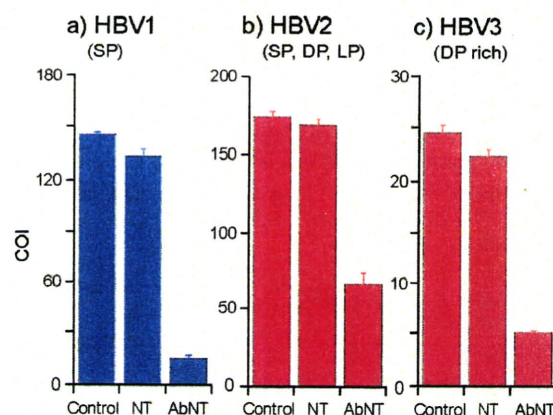


**Figure 1.** (a) Schematic illustrations of three types of HBV particles (SP, DP, LP) and (PLA/HSA)<sub>2</sub>PLA/PLG/HBsAb nanotube (AbNT). (b) SEM image of lyophilized AbNTs prepared using porous PC template ( $D_p = 400$  nm), and (c) TEM image of AbNT stained with uranylacetate.

The amount of HBsAb on the pore surface was estimated. The powder of AbNTs (*ca.* 210  $\mu$ g) obtained from one PC membrane (diameter, 25 mm), in which *ca.*  $3.0 \times 10^8$  channels exist, was dispersed in acidic water (pH 3.5, 1.5 mL) to dissolve the multilayered structure. From the absorbance at 280 nm, the HBsAb concentration was determined to be *ca.* 75 nM, under the assumption that the AbNTs consist of the LbL assembly described above. It became apparent that one AbNT (length, 9  $\mu$ m) contains *ca.*  $2.2 \times 10^5$  molecules of HBsAb.

We used three different HBV solutions (HBV1–3) for trap experiments: HBsAg SP solution (HBV1), HBsAg-positive plasma including all particles (SP, DP, and LP) (HBV2), and DP-rich solution (HBV3). Actually, SP, DP, and LP can all be detected by HBsAg assay; only DP is found by DNA assay. First, HBV1 (1  $\mu$ g/mL, 7.5  $\mu$ L) was added to the AbNT dispersion (pH 7.4, 0.75 mL) in phosphate buffered saline (PBS). To avoid an electrostatic attraction between the HBV particles and tube surface exterior, free HSA was added to the nanotube dispersion ([HSA] = 0.2 mM). After incubation for 3 h at room temperature, the mixture was centrifuged for 10 min at  $5000 \times g$  to spin down the tubes. The HBV-trapping capability of the AbNT was determined using chemiluminescence enzyme immunoassay (CLEIA) of the remaining HBsAg in the supernatant. The amount of HBsAg was significantly lower than that of the identically treated HBV1 solution without the tubes (control group) (Figure 2a). The trapping ratio [ $\{1 - \text{COI}(\text{AbNT})/\text{COI}(\text{Control})\} \times 100$ ] reached 90%. In contrast, incubation with the (PLA/HSA)<sub>2</sub>PLA nanotubes (NTs) did not cause a marked difference in the HBsAg numbers. Chemiluminescence immunoassay (CLIA) yielded similar results (Figure S1). Remarkably, CLEIA and CLIA measurements were not reproducible for the PBS solution without 0.2 mM HSA. In SDS PAGE of the concentrated supernatant, no HBsAb band appeared between 130 and 150 kDa. This manifests that the AbNTs retain their original multilayered structure without the antibody release during the experiments. We concluded that the HBV SPs diffused into the hollow space of the AbNT and bind to the inner surface wall. The concentration dependence of the absorbed HBsAg (Figure S2)



**Figure 2.** Amount of remaining HBsAg in HBV1–3 solutions (PBS, pH 7.4, 0.2 mM HSA) after incubation with AbNTs, with NTs, and without tubes (control) determined using the CLEIA method. The vertical axis is defined as the cutoff index (COI).

**Table 1.** Amount of Remaining DNA in HBV2 and HBV3 Solutions (PBS, pH 7.4, 0.2 mM HSA) after Incubation with AbNTs

[DNA] (copies/mL)	HBV2	HBV3
Control (without tubes)	$1.23 \times 10^5$	$2.58 \times 10^5$
AbNT	166	36
Trapping ratio (%)	99.87	99.99

conferred a binding constant ( $K$ ) of  $3.5 \times 10^7 \text{ M}^{-1}$ . Generally, the  $K$  value of the antigen–antibody reaction is between  $10^8$  and  $10^9 \text{ M}^{-1}$ . The low binding affinity of HBsAg (SP) to the AbNT appears to be attributable to the fact that some HBsAbs are adhered to the pore wall with unfavorable geometries, in which the antigen-binding fragments do not direct to the hollow center.

Next, we performed the same experiments using more practical HBV2 and HBV3 solutions. After incubation with the AbNTs for 3 h, the mixture was centrifuged to precipitate the tubes. The CLEIA of the supernatants demonstrated that the HBsAg-trapping ratio was, respectively, 62% for HBV2 and 79% for HBV3 (Figure 2b, c); these values are lower than that observed in the case of HBV1. At least two plausible reasons exist. First, HBV2 and HBV3 include certain amounts of LPs that are too large to enter the pore (*ca.* 200 nm). Second, a nonspecific antibody reaction takes place, because HBV2 and HBV3 are prepared from the HBsAg-positive plasma. The soluble inhibitor is likely to contribute the reduction of trap competence.

To evaluate the DP-trapping capability of the AbNT, DNA quantification assays of the supernatants were conducted by PCR. As presented in Figure 2b and 2c (control groups), the amount of HBsAg in the HBV3 solution was one-seventh of that of the HBV2 solution. Strikingly however, the DNA concentration in HBV3 ( $2.58 \times 10^5$  copies/mL) was 2-fold greater than that of HBV2 (Table 1). Therefore, HBV3 contains large quantities of infectious DPs. To our surprise, the DNA concentrations declined dramatically after incubation with the AbNTs: 166 copies/mL for HBV2 (trapping ratio, 99.87%) and 36 copies/mL for HBV3 (trapping ratio, 99.99%). We reasoned that DPs were entirely captured into the one-dimensional pore space of the AbNT, although noninfectious SPs and LPs still



existed in the bulk solution. TEM observation demonstrated the entrapping of DPs into the hollow (Figure S3). Results show that the AbNT treated HBV specimens became clinically DP free. The detailed supramolecular mechanism responsible for this perfect DP trapping into the AbNT remains unclear. Nevertheless, we presume that (i) dense and robust DP with genome DNA is favorable to bind to the pore wall of the cylinder or (ii) the large DP can possess multiple binding sites per particle that might enhance encapsulation.

In conclusion, the virus trap set in the blood protein nanotube ensnared the infectious HBV Dane particles selectively and completely. The efficiency of removal by a single AbNT treatment reached  $-3.9$  log order. This astonishing result will serve as a trigger to engender a new field of virus detecting and removing devices. For instance, elimination of small and nonenvelope type viruses, such as hepatitis E virus and human parvo B19 virus, using HSA nanotubes would be of tremendous medical importance. Recombinant HSA is currently manufactured on an industrial scale,<sup>16</sup> which enables us to exploit the protein nanotubes in practical use.

#### ■ ASSOCIATED CONTENT

● **Supporting Information.** Experimental section, amount of remaining HBsAg in HBV1 solution after incubation with AbNTs determined using CLIA method (Figure S1), concentration dependence of absorbed HBsAg (HBV1) in AbNTs (Figure S2), and TEM of AbNT incorporating DPs (Figure S3). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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# Protein nanotubes bearing a magnetite surface exterior<sup>†</sup>

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This communication describes the synthesis and structure of multilayered protein nanotubes bearing a magnetite (Fe<sub>3</sub>O<sub>4</sub>) surface exterior, and their binding capability for zinc(II)-protoporphyrin IX (ZnPP) in aqueous medium. The nanotubes were fabricated using an alternating layer-by-layer (LbL) assembly of human serum albumin (HSA) and poly-L-arginine (PLA) in a track-etched polycarbonate (PC) membrane (pore size, 400 nm), which had been precoated in advance with Fe<sub>3</sub>O<sub>4</sub> nanoparticles. Dissolution of the PC template yielded Fe<sub>3</sub>O<sub>4</sub>(PLA/HSA)<sub>3</sub> nanotubes. SEM measurements revealed the formation of uniform hollow cylinders with 417 ± 16 nm outer diameter and 56 ± 7 nm wall thickness. TEM observations confirmed the homogeneous outer surface of Fe<sub>3</sub>O<sub>4</sub>. In an aqueous medium, the nanotubes captured ZnPP into the swollen wall. The ZnPP-loaded protein nanotubes were collected by exposure to a magnetic field. Copyright © 2011 John Wiley & Sons, Ltd.

**Keywords:** human serum albumin; layer-by-layer assembly; magnetite; nanotubes; protein

## INTRODUCTION

Magnetic-field assisted bioseparation using spherical nanoparticles including a magnetite (Fe<sub>3</sub>O<sub>4</sub>) component has attracted considerable interest because of its potential applications in various medical fields.<sup>[1–5]</sup> Colloidal particles, however, confront structural limitations when multi-functionalities must be introduced on the surface. The nanocylindrical hollow structure presents several advantages over spherical particles. The nanotubes can possess different interior and exterior surfaces independently. Therefore it is possible to program desired functions into the pore space, tubular wall, and outer surface of the cylinder. Furthermore, the open-end terminals enable quick loading and release of the target molecule without structural change. Nevertheless, few reports describe the use of magnetic nanotubes for bioseparation in an aqueous medium.<sup>[6–8]</sup> Lee and co-workers demonstrated that magnetic silica nanotubes are useful for drug delivery.<sup>[6]</sup> Rubner and co-workers synthesized heterostructured magnetic nanotubes made of layer-by-layer (LbL) assembly of poly(allylamine hydrochloride) and poly(styrene sulfonate), and characterized their molecular transport properties.<sup>[7]</sup> Li's group developed LbL magnetic nanotubes comprising poly(amino acid)s as a DNA carrier.<sup>[8]</sup> We recently presented an efficient method to prepare protein nanotubes through alternating LbL assembly in a porous PC membrane.<sup>[9]</sup> Subsequent dissolution of the template in *N,N*-dimethylformamide (DMF) and freeze-drying of the extracted core yields uniform hollow cylinders as lyophilized powder. The tubes typically comprise six layers of negatively charged human serum albumin (HSA) and positively charged poly-L-arginine (PLA) [(PLA/HSA)<sub>3</sub>]. The HSA is the major protein of blood plasma; it serves as a transporter of hydrophobic endogenous and exogenous compounds.<sup>[10,11]</sup> The HSA components in the (PLA/HSA)<sub>3</sub> nanotubes retain their original ligand binding ability in water. Therefore the tube walls capture cyanine dye, zinc(II)-protoporphyrin IX (ZnPP), and fatty acids, which are all ligands for HSA.<sup>[9]</sup> A protein nanotube bearing a ferrimagnetic layer would enable synthesis of magnetically responsive cylinders for protein-

based biomolecular separation, transport, and analysis. This paper reports, for the first time, the synthesis and structure of HSA nanotubes bearing an Fe<sub>3</sub>O<sub>4</sub> surface exterior and their ZnPP-capturing capability in a biological medium. The ZnPP-loaded nanotubes can be removed easily using a magnetic field.

## EXPERIMENTAL

### Materials and apparatus

Poly-L-arginine hydrochloride (PLA, Mw: ca. 70,000), human serum albumin (HSA, recombinant product expressed by yeast species *Pichia pastoris*), and zinc(II)-protoporphyrin IX (ZnPP) were purchased from Sigma-Aldrich Corp. The Fe<sub>3</sub>O<sub>4</sub> nanoparticles [EMG607, 100 mg/ml, particle (ca. 10 nm) is covered with cationic surfactant] were purchased from Ferrotec Corp., Japan. The water was deionized (18.2 MΩcm) using water purification systems (Elix UV and Simpli Lab-UV; Millipore Corp.). The fluorescence emission spectra were measured using a spectrofluorometer (FP-6500; Jasco Corp.).

### Template synthesis of protein nanotubes with Fe<sub>3</sub>O<sub>4</sub> surface exterior

Magnetic protein nanotubes were prepared according to our previously reported procedure with some modifications.<sup>[9]</sup> The

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<sup>†</sup> This work is dedicated to Dr Eishun Tsuchida, Professor Emeritus, Waseda University, Japan.



track-etched polycarbonate (PC) porous membrane (Isopore membrane,  $r = 25$  mm, pore diameter ( $D_p$ ) = 400 nm; Millipore Corp.) was placed into a stainless steel syringe holder (25 mm; Advantec Mfg., Inc.) and the aqueous  $\text{Fe}_3\text{O}_4$  nanoparticle solution (1 mg/ml, 10 ml) was filtered (0.25 ml/min) three times using a syringe pump (PHD-2000; Harvard Apparatus). Then the membrane was removed from the holder and rinsed with deionized water and wiped gently on both sides using a cotton swab to eliminate adherent  $\text{Fe}_3\text{O}_4$ . The obtained pale-brownish membrane was dried in an automatic low-humidity chamber (Super Dry; Tokyo Living Co. Ltd., Japan) for 12 hr (humidity < 1%) and set again into the holder. The sodium phosphate buffered (PB) solution (pH 7.0, 10 mM, 10 ml) of PLA (1 mg/ml) containing 0.1 M NaCl was injected slowly into the pores (0.25 ml/min) to absorb the positively charged PLA. After washing excess PLA using water filtration, the membrane was dried *in vacuo* for 10 min. Next, the PB solution (pH 7.0, 10 mM, 10 ml) of HSA (2 mg/ml) was filtered (0.5 ml/min) through the membrane. Loosely adsorbed proteins were washed with water. Then the membrane was dried under vacuum. These pressure infiltrations were repeated for three cycles to grow the LbL thin film of a combination of PLA/HSA. The PC membrane surface was wiped using a cotton swab and dried in an automatic low-humidity chamber. To eliminate the nanotube cores from the template, the membrane was immersed into a DMF solution and the released cores were freeze-dried *in vacuo*, yielding the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes as a dark-yellow powder.

#### SEM and TEM observations

SEM measurements of the magnetic protein nanotubes were conducted as described elsewhere.<sup>[9]</sup> For TEM observations, 3  $\mu\text{L}$  of the aqueous solution of the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes was placed onto an elastic carbon-coated copper grid (100 mesh; Okenshoji Co. Ltd.), which was hydrophilized using a hydrophobic treatment device (HDT-400; JEOL Datum). These specimens were observed directly without staining using a transmission electron microscope (JEM-1011; JEOL) with accelerating voltage of 100 kV.

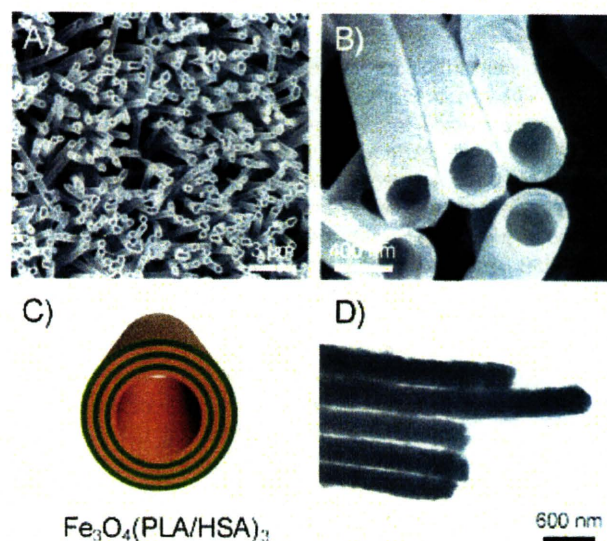
#### ZnPP binding to $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$ nanotubes

The lyophilized powder of the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes (ca. 60  $\mu\text{g}$ ) was dispersed in the PB solution (pH 7.0, 10 mM, 15% DMSO, 1.5 ml). The mixture was sonicated briefly and transferred to a 10-mm path length optical quartz cuvette. The ZnPP (25  $\mu\text{M}$  in DMSO, 12  $\mu\text{L}$ ) was added to the nanotube dispersion and the mixture ( $[\text{ZnPP}] = 0.2 \mu\text{M}$ ) was incubated for 3 hr at room temperature in the dark. Then the dispersion was transferred to a glass tube and centrifuged for 10 min at  $4000 \times g$  to spin off the nanotubes. The fluorescence of the supernatant was measured to assay the concentration of the unbound free ZnPP in the solution. An identically treated control sample without nanotubes was always prepared; its fluorescence intensity was regarded as a 100% ZnPP concentration. The ZnPP-loaded nanotubes can be removed more quickly by exposure to a magnetic field using a Neodymium magnet (468 mT, 5 mm  $\phi \times 10$  mm). The supernatant was transferred carefully to the other quartz cuvette and its fluorescence of the solution was measured to assay the concentration of the unbound ZnPP.

## RESULTS AND DISCUSSION

The magnetic protein nanotubes were prepared using an alternating LbL deposition technique with a track-etched PC membrane. First, the PC template (pore diameter,  $D_p = 400$  nm) was placed in the stainless syringe holder and the  $\text{Fe}_3\text{O}_4$  nanoparticle solution (1 mg/ml, 10 ml) was filtered three times using a syringe pump. The resultant membrane was removed from the holder and rinsed with deionized water. After drying for 12 hr, the pale-brownish PC template was again set in the holder and three-cycle injections of the PLA solution, followed by the HSA solution, were performed according to our previously reported procedure.<sup>[9]</sup> This sequential LbL build-up assembly of the combination of PLA and HSA produced  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  multilayers on the pore walls. Careful dissolution of the PC template in DMF and freeze-drying of the extracted core yielded a dark-yellow powder. The SEM observations revealed the formation of highly ordered arrays of the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes with outer diameter of  $417 \pm 16$  nm (Fig. 1A and 1B). The maximum length of the tubules (ca. 9  $\mu\text{m}$ ) corresponded to the PC membrane pore depth. The wall thickness was  $56 \pm 7$  nm, which is slightly thicker than that observed in the (PLA/HSA)<sub>3</sub> nanotubes ( $50 \pm 4$  nm).<sup>[9]</sup> We proposed a seven-layered cylinder model based on the general principle of LbL membrane growth (Fig. 1C). First the  $\text{Fe}_3\text{O}_4$  nanoparticles adhered on the negatively charged pore of the PC template, forming the  $\text{Fe}_3\text{O}_4$  thin films as the first layer. Then the positively charged PLA deposited on the  $\text{Fe}_3\text{O}_4$  coated surface. Next, the negatively charged HSA was deposited on the PLA layer to produce a new anionic surface. The average thickness of a PLA/HSA bilayer in the (PLA/HSA)<sub>3</sub> nanotubes was 16.7 nm.<sup>[9]</sup> Applying this dimension to the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes, the outer surface layer of  $\text{Fe}_3\text{O}_4$  was estimated as ca. 6 nm.

TEM observations of the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes without staining yielded positive images (Fig. 1D). Remarkably, the cylinders

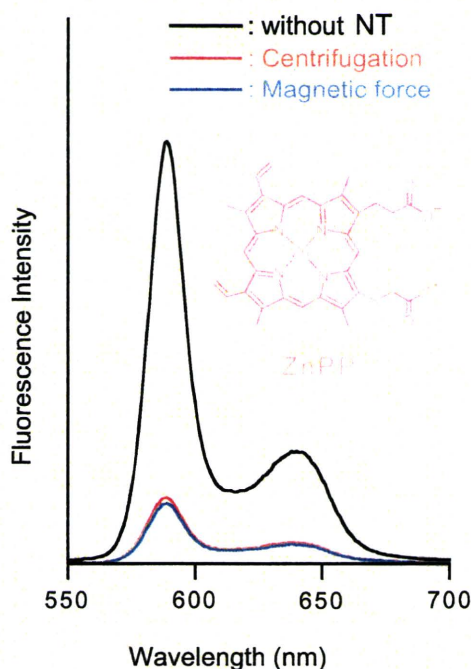


**Figure 1.** (A, B) SEM images of  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes prepared using porous PC template ( $D_p = 400$  nm), (C) schematic illustration of seven-layered nanotubes with an  $\text{Fe}_3\text{O}_4$  surface exterior, and (D) TEM image of  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes without staining. This figure is available in color online at [wileyonlinelibrary.com/journal/pat](http://wileyonlinelibrary.com/journal/pat)

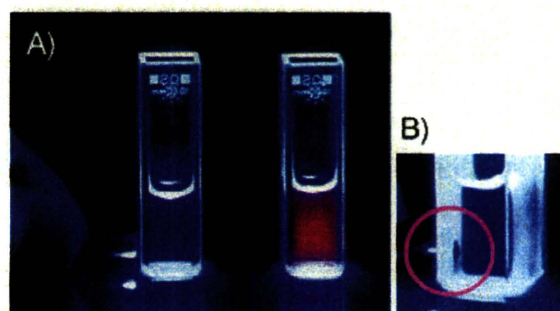


were totally dark, which suggests that the protein nanotube was wrapped homogeneously in the magnetic  $\text{Fe}_3\text{O}_4$  thin layer.

Hemin [iron(III)-protoporphyrin IX, FePP] released from methemoglobin is captured by HSA in the human circulatory system, by which it is transported to the liver. Crystallographic studies of the HSA–FePP complex revealed that the FePP was bound in a D-shaped hydrophobic cavity in subdomain IB of HSA.<sup>[12]</sup> The central iron(III) atom is coordinated by phenolate oxygen of tyrosine-161. We found that zinc(II)-protoporphyrin IX (ZnPP) was also incorporated into the identical site of HSA with a binding constant of  $4.4 \times 10^5 \text{ M}^{-1}$ ,<sup>[9]</sup> and that the HSA–ZnPP complex acts as a photosensitizer for  $\text{H}_2$  generation from water.<sup>[13]</sup> The lyophilized  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes were suspended in sodium phosphate buffered (PB) solution (50 mM, pH 7.0, 15% DMSO, 1.5 ml) and the ZnPP was added to the dispersion ( $[\text{ZnPP}] = 0.2 \mu\text{M}$ ). The fluorescence of ZnPP in the nanotubes could not be evaluated accurately, because the tube dispersion was slightly turbid. After 3 hr in the dark at room temperature, the mixture was centrifuged for 10 min at  $4000 \times g$  to remove the nanotubes. Several micrometer cylinders are sufficiently long to spin off by centrifugation. The fluorescence intensity of the supernatant was markedly lower (15%) than that of the identically treated ZnPP solution without the tubes (Fig. 2). Fluorescence of the ZnPP solution incubated with  $(\text{PLA}/\text{PLG})_3$  nanotubes, which were prepared with poly-L-glutamic acid (PLA) instead of HSA, remained 82% of the control value.<sup>[9]</sup> Based on these results, it can be concluded that ZnPP can diffuse into the swollen wall of the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes and that it is bound to the HSA components in the wall. The ratio of the ZnPP/HSA was estimated as ca. 0.7 mol/mol. The ZnPP capturing capability of the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes was fundamentally identical to that of the  $(\text{PLA}/\text{HSA})_3$  nanotubes in terms of their general features,<sup>[9]</sup> meaning that



**Figure 2.** Fluorescence spectra of PB solution (pH 7.0, 10 mM, 15% DMSO) of ZnPP ( $0.2 \mu\text{M}$ ) after incubation with  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes, with subsequent removal of the ZnPP-loaded nanotubes by centrifugation or magnetic force. This figure is available in color online at [wileyonlinelibrary.com/journal/pat](http://wileyonlinelibrary.com/journal/pat)



**Figure 3.** (A) Photographs of PB solution (pH 7.0, 10 mM, 15% DMSO) of ZnPP ( $0.2 \mu\text{M}$ ) before adding  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes (right) and after incubation with  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes, with subsequent collection of the tubes using a magnetic field (left). (B) Photograph of ZnPP-loaded nanotubes collected on the cuvette wall using a small magnet. This figure is available in color online at [wileyonlinelibrary.com/journal/pat](http://wileyonlinelibrary.com/journal/pat)

the introduction of the magnetic  $\text{Fe}_3\text{O}_4$  surface exterior does not disturb the molecular capturing ability of the HSA layer in the walls.

As expected, the ZnPP-loaded  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes can be removed easily by exposure to a magnetic field. By bringing a Neodymium magnet close to the quartz cuvette including the ZnPP solution with the  $\text{Fe}_3\text{O}_4(\text{PLA}/\text{HSA})_3$  nanotubes, the pink tubules were attracted rapidly to the magnet; the solution became almost colorless (Fig. 3). The fluorescence intensity of the supernatant was identical to that of the centrifuged sample: 14% intensity of the control (Fig. 2). Detaching the magnet from the cuvette liberated the nanotubes in the solution immediately. This magnetic-field induced collection–dispersion was observed to be reversible. The results explained herein imply that the introduction of the magnetic surface exterior onto the HSA nanotubes excludes the tedious centrifugation process to separate the ligand-bound nanotubes from the bulk solution.

## CONCLUSION

We have shown template synthesis of protein nanotubes bearing an  $\text{Fe}_3\text{O}_4$  surface exterior and characterized their ZnPP-binding capability. In fact, ZnPP can diffuse into the multilayered walls of the cylinders and is captured by HSA components. The ZnPP-bound nanotubes were collected and liberated reversibly using a small magnet, which suggests that simply depositing  $\text{Fe}_3\text{O}_4$  nanoparticles at the first layer of the protein nanotubes would enable us to create various molecular separation and transport devices that can be controlled magnetically in practical applications.

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available at [www.sciencedirect.com](http://www.sciencedirect.com)[www.elsevier.com/locate/brainres](http://www.elsevier.com/locate/brainres)**BRAIN  
RESEARCH****Research Report****RBC velocities in single capillaries of mouse and rat brains are the same, despite 10-fold difference in body size**Miyuki Unekawa<sup>a</sup>, Minoru Tomita<sup>a</sup>, Yutaka Tomita<sup>a</sup>, Haruki Toriumi<sup>a</sup>,  
Koichi Miyaki<sup>b,1</sup>, Norihiro Suzuki<sup>a,\*</sup><sup>a</sup>Department of Neurology, School of Medicine, Keio University, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan<sup>b</sup>Department of Preventive Medicine for Cerebrovascular Disease, School of Medicine, Keio University, 35 Shinanomachi, Shinjuku-ku, Tokyo 160-8582, Japan

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## ABSTRACT

Employing high-speed camera laser-scanning confocal microscopy with RBC-tracking software, we previously showed that RBC velocities in intraparenchymal capillaries of rat cerebral cortex are distributed over a wide range. In the present work, we measured RBC velocities in mice, whose body weights are less than one-tenth of that of rats. In an isoflurane-anesthetized mouse, a cranial window was opened in the left temporo-parietal region. Intravenously administered FITC-labeled RBCs were automatically recognized and tracked frame-by-frame at 500 fps, and the velocities of all RBCs recognized were calculated with our Matlab-domain software, KEIO-IS2. Among 15241 RBCs detected in the ROI in 21 mice, 1655 were identified as flowing in capillaries. The velocities of these RBCs ranged from 0.15 to 8.6 mm/s, with a mean of  $2.03 \pm 1.42$  mm/s. A frequency distribution plot showed that RBC velocities were clustered at around 1.0 mm/s, tailing up to 8.6 mm/s, and 59% of the RBCs in capillaries showed velocities within the range of 0.5 to 2.0 mm/s. Unexpectedly, these characteristics of RBC velocities in mice were very similar to those of rats, despite differences in RBC diameter (6.0 vs. 6.5  $\mu$ m), body size (25 vs. 327 g), heart rate (461 vs. 319 bpm) and arterial blood pressure (86 vs. 84 mm Hg). We speculate that physical factors relating to oxygen exchange may constrain general RBC velocity in capillaries to a certain range for optimum oxygen exchange, regardless of species.

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**1. Introduction**

Red blood cells (RBCs) in single capillaries play a critical role in supplying neurons with oxygen, but literature values of their velocity are quite discrepant. Two-slit photometry and cross-

correlation (Ma et al., 1974), high-speed microcinematography (Pawlik et al., 1981), intravital microfilming (Ivanov et al., 1981), radioactive microspheres (Chang et al., 1984), a dual window technique with two fluorescent tracers (Yamaguchi et al., 1992), a dual window and cross-correlation method

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Abbreviations: CBF, cerebral blood flow; FITC, fluorescein isothiocyanate; fps, frames per second; RBC, red blood cell; ROI, region of interest

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(Hudetz, 1997a), laser-scanning confocal fluorescence microscopy (Seylaz et al., 1999) and two-photon laser-scanning microscopy (Hutchinson et al., 2006) have been employed to measure RBC velocity in microvessels of cerebral cortex of various species. The reported mean velocities center around 1 mm/s, with a range of 0.39 to 2.08 mm/s.

In previous communications, we have reported the detection of RBCs having much higher velocity than the above literature values in urethane-anesthetized rats, using a laser-scanning confocal microscope system with Matlab-domain tracking software, KEIO-IS2, developed by us (Schiszler et al., 2005; Tomita et al., 2008; Unekawa et al., 2008). The use of a high-speed camera (500 frames/s (fps)) was crucially important, since RBCs with relatively high velocities would have been missed in successive frames and would therefore have been uncaptured in previous methods which employed conventional cameras with low frame rates (for example, 30 fps).

Recently, there has been a shift in animal experiments from the use of rats to mice, since the latter have a smaller body size and are convenient for the development of gene-recombination technologies. In the present work, we aimed to measure the velocities of RBCs in single capillaries in the mouse brain for comparison with those in rats, and further, to examine the characteristics of the RBC motion, including the frequency distribution function and fluctuations of RBC velocity in single capillaries.

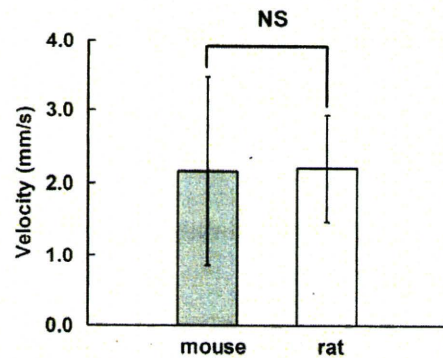
## 2. Results

The body weight of mice is about one-tenth of that of rats (average body weight of the mice we used was  $26.3 \pm 3.1$  g, versus  $327 \pm 32$  g for Wistar rats). The diameter of mouse RBCs was slightly smaller ( $6.0 \mu\text{m}$ ) than that of rat RBCs ( $6.5 \mu\text{m}$ ) (unpublished observation with a VEC-DIC microscope). The heart rate of mice at the beginning of experiments was statistically significantly higher ( $461.4 \pm 50.5$  bpm) than that of rats measured with the same apparatus ( $314.5 \pm 50.6$  bpm) ( $p < 0.001$ ), although arterial blood pressures were similar ( $85.7 \pm 23.7$  mm Hg in mice and  $83.5 \pm 13.7$  mm Hg in rats). Arterial blood pressure and heart rate were stable during the experiments.

In 21 mice,  $726 \pm 614$  RBCs per mouse (ranging from 72 to 1444) were detected as moving RBCs and  $79 \pm 82$  RBCs per mouse (ranging 5 to 262) were identified as flowing in single capillaries during the recording period (10 s) in the region of interest (ROI) of  $500 \times 500 \mu\text{m}$ . The total number of capillaries identified according to our criteria (see Experimental procedures) was  $9.1 \pm 8.9$  (per mouse, ranging 1 to 36) and the sum of the length of the detected capillaries was  $635 \pm 563 \mu\text{m}$  per mouse (ranging 70 to 2320  $\mu\text{m}$ ). These numbers were similar to those of rats used in the previous experiments (Unekawa et al., 2008); namely, the measurement conditions and selected ROIs seem to be well matched in the different experiments.

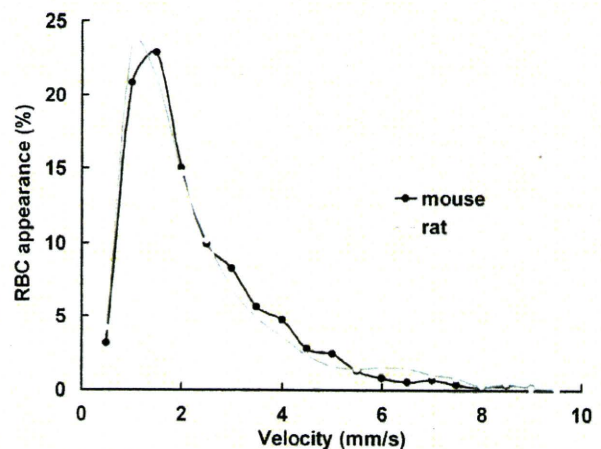
As shown in Fig. 1, mean RBC velocity in single capillaries in each mouse was  $2.16 \pm 1.31$  mm/s with a range of 0.76 (minimum value) to 6.57 mm/s (maximum value). The mean velocity was not statistically significantly different from that in rats ( $2.20 \pm 1.59$  mm/s, with a range of 0.93 to 4.06 mm/s).

The frequency distribution function of RBC velocities ( $h(v)$ ) was obtained by stratifying velocity at every 0.5 mm/s and



**Fig. 1** – RBC velocity in single capillaries in mice (shaded column,  $n=21$ ) and rats (open column,  $n=37$ ). Values are represented as mean  $\pm$  SD. The velocities in the two species were not significantly different ( $p > 0.05$ ). The values of rat RBC velocity are those reported previously (Unekawa et al., 2008).

counting the number of RBC appearances in the strata after integration of all RBC velocity data collected in a single capillary (Fig. 2). The total number of RBCs in capillaries was 1655 among the total of 15241 RBCs detected in all categories of vessels (arterioles, capillaries and venules) in the present experiments. The mean velocity of RBCs exclusively in single capillaries was  $2.03 \pm 1.42$  mm/s. This value was not statistically significantly different from the value in rats ( $p > 0.05$ ), even when we employed Mann-Whitney's  $U$  test or  $t$ -test after logarithmic conversion (the distribution curve was not a normal probability distribution). RBC velocities in capillaries were clustered at around 1.0 mm/s, tailing to higher velocities of up to 8.6 mm/s, and 59% of the RBCs in capillaries showed a velocity within the range of 0.5 to 2.0 mm/s. Moment analysis of the frequency distribution functions plotted against velocity ( $h(v)$ ) in mice and rats revealed that the 4th moment,



**Fig. 2** – Frequency distribution function of RBC velocities in single capillaries in mice (closed circles and black lines, total number of RBCs = 1655) and in rats (open circles and gray lines, total number of RBCs = 4311). Each plot is shown as a percentage with respect to the total number of RBCs. The values of rat RBC velocity are those reported previously (Unekawa et al., 2008).

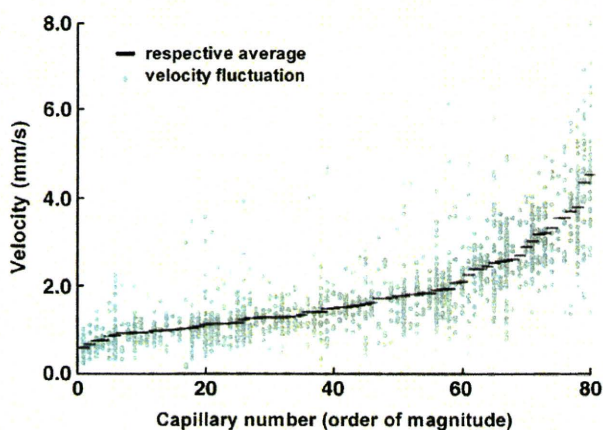


kurtosis, was 2.97 for mice and 2.78 for rats, respectively. The similarity of these higher moments further supports the similarity of the two curves.

We have demonstrated that capillary RBC velocity fluctuates temporally and spatially, and yet each capillary has a characteristic velocity (Unekawa et al., 2008). When capillaries in which RBCs were detected at least 5 times were selected, 1360 RBCs were obtained as flowing in 80 capillaries. The mean velocities of RBCs were calculated for the individual capillaries, and plotted as short horizontal bars with small dots representing each velocity (Fig. 3). The mean and standard deviation of the individual mean velocities in single capillaries were  $1.76 \pm 0.59$  mm/s, again being not significantly different from those of rats ( $1.96 \pm 1.26$  mm/s; previously presented data (Unekawa et al., 2008)). The average of the SD of RBC velocities in each capillary, a surrogate indicator of the individual fluctuations, was 0.34 mm/s (35.6% of the mean value), and this is also not significantly different from the average value of 0.59 mm/s (34.0%) in rats. It appears that RBC velocities in capillaries continually fluctuate within a limited range. The fluctuation was specific to individual capillaries, as was the case in rats.

### 3. Discussion

The present results demonstrate that the movements of RBCs in single capillaries in mice are quite similar to those in rats, notwithstanding the differences in RBC diameter, body size and heart rate. The average RBC velocity in mice is much faster than the previously reported value in intact capillaries of mouse cerebral cortex (0.53 mm/s) (Tomita et al., 2005) or in normal capillaries or neocapillaries generated on gel-nylon mesh (0.7–1.3 mm/s) (Nageswari et al., 2002; Niimi et al., 2000). This difference is considered to be due to the difference of frame rate or shutter speed of the camera in the recording system (30 fps in the literature vs 500 fps in the present



**Fig. 3** – Fluctuation (gray dots) and respective averages (horizontal small bars) of RBC velocities in individual capillaries. Eighty capillaries, in which RBC tracks were detected 5 or more times, were selected in 21 mice and ranked in order of their average velocities. All fluctuating velocities were plotted against rank.

experiments). Actually, RBC velocities of 1.1–2.08 mm/s were observed with a high frame rate (200–400 fps) in cats (Chang et al., 1984; Pawlik et al., 1981; Yamaguchi et al., 1992). We previously reported that there is a clear frame rate dependency of apparent RBC velocity; when higher frame rates are employed, higher mean RBC velocities are obtained, because rapidly moving RBCs can only be detected when a high-speed camera is used for analysis (Unekawa et al., 2008). Namely, rapidly moving RBCs are missing in successive frames at low frame rates, and are therefore uncounted. The lower values in the literature were all acquired at low frame rates.

It may be thought that similar velocity of RBCs reflects a similar cerebral blood flow (CBF) in rodents. Absolute assessment of local CBF has been achieved by means of a  $^{14}\text{C}$ -iodoantipyrine autoradiographic technique. Local CBF in the cerebral cortex under physiological conditions or at an intact site in partially ischemic mice is 70–150 ml/100 g/min (de Vasconcelos et al., 2005; Engel et al., 2008; Jiang et al., 2006; Kawai et al., 1997; Leithner et al., 2008; Lundblad and Bentzer, 2007; Niwa et al., 2001; Saleem et al., 2007), which is slightly higher than that of rats (45–145 ml/100 g/min) (Chi et al., 1999; Miyamoto and Auer, 2000; Narayanan et al., 2002; Takagi et al., 1995; Tanaka et al., 2001; Tohyama et al., 1997). On the other hand, lower CBF (25–50 ml/100 g/min) has been demonstrated in cats (Goadsby, 1989; Sutton et al., 1989). The regular relationship between resting metabolic rate, or rate of oxygen consumption, and the body size of an animal is well known. In most mammals, blood volume is a constant fraction of the body, but RBC size and capillary diameter are constant. In order to satisfy higher oxygen requirement, capillary density must be higher (shorter diffusion distance) in small animals (Schmidt-Nielsen, 1984). Therefore, it is reasonable that blood flow per a gram tissue is higher in small animals. Furthermore, diversity of CBF might be due to strain difference or be influenced by the mode of anesthesia. We used inhalation of isoflurane in mice and intraperitoneal administration of urethane in rats. Nevertheless, the essentially identical velocity characteristics, especially the frequency distribution function, of RBCs in mice and rats seem noteworthy.

The responses of CBF to hypercapnia was much higher than the response of RBC velocity (Hudetz, 1997b). The onset and the peak increases in RBC velocity to neuronal activation in response to hind paw stimulation were not corresponded to those of CBF, suggesting that the capillary and arteriole are controlled by independent mechanisms (Matsuura et al., 1999). Cortical spreading depression induced periodic decreases in both RBC number and velocity until RBCs halted or disappeared (Tomita et al., 2009). RBC velocity in capillaries remained broadly unchanged, whereas RBC number (appearance) decreased by topical application or intravenous administration of nitroprusside, whereas microflow markedly increased (Tomita et al., in press). The area of usual CBF measurement is rather large (for example,  $1 \text{ mm}^3$  with laser Doppler flowmetry) and the obtained values are the average in the area containing arterioles, venules, and so on. But our results of RBC velocity are only in capillaries, excluding such vessels. Thus, we consider that RBC velocity in capillaries and CBF are not always regulated by a single or simple mechanism.

Generally, the specific metabolic rate increases as the animal body weight decreases. CBF also scales with body size

as mentioned above. It represents that the smaller the animal, the higher the volume rate of blood flow. Mammalian cerebral blood volume appears to be constant, resulting that mean transit time should decrease with decreasing brain size (Shockley and LaManna, 1988). Therefore, since the RBC velocity distribution of mice is similar with that of rats, the capillary length may be shorter in smaller animals. However, the detected length of capillaries of mice was comparative with that of rats in this experiment. Mean transit time is also proportional to the ratio of blood volume to blood flow, according to Stewart–Hamilton equation. The length of the capillaries, which was identified with Matlab domain KEIO-IS2, and calculated mean transit time in mice were comparative with those in rats in this experiment. Furthermore, similar RBC velocity and increased oxygen consumption may show oxygen delivery is facilitated in the smaller animals.

RBC velocity in cerebrocortical capillaries of other species has been estimated as around 1 mm/s. However, there are methodological difficulties and ethical problems in directly observing capillaries in human brain. Packed RBC volume, red cell size, capillary diameter, blood gases and blood pressure are fairly constant across mammalian species. Scaling laws of the complex branching pattern and vascular tree structure have been shown to be deduced on the basis of the minimum energy hypothesis and conservation of energy under steady state conditions (Kassab, 2006). We hypothesize that general RBC velocity in capillaries is limited to a certain range by physical factors related to the requirements for optimum oxygen extraction, regardless of species.

#### 4. Experimental procedures

Twenty-one C57BL/6J mice (8–10 weeks old, body weight,  $26.3 \pm 3.1$  g) were used with the approval (# 071095) of the Animal Ethics Committee of Keio University, and all experimental procedures were in accordance with the university's guidelines for the care and use of laboratory animals. Under anesthesia with isoflurane (ca. 1.5%) administered via a concentration-controllable anesthesia unit (400, Univentor Ltd., Zejtun, Malta), each mouse was fixed to a head-holder and a cranial window of approximately 3 mm in diameter was opened in the left side of the skull at the parieto-temporal region of the cerebral cortex. The dura was kept intact and the exposed cortex was covered with a cover slip to prevent it from drying out. A tail vein was catheterized to intravenously inject various solutions. An appropriate capillary-rich ROI in the cerebral cortex was selected, and 0.05 ml of fluorescein isothiocyanate (FITC)-labeled RBC suspension, prepared beforehand according to Seylaz et al. (Seylaz et al., 1999), was injected into the bloodstream through the venous catheter. Fall of body temperature was prevented with a heating-pad and thermo-controller (BWT-100, Bioresearch Center Co., Ltd., Nagoya, Japan). In some experiments, arterial blood pressure and heart rate were recorded with an intermittent cuff method applied to the hindlimb (a non-invasive blood pressure monitor; MK-200, Muromachi Kikai Co., Ltd., Tokyo, Japan). The methods of measurement of RBC velocity using the high-speed camera (500 fps) laser-scanning confocal fluorescence microscope and the image analyzing system with a MATLAB®

(The Math Works, Inc., Natick, MA, USA) environment with application software (KEIO-IS2) developed in our laboratory (Schiszler et al., 2005) were reported elsewhere (Tomita et al., 2008; Unekawa et al., 2008). With reference to the alternatively recorded images obtained using a conventional video camera, we defined single capillaries as having a diameter less than 10  $\mu$ m, based on other reports (Hutchinson et al., 2006; Williams et al., 1993). The frequency distribution function was obtained by stratification of velocities at every 0.5 mm/s and counting the appearance of RBCs within the range. The characteristics of RBC velocities in mice were compared with reported data for rats (Unekawa et al., 2008).

Data in text and figures are presented as mean  $\pm$  standard deviation (SD). Non-paired Student's *t*-test was performed to assess statistical significance, except where otherwise noted in the text.

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## Original Contribution

# Association of a Cyclin-Dependent Kinase 5 Regulatory Subunit-Associated Protein 1-Like 1 (*CDKAL1*) Polymorphism With Elevated Hemoglobin A<sub>1c</sub> Levels and the Prevalence of Metabolic Syndrome in Japanese Men: Interaction With Dietary Energy Intake

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Genome-wide association studies have identified the cyclin-dependent kinase 5 regulatory subunit-associated protein 1-like 1 (*CDKAL1*) gene as a novel risk factor for type 2 diabetes mellitus. Application of this genetic marker for prevention of type 2 diabetes and metabolic syndrome (MetS) in healthy populations has not yet been evaluated. The authors examined the effects of a *CDKAL1* polymorphism (rs9465871) on metabolic phenotype and of gene-lifestyle (*CDKAL1*-energy intake) interaction on MetS in a cohort of apparently healthy Japanese men examined in 2003. The CC genotype of the *CDKAL1* variant was associated with elevated glycosylated hemoglobin A<sub>1c</sub> (HbA<sub>1c</sub>) levels. The prevalence of MetS was 25.6% for CC and 16.3% for TT + CT (odds ratio = 2.18, 95% confidence interval: 1.06, 4.48;  $P = 0.035$ ). When dietary energy intake was accounted for, the variant's effect on HbA<sub>1c</sub> was observed in the highest energy-intake group (mean: CC, 5.6% (standard deviation, 1.7); TT + CT, 5.0% (standard deviation, 0.5);  $P = 0.025$ ). In addition, the positive association between HbA<sub>1c</sub> and energy intake was stronger in subjects with the CC genotype than in subjects with TT + CT. These results suggest that the interaction between the *CDKAL1* polymorphism and dietary energy intake influences the dysglycemic phenotype leading to MetS, possibly through impaired insulin secretion. The *CDKAL1* polymorphism may be a marker for MetS in the Japanese population.

CDKAL1 protein, human; energy intake; hemoglobin A1c protein, human; Japan; metabolic syndrome X

Abbreviations: CDK5, cyclin-dependent kinase 5; CDKAL1, CDK5 regulatory subunit-associated protein 1-like 1; HbA<sub>1c</sub>, hemoglobin A<sub>1c</sub>; MetS, metabolic syndrome; PCR, polymerase chain reaction; SD, standard deviation.

**Editor's note:** An invited commentary on this article appears on page 992, and the authors' response appears on page 998.

Metabolic syndrome (MetS) is characterized by abdominal obesity, hypertension, dyslipidemia, and glucose intolerance (1) and is considered a serious health hazard in developed countries. MetS occurs in up to 22% and 14.7% of US and Japanese adults, respectively (2, 3). In addition, MetS is an independent predictor of the development of type 2 diabetes

mellitus and cardiovascular disease (4, 5). The development and progression of MetS is probably affected by a complex interaction of genetic and environmental factors, but the genetic factors that influence MetS remain mostly unknown. However, certain genetic factors affecting MetS may play a role in obesity, inflammation, insulin resistance, and/or glucose and lipoprotein metabolism (6). Our previous studies showed that a gene altered during inflammation, interleukin 6 receptor (*IL6R*), and a gene altered due to obesity, cell death-inducing DNA fragmentation factor  $\alpha$ -like effector A (*CIDEA*), are both associated with MetS (7, 8).



Recently, genome-wide association studies identified multiple gene products involved in polygenic diseases, such as type 2 diabetes; cyclin-dependent kinase 5 (CDK5) regulatory subunit-associated protein 1-like 1 (*CDKALI*) was identified by the Wellcome Trust Case-Control Consortium for its association with type 2 diabetes (9). Subsequent studies in different populations have successively corroborated this association, and *CDKALI* is now considered to have a bona fide association with type 2 diabetes in both Western and Japanese populations (10–16). The whole-genome approach is useful for identifying new markers of genetic associations, but determining the effect under the influence of lifestyle factors, such as energy intake, is important. Genome-wide association studies and replication studies have confirmed *CDKALI* as a genetic marker in several European populations, but there have been only a few replication studies in Japanese. Takeuchi et al. (13) reported that *CDKALI* is the best-replicated susceptibility locus and the strongest associated with type 2 diabetes among several susceptibility loci, including *KCNQ1* (potassium voltage-gated channel, KQT-like subfamily, member 1), in the Japanese population. Recent studies have shown that type 2 diabetes has a strong positive correlation with total energy intake, which could be a more significant risk factor for type 2 diabetes (17, 18). In addition, the gene-lifestyle (*CDKALI*-energy intake) interaction effect on type 2 diabetes and MetS has not yet been examined in the Japanese population. Therefore, we studied the effect of this genetic marker, the *CDKALI* polymorphism, to determine whether it affects the glycemic phenotype, as well as the effect of the gene-lifestyle interaction on MetS in apparently healthy Japanese.

*CDKALI* is expressed in human pancreatic islet cells and shares homology with CDK5 regulatory subunit-associated protein 1, an inhibitor of CDK5 (10). CDK5 has been reported to regulate insulin secretion (19) and maintain  $\beta$ -cell function under glucotoxic conditions (20). Thus, *CDKALI* probably plays a role in the regulation of insulin secretion from pancreatic  $\beta$  cells, even under glucotoxic conditions.

To the best of our knowledge, no one has reported an interaction effect of the *CDKALI* polymorphism and lifestyle-related energy intake on type 2 diabetes and MetS, since the pathogenesis of MetS is likely to be affected by gene-lifestyle interactions. We investigated whether *CDKALI* is associated with MetS and whether an interaction effect of this polymorphism and energy intake on MetS is present in healthy Japanese men.

## MATERIALS AND METHODS

### Study subjects

A total of 313 male Japanese workers from Kanagawa Prefecture, Japan, underwent a health examination in 2003 and were enrolled in this study. Written informed consent was obtained from each participant. Detailed descriptions of the participants are provided elsewhere (7, 8). The mean age and body mass index (weight (kg)/height (m)<sup>2</sup>) of the participants were 45.7 years (standard deviation (SD), 11.7) and 23.4 (SD, 3.5), respectively. Fifty-eight subjects

(18.5%) were diagnosed with MetS, among whom 7 were treated with antidiabetes medication and 37 were treated with antihypertensive medication. The present study was approved by the Ethics Review Committee of the Medical Research Institute of Tokyo Medical and Dental University. MetS was defined according to the criteria of the Japanese Society of Internal Medicine (21) for men as a waist circumference of  $\geq 85$  cm and 2 or more of the following: 1) dyslipidemia, serum triglyceride level  $\geq 150$  mg/dL, and/or high density lipoprotein cholesterol level  $< 40$  mg/dL; 2) high blood pressure (systolic blood pressure  $\geq 130$  mmHg or diastolic blood pressure  $\geq 85$  mmHg); and 3) impaired fasting glucose concentration ( $\geq 110$  mg/dL).

### Phenotype measurements

Height, weight, systolic blood pressure, and diastolic blood pressure were measured for each participant. All subjects fasted for 12 hours before blood collection. Plasma glucose, serum glycosylated hemoglobin A<sub>1c</sub> (HbA<sub>1c</sub>), serum triglyceride, total cholesterol, and high density lipoprotein cholesterol levels were measured. Body mass index was calculated as weight (kg) divided by height squared (m<sup>2</sup>). Data on the participants' age, family history of diabetes, and current smoking and alcohol drinking status were obtained using a self-reported questionnaire. Dietary energy intake was calculated from a validated semiquantitative food frequency questionnaire, which was evaluated by a comparison with the 7-day dietary records of 66 subjects (22). The multiple correlation coefficients ( $R^2$ ) for correlation between the 2 methods were 0.217, 0.173, 0.150, and 0.238 for energy, protein, lipids, and carbohydrates, respectively (22). The food frequency questionnaire method has been previously described in detail (7, 8, 23). We classified the subjects into tertile groups based on their energy intake levels in order to obtain an approximate number of subjects for the comparative analysis: low, 2,474.5–6,788.6 kJ/day; middle, 6,790.3–8,465.6 kJ/day; and high, 8,499.9–17,740.0 kJ/day.

### DNA genotyping

Peripheral blood was drawn from each subject, and genomic DNA was extracted using a standard method (7, 8). The *CDKALI* polymorphism rs9465871, which is in very high linkage disequilibrium with the rs7756992 polymorphism ( $D' = 1.0$ ,  $r^2 = 0.91$ ) used in replication studies (12), was selected on the basis of previous genome-wide association studies by the Wellcome Trust Case-Control Consortium (for the association with type 2 diabetes, genotype  $P$  value =  $3.34 \times 10^{-7}$ ) (9). The analysis of rs9465871 was performed by polymerase chain reaction (PCR), followed by a melting curve analysis using the LightCycler 480 System (Roche Diagnostics, Penzberg, Germany). PCR primers and hybridization probes used were as follows: 5'-CAG TAG AGG TGG AGG AAG-3' (sense primer), 5'-TCA TCA GAA CAA CCA CCA GT-3' (antisense primer), 5'-GCA TGT TTC CAG AAG GAG GAG TGA TCA GCT GTG TA-3' conjugated to fluorescein (sensor probe), and 5'-LC Red 640-GTG TTG CTG AGA AAC TGA GTT AGA T-Phosphate (anchor probe). PCR was performed in a reaction

mixture containing 0.05  $\mu\text{M}$  sense primer, 0.5  $\mu\text{M}$  antisense primer, 0.2  $\mu\text{M}$  anchor probe, 0.2  $\mu\text{M}$  sensor probe, 10 ng of genomic DNA, and 1  $\mu\text{L}$  of 5X LightCycler 480 genotyping master solution (modified *Taq* DNA polymerase, reaction buffer, dNTP mix, and 15 mM magnesium chloride) in a total volume of 5  $\mu\text{L}$ . The reaction parameters consisted of a 10-minute initial denaturation at 95°C followed by 45 cycles of denaturation at 95°C for 15 seconds, annealing at 55°C for 15 seconds, and extension at 72°C for 15 seconds. After PCR amplification, a melting curve analysis was performed by the reaction's being held at 95°C for 1 minute and at 40°C for 1 minute and then slowly heated to 85°C with a ramp rate of 2°C per second. The melting curve data were collected and classified using the LightCycler genotyping software.

### Statistical analysis

The allele frequency was calculated, and the deviation of the genotype distribution from Hardy-Weinberg equilibrium was determined using a chi-square test. Analysis of variance with or without adjustment (age and height) was used to compare the mean values of basic and clinical characteristics and energy intake levels among genotype groups. The odds ratio and 95% confidence interval for the prevalence of MetS between different genotype groups was evaluated using a multiple logistic regression model with or without adjustment for age and height. Furthermore, the correlations between HbA1c level (a continuous variable) and *CDKAL1* genotype among the energy intake groups, or the energy intake levels among the genotype groups, were examined by multiple linear regression analyses, which were also adjusted for age, height, or current smoking and alcohol drinking status. Seven subjects were excluded because of antidiabetes treatment. Analysis of covariance was used to examine the gene-environment interaction effects on HbA1c and fasting blood glucose level. SPSS for Windows, version 11.0 (SPSS Inc., Chicago, Illinois), was used for all analyses. A *P* value less than 0.05 was considered significant. The corrected significance threshold level using the Bonferroni method, based on these 2 interaction tests, was  $P = 0.05/2 = 0.025$ . Although we analyzed the gene-environment interaction effect on the blood glucose level, we discuss the effect related to HbA1c rather than to fasting blood glucose.

### RESULTS

The frequency of the minor allele, C, was 0.492, which was similar to the frequency in the Japanese general population (0.456; <http://www.hapmap.org/>). The frequencies of the CC, CT, and TT genotypes of rs9465871 among the 313 Japanese men were 25.2%, 47.9%, and 26.8%, respectively. The genotype distribution did not deviate from Hardy-Weinberg equilibrium ( $P = 0.46$ ).

Subjects were characterized according to age, height, body weight, body mass index, waist circumference, blood pressure, serum lipid level, fasting plasma glucose concentration, and HbA1c level and were categorized into 3 groups based on their genotype: CC, CT, and TT. There was no significant linear trend for the mean age- and height-adjusted differences in age, body weight, body mass index, waist

circumference, systolic blood pressure, diastolic blood pressure, serum lipids, fasting plasma glucose, HbA1c, or age-adjusted height among the 3 *CDKAL1* genotype groups (data not shown). The adjusted mean HbA1c level in the CC genotype group (5.2% (SD, 1.2)) was higher than that in the CT group (5.0% (SD, 0.85);  $P = 0.10$ ) or the TT group (4.99% (SD, 0.60)), but the difference was not significant ( $P = 0.07$ ). Thus, we further classified the participants into 2 groups: TT + CT and CC, which was the homozygous recessive genotype associated with type 2 diabetes (9) and impaired fasting glucose in the previous replication study (24). We found no significant differences in age, height, body weight, body mass index, waist circumference, systolic blood pressure, diastolic blood pressure, serum lipid, or fasting plasma glucose levels between the TT + CT and CC genotype groups, even after adjustment for relevant confounding variables (Table 1). In addition, we found no significant differences for the lifestyle factors of dietary energy intake and current smoking and alcohol drinking status when comparing the TT + CT and CC genotype groups (Table 1). However, HbA1c levels were significantly higher in the CC group than in the TT + CT group ( $P = 0.04$ ). Even after we excluded the 7 subjects with diabetes, carriers of the CC genotype had a significantly higher mean HbA1c level than persons with the TT + CT genotype ( $P = 0.039$ , linear regression) after adjustment for age, height, current smoking and drinking status, and energy intake.

The prevalence of MetS was also significantly different between the 2 *CDKAL1* genotype groups, with a prevalence of 25.6% in the CC group and 16.3% in the TT + CT group. After adjustment for age and height, the odds ratio for the CC group as compared with the TT + CT group was 2.18 (95% confidence interval: 1.06, 4.48) ( $P = 0.035$ ).

We examined not only HbA1c but also fasting glucose concentration according to *CDKAL1* genotype. We did not find a significant relation between fasting glucose level and the *CDKAL1* variants (data not shown).

When analyzing the HbA1c levels of the CC and TT + CT groups after adjusting for age, height, and current smoking and drinking status and after grouping the subjects according to their dietary energy intake, we found the HbA1c level of the CC group with the highest energy intake to be significantly higher than that of the TT + CT group (5.6% (SD, 1.7) vs. 5.0% (SD, 0.5),  $P = 0.025$ ; Figure 1). No such difference in HbA1c levels was found in the low-energy-intake group (CC vs. TT + CT: 4.9% (SD, 0.7) vs. 5.1% (SD, 1.1),  $P = 0.99$ ; Figure 1) or the middle-energy-intake group (CC vs. TT + CT: 5.2% (SD, 0.9) vs. 4.9% (SD, 0.4),  $P = 0.37$ ; Figure 1). Furthermore, the HbA1c level was also significantly different after accounting for the interaction between energy intake as a categorical variable and *CDKAL1* genotype ( $P = 0.028$ ) and after adjusting for age, height, and current smoking and drinking status. The significant interaction effect ( $P = 0.028$ ) was marginally greater than the conservative, Bonferroni-corrected effect ( $P = 0.025$ ).

We also examined the correlation between HbA1c levels and energy intake in subjects with the CC and TT + CT genotypes. The TT + CT group did not show significant



**Table 1.** Characteristics of Subjects According to Cyclin-Dependent Kinase 5 Regulatory Subunit-Associated Protein 1-Like 1 (*CDKAL1*) Genotype, Japan, 2003

	Genotype				P Value <sup>a</sup>
	CC (n = 79)		TT + CT (n = 234)		
	Mean (SD)	%	Mean (SD)	%	
Age, years	45.5 (11.7)		45.8 (11.7)		0.82
Height, cm	168.1 (6.8)		168.9 (6.1)		0.29
Body weight, kg	66.0 (10.3)		66.8 (11.5)		0.55
Body mass index <sup>b</sup>	23.4 (3.4)		23.4 (3.6)		0.90
Waist circumference, cm	83.6 (9.6)		83.9 (9.7)		0.75
Serum total cholesterol, mg/dL	208.6 (41.6)		206.3 (35.6)		0.68
Serum triglycerides, mg/dL	137.8 (87.5)		131.6 (81.9)		0.27
Serum high density lipoprotein cholesterol, mg/dL	52.9 (13.1)		56.2 (14.3)		0.07
Fasting plasma glucose, mg/dL	101.3 (34.6)		100.4 (33.4)		0.68
Serum hemoglobin A1c, %	5.2 (1.2)		5.0 (0.8)		0.04*
Systolic blood pressure, mm Hg	132.2 (18.8)		135.2 (17.5)		0.21
Diastolic blood pressure, mm Hg	79.5 (13.5)		82.4 (12.2)		0.09
Energy intake, kJ/day	7,700.8 (1,886.1)		7,724.0 (2,064.1)		0.76
Current smoking		57.5		60.8	0.62
Current alcohol consumption		69.9		74.5	0.44
Family history of diabetes		17.9		14.1	0.46
Prevalence of metabolic syndrome		25.6		16.3	0.035*

Abbreviations: ANOVA, analysis of variance; SD, standard deviation.

\*  $P < 0.05$ .

<sup>a</sup>  $P$  values were derived using an additive model and were determined by unadjusted ANOVA (age), ANOVA adjusted for age (height), ANOVA adjusted for age and height (other continuous traits), or chi-square test (categorized traits).

<sup>b</sup> Weight (kg)/height (m)<sup>2</sup>.

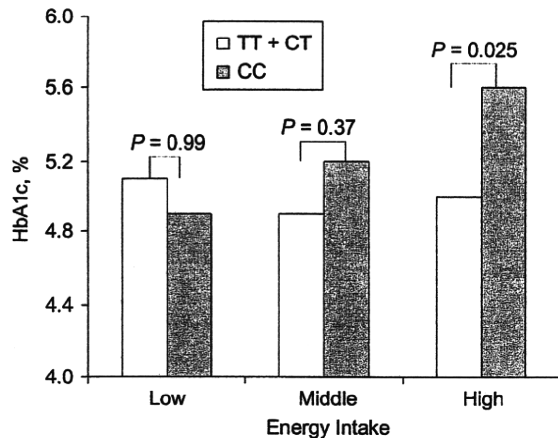
positive correlations between HbA1c and energy intake, but the CC group did show a correlation (Figure 2). In the TT + CT group, the regression coefficient was 0.16, and  $P$  equaled 0.012 for the trend after adjustment for age, height, and smoking and drinking status (Figure 2A). In the CC group, the regression coefficient was 0.25 and  $P$  was 0.037 for the trend (Figure 2B). Furthermore, when we examined the interaction term for *CDKAL1* genotype and energy intake using the multivariate-adjusted general linear model and adjusted for age, height, and current smoking and drinking status, the positive correlation between HbA1c and energy intake was significantly greater in the CC genotype group than in the TT + CT genotype group ( $P < 0.001$ ; Figure 2C). These results indicate that HbA1c levels tend to be higher in subjects with the CC genotype than in those with the TT + CT genotype when energy intake is higher.

## DISCUSSION

The present study showed that a polymorphism in the type 2 diabetes risk-conferring *CDKAL1* gene is associated with elevated HbA1c levels and an increased prevalence of

MetS in apparently healthy Japanese men. The risk allele and genetic models were the same as in the previous study of type 2 diabetes (9).

HbA1c is a minor component of hemoglobin, and the proportion of HbA1c in total hemoglobin (%) is considered to be an integrated measure of blood glucose concentrations for 6–8 weeks prior to HbA1c measurement (25). Therefore, HbA1c is widely accepted as a standard method for determining long-term glycemic control in diabetic patients (26). HbA1c has also been suggested to serve as a predictor of progression to type 2 diabetes in nondiabetic persons (27, 28), as well as for MetS (29). Sung et al. (29) reported that an HbA1c level of 5.5% represents the value with maximum sensitivity and specificity for the diagnosis of MetS in a large-scale cross-sectional study among nondiabetic South Korean adults. In addition, an HbA1c level of 5.2% or less has been recommended in recent guidelines from the Japanese Ministry of Health, Labour, and Welfare (30) to aid in the diagnosis of MetS. Therefore, although the current effect of *CDKAL1* variants on HbA1c levels is within the normal range and is modest (CC vs. TT + CT: 5.2% (SD, 1.2) vs. 5.0% (SD, 0.8)), we think that this difference is an indication of a subtle dysglycemic phenotype detected prior to the elevation in

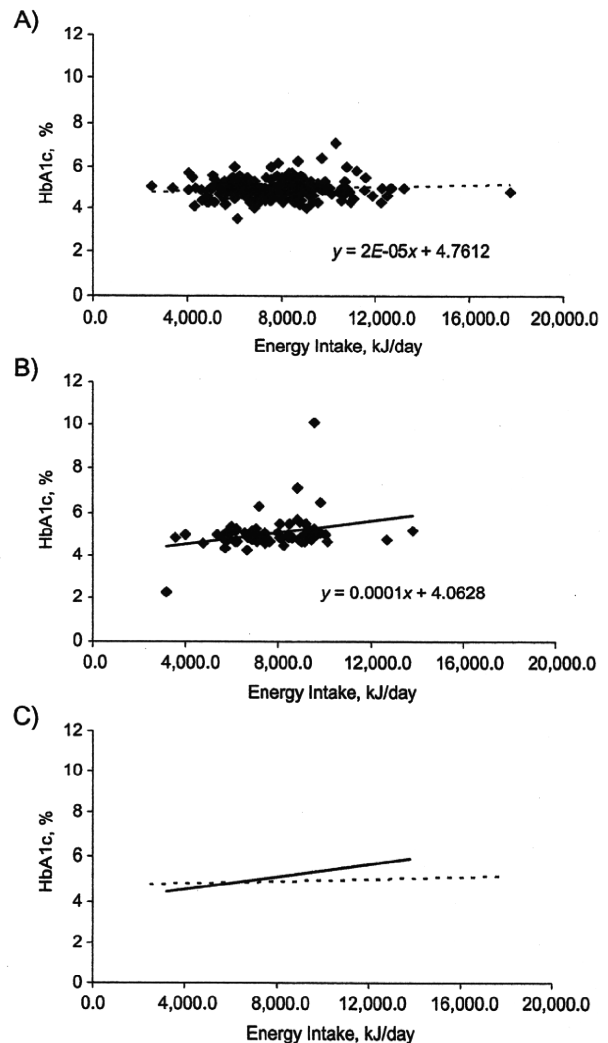


**Figure 1.** Mean hemoglobin A<sub>1c</sub> (HbA<sub>1c</sub>) level according to cyclin-dependent kinase 5 regulatory subunit-associated protein 1-like 1 (*CDKAL1*) genotype, Japan, 2003. Subjects were further grouped by tertile of energy intake (low (2,474.5–6,788.6 kJ/day), middle (6,790.3–8,465.6 kJ/day), or high (8,499.9–17,740.0 kJ/day)), with adjustment for age, height, and current smoking and alcohol drinking status. The HbA<sub>1c</sub> level of the CC group with the highest energy intake was significantly higher than that of the TT + CT group.

fasting plasma glucose. In this regard, notably, normal HbA<sub>1c</sub> is associated with markers of inflammation in patients with coronary artery disease (31).

Our results, obtained from a cohort of male workers, corroborate previous reports in which *CDKAL1* genotype was associated with altered insulin responsiveness (10, 14, 15) or the first phase of insulin secretion in normal subjects (32). Moreover, we showed that the *CDKAL1* variant rs9465871 affects HbA<sub>1c</sub> levels in a manner dependent on increased energy intake, implying that the effect of rs9465871 on glycemic control is influenced by nutritional factors. The association of the *CDKAL1* variant with MetS poses an intriguing question regarding the mechanism of the development and progression of the disease. To the best of our knowledge, this is the first study to have found an interaction effect of the type 2 diabetes genetic marker *CDKAL1* and a lifestyle-related factor, specifically energy intake, on MetS in a healthy Japanese population.

MetS is comprised of 4 typical components: abdominal obesity, hypertension, dyslipidemia, and impaired fasting glucose concentration. Insulin resistance is thought to play a primary role in the pathophysiology of MetS; in the natural course of the disease, insulin resistance is initially counterbalanced by a compensatory increase in insulin secretion to maintain normal glucose tolerance. However, the increase in insulin secretion is eventually unable to offset the severe degree of insulin resistance, and overt type 2 diabetes develops. Our results suggest that  $\beta$ -cell dysfunction might be involved from the early stages of MetS, and it is tempting to speculate that the dysglycemic component of MetS might already be influenced by impaired insulin secretion. We conclude that *CDKAL1*, which is known to play a role in insulin secretion and type 2 diabetes, interacts with energy intake and confers a risk for the dysglycemic phenotype of MetS.



**Figure 2.** Effects of daily energy intake on hemoglobin A<sub>1c</sub> (HbA<sub>1c</sub>) level in subjects with different cyclin-dependent kinase 5 regulatory subunit-associated protein 1-like 1 (*CDKAL1*) genotypes, Japan, 2003. Results were adjusted for age, height, and current smoking and alcohol drinking status. The regression lines are indicated in each section: A) TT + CT genotype group ( $P$  for slope = 0.012); B) CC genotype group ( $P$  for slope = 0.037); C) both genotype groups (solid line, CC; dotted line, TT + CT). The  $P$  value for interaction was less than 0.001.

Our study had the following limitations. First, dietary energy intake was self-reported, derived from answers to a validated semiquantitative food frequency questionnaire, which might have introduced measurement bias. Second, the study sample size within this cohort was not large enough to detect a gene-nutrient interaction effect, because the statistical power was low. We speculate that the subjects' unique ethnicity may have been the reason why we detected an interaction. In addition, in a previous study, Jakes et al. (33) reported that energy intake was related to



body size, which is correlated with the energy expenditure of physical activity. In our study, we adjusted for body size as a confounding variable; however, there may have been other residual confounding factors not accounted for in our analyses.

The overlap of this genetic risk factor with impaired insulin secretion in patients with type 2 diabetes and MetS suggests a commonality in their etiology. This issue needs to be considered by taking into account ethnic differences, because  $\beta$ -cell function has been shown to be lower in Japanese-American and Chinese-American populations than in African-American and non-Hispanic white populations (34).

Furthermore, Moore et al. (35) reported on the effect of the diabetes gene on lifestyle interventions among nondiabetic high-risk participants in the Diabetes Prevention Program and found no evidence of interactions between *CDKAL1* and lifestyle (or metformin). Thus, the *CDKAL1* polymorphism may potentially play a role in the initial phase of dysglycemia. Our findings suggest that *CDKAL1* may not only be a good genetic marker for the detection of MetS, even in a healthy population, but also may provide descriptive information about lifestyle modification in the prevention of MetS. However, additional studies are warranted for further exploration of the interaction effect, as well as to determine when and how insulin secretion affects the pathogenesis of MetS.

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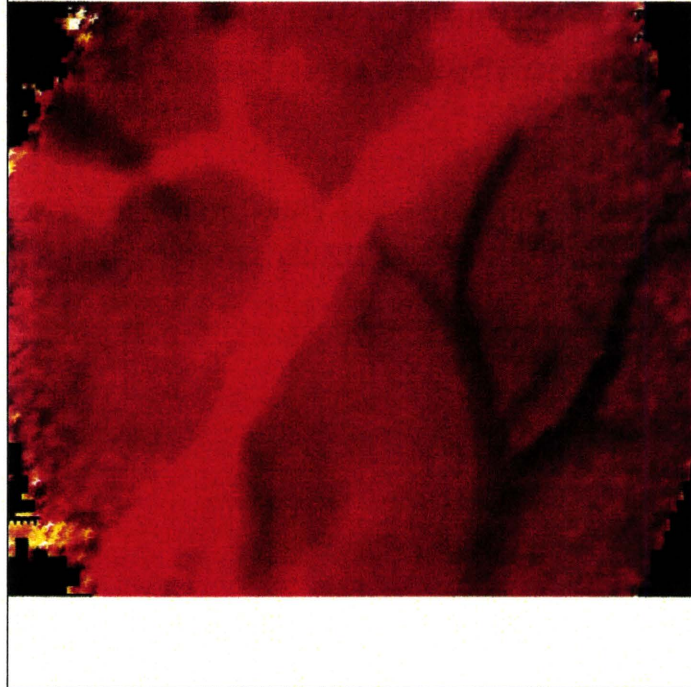
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## Brain Research



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RESEARCH****Research Report**

# Long-term, repeated measurements of mouse cortical microflow at the same region of interest with high spatial resolution

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**ABSTRACT**

A method for long-term, repeated, semi-quantitative measurements of cerebral microflow at the same region of interest (ROI) with high spatial resolution was developed and applied to mice subjected to focal arterial occlusion. A closed cranial window was chronically implanted over the left parieto-occipital cortex. The anesthetized mouse was placed several times, e.g., weekly, under a dynamic confocal microscope, and Rhodamine B-isothiocyanate-dextran was each time intravenously injected as a bolus, while microflow images were video recorded. Left and right tail veins were sequentially catheterized in a mouse three times at maximum over a 1.5 months' observation period. Smearing of the input function resulting from the use of intravenous injection was shown to be sufficiently small. The distal middle cerebral artery (MCA) was thermocoagulated through the cranial window in six mice, and five sham-operated mice were studied in parallel. Dye injection and video recording were conducted four times in this series, i.e., before and at 10 min, 7 and 30 days after sham operation or MCA occlusion. Pixelar microflow values (1/MTT) in a matrix of approximately 50×50 pixels were displayed on a two-dimensional (2-D) map, and the frequency distribution of the flow values was also calculated. No significant changes in microflow values over time were detected in sham-operated mice, while the time course of flow changes in the ischemic penumbral area in operated mice was similar to those reported in the literature. This method provides a powerful tool to investigate long-term changes in mouse cortical microflow under physiological and pathological conditions.

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**1. Introduction**

The heterogeneity of brain tissue and vascularization makes difficult to follow the spatial and temporal evolution of cere-

bral blood flow (CBF). Presently, CBF spatial heterogeneity is best evaluated using autoradiographic techniques, but this can be done only at a single time point, because the animal must be sacrificed. The microsphere method offers the

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