

Fig. 1. Age, GGT, and HOMA-IR according to smoking and drinking status.

Bar graphs indicate the mean and 95% CI of age (A), GGT (B), and HOMA-IR. *P* values are for ANOVA trend tests. #, †, and * indicate $p < 0.05$, $p < 0.01$, and $p < 0.001$, respectively, versus never smokers or never drinkers by Dunnett's post-hoc analysis.

Table 2. GGT and HOMA-IR according to smoking and drinking status

Smoking category (cig./day)	Drinking category																		
	0 g/day (never drinker)			1-19 g/day			20-39 g/day			40-59 g/day			≥60g/day						
	N	Mean	95%CI	N	Mean	95%CI	N	Mean	95%CI	N	Mean	95%CI	N	Mean	95%CI	[†] p value			
[‡] Overall	10,482	58.1	56.4-59.8	1,207	35.5	33.9-37.2	1,872	41.7	39.8-43.6	3,062	50.1	48.0-52.1	2,957	68.7	65.9-71.6	1,384	95.2	85.4-104.9	<0.001
0 (never smoker)	5,535	49.5	48.2-50.9	791	35.6	33.4-37.8	1,222	43.2	40.6-45.8	1,812	47.2	45.3-49.1	1,258	60.7	57.3-64.2	452	69.3	62.0-76.6	<0.001
1-9	771	59.8	54.7-64.9	48	44.0	32.4-55.6	147	39.0	33.8-44.1	207	51.1	45.4-56.8	253	69.5	57.8-81.2	116	87.1	70.3-103.9	<0.001
10-19	2,201	61.9	58.3-65.5	196	32.9	30.0-35.7	322	39.0	35.3-42.7	616	55.4	47.7-63.2	758	72.9	66.3-79.6	309	89.9	79.9-100.0	<0.001
20-39	1,748	75.6	68.1-83.0	151	35.4	32.1-38.7	158	37.0	33.1-40.9	399	55.1	50.3-59.8	623	77.0	71.4-82.6	417	122.1	93.0-151.3	<0.001
≥40	227	91.1	75.3-107.0	21	39.0	27.0-51.0	23	47.4	36.5-58.4	28	40.9	32.1-49.8	65	93.4	62.1-124.7	90	128.5	97.3-159.6	<0.001
																			[†] p = 0.006

Smoking category (cig./day)	Drinking category																		
	0 g/day (never drinker)			1-19 g/day			20-39 g/day			40-59 g/day			≥60g/day						
	N	Mean	95%CI	N	Mean	95%CI	N	Mean	95%CI	N	Mean	95%CI	N	Mean	95%CI	[†] p value			
[‡] Overall	10,482	1.67	1.64-1.70	1,207	1.89	1.79-1.99	1,872	1.57	1.51-1.63	3,062	1.5	1.51-1.59	2,957	1.7	1.62-1.77	1,384	1.8	1.78-1.92	<0.001
0 (never smoker)	5,535	1.62	1.58-1.65	791	1.83	1.70-1.96	1,222	1.58	1.50-1.66	1,812	1.54	1.49-1.59	1,258	1.57	1.51-1.64	452	1.80	1.67-1.94	<0.001
1-9	771	1.67	1.58-1.77	48	2.20	1.52-2.89	147	1.50	1.31-1.69	207	1.54	1.41-1.67	253	1.68	1.53-1.84	116	1.90	1.64-2.15	0.002
10-19	2,201	1.64	1.58-1.69	196	1.96	1.77-2.16	322	1.52	1.38-1.66	616	1.43	1.34-1.51	758	1.70	1.60-1.80	309	1.80	1.65-1.96	<0.001
20-39	1,748	1.82	1.70-1.94	151	2.03	1.74-2.32	158	1.49	1.31-1.66	399	1.74	1.61-1.87	623	1.92	1.62-2.22	417	1.80	1.68-1.92	0.274
≥40	227	2.17	1.97-2.37	21	1.91	1.29-2.52	23	2.71	1.98-3.45	28	1.96	1.46-2.46	65	1.84	1.52-2.16	90	2.39	2.02-2.76	0.068
																			[†] p = 0.385

[‡] p values were for ANOVA trend tests. [†] p values are versus never drinkers by Dunnett's post-hoc analysis. ^{*} Overall indicates all never or current smokers, GGT, gamma-glutamyl transpeptidase; HOMA-IR, homeostasis model assessment for insulin resistance.

Table 3. Linear regression analysis using GGT and HOMA-IR as dependent variable

	β	95%CI		Standardized β	<i>p</i> value
Dependent variable: GGT					
Age	-0.57	-1.82	0.68	-0.01	0.372
BMI	2.25	1.79	2.71	0.08	<0.001
Smoking	3.18	2.17	4.19	0.05	<0.001
Alcohol consumption	12.34	11.19	13.49	0.17	<0.001
Dependent variable: HOMA-IR					
Age	0.04	0.01	0.06	0.02	0.001
BMI	0.23	0.22	0.24	0.43	<0.001
Smoking	0.04	0.03	0.06	0.04	<0.001
Alcohol consumption	-0.08	-0.10	-0.06	-0.06	<0.001

For the calculation of β values, age was subdivided into 10-year increments. Alcohol consumption (g/day) corresponding to 0 (never drinker), 1-19, 20-39, 40-59, and ≥ 60 was coded as 0, 1, 2, 3, and 4, respectively, and smoking (cigarettes/day) corresponding to 1-9, 10-19, 20-39, and ≥ 40 was coded as 0, 1, 2, 3, and 4, respectively. GGT, gamma-glutamyl transpeptidase; HOMA-IR, homeostasis model assessment for insulin resistance.

tion as independent variables was performed in 10482 individuals (Table 3). In this model, alcohol consumption (g/day) corresponding to 0 (never drinker); 1-19, 20-39, 40-59, and 60 or more was coded as 0, 1, 2, 3, and 4, respectively, and smoking (cigarettes/day) corresponding to 1-9, 10-19, 20-39, and 40 or more were defined as 0, 1, 2, 3, and 4, respectively. Alcohol consumption was associated positively with GGT, but negatively with HOMA-IR. On the other hand, smoking was found to be associated positively with both GGT and HOMA-IR. When an interaction term between alcohol consumption and smoking was used as additional independent variable, the interaction term was found to be significantly associated with GGT ($p < 0.001$), and showed a borderline significant association with HOMA-IR ($p = 0.059$). The variance inflation factor (VIF) scores of all independent variables tested were less than 10 (data not shown).

Association between GGT and HOMA-IR According to Alcohol Consumption

Next, we investigated whether the mode of association between GGT and HOMA-IR differs according to the amount of alcohol consumption. For this purpose, multiple regression analysis was performed in which age, BMI, and GGT were used as independent variables and HOMA-IR was used as a dependent variable after subdividing individuals according to alcohol consumption (Table 4). GGT was found to be a positive predictive value for HOMA-IR in 19 out of the 25 drinking \times smoking categories. In some combi-

nations of drinking and smoking, such as drinking 0 g/day and smoking 1-9 cig./day, GGT was not a statistically significant predictor of HOMA-IR. This may be in part because the number of subjects with specific drinking and smoking conditions was relatively small.

Discussion

In the current study, by analyzing the data of men who underwent general health screening, except former smokers and/or former drinkers, we observed several points: (1) Alcohol consumption showed a graded association with GGT; (2) In individuals who drank 40 g or more per day, smoking 20 cigarettes or more per day further increased GGT levels (Table 2); (3) alcohol consumption showed a U-shaped association with HOMA-IR, when the daily number of cigarettes smoked was less than 20 per day; (4) Individuals who smoked 20-39 and ≥ 40 cigarette per day had higher HOMA-IR than never smokers (Table 2); (5) GGT was found to be a positive predictive value of HOMA-IR in 19 out of the 25 drinking \times smoking categories, and GGT was not a significant negative predictor of HOMA-IR regardless of the drinking or smoking status. These data collectively indicate that, although current drinking may increase GGT and reduce insulin resistance, GGT can be utilized as a marker of insulin resistance regardless of the drinking status.

Many studies have shown that serum GGT is a biomarker of increased alcohol consumption^{1-4, 22}; however, GGT is known to be affected by other con-

Table 4. Linear regression analysis using HOMA-IR as dependent variable

	β	95%CI	Standardized β	p value	β	95%CI	Standardized β	p value
Current smoking - 0 cig./day (never smoker)								
0 g/day (never drinker)	BMI	0.20	0.16	0.24	0.33	<0.001	0.33	<0.001
	GGT	0.07	0.03	0.11	0.12	0.001	0.12	0.001
1-19 g/day	BMI	0.22	0.19	0.24	0.42	<0.001	0.42	<0.001
	GGT	0.04	0.02	0.05	0.12	<0.001	0.12	<0.001
20-39 g/day	BMI	0.21	0.19	0.22	0.49	<0.001	0.49	<0.001
	GGT	0.03	0.02	0.05	0.13	<0.001	0.13	<0.001
40-59 g/day	BMI	0.18	0.16	0.20	0.47	<0.001	0.47	<0.001
	GGT	0.04	0.03	0.04	0.20	<0.001	0.20	<0.001
≥ 60 g/day	BMI	0.26	0.23	0.30	0.57	<0.001	0.57	<0.001
	GGT	0.02	0.01	0.04	0.13	0.001	0.13	0.001
Current smoking - 1-9 cig./day								
0 g/day (never drinker)	BMI	0.44	0.21	0.67	0.49	<0.001	0.49	<0.001
	GGT	0.13	-0.02	0.28	0.22	0.084	0.22	0.084
1-19 g/day	BMI	0.24	0.17	0.32	0.47	<0.001	0.47	<0.001
	GGT	0.07	0.01	0.12	0.18	0.016	0.18	0.016
20-39 g/day	BMI	0.18	0.13	0.23	0.42	<0.001	0.42	<0.001
	GGT	0.01	-0.02	0.04	0.05	0.396	0.05	0.396
40-59 g/day	BMI	0.23	0.18	0.27	0.50	<0.001	0.50	<0.001
	GGT	0.01	0.00	0.03	0.11	0.049	0.11	0.049
≥ 60 g/day	BMI	0.27	0.20	0.34	0.57	<0.001	0.57	<0.001
	GGT	0.04	0.02	0.06	0.26	<0.001	0.26	<0.001
Current smoking - 10-19 cig./day								
0 g/day (never drinker)	BMI	0.16	0.10	0.22	0.34	<0.001	0.34	<0.001
	GGT	0.27	0.19	0.36	0.40	<0.001	0.40	<0.001
1-19 g/day	BMI	0.22	0.18	0.26	0.49	<0.001	0.49	<0.001
	GGT	0.08	0.05	0.12	0.22	<0.001	0.22	<0.001
20-39 g/day	BMI	0.18	0.15	0.21	0.44	<0.001	0.44	<0.001
	GGT	0.00	-0.01	0.01	0.02	0.583	0.02	0.583
40-59 g/day	BMI	0.25	0.22	0.27	0.57	<0.001	0.57	<0.001
	GGT	0.02	0.01	0.03	0.16	<0.001	0.16	<0.001
≥ 60 g/day	BMI	0.22	0.18	0.26	0.54	<0.001	0.54	<0.001
	GGT	0.03	0.01	0.04	0.17	<0.001	0.17	<0.001
Current smoking - 20-39 cig./day								
0 g/day (never drinker)	BMI	0.27	0.18	0.37	0.42	<0.001	0.42	<0.001
	GGT	0.17	0.04	0.30	0.20	0.010	0.20	0.010
1-19 g/day	BMI	0.12	0.06	0.18	0.30	<0.001	0.30	<0.001
	GGT	0.07	0.00	0.14	0.16	0.036	0.16	0.036
20-39 g/day	BMI	0.22	0.18	0.26	0.47	<0.001	0.47	<0.001
	GGT	0.04	0.02	0.07	0.16	<0.001	0.16	<0.001
40-59 g/day	BMI	0.28	0.18	0.37	0.22	<0.001	0.22	<0.001
	GGT	0.02	-0.02	0.06	0.03	0.395	0.03	0.395
≥ 60 g/day	BMI	0.22	0.19	0.26	0.57	<0.001	0.57	<0.001
	GGT	0.01	0.00	0.01	0.15	<0.001	0.15	<0.001
Current smoking - ≥ 40 cig./day								
0 g/day (never drinker)	BMI	-0.07	-0.29	0.16	-0.12	0.551	-0.12	0.551
	GGT	0.41	0.18	0.64	0.80	0.002	0.80	0.002
1-19 g/day	BMI	-0.07	-0.29	0.16	-0.12	0.551	-0.12	0.551
	GGT	0.41	0.18	0.64	0.80	0.002	0.80	0.002
20-39 g/day	BMI	0.15	0.02	0.28	0.42	0.028	0.42	0.028
	GGT	0.10	-0.15	0.34	0.17	0.425	0.17	0.425
40-59 g/day	BMI	0.18	0.06	0.30	0.37	0.003	0.37	0.003
	GGT	0.01	-0.02	0.03	0.07	0.559	0.07	0.559
≥ 60 g/day	BMI	0.28	0.18	0.38	0.47	<0.001	0.47	<0.001
	GGT	0.05	0.03	0.07	0.42	<0.001	0.42	<0.001

Standardized β values are estimates resulting from analysis performed on into standardized variables. For the calculation of β values, BMI was subdivided into 1 kg/m² increments, and GGT into 10 IU/L increments. Age, BMI, and GGT were used as independent variables. BMI, body mass index; GGT, gamma-glutamyl transpeptidase.

ditions, such as smoking, obesity, and hepatic steatosis^{23, 24}). Evidence is accumulating that higher serum GGT levels may be associated with an increased incidence of cardiovascular events⁵), metabolic syndrome and diabetes^{8, 25, 26}); therefore, more attention has been paid recently to this liver enzyme. It is possible that the association between GGT and various disorders observed in previous studies may be mediated, in part, by enhanced insulin resistance in subjects with increased GGT levels.

Although mild to moderate alcohol consumption may increase GGT, it may improve insulin sensitivity^{18, 27}), leading to a reduction in the prevalence of metabolic syndrome¹⁷). This finding is in contrast to the observation that cigarette smoking will not improve insulin resistance, even in light smokers¹⁴). As alcohol consumption has opposite effects on GGT and insulin resistance, the mode of association between GGT and HOMA-IR might differ according to the drinking status; however, only a few studies have analyzed the relationship between GGT and insulin resistance in various drinking conditions. Yokoyama and colleagues reported that GGT is associated with increased insulin resistance in non-drinkers²⁸) and light drinkers, but not in heavy drinkers²⁹), a finding that supports the notion that the mode of association between GGT and HOMA-IR differs according to the drinking status. Yamada *et al.* have reported that HOMA-IR rose with increasing serum GGT in both alcohol consumers and non-consumers, and HOMA-IR values corresponding to all serum GGT levels were lower in alcohol consumers than in non-consumers³⁰). A recent study indicated that cigarette smoking may also affect both GGT and insulin resistance independent of the drinking status, and cigarette smoking and alcohol intake may have a synergistic impact on GGT¹³). Smoking status should also be considered when assessing the impact of alcohol intake on the association between GGT and insulin resistance; however, to our knowledge, no previous studies have investigated the relationship between GGT and insulin resistance after stratifying both the drinking status and smoking status, as in the current study.

We found that in 19 of the 25 subgroups divided according to smoking and drinking status, GGT was found to be a positive predictive value of HOMA-IR, which indicates that increased GGT is associated with enhanced insulin resistance regardless of the smoking and drinking status. From this type of cross-sectional study, we cannot conclude whether there is any causal or resultant relationship between GGT and HOMA-IR. A recent study showed that GGT may play a causal role in promoting insulin resistance, pre-

sumably by enhancing oxidative stress^{31, 32}) and hepatic steatosis³³). Whether a change in HOMA-IR would result in a predicted change in GGT should be investigated in future longitudinal studies.

Our study has some limitations. First, we did not take into account coffee intake, which might affect GGT level²). Second, as the prevalence of smokers was low, we did not analyze the data of female subjects. Third, the number of daily cigarettes and alcohol consumption solely reflected the amount that was being consumed at one time, and disregarded the frequency of smoking or drinking consumption. Therefore, this estimation of smoking and drinking quantity was not equal to the mean daily number of cigarettes smoked and the amount of alcohol consumption, except in every-day smokers and drinkers, respectively. We performed such an analysis because the frequency of smoking (or drinking) was reported as a category, two or three times per week, for example; therefore, it was technically difficult to estimate the mean daily number of cigarettes smoked or the alcohol consumption. In the future, however, the frequency of drinking and smoking should also be considered in such an analysis. Fourth, we did not exclude individuals who were taking antihypertensive and/or antidiabetic drugs, which may have affected serum GGT and HOMA-IR values.

In summary, alcohol consumption showed a graded positive association with GGT and a U-shaped negative association with HOMA-IR. Cigarette smoking may further increase GGT levels in individuals who are current drinkers and drink 20 g or more per day. In 19 of the 25 drinking × smoking categories, GGT was found to be a positive predictive value of HOMA-IR, and GGT was not a significant negative predictor of HOMA-IR, regardless of the drinking or smoking status. These data indicate a positive association between GGT and insulin resistance also in current drinkers.

Acknowledgements

The work was supported in part by a grant from the Smoking Research Foundation, Chiyoda Mutual Life Foundation, a St Luke's Grant for the Epidemiological Research, Daiwa Securities Health Foundation, a Gerontology Research Grant from Kowa Life Science Foundation, the Foundation for Total Health Promotion, and the Gout Research Foundation of Japan.

References

- 1) Robinson D, Monk C, Bailey A: The relationship between

- serum gamma-glutamyl transpeptidase level and reported alcohol consumption in healthy men. *J Stud Alcohol*, 1979; 40: 896-901
- 2) Poikolainen K, Vartiainen E: Determinants of gamma-glutamyltransferase: positive interaction with alcohol and body mass index, negative association with coffee. *Am J Epidemiol*, 1997; 146: 1019-1024
 - 3) Stewart SH: Racial and ethnic differences in alcohol-associated aspartate aminotransferase and gamma-glutamyltransferase elevation. *Arch Intern Med*, 2002; 162: 2236-2239
 - 4) Yamada Y, Noborisaka Y, Suzuki H, Ishizaki M, Yamada S: Alcohol consumption, serum gamma-glutamyltransferase levels, and coronary risk factors in a middle-aged occupational population. *J Occup Health*, 2003; 45: 293-299
 - 5) Fraser A, Harris R, Sattar N, Ebrahim S, Smith GD, Lawlor DA: Gamma-glutamyltransferase is associated with incident vascular events independently of alcohol intake: analysis of the British Women's Heart and Health Study and Meta-Analysis. *Arterioscler Thromb Vasc Biol*, 2007; 27: 2729-2735
 - 6) Jousilahti P, Rastenyte D, Tuomilehto J: Serum gamma-glutamyl transferase, self-reported alcohol drinking, and the risk of stroke. *Stroke*, 2000; 31: 1851-1855
 - 7) Hu G, Tuomilehto J, Pukkala E, Hakulinen T, Antikainen R, Vartiainen E, Jousilahti P: Joint effects of coffee consumption and serum gamma-glutamyltransferase on the risk of liver cancer. *Hepatology*, 2008; 48: 129-136
 - 8) Nakanishi N, Suzuki K, Tataru K: Serum gamma-glutamyltransferase and risk of metabolic syndrome and type 2 diabetes in middle-aged Japanese men. *Diabetes Care*, 2004; 27: 1427-1432
 - 9) Lee DH, Gross MD, Steffes MW, Jacobs DR Jr: Is serum gamma-glutamyltransferase a biomarker of xenobiotics, which are conjugated by glutathione? *Arterioscler Thromb Vasc Biol*, 2008; 28: e26-28; author reply e29
 - 10) Kono S, Shinchi K, Imanishi K, Todoroki I, Hatsuse K: Coffee and serum gamma-glutamyltransferase: a study of self-defense officials in Japan. *Am J Epidemiol*, 1994; 139: 723-727
 - 11) Nakanishi N, Nakamura K, Nakajima K, Suzuki K, Tataru K: Coffee consumption and decreased serum gamma-glutamyltransferase: a study of middle-aged Japanese men. *Eur J Epidemiol*, 2000; 16: 419-423
 - 12) Li M, Campbell S, McDermott R: gamma-Glutamyltransferase, Obesity, Physical Activity, and the Metabolic Syndrome in Indigenous Australian Adults. *Obesity (Silver Spring)*, 2009; 17: 809-813
 - 13) Breitling LP, Raum E, Muller H, Rothenbacher D, Brenner H: Synergism between smoking and alcohol consumption with respect to serum gamma-glutamyltransferase. *Hepatology*, 2009; 49: 802-808
 - 14) Ishizaka N, Ishizaka Y, Toda E, Hashimoto H, Nagai R, Yamakado M: Association between cigarette smoking, metabolic syndrome, and carotid arteriosclerosis in Japanese individuals. *Atherosclerosis*, 2005; 181: 381-388
 - 15) Oh SW, Yoon YS, Lee ES, Kim WK, Park C, Lee S, Jeong EK, Yoo T: Association between cigarette smoking and metabolic syndrome: the Korea National Health and Nutrition Examination Survey. *Diabetes Care*, 2005; 28: 2064-2066
 - 16) Goude D, Fagerberg B, Hulthe J: Alcohol consumption, the metabolic syndrome and insulin resistance in 58-year-old clinically healthy men (AIR study). *Clin Sci (Lond)*, 2002; 102: 345-352
 - 17) Freiberg MS, Cabral HJ, Heeren TC, Vasan RS, Curtis Ellison R: Alcohol consumption and the prevalence of the Metabolic Syndrome in the US.: a cross-sectional analysis of data from the Third National Health and Nutrition Examination Survey. *Diabetes Care*, 2004; 27: 2954-2959
 - 18) Joosten MM, Beulens JW, Kersten S, Hendriks HF: Moderate alcohol consumption increases insulin sensitivity and ADIPOQ expression in postmenopausal women: a randomised, crossover trial. *Diabetologia*, 2008; 51: 1375-1381
 - 19) Yamamoto A, Temba H, Horibe H, Mabuchi H, Saito Y, Matsuzawa Y, Kita T, Nakamura H: Life style and cardiovascular risk factors in the Japanese population--from an epidemiological survey on serum lipid levels in Japan 1990 part 1: influence of life style and excess body weight on HDL-cholesterol and other lipid parameters in men. *J Atheroscler Thromb*, 2003; 10: 165-175
 - 20) Kang YH, Min HK, Son SM, Kim IJ, Kim YK: The association of serum gamma glutamyltransferase with components of the metabolic syndrome in the Korean adults. *Diabetes Res Clin Pract*, 2007; 77: 306-313
 - 21) Shin JY, Chang SJ, Shin YG, Seo KS, Chung CH: Elevated serum gamma-glutamyltransferase levels are independently associated with insulin resistance in non-diabetic subjects. *Diabetes Res Clin Pract*, 2009; 84: 152-157
 - 22) Lamy J, Baglin MC, Weill J, Aron E: Serum gamma-glutamyl-transpeptidase and alcoholism. *Diagnosis and control of withdrawal*. *Nouv Presse Med*, 1975; 4: 487-490
 - 23) Sato KK, Hayashi T, Nakamura Y, Harita N, Yoneda T, Endo G, Kambe H: Liver enzymes compared with alcohol consumption in predicting the risk of type 2 diabetes: the Kansai Healthcare Study. *Diabetes Care*, 2008; 31: 1230-1236
 - 24) Benini F, Pigozzi MG, Baisini O, Romanini L, Ahmed H, Pozzi A, Ricci C, Lanzini A: Increased serum gamma-glutamyl-transpeptidase concentration is associated with nonalcoholic steatosis and not with cholestasis in patients with chronic hepatitis C. *J Gastroenterol Hepatol*, 2007; 22: 1621-1626
 - 25) Ruttman E, Brant LJ, Concin H, Diem G, Rapp K, Ulmer H: Gamma-glutamyltransferase as a risk factor for cardiovascular disease mortality: an epidemiological investigation in a cohort of 163,944 Austrian adults. *Circulation*, 2005; 112: 2130-2137
 - 26) Shankar A, Li J, Klein BE, Javier Nieto F, Klein R: Serum gamma-glutamyltransferase level and peripheral arterial disease. *Atherosclerosis*, 2008; 199: 102-109
 - 27) Fueki Y, Miida T, Wardaningsih E, Ito M, Nakamura A, Takahashi A, Hanyu O, Tsuda A, Saito H, Hama H, Okada M: Regular alcohol consumption improves insulin resistance in healthy Japanese men independent of obesity. *Clin Chim Acta*, 2007; 382: 71-76
 - 28) Yokoyama H, Hirose H, Moriya S, Saito I: Significant correlation between insulin resistance and serum gamma-glutamyl transpeptidase (gamma-GTP) activity in non-

- drinkers. *Alcohol Clin Exp Res*, 2002; 26: 91S-94S
- 29) Moriya S, Yokoyama H, Hirose H, Ishii H, Saito I: Correlation between insulin resistance and gamma-glutamyl transpeptidase sensitivity in light drinkers. *Alcohol Clin Exp Res*, 2003; 27: 52S-57S
- 30) Yamada Y, Noborisaka Y, Ishizaki M, Tsuritani I, Honda R, Yamada S: Alcohol consumption, homeostasis model assessment indices and blood pressure in middle-aged healthy men. *J Hum Hypertens*, 2004; 18: 343-350
- 31) Whitfield JB: Gamma glutamyl transferase. *Crit Rev Clin Lab Sci*, 2001; 38: 263-355
- 32) Lee DH, Blomhoff R, Jacobs DR Jr: Is serum gamma glutamyltransferase a marker of oxidative stress? *Free Radic Res*, 2004; 38: 535-539
- 33) Nannipieri M, Gonzales C, Baldi S, Posadas R, Williams K, Haffner SM, Stern MP, Ferrannini E: Liver enzymes, the metabolic syndrome, and incident diabetes: the Mexico City diabetes study. *Diabetes Care*, 2005; 28: 1757-1762



ELSEVIER



Identification of hepatitis C virus genotype 2a replicon variants with reduced susceptibility to ribavirin

Su Su Hmwe^{a,b}, Hideki Aizaki^a, Tomoko Date^a, Kyoko Murakami^a, Koji Ishii^a, Tatsuo Miyamura^a, Kazuhiko Koike^b, Takaji Wakita^a, Tetsuro Suzuki^{a,*}

^a Department of Virology II, National Institute of Infectious Diseases, 1-23-1 Toyama, Shinjuku-ku, Tokyo 162-8640, Japan

^b Department of Gastroenterology, Graduate School of Medicine, University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8655, Japan

ARTICLE INFO

Article history:

Received 8 April 2009

Received in revised form 19 October 2009

Accepted 18 December 2009

Keywords:

Hepatitis C virus

Replication

Ribavirin

Drug resistance

ABSTRACT

Ribavirin (RBV), a nucleoside analogue, is used in the treatment of hepatitis C virus (HCV) infection in combination with interferons. However, potential mechanisms of RBV resistance during HCV replication remain poorly understood. Serial passage of cells harboring HCV genotype 2a replicon in the presence of RBV resulted in the reduced susceptibility of the replicon to RBV. Transfection of fresh cells with RNA from RBV-resistant replicon cells demonstrated that the RBV resistance observed is largely replicon-derived. Four major amino acid substitutions: T1134S in NS3, P1969S in NS4B, V2405A in NS5A, and Y2471H in NS5B region, were identified. Site-directed mutagenesis of these mutations into the replicon indicated that Y2471H plays a role in the reduced susceptibility to RBV and leads to decrease in replication fitness. The results, in addition to analysis of sequence database, suggest that HCV variants with reduced susceptibility to RBV identified are preferential to genotype 2a.

© 2010 Elsevier B.V. All rights reserved.

1. Introduction

Hepatitis C virus (HCV) is a leading cause of chronic liver diseases, such as chronic hepatitis, cirrhosis and hepatocellular carcinoma, affecting approximately 170 million people worldwide (WHO, 2000). HCV belongs to the genus *Hepacivirus* of the family *Flaviviridae*, and its genome is a single-stranded, positive-sense RNA of 9.6 kb. HCV displays marked genetic heterogeneity and is currently classified into 6 major genotypes and more than 50 subtypes. HCV genotypes have regional distribution and, of those, genotypes 1 and 2 are detected worldwide (Simmonds et al., 2000). Current standard therapy for chronic hepatitis C consists of the combination of pegylated interferon alpha (IFN- α) in combination with ribavirin (RBV). However, approximately 50% of treated patients infected with genotype 1 do not respond or show only a partial or transient response and treatment is limited by the adverse effects of both agents (Manns et al., 2001; Fried et al., 2002).

HCV replication is associated with a high rate of mutation that gives rise to a mixed and changing population of mutants, known as quasispecies (Martell et al., 1992; Domingo, 1996). The characteristic of HCV may have important implications concerning viral persistence, pathogenicity and resistance to antiviral agents

(Domingo, 1996; Forns et al., 1999; Farci and Purcell, 2000). Most previous studies on the possible relationship between HCV quasispecies and response to chemotherapy have been carried out in HCV genotype 1 patients. In addition, several studies have successfully demonstrated that the HCV subgenomic replicon is derived from genotype 1, which typically contains HCV nonstructural genes placed downstream of the neomycin phosphotransferase gene, in selecting variants resistant to antiviral inhibitors. Two studies have demonstrated the identification of HCV genotype 1 mutants responsible for decreased sensitivity to RBV (Young et al., 2003; Pfeiffer and Kirkegaard, 2005). However, little is known about the generation of genotype 2 isolates resistant to antivirals including RBV, or the molecular mechanisms that confer resistance.

In this study, we report the generation and characterization of HCV genotype 2a replicon variants with reduced susceptibility to RBV. The impacts of major amino acid substitutions observed on RBV susceptibility and viral replication capacity were also examined.

2. Materials and methods

2.1. Compounds

RBV and IFN- α were purchased from MP Biomedicals (Eschwege, Germany) and Dainippon Sumitomo Pharma (Osaka, Japan), respectively.

* Corresponding author. Tel.: +81 3 5285 1111; fax: +81 3 5285 1161.
E-mail address: tesuzuki@nih.go.jp (T. Suzuki).

Table 1
Primers used for PCR and nucleotide sequencing.

Region	Primer name	Nucleotide sequence	Position ^a	Polarity
NS3–4A–4B region	PCR primers			
	JF1S	GAAAAACACGATGATACCATG	1756–1776	Sense
	JF1AS	AACCCAGTCCCACACGTC	4650–4633	Antisense
	Sequencing primers			
	JF5S	CACTTTCAGTGACAACAGCA	2322–2341	Sense
	JF6S	CGCCACCAGCCCTCATGA	3003–3022	Sense
NS5A–NS5B region	Sequencing primers			
	JF4AS	CTGGTCGACAACGGACTGGT	4109–4090	Antisense
	PCR primers			
	JF2S	TGCTCCGGATCCTGGCTC	4612–4629	Sense
	JF2AS	TACCTAGTGTGTGCCCTCTA	7786–7806	Antisense
	Sequencing primers			
	JF3S	TGAGGTCCATGCTAACAGA	5209–5228	Sense
	JF4S	TCGAGGGGGAGCCTGGAGAT	5870–5889	Sense
JF3AS	GAGTGTCTAACTGTTCCACG	7220–7200	Antisense	

^a Reference strain: Gene Bank accession no. AB114136.

2.2. Cell culture

The human hepatoma cell line Huh-7 was maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with MEM non-essential amino acids (Invitrogen) 100 units/ml penicillin, 100 µg/ml streptomycin, and 10% fetal bovine serum (FBS) at 37 °C in a 5% CO₂ incubator. HCV replicon cells JFH-1/4-1 (Miyamoto et al., 2006), which are Huh-7-derived cells carrying a subgenomic replicon of JFH-1 (Kato et al., 2003) were maintained in the Huh-7 medium as above, supplemented with 1 mg/ml G418 (Nacalai Tesque, Kyoto, Japan).

2.3. Quantification of HCV RNA

Total RNA was isolated from harvested cells using Trizol (Invitrogen). Copy numbers of the viral RNA were determined by real-time RT-PCR involving single-tube reactions and performed using TaqMan EZ RT-PCR Core Reagents (PE Applied Biosystems, Foster City, CA, USA), as described previously (Aizaki et al., 2003; Takeuchi et al., 1999).

2.4. Cell viability assay

Cells were seeded at density of 5×10^4 cells/well in 24-well plates and RBV at various concentrations was added on the next day. Cultures were further incubated for 3 days at 37 °C under a humidified 5% CO₂ atmosphere. Cytotoxicity assay was performed by Cell Titer-GLO™ Luminescent Cell Viability Assay (Promega, Madison, WI, USA) according to the manufacturer's instructions. Luciferase activities were quantified with LUMAT LB 9501 (Berthold Technologies, Bad Wilbad, Germany).

2.5. Isolation and nucleotide sequencing of HCV nonstructural regions from replicon-containing cells

Total cellular RNA was isolated from replicon cells with or without RBV treatment as described above. cDNA synthesis was carried out by using Super Script™ III First-Strand Synthesis System for RT-PCR (Invitrogen) with primer JF1AS for NS34AB region and JF2AS for NS5AB region. Two cDNA fragments, corresponding to NS3–NS4B and NS5A–NS5B regions, were amplified by PCR using Takara EX Taq DNA polymerase (Takara BIO, Kyoto, Japan) and specific primers (Table 1; Date et al., 2004). PCR products were subcloned into pGEM-T vector (Promega) and inserts were sequenced using QIA prep^R Spin Mini Prep kit (QIAGEN, Tokyo, Japan). Nucleotide sequences were analyzed with the 3100 Avant Genetic Analyzer (PE Applied Biosystems).

2.6. Plasmid constructions

pSGR-JFH1/luc, a subgenomic replicon construct with luciferase reporter derived from HCV genotype 2a JFH-1 isolate was reported previously (Miyamoto et al., 2006). Mutant replicons carrying T1134S, P1969S, V2405A, and Y2471H were created by PCR-based site-directed mutagenesis and cDNA fragments containing the above mutations were inserted into the corresponding sites of pSGR-JFH/luc. All plasmids were confirmed by sequencing the entire PCR-generated inserts. Each mutant is referred to by the original amino acid (one letter code) followed by the residue positions within the complete open reading frame of full-length JFH-1 and the substituted amino acid (one letter code).

2.7. RNA synthesis and transient replication assay

The transient replication assay method was described previously (Kato et al., 2005). Briefly, purified plasmids of pSGR-JFH1/Luc, -JFH1/Luc-T1134S, -JFH/Luc-P1969S, -JFH/Luc-V2405A and -JFH/Luc-Y2471H were linearized with XbaI and were treated with proteinase K and SDS, followed by phenol–chloroform extraction. RNA was synthesized with Ampliscribe™ T7 Transcription Kits (Epicentre BIO Technologies, Madison, WI, USA). Each transcribed RNA (5 µg) was electroporated into 2.5×10^6 of Huh7 cells pulsed at 290 mV, 975 µFD with Gene pulser II apparatus (Bio-Rad Laboratories, Hercules, CA, USA). Transfected cells were resuspended in growth medium without selection antibiotics and were plated in 24-well plates at 6×10^4 cells per well. Cells were harvested at different time points post-transfection and were lysed in Passive Lysis Buffer (Promega). Luciferase activity in cells was determined using the Luciferase Assay System (Promega).

3. Results

3.1. Selection of replicon variants derived from genotype 2a with reduced susceptibility to RBV

It has been reported that RBV inhibits HCV RNA replication in Huh-7 cells bearing the viral subgenomic replicon RNAs with the EC₅₀ (50% effective concentration) values of 15–225 µM (Zhou et al., 2003; Tanaka et al., 2004; Kato et al., 2005; aus dem Siepen et al., 2007). To select for RBV-associated replicon variants, cells bearing a genotype 2a HCV replicon were serially passed in the presence of 200 µM RBV as well as 1 mg/ml G418. After 20-week treatment, variant cells were then tested for RBV resistance. HCV RNA levels were determined after a 72-h incubation with various concentrations of RBV in the absence of G418, and about 5-fold-reduced susceptibility to RBV was observed in the variant replicon

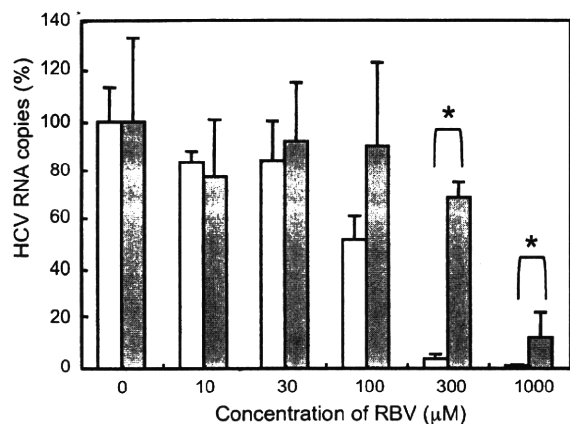


Fig. 1. Inhibitory effect of RBV on HCV RNA levels in genotype 2a replicon cells after long-term treatments with RBV. The replicon cells were serially passaged in 0 or 200 μM RBV for 20 weeks. The cells were then split and incubated with fresh RBV at various concentrations in the absence of G418 for 3 days, followed by the determination of HCV RNA. Clear bars, passage in the absence of RBV; gray bars, passage in the presence of RBV. HCV RNA copies per microgram of total RNA were normalized as percentages of those of untreated (RBV 0 μM). Each data point is presented as the mean of three independent determinations with standard deviation. * $p < 0.05$.

cells; the EC_{50} values for the variant and wild-type replicon cells were 470 and 102 μM , respectively (Fig. 1). Comparable cytotoxic effects of RBV were observed against wild-type and variant replicon cells, with the CC_{50} (50% cytotoxicity concentration) values of 151 and 156 μM , respectively (data not shown).

3.2. Mapping RBV resistance to cell line or replicon RNA

To test whether reduced susceptibility to RBV in the variant cells observed as above was due to the appearance of mutations within the viral RNA or was cell-derived, total RNAs from the variant and wild-type replicon cells were extracted and used for retransfection of naïve Huh7 cells. Retransfected cells resistant to G418 were established after 4 weeks of cultures in the presence of 1 mg/ml G418 and were assessed for HCV RNA replication sensitivity to RBV (Fig. 2A). HCV RNA levels in the cells obtained from the wild-type replicon were inhibited by 56, 89 and 97% with 100, 300 and 1000 μM RBV, respectively. By contrast, the culture retransfected with RNA derived from the variant replicon cells exhibited inhibition levels of 13, 29 and 89% with the corresponding concen-

trations of RBV. EC_{50} values were calculated to be 93 and 449 μM , respectively. We confirmed the presence of replicon mutations, as described below, in the cells retransfected with RNA derived from the variant replicon cells.

In order to explore the possibility for cell-derived resistance, both wild-type and variant replicon cells were cured of viral RNAs by IFN treatment; cells were passaged with media containing 100 IU/mL IFN- α in the absence of G418 for 2 months. To compare RBV sensitivity, cured cells were transiently transfected with the wild-type JFH-1 subgenomic replicon RNA and were treated with various concentrations of RBV for 72 h. Similar anti-HCV effects of RBV were observed in the cured cells derived from wild-type and variant replicons, with the EC_{50} values of 147 and 118 μM , respectively (Fig. 2B). Thus, the results suggest that the RBV resistance observed may arise by mutations in the replicon rather than by changes in the cells.

3.3. HCV mutations in replicon variant with reduced susceptibility to RBV

It has been reported that mutations in RNA virus genomes responsible for RBV resistance are mostly present in the coding region for the viral RNA-dependent RNA polymerase (RdRp). On the other hand, it is known that RBV works as an RNA mutagen to generate rapidly mutating viral RNA and that NS5B RdRp and other nonstructural proteins in HCV are involved in the viral replication complex, playing key roles in genome replication. Therefore, we sequenced the coding regions for NS3 through NS5B proteins of the replicon molecules in order to determine whether mutations associated with RBV resistance were generated. As shown in Table 2, there were numerically more synonymous and non-synonymous mutations in the RBV-resistant variant replicon cells (RBV treatment) when compared with untreated replicative conditions (No-treatment) across most regions examined. Mutation frequencies of NS3, NS4B and NS5A regions of RBV treatment were significantly higher than those of No-treatment. The total number of synonymous mutations in the RBV-resistant variant replicon cells was 3 times higher than that under untreated replicative conditions, and the number of non-synonymous mutations in the RBV-resistant variant replicon cells was 1.5 times higher than that under untreated replicative conditions. The number of both synonymous and non-synonymous mutations (NS3, NS4B, NS5A and NS5B regions) in the RBV-resistant replicon cells was greater than that in the control cells. We also found a large number of transition

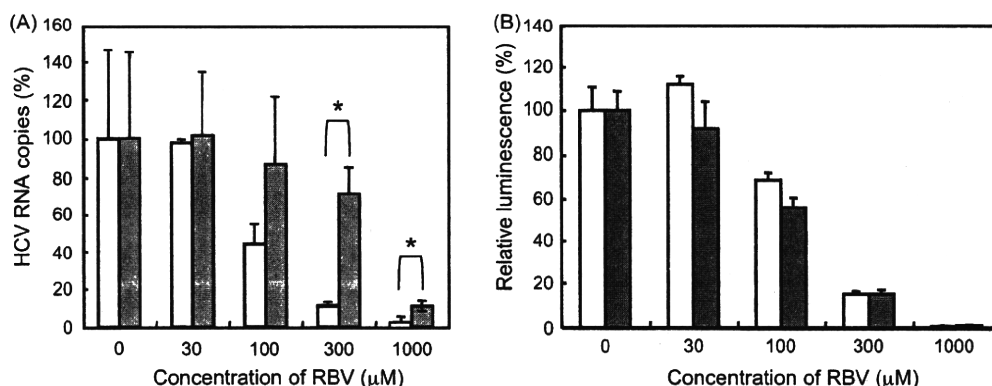


Fig. 2. Testing for replicon-derived resistance (A) or for cell-derived resistance (B). (A) Total RNA from RBV-resistant- or wild-type replicon cells was transfected into naïve Huh7 cells. After selection in 1 mg/ml G418 for 4 weeks, re-established replicon cells, wild-type derived (clear bars) and RBV resistance derived (gray bars), were treated with increasing concentrations of RBV in the absence of G418 for 3 days. HCV RNA copies per microgram total RNA were assessed and the levels from wild-type cells without RBV treatment were set at 100%. Data are indicated as means with standard deviations. * $p < 0.05$. (B) RBV-resistant- or wild-type replicon cells were cured by passage in IFN- α in the absence of G418. Cured cells were transiently transfected with the replicon RNA derived from pSGR-JFH1/luc. Transient replication assay of transfectants derived from wild-type (clear bars) and RBV resistance (gray bars) was performed after treatment with various concentrations of RBV for 72 h. The values for wild-type-derived cells without RBV treatment were set at 100%. Data are indicated as means with standard deviations.

Table 2
Mutation frequencies in HCV NS regions after 20-weeks culture with or without RBV treatment.

Region	nt length	No-treatment			RBV treatment		
		No. of non-synonymous mutations ^a	No. of synonymous mutations ^a	Mutation frequency (10 ⁻³)	No. of non-synonymous mutations ^a	No. of synonymous mutations ^a	Mutation frequency (10 ⁻³)
NS3	1893	1.7 ± 2.1	2.3 ± 1.5	2.1	4.7 ± 2.4	6.5 ± 2.5	5.9 ^b
NS4A	165	1.0 ± 1.0	0.3 ± 0.6	8.1	0.3 ± 0.5	0.5 ± 0.9	4.4
NS4B	780	1.3 ± 1.2	0.3 ± 0.6	2.1	2.3 ± 1.5	2.5 ± 1.2	4.7 ^c
NS5A	1380	4.0 ± 1.2	2.0 ± 1.2	4.3	5.9 ± 1.2	6.2 ± 2.4	12.2 ^c
NS5B	1773	4.5 ± 1.5	2.3 ± 1.5	3.8	4.8 ± 1.8	4.2 ± 1.1	9.0
NS3–NS5B	5991	12.5 ± 2.7	7.3 ± 2.7	–	17.8 ± 4.5	20.1 ± 4.6	–

^a Values are means ± standard deviations.

^b $p < 0.05$ relative to No-treatment by the unpaired *t*-test.

^c $p < 0.01$ relative to No-treatment by the unpaired *t*-test.

mutations in RBV-resistant cells, particularly G-to-A and C-to-U transitions, as expected from previous studies. Although mutations were distributed throughout nonstructural regions, four major amino acid substitutions; T1134S in the NS3 region, P1969S in NS4B, V2405A in NS5A, and Y2471H in NS5B, not seen in wild-type cells were observed in most of the subclones among RBV-resistant replicon cells. T1134S, P1969S, V2405A, and Y2471H were present, respectively, in 7 of 11, 6 of 11, 8 of 13, and 7 of 13 PCR subclones sequenced.

3.4. Effects of T1134S, P1969S, V2405A, and Y2471H on RBV susceptibility

To test the possibility that any of the four mutations as identified confer resistance to RBV, we introduced these mutations individually into the JFH-1 subgenomic replicon containing a luciferase reporter gene. Cells transfected with mutant- or wild-type replicon RNA grown in the presence of various concentrations of RBV for 2 or 3 days. As demonstrated in Fig. 3A, the replication levels of all four mutant replicons (SGR-JFH1/Luc-T1134S, -P1969S, -V2405A, and -Y2471H) in the presence of 125 or 500 μ M RBV were higher than those of the wild-type replicon. In particular, the Y2471H mutant significantly reduced susceptibility to RBV; replication levels of SGR-JFH1/Luc-Y2471H were 3–5-fold higher when compared to those of wild-type under the present assay conditions.

The relative replication activity of these mutant replicons was further determined in 3-day replication assay without drug treatment (Fig. 3B). All mutant replicons exhibited reduced efficiency

relative to the wild-type replicon. Levels of the Y2471H-mutated replicon were approximately 30% of those of the wild-type, thus suggesting that replicon mutants with reduced sensitivity to RBV are associated with decreased replication fitness.

4. Discussion

It is generally accepted that, during chemotherapy against viral infection, high rates of viral replication and high frequencies of mutation lead to generation of drug-resistant mutants. Although several potential mechanisms for the inhibition of HCV replication by RBV have been proposed, the molecular mechanisms involved in the generation of RBV-resistant HCV remain poorly understood.

This study found that long-term treatment of HCV JFH-1-derived replicon cells with RBV leads to selection of preferential mutations in NS3 (T1134S), NS4B (P1969S), NS5A (V2405A) and NS5B (Y2471H) genes. Each mutation only required a single nucleotide change, and P1969S, V2405A and Y2471H are transition mutations, which are known to be commonly caused by incorporated RBV. Site-directed mutagenesis of these mutations into the replicon demonstrated that Y2471H plays a role in reduced susceptibility to RBV.

Crystal structure information revealed that HCV RdRp is organized into an arrangement with palm, fingers, and thumb subdomains (Lesburg et al., 1999). Residue 2471 (the 33rd position of NS5B) is present in the N-terminal loop region that bridges the fingers. Although this site is apparently distant from the active site of the polymerase in the palm region, it has been reported

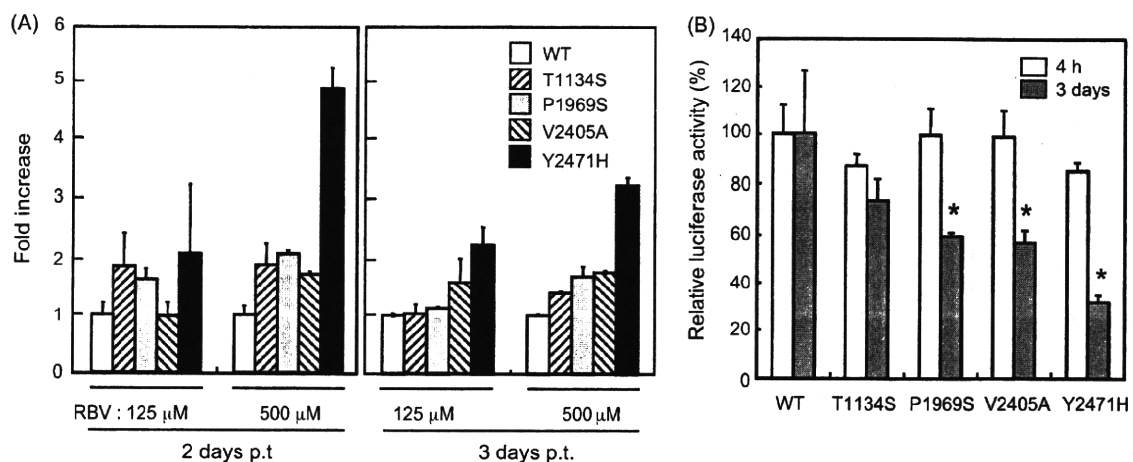


Fig. 3. Impact of major mutations in NS3–NS5B regions on RBV susceptibility (A) and replication capacity (B). Mutated replicons carrying single residue substitutions (T1134S, P1969S, V2405A, and Y2471H) were constructed and used for transient replication assay. Cells were transfected with either wild-type (WT) or with mutant replicon RNA in the absence or presence (125, 500 μ M) of RBV. Luciferase activity was assessed at 4 h, 2 days and 3 days post-transfection (p.t.). (A) Luciferase activities of WT were set at 1, and the fold increases in the activities of mutants were plotted. (B) Luciferase activities in the absence of RBV at 4 h and 3 days post-transfection were shown. The activities of mutants were normalized as percentages of the WT activities. Data from triplicate samples were averaged and indicated with standard deviations. * $p < 0.05$ against WT.

that small molecules, such as benzimidazole compounds, are able to specifically bind the fingers-thumb interface and inhibit polymerase activity (Herlihy et al., 2008), thus suggesting that amino acid substitutions in the loop region may affect RNA polymerization. The involvement of tyrosine residue at position 415 of HCV NS5B in RBV resistance has been previously described for patients with genotype 1a infection and for the genotype 1b replicon (Young et al., 2003). Although the mechanism for resistance remains elusive, it has been hypothesized that RBV interacts with RdRp around this residue, which is located in the thumb subdomain, thus affecting RNA polymerization (Young et al., 2003).

Based on analysis of available sequences from Genbank, tyrosine at the 33rd residue of NS5B is conserved in all isolates of genotype 2a, but not in other genotypes. In genotype 1a and 1b isolates, 96% contain histidine and only a small population contains tyrosine or asparagine at the site. All the isolates of genotypes 3, 4, 5 and 6 contain histidine, whereas phenylalanine is conserved for genotype 2b. It should be noted that V2405 and P1969 are also completely conserved for genotype 2a but not for other genotypes. Therefore, it is likely that the identified HCV variants with reduced susceptibility to RBV are genotype-specific. It will be of interest to determine whether HCV genotype 2a is intrinsically more sensitive to RBV when compared with other genotypes.

At present, at least 4 mechanisms of action of RBV are proposed (Lau et al., 2002). They include (1) direct inhibition of the HCV replication machinery, (2) as an RNA mutagen that drives a rapidly mutating RNA virus over the threshold to “error catastrophe”, (3) inhibition of the host enzyme inosine monophosphate dehydrogenase (IMPDH), and (4) enhancement of host T-cell-mediated immunity against viral infection. In addition to the direct inhibition, it is also possible that other mechanisms such as error-prone and IMPDH-inhibition are involved in HCV escape from RBV treatment. Further investigation of the interaction of HCV variants with the viral and cellular factors involved in viral resistance may improve understanding of the mechanism(s) of RBV resistance.

In conclusion, RBV encountered resistance from the HCV genotype 2a replicon largely mediated by mutations in the N-terminal region of NS5B. Although whether these mutagenic effects are also demonstrable in IFN-RBV combination therapy will require further studies, the mutations identified in this study represent the first drug-resistant variants belonging to HCV genotype 2a. The drug resistance patterns found in this study may be of benefit in prediction *in vivo* resistance profiles and the development of next-generation nucleoside analogues as anti-HCV drugs.

Acknowledgments

We thank M. Matsuda, S. Yoshizaki, M. Ikeda, T. Shimoji, M. Kaga and M. Sasaki for their technical assistance. This work was supported by a grant-in-aid for Scientific Research from the Japan Society for the Promotion of Science, from the Ministry of Health, Labour and Welfare of Japan and from the Ministry of Education, Culture, Sports, Science and Technology, and by Research on Health Sciences focusing on Drug Innovation from the Japan Health Sciences Foundation, Japan and by the Program for Promotion of Fundamental Studies in Health Sciences of the National Institute of

Biomedical Innovation of Japan. S.S.H. is the recipient of a Research Resident Fellowship from Viral Hepatitis Research Foundation of Japan.

References

- Aizaki, H., Nagamori, S., Matsuda, M., Kawakami, H., Hashimoto, O., Ishiko, H., Kawada, M., Matsuura, T., Hasumura, S., Matsuura, Y., Suzuki, T., Miyamura, T., 2003. Production and release of infectious hepatitis C virus for human liver cell cultures in the three-dimensional radial-flow bioreactor. *Virology* 314, 16–25.
- aus dem Siepen, M., Oniangue-Ndza, C., Wiese, M., Ross, S., Roggendorf, M., Viazov, S., 2007. Interferon-alpha and ribavirin resistance of Huh7 cells transfected with HCV subgenomic replicon. *Virus Res.* 125, 109–113.
- Date, T., Kato, T., Miyamoto, M., Zhao, Z., Yasui, K., Mizokami, M., Wakita, T., 2004. Genotype 2a hepatitis C virus subgenomic replicon can replicate in HepG2 and IMY-N9 cells. *J. Biol. Chem.* 279, 22371–22376.
- Domingo, E., 1996. Biological significance of viral quasispecies. *Viral Hep. Rev.* 2, 247–261.
- Farci, P., Purcell, R.H., 2000. Clinical significance of hepatitis C virus genotypes and quasispecies. *Semin. Liver Dis.* 20, 103–126.
- Forns, X., Purcell, R.H., Bukh, J., 1999. Quasispecies in viral persistence and pathogenesis of hepatitis C virus. *Trends Microbiol.* 7, 402–410.
- Fried, T.R., Bradley, E.H., Towle, V.R., Allore, H., 2002. Understanding the treatment preferences of seriously ill patients. *N. Engl. J. Med.* 346, 1061–1066.
- Herlihy, K.J., Graham, J.P., Kumpf, R., Patick, A.K., Duggal, R., Shi, S.T., 2008. Development of intragenotypic chimeric replicons to determine the broad-spectrum antiviral activities of hepatitis C virus polymerase inhibitors. *Antimicrob. Agents Chemother.* 52, 3523–3531.
- Kato, T., Date, T., Miyamoto, M., Furusaka, A., Tokushige, K., Mizokami, M., Wakita, T., 2003. Efficient replication of the genotype 2a hepatitis C virus subgenomic replicon. *Gastroenterology* 125, 1808–1817.
- Kato, T., Date, T., Miyamoto, M., Sugiyama, M., Tanaka, Y., Orito, E., Ohno, T., Sugihara, K., Hasegawa, I., Fujiwara, K., Ito, K., Ozasa, A., Mizokami, M., Wakita, T., 2005. Detection of anti-hepatitis C virus effects of interferon and ribavirin by a sensitive replicon system. *J. Clin. Microbiol.* 43, 5679–5684.
- Lau, J.Y., Tam, R.C., Liang, T.J., Hong, Z., 2002. Mechanism of action of ribavirin in the combination treatment of chronic HCV infection. *Hepatology* 35, 1002–1009.
- Lesburg, C.A., Cable, M.B., Ferrari, E., Hong, Z., Mannarino, A.F., Weber, P.C., 1999. Crystal structure of the RNA-dependent RNA polymerase from hepatitis C virus reveals a fully encircled active site. *Nat. Struct. Biol.* 6, 937–943.
- Manns, M.P., McHutchison, J.G., Gordon, S.C., Rustgi, V.K., Shiffman, M., Reindollar, R., Goodman, Z.D., Koury, K., Ling, M., Albrecht, J.K., 2001. Peginterferon alfa-2b plus ribavirin compared with interferon alfa-2b plus ribavirin for initial treatment of chronic hepatitis C: a randomised trial. *Lancet* 358, 958–965.
- Martell, M., Esteban, J.I., Quer, J., Genesca, J., Weiner, A., Esteban, R., Guardia, J., Gomez, J., 1992. Hepatitis C virus (HCV) circulates as a population of different but closely related genomes: quasispecies nature of HCV genome distribution. *J. Virol.* 66, 3225–3229.
- Miyamoto, M., Kato, T., Date, T., Mizokami, M., Wakita, T., 2006. Comparison between subgenomic replicons of hepatitis C virus genotypes 2a (JFH-1) and 1b (con1 NK5.1). *Intervirology* 49, 37–43.
- Pfeiffer, J.K., Kirkegaard, K., 2005. RBV resistance in hepatitis C virus replication containing cells conferred by changes in the cell line or mutations in the replicon RNA. *J. Virol.* 79, 2346–2355.
- Simmonds, P., Gallin, J.I., Farrei, A.S., 2000. Hepatitis C virus genotypes. *Biomed. Res. Rep.* 2, 53–70.
- Takeuchi, T., Katsume, A., Tanaka, T., Abe, A., Inoue, K., Tsukiyama Kohara, K., Kawaguchi, R., Tanaka, S., Kohara, M., 1999. Real-time detection system for quantification of Hepatitis C virus genome. *Gastroenterology* 116, 636–642.
- Tanaka, Y., Sakamoto, N., Enomoto, N., Kurosaki, M., Ueda, E., Maekawa, S., Yamashiro, T., Nakagawa, M., Chen, C.-H., Kanazawa, N., Kakinuma, S., 2004. Synergistic inhibition of intracellular hepatitis C virus replication by combination of ribavirin and interferon-alpha. *J. Infect. Dis.* 189, 1129–1139.
- World Health Organization (WHO), 2000. Hepatitis C: global prevalence (update). *Weekly Epidemiological Record, WHO* 75, 18–19.
- Young, K.C., Lindsay, K.L., Lee, K.J., Liu, W.C., He, J.W., Milstein, S.L., Lai, M.M., 2003. Identification of a ribavirin-resistant NS5B mutation of hepatitis C virus during ribavirin monotherapy. *Hepatology* 38, 869–878.
- Zhou, S., Liu, R., Baroudy, B.M., Malcolm, B.A., Reyes, G.R., 2003. The effect of ribavirin and IMPDH inhibitors on hepatitis C virus subgenomic replicon RNA. *Virology* 310, 333–342.

Original Article

Effect of nucleoside analog-interferon sequential therapy on patients with acute exacerbation of chronic hepatitis B

Chiaki Okuse,¹ Hiroshi Yotsuyanagi,² Norie Yamada,¹ Masaru Okamoto,¹ Hiroki Ikeda,¹ Minoru Kobayashi,¹ Yasunobu Fukuda,¹ Hideaki Takahashi,¹ Yoshihiko Nagase,¹ Yuka Suzuki,¹ Kotaro Matsunaga,¹ Toshiya Ishii,¹ Nobuyuki Matsumoto,¹ Kazuhiko Koike,³ Michihiro Suzuki¹ and Fumio Itoh¹

¹Division of Gastroenterology and Hepatology, Department of Internal Medicine, St Marianna University School of Medicine, Kawasaki, ²Department of Infections Diseases, Internal Medicine, and ³Department of Gastroenterology, University of Tokyo, Tokyo, Japan

Aim: Nucleoside analog (NA)-interferon (IFN) sequential therapy may enable the long-term control of chronic hepatitis B (CHB) and the withdrawal of the nucleoside analog. We evaluated the efficacy of NA-IFN sequential therapy for acute exacerbation of CHB.

Methods: A total of 12 patients with acute exacerbation of CHB, nine of whom were positive for hepatitis B e antigen (HBeAg), were enrolled in this study. All the patients were treated with lamivudine 100 mg/day alone for 20 weeks, then with both IFN- α 6 megaunits three times per week and lamivudine for 4 weeks, and lastly, with IFN- α alone for 20 weeks. Patients whose serum alanine aminotransferase (ALT) level was normalized, whose serum hepatitis B virus (HBV) DNA level decreased to less than 5 log copies/mL, and HBeAg level was absent 24 weeks after the end of treatment were defined as having sustained virological response (SVR). The other patients were defined as having no response (NR).

Results: Four out of nine (44.4%) HBeAg-positive and all three HBeAg-negative patients achieved SVR. The levels of serum alanine aminotransferase (ALT), HBV DNA and HBV core-related antigen were similar between SVR and NR patients at baseline. Three of four patients (75.0%) whose serum HBeAg became negative at the end of treatment achieved SVR, while one of five (20.0%) whose serum HBeAg remained positive achieved SVR.

Conclusion: NA-IFN sequential therapy for patients with acute exacerbation of CHB enables the withdrawal of treatment and is particularly effective for patients whose serum HBeAg has become undetectable by the end of the IFN treatment.

Key words: chronic hepatitis B, lamivudine, interferon, sequential therapy.

INTRODUCTION

CHRONIC INFECTION WITH hepatitis B virus (HBV) is a major global health problem, affecting more than 400 million people worldwide.¹ Approximately 15–40% of infected patients develop cirrhosis, liver failure or hepatocellular carcinoma (HCC).² An appropriate antiviral treatment to prevent advanced liver disease and reduce the number of HBV-related deaths is thus crucial.^{3,4}

Among the few currently approved agents for the treatment of chronic HBV infection, the most commonly used are interferon (IFN)- α and nucleoside analogs (NA), such as lamivudine, adefovir dipivoxil and entecavir.^{5,6}

Interferon- α exerts an antiviral effect by degrading viral mRNA and proteins.⁷ Additionally, IFN- α upregulates the immunological response to HBV by enhancing human leukocyte antigen class I expression on hepatocytes.⁸ Long-term remission of hepatitis after treatment completion may be expected because the immunological effect of IFN- α continues even after discontinuing the treatment. However, infrequent sustained virological response,⁹ several adverse effects¹⁰ and high cost are the problems associated with IFN- α treatment.

Nucleoside analogs cause a rapid and strong antiviral effect.^{11–13} Their adverse effects are generally mild.¹⁴

Correspondence: Dr Chiaki Okuse, Department of Internal Medicine, Division of Gastroenterology and Hepatology, St Marianna University School of Medicine, 2-16-1 Sugao, Miyamae-ku, Kawasaki 216-8511, Japan. Email: c2okuse@marianna-u.ac.jp
Received 2 September 2009; revision 24 November 2009; accepted 28 November 2009.

However, treatment withdrawal is difficult because post-treatment flare with viral proliferation often occurs following the withdrawal.¹⁵ The other problem associated with NA is drug resistance. Prolonged treatment generates a drug-resistant HBV mutant, which often causes breakthrough hepatitis.^{16–18} Thus, treatment options that enable long-term remission are necessary.

Nucleotide analog-interferon combination therapy for chronic hepatitis B (CHB) may be more effective than IFN monotherapy because synergistic or additional antiviral effects may be expected. To date, various studies on the combination of IFN and lamivudine have been reported.^{19–32}

Sequential therapy switching from lamivudine to IFN may also be effective for the treatment of acute exacerbation of CHB. NA withdrawal is difficult in this situation. IFN treatment overlapping with NA treatment may enable NA withdrawal without generating drug-resistant HBV.^{24,25} This treatment is expected to be highly effective because the remission of hepatitis with hepatitis B e (HBe) seroconversion sometimes occurs following the exacerbation of HBV,^{33,34} but this needs to be confirmed. We conducted this study to elucidate the efficacy of lamivudine-IFN sequential therapy for those who experienced acute exacerbation of CHB.

METHODS

Patients

A TOTAL OF 12 patients over 20 years old with acute exacerbation of CHB between August 2003 and August 2007, who started a daily dose of 100 mg of lamivudine at St Marianna University School of Medicine, were enrolled in this retrospective cohort study. The diagnosis of acute exacerbation of CHB was made as follows: (i) patients were already diagnosed as having CHB; (ii) patients were positive for hepatitis B surface antigen (HBsAg) and HBV DNA; (iii) other causes of liver damage were excluded; and (iv) serum alanine aminotransferase (ALT) levels in all the patients increased above 300 IU/L within 4 weeks before treatment. As for the serum ALT levels, acute exacerbation of CHB is usually defined as "elevation of ALT over 10 times as upper normal limit".³⁵ In Japan, 30 IU/L is now regarded as upper normal in many institutions. Therefore, we defined an ALT level above 300 IU/L as exacerbated. The exclusion criteria were as follows: (i) presence of serum antibodies against hepatitis C virus or HIV; (ii) development of liver cirrhosis and/or HCC; (iii) coexistence of other acquired or inherited liver dis-

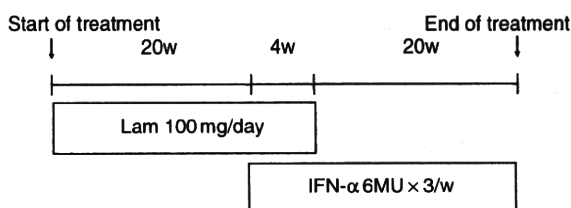


Figure 1 Treatment protocol. All the patients were treated with a daily dose of 100 mg of lamivudine for an initial 20 weeks. Subsequently, 6 megaunits of IFN- α were administered thrice weekly in combination with lamivudine for 4 weeks, followed by IFN- α monotherapy for an additional 20 weeks. IFN, interferon; Lam, lamivudine; MU, megaunits.

eases; (iv) coexisting of serious medical or psychiatric illnesses; (v) history of antiviral or immunosuppressive therapy within the preceding 6 months; and (vi) pregnancy.

Written informed consent was obtained from all the patients. Our study was approved by the institutional ethics review board of St Marianna University School of Medicine Hospital (no. 1163).

Protocol

All the patients were treated with a daily dose of 100 mg of lamivudine for an initial 20 weeks. Subsequently, 6 megaunits of natural IFN- α (Sumiferon; Dainippon Sumitomo Pharma, Osaka, Japan) were administered thrice weekly in combination with lamivudine for 4 weeks, followed by IFN- α monotherapy for an additional 20 weeks (Fig. 1). As for the definition of therapeutic effect, antiviral treatment is recommended for the patients whose serum HBV DNA level exceeds 5 log copies/mL because HBV DNA over this level usually accompanies ALT elevation, especially in hepatitis B e-antigen (HBeAg)-positive patients. In addition, one clinical study from Japan adopted this level as a definition of sustained virological response (SVR).³⁶ Therefore, patients whose serum ALT level was normalized, whose serum HBV DNA level decreased to less than 5 log copies/mL, and whose HBeAg was seroconverted to anti-HBe (in HBeAg-positive cases) 24 weeks after the end of treatment were defined as having SVR. The other patients were defined as having no response (NR).

HBV-related markers

Serum blood samples were frozen at -80°C until use. The HBsAg level was determined using a commercially available chemiluminescence enzyme immunoassay kit (LUMIPULSE II HBsAg; Fujirebio, Tokyo, Japan). The

levels of immunoglobulin (Ig)M anti-hepatitis B core (HBc), HBeAg, and anti-HBe (HBe antibody; HBeAb) were determined using other commercial chemiluminescence immunoassay kits (LUMIPULSE II IgM-HBcAb, LUMIPULSE II HBeAg, LUMIPULSE II HBeAb; Fujirebio). The serum HBV DNA levels were determined using a commercial transcription-mediated amplification kit or commercial polymerase chain reaction kits (DNA probe FR-HBV from Fujirebio; Amplicor HBV monitor or TaqMan PCR from Roche Diagnostics, Tokyo, Japan). The lowest detection limits of those assays were 3.7, 2.6 and 1.8 log copies/mL, respectively. The HBV genotype was determined using a commercial enzyme-linked immunosorbent assay kit (SMITEST HBV genotype detection kit; Genome Science Laboratories, Fukushima, Japan). The serum HBV core-related antigen (HBcrAg) levels were determined using a commercial chemiluminescence enzyme immunoassay (LUMIPULSE HBcrAg; Fujirebio).^{37,38} The lowest detection limit of this assay was 3.0 log U/mL. As for the detection of the HBV lamivudine-resistant gene, gene mutation in the YMDD motif was analyzed using a commercial polymerase chain reaction enzyme-linked minisequence assay kit (SMITEST HBV YMDD motif mutation detection kit; Medical & Biological Laboratories, Nagoya, Japan).³⁹ HBV-related markers (HBeAg, anti-HBe, HBcrAg and HBV DNA) and biochemical tests were examined just before treatment, every 4 weeks during the treatment, and every 4 weeks thereafter for 24 weeks after the end of the treatment. The normal serum ALT level was defined as less than 30 IU/L.

Histological evaluation

Nine of the 12 patients underwent liver biopsies within 1 month before the start of the treatment. Two experienced liver pathologists who had no clinical information except for knowledge of the HBV infection histopathologically evaluated the specimens. The histological appearance of the liver specimens was evaluated using the METAVIR histological score.⁴⁰

Statistical analyses

Quantitative variables were expressed as mean \pm standard deviation. The collected data were analyzed using SPSS ver. 15.0J. The distribution of continuous variables was analyzed using Mann-Whitney *U*-test. Differences in categorical data were determined using Fisher's exact test. A two-tailed *P*-value of less than 0.05 was considered to indicate statistical significance.

RESULTS

Baseline characteristics of patients treated with lamivudine and IFN- α

THE BASELINE CHARACTERISTICS of the enrolled patients are shown in Table 1. The mean age of the patients was 32.0 ± 7.8 years, and the patients were 10 men and two women. Of the 12 patients, nine were positive for HBeAg. All the patients were infected with HBV genotype C. The mean platelet count and prothrombin time (activity) were $19.3 \pm 4.0 \times 10^4/\mu\text{L}$ and $81.0 \pm 13.3\%$, respectively. The mean serum ALT, total bilirubin and albumin levels were 850.8 ± 664.3 IU/L, 1.2 ± 0.5 mg/dL and 3.8 ± 0.3 g/dL, respectively. The mean levels of serum HBV DNA and HBcrAg were 7.4 ± 1.2 log copies/mL and 6.7 ± 0.4 log U/mL, respectively. Histopathologically, seven patients were diagnosed as having activity stage 2 inflammation and two patients were diagnosed as having activity stage 3 inflammation. As for fibrosis, three patients were diagnosed as having stage 2 fibrosis and six patients were diagnosed as having stage 3 fibrosis.

Response to antiviral treatment

Seven of the 12 (58.3%) patients achieved SVR. The SVR rates among the HBeAg-positive and -negative groups

Table 1 Baseline characteristics of the patients treated with lamivudine-interferon sequential therapy

	All cases
Number of patients	12
Age	32.0 ± 7.8
Sex (male/female)	10/2
Platelet ($\times 10^4/\mu\text{L}$)	19.3 ± 4.0
Prothrombin time (%)	81.0 ± 13.3
Albumin (g/dL)	3.8 ± 0.3
Total bilirubin (mg/dL)	1.2 ± 0.5
ALT (IU/L)	850.8 ± 664.3
HBV DNA (log copies/mL)	7.4 ± 1.2
HBcrAg (log U/mL)	6.7 ± 0.4
HBeAg positive/negative	9/3
Activity stage 0/1/2/3 ($n = 9$)	0/0/7/2
Fibrosis stage 0/1/2/3/4 ($n = 9$)	0/0/3/6/0

Data are shown as mean \pm standard deviation.

Fibrosis and activity stage are evaluated on a scale from 0–4 and 0–3.

ALT, alanine aminotransferase; HBcrAg, hepatitis B virus core-related antigen; HBeAg, hepatitis B virus e antigen; HBV DNA, hepatitis B virus DNA.

Table 2 Comparison of the baseline clinical characteristics between SVR and NR

	SVR	NR	P-value
Number of patients	7	5	0.6843
Age	29.7 ± 7.0	35.0 ± 9.2	0.2821
Sex (male/female)	5/2	0/5	0.4697
Platelet (×10 ⁴ /μL)	20.1 ± 2.3	18.4 ± 5.7	0.1775
Prothrombin time (%)	75.6 ± 14.1	87.6 ± 9.8	0.1775
Albumin (g/dL)	3.7 ± 0.2	4.0 ± 0.3	0.0519
Total bilirubin (mg/dL)	1.4 ± 0.5	0.9 ± 0.4	0.1190
ALT (IU/L)	931.6 ± 724.9	737.8 ± 570.8	0.8075
HBV DNA (log copies/mL)	7.4 ± 1.4	7.3 ± 1.1	1.0000
HBcrAg (log U/mL)	6.7 ± 0.5	6.8 ± 0.4	0.6905
HBeAg positive/negative	4/3	5/0	0.2045
Activity stage 0/1/2/3 (n = 9)	0/0/3/2	0/0/4/0	0.4444
Fibrosis stage 0/1/2/3/4 (n = 9)	0/0/2/3/0	0/0/1/3/0	1.0000

Data are shown as mean ± standard deviation.

ALT, alanine aminotransferase; HBcrAg, hepatitis B virus core-related antigen; HBeAg, hepatitis B virus e antigen; HBV DNA, hepatitis B virus DNA; NR, no response; SVR, sustained virological response.

were 44.4% (4/9) and 100% (3/3), respectively. On the other hand, all the NR patients were positive for HBeAg.

Comparison of baseline clinical features between SVR and NR patients

As shown in Table 2, there was no difference in the age, platelet count, prothrombin time, ALT level, albumin level and bilirubin level between SVR and NR patients.

As for the serum HBV DNA and HBcrAg levels, there was little difference between SVR and NR patients (HBV DNA 7.4 ± 1.4 vs 7.3 ± 1.1 log copies/mL; HBcrAg 6.7 ± 0.5 vs 6.8 ± 0.4 log U/mL). HBeAg was detected more often in NR than in SVR patients (100% [5/5] vs 57.1% [4/7]).

Histopathological examination showed that the patients with activity grade 3 are more likely to achieve SVR than those with grade 2 (100% [2/2] in grade 3 and 43.0% [3/7] in grade 2). With regard to the fibrosis stage, no significant difference in SVR was observed between patients with stage 2 and stage 3 (66.7% [2/3] for stage 2 and 50.0% [3/6] for stage 3) (Table 2).

Comparison of SVR rate according to age group

In the Japanese national guidelines, IFN is not recommended as a first line of treatment for patients over 35 years old because it is ineffective. On the basis of the guideline, we evaluated SVR rates according to age group. The SVR rate for all the patients aged under 35 years tended to be higher (66.7% [6/9] of patients

achieved SVR) than that for the patients aged 35 years or over (33.3% [1/3]), although the difference was not statistically significant.

Time-dependent change in serum ALT, HBV DNA and HBcrAg levels

The serum ALT levels in all the patients normalized shortly after the treatment and remained within the normal range during the treatment (Fig. 2a). The serum HBV DNA levels decreased below the detection limit shortly after the treatment in most patients. The fluctuation of HBV DNA levels was observed in one SVR and two NR patients (Fig. 2b). As for the serum HBcrAg levels, a continuous decrease during and after the treatment was observed in SVR patients. In contrast, the HBcrAg levels in NR patients did not show a continuous decrease during or after the treatment (Fig. 2c).

HBV-related markers before, during and after treatment

In the HBeAg-positive patients, HBeAg was seroconverted to anti-HBe by the end of the treatment in 75.0% (3/4) of SVR and 40.0% (2/5) of NR patients. One SVR patient achieved HBe seroconversion 24 weeks after treatment. Therefore, HBe seroconversion was observed in all the patients who achieved SVR. On the other hand, one of two NR patients, who once achieved HBe seroconversion during treatment, became HBeAg-positive during IFN-α monotherapy and the other patient became HBeAg-positive after the end of the treatment.

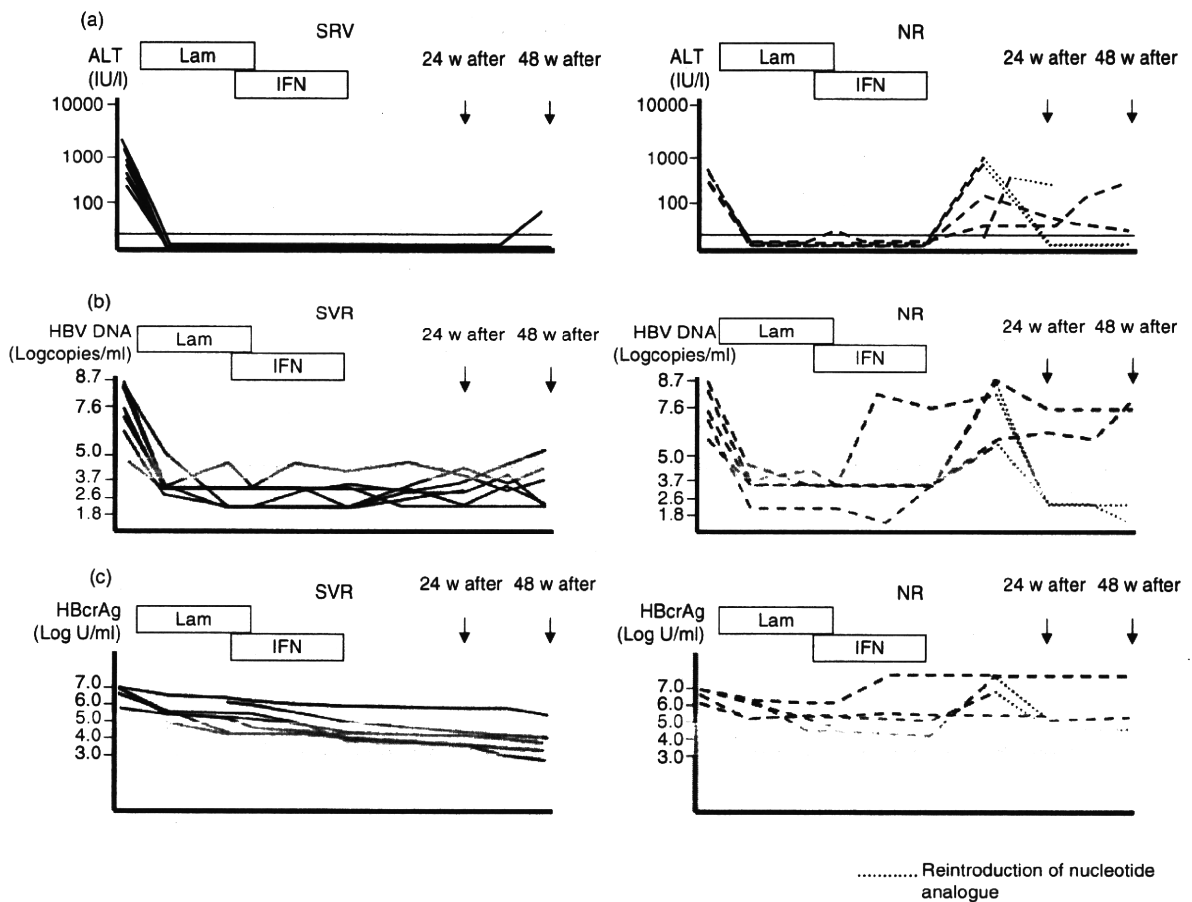


Figure 2 Temporal change in the level of serum ALT and viral markers. The serum ALT levels in all the patients normalized quickly after the start of treatment and remained within the normal range during treatment (a). The serum HBV DNA levels decreased below the detection limit shortly after treatment in most patients. Fluctuation of HBV DNA levels was observed in one SVR and two NR patients (b). The HBcrAg levels decreased continuously during and after treatment in SVR patients. The HBcrAg levels did not decrease continuously in NR patients (c). ALT, alanine aminotransferase; HBV DNA, hepatitis B virus DNA; HBcrAg, HBV core-related antigen; IFN, interferon; Lam, lamivudine; NR, no response; SVR, sustained virological response.

However, all the patients who showed NR remained HBeAg-positive 24 weeks after treatment.

The SVR rate for patients who achieved HBe seroconversion at the end of the treatment was 75.0% (3/4) whereas that for the patients who remained HBeAg-positive was 20.0% (1/5). Hence, HBe seroconversion at the end of the treatment may be predictive of the treatment response. In contrast, the HBV DNA and HBcrAg levels before or at the end of the treatment were not predictive of the outcome (Table 3).

Amino acid sequences of the YMDD motif were determined over time in nine patients. One of the nine

patients showed substitution to YIDD during the lamivudine administration period. The substitution was corrected after starting the IFN treatment. The patient showed NR.

Reactivation of CHB was observed in one SVR patient during the observation period of 36 weeks after the end of the treatment, and lamivudine administration was restarted. All the NR patients required retreatment with lamivudine or entecavir for the control of hepatitis. No patient experienced serious side-effects including post-treatment flare after discontinuing the interferon administration.

Table 3 Levels of HBV-related markers before, during and after treatment

Case	HBV DNA (log copies/mL)				HBeAg				HBsAg (log U/mL)				Outcome
	Before	End of Lam	End of IFN	24 w after Tx.	Before	End of Lam	End of IFN	24 w after Tx.	Before	End of Lam	End of IFN	24 w after Tx.	
1	4.8	<3.7	<3.7	<2.6	(+)	(-)	(-)	(-)	5.8	5.1	4.5	4.2	SVR
2	7.3	<2.6	<2.6	2.7	(+)	(-)	(-)	(-)	>7.0	4.3	4.0	ND	SVR
3	8.5	<3.7	4.1	3.8	(+)	(+)	(+)	(-)	>7.0	6.2	5.9	5.7	SVR
4	8.6	<2.6	<2.6	4.2	(+)	ND	(-)	(-)	ND	5.9	5.0	4.2	SVR
5	7.6	<3.7	<3.7	2.6	(-)	(-)	(-)	(-)	6.9	5.4	4.1	3.6	SVR
6	8.5	<2.6	<2.6	2.9	(-)	(-)	(-)	(-)	ND	4.1	3.9	3.6	SVR
7	6.3	<2.6	3.4	3.4	(-)	(-)	(-)	(-)	6.6	4.6	4.6	4.5	SVR
8	8.7	<3.7	<3.7	7.6>	(+)	(-)	(-)	(+)	>7.0	4.4	4.2	>7.0	NR
9	5.7	<3.7	<3.7	5.3	(+)	(-)	(+)	(+)	6.2	5.1	5.0	6.7	NR
10	7.6	<3.7	7.6	7.7	(+)	(+)	(+)	(+)	>7.0	6.3	>7.0	>7.0	NR
11	7.5	<3.7	<3.7	6.1	(+)	(+)	(+)	(+)	6.6	5.2	5.2	5.1	NR
12	6.8	<2.6	3.5	7.6>	(+)	(+)	(+)	(+)	>7.0	4.7	ND	ND	NR

HBsAg, hepatitis B virus core-related antigen; HBeAg, hepatitis B virus e antigen; HBV DNA, hepatitis B virus DNA; IIN, interferon; Lam, lamivudine; ND, not determined; NR, no response; SVR, sustained virological response; Tx, treatment; w, weeks.

DISCUSSION

ACUTE EXACERBATION OF CHB may cause liver failure, which results in a high mortality rate.⁴¹ IFN monotherapy is contraindicated in this situation because interferon may upregulate the immune response and cause severe liver injury.⁸⁻¹⁰ Hence, the immediate treatment with NA for such patients has become standard.⁴² Clinical trials of lamivudine have shown that the acute exacerbation of CHB may result in an increased sustained remission rate.^{43,44} Therefore, if the safe withdrawal of NA is possible, acute exacerbation may be a good opportunity for sustained remission. However, post-treatment flare, which may result in liver failure, renders lamivudine withdrawal difficult.⁴⁵ Consequently, once treatment is initiated, lifelong HBV suppression is necessary in most patients.

Interferon has both antiviral and immunomodulatory functions.^{7,8} Additionally, IFN sometimes elevates liver enzymes by depressing hepatic microsomal cytochrome P-450 levels.⁴⁶ However, such effects rarely lead to liver failure in humans.¹⁰ Therefore, lamivudine administration and subsequent IFN treatment may be a safe method of lamivudine withdrawal. Additionally, such treatment may result in a high rate of sustained remission of hepatitis. Indeed, Serfaty *et al.* conducted a lamivudine administration and subsequent IFN treatment and achieved a high rate of sustained response.²²

Yotsuyanagi *et al.* reported the evaluation of short-term lamivudine administration and its withdrawal for genotype C CHB. Decreased serum ALT and HBV DNA level was observed in four of the 12 patients (33.3%) and three of the 12 patients achieved HBe seroconversion 24 weeks after treatment in HBe-positive patients. As for HBe-negative patients, decreased serum ALT and HBV DNA level was observed in five of six patients (83.3%) 24 weeks after treatment.⁴⁵ The sustained response in this study was 58.3% (44.4% in HBe-positive and 100% in HBe-negative patients), which is comparable to that shown in Serfaty's study. Furthermore, all our patients were also infected with genotype C HBV, which is resistant to antiviral treatment.^{47,48}

Although the number of enrolled patients is small, we may conclude that lamivudine administration and subsequent IFN treatment is an effective treatment for CHB.

Lamivudine-interferon combination therapeutic trials have been conducted to date.¹⁹⁻³² Some large trials, however, did not show the superiority of combination therapy.^{19,26} One of the reasons is that, in many such trials, lamivudine and IFN are administered simultaneously. Lamivudine monotherapy can upregulate

cytotoxic T-cell response.⁴⁹ IFN treatment following viral suppression by lamivudine might induce a stronger immune response than a simultaneous treatment.

Another advantage of sequential therapy over monotherapy is that NA can be safely withdrawn. The long-term safety of NA has not been demonstrated yet. Furthermore, NA can generate drug-resistant mutants.^{16–18} Hence, the withdrawal of NA is preferred if possible. Our study demonstrates that the safe withdrawal of lamivudine without generating drug-resistant mutants is possible. However, in one patient, a drug-resistant mutant was found at the end of the lamivudine treatment. NA administration during exacerbation might induce the early emergence of drug-resistant mutants. The change from lamivudine to entecavir, which rarely generates drug-resistant mutants in a short time, may prevent the emergence of drug-resistant mutants, but this should be prospectively studied.

Interestingly, all three anti-HBe-positive patients in this study achieved SVR. Previous studies have shown that anti-HBe-positive patients respond poorly to IFN treatment.^{50,51} In contrast, our results suggest that a potent antiviral treatment using lamivudine-IFN combination over a long period may result in high SVR rate even in anti-HBe-positive patients. The antiviral effect caused by the sequential therapy may be sufficiently strong to maintain long-term remission. A larger cohort study should be performed to determine whether sequential therapy is really effective for anti-HBe-positive patients.

Comparison between SVR and NR patients showed that the serum albumin levels were lower in SVR patients than in NR patients. Although the difference was not significant, the prothrombin time was longer and the ALT level was higher in SVR patients. These results showed that SVR patients suffered more severe exacerbation than NR patients. Several studies have shown that a high ALT level is predictive of a favorable response to IFN treatment,^{21,52,53} which is compatible with our results.

Age is another important determinant of the response to IFN for Japanese patients, as demonstrated in the Japanese national guideline. Therefore, we studied the effect of age on the response to sequential therapy. Younger patients tended to be more responsive to IFN than older patients. If a larger number of patients is studied, the effect of age on the efficacy of sequential treatment may be clarified.

Table 3 shows the time-dependent change in the level of viral markers. In HBeAg-positive patients, HBeAg at the end of the IFN treatment was predictive of the

outcome in seven of nine patients; three of four HBeAg-negative patients achieved SVR and four of five HBeAg-positive patients showed NR. HBV DNA and HBcrAg levels were less predictive of the treatment outcome than HBeAg. A marked reduction or clearance of HBeAg during treatment is also important for SVR in the treatment using pegylated IFN.^{28,54} HBeAg might affect the efficacy of long-term, potent IFN-based treatment.

We evaluated the temporal change in the HBcrAg level during IFN treatment for the first time. Although the number of enrolled patients was small, a continuous decrease in the HBcrAg level to less than 5 log U/mL may be predictive of the long-term response to IFN treatment, but this should be further studied.

In conclusion, lamivudine-IFN sequential therapy is effective for the treatment of CHB. This treatment may induce long-term remission of both HBeAg-positive and -negative patients and enable safe withdrawal of NA.

ACKNOWLEDGMENTS

THIS PAPER IS dedicated to Dr Shiro Iino, who was a former director of Seizankai Kiyokawa Hospital and the gastroenterology/hepatology department of St. Marianna University School of Medicine. We are grateful for the assistance of Ms Shinzawa and Ms Shima.

REFERENCES

- Lai CL, Ratzliff V, Yuen MF, Poynard T. Viral hepatitis B. *Lancet* 2003; 362: 2089–94.
- Lok AS. Chronic hepatitis B. *N Engl J Med* 2002; 346: 1682–3.
- Lau DT-Y, Everhart J, Kleiner DE *et al.* Long-term follow-up of patients with chronic hepatitis B treated with interferon alfa. *Gastroenterology* 1997; 113: 1660–7.
- Lin SM, Sheen IS, Chien RN, Chu CM, Liaw YF. Long-term beneficial effect of interferon therapy in patients with chronic hepatitis B virus infection. *Hepatology* 1999; 29: 971–5.
- Perrillo R. Benefits and risks of interferon therapy for hepatitis B. *Hepatology* 2009; 49 (Suppl 5): S1031–1.
- Dienstag JL. Benefits and risks of nucleoside analog therapy for hepatitis B. *Hepatology* 2009; 49 (Suppl 5): S112–21.
- Rang A, Bruns M, Heise T, Will H. Antiviral activity of interferon- α against hepatitis B virus can be studied in non-hepatic cells and independent of Mx. *J Mol Chem* 2002; 277: 7645–7.
- Peters M, Walling DM, Waggoner J, Avigan MI, Sjogren M, Hoofnagle JH. Immune effects of alpha-interferon in chronic liver disease. *J Hepatol* 1986; 3 (Suppl 2): S283–9.

- 9 Wong DK, Cheung AM, O'Rourke K, Naylor CD, Detsky AS, Heathcote J. Effect of alpha-interferon treatment in patients with hepatitis B e antigen-positive chronic hepatitis B: a meta-analysis. *Ann Intern Med* 1993; 119: 312–23.
- 10 Quesada JR, Talpaz M, Rios A, Kurzrock R, Guterman JU. Clinical toxicity of interferons in cancer patients: a review. *J Clin Oncol* 1986; 4: 234–43.
- 11 Dienstag JL, Schiff ER, Wright TL *et al.* Lamivudine as initial treatment for chronic hepatitis B in the United States. *N Engl J Med* 1999; 341: 1256–63.
- 12 Marcellin P, Chang TT, Lim SG *et al.* Adefovir dipivoxil for the treatment of hepatitis B e antigen-positive chronic hepatitis B. *N Engl J Med* 2003; 348: 808–16.
- 13 Chang TT, Gish RG, de Man R *et al.* A comparison of entecavir and lamivudine for HBeAg-positive chronic hepatitis B. *N Engl J Med* 2006; 354: 1001–10.
- 14 Fontana RJ. Side effects of long-term oral antiviral therapy for hepatitis B. *Hepatology* 2009; 49 (Suppl 5): S185–95.
- 15 van Nunen AB, Hansen BE, Suh DJ *et al.* Durability of HBeAg seroconversion following antiviral therapy for chronic hepatitis B: relation to type of therapy and pretreatment serum hepatitis B virus DNA and alanine aminotransferase. *Gut* 2003; 52: 420–4.
- 16 Feld J, Lee JY, Locarnini S. New targets and possible new therapeutic approaches in the chemotherapy of chronic hepatitis B. *Hepatology* 2003; 38: 545–53.
- 17 Leung NW, Lai CL, Chang TT *et al.* Extended lamivudine treatment in patients with chronic hepatitis B enhances hepatitis B e antigen seroconversion rates: results after 3 years of therapy. *Hepatology* 2001; 33: 1527–32.
- 18 Shin JW, Park NH, Jung SW *et al.* Clinical usefulness of sequential hepatitis B virus DNA measurement (the roadmap concept) during adefovir treatment in lamivudine-resistant patients. *Antivir Ther* 2009; 14: 181–6.
- 19 Mutimer D, Naoumov N, Honkoop P *et al.* Combination alpha-interferon and lamivudine therapy for alpha-interferon-resistant chronic hepatitis B infection: results of a pilot study. *J Hepatol* 1998; 28: 923–9.
- 20 Schalm SW, Heathcote J, Cianciara J *et al.* Lamivudine and alpha interferon combination treatment of patients with chronic hepatitis B infection: a randomised trial. *Gut* 2000; 46: 562–8.
- 21 Barbaro G, Zechini F, Pellicelli AM *et al.* Long-term efficacy of interferon alpha-2b and lamivudine in combination compared to lamivudine monotherapy in patients with chronic hepatitis B. An Italian multicenter, randomized trial. *J Hepatol* 2001; 35: 406–11.
- 22 Serfaty L, Thabut D, Zoulim F *et al.* Sequential treatment with lamivudine and interferon monotherapies in patients with chronic hepatitis B not responding to interferon alone: results of a pilot study. *Hepatology* 2001; 34: 573–7.
- 23 Shi M, Wang RS, Zhang H *et al.* Sequential treatment with lamivudine and interferon-alpha monotherapies in hepatitis B e antigen-negative Chinese patients and its suppression of lamivudine-resistant mutations. lamivudine-resistant mutations. *J Antimicrob Chemother* 2006; 58: 1031–5.
- 24 Tatulli I, Francavilla R, Rizzo GL *et al.* Lamivudine and alpha-interferon in combination long term for precore mutant chronic hepatitis B. *J Hepatol* 2001; 35: 805–10.
- 25 Santantonio T, Niro GA, Sinisi E *et al.* Lamivudine/interferon combination therapy in anti-HBe positive chronic hepatitis B patients: a controlled pilot study. *J Hepatol* 2002; 36: 799–804.
- 26 Schiff ER, Dienstag JL, Karayalcin S *et al.* Lamivudine and 24 weeks of lamivudine/interferon combination therapy for hepatitis B e antigen-positive chronic hepatitis B in interferon nonresponders. *J Hepatol* 2003; 38: 818–26.
- 27 Niro GA, Fontana R, Gioffreda D *et al.* Sequential treatment with lamivudine and alpha-interferon in anti-HBe-positive chronic hepatitis B patients: a pilot study. *Dig Liver Dis* 2007; 39: 857–63.
- 28 Janssen HL, van Zonneveld M, Senturk H *et al.* Pegylated interferon alfa-2b alone or in combination with lamivudine for HBeAg-positive chronic hepatitis B: a randomised trial. *Lancet* 2005; 365: 123–9.
- 29 Chan HL, Leung NW, Hui AY *et al.* A randomized, controlled trial of combination therapy for chronic hepatitis B: comparing pegylated interferon-alpha2b and lamivudine with lamivudine alone. *Ann Intern Med* 2005; 142: 240–50.
- 30 Marcellin P, Lau GK, Bonino F *et al.* Peginterferon alfa-2a alone, lamivudine alone, and the two in combination in patients with HBeAg-negative chronic hepatitis B. *N Engl J Med* 2004; 351: 1206–17.
- 31 Lau GK, Piratvisuth T, Luo KX *et al.* Peginterferon Alfa-2a, lamivudine, and the combination for HBeAg-positive chronic hepatitis B. *N Engl J Med* 2005; 352: 2682–95.
- 32 Bonino F, Marcellin P, Lau GK *et al.* Predicting response to peginterferon alpha-2a, lamivudine and the two combined for HBeAg-negative chronic hepatitis B. *Gut* 2007; 56: 699–705.
- 33 Liaw YF, Chu CM, Su IJ, Huang MJ, Lin DY, Chang-Chien CS. Clinical and histological events preceding hepatitis B e antigen seroconversion in chronic type B hepatitis. *Gastroenterology* 1983; 84: 216–9.
- 34 Lok AS, Lai CL, Wu PC, Leung EK, Lam TS. Spontaneous hepatitis B e antigen to antibody seroconversion and reversion in Chinese patients with chronic hepatitis B virus infection. *Gastroenterology* 1987; 92: 1839–43.
- 35 Kim HS, Kim HJ, Shin WG *et al.* Predictive factors for early HBeAg seroconversion in acute exacerbation of patients with HBeAg-positive chronic hepatitis B. *Gastroenterology* 2009; 136: 505–12.
- 36 Yuki N, Nagaoka T, Nukui K, Omura M, Hikiji K, Kato M. Adding interferon to lamivudine enhances the early virologic response and reversion of the precore mutation in difficult-to-treat HBV infection. *J Gastroenterol* 2008; 43: 457–63.