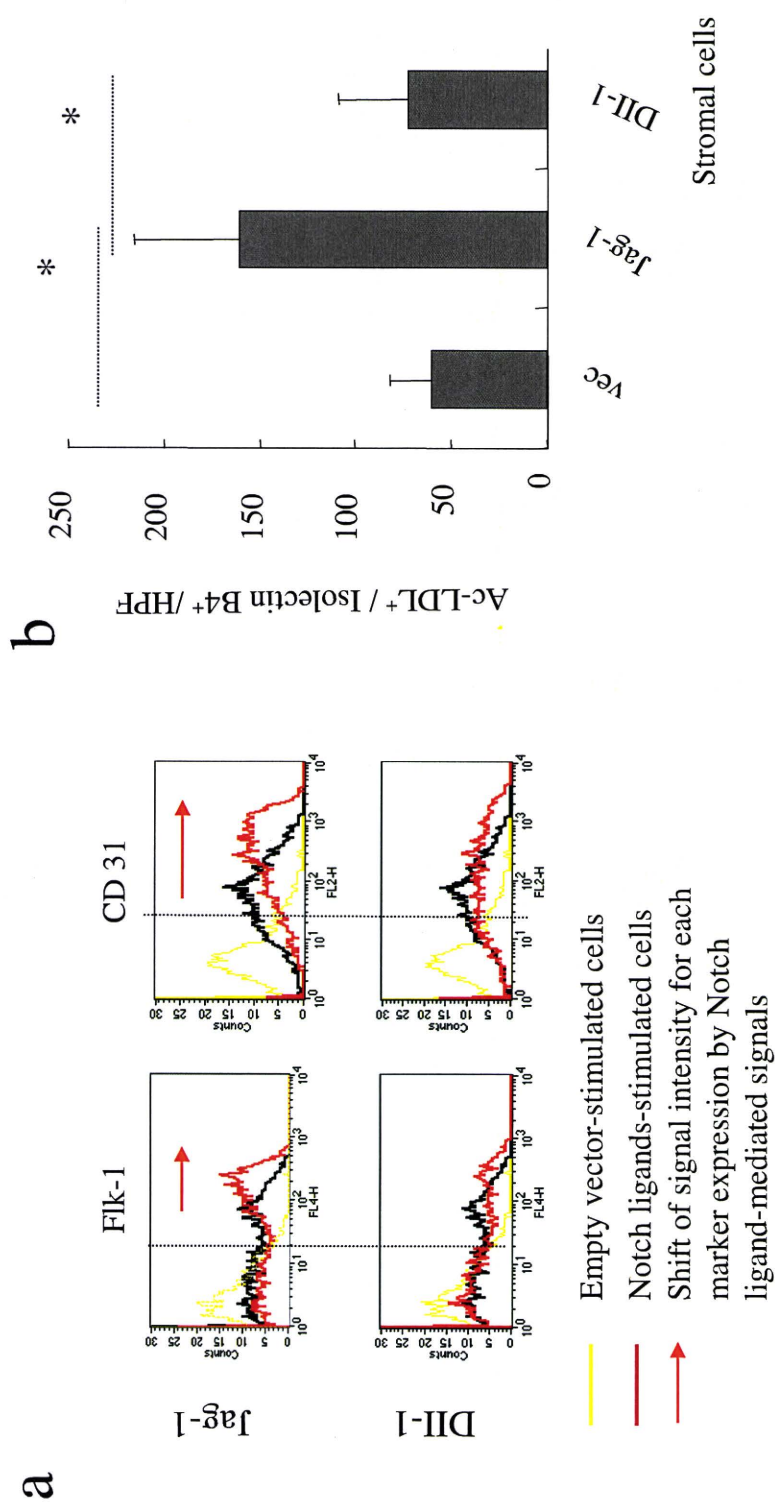
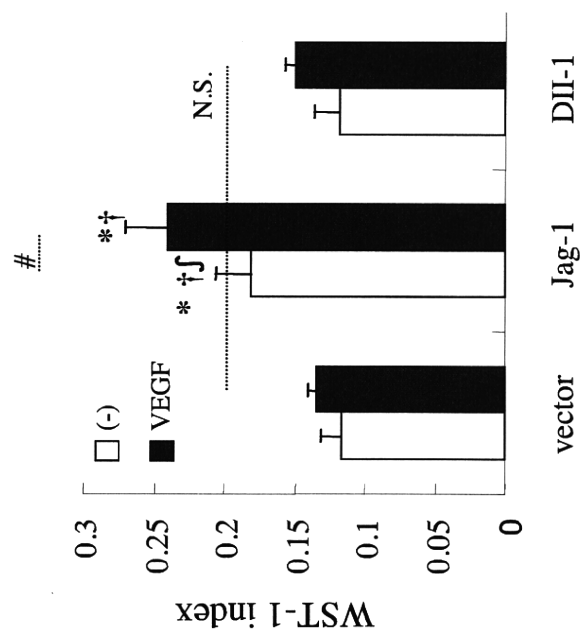


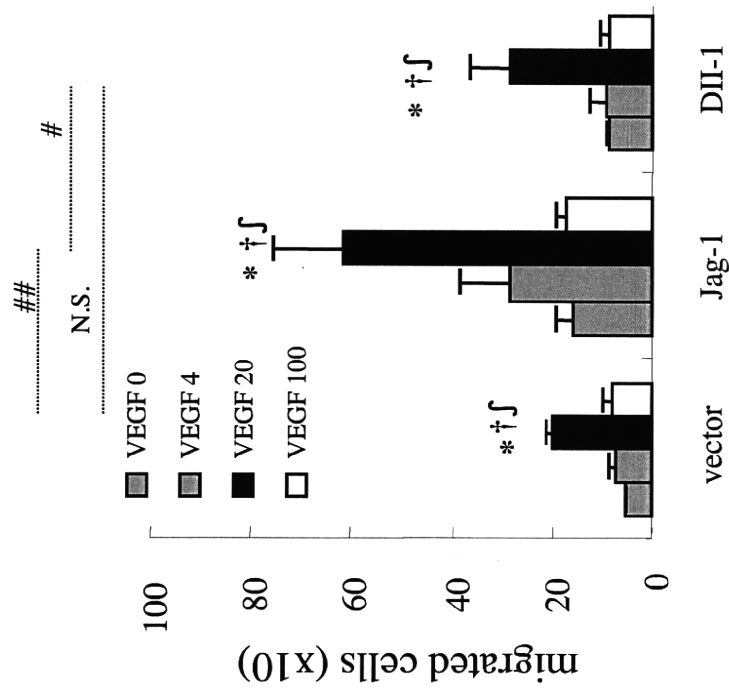


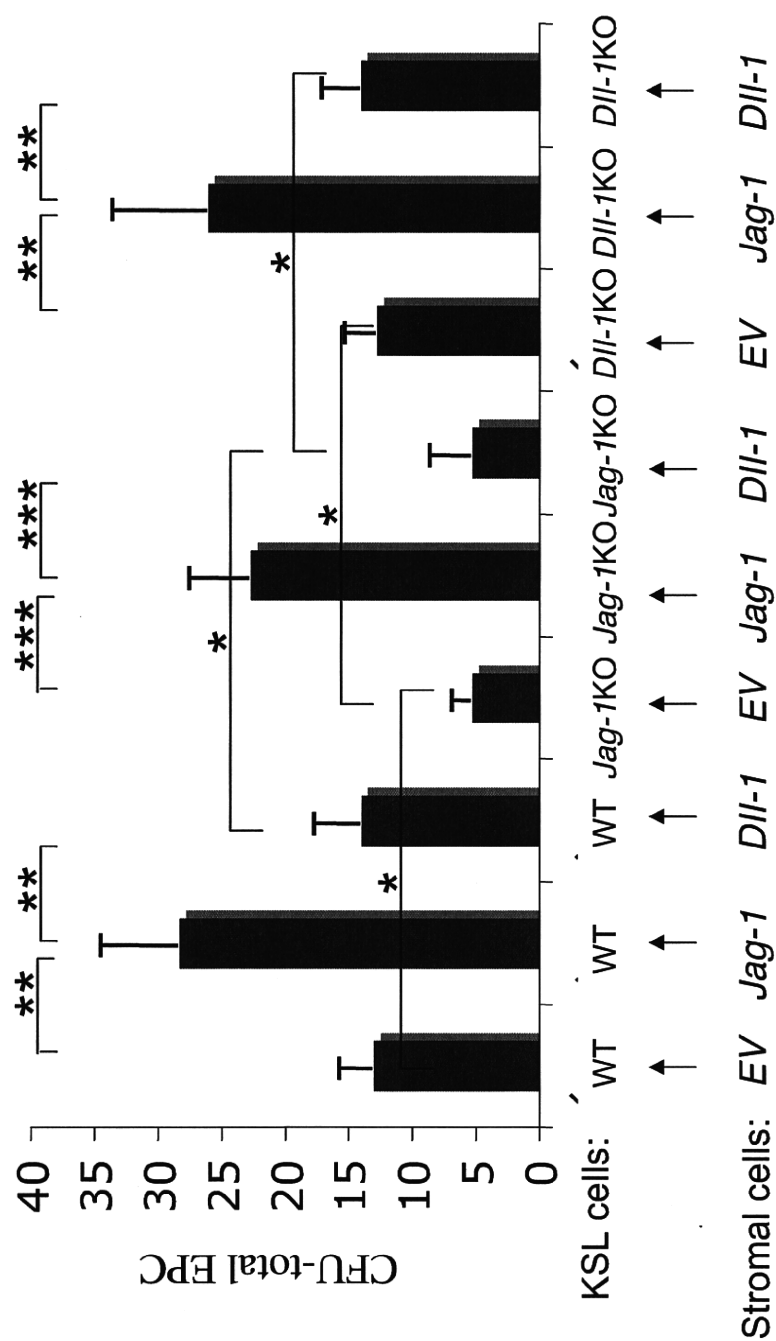
Kwon, et al. Supplemental Fig. 5

a VEGF-dependent proliferation

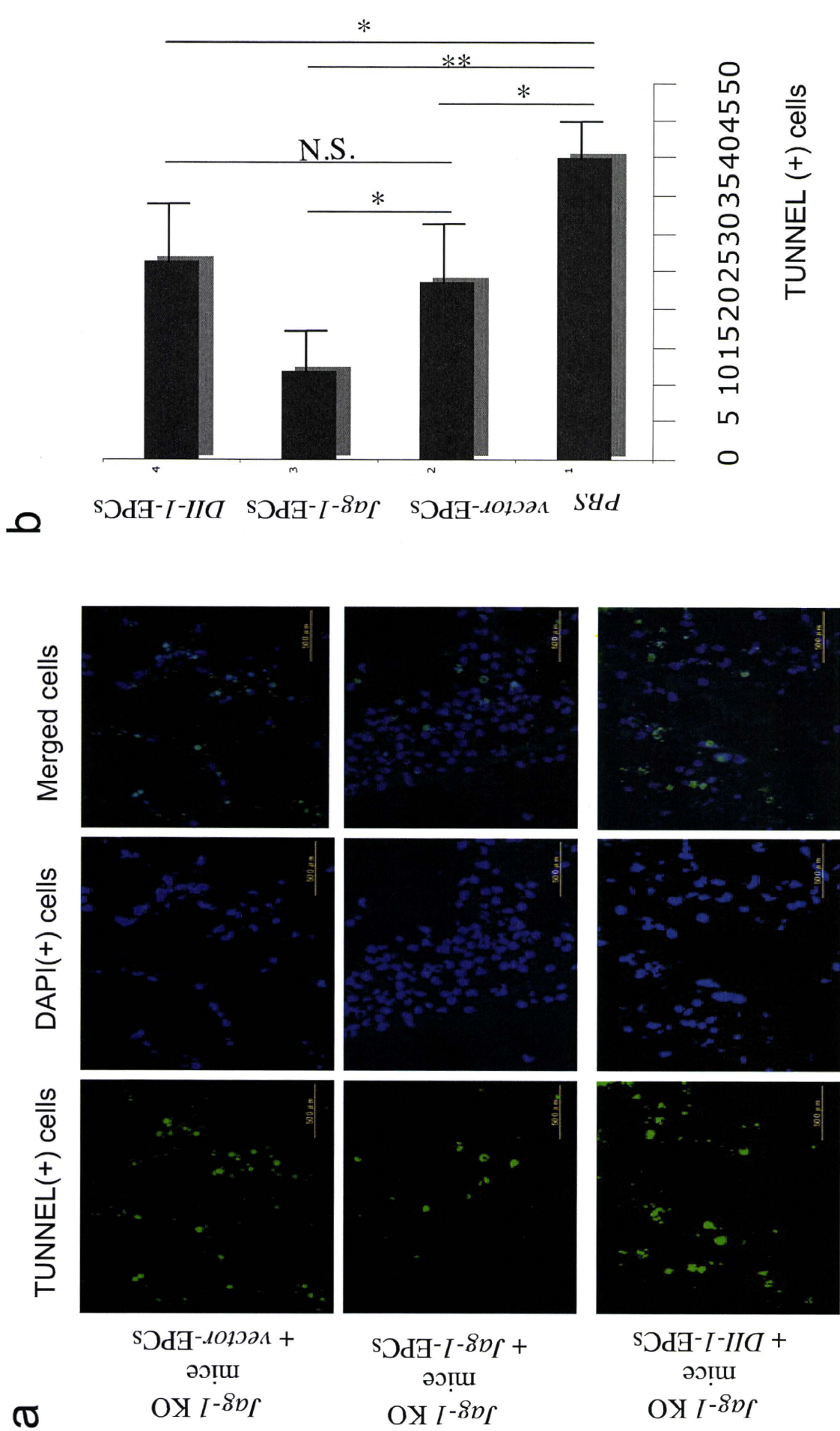


b VEGF-dependent migration

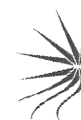




Kwon, et al. Supplemental Fig. 7



Kwon, et al. Supplemental Fig. 8



Transplantation of cardiac progenitor cells ameliorates cardiac dysfunction after myocardial infarction in mice

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Cardiac progenitor cells are a potential source of cell therapy for heart failure. Although recent studies have shown that transplantation of cardiac stem/progenitor cells improves function of infarcted hearts, the precise mechanisms of the improvement in function remain poorly understood. The present study demonstrates that transplantation of sheets of clonally expanded stem cell antigen 1-positive (Sca-1-positive) cells (CPCs) ameliorates cardiac dysfunction after myocardial infarction in mice. CPC efficiently differentiated into cardiomyocytes and secreted various cytokines, including soluble VCAM-1 (sVCAM-1). Secreted sVCAM-1 induced migration of endothelial cells and CPCs and prevented cardiomyocyte death from oxidative stress through activation of Akt, ERK, and p38 MAPK. Treatment with antibodies specific for very late antigen-4 (VLA-4), a receptor of sVCAM-1, abolished the effects of CPC-derived conditioned medium on cardiomyocytes and CPCs in vitro and inhibited angiogenesis, CPC migration, and survival in vivo, which led to attenuation of improved cardiac function following transplantation of CPC sheets. These results suggest that CPC transplantation improves cardiac function after myocardial infarction through cardiomyocyte differentiation and paracrine mechanisms mediated via the sVCAM-1/VLA-4 signaling pathway.

Introduction

Accumulating evidence has suggested that myocardial regeneration is a promising therapy for various heart diseases (1). Recently, several groups, including our own, have reported that adult hearts contain cardiac stem/progenitor cells that can differentiate into functional cardiomyocytes in vitro and in vivo (2–6). Transplantation of cardiac stem/progenitor cells has been shown to improve cardiac function via newly formed cardiomyocytes and blood vessels (2, 7). On the other hand, it has been reported that when noncardiac stem cells are transplanted, paracrine factors play a major role in the improvement of cardiac function (8, 9). Several preclinical reports and clinical trials have demonstrated that intracoronary or intramyocardial injection of bone marrow-derived cells attenuates cardiac dysfunction following acute and chronic myocardial infarction (MI) (10–12). However, it is not known whether cardiac stem/progenitor cells are superior to other noncardiac stem/progenitor cells. Furthermore, it remains unclear to what extent paracrine effects or transdifferentiation of cardiac stem/progenitor cells contributes to beneficial effects on cardiac function.

Transplanted cells are the source of paracrine factors or newly formed cardiomyocytes, and the survival of grafted cells is a critical issue. The majority of the grafted cells have been reported to disappear within 1 week after transplantation when directly injected into ischemic hearts (9, 13), suggesting that alternative strategies to facilitate survival of grafted cells are required. We developed temperature-responsive culture dishes that were covalently grafted with the temperature-responsive polymer poly(*N*-isopropylacrylamide) (PIPAAM) (14). Lowering the temperature induces a rapid surface transition from hydrophobic (cell adhesive) to hydrophilic (non-cell adhesive), which results in the release of contiguous viable cell sheets with full preservation of cell-to-cell connections and adhesion proteins without using any enzymatic digestion (15). To date, cell sheet transplantations of skeletal myoblasts (16), mesenchymal stem cells derived from adipose tissue (17), and menstrual blood (18) have been reported to improve cardiac function in animal MI models. However, the precise mechanisms of the improvement, which include mutual interactions between host tissue and transplanted cells, remain poorly understood.

The present study demonstrates that transplanted cell sheets of clonally expanded stem cell antigen 1-positive (Sca-1-positive) cells (CPCs) differentiated into cardiomyocytes and vascular cells and prevented cardiac remodeling after MI. CPCs secreted soluble VCAM-1 (sVCAM-1), which facilitated engraftment and migration of CPCs from cell sheets into host myocardium and improved cardiac function after MI via angiogenic and cardioprotective effects mediated by paracrine mechanisms.

Results

Establishment and character of CPCs. Since primary isolated Sca-1-positive cells, which were derived from adult murine hearts, con-

Authorship note: Katsuhisa Matsuura and Atsushi Honda contributed equally to this work.

Conflict of interest: The authors have declared that no conflict of interest exists.

Nonstandard abbreviations used: ATMC, adipose tissue-derived mesenchymal cell; CM, conditioned medium; CPC, clonally expanded Sca-1-positive cell; FAK, focal adhesion kinase; FS, fractional shortening; IMDM, Iscove's Modified Dulbecco's Medium; LVDd, LV diastolic dimension; LVDs, LV systolic dimension; LVEDP, LV end-diastolic pressure; MI, myocardial infarction; miRNA, microRNA; RFP, red fluorescent protein; Sca-1, stem cell antigen 1; sVCAM-1, soluble VCAM-1; VLA-4, very late antigen-4.

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sisted of several cell populations, including cardiac stem/progenitor cells, hematopoietic cells, and endothelial cells (ref. 4 and our unpublished observations), clonal cells were initially established from cardiac Sca-1-positive cells. A total of 10^4 primary isolated Sca-1-positive cells derived from adult murine hearts were plated onto 10-cm culture dishes. After repeated limited dilutions, clonal cell lines were established. The efficiency of cloning was approximately 0.1%. The clonal cells were expanded for more than 500 population doublings (Figure 1A). Flow cytometric analysis revealed that almost 100% of cells expressed Sca-1, CD29, and CD44, approximately 20% of cells expressed CD34, and no cells expressed CD31, CD45, and c-kit throughout the culture passages (Table 1 and Supplemental Figure 1; supplemental material available online with this article; doi:10.1172/JCI37456DS1), suggesting that the clonal cells were not homogenous. Under culture conditions of 80% confluency, the clonal cells expressed cardiac transcription factors, such as Nkx2.5 and GATA4 (Figure 1B), but not cardiac contractile proteins. These cell phenotypes remained unchanged throughout the culture passages. Gene profiles and cell-surface marker analyses revealed that CPCs were similar to primary isolated Sca-1-positive cells in analyses previously reported (4). Therefore, CPCs possessed features almost identical to those of intrinsic cardiac stem/progenitor cells. When CPCs were cultured under confluent conditions for 4 weeks, expression levels of Nkx2.5 and GATA4 were upregulated and expressions of myocyte enhancer factor 2C (MEF2C), atrial natriuretic peptide (ANP), β -myosin heavy chain (β -MHC), and sarcomeric α -actinin were detected at mRNA and protein levels (Figure 1, B and C). These results suggested that CPCs differentiated into immature cardiomyocytes *in vitro*. However, the cells did not exhibit spontaneous beating. To examine the cardiac differentiation potency of CPCs *in vivo*, 2.0×10^6 CPCs labeled with red fluorescent protein (RFP) were injected directly into the infarcted myocardium within 5 minutes after left coronary artery ligation. At 4 weeks after transplantation, several GATA4-expressing RFP⁺ cells were recognized in the border areas and some RFP⁺ cells expressed sarcomeric α -actinin in a fine striated pattern, which suggested that CPCs differentiated into mature cardiomyocytes *in vivo* (Figure 1, D and E). However, transplanted CPC-derived RFP expression in the infarcted heart was very weak 1 week after transplantation compared with immediately after transplantation (Supplemental Figure 2). These results suggest that direct intramyocardial injection is not an ideal method for efficient engraftment.

Cell sheet transplantation. To elucidate the functional benefits of CPC transplantation in the infarcted heart compared with effects of noncardiac stem/progenitor cells, cell sheet transplantation methods were utilized. RFP⁺ CPCs or adipose tissue-derived mesenchymal cells (ATMCs) isolated from GFP mice were cultured on temperature-responsive culture dishes at 37°C. The monolayered cell sheet was collected by decreasing the temperature at which it was cultured to 20°C. Final cell counts and areas of monolayered cell sheets prior to transplantation were $2.0 \pm 0.2 \times 10^6$ cells and 80.1 ± 3.3 mm² in CPCs and $1.9 \pm 0.1 \times 10^6$ cells and 79.3 ± 2.0 mm² in ATMCs, respectively ($n = 5$). The mice were randomly assigned into 3 groups: mice transplanted with monolayered CPCs (CPC group), mice transplanted with monolayered ATMCs (ATMC group), and mice that were not transplanted (MI group). Within 5 minutes after left coronary artery ligation, monolayered CPC or ATMC sheets were transplanted over the infarcted area and cardiac function was examined by echocardiography every week. Echocar-

diographic analysis revealed that LV diastolic dimension (LVDd) and LV systolic dimension (LVDs) were significantly decreased at 4 weeks and fractional shortening (FS) was markedly improved 3 weeks after transplantation in the CPC group compared with the MI and ATMC groups. These results suggested that transplantation of CPC sheets inhibited cardiac remodeling and improved cardiac function following MI (Figure 2A). Furthermore, LV end-diastolic pressure (LVEDP) and +dp/dt, as determined by catheter, were markedly improved in the CPC group compared with the remaining 2 groups at 4 weeks (Figure 2B). In contrast, in the ATMC group, LVDs was significantly smaller and FS was better 1 week after transplantation compared with the MI and CPC groups. However, these favorable effects were not observed 2 weeks after transplantation, and cardiac remodeling and dysfunction progressed in a manner similar to that in the MI group (Figure 2A). The fibrotic area, which was evaluated by Masson trichrome staining 4 weeks after transplantation, was significantly smaller in the CPC group compared with the other 2 groups (Figure 3A). At 1 week after transplantation, more vWF-positive blood vessels were observed in the border area of the ATMC group than in the MI and CPC groups (Figure 3B). At 4 weeks, a greater number of vessels were detected in the CPC group than in the MI and ATMC groups (Figure 3C). Furthermore, when lectin perfusion assay was performed at 4 weeks, more lectin-positive blood vessels were detected in the border area of the CPC group compared with the remaining 2 groups (Supplemental Figure 4). In contrast, there were few inflammatory cells in the border area of each group at 4 weeks (Supplemental Figure 5).

Cell survival and differentiation. Immunohistochemical analysis showed that many transplanted CPCs were present in the middle of the LV wall, including the normal and injured areas (normal area, 28.3 ± 9.7 cells/mm²; injured area, 235.9 ± 75.1 cells/mm²), after 4 weeks (Figure 4, A–C). This suggested that CPCs migrated from the epicardial cell sheet into the ventricular myocardium following transplantation. Conversely, GFP⁺ ATMCs were not observed in the myocardium (data not shown). Western blot analysis, using Abs against fluorescent proteins, revealed that approximately 20% of transplanted CPCs remained 4 weeks after transplantation, whereas only approximately 0.8% of transplanted ATMCs remained (Supplemental Figure 3). Furthermore, approximately 30% of RFP⁺ cells expressed sarcomeric α -actinin in a fine striated pattern, and some RFP⁺ cells also formed blood vessel structures (Figure 4, D–F). Because cardiomyocytes have the ability to fuse with surrounding noncardiomyocytes (19), the possibility that CPCs acquired cardiomyogenic features following fusion with existing cardiomyocytes was examined. When RFP⁺ CPC sheets were transplanted into hearts of GFP mice immediately following MI, approximately half of the α -actinin-expressing cells expressed GFP in injured areas 4 weeks after transplantation (Supplemental Figure 6, A, B, and E). In the normal areas, all of the α -actinin-expressing RFP⁺ cells expressed GFP (Supplemental Figure 6, C–E). To further ensure the occurrence of cell fusion, sheets of nonlabeled CPCs derived from male mice were transplanted into the infarcted hearts of female mice. At 4 weeks after transplantation, cells exhibiting a fine striated pattern possessed 3 X chromosomes and a Y chromosome in the nucleus (Supplemental Figure 7). These findings suggested that CPCs differentiated into cardiomyocytes via cell fusion-dependent and -independent mechanisms. Because approximately 20% of transplanted CPCs remained at 4 weeks (Supplemental Figure 3), approximately

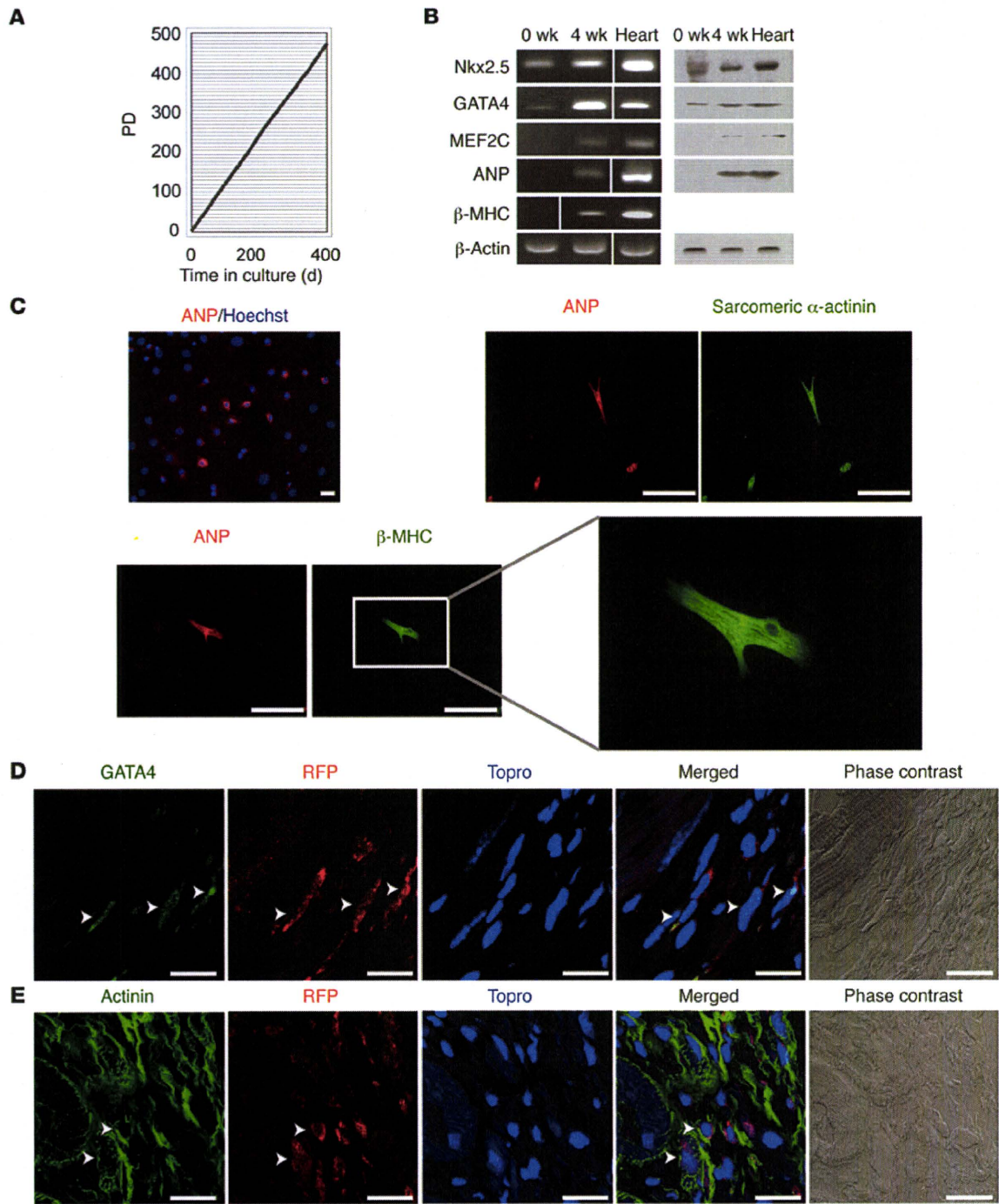


Figure 1
Character of CPCs. (A) CPCs were expanded more than 500 population doublings (P.D.) over a 1-year period. (B and C) Cardiac mRNA and protein expressions in CPCs. (B) Left panels show RT-PCR. Noncontiguous lanes from the same gel were spliced together into a composite band. The thin white line indicates the spliced point. Right panels show Western blot. (C) Immunofluorescent images of CPCs 4 weeks after starting culture under confluent conditions. Scale bars: 100 μ m. (D and E) Confocal microscopic images of the infarcted heart 4 weeks after direct injection of RFP⁺ CPCs. (D) GATA4-expressing RFP⁺ cells (arrowheads) were recognized in the infarcted region. (E) Some RFP⁺ cells (arrowheads) expressed sarcomeric α -actinin in the infarcted area. Scale bars: 5 μ m.

4.0×10^5 out of approximately 2.0×10^6 transplanted CPCs were thought to survive and undergo engraftment. As approximately 30% of survived CPCs expressed cardiac contractile proteins (Figure 4, C and E), approximately 1.2×10^5 CPCs were estimated to differentiate into cardiomyocytes. Since approximately half of the cardiac protein-expressing cells resulted from cell fusion with

**Table 1**

The percentage of cell-surface antigens

	Sca-1	CD29	CD31	CD34	CD44	CD45	c-kit
P.D.10	99.8	99.9	0.1	15.6	100	0.5	0.4
P.D.100	95.5	99.7	0.3	42.8	100	0.2	0.3
P.D.200	99.8	100	0.1	45.5	100	0.1	0.1
P.D.300	99.8	99.9	0.2	15.5	99.9	0.4	0.2
P.D.400	100	100	0.3	27.5	100	0.3	0.4
P.D.500	99.9	99.9	0.1	15.4	100	0.5	0.5

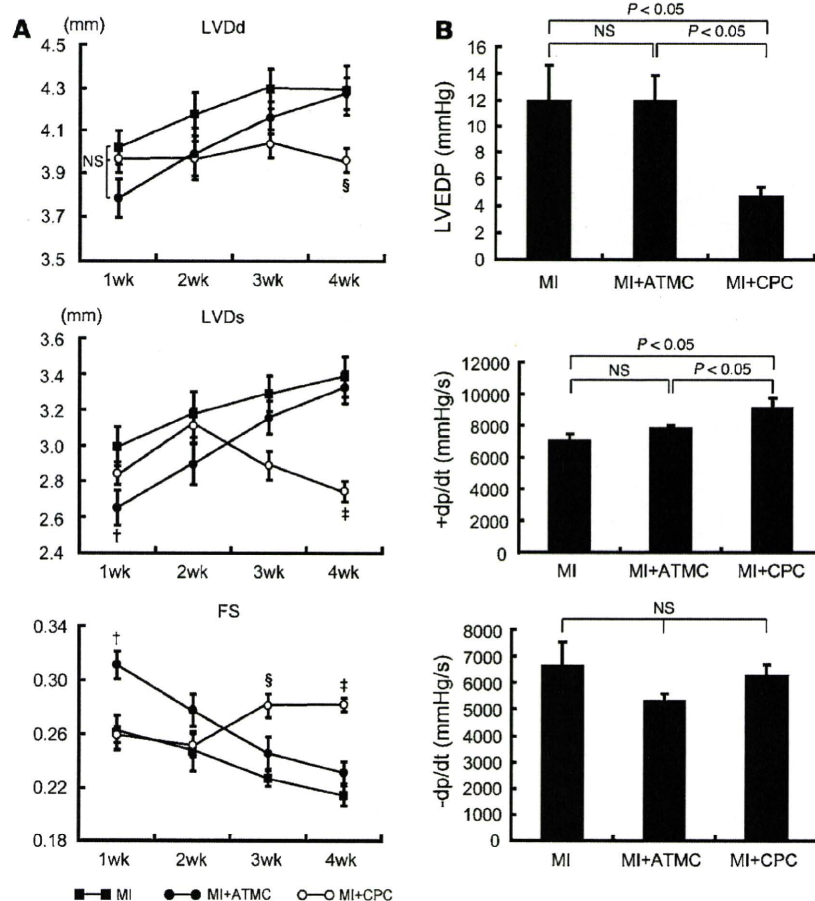
existing cardiomyocytes (Supplemental Figure 6E), CPC sheet transplantation was estimated to create approximately 0.6×10^5 new cardiomyocytes in the entire heart. The number of cardiomyocytes in an adult murine heart has been estimated to be 3×10^6 (20). Therefore, approximately 5% of cardiomyocytes were regenerated and might have contributed to improved cardiac function by CPC sheet transplantation.

Secretion of growth factors. Recent reports have suggested that cell transplantation improves cardiac function after MI through the release of humoral factors (8, 9). Analyses of conditioned medium (CM) from CPCs and ATMCs using a cytokine Ab array revealed that sVCAM-1 was more abundant in CPCs, while VEGF was dominantly expressed in ATMCs (Table 2). Western blot analysis of whole-cell lysates and ELISA of CM confirmed altered expressions

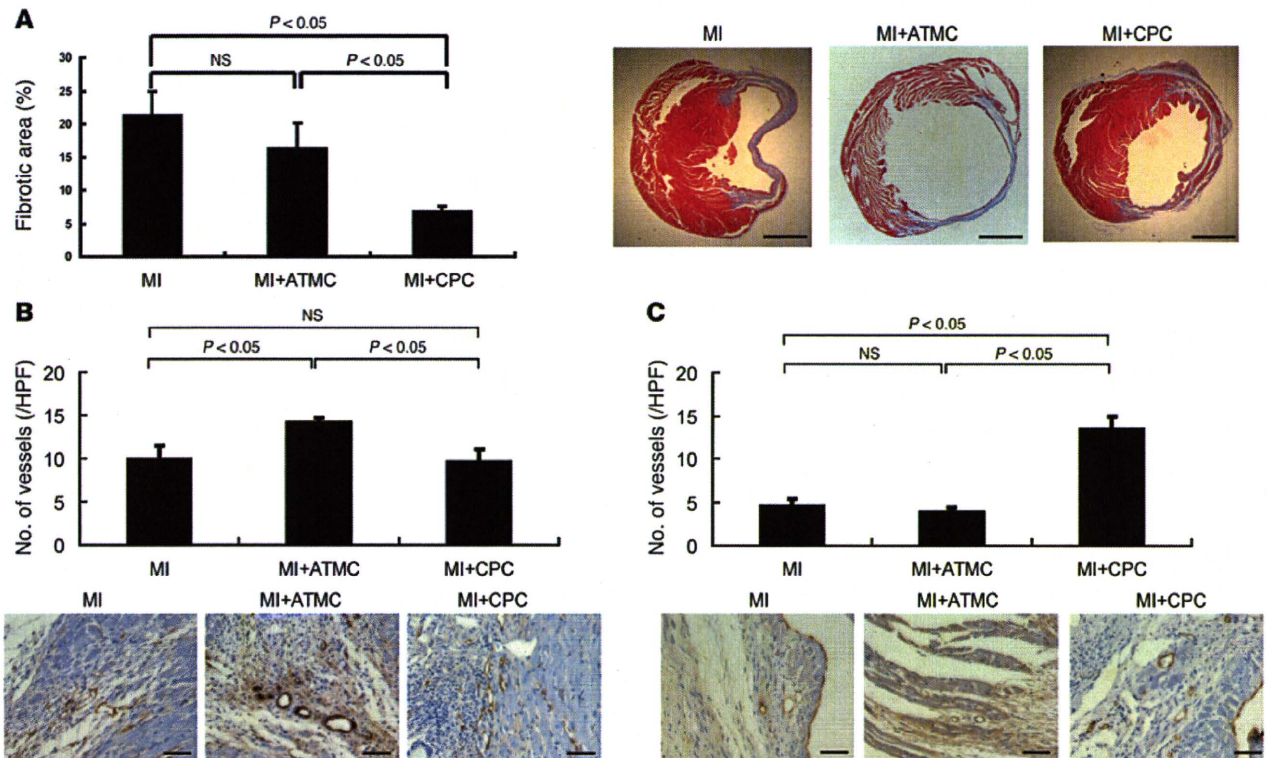
of VCAM-1/sVCAM-1 and VEGF between CPCs and ATMCs (Figure 5A). VCAM-1 expression was almost identical among the 3 groups at 1 week. However, at 4 weeks after transplantation, expression levels remained high in the CPC group compared with the other 2 groups (Figure 5B). Time course of VCAM-1 expression was consistent with improved cardiac function (Figure 2A). Conversely, VEGF expression was significantly upregulated at 1 week in the ATMC group compared with the other groups. However, at 4 weeks, expression levels were similar among the 3 groups (Figure 5B). This was consistent with observations that transplanted

ATMC sheets improved cardiac function at 1 week but not at 4 weeks (Figure 2A). The concentrations of sVCAM-1 and VEGF in peripheral blood remained unchanged in all groups 1 and 4 weeks after transplantation (Supplemental Figure 8).

CPC-derived sVCAM-1-mediated angiogenesis and cardioprotective effects. CPC-derived CM and sVCAM-1 induced greater endothelial cell migration and tube formation compared with control medium (Figure 5, C and D). sVCAM-1-depleted CM, which was obtained from CPCs transfected with VCAM-1-specific microRNA (miRNA) plasmid vector (Supplemental Figure 9, A and B), induced significantly less endothelial cell migration (Figure 5C) and tube formation (Figure 5D) compared with CPC-derived CM. This suggested that angiogenic activity of CPC-derived CM was mediated at least in part by sVCAM-1. Subsequently, the protective

**Figure 2**

Effects of CPC sheet transplantation on cardiac function after MI. (A) Echocardiographic analysis. CPC sheet transplantation inhibited dilatation of LVDd and LVDs and improved FS 3 weeks later. ATMC transplantation inhibited dilatation of LVDs and FS reduction at 1 week, but not afterward. $^{\dagger}P < 0.05$ versus MI or MI plus CPCs ($n = 10$ per group). $^{\ast}P < 0.01$ versus MI or MI plus ATMCs ($n = 10$ per group). $^{\S}P < 0.05$ versus MI or MI plus ATMCs ($n = 10$ per group). (B) Catheterization analysis at 4 weeks after transplantation. CPC sheet transplantation improved LVEDP and +dp/dt compared with that in the MI or MI plus ATMC groups ($n = 5$). Data are shown as mean $\pm$ SEM.

**Figure 3**

Immunohistochemical analysis of transplanted hearts. (A) Masson trichrome staining. The fibrotic area at 4 weeks after transplantation was calculated and is shown in the graph ($n = 6$). Lower panels show representative images. Scale bars: 1 mm. (B and C) Endothelial cells were identified by immunohistochemical staining with anti-vWF Ab in the border zone of the infarcted hearts 1 week (B) and 4 weeks (C) after transplantation. Lower panels show representative images. The vessel number was quantified and is depicted in the graph ($n = 6$). HPF, high-power field. Scale bars: 100 μ m. Data are shown as mean $\pm$ SEM.

effects of CPC-derived CM and sVCAM-1 on cardiomyocytes were analyzed. When cardiomyocytes were pretreated with CPC-derived CM or sVCAM-1, H_2O_2 -induced damage of cardiomyocytes was significantly reduced (Figure 6A). The cardioprotective effects of CPC-derived CM were abolished by pretreatment of cardiomyocytes with Abs against very late antigen-4 (VLA-4, also known as $\alpha_4\beta_1$ integrin), a principal coreceptor of sVCAM-1 (Figure 6A), or sVCAM-1-depleted CM (Figure 6B). These results suggested a crucial role for sVCAM-1/VLA-4 in cardiomyocyte survival.

Integrin-mediated signals influence cardioprotective effects of sVCAM-1. Integrin-mediated signaling induces cell migration and survival by activating various kinases, such as focal adhesion kinase (FAK), Akt, ERK1/2, and p38 MAPK (21, 22). CPC-derived CM and sVCAM-1 induced phosphorylation and activation of FAK, Akt, ERK, and p38 MAPK in neonatal rat cardiomyocytes (Figure 6, C and E). When cardiomyocytes were pretreated with inhibitors of Akt, PI3K (wortmannin), p38 MAPK (SB203580), or ERK (PD98059), the cardioprotective effects of CPC-derived CM and sVCAM-1 were significantly inhibited (Figure 6, D and F). When cardiomyocytes were pretreated with anti-VLA-4 Abs prior to culturing in CPC-derived CM, phosphorylation of FAK, Akt, and ERK but not p38 MAPK was inhibited (Figure 6E). This suggests that the protective effects of CPC-derived CM on cardiomyocytes were achieved through sVCAM-1/VLA-4-mediated activation of Akt and ERK as well as VLA-4-independent activation of p38 MAPK.

sVCAM-1-induced migration of CPCs. Because a large number of transplanted CPCs migrated from the epicardial cell sheet to the ventricular myocardium following transplantation (Figure 4A), the effects of CPC-derived CM and sVCAM-1 on CPC migration were analyzed. When treated with CPC-derived CM or sVCAM-1, CPC migration was promoted, and anti-VLA-4 Abs or sVCAM-1 depletion markedly inhibited CM-induced migration of CPCs (Figure 6G). When CPCs were treated with sVCAM-1, phospho-p38 MAPK expression was significantly increased. However, expression of phospho-Akt and phospho-ERK remained unchanged. Phosphorylation of p38 MAPK was significantly inhibited by anti-VLA-4 Ab treatment (Figure 6H), which suggested that CPCs activated p38 MAPK through VLA-4. SB203580 inhibited CPC-derived CM- and sVCAM-1-induced CPC migration compared with the control (Figure 6I). These findings suggest that CPCs secreted sVCAM-1 and induced CPC migration via the VLA-4/p38 MAPK signaling pathway. Moreover, when VCAM-1 expression was down-regulated, CPC viability was significantly decreased and apoptosis increased (Supplemental Figure 10), suggesting that VCAM-1 might be important for CPC survival.

VLA-4 signaling plays a crucial role in the beneficial effects of CPC sheet transplantation. The present findings suggest that CPC-secreted sVCAM-1 induced angiogenesis as well as CPC migration and survival and protected cardiomyocytes via VLA-4 in vitro. Subsequently, VLA-4 signaling was analyzed to determine its role in improved

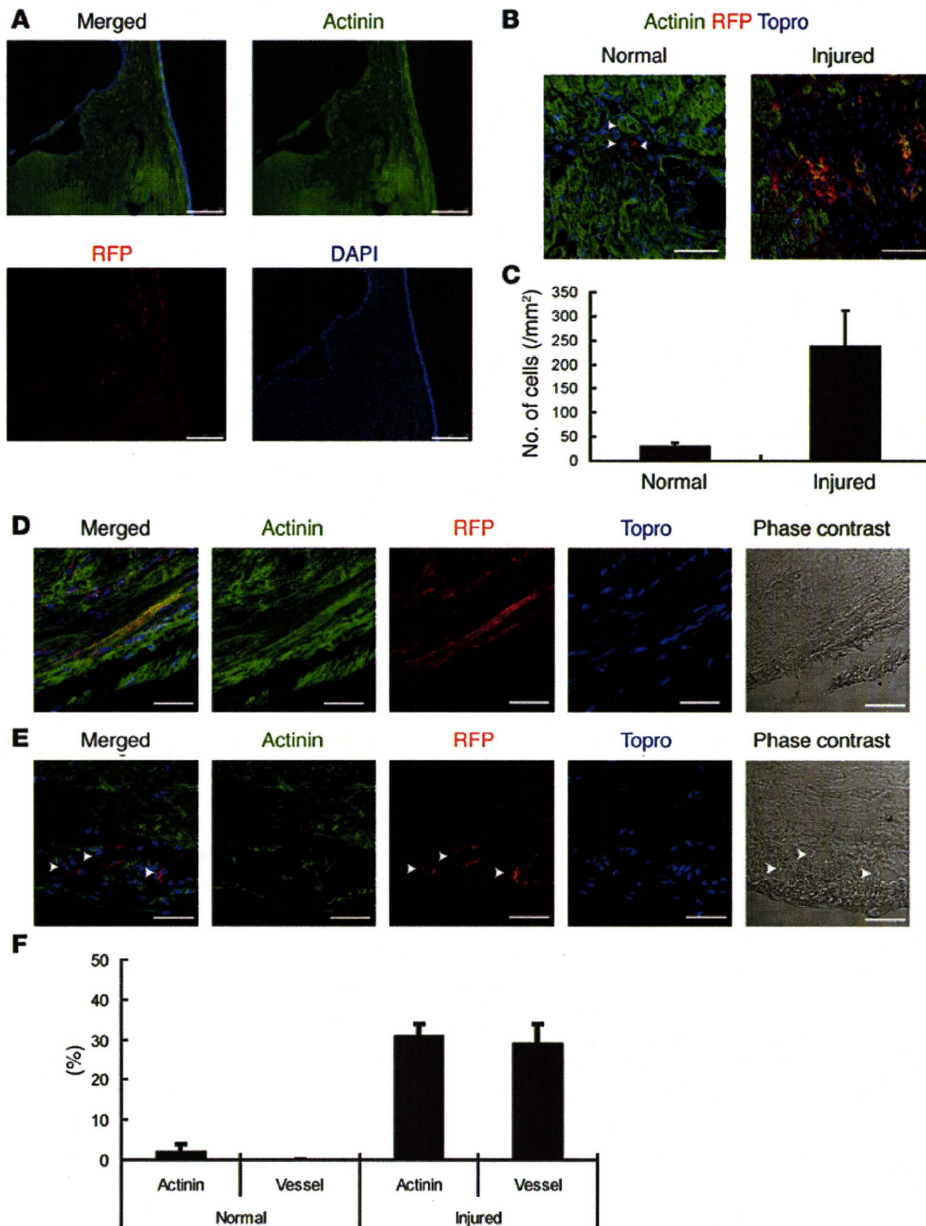


Figure 4

Cell survival and differentiation of transplanted cells. (A) Fluorescent microscopic images of infarcted heart 4 weeks after RFP⁺ CPC sheet transplantation. Left sides of panels show endocardial area. Right sides of panels show epicardial area. Scale bars: 250 μ m. (B) Confocal microscopic images of infarcted heart 4 weeks after CPC sheet transplantation (sarcomeric α -actinin, green; RFP, red; Topro, blue; yellow in merged images). Left panel shows normal area. Right panel shows injured area. Arrowheads indicate RFP⁺ cells. Scale bars: 5 μ m. (C) Number of RFP⁺ cells were quantified and shown in the graph ($n = 5$). (D and E) Transplanted RFP⁺ cells expressed sarcomeric α -actinin in a fine striated pattern (D) and formed vessel structures around α -actinin-positive myocardium (E). Nuclei were stained with Topro. Arrowheads indicate vessel structures. Scale bars: 5 μ m. (F) Percentages of α -actinin-positive cells or vessel structure-forming cells in existing RFP⁺ cells were calculated and shown in the graph ($n = 5$). Data are shown as mean + SEM.

cardiac function following transplantation of CPC sheets. Because echocardiographic analysis revealed improved cardiac function 3 weeks after transplantation (Figure 2A), i.p. injection of anti-VLA-4 Abs was performed daily from 2 to 3 weeks after CPC sheet transplantation. At 4 weeks after transplantation, injection of anti-VLA-4 Abs significantly attenuated the beneficial effects of CPC sheet transplantation on cardiac function, fibrosis, and angiogenesis (Figure 7, A–D). The number of RFP⁺ CPCs in the infarcted area was also markedly decreased following treatment with anti-VLA-4 Abs (Figure 7E). In contrast, anti-VLA-4 Ab treatment did not affect cardiac function, fibrotic area, or blood vessel number in nontransplanted MI mice (Supplemental Figure 11). These findings suggest that CPC sheet transplantation improved cardiac function of infarcted hearts through VLA-4-mediated angiogenesis as well as survival and migration of transplanted CPCs.

Discussion

The present study reports that CPC sheet transplantation inhibited cardiac remodeling and restored cardiac function after MI by increasing the number of blood vessels and cardiomyocytes in the injured area. sVCAM-1 was identified as one of the dominant paracrine factors in CPCs and was shown to induce angiogenesis, cardioprotection, and CPC migration and survival through the VLA-4 signaling pathway. Therefore, sVCAM-1 plays a critical role in improved cardiac function following MI.

CPC transplantation restored cardiac function and angiogenic activity and prevented cardiac remodeling 4 weeks after transplantation. In contrast, ATMC transplantation attenuated cardiac dysfunction and enhanced angiogenesis transiently, and cardiac remodeling progressed at 4 weeks. These findings suggest varying cell survival rates (Supplemental Figure 3) and



Table 2
Results of cytokine Ab array

Cytokine	Fold increase
CPC	
VCAM-1	130.1
MIP-1 γ	23.4
TIMP-1	8.0
IL-6	7.0
GM-CSF	6.0
IL-17	5.8
IL-5	5.3
KC	5.2
IFN- γ	5.2
IL-10	5.2
IL-12 p40/p70	4.8
IL-4	4.6
SDF-1 α	4.5
IL-2	4.5
IL-12 p70	4.0
TNF α	3.9
MIP-3 β	3.8
MIG	3.6
IL-9	3.1
MCP1	3.1
Osteopontin	2.1
ATMC	
MIP-1 γ	135.7
KC	43.6
VCAM-1	22.4
RANTES	13.6
TIMP-1	11.9
IL-6	9.8
LIX	6.3
CXCL16	6.1
IL-17	5.6
GM-CSF	5.4
IL-2	4.8
IL-5	4.8
IL-4	4.3
IL-12 p70	4.2
IFN- γ	3.8
IL-10	3.6
IL-12 p40/p70	3.5
IL-9	3.4
Eotaxin	3.4
VEGF	3.2
TNF α	2.9
MCP1	2.9
MIP-3 β	2.8
Osteopontin	2.5
MIG	2.4
CRG-2	2.2
SDF-1 α	2.1

Each number indicates the fold increase of cytokine expression compared with the negative control. Serum-depleted medium was used as a negative control. SDF-1 α , stromal cell–derived factor-1 α ; MIP-1 γ , macrophage inflammatory protein-1 γ ; KC, keratinocyte-derived chemokine; TIMP-1 tissue inhibitor of metalloproteinase 1; LIX, LPS-induced chemokine; MCP1, monocyte chemoattractant protein-1; MIG, monokine induced by gamma; CRT-2, cytokine-responsive gene-2.

distinct protein expression profiles (Figure 5B) in CPCs and ATMCs in the transplanted areas.

Through direct comparison of the protein expression profiles of CPCs and ATMCs, sVCAM-1 was identified as one of the predominantly expressed CPC-derived paracrine factors. VCAM-1, a 110-kDa transmembrane glycoprotein, is detected in various cells, including endothelial and bone marrow stromal cells (23). A soluble form of VCAM-1 has been reported to be shed from VCAM-1 on the cell surface by proteases, including TNF- α -converting enzyme (24). TNF- α -converting enzyme has also been reported to be elevated in the myocardium in heart failure (25) and to be required for fetal murine cardiac development and modeling (26). sVCAM-1 induces migration of endothelial cells through VLA-4 (21, 27, 28). The present study demonstrates that CM from VCAM-1-knocked-down CPCs did not induce endothelial migration, tube formation, cardioprotection, or CPC migration. Anti-VLA-4 Ab treatment abolished the protective effects of CPC-derived CM on cardiomyocytes and migration of CPCs and attenuated improved cardiac function following CPC sheet transplantation. This suggests that sVCAM-1 is a major paracrine factor of cardioprotection. VLA-4 is an integrin dimer that is composed of CD49d (α_4) and CD29 (β_1). Although studies have shown that the β_1 integrin signaling cascade regulates migration, differentiation, and death of various types of cells, such as endothelial cells, cardiomyocytes, and epidermal and hematopoietic stem cells (29–31), the role of sVCAM-1-mediated VLA-4 signaling in stem/progenitor cells remains elusive.

CPC-derived CM and sVCAM-1 phosphorylated several integrin-related downstream signaling molecules, such as Akt, ERK, and p38 MAPK, in cardiomyocytes. Consistent with previous studies, which indicated that Akt and ERK are critical for growth and survival of cardiomyocytes (32, 33), this study showed that promotion of cardiomyocyte survival by CPC-derived CM and sVCAM-1 was regulated through VLA-4-mediated activation of Akt and ERK. Furthermore, migration of CPCs, almost all of which expressed CD29 (β_1 integrin; Supplemental Figure 1), was facilitated via the VLA-4/p38 MAPK signaling pathway. VCAM-1-knockout mice and α_4 integrin-null mice have been shown to exhibit embryonic lethality, which was partly attributed to impaired epicardium formation surrounding the ventricular and atrial chambers, which suggests that VCAM-1/ α_4 integrin signaling is critical for heart development (34, 35). In the present study, anti-VLA-4 Ab treatment reduced survival of transplanted CPCs. Furthermore, when VCAM-1 of CPCs was downregulated by specific miRNA, CPC viability was reduced and apoptosis was increased (Supplemental Figure 10), which suggests that VCAM-1-mediated signaling is also important for CPC survival. Since transplanted CPCs are the sources of not only paracrine factors but also newly formed cardiomyocytes, VCAM-1-mediated paracrine effects might also contribute to the cardiomyogenesis of CPCs through improved engraftment. A recent study has suggested that adult cardiac stem cells express α_4 and β_1 integrin in the niches (36). However, the role of α_4 and β_1 integrins is not fully understood. Since adult mammalian cardiomyocytes have been reported to be refreshed by endogenous stem cells after injury (37), our results suggest that sVCAM-1 secreted from transplanted CPCs promoted migration and self renewal of not only transplanted CPCs, but also endogenous cardiac stem/progenitor cells, through α_4 and β_1 integrin.

VCAM-1 is known as an inflammatory mediator, and increased sVCAM-1 in plasma has been reported following acute MI (38). During acute-phase MI, infiltrating leukocytes release cytokines,

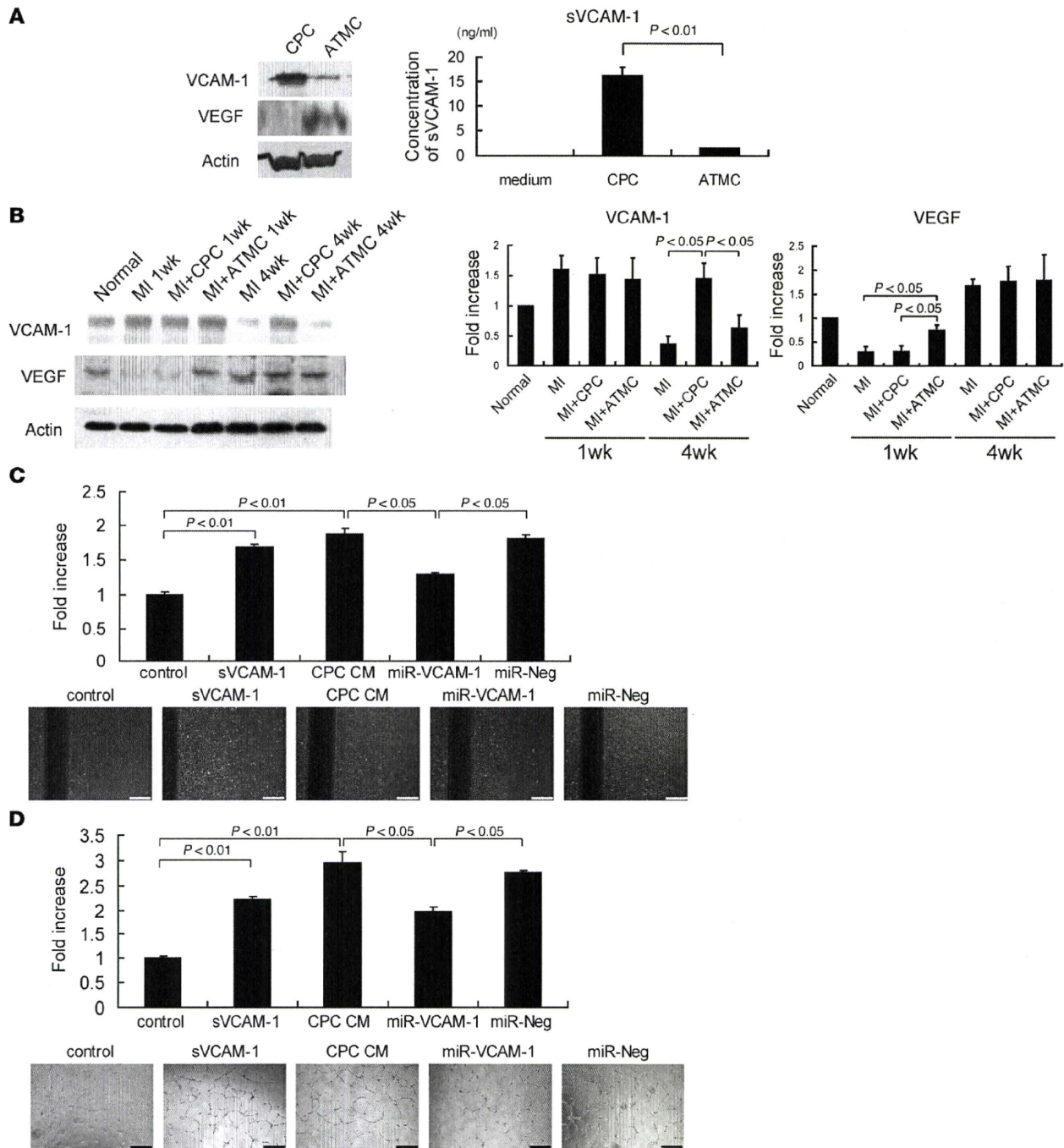
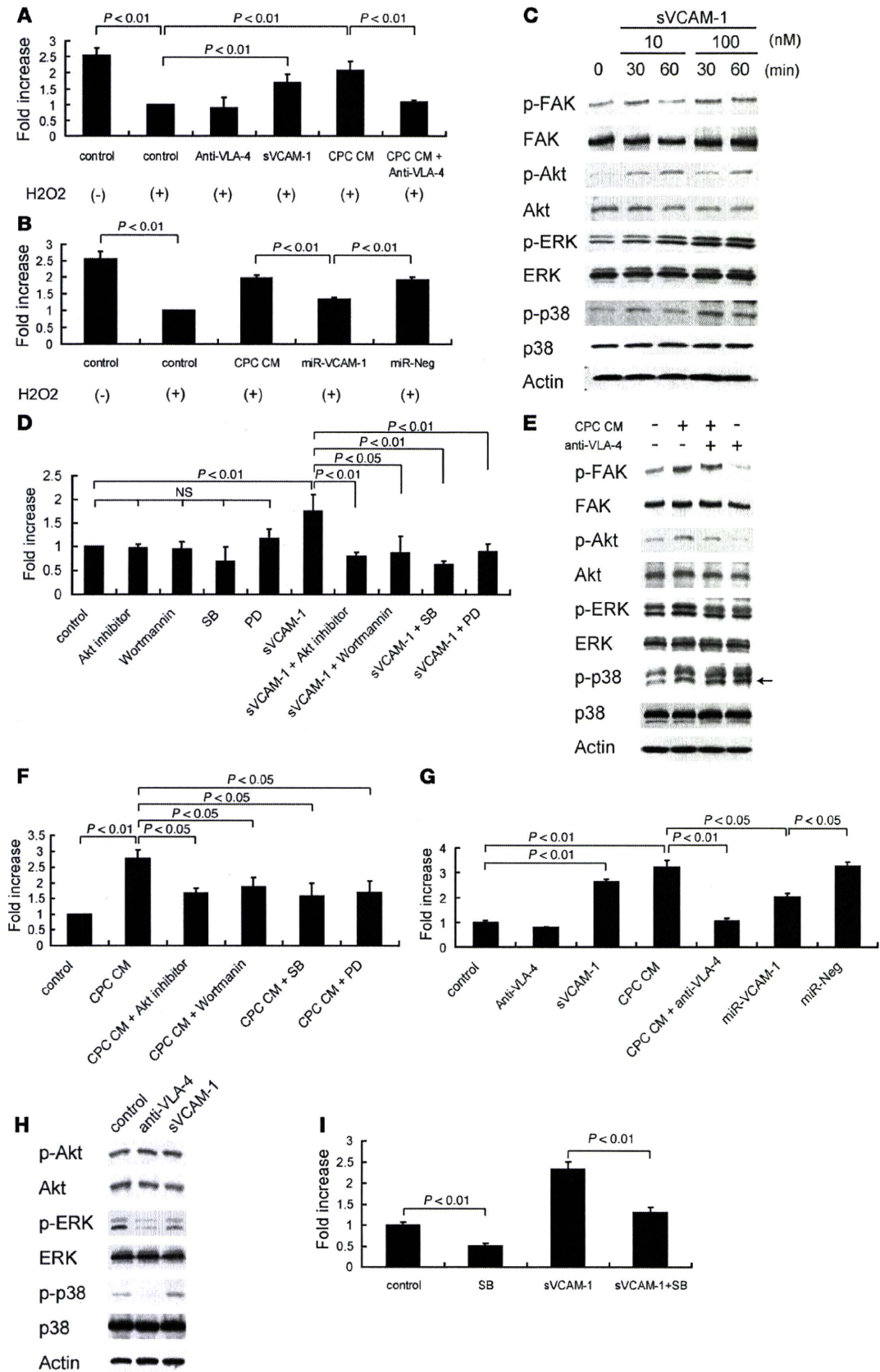


Figure 5
Secreted factor-mediated angiogenesis. (A) Left panel shows Western blot analysis results using whole-cell lysates of cultured CPCs and ATMCs. Right panel shows the results of sVCAM-1 ELISA using CM from cultured CPCs and ATMCs ($n = 3$). (B) Western blot analysis results of VCAM-1 and VEGF expression in heart after MI. Normal heart was used as a control. Left panel shows representative images. Right panels show quantification results of VCAM-1 and VEGF expression ($n = 3$). (C) Scratch-wound assay. CPC-derived CM enhanced endothelial migration ($n = 3$). Lower panels show representative images ($n = 3$). Scale bars: 500 μ m. (D) CPC-derived CM enhanced endothelial tube formation. Tube length was quantified and is shown in the graph ($n = 3$). Lower panels show representative images. Scale bars: 500 μ m. miR, miRNA. Data are shown as mean + SEM.

which activate VCAM-1 expression and promote leukocyte transmigration. In the present study, increased VCAM-1 expression in myocardium was observed in each group 1 week after MI/transplantation, when many inflammatory cells were also observed (data not shown). At 4 weeks, however, VCAM-1 expression remained upregulated in the CPC group despite few inflammatory



**Figure 6**

sVCAM-1-mediated cardioprotective effects and CPC migration. (A and B) Cardiomyocyte viability following treatment with H₂O₂ was measured by MTT assay ($n = 3$). IgG isotype Abs were used as a control (A). (C) sVCAM-1 induced phosphorylation of FAK, Akt, ERK, and p38 MAPK in a dose-dependent manner. (D) Cardiomyocyte viability following treatment with H₂O₂ was measured by MTT assay ($n = 4$). SB, SB2035800; PD, PD98059. (E) CPC-derived CM induced phosphorylation of FAK, Akt, ERK, and p38 MAPK. Anti-VLA-4 Abs inhibited phosphorylation of FAK, Akt, and ERK induced by CPC-derived CM, but not phosphorylation of p38 MAPK. Arrow indicates appropriate size of phosphorylated p38 MAPK. (F) Cardiomyocyte viability following treatment with H₂O₂ was measured by MTT assay ($n = 4$). (G and I) CPC migration was measured using the scratch wound assay ($n = 3$). IgG isotype Ab was used as a control (G). (H) Anti-VLA-4 Abs inhibited phosphorylation of ERK and p38 MAPK of CPCs, but not Akt. Activity of p38 MAPK, but not Akt or ERK, was upregulated by sVCAM-1 treatment. Data are shown as mean + SEM.

cells in the infarcted area (Supplemental Figure 5). Furthermore, peripheral blood concentrations of sVCAM-1 were similar between groups 1 and 4 weeks after transplantation, which suggested that VCAM-1 expression in the transplanted heart was derived from CPC sheets, rather than circulating cells in the peripheral blood. VCAM-1 and its receptor, VLA-4, are important for fusion between hematopoietic progenitor cells and cardiomyocytes (39), and Oh et al. have reported that approximately 50% of cardiac protein-expressing transplanted cells arise from fusion with existing cardiomyocytes (3), which suggests that VCAM-1 mediates fusion between CPCs and dormant cardiomyocytes.

The present study compared transplanted cell survival between cell sheet transplantation and direct cell injection (Supplemental Figures 2 and 3). At 1 week after cell sheet transplantation, approximately 40% of cells survived (Supplemental Figure 3), while only 10% of cells survived after direct cell injection (Supplemental Figure 2). Immediately following transplantation, RFP expression in the heart was similar between cell sheet transplantation and direct cell injection, which suggested that the initial transplantation efficiency was the same. These findings indicate that cell sheet transplantation was superior to direct injection into the myocardium.

Many reports have demonstrated that endogenous cardiac stem/progenitor cells or bone marrow-derived cells mobilize to the infarcted area after injury and recruit additional cells through a feedback mechanism (40, 41). As shown in Table 2, CPCs expressed several chemokines, including stromal cell-derived factor-1 (SDF-1), which recruits bone marrow-derived cells to the infarcted myocardium (42). Therefore, CPC sheet transplantation may induce migration of bone marrow-derived cells to the infarcted heart, thereby improving cardiac function. Recently, anti- α_4 integrin Ab treatment was shown to improve cardiac function 2 weeks after MI by inhibiting interactions between bone marrow cells and their niches and promoting bone marrow cell migration and vasculogenesis (43). In the present study, anti-VLA-4 Ab treatment significantly attenuated improved cardiac function and angiogenesis following CPC sheet transplantation. Moreover, anti-VLA-4 Ab treatment did not affect cardiac function, fibrotic area, or blood vessel number in nontransplanted MI mice (Supplemental Figure 11). These findings suggest that bone marrow cells from their niches do not significantly contribute to the beneficial effects of CPC sheet transplantation.

There were a few limitations to the present study. The CPCs used in the experiments exhibited gene expression patterns similar to those of freshly isolated cardiac Sca-1-positive cells. However, profiles associated with proliferation, migration, and cardiomyocyte differentiation may not be the same. HUVECs were employed in endothelial migration and tube formation assays *in vitro*, and there might be differences between HUVECs and cardiac endothelial cells. Nevertheless, CPC sheet-mediated transplantation might be superior to the combination of other cell sources and tissue engineering methods. Improved survival of transplanted CPCs, in combination with several growth factors (44, 45), or multilayered cell sheets (46) might improve the beneficial effects of CPC sheet transplantation.

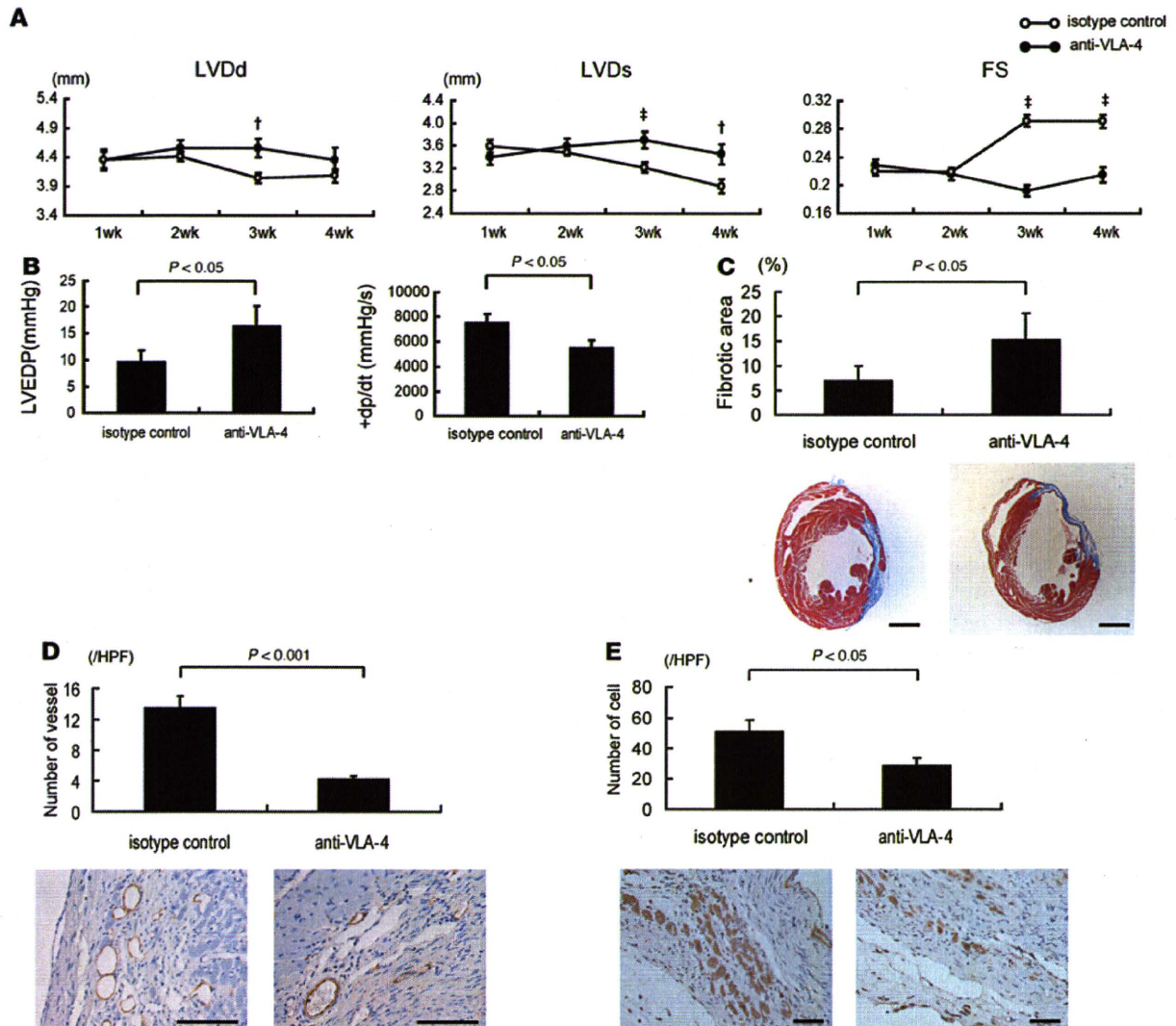
The present study identified the VCAM-1/VLA-4 signaling pathway as an important mechanism for CPC transplantation-mediated improved cardiac function. However, other paracrine factors, including thymosin β 4 (47), have also been reported to contribute to cardiac repair following MI. Therefore, multiple mechanisms and mutual crosstalk might be involved in cell sheet transplantation to improve cardiac function. It remains to be determined which mechanisms are the most important and should be improved.

Methods

Animals. Wild-type mice (C57BL/6J) were purchased from Japan SLC. Adult GFP transgenic mice (C57BL/6J) were a gift from Masaru Okabe, Osaka University (Osaka, Japan). Neonatal Wistar rats (0 to 1 day old) were purchased from Saitama Experimental Animals Supply. All protocols were approved by the Institutional Animal Care and Use Committee of Tokyo Women's Medical University and Chiba University.

Reagents. FITC-conjugated anti-CD29, PE-conjugated anti-Sca-1, anti-CD44, and anti-c-kit Abs were purchased from eBioscience. PE-conjugated anti-CD31, anti-CD34, and anti-CD45 Abs were purchased from BD Biosciences – Pharmingen. The following Abs were used for immunostaining and Western blot analysis: mouse monoclonal anti-sarcomeric α -actinin, mouse monoclonal anti- β -actin (Sigma-Aldrich), rat monoclonal anti-VLA-4, mouse monoclonal anti- β -myosin heavy chain (anti- β -MHC) (Chemicon; Millipore), goat polyclonal anti-Nkx2.5, goat polyclonal anti-GATA4, rabbit polyclonal anti-atrial natriuretic peptide (anti-ANP), rabbit polyclonal anti-VEGF, goat polyclonal anti-Akt, rabbit polyclonal anti-FAK (Santa Cruz Biotechnology Inc.), rabbit polyclonal anti-GFP, rabbit polyclonal anti-RFP (MBL International Corp.), rabbit polyclonal anti-VCAM-1 (R&D Systems), rabbit polyclonal anti-vWF (Dako), rabbit polyclonal anti-myocyte enhancer factor 2C (anti-MEF2C), rabbit polyclonal anti-phospho Akt (Ser473), rabbit polyclonal anti-phospho p38 MAPK (Thr180/Tyr182), rabbit polyclonal anti-p38 MAPK, rabbit polyclonal anti-phospho ERK1/2, rabbit polyclonal anti-ERK1/2 (Cell Signaling Technology), and rabbit monoclonal anti-phospho FAK (Y397; Invitrogen). Secondary Abs were purchased from Jackson ImmunoResearch Laboratories Inc. Unless otherwise specified, reagents were purchased from Sigma-Aldrich.

Cell isolation. Sca-1-positive cells were isolated from an adult (10 weeks old) wild-type, male mouse, as described previously (4). Isolated cells (1×10^4) were harvested on a 10-cm Primaria dish (BD Falcon) in Iscove's Modified Dulbecco's Medium (IMDM) (Invitrogen) supplemented with 10% FBS and penicillin/streptomycin at 37°C in humid air with 5% CO₂. One month after starting culture, several colonies were recognizable. Each of these colonies was collected using a cloning cup and reseeded to a new 10-cm Primaria dish. After repeating this process 2 more times, a clonal cell line was established.

**Figure 7**

The roles of VLA-4 signaling on CPC sheet transplantation-mediated improved cardiac function. Analysis of cardiac function by echocardiography (A, $n = 5$) and catheterization (B, $n = 5$). Anti-VLA-4 Ab treatment inhibited the reduction of LVDd, LVDs, and LVEDP and the improvement of FS and +dp/dt by CPC sheet transplantation. Isotype Ab was used as a control. [†] $P < 0.05$ versus anti-VLA-4 Abs ($n = 5$ per group). [‡] $P < 0.01$ versus anti-VLA-4 Abs ($n = 5$ per group). (C) Masson trichrome staining. The fibrotic area 4 weeks after transplantation was calculated and is shown in the graph ($n = 5$). Anti-VLA-4 Ab treatment inhibited the reduction of fibrotic area following CPC sheet transplantation. Lower panels show representative images. Scale bars: 1 mm. (D) vWF staining. The number of vWF-positive vessels in the border area was counted and is shown in the graph ($n = 5$). Anti-VLA-4 Ab treatment inhibited the increased number of vessels in the border area following CPC sheet transplantation. Lower panels show representative images. Scale bars: 100 μ m. Nuclei were stained with hematoxylin. (E) RFP staining. The number of RFP-positive cells (brown) was counted and is shown in the graph ($n = 5$). Anti-VLA-4 Ab treatment decreased the number of RFP⁺ cells in the infarcted area following CPC sheet transplantation. Lower panels show representative images. Nuclei were stained with hematoxylin. Scale bars: 100 μ m. Data are shown as mean + SEM.

ATMCs were isolated from GFP mice as previously described (48), with a few modifications. In brief, interscapular adipose tissues were digested at 37°C in PBS, which contained 2.5 mg/ml dispase (Invitrogen), for 45 minutes. After filtration through 25- μ m filters and centrifugation, isolated ATMCs were suspended in IMDM supplemented with 10% FBS and penicillin/streptomycin/amphotericin B and cultured on 1% gelatin-coated dishes. ATMCs from passages 3–5 were used for cell sheets.

Neonatal rat cardiomyocytes were isolated as previously described (19). Cardiomyocytes were plated at a field density of 1×10^5 cells/cm² on

24-well culture dishes (BD Falcon) coated with 1% gelatin and cultured in DMEM supplemented with 10% FBS.

Labeling of cells. Retroviral stocks were generated as previously described (19). CPCs were infected with an RFP-expressing retroviral vector. Infected cells were selected for growth in the presence of neomycin (500 μ g/ml) for 2 weeks. Transfection efficiency of RFP was greater than 95%.

Direct cell injection. Within 5 minutes after ligation of the left anterior descending artery, 2.0×10^6 RFP-labeled CPCs were directly injected into the infarcted regions of wild-type mice using a Hamilton syringe.



Cell sheet preparation and transplantation. CPCs or ATMCs were suspended by trypsinization, and the cell suspension (containing 2 ml complete medium) was plated onto a 35-mm temperature-responsive dish grafted with poly(*N*-isopropylacrylamide) (PIPAAM) (UpCell; CellSeed) at 1.0×10^6 cells/dish. The cells were cultured at 37°C. After 5 days in culture, CPCs or ATMCs were incubated on temperature-responsive dishes at 20°C. After 2 hours, CPCs and ATMCs detached spontaneously and floated in the medium as monolayer cell grafts. Wild-type mice were anesthetized by an i.p. injection of 50 mg/kg sodium pentobarbital and ventilated with a volume-regulated respirator. MI was induced by ligation of the left anterior descending artery with a 10-0 Prolene suture. Mice were randomly assigned to 3 groups: mice transplanted with monolayer CPCs (CPC group; $n = 25$); mice transplanted with monolayer ATMCs (ATMC group; $n = 25$); and mice with no transplantation (MI group; $n = 25$). Within 5 minutes of coronary artery ligation, a monolayer cell sheet was placed on a plastic sheet and applied face down onto the surface of the infarcted anterior-lateral region. The plastic sheet was then carefully removed, leaving the monolayer cell sheet over the infarct area without sutures. Ten minutes after transplantation, the chest was closed.

For Ab treatment, anti-VLA-4 Abs (2.5 mg/kg) or anti-rat IgG2bκ Abs (2.5 mg/kg) as control were i.p. injected daily from 2 to 3 weeks following MI or CPC sheet transplantation.

Echocardiography and catheterization. Transthoracic echocardiography was performed with a Nemio 35 ultrasound system (Toshiba) provided with a 12-MHz imaging transducer. For catheterization analysis, the right carotid artery was cannulated under anesthesia by a micropressure transducer with an outer diameter of 0.33 mm (SPR-1000; Millar Instruments), which was then advanced into the LV. Pressure signals were recorded using a Chart5 for Windows data acquisition and analysis system (ADInstruments). Mice were anesthetized with 4% inhaled isoflurane, and the heart rate was maintained at approximately 500 bpm to minimize data deviation during cardiac function measurement.

Flow cytometric analysis. The immunostaining methods have been previously described (4). The percentage of cells expressing each cell surface antigen was analyzed with an EPICS ALTRA flow cytometer using EXPO32 software, version 1.2 (Beckman Coulter).

RNA extraction and RT-PCR. RNA extraction and RT-PCR were performed as described previously (4). Primer sequences are shown in Supplemental Table 1. Real-time PCR amplification was performed in a 7500 real-time PCR system (Applied Biosystems) using GreenER Two-Step qRT-PCR Kit Universal (Invitrogen), according to the manufacturer's instructions. The PCR protocol included an initial denaturation step (95°C, 10 minutes) followed by 50 amplification and quantification cycles (95°C for 15 seconds, 60°C for 60 seconds) and a melting curve program (60–95°C). Relative mRNA expression levels were calculated using the standard curve of pcDNA6.2-GW/EmGFP.

Western blot analysis. Whole-cell lysates (30–50 µg) were resolved by SDS-PAGE. Proteins were transferred to a PVDF membrane (GE Healthcare) and incubated with primary Abs, followed by anti-IgG horseradish peroxidase-conjugated secondary Ab. Specific proteins were detected by enhanced chemiluminescence (GE Healthcare).

Immunohistology. Hearts fixed in 4% PFA were embedded in paraffin, and 4-µm thick sections were cut and stained with Masson trichrome. The extent of fibrosis was measured in 3 sections from each heart, and the value was expressed as the ratio of Masson trichrome-stained area to total LV free wall. Vascularization was examined by measuring the number of endothelial cells in the border zone of hearts 1 and 4 weeks after MI under light microscopy. Endothelial cells were identified by immunohistochemical staining with Abs specific to vWF. Ten random microscopic fields in the border zone were examined, and the number of endothelial cells was expressed as the number of vWF-positive cells/high-power field (original magnification, $\times 400$).

FITC-lectin perfusion assay. At 4 weeks after MI, with or without transplantation of nonlabeled CPC sheet or ATMC sheet, FITC-conjugated *Lycopersicon esculentum* (tomato) lectin (100 µl; Vector Laboratories) diluted in PBS at a concentration of 0.5 mg/ml, was injected into the tail vein of mice under anesthesia. Ten minutes after injection, the animals were perfused with 4% PFA through the LV for 5 minutes. Hearts were removed and post-fixed in 4% PFA at room temperature for 1 hour and subsequently snap-frozen in nitrogen. The fluorescent images were observed by confocal microscopy (LSM710; Zeiss) with LSM software, version 5.0 (Zeiss). Five random microscopic fields in the border zone were examined, and the number of vessels was expressed as the number of lectin-positive vessel/high-power field (original magnification, $\times 600$).

Immunofluorescent staining. The immunostaining methods have been previously described (19). Images were taken by laser confocal microscopy (Radiance2000; Bio-Rad) or fluorescent microscopy (Zeiss) with a CCD camera (Axiocam; Zeiss).

FISH analysis. Monolayer nonlabeled CPC sheets were transplanted onto the surface of the infarcted hearts of wild-type female mice. Four weeks after transplantation, mice were sacrificed, and whole hearts were snap-frozen in nitrogen. Frozen sections were fixed with a mixture of methanol and acetic acid (3:1, v/v) for 90 minutes and subjected to FISH analysis (Cambio). The sections were air-dried and dehydrated by exposure to a graded series of ethanol solutions. They were again air-dried and incubated in 70% formamide at 65°C for 120 seconds, exposed to ice-cold 70% ethanol, and dehydrated in a graded series of ethanol solutions. Nucleotide probes were individually denatured by incubation at 65°C for 10 minutes, followed by 37°C for 60 minutes. Two (30 µl) probes were added to each slide and were hybridized overnight at 37°C. For detection of X and Y chromosomes, FITC- or Cy3-conjugated probes were used, respectively. FITC signal of FITC was amplified using an FITC amplification kit (Cambio). Nuclei were also stained with DAPI. Sections were examined by confocal microscopy (LSM710; Zeiss) and LSM software.

Cytokine Ab array and ELISA. CPCs and ATMCs (1.0×10^6) were seeded onto 10-cm dishes. After incubation for 12 hours in IMDM supplemented with 10% FBS, cells were washed with PBS thoroughly 3 times and medium was changed to serum-depleted IMDM. After incubation for 24 hours in serum-depleted medium, supernatant was collected as CM and contaminated cells were removed using a 0.45-µm filter (BD Falcon). Cytokine release was measured in culture supernatant by cytokine Ab array or ELISA, according to the manufacturer's instructions (RayBiotech Inc. and R&D Systems).

miRNA vector selection and transfection. Sense and antisense oligonucleotide primers (Supplemental Table 2) were designed in conjunction with Invitrogen. These were annealed and cloned into the pcDNA6.2-GW/EmGFP-miR vector (Invitrogen) according to the manufacturer's instructions. All constructs were sequenced to confirm correct insertion of the oligonucleotides. The pcDNA6.2-GW/EmGFP-miR-neg plasmid vector served as a negative control. Each vector was transfected to CPCs using Lipofectamine 2000 (Invitrogen) following the manufacturer's protocol. At 8 hours after transfection, the medium was exchanged. After selecting the appropriate plasmid vector by quantitative RT-PCR on VCAM-1 mRNA expression (Supplemental Figure 9B), that plasmid was transfected into CPCs and CM was collected.

Scratch-wound assay. In vitro "scratch" wounds were established by scraping cell monolayers. Cells were grown on 6-cm dishes, which were previously labeled with a traced line. After injury, the cells were gently washed several times with PBS and incubated with sVCAM-1 (10 nM) or CPC-derived CM. Cell migration from the edge of the injured monolayer was quantified by measuring the distance between wound edges at time of injury and after 24 hours incubation using an inverted phase contrast microscope (Leica) at 5 distinct positions.



Tube-like formation assay. A Matrigel tube formation assay was used to determine the effects of sVCAM-1 (10 nM) and CPC-derived CM on in vitro angiogenesis potential of HUVECs. Growth-factor reduced Matrigel (250 μ l; BD Biosciences) was added to each well of a 24-well plate and allowed to polymerize at 37°C for at least 30 minutes. Trypsin-harvested HUVECs (5×10^4) were suspended in 250 μ l endothelial basal medium with or without sVCAM-1 or CPC-derived CM and were seeded onto Matrigel. After incubation for 24 hours at 37°C, the cell 2D organization and the network growth area were examined using an inverted phase contrast microscope and were photographed. Tube length was quantified using LAS AF software, version 1.6.1 (Leica).

MTT assay. Neonatal rat cardiomyocytes were cultured in 24-well plates and preincubated with sVCAM-1 (100 nM) or CPC-derived CM for 24 hours in the presence or absence of anti-VLA-4 Abs (5 ng/ μ l), Akt inhibitor (10 μ M), wortmannin (100 nM), SB203580 (10 μ M), and PD98059 (10 μ M). H₂O₂ (0.2 mM) was added and incubated with the cells for an additional 24 hours. After aspirating the medium, cells were washed with PBS once and 400 μ l/well PBS was added. After 2 hours incubation with 5 mg/ml MTT solution, 10% SDS solution was added and incubated overnight. Supernatant OD₅₇₀ was measured.

Apoptosis analysis. Annexin V-Cy3 Apoptosis Detection Kit (Sigma-Aldrich) was used to detect apoptotic CPCs according to the manufacturer's instructions. In brief, 2 days after miRNA plasmid vector transfection, CPCs were incubated with annexin V-Cy3 (1:100) diluted in 1 $\times$ binding buffer for 5 minutes at room temperature in the dark. The number of annexin V-positive cells relative to GFP-positive cells was counted under fluorescent microscopy.

Statistics. Data are shown as mean $\pm$ SEM. Statistical analyses were performed with 2-tailed Student's *t* test for comparisons between 2 groups. Multiple group comparison was performed by 1-way ANOVA followed by Bonferroni's procedure for comparison of means. *P* < 0.05 was considered significant.

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Intramuscular Transplantation of G-CSF-Mobilized CD34⁺ Cells in Patients With Critical Limb Ischemia: A Phase I/IIa, Multicenter, Single-Blinded, Dose-Escalation Clinical Trial

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Key Words. Angiogenesis • Adult stem cells • CD34 • Cellular therapy • Clinical trials

ABSTRACT

A number of preclinical studies have indicated the therapeutic potential of endothelial progenitor cells for vascular regeneration in ischemic diseases. A phase I/IIa clinical trial of transplantation of autologous CD34⁺ cells, the endothelial and hematopoietic progenitor-enriched fraction, was performed in no-option patients with atherosclerotic peripheral artery disease or Buerger's disease with critical limb ischemia (CLI). CD34⁺ cells were isolated from the G-CSF-mobilized apheresis product using a magnetic cell sorting system. CD34⁺ cells ($10^5/\text{kg}$, $n = 6$; $5 \times 10^5/\text{kg}$, $n = 8$; or $10^6/\text{kg}$, $n = 3$) were injected i.m. into the leg with more severe ischemia. The Efficacy Score, representing changes in the toe brachial pressure index (TBPI), Wong-Baker FACES pain rating scale, and total walking distance 12 weeks after cell transplantation, the primary

endpoint, was positive, indicating improvement in limb ischemia in all patients, although no significant dose-response relationship was observed. During the 12-week observation after cell therapy, the Wong-Baker FACES pain rating scale, TBPI, transcutaneous partial oxygen pressure, total or pain-free walking distance, and ulcer size serially improved in all patients. No death or major amputation occurred, and severe adverse events were rare, although mild to moderate events relating to G-CSF and leukapheresis were frequent during the 12-week follow-up. In conclusion, the outcomes of this prospective clinical study indicate the safety and feasibility of CD34⁺ cell therapy in patients with CLI. Favorable trends in efficacy parameters encourage a randomized and controlled trial in the future. *STEM CELLS* 2009;27:2857–2864

Disclosure of potential conflicts of interest is found at the end of this article.

INTRODUCTION

Chronic ischemia in the lower extremities is mainly caused by arterial obstruction/stenosis in the leg. It is well known

that atherosclerotic peripheral artery disease (PAD) is the most common cause of chronic limb ischemia, whereas Buerger's disease and collagen diseases, involving vasculitis in the small- and middle-sized arteries, also cause limb ischemia. The clinical consequences of chronic limb ischemia

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include intermittent claudication (IC), that is, pain with walking, and critical limb ischemia (CLI), as demonstrated by ischemic rest pain and/or loss of tissue integrity, including skin ulceration and gangrene. A large cohort study [1] indicated that 20%–50% of PAD patients are asymptomatic, 10%–35% of PAD patients have IC, 30%–40% of PAD patients have atypical leg pain, and only 1%–3% of PAD patients have CLI. The clinical course of PAD patients without CLI is generally stable; however, the prognosis for CLI patients is miserable. The 1-year mortality and major amputation rates are reportedly 25% and 30% in CLI patients, respectively [2]. Currently, surgical (bypass grafting or endoarterectomy) or endovascular (transluminal angioplasty, intravascular stents, or intra-arterial thrombolysis) therapy is believed to be the best option for limb salvage, in eligible patients. However, such conventional revascularization can be performed in only 50% of CLI patients, and sustained recovery from CLI is observed in only 25% of patients 1 year after the initial treatment. Among CLI patients without successful revascularization, 40% lose their legs and 20% die within 6 months [2]. Therefore, patients with CLI should be considered as different from PAD patients without CLI, and development of a novel therapeutic modality is urgently needed to improve the poor prognosis of CLI patients, especially those not eligible for conventional revascularization therapies.

Endothelial progenitor cells (EPCs) belong to an immature cell population that is capable of differentiating into mature endothelial cells [3]. In adults, EPCs mainly reside in bone marrow (BM) and are more proliferative and migrative than terminally differentiated endothelial cells [4]. EPCs can be clinically isolated as CD34⁺ or AC133⁺ mononuclear cells (MNCs) from adult BM or peripheral blood (PB) [3, 5]. Tissue ischemia or systemic administration of G-CSF, GM-CSF, vascular endothelial growth factor, or estrogen enhances mobilization of EPCs from BM into PB, and the mobilized EPCs specifically home to sites of nascent neovascularization, thereby contributing to vascular repair [6, 7]. The therapeutic concept of EPC transplantation for neovascularization has been established by a number of preclinical studies using animal models of hindlimb [8], myocardial [9] and cerebral [10] ischemia. In hindlimb ischemia, human EPCs are incorporated into ischemic tissue of immunodeficient animals following systemic administration, and participate in neovascularization with recipients' endothelial cells, resulting in enhancement of limb blood flow and a higher limb salvage rate [8]. These promising results have encouraged clinical application of EPC transplantation for improvement of the poor prognosis of CLI patients.

Here, we report a phase I/IIa clinical trial of i.m. transplantation of autologous and G-CSF-mobilized CD34⁺ cells in patients with intractable CLI. G-CSF was used to efficiently mobilize BM EPCs to PB, and the mobilized CD34⁺ cells were isolated as the EPC-enriched fraction. The study outcome indicates the safety and feasibility as well as favorable trend in efficacy of this novel cell therapy without a significant dose-response relationship in patients with CLI.

METHODS

Study Design and Criteria for Enrollment

This phase I/IIa clinical trial was designed as a single-blinded, dose-escalation study to evaluate the safety, feasibility, and efficacy of autologous and G-CSF-mobilized CD34⁺ cells in non-option patients with CLI. The study protocol conformed to the Declaration of Helsinki and was approved by the ethics commit-

tees of the participating hospitals, the Institute of Biomedical Research and Innovation and Kobe City Medical Center General Hospital.

The inclusion criteria were (a) atherosclerotic PAD or Buerger's disease with $\geq 50\%$ luminal stenosis in the leg arteries by digital subtraction angiography (DSA), (b) >6 months since the onset of lower limb ischemia, (c) CLI within category 4–6 on the Rutherford scale, (d) failure of or no indication for transluminal angioplasty/stenting and bypass surgery, (e) male or female aged 20–80 years, and (f) written informed consent. The exclusion criteria, which were mainly implemented to exclude patients at high risk for the adverse events of G-CSF, apheresis, and CD34⁺ cells, and to precisely evaluate efficacy, are shown in supporting information Table 1. After evaluation of the eligibility of each candidate for this cell-based therapy by the case enrollment committee, appropriate case selection was confirmed at an independent case registration center set up in the Translational Research Informatics (TRI) Center. Cell therapy was performed only in patients in whom written informed consent was obtained after completion of case registration.

Because this was the first clinical trial of CD34⁺ cell transplantation in patients with CLI, we designed the trial as a dose-escalation study for safety evaluation. Only when death and life-threatening adverse events (National Cancer Institute Common Terminology Criteria for Adverse Events [NCI CTCAE] grade ≥ 4) related to cell mobilization and harvest did not occur for 2 weeks after cell transplantation in the first patient was the second case registered. Also, only when no severe events (NCI CTCAE grade ≥ 4) were observed for 4 days following the cell therapy in the second to fourth patients was the next case registered. Case registration was to be canceled when any grade ≥ 4 event relating to cell mobilization and harvest was observed in one patient or grade ≥ 3 events occurred in three cases.

Treatment Procedures

All CLI patients enrolled in this study received s.c. administration of G-CSF to mobilize EPCs from BM. The regular dose of G-CSF was 10 $\mu\text{g}/\text{kg}$ per day for 5 days, and leukapheresis (AS.TEC204; Fresenius HemoCare, Bad Homburg, Germany, <http://www.fresenius.com>) was performed to harvest PB MNCs on day 5. From the standpoint of safety in all patients, the dose of G-CSF was reduced to 5 $\mu\text{g}/\text{kg}$ per day when the WBC in PB was $\geq 50,000/\mu\text{l}$ but $<75,000/\mu\text{l}$, according to the *Guideline for Mobilization and Harvest of Peripheral Blood Stem Cells from Healthy Donors for Allogeneic Transplantation* by the Japanese Society of Hematopoietic Cell Transplantation and the Japanese Society of Transfusion Medicine. G-CSF was scheduled to be canceled when the WBC was $\geq 75,000/\mu\text{l}$; however, the leukocyte count never exceeded 75,000/ μl during the G-CSF administration period in any patient. The leukapheresis product was kept at a concentration of $\leq 2 \times 10^8$ cells/ml in autoplasm at room temperature overnight (≤ 18 hours) until the magnetic separation of CD34⁺ cells was started using a CliniMACS Instrument, CD34 reagent, phosphate-buffered saline/EDTA buffer, and tubing set (Miltenyi Biotec, Bergisch Gladbach, Germany, <http://www.miltenyibiotec.com>). Purity of the isolated CD34⁺ cells was examined by fluorescence-activated cell sorting (FACS) analysis using CD34-specific and CD45-specific monoclonal antibodies (Becton, Dickinson and Company, San Jose, CA, <http://www.bd.com>).

Cell transplantation was performed under spinal anesthesia. CD34⁺ cells dissolved in 10 ml physiological saline were i.m. administered into 40 sites (0.25 ml/site) of the leg with more severe ischemia in each patient. The administration points consisted of 30 sites in the calf muscle, six sites in the sole muscle, and four sites in the intertoe muscle. The target dose of CD34⁺ cells was determined in a dose-escalation manner as follows: 10^5 cells/kg (Lo group) in the initial five patients, 5×10^5 cells/kg (Mid group) in the next five patients, and 10^6 cells/kg (Hi group) in the last five patients. When the CD34⁺ cell yield was less than