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## Original article

## Neutrophil elastase and systemic inflammatory response syndrome in the initiation and development of acute lung injury among critically ill patients

Seitaro Fujishima <sup>a,\*</sup>, Hiroshi Morisaki <sup>b</sup>, Akitoshi Ishizaka <sup>c</sup>, Yoshifumi Kotake <sup>b</sup>, Masaru Miyaki <sup>a</sup>, Kikuo Yoh <sup>a</sup>, Kazuhiko Sekine <sup>a</sup>, Junichi Sasaki <sup>a,1</sup>, Sadatomo Tasaka <sup>c</sup>, Naoki Hasegawa <sup>c</sup>, Yohko Kawai <sup>d,2</sup>, Junzo Takeda <sup>b</sup>, Naoki Aikawa <sup>a</sup>

Department of Emergency and Critical Care Medicine, School of Medicine, Keio University, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan
 Department of Anesthesiology, School of Medicine, Keio University, Tokyo, Japan
 Division of Pulmonary Medicine, Department of Medicine, School of Medicine, Keio University, Tokyo, Japan
 Department of Laboratory Medicine, School of Medicine, Keio University, Tokyo, Japan

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#### Abstract

Critically ill patients are commonly associated with systemic inflammatory response syndrome (SIRS) and are at a greater risk of developing acute lung injury (ALI) and acute respiratory distress syndrome (ARDS). Under these conditions, large amounts of various cytokines are produced, which either directly or indirectly induce tissue injury and finally organ dysfunctions, through the activation of neutrophils and as a result of release of cytotoxic molecules, especially neutrophil elastase (NE). In the present study, we determined plasma neutrophil elastase—alpha-1 antitrypsin complex (NE—AT) and elastase digests of cross-linked fibrin (e-XDP) in critically ill patients to elucidate the significance of NE in the initiation and progression of ALI and ARDS in the presence or absence of SIRS. We found significantly increased levels of plasma NE—AT in the patients with ARDS, especially when the definition of SIRS was met. Among ALI/ARDS groups, plasma NE—AT, but not e-XDP, correlated significantly with the decrease in PaO<sub>2</sub>/FIO<sub>2</sub> ratio and the duration of ALI/ARDS. Furthermore, NE—AT, but not e-XDP, significantly increased in subgroups whose PaO<sub>2</sub>/FIO<sub>2</sub> ratio decreased by more than 20%. Such correlations and differences between the subgroups were not observed in the non-ALI patients. From these results, we speculate that NE—AT, but not e-XDP, may be predictive of progressive lung injury in the early stage of ALI and ARDS.

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Keywords: SIRS; ALI; ARDS

### 1. Introduction

Patients in a critical condition, especially those suffering from severe sepsis, are commonly associated with systemic inflammatory response syndrome (SIRS) [1]. These patients are at greater risk of developing multiple organ dysfunction syndrome (MODS), including acute lung injury (ALI)/acute respiratory distress syndrome (ARDS), which is currently the major determinant of their prognosis [2]. However, there is only a small fraction of the available evidence for the treatments [3], such as early fluid resuscitation [4], tight glucose control [5], activated protein C [6] for severe sepsis and low tidal volume ventilation for ALI/ARDS [7]. There is therefore a clear need to accumulate further clinical evidence for sepsis and septic ALI/ARDS is being awaited.

<sup>\*</sup> Corresponding author. Tel.: +81 3 3225 1323; fax: +81 3 3353 2232. E-mail address: fujishim@sc.itc.keio.ac.jp (S. Fujishima).

<sup>&</sup>lt;sup>1</sup> Present address: Division of Emergency Medicine, Tohoku University Graduate School of Medicine, Sendai, Japan

<sup>&</sup>lt;sup>2</sup> Present address: International University of Health and Welfare, Department of Preventive Health Examination Center in Sanno Hospital, Tokyo, Japan

In SIRS and sepsis, various proinflammatory and antiinflammatory cytokines are produced in large quantities, and this either directly or indirectly induces tissue injury and finally organ dysfunction [8]. Neutrophils are intensely activated by multiple stimuli, such as bacterial toxins and endogenous proinflammatory mediators, and play key roles in inducing tissue injury and the resulting organ dysfunctions through the release of various cytotoxic molecules, including reactive oxygen intermediates and granular enzymes. Among these, neutrophil elastase (NE), a serine proteinase, is thought to be one of the major cytotoxic molecules because of its abundance and powerful degrading activity against a wide variety of substrates [9]. In addition, NE has recently become known for its proinflammatory functions [10]. Since NE is harmful even to the host, there exist multiple defensive mechanisms to neutralize free NE. In blood, alpha-1 antitrypsin (AT) and alpha-2 macroglobulin are abundant, and intravascularly released NE is immediately neutralized. In contrast, although there exist NE inhibitors in the extravascular space, such as AT, secretory leukocyte protease inhibitor (SLPI) and elafin, the concentrations of these endogenous protease inhibitors of high molecular weight may be insufficient to adequately neutralize extravascularly released NE, especially when a large amount of NE is released in patients with SIRS and sepsis [11].

Neutrophils exist mostly within the circulation and either float in or are loosely attached to the peripheral vasculature under physiological conditions, but they easily migrate out of capillaries in response to various stimuli. NE can be released either intravascularly or extravascularly, but the place where NE is released is critical in the induction of tissue injury and organ dysfunction. However, thus far, there has been no method or marker to indicate where NE is released, and a plasma marker for extravascularly released NE is eagerly awaited as it would enable prediction of the degree of NEinduced tissue injury, especially in patients with SIRS. We hypothesized that plasma levels of elastase digests of crosslinked fibrin (e-XDP) correlate with the amount of extravascularly released NE, especially in the lungs, and thus may be useful in predicting the degree of lung injury. In the present study, we determined plasma levels of NE-AT complex and e-XDP in critically ill patients, and examined their significance in ALI and ARDS.

### 2. Methods

#### 2.1. Study population

We conducted a single-center retrospective observational study at the university hospital of the School of Medicine, Keio University. The study population included 136 patients, who were admitted to our intensive care unit, followed up for more than 7 days, and whose blood was drawn on the day of admission. The study has been performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki. There were 94 men and 42 women (mean  $\pm$  SEM age,  $60.9 \pm 1.4$  years). Sixty-four had cardiovascular diseases,

32 had gastrointestinal diseases, 10 had respiratory diseases, seven had neurological diseases, and 23 had other diseases. Patients receiving postoperative care were included in each category. Based on the definition by the American-European consensus conference, all the patients were divided into the following three groups: (1) non-ALI: the PaO<sub>2</sub>/FIO<sub>2</sub> ratio on the day of inclusion in the study was >300 or an apparent cause for hypoxia was identified; (2) mild ALI: 200 < PaO<sub>2</sub>/  $FIO_2 \le 300$  with bilateral infiltration on chest X-ray; and (3) ARDS:  $PaO_2/FIO_2 \le 200$  and bilateral infiltration on chest X-ray. One hundred twelve patients were categorized as non-ALI, 16 as mild ALI, and eight as ARDS. To analyze the outcome, the mild ALI and ARDS groups were combined because of the small patient numbers in these two groups. Plasma was used for the analysis of NE-AT and e-XDP. However, NE-AT and e-XDP in four and three patients were not determined because of insufficient sample volume, respectively.

The diagnosis of SIRS and sepsis was made based on the diagnostic criteria adopted by the combined committee of American College of Chest Physicians (ACCP) and the Society of Critical Care Medicine (SCCM) [2]. Acute Physiology and Chronic Health Evaluation (APACHE) II score, Sequential Organ Failure Assessment (SOFA) score and multiple organ dysfunction score (MODS) were determined on the day of inclusion in the study [12,13].

## 2.2. Determination of neutrophil elastase-related molecules

The NE-AT complex and elastase digests of e-XDP in plasma were determined with a latex agglutination method specific for each molecule [14,15]. The detection limits were 14.3 ng/ml for NE-AT and 0.1 U/ml for e-XDP.

### 2.3. Statistical analysis

We used the Mann-Whitney U test to compare two or three independent groups and the Kruskal-Wallis test to compare more than three groups. To examine the correlations between two parameters, Spearman's rank correlation was used. Statistical significance was accepted at p < 0.05.

#### 3. Results

### 3.1. Patient characteristics

Of the 136 patients, 112 were placed in the non-ALI category, 16 in the ALI category, and eight in the ARDS category. Ninety-seven patients (71.3%) fulfilled the criteria of SIRS and 80 patients (58.8%) fulfilled the criteria of sepsis on the day of inclusion in the study. On the day of inclusion, the mean APACHE II score of the patients was  $9.6 \pm 0.5$  (range 0–31), the mean SOFA score was  $5.1 \pm 0.3$  (range 0–16), and the mean MODS score was  $3.0 \pm 0.3$  (range 0–14). Mortality at discharge was 8.1%.

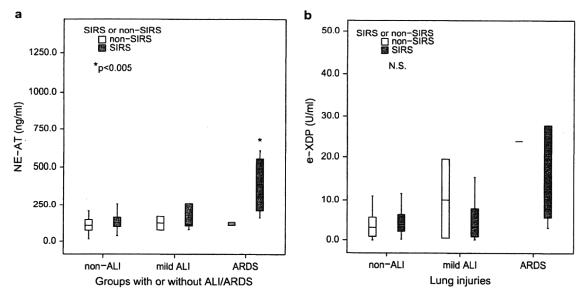


Fig. 1. Box plots showing median plasma levels of (a) NE-AT and (b) e-XDP in patients with or without SIRS in the non-ALI, mild ALI, and ARDS groups. Boxes show interquartile ranges and I bars represent highest and lowest values. \*p < 0.005. NE-AT, neutrophil elastase-alpha-1 antitrypsin complex; e-XDP, elastase digests of cross-linked fibrin; and SIRS, systemic inflammatory response syndrome.

## 3.2. Plasma levels of NE-AT and e-XDP in non-ALI, mild ALI, and ARDS

Fig. 1a,b shows plasma NE-AT and e-XDP levels in the non-ALI, mild ALI, and ARDS groups with or without SIRS. NE-AT was detectable in all the patients, but e-XDP was undetectable in four patients. Among the three groups, plasma NE-AT and e-XDP levels were significantly higher in the ARDS group than in the non-ALI group (p < 0.005, p < 0.05, data not shown). When the presence of SIRS was considered, NE-AT levels were still significantly higher in the patients with SIRS and ARDS than in the SIRS and non-ALI groups (Fig. 1a). In contrast, there was no statistically significant difference in e-XDP levels among the groups (Fig. 1b). NE-AT levels were significantly higher in the patients with SIRS than in those without SIRS (115.0  $\pm$  10.1 vs.  $176.2 \pm 17.7$ , p < 0.05), but there was no difference in e-XDP levels between the groups  $(5.3 \pm 1.0 \text{ vs. } 12.4 \pm 3.3,$ p = 0.15). NE-AT levels were also significantly higher in septic patients than those in nonseptic patients (122.1  $\pm$  8.2 vs.  $210.7 \pm 28.3$ , p < 0.01). Although there was a trend for higher e-XDP levels in sepsis, there was no statistically significant difference (5.4  $\pm$  0.8 vs. 17.4  $\pm$  5.6, p = 0.06). There was a weak correlation between NE-AT and e-XDP levels (r = 0.178, p < 0.05).

## 3.3. Correlations of NE-AT and e-XDP with clinical indices

We then divided patients into two subgroups with or without ALI/ARDS, namely non-ALI and ALI/ARDS groups, and examined the relationships of NE-AT and e-XDP with various clinical indices. In the ALI/ARDS group, plasma NE-AT levels significantly correlated with the decrease between the

initial and lowest  $PaO_2/FIO_2$  (P/F) ratio, and the duration of ALI/ARDS during hospital stay (Fig. 2a,b). When only patients with mild ALI were analyzed, the correlations with the decrease in P/F ratio and the duration of ALI/ARDS were still significant (r=0.40, p=0.034; r=0.43, p=0.019). In contrast, plasma e-XDP did not correlate with these parameters (Fig. 2c,d). NE-AT also correlated well with APACHE II, SOFA, and MODS scores on the first day in the ALI/ARDS group (Fig. 3a,b), but e-XDP did not (Fig. 3c,d). In the non-ALI group, neither NE-AT nor e-XDP correlated with any of the above parameters, except that a weak correlation was observed between NE-AT and the decrease in P/F ratio and SOFA score.

# 3.4. NE-AT and e-XDP for subsequent development of lung injury and fatal outcome at the 28th day

We next examined whether plasma NE—AT and e-XDP levels were predictive of patients' subsequent development of lung injury and fatal outcome at the 28th day in the patients with or without ALI and ARDS. In the ALI/ARDS group, plasma NE—AT levels were significantly higher in the subgroup with a decrease of more than 20% between the initial and lowest P/F ratios than in those with a decrease of equal to or less than 20% (Fig. 4a). In contrast, there was no difference in e-XDP level between the two subgroups (Fig. 4b). Similar results were observed when patients were divided into two subgroups with and without a decrease of more than 10% in the P/F ratio (data not shown).

We then examined plasma NE-AT and e-XDP levels and fatal outcome at the 28th day. There was a trend for a higher median NE-AT level in deceased patients than in alive patients, although the difference was not statistically significant

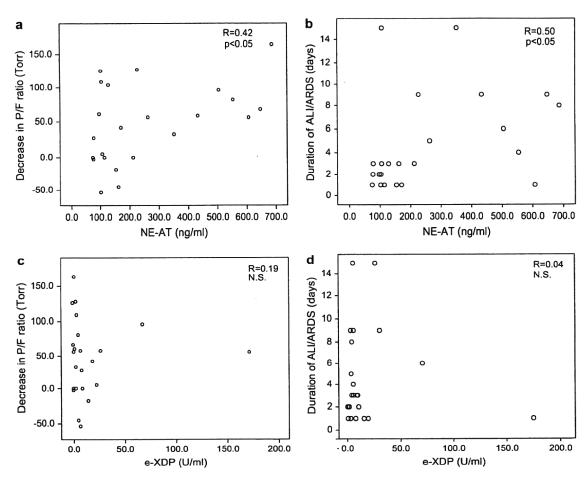


Fig. 2. Comparison of plasma NE-AT and e-XDP with the decrease in P/F ratio and duration of ALI/ARDS. (a) NE-AT vs. decrease in P/F ratio. (b) NE-AT vs. duration of ALI/ARDS. (c) e-XDP vs. decrease in P/F ratio. (d) e-XDP vs. duration of ALI/ARDS. NE-AT, neutrophil elastase-alpha-1 antitrypsin complex; e-XDP, elastase digests of cross-linked fibrin; and P/F ratio, PaO<sub>2</sub>/FIO<sub>2</sub>.

(p = 0.20, data not shown). There was no difference in e-XDP between the two subgroups (p = 0.44, data not shown).

#### 4. Discussion

In the present study, we examined plasma NE-AT and e-XDP levels in critically ill patients to elucidate the significance of NE in the initiation and progression of ALI and ARDS in the presence or absence of SIRS. We found significantly increased levels of plasma NE-AT in the patients with ARDS, especially when the definition of SIRS was met. Among the ALI/ARDS groups, the plasma NE-AT levels, but not the e-XDP levels, correlated significantly with the decrease between the initial and lowest P/F ratios and the duration of ALI/ARDS during the hospital stay. Furthermore, the levels of NE-AT, but not e-XDP, significantly increased in the subgroup with a decrease of more than 20% between the initial and lowest P/F ratios than in those with a decrease of equal to or less than 20%. In contrast, the above correlations and differences between the subgroups were not observed in the non-ALI patients. From these results, we speculated that NE-AT, but not e-XDP, may be predictive of progressive respiratory failure in the early stage of ALI and ARDS. In addition, the combined criteria of ALI/ARDS and SIRS may be useful for predicting neutrophil activation in vivo, and thus applicable for selecting the target for an anti-neutrophil strategy in critically ill patients.

High levels of plasma NE-AT have been reported previously. Rocker et al. examined plasma NE-AT in 50 patients with respiratory failure and showed that higher levels of NE-AT occurred in pre-ARDS and ARDS, as diagnosed by Petty's definition [15]. Moreover, they found that NE-AT was significantly correlated with the P/F ratio, BAL protein, and differential neutrophil counts. Donnelly et al. prospectively examined plasma NE-AT levels in patients with multiple trauma and found that NE-AT increased in patients who developed ARDS as defined by Murray's expanded definition [16]. In the present study, we examined critically ill patients with various etiologies and classified them into non-ALI, mild ALI, and ARDS with or without SIRS, following the definition by the American-European consensus conference. We found that plasma NE-AT increased in patients who fulfilled the criteria of SIRS and ARDS. Furthermore, NE-AT was predictive of progressive lung injury among patients with ALI and ARDS. Our results support the idea that NE makes a significant contribution to the initiation and development of ALI and ARDS during the early phase of critical illness, especially when SIRS is complicated.

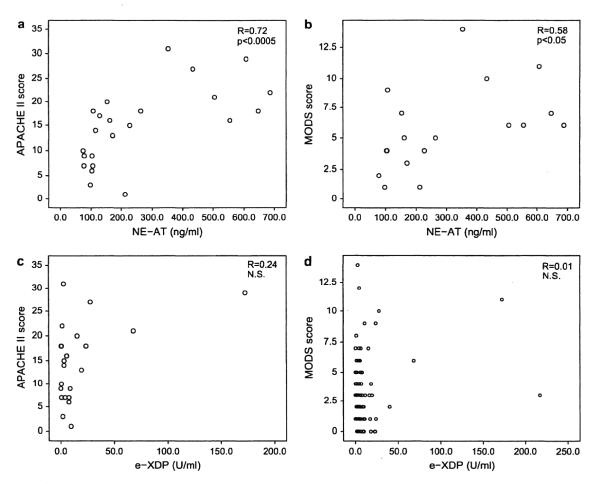


Fig. 3. Comparison of plasma NE—AT and e-XDP with the decrease in P/F ratio and duration of ALI/ARDS. (a) NE—AT vs. APACHE II score. (b) NE—AT vs. MODS score. (c) e-XDP vs. APACHE II score. (d) e-XDP vs. MODS score. NE—AT, neutrophil elastase—alpha-1 antitrypsin complex; e-XDP, elastase digests of cross-linked fibrin; APACHE II, acute physiology and chronic health evaluation; and MODS, multiple organ dysfunction score.

NE is a potent serine proteinase and could harm vital organs and tissues in addition to killing of invading microorganisms. Acting as protection against the harmful effects of NE, there are several endogenous NE inhibitors, including AT, in the circulation and they can neutralize NE soon after NE is released. In contrast, in SIRS and sepsis, neutrophils are

activated, migrate out from the vasculature, firmly attach to lung endothelial and epithelial cells, and can directly injure them by releasing NE to the neutrophil—stromal cell interface, where no or only a very low concentration of natural NE inhibitors exist. In the present study, we expected that the amount of e-XDP produced would correlate with that of NE released

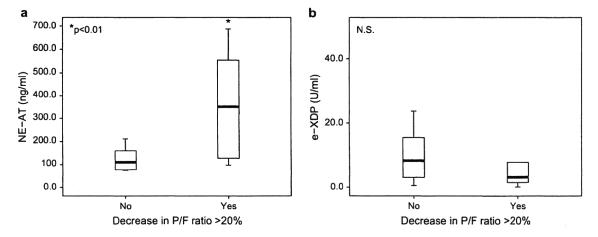


Fig. 4. Box plots showing median plasma levels of (a) NE-AT and (b) e-XDP in two groups of patients, one with a decrease of more than 20% in P/F ratio and another group with a decrease of equal to or less than 20%. Boxes show interquartile ranges and I bars represent highest and lowest values. \*p < 0.01. NE-AT, neutrophil elastase—alpha-1 antitrypsin complex; e-XDP, elastase digests of cross-linked fibrin; and P/F ratio, PaO<sub>2</sub>/FIO<sub>2</sub>.