that interacted with numerous signal-transducing molecules. Furthermore, considering the ubiquitous expression of CBL proteins, it would be of interest to explore the possible involvement of mutations in all CBL family members in other human cancers.

METHODS SUMMARY

Genomic DNA from 222 bone marrow samples with myeloid neoplasms were analysed using GeneChip SNP-genotyping microarrays (Affymetrix GeneChip) as described28. Allelic imbalances were detected from the allele-specific copy numbers calculated using CNAG/AsCNAR software (http://www.genome. umin.jp)^{9,10}. C-CBL mutations were examined by sequencing PCR-amplified genomic DNA. For functional assays, haemagglutinin (HA)- or Flag-tagged complementary DNAs of wild-type and mutant C-CBL were generated by in vitro mutagenesis, constructed into a MSCV-based retroviral vector, pGCDNsamIRESGFP or pGCDNsamIRESKO, and used for retrovirusmediated gene transfer. For the evaluation of oncogenicity of C-CBL mutants, NIH3T3 cells were transfected with various C-CBL constructs and used for colony assays in soft agar and tumour formation assays in nude mice. c-Cbldeficient mice were generated using a conventional strategy of gene-targeting and crossed with BCR-ABL transgenic mice to evaluate the effect of the c-Cbl allele on the acceleration of blastic crisis. LSK cells sorted from c-Cbl+++ and c-Cbl^{-/-} mice were transduced with various C-CBL constructs. Their responses to cytokines were evaluated by cell proliferation assays, followed by immunoblot analyses of c-KIT, FLT3 and JAK2, as well as their downstream signalling molecules. The effects of C-CBL mutant expression on the ubiquitination of EGFR, c-KIT, FLT3 and JAK2 were examined by transducing C-CBL mutants into relevant cells, followed by anti-ubiquitin blots of the immunoprecipitated kinases after ligand stimulation. Functional competition of C-CBL mutants with wild-type C-CBL was assessed by cell proliferation assays of LSK cells cotransduced with both wild-type and mutant C-CBL genes. This study was approved by the ethics boards of the University of Tokyo, Chang Gung Memorial Hospital and Showa University. Antibodies and primers used in this study are listed in Supplementary Tables 8 and 9.

Full Methods and any associated references are available in the online version of the paper at www.nature.com/nature.

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Author Contributions M.S. and M.Kato performed microarray experiments and subsequent data analyses. T.S., T.Y., H.Honda and H.Hirai generated and analysed c-Cbl-null mice. M.S., M.Otsu, S.Y., M.N., K.K., N.G., M.Onodera, M.S.-Y. and H.N. conducted functional assays of C-CBL mutants. L.-Y.S., M.S., M.Kato, K.N., J.T. and A.T. performed mutation analysis. H.O. performed pathological analysis of c-Cbl-null mice. L.-Y.S., N.K., H.Harada, M.Kurokawa, S.C., H.M., H.P.K. and M.Omine prepared MDS specimens. M.S., M.Otsu, Y.H., K.O., H.M., H.N., L.-Y.S., H.P.K. and S.O. designed the overall study, and S.O. wrote the manuscript. All authors discussed the results and commented on the manuscript.

Author Information Full copy number data for the 222 samples are accessible from the Gene Expression Omnibus public database (http://ncbi.nlm.nih.gov/geo/) with the accession number GSE15187. Reprints and permissions information is available at www.nature.com/reprints. Correspondence and requests for materials should be addressed to S.O. (sogawa-tky@umin.ac.ip) or L.-Y.S. (sly7012@adm.cgmh.org.tw).

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METHODS

Genome-wide analysis of allelic imbalances in primary myeloid neoplasms. Bone marrow specimens were obtained from 222 patients diagnosed with myeloid neoplasms according to the WHO classification (Supplementary Tables 1 and 2). High molecular weight genomic DNA was extracted and used for microarray analysis using Affymetrix GeneChip 50K XbaI, HindIII or 250K NspI, according to the manufacturer's instructions. Genome-wide detection of allelic imbalances was performed using CNAG/AsCNAR software (http://www.genome.umin.jp)^{9,10}.

Mutation analysis. Mutation analysis was performed by direct sequencing of PCR-amplified coding exons of the relevant genes, using an ABI PRISM 3100 genetic analyser (Applied Biosystems). The target genes, exons and PCR primers are listed in Supplementary Table 8. Tandem duplication of the FLT3 gene was examined by genomic PCR and sequencing.

Preparation of high-titre vesicular stomatitis virus glycoprotein (VSV-G)-pseudotyped retroviral particles. HA-tagged human *C-CBL* cDNA was a gift from W. Y. Langdon. Nine mutant cDNAs of *C-CBL*, including eight from patients' specimens and a 70Z mutant corresponding to a mutant isolated from mouse lymphoma²⁰, were generated on the basis of this construct, using a QuickChange site-directed mutagenesis kit (Stratagene). These were then constructed into the retrovirus vectors pGCDNsamIRESGFP and pGCDNsamIRESKO³⁰⁻³². Vector plasmids were co-transfected with a VSV-G CDNA into 293GP cells (provided by R. C. Mulligan) to obtain retrovirus-containing supernatant, which was then transduced into 293GPG cells to establish stable cell lines capable of producing VSV-G-pseudotyped retroviral particles on induction^{33,34}. The average titre of retrovirus stocks prepared from these cell lines routinely exceeded approximately 1–10 × 10⁷ inclusion-forming units per ml, as estimated using Jurkat cells.

Assays for anchorage-independent growth and tumorigenicity in nude mice. NIH3T3 cells (the Japan Cell Resource Bank) were stably transduced with wild-type and mutant C-CBL by retrovirus-mediated gene transfer. For colony formation assays, 1.0×10^3 stable cells for each construct were inoculated in 0.33% top agar, and the numbers of colonies >1 mm in diameter were counted 3 weeks after inoculation (n=8). Experiments were repeated four times. For tumour formation in nude mice, 1.0×10^7 stable cells were inoculated subcutaneously at two sites per mouse. Cells were inoculated at six sites in three mice for each construct

Purification of LSK HSPCs. LSK HSPCs were purified from bone marrow and spleen as described^{25,36}. Multicolour flow cytometry analysis and cell sorting were performed using a MoFlo cell Sorter (Beckman Coulter). The purity of sorted cell fractions consistently exceeded 98%.

Replating assays of bone marrow progenitor cells. Bone marrow LSK cells were infected with IRES/GFP-containing retrovirus carrying mock, wild-type C-CBL and three C-CBL mutants (C-CBL(Gln367Pro), C-CBL(Tyr371Ser) and C-CBL(Cys384Gly)) as well as C-CBL(70Z) on RetroNectin-coated dishes. After 48 h infection in culture in StemSpan supplemented with SCF (50 ng ml $^{-1}$; Peprotech), TPO (20 ng ml $^{-1}$) and FLT3LG (20 ng ml $^{-1}$), 1.0×10^2 GFP-positive cells were inoculated in MethoCult M3231 supplemented with TPO (20 ng ml $^{-1}$), IL3 (10 ng ml $^{-1}$), IL6 (10 ng ml $^{-1}$), FLT3LG (10 ng ml $^{-1}$) and SCF (50 ng ml $^{-1}$) for colony formation. Colony-forming cells were collected 7 days after each inoculation, from which 1.0×10^3 cells were repeatedly subjected to replating until no colonies were produced. Experiments were repeated at the indicated times for each C-CBL construct.

Generation of c- $Cb\Gamma^{\prime\prime}$ mice and evaluation of their tumour-prone phenotype. c- $Cb\Gamma^{\prime\prime}$ mice were generated using a conventional method of gene targeting (Supplementary Fig. 10). c- $Cb\Gamma^{\prime\prime}$ and c- $Cb\Gamma^{\prime\prime}$ mice were crossed with BCR-ABL transgenic mice, and their survival and the development of blastic crises were monitored.

Evaluation of haematopoietic pool size in $c\text{-}Cbl^{-/-}$ mice. LSK and CD34 ¯ LSK cells were sorted from bone marrow cells or spleens of $c\text{-}Cbl^{-/-}$ mice, and their numbers were compared to those in $c\text{-}Cbl^{+/+}$ littermates (8 week old). Approximately 5×10^3 bone marrow cells collected from $c\text{-}Cbl^{+/+}$ and $c\text{-}Cbl^{-/-}$ mice were inoculated into MethoCult M3231 culture supplemented with TPO (20 ng ml $^{-1}$), IL3 (10 ng ml $^{-1}$), IL6 (10 ng ml $^{-1}$), EPO (3 U ml $^{-1}$) and SCF (50 ng ml $^{-1}$). The number of colonies was counted 7 days after culturing. In vitro cell proliferation assays. Approximately 6×10^3 LSK cells from $c\text{-}Cbl^{-/-}$ mice and their $c\text{-}Cbl^{+/+}$ littermates (8 week old) were sorted into RetroNectin-coated 96-well U-bottom plates containing α -minimum essential medium supplemented with 1% fetal bovine serum (FBS), mouse SCF (50 ng ml $^{-1}$), and human TPO (100 ng ml $^{-1}$). After 24 h pre-incubation, retrovirus supernatant was added to each well at a multiplicity of infection of about

10. The plates were incubated for another 24 h in the presence of protamine sulphate ($10 \, \mu g \, ml^{-1}$), followed by repeated infection and extended culture for 2 days in S-Clone SF-O3 medium (Sanko Junyaku) supplemented with 1% BSA, 50 ng ml⁻¹ SCF and 50 ng ml⁻¹ TPO. On day 4, fluorescent-marker-positive cells were sorted for subsequent analyses. Cell survival and proliferation of LSK cells transduced with different *C-CBL* constructs were assessed in serumfree liquid culture in 96-well U-bottom plates in the presence of various cytokines. Each well received 50 fluorescent-marker-positive LSK cells, and the cells were cultured in S-Clone supplemented with 1% BSA plus SCF, TPO, IL3 or FLT3LG at the indicated concentrations. Cell numbers were counted either by analysing well images or by flow cytometry using FlowCount beads (Beckman Coulter). After 6 h serum starvation, 1×10^4 LSK cells transduced with various *C-CBL* constructs were stimulated with SCF ($10 \, ng \, ml^{-1}$) and TPO ($10 \, ng \, ml^{-1}$) for 15 min. Whole-cell lysates were examined for activation of STAT5 and Akt by immunoblots using the respective antibodies.

Immunoblot analysis of physical interactions between mutant C-CBL and CBL-B. Flag-tagged CBL-B or C-CBL was co-transfected into NIH3T3 cells with each of three HA-tagged C-CBL mutants (C-CBL(Gln367Pro), C-CBL(Tyr371Ser) and C-CBL(70Z)). Total cell lysates of these NIH3T3 cells were immunoprecipitated with anti-Flag antibody, followed by immunoblot analysis with anti-HA antibody. Detection of ubiquitination and phosphorylation of kinases. After overnight serum starvation, NIH3T3 cells stably transduced with human EGFR, and indicated HA-tagged C-CBL mutants and Flag-tagged wild-type C-CBL were stimulated with human EGF (10 ng ml-1) for 2 min. Cell lysates were immunoprecipitated with anti-EGF antibody, followed by immunoblotting using antiubiquitin antibody. Constructs for wild-type C-CBL and mutant C-CBL were stably transduced into a mast cell line, V3MC, FLT3-transduced 32D cells (32D/ FLT3) and BaF3 cells transduced with human EPOR and JAK2 (BaF3/EPOR/ JAK2) using retrovirus-mediated gene transfer. After overnight serum starvation, the transduced cells were stimulated with 10 ng ml⁻¹ SCF (V3MC), 10 U ml⁻¹ EPO (BaF3/EPOR/JAK2) or 10 ng ml⁻¹ FLT3LG (32D/FLT3) for 1 min. The specific kinases were immunoprecipitated with relevant antibodies, and their ubiquitination was detected by immunoblotting with anti-ubiquitin antibody. Tyrosine phosphorylation of EGFR, c-KIT, JAK2 and FLT3 was examined by immunoblot analyses of total cell lysates after cytokine stimulation at indicated time points, using antibodies specifically recognizing phosphorylated kinases, anti-p-EGFR, anti-p-c-KIT, anti-p-JAK2 and anti-p-FLT3, respectively. Anti-GAPDH or anti-a-tubulin immunoblot was performed as a control. Antibodies used in this study are listed in Supplementary Table 9. Statistical analysis. Statistical significance of prolonged replating capacity of mutant C-CBL-transduced LSK cells was tested by counting the total number of dishes that produced colonies, followed by Fisher's exact test. Survival curves of c-Cbl+/+, c-Cbl+/- and c-Cbl-/- mice containing the BCR-ABL transgene were generated using the Kaplan-Meier method. Overall survivals of C-CBLmutated and non-mutated CMML cases were analysed according to the proportional hazard model, using STATA software. Statistical differences in survival were evaluated using the log-rank test, and statistical differences in 2 × 2 contingency tables were tested according to Fisher's exact method. Student's t-tests were used to evaluate the significance of difference in spleen mass, number of haematopoietic progenitors and colony-forming cells between c- $Cbl^{-/-}$ and c- $Cbl^{-/-}$.

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ORIGINAL ARTICLE

Comparative analysis of remission induction therapy for high-risk MDS and AML progressed from MDS in the MDS200 study of Japan Adult Leukemia Study Group

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Abstract A total of 120 patients with high-risk myelodysplastic syndrome (MDS) and AML progressed from MDS (MDS-AML) were registered in a randomized controlled study of the Japan Adult Leukemia Study Group (JALSG). Untreated adult patients with high-risk MDS and MDS-AML were randomly assigned to receive either idarubicin and cytosine arabinoside (IDR/Ara-C) (Group A) or low-dose cytosine arabinoside and aclarubicin (CA) (Group B). The remission rates were 64.7% for Group A (33 of 51 evaluable cases) and 43.9% for Group B (29 out of 66 evaluable cases). The 2-year

overall survival rates and disease-free survival rates were 28.1 and 26.0% for Group A, and 32.1 and 24.8% for Group B, respectively. The duration of CR was 320.6 days for Group A and 378.7 days for Group B. There were 15 patients who lived longer than 1,000 days after diagnosis: 6 and 9 patients in Groups A and B, respectively. However, among patients enrolled in this trial, intensive chemotherapy did not produce better survival than low-dose chemotherapy. In conclusion, it is necessary to introduce the first line therapy excluding the chemotherapy that can prolong survival in patients with high-risk MDS and MDS-AML.

For the Japan Adult Leukemia Study Group.

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Keywords MDS · MDS–AML · JALSG MDS200 · Induction therapy · HSCT

1 Introduction

Myelodysplastic syndrome (MDS) is a group of disorders in which abnormalities occur at the level of hematopoietic stem cells [1], leading to disturbance in the production of blood cells characterized by ineffective hematopoiesis [2], decrease in the number of peripheral blood cells and morphological/functional abnormalities in blood cells [3]. Allogeneic hematopoietic cell transplantation (allo-HCT) is the most effective curative therapy for acute myeloid leukemia (AML) and myelodysplastic syndromes (MDS) [4]. However, for patients with high-risk MDS (those with refractory anemia with excess of blasts in transformation (RAEB)-t and some patients with RAEB) and patients with acute myeloid leukemia progressed from MDS (MDS-AML), chemotherapy aimed at remission is being used. The reasons for this are that MDS often affects elderly people [5], suitable donors are not always available at the time of disease onset, the necessity of pretransplant conditioning chemotherapy is controversial [6, 7] with a lack of sufficient evidence, and the optimal timing for transplantation varies widely depending on disease type [8].

On the other hand, reduced-intensity conditioning has extended the use of allo-HSCT to patients otherwise not eligible for this treatment due to older age or frailty [9]. However, allo-HSCT using traditional myeloablative preparative regimens is not easily tolerated by the elderly or frailer patient, and may lead to prohibitive treatment-related mortality rates. Most patients treated in the past were younger and devoid of comorbid clinical conditions. Novel reduced-intensity regimens have recently made allogeneic transplants applicable to the elderly, providing the benefit of the graft-versus-leukemia effect to a larger number of patients in need [10].

Low-dose chemotherapy, which has been used in clinical practice for 20 years, reduces the number of myelo-blasts, improves pancytopenia and induces remission not only in MDS patients but also in some MDS-AML patients [11]. Common antineoplastic agents used in low-dose chemotherapy include cytosine arabinoside (Ara-C), aclarubicin (ACR), melphalan and etoposide. Nevertheless, despite improved Ara-C and regimens, the prognosis of AML in patients beyond 60 years of age remains dismal [4]. Low-dose antineoplastic drug therapy is still being used in some patients with MDS, which is common in elderly people, especially when the patient is at risk due to poor general condition or organ disorder [12].

The Japan Adult Leukemia Study Group (JALSG) previously conducted a pilot study for the treatment of

high-risk MDS and MDS-AML to compare low-dose monotherapy with low-dose Ara-C plus granulocyte colony-stimulating factor (G-CSF) and multiple drug therapy with Ara-C plus Mitoxantrone plus VP-16. Later, JALSG conducted studies using a single protocol (JALSG MDS96) in 1996, in which remission induction and post-remission therapies using Ara-C and IDR in patients with high-risk MDS (RAEB-t) and in those with MDS-AML were performed, after which the efficacy and safety of these therapies were evaluated [13]. Furthermore, a randomized controlled study (JALSG MDS200) of intensive chemotherapy (IDR/Ara-C) or low-dose chemotherapy (CA) for high-risk MDS was also performed by JALSG.

Here, we present and analyze the results of the JALSG MDS200 study to assess and evaluate the validity of the MDS200 protocol for MDS treatment.

2 Patients and methods

2.1 Patient eligibility

A total of 120 patients were initially registered into the JALSG MDS200 study between June 2000 and March 2005. They were assigned into two groups, namely, Groups A and B (Table 1). Patients aged 15 years or more and diagnosed as having high-risk RAEB with high International Prognostic Scoring System score [14], RAEB-t or MDS-AML were eligible for this study. MDS-AML denotes secondary AML transformed from MDS.

Other eligibility criteria were as follows: patients with a performance status (PS) of 0-2 (ECOG); patients whose key organs other than the bone marrow retain intact function; patients who have not undergone any chemotherapy, except for pretreatment that does not affect the outcome of the main therapy; and patients who have given informed consent. Informed consent was obtained after carefully explaining the protocol and before registration.

2.2 Study protocol

The MDS200 protocol (Fig. 1) was designed based on the results of MDS96, and involved a dose-attenuation plan and allowed a wider range of chemotherapy. Patients were randomly assigned to either Group A or B.

In therapy A, the dose was adjusted according to a dose attenuation plan based on the presence of risk factors. The following 3 factors were regarded as risk factors: (1) Age (\geq 60 years), (2) hypoplastic bone marrow and (3) PS \geq 2. Patients with no risk factor received the standard dose, those with 1 risk factor received 80% of the dose and those with 2 or more risk factors received 60% of the dose (equivalent to the dose of MDS96). In therapy B, the use of



Table 1 Characteristics of patients

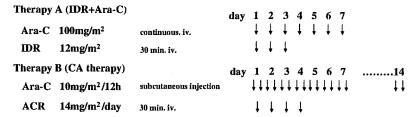
Group	A $(n = 53)$	B $(n = 67)$	P value (A vs. B)
Age (range)	63 (23–77)	61 (32–81)	0.505
Gender			
Male	37	52	0.332
Female	16	15	
Disease type			
HR-RAEB	4	11	0.269
RAEB-T	22	29	
MDS-AML	27	27	
Infection			
Presence	10	11	0.726
None	43	56	
Karyotype ^a			
Good	$23 \ (44.2\%) \ n = 52$	21 (33.9%) n = 62	0.524
Int	11 (21.2%)	15 (24.2%)	
Poor	18 (34.6%)	26 (41.9%)	
PB (range)			
WBC (/μL)	2,500 (700–64,240)	2,720 (600–43,700)	0.665
Hb (g/dL)	8 (4.7–12.6)	7.9 (4.4-12.7) n = 66	0.562
Plt (/μL)	5.8 (0.2-31.4)	5.9 (0.5-36.7)	0.363
BM (range)			
Blast (%)	30 (4-95) n = 51	24.2 (1.9-96) n = 66	0.171
Biochemical data (range)			
LDH (IU/L)	296 (132–882)	303.5 (111-906) n = 66	0.998
CRP (mg/dL)	0.5 (0-20.2)	0.35 (0-11.7) n = 66	0.292

Patients who met all of the inclusion criteria and did not meet any of the stated exclusion criteria were included the study. The disease types were classified by FAB classification

Statistical analysis between Group A and Group B was done using χ^2 test or Mann-Whitney U-test

MDS myelodysplastic syndrome, HR-RAEB high risk-refractory anemia excess of blasts with high International Prognostic Scoring System Score, RAEB-T refractory anemia excess of blasts in transformation, MDS-AML MDS overt leukemia, WBC white blood cell, Hb hemoglobin, Plt platelet, LDH lactate dehydrogenase, CRP C-reactive protein, PB peripheral blood, BM bone marrow

Remission induction therapy



Consolidation, maintenance and intensification therapies

These therapies were performed in accordance with the JALSG MDS96 protocol both in groups A and B

Fig. 1 Japan Adult Leukemia Study Group—myelodysplastic syndrome (JALSG MDS200 Protocol). In therapy A, the dose was adjusted according to a dose attenuation plan based on the presence of risk factors. The following 3 factors were regarded as risk factors: (1) Age (\geq 60 years), (2) hypoplastic bone marrow and (3) PS \geq 2. Patients with no risk factor received the standard dose, those with 1

risk factor received 80% of the dose, and those with 2 or more risk factors received 60% of the dose (equivalent to the dose of MDS-96). In therapy B, the use of CAG therapy involving co-administration of G-CSF was allowed. *IDR* idarubicin, *Ara-C* cytosine arabinoside, *ACR* aclarubicin, *G-CSF* granulocyte colony-stimulating factor, *iv* intravenous injection, *min* minutes



a Shows IPSS risk

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CAG therapy involving the co-administration of granulocyte colony-stimulating factor (G-CSF) was allowed.

Untreated adult patients (≥15 years) with MDS (RAEB, RAEB-t or MDS-AML) were randomly assigned to receive either IDR/Ara-C (Group A) or CA (Group B) [15]. Complete remission (CR) rate, CR duration, overall survival (OS) rate and disease-/relapse-free survival (DFS/RFS) rate were compared between the two groups.

Consolidation therapy and maintenance therapy were performed in accordance with JALSG MDS96 [13].

2.3 Evaluation of response

Response to treatment was evaluated in accordance with JALSG criteria [13]. CR was considered achieved when the following conditions remained for at least 4 weeks. For the bone marrow: blasts accounting for ≤5% of all cells; absence of blasts with Auer body; and presence of normal erythroblasts, granulocytes and megakaryocytes. For peripheral blood: absence of blasts; neutrophils $\geq 1,000/\text{ml}$; platelets > 100,000/µL; and no evidence of extramedullary leukemia. CR duration was defined as the duration from the day when CR is achieved to the day of relapse or death, OS or DFS as the duration from the day of initiation of treatment to the day of death and DFS as the duration in which CR patients survived without relapse. Patients who were treated with HCST were not censored at the date of transplantation. All toxicity was graded using the World Health Organization criteria [16].

2.4 Statistical analysis

The primary endpoint of this study is DFS. Assuming a 1-year DFS rate of 60% in the Group A and 40% in the Group B, this design required the randomization of 200 patients. Eligible patients were randomized according to age, sex and disease type. Differences in background factors (e.g., age, gender and disease type) between Groups A and B were statistically analyzed using the χ^2 test or Mann-Whitney U-test. Probability of OS and DFS were estimated according to the method of Kaplan and Meier.

3 Results

3.1 Recruitment of patients and suspension of the study

The initially registered 120 patients were assigned into two groups, namely, Groups A and B. The clinical characteristics of the registered patients are shown in Table 1. The present protocol was originally planned to recruit 200 patients for Groups A and B within 3 years. However, the recruitment pace was slower than expected and thus the

study period was extended from 3 years to 4.5 years. At the end of 2004, that is, after 4.5 years from the start of the study, the number of registered patients was only 113 in Groups A and B, which was 56.5% of the target number. At that point, the committee members discussed the progress of the MDS200 study and decided to suspend it at the end of March 2005. Since the final total number of patients did not reach the target number, we did not statistically compare DFS between Groups A and B, which was the primary endpoint of this study.

3.2 Characteristics of patients

There were no clear differences in the clinical characteristics of the patients between Groups A and B, such as FAB subtype, initial blood cell count, presence of infection, distribution in the karyotype group and biochemical data, as well as sex distribution (male/female ratio, 37/16 = 2.315 in Group A, and 52/15 = 3.467 in Group B).

3.3 Treatment outcome

The remission rates were 64.7% in Group A (33 out of 51 evaluable cases) and 43.9% in Group B (29 out of 66 evaluable cases). The 2-year overall survival (OS) rates were 28.1% in Group A and 32.1% in Group B, and the 2-year DFS rates were 26.0% in Group A and 24.8% in Group B. The mean duration of CR was 320.6 days (median: 213 days) in Group A and 378.7 days (median: 273 days) in Group B (Table 2). Reflecting the intensity of the remission induction chemotherapy, the period of WBC (<1,000/μL) after the therapy was longer in Group A than in Group B (19 days and 4 days, respectively). There were more grade 3 or 4 adverse events during the remission induction therapy in Group A (19 out of 53 evaluable patients) than in Group B (13 out of 67 evaluable patients). This difference was mostly attributable to infectious episodes (17 patients in Group A and 4 patients in Group B). In terms of bleeding episodes, 1 patient in Group A and 2 in Group B had grade 3/4 adverse events. The numbers of

Table 2 Treatment outcome (Group A vs. B)

	Group A $(n = 53)$	Group B $(n = 67)$
Remission rate (%)	64.7	43.9
Mean duration of remission (days)	320.6 (median: 213)	378.7 (median: 273)
2-Year survival rate (%)	28.1	32.1
2-Year disease-free survival rate (%)	26.0	24.8

The remission rates, 2-year overall survival (OS) rates and 2-year disease-free survival (DFS) rates are shown as percentages



early death in remission induction chemotherapy (death within 30 days) were 1 patient in Group A and 3 patients in Group B (Table 3). The cause of death in each group was infection or tumor progression. The completion rate of consolidation therapies were 37.3% in Group A (12 out of 33 evaluable cases), 37.9% in Group B (11 out of 29 evaluable cases). On the other hand, the maintenance therapies were completed 21.2% in Group A (7 out of 33 evaluable cases), and 15.2% in Group B (5 out of 33 evaluable cases). The numbers of dose attenuation in Group A were 30 patients of 100% dose, 21 patients of 80% or 60% dose and 2 patients of unknown.

Allogeneic hematopoietic stem cell transplantation (allo-HSCT) was performed in 11 out of 50 patients (22%) in Group A and 19 out of 66 patients (28.8%) in Group B. Among those who received allo-HSCT, the transplantation

Table 3 Toxicity of the induction therapy

	A (n = 53) (range)	B (n = 67) (range)	P value (A vs. B)
Period of WBC <1,000 (day)	$ \begin{array}{c} 19 \ (0-44) \\ n = 49 \end{array} $	4 (0-50) n = 63	<0.0001
Toxicity (grade 3/4)			
Presence	19	13	0.427
Bleeding	2	1	ND
Infection	17	11	0.04
Others	2	2	ND
Early death (<30 days)	1	3	ND

Statistical analysis between Groups A and B was performed using the χ^2 test or Mann-Whitney U-test

ND not done

Fig. 2 Overall survival. Survival was calculated from the date of the start of treatment to the date of death due to any cause or to the date of the most recent follow-up. These data were not censored at the time of HSCT. All randomized patients were not included this data in each group. Due to this reason, some patients were not known to be CR or not, but known to be alive or not

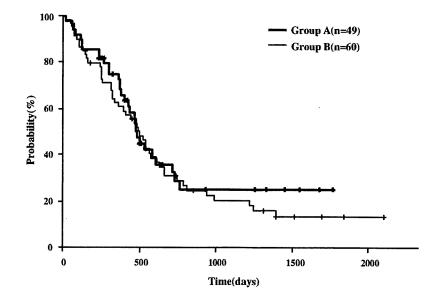
was performed during the first remission in 40%, 21% of patients in Groups A, B, respectively.

There were 15 patients who lived longer than 1,000 days after diagnosis: 6, 9 patients in Groups A, B, respectively. Regarding the transplantation among long-term survivors, 3 out of 6 patients were transplanted in Group A, 6 out of 9 in Group B. Comparing the achievement of CR among these patients in Groups A and B, all 6 patients in Group A achieved CR, but only 4 out of 9 patients in Group B achieved CR.

4 Discussion

In this MDS200 study, patients with high-risk MDS and AML transformed from MDS (MDS-AML) were treated with either intensive or low-dose remission induction therapy, followed by intensive post-remission therapy that was the same as in the JALSG MDS96 study [13].

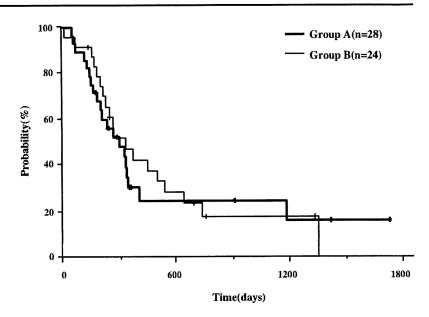
Although we did not perform statistical comparison of DFS or OS between these two treatment groups due to the insufficient number of patients enrolled, the results suggest that there was no significant difference, that is, survival curves were superimposable (Figs. 2, 3). Intensive chemotherapy similar to that for AML can produce a CR rate of 64.7% for high-risk MDS and MDS-AML patients, whereas low-dose induction therapy can result in a CR rate of 43.9%. However, among the patients enrolled in this trial, the difference in CR rate did not lead to better survival as described above. In terms of adverse events, patients who received intensive treatment had more grade 3 or 4 adverse events, particularly infectious events with a longer period of leukopenia. There was no increase in the number of patients succumbing to early death (death within 30 days after the





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Fig. 3 Disease-/relapse-free survival. RFS was calculated from the date of achieving complete remission to the date of relapse, death or the most recent follow-up. These data were not censored at the time of HSCT. All randomized patients were not included this data in each group. Due to this reason, some patients were not known to be CR state or relapse, but known to be alive or not



start of treatment) in Group A, suggesting that intensive treatment produced higher CR rate, and higher toxicity resulted in a similar survival rate with low-dose induction therapy at least during the early phase of treatment.

There are several reasons that could explain why no difference in survival rate was observed regardless of the difference in CR rate. One could be the similar postremission therapy between Groups A and B, as demonstrated by the almost similar DFS curves among the two groups. Another reason could be the disease status at the time of transplantation for patients in the two groups. In Group A, 60% of the transplantation was performed during the period other than that covering the first CR; this was 79% in Group B. Allo-HSCT has been shown to have the strongest antileukemia effect, and this was also found in the current study in which 6 out of 15 long-term survivors received allo-HSCT in Groups A and B. From the viewpoint of transplantation, intensive treatment merely selected cases that were suitable for transplantation, as observed in the case of transplantation for relapsed AML patients [17]. There are arguments against remission induction therapy for MDS patients in that it does not affect posttransplant prognosis [6, 18]. In the results of JSHCT, the chemotherapy before undergoing allo-SCT is not necessary in patients with MDS [6]. A group from the Institute of Medical Science of Tokyo University performed umbilical cord blood stem cell transplantation without remission induction therapy in high-risk MDS patients aged not more than 55 years and obtained favorable results with reduced time from diagnosis to transplantation [19]. It is important to perform clinical studies based on the concept that HCST should be performed immediately after diagnosis without remission induction, and determine the types of patients

who would benefit from remission induction therapy prior to transplantation in terms of prognosis. In the present study, although suspended because of the insufficient number of patients enrolled, it appears that remission induction therapy with IDR and Ara-C did not produce better survival than that with low-dose chemotherapy despite higher CR rate. Therefore, it is suggested that CR rate is not a suitable surrogate marker for the evaluation of the outcome of chemotherapy for high-risk MDS and MDS-AML. In the latest reports, induction chemotherapy for patients with high-risk MDS and MDS-AML also provide no survival advantage [20, 21]. Considering the low survival rate of patients in this category, it is clearly necessary to introduce new strategies for the treatment of high-risk MDS and MDS-AML, such as molecular targeting agents and allo-HSCT with reduced-intensity conditioning regimens.

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Up-regulation of Survivin by the E2A-HLF Chimera Is Indispensable for the Survival of t(17;19)-positive Leukemia Cells*

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The E2A-HLF fusion transcription factor generated by t(17;19)(q22;p13) translocation is found in a small subset of pro-B cell acute lymphoblastic leukemias (ALLs) and promotes leukemogenesis by substituting for the antiapoptotic function of cytokines. Here we show that t(17;19)+ ALL cells express Survivin at high levels and that a dominant negative mutant of E2A-HLF suppresses Survivin expression. Forced expression of E2A-HLF in t(17;19) leukemia cells up-regulated Survivin expression, suggesting that Survivin is a downstream target of E2A-HLF. Analysis using a counterflow centrifugal elutriator revealed that t(17;19)+ ALL cells express Survivin throughout the cell cycle. Reporter assays revealed that E2A-HLF induces survivin expression at the transcriptional level likely through indirect down-regulation of a cell cycle-dependent cis element in the promoter region. Down-regulation of Survivin function by a dominant negative mutant of Survivin or reduction of Survivin expression induced massive apoptosis throughout the cell cycle in t(17;19)+ cells mainly through caspase-independent pathways involving translocation of apoptosis-inducing factor (AIF) from mitochondria to the nucleus. AIF knockdown conferred resistance to apoptosis caused by down-regulation of Survivin function. These data indicated that reversal of AIF translocation by Survivin, which is induced by E2A-HLF throughout the cell cycle, is one of the key mechanisms in the protection of t(17;19)+ leukemia cells from apoptosis.

The E2A-HLF fusion transcription factor, which is generated by the t(17;19)(q22;p13) translocation, is found in a small subset of pro-B cell acute lymphoblastic leukemias (ALLs)² that occurs

in older children and adolescents (1, 2). In this chimeric molecule, the *trans*-activation domain of E2A is fused to the basic region and leucine zipper domain of HLF, which mediates DNA binding and dimerization. Patients with this chimera share distinct clinical features such as hypercalcemia and coagulopathy and very poor prognosis because of resistance to intensive chemotherapy, including aggressive conditioning for bone marrow transplantation (3–5), all of which are unusual for pro-B cell ALLs. Thus, these features may be a direct consequence of aberrant gene expression induced by E2A-HLF fusion transcription factor, rather than a consequence of the nature of B cell progenitors.

We previously demonstrated that inhibition of the trans-activation potential of the E2A-HLF chimera by a dominant negative mutant results in apoptosis in t(17;19)+ ALL cells but does not affect the cell cycle (6). Moreover, E2A-HLF blocks apoptosis normally induced by cytokine deprivation in murine interleukin (IL)-3-dependent B precursor lines such as Baf-3 or FL5.12 cells, suggesting that this fusion protein contributes to leukemogenesis through modification of apoptosis regulatory pathways normally controlled by cytokines (6, 7). We speculated that the target genes of E2A-HLF involved in the inhibition of apoptosis are those regulated via Ras pathways in IL-3dependent cells, because activation of Ras pathways is indispensable for long term survival of Baf-3 cells in cytokinefree medium (8, 9). Moreover, we previously identified E4BP4/ NFIL3, a related basic region and leucine zipper factor with antiapoptotic function, as a possible physiological counterpart of E2A-HLF (10), and we found that E4BP4 expression is induced by IL-3 through Ras-phosphatidylinositol 3-kinase and Ras-Raf-MAPK pathways in IL-3-dependent cells (9).

The *survivin* gene may be a good candidate for a target gene of E2A-HLF involved in the inhibition of apoptosis in t(17;19)⁺

saline; FITC, fluorescein isothiocyanate; shRNA, short hairpin RNA; siRNA, short interfering RNA; GFP, green fluorescent protein; EMSA, electrophoretic mobility shift assay; BrdUrd, bromodeoxyuridine; TdT, terminal deoxynucleotidyltransferase; PARP, poly(ADP-ribose) polymerase; TUNEL, terminal deoxynucleotidyltransferase-mediated dUTP nick-end-labeling; PI, propidium iodide; dn, dominant negative; nt, nucleotide; 7-AAD, 7-amino-actinomycin D; PLL, plenti-Lox3.7.



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²The abbreviations used are: ALL, acute lymphoblastic leukemia; AIF, apoptosis-inducing factor; IL, interleukin; MAPK, mitogen-activated protein kinase; CHR, cell cycle homology region; PBS, phosphate-buffered

ALL cells. Survivin, at 142 amino acids, is the smallest member of the inhibitor of apoptosis protein family and significantly prolongs the viability of cytokine-deprived IL-3-dependent cells (11). The expression of Survivin is controlled by oncogenic c-H-ras, and up-regulation of Survivin depends on functional Ras/phosphatidylinositol 3-kinase and Ras-Raf-MAPK signaling pathways (12). Overexpression of Survivin can protect cells from both extrinsically and intrinsically induced apoptosis (13, 14), whereas inhibition of Survivin expression by antisense ribozyme or RNA interference leads to increased spontaneous apoptosis (15, 16).

A unique feature of Survivin as an apoptosis regulator is its involvement in cell cycle progression (17). survivin expression is transcriptionally induced in the G₂/M phase through cell cycledependent cis elements located near the transcription initiation site (16). These elements, including the cell cycle-dependent element (GGCGG) and the cell cycle homology region (CHR; ATTTGAA), are implicated in G1 transcriptional repression in S/G₂-regulated genes, such as cyclin A, cdc25C, and cdc2 (18). In addition, Survivin is activated through phosphorylation of Thr-34 by mitotic kinase CDC2-cyclin-B1 (14). Enforced expression of a phosphorylation-defective Survivin T34A mutant (Survivin-T34A) initiates mitochondrial dependent apoptosis in a variety of tumor cell lines (14, 16).

Here, we show that Survivin expression is induced by the E2A-HLF chimera, and down-regulation of Survivin induces caspase-independent massive apoptosis in t(17;19)+ ALL cell lines. These findings indicate that Survivin contributes to leukemogenesis by subverting genetic pathways responsible for the apoptosis of B cell progenitors.

EXPERIMENTAL PROCEDURES

Cell Lines and Cell Culture-Human ALL cell lines that express E2A-HLF (UOC-B1, HAL-O1, YCUB-2, and Endokun) and other leukemia cell lines (Nalm-6, RS4;11, REH, 697, 920, HL-60, NB-4, and Jurkat) were cultured in RPMI 1640 medium containing 10% fetal bovine serum. Establishment of Nalm-6 human pro-B cell leukemia cells that express zinc-inducible E2A-HLF (Nalm-6/E2A-HLF) using the pMT-CB6+ eukaryotic expression vector (a gift from Dr. F. Rauscher III, Wistar Institute, Philadelphia) has been described previously (19). UOC-B1/E2A-HLF(dn) cells transfected with a dominant negative mutant of E2A-HLF, which lacks the AD1 transactivation domain of E2A and contains a mutated HLF DNAbinding domain with an intact leucine-zipper domain, were prepared as described previously (6). UOC-B1, Endo-kun, REH, and Jurkat cells that were transfected with either the pMT/ Survivin-T34A vector or the empty pMT-CB6⁺ vector were designated as UOC-B1/Survivin(dn), UOC-B1/pMT, Endokun/Survivin(dn), Endo-kun/pMT, REH/Survivin(dn), REH/ pMT, Jurkat/Survivin(dn), and Jurkat/pMT, respectively.

Counterflow Centrifugal Elutriations—Counterflow centrifugal elutriations were performed using the SRR6Y elutriation system and rotor equipped with a 4.5-ml chamber (Hitachi Koki Co., Ltd., Tokyo, Japan) (20). Target cells were resuspended at $1-2 \times 10^8$ cells in 50 ml of PBS containing 1% fetal bovine serum and injected into the elutriation system at 4 °C using an initial flow rate of 16 ml/min and rotor speed of 2,000 rpm. The flow rate was incrementally increased, and cell fractions were collected serially as follows: fraction 1, 200 ml at 16 ml/min; fraction 2, 200 ml at 18 ml/min; fraction 3, 200 ml at 20 ml/min; fraction 4, 200 ml at 22 ml/min; fraction 5, 200 ml at 24 ml/min; fraction 6, 200 ml at 26 ml/min; and fraction 7, 200 ml at 28 ml/min. Cell cycle analysis was performed on each fraction by staining DNA with propidium iodide (PI) in preparation for flow cytometry with the FACScan/CellFIT system (BD Biosciences).

Gene Silencing by RNA Interference—Short hairpin/short interfering RNA (shRNA/siRNA) was introduced into UOC-B1 or UOC-B1/Survivin(dn) cells to down-regulate the expression of Survivin or apoptosis-inducing factor (AIF) by the shRNA lentivirus system (21, 22). Oligonucleotides were chemically synthesized, annealed, terminally phosphorylated, and inserted into the vector pLL3.7 (Addgene, Cambridge, MA). Oligonucleotides containing siRNA target for survivin sequences (23) were as follows: 5'-TGAAGCGTCTGGCAGATACT-TTCAAGAGAAGTATCTGCCAGACGCTTCTTTTTTC-3' (forward 1) and 5'-TCGAGAAAAAAGAAGCGTCTGGCA-<u>GATACT</u>TCTCTTGAA<u>AGTATCTGCCAGACGTTC</u>A-3' (reverse 1); 5'-TGTGGATGAGGAGACAGAATTTCAAG-AGAATTCTGTCTCCTCATCCACTTTTTTC-3' (forward 3) and 5'-TCGAGAAAAAAGTGGATGAGGAGACAGAATT-CTCTTGAAATTCTGTCTCCTCATCCACA-3' (reverse 3); 5'-TGGATACTTCACTTTAATAATTCAAGAGATTATT-AAAGTGAAGTATCCTTTTTTC-3' (forward 4) and 5'-TCGAGAAAAAAGGATACTTCACTTTAATAATCTCTT-GAA<u>TTATTAAAGTGAAGTATCC</u>A-3' (reverse 4); 5'-T<u>GC</u>-TTCCTCGACATCTGTTATTCAAGAGATAACAGATGT-CGAGGAAGCTTTTTTC-3' (forward 5) and 5'-TCG-AGAAAAAAGCTTCCTCGACATCTGTTATCTCTTGAA-TAACAGATGTCGAGGAAGCA-3' (reverse 5). Oligonucleotides containing siRNA target for AIF sequences were as follows: 5'-TGGAGGAGTCTGCGTAATGTTTCAAGAGA-ACATTACGCAGACTCCTCCTTTTTTC-3' (forward 1) and 5'-TCGAGAAAAAAGGAGGAGTCTGCGTAATGTTCTC-TTGAAACATTACGCAGACTCCTCCT-3' (reverse 1); 5'-TGCAGGAAGGTAGAAACTGATTCAAGAGATCAGTTT-CTACCTTCCTGCTTTTTTC-3' (forward 2) and 5'-TCGA-GAAAAAAGCAGGAAGGTAGAAACTGATCTCTTGAA-TCAGTTTCTACCTTCCTGCT-3' (reverse 2); 5'-TGCATG-CTTCTACGATATAATTCAAGAGATTATATCGTAGAA-GCATGCTTTTTC-3' (forward 3) and 5'-TCGAGAAAAA-AGCATGCTTCTACGATATAATCTCTTGAATTATATC-GTAGAAGCATGCT-3' (reverse 3); the nucleotide sequences corresponding to the siRNA are underlined. The resulting plasmids or the parental pLL3.7, along with lentiviral packaging mix (ViraPower, Invitrogen), was transfected into 293FT cells (Invitrogen) to produce recombinant lentivirus, and the UOC-B1 or UOC-B1/Survivin(dn) cells were infected with the virus. Enhanced green fluorescent protein (GFP)-positive cells were purified by FACSAria (BD Biosciences) as shRNA-transfected cell populations.

Reporter Assay—Fragments of the 5'-flanking region of the human survivin gene spanning 147, 213, 288, 503, or 698 bp were generated by PCR using Pfu polymerase from genomic DNA of human placenta. The positions of the forward (5')

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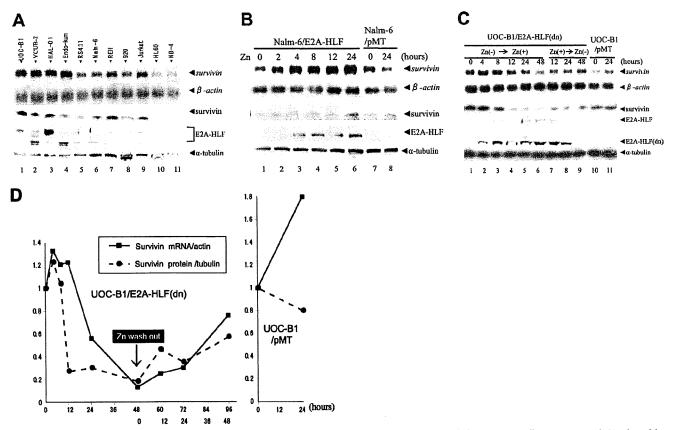


FIGURE 1. Expression of Survivin in human leukemia cell lines and induction of Survivin by E2A-HLF in human ALL cells. A, top 2 panels, Northern blot analysis of poly(A) $^+$ RNA isolated from human leukemia cell lines. The blot was hybridized with a survivin cDNA probe and then rehybridized with a β -actin probe. Lower three panels, immunoblot analysis using whole-cell lysates. Survivin, E2A-HLF, and α -tubulin proteins were detected with specific antibodies. Lanes 1–4, the UOC-B1, YCUB-2, HAL-O1, and Endo-kun t(17;19)-positive pro-B ALL cell lines; lanes 5–8, the RS4;11, Nalm-6, REH, and 920 pro-B ALL cell lines without t(17;19); lane 9, the Jurkat T-ALL cell line; lane 10, the HL-60 AML cell line; and lane 11, the NB-4 APL cell line. B, Nalm-6 cells with zinc-inducible expression of E2A-HLF (Nalm-6/E2A-HLF) and control Nalm-6/pMT cells were cultured in medium containing 100 μ m zinc for the indicated length of time. C and D, UOC-B1 cells with zinc-inducible expression of E2A-HLF(dn) (UOC-B1/E2A-HLF(dn)) and control UOC-B1/pMT cells were cultured in medium containing 100 μ m zinc for the indicated length of time (Zn(-) Zn(+)) and removal of zinc from the growth medium (Zn(+) Zn(-)). Z1, Z2, Z3, Z4, Z4, Z5, Z5, Z5, Z5, Z5, Z6, Z7, Z7, Z7, Z8, Z8, Z8, Z8, Z9, Z9,

primers with respect to the translational initiation codon (according to NCBI GenBankTM sequence U75285) are -124 (-124 forward primer, 5'-ACTCCCAGAAGGCCGCGGGG-GGTG-3'), -190 (5'-ACCACGGGCAGAGCCACGCGGC-GGG-3'), -265 (5'-GTTCTTTGAAAGCAGTCGAGGGGGC-3'), -480 (5'-CGGGTTGAAGCGATTCTCCTGCCT-3'), and -675 (5'-CGATGTCTGCACTCCATCCCTC-3'). The reverse (3') primer used for these amplifications was at position 23 (+23-reverse primer, 5'-GGGGGCAACGTCGGGGCAag-CtTGC-3') and was constructed based on the genomic sequence with a modification (lowercase) to create a HindIII site. The PCR products were cloned into a pGL3-basic vector (Promega, Madison, WI). The resulting reporter plasmids were designated as pGL3-124, pGL3-190, pGL3-265, pGL3-480, and pGL3-675, respectively. The pGL3-124mut1 vector containing two mutated cell cycle-dependent elements (-6 and -12) was generated by PCR using the -124 forward primer and a reverse primer (5'-GCAAGCTTGtcactGtcactACCTCTG-3'); pGL3-124mut2 vector containing mutated CHR (-42) in addition to two mutated cell cycle-dependent elements (-6 and -12) was generated by the -124 forward primer and a reverse primer (5'-GCAAGCTTGtcactGtcactACCTCTGCCAACGGGTCC-CGCGATTCgggTCTGG-3'); and pGL3-124mut3 vector containing a mutated CHR (-42) was generated by the -124 forward primer and a reverse primer (5'-GCAAGCTTGCCGCC-GCCGCCACCTCTGCCAACGGGTCCCGCGATTCgggTC-TGG-3') (lowercase indicates mutations).

For transfection with a pMT-CB6+/E2A-HLF construct, Nalm-6 cells (6 \times 10⁴) were seeded into 24-well plates, cotransfected with pGL3-survivin promoter construct plus pRL-TK vector, which contains the Renilla luciferase gene, by Lipofectamine 2000 (Invitrogen), and harvested 24 h later. E2A-HLF expression was induced in Nalm-6 cells by the addition of 100 μ M ZnCl $_2$ 24 h after transfection. Firefly luciferase and Renilla luciferase as a transfection efficiency control were detected with Dual-Luciferase Reporter Assay System (Promega) according to the manufacturer's instructions and measured in a Veritas Microplate Luminometer (Promega).

Electrophoretic Mobility Shift Assays (EMSA)—EMSA were performed by incubating 12 μ g of nuclear protein lysate at 30 °C for 15 min with a ³²P-end-labeled DNA oligonucleotide probe (2 \times 10⁴ cpm) containing the CHR-binding site sequence

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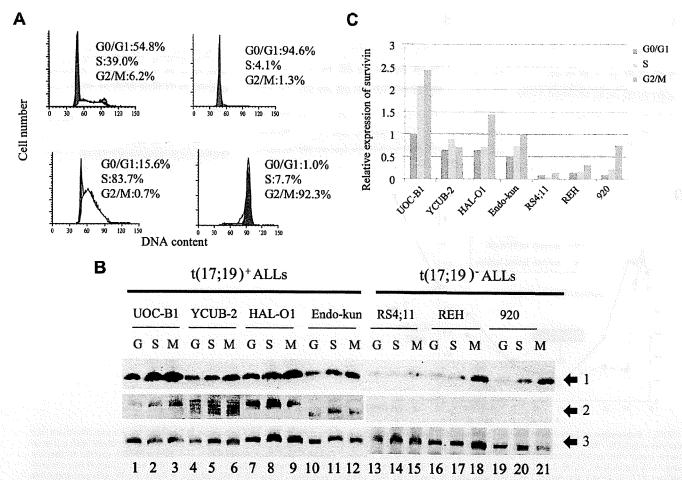


FIGURE 2. **Cell cycle-dependent and -independent expression of Survivin in human leukemia cells.** Fractions enriched with cells at each phase of the cell cycle were separated by counterflow centrifugal elutriation. *A*, representative DNA histogram of each fraction subjected to flow cytometry after staining DNA with Pl. *Upper left*, no fractionation; *upper right*, G_0/G_1 phase-enriched fraction; *lower left*, S-phase-enriched fraction; *lower right*, G_2/M -phase-enriched fraction. *B*, immunoblot analysis of fractions of $t(17;19)^+$ ALL cells or $t(17;19)^-$ ALL cells enriched with cells in the G_0/G_1^- (G), S-(S), or G_2/M (M)-phase. Survivin (*arrow 1*), E2A-HLF (*arrow 2*), and α -tubulin (*arrow 3*) proteins were detected with specific antibodies. *C*, levels of Survivin and α -tubulin proteins were determined by the band intensity on autoradiograms from *B*. Levels of Survivin were normalized to levels of α -tubulin, and amounts shown are relative to amounts in UOC-B1 cells in the G_0/G_1 -phase.

in the *survivin* promoter (5'-CATTAACCGCCAG<u>ATTTGA-ATCGCGG-3'</u>) in a solution of 12% glycerol, 12 mm HEPES (pH 7.9), 4 mm Tris (pH 7.9), 133 mm KCl, 1.5 μg of sheared calf thymus DNA, and 300 μg of bovine serum albumin per ml as described previously (24). In the competitive inhibition experiments, excess of the unlabeled CHR-consensus sequence probe, *i.e.* oligonucleotide containing the candidate-binding sites of CHR in the *survivin* gene promoter or its 3-bp mismatched oligonucleotide (5'-CATTAACCGCCAGACCGAA-TCGCGG-3') was added to the reaction mixture. The entire mixture was incubated at 30 °C for 15 min. Nondenaturing polyacrylamide gels containing 4% acrylamide and 2.5% glycerol were prerun at 4 °C in a high ionic strength Tris-glycine buffer for 30 min and run at 50 mA for ~45 min. The gel was then dried under vacuum and analyzed by autoradiography.

Other Experimental Procedures—For visualization of intracellular AIF, cytospinned cells were fixed with 1% paraformal-dehyde in PBS for 10 min, and permeabilized with 0.5% Triton X-100 in PBS for 5 min. Cells were rinsed twice with PBS (5 min for each rinse), blocked with 5% goat serum in PBS for 30 min,

and incubated with anti-AIF antibody (1:100; Santa Cruz Biotechnology, Santa Cruz, CA) overnight at 4 °C in a humidified chamber. Cells were incubated with a secondary antibody, fluorescein isothiocyanate (FITC)-labeled anti-goat IgG (1:500; Santa Cruz Biotechnology), at 37 °C for 30 min.

For Northern blot analysis, 1 μ g of poly(A)-selected RNA was separated by electrophoresis in 1% agarose gels containing 2.2 M formaldehyde, transferred to nylon membranes, and hybridized with the appropriate probe according to standard procedures as described previously (5). For immunoblot analysis, the primary antibodies used were anti-Survivin polyclonal (R & D Systems, Minneapolis, MN), anti- α -tubulin monoclonal (Sigma), anti-caspase 3 polyclonal (Cell Signaling Technology, Beverly, MA), anti-caspase 9 polyclonal (BD Biosciences), anti-PARP monoclonal (BD Biosciences), and anti-AIF polyclonal antibodies (Santa Cruz Biotechnology). Anti-HLF(C) antibody for detection of the E2A-HLF chimeric protein was described previously (24).

Cell viability was determined by trypan blue dye exclusion. Early apoptotic events were detected by flow cytometric mea-

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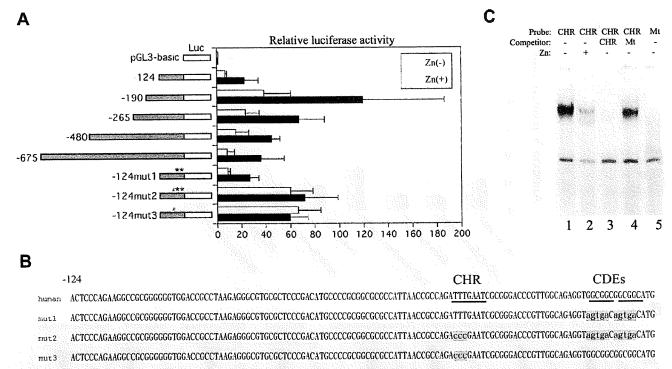


FIGURE 3. Effect of E2A-HLF on survivin promoter activity in transiently transfected t(17;19)⁻ ALL cells. A, Nalm-6/E2A-HLF cells cotransfected with pRL-TK vector and the pGL3-survivin promoter constructs indicated at the left were cultured in the absence (open bars) or presence (black bars) of zinc for 24 h. Firefly luciferase (Luc) activity was normalized to Renilla luciferase as a transfection efficiency control. The level of activity of the promoterless Renilla plasmid luciferase was defined as 1. The results depicted are the averages of three independent experiments; error bars indicate S.D. # indicates mutation of CHR, and ** indicates mutation of CDE. B, nucleotide sequences of the human survivin promoter and three mutants. Underlines indicate CHR or CDE region. Shaded characters indicate mutation (mut). C, EMSA. Nuclear lysates extracted from Nalm-6/E2A-HLF cells cultured without (lanes 1 and 3–5) or with zinc (lane 2) were incubated with a ³²P-end-labeled oligonucleotide probe containing the CHR sequence (lanes 1–4) or mutated CHR sequence (lane 5). An excess of unlabeled CHR sequence competitor (lane 3) or mutant competitor (lane 4) was added to the reaction mixture. Mt, mutant.

surement of externalized phosphatidylserine with the annexin-V-FITC apoptosis detection kit I (BD Biosciences) in preparation for flow cytometry with the FACScan/CellFIT system (BD Biosciences). For caspase inhibition, 20 μ M benzyloxycarbonyl-VAD-fluoromethyl ketone (BD Biosciences) was added to the cells 1 h before the addition of zinc. Terminal deoxynucleotidyltransferase-mediated dUTP nick-end-labeling (TUNEL) was performed using the apo-BrdUrd TUNEL assay kit (Molecular Probes, Eugene, OR). Briefly, cells fixed with paraformal-dehyde and ethanol were incubated with BrdUrd and TdT for 1 h at 37 °C. BrdUrd uptakes were detected by Alexa dye-leveled anti-BrdUrd antibodies. Cells were stained by PI just before analysis using FACScan/CellFIT system.

RESULTS

E2A-HLF Regulates Survivin Expression—Cell lines were used in this study instead of primary patient samples, because t(17;19)⁺ ALLs constitute only ~1% of childhood B-precursor ALLs (1–3). Four t(17;19)⁺ ALL cell lines (UOC-B1, YCUB-2, HAL-O1, and Endo-kun) expressed the E2A-HLF chimeric protein on immunoblot analysis (Fig. 1A, 4th panel, lanes 1–4) either as a slower (lanes 1 and 3) or a faster migration band (lanes 2 and 4) corresponding to difference in the fusion junction, as described previously (3). Of the seven t(17;19)⁻ leukemia cell lines tested (RS4;11, Nalm-6, REH, 920, Jurkat, HL-60 and NB-4), none expressed the E2A-HLF chimera (Fig. 1A, lanes 5–11). We performed Northern blot and immunoblot analyses to test human

leukemia cell lines for the expression of *survivin*. Survivin mRNA and protein were expressed at uniformly high levels in the four $t(17;19)^+$ ALL cell lines (Fig. 1*A*, *top* and 3*rd panels*). By contrast, *survivin* mRNA levels varied among the $t(17;19)^-$ leukemia cell lines and appeared to determine Survivin protein expression levels in each line (Fig. 1*A*, *lanes* 5–11).

Next, we tested whether E2A-HLF induces the expression of Survivin. For these experiments, Nalm-6 cells were transfected with a pMT-CB6+/E2A-HLF construct to generate clones (Nalm-6/E2A-HLF) with zinc-inducible expression of E2A-HLF (Fig. 1B, 4th panel). Ectopic expression of E2A-HLF in Nalm-6 cells induced survivin mRNA by 5-fold within 24 h after the addition of zinc (Fig. 1B, top panel). Accordingly, Survivin protein expression increased within 24 h after induction of E2A-HLF (Fig. 1B, 3rd panel). In control Nalm-6/pMT cells, which contained the empty vector, Survivin expression was unaffected by zinc (Fig. 1B, lanes 7 and 8), confirming that the observed changes in Survivin expression were induced by E2A-HLF and not by zinc.

Induction of Survivin by E2A-HLF was further confirmed using UOC-B1/E2A-HLF(dn) cells, which express zinc-inducible E2A-HLF(dn), a dominant negative mutant of E2A-HLF (see under "Experimental Procedures") (6, 19). Survivin mRNA and protein expression in UOC-B1/E2A-HLF(dn) cells were high in the absence of zinc (Fig. 1*C*, top and 3rd panels, lane 1; see also Fig. 1*D*) but decreased within 24 h after the addition of

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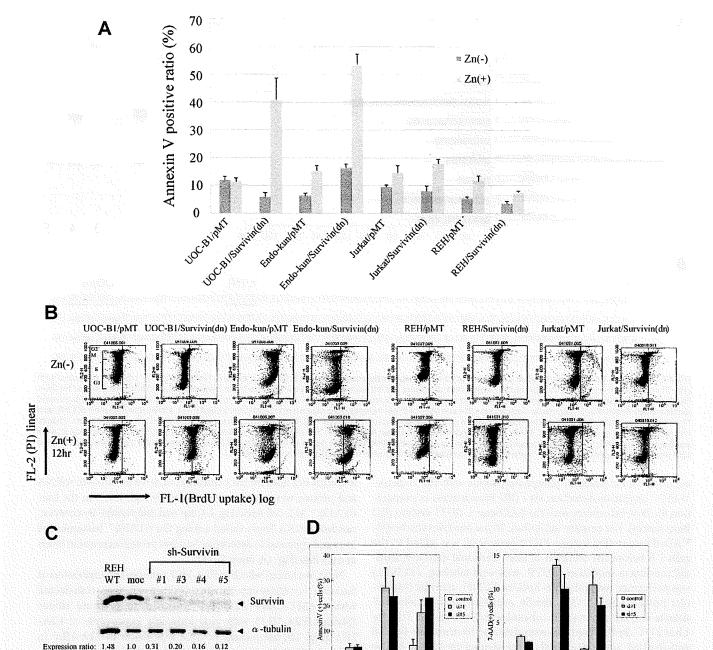


FIGURE 4. **Effect of enforced overexpression of Survivin-T34A and introduction of Survivin-shRNA in ALL cells.** UOC-B1, Endo-kun, Jurkat, and REH cells inducibly expressing Survivin-T34A (UOC-B1/Survivin(dn), Endo-kun/Survivin(dn), Jurkat/Survivin(dn) and REH/Survivin(dn) cells, respectively) were compared with control UOC-B1/pMT, Endo-kun/pMT, Jurkat/pMT, and REH/pMT cells, respectively. *A*, externalization of phosphatidylserine as determined by annexin-V binding. Cells cultured in medium with or without 100 μM zinc for 24 h were simultaneously stained with FITC-annexin-V and Pl. The FITC-annexin-V-positive ratios were determined by representative flow cytometric plots. *B*, cells cultured in medium with or without 100 μM zinc for 12 h were simultaneously stained with Pl and BrdUTP in a TdT-catalyzed reaction and then subjected to flow cytometric analysis. DNA ends labeled with BrdUTP (abscissa) are shown as a function of cellular DNA content of Pl-stained nuclei (ordinate). Cells to the right of the vertical line had free DNA ends labeled with TdT, indicating apoptosis. Range of each cell cycle was shown in the panel of UOC-B1/pMT, Zn(-). *C*, immunoblot analyses using Survivin (upper panel) and α-tubulin (lower panel) antibodies. REH cells without treatment (lane 1) or infected with lentivirus (lanes 2-6) were sorted by GFP expression. moc indicates control sh-RNA. Ratios of intensity are shown below. WT, wild type. D, ratios of annexin-V-phycoerythrin (PE) (left) or 7-AAD (right)-positive cells in the GFP-positive fraction of REH, UOC-B1, or Endo-kun cells infected with lentivirus expressing GFP alone (control) or GFP and Survivin shRNA1 or -5 (si#1 or si#5, respectively). Mean values from three independent experiments are shown with standard error.

REH

UOC-B1

Endo-ku

zinc (Fig. 1*C, lane 5*), coincident with expression of E2A-HLF(dn) protein (4th panel). Removal of zinc from the growth medium restored Survivin expression within 48 h, again coin-

cident with a decline in the E2A-HLF(dn) protein level (Fig. 1*C*, *lane 9*). These data suggested that E2A-HLF induces Survivin mRNA expression. Down-regulation of Survivin protein pre-

REH

UOC-BI

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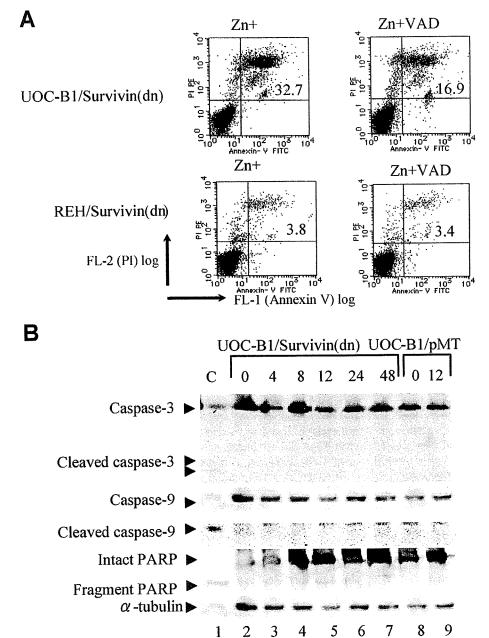


FIGURE 5. PARP activation in Survivin(dn)-expressing cells and effect of caspase inhibitor. A, flow cytometric analysis stained with annexin-V (abscissa) and PI (ordinate). UOC-B1/Survivin(dn) and REH/Survivin(dn) cells were cultured in medium containing 100 μ m zinc 1 h after treatment with or without 20 μ m benzyloxycarbonyl-VAD-fluoromethyl ketone (VAD), a pan-caspase inhibitor. B, UOC-B1/Survivin(dn) or UOC-B1/pMT cells were cultured in medium containing 100 μ m zinc for the indicated times. Immunoblot analysis of UOC-B1/Survivin(dn) cells was performed to detect caspase-3, cleaved caspase-9, cleaved caspase-9, intact PARP, fragmented PARP, and α -tubulin proteins. As a positive control (C), Jurkat cells were treated with etoposide.

ceded the reduction of Survivin mRNA (Fig. 1D), suggesting the involvement of post-transcriptional mechanism(s).

Cell Cycle-independent Induction of Survivin by E2A-HLF— The Survivin mRNA and protein levels at the $\rm G_2/M$ phase of the cell cycle are more than 10-fold higher than those at the $\rm G_1$ phase in NIH3T3 murine fibroblasts synchronized by serum starvation and in drug-synchronized HeLa cells (17, 25). Because it is difficult to synchronize leukemia cells by serum

starvation or by reagents inhibiting cell cycle progression at a specific phase, we performed counterflow centrifugal elutriation to enrich cells at each phase of the cell cycle. The purity of the preparations was typically more than 90% for Go/G1phase cells, more than 80% for S-phase cells, and \sim 90% for G_2/M phase cells (Fig. 2A). We performed immunoblot analysis to measure Survivin expression in the enriched fractions. In t(17;19) - ALL cell lines (RS4;11, REH, and 920), Survivin expression was most evident at the G₂/M-phase (Fig. 2, B, lanes 13-21, and C). In particular, 920 cells at the G₂/M phase showed ~11- and 4-fold higher expression than those at the G₁ and S phase, respectively. By contrast, the four cell lines harboring the E2A-HLF chimeric protein expressed Survivin at high levels throughout the cell cycle (Fig. 2, B, lanes 1-12, and C).

E2A-HLF Enhances the Promoter Activity of the Survivin Gene-To elucidate how E2A-HLF induces expression of the survivin gene, we analyzed the effects of E2A-HLF on the function of the survivin promoter. We initially generated reporter plasmid vectors (pGL3-124, -190, -265, -480, and -675), each of which contained a different length of human survivin promoter. These vectors were analyzed for luciferase activity in transiently transfected Nalm-6/E2A-HLF cells. When cells were cultured without zinc, luciferase activity was low in cells transfected with pGL3-124 (Fig. 3A). Transfection of pGL3-190 resulted in the highest luciferase activity; it was nearly 6-fold higher than that which resulted from transfection of pGL3-124. However, transfection of survivin constructs longer than pGL3-265 resulted in significantly less activity compared

with that of pGL3-190, suggesting the presence of enhancer elements in the region from nt -124 to -190 and repressor elements in the region upstream of nt -190. When cells were cultured with zinc for 24 h, the luciferase activity of each reporter construct, including the shortest pGL3-124, increased by \sim 3-fold compared with the respective cells cultured without zinc, suggesting that E2A-HLF induces *survivin* transcription through cis elements in the region from nt 0 to -124.

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To further investigate the mechanism through which E2A-HLF induces transcription of the survivin gene, we used luciferase reporter constructs with mutated cell cycle-dependent cis elements. These elements, including the cell cycle-dependent element (CDE; GGCGG) and the cell cycle homology region (CHR; ATTTGAA), are implicated in G_1 transcriptional repression in S/G2-regulated genes, such as cyclin A, cdc25C, and cdc2 (18). A previously published report demonstrated two CDEs (-6 and -12) and one CHR (-42) in the human *survivin* promoter between nt 0 and -124 (Fig. 3B) (18). When pGL3-124mut1, which contained mutated CDE-6 and CDE-12 but had intact CHR-42, was transfected in Nalm-6/E2A-HLF cells, the level of luciferase activity was virtually the same as that of pGL3-124 regardless of the presence of zinc, suggesting that CDE-6 and CDE-12 do not contribute to regulation of survivin transcription in Nalm-6 cells (Fig. 3A). By contrast, transfection of pGL3-124mut2, which contained mutated CHR-42 in addition to mutated CDE-6 and CDE-12, resulted in 10-fold higher luciferase activity in the absence of zinc and 3-fold higher luciferase activity in the presence of zinc compared with transfection of pGL3-124. As a result, there was virtually no difference in the level of luciferase activity between the presence or absence of zinc in cells transfected with pGL3-124mut2. Transfection of pGL3-124mut3, in which only CHR-42 was mutated, show similar results as transfection of pGL3-124mut2. These results suggested that E2A-HLF directly or indirectly up-regulates transcription of survivin through a CHR-42 silencer.

To elucidate transcription factors that bind to CHR-42, we performed EMSA. Smear-looking CHR probe-protein complexes were readily detected (Fig. 3C, lane 1) and were ablated by the addition of an excess amount of cold competitor (lane 3) but not by mutated CHR competitor (lane 4). These complexes were not detected when using mutated CHR as a probe (Fig. 3C, lane 5), suggesting that this complex represents specific binding between transcription factor(s) and the CHR sequence. When E2A-HLF was induced by the addition of zinc, the intensity of the smear decreased (Fig. 3C, lane 2), further supporting that E2A-HLF up-regulates expression of survivin via a CHR-42 silencer.

Specific Inhibition of Survivin-induced Apoptosis in t(17:19)+ ALL Cell Lines—To test whether induction of Survivin by E2A-HLF is essential for the survival of t(17;19)+ leukemia cells, we initially used zinc-inducible expression of a phosphorylationdefective Survivin mutant (Survivin-T34A) that functions as a dominant negative inhibitor. An annexin-V binding assay was used to measure externalization of phosphatidylserine, an indicator of cell death. Ectopic expression of Survivin-T34A in two t(17;19)+ ALL cell lines (UOC-B1 and Endo-kun) caused a rapid increase in the fraction of annexin-V-positive cells within 24 h after the addition of zinc (Fig. 4A). In control UOC-B1/ pMT and Endo-kun/pMT cells, which contained the empty vector, less than 20% of cells were positive for annexin-V regardless of the presence of zinc. By contrast, Survivin-T34A did not induce massive cell death in two t(17;19) leukemia cell lines (REH and Jurkat), which express relatively high levels of Survivin (Fig. 1A). The basis for the altered survival of UOC-B1 and Endo-kun cells expressing Survivin-T34A was investigated by TUNEL analysis using flow cytometry. BrdUrd uptake (Fig. 4B, x axis) by TdT that reflects a number of DNA ends in each cell was

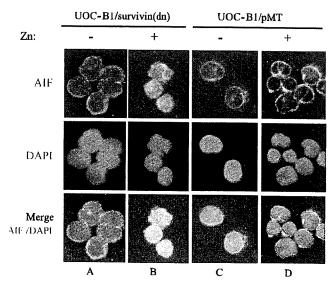


FIGURE 6. Effect of Survivin(dn) on nuclear translocation of AIF. UOC-B1/ Survivin(dn) cells (A and B) or UOC-B1/pMT cells (C and D) were cultured for 12 h in the absence of zinc (A and C) or in the presence of 100 μ m zinc (B and D). Cells were immunostained with an anti-AIF polyclonal antibody (upper panels). Cells were stained with 4',6-diamidino-2-phenylindole (DAPI) to visualize the nuclei (middle and lower panels).

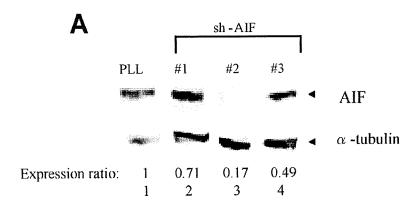
markedly increased in UOC-B1 and Endo-kun cells expressing Survivin-T34A. Interestingly, intensities of BrdUrd signals increased equally in cells at each cell cycle phase (y axis), suggesting that down-regulation of Survivin function induces apoptosis in a cell cycle-independent manner. By contrast, expression of Survivin-T34A did not induce apoptosis in REH cells and induced apoptosis in Jurkat cells only at the G_2/M phase (Fig. 4B).

We next down-regulated Survivin by lentivirally introduced short hairpin (sh) RNA. The Survivin protein expression level in cells sorted by expression of GFP (as an indicator of infection) was significantly reduced by Survivin-shRNA1 and -3-5 compared with that in cells infected with controlshRNA (Fig. 4C). We introduced shRNA1 or -5 into REH, UOC-B1, and Endo-kun cells. Twenty four hours later, when about 10% of the cells were GFP-positive, dead cells were determined by annexin-V and 7-AAD staining. Marked increases in annexin-V- and 7-AAD-positive cells were detected in the GFP-positive population of UOC-B1 or Endo-kun cells compared with those in GFP-positive REH cells (Fig. 4D).

Caspase-dependent and -independent Cell Death Are Induced by Survivin-T34A in t(17;19)+ Cells-To elucidate the molecular mechanisms through which Survivin protects t(17;19)+ ALL cells from apoptosis, we initially examined caspasedependent pathways. A pan-caspase inhibitor, benzyloxycarbonyl-VAD-fluoromethyl ketone, partially blocked cell death induced by Survivin-T34A (Fig. 5A). Immunoblot analysis revealed fragmentation of PARP within 8 h after induction of Survivin-T34A, although cleavage of caspase-3 and -9 was barely detectable up through 48 h (Fig. 5B). These results suggested that caspase-independent pathways contribute to cell death induced by Survivin-T34A in t(17;19) + ALL cells.

The association of Survivin targeting both preceding and independent of caspase activation suggested to us a potential role for AIF, given its capacity to mediate DNA fragmentation

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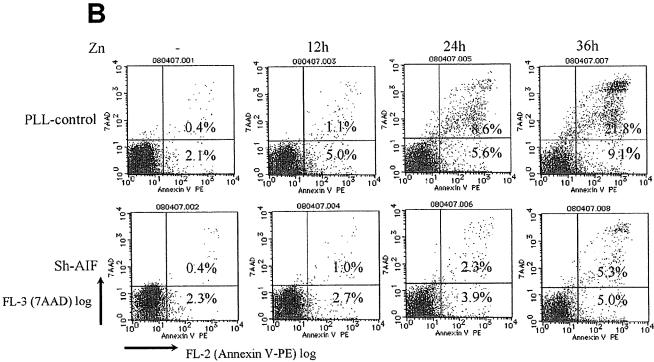


FIGURE 7. Inhibition of Survivin-T34A-induced apoptosis by knockdown of AIF. A, immunoblot analysis using AIF (upper panel) or α -tubulin (lower panel) antibodies. UOC-B1/Survivin(dn) cells were infected with lentivirus expressing the shRNA indicated above each panel, and GFP-positive cells were sorted. Ratios of intensity are shown below. B, UOC-B1/Survivin(dn) cells were infected with lentivirus expressing control-shRNA (PLL, upper) or AIF-shRNA2 (lower), cultured with 100 μ m zinc for the indicated length of time, and stained with annexin-V-phycoerythrin (PE) (abscissa) and 7-AAD (ordinate). The data show the ratio of annexin-V-phycoerythrin- and 7-AAD-positive cells in the GFP-positive fraction as determined by flow cytometric analysis. Numbers indicate the percentage of apoptotic cells.

and cytochrome c release in a caspase-independent fashion (28, 29). We analyzed the nuclear translocation of AIF after induction of Survivin-T34A in t(17;19)⁺ ALL cells. In the UOC-B1/Survivin(dn) cells without induction of Survivin-T34A, AIF signals were found in the cytoplasm in ~75% of the total cell population (Fig. 6A), consistent with a previous report showing the presence of AIF in mitochondria (27). By contrast, expression of Survivin-T34A for 12 h induced nuclear translocation of AIF signals in more than 90% of cells (Fig. 6B). Nuclear translocation of AIF was induced in only a small percentage (~ 4%) of the control UOC-B1/pMT cells treated with zinc (Fig. 6D).

To test the role of AIF in cell death induced by Survivin-T34A in t(17;19)⁺ ALL cells, we down-regulated AIF expression by lentivirally expressed AIF-shRNA. The AIF protein expression level in UOC-B1/Survivin(dn) cells was signifi-

cantly reduced by AIF-shRNA2 compared with that in cells infected with control PLL-shRNA sorted by expression of GFP (Fig. 7A). The number of cells undergoing cell death by induction of Survivin-T34A was monitored by annexin-V and 7-AAD staining in GFP-positive cells. Cells treated with AIF-shRNA2 were significantly resistant to cell death compared with those treated with control PLL-shRNA (Fig. 7B), suggesting that AIF plays critical roles in Survivin-mediated cell death of t(17;19)⁺ ALL cells.

DISCUSSION

We previously demonstrated that E2A-HLF contributes to leukemogenesis of t(17;19)-positive ALL through inhibition of apoptosis (6). Here, we demonstrate that E2A-HLF induces Survivin expression through transcriptional regulation. Down-

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regulation of Survivin function by a dominant negative mutant of Survivin (Survivin-T34A) or reduction of Survivin expression by shRNA induced massive apoptosis in t(17;19)+ leukemia cells throughout the cell cycle. Down-regulation of Survivin induced apoptosis via both caspase-dependent and -independent pathways, and AIF was involved in the latter pathways. These findings indicate that Survivin plays critical roles in E2A-HLF-mediated leukemogenesis.

E2A-HLF, known as a trans-activator (24), could either directly or indirectly enhance survivin transcription. However, there is no potential binding site of E2A-HLF (GTTACG-TAAT) in the promoter region of survivin, and indeed, no binding activity of E2A-HLF was detected by EMSA in the immediate upstream region (124 bp) of the initial ATG, including a region that contains CHR-42 sequence (ATTTGAA) (negative data not shown). Thus, E2A-HLF most likely inhibits the silencer activity of CHR-42 (Fig. 3A) by down-regulating a certain amount of hypothetical trans-repressor X that binds to CHR-42 (Fig. 3C, lane 2). Theoretically, E2A-HLF may induce another trans-repressor that down-regulates the expression of trans-repressor X. Alternatively, a downstream target factor of E2A-HLF may reduce the DNA binding potential of trans-repressor X. It is of interest to note that whether or not the mechanism through which E2A-HLF induces survivin transcription is common to that, Ras pathways regulate Survivin expression. As we reported previously (30), because downstream targets of Ras enhance Survivin expression through enhancer(s) between -124 to -190, E2A-HLF likely induces Survivin through distinctive pathways.

Previous reports indicated that Survivin inhibits apoptosis through both caspase-dependent and caspase-independent pathways, although detailed mechanisms are not yet understood (31–34). In $t(17;19)^+$ ALL cells undergoing apoptosis by Survivin-T34A, activation of the caspase cascade is likely a secondary event, because activated caspase-3 and -9 were not detectable up through 48 h after induction of Survivin-T34A (Fig. 5B), even though the cells were positive on annexin-V staining and TUNEL analysis within 12 h (Fig. 4, A and B). We observed rapid PARP activation within 8 h that is required for translocation of AIF to the nucleus from mitochondria, followed by morphological changes such as cell shrinkage and chromatin condensation (27, 35). Moreover, knockdown of AIF in UOC-B1/Survivin(dn) cells protected cells from apoptosis induced by Survivin-T34A (Fig. 7B). Therefore, reversal of AIF translocation by Survivin, which is induced by E2A-HLF throughout the cell cycle, appears to be the key mechanism in the protection of $t(17;19)^+$ leukemia cells from apoptosis.

In earlier studies, we identified SLUG as a target gene of E2A-HLF (36). SLUG is a transcription factor closely related to Ces-1, a cell death regulator in Caenorhabditis elegans (36, 37). Importantly, ces-1 is a downstream target gene of ces-2, which is closely related to E2A-HLF (6, 38). The apparent convergence of cell death pathways, including CES-2/CES-1 in the worm and E2A-HLF/SLUG in human pro-B leukemia (6, 36), suggests that SLUG may have an important regulatory role in the survival of lymphoid cells. However, the lack of expression of Slug by normal pro-B cells suggests that E2A-HLF acts not by invoking a normal survival pathway in B lymphocytes but rather by aberrantly activating a Slug-mediated survival pathway normally used by more primitive hematopoietic cell progenitors (39). Therefore, it is still uncertain whether only the E2A-HLF/ SLUG pathway inhibits apoptosis in leukemia pro-B cell progenitors (36). Perhaps E2A-HLF has multiple apoptosis-inhibiting pathways to coordinate leukemogenesis.

t(17;19) + ALL almost always proves refractory to intensive chemotherapy, even to the aggressive conditioning for bone marrow transplantation (3-5). Survivin is an attractive therapeutic target in t(17;19)+ ALLs because of its differential expression in tumors versus normal tissues and because it may be required for maintaining cell viability in this leukemia (14, 16). The efficacy of Survivin antisense oligonucleotides has been demonstrated in vivo (40, 41), and clinical grade antisense Survivin oligonucleotides are currently under development (42, 43). Although Survivin is not a cancer-specific molecule in regulating normal cell function particularly in the hematopoietic stem cell and immune systems, anti-Survivin therapies developed to date have not revealed major systemic toxicities in animal models and are encouraging (44). Our results provide further evidence that Survivin inhibitors may be an effective therapeutic strategy for this refractory ALL.

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