Table 2 Summary of efficacy

	Rasburicase dose		Total
	0.15 mg/kg	0.20 mg/kg	
Number of evaluable patients	15	14	29
Responders, *n* (%) [95% CI]	14 (93.3) [68.1–99.8%]	14 (100) [76.8–100%]	28 (96.6) [82.2–99.9%]
Hyperuricemic			
Evaluable patients, *n*	8	5	13
Responders, *n* (%)	7 (87.5)	5 (100)	12 (92.3)
Nonhyperuricemic			
Evaluable patients, *n*	7	9	16
Responders, *n* (%)	7 (100)	9 (100)	16 (100)
Inhibitory rate (%)[a]			
Evaluable patients, *n*	15	14	29
Mean [95% CI]	84.8 [76.7–92.9]	92.9 [88.7–97.0]	88.7 [84.1–93.3]

CI confidence interval

[a] Measured on day 1, 4 h after administration of rasburicase. The rate of uric acid inhibition (%) was calculated as follows: (plasma uric acid concentration at baseline – plasma uric acid concentration at each timepoint) divided by (plasma uric acid concentration at baseline) multiplied by 100

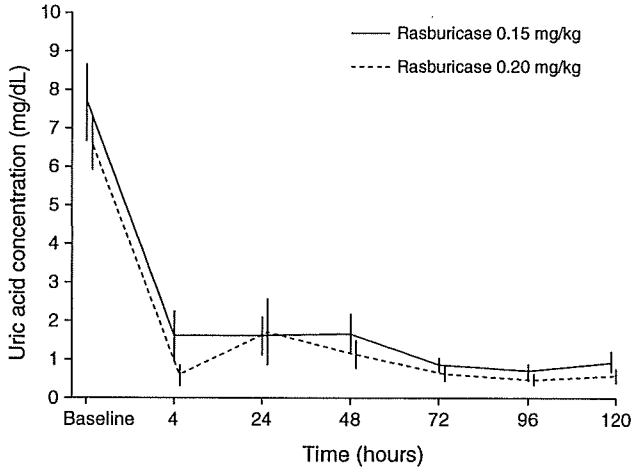

Fig. 1 Mean plasma uric acid concentration by dose over time. Patients aged <18 years with newly diagnosed hematologic malignancies with hyperuricemia, or newly diagnosed hematologic malignancies presenting with a high tumor burden regardless of uric acid level, were randomly allocated (based on stratification by weight [<10 or ≥10 kg]) to receive rasburicase (SR29142) administered at either 0.15 or 0.20 mg/kg/day for 5 days, followed by chemotherapy starting from 4 to 24 h after the first infusion of rasburicase

(70.0%). All of these AEs occurred with a similar frequency in both dose groups, with the exception of neutropenia (rasburicase 0.15 mg/kg, 93.3%; rasburicase 0.20 mg/kg, 73.3%). The majority of AEs reported during the study period were judged by the investigators to be related to the underlying malignancies and/or chemotherapy. There was no difference in the safety profiles of rasburicase 0.15 mg/kg/day for 5 days and rasburicase 0.20 mg/kg/day for 5 days.

The three grade 4 AEs (cerebral hemorrhage, brain edema, and brain herniation) experienced by one patient in the rasburicase 0.15 mg/kg group who withdrew from the study on day 8, were judged by the investigators to be unrelated to rasburicase treatment. The patient died after withdrawal from the study. No other deaths were reported during the study.

Drug-related AEs occurred in six patients ($n = 4$ in the rasburicase 0.15 mg/kg group; $n = 2$ in the 0.20 mg/kg group). One patient in the rasburicase 0.20 mg/kg group experienced grade 3/4 hemolysis; the patient did not have G6PD deficiency. The risk of rasburicase-induced hemolysis, possibly leading to hemolytic anemia and methemoglobinemia, is greater in patients with G6PD deficiency because of the accumulation of hydrogen peroxide [13]. However, rare cases of rasburicase-induced methemoglobinemia have been reported in patients without G6PD deficiency [12, 16]. Two rasburicase-related AEs occurred after the administration of rasburicase but before the start of chemotherapy (grade 1/2 hypersensitivity in the rasburicase 0.15 mg/kg group and grade 3/4 anemia in the rasburicase 0.15 mg/kg group).

Hypersensitivity reactions (all grades) were reported in 8 patients (53.3%) in the 0.15 mg/kg group and 12 patients (80.0%) in the 0.20 mg/kg group. Grade 3/4 events were only reported in two patients in the 0.15 mg/kg group: one patient completely recovered from the hypersensitivity reaction by day 6, although the other patient experienced persistent drug hypersensitivity until day 32. However, these grade 3/4 hypersensitivity reactions were judged to be unrelated to rasburicase. Only two AEs (hypersensitivity [grade 1/2] and hemoglobin decreased [grade 1/2]), observed before chemotherapy, were considered to be related to rasburicase. Anti-rasburicase antibodies or anti-SCP antibodies were not observed in any patients with hypersensitivity reactions.

A slight decrease in serum creatinine levels from baseline was observed. The mean values (±standard deviation) of serum creatinine were 52.3 μmol (±22.6) at baseline, 43.6 μmol (±16.3) on day 3, and 33.5 μmol (±11.5) on

day 36 for the 0.15 mg/kg rasburicase group; and 44.4 μmol (±19.1) at baseline, 36.7 μmol (±11.8) on day 3, and 27.1 μmol (±7.1) on day 36 for the 0.20 mg/kg group. No clinically significant changes were observed for the other renal function parameters (potassium, phosphorous, and calcium) during the study period.

3.5 Antibodies

Anti-SCP antibodies were detected in one patient before administration of rasburicase 0.20 mg/kg. Anti-rasburicase antibodies were detected in another patient in this group on day 29 and the patient tested negative for antibodies 6 months after the first administration. Neither patient experienced a hypersensitivity reaction during the study.

3.6 Pharmacokinetics

Blood samples to determine plasma concentrations of rasburicase were collected from 20 patients, 10 in each dose group. One patient in the 0.20 mg/kg dose group was excluded due to only two samples having been collected on day 1 of the study. Therefore, 19 patients were evaluable for AUC_{0-24} on day 1 and C_{min}, C_{eoi}, AUC_{0-24}, and $t_{1/2z}$ on day 5.

The pharmacokinetic profile of rasburicase is summarized in Table 3. Increase in exposure to rasburicase over days 1–5, as measured by AUC_{0-24} and C_{eoi}, was dose proportional. For the 1.33-fold increase in dose from 0.15 to 0.20 mg/kg, AUC_{0-24} increased 1.13-fold and 1.30-fold on days 1 and 5, respectively, while C_{eoi} increased 1.21-fold and 1.23-fold on days 1 and 5, respectively.

Rasburicase accumulated slightly on day 5, as assessed by AUC_{0-24} and C_{eoi}. The accumulation ratios of AUC_{0-24} and C_{eoi} (defined as the ratio of day 5 to day 1 for AUC_{0-24} and C_{eoi}) were 1.13 (95% CI 1.02–1.25) and 1.17 (95% CI 1.09–1.27), respectively, indicating slight accumulation. Mean $t_{1/2z}$ was comparable for both dose groups.

4 Discussion

The data from this study show that administration of rasburicase 0.15 or 0.20 mg/kg before the start of chemotherapy is well tolerated in Japanese pediatric patients with acute leukemia or non-Hodgkin's lymphoma. A rapid reduction in plasma uric acid levels to ≤7.5 mg/dL in patients ≥13 years or ≤6.5 mg/dL in patients <13 years within 48 h after the start of the first rasburicase administration occurred and lasted until 24 h after the last rasburicase administration on day 5 in 28 of 29 patients (96.6%). Moreover, 12 of 13 patients with hyperuricemia at baseline responded to treatment. A high overall RR of 96.6% was observed, indicating the efficacy of rasburicase for both the prophylaxis and treatment of hyperuricemia in pediatric patients receiving chemotherapy.

Notably, all evaluable patients in the rasburicase 0.20 mg/kg group achieved a response and only one evaluable patient in the 0.15 mg/kg group did not respond. In addition, there was a greater reduction in plasma uric acid concentrations from baseline at 4 h with the higher dose of rasburicase (92.9 vs. 84.8%), further demonstrating the greater efficacy of the rasburicase 0.20 mg/kg dose.

These findings add further credence to the results of the randomized US study conducted by Goldman et al. [12],

Table 3 Pharmacokinetic parameters after once-daily intravenous administration of rasburicase over 30 min (5-day treatment)

Rasburicase dose group (mg/kg)	Day 1		Day 5			
	AUC_{0-24} (ng h/mL)	C_{eoi} (ng/mL)	AUC_{0-24} (ng h/mL)	C_{eoi} (ng/mL)	$t_{½z}$ (h)	C_{min} (ng/mL)
0.15						
n	10	10	10	10	10	10
Mean (SD)	28,200 (7,270)	2,160 (512)	29,700 (6,460)	2,490 (373)	11.6 (5.0)	536 (218)
CV (%)	26	24	22	15	43	41
0.20[a]						
n	9[a]	10	9[a]	9[a]	9[a]	9[a]
Mean (SD)	31,500 (4,540)	2,580 (432)	38,100 (5,640)	3,050 (383)	11.2 (3.1)	780 (335)
CV (%)	14	17	15	13	27	43

[a] One patient in the rasburicase 0.20 mg/kg dose group had only two pharmacokinetic samples taken on day 1 because the patient withdrew from the study due to a low white blood cell count on day 1 after the first administration of rasburicase

AUC_{0-24} area under the rasburicase plasma concentration–time curve from 0 to 24 h, *C_{eoi}* plasma concentration of rasburicase at the end of infusion, *C_{min}* minimum rasburicase plasma concentration, *CV* coefficients of variation, *GM* geometric mean, *SD* standard deviation, *$t_{1/2z}$*, terminal half-life

which demonstrated more rapid control of uric acid and a lower plasma uric acid concentration during the first 96 h of therapy with rasburicase 0.20 mg/kg/day compared with 5–7 days of treatment with allopurinol in pediatric patients with high risk for TLS. In addition, several single-arm studies conducted in Europe, North America, Australia, and Asia have evaluated the 0.20 mg/kg dose of rasburicase for up to 7 days in pediatric and adult patients with high risk for TLS [17–21]. In line with our findings and those of Goldman et al. [12], these studies also reported numerically greater response rates (based on normalization of uric acid concentration) of 97–100% with rasburicase 0.20 mg/kg.

This is the first report to comprehensively assess rasburicase-related AEs occurring before the start of chemotherapy in pediatric patients. The majority of AEs reported during the treatment period were judged to be related to the underlying malignancies or chemotherapy by the investigators, with a low incidence of rasburicase-related AEs. Only two rasburicase-related AEs, including one hypersensitivity reaction, were observed before the start of chemotherapy in the rasburicase 0.15 mg/kg group. Most rasburicase-related AEs observed after the start of chemotherapy had a similar profile to those related to the underlying malignancies or chemotherapy. Patients who receive chemotherapy for hematologic malignancies are often exposed to risk of renal dysfunction. In the present study, renal parameters such as serum creatinine were not aggravated until completion of chemotherapy, suggesting that rasburicase might preserve renal function during induction chemotherapy.

Interestingly, new guidelines regarding the management of patients at risk of developing TLS and its prevention have recently been published [22]. Prevention strategies, including hydration and prophylactic rasburicase in high-risk patients, hydration plus allopurinol or rasburicase for intermediate-risk patients, and close monitoring for low-risk patients, are advised [22]. In addition, the guidelines advise aggressive hydration and diuresis plus allopurinol or rasburicase for hyperuricemia as primary management of established TLS.

An observational study has shown that treatment with rasburicase according to this new guideline is effective in preventing and controlling hyperuricemia and TLS in children with hematologic malignancies [23]. The study reported that the duration of rasburicase treatment should be tailored to the duration and intensity of tumor cell lysis in the patient by closely monitoring clinical chemistry. The superiority of rasburicase in comparison with allopurinol for the prophylaxis and treatment of hyperuricemia in children with leukemia and lymphoma has been demonstrated [24]. Rasburicase, administered at a dose of 0.20 mg/kg for 5 consecutive days, resulted in a rapid and significant decrease in uric acid levels after 4 h [24], in line with the findings reported in the current study. Rasburicase was also a more potent and more rapid uricolytic agent than allopurinol.

As rasburicase is a recombinant protein, antibodies can be produced against this agent. However, the clinical implication of such anti-rasburicase antibodies is unknown. In this trial, anti-rasburicase antibody production was reported in one patient on day 29, however, this patient did not experience a hypersensitivity reaction during the study. None of the patients had any anti-rasburicase antibodies on day 8. In previous studies in which rasburicase was administered to patients with cancer, although a small number of patients were shown to have anti-rasburicase antibodies, production of the antibody was not associated with the clinical status of the patients or the occurrence of AEs, including hypersensitivity reactions [25]. Goldman et al. [12] reported no cases of rasburicase antibody production in the US pediatric study using rasburicase 0.20 mg/kg, whereas Pui et al. [26] reported antibody production in 17 of 121 children and young adults treated with rasburicase 0.15 or 0.20 mg/kg. Other studies evaluating rasburicase did not assess rasburicase antibody production [18–21]. The production of anti-SCP antibody was also reported in one patient before the first administration of rasburicase. In this patient, a hypersensitivity reaction was not experienced during this study. This suggests that there was no correlation between the presence of anti-rasburicase or anti-SCP antibodies and the occurrence of hypersensitivity reactions in this study. However, because of the limited number of patients with antibody production in the current study, further studies are required in order to confirm this finding.

The pharmacokinetic data obtained in this study support the premise of dose proportionality of rasburicase, with only slight drug accumulation during 5 days of treatment. These data are consistent with the known pharmacokinetic profile of rasburicase in Western populations [26], suggesting that there is no ethnic variation in terms of the pharmacokinetic profile of rasburicase. Based on the results presented here, a daily rasburicase dose of 0.20 mg/kg might be recommended, particularly for patients who are more seriously ill and at high risk of developing TLS. However, as only a small sample size was studied in the present study and no comparator or placebo arm was included for comparison, further studies are needed to confirm the optimal dose of rasburicase for patients in different risk categories.

In conclusion, this study provides further evidence that rasburicase is highly effective in the control of hyperuricemia, a component of TLS, in pediatric patients undergoing chemotherapy for non-Hodgkin's lymphoma or acute leukemia. The study also demonstrates that rasburicase is safe and well tolerated when administered before the start of chemotherapy in this group of patients.

Acknowledgments We thank the following additional investigators: Shoichi Koizumi, M.D., Ph.D. (Department of Pediatrics, Kanazawa University Hospital); Akira Ohara, M.D. (Department of Blood Transfusion, Toho University Omori Medical Center); Yoshihiro Komada, M.D., Ph.D. (Institute of Molecular and Experimental Medicine, Mie University Hospital); Takashi Kaneko, M.D. (Department of Hematology/Oncology, Tokyo Metropolitan Kiyose Children's Hospital). Members of the Efficacy and Safety Evaluation Committee: Fumio Bessho, M.D. (Kyorin University Hospital); Shuki Mizutani, M.D. (Tokyo Medical and Dental University Hospital); Hideo Mugishima, M.D. (Nihon University Itabashi Hospital). Other participating institutions: Nippon Medical School Hospital; National Center for Child Health and Development; Tohoku University Hospital, Saga Medical School Hospital; Shizuoka Children's Hospital; National Hospital Organization Hokkaido Cancer Center; Hiroshima University Hospital. Editorial support provided by sanofi-aventis.

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Disease-specific analyses of unrelated cord blood transplantation compared with unrelated bone marrow transplantation in adult patients with acute leukemia

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We made a disease-specific comparison of unrelated cord blood (CB) recipients and human leukocyte antigen allele–matched unrelated bone marrow (BM) recipients among 484 patients with acute myeloid leukemia (AML; 173 CB and 311 BM) and 336 patients with acute lymphoblastic leukemia (ALL; 114 CB and 222 BM) who received myeloablative transplantations. In multivariate analyses, among AML cases, lower overall survival (hazard ratio [HR] = 1.5; 95% confidence interval [CI], 1.0-2.0, $P = .028$) and leukemia-free survival (HR = 1.5; 95% CI, 1.1-2.0, $P = .012$) were observed in CB recipients. The relapse rate did not differ between the 2 groups of AML (HR = 1.2; 95% CI, 0.8-1.9, $P = .38$); however, the treatment-related mortality rate showed higher trend in CB recipients (HR = 1.5; 95% CI, 1.0-2.3, $P = .085$). In ALL, there was no significant difference between the groups for relapse (HR = 1.4, 95% CI, 0.8-2.4, $P = .19$) and treatment-related mortality (HR = 1.0; 95% CI, 0.6-1.7, $P = .98$), which contributed to similar overall survival (HR = 1.1; 95% CI, 0.7-1.6, $P = .78$) and leukemia-free survival (HR = 1.2; 95% CI, 0.9-1.8, $P = .28$). Matched or mismatched single-unit CB is a favorable alternative stem cell source for patients without a human leukocyte antigen–matched related or unrelated donor. For patients with AML, decreasing mortality, especially in the early phase of transplantation, is required to improve the outcome for CB recipients. (Blood. 2009;113:1631-1638)

Introduction

Allogeneic hematopoietic stem cell transplantation (HSCT) with bone marrow (BM) or peripheral blood, the curative treatment of choice for acute leukemia, is limited by the inadequate supply of human leukocyte antigen (HLA)–identical related donors. Bone marrow from HLA-matched unrelated donors has been a major alternative graft source.[1-3] Umbilical cord blood (CB), an alternative stem cell source to BM or peripheral blood stem cells, has been used primarily in children,[4-10] but its use in adults is increasing.[11,12]

Clinical comparison studies of cord blood transplantation (CBT) and bone marrow transplantation (BMT) for leukemia from unrelated donors in adult recipients showed comparable outcomes.[11-13] Recipients of CBT showed delayed neutrophil recovery and lower incidence of acute graft-versus-host disease (GVHD).[11-13] Overall treatment-related mortality (TRM) was reported to be similar[12] or higher[11] compared with HLA-matched BM. Acute myeloid leukemia (AML) and acute lymphoblastic leukemia (ALL) are different disease entities that require different chemotherapy regimens for treatment. However, previous comparison studies have included both diseases because of limitation in the number of CBTs given to adults.

In addition, the study periods of previous studies encompass the pioneering period of CBT, when the general practice was to use these grafts in patients in whom there were no other curative options and when the relevance of cell dose and HLA matching had not yet been recognized.[6,7,14]

Accumulation of a larger number of CBT results enabled us to make a controlled comparison with unrelated BMTs. To avoid the inclusion of the pioneering period of CBT, the subjects were limited to those who received transplantations in and after 2000.

Methods

Collection of data and data source

The recipients' clinical data were provided by the Japan Cord Blood Bank Network (JCBBN) and the Japan Marrow Donor Program (JMDP).[15]

Submitted March 21, 2008; accepted November 14, 2008. Prepublished online as *Blood* First Edition paper, December 22, 2008; DOI 10.1182/blood-2008-03-147041.

The online version of this article contains a data supplement.

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Peripheral blood stem cell donation from unrelated donors is not permitted in Japan. All 11 CB banks in Japan are affiliated to JCBBN. Both JCBBN and JMDP collect recipients' clinical information at 100 days after transplantation. Patients' information on survival, disease status, and long-term complications, including chronic GVHD and second malignancies, are renewed annually by follow-up forms. This study was approved by the data management committees of JMDP and JCBBN.

Patients

Between January 2000 and December 2005, a total of 1690 adult patients at least 16 years of age with acute leukemia (999 AML, 261 CB and 738 BM; and 691 ALL, 178 CB and 513 BM) received first HSCT with myeloablative conditioning either CB or BM from unrelated donors. Of these, patients who received a single CB unit with 0 to 2 HLA mismatches, or HLA-A, -B, -C, and DRB1 allele-matched BM from unrelated donors were analyzed. HLA matching of CB was performed using low-resolution molecular typing methods for HLA-A and -B, and high-resolution molecular typing for HLA-DRB1. Of 1023 BM recipients with complete HLA high-resolution data, the following recipients with HLA HLA-A, -B, -C, and DRB1 allele mismatches were excluded: 306 recipients with 1 of 8 mismatches (39 for HLA-A, 6 for HLA-B, 137 for HLA-C, and 124 for HLA-DRB1), 150 recipients with 2 of 8 mismatches (36 for 2 class I antigens, and 114 for class I and class II antigens), 33 recipients with 3 of 8 mismatches, and 1 recipient with 4 of 8 mismatches. Of 390 recipients of CB with complete HLA data, 95 recipients with 3 mismatches and 8 patients with 4 mismatches were excluded. A total of 484 patients with AML (173 CBTs and 311 BMTs) and 336 patients with ALL (114 CBTs and 222 BMTs) were the subjects for the analyses. Eighty-five centers performed 287 CBTs analyzed in this study, and 114 centers performed 533 BMTs.

Definitions

Neutrophil recovery was defined by an absolute neutrophil count of at least 500 cells/mm^3 for 3 consecutive points; platelet recovery was defined by a count of at least 50 000 platelets/mm^3 without transfusion support. Diagnosis and clinical grading of acute GVHD were performed according to the established criteria.[16] Relapse was defined as a recurrence of underlying hematologic malignant diseases. Treatment-related death was defined as death during a continuous remission. Leukemia-free survival (LFS) was defined as survival in a state of continuous remission.

Statistical analysis

Separate analyses were performed for AML and ALL. Descriptive statistical analysis was performed to assess patient baseline characteristics, diagnosis, disease classification, disease status at conditioning, donor-patient ABO mismatches, preparative regimen, and GVHD prophylaxis. The 2-sided χ^2 test was used for categorical variables, and the 2-sided Wilcoxon rank sum test was used for continuous variables. Cumulative incidence curves were used in a competing-risks setting to calculate the probability of neutrophil and platelet recovery, acute and chronic GVHD, relapse, and TRM.[17] For neutrophil and platelet recovery, death before neutrophil or platelet recovery was the competing event; for GVHD, death without GVHD and relapse were the competing events; for relapse, death without relapse was the competing event; and, for TRM, relapse was the competing event. Gray test was used for group comparison of cumulative incidence.[18] Overall survival (OS) and LFS were calculated using the Kaplan-Meier method. The log-rank test was used for group comparisons. Adjusted comparison of the stem cell source on OS and LFS was performed with the use of the Cox proportional-hazards regression model. For other outcomes, the Fine and Gray proportional-hazards model for subdistribution of a competing risk was used.[19] Adjusted probabilities of OS and DFS were estimated using the Cox proportional-hazards regression model, with consideration of other significant clinical variables in the final multivariate models. The variables considered were the patient's age at transplantation, patient's sex, donor-patient sex mismatch, donor-patient ABO mismatch, disease status at conditioning, and t(9;22) chromosome abnormality or others for ALL, cytogenetic information and French-American-British (FAB) classification of M5/M6/M7 or others for AML, the conditioning regimen, and the type of prophylaxis against GVHD. Factors differing in distribution between CB and BM recipients ($P < .10$) and factors known to influence outcomes (such as patient age at transplantation and chromosome abnormalities and FAB classification of leukemia) were included in the final models. Variables with more than 2 categories were dichotomized for the final multivariate model. The cutoff points of the variables were chosen to make optimal use of the information, with the proviso that smaller groups contain at least 20% of the patients. Variables were dichotomized as follows: patient age greater or younger than 45 years at transplantation, female donor to male recipient donor-recipient sex mismatch versus others for donor-recipient sex matching, donor-recipient ABO major mismatch versus others for ABO matching, M5/M6/M7 FAB classification versus others for classification of AML, chromosome abnormality other than favorable abnormalities for cytogenetics of AML, cyclophosphamide and total body irradiation (TBI) or busulfan and cyclophosphamide or others for conditioning regimen of AML, cyclophospohamide and TBI, or others for conditioning regimen of ALL, and cyclosporine-based versus tacrolimus-based prophylaxis against GVHD. Disease status at transplantation was categorized as first complete remission (1CR), second or later complete remission (2CR), or more advanced disease; which was included in the final model using dichotomized dummy variables. All P values were 2-sided.

The statistical power to detect hazard ratios (HRs) of 2.0 and 1.5 (a regression coefficient equal to 0.6931 and 0.4055, respectively) on Cox regression of the log hazard ratio at a .05 significance level adjusted for event rate were 99% and 78%, respectively, for 484 patients with AML and 97% and 60%, respectively, for 336 patients with ALL. The levels of statistical power for subgroup analyses were as follows: 54% and 22% for 1CR, 51% and 21% for 2CR, 96% and 58% for more advanced in AML patients, 62% and 26% for 1CR, 47% and 20% for 2CR, and 67% and 29% for more advanced in ALL patients.[20]

Results

Patient characteristics

The characteristics of the patients are shown in Table 1. There was no significant difference in recipients' age at transplantation in AML (median age, CB vs BM = 38 vs 38 years, $P = .61$) and in ALL (median age, CB vs BM = 34 vs 32 years, $P = .29$). The female/male ratio was higher (CB vs BM = 54% vs 38% in AML patients, and CB vs BM = 54% vs 38% in ALL patients, $P < .001$ and $P = .005$, respectively) in CB recipients, resulting in the lower donor-patient sex match rate (CB vs BM = 48% vs 69% in AML patients, and CB vs BM = 46% vs 65% in ALL patients, $P < .001$ and $P = .002$, respectively) in CB recipients. The proportion of ALL patients with Philadelphia chromosome abnormality was higher (CB vs BM = 38% vs 23%) in CB recipients. CB recipients were likely to have more advanced disease status at transplantation (relapse or induction failure, CB vs BM = 47% vs 31% in AML patients, and CB vs BM = 26% vs 19% in ALL patients), and the difference was significant in AML ($P = .003$). HLA-A, -B (low-resolution typing), and -DRB1 (high-resolution typing) was mismatched in 93% of both AML and ALL among CB recipients, whereas HLA -A, -B, -C, and -DRB1 were all genotypically matched for BM recipients. The ABO-matched donor-patient pair proportion was consistently lower for CB (CB vs BM = 34% vs 59% in AML patients and CB vs BM = 32% vs 58% in ALL patients).

A preparative regimen with TBI and cyclophosphamide was used in almost all patients, and cytosine arabinoside was supplemented for CB recipients with AML (36%) in addition to TBI and cyclophosphamide. For GVHD prophylaxis, tacrolimus (CB vs BM = 29% vs 56% in AML patients, and CB vs BM = 37% vs 53% in ALL patients) and

Table 1. Characteristics of recipients of cord blood or bone marrow from unrelated donors in 484 patients with acute myeloid leukemia and 336 patients with acute lymphoblastic leukemia

	Acute myeloid leukemia			Acute lymphoblastic leukemia		
Characteristic	**U-CBT**	**U-BMT**	***P***	**U-CBT**	**U-BMT**	***P***
No. of transplantations	173	311		114	222	
Median patient age at transplantation, y (range)	38 (16-69)	38 (16-60)	.61	34 (16-58)	32 (16-59)	.29
Patient sex, n (%)						
Male	80 (46)	194 (62)	< .001	52 (46)	137 (62)	.005
Female	93 (54)	117 (38)		62 (54)	85 (38)	
Sex matching, n (%)			< .001			.002
Matched	83 (48)	216 (69)		52 (46)	145 (65)	
Male to female	44 (25)	57 (18)		35 (31)	42 (19)	
Female to male	46 (27)	37 (12)		27 (24)	35 (16)	
Unknown	0 (0)	1 (0)		0 (0)	0 (0)	
Disease classification						
AML (French-American-British)			.045			
M0	17 (10)	26 (8)				
M1	30 (17)	38 (12)				
M2	52 (30)	88 (28)				
M3	4 (2)	25 (8)				
M4	27 (16)	55 (18)				
M5	23 (13)	41 (13)				
M6	3 (2)	18 (6)				
M7	2 (1)	5 (2)				
Others/unknown	15 (9)	15 (5)				
Cytogenetics			.042			
Favorable*	19 (11)	66 (21)				
Normal	74 (43)	116 (37)				
Other	57 (33)	95 (31)				
Unknown	23 (13)	34 (11)				
ALL cytogenetics						.022
t(9;22)				43 (38)	52 (23)	
t(4;11)				2 (2)	3 (1)	
Others				22 (19)	51 (23)	
Normal				27 (24)	85 (38)	
Unknown				20 (18)	31 (14)	
Disease status			.003			.33
First CR	50 (29)	130 (42)		63 (55)	130 (59)	
Second or after CR	39 (23)	82 (26)		21 (18)	48 (22)	
Relapse/induction failure	81 (47)	95 (31)		30 (26)	42 (19)	
Unknown	3 (2)	4 (1)		0 (0)	2 (1)	
HLA matching†						
0 mismatched loci	12 (7)			8 (7)		
1 mismatched locus	35 (20)			25 (22)		
2 mismatched loci	126 (73)			81 (71)		
ABO matching			< .001			< .001
Matched	59 (34)	185 (59)		37 (32)	128 (58)	
Minor mismatch	48 (28)	57 (18)		30 (26)	48 (22)	
Major mismatch	37 (21)	59 (19)		24 (21)	41 (18)	
Bidirectional	28 (16)	8 (3)		23 (20)	3 (1)	
Unknown	1 (1)	2 (1)		0 (0)	2 (1)	
Nucleated cells infused per 10^7/kg, median (range)	2.44 (1.65-5.49)	26.3 (2.10-58.8)	< .001	2.48 (1.51-4.06)	28.2 (2.30-79.0)	< .001
Preparative regimen			< .001			.38
CY + TBI	43 (25)	142 (46)		42 (37)	92 (41)	
CY + CA + TBI	62 (36)	41 (13)		31 (27)	53 (24)	
CY + BU + TBI	7 (4)	36 (12)		3 (3)	5 (2)	
Other TBI regimen	42 (24)	33 (11)		34 (30)	54 (24)	
BU + CY	18 (10)	55 (18)		4 (4)	12 (5)	
Other non-TBI regimen	1 (1)	4 (1)		0 (0)	6 (3)	
GVHD prophylaxis			< .001			< .001
Cyclosporine A + sMTX	103 (60)	131 (42)		65 (57)	100 (45)	
Cyclosporine A ± other	20 (12)	4 (1)		6 (5)	3 (1)	
Tacrolimus + sMTX	34 (20)	168 (54)		26 (23)	106 (48)	
Tacrolimus ± other	15 (9)	5 (2)		16 (14)	11 (5)	
Others	1 (1)	3 (1)		1 (1)	2 (1)	

U-CBT, indicates unrelated cord blood transplantation; U-BMT, unrelated bone marrow transplantation; CR, complete remission; HLA, human leukocyte antigen; CY, cyclophosphamide; CA, cytarabine; BU, oral busulfan; TBI, total body irradiation; and sMTX, short-term methotrexate.

*Favorable abnormal karyotypes are defined as t(8;21), inv16,or t(15;17).

†Number of mismatches was counted among HLA-A, -B (low-resolution typing), and DRB1 (high-resolution typing).

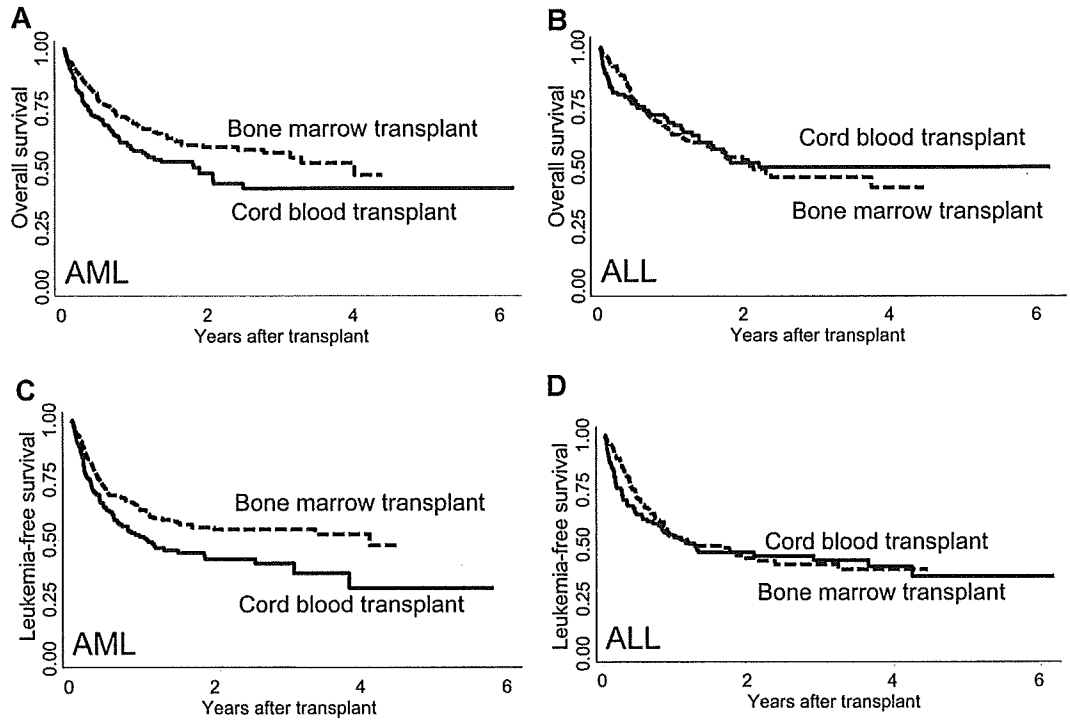

Figure 1. Adjusted OS and LFS of recipients with AML or ALL of CB or BM from unrelated donors. For patients with AML, adjusted probabilities of (A) OS (CB vs BM = 48% vs 59% at 2 years, $P = .010$) and (C) LFS (CB vs BM = 42% vs 54% at 2 years, $P = .004$) were both lower in CB recipients. For patients with ALL, the adjusted probabilities of (B) OS (CB vs BM = 52% vs 53% at 2 years, $P = .99$) and (D) LFS (CB vs BM = 46% vs 44% at 2 years, $P = .41$) were similar between CB recipients and BM recipients.

short-term methotrexate (CB vs BM = 80% vs 96% in AML patients, and CB vs BM = 80% vs 93% in ALL patients) were used preferentially in BM recipients. The median follow-up period for survivors was 1.9 years (range, 0.1-6.2 years) for CB recipients and 1.4 years (range, 0.3-4.5 years) for BM recipients.

Outcome

OS. For patients with AML, the unadjusted probabilities of OS were lower for CB recipients at 1 year (51% vs 69%) and 2 years (43% vs 60%) compared with BM recipients ($P < .001$). For patients with ALL, there were no significant differences between the 2 groups (CB vs BM = 66% vs 66% at 1 year, 49% vs 57% at 2 years, $P = .40$).

Among patients with AML, the use of CB remained a significant risk factor for overall mortality after adjustment for other factors (HR = 1.5; 95% confidence interval [CI], 1.0-2.0; $P = .028$; Table 2). However, in patients with ALL, the use of CB was not a significant factor for overall mortality on multivariate analysis (HR = 1.1; 95% CI, 0.7-1.6; $P = .78$). The adjusted probability of OS was significantly lower for CB recipients (57% vs 69% at 1 year, and 48% vs 59% at 2 years, $P = .010$; Figure 1A) compared with BM recipients for patients with AML, whereas the adjusted probability of OS was similar (69% vs 64% at 1 year, and 52% vs 53% at 2 years, $P = .99$; Figure 1B) between the groups for patients with ALL.

Results of the subgroup analyses showed that the difference in survival among AML patients was prominent in patients demonstrating 1CR at transplantation (RR = 2.9, 95% CI = 1.4-6.2, $P = .005$; Table 3).

LFS. For patients with AML, the unadjusted probabilities of LFS were significantly lower for CB recipients at 1 year (43% vs 62%) and 2 years (36% vs 54%) compared with BM recipients ($P < .001$). For patients with ALL, the unadjusted probabilities of LFS were lower with marginal significance for CB recipients at 1 year (52% vs 58%) and 2 years (45% vs 51%) compared with BM recipients ($P = .06$).

Among patients with AML, the use of CB remained as a significant risk factor for treatment failure (ie, relapse or death) after adjustment for other factors (HR = 1.5; 95% CI, 1.1-2.0; $P = .012$; Table 2). However, in patients with ALL, the use of CB was not a significant factor for treatment failure by multivariate analysis (HR = 1.2; 95% CI, 0.9-1.8; $P = .28$). The adjusted probability of LFS was significantly lower for CB recipients (51% vs 62% at 1 year, and 42% vs 54% at 2 years, $P = .004$; Figure 1C) compared with BM recipients for patients with AML, whereas the adjusted probability of LFS was similar (53% vs 53% at 1 year, and 46% vs 44% at 2 years, $P = .41$; Figure 1D) between the groups for patients with ALL.

Relapse

On univariate analyses, the cumulative incidence of relapse was higher for CB recipients with marginal significance in both AML (27% vs 20% at 1 year, and 31% vs 24% at 2 years) and ALL (27% vs 19% at 1 year, and 31% vs 24% at 2 years) ($P = .067$, and .085, respectively; Figure 2A,B).

On multivariate analyses adjusted by other factors, there was no significantly higher risk of relapse for CB recipients with either AML (RR = 1.2, 95% CI = 0.8-1.9, $P = .38$) or ALL (RR = 1.4, 95% CI = 0.8-2.4, $P = .19$; Table 2).

TRM

For patients with AML, the unadjusted cumulative incidence of TRM was significantly higher for CB recipients at 1 year (30% vs 19%) and 2 years (33% vs 22%) compared with those for BM recipients ($P = .004$; Figure 2C). For patients with ALL, the

Table 2. Results of multivariate analysis of outcomes in 173 recipients of cord blood and 311 recipients of bone marrow with acute myeloid leukemia, and 114 recipients of cord blood and 222 recipients of bone marrow with acute lymphoblastic leukemia

	Acute myeloid leukemia		Acute lymphoblastic leukemia	
Outcome	RR (95% CI)	*P*	RR (95% CI)	*P*
Overall survival*				
BM	1.00		1.00	
CB	1.45 (1.04-2.01)	.028	1.06 (0.71-1.57)	.78
Leukemia-free survival†				
BM	1.00		1.00	
CB	1.48 (1.09-2.01)	.012	1.22 (0.85-1.76)	.28
Relapse‡				
BM	1.00		1.00	
CB	1.21 (0.79-1.87)	.38	1.42 (0.84-2.41)	.19
TRM§				
BM	1.00		1.00	
CB	1.47 (0.95-2.28)	.085	1.01 (0.59-1.73)	.98
Neutrophil recovery‖				
BM	1.00		1.00	
CB	0.41 (0.33-0.51)	< .001	0.37 (0.29-0.48)	< .001
Platelet recovery¶				
BM	1.00		1.00	
CB	0.34 (0.27-0.44)	< .001	0.43 (0.33-0.56)	< .001
Acute GVHD#				
BM	1.00		1.00	
CB	0.80 (0.56-1.15)	.23	0.61 (0.39-0.95)	.028
Chronic GVHD**				
BM	1.00		1.00	
CB	0.94 (0.63-1.42)	.79	1.08 (0.66-1.77)	.77
Chronic GVHD, extensive type††				
BM	1.00		1.00	
CB	0.36 (0.18-0.72)	.004	0.58 (0.28-1.20)	.14

RR indicates relative risk; CI, confidence interval; BM, bone marrow; CB, cord blood; and GVHD, graft-versus-host disease.

*For overall survival, other significant variables for AML were patient age more than 45 years at transplantation, more advanced disease status at conditioning, M5/M6/M7 French-American-British classification, and female donor to male recipient donor-recipient sex mismatch; other significant variables for ALL were second or after complete remission disease status, more advanced disease status, and Philadelphia chromosome abnormality.

†For leukemia-free survival, other significant variables for AML were patient age more than 45 years at transplantation, more advanced disease status at conditioning, M5/M6/M7 French-American-British classification, and female donor to male recipient donor-recipient sex mismatch; other significant variables for ALL were second or after complete remission disease status, more advanced disease status, and Philadelphia chromosome abnormality.

‡For relapse, other significant variables for AML were more advanced disease status at conditioning, donor-recipient ABO major mismatch, chromosome abnormality other than favorable abnormalities, and cyclophosphamide and total body irradiation or busulfan and cyclophosphamide conditioning regimen; other significant variables for ALL were second or after complete remission disease status, more advanced disease status, and cyclophosphamide and total body irradiation conditioning.

§For TRM, other significant variables for AML were patient age more than 45 years at transplantation, second or after complete remission disease status, more advanced disease status, and chromosome abnormality other than favorable abnormalities; other significant variables for ALL were patient age more than 45 years at transplantation, more advanced disease status at conditioning, and conditioning other than cyclophosphamide and total body irradiation.

‖For neutrophil recovery, other significant variables for AML were second or after complete remission disease status and more advanced disease status; other significant variables for ALL were more advanced disease status at conditioning and cyclosporine-based GVHD prophylaxis.

¶For platelet recovery; other significant variables for AML were second or after complete remission disease status, more advanced disease status, female donor to male recipient donor-recipient sex mismatch, and tacrolimus-based GVHD prophylaxis; other significant variables for ALL were more advanced disease status at conditioning and conditioning other than cyclophosphamide and total body irradiation.

#For acute GVHD, no other significant variables were identified for both AML and ALL.

**For chronic GVHD, other significant variables for AML were more advanced disease status and conditioning other than cyclophosphamide and total body irradiation or busulfan and cyclophosphamide; there were no other significant variables identified for ALL.

††For extensive chronic GVHD, there were no other significant variables identified for AML; another significant variable for ALL was patient male sex.

cumulative incidence of TRM was similar between the 2 groups (CB vs BM = 21% vs 23% at 1 year, 24% vs 25% at 2 years, $P = .83$; Figure 2D).

On multivariate analyses adjusted by other factors, the risk for TRM was higher for CB recipients compared with that for BM recipients among patients with AML (RR = 1.5, 95% CI = 1.0-2.3, $P = .085$; Table 2) with marginal significance. For patients with ALL, the risk for TRM was similar between CB and BM recipients (RR = 1.0, 95% CI = 0.6-1.7, $P = .98$).

Cause of death

Recurrence of the primary disease was the leading cause of death in each group (CB vs BM = 37% vs 33% in patients with AML and 36% vs 41% in patients with ALL). The following causes were infection and organ failure in all groups (Table 4).

Other outcomes of transplantation

Neutrophil and platelet recovery. The unadjusted cumulative incidence of neutrophil recovery or platelet recovery at day 100 was significantly lower in CB recipients for both AML (77% vs 94%) and ALL (80% vs 97%) compared with that among BM recipients ($P < .001$ for both). On multivariate analyses, neutrophil recovery was significantly lower among CB recipients for both AML (RR = 0.4, 95% CI = 0.3-0.5, $P < .001$) and ALL (RR = 0.4, 95% CI = 0.3-0.5, $P < .001$; Table 2).

Table 3. Results of multivariate analysis of overall survival according to disease status at transplantation

	First complete remission			Second or after complete remission			More advanced		
Overall survival	n	RR (95% CI)	P	n	RR (95% CI)	P	n	RR (95% CI)	P
AML									
UBMT	130	1.00		82	1.00		95	1.00	
UCBT	50	2.92 (1.38-6.18)	.005	39	1.24 (0.51-3.04)	.63	81	1.29 (0.84-1.98)	.25
ALL									
UBMT	130	1.00		48	1.00		42	1.00	
UCBT	63	1.60 (0.84-3.05)	.16	21	0.62 (0.22-1.74)	.36	30	0.80 (0.38-1.69)	.57

RR indicates relative risk; CI, confidence interval; UBMT, unrelated bone marrow transplantation; and UCBT, unrelated cord blood transplantation.

The unadjusted cumulative incidence of platelet recovery greater than 50 000/μL at 4 months was significantly lower among CB recipients for both AML (59% vs 85%) and ALL (61% vs 83%) compared with that of BM recipients ($P < .001$ for both). The difference was also significant on multivariate analyses for both AML (RR = 0.3, 95% CI = 0.3-0.4, $P < .001$) and ALL (RR = 0.4, 95% CI = 0.3-0.6, $P < .001$; Table 2).

Acute GVHD. The unadjusted cumulative incidence of grade 2 to 4 acute GVHD was lower among CB recipients compared with that among BM recipients (32% vs 35% in AML, 28% vs 42% in ALL); the difference was significant in patients with ALL ($P = .39$ in AML, $P = .008$ in ALL). The difference was also significant on multivariate analyses in ALL (RR = 0.6, 95% CI = 0.4-1.0, $P = .028$). There was no significant difference in patients with AML (RR = 0.8, 95% CI = 0.6-1.2, $P = .23$; Table 2).

Chronic GVHD. The unadjusted cumulative incidence of chronic GVHD at 1 year after transplantation did not significantly differ between CB recipients and BM recipients in both AML (28% vs 32%, $P = .46$) and ALL (27% vs 30%, $P = .50$). The cumulative incidence of extensive-type chronic GVHD was significantly lower among CB recipients compared with that among BM recipients in both AML (8% vs 20%, $P < .001$) and ALL (10% vs 17%, $P = .034$). On multivariate analyses, the risk of developing chronic GVHD was similar in CB recipients and BM recipients in both AML (RR = 0.9, 95% CI = 0.6-1.4, $P = .79$) and ALL (RR = 1.1, 95% CI = 0.7-1.8, $P = .77$). The risk of developing extensive chronic GVHD was lower in CB recipients compared with BM recipients (RR = 0.4, 95% CI = 0.2-0.7, $P = .004$ in AML, and RR = 0.6, 95% CI, 0.3-1.2, $P = .14$ in ALL) and was significantly different in patients with AML (Table 2).

Discussion

The objective of our study was to investigate the outcomes of HLA-A, -B, low-resolution, and -DRB1 high-resolution 0 to 2 mismatched single-unit unrelated CBT in adult patients with acute leukemia compared with those of HLA-A, -B, -C, and -DRB1 (8 of 8) allele-matched unrelated BMT. Although AML and ALL are different diseases, previous comparisons of unrelated BMT and

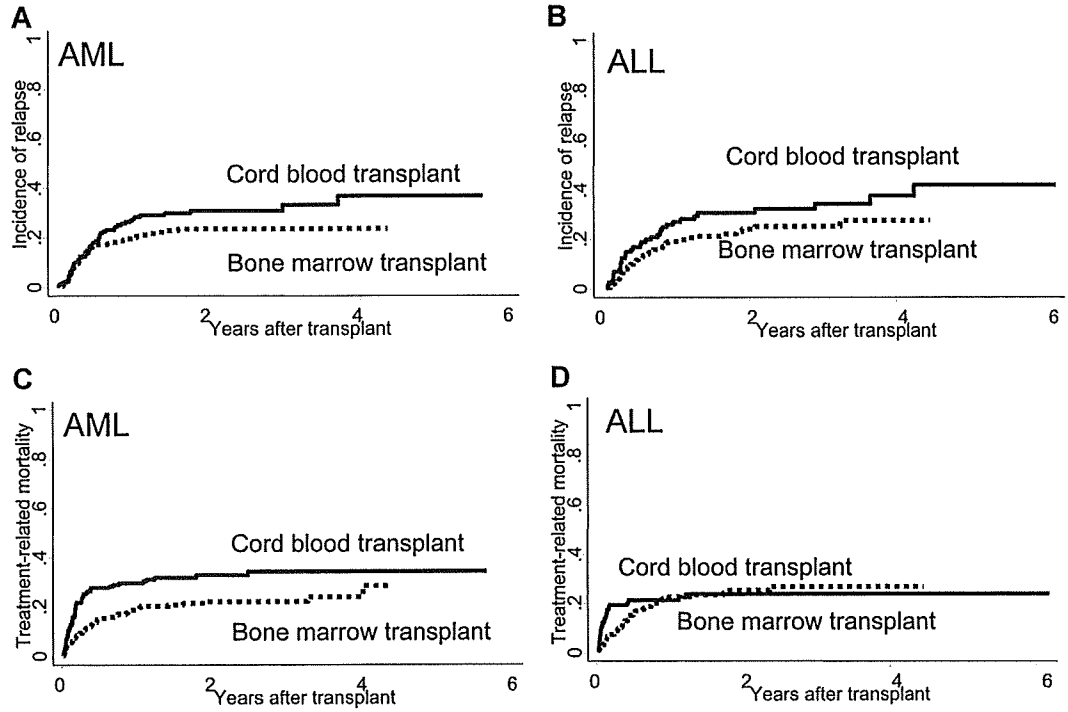

Figure 2. Cumulative incidence of relapse or TRM of recipients of CB or BM among patients with AML or ALL. For patients with AML, the cumulative incidence of (A) relapse (CB vs BM = 31% vs 24% at 2 years, $P = .068$) and (C) TRM (CB vs BM = 33% vs 22% at 2 years, $P = .004$) was higher in CB recipients. For patients with ALL, the cumulative incidence of relapse (B) was higher in CB recipients with marginal significance (CB vs BM = 31% vs 24% at 2 years, $P = .085$), but the incidence of TRM (D) was similar in CB and BM recipients (CB vs BM = 24% vs 25% at 2 years, $P = .83$).

Table 4. Causes of death after transplantation of unrelated cord blood or unrelated bone marrow among patients with acute myeloid leukemia or acute lymphoblastic leukemia

	Acute myeloid leukemia		Acute lymphoblastic leukemia	
Cause of death	UCBT	UBMT	UCBT	UBMT
Recurrence of disease	35 (37)	34 (33)	18 (36)	34 (41)
Graft failure/rejection	3 (3)	4 (4)	0 (0)	3 (4)
Graft-versus-host disease	6 (6)	7 (7)	3 (6)	5 (6)
Infection	22 (23)	19 (18)	13 (26)	11 (13)
Idiopathic pneumonia	4 (4)	4 (4)	2 (4)	6 (7)
Organ failure	17 (18)	17 (16)	8 (16)	10 (12)
Secondary cancer	0 (0)	1 (1)	0 (0)	0 (0)
Other causes	5 (5)	5 (5)	2 (4)	4 (5)
Unknown/data missing	2 (2)	13 (13)	4 (8)	10 (12)
Total	94 (100)	104 (100)	50 (100)	83 (100)

Data are presented as n (%).

UCBT indicates unrelated cord blood transplantation; and UBMT, unrelated bone marrow transplantation.

unrelated CBT did not separate these 2 diseases. Our report is the first to show the result of disease-specific analyses with a sufficient number of patients.

For AML patients, the recipients of CB were more likely to have advanced leukemia at the time of transplantation, as reported previously, suggesting that CB was used as an alternative stem cell source in the later phase of unrelated donor searches, especially in adults.[11,12,14] A larger proportion of CB recipients with ALL had the Philadelphia chromosome abnormality, which correlates with highly aggressive ALL and usually requires urgent transplantation, in which CB has an advantage over BM.[21]

Different outcomes of mortality were found between AML and ALL in a controlled comparison using multivariate analyses. Whereas significantly lower OS and LFS rates were observed in CB recipients with AML, rates of overall mortality and treatment failure were similar between CB and BM recipients with ALL. The relapse rate was not different between CBT and BMT in patients with both AML and ALL, which was consistent with previous reports.[11-13] In adult patients with ALL, a previous report showed no difference in the outcome of related compared with unrelated BM or peripheral blood transplantation in 1CR.[22] Favorable disease status at transplantation could be a more important factor affecting outcome rather than the type of stem cell source or donor type in patients with ALL. It is notable that TRM in HLA allele-matched unrelated BM recipients with AML was quite low in our study. This is probably associated with the low incidence of acute and chronic GVHD in the Japanese population, which is thought to be the result of genetic homogeneity.[23-26] Among patients with AML, although the difference was not statistically significant, a higher trend of TRM observed in CB recipients might be associated with higher overall and TRM rates in CB recipients. Reasons for higher TRM could include the graft source and delayed neutrophil recovery. Better supportive care is required after CBT for patients going through a prolonged neutropenic period. Development of better graft engineering or better conditioning regimens would help to decrease the TRM rate in CB recipients. Because relapse was the major cause of death in all groups, any attempt to decrease TRM should preserve the antileukemia effect to improve OS and LFS. Another reason for the higher TRM could be a higher risk patient population, higher risk for both disease status and comorbid conditions, requiring rapid transplantation. Searching for unrelated donors earlier and providing transplantation earlier in the disease course could help to decrease TRM in CB recipients.

Neutrophil and platelet recovery was slower in CB recipients with either AML or ALL, consistent with the results of previous reports.[11,12,27] Multiple studies have reported lower incidence of acute GVHD in CB recipients.[8-10,12,13] In our study, particularly in patients with ALL, the risk of developing grade 2 to 4 acute GVHD in CB recipients was lower compared with BM recipients, which was reported to be lower compared with the incidence reported from Western countries.[23-25] The risk of developing chronic GVHD was similar between CB and BM recipient with either disease, but the risk of developing extensive-type chronic GVHD was lower in CB recipients; the difference was significant in patients with AML. It is notable that there was no increase in the incidence of acute or chronic GVHD in CB recipients among patients with either AML or ALL, despite HLA disparity.

For differences in outcomes between AML and ALL, one possibility is a difference of treatment before conditioning therapy. Most AML patients received a more intense treatment for induction and consolidation therapy compared with that for ALL. There was no adjustment made for previous treatment, and this could be the reason for higher mortality in CBT, which requires a longer time for neutrophil recovery. Another possible cause of the difference in outcomes is the difference in conditioning regimens. Preparative regimens were similar between CB and BM recipients among ALL patients. However, in patients with AML, the proportion of standard regimens, such as cyclophosphamide and TBI or busulfan and cyclophosphamide, was smaller among CB recipients. These differences in the distribution of preparative regimens were also seen in a previous report.[11] Although the final model was adjusted for conditioning regimens, we cannot rule out the possibility of an effect that larger CB recipients received additional or different chemotherapeutic agents compared with BM recipients among patients with AML. Although the difference was small, the median age of CB recipients with AML was 4 years older than CB recipients with ALL (median age, 38 vs 34 years, $P = .021$), which might have affected the higher mortality rate among CB recipients with AML. It is also possible that some unknown biologic aspects have contributed to these differences, and this would require further evaluation in future studies.

Further subgroup analyses indicated that the superiority of HLA allele-matched BM versus CB for OS was mostly found in patients with AML showing 1CR at conditioning. However, because of the limited numbers of patients in these subgroup analyses and the possibility of an unidentified bias in stem cell source selection, our findings should be verified by further analysis in a larger population.

In conclusion, we found different outcomes between patients with AML and ALL, indicating the importance of disease-specific analyses in alternative donor studies. HLA-A, -B low-resolution, and -DRB1 high-resolution 0 to 2 mismatched single-unit CB is a favorable alternative stem cell source for patients without a suitable related or 8 of 8 matched unrelated BM donor. In the absence of a suitable donor, unrelated CBT should be planned promptly to transplant the patient while in a better disease status and better clinical condition. For patients with AML, decreasing mortality, especially in the early phase of transplantation, is required to improve the outcome for CB recipients.

Acknowledgments

The authors thank all the staff members of the collaborating institutes of the Japan Cord Blood Bank Network and Japan Marrow Donor Program for their assistance and cooperation and

Dr Takakazu Kawase for validating human leukocyte antigen data of the Japan Marrow Donor Program.

This work was supported by a Research Grant for Tissue Engineering (H17-014), a Research Grant for Allergic Disease and Immunology (H20-015), and a Research Grant for Cancer (H19-1) from the Japanese Ministry of Health, Labor, and Welfare.

Authorship

Contribution: Y.A. and R.S. designed the study and wrote the paper; Y.A. analyzed results and made the figures; S. Kato and Y.M. designed the research; T.-N.I., H.A., and M. Takanashi reviewed and cleaned the Japan Cord Blood Bank Network data and reviewed the results; S. Taniguchi, S. Takahashi, S. Kai, H.S., Y. Kouzai, M.K., and T.F. submitted and cleaned the data; and S.O., M. Tsuchida, K.K., Y.M., and Y. Kodera reviewed and cleaned the Japan Marrow Donor Program data and reviewed the results.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

A complete list of members from the Japan Marrow Donor Program and the Japan Cord Blood Bank Network can be found in the Supplemental Appendix (available on the *Blood* website; see the Supplemental Materials link at the top of the online article).

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