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## Presentation of familial Mediterranean fever in a heterozygous *MEFV* mutation triggered by immunosuppressive therapy for myelodysplastic syndrome

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**Abstract** Familial Mediterranean fever (FMF) is a recessively inherited disease characterized by recurrent episodes of systemic inflammation. The cause of this disease is the mutations affecting both the alleles of *MEFV* gene. We describe here a case in a heterozygous *MEFV* mutation complicated with myelodysplastic syndrome (MDS). Clinical symptoms and the effectiveness of colchicines in this patient are typical for FMF. The first attack of FMF in this patient was observed during immunosuppressive therapy for MDS. This case suggests the possibility that certain immunosuppressants may trigger FMF attack in asymptomatic cases carrying *MEFV* heterozygous mutation.

**Keywords** Myelodysplastic syndrome · Familial Mediterranean fever · Immunosuppressive therapy · *MEFV* gene

### 1 Introduction

Familial Mediterranean fever (FMF) is a recessively inherited disease characterized by recurrent episodes of

systemic inflammation and is observed in Mediterranean and Middle Eastern populations. Ninety percent of FMF patients have the first attack by the age of 20. Typical events include abdominal, pleural and arthritic attacks that generally last 24–72 h. The cause of this disease is the mutations affecting both the alleles of *MEFV* gene. *MEFV* gene mapped at 16p13.3 was isolated independently by two groups [1, 2]. So far, various kinds of mutations in *MEFV* gene have been reported. Most of the disease-associated mutations are located in exon 10 of the gene with the smaller group in exon 2. Five founder mutations including this patient's type V726A, M694V, M694I, M680I and E148Q account for 74% of typical FMF cases [3]. More severe symptoms of systemic inflammation with a higher incidence of systemic amyloidosis are usually associated with M694V homozygotes.

### 2 Case report

In May 1998, a 19-year-old male was hospitalized in a practitioner's institute because of pneumonia. He was introduced to our hospital because pancytopenia was pointed out during the hospitalization. On admission, he had slight fever. Laboratory studies disclosed pancytopenia and increased levels of non-specific parameter for inflammation. Histological examination revealed no evidence of proliferation of immature blasts but with abundant ringed sideroblast in the bone marrow (Table 1). Chromosomal analysis of bone marrow cells showed 46,XY,del. Above all, he was diagnosed as myelodysplastic syndrome (MDS) associated with isolated del(5q) chromosome abnormality according to the WHO classification, although ringed sideroblasts were observed up to >15% among erythroid progenitors. After the introduction of oral cyclosporine A

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(CsA) at a dose of 300 mg/day, pancytopenia was dissolved. However, administration of CsA at a dose of 50 mg/day was required to maintain complete hematological response. In 2007, he suddenly presented an abdominal pain with fever of 38°C or higher and diarrhea that lasted within 24 h. And this attack recurred afterward. His clinical course satisfied the diagnostic criteria for FMF [4]. His disease severity score was more than 4 (The presence of amyloidosis had not been checked.). His severity score was low in comparison with the mean score in both Iraqi Jews (6.25) and North Africans (9.24) [5]. A consulted physician of digestive tract internal medicine sought for mutation in the Mediterranean fever (*MEFV*) gene responsible for FMF. The sequence analysis was performed about whole coding regions of *MEFV* gene except exon 2. As a result, ATG of codon 694 in exon 10 was shown to be substituted to ATA in the single allele, indicating heterozygous mutation of *MEFV* gene (M694I). Even after the diagnosis of FMF, immunosuppressive therapy for MDS was not changed, and colchicines therapies were started in use to hang around. Colchicines therapies were very effective, and the symptoms of inflammation fever and abdominal pain were improved after the administration of colchicines.

### 3 Discussion

All the patients with FMF do not necessarily conform to a recessive mode of inheritance. In the cases of heterozygous carriers, it is possible that various inflammatory stimuli predispose them to acute attacks of FMF [6]. One candidate inflammatory disease that may cause acute attacks in FMF heterozygotes is Behçet's disease. However, there was no symptom for Behçet's disease in this patient. The *MEFV* gene encoding pyrin (marenostine) is upregulated in response to inflammatory mediators [7] including TNF- $\alpha$ . On the other hand, CsA blocks the induction of TNF- $\alpha$  gene at a transcriptional level [8]. We thus speculated that this patient possibly had a reduced level of pyrin characterizing heterozygosity, and that administration of CsA further stressed the tendency through inhibiting TNF- $\alpha$  expression, which led to FMF attacks.

So far, direct evidence indicating that CsA suppresses the *MEFV* gene expression has not been reported. However, Khosroshahi [9] reported the FMF case that manifested the first attack during the immunosuppressive therapy after renal transplantation. The regimen of immunosuppressive therapy used for this case contained CsA. Therefore, this case indicates the possibility that CsA could trigger the first attack in FMF heterozygotes who are so far asymptomatic.

**Table 1** Laboratory findings on admission

Peripheral blood	
WBC	4300/ $\mu$ L
Stab.	0%
Seg.	74.0%
Eosino.	0%
Baso.	0%
Mono.	4.0%
Lymph.	19.0%
Atypical Ly	3.0%
RBC	$220 \times 10^4/\mu$ L
Hb	8.4 g/dl
Ht	23.4%
MCV	106.0 fl
HCH	38.0 pg
MCHC	35.9%
PLT	$2.3 \times 10^4/\mu$ L
Reti.	5%
Biochemistry	
TP	6.6 g/dL
Alb	3.6 g/dL
T. Bil	1.1 mg/dL
D. Bil	0.4 mg/dL
I. Bil	0.7 mg/dL
AST	90 IU/L
ALS	165 IU/L
ALP	193 IU/L
$\gamma$ -GTP	54 IU/L
LDH	769 IU/L
BUN	15 mg/dL
Cr	0.9 mg/dL
Na	137 mEq/L
K	4.1 mEq/L
Cl	103 mEq/L
UA	4.0 mg/dL
CRP	4.6 mg/dL
Bone marrow	
NCC	$16.2 \times 10^4/\mu$ l
Mgk	15/ $\mu$ l
Mbl	0.6%
Promyelo.	1.4%
Myelo.	8.4%
Meta.	11.2%
Stab.	20.4%
Seg.	20.0%
Eosino.	0.4%
Baso.	0%
Mono.	3.6%
Lymph.	9.2%
Plasma	0.6%
Ebl baso.	1.6%
poly.	20.2%
orth.	2.4%
Iron stain	
Ringed sideroblasts	27%/Ebl
Chromosome (Bone marrow)	
46,XY,del(9)	5/20

Here, we report a case of FMF patient carrying M694I heterozygous mutation of *MEFV* gene, whose first attack was presented during the course of immunosuppressive therapy for MDS. So far, no FMF cases complicated with MDS have been reported. This case suggests the possibility that certain immunosuppressants may cause attacks in cases carrying *MEFV* heterozygous mutation and also provides some warning for physicians to commence immunosuppressants for such patients.

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# Clinical Significance of Serum Hepcidin Levels on Early Infectious Complications in Allogeneic Hematopoietic Stem Cell Transplantation

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The association of iron overload with complications of allogeneic hematopoietic stem cell transplantation (HSCT) has been suggested in previous studies. Because hepcidin plays a central role in the regulation of iron homeostasis, we analyzed the association between pretransplant serum hepcidin-25 levels and early infectious complications after allogeneic HSCT. We studied 55 consecutive adult patients with a median age of 47 years (range: 20–64 years) who underwent allogeneic HSCT for hematologic malignancies at our institution. Thirty-two patients had myelogenous malignancies; the remaining 23 had lymphogenous malignancies. The median pretransplant serum hepcidin level of patients in the study was 21.6 ng/mL (range: 1.4–371 ng/mL), which was comparable to that of healthy volunteers (median: 19.1 ng/mL [range: 2.3–37 ng/mL];  $n = 17$ ). When cumulative incidences of documented bacterial and cytomegalovirus (CMV) infections at day 100 were compared according to pretransplant hepcidin-25 levels, the incidence of bacterial, but not CMV, infection, was significantly higher in the high-hepcidin group ( $\geq 50$  ng/mL;  $n = 17$ ) than in the low-hepcidin group ( $< 50$  ng/mL;  $n = 38$ ) (65% [95% confidence interval, 38%–82%] versus 11% [3%–23%];  $P < .001$ ). This finding was confirmed by multivariate Cox analysis adjusted for confounders, including pretransplant ferritin and C-reactive protein (CRP) levels. No fungal infection was documented in either group. These results suggest that the pretransplant serum hepcidin-25 level may be a useful marker for predicting the risk of early bacterial complications after allogeneic HSCT. Larger prospective studies are, however, warranted to confirm our findings.

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**KEY WORDS:** Hepcidin, Bacterial infection, Allogeneic stem cell transplantation

## INTRODUCTION

Allogeneic hematopoietic stem cell transplantation (HSCT) has been widely performed as a potentially curative treatment for intractable hematologic malignancies with conventional chemotherapy. However, despite recent advances in the treatment of infectious

diseases and conditioning regimens for transplantation, treatment-related complications remain a major problem. Therefore, it is particularly important to identify a good biomarker that can predict treatment-related complications before transplantation. A recently accumulated body of evidence suggests that iron overload is associated with adverse clinical outcomes in HSCT [1–10]. Armand et al. [2] showed that a high pretransplant serum ferritin level was strongly associated with lower overall and disease-free survival (OS, DFS) in patients with allogeneic HSCT that was performed as a treatment for acute leukemia and myelodysplastic syndrome (MDS). Other studies have shown that pretransplant iron overload in autologous or allogeneic HSCT was a risk factor associated with posttransplant complications, such as mucositis, bacterial, and fungal infection, and hepatic veno-occlusive disease (VOD) [3–6,8–11].

Hepcidin, first identified in human blood and urine as an antimicrobial small peptide [12,13], is now considered to be a central molecule that regulates iron metabolism. Hepcidin decreases iron absorption from the intestine and blocks its release from iron stores by

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downregulating the expression of the cellular iron exporter, ferroportin [14,15]. Hepatic expression of hepcidin can be upregulated by iron loading [16,17] as well as by inflammatory stimuli such as interleukin-6 (IL-6) [18]. Therefore, we hypothesized that serum hepcidin level could be a useful predictor of iron overload and inflammatory condition prior to HSCT. Here, we performed a single-center retrospective study at our institution to evaluate the significance of serum hepcidin levels as a predictor of early treatment-related complications after allogeneic HSCT with special reference to infectious complications.

## PATIENTS AND METHODS

### Study Population

The study population comprised 66 consecutive adult patients who underwent allogeneic HSCT for the treatment of hematologic malignancies at Kyoto University Hospital from July 2006 to September 2008. A total of 55 patients, excluding those who had received prior transplantations within 1 year or who had any active infections before the current transplantation, were included in the analysis. This study was approved by the Ethics Committee of Kyoto University Graduate School and the Faculty of Medicine. Written informed consent was obtained from all patients.

### Serum Analysis

Before the administration of conditioning regimens, serum samples were obtained at around 8:00 am, allocated in tubes, and stored at  $-80^{\circ}\text{C}$  until analysis. The levels of serum hepcidin-25 (the main form of active hepcidin peptide) were quantified using a liquid chromatography-tandem mass spectrometry-based assay system following the method described by Murao et al. [19]. Other serum parameters were measured using standard laboratory techniques.

### Prophylaxis, Monitoring, and Diagnosis of Infection

The patients were isolated in a single room equipped with a high-efficiency particulate air filter (HEPA) system from 1 day before transplantation until at least 4 weeks after transplantation. No bacterial prophylaxis was prescribed for the patients according to our institutional protocols [20]. Trimethoprim-sulfamethoxazole (160 mg/day [trimethoprim], 3 times a week) was administered as prophylactic therapy for *Pneumocystis jirovecii* pneumonia from the day of admission until the day of transplantation and restarted after the day of neutrophil engraftment. All patients received fluconazole (200 or 400 mg/day) and acyclovir (1000 mg/day) prophylaxis from the period of conditioning until

30 days after transplantation. After the first 30 days, the patients received fluconazole at a dose of 100 mg/day until at least 100 days after transplantation. The administration of acyclovir (400 mg/day) was continued when patients received steroid therapy for acute graft-versus-host disease (aGVHD). For each febrile episode, 1 or 2 sets of blood samples were cultured, and the cultures of specimens other than blood and imaging examinations were performed according to clinical judgment. The occurrence of cytomegalovirus (CMV) infection was closely monitored by CMV pp65 antigenemia testing with C10/C11 monoclonal antibodies (mAbs) from the day after neutrophil engraftment until at least 100 days after transplantation. Documented bacterial infection included any incidence of bloodstream infection or any other bacterial infection. Bloodstream infection was diagnosed if at least 1 of the following criteria was met: (1) blood culture obtained during a febrile episode was positive, at least once, for bacterial organisms not considered to be common skin contaminants; (2) blood culture obtained during a febrile episode was positive for the same common skin contaminant on separate occasions within 72 hours; (3) blood culture was positive, at least once, for a common skin contaminant, and the patient was diagnosed with septicemia, including hypotension (systolic blood pressure,  $<90$  mmHg) and abnormal coagulopathy. Infections other than bloodstream infection were diagnosed if the following criteria were met: (1) bacterial organisms were observed from specimens such as sputum, urine, and stool at least on 2 occasions, and (2) the patient showed symptoms of infection corresponding to those specimens. *Clostridium difficile* enterocolitis was excluded from the analysis, because this disease is toxin-mediated, and cannot be prevented by administration of common bacterial prophylactic agents such as fluoroquinolones, even if patients with a high risk of bacterial infection can be identified by using a putative biomarker. CMV infection was defined as positive if either C10 or C11 antigenemia assay showed at least 2 positive cells per 150,000 leukocytes. Invasive fungal infection was diagnosed according to the criteria of the European Organization for Research and Treatment of Cancer/Invasive Fungal Infections Cooperative Group and the National Institute of Allergy and Infectious Diseases Mycoses Study Group [21].

### Statistical Analysis

Endpoints included cumulative incidences of documented bacterial infection, fungal infection, CMV infection, and infection-related mortality, and OS within 100 days post transplantation. Patient and transplant characteristics between 2 groups were compared using the Mann-Whitney *U*-test or  $\chi^2$  analysis, as appropriate. The day of neutrophil

engraftment was defined as the first of 3 consecutive days when the absolute neutrophil count (ANC) exceeded 500/ $\mu$ L. The day of neutrophil engraftment between 2 groups was compared by using the Mann-Whitney *U*-test. To eliminate the effect of competing risk, the cumulative incidences were assessed using methods described elsewhere [22]. The competing event in the cumulative incidence analyses was defined as death without an event of interest within 100 days post transplantation. OS was estimated using Kaplan-Meier methods. Infection-related death was defined as death associated with any infection within 100 days after transplantation. Standard risk disease was defined as complete remission (CR) in cases of acute myelogenous leukemia (AML), acute lymphoblastic leukemia (ALL), adult T cell leukemia/lymphoma (ATL), Hodgkin lymphoma (HL), non-Hodgkin lymphoma (NHL), and untreated or CR in MDS and myeloproliferative disorder (MPD). High-risk disease was defined as statuses other than CR in AML, ALL, ATL, HL, and NHL and in MDS and MPD after treatment. The Cox proportional-hazard model was applied to assess the effect of factors that potentially affected the study endpoints. The following items were added as confounders: recipient's sex (male or female), recipient's age (<50 or  $\geq$ 50 years), diagnosis (myelogenous or lymphogenous malignancies), risk of disease (standard or high risk), conditioning regimen (reduced or myeloablative intensity [RIC, MA]), type of donor (related or unrelated donor), reticulocyte count (< $60 \times 10^9$  or  $\geq 60 \times 10^9$ /L), ferritin level (<1000 or  $\geq 1000$  mg/dL), and C-reactive protein (CRP) level (<0.3 or  $\geq 0.3$   $\mu$ g/dL). The cutoff points for reticulocyte count and the ferritin and CRP levels were chosen such that we could make optimal use of the information with a proviso that the smaller group contained at least 30% of patients. *P* values of < .05 were considered statistically significant. All analyses were conducted using STATA software version 10 (STATA Corp., College Station, TX).

## RESULTS

### Characteristics of Patients and Transplants

Characteristics of patients and transplants are shown in Table 1. The median age of patients was 47 years (range: 20–64 years). The primary disease in these patients was as follows: AML in 23, MDS/MPD in 9, ALL in 8, NHL in 9, HL in 1, and ATL in 5. The risk of diseases was standard in 27 and high in 28 patients. Nearly half of the patients ( $n = 26$ ) received a RIC regimen. The stem cell sources used were bone marrow (BM) in 39, peripheral blood (PB) in 1, and cord blood (CB) in 15 patients. The median pretransplant serum hepcidin level was 21.6 ng/mL

**Table 1. Characteristics of Patients and Transplants**

Variables	Hepcidin, Low (<50 ng/mL) n = 38	Hepcidin, High ( $\geq$ 50 ng/mL) n = 17	<i>P</i> Value
Age at transplant			
Median age (range)	47.5 (23–64)	47 (20–63)	.750
Sex			.171
Male	21 (55%)	6 (35%)	
Female	17 (45%)	11 (65%)	
Disease			.612
Myeloid malignancies	23 (61%)	9 (53%)	
AML	15	8	
MDS/MPD	8	1	
Lymphoid malignancies	15 (39%)	8 (47%)	
ALL	4	4	
ATL	4	1	
HL	1	0	
NHL	6	3	
Risk of disease			.051
Standard	22 (58%)	5 (29%)	
High	16 (42%)	12 (71%)	
Conditioning regimen			.545
Myeloablative intensity	19 (50%)	10 (59%)	
Reduced intensity	19 (50%)	7 (41%)	
Prophylaxis against GVHD			.663
Cyclosporine-based	5 (13%)	3 (18%)	
Tacrolimus-based	33 (87%)	14 (82%)	
Type of donor			.181
Related donor			
HLA*-matched	10 (26%)	3 (18%)	
HLA-mismatched	3 (8%)	1 (6%)	
Unrelated donor			
HLA-matched	18 (47%)	5 (29%)	
HLA-mismatched	7 (18%)	8 (47%)	
Source of stem cells			.259
Bone marrow	29 (76%)	10 (59%)	
Peripheral blood	1 (3%)	0 (0%)	
Cord blood	8 (21%)	7 (41%)	
Serum ferritin ( $\mu$ g/dL)			<.001
mean ( $\pm$ SD)	664 ( $\pm$ 796)	1551 ( $\pm$ 993)	
CRP (mg/dL)			.176
mean ( $\pm$ SD)	0.36 ( $\pm$ 0.68)	0.70 ( $\pm$ 1.63)	
Reticulocyte ( $\times 10^9$ /L)			.979
mean ( $\pm$ SD)	63.7 ( $\pm$ 40.2)	64.0 ( $\pm$ 42.2)	

AML indicates acute myelogenous leukemia; MDS/MPD, myelodysplastic syndrome and myeloproliferative disorders; ALL, acute lymphoblastic leukemia; ATL, acute T cell leukemia/lymphoma; HL, Hodgkin lymphoma; NHL, non-Hodgkin lymphoma; GVHD, graft-versus-host disease; Cyclosporine-based, cyclosporine with or without other agents; Tacrolimus-based, tacrolimus with or without other agents; HLA, human leukocyte antigen; CRP, C-reactive protein.

Data are counts of individuals unless specified otherwise.

\*HLA compatibility was defined according to the results of serologic or low-resolution molecular typing for HLA-A, -B, and -DR antigens.

(range: 1.4–371 ng/mL), which was comparable to that of healthy volunteers (median: 19.1 ng/mL [range: 2.3–37 ng/mL];  $n = 17$ ) [23]. Because the lower hepcidin level of the third tertile among the patients in this study was 49.1 ng/mL, we set a cutoff hepcidin level of 50 ng/mL for practical use to divide the patients into low- and high-hepcidin groups ( $n = 17$  and 38, respectively). There was no difference in patient and transplant characteristics between the low- and high-hepcidin groups, except for serum ferritin levels ( $P < .001$ ).

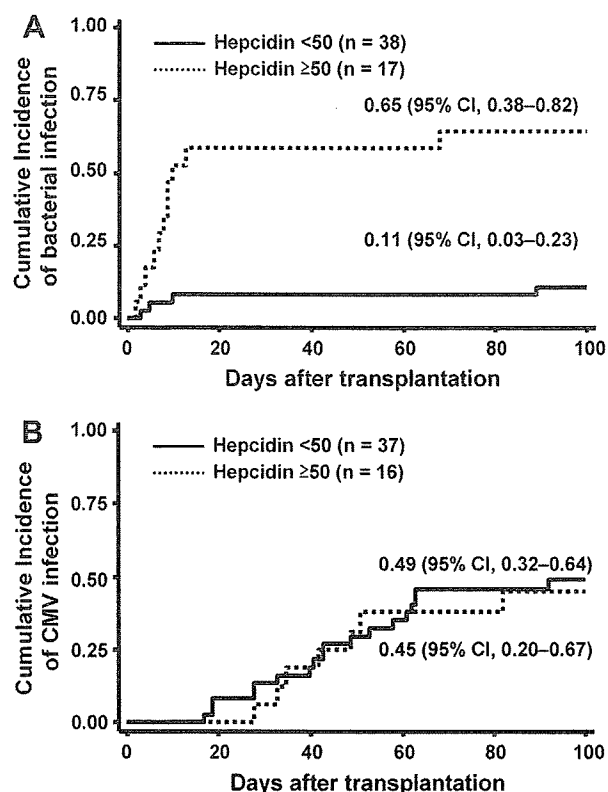
**Documented Bacterial Infections**

There was no significant difference between the days of neutrophil engraftment of the low- and high-hepcidin groups (median day: 21 [range: 14-99] and median day: 22.5 [range: 12-53], respectively,  $P = .54$ ). A total of 16 episodes of bacterial infections were documented; these included 15 episodes of bloodstream infections and 1 episode of pneumonia. No patient experienced more than 1 episode of bacterial infection within 100 days after transplantation. The documented bacterial organisms are listed in Table 2. The main organisms were Gram-negative bacilli in both the low- and high-hepcidin groups. In the antimicrobial-susceptibility tests, 12 of the 13 Gram-negative isolates were sensitive to fluoroquinolone. We documented 2 bacterial infections in the late period of transplantation; 1 patient showed infection at day 89 after transplantation, which was attributed to delayed neutrophil engraftment, and another patient showed infection at day 68, when the neutrophil counts had temporarily decreased. The cumulative incidences of the documented bacterial infection in the low- and high-hepcidin groups were 11% (95% confidence interval [CI], 3%-23%) and 65% (95% CI, 38%-82%), respectively (Figure 1A). In the low-hepcidin group, the cumulative incidence of bacterial infection was lower in patients with a hepcidin level of <25 ng/mL than in those with a hepcidin level ranging from  $\geq 25$  to <50 ng/mL (10% [95% CI, 2%-23%] versus 17%, [95% CI, 1%-52%]). Univariate analysis of various potential confounders showed that high hepcidin level was the only factor that affected the cumulative incidence of documented bacterial infection (hazard ratio [HR], 8.98; 95% CI, 2.82-28.57;  $P < .001$ ) (Table 3). To exclude the effect of other confounders, the significance of high hepcidin level was assessed in the stratified category of each confounder (eg, in either the high- or low-ferritin group); we noted consistently high HRs in the high-hepcidin group in each stratified category (data not shown). We also found that hepcidin had a significant impact on the patients, excluding the patients in other specific categories, such as those who received a CB transplant or those who underwent a transplant from an unrelated

**Table 2. Documented Bacterial Organisms within 100 Days after Stem Cell Transplantations**

Category	Hepcidin, Low (<50 ng/mL) n = 38	Hepcidin, High ( $\geq 50$ ng/mL) n = 17
Gram-positive cocci (n)	<i>Staphylococcus epidermidis</i> (1)	<i>Enterococcus faecium</i> (2)
Gram-negative bacilli (n)	<i>Klebsiella pneumoniae</i> (2) <i>Enterobacter cloacae</i> (1) <i>Prevotella intermedia</i> (1)	<i>Klebsiella pneumoniae</i> (3) <i>Escherichia coli</i> (3) <i>Pseudomonas aeruginosa</i> (2) <i>Klebsiella oxytoca</i> (1)

*P. intermedia* was detected in the sputum of 1 patient with pneumonia. Other organisms were detected in blood culture bottles.



**Figure 1.** The cumulative incidences of documented bacterial infection (A) and cytomegalovirus (CMV) infection (B) at 100 days after stem cell transplantation. Solid black line, the low-hepcidin group (<50 ng/mL); solid gray line, the high-hepcidin group ( $\geq 50$  ng/mL); CI, confidence interval. CMV infection was not assessable in 2 patients because of early death before neutrophil engraftment.

HLA-mismatched donor (data not shown). Furthermore, the significant effect of hepcidin persisted even after the adjustment for confounders in multivariate analysis (HR, 28.46; 95% CI, 2.51-323.34;  $P = .007$ ) (Table 3). Even when the variables were treated as continuous instead of categorical, the significant effect of hepcidin persisted (HR, 1.01; 95% CI, 1.00-1.01;  $P = .001$ ).

**Other Transplant-Related Complications and Mortality**

The cumulative incidences of CMV infection in the low- and high-hepcidin group were 49% (95% CI, 32%-64%) and 45% (95% CI, 20%-67%), respectively (Figure 1B); univariate and multivariate analyses showed no significant difference between the 2 groups (Table 3). All CMV infections were well treated by the administration of ganciclovir or foscarnet. No fungal infection was documented. Therefore, all infection-related deaths were attributed to bacterial infection. The cumulative incidence of infection-related mortality in the low-hepcidin group was 3% (95% CI, 0.2%-12%), whereas that in the high-hepcidin group was 6% (95% CI, 0.4%-24%),



**Table 3. Univariate and Multivariate Analyses of Documented Bacterial Infection, CMV Infection, and Overall Survival at 100 Days after Stem Cell Transplantations**

	Number	Univariate Analysis		Multivariate Analysis	
		HR (95% CI)	P Value	HR (95% CI)	P Value
1) Documented bacterial infection					
Hepcidin, low (<50 ng/mL)	5/38	1	—	1	—
Hepcidin, high ( $\geq$ 50 ng/mL)	11/17	8.98 (2.82–28.57)	<.001	28.46 (2.51–323.34)	.007
2) CMV antigenemia (CI0 or CI1 $\geq$ 2)					
Hepcidin, low (<50 ng/mL)	18/37	1	—	1	—
Hepcidin, high ( $\geq$ 50 ng/mL)	7/16	0.97 (0.40–2.32)	.939	0.63 (0.16–2.49)	.511
3) Overall survival					
Hepcidin, low (<50 ng/mL)	36/38	1	—	—	—
Hepcidin, high ( $\geq$ 50 ng/mL)	14/17	3.60 (0.60–21.56)	.161	—	—

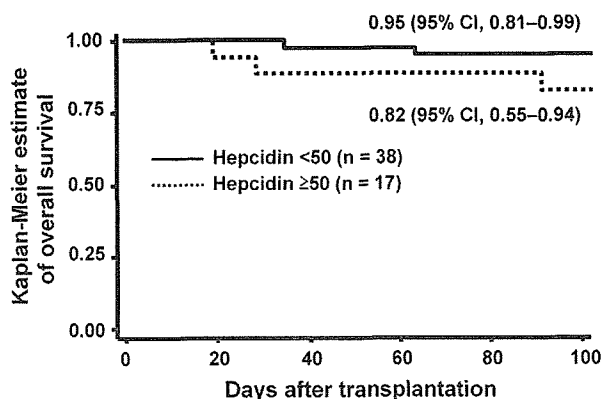
CMV indicates cytomegalovirus; CI, confidence interval.

Hazard ratios (HRs) in multivariate analysis were adjusted for recipient's sex (male or female), recipient's age (<50 or  $\geq$ 50 years), diagnosis (myelogenous or lymphoid malignancies), risk of disease (standard or high risk), conditioning regimen (reduced or myeloablative intensity), type of donor (related or unrelated donor), reticulocyte count ( $<60 \times 10^9$  or  $\geq 60 \times 10^9/L$ ), ferritin level ( $<1000$  or  $\geq 1000$  mg/dL), and C-reactive protein (CRP) level ( $<0.3$  or  $\geq 0.3$   $\mu$ g/dL). Overall survival was not analyzed in the multivariate model because of the low incidence of death.

with no statistical difference between the 2 groups. OS at 100 days after transplantation in the low- and high-hepcidin groups was 95% (95% CI, 81%–99%) and 82% (95% CI, 55%–94%), respectively (Figure 2). No significant difference in OS was observed (Table 3).

## DISCUSSION

In our cohort of patients who underwent allogeneic HSCT for hematologic malignancies, we found a significant association between the pretransplant serum hepcidin levels and the cumulative incidence of documented bacterial infection. To our knowledge, this is the first study that has evaluated the clinical significance of serum hepcidin levels in predicting transplant-related complications; the findings suggest that the pretransplant serum hepcidin level can be used as a good pretransplant biomarker to predict bacterial infection in a patient scheduled for HSCT.



**Figure 2.** Kaplan-Meier estimate of OS at 100 days after stem cell transplantation. Solid black line, the low-hepcidin group (<50 ng/mL); solid gray line, the high-hepcidin group ( $\geq$ 50 ng/mL); CI, confidence interval.

Hepcidin production is regulated by at least 3 factors: iron load [16,17], inflammation [18], and unknown erythropoietic signals [23–25]. Therefore, the good predictive value of hepcidin with respect to the incidence of documented bacterial infection can be partly explained by the cumulative effect of at least these 3 factors on bacterial infection. Iron overload increases the level of circulating non-transferrin-bound iron, which is known to amplify free-radical reactions in inflammatory or ischemia-related conditions [7,26]. Such reactions could enhance tissue damage such as mucositis during the conditioning regimen, thereby allowing bacterial translocation through the damaged mucosa [27]. In addition, iron is a necessary nutrient for bacteria and fungus [28]. The association between hemochromatosis, 1 of the iron overload disorders, and infection with certain organisms has already been described [29]. Therefore, the high hepcidin levels might reflect iron overload status, which has an adverse effect on bacterial infections. Second, a high hepcidin level may indicate inflammation because of a latent bacterial infection that was undetectable before HSCT, but may surface in posttransplant neutropenic status. Last, a high hepcidin level could reflect suppressed erythropoiesis, probably because of the short duration from the last chemotherapy to the start of the conditioning regimen for transplantation. Repeated cytotoxic chemotherapy in a short period may exacerbate tissue damage and increase the risk of bacterial infection.

Although serum ferritin levels do not necessarily correlate with the amount of iron load in patients with inflammation or specific diseases [1,30,31], it is frequently used and regarded as a indicator of iron overloading, and several studies have demonstrated the association between high ferritin levels and treatment-related mortality (TRM) [3,11]. In this cohort, an elevation of serum ferritin level was not found to be a significant risk factor for bacterial infection,

whereas an elevated hepcidin level was a strong risk factor even after adjustment for other potential confounders. Furthermore, we observed consistent association of high hepcidin levels with high risk for developing bacterial infection when analyses were confined to either the low- or high-ferritin subgroups. These findings collectively suggest that hepcidin can be used as a better predictor of documented bacterial infections than serum ferritin levels. Moreover, various new techniques to quantify hepcidin-25, such as a competitive enzyme-linked immunoassay as well as mass spectrometry-based methods, have been recently developed [19,25,32,33]. Standardization of those methods will make it possible to use the serum hepcidin level as a biomarker in routine clinical practice.

Hepcidin was first isolated and characterized as an antimicrobial peptide in human blood [12]. In radial diffusion assays, synthetic hepcidin suppressed the growth of several strains of Gram-positive bacteria and some strains of Gram-negative bacteria, but not of *Escherichia coli* or *Pseudomonas fluorescens*. Our findings pertaining to the adverse association of high hepcidin levels with bacterial infection indicated that the bactericidal effect of hepcidin was either considerably limited in neutropenic settings such as HSCT or was ineffective on the bacterial organisms observed in our cohort. Moreover, we observed a significant adverse effect of hepcidin even after the adjustment for potential confounders, suggesting that hepcidin itself may play an unknown biologic role in susceptibility to bacterial infection, or it may represent an unknown surrogate marker for predicting bacterial infection. To answer this issue, the significance of pretransplant serum hepcidin levels needs to be evaluated in a more homogeneous group of patients having the same level of confounders.

We did not detect any adverse effect of high hepcidin levels on infection-related mortality or OS at 100 days after transplantation, although there was a marked difference in the incidence of bacterial infection. One possible explanation for this observation is that bacterial infection of the blood was well managed by prompt and appropriate treatment with antibiotics in our transplant centers. However, because the incidence of early death after HSCT is considerably low, the effect of bacterial infection on early mortality should be evaluated in larger cohort studies to gain enough statistical power for comparison. Alternatively, selective prophylactic administration of oral antibiotics such as fluoroquinolones to patients with a high risk of bacterial infection may be an effective approach; however, this approach will be effective only if most of the bacterial isolates at the transplant center are sufficiently sensitive to these prophylactic antibiotics. With regard to other endpoints, there was no association between high hepcidin levels and the incidence of CMV infection. The effect of hepcidin level on the incidence of

fungal infection could not be evaluated because of the very low incidences of these conditions in our cohorts. These effects should also be evaluated in studies with a larger cohort in the future.

The present study, however, has some limitations. We cannot exclude the possibility of a pseudonegative result for bloodstream infection, because broad-spectrum antibiotics were administered to all neutropenic patients at the time of blood culture, regardless of the results of blood culture. In addition, the retrospective study design and heterogeneous background of diseases and transplantation procedures could also bias the results. Particularly, in the small cohort of 55 patients, the adjustment of HRs by confounders may be incomplete. In particular, the higher proportion of CB transplants and the high risk of diseases in the high-hepcidin group may cause bias, although we found consistently high HRs in the high-hepcidin group in various stratified categories. Therefore, larger studies are necessary to confirm our results.

In conclusion, our study revealed that the pretransplant serum hepcidin level was significantly associated with bacterial infection, particularly bloodstream infection, suggesting that quantification of serum hepcidin levels could be useful for predicting early bacterial complications. Prophylactic antibiotic therapy based on the local sensitivities of common bacterial isolates can be considered in the patients with high hepcidin levels who are undergoing allogeneic HSCT. Larger prospective studies are, however, warranted to confirm our findings.

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*Conflict of interest:* N.T. declares that he is the President of Medical Care Proteomics Biotechnology Co. Ltd. (Ishikawa-ken, Japan), a startup company, the stock of which is not publicly traded. The other authors declare that they have no conflicts of interest relevant to this paper.

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## Mycophenolate mofetil combined with tacrolimus and minidose methotrexate after unrelated donor bone marrow transplantation with reduced-intensity conditioning

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**Abstract** We evaluated the efficacy of a post-grafting immunosuppressive regimen consisting of tacrolimus, methotrexate, and mycophenolate mofetil (MMF) in 21 adults (median age, 55 years) with poor-risk hematologic malignancy who underwent unrelated bone marrow transplantation after fludarabine-based reduced-intensity conditioning (RIC). In combination with intravenous tacrolimus and minidose methotrexate (5 mg/m<sup>2</sup> on days 1, 3, and 6), MMF was orally administered at 30 mg/kg daily in three divided doses between days 7 and 27. All patients achieved neutrophil recovery with donor-type chimerism at a median of 19 days (range, 13–35). Cumulative incidences of grades II–IV and III–IV acute graft-versus-host disease (GVHD) were 33% (95% CI, 15–53%) and 5% (95% CI, 0.3–20%), respectively. Five of 20 evaluable patients developed extensive chronic GVHD. Toxicities associated with the use of MMF were acceptable, although one patient experienced intractable GVHD immediately after the cessation of MMF. With a median follow-up of 24 months, overall survival at 3 years was 38% (95% CI, 14–63%). No late graft failure was observed. In conclusion, post-transplant MMF combined with tacrolimus and methotrexate was well tolerated

and conferred stable donor cell engraftment, low risk of severe acute GVHD, and encouraging overall survival in unrelated donor marrow transplantation after RIC regimens.

**Keywords** Mycophenolate mofetil · Reduced-intensity conditioning · Unrelated donor · Bone marrow transplantation · Graft-versus-host disease

### 1 Introduction

Allogeneic hematopoietic stem cell transplantation (HSCT) with reduced-intensity conditioning (RIC) regimens is increasingly employed as a treatment option for various hematologic disorders. RIC transplantations using cytokine-mobilized peripheral blood stem cells (PBSC) have been reported to yield comparable outcomes with conventional myeloablative HSCT at least in selected patients [1–4]. However, previous reports have consistently shown that RIC transplantations using bone marrow (BM) graft, especially from an unrelated donor, are associated with an increased risk of graft failure and treatment-related toxicity as compared with those using PBSC, although confirmatory data from randomized-controlled trials are currently unavailable [5–8]. To improve outcomes after unrelated BM transplantation conditioned with non-myeloablative or reduced-intensity regimens, it would be beneficial to introduce a newer post-transplant immunosuppressive protocol which can effectively prevent both graft rejection and severe graft-versus-host disease (GVHD).

Mycophenolate mofetil (MMF) is an esterified prodrug of mycophenolic acid (MPA), which has pleiotropic immunosuppressive actions [9, 10]. MPA preferentially inhibits *de novo* purine nucleotide synthesis in T-cells and B-cells via inhibition of inosine-5'-monophosphate

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dehydrogenase, interfering with their proliferation. MPA also suppresses dendritic cell maturation and can induce T-cell apoptosis. The use of MMF in combination with cyclosporine or tacrolimus was proven to be active in promoting hematopoietic stem cell engraftment after non-myeloablative HSCT using fludarabine and low-dose total-body irradiation (TBI) conditioning [11, 12], and was also shown to be as effective as the standard post-grafting immunosuppression with cyclosporine and methotrexate (MTX) in preventing severe acute GVHD after myeloablative HSCT from an HLA-matched related donor [13, 14].

A combination of tacrolimus and minidose MTX has been widely used as GVHD prophylaxis in RIC transplantation as well as in conventional HSCT from adult unrelated donors [15, 16]. Because MMF and tacrolimus are shown to have synergistic immunosuppressive actions in experimental and clinical organ transplantations [17, 18], we hypothesized that MMF in conjunction with tacrolimus and minidose MTX would be more efficacious than a combination of tacrolimus/MTX alone. In this single-center study, we retrospectively evaluated the efficacy of such triple combination as an alternative peritransplant immunosuppressive protocol in unrelated donor RIC transplantation using exclusively BM as a stem cell source.

## 2 Patients and methods

### 2.1 Patients

Among 61 consecutive adult patients who received BM transplantation from an unrelated donor between 2003 and 2006 at Kyoto University Hospital, those who fulfilled the following criteria were selected for the study: having a hematologic malignant disease; having an unrelated donor who was serologically matched at HLA-A, -B, and -DR antigens, allowing a single-allele mismatch identified by high-resolution DNA typing; receiving fludarabine-based RIC because of having a history of chemoradiotherapy precluding the use of myeloablative conditioning or having 55 through 69 years of age; receiving GVHD prophylaxis consisted of intravenous tacrolimus, minidose MTX and oral MMF. All patients had an adequate cardiac, pulmonary, hepatic, and renal function at the time of transplantation and did not have therapy-resistant central nervous system involvement or active infectious disease. A total of 21 patients fulfilled these criteria and considered evaluable for the study. With respect to disease status at transplant, patients who received transplant without prior cytotoxic chemotherapy or in first complete remission were considered to have an early disease, while those who underwent transplantation in all the other conditions were considered to have an advanced disease. All the patients with early disease were considered to have

resistance to conventional chemotherapy or to have a high risk of relapse: those included two cases with untreated high-risk myelodysplastic syndrome, one with chronic active Epstein-Barr virus infection and one with adult T-cell leukemia/lymphoma in first remission. This study was approved by the Institutional Review Board and Ethic Committee of Kyoto University; written informed consent for transplantation was obtained from all participating patients.

### 2.2 Study end points

The primary end points of the study were donor cell engraftment and the occurrence of grade II–IV acute GVHD. Secondary end points included the neutrophil and platelet recovery, the occurrence of extensive chronic GVHD, progression or relapse of primary disease, and death from any cause.

Donor cell engraftment was defined as the detection of donor-type chimerism among unfractionated BM-nucleated cells with concomitant neutrophil recovery. Date of neutrophil recovery was defined as the first 3 consecutive days with the absolute neutrophil count (ANC) higher than  $0.5 \times 10^9/L$ . Date of platelet recovery was defined as the first 7 consecutive days with platelet count exceeding  $20 \times 10^9/L$  without transfusion. Acute GVHD was diagnosed and graded according to the conventional criteria [19]. Chronic GVHD was diagnosed and staged as limited or extensive on the basis of traditional criteria among patients who survived more than 90 days after transplantation [20]. Disease response and progression were defined by the standard criteria [21–25]. Toxicity observed between days 0 and 100 after transplantation was graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events ver 3.0. Non-infectious pulmonary complications were diagnosed on the basis of clinical manifestations, radiologic findings, and the results of pulmonary function tests if available [26].

### 2.3 HLA typing and chimerism analysis

Compatibility at *HLA-A*, *-B*, and *-DRB1* loci between patients and donors was determined by standard serologic technique and high-resolution DNA typing as described elsewhere [27]. *HLA-C* compatibility was not included as a criterion for donor selection because routine *HLA-C* allele typing for the screening of unrelated donors was not available before April 2004. Donor cell chimerism levels among unfractionated BM-nucleated cells were evaluated on day 28 and thereafter at the appropriate time point by polymerase chain reaction-based analysis of polymorphic microsatellite regions for recipients of sex-matched graft or fluorescent in situ hybridization analysis of sex chromosomes for sex-mismatched pairs as described previously [28].

## 2.4 Transplantation procedure

Preparative regimens were assigned according to diagnosis and disease status at transplantation. Fourteen patients received fludarabine 25 mg/m<sup>2</sup>/day for 5 consecutive days (days -6 to -2) in combination with oral busulfan 1 mg/kg every 6 h for 2 days (days -3 and -2) followed by 400 cGy of TBI in 2 fractions (on day -1 and/or day 0). One patient who had a history of TBI-based myeloablative allogeneic transplantation received the same dose schedule of fludarabine plus busulfan regimen without 400 cGy TBI. Four patients received fludarabine at the same daily dose from days -8 through -4 combined with melphalan 70 mg/m<sup>2</sup>/day on days -3 and -2. The remaining two patients without a history of cytotoxic chemotherapy received 200 cGy TBI in a single fraction in addition to the fludarabine plus melphalan regimen.

All BM collections from unrelated donors were facilitated through the Japan Marrow Donor Program [27]. On day 0, BM graft was infused without T-cell depletion; ABO major-mismatched or bidirectionally mismatched graft was processed to isolate mononuclear cell suspension using COBE Spectra (Gambro BCT, Lakewood, CO, USA) or CS-3000 Plus (Baxter Corp., Deerfield, IL, USA) according to the manufacturer's instruction, while ABO minor-mismatched graft was plasma depleted before infusion. Eleven patients who were suspected to develop bacterial infection during the first week after transplantation or who were considered to be at high risk for infectious complications because of prior history of allogeneic transplantation received infusional or subcutaneous granulocyte colony-stimulating factor 5 µg/kg/day from day 7 until ANC exceeded  $0.5 \times 10^9/L$ .

Continuous intravenous administration of tacrolimus in a dose of 0.02 mg/kg/day was started on day -3 in patients receiving busulfan-based conditioning or on day -1 in patients receiving melphalan-based conditioning with therapeutic monitoring which targeted blood levels of 10–15 ng/ml at least until day 28 after transplantation, converted to twice-daily oral administration at an appropriate time to maintain trough levels between 5 and 10 ng/ml until day 100, followed by stepwise tapering over 3–6 months if active GVHD was absent. MTX at a dose of 5 mg/m<sup>2</sup> was intravenously injected on days 1, 3, and 6; MMF 30 mg/kg/day was orally administered in three divided doses from days 7 to 27. After day 28, MMF was discontinued without tapering if acute GVHD was absent or gradually tapered if ongoing acute GVHD was present. Patients who developed grade II–IV acute GVHD were initially treated with methylprednisolone or prednisolone at a dose of 1–2 mg/kg/day. All patients received supportive care including blood product transfusion and prophylaxis against opportunistic infections according to our institutional protocols [29].

## 2.5 Statistical analysis

Probabilities of neutrophil recovery, platelet recovery, and grade II–IV or grade III–IV acute GVHD were calculated by cumulative incidence estimates, treating death without the respective event as a competing risk [30]. Overall survival from the date of transplantation until the date of death from any cause was estimated by the Kaplan–Meier method; progression-free survival was estimated from the date of transplantation until the date of disease progression, relapse, or death from any cause. Data on patients who were alive at the time of last follow-up were censored. All statistical analyses were performed using STATA version 10 software (Stata Corp., College Station, TX, USA) based on dataset available on 10 January 2008.

## 3 Results

### 3.1 Patient and transplant characteristics

Table 1 shows the characteristics of the patients and transplantation procedures. A total of 17 patients (86%) had an advanced disease at transplantation, while the remaining 4 patients had an early disease. With respect to the compatibility at *HLA-A*, *-B*, and *-DRB1*, five patients (24%) received a single-allele mismatched graft, three had a mismatch at *HLA-A* and two at *HLA-DRB1*. Two of these five patients were found to have an additional allele mismatch at *HLA-C*. The median total number of nucleated cells included in the collected BM graft was 3.0 (range,  $1.2\text{--}4.0$ )  $\times 10^8$  per kg of the recipient's body weight.

### 3.2 Engraftment

All patients achieved successful donor cell engraftment. The cumulative incidence of neutrophil recovery  $>0.5 \times 10^9/L$  by day 35 was 100%, with a median time of 19 days (range, 13–35 days) (Fig. 1a). The cumulative probability of platelet recovery  $>20 \times 10^9/L$  by day 42 was 81%, with a median time of 26 days (range, 13–91 days) (Fig. 1b). Two patients had experienced relapse on days 39 and 67 after transplantation without platelet recovery. No secondary graft failure was observed.

### 3.3 Acute and chronic GVHD

Acute GVHD was evaluable in all the patients. A total of seven patients developed grade II–IV acute GVHD: grade II in 6 and grade IV in 1. Cumulative incidence of developing grade II–IV acute GVHD at day 100 after transplantation was 33% (95% CI, 15–53%), and that of grade III–IV acute GVHD was 5% (95% CI, 0.3–20%)

**Table 1** Patient and transplant characteristics

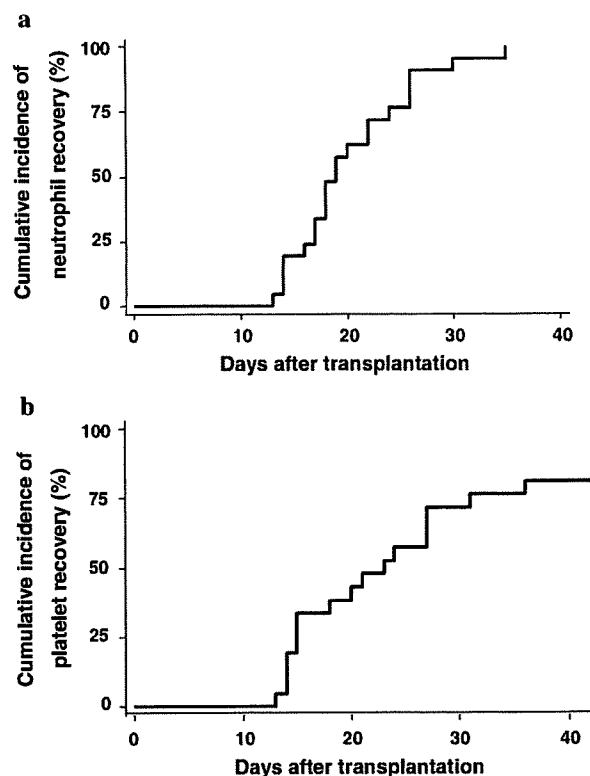
	<i>n</i> = 21
Median recipient age (range) (years)	52 (24–66)
Recipient sex, <i>n</i>	
Female/male	12/9
Diagnosis, <i>n</i>	
Acute myeloid leukemia	5
Myelodysplastic syndrome	4
Adult T-cell leukemia/lymphoma	4
Follicular lymphoma	3
Hodgkin lymphoma	1
Plasma cell myeloma	2
Chronic active EBV infection	1
Extranodal NK/T-cell lymphoma	1
Disease status at transplantation, <i>n</i>	
Early disease	
CR1	1
Untreated	3
Advanced disease	
CR > 1	4
PR	8
Progressive disease	5
Median donor age (range) (years)	34 (20–48)
HLA matching (at HLA-A, -B, -DRB1), <i>n</i>	
Match	16
Single-allele mismatch	5
ABO incompatibility, <i>n</i>	
Match	9
Minor	3
Major	5
Bidirectional	4
Conditioning, <i>n</i>	
Fludarabine + busulfan + 4 Gy TBI	14
Fludarabine + busulfan	1
Fludarabine + melphalan + 2 Gy TBI	2
Fludarabine + melphalan	4

EBV Epstein-Barr virus, CR complete remission, PR partial remission, TBI total-body irradiation

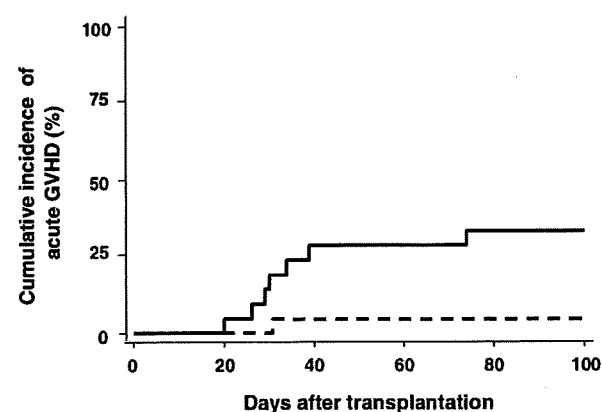
(Figs. 2, 3). Chronic GVHD was observed in 11 of 20 (55%) evaluable patients who survived 100 days after transplantation: limited type in 6 and extensive type in 5.

### 3.4 Transplant-related toxicities and infectious complications

Transplant-related organ toxicities during the first 100 days after transplantation are shown in Table 2. Mild to moderate gastrointestinal symptoms considered to be associated with preparative regimens were frequently observed,



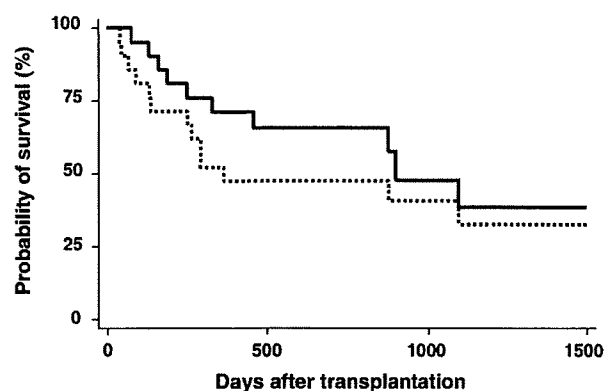
**Fig. 1** Cumulative incidence of neutrophil recovery (a) and platelet recovery (b)



**Fig. 2** Cumulative incidences of grade II–IV (solid line) and grade III–IV (dashed line) acute GVHD

although other adverse events were mostly moderate. One patient was required to discontinue MMF on day 9 because of grade III diarrhea.

Eighteen patients (86%) experienced 38 episodes of documented or suspected infectious complications (Table 3). Fourteen episodes of culture-negative neutropenic fever were reported. Five episodes of microbiologically documented bacterial infection were observed in four patients:



**Fig. 3** Probabilities of overall survival (solid line) and progression-free survival (dotted line) after transplantation

**Table 2** Transplant-related toxicities

	CTCAE grade I–II	CTCAE grade III
Vomiting	3 (14%)	6 (28%)
Stomatitis	5 (24%)	6 (28%)
Diarrhea	9 (43%)	3 (14%)
Liver dysfunction	5 (24%)	3 (14%)
Renal dysfunction	3 (14%)	0 (0%)
Headache	0 (0%)	2 (10%)
Pleural effusion	2 (10%)	0 (0%)
Myalgia	2 (10%)	0 (0%)

CTCAE common terminology criteria for adverse events

**Table 3** Infectious complications

	No. of episodes
Culture-negative febrile neutropenia	14
Bacteremia	4
<i>Clostridium difficile</i> -associated diarrhea	1
Phlegmone	1
Neutropenic enterocolitis	1
CMV antigenemia	11
Cystitis	5
Aseptic meningitis	1
Total	38

CMV cytomegalovirus

bloodstream infection ( $n = 4$ ) and *Clostridium difficile*-associated enteritis ( $n = 1$ ). Two episodes of suspected bacterial infections were reported: phlegmone ( $n = 1$ ) and neutropenic enterocolitis ( $n = 1$ ). Eleven patients became positive for cytomegalovirus (CMV) antigenemia; one of them developed CMV-associated hepatitis and enteritis. Adenovirus was detected from the urine from one of five patients who developed cystitis. One patient experienced aseptic meningitis. No varicella-zoster virus infection was

observed. There was no death directly attributable to infectious events until day 100.

Six patients developed non-infectious pulmonary complications between 3 and 14 months after transplantation: bronchiolitis obliterans ( $n = 2$ ), bronchiolitis obliterans-organizing pneumonia ( $n = 1$ ), diffuse alveolar hemorrhage ( $n = 1$ ), and idiopathic interstitial pneumonia ( $n = 2$ ). One patient developed secondary gastric cancer at 34 months after transplantation.

### 3.5 Survival and treatment-related mortality

Eleven patients were alive and 10 of them were disease-free at a median follow-up of 24 months (range, 3–31 months). Among four patients who had an early disease at transplant, one experienced relapse on day 292. Fifteen of 17 patients who had an advanced disease maintained or attained remission after transplantation, but seven of them eventually relapsed between days 67 and 363. Two of the relapsed patients received donor lymphocyte infusion after chemotherapy, and durable remission lasting more than 16 months was observed in one patient.

Ten patients were deceased between 76 and 1093 days after transplantation. Six patients succumbed to disease progression and four patients died of treatment-related complications including interstitial pneumonia ( $n = 1$ ), bronchiolitis obliterans followed by diffuse alveolar damage ( $n = 1$ ), intracranial hemorrhage during exacerbation of bronchiolitis obliterans ( $n = 1$ ), and secondary gastric cancer ( $n = 1$ ). The probabilities of overall survival and progression-free survival at 3 years after transplantation were 38% (95% CI, 14–63%) and 33% (95% CI, 12–55%), respectively.

## 4 Discussion

In this study, we evaluated the efficacy of a combination of tacrolimus, minidose MTX, and MMF as post-transplant immunosuppression in RIC transplantations using BM grafts from an HLA-A, -B, -DR antigen compatible unrelated donor. This triple regimen conferred stable donor cell engraftment, low risk of severe acute GVHD, and encouraging overall survival with acceptable toxicity profiles.

Recent introduction of RIC has provided the opportunity to enjoy long-term disease-free survival in patients with hematologic malignancies who were previously ineligible for allogeneic HSCT because of elder age or pre-existing comorbidity. It has been shown that RIC HSCT using alternative stem cell source is a feasible treatment option when an HLA-matched related donor is not available, albeit at the expense of substantial risk of more serious



transplant-related complications. Among the first 285 patients who underwent unrelated RIC HSCT through the National Marrow Donor Program, the respective incidence rates of primary graft failure, grade III–IV acute GVHD, and treatment-related mortality at 3 months after transplantation were 11, 22, and 19%, respectively [7].

It should also be noticed that RIC transplantations using BM as a stem cell source have been reported to be associated with a higher risk of graft failure when compared with those using cytokine-mobilized PBSC, especially in the unrelated donor setting [6, 7]. As compared with BM, PBSC grafts usually contain more than ten times higher number of T-cells and 2–4 times greater number of CD34<sup>+</sup> cells, which would have a beneficial impact on successful engraftment after RIC [31]. In a study which compared the engraftment kinetics after transplantation of PBSC and BM with an identical non-myeloablative conditioning, the number of patients who achieved full donor chimerism was significantly lower in the BM group [32]. These observations suggested that, to improve the outcomes after RIC transplantation using BM grafts from unrelated donors, it is important to develop more optimal post-transplant immunosuppressive protocol which can effectively prevent graft rejection as well as severe GVHD.

In preclinical canine models and clinical experiences of HSCT after truly non-myeloablative regimen using low-dose TBI with or without fludarabine as pre-transplant conditioning, post-transplant administration of MMF was shown to improve the rate of successful donor cell engraftment [11, 33]. Therefore, we hypothesized that the addition of MMF to the standard immunosuppression with tacrolimus plus minidose MTX might facilitate engraftment after unrelated BM allografting with RIC. In support of this hypothesis, all the patients in this study achieved durable donor cell engraftment without experiencing serious morbidity associated with delayed hematopoietic recovery or late graft failure. However, this promising result awaits further validation because the probability of engraftment can also be influenced by the type and intensity of RIC regimens or by the use of pre-transplant anti-thymocyte globulins or T-cell-depleting monoclonal antibodies. Onishi et al. reported the outcomes of unrelated BM transplantation after RIC with fludarabine, busulfan, and 4 Gy TBI among a cohort of 17 patients with various hematologic malignant diseases. Although all the patients in their report initially achieved successful engraftment with the use of conventional post-transplant immunosuppression composed of cyclosporine and MTX, 2 of them subsequently developed secondary graft failure [8]. This observation suggests that the intensification of conditioning with 4 Gy TBI does not always confer sustained engraftment, at least in the setting of unrelated marrow transplantation.

In contrast, the role of MMF in ameliorating acute GVHD has been controversial at least when administered solely with calcineurin inhibitors. Recently, Koh et al. [34] reported that the post-grafting MTX combined with cyclosporine and MMF significantly reduced the risk of grade III–IV acute GVHD as compared with a combination of cyclosporine and MMF. Consistent with their experience, the cumulative incidence of severe acute GVHD after our triple combination was 5%, encouragingly lower than those previously reported in the analysis of unrelated BMT through the Japan Marrow Donor Program, while the incidence of extensive chronic GVHD was apparently similar [27, 35]. However, an important concern regarding the intensification of post-transplant immunosuppressive regimen is an increased risk of infection or relapse. In this study, a substantial proportion of patients developed manageable infections and experienced disease progression within 1 year after transplantation. Although the incidence rates of these events might be adversely affected by the high proportion of patients who had an advanced disease at transplantation, further studies are needed to elucidate whether our triple immunosuppressive regimen may increase the risk of infectious complications or may compromise the graft-versus-tumor effect after RIC HSCT [36, 37].

An unresolved issue in the present study is a pharmacokinetic/pharmacodynamic profile of MMF when combined with tacrolimus and MTX. The increased mean total plasma MPA concentrations at steady state were reported to be associated with higher donor cell chimerism after unrelated non-myeloablative transplantation, while the lower MPA levels were shown to be a predictor of graft rejection [38]. We administered MMF in three divided doses rather than in twice-daily doses because the former is more likely to confer higher mean total MPA concentrations [38, 39]. Because it is speculated that the bioavailability of oral MMF is highly variable depending on the degree of gastrointestinal mucosal damage and donor-recipient pharmacogenomic backgrounds [40], it is important in the future studies to evaluate the association of MPA pharmacodynamics with the risk of post-transplant immunologic complications such as graft rejection, acute GVHD, and infections. Furthermore, appropriate dosing of MMF would be affected by the type of combined calcineurin inhibitor: cyclosporine is reported to decrease MPA exposure due to delay of the excretion of the MPA metabolites, while tacrolimus is less likely to cause drug interaction with MPA [41, 42].

In conclusion, our study demonstrated the feasibility and efficacy of using a triple combination of tacrolimus, minidose MTX and MMF as post-grafting immunosuppression after RIC BM transplantation from unrelated donors. Because this triple regimen conferred high

probability of sustained donor engraftment with an acceptable risk of transplant-related complications, further studies are warranted to confirm its efficacy in a larger population including patients who receive HLA-mismatched family donor grafts or unrelated cord blood units with dose-reduced conditioning.

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## ORIGINAL ARTICLE

# GATA-1 and GATA-2 binding to 3' enhancer of *WT1* gene is essential for its transcription in acute leukemia and solid tumor cell lines

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Although oncogenic functions and the clinical significance of Wilms tumor 1 (*WT1*) have been extensively studied in acute leukemia, the regulatory mechanism of its transcription still remains to be determined. We found a significant correlation among the amounts of *WT1*, *GATA-1* and *GATA-2* mRNAs from leukemia and solid tumor cell lines. Overexpression and small interfering RNA (siRNA) transfection experiments of *GATA-1* and *GATA-2* showed that these *GATA* transcription factors could induce *WT1* expression. Promoter analysis showed that the 5' promoter did not explain the different *WT1* mRNA levels between cell lines. The 3' enhancer, especially the distal sites out of six putative *GATA* binding sites located within the region, but not the intron 3 enhancer, were essential for the *WT1* mRNA level. Electrophoretic mobility shift assay (EMSA) showed both *GATA-1* and *GATA-2* bound to these *GATA* sites. Besides acute leukemia cell lines, solid tumor cell lines including, TYK-nu-cPr also showed a high level of *WT1* mRNA. We showed that *GATA-2* expression is a determinant of *WT1* mRNA expression in both TYK-nu-cPr cells and HL60 cells without *GATA-1* expression. Taken together, these results suggest that *GATA-1* and/or *GATA-2* binding to a *GATA* site of the 3' enhancer of *WT1* played an important role in *WT1* gene expression.

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**Keywords:** *WT1* message; *GATA-1* and 2; 5' promoter; 3' enhancer; EMSA; CHIP assay

## Introduction

Wilms tumor 1 (*WT1*) gene was isolated as a tumor suppressor gene responsible for Wilms' tumor, a kidney neoplasm of childhood. The gene product, WT1, represses the transcription of growth factors, growth factor receptors and other genes.<sup>1–3</sup> On the other hand, *WT1* has been regarded as an oncogene for leukemia and some solid tumors because high expression levels of *WT1* mRNA were observed in these diseases, and *in vivo* and *in vitro* studies showed the positive roles it plays in cell growth and transformation.<sup>4–8</sup> *WT1* mRNA is reportedly a good clinical marker for disease progression, diagnosis and detection of the minimal residual diseases of myelodysplastic syndromes and leukemia.<sup>9–11</sup> Thus, depending on the cells and situations expressing WT1, it displays both anti-oncogenic and oncogenic characters.<sup>12</sup> Despite the fact that the oncogenic functions of

*WT1* have been well documented, its gene-expression mechanism remains undetermined.

In the promoter analysis of *WT1* gene, Cohen *et al.*<sup>13</sup> reported the importance of Sp1 for mRNA expression, although the promoter region may not determine the tissue-specific manner of *WT1* expression.<sup>13,14</sup> Regarding this point, the 3' enhancer located >50 kb downstream of the promoter was identified as increasing the basal transcription rate of the *WT1* promoter in the erythroleukemia cell line K562.<sup>14</sup> Subsequently, Wu *et al.*<sup>15</sup> showed that *GATA-1* may bind to this enhancer, which is reported to be specific in hematopoietic cells.<sup>16</sup> To date, in addition to Sp1 and *GATA-1*, PAX2 and PAX8 are also reported to be the transcription factors that regulate *WT1* gene expression.<sup>17–20</sup> Dehbi *et al.*<sup>17</sup> reported the binding sites of PAX2 and PAX8 in the 5' promoter of *WT1* gene. Zhang *et al.*<sup>20</sup> indicated the importance of the intron 3 enhancer of *WT1* gene, which was activated by *GATA-1* and Myb.

These earlier results suggest that between the cells analyzed, the regulation of *WT1* gene expression might be complex and heterogeneous. Furthermore, it was not clear what the major determinant of *WT1* mRNA level is either in leukemia or in solid tumors. Although the *GATA* family has been shown to play critical roles in erythroid and other hematopoietic lineages,<sup>21,22</sup> *GATA-1* expression is low in M3, M4 and M5 of acute myelogenous leukemia,<sup>23</sup> in which *WT1* expression has been observed. Therefore, *GATA-1* might not be sufficient to maintain full *WT1* gene expression. For another transcription factor candidate, we focused on *GATA-2*, which is presented in cells at the early-differentiated stage of hematopoietic lineages. We examined the relationship between *WT1*, *GATA-1* and *GATA-2* mRNA from 20 leukemia cell lines and 26 acute leukemia bone marrows, as well as purified stem cell and progenitor cell fractions from two normal bone marrows. We also examined solid tumor cell lines with high *WT1* expressions. There are no reports describing the regulatory mechanism of *WT1* gene expression in these cell lines.

On the basis of our results, we discussed the functional relationship between Sp1 and *WT1* expression and that between *GATA* and *WT1* expression in leukemia cell lines and several solid tumor cell lines.

## Materials and methods

### Clinical samples and cell lines

After obtaining informed consent, bone marrow cells were collected from 23 acute myeloid leukemia (AML) patients and three ALL patients without Ph<sup>1</sup> chromosome. Normal control

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