hypomethylated IG-DMR directly controls the imprinting pattern of both *PEGs* and *MEGs*. 1 These notions also explain the epigenotypic alteration in the previous cases with epimutations 2 or microdeletions affecting both DMRs (Figure S3). 3 It remains to be clarified how the IG-DMR and the MEG3-DMR interact hierarchically 4 in the body. However, the present data, together with the previous findings in cases with 5 epimutations [2,5–8], imply that MEG3-DMR can remain hypomethylated only in the presence 6 of a hypomethylated IG-DMR and is methylated when the IG-DMR is deleted or methylated 7 irrespective of the parental origin. Furthermore, mouse studies have suggested that the 8 methylation pattern of the postfertilization-derived Gtl2-DMR (the mouse homolog for the 9 MEG3-DMR) is dependent on that of the germline-derive IG-DMR [18]. Thus, a preferential 10 binding of some factor(s) to the unmethylated IG-DMR may cause a conformational alteration 11 of the genomic structure, thereby protecting the methylation of the MEG3-DMR. 12 It also remains to be elucidated how the IG-DMR and the MEG3-DMR regulate the 13 expression of both PEGs and MEGs in the placenta and the body, respectively. For the 14 MEG3-DMR, however, the CTCF binding sites C and D may play a pivotal role in the 15 imprinting regulation. The methylation analysis indicates that the two sites reside within the 16 MEG3-DMR, and it is known that the CTCF protein with versatile functions preferentially 17 binds to unmethylated target sequences including the sites C and D [11,19-21]. In this regard, 18 all the MEGs in this imprinted region can be transcribed together in the same orientation and 19 show a strikingly similar tissue expressions pattern [1,17], whereas PEGs are transcribed in 20 different directions and are co-expressed with MEGs only in limited cell-types [1,22]. It is 21 possible, therefore, that preferential CTCF binding to the grossly unmethylated sites C and D 22 activates all the MEGs as a large transcription unit and represses all the PEGs perhaps by 23 influencing chromatin structure and histone modification independently of the effects of 24 expressed MEGs. In support of this, CTCF protein acts as a transcriptional activator for Gtl2 25 (the mouse homolog for *MEG3*) in the mouse [23]. 26 Such an imprinting control model has not been proposed previously. It is different from 27 the CTCF protein-mediated insulator model indicated for the H19-DMR and from the 28 non-coding RNA-mediated model implicated for several imprinted regions including the

KvDMR1 [24]. However, the KvDMR1 harbors two putative CTCF binding sites that may 1 mediate non-coding RNA independent imprinting regulation [25], and the imprinting control 2 center for Prader-Willi syndrome [26] also carries three CTCF binding sites (examined with a 3 Search for CTCF DNA Binding Sites program, http://www.essex.ac.uk/bs/molonc/spa.html). 4 Thus, while each imprinted region would be regulated by a different mechanism, a CTCF 5 protein may be involved in the imprinting control of multiple regions, in various manners. 6 7 This imprinted region has also been studied in the mouse. Clinical and molecular findings 8 in wildtype mice [1,27,28], mice with PatDi(12) (paternal disomy for chromosome 12 9 harboring this imprinted region) [18,29,30], and mice with targeted deletions for the IG-DMR 10 (\triangle IG-DMR) [12,27] and for the *Gtl2*-DMR (\triangle *Gtl2*-DMR) [31] are summarized in Table 2. These data, together with human data, provide several informative findings. First, in both the 11 12 human and the mouse, the IG-DMR is differentially methylated in both the body and the placenta, whereas the MEG3/Gtl2-DMR is differentially methylated in the body and exhibits 13 non-DMR in the placenta. Second, the IG-DMR and the MEG3/Gtl2-DMR show a hierarchical 14 interaction on the maternally derived chromosome in both the human and the mouse bodies. 15 16 Indeed, the MEG3/Gtl2-DMR is epimutated in patient 1 and mice with maternally inherited 17 Δ IG-DMR, and the IG-DMR is normally methylated in patient 2 and mice with maternally 18 inherited $\Delta Gtl2$ -DMR. Third, the function of the IG-DMR is comparable between human and 19 mouse bodies and different between human and mouse placentas. Indeed, patient 1 has 20 upd(14)pat body and placental phenotypes, whereas mice with the ΔIG-DMR of maternal origin 21 have PatDi(12)-compatible body phenotype and apparently normal placental phenotype. It is 22 likely that imprinting regulation in the mouse placenta is contributed by some mechanism(s) other than the methylation pattern of the IG-DMR, such as chromatin conformation [27,32,33]. 23 24 Unfortunately, however, the data of $\Delta Gtl2$ -DMR mice appears to be drastically complicated by the retained neomycin cassette in the upstream region of Gtl2. Indeed, it has 25 26 been shown that the insertion of a lacZ gene or a neomycin gene in the similar upstream region of Gtl2 causes severely dysregulated expression patterns and abnormal phenotypes after both 27 paternal and maternal transmissions [34,35], and that deletion of the inserted neomycin gene 28

results in apparently normal expression patterns and phenotypes after both paternal and

maternal transmissions [35]. (In this regard, although a possible influence of the inserted 66 bp 2 segment can not be excluded formally in patient 2, phenotype and expression data in patient 2 3 are compatible with simple paternalization of the imprinted region.) In addition, since the apparently normal phenotype in mice homozygous for $\Delta Gtl2$ -DMR is reminiscent of that in 4 sheep homozygous for the callipyge mutation [36], a complicated mechanism(s) such as the 5 polar overdominance may be operating in the $\Delta Gtl2$ -DMR mice [37]. Thus, it remains to be 6 7 clarified whether the MEG3/Gtl2-DMR has a similar or different function between the human 8 and the mouse. Two points should be made in reference to the present study. First, the proposed functions 9 10 of the two DMRs are based on the results of single patients. This must be kept in mind, because there might be a hidden patient-specific abnormality or event that might explain the results. For 11 example, the abnormal placental phenotype in patient 1 might be caused by some co-incidental 12 aberration, and the apparently normal placenta in patient 2 might be due to mosaicism with 13 grossly preserved MEG3-DMR in the placenta and grossly deleted MEG3-DMR in the body. 14 Second, the clinical features in the mother of patient 1 such as short stature and obesity are 15 often observed in cases with upd(14)mat (Table S2). However, the clinical features are 16 17 non-specific and appear to be irrelevant to the microdeletion involving the IG-DMR, because loss of the paternally derived IG-DMR does not affect the imprinted status [2,12]. Indeed, 18 MEG3 in the mother of patient 1 showed normal monoallelic expression in the presence of the 19 20 differentially methylated MEG3-DMR. Nevertheless, since the upd(14)mat phenotype is primarily ascribed to loss of functional DLK1 (Figure S3B) [2,38], it might be possible that the 21 22 microdeletion involving the IG-DMR has affected a cis-acting regulatory element for DLK1 expression (for details, see Note in the legend for Table S2). Further studies in cases with 23 similar microdeletions will permit clarification of these two points. 24 In summary, the results show a hierarchical interaction and distinct functional properties 25 of the IG-DMR and the MEG3-DMR in imprinting control. Thus, this study provides significant 26 advance in the clarification of mechanisms involved in the imprinting regulation at the 14q32.2 27 imprinted region and the development of upd(14) phenotype.

1

1	Methods
2	Ethics Statement
3	This study was approved by the Institutional Review Board Committees at National
4	Center for Child health and Development, University College Dublin, and Dokkyo University
5	School of Medicine, and performed after obtaining written informed consent.
6	
7	Primers
8	All the primers utilized in this study are summarized in Table S3.
9	
10	Sample preparation
11	For leukocytes and skin fibroblasts, genomic DNA (gDNA) samples were extracted with
12	FlexiGene DNA Kit (Qiagen), and RNA samples were prepared with RNeasy Plus Mini
13	(Qiagen) for DLK1, MEG3, RTL1, MEG8 and snoRNAs, and with mirVana TM miRNA Isolation
14	Kit (Ambion) for microRNAs. For paraffin-embedded tissues including the placenta, brain, lung,
15	heart, liver, spleen, kidney, bladder, and small intestine, gDNA and RNA samples were
16	extracted with RecoverAll TM Total Nucleic Acids Isolation Kit (Ambion) using slices of 40 μm
17	thick. For fresh control placental samples, gDNA and RNA were extracted using ISOGEN
18	(Nippon Gene). After treating total RNA samples with DNase, cDNA samples for DLK1, MEG3,
19	MEG8, and snoRNAs were prepared with oligo(dT) primers from 1 µg of RNA using
20	Superscript III Reverse Transcriptase (Invitrogen), and those for microRNAs were synthesized
21	from 300 ng of RNA using TaqMan MicroRNA Reverse Transcription Kit (Applied
22	Biosystems). For RTL1, cDNA samples were synthesized with RTL1-specific primers that do
2 3	not amplify RTL1as. Control gDNA and cDNA samples were extracted from adult leukocytes
24	and neonatal skin fibroblasts purchased from Takara Bio Inc. Japan, and from a fresh placenta
25	of 38 weeks of gestation. Metaphase spreads were prepared from leukocytes and skin
26	fibroblasts using colcemide (Invitrogen).
27	
28	Structural analysis
29	Microsatellite analysis and SNP genotyping were performed as described previously [2].

For FISH analysis, metaphase spreads were hybridized with a 5,104 bp FISH-1 probe and a 1 5,182 bp FISH-2 probe produced by long PCR, together with an RP11-566I2 probe for 14q12 2 used as an internal control [2]. The FISH-1 and FISH-2 probes were labeled with digoxigenin 3 and detected by rhodamine anti-digoxigenin, and the RP11-566I2 probe was labeled with biotin 4 and detected by avidin conjugated to fluorescein isothiocyanate. For quantitative real-time PCR 5 analysis, the relative copy number to RNaseP (catalog No: 4316831, Applied Biosystems) was 6 7 determined by the Taqman real-time PCR method using the probe-primer mix on an ABI PRISM 7000 (Applied Biosystems). To determine the breakpoints of microdeletions, sequence 8 analysis was performed for long PCR products harboring the fusion points, using serial forward 9 primers on the CEQ 8000 autosequencer (Beckman Coulter). Direct sequencing was also 10 performed on the CEQ 8000 autosequencer. Oligoarray comparative genomic hybridization was 11 performed with 1x244K Human Genome Array (catalog No: G4411B) (Agilent Technologies), 12 according to the manufacturer's protocol. 13 14 Methylation analysis 15 Methylation analysis was performed for gDNA treated with bisulfite using the EZ DNA 16 Methylation Kit (Zymo Research). After PCR amplification using primer sets that hybridize 17 both methylated and unmethylated clones because of lack of CpG dinucleotides within the 18 primer sequences, the PCR products were digested with appropriate restriction enzymes for 19 combined bisulfite restriction analysis. For bisulfite sequencing, the PCR products were 20 subcloned with TOPO TA Cloning Kit (Invitrogen) and subjected to direct sequencing on the 21 CEQ 8000 autosequencer. 22 23 Expression analysis 24 Standard RT-PCR was performed for DLK1, RTL1, MEG3, MEG8, and snoRNAs using 25 primers hybridizing to exonic or transcribed sequences, and one μl of PCR reaction solutions 26 was loaded onto Gel-Dye Mix (Agilent). Taqman real-time PCR was carried out using the 27 probe-primer mixtures (assay No: Hs00292028 for MEG3 and Hs00419701 for MEG8; assay 28

ID: 001028 for miR433, 000452 for miR127, 000568 for miR379, and 000477 for miR154) on

the ABI PRISM 7000. Data were normalized against GAPDH (catalog No: 4326317E) for 1 2 MEG3 and MEG8 and against RNU48 (assay ID: 0010006) for the remaining miRs. The expression studies were performed three times for each sample. 3 4 To examine the imprinting status of MEG3 in the leukocytes of the mother of patient 1, 5 direct sequence data for informative cSNPs were compared between gDNA and cDNA. To 6 analyze the imprinting status of RTL1 in the placental sample of patient 1 and that of DLK1 in the pituitary and adrenal samples of patient 2, RT-PCR products containing exonic cSNPs 7 informative for the parental origin were subcloned with TOPO TA Cloning Kit, and multiple 8 clones were subjected to direct sequencing on the CEQ 8000 autosequencer. Furthermore, 9 10 MEG3 expression pattern was examined using leukocyte gDNA and cDNA samples from multiple normal subjects and leukocyte gDNA samples from their mothers, and RTL1 11 12 expression pattern was analyzed using gDNA and cDNA samples from multiple fresh normal

placentas and leukocyte gDNA from the mothers.

14

1 Acknowledgments

- 2 This work was supported by grants from the Ministry of Health, Labor, and Welfare, from the
- 3 Ministry of Education, Science, Sports and Culture, and from Takeda Science Foundation.

4

5 Author Contributions

- 6 Conceived and designed the experiments: MK ACF-S TO. Performed the experiments: MK MF
- 7 KM FK. Contributed reagents/materials/analysis tool: MJO AJG YW OA NM KM TO. Wrote
- 8 the paper: TO.

9

10 Competing Interests

11 The authors have declared that no competing interests exist.

References

- 2 1. da Rocha ST, Edwards CA, Ito M, Ogata T, Ferguson-Smith AC (2008) Genomic
- imprinting at the mammalian Dlk1-Dio3 domain. Trends Genet 24: 306–316.
- 4 2. Kagami M, Sekita Y, Nishimura G, Irie M, Kato F, et al. (2008) Deletions and epimutations
- 5 affecting the human 14q32.2 imprinted region in individuals with paternal and maternal
- 6 upd(14)-like phenotypes. Nat Genet 40: 237–242.
- 7 3. Kagami M, Yamazawa K, Matsubara K, Matsuo N, Ogata T (2008) Placentomegaly in
- paternal uniparental disomy for human chromosome 14. Placenta 29: 760–761.
- 9 4. Kotzot D (2004) Maternal uniparental disomy 14 dissection of the phenotype with respect
- to rare autosomal recessively inherited traits, trisomy mosaicism, and genomic imprinting.
- 11 Ann Genet 47: 251–260.
- 12 5. Temple IK, Shrubb V, Lever M, Bullman H, Mackay DJ (2007) Isolated imprinting
- mutation of the DLK1/GTL2 locus associated with a clinical presentation of maternal
- uniparental disomy of chromosome 14. J Med Genet 44: 637–640.
- 15 6. Buiting K, Kanber D, Martín-Subero JI, Lieb W, Terhal P, et al. (2008) Clinical features
- of maternal uniparental disomy 14 in patients with an epimutation and a deletion of the
- imprinted DLK1/GTL2 gene cluster. Hum Mutat 29: 1141–1146.
- 18 7. Hosoki K, Ogata T, Kagami M, Tanaka T, Saitoh S (2008) Epimutation (hypomethylation)
- affecting the chromosome 14q32.2 imprinted region in a girl with upd(14)mat-like
- 20 phenotype. Eur J Hum Genet 16: 1019–1023.
- 21 8. Zechner U, Kohlschmidt N, Rittner G, Damatova N, Beyer V, et al. (2009) Epimutation
- at human chromosome 14q32.2 in a boy with a upd(14)mat-like clinical phenotype. Clin
- 23 Genet 75: 251–258.
- 24 9. Li E, Beard C, Jaenisch R (1993) Role for DNA methylation in genomic imprinting.
- 25 Nature 366: 362–365.
- 26 10. Tsai CE, Lin SP, Ito M, Takagi N, Takada S, et al. (2002) Genomic imprinting contributes
- to thyroid hormone metabolism in the mouse embryo. Curr Biol 12: 1221–1226.
- 28 11. Rosa AL, Wu YQ, Kwabi-Addo B, Coveler KJ, Reid Sutton V, et al. (2005)

- Allele-specific methylation of a functional CTCF binding site upstream of MEG3 in the
- 2 human imprinted domain of 14q32. Chromosome Res 13: 809–818.
- 3 12. Lin SP, Youngson N, Takada S, Seitz H, Reik W, et al. (2003) Asymmetric regulation of
- 4 imprinting on the maternal and paternal chromosomes at the Dlk1-Gtl2 imprinted cluster
- on mouse chromosome 12. Nat Genet 35: 97–102.
- 6 13. Sekita Y, Wagatsuma H, Nakamura K, Ono R, Kagami M, et al. (2008) Role of
- 7 retrotransposon-derived imprinted gene, Rtl1, in the feto-maternal interface of mouse
- 8 placenta. Nat Genet 40: 243–248.
- 9 14. Seitz H, Youngson N, Lin SP, Dalbert S, Paulsen M, et al. (2003) Imprinted microRNA
- genes transcribed antisense to a reciprocally imprinted retrotransposon-like gene. Nat
- 11 Genet 34: 261–262.
- 12 15. Davis E, Caiment F, Tordoir X, Cavaillé J, Ferguson-Smith A, et al. (2005) RNAi-mediated
- allelic trans-interaction at the imprinted Rtl1/Peg11 locus. Curr Biol 15: 743–749.
- 14 16. Wylie AA, Murphy SK, Orton TC, Jirtle RL (2000) Novel imprinted DLK1/GTL2 domain
- on human chromosome 14 contains motifs that mimic those implicated in IGF2/H19
- 16 regulation. Genome Res 10: 1711–1718.
- 17. Tierling S, Dalbert S, Schoppenhorst S, Tsai CE, Oliger S, et al. (2007) High-resolution
- map and imprinting analysis of the Gtl2-Dnchc1 domain on mouse chromosome 12.
- 19 Genomics 87: 225–235.
- 20 18. Takada S, Paulsen M, Tevendale M, Tsai CE, Kelsey G, et al. (2002) Epigenetic analysis of
- the Dlk1-Gtl2 imprinted domain on mouse chromosome 12: implications for imprinting
- control from comparison with Igf2-H19. Hum Mol Genet 11: 77–86.
- 23 19. Ohlsson R, Renkawitz R, Lobanenkov V (2001) CTCF is a uniquely versatile transcription
- regulator linked to epigenetics and disease. Trends Genet 17: 520–527.
- 25 20. Hark AT, Schoenherr CJ, Katz DJ, Ingram RS, Levorse JM, et al. (2000) CTCF mediates
- methylation-sensitive enhancer-blocking activity at the H19/Igf2 locus. Nature 405:
- 27 486-489.
- 28 21. Kanduri C, Pant V, Loukinov D, Pugacheva E, Qi CF, et al. (2000) Functional association
- of CTCF with the insulator upstream of the H19 gene is parent of origin-specific and

- 1 methylation-sensitive. Curr Biol 10: 853–856.
- 2 22. da Rocha ST, Tevendale M, Knowles E, Takada S, Watkins M, et al. (2007) Restricted
- 3 co-expression of Dlk1 and the reciprocally imprinted non-coding RNA, Gtl2: implications
- for cis-acting control. Dev Biol 306: 810–823.
- 5 23. Wan LB, Pan H, Hannenhalli S, Cheng Y, Ma J, et al. (2008) Maternal depletion of CTCF
- 6 reveals multiple functions during oocyte and preimplantation embryo development.
- 7 Development 135: 2729–2738.
- 8 24. Ideraabdullah FY, Vigneau S, Bartolomei MS (2008) Genomic imprinting mechanisms in
- 9 mammals. Mutat Res 647: 77–85.
- 10 25. Fitzpatrick GV, Pugacheva EM, Shin JY, Abdullaev Z, Yang Y, et al. (2007)
- Allele-specific binding of CTCF to the multipartite imprinting control region KvDMR1.
- 12 Mol Cell Biol 27: 2636–2647.
- 13 26. Horsthemke B, Wagstaff J (2008) Mechanisms of imprinting of the Prader-Willi/Angelman
- region. Am J Med Genet A 146A: 2041–2052.
- 15 27. Lin SP, Coan P, da Rocha ST, Seitz H, Cavaille J, et al. (2007) Differential regulation of
- imprinting in the murine embryo and placenta by the Dlk1-Dio3 imprinting control region.
- 17 Development 134: 417–426.
- 18 28. Coan PM, Burton GJ, Ferguson-Smith AC (2005) Imprinted genes in the placenta--a review.
- 19 Placenta 26 Suppl A: S10–20.
- 20. Georgiades P, Watkins M, Surani MA, Ferguson-Smith AC (2000) Parental origin-specific
- developmental defects in mice with uniparental disomy for chromosome 12. Development
- 22 127: 4719-4728.
- 23 30. Takada S, Tevendale M, Baker J, Georgiades P, Campbell E, et al. (2000) Delta-like and
- 24 gtl2 are reciprocally expressed, differentially methylated linked imprinted genes on mouse
- 25 chromosome 12. Curr Biol 10: 1135–1138.
- 26 31. Takahashi N, Okamoto A, Kobayashi R, Shirai M, Obata Y, et al. (2009) Deletion of Gtl2,
- 27 imprinted non-coding RNA, with its differentially methylated region induces lethal
- parent-origin-dependent defects in mice. Hum Mol Genet 18: 1879–1888.
- 29 32. Lewis A, Mitsuya K, Umlauf D, Smith P, Dean W, et al. (2004) Imprinting on distal

- chromosome 7 in the placenta involves repressive histone methylation independent of DNA
- 2 methylation. Nat Genet 36: 1291–1295.
- 3 33. Umlauf D, Goto Y, Cao R, Cerqueira F, Wagschal A, et al. (2004) Imprinting along the
- 4 Kcnq1 domain on mouse chromosome 7 involves repressive histone methylation and
- 5 recruitment of Polycomb group complexes. Nat Genet 36: 1296–1300.
- 6 34. Sekita Y, Wagatsuma H, Irie M, Kobayashi S, Kohda T, et al. (2006) Aberrant regulation
- of imprinted gene expression in Gtl2lacZ mice. Cytogenet. Genome Res 113: 223–229.
- 8 35. Steshina EY, Carr MS, Glick EA, Yevtodiyenko A, Appelbe OK, et al. (2006) Loss of
- 9 imprinting at the Dlk1-Gtl2 locus caused by insertional mutagenesis in the Gtl2 5' region.
- 10 BMC Genet 7: 44.
- 36. Charlier C, Segers K, Karim L, Shay T, Gyapay G, et al. (2001) The callipyge mutation
- enhances the expression of coregulated imprinted genes in cis without affecting their
- imprinting status. Nat Genet 27: 367–369.
- 14 37. Georges M, Charlier C, Cockett N (2003) The callipyge locus: evidence for the trans
- interaction of reciprocally imprinted genes. Trends Genet 19: 248–252.
- 16 38. Moon YS, Smas CM, Lee K, Villena JA, Kim KH, et al. (2002) Mice lacking paternally
- 17 expressed Pref-1/Dlk1 display growth retardation and accelerated adiposity. Mol Cell Biol
- 18 22: 5585–5592.

Figure Legends

1

2 Figure 1. Clinical phenotypes of patients 1 and 2 at birth. 3 Both patients have bell shaped thorax with coat hanger appearance of the ribs and omphalocele. 4 In patient 1, histological examination of the placenta shows proliferation of dilated and 5 6 congested chorionic villi, as has previously been observed in a case with upd(14)pat [2]. For 7 comparison, the histological finding of a gestational age matched (33 weeks) control placenta is shown in a dashed square. The horizontal black bars indicate 100 µm. 8 9 Figure 2. Physical map of the 14q32.2 imprinted region and the deleted segments in patient 1 10 and her mother and in patient 2 (shaded in gray). PEGs are shown in blue, MEGs in red, and the 11 12 IG-DMR (CG4 and CG6) and the MEG3-DMR (CG7) in green. It remains to be clarified whether DIO3 is a PEG, although mouse Dio3 is known to be preferentially but not exclusively 13 expressed from a paternally derived chromosome [10]. For MEG3, the isoform 2 with nine 14 exons (red bars) and eight introns (light red segment) is shown (Ensembl; 15 http://www.ensembl.org/index.html). Electrochromatograms represent the fusion point in 16 patient 1 and her mother, and the fusion point accompanied by insertion of a 66 bp segment 17 (highlighted in blue) with a sequence identical to that within MEG3 intron 5 (the blue bar) in 18 patient 2. Since PCR amplification with primers flanking the 66 bp segment at MEG3 intron 5 19 has produced a 194 bp single band in patient 2 as well as in a control subject (shown in the box), 20 this indicates that the 66 bp segment at the fusion point is caused by a duplicated insertion 21 22 rather than by a transfer from intron 5 to the fusion point (if the 66 bp is transferred from the original position, a 128 bp band as well as a 194 bp band should be present in patient 2) (the 23 marker size: 100, 200, and 300 bp). In the FISH images, the red signals (arrows) have been 24 identified by the FISH-1 probe and the FISH-2 probe, and the light green signals (arrowheads) 25

by the RP11-566I2 probe for 14q12 used as an internal control. The faint signal detected by the

FISH-2 probe in patient 2 is consistent with the preservation of a ~1.2 kb region identified by

the centromeric portion of the FISH-2 probe.

29

28

26

- Figure 3. Methylation analysis. Filled and open circles indicate methylated and unmethylated
- 2 cytosines at the CpG dinucleotides, respectively.
- 3 (A) Structure of CG4 and CG6 (the IG-DMR) and CG7 (the MEG3-DMR), and sequence of the
- 4 putative CTCF binding sites [11]. Pat: paternally derived chromosome; and Mat: maternally
- derived chromosome. The PCR products for CG4 (311 bp) harbor 6 CpG dinucleotides and
- a G/A SNP (rs12437020), and are digested with BstUI into three fragment (33 bp, 18 bp,
- and 260 bp) when the cytosines at the first and the second CpG dinucleotides and the fourth
- and the fifth CpG dinucleotides (indicated with orange rectangles) are methylated. The PCR
- 9 products for CG6 (428 bp) carry 19 CpG dinucleotides and a C/T SNP (rs10133627), and
- are digested with TaqI into two fragment (189 bp and 239 bp) when the cytosine at the 9th
- 11 CpG dinucleotide (indicated with an orange rectangle) is methylated. The PCR products for
- 12 CG7 harbor 7 CpG dinucleotides, and are digested with BstUI into two fragment (56 bp and
- 13 112 bp) when the cytosines at the fourth and the fifth CpG dinucleotides (indicated with
- orange rectangles) are methylated. These enzymes have been utilized for combined bisulfite
- restriction analysis (COBRA). For the putative CTCF binding sites A-G, the consensus
- 16 CTCF binding motifs are shown in red letters; the cytosine residues at the CpG
- dinucleotides within the CTCF binding motifs are highlighted in blue, and those outside the
- 18 CTCF binding motifs are highlighted in green.
- (B) Methylation analysis of CG4, CG6, and CG7. Left part shows bisulfite sequencing data.
- The SNP typing data are also denoted for CG4 and CG6. The circles highlighted in orange
- correspond to those shown in Figure 3A. The relatively long CG6 was not amplified from
- 22 the formalin-fixed and paraffin-embedded placental samples, probably because of the
- degradation of genomic DNA. Note that CG4 is differentially methylated in a control
- 24 placenta and is massively hypermethylated in a upd(14)pat placenta, whereas CG7 is rather
- 25 hypomethylated in a upd(14)pat placenta as well as in a control placenta. Right part shows
- 26 COBRA data. U: unmethylated clone specific bands (311 bp for CG4, 428 bp for CG6, and
- 27 168 bp for CG7); and M: methylated clone specific bands (260 bp for CG4, 239 bp and 189
- bp for CG6, and 112 bp and 56 bp for CG7). The results reproduce the bisulfite sequencing
- data, and delineate normal findings of the father of patient 1 and the parents of patient 2.

(C) Methylation analysis of the putative CTCF protein binding sites A-G. Left part shows 1 2 bisulfite sequencing data, using leukocyte genomic DNA samples. Since PCR products for the site B contain a C/A SNP (rs11627993), genotyping data are also indicated. The circles 3 4 highlighted in blue correspond to those shown in Figure 3A. The sites C and D exhibit clear DMRs. Right part indicates the results of the sites C and D using leukocyte and/or placental 5 genomic DNA samples. The findings are similar to those of CG7. 6 7 (D) Allele-specific methylation pattern of the CTCF binding site D. A novel G/A SNP has been identified in a single control subject, as shown on a reverse chromatogram delineating a C/T 8 9 SNP pattern, while the previously reported three SNPs were present in a homozygous 10 condition. Methylated and unmethylated clones are associated with the "G" and the "A" 11 alleles, respectively. 12 13 Figure 4. Expression analysis. 14 (A) Reverse transcriptase (RT)-PCR analysis. L: leukocytes; SF: skin fibroblasts; and P: placenta. The relatively weak GAPDH expression for the formalin-fixed and 15 paraffin-embedded placenta of patient 1 indicates considerable mRNA degradation. Since a 16 single exon was amplified for DLK1 and RTL1, PCR was performed with and without RT 17 for the placenta of patient 1, to exclude the possibility of false positive results caused by 18 genomic DNA contamination. 19 (B) Quantitative real-time PCR (q-PCR) analysis of MEG3, MEG8, and miRNAs, using fresh 20 skin fibroblasts (SF) of patient 2 and four control neonates. Of the examined MEGs, 21 22 miR433 and miR127 are encoded by RTL1as. (C) RT-PCR analysis for the formalin-fixed and paraffin-embedded pituitary (Pit.) and the 23 adrenal (Ad.) in patient 2. The bands for DLK1 are detected in the presence of RT and 24 undetected in the absence of RT, thereby excluding contamination of genomic DNA. 25 (D) Monoallelic MEG3 expression in the leukocytes of the mother of patient 1. The three 26 cSNPs are present in a heterozygous status in gDNA and in a hemizygous status in cDNA. 27

(E) Biparental RTL1 expression in the placenta of patient 1 and biparental DLK1 expression in

D: direct sequence.

28

the pituitary and adrenal of patient 2. D: direct sequence; and S: subcloned sequence. In 1 patient 1, genotyping of RTL1 cSNP (rs6575805) using gDNA indicates maternal origin of 2 the "C" allele and paternal origin of the "T" allele, and sequencing analysis using cDNA 3 confirms expression of maternally as well as paternally derived RTL1. Similarly, in patient 4 2, genotyping of DLK1 cSNP (rs1802710) using gDNA denotes maternal origin of the "C" 5 allele and paternal origin of the "T" alleles, and sequencing analysis using cDNA confirms 6 expression of maternally as well as paternally inherited DLK1. 7 8 9 Figure 5. Schematic representation of the observed and predicted methylation and expression patterns. Deleted regions in patients 1 and 2 and the mother of patient 1 are indicated by 10 stippled rectangles. P: paternally derived chromosome; and M: maternally derived chromosome. 11 Representative imprinted genes are shown; these genes are known to be imprinted in the body 12 and the placenta [2] (see also Figure S2). Placental samples have not been obtained in patient 2 13 and the mother of patient 1 (highlighted with light green backgrounds). Thick arrows for RTL1 14 in patients 1 and 2 represent increased RTL1 expression that is ascribed to loss of functional 15 microRNA-containing RTL1as as a repressor for RTL1 [12-15]; this phenomenon has been 16 indicated in placentas with upd(14)pat and in those with an epimutation and a microdeletion 17 involving the two DMRs (Figure S3A and S3C) [2]. MEG3 and RTL1as that are disrupted or 18 predicted to have become silent on the maternally derived chromosome are written in gray. 19 Filled and open circles represent hypermethylated and hypomethylated DMRs, respectively; 20 since the MEG3-DMR is rather hypomethylated and regarded as non-DMR in the placenta [2] 21 (see also Figure 3), it is painted in gray. 22 23

1 Table 1. Clinical Features in Patients 1 and 2.

	Patient 1	Patient 2	Upd(14)pat (n=20)°
Present age	5.5 months	Deceased at 4 days	0–9 years
Sex	Female	Female	Male:Female=9:11
Karyotype	46,XX	46,XX	
Pregnancy and delivery			
Gestational age (weeks)	33	28	28-37
Delivery	Caesarean	Vaginal	Vaginal:Caesarean=6:7
Polyhydramnios	Yes	No	20/20 (<28) ^d
Amnioreduction (weeks)	2x (28, 30)	No	6/6
Placentomegaly	Yes	No	10/10
Growth pattern			
Prenatal growth failure	No	No	1/13
Birth length (cm)	43 (WNR) ^a	34 (WNR) ^a	
Birth weight (kg)	2.84 (>90 centile) ^a	1.32 (WNR) ^a	
Postnatal growth failure	Yes	•••	5/6
Present stature (cm)	56.3 (-3.0 SD) ^b	•••	
Present weight (kg)	$5.02 (-3.0 \text{ SD})^{b}$	***	
Characteristic face			
Frontal bossing	No	Yes	5/ 7
Hairy forehead	Yes	Yes	9/10
Blepharophimosis	Yes	No	14/15
Depressed nasal bridge	Yes	Yes	13/13
Anteverted nares	Yes	No	6/10
Small ears	Yes	Yes	11/12
Protruding philtrum	Yes	No	15/15
Puckered lips	No	No	3/10
Micrognathia	Yes	Yes	11/12
Thoracic abnormality			
Bell-shaped thorax	Yes	Yes	17/17
Mechanical ventilation	Yes	Yes	17/17
Abdominal wall defect			
Diastasis recti	•••	•••	15/17
Omphalocele	Yes	Yes	2/17 ^e
Others			
Short webbed neck	Yes	Yes	14/14
Cardiac disease	No	Yes (PDA)	5/10
Inguinal hernia	No	No	2/6
Coxa valga	Yes	No	3/4
Joint contractures	Yes	No	8/10
Kyphoscoliosis	No	No	4/7
Extra features		Hydronephrosis (bilateral)	

WNR: within the normal range; SD: standard deviation; and PDA: patent ductus arteriosus.

^b Assessed by the age- and sex-matched Japanese reference data.

11 d Polyhydramnios has been identified by 28 weeks of gestation.

^a Assessed by the gestational age- and sex-matched Japanese reference data from the Ministry of Health, Labor, and Welfare (http://wwwdbtk.mhlw.go.jp/toukei/).

of In the column summarizing the clinical features of 20 patients with upd(14)pat, the denominators indicate the number of cases examined for the presence or absence of each feature, and the numerators represent the number of cases assessed to be positive for that feature; thus, the differences between the denominators and the numerators denote the number of cases evaluated to be negative for that feature (adopted from reference [2]).

^e Omphalocele is present in two cases with upd(14)pat and in two cases with epimutations [2].

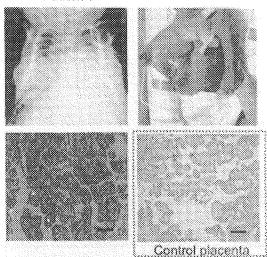
Table 2. Clinical and Molecular Findings in Wildtype and PatDi(12) Mice and Mice with Maternally

2 Inherited ΔIG-DMR and Δ*Gtl2*-DMR.

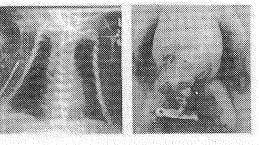
	Wildtype	PatDi(12)	Δ IG-DMR (~4.15 kb) ^a	$\Delta Gtl2$ -DMR (~10 kb) ^b Neomycin cassette (+)
<body></body>				
Phenotype	Normal	Abnormal ^c	PatDi(12) phenotype ^c	Normal at birth Lethal by 4 weeks
Methylation pat	tern			·
IG-DMR	Differential	Methylated	Methylated ^d	Differential
Gtl2-DMR	Differential	Methylated	Epimutated ^e	Methylated ^d
Expression patte	ern			
Pegs	Monoallelic	Increased (~2x)	Biparental Increased (2x or 4.5x) ^f	Grossly normal
Megs	Monoallelic	Absent	Absent	Decreased (<0.2~0.5x) ^g
<placenta></placenta>				
Phenotype	Normal	Placentomegaly	Apparently normal	Not determined
Methylation pat	tern			
IG-DMR	Differential	Methylated	Not determined	Not determined
Gtl2-DMR	Non-DMR	Non-DMR	Not determined	Not determined
Expression patte	ern			
Pegs	Monoallelic	Not determined	Increased (1.5~1.8x) ^g	Decreased $(0.5\sim0.85x)^g$
Megs	Monoallelic	Not determined	Decreased (0.6~0.8x) ^g	Decreased $(<0.1\sim1.0)^g$
Remark			Paternal transmissionh	Paternal transmissioni

- The deletion size is smaller than that of patient 1 and her mother in this study, especially at the centromeric region.
- 5 The microdeletion also involves Gtl2, and the deletion size is larger than that of patient 2 in this study.
- 6 ° Body phenotype includes bell-shaped thorax with rib anomalies, distended abdomen, and short and broad neck.
- 8 d Hemizygosity for the methylated DMR of paternal origin.
- f 2x Dlk1 and Dio3 expression levels and 4.5x Rtl1 expression level. The markedly elevated Rtl1 expression level is ascribed to a synergic effect between activation of the usually silent Rtl1 of maternal
- origin and loss of functional microRNA-containing Rtllas as a repressor for Rtll [12-15].
- 13 g The expression level is variable among examined tissues and examined genes.
- 14 h The Δ IG-DMR of paternal origin has permitted normal Gtl2-DMR methylation pattern, intact
- imprinting status, and normal phenotype in the body (no data on the placenta).
- 16 The ΔGtl2-DMR of paternal origin is accompanied by normal methylation pattern of the IG-DMR and
 17 variably reduced Pegs expression and increased Megs expression in the body, and has yielded severe
 18 growth retardation accompanied by perinatal lethality.
- The homozygous mutants have survived and developed into fertile adults, despite rather altered expression patterns of the imprinted genes.

Patient 1



Patient 2



Appendiction of the control of the c

