β of interest were separately cloned into a retrovirus vector pMX provided by T. Kitamura (Tokyo University, Tokyo, Japan) and delivered into a TCR-deficient mouse T cell hybridoma cell line TG40 provided by T. Saito (RIKEN Institute, Saitama, Japan) as previously described (27, 28). The human CD8 α gene was similarly delivered into the cells as needed (28). Finally the cells showing bright staining with PE-conjugated anti-mouse CD3 ϵ mAb (2C11; BD Pharmingen) were cloned by a limiting dilution method for further functional assays described below.

HLA-B35 tetramer binding assays

The HLA-B*3501 tetramers in complex with the VY8 or RY11 peptides were prepared as previously described (28). The CTL clones were stained with PE- and allophycocyanin-labeled HLA-B35 tetramers at 37°C for 15 min followed by anti-CD8-PerCP (BD Biosciences) and anti-CD3-FITC (DakoCytomation) at 4°C for 15 min. For the kinetic analysis of HLA-B35 tetramer dissociation, CTL clones were stained with PE-conjugated tetramer (0.2 μ M) for 30 min at 4°C. Then the cells were rapidly washed twice and suspended at 4°C in a buffer (2% BSA in PBS) supplemented with the monomeric type of unconjugated peptide-HLA complex (2 μ M) for blocking. A portion of the reaction volume was then removed periodically (0.5, 1, 2, 4, and 8 h), and the cells were subsequently stained with anti-CD8 and anti-CD3 mAbs at 4°C. For the flow cytometric analysis, the CD3⁺CD8⁺ cells were gated and then analyzed for the tetramer binding by flow cytometry with FACSCalibur (BD Biosciences).

Cytotoxicity assays

Primary CD4⁺ cells were purified from PBMC taken freshly from HIV-negative donors expressing *HLA-B*3501* by using a magnetic cell separation system (Miltenyi Biotec) and stimulated with PHA (3 μ g/ml; Sigma-Aldrich) for 4 days. After having been labeled with ⁵¹Cr, the activated CD4⁺ cells were pulsed with various concentrations of a synthetic peptide for 1 h at 37°C, washed once with culture medium, and then mixed with CTL clones (4000 cells/well) for 4 h at 37°C. For virus-infected target cells, the activated CD4⁺ cells (4000 cells/well), which had been infected with recombinant HIV-1 or vaccinia virus carrying the *nef* gene of strain SF2 (10), were mixed with CTL clones at various E:T ratios for 6 h at 37°C after having been labeled with ⁵¹Cr. It should be noted that 30 \pm 5% of the cells were p24 Ag-positive, as revealed by intracellular flow cytometric analysis of HIV-infected CD4⁺ cells.

IL-2 assays for T cell activation

TCR recognition of cognate Ags was measured in terms of IL-2 secretion by TG40 cells transduced with TCR and CD8 α as described earlier (27, 28). Unless otherwise specified, C1R-B3501 cells (10⁴ cells/well), TCR-transduced TG40 cells (2 \times 10⁴ cells/well), and peptides were mixed and incubated for 48 h at 37°C. The resultant culture supernatant was then collected, and the amount of IL-2 was determined by analyzing the proliferative activity of CTLL-2, an IL-2 indicator cell line. The EC₅₀ value of

the peptide was calculated as the concentration of peptide that exhibited a half-maximal activation of TCR-transduced TG40 cells with CD3 ϵ mAb-mediated activation of the cells defined as maximal.

pMHC decay assay

For the kinetic analysis of the peptide dissociation from pMHC, C1R-B3501 cells were first incubated with 100 μ M peptide at 37°C for 1 h. Then the cells were rapidly washed twice and suspended at 37°C in culture medium. A portion of the peptide-loaded target cells was then removed periodically (10, 20, 30, 60, 120, 240, 360, 720 min), washed once with culture medium, and subsequently mixed with TCR-transduced TG40 cells. The amount of IL-2 produced by the TG40 cells was then determined as described.

Differential scanning calorimetry (DSC) and circular dichroism (CD) analyses

The extracellular domain of HLA-B*3501 H chain (aa residues 1–276) and β_2 -microglobulin (β_2 m) were produced in *Escherichia coli* as insoluble inclusion bodies. These proteins were dissolved in a buffer containing urea and then refolded in the presence of synthetic VY8 or RY11 peptide as previously described (28). In this construct, there was no biotinylated tag sequence at the C terminus of the H chain. Refolded proteins were purified by size-exclusion and anion-exchange chromatography analysis, pooled, dialyzed against PBS (137 mM NaCl, 2.7 mM KCl, 10 mM Na₂HPO₄, 1.8 mM KH₂PO₄ (pH 7.5)), and used both for DSC and CD measurements. The resultant protein solutions were in the concentration range from 0.3 to 0.7 mg/ml, as determined by UV absorption at 280 nm; and the molecular masses of the protein complexes were calculated from the amino acid composition.

For DSC measurements, excessive heat capacity curves were monitored by an ultrasensitive scanning microcalorimeter (VP-DSC; MicroCal) at a heating rate of 1 K/min with a sample cell volume of \sim 0.5 ml. The experimental data were baseline-corrected and subjected to deconvolution by using the software package ORIGIN for DSC (MicroCal), based on the assumption that the macromolecule is composed of a number of domains, each of which is involved independently in a “two-state” transition between folded and unfolded states. Each transition is characterized by two parameters, T_m and ΔH_m , in which T_m is the thermal midpoint of a transition and ΔH_m is the calorimetric heat change calculated from the area under the transition peak.

For CD measurements, changes in the ellipticity (as θ) with heating from 4° to 90°C were monitored at 222 nm and other wavelengths by a JASCO J-725 spectropolarimeter with a sample cell volume of \sim 0.4 ml in a quartz cell with an optical path length of 2 mm. The T_m value in the CD analysis was calculated by using the standard analysis software provided by the manufacturer (JASCO).

Results

Antiviral cytotoxic activity of CTLs specific for VY8 or RY11

We previously reported that in HIV-infected patients with HLA-B35, Nef protein elicited the most dominant CD8 T cell responses (6), with a short epitope (VY8, VPLRPMTY) being dominant early and a subsequent shift to an N-terminal extended long epitope (RY11, RPQVPLRPMTY) in the chronic phase (10). However, VY8 is entirely contained within RY11 and may therefore be the minimum epitope for CTLs. To clarify this issue, we generated CTL clones by stimulating PBMC of four HIV-infected individuals with either VY8 or RY11 peptide and then analyzed their Ag fine specificity by cytotoxic assays. Three CTL clones (01-127, 19-139, and 33-1) generated with VY8 stimulation showed a potent cytotoxic activity toward primary CD4⁺ cells pulsed with VY8 and an activity of markedly lesser strength toward those pulsed with RY11 peptide (Fig. 1A), confirming their optimal epitope to be VY8. In contrast, the other three CTL clones (01-113, 01-231, and 03-8) generated with RY11 stimulation showed a potent cytotoxic activity toward primary CD4⁺ cells pulsed with RY11 and no activity toward those pulsed with VY8 (Fig. 1A), confirming their optimal epitope to be RY11. Ag fine specificity of the CTL clones was also confirmed in terms of the HLA-B35 tetramer binding (see below). These data indicate that

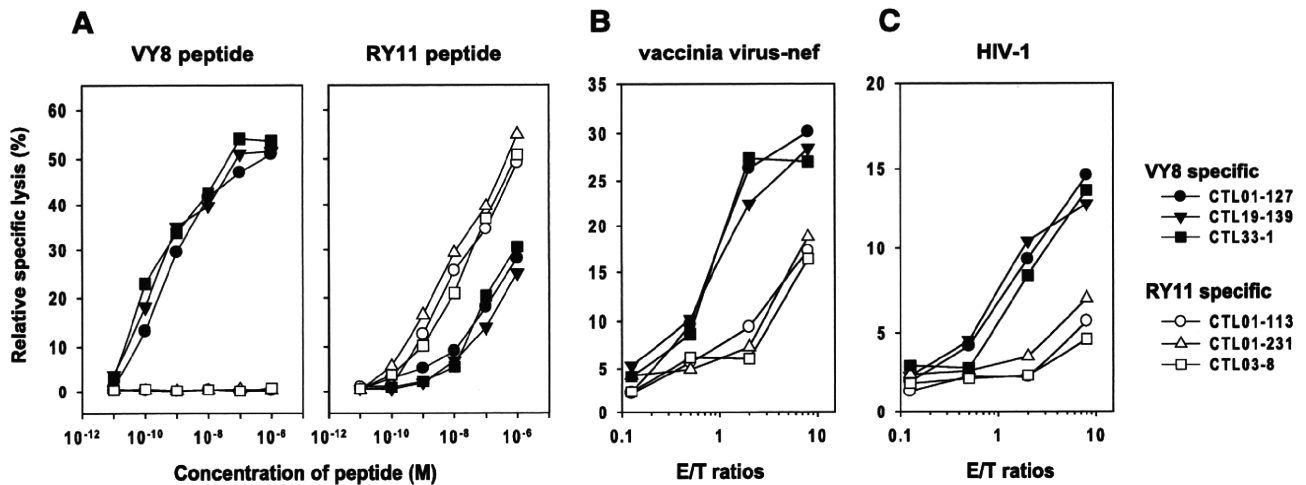


FIGURE 1. Cytotoxic activity of CTL clones. Primary CD4⁺ cells isolated from an HIV-negative donor were pulsed with various concentrations of VY8 or RY11 peptide (A), infected with recombinant vaccinia virus expressing Nef_{SF2} (B), or infected with HIV-1 (C) and then mixed with the indicated CTL clones. To obtain relative specific lysis values, the cytotoxic activity toward the same target cells not pulsed with peptide, infected with vaccinia virus alone (i.e., lacking *nef* expression) or infected with HIV-1 Δ *nef* variant was determined in parallel and was deducted as a background value. Data presented are the mean of duplicate assays, and an additional set of experiments using another PBMC donor showed similar results.

VY8 and RY11 are optimal epitopes for HLA-B35 and are recognized by a different set of CTL clones.

We next asked whether CTL antiviral cytotoxic activity is different between specificities. The CTL clones showed a significant difference in functional avidity toward their cognate Ags between the specificities ($p = 0.023$, two-tailed t test), with EC₅₀ values being $2.9 \pm 0.85 \times 10^{-10}$ and $1.3 \pm 0.37 \times 10^{-8}$ M for VY8 and RY11, respectively (Fig. 1A). Next, the same cells were infected with vaccinia virus or HIV-1 expressing Nef_{SF2} and analyzed for their susceptibility to killing by the CTL clones. The VY8-specific CTLs showed more potent cytotoxic activity toward virus-infected CD4⁺ cells than the RY11-specific ones regardless of the viruses used (Fig. 1, B and C).

Kinetics of interactions between CTLs and HLA tetramers

We next examined TCR-pMHC interactions by analyzing the binding specificity and activity of CTL clones toward HLA-B35 tetramers. CTL 19-139 and 01-231 were exclusively stained by their cognate HLA-B35 tetramers, whereas an Env-specific CTL clone was not stained by any of the HLA-B35 tetramers examined (Fig. 2A), confirming the specificity of the CTL clones as well as the integrity of our peptide-HLA class I complex preparations. Also, titration of the HLA-B35 tetramers showed comparable binding activity of the CTL clones toward the cognate HLA-B35 tetramers (Fig. 2B). We then examined the kinetics of the dissociation of cognate HLA-B35 tetramers from CTL clones. There was no substantial difference between CTL 19-139 and CTL 01-231 in dwell time of interaction with the cognate HLA-B35 tetramers (Fig. 2C), suggesting comparable kinetic interactions between VY8 and RY11-specific TCRs and their cognate pMHC. However, these results appeared to be inconsistent with the data showing the favorable functional avidity of VY8-specific CTLs (as described).

Functional reconstruction of TCRs on TCR-deficient T cells

It has been shown that TCR reconstruction on TCR-deficient T cells is advantageous to investigate how the TCR-pMHC interaction influences T cell activation (27–30) because primary T cells can increase or decrease their sensitivity/avidity for an epitope through changes in their inhibitory receptor expression and membrane organization as well as via a redistribution of signaling molecules in

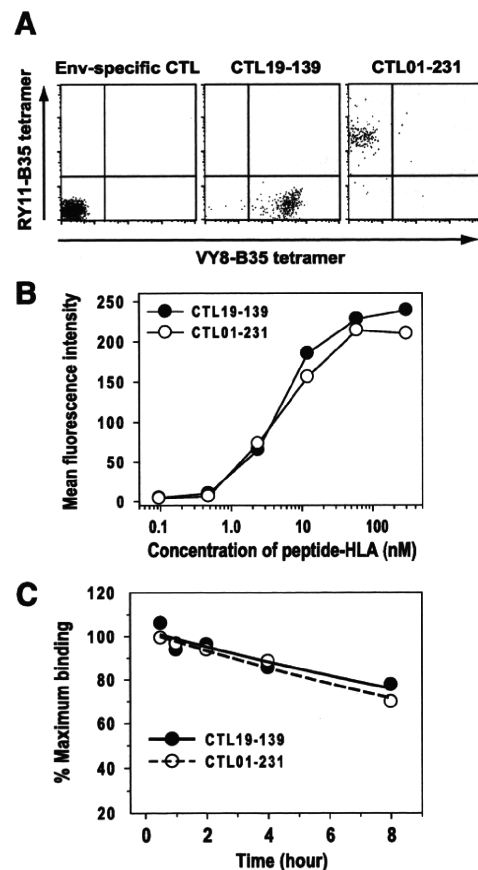


FIGURE 2. HLA tetramer analysis of CTL clones. A, CTL clones specific for an Env peptide, VY8 (CTL 19-139) or RY11 (CTL 01-231), were stained with HLA-B35 tetramers in complex with VY8 or RY11 that had been labeled with PE or allophycocyanin, respectively. In the flow cytometric analysis, a live CD8⁺ subset was gated and analyzed for binding with HLA-B35 tetramers. B, CTL 19-139 and CTL 01-231 were separately stained with various concentrations of PE-conjugated HLA-B35 tetramers in complex with their cognate peptides and analyzed by flow cytometry. An independent experiment gave similar results. C, Kinetic analysis of dissociation of HLA-B35 tetramers from CTL 19-139 and CTL 01-231 that had been stained with their cognate HLA-B35 tetramers. An independent experiment gave similar results.

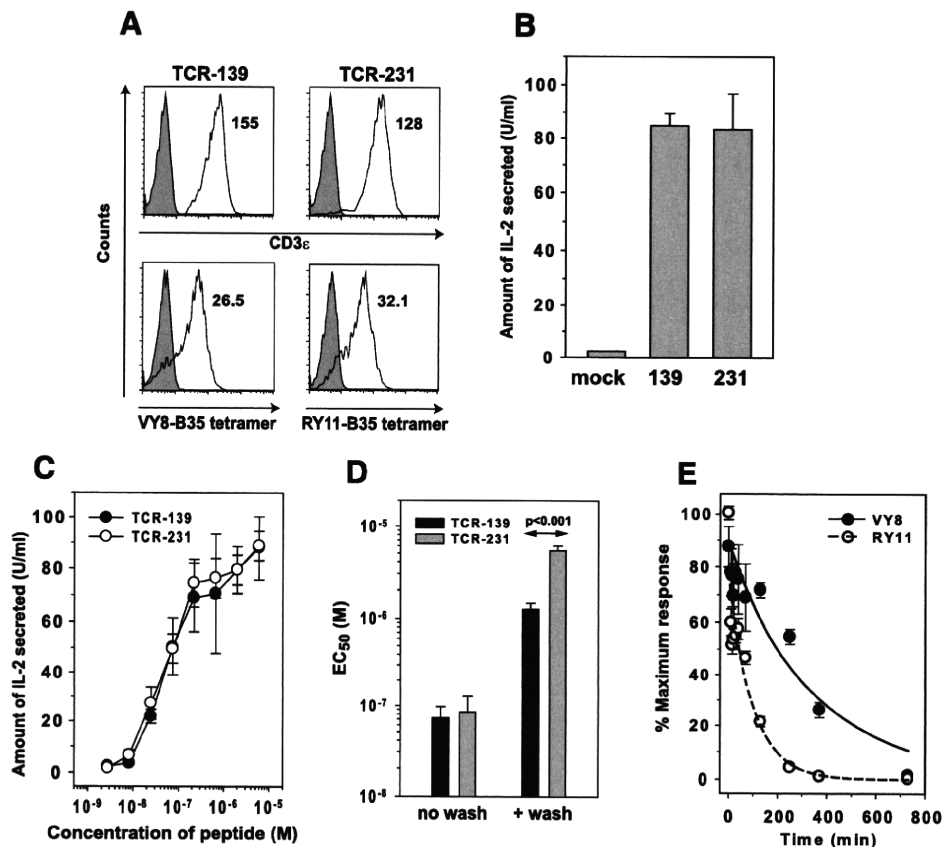


FIGURE 3. TCR-pMHC interactions on TCR-transduced TG40 cells. *A*, TG40 cells alone (shaded histogram) or those expressing TCR-139 or TCR-231 (open histogram) were stained with anti-CD3 ϵ mAb and their cognate HLA-B35 tetramers and then analyzed by flow cytometry. The mean fluorescence intensity is indicated in each histogram. *B*, IL-2 secretion of TG40 cells transduced with mock, TCR-139 or TCR-231 in response to stimulation with CD3 ϵ mAb. Data are the mean \pm SD of quadruplicate assays. *C*, IL-2 secretion by TG40 cells transduced with TCR-139 or TCR-231 in response to various concentrations of VY8 or RY11, respectively. TG40 cells, C1R-B3501 cells, and the peptide were coincubated for the duration of the assay. The amount of IL-2 obtained for the mock-transduced TG40 cells was always <5.0 . Data are the mean \pm SD of quadruplicate assays. *D*, Functional avidity of TG40-139 and TG40-231 cells were dependent of assay conditions. C1R-B3501 cells, the peptide, and TG40 cells were coincubated for the duration of the assay (no wash). C1R-B3501 cells and the peptide were incubated, washed, and subsequently mixed with the TG40-139 or TG40-231 cells (with wash). The EC₅₀ values (mean \pm SD) were obtained from quadruplicate assays. Statistical analysis was performed using the two-tailed *t* test. *E*, Kinetic analysis of the peptide dissociation from pMHC. C1R-B3501 cells were pulsed with the VY8 or RY11 peptide (100 μ M) and washed. A portion of the resultant peptide-loaded cells was taken at each indicated time point and then mixed with TG40-139 or TG40-231 cells for the IL-2 secretion assay. Results are mean \pm SD of triplicate assays expressed relative to the maximum response that was arbitrarily set to 100%. The lines shown are based on a single exponential decay.

some circumstances (31–35). To further clarify how the TCR-pMHC interacts, we cloned TCR-encoding genes of CTL 19-139 (VY8 specific) and CTL 01-231 (RY11 specific), and functionally reconstructed their TCRs (designated TCR-139 and TCR-231, respectively) on TCR-deficient T cell line TG40 (27, 28). The resultant TG40-139 and TG40-231 cells showed CD3 expression and HLA-B35 tetramer binding activity at comparable levels (Fig. 3A), in good agreement with the observations obtained for the parental CTLs (Fig. 2, B and C). After further transduction of the cells with human CD8 α , both cells showed IL-2 secretion at a comparable level in response to anti-CD3 mAb stimulation (Fig. 3B), confirming the integrity of the TCR-mediated signaling machinery in these cells. Then, functional avidity of TG40-139 and TG40-231 cells toward the cognate Ags was determined by coincubation of target cells and peptides. Virtually no difference was observed in their functional avidities (Fig. 3C), suggesting comparable TCR-pMHC interactions between the specificities. It should be noted that the peptides were always present for the duration of the assay (see below).

Effect of peptide-off rate on functional avidity of T cells

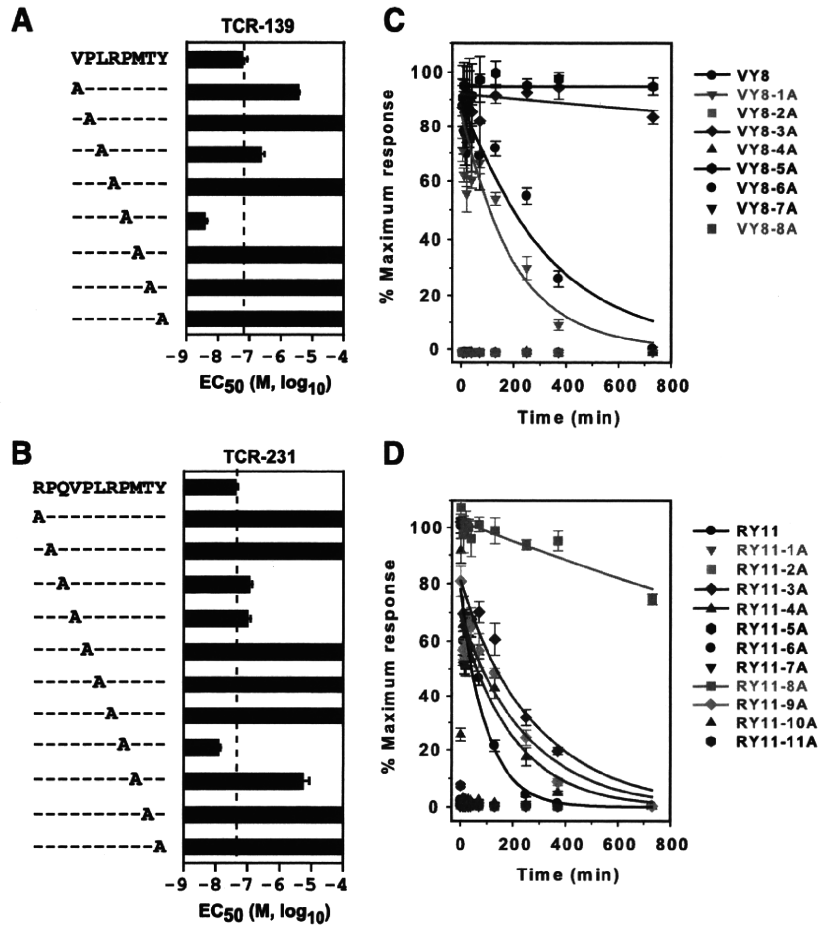
During a number of attempts to clarify the reasons for the variable observations among assays, we noticed that the avidity of

TCR-transduced cells was much decreased when the peptide-loaded target cells were washed before coincubation with the TCR-transduced cells (Fig. 3D). Under this washing-off condition, TG40-139 cells showed significantly more potent functional avidity than TG40-231 cells (Fig. 3D). We then analyzed the rate of peptide-off from pMHC by using the TCR-transduced cells. The target cells, which had been loaded with a peptide followed by washed-off, were taken and subsequently mixed with TG40 cells expressing the cognate TCR. The extent of the TG40 response should be proportional to the actual pMHC dose retained on the target cell surface. The data showed that the decay of the VY8/HLA-B35 complex was much slower than that of the RY11/HLA-B35 one, as the half-life values of pMHC were $3.3 \pm 0.83 \times 10^2$ and $1.0 \pm 0.03 \times 10^2$ min for VY8 and RY11, respectively (Fig. 3E).

Effects of antigenic variations on pMHC decay

To look for variant peptides that could affect pMHC decay and the susceptibility to stimulation of T cells, we examined a series of alanine substitutions in both peptides for their activity to sensitize TCR-transduced T cells under the no-wash condition. VY8 with an alanine substitution at position 5 (designated VY8-5A) showed

FIGURE 4. Effects of antigenic variations on pMHC decay. *A* and *B*, Alanine variants of VY8 and RY11 were examined by conducting T cell sensitization assays for TCR-139 (*A*) and TCR-231 (*B*), respectively. Amounts of IL-2 secreted by TG40 cells were determined under the no-wash condition. The maximum concentration of the peptides tested was 100 μ M. The EC₅₀ values at mean \pm SD were obtained by performing triplicate assays. An independent experiment gave similar results. *C* and *D*, Dissociation of the wild-type and the alanine variants for VY8 (*C*) and RY11 (*D*) from pMHC was analyzed as in Fig. 3*E*. Data are mean \pm SD of triplicate assays. An independent experiment gave similar results. The lines are based on a single exponential decay and given only for the peptides showing positive responses.



more potent reactivity with TCR-139 than did VY8, whereas VY8-1A and VY8-3A had moderate reactivity (Fig. 4*A*). However, TG40-139 cells did not respond to the other five VY8 variants up to a 100- μ M concentration (Fig. 4*A*). In TCR-231, RY11-8A showed the most profound response, whereas RY11-3A and RY11-4A had reactivity comparable to that of RY11 (Fig. 4*B*). However, RY11-9A showed weak reactivity; and the other seven RY11 variants had no reactivity (Fig. 4*B*). We also tested various amino acid variations (57 variant peptides in total) for their reactivity toward TCR-139 and TCR-231 (data not shown), but VY8-5A and RY11-8A showed the most pronounced effects on IL-2 production by TCR-transduced T cells.

Next, we examined the decay of a series of alanine variant peptides from HLA-B35. The pMHC decay of VY8-5A and VY8-3A was substantially delayed with a half-life of $>4 \times 10^3$ min, whereas the half-life of VY8-1A was slightly more rapid with one of $2.0 \pm 0.80 \times 10^2$ min (Fig. 4*C*). None of the other VY8 variants showed any reactivity (Fig. 4*C*), consistent with the data obtained under the no-wash condition (Fig. 4*A*). Among the RY11 variants, the decay of RY11-8A was substantially delayed with a half-life of $2.7 \pm 0.44 \times 10^3$ min, whereas that of RY11-3A, RY11-4A, and RY11-9A was slightly delayed with half-lives of $2.5 \pm 0.44 \times 10^2$, $2.0 \pm 0.40 \times 10^2$, and $2.2 \pm 0.40 \times 10^2$ min, respectively (Fig. 4*D*). None of the other RY11 variants showed any reactivity (Fig. 4*D*), consistent with the data from the no-wash condition (Fig. 4*B*). Taken together, VY8-5A and RY11-8A provided the most profound effects on pMHC decay and T cell stimulation among the wild-type and variant peptides examined.

Enhancement of susceptibility of Nef variant-expressing cells to CTL killing

To ask whether the variant Ags can improve the susceptibility to killing by CTLs, we took advantage of the fact that the alanine substitution at Pro⁸² of Nef_{SF2} resulted in the generation of both

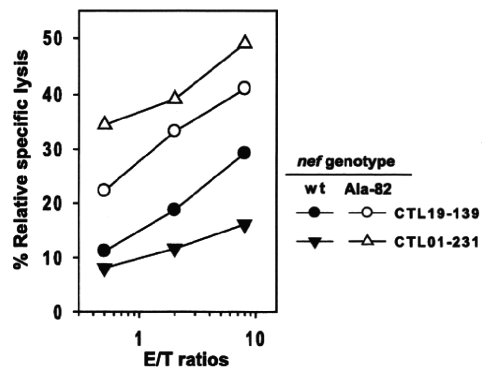


FIGURE 5. Effect of an amino acid substitution on CTL killing of Nef-expressing cells. Primary CD4⁺ cells isolated from an HIV-negative donor (*HLA-B*3501*⁺) were transfected with a gene encoding GFP alone, wild-type, or the Ala⁸² mutant of Nef_{SF2}-GFP fusion protein, and then mixed with CTL 19-139 or CTL 01-231 at the indicated E:T ratios. The transfection efficiency was 60 \pm 5% as determined by GFP expression. Cytotoxic activity toward cells expressing GFP alone was always <10%. Data are the means of duplicate assays. An independent experiment using another PBMC donor and another set of CTL clones gave similar results.

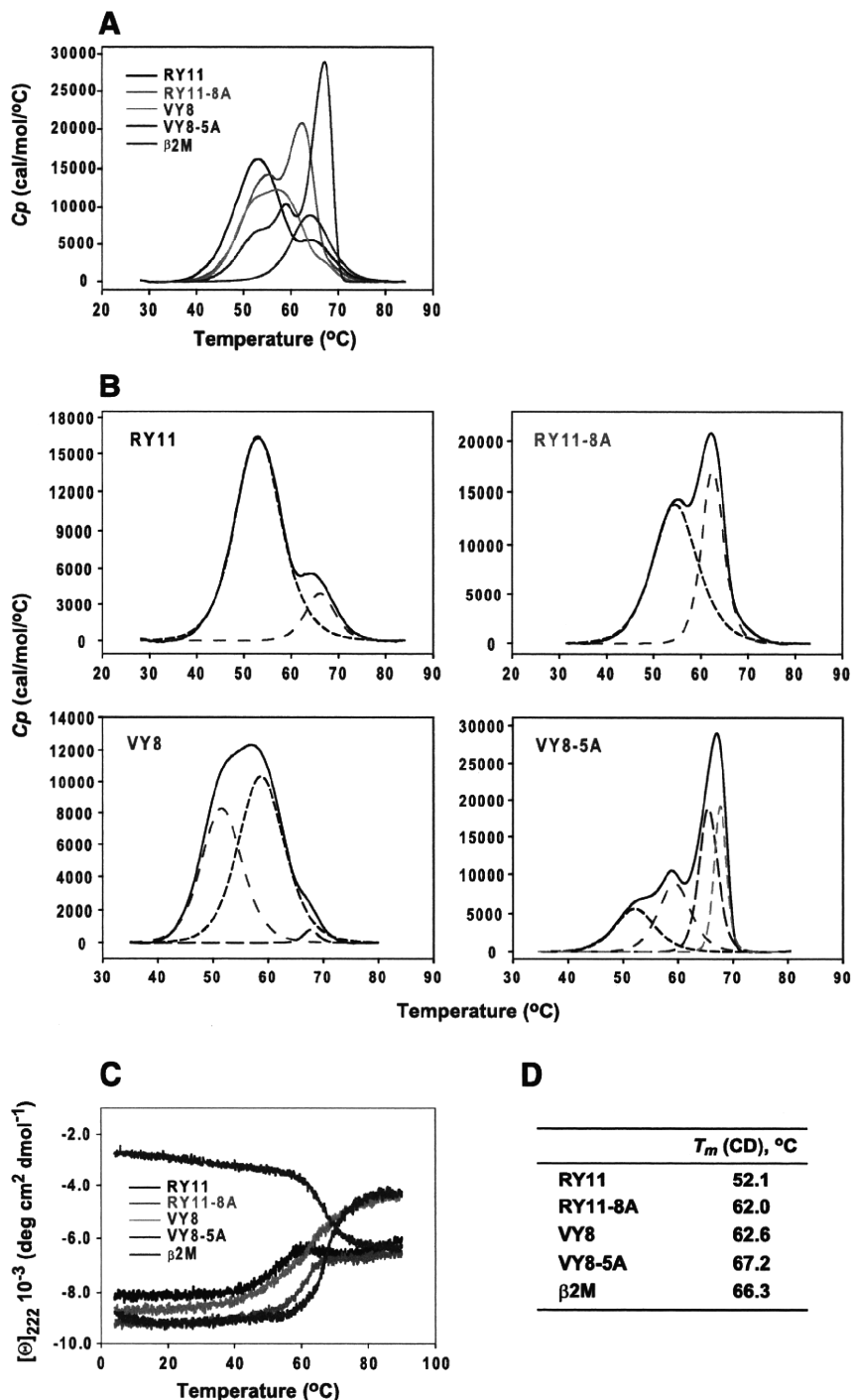


FIGURE 6. Thermostability analysis of peptide-HLA-B35 complexes. *A* and *B*, DSC analysis of pMHC complexes. *A*, The excessive heat capacity of β_2m alone and that of HLA-B35 in complex with indicated peptides was measured by DSC analysis. *B*, Deconvolution results in two-state transitions (broken line histogram) of HLA-B35 in complex with the indicated peptides. Deconvolution results in two-state transitions (broken line histogram). T_m and ΔH_m values obtained are given in Table I. *C* and *D*, CD analysis of pMHC complexes. The ellipticity (as θ) at 222 nm of β_2m alone and that of HLA-B35 in complex with the indicated peptides was measured by CD analysis (*C*). The CD melting temperatures, T_m (CD), are also shown (*D*).

VY8-5A and RY11-8A variant Ags. Primary CD4⁺ cells were transfected with the wild-type or the Ala⁸² variant *nef* genes. The cells with the Ala⁸² variant showed substantially increased susceptibility to killing by both CTL 19-139 and CTL 01-231 (Fig. 5), suggesting that the variant antigenic peptides with slower pMHC decay rendered HIV-infected cells more susceptible to CTL-mediated viral containment.

Thermostability analysis of pMHC

To characterize the biochemical differences between VY8/HLA-B35 and RY11/HLA-B35 complexes, we analyzed the thermostability of free β_2m and that of these heterotrimers (composed of

β_2m , H chain, and peptide) by DSC. The heat capacity curve of β_2m , a protein composed of a stable domain containing exclusively β strands, showed a single two-state transition at T_m of 64.18°C (Fig. 6A and Table I), in good agreement with a previous report (36). In contrast, the heat capacity curve of RY11/HLA-B35 was characterized by two partly overlapping peaks with the melting temperature of the first peak (T_m^1) substantially below that of β_2m (Fig. 6, A and B and Table I). The other single transition at the high temperature peak appeared to result from the melting of β_2m , as the T_m^2 value of RY11/HLA-B35 was comparable to that of free β_2m (Table I). These results suggest that the melting of RY11/HLA-B35 started with unfolding of the H chain and concomitant