

Table 4
Comparison of brain MRI findings between NMO and MS.

	NMO patients (n = 38)	MS patients (n = 110)
Barkhof brain lesions ^a	7/38 (18.4%)*	71/110 (64.6%)*
≥9 T2 brain lesions	8/38 (21.1%)*	76/110 (69.1%)*
≥1 Gd-enhanced lesion	3/36 (8.3%)*	35/108 (32.4%)*
≥1 juxtacortical lesion	15/38 (39.5%)*	81/110 (73.6%)*
≥1 periventricular lesion	11/38 (29.0%)*	81/110 (73.6%)*
≥1 infratentorial lesion	13/38 (34.2%)*	72/110 (65.5%)*
Paty brain lesions ^b	17/38 (44.7%)*	92/110 (83.6%)*
Ovoid lesions	12/38 (31.6%)*	87/108 (80.6%)*
Atypical brain lesions	10/38 (26.3%)*	23/110 (20.9%)*
Extensive brain lesions	5/38 (13.2%)*	2/110 (1.8%)*
Bil. diencephalic lesions	0/38 (0.0%)	6/110 (5.5%)
Cavity formation	3/38 (7.9%)*	16/110 (14.6%)*
Extension from the cervical cord into brainstem	3/38 (7.9%)*	0/110 (0.0%)*

Bil. = bilateral; Gd = gadolinium; MS = multiple sclerosis; NMO = neuromyelitis optica.

^a Brain lesions fulfilling the Barkhof criteria [16].

^b Brain lesions fulfilling the Paty criteria [13].

* $P < 0.05$.

typical NMO patients than MS patients ($P^{\text{corr}} < 0.001$). The frequencies of OBs and an elevated IgG index were higher in MS patients than in typical NMO patients and NMO patients with brain lesions, but the differences did not reach statistical significance (Table 2).

The demographic features of patients with and without anti-AQP4 antibody are shown in Table 3. Female to male ratio, annualized relapse rate and frequencies of optic neuritis, severe optic neuritis, and LESCLs during the entire course, were significantly higher in anti-AQP4 antibody-positive patients than in anti-AQP4 antibody-negative patients ($P = 0.034$, $P = 0.0070$, $P < 0.0001$, $P = 0.00018$, and $P = 0.00015$, respectively).

3.2. Brain MRI findings

The frequencies of brain lesions fulfilling the Barkhof [16] or Paty [13] criteria were significantly higher in MS patients than in NMO patients (64.6% vs. 18.4%, $P < 0.0001$, and 83.6% vs. 44.7%, $P < 0.0001$, respectively). The frequency of ovoid lesions was similarly higher in MS patients than that in NMO patients (80.6% vs. 31.6%, $P < 0.001$). Although the frequency of total atypical brain lesions was not different between the two groups, extensive brain lesions (13.2% vs. 1.8%, $P = 0.012$) and lesions extending from the cervical cord into the brainstem (7.9% vs. 0.0%, $P = 0.016$) were significantly more common in NMO patients than in MS patients (Table 4).

When brain MRI features were compared among typical NMO patients, NMO patients with brain lesions, and MS patients, atypical brain lesions were most frequently found in NMO patients with brain lesions (Table 5). The frequencies of atypical brain lesions and extensive brain lesions were significantly higher in the NMO patients with brain lesions (52.9% and 29.4%, respectively) than in MS patients (20.9%, $P^{\text{corr}} = 0.038$, and 1.8%, $P^{\text{corr}} = 0.0013$, respectively) and typical NMO patients (4.8%, $P^{\text{corr}} = 0.0056$, and 0%, $P^{\text{corr}} = 0.037$ respectively). By contrast, ovoid lesions were significantly more commonly found in MS patients (80.6%) and NMO patients with brain lesions (64.7%) than in typical NMO patients (4.8%, $P^{\text{corr}} < 0.001$ and $P^{\text{corr}} < 0.001$, respectively).

In comparisons between those with and without anti-AQP4 antibody, brain lesions fulfilling the Barkhof criteria were significantly less common in anti-AQP4 antibody-positive patients (33.3%) than in anti-AQP4 antibody-negative patients (57.0%) ($P = 0.033$), while fulfilment of the Paty criteria during the entire clinical course was observed nearly as frequently in anti-AQP4 antibody-positive patients as in anti-AQP4 antibody-negative ones (74.1% vs. 73.5%) (Table 6). Among the items of Barkhof's criteria, the frequency of patients having ≥ nine T2 hyperintense lesions was significantly higher among anti-AQP4 antibody-negative patients than among anti-AQP4 antibody-positive patients ($P = 0.031$) while the frequencies of patients with ≥ 1 gadolinium-enhanced lesion, those with ≥ 1 juxtacortical lesion, those with ≥ 1 periventricular lesion and those with ≥ 1

Table 5
Comparison of brain MRI findings among patients with typical NMO, NMO with brain lesions and MS.

	Typical NMO patients (n = 21)	NMO with brain lesions (n = 17)	MS patients (n = 110)
Barkhof brain lesions ^a	0/21 (0.0%)*,**	7/17 (41.2%)**	71/110 (64.6%)*
≥9 T2 brain lesions	0/21 (0.0%)*,**	8/17 (47.1%)**	76/110 (69.1%)*
≥1 Gd-enhanced lesion	1/19 (5.3%)*	2/17 (11.8%)	35/108 (32.4%)*
≥1 juxtacortical lesion	6/21 (28.6%)*	9/17 (52.9%)*	81/110 (73.6%)*
≥1 periventricular lesion	0/21 (0.0%)*,**	11/17 (64.7%)**	81/110 (73.6%)*
≥1 infratentorial lesion	3/21 (14.3%)*,**	10/17 (58.8%)**	72/110 (65.5%)*
Paty brain lesions ^b	0/21 (0.0%)*,**	17/17 (100.0%)**	92/110 (83.6%)*
Ovoid lesions	1/21 (4.8%)*,**	11/17 (64.7%)**	87/108 (80.6%)*
Atypical brain lesions	1/21 (4.8%)*	9/17 (52.9%)*,**	23/110 (20.9%)*
Extensive brain lesions	0/21 (0.0%)*	5/17 (29.4%)*,**	2/110 (1.8%)*
Cavity formation	0/21 (0.0%)	3/17 (17.7%)	16/110 (14.6%)*
Bil. diencephalic lesions	0/21 (0.0%)	0/17 (0.0%)	6/110 (5.5%)
Extension from the cervical cord into brainstem	1/21 (4.8%)	2/17 (11.8%)	0/110 (0.0%)

Bil. = bilateral; Gd = gadolinium; MS = multiple sclerosis; NMO = neuromyelitis optica.

*, ** Corrected $P < 0.05$.

^a Brain lesions fulfilling the Barkhof criteria [16].

^b Brain lesions fulfilling the Paty criteria [13].

Table 6

Comparison of brain MRI findings between anti-AQP4 antibody-positive and -negative patients with NMO and MS.

	Anti-AQP4 antibody-positive patients (n = 27)	Anti-AQP4 antibody-negative patients (n = 121)
Barkhof brain lesions ^a	9/27 (33.3%)*	69/121 (57.0%)*
≥ 9 T2 brain lesions	10/27 (37.0%)*	74/121 (61.2%)*
≥ 1 Gd-enhanced lesion	4/27 (14.8%)	34/117 (29.1%)
≥ 1 juxtacortical lesion	13/27 (48.2%)	83/121 (68.6%)
≥ 1 periventricular lesion	13/27 (48.2%)	78/121 (64.5%)
≥ 1 infratentorial lesion	12/27 (44.4%)	73/121 (60.3%)
Paty brain lesions ^b	20/27 (74.1%)	89/121 (73.5%)
Ovoid lesions	13/27 (48.2%)*	86/119 (72.3%)*
Atypical brain lesions	10/27 (37.0%)*	23/121 (19.0%)
Extensive brain lesions	5/27 (18.5%)*	2/121 (1.7%)*
Bil. diencephalic lesions	0/27 (0.0%)	6/121 (5.0%)
Cavity formation	4/27 (14.8%)	15/121 (12.4%)
Extension from the cervical cord into brainstem	2/27 (7.4%)	1/121 (0.8%)

Bil. = bilateral; Gd = gadolinium; MS = multiple sclerosis; NMO = neuromyelitis optica.

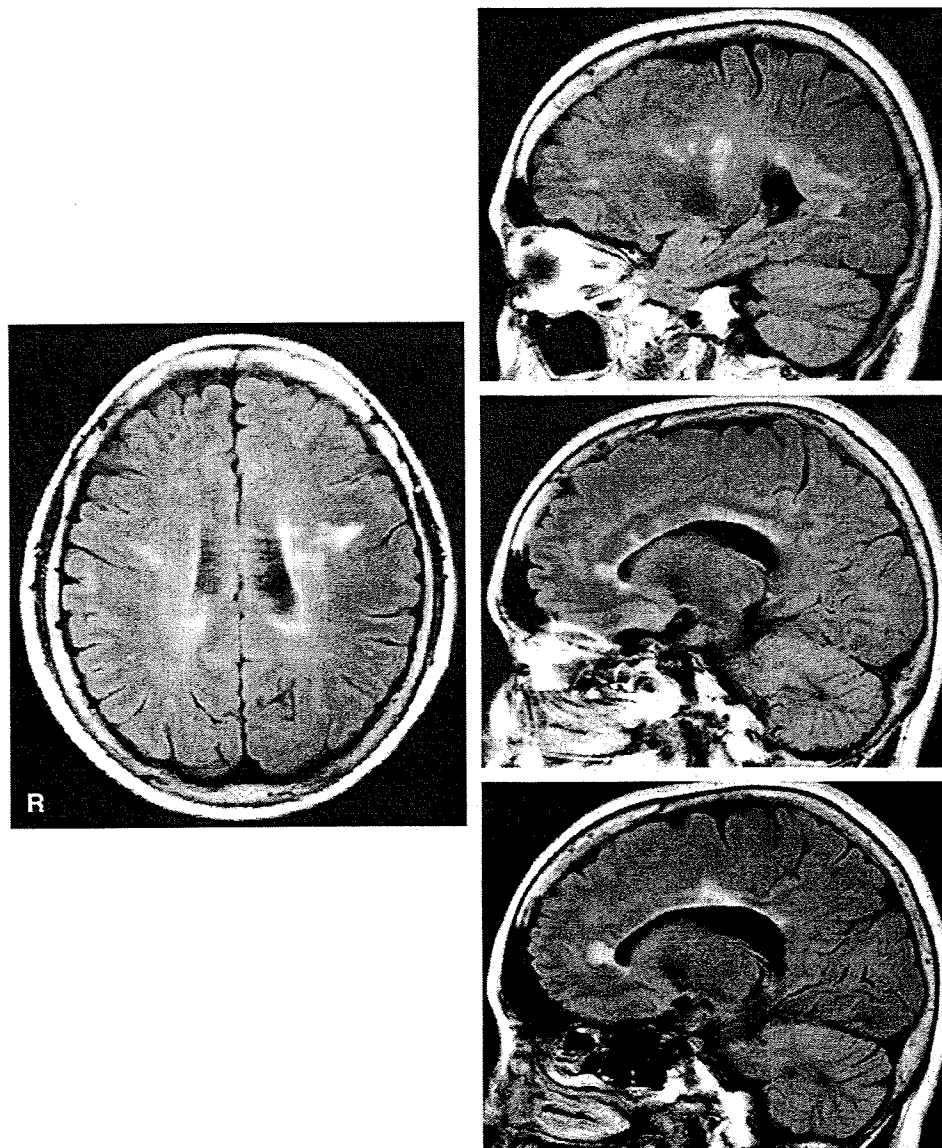
^a Brain lesions fulfilling the Barkhof criteria [16].^b Brain lesions fulfilling the Paty criteria [13].* $P < 0.05$.

Fig. 1. Axial and sagittal fluid-attenuated inversion recovery (FLAIR) images in an MS patient with a high titre of anti-AQP4 antibody (1:4096). She had 15 years of illness and her EDSS score was 1 at the time of the MRI scan. Note the presence of ovoid periventricular lesions typical of MS.

Table 7
Comparison of demographic features between MS patients with and without CSF OB/high IgG index.

	MS with OB/high IgG index (n = 54)	MS without OB/high IgG index (n = 30)
No. of female/male patients	38/16 (2.4:1)	18/12 (1.5:1)
Age at onset (years) ^a	28.7 ± 10.4*	35.9 ± 15.5*
Disease duration (years) ^a	12.0 ± 10.1	10.9 ± 8.6
Relapse rate ^a	0.67 ± 0.42	0.71 ± 0.56
EDSS score ^a	3.8 ± 2.6	3.2 ± 2.5
Frequency of symptoms:		
Optic neuritis	31/54 (57.4%)	16/30 (53.3%)
Bilateral optic neuritis	6/54 (11.1%)	4/30 (13.3%)
Severe optic neuritis (FS ≥ 5)	18/54 (33.3%)	11/30 (36.7%)
Myelitis	44/54 (81.5%)	26/30 (86.7%)
Acute transverse myelitis	8/54 (14.8%)	8/30 (26.7%)
Secondary progression	8/54 (14.8%)	1/30 (3.3%)
CSF:		
Marked pleocytosis (≥50/μl)	2/52 (3.9%)	2/30 (6.7%)
Neutrophilia (≥5/μl)	3/48 (6.3%)	1/30 (3.3%)
LESCLs during the entire course	15/54 (27.8%)	9/30 (30.0%)

The upper normal range of the IgG index was derived from our previous study [24]. CSF = cerebrospinal fluid; EDSS = Kurtzke's Expanded Disability Status Scale [17]; FS = Kurtzke's Visual Functional Scale [17]; LESCLs = longitudinally extensive spinal cord lesions; MS = multiple sclerosis; OB = oligoclonal IgG bands.

^a Means ± SD.

* $P < 0.05$.

infratentorial lesion did not differ significantly between the two groups. About a half of patients with anti-AQP4 antibody had ovoid periventricular lesions (Fig. 1), but the frequency of ovoid lesions was less common in anti-AQP4 antibody-positive patients than in anti-AQP4 antibody-negative patients (48.2% vs. 72.3%, $P = 0.022$). By contrast, atypical brain lesions were present more frequently in patients with anti-AQP4 antibody (37.0%) than in those without the antibody (19.0%) ($P = 0.070$). Among these, extensive brain lesions were observed more commonly in anti-AQP4 antibody-positive patients (18.5%) than in antibody-negative patients (1.7%) ($P = 0.0023$). However, the frequencies of other atypical lesions did not differ significantly between anti-AQP4 antibody-positive and -negative patients.

Finally, we compared clinical features between MS patients with and without CSF OB/high IgG index, and found that age at onset was significantly younger in MS patients with CSF OB/high IgG index than in MS patients without it ($P = 0.036$) (Tables 7 and 8). Moreover, the frequencies of brain lesions fulfilling the Barkhof and Paty criteria and ovoid lesions were significantly higher in those with CSF OB/high IgG index than in those without it ($P = 0.00040$, $P = 0.014$, and $P = 0.030$, respectively).

4. Discussion

By extensive analyses of brain MRI of Japanese patients with MS and NMO, we found that MS-like brain lesions were more common in anti-AQP4 antibody-negative patients than in those with the antibody, while extensive brain lesions were more frequently observed in the latter than in the former; however, about 30 to 50% of either NMO or anti-AQP4 antibody-positive patients had brain MRI lesions that were indistinguishable from those associated with MS. Surprisingly, anti-AQP4 antibody-positive patients had periventricular ovoid lesions more frequently than atypical brain lesions. Even in patients who met the revised NMO criteria [3], MS-like brain lesions, including periventricular ovoid lesions, were more frequently observed than atypical brain lesions in the present series. The presence of typical MS-like brain lesions, such as periventricular ovoid lesions, suggests that considerable overlap exists in MRI appearance between patients with NMO who have anti-AQP4 antibody and classical MS patients without anti-AQP4 antibody. The fact that we [11] and others [4,10] observed that around 10% of classical MS patients harbour NMO-IgG/anti-AQP4 antibody further supports such an overlap between the two conditions. In fact, among

Table 8
Comparison of brain MRI findings between MS patients with and without CSF OB/high IgG index.

	MS with OB/high IgG index (n = 54)	MS without OB/high IgG index (n = 30)
Barkhof brain lesions ^a	45/54 (83.3%)*	13/30 (43.3%)*
≥ 9 T2 brain lesions	46/54 (85.2%)*	16/30 (53.3%)*
≥ 1 Gd-enhanced lesion	19/53 (35.9%)	8/30 (26.7%)
≥ 1 juxtacortical lesion	46/54 (85.2%)*	19/30 (63.3%)*
≥ 1 periventricular lesion	46/54 (85.2%)*	19/30 (63.3%)*
≥ 1 infratentorial lesion	40/54 (74.1%)	17/30 (56.7%)
Paty brain lesions ^b	51/54 (94.4%)*	22/30 (73.3%)*
Ovoid lesions	48/53 (90.6%)*	21/30 (70.0%)*
Atypical brain lesions	15/54 (27.8%)	3/30 (10.0%)
Extensive brain lesions	0/54 (0.0%)	0/30 (0.0%)
Bil. diencephalic lesions	5/54 (9.3%)	0/30 (0.0%)
Cavity formation	11/54 (20.4%)	3/30 (10.0%)
Extension from the cervical cord into brainstem	0/54 (0.0%)	0/30 (0.0%)

Bil. = bilateral; Gd = gadolinium; MS = multiple sclerosis.

^a Brain lesions fulfilling the Barkhof criteria [16].

^b Brain lesions fulfilling the Paty criteria [13].

* $P < 0.05$.

patients with anti-AQP4 antibody, ovoid lesions were more commonly encountered than atypical brain lesions. It is therefore suggested that a common mechanism may in part be operative in these two conditions, especially in producing periventricular ovoid lesions, irrespective of the presence or absence of anti-AQP4 antibody. Ovoid periventricular lesions are said to be caused by T cells invading along the postcapillary high endothelial venules, which perpendicularly radiate from the lateral ventricular walls [18]. Thus, T cells might also play an important role in producing the brain lesions in the patients with anti-AQP4 antibody, but the target antigens could be different from those in patients without the antibody. We also previously reported cases showing seroconversion during the course of MS [11]. All of these findings support the notion that there are cases in whom anti-AQP4 antibody can be produced during the course of idiopathic demyelinating diseases, such as MS, and secondarily modify the clinical features, like anti-neurofascin antibody [19].

Among atypical brain lesions, only the extensive brain lesions seemed to be significantly more frequent in anti-AQP4 antibody-positive patients than in anti-AQP4 antibody-negative patients in our series. We previously reported that extensive brain lesions showed a vasogenic oedema pattern on diffusion-weighted MRI [11,20]. In AQP4 knock-out mice, cytotoxic oedema is ameliorated [21] while vasogenic oedema becomes worse [22]. Destruction of AQP4 on astrocyte foot processes by complement activation by anti-AQP4 antibody might well retard the resolution of vasogenic oedema, which tends to cause extensive oedematous brain lesions associated with inflammation in patients with anti-AQP4 antibody.

In the present study, NMO patients with brain lesions showed a significantly higher annualized relapse rate than typical NMO patients, suggesting a high disease activity in the former. Indeed, frequencies of severe optic neuritis, LESCLs and cavity formation were all higher in the former than in the latter, although this difference was not statistically significant. In addition, anti-AQP4 antibody positivity rate was highest in NMO patients with brain lesions among the three groups examined. Therefore, development of brain lesions in NMO patients may reflect high disease activity and the presence of anti-AQP4 antibody, and thus be regarded as a warning sign for a grave clinical course.

The positivity rate of CSF OB/high IgG index in our MS patients was lower than those reported for Caucasians with MS [23]. However, the positivity rate was similar to those previously reported in Asian patients with MS [24–26]. The disparities between Western and Asian MS patients may be related to differences in genetic backgrounds. Interestingly, MS patients with CSF OB/high IgG index showed not only a significantly younger age at onset but also higher frequency of brain lesions fulfilling the Barkhof criteria [16] than those without it. These findings suggest that MS with CSF OB/high IgG index has similar features to classical Western-type MS, even in Asians.

In summary, up to a half of anti-AQP4 antibody-positive patients could develop classical MS-like brain lesions, which is even more frequent than the development of so-called atypical brain lesions. Because the presence of anti-AQP4 antibody can modify treatment response, as shown previously [11], anti-AQP4 antibody should be tested for even in patients with classical MS-like features, especially in Asians.

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Influence of *HLA-DRB1* alleles on the susceptibility and resistance to multiple sclerosis in Japanese patients with respect to anti-aquaporin 4 antibody status

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Abstract

Background: Epistatic interactions between human leukocyte antigen (*HLA-DRB1*) alleles alter multiple sclerosis (MS) risk in Caucasians. Such interactions have never been studied in Asian MS patients.

Objective: To investigate the influence of *HLA-DRB1* alleles, including epistatic interactions at this locus, in Japanese MS patients with and without the anti-aquaporin 4 (AQP4) antibody.

Methods: The *HLA-DRB1* locus was genotyped in 108 MS patients and 127 healthy controls. MS patients were further classified into two groups according to anti-AQP4 antibody status (27 positive and 81 negative).

Results: *HLA-DRB1*09* (adjusted odds ratio (OR) = 0.243, 95% confidence interval (CI) 0.099–0.533) and *HLA-DRB1*01* (adjusted OR = 0.327, 95% CI 0.103–0.873) decreased the incidence of anti-AQP4 antibody-negative MS. By contrast, *HLA-DRB1*12* increased the risk of anti-AQP4 antibody-positive MS (adjusted OR = 3.691, 95% CI 1.233–10.565). Individuals with *HLA-DRB1*09/15* decreased the risk of anti-AQP4 antibody-negative MS (adjusted OR = 0.164, 95% CI 0.026–0.593), while those with *HLA-DRB1*12/15* increased the risk of anti-AQP4 antibody-positive MS (adjusted OR = 10.870, 95% CI 2.004–81.752).

Conclusions: The ability of *HLA-DRB1*09* to reduce the risk of anti-AQP4 antibody-negative MS may arise from an interaction with *HLA-DRB1*15*. By contrast, *HLA-DRB1*12* increases susceptibility to anti-AQP4 antibody-positive MS, possibly via an interaction with *HLA-DRB1*15*.

Keywords

aquaporin 4, autoantibody, epistatic interaction, *HLA-DRB1*, multiple sclerosis, neuromyelitis optica

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Introduction

Multiple sclerosis (MS) is a demyelinating disease of the central nervous system (CNS), whereas neuromyelitis optica (NMO) is an inflammatory disease selectively affecting the optic nerves and spinal cord. The nosological position of NMO has long been a matter of debate. The recent discovery of a specific immunoglobulin G (IgG) against NMO,¹ designated NMO-IgG, targeting aquaporin 4 (AQP4),² suggests that NMO is a distinct disease entity with a fundamentally different aetiology from MS. MS is rare in Asians; however, when it appears, the selective but severe involvement of the optic nerves and spinal cord is characteristic.³ This form, termed opticospinal MS (OSMS), has similar features to the relapsing form of NMO in Western populations.⁴ Based on the detection of the NMO-IgG/anti-AQP4 antibody in 30–60% of Japanese OSMS patients,^{5–7} OSMS has been suggested to be the same disease entity as the relapsing form of NMO.

The present authors previously reported on the existence of anti-AQP4 antibody-positive and -negative OSMS patients in Japan^{6,7} and the differences in the clinical features between the two, including the responses to disease-modifying therapy: the former group were not responsive to interferon beta-1b while the latter did respond. We also revealed that the human leukocyte antigen (*HLA*)-*DPB1*0501* allele is

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associated only with anti-AQP4 antibody-positive OSMS, but not with anti-AQP4 antibody-negative OSMS or classical (conventional) MS (CMS).⁸ These findings collectively suggest that anti-AQP4 antibody-positive OSMS patients are distinct from anti-AQP4 antibody-negative MS patients. However, it is still uncertain whether the anti-AQP4 antibody directly causes NMO or if it is simply a disease-modifying factor in MS patients.

MS, like all complex traits, is determined by multiple genetic and environmental factors, and its features vary depending on genetic background.⁹ The largest genetic effect comes from the major histocompatibility complex (MHC) class II region. In Caucasians, the allele *HLA-DRB1*15* is associated with MS. However, it was not until recently that possible epistatic interactions among *HLA* alleles have attracted the attention of MS researchers; it has now been shown that the alleles *HLA-DRB1*10*, *HLA-DRB1*01* and *HLA-DRB1*08* interact specifically with the *HLA-DRB1*15* allele to alter MS risk in Caucasians.^{10,11} All previous HLA studies in Asian MS patients, with the exception of our above-mentioned study,⁸ were carried out before the discovery of NMO-IgG. As the NMO-IgG/anti-AQP4 antibody was found in up to 25% of MS patients in a consecutive series of Japanese cases,^{6,7} it is critical to clarify the NMO-IgG/anti-AQP4 antibody status before any HLA study. No study of Japanese MS patients has investigated interactions at the *HLA-DRB1* locus. We report here the first analysis of *HLA-DRB1* allelic associations and epistatic interactions in Japanese MS patients with and without the anti-AQP4 antibody.

Materials and methods

Patients and controls

The patients who enrolled in the present study all fulfilled the criteria for clinically definite relapsing-remitting MS, as defined by Poser et al.¹² and were thoroughly examined at the MS clinic in the Department of Neurology at Kyushu University Hospital between 1987 and 2007. Informed consent for the collection of DNA was obtained from 108 MS patients and 127 unrelated healthy controls (HCs). Among 108 MS patients, 27 (25.0%) were positive for anti-AQP4 antibody, 21 of whom (77.8%) also met the revised NMO criteria,¹³ while 81 (75%) were negative for anti-AQP4 antibody, 7 of whom (8.6%) also met the NMO criteria.

Anti-AQP4 antibody assay

The level of anti-AQP4 antibody was measured, as described previously,^{6,7} using green fluorescent protein-AQP4 fusion protein-transfected human embryonic kidney cells. Serum samples diluted 1:4

were assayed for the anti-AQP4 antibody. Each sample was assayed at least twice, with the examiners blind to the origin of the specimens. Samples that gave a positive result twice were deemed to be positive.

HLA-DRB1 genotyping

The genotypes of the *HLA-DRB1* alleles were determined by hybridization between the products of polymerase chain reaction (PCR) amplification of the *HLA-DRB1* genes and sequence-specific oligonucleotide probes, as described previously.^{8,14}

Statistical analysis

Allele frequencies among groups were compared using the chi-squared test or Fisher's exact probability test. To clarify the associations among *HLA-DRB1* alleles, we conducted multiple logistic regression analyses. The candidate variables were all of the two-digit *HLA-DRB1* allelotypes. All variables that could significantly improve the model of association were selected in a stepwise manner. Allelic effects were added or removed if $P < 0.05$ in the stepwise model selection. All analyses were performed using JMP 6.0.3 (SAS Institute, Cary, USA), except for Fisher's exact probability test, which was performed using the R package (R version 2.5.1, The R Foundation for Statistical Computing, Vienna, Austria). In all tests, statistical significance was set at $P < 0.05$.

Results

The influence of *HLA-DRB1* alleles on MS susceptibility and resistance

The frequency of each *HLA-DRB1* allele was compared between MS patients and HCs (Table 1). Monovariate analysis revealed that MS patients had the *HLA-DRB1*09* allele less frequently than HCs (9.3% vs 29.1%, $P = 0.0001$). There was no significant difference in the frequency of the *HLA-DRB1*15* allele. By multiple logistic regression, *HLA-DRB1*09* was negatively associated with MS (adjusted odds ratio (OR) = 0.228, 95% confidence interval (CI) 0.102–0.472) and *HLA-DRB1*01* was also shown to be negatively associated with MS (adjusted OR = 0.394, 95% CI 0.154–0.934).

The influence of *HLA-DRB1* alleles on anti-AQP4 antibody-negative MS susceptibility and resistance

Among anti-AQP4 antibody-negative MS patients, the *HLA-DRB1*09* frequency was lower (9.9% vs 29.1%, $P = 0.0010$) and the *HLA-DRB1*04* higher (60.5% vs 40.2%, $P = 0.0042$) than among HCs (Table 2).

Table 1. Allelic ORs for MS for alleles at the *HLA-DRB1* locus

<i>DRB1</i> *X	MS (n = 108)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	8 (7.4)	18 (14.2)	0.0994	0.484	0.202–1.163	0.0406	0.394	0.154–0.934
03 (%)	2 (1.9)	2 (1.6)	1	1.179	0.163–8.515	–		
04 (%)	56 (51.9)	51 (40.2)	0.0728	1.605	0.956–2.694	–		
07 (%)	0 (0.0)	1 (0.8)	1	0		–		
08 (%)	26 (24.1)	30 (23.6)	0.9354	1.025	0.562–1.872	–		
09 (%)	10 (9.3)	37 (29.1)	0.0001	0.248	0.117–0.528	0.0001	0.228	0.102–0.472
10 (%)	1 (0.9)	1 (0.8)	1	1.178	0.073–19.053	–		
11 (%)	3 (2.8)	3 (2.4)	1	1.181	0.233–5.975	–		
12 (%)	13 (12.0)	11 (8.7)	0.3944	1.443	0.618–3.368	–		
13 (%)	10 (9.3)	17 (13.4)	0.4126	0.660	0.289–1.510	–		
14 (%)	16 (14.8)	14 (11.0)	0.4356	1.404	0.651–3.027	–		
15 (%)	40 (37.0)	50 (39.4)	0.7139	0.906	0.534–1.537	–		
16 (%)	2 (1.9)	2 (1.6)	1	1.179	0.163–8.515	–		

CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; OR, odds ratio.

Table 2. Allelic ORs for anti-AQP4 antibody-negative MS for alleles at the *HLA-DRB1* locus

<i>DRB1</i> *X	Anti-AQP4 Ab (–) MS (n = 81)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	5 (6.2)	18 (14.2)	0.0728	0.398	0.142–1.120	0.0362	0.327	0.103–0.873
03 (%)	1 (1.2)	2 (1.6)	1	0.781	0.070–8.758	–		
04 (%)	49 (60.5)	51 (40.2)	0.0042	2.282	1.291–4.033	–		
07 (%)	0 (0.0)	1 (0.8)	1	0		–		
08 (%)	17 (21.0)	30 (23.6)	0.6578	0.859	0.438–1.684	–		
09 (%)	8 (9.9)	37 (29.1)	0.0010	0.267	0.117–0.608	0.0008	0.243	0.099–0.533
10 (%)	1 (1.2)	1 (0.8)	1	1.575	0.097–25.539	–		
11 (%)	2 (2.5)	3 (2.4)	1	1.046	0.171–6.402	–		
12 (%)	6 (7.4)	11 (8.7)	0.7475	0.844	0.299–2.378	–		
13 (%)	7 (8.6)	17 (13.4)	0.2964	0.612	0.242–1.549	–		
14 (%)	10 (12.4)	14 (11.0)	0.7710	1.137	0.479–2.698	–		
15 (%)	31 (38.3)	50 (39.4)	0.8741	0.955	0.539–1.692	–		
16 (%)	1 (1.2)	2 (1.6)	1	0.781	0.070–8.758	–		

Ab, antibody; AQP4, aquaporin 4; CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; OR, odds ratio.

By multiple logistic analysis, *HLA-DRB1**09 (adjusted OR = 0.243, 95% CI 0.099–0.533) and *HLA-DRB1**01 (adjusted OR = 0.327, 95% CI 0.103–0.873) alleles were shown to be associated with a decreased risk of anti-AQP4 antibody-negative MS.

The influence of *HLA-DRB1* alleles on susceptibility and resistance to anti-AQP4 antibody-positive MS

*HLA-DRB1**12 frequency was higher among anti-AQP4 antibody-positive MS patients than HCs (25.9% vs 8.7%, $P = 0.0112$), while *HLA-DRB1**09 was under-represented in the former group (7.4% vs 29.1%,

$P = 0.0260$). Logistic regression indicated that only *HLA-DRB1**12 was associated with a significantly increased risk of anti-AQP4 antibody-positive MS (adjusted OR = 3.691, 95% CI 1.233–10.565) (Table 3).

Interaction of the *HLA-DRB1**09 allele with other alleles

To test whether *HLA-DRB1**09 interacted with other *HLA-DRB1* alleles, allele frequencies were compared between *HLA-DRB1**09-carrying MS patients and HCs. *HLA-DRB1**09-carrying anti-AQP4 antibody-negative

Table 3. Allelic ORs for anti-AQP4 antibody-positive MS for alleles at the *HLA-DRB1* locus

<i>DRB1</i> *X	Anti-AQP4 Ab (+) MS (n = 27)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	3 (11.1)	18 (14.2)	1	0.757	0.206–2.777	–		
03 (%)	1 (3.7)	2 (1.6)	0.4415	2.404	0.210–27.506	–		
04 (%)	7 (25.9)	51 (40.2)	0.1943	0.522	0.206–1.323	–		
07 (%)	0 (0.0)	1 (0.8)	1	0		–		
08 (%)	9 (33.3)	30 (23.6)	0.2920	1.617	0.658–3.972	–		
09 (%)	2 (7.4)	37 (29.1)	0.0260	0.195	0.044–0.864	–		
10 (%)	0 (0.0)	1 (0.8)	1	0		–		
11 (%)	1 (3.7)	3 (2.4)	0.5414	1.590	0.159–15.893	–		
12 (%)	7 (25.9)	11 (8.7)	0.0112	3.691	1.279–10.651	0.0157	3.691	1.233–10.565
13 (%)	3 (11.1)	17 (13.4)	1	0.809	0.220–2.981	–		
14 (%)	6 (22.2)	14 (11.0)	0.1160	2.306	0.796–6.681	–		
15 (%)	9 (33.3)	50 (39.4)	0.5579	0.770	0.321–1.849	–		
16 (%)	1 (3.7)	2 (1.6)	0.4415	2.404	0.210–27.506	–		

Ab, antibody; AQP4, aquaporin 4; CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; OR, odds ratio.

Table 4. Genotypic ORs for MS for individuals carrying *HLA-DRB1**09

<i>DRB1</i> *X /09	MS (n = 108)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	0 (0.0)	2 (1.6)	0.5011	0		–		
04 (%)	3 (2.8)	8 (6.3)	0.2327	0.425	0.110–1.644	–		
08 (%)	1 (0.9)	2 (1.6)	1	0.584	0.052–6.532	–		
09 (%)	1 (0.9)	2 (1.6)	1	0.584	0.052–6.532	–		
12 (%)	0 (0.0)	1 (0.8)	1	0		–		
13 (%)	1 (0.9)	2 (1.6)	1	0.584	0.052–6.532	–		
14 (%)	1 (0.9)	2 (1.6)	1	0.584	0.052–6.532	–		
15 (%)	3 (2.8)	17 (13.4)	0.0041	0.185	0.053–0.649	0.0084	0.185	0.042–0.570
16 (%)	0 (0.0)	1 (0.8)	1	0		–		
Total (%)	10 (9.3)	37 (29.1)						

CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; OR, odds ratio.

MS patients and HCs, and *HLA-DRB1**09-carrying anti-AQP4 antibody-positive MS patients and HCs. Individuals with *HLA-DRB1**09/15 had a decreased risk of not only MS (adjusted OR = 0.185, 95% CI 0.042–0.570) (Table 4), but also anti-AQP4 antibody-negative MS (adjusted OR = 0.164, 95% CI 0.026–0.593) (Table 5). There were no significant interactions between *HLA-DRB1**09 and other alleles in anti-AQP4 antibody-positive MS patients (data not shown).

Interaction of the *HLA-DRB1**12 allele with other alleles

As *HLA-DRB1**12 increased the risk of anti-AQP4 antibody-positive MS significantly, interactions between this allele and other alleles were also assessed (Table 6).

Individuals with an *HLA-DRB1**12/15 genotype had an increased risk of anti-AQP4 antibody-positive MS (adjusted OR = 10.870, 95% CI 2.004–81.752). No other significant risk factor was found.

The influence of *HLA-DRB1* alleles on the susceptibility and resistance to MS with respect to the NMO criteria

In a group of 28 NMO patients who met the NMO criteria,¹³ the frequency of *HLA-DRB1**09 was significantly lower (0.0% vs 29.1%, $P = 0.0003$) and that of *HLA-DRB1**12 (25.0% vs 8.7%, $P = 0.0146$) was significantly higher compared with healthy controls by monivariate analysis; however, no variable remained significant in the stepwise multiple logistic analysis (Table 7).

Table 5. Genotypic ORs for anti-AQP4 antibody-negative MS for individuals carrying *HLA-DRB1*09*

<i>DRB1</i> *X/09	Anti-AQP4 Ab (-) MS (n = 81)	HCS (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	0 (0.0)	2 (1.6)	0.5222	0		–		
04 (%)	3 (3.7)	8 (6.3)	0.5342	0.572	0.147–2.223	–		
08 (%)	1 (1.2)	2 (1.6)	1	0.781	0.070–8.758	–		
09 (%)	1 (1.2)	2 (1.6)	1	0.781	0.070–8.758	–		
12 (%)	0 (0.0)	1 (0.8)	1	0		–		
13 (%)	1 (1.2)	2 (1.6)	1	0.781	0.070–8.758	–		
14 (%)	0 (0.0)	2 (1.6)	0.5222	0		–		
15 (%)	2 (2.5)	17 (13.4)	0.0066	0.164	0.037–0.729	0.0176	0.164	0.026–0.593
16 (%)	0 (0.0)	1 (0.8)	1	0		–		
total (%)	8 (9.9)	37 (29.1)						

Ab, antibody; AQP4, aquaporin 4; CI, confidence interval; HCS, healthy controls; MS, multiple sclerosis; OR, odds ratio.

Table 6. Genotypic ORs for anti-AQP4 antibody-positive MS for individuals carrying *HLA-DRB1*12*

<i>DRB1</i> *X/12	Anti-AQP4 Ab (+) MS (n = 27)	HCS (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	1 (3.7)	2 (1.6)	0.4415	2.404	0.210–27.506	–		
04 (%)	0 (0.0)	4 (3.2)	1	0		–		
08 (%)	1 (3.7)	0 (0.0)	0.1753			–		
09 (%)	0 (0.0)	1 (0.8)	1	0		–		
13 (%)	1 (3.7)	0 (0.0)	0.1753			–		
14 (%)	0 (0.0)	2 (1.6)	1	0		–		
15 (%)	4 (14.8)	2 (1.6)	0.0090	10.870	1.880–62.842	0.0077	10.870	2.004–81.752
total (%)	7 (25.9)	11 (8.7)						

Ab, antibody; AQP4, aquaporin 4; CI, confidence interval; HCS, healthy controls; MS, multiple sclerosis; OR, odds ratio.

In the non-NMO MS patient group, excluding patients who met the NMO criteria and those who had the anti-AQP4 antibody but did not fulfil the NMO criteria (NMO spectrum disorders), monivariate analysis revealed that the frequencies of *HLA-DRB1*01* (4.1% vs 14.2%, $P = 0.0299$) and *HLA-DRB1*09* (10.8% vs 29.1%, $P = 0.0026$) were lower and that the frequency of *HLA-DRB1*04* was higher (63.5% vs 40.2%, $P = 0.0014$) than that of the healthy controls. By stepwise multiple logistic analysis, *HLA-DRB1*01* (adjusted OR = 0.257, 95% CI 0.058–0.826) and *HLA-DRB1*09* (adjusted OR = 0.323, 95% CI 0.129–0.735) significantly reduced the risk of non-NMO MS relative to healthy controls, while *HLA-DRB1*04* significantly increased that risk (adjusted OR = 1.917, 95% CI 1.028–3.602) (Table 8).

According to the results of the multivariate analysis, we next conducted an analysis for the interaction of

either *HLA-DRB1*01*, *HLA-DRB1*04*, and *HLA-DRB1*09* with other alleles. For *HLA-DRB1*01*, no allele had a significant interaction (data not shown). For *HLA-DRB1*04*, *HLA-DRB1*04/04* (adjusted OR = 5.488, 95% CI 2.153–15.288), *HLA-DRB1*04/14* (adjusted OR = 4.482, 95% CI 1.285–17.869), and *HLA-DRB1*04/15* (adjusted OR = 2.561, 95% CI 1.022–6.435) significantly increased the risk of non-NMO MS (Table 9). Finally, for *HLA-DRB1*09*, *HLA-DRB1*09/15* significantly decreased the risk of non-NMO MS (adjusted OR = 0.180, 95% CI 0.028–0.652) (Table 10).

Discussion

This study is the first to investigate interactions among *HLA-DRB1* alleles in Asian MS patients according to anti-AQP4 antibody status. Owing to the rarity of MS in

Table 7. Allelic ORs for NMO for alleles at the *HLA-DRB1* locus

<i>DRB1</i> *X	NMO ^a (n = 28)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	5 (17.9)	18 (14.2)	0.6196	1.316	0.443–3.908	–		
03 (%)	2 (7.1)	2 (1.6)	0.1496	4.808	0.647–35.702	–		
04 (%)	9 (32.1)	51 (40.2)	0.4306	0.706	0.296–1.683	–		
07 (%)	0 (0.0)	1 (0.8)	1	0		–		
08 (%)	8 (28.6)	30 (23.6)	0.5816	1.293	0.517–3.234	–		
09 (%)	0 (0.0)	37 (29.1)	0.0003	0		–		
10 (%)	1 (3.6)	1 (0.8)	0.3296	4.667	0.283–76.957	–		
11 (%)	2 (7.1)	3 (2.4)	0.2218	3.180	0.506–19.989	–		
12 (%)	7 (25.0)	11 (8.7)	0.0146	3.515	1.223–10.100	–		
13 (%)	3 (10.7)	17 (13.4)	1	0.777	0.211–2.855	–		
14 (%)	5 (17.9)	14 (11.0)	0.3183	1.755	0.575–5.352	–		
15 (%)	8 (28.6)	50 (39.4)	0.2851	0.616	0.252–1.506	–		
16 (%)	1 (3.6)	2 (1.6)	0.4523	2.315	0.203–26.458	–		

^aNMO means those who fulfil the NMO criteria¹³ among MS patients.

CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; NMO, neuromyelitis optica; OR, odds ratio.

Table 8. Allelic ORs for non-NMO MS for alleles at the *HLA-DRB1* locus

<i>DRB1</i> *X	non-NMO MS ^a (n = 74)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	3 (4.1)	18 (14.2)	0.0299	0.256	0.073–0.901	0.0389	0.257	0.058–0.826
03 (%)	0 (0.0)	2 (1.6)	0.5324	0		–		
04 (%)	47 (63.5)	51 (40.2)	0.0014	2.594	1.436–4.687	0.0414	1.917	1.028–3.602
07 (%)	0 (0.0)	1 (0.8)	1	0		–		
08 (%)	15 (20.3)	30 (23.6)	0.5824	0.822	0.409–1.654	–		
09 (%)	8 (10.8)	37 (29.1)	0.0026	0.295	0.129–0.675	0.0101	0.323	0.129–0.735
10 (%)	0 (0.0)	1 (0.8)	1	0		–		
11 (%)	0 (0.0)	3 (2.4)	0.2987	0		–		
12 (%)	4 (5.4)	11 (8.7)	0.5792	0.603	0.185–1.965	–		
13 (%)	6 (8.1)	17 (13.4)	0.2569	0.571	0.215–1.519	–		
14 (%)	10 (13.5)	14 (11.0)	0.5995	1.261	0.530–3.003	–		
15 (%)	30 (40.5)	50 (39.4)	0.8701	1.050	0.585–1.885	–		
16 (%)	1 (1.4)	2 (1.6)	1	0.856	0.076–9.607	–		

^aBoth patients who met the NMO criteria and those who had anti-AQP4 antibody but did not fulfil the NMO criteria (NMO spectrum disorder) were excluded.

AQP4, aquaporin 4; CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; NMO, neuromyelitis optica; OR, odds ratio.

the Japanese population, the number of cases studied was relatively low, which reduced the statistical power of the present study. Nonetheless, we did find a protective effect of *HLA-DRB1**09 in anti-AQP4 antibody-negative MS patients and a predisposing effect of *HLA-DRB1**12 in anti-AQP4 antibody-positive MS. Moreover, epistatic interactions among *HLA-DRB1* alleles were distinct depending on the presence or absence of the anti-AQP4 antibody: the *HLA-DRB1**09/15 genotype was highly under-represented in anti-AQP4 antibody-negative MS patients compared with controls, whereas the

*HLA-DRB1**12/15 genotype was over-represented in anti-AQP4 antibody-positive MS patients.

A protective effect of *HLA-DRB1**01 in anti-AQP4 antibody-negative MS patients is in good accord with findings in Caucasians.^{10,11,15} We and others had previously reported that the frequencies of HLA-DR9 antigen¹⁶ and the *HLA-DRB1**09¹⁷ allele were significantly lower in MS patients compared with controls. In the present study, we have demonstrated that *HLA-DRB1**09 is a protective factor for anti-AQP4 antibody-negative MS. *HLA-DRB1**09 is one of the

Table 9. Genotypic ORs for non-NMO MS for individuals carrying *HLA-DRB1*04*

<i>DRB1*X/04</i>	Non-NMO MS ^a (n = 74)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	1 (1.4)	4 (3.2)	0.6537	0.421	0.046–3.841	—		
04 (%)	15 (20.3)	7 (5.5)	0.0012	0.229	0.089–0.593	0.0006	5.488	2.153–15.288
06 (%)	0 (0.0)	1 (0.8)	1	—	—	—		
07 (%)	0 (0.0)	1 (0.8)	1	—	—	—		
08 (%)	5 (6.8)	9 (7.1)	1	1.053	0.339–3.268	—		
09 (%)	3 (4.1)	8 (6.3)	0.7494	0.629	0.162–2.447	—		
12 (%)	1 (1.4)	4 (3.2)	0.6537	0.421	0.046–3.841	—		
13 (%)	4 (5.4)	2 (1.6)	0.1955	0.280	0.050–1.567	—		
14 (%)	7 (9.5)	4 (3.2)	0.1031	0.311	0.088–1.102	0.0217	4.482	1.285–17.869
15 (%)	11 (14.9)	11 (8.7)	0.1743	0.543	0.223–1.323	0.0429	2.561	1.022–6.435
total (%)	47 (63.5)	51 (40.2)						

^aBoth patients who met the NMO criteria and those who had anti-AQP4 antibody but did not fulfil the NMO criteria (NMO spectrum disorder) were excluded.

AQP4, aquaporin 4; CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; NMO, neuromyelitis optica; OR, odds ratio.

Table 10. Genotypic ORs for non-NMO MS for individuals carrying *HLA-DRB1*09*

<i>DRB1*X/09</i>	Non-NMO MS ^a (n = 74)	HCs (n = 127)	Crude P	Crude OR	95% CI	Adjusted P	Adjusted OR	95% CI
01 (%)	0 (0.0)	2 (1.6)	0.5324	0	—	—		
04 (%)	3 (4.1)	8 (6.3)	0.7494	0.629	0.162–2.447	—		
08 (%)	1 (1.4)	2 (1.6)	1	0.856	0.076–9.607	—		
09 (%)	1 (1.4)	2 (1.6)	1	0.856	0.076–9.607	—		
12 (%)	0 (0.0)	1 (0.8)	1	0	—	—		
13 (%)	1 (1.4)	2 (1.6)	1	0.856	0.076–9.607	—		
14 (%)	0 (0.0)	2 (1.6)	0.5324	0	—	—		
15 (%)	2 (2.7)	17 (13.4)	0.0121	0.180	0.040–0.802	0.0244	0.180	0.028–0.652
16 (%)	0 (0.0)	1 (0.8)	1	0	—	—		
total (%)	8 (10.8)	37 (29.1)						

^aBoth patients who met the NMO criteria and those who had anti-AQP4 antibody but did not fulfil the NMO criteria (NMO spectrum disorder) were excluded.

AQP4, aquaporin 4; CI, confidence interval; HCs, healthy controls; MS, multiple sclerosis; NMO, neuromyelitis optica; OR, odds ratio.

most common alleles in the Japanese population, but is quite rare in the Caucasian populations,¹⁸ which may make the protective effect of *HLA-DRB1*09* in individuals of Northern European descent difficult to detect. As individuals with a *HLA-DRB1*09/15* genotype had a decreased risk of anti-AQP4 antibody-negative MS, the protective effect of *HLA-DRB1*09* may come from reducing the susceptibility effect of *HLA-DRB1*15*, which is clearly associated with Caucasian MS. The effect of *HLA-DRB1*09* on the risk-increasing effect of *HLA-DRB1*15* may explain the observation that the frequency of *HLA-DRB1*15* was not over-represented in anti-AQP4 antibody-negative MS patients. The risk-reducing effects of *HLA-DRB1*01*

and *HLA-DRB1*09*, and of the *HLA-DRB1*09/15* genotype was also observed in non-NMO MS, further supporting the protective actions of these genes in MS. A number of mechanisms for the protection exerted by resistance alleles have been proposed, including the generation of antigen-specific suppressor thymus (T)-cells,¹⁹ deletion of autoreactive T-cells,²⁰ and the alteration of the immune response through poor engagement of encephalitogenic peptides;²¹ however, none of this has yet been proven. Alternatively, other genes in linkage disequilibrium with the resistance alleles could be interacting in *cis* or *trans* to reduce MS risk.¹¹ Recently, *HLA-DRB1*09* was also shown to be negatively associated with ulcerative colitis in

Japanese patients.^{22,23} Collectively, it is assumed that *HLA-DRB1*09*, or some gene(s) in linkage disequilibrium with it, protects against certain autoimmune diseases, at least in the Japanese population.

In addition, *HLA-DRB1*09* also significantly decreased the risk of anti-AQP4 antibody-positive MS in monovariate analysis. We previously reported that *HLA-DPBI*0501* increases the risk of OSMS,²⁴ especially anti-AQP4 antibody-positive OSMS.⁸ However, the effects of *HLA-DRB1*09* and *HLA-DRB1*12* observed in the present study are independent of *HLA-DPBI*0501* (data not shown) and *HLA-DRB1* and *-DPBI* alleles are not in tight linkage disequilibrium in the Japanese population. It is thus suggested that although there is a great difference in terms of clinical and pathological features among patients who have anti-AQP4 antibodies and those who do not, there appears to be some genetic similarity between these groups with regards to the protection conferred by *HLA-DRB1*09*.

Although our results indicate some genetic overlap at the *HLA-DRB1* gene locus in terms of resistance to anti-AQP4 antibody-positive and -negative MS in Japanese patients, disease susceptibility alleles appear to be distinct between patients with different anti-AQP4 antibody status. Based on these results, we suggest that *HLA-DRB1*12* acts to increase the risk of anti-AQP4 antibody-positive MS, but has no effect on the risk of anti-AQP4 antibody-negative MS. *HLA-DRB1*12* appears to have similar effects in patients meeting the NMO criteria to those with anti-AQP4 antibody; however, the effects were significant only when looking at anti-AQP4 antibody-positive patients, suggesting that the effects are more anti-AQP4 antibody-related rather than NMO criteria-related. Interestingly, *HLA-DRB1*12* has been reported to increase the risk of allergic disorders, such as asthma,²⁵ urticaria,²⁶ and food allergy.²⁷ In allergic disorders, type 2 helper T (Th2) cells play a pivotal role. A contribution of Th2 cells is also suggested in both NMO and OSMS cases with anti-AQP4 antibody: eosinophil infiltration in the CNS lesions, heightened humoral immune responses and increases in the levels of Th2 cytokines in peripheral blood and CSF are observed.^{7,28-30} Thus, *HLA-DRB1*12* may confer susceptibility to anti-AQP4 antibody-positive MS and NMO through Th2 cell-mediated mechanisms.

We found a significant association of *HLA-DRB1*04* with non-NMO MS, which only became evident after excluding two sets of patients; those who met the NMO criteria and those who had anti-AQP4 antibody but who did not fulfil the NMO criteria. *HLA-DRB1*04/04*, *HLA-DRB1*04/14*, and *HLA-DRB1*04/15* genotypes increased the risk of non-NMO MS and the risk effect was especially

pronounced in patients carrying *HLA-DRB1*04* in both alleles. HLA-DR4 was previously shown to be associated with MS in Sardinia,^{31,32} the Canaries,³³ and Turkey.³⁴ Indeed, even in a Japanese population, exclusion of patients with NMO and NMO spectrum disorders resulted in the same conclusion, indicating an association of *HLA-DRB1*04* with non-NMO MS. Thus, *HLA-DRB1*04* is considered to be a susceptibility gene for non-NMO MS, even in East Asians. *HLA-DRB1*15* may contribute to increase the risk of non-NMO MS via an interaction with *HLA-DRB1*04* in the Japanese patients.

Recently, Cree et al.³⁵ reported that among African Americans, no OSMS patients with the anti-AQP4 antibody carried the *HLA-DRB1*15* allele; however, there was no significant difference in the frequency of the allele between healthy controls and the OSMS patients grouped irrespective of anti-AQP4 antibody status. We also found no significant difference in the *HLA-DRB1*15* frequency between anti-AQP4 antibody-positive MS patients and the controls, yet it was a little lower in the former than in the latter. Although the possibility of a false positive cannot be discarded, because of the small number of anti-AQP4 antibody-positive MS patients, an interaction between *HLA-DRB1*12* and *HLA-DRB1*15* was shown to increase the risk of anti-AQP4 antibody-positive MS in Japanese patients. The genetic risk for the development of anti-AQP4 antibody autoimmunity may vary with ethnic background. In any case, the present findings are preliminary due to the small sample size. The influence of the *DRB1* allele on anti-AQP4 antibody-positive MS deserves further studies in a larger cohort.

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Phenotypic spectrum of hereditary neuralgic amyotrophy caused by the SEPT9 R88W mutation

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Phenotypic spectrum of hereditary neuralgic amyotrophy caused by the *SEPT9* R88W mutation

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ABSTRACT

Background: Hereditary neuralgic amyotrophy (HNA), also known as hereditary brachial plexus neuropathy, has phenotypic and genetic heterogeneity. Mutations in the septin 9 (*SEPT9*) gene were recently identified in some HNA patients. The phenotypic spectrum of HNA caused by *SEPT9* mutations is not well known.

Objective: To characterise the phenotype of a large family of HNA patients with the *SEPT9* R88W mutation.

Methods: We report clinical, electrophysiological, neuroimaging and genetic findings of six HNA patients from a Japanese family.

Results: All 17 neuropathic episodes identified were selectively and asymmetrically distributed in the upper-limb nerves. Severe pain was an initial symptom in 16 episodes (94%). Motor weakness occurred in 15 (88%) and sensory signs in 10 (59%). A minor dysmorphism, hypotelorism, was seen in all. Nerve conduction studies revealed focal demyelination as well as prominent axonal degeneration changes. Needle electromyography revealed chronic neurogenic patterns only in the upper limbs. An MRI study showed a gadolinium-enhanced brachial plexus. The missense mutation c.262C>T; p.R88W was found in exon 2 of *SEPT9* in all patients.

Conclusions: The *SEPT9* R88W mutation in this family causes selective involvement of the brachial plexus and upper-limb nerves. Wider and more universal recognition of clinical hallmarks and genetic counselling are of diagnostic importance for HNA caused by the *SEPT9* mutation.

Hereditary neuralgic amyotrophy (HNA), also known as hereditary brachial plexus neuropathy, is a rare autosomal dominant disorder involving recurrent episodes of painful brachial plexus neuropathies.¹ Minor dysmorphism and triggers preceding the neuropathic episode were observed in some HNA patients.² HNA has phenotypic heterogeneity in terms of the distribution of neuropathic episodes (selective brachial plexus neuropathy or neuropathic involvement other than the upper-limb nerves) and disease course (classical relapsing-remitting type or chronic undulating type).³⁻⁵

Previous genetic linkage analysis mapped the HNA locus to chromosome 17q25.⁶ Recently, three genetic mutations in septin 9 (*SEPT9*), a cytoskeletal filament forming protein, were identified in HNA families of European origin.⁶ By contrast, linkage to chromosome 17q25 has been excluded in some HNA families, suggesting genetic heterogeneity in HNA.⁷ Although the clinical features of HNA have been extensively described, some of the neurological features of patients carrying *SEPT9* mutations have been described only in two families.^{8,9} Here we report detailed clinical, electrophysiological

and neuroradiological findings in a large Japanese HNA family with the *SEPT9* R88W mutation, with the aim of characterising the phenotype of HNA caused by the *SEPT9* mutation.

METHODS

Six HNA patients from a Japanese pedigree (fig 1A) underwent clinical interviews, neurological examinations, conventional nerve conduction study (NCS) and needle electromyography (EMG) in the remission stage after written informed consent was obtained. Activities of daily living were assessed using a modified Rankin scale.¹⁰ Conduction block and temporal dispersion were defined according to the American Association of Electrodiagnostic Medicine guidelines.¹¹ An MRI scan of the brachial plexus was performed in one patient in the acute stage. We performed genetic tests for *SEPT9* mutations using peripheral blood samples obtained from six patients and one unaffected family member, as previously described.⁶

RESULTS

Clinical features

The clinical data are summarised in table 1. The mean age at disease onset was 18.3 years. Past medical history was not significant, except for diabetes mellitus in one (patient II-5). Motor signs were multifocally and asymmetrically documented only in the upper limbs (fig 1B). Sensory signs were less prominent than motor signs. The grades on the modified Rankin scale ranged between 0 (asymptomatic) and 2 (slight disability). The minor dysmorphisms we observed included hypotelorism in six patients (fig 1C), short stature in five patients, and skin creases and deformed auricles in two patients.

Neuropathic episodes

All 17 neuropathic episodes we identified were selectively distributed only in the upper limbs. There were preceding triggers, including strenuous work, childbirth, a minor traffic accident and repeated muscular injections, in seven episodes (41%). The triggers were not always the same in each patient. Pain was an initial symptom in 94% of episodes. Motor weakness was observed in 88%; sensory symptoms were observed in 59%. One patient (II-5) received an intravenous immunoglobulin (IVIG) infusion, which resulted in mild improvement of muscle power, hypaesthesia and decreased compound muscle action potential amplitudes in the median nerve. The patients completely recovered from the symptoms following 41% of the neuropathic episodes. In fifteen

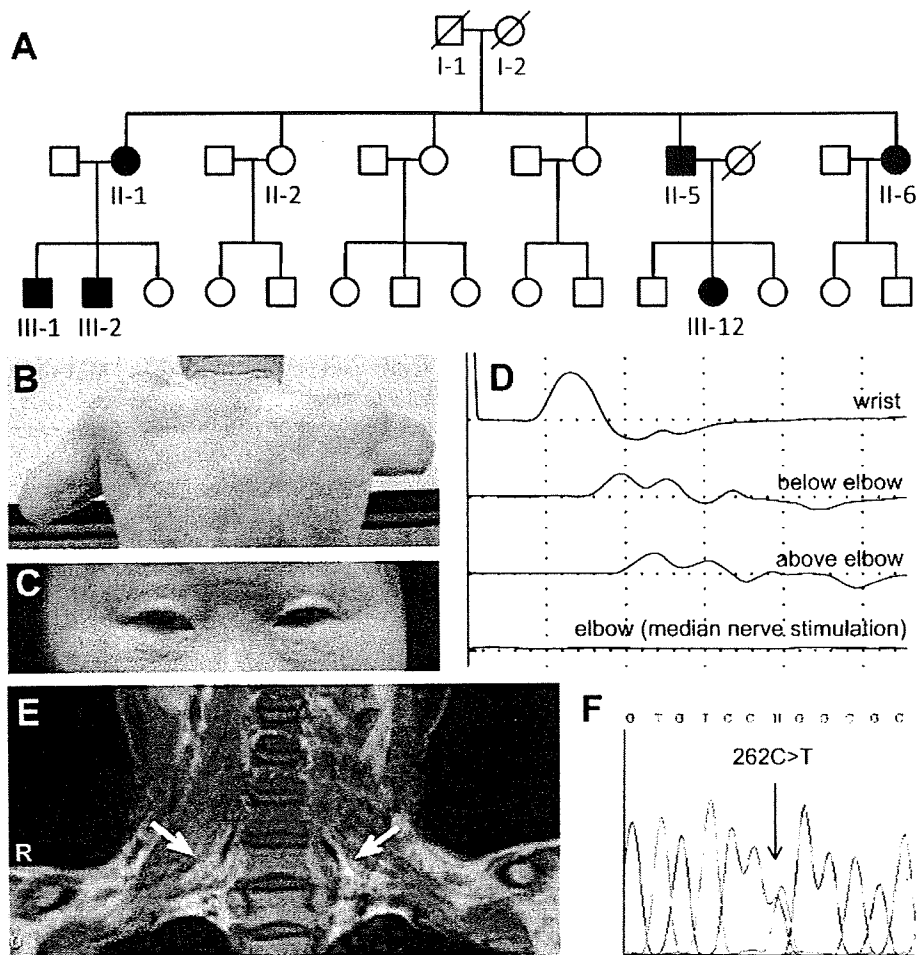
Figure 1 Clinical findings in patients.

(A) Pedigree of the present family.

Squares, males; circles, females; filled, affected; diagonal line, deceased.

(B) Asymmetrical atrophy and weakness of shoulder girdle muscles.

(C) Hypotelorism (close-set eyes) seen in a patient.

(D) An abnormal temporal dispersion in the left ulnar motor nerve between the wrist and below the elbow. Simultaneous median nerve stimulation at the elbow. This elicited no action potential in the digital abductor muscle, indicating an absence of Martin-Gruber anastomosis. Scale = 5 mV/division, 5 ms/division. (E) T1-weighted MRI image showing the gadolinium-enhanced lower brachial plexus (arrows) of a patient. (F) A heterozygous *SEPT9* mutation; c.262C>T, in exon 2 of a patient.

episodes (88%), the patients presented with classical relapsing-remitting courses, while two episodes were chronically undulating.

Electrophysiological findings

The NCS revealed axonal alterations in the upper limbs of all patients and in the lower limbs of a patient with diabetes (table 1). Demyelinating features, such as decreased conduction velocities

and prolonged latencies, were documented in five patients. One patient (III-1) showed a partial conduction block at the median nerve between the wrist and elbow. Two patients showed temporal dispersions at the median nerves between the wrist and elbow (Patient II-1) and at the ulnar nerve (Patient II-5, fig 1D). EMG disclosed multifocally or diffusely distributed chronic neurogenic patterns only in the upper limbs of all patients.

Table 1 Clinical and electrophysiological findings in patients

Patient	II-1	II-5	II-6	III-1	III-2	III-12
Clinical findings						
Age (years)/sex	69/F	60/M	58/F	43/M	41/M	32/F
Age at the first episode (years)	28	12	22	18	11	19
No of episodes	2	3	2	4	4	2
Motor weakness at UE (R/L)	-1/-2	-1/-3	N/N	-1/-2	N/-2	N/-1
Sensory loss at UE (R/L)	N/-2	-1/-1	N/N	N/N	N/-2	N/-1
mRS	2	2	0	2	1	1
Nerve conduction study						
Axonal degeneration changes at UE	+ (S)	+ (M, S)	+ (S)	+ (S)	+ (M)	+ (S)
Demyelinative changes at UE	+ (M)	+ (M)	+ (M, S)	+ (M)	+ (M)	-
Focal demyelination at UE	TD	TD	-	CB	-	-
Abnormalities at LE	-	+ (S)	-	-	-	-

-1, -2 and -3 represent mild, moderate, and severe degree of signs.

CB, conduction block; LE, lower extremity; M, motor nerve; mRS, modified Rankin Scale (0, asymptomatic; 1, no significant disability despite symptoms; 2, slight disability; 3, moderate disability; 4, moderately severe disability; 5, severe disability); N, normal; R/L, right/left; S, sensory nerve; TD, temporal dispersion; UE, upper extremity.

Short report

Neuroimaging findings

An MRI scan in one patient (II-6), taken during an acute attack, showed T2 hyperintensities and gadolinium enhancement in the brachial plexus (fig 1E).

Genetic findings

In all of the HNA patients, sequencing of exon 2 of *SEPT9* revealed the point mutation c.262C>T; p.R88W (fig 1F), but this mutation was not found in the unaffected family member (II-2).

DISCUSSION

We described a Japanese HNA family with a *SEPT9* R88W mutation, members of which have the following characteristics: (1) the upper-limb nerves, especially the brachial plexus, are selectively involved; (2) hypotelorism is highly diagnostic among minor dysmorphic features; (3) electrophysiological focal demyelination can occur in addition to axonal degeneration changes. The number of relapses and the age at the first episode were not correlated with the patient's recovery and disability. The diabetic state in one patient (II-5), who had the greatest disability, might be a factor aggravating HNA.

The selective brachial plexus neuropathy phenotype in this family is in contrast to the findings of a previous large HNA study showing a high frequency (56%) of clinical involvement of the nerves other than upper-limb nerves.⁴ This discrepancy may result from the genetic heterogeneity of HNA. Although this is a family study, another reported HNA family carrying the *SEPT9* R88W mutation also showed selective involvement of the brachial plexus.⁷ This *SEPT9* mutation is clearly related to a highly preferential involvement of the brachial plexus among HNA patients.

Focal demyelinations in our electrophysiological findings may be secondary to primary axonal alterations. However, such secondary focal demyelinations are typically transitory. An alternative explanation is that glial components, such as Schwann cells and myelin, are another target in HNA caused by *SEPT9* mutations. Of interest is a previous histological study that showed minor onion bulb formations in an HNA patient,¹² suggestive of Schwann cell alterations. *SEPT9* is highly expressed in Schwann cells in peripheral nerves.¹⁵ The pathological *SEPT9* mutation results in dysfunction of Rho/Rhotekin signalling,¹³ which is essential for proper myelination¹⁴ and determines T cell function.¹⁵ The highly frequent painful onset, contrast-enhancement of the brachial plexus and some responsiveness to IVIG in our patients suggest an inflammatory contribution to brachial plexus attacks associated with the *SEPT9* mutation. Thus, it is possible that the inflammatory attacks by the genetically altered immune system on peripheral

nerves, where Schwann cells abundantly produce mutated *SEPT9* proteins, resulted in the focal demyelination.

The present study is the first report of a *SEPT9* mutation in a non-Caucasian population, suggesting a worldwide distribution of *SEPT9* mutations. Wider and more universal recognition of clinical hallmarks and genetic counselling are of diagnostic importance for this potentially inflammatory-mediated and therapeutically interventional disorder.¹³

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Targeted lipidomics reveals mPGES-1-PGE2 as a therapeutic target for multiple sclerosis

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The arachidonic acid (AA) cascade produces eicosanoids, such as prostaglandins (PGs), that regulate physiological and pathological functions. Although various nonsteroidal anti-inflammatory drugs have been developed, blocking upstream components (cyclooxygenase-1 and -2) of the AA cascade leads to severe side effects, including gastrointestinal ulcers and cardiovascular events, respectively, due to the complexity of the AA cascade. Here, using an AA cascade-targeted lipidomics approach, we report that microsomal PGE synthase 1 (mPGES-1) plays a key role in experimental autoimmune encephalomyelitis (EAE). Eicosanoids (mainly PGD₂) are produced constitutively in the spinal cord of naive mice. However, in EAE lesions, the PGE₂ pathway is favored and the PGD₂, PGI₂, and 5-lipoxygenase pathways are attenuated. Furthermore, mPGES-1^{-/-} mice showed less severe symptoms of EAE and lower production of IL-17 and IFN- γ than mPGES-1^{+/+} mice. Expression of PGE₂ receptors (EP1, EP2, and EP4) was elevated in EAE lesions and correlated with clinical symptoms. Immunohistochemistry on central nervous systems of EAE mice and multiple sclerosis (MS) patients revealed overt expression of mPGES-1 protein in microglia/macrophages. Thus, the mPGES-1-PGE₂-EPs axis of the AA cascade may exacerbate EAE pathology. Our findings have important implications for the design of therapies for MS.

autoimmunity | demyelination | lipid mediator | mass spectrometry | T_H17

Multiple sclerosis (MS) is the most prevalent autoimmune disorder of the central nervous system (CNS), with neurological symptoms caused by inflammation and demyelination (1). Studies of experimental autoimmune encephalomyelitis (EAE), an animal model for MS, have shown that autoreactive T cells secreting IL-17 (T_H17 cells) and IFN- γ (T_H1 cells) are involved in EAE/MS pathogenesis (2–4).

In an effort to understand and treat this complex disease, lipids have emerged as one of the targets for developing drugs. Membrane lipids have been identified as autoantigens in MS and EAE pathologies (5). In addition to membrane components, lipids play roles in cell–cell interaction as autacoids. Arachidonic acid (AA) is released from membrane glycerophospholipids by the action of cytosolic phospholipase A₂ α (cPLA₂ α) (6, 7). Released AA is further converted into prostaglandins (PGs), leukotrienes (LTs), lipoxins, and hydroxy-eicosatetraenoic acids (HETEs), collectively termed eicosanoids, by cyclooxygenases (COXs), lipoxygenases (LOs), and terminal enzymes (8). Involvement of eicosanoids and related lipid mediators has been reported in EAE, collagen-induced arthritis, and other immunological disorders (6, 7, 9). Previously, we and others clearly demonstrated the importance of cPLA₂ α in EAE pathology by genetically and pharmacologically ablated mouse studies (10–12). Furthermore, cPLA₂ α expression and activities were up-regulated in the spinal cords (SCs) of EAE mice (13). However, it remains totally elusive which eicosanoids downstream of cPLA₂ α are involved in the EAE induction and exacerbation. Miyamoto et al. (14) demonstrated that COX-2^{-/-} mice develop EAE with comparable severity to wild-type control mice, while indomethacin, a nonsteroidal anti-inflammatory drug (NSAID),

prevents EAE. However, all mice treated with effective doses of indomethacin died of gastrointestinal bleeding (14). On the other hand, 5-LO^{-/-} or 12/15-LO^{-/-} mice developed more severe EAE than control wild-type mice (15), while pharmacological studies showed different results (12). Previous sketchy studies to focus on individual eicosanoids in EAE or MS patients have obvious limitations, as >20 different eicosanoids are produced by the concerted actions of cPLA₂ α and downstream enzymes (Fig. S1) (16–18). To overcome such complexity and some controversy, we evaluated the cascade in the SCs of naive and EAE mice by the nonbiased top-down approach (AA cascade-targeted lipidomics and transcriptomics) and confirmed the results from knockout studies.

Results

AA Cascade-Targeted Transcriptomics Imply That AA Cascade Plays Important Roles in EAE Pathology. C57BL/6 mice were immunized with a myelin oligodendrocyte glycoprotein 35–55 (MOG_{35–55}) peptide to induce EAE and monitored daily (Fig. 1A). As described in refs. 13 and 19, the course of the disease is divided into induction, acute, and chronic phases in accordance with the clinical symptoms (Fig. 1A). We collected the SCs, spleen, and plasma of naive mice and EAE mice in these three phases.

To determine which enzymes and receptors in the AA cascade are involved in EAE pathology, AA cascade-targeted transcriptomics of the SCs was performed by quantitative RT-PCR (Fig. 1B). Components immediately downstream of cPLA₂ α are COX-1/2, 5-LO/FLAP, and 12/15-LO, whose expression levels were highly up-regulated (Fig. 1B). Large part of terminal enzymes and receptors, such as microsomal PGE synthase 1 (mPGES-1), were substantially up-regulated in the SCs of EAE mice (Fig. 1B). However, expression levels of PGI synthase (PGIS) and lipocalin-type PGDS (L-PGDS) were down-regulated in the induction and acute phases of EAE, respectively, and then returned to the basal levels in the chronic phase (Fig. 1B). The PGI₂, PGE₂, and LT receptor (IP, EP1/2/4, BLT1, and CysLT1) gene expression was up-regulated in the acute phase of EAE (Fig. 1B). A correlation between the gene expression and the clinical score was observed for COX-1, H-PGDS, EP1/2/4, BLT1, CysLT1, etc. (Fig. S2). These results imply that AA cascade profoundly affects the pathogenesis of EAE.

AA Cascade-Targeted Lipidomics Reveals That the PGE₂ Pathway Is Favored in EAE Lesions. The lipidomics approaches disclosed the constitutive production of eicosanoids in the SCs of naive mice and

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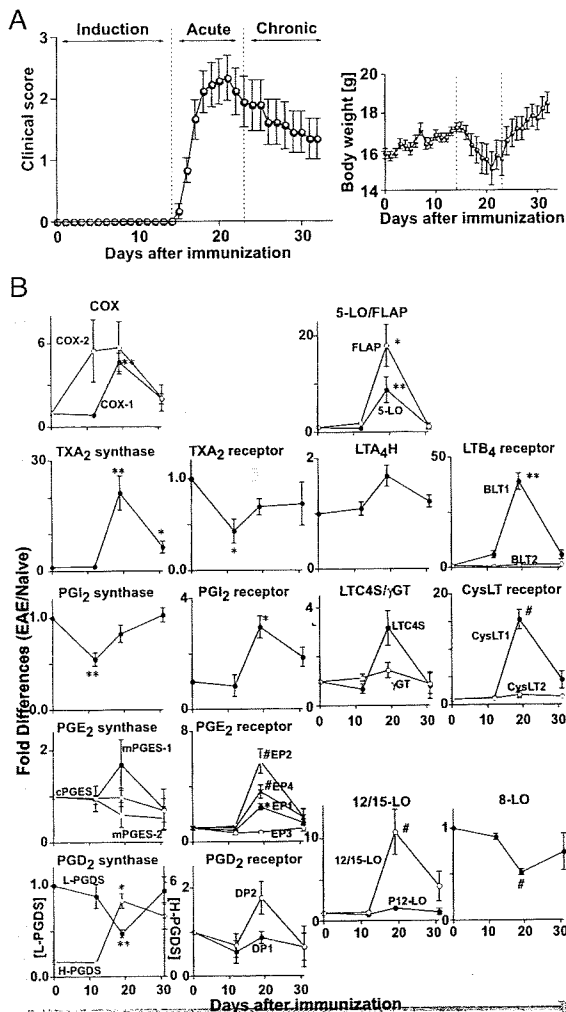


Fig. 1. AA cascade-targeted transcriptomics analysis. (A) C57BL/6 female mice were immunized with the MOG₃₅₋₅₅ peptide. Mice were monitored and weighed daily. Data are the mean clinical score and body weight \pm SEM of eight animals. (B) Expression of AA cascade related transcripts was estimated using the comparative C_T method with the SCs of naive mice and EAE mice in the induction, acute, and chronic phases ($n = 6, 5, 6,$ and 5 animals, respectively). The relative abundance of mRNA levels in EAE mice compared with naive mice is shown. Data represent means \pm SEM. #, $P < 0.001$, **, $P < 0.01$, *, $P < 0.05$ compared with naive mice using the Kruskal-Wallis test with Dunn's post-hoc test.

the AA cascade dynamics during EAE (Fig. 2). Approximately 90% of the eicosanoids in the SCs of naive mice were derived from the COX pathway (Fig. S3). The rank order of eicosanoids in the SCs of naive mice was the following: PGD₂ and metabolites > PGE₂ and metabolites > 6-keto-PGF_{1 α} > PGF_{2 α} and metabolites > cysteinyl LTs > 11-HETE > 12-HETE > 15-HETE > thromboxane B₂ (TXB₂) > 5-HETE > 8-HETE > LTB₄ (Fig. 2A). Cluster analysis demonstrated pathway-dependent fluctuations in the AA cascade, such as COX (clusters I and III) and LO (cluster II), during the course of EAE (Fig. 2B). Eicosanoids belonging to cluster I, such as PGD₂ and 6-keto-PGF_{1 α} , were markedly suppressed in the acute phase and returned to the basal levels in the chronic phase (Fig. 2A and B). Suppression of these metabolites was probably caused by

the fluctuation of L-PGDS and PGIS, respectively (Fig. 1B). 5-LO metabolites (LTB₄, LTC₄, LTD₄, and 5-HETE) belonging to cluster II considerably diminished during the disease course (Fig. 2A and B), although the up-regulation of 5-LO pathway components were remarkable (Fig. 1B). In the cluster III, the levels of PGE₂ and 13, 14-dihydro-15-keto-PGE₂ (DHK-PGE₂, a major tissue metabolite of PGE₂) were increased, thereby making them the major eicosanoids in acute phase SCs instead of PGD₂ and its metabolites (Fig. 2A). In the chronic phase of EAE, the level of PGE₂ remained higher than in naive mice (Fig. 2A). Among PGESs, only mPGES-1 was up-regulated in the SCs of EAE mice (Fig. 1B), suggesting that this is the key enzyme for the PGE₂ accumulation in EAE lesions. Cluster IV consists of only platelet-activating factor (PAF), which is structurally distinct from the eicosanoids. We previously reported that PAF exacerbates inflammation in the chronic phase of EAE by the enhancement of phagocytosis in microglia/macrophages and subsequent production of TNF- α (19). Furthermore, there was a strong correlation between PAF levels and clinical scores of EAE (13, 19). However, in the current study, no eicosanoid was correlated with the clinical scores of EAE. Although we also measured eicosanoid levels in the spleen and plasma, there were only a few fluctuations in these samples (Fig. S4). These results suggest that the AA cascade was strictly regulated in the SCs of naive mice and this regulation was disrupted by EAE pathology.

Next, correlations between the pathways were analyzed to understand the selectivity of the downstream pathways in the AA cascade (Fig. 2C, Fig. S5, and Table S1), because the cluster analysis revealed the pathway-dependent fluctuations. The COX pathway (PGs, TXs, and 11-HETE) correlated with the 5-LO pathway (LTs and 5-HETE) in naive mice (Fig. 2C). Of note, AA metabolism was shifted into the COX pathway, rather than the 5-LO pathway, in the acute phase of EAE (Fig. 2C). Within the COX pathway, the PGE₂ pathway was correlated with both the PGD₂ and PGI₂ pathways in naive mice (Fig. S5 and Table S1). After the induction phase, the PGE₂ pathway was correlated with both the PGD₂ and PGI₂ pathways, while PGE₂ production was facilitated, as shown by the small slope (Fig. S5). Correlations between other pathways (Table S1), such as the PGD₂ and PGI₂ pathways (Fig. S5), were largely conserved throughout the disease course, as indicated by the stable slopes. Taken together, the COX-mPGES-1-PGE₂-EP axis may aggravate EAE pathology.

mPGES-1 Exacerbates EAE Pathology Through T_H1 and T_H17 Cytokine Production.

To further elucidate the roles of PGE₂ pathway in EAE, we particularly focused on mPGES-1, a key enzyme responsible for PGE₂ production in inflammation (20–22). In EAE lesions, mPGES-1 was colocalized with F4/80, a marker for macrophages, but not with CD4 (Fig. 3A). PGE₂ production in SCs of EAE mice was completely blocked by disruption of the mPGES-1 gene (Fig. 3B), suggesting that PGE₂ production in EAE lesions depends on mPGES-1 expressed in macrophages/microglia. The clinical course of EAE in mPGES-1^{-/-} mice was less severe than in mPGES-1^{+/+} mice (Fig. 3C), consistent with the lower cumulative scores in mPGES-1^{-/-} mice (Table S2). To understand the mechanisms underlying the attenuated symptoms of EAE in mPGES-1^{-/-} mice, proliferation and cytokine production of T cells in response to the MOG₃₅₋₅₅ peptide were investigated (Fig. 3D–F). When stimulated with the MOG₃₅₋₅₅ peptide, cells isolated from lymph nodes (LNs) of immunized mPGES-1^{+/+} and mPGES-1^{-/-} mice proliferated similarly (Fig. 3D). However, LN cells from mPGES-1^{-/-} mice produced significantly lower levels of cytokines (TNF- α , IFN- γ , IL-6, and IL-17) than mPGES-1^{+/+} mice (Fig. 3E). Flow cytometry of MOG₃₅₋₅₅-treated CD4⁺ T cells also revealed that IFN- γ and IL-17 production in mPGES-1^{-/-} mice was reduced (Fig. 3F). Taken together, PGE₂ derived from mPGES-1 appears to support T_H1 and T_H17 cytokine production in EAE lesions.