

including artifacts and were excluded from further analysis [51]. Source imaging of MEG data in the time–frequency domain was performed using the multiple source beamformer (MSBF) implemented in Brain Electrical Source Analysis (BESA) software (Fig. 2). The MSBF is a modified version of the linearly constrained minimum variance vector beamformer in the time–frequency domain [52]. As an adaptive beamformer, the MSBF applies a spatial filter specific for each brain voxel that is fully sensitive to activity from the target voxel, while being as insensitive as possible to activity from other brain regions, thus suppressing interference from unwanted signals. We analyzed frequencies between 1 and 60 Hz in 2-Hz steps; latencies were sampled in steps of 25 ms. The BESA beamformer applied complex demodulation to transform time-domain MEG data into time–frequency data. This provided information on the envelope amplitude and the phase of a specified frequency band as a function of time [51,53]. The complex demodulation consisted of a multiplication of the time-domain signal by a complex periodic potential function with a frequency equal to the frequency analyzed and an additional low-pass filter. This low-pass filter was a Gaussian-shape finite impulse response filter in the time domain, which is related to the envelope of the moving window in wavelet analysis. In the resulting complex signal, its magnitude corresponded to half the envelope amplitude and its phase to the compound phase of the filtered frequency

band. To obtain power values, the time-series MEG data were squared and averaged across all 20 trials. Time–frequency representations of changes in power normalized to baseline for each MEG sensor were obtained from each subject. An increase in power at a specific frequency compared with the mean power of the baseline period for that frequency was defined as event-related synchronization (ERS), whereas a decrease in power was deemed event-related desynchronization (ERD). Power changes displayed on a spectrogram are shown as percentages of ERS/ERD with color-coded intensities; the x axis and y axis relay the time relative to the “START” cue and the frequency, respectively (Fig. 2a). For each trial, a 2-second interval before the memory set (time window: 1–3 seconds after the “START” cue) was deemed baseline, and a 2-second interval after the memory set (time window: 6–8 seconds after the “START” cue) was deemed target interval (memory retention period) (Fig. 1). Source-power changes (ERD/ERS) were measured in five frequency bands: delta (1–4 Hz), theta (4–8 Hz), alpha (8–13 Hz), beta (13–30 Hz), and gamma (30–60 Hz). The alpha band was additionally subdivided into alpha1 (8–10 Hz) and alpha2 (10–13 Hz).

2.5.2. Statistical group analysis

To image induced oscillatory activity, BESA (www.besa.de) computed the complex cross-spectral density matrices (the time–

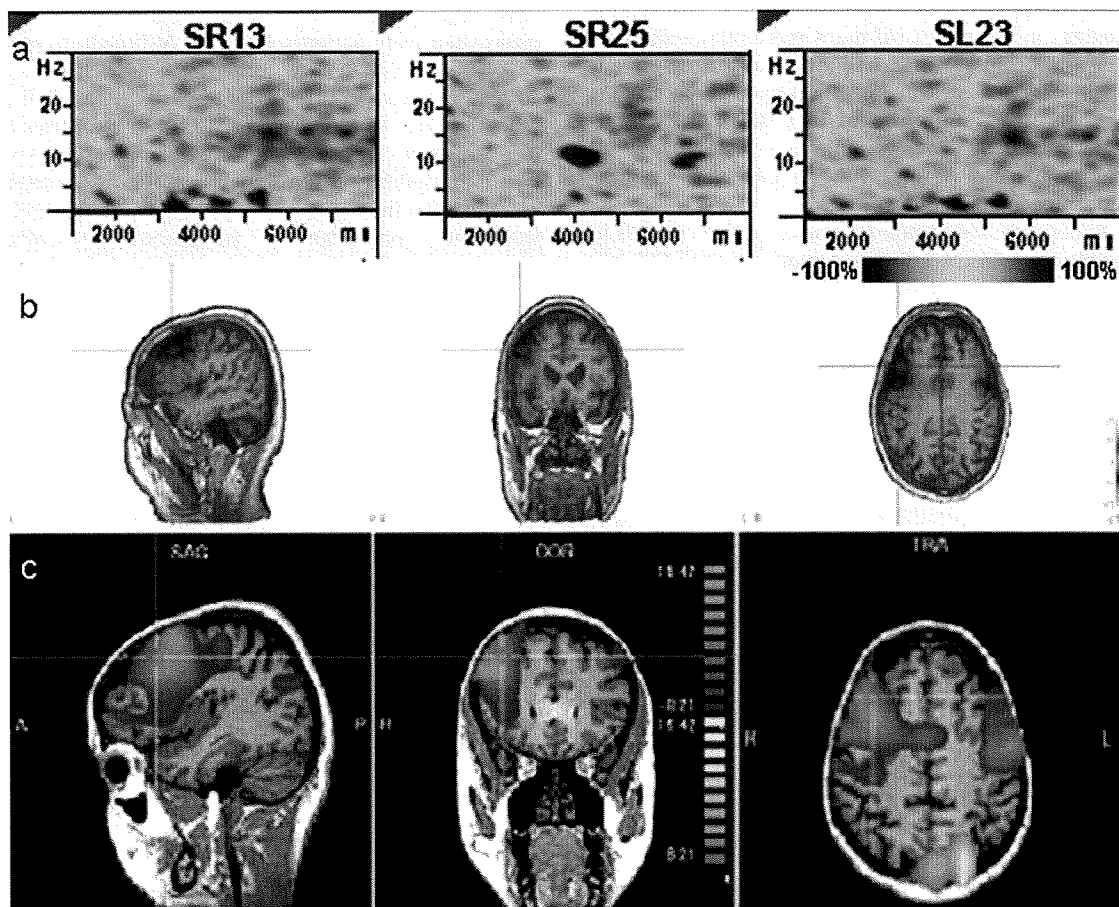


Fig. 2. Schematic representation of the time–frequency analysis. (a) Time–frequency plots for MEG channels showing power changes (averaged across all trials) in the alpha band (8–13 Hz) in a representative patient with chronic interictal psychosis. In the spectrogram, the x axis denotes the time relative to the “START” cue (ms), and the y axis denotes the frequency of oscillatory activity (Hz). Sustained event-related desynchronization (ERD) is observed in the 6- to 8-second time window (target interval) in channels overlying the right (SR13) and left (SL23) dorsolateral prefrontal cortex (DLPFC). A strong event-related synchronization (ERS) is observed in the target interval in the SR25 channel, which overlies the midline parietal region. (b) Multiple source beamformer (MSBF) analysis using BESA software. Color-coded maps show the magnitude change in the target interval relative to baseline as a percentage for the analyzed frequency band (alpha). (c) Brain Voyager image of source-power changes. The resulting beamformer three-dimensional images are exported to Brain Voyager QX and superimposed onto a T1-weighted brain template for group statistics. The color bars represent the percentages of decrease (blue/green, ERD) and increase (red/yellow, ERS) in oscillatory activity power changes. (For interpretation of the references in this figure legend, the reader is referred to the web version of this article.)

frequency equivalent of the data covariance matrix) for the target and baseline intervals from single-trial data for each frequency band of interest. Color-coded maps were obtained displaying q values as a measure of the magnitude change in the target interval relative to the baseline in percent (Fig. 2b). The beamformer three-dimensional images superimposed onto the BESA standard anatomical magnetic resonance image reveal locations of the generators of the induced oscillatory activity in the specified frequency. These images were exported for further statistical analysis to the Brain Voyager QX software package (Brain Innovation, Maastricht, The Netherlands) to assess between-group differences in source-power changes for each frequency band (Fig. 2c). BrainVoyager QX, originally developed for fMRI analysis [54], was used for group analysis of MEG data [55]. The subject's three-dimensional images of source-power changes were superimposed onto a Talairach-transformed Montreal Neurological Institute (MNI) T1-weighted brain template in Brain Voyager, and the anatomical T1 coordinates in the statistical maps were transformed into Talairach coordinates to identify brain regions with significant between-group differences in source-power changes. Between-group comparisons of brain activation patterns, as indicated by ERD/ERS values in a given frequency band, were based on t -test statistics (two-tailed, unpaired) in BrainVoyager QX. For correlation of neural activity in psychotic patients with external clinical variables, particularly medication dosage, the coordinates at the voxel with t_{maxima} (peak ERD/ERS value) at locations with significant power changes in the statistical maps were obtained. Then, the value at these coordinates in each individual's three-dimensional functional image for each one of the frequency bands was determined for analysis. To minimize the risk of false-positive findings, all activation foci were set to a minimum cluster size of 20 voxels [56], and statistical results with an uncorrected P value < 0.001 were considered significant.

To test our hypothesis, we compared source-power changes in oscillatory activity across three groups: (1) patients with CIP versus patients with nPE, (2) patients with schizophrenia versus healthy controls, and (3) patients with CIP versus those with schizophrenia. Analysis of groups 1 and 2 focused on patterns of WM dysfunction, whereas analysis of group 3 tested whether CIP and schizophrenia differed in functional cognitive abnormalities. Correlation analyses were carried out using Pearson's correlation coefficient to examine the association of medication dose with peak ERD/ERS values at sources with significant power changes in a given frequency band in patients with CIP and schizophrenia. The χ^2 test was performed for independence of group and gender. Demographic and clinical variables were analyzed using an un-

paired two-tailed t test or ANOVA, with the significance level set at $P < 0.05$. Results are expressed as means \pm SD. These statistical analyses were carried out using SPSS software (SPSS, Inc., Chicago, IL, USA).

3. Results

The clinical and demographic information of patients with CIP, nPE, and schizophrenia and of healthy controls is given in Table 1. There were no significant differences between the epilepsy groups (CIP vs nPE) with respect to age at epilepsy onset, duration, or seizure frequency. The majority of these patients had temporal lobe epilepsy (left $N = 10$, right $N = 10$, bilateral $N = 2$); only four patients (two in each group) had a diagnosis of frontal epilepsy. The patients with epilepsy were taking mainly carbamazepine or valproic acid. Approximately two-thirds of these patients ($N = 16$, 61.5%) were on monotherapy (CIP: $N = 5$, 41.7%; nPE: $N = 11$, 78.6%), and two patients in the CIP group were drug free. There were no between-group differences in plasma levels of these drugs. All patients with CIP had chronic interictal psychosis with onset 14.8 ± 8.6 years after the epilepsy. Comparison between the psychotic groups (CIP vs schizophrenia) revealed no significant difference in age at psychosis onset, although patients with schizophrenia presented with slightly longer psychosis duration. The analysis of chlorpromazine equivalents showed that patients with schizophrenia were taking higher doses of antipsychotic drugs than were patients with CIP. Although there was no difference in education across groups, post hoc ANOVA with Bonferroni correction revealed that patients with CIP, as well as those with schizophrenia, had lower IQs than healthy controls ($p < 0.005$ and 0.003 , respectively); no significant differences in IQ were found between the epilepsy groups (CIP vs nPE) or the psychosis groups (CIP vs schizophrenia). Patients with schizophrenia and healthy controls did not differ in premorbid (preschizophrenia) IQ ($t[26] = -0.07$, $P = 0.94$), as assessed with JART.

3.1. Behavioral data

All subjects achieved an accuracy rate of 75% or higher on the WM task. Using ANOVA we found a significant difference in the correct-response percentage across groups ($F = 5.35$, $P = 0.003$), with patients with CIP performing worse ($90.5 \pm 7.1\%$) than those with nPE ($96.7 \pm 1.7\%$, $P = 0.013$) and healthy controls ($97.4 \pm 2.8\%$, $P = 0.004$). However, the psychosis groups did not differ in correct-response percentage (schizophrenia: $94.1 \pm 6.0\%$, $P = 0.367$) (Fig. 3). Reaction times also significantly differed across

Table 1
Demographic and clinical data^a.

Characteristic	nPE ($N = 14$)	CIP ($N = 12$)	SCZ ($N = 14$)	HC ($N = 14$)	P
Age	33.6 ± 12.2	34.8 ± 12.7	34.9 ± 10.2	34.2 ± 11.3	0.99
Gender (F/M)	10/4	8/4	9/5	9/5	—
Education, years	14.4 ± 2.1	13.5 ± 2.4	14.2 ± 1.8	15.1 ± 1.8	0.23
WAIS-R IQ	99.1 ± 13.4	94.2 ± 7.0^b	94.2 ± 11.8^b	109.6 ± 10.5	0.002
Premorbid IQ			100.6 ± 9.4	101.2 ± 24.8	0.94
Epilepsy onset, years	20.4 ± 11.6	14.8 ± 12.2			0.25
Epilepsy duration, years	13.1 ± 10.1	18.5 ± 9.8			0.19
Seizure frequency/year	6.4 ± 10.2	11.1 ± 18.6			0.42
Epilepsy type (TLE/FLE)	12/2	10/2			0.88
CBZ plasma levels, $\mu\text{g/mL}$	5.7 ± 9.1	6.9 ± 3.0			0.37
VPA plasma levels, $\mu\text{g/mL}$	61.5 ± 35.9	44.0 ± 24.9			0.28
CPZ equivalents		341.7 ± 387.0	1021.9 ± 806.4		0.015
Psychosis onset, years		29.6 ± 14.9	20.3 ± 4.5		0.062
Psychosis duration, years		5.2 ± 4.3	14.6 ± 11.6		0.013

^a Data are means \pm SD unless otherwise noted. nPE, nonpsychotic epilepsy; CIP, chronic interictal psychosis; SCZ, schizophrenia; HC, healthy controls, WAIS-R, Wechsler Adult Intelligence Scale—Revised; TLE, temporal lobe epilepsy; FLE, frontal lobe epilepsy; CBZ, carbamazepine; VPA, valproic acid; CPZ, chlorpromazine.

^b Significant difference relative to HC (post hoc ANOVA).

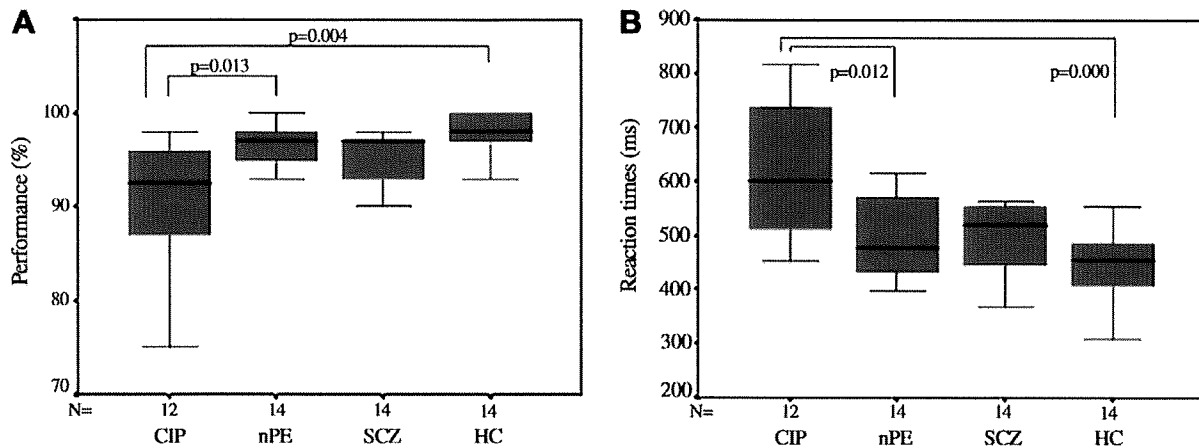


Fig. 3. Behavioral performance data as box plots. (A) Percentage of accurate response. (B) Reaction times. The boxes represent interquartile ranges. The whiskers show the highest and lowest values, excluding outliers. The lines across the boxes indicate medians. CIP, chronic interictal psychosis; nPE, nonpsychotic epilepsy; SCZ, schizophrenia; HC, healthy controls.

groups ($F = 7.15$, $P < 0.001$). The psychosis groups did not differ in reaction times (CIP: 619 ± 134 ms; schizophrenia: 519 ± 103 ms, $P = 0.066$), but patients with CIP had significantly longer reaction times compared with patients with nPE (495 ± 75 ms, $P = 0.012$) and healthy controls (448 ± 64 , $P < 0.001$), as indicated by post hoc ANOVA with Bonferroni correction.

3.2. Power change analysis

We averaged the ERD/ERS percentages in different frequency bands and superimposed the data on a standard brain image (Fig. 4). This revealed that pronounced source-power changes exceeding 10% occurred only in alpha and theta frequency bands,

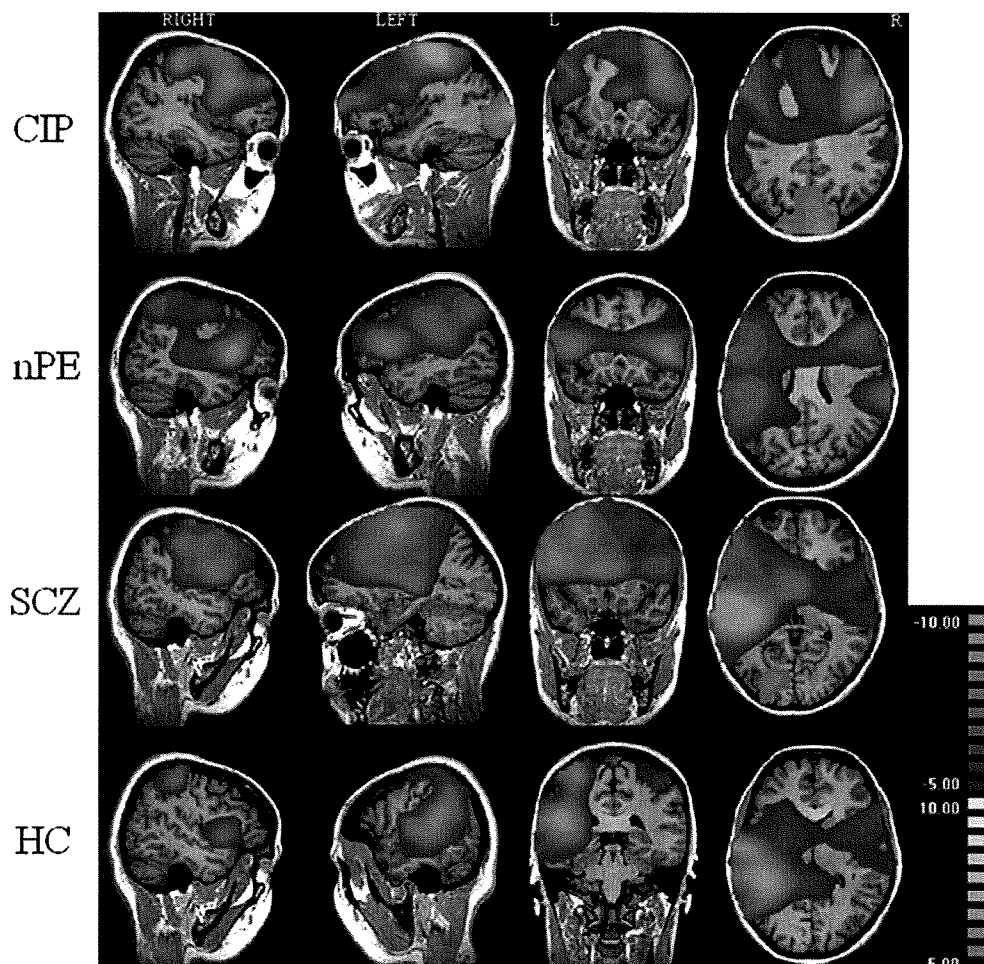


Fig. 4. Averaged alpha event-related desynchronization (ERD) and synchronization (ERS) greater than 5% across all groups using Brain Voyager. The color bars represent the percentages of decrease (blue/green, ERD) and increase (red/yellow, ERS) in oscillatory activity power changes. L, left; R, right; CIP, chronic interictal psychosis; nPE, nonpsychotic epilepsy; SCZ, schizophrenia; HC, healthy controls. (For interpretation of the references in color in this figure legend, the reader is referred to the web version of this article.)

particularly as ERD during WM retention. The alpha power was reduced bilaterally by 11.8% in the central-parietal regions and 11.5% in the right DLPFC, particularly over the superior frontal gyrus in patients with CIP. In patients with nPE, peak-averaged alpha ERD values >10% were only observed bilaterally in the DLPFC over the inferior frontal cortex (right: 10.7%, left: 10.3%) and bilaterally in the parietotemporal cortex (right: 10.2%; left: 10.8%).

In the theta frequency band, peak-averaged ERD >10% was observed in patients with CIP over the right medial prefrontal and right parietal areas; this was not seen in patients with nPE (peak-averaged theta ERD 8.4%). In both patients with schizophrenia and healthy controls, peak-averaged alpha ERD values >10% were noted in central-parietal regions (schizophrenia: 15%; controls: 13%). In addition, there was pronounced ERD in the DLPFC (peak value: 11%) and in medial areas (peak value: 10.8%) in patients with schizophrenia and in the posterior temporal cortex in the controls (12.5%). Peak-averaged alpha ERD in the DLPFC was smaller than 8.5% in control subjects. Pronounced theta ERD was observed in parietal regions, predominantly on the left side (peak value: 12%) in patients with schizophrenia. At a threshold of 5% of power changes, alpha ERS was observed in patients with CIP and schizophrenia, but not in patients with nPE and healthy controls. This increase in alpha power occurred over posterior regions, particularly in medial parietal-occipital (peak value: 8.4%) and left posterior temporal (peak value: 7.7%) cortices in patients with CIP, and in the left temporal-occipital cortex (peak value: 6.7%) in patients with schizophrenia.

3.3. Between-group differences in source-power changes

The analysis of source-power changes in brain oscillatory activity during the retention period of the WM task between patients with CIP and those with nPE indicated significant differences in alpha frequency band: patients with CIP showed ERD in the right DLPFC and ERS in medial parietal areas, specifically in the right

precuneus and left posterior parietal cortex, as well as in the left temporal lobe, namely, the inferior-posterior temporal cortex (Fig. 5). The findings in peak alpha ERD/ERS values at t_{maxima} of these sources are summarized in Table 2. When the alpha band was divided into alpha1 (8–10 Hz) and alpha2 (10–13 Hz), we observed findings similar to those for the full alpha band with respect to power changes in the DLPFC and midparietal areas. In particular, patients with CIP and nPE differed significantly in alpha1 ERS in parietal areas, including the precuneus and the posterior parietal cortex (peak ERS value: CIP $5.4 \pm 4.3\%$; nPE $-6.3 \pm 4.4\%$; $t = 6.80$, $P < 0.001$), and in alpha2 ERD in the DLPFC (peak ERD value: CIP $-7.8 \pm 3.5\%$; nPE $-0.68 \pm 4.2\%$; $t = -4.2$, $P < 0.001$). Peak alpha2 ERD values in the mid-prefrontal cortex (BA 9) nearly reached statistical significance (CIP: $-5.8 \pm 6.3\%$; nPE: $-0.19 \pm 3.3\%$; $t = -3.12$, $P = 0.004$). Because the epilepsy groups markedly differed in task performance, with patients with CIP providing fewer correct answers than those with nPE (Fig. 3), we tested whether these differences in power changes remained constant during equivalent task performance. For that purpose, we reanalyzed the data after excluding patients with CIP with correct-response accuracy <90% ($N = 4$). This exploratory analysis showed that patients with CIP and nPE performed equally well ($t(20) = -1.68$, $P = 0.127$). As a result, the statistical Brain Voyager maps showed that alpha ERS source in the precuneus (values at t_{maxima} : CIP $6.2 \pm 7.4\%$; nPE $-2.9 \pm 5.7\%$; $t = 2.97$, $P = 0.012$) and posterior parietal cortex (values at t_{maxima} : CIP $4.0 \pm 4.9\%$; nPE $-4.0 \pm 6.2\%$; $t = 3.28$, $P = 0.007$) disappeared and no longer differed between patients with CIP and nPE; only alpha source-power changes in the right DLPFC and the left temporal cortex remained significant ($P < 0.001$) (Table 2). No significant power changes in the alpha or any other frequency band emerged after the patients with lower task performance were excluded.

A comparison between patients with schizophrenia and healthy controls revealed significantly different power changes, especially in alpha-oscillatory activity, but also, to a lesser extent, in the theta

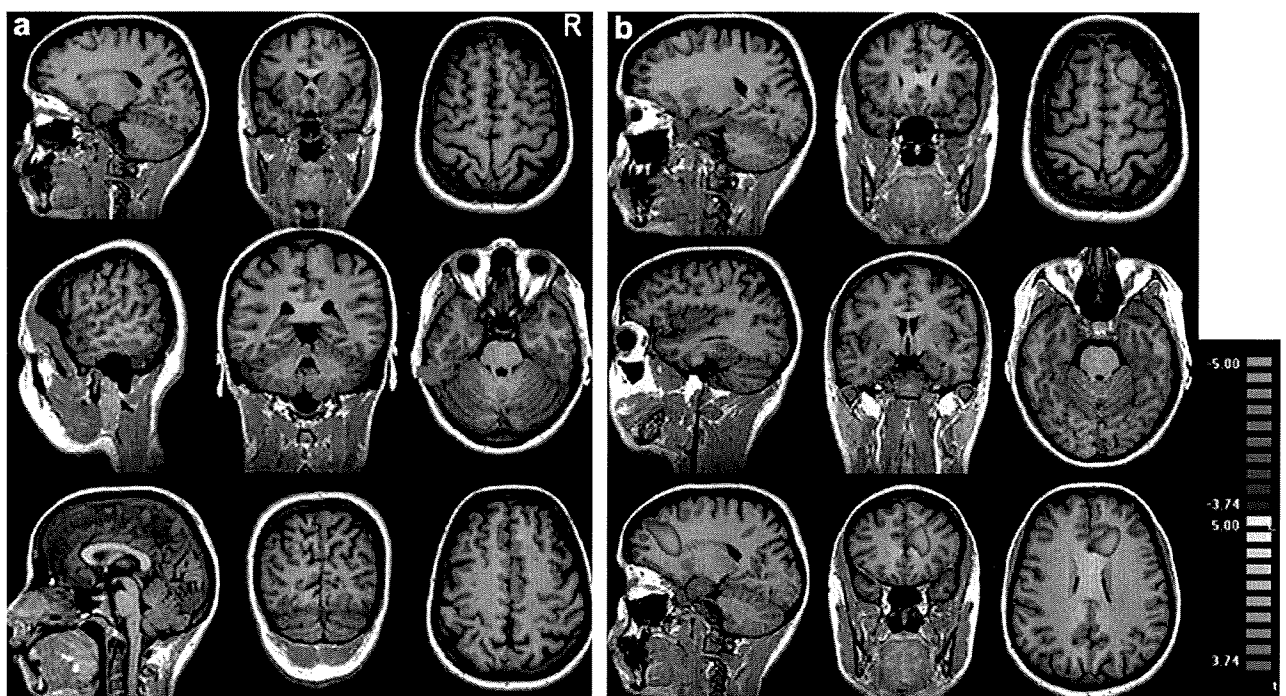


Fig. 5. Statistical maps showing cortical regions with significant between-group differences in power changes in the alpha band during working memory retention in (a) patients with CIP versus patients with nPE and (b) patients with schizophrenia versus healthy controls projected onto a Talairach-transformed T1-weighted anatomical MRI (threshold: $t = 3.74$, $P < 0.001$ uncorrected). The color bars represent the percentages of decrease (blue/green, ERD) and increase (red/yellow, ERS) in oscillatory activity power changes. R, right. (a, bottom panel) The alpha ERS disappeared after exploratory analysis excluding low-performing patients with chronic interictal psychosis. (b, bottom panel) The alpha ERD in the mid-prefrontal cortex was observed in the alpha2 subband only.

Table 2
Cortical regions showing significant between-group differences in oscillatory activity power changes.

ERD/ERS	Location	Talairach (x, y, z)	BA	ERD/ERS at t_{maxima}				t value
				CIP	nPE	SCZ	HC	
Alpha ERD	Right SFG	21, 18, 52 19, 20, 52 ^b	8	−4.31 ± 2.50	−0.28 ± 2.11	−5.41 ± 3.01	−0.74 ± 2.37	−4.389 ^c −4.56 ^{b,c}
Alpha ERS	Right PreC	4, −54, 55	7	−5.32 ± 1.80 6.54 ± 6.13 6.15 ± 7.38	−5.90 ^c −2.85 ± 5.70 2.97			4.03 ^c
Alpha ERS	Left PPC	−27, −54, 27	7	4.83 ± 4.25 3.96 ± 4.93	−3.96 ± 6.23 3.28			4.254 ^c
Alpha ERS	Left ITG	−57, −29, −12 −37, −10, −23 ^b	37 20 ^b	0.13 ± 5.19 1.16 ± 5.18	−9.56 ± 6.72 4.18 ^c	1.47 ± 6.36	−8.04 ± 6.71	4.14 3.90 ^b
Theta ERS	Left ITG	−41, −8, −24	20			3.15 ± 7.52	−6.17 ± 4.69	3.95 ^c
Alpha2 ERD	Right Mid-preF	15, 24, 25	9			−9.16 ± 7.05	2.38 ± 5.16	−4.94 ^c

^aValues in boldface and italic refer to an exploratory analysis excluding low-performing patients with CIP. ERD, event-related desynchronization; ERS, event-related synchronization; BA, Brodmann area; CIP, chronic interictal psychosis; nPE, nonpsychotic epilepsy; SCZ, schizophrenia; HC, healthy controls; SFG, superior frontal gyrus; PreC, precuneus; PPC, posterior parietal cortex; ITG, inferior temporal gyrus; Mid-preF, mid-prefrontal.

^b Comparison between patients with SCZ and HC.

^c Significant difference for $P < 0.001$ (uncorrected).

band. The source localization of these activities was similar to those observed in CIP relative to patients with nPE, specifically the right DLPFC and left inferior temporal lobe for alpha activity and the left inferior temporal cortex for the theta band (Fig. 5). The difference in mean values at t_{maxima} of alpha and theta ERD/ERS sources is given in Table 2. Like the full alpha band, the alpha2 subband consistently showed statistically relevant ERD in the right DLPFC (value at t_{maxima} : schizophrenia $-9.0 \pm 4.2\%$; healthy controls $-0.4 \pm 3.2\%$; $t = -6.04$, $P < 0.001$). However, the alpha2 subband showed an additional ERD source in the right medial prefrontal cortex in patients with schizophrenia.

A comparison between patients with CIP and schizophrenia showed no clear source-power changes in any frequency band, with t_{maxima} in the statistical maps for the alpha band ($t = 3.45$, $P < 0.005$) located over the left inferior parietal cortex, and for the theta band ($t = 2.92$, $P < 0.01$) over the medial-parietal cortex.

3.4. Correlation analysis

To examine the potential influence of medication on source-power changes in patients with CIP and schizophrenia, alpha and theta ERD/ERS mean peak values were correlated with chlorpromazine equivalents and antiepileptic drug (AED) plasma levels. All correlations were two-tailed and controlled for task performance as well as IQ. No statistically significant correlations were identified.

4. Discussion

In the present study, we compared the MEG oscillatory response based on ERD/ERS during a visual-object WM task in patients with CIP, nPE, and schizophrenia and healthy controls to determine whether patients with CIP and primary schizophrenia share WM-related neural abnormalities. Our main findings are: (1) patients with CIP have WM deficits involving a frontotemporal network, (2) the neurophysiological basis for these deficits is primarily changes in the alpha frequency band, and (3) patients with CIP and schizophrenia compare to each other with respect to the WM-compromising brain regions, namely, the right DLPFC and the left temporal cortex, although patients with schizophrenia manifested wider activation in prefrontal areas. In addition, our results showed that the two groups perform at equal levels on a

visual-object WM task as far as answer correctness and reaction time are concerned.

4.1. Event-related desynchronization pattern

In this study, frontal lobe WM dysfunction in CIP as well as in schizophrenia manifested as alpha ERD, particularly in the right DLPFC, when compared with patients with nPE and healthy controls (Fig. 5). Given that alpha suppression or desynchronization (i.e., ERD) is considered an electrophysiological correlate of cortical activation involving processing of sensory or cognitive information [30], the prefrontal cortex source-power changes, namely, alpha ERD, seen in our study most likely reflect hyperactivation in both psychotic groups. It is generally accepted that the DLPFC plays a crucial role in WM processing and that its function is impaired in schizophrenia [57]. However, whether its impairment is the result of hyperactivation or hypoactivation is still controversial [58]. Recent analyses have revealed that patients with schizophrenia can show patterns of both hyperactivation and hypoactivation depending on task demands and behavioral performance. Patients with low performance show primarily hypofrontality, whereas high-performing subjects exhibit prefrontal hyperactivation [59]. In this context, it is interesting that the patients with schizophrenia in our study evidenced DLPFC hyperactivation related to WM performance while at the same time performing at par with healthy controls in behavioral accuracy. In addition, high-performing patients with CIP also manifested DLPFC hyperactivation. The fact that the DLPFC activation was detected in the right hemisphere is in line with recent reports proposing that object memory storage is more likely to produce activation in the right prefrontal cortex than storage of other materials (e.g., verbal, visuospatial) [57].

In addition to convergent functional abnormalities in the right DLPFC in the two psychotic groups, we found an alpha2 subband ERD source in the medial prefrontal cortex of patients with schizophrenia, which was not observed when patients with CIP and nPE were compared. This observation is consistent with findings from MRI studies indicating significantly higher medial prefrontal activation [59], particularly in the right side [60] in patients with schizophrenia. As the medial prefrontal cortex is functionally associated with focused attention [35], a function known to be impaired in schizophrenia [16], it is conceivable that the schizophrenic hyperactivation in this area is a reflection of a compensatory mechanism or increased attentional effort in performing

successfully on the WM task. Given that the same pattern of alpha ERD in the medial prefrontal cortex nearly reached statistical significance in CIP when compared with nPE ($P < 0.005$), the possibility that an increase in sample size could also result in significantly different activation in this area for patients with CIP cannot be ruled out.

4.2. Event-related synchronization pattern

The averaged power changes in oscillatory activity in the two psychotic groups revealed that these patients have notable alpha ERS in posterior brain regions, namely, the parieto-occipital and posterior temporal cortex, which was not seen in patients with nPE and healthy subjects (Fig. 4). It is well known that alpha activity typically enhances or synchronizes in the visual (occipital) cortex when subjects are in a relaxed state with their eyes closed, and this phenomenon has therefore been regarded as reflecting mental rest or cortical idling [30]. Thus, alpha ERS in patients with psychosis when engaged in a cognitive task is an intriguing finding. It is important, however, to point out that posterior alpha ERS in eyes-open condition can also occur during motor or cognitive tasks, possibly representing deactivated cortical areas or an inhibited cortical network [61]. In this context, active inhibition in neural networks may be of great importance in optimizing task demands and controlling excitatory processes in cortical areas directly involved in the performance of the task, by blocking, for instance, processing of task-irrelevant sensory or cognitive information [30,36,61]. Nevertheless, the statistical group analysis revealed significant alpha ERS only over the left inferior temporal cortex in the psychotic groups versus their nonpsychotic counterparts (Fig. 5), with the parieto-occipital alpha ERS in patients with CIP being related to poor task performance (Table 2), as discussed below. Interestingly, patients with schizophrenia, and even their nonaffected twins, have been reported to have excessive EEG alpha ERS in cortical areas responsible for processing task-specific visual information during WM retention, correlating in magnitude with memory load [62]. These findings are consistent with the assumption of increased alpha ERS in the posterior-inferior temporal cortex reflecting regional cortical hypoactivation in our study, which most likely indicates WM capacity limitations or inefficiency of cognitive mechanisms subserving active maintenance of information in WM in patients with CIP and schizophrenia [62].

In the schizophrenia group, ERS in the left inferior temporal cortex involved not only the alpha, but also the theta frequency band (Table 2). This finding is in keeping with evidence of alpha and theta oscillations having similar physiological reactivity in WM tasks [32,34]. Similar to our approach, Ince et al. [37] applied MEG and a spectro-temporospatial analysis during the retention phase of a WM task and found that power changes in the theta and alpha bands allowed for successful discrimination between patients with schizophrenia and healthy controls. These authors also noted a left temporal source for the theta ERS, similar to our findings. However, alpha ERS in their study was not distributed over the temporal cortex but over parieto-occipital areas. The discrepancy in the findings may in part be explained by differences in WM storage material, as the aforementioned authors examined verbal WM, whereas our study used a visual nonspatial WM paradigm.

Unlike DLPFC dysfunction, which is largely unexplored in patients with CIP, temporal lobe abnormalities, especially in the left hemisphere, are well linked to both schizophrenia and CIP [10,12,18–25]. Most of the supporting data stem from functional MRI studies in patients with schizophrenia. On the other hand, findings for CIP come from structural MRI, neuropsychological, and neuropathological studies, with very few cognitive functional neuroimaging investigations. Our results of left temporal hypoactivation and a frontotemporal dysfunction during WM in patients

with CIP are strengthened by findings from existing functional neuroimaging studies on psychoses in epilepsy, indicating left temporal hypoperfusion using SPECT [23,24] and impaired metabolism in frontal and temporal areas via PET [25]. In addition, correlations between neuropsychological assessments and measures of microstructural integrity in the left temporal lobe as well as in fronto-temporal regions in patients with CIP have been reported and provide further support of our findings [19,26]. The ERS sources in patients with CIP and schizophrenia were not visualized in the exact same area within the left inferior temporal cortex, the neural activity in patients with CIP occurring in a more posterior region (Brodmann area 37) compared with that in patients with schizophrenia (Brodmann area 20). However, both areas of the inferior temporal cortex are known to be involved in visual processing of the ventral stream, which is associated with the representation of object features [57]. The finding of left temporal abnormalities in both patients with CIP and those with schizophrenia described herein is thus consistent with dysfunction in cortical regions engaged specifically in performance of the type of task used, namely, visual-object WM.

Alpha ERS in the right precuneus and left posterior parietal cortex clearly distinguished patients with CIP from patients with nPE, yet it was entirely absent in patients with schizophrenia. Interestingly, the ERS in parietal areas was associated with low performance on the task rather than with WM dysfunction itself, as the power changes in oscillatory activity were not significantly different from those of patients with nPE once low-performing patients were excluded (Table 2). Local hypoactivation in the parietal cortex may hence reflect disengagement from the task due to reduced attention in patients with CIP. This would be in agreement with evidence implicating the precuneus and posterior parietal cortex in several modalities of attention such as object-selective attention [63], which is critical to WM, especially for visual object information.

4.3. ERD/ERS sources and external clinical parameters

We tested the potentially confounding effect of medication as most of our patients with psychosis were taking antipsychotic drugs, with the patients with schizophrenia receiving higher doses than the patients with CIP. Patients with CIP were on regular AED treatment. We found no correlation between ERD/ERS sources and chlorpromazine equivalents or AED plasma levels in either psychotic group. This indicates that medication did not influence our findings. The fact that ERD and ERS were calculated as power changes during the memory retention (target) period with respect to the baseline period in the MEG time-series data lends further support to this view, given that medication would equally affect the baseline and the memorization periods. Therefore, normalization with respect to baseline is expected to remove any additive effect.

4.4. Limitations

Some methodological issues in the present study need to be considered. First is sample size. Our CIP sample was relatively small. This is not uncommon in CIP neuroimaging research, and may be the direct result of the difficulty in recruiting patients with CIP and a single brain pathology owing to the relative rarity of the disorder [1,2,6,8]. Nevertheless, we matched patients with CIP for age, gender, type of focal epilepsy, and side of epileptic focus with the nPE group, and patients with low IQ and gross organic lesions were excluded. Second is medication artifacts. Most patients were stably medicated. Based on the results of the correlation analysis of chlorpromazine equivalents and AED plasma levels and the fact that we subtracted time-series data of the baseline period from

those of the memorization (target) period, it is unlikely that pharmacological agents influenced our findings. Third is task dependency. Because we assessed visual-object WM with a fixed memory set of five digits, we cannot rule out that areas engaged in other storage (e.g., verbal or visuospatial) or greater task demands show cortical activation patterns that distinguish CIP from primary schizophrenia if a different paradigm or variation in WM loads is employed. Fourth is diagnostic bias. Patients in the CIP and schizophrenia groups were assessed with different measures of psychotic symptoms, namely, the BPRS for patients with CIP and the PANSS for patients with schizophrenia, which was the result of how the subjects had been ascertained. These two groups can therefore not be directly compared in terms of severity of psychiatric symptoms. Although measures of equivalence between BPRS and PANSS scores in response to antipsychotic treatment were used [64], it is likely that patients with CIP and those with schizophrenia had comparable levels of psychopathology. Regardless, our study was not designed to assess brain activity related to psychopathology itself but to cognitive deficits, in particular WM dysfunction.

4.5. Summary

In this study we found a similar MEG pattern of right DLPFC and left temporal functional abnormalities in patients with CIP and those with schizophrenia during performance of Sternberg's visual WM task, prompting us to consider that similar pathophysiological mechanisms may operate in these two groups. Consistent with this idea, recent studies [13,16] have demonstrated that the profile of neuropsychological impairment in CIP closely resembles that of primary schizophrenia, especially regarding temporal and prefrontal function. Structural MRI of patients with CIP and schizophrenia has further revealed that they share a similar topographic pattern of deficits in temporal and extratemporal cortical gray matter [14]. Together, these data suggest that the DLPFC and temporal cortex are regions closely associated with cognitive dysfunction in both patients with CIP and those with primary schizophrenia, which argues against the concept of CIP as a nosological entity different from schizophrenia. To our knowledge, this is the first neuroimaging study applying MEG for detection of functional cognitive abnormalities in patients with CIP. Larger functional studies on CIP using MEG or different neuroimaging modalities may help elucidate the pathophysiology of chronic psychosis in patients with epilepsy.

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Dysbindin Regulates the Transcriptional Level of Myristoylated Alanine-Rich Protein Kinase C Substrate *via* the Interaction with NF-YB in Mice Brain

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Abstract

Background: An accumulating body of evidence suggests that Dtnbp1 (Dysbindin) is a key susceptibility gene for schizophrenia. Using the yeast-two-hybrid screening system, we examined the candidate proteins interacting with Dysbindin and revealed one of these candidates to be the transcription factor NF-YB.

Methods: We employed an immunoprecipitation (IP) assay to demonstrate the Dysbindin-NF-YB interaction. DNA chips were used to screen for altered expression of genes in cells in which Dysbindin or NF-YB was down regulated, while Chromatin IP and Reporter assays were used to confirm the involvement of these genes in transcription of Myristoylated alanine-rich protein kinase C substrate (MARCKS). The sdy mutant mice with a deletion in Dysbindin, which exhibit behavioral abnormalities, and wild-type DBA2J mice were used to investigate MARCKS expression.

Results: We revealed an interaction between Dysbindin and NF-YB. DNA chips showed that MARCKS expression was increased in both Dysbindin knockdown cells and NF-YB knockdown cells, and Chromatin IP revealed interaction of these proteins at the MARCKS promoter region. Reporter assay results suggested functional involvement of the interaction between Dysbindin and NF-YB in MARCKS transcription levels, *via* the CCAAT motif which is a NF-YB binding sequence. MARCKS expression was increased in sdy mutant mice when compared to wild-type mice.

Conclusions: These findings suggest that abnormal expression of MARCKS *via* dysfunction of Dysbindin might cause impairment of neural transmission and abnormal synaptogenesis. Our results should provide new insights into the mechanisms of neuronal development and the pathogenesis of schizophrenia.

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Introduction

Schizophrenia is a common and devastating psychiatric disorder. Lack of patient compliance, due to undesirable side effects and efficacy restricted to positive symptoms, highlights the need to develop novel therapeutics. The etiology of the disease remains unknown, but in recent years a convergence of genetic, pharmacological, and neuroanatomical findings suggest that neural transmission [1–4] and synapse formation [5–11] are involved in schizophrenia. Recent studies suggest that disturbances of Dysbindin (dystrobrevin-binding protein 1; DTNBP1) are involved in this abnormal neural transmission.

The cause of schizophrenia is thought to involve the combined effects of multiple gene components. Genetic linkage and association studies have identified potential susceptibility genes such as Dysbindin [12,13], Neuregulin [14,15], Catechol-*O*-methyltransferase [16–18] and RG4 [19–22]. In particular, it has been reported that chromosome 6p is one of the highest susceptibility regions in linkage studies of schizophrenia [23,24]. Among them, genetic variants in a gene 6p22.3 expressing Dysbindin, which is identified as a protein interacting with dystrobrevins [25], have been shown to be strongly associated with schizophrenia [12].

In studies on postmortem brain tissue, decreased levels of Dysbindin protein [26] and mRNA [27] have been shown in

patients with schizophrenia compared with controls. Chronic treatment of mice with antipsychotics did not affect the expression levels of Dysbindin protein and mRNA in their brains [26,28], suggesting that evidence of lower levels of Dysbindin protein and mRNA in the postmortem brains of schizophrenics is not likely to be a simple artifact of antemortem drug treatment. In addition, previous reports have shown that diverse high-risk single nucleotide polymorphisms (SNPs) and haplotypes could influence Dysbindin mRNA expression [27,29]. These data indicate that the Dysbindin gene may confer susceptibility to schizophrenia through reduced Dysbindin expression.

Several lines of evidence suggest that Dysbindin may be associated with brain function. SNPs in *Dysbindin* have been associated with intermediate cognitive phenotypes related to schizophrenia such as IQ and working and episodic memory, and a Dysbindin haplotype has been associated with higher educational attainment [30,31]. In addition, several reports suggest the involvement of Dysbindin in cognitive functions [32–34]. These findings strongly suggest the importance of Dysbindin in brain function. At the cellular level, Dysbindin is located at both pre- and post-synaptic terminals [26,35], and is thought to be involved in postsynaptic density (PSD) function and the trafficking of receptors (NMDA, GABAergic, and nicotinic). Over-expression of Dysbindin increases glutamate release from pyramidal neurons in cell culture, possibly because of its role in vesicular trafficking [36]. Decreases in Dysbindin mRNA and protein levels have been reported in regions previously implicated in schizophrenia: the prefrontal cortex, midbrain, and hippocampus [26,27]. However, the molecular mechanisms of how decreases in Dysbindin expression may contribute to vulnerability to schizophrenia remain unknown.

Thus, we examined the interacting partners of Dysbindin using yeast two-hybrid analysis in order to help elucidate the function of Dysbindin. These interacting-protein data suggest that Dysbindin is involved in such processes as neurotransmission, cell signaling, the cytoskeleton and transcription. (Matsuzaki S *et al.* in submission). In addition, our previous reports suggest the following; (1) decreased expression of Dysbindin might increase dopamine release in the brain resulting in the observed abnormal behavior in *sdv* mice (Dysbindin KO mice) [37,38], (2) Dysbindin is likely involved in dopaminergic or glutamatergic transmission [36,39], (3) Dysbindin is likely involved in neurotransmission by binding with the BLOC1 complex, and with transcription by binding with transcription-related genes (Matsuzaki S *et al.* in submission), (4) the expression level of Dysbindin may affect the expression of SNAP25 [36,39], (5) Dysbindin may play a key role in coordinating JNK signaling and actin cytoskeleton required for neural development [40]. These findings suggest that Dysbindin may influence neurotransmission and neural development *via* interaction with other factors or by regulation of transcription.

In a previous paper, we identified several Dysbindin interacting partners including the transcription factor, nuclear transcription factor Y beta (NF-YB) (Matsuzaki S *et al.* in submission). NF-YB belongs to a family of CCAAT-binding transcription factors, which are important for the basal transcription of a class of regulatory genes and are involved in cellular reactions [41–44]. Subsequently, in this study, we examined the functional involvement of Dysbindin in transcription *via* its interaction with NF-YB. As a result, we showed that the NF-YB/Dysbindin complex regulates the transcription of MARCKS *via* interaction with certain CCAAT sequences, and abnormal NF-YB/Dysbindin interaction could cause alterations such as impaired neural transmission and abnormal development of neurons.

Results

Dysbindin Exists within the Nucleus in Addition to the Cytoplasm

We examined the existence of Dysbindin in the nucleus, because Dysbindin should exist within the nucleus to play a functional role in transcriptional regulation. We used an overexpression vector for Dysbindin tagged with –FLAG or –V5 to check the intracellular localization of Dysbindin. The fractionation study using Dysbindin-FLAG-overexpressing HEK293 cells shows that Dysbindin exists mainly in the cytosol while a small amount exists in the nucleus (Figure 1A), and Dysbindin-V5 showed the same results (data not shown). These results are in accordance with a previous report [45]. Morphologically, Dysbindin is localized mainly in the cytoplasm with a perinuclear high density region in HEK293 cells and SY5Y cells; however, a faint immunoreaction was also seen within the nucleus (Figure 1B -a and -b). Furthermore, pretreatment with leptomycin-B (LPB), which inhibits export from the nucleus to the cytoplasm, caused a slight Dysbindin increase in cells, which then showed nuclear localization of Dysbindin (Figure 1B -c and -d). These findings suggest that Dysbindin protein is shuttled between the nucleus and the cytoplasm.

Dysbindin Binds to the Transcription Factor NF-YB

Using yeast two-hybrid screening, we identified several transcriptional factors as candidates that may interact with Dysbindin. We selected NF-YB, one of the candidates, and confirmed a Dysbindin-NF-YB interaction by immunoprecipitation assay using HEK293T cells which express NF-YB endogenously (Figure 1A). HEK293T cells were transfected with expression vectors for Dysbindin-V5, and cell lysates were subjected to immunoprecipitation with anti-V5 or anti-NF-YB antibodies, followed by Western blot analysis with a reciprocal antibody. NF-YB was detected in the immunoprecipitates with an anti-V5 body, comparing to the immunoprecipitates with control IgG (Figure 2A), while Dysbindin-V5 was detected in the immunoprecipitates with an anti-NF-YB antibody, comparing to control IgG (data not shown). Thus, Dysbindin and NF-YB are physiologically associated with each other in transfected mammalian cells.

To further our research, we produced a specific anti-Dysbindin antibody with high titer. The antibody detects endogenous Dysbindin in cell and mouse brain samples, though it did not detect any bands corresponding to Dysbindin from the lysates of

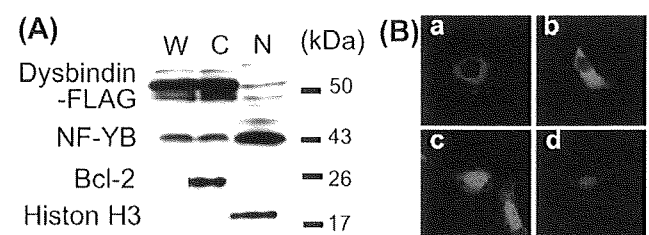


Figure 1. The nuclear localization of Dysbindin. (A) HEK293 cells overexpressing Dysbindin-FLAG were separated into nuclear and cytosolic fractions. Anti-Bcl2 antibody was used for the cytosolic fraction marker and anti-Histone H3 antibody was used for the nuclear fraction marker. W: Whole cell lysates, N: Nuclear Fraction, C: Cytosolic fraction. Dysbindin-FLAG was slightly present in the nuclear fraction. (B) Dysbindin-GFP was overexpressed in HEK293 cells (a and c) or in SH-SY5Y cells (b and d). Dysbindin-GFP was usually localized in the cytoplasm and slightly in the nucleus (a and b). After treatment with LMB, a potent inhibitor of CRM1-dependent nuclear export, Dysbindin-GFP accumulated in the nucleus (c and d).

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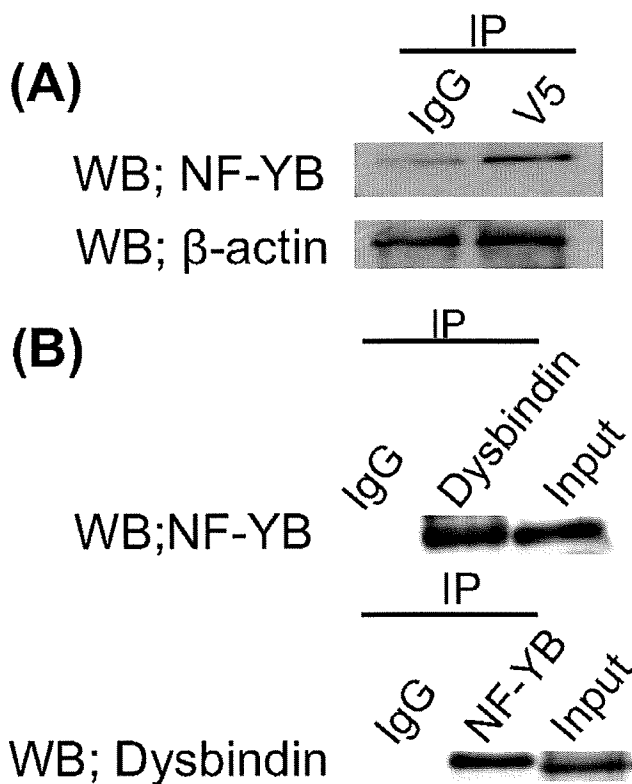


Figure 2. The interaction between Dysbindin and NF-YB. (A) HEK293 cells were transfected with Dysbindin-V5. Immunoprecipitates (IP) of lysates of HEK293 cells expressing Dysbindin-V5 obtained by antibodies to tag proteins (V5) (2nd lane), or nonspecific rabbit IgG (IgG) (1st lane) were subjected to Western blot with anti-NF-YB antibody (upper panel). Dilutions of the lysate (5%, HEK293 cells) were subjected to Western blot with anti- β -actin antibody (lower panel). (B) Immunoprecipitates (IP) of lysates of SH-SY5Y cells obtained by antibodies to Dysbindin (upper panel 2nd lane), NF-YB (lower panel 2nd lane), or nonspecific rabbit IgG (IgG) (1st lane of both panels) were subjected to Western blot with anti-NF-YB antibody (upper panel) or Dysbindin antibody (lower panel). Dilutions of the lysate (5%, HEK293 cells) were subjected to Western blot with anti-NF-YB antibody (3rd lane of upper panel) or Dysbindin antibody (3rd lane of lower panel). doi:10.1371/journal.pone.0008773.g002

Dysbindin knockout mouse brain [40]. The existence of endogenous Dysbindin and endogenous NF-YB in lysates from SH-SY5Y cells was confirmed by Western Blot (Figure 2B, 3rd lane of both panels). Immunoprecipitation using the lysates with antibodies for Dysbindin and NF-YB and subsequent Western blot revealed the interaction of endogenous Dysbindin with endogenous NF-YB (Figure 2B, 2nd lane of both panels), and this binding was also confirmed using adult mouse brain lysates (data not shown).

Downregulation of Dysbindin Causes Upregulation in Expression Levels of Myristoylated Alanine-Rich Protein Kinase C Substrate (MARCKS)

As shown above, we had revealed an interaction between Dysbindin and NF-YB. This result suggests that Dysbindin may be functionally involved in transcription of some genes regulated by NF-YB. We screened for genes displaying altered expression by means of a DNA chip, using RNA extracts from the Dysbindin or NF-YB knockdown human neural cell line, SH-SY5Y. The expression of either *Dysbindin* or *NF-YB* was decreased by the corresponding siRNA for each gene, and the effects of siRNA on

Dysbindin or *NF-YB* were confirmed by Western blot analysis (Figure S1). The genes showing increased expression in the *Dysbindin* knockdown cells, as well as in the *NF-YB* knockdown cells, are listed in Table 1A, while those showing decreased expression are listed in Table 1B. Next, using the DANASIS 2.0 system or sequencing of the promoter region, we screened for genes having the CCAAT sequence in the promoter region, because NF-YB is known to bind with high specificity to the CCAAT motif in the promoter region of a variety of genes (Table 1, gene names shown in red). We then focused on three genes; Myristoylated alanine-rich protein kinase C substrate (*MARCKS*) [46–48], Phospholipase C beta 4 (*PLCB4*) [49] and Synaptotagmin 1 (*SYT1*) [50], because an accumulating number of reports point to the involvement of impaired neural transmission in the schizo-

Table 1. The list of genes altered by Dysbindin as well as NF-YB.

(A) Upregulated genes					
Dysbindin		NF-YB		Gene name	
2 h	24 h	2 h	24 h		
1.343	1.393	1.234	1.409	"Chaperonin containing TCP1, subunit 4 (delta)"	
1.344	1.325	1.232	1.352	BCL2-associated athanogene	
1.296	1.406	1.485	1.394	<i>Thymine-DNA glycosylase</i>	
1.315	1.476	1.261	1.295	<i>Myristoylated alanine-rich protein kinase C substrate</i>	
1.355	1.559	1.430	1.434	Homer homolog 3 (Drosophila)	
1.411	1.400	1.368	1.224	Hypothetical protein MGC2749	
1.238	2.037	1.368	1.259	<i>Secretogranin II (chromograninC)</i>	
(B) Decreased genes					
Dysbindin		NF-YB		Gene name	
2 h	24 h	2 h	24 h		
0.768	0.701	0.762	0.642	<i>Brain protein 44-like</i>	
0.747	0.649	0.757	0.677	<i>Jun dimerization protein 2</i>	
0.827	0.643	0.805	0.803	Kinesin family member 3A	
0.734	0.790	0.764	0.601	Sarcosine dehydrogenase	
0.814	0.698	0.762	0.722	<i>Phospholipase C, beta 4"</i>	
0.761	0.645	0.670	0.699	<i>Synaptotagmin I</i>	
0.815	0.518	0.741	0.780	B cell RAG associated protein	
0.729	0.634	0.790	0.796	Hypothetical protein FLJ39370	
0.763	0.631	0.760	0.668	SEC63-like (S. cerevisiae)	
0.813	0.776	0.824	0.811	<i>ADP-ribosylation-like factor 6 interacting protein 5</i>	
0.732	0.588	0.608	0.749	<i>Prothymosin, alpha (gene sequence 28)"</i>	
0.693	0.645	0.769	0.787	<i>Homeodomain interacting protein kinase 3</i>	
0.710	0.711	0.744	0.618	Similar to AV028368 protein	
0.772	0.759	0.762	0.682	<i>Tropomyosin 4</i>	
0.833	0.651	0.819	0.753	Lactate dehydrogenase A	

(A) The genes upregulated by the knockdown of Dysbindin that were in common with those upregulated by the knockdown of NF-YB are listed. The genes showed by bold and italic format have the CCAAT motif. (B) The genes downregulated by the knockdown of Dysbindin that were in common with those downregulated by the knockdown of NF-YB are listed. The genes showed by bold and italic format have the CCAAT motif. doi:10.1371/journal.pone.0008773.t001

phrenia pathology. In addition, we considered the involvement of the genes in psychiatric diseases and we narrow down to MARCKS [51] and SYT1 [52]. Interestingly, a previous report suggests the alteration of SYT1 in schizophrenia patients [52]. The paper shows increase of SYT1 mRNA in younger schizophrenia patients group, while it shows decrease of SYT1 mRNA in older schizophrenia patients. These results suggest the complicated and multiple regulation of SYT1 transcriptional regulation. Thus, we examined the functional involvement of the Dysbindin-NF-YB interaction in *MARCKS* transcription.

To confirm the involvement of the knockdown of Dysbindin or NF-YB in the upregulation of MARCKS, we performed Western blot analysis using Dysbindin or NF-YB knockdown SH-SY5Y cells. Comparing the expression level of the MARCKS protein with that of control cells, Dysbindin knockdown cells showed upregulation of MARCKS protein (Figure 3A). To confirm the effect of Dysbindin on MARCKS *in vivo*, we examined the expression of MARCKS protein in the hippocampus with advancing age of the Dysbindin knockout mice, comparing with that found in wild-type mice. In the wild-type mice, a peak in MARCKS protein expression in the hippocampus was identified at postnatal day 15 and 20 (Figure 3B), and then decreased markedly over time. However, such a decrease was not observed in the Dysbindin knockout mice, where large amounts of Dysbindin protein were still expressed in the hippocampi of older mice (Figure 3B). These findings suggest that downregulation of Dysbindin may enhance transcription of the *MARCKS* gene, resulting in the upregulation of MARCKS protein.

We performed chromatin IP analysis using SH-SY5Y cells over-expressing Dysbindin-Flag, to explore the possibility that the Dysbindin-NF-YB complex could affect the transcription of *MARCKS* via interaction with the promoter region of *MARCKS*. The cells were stimulated by retinoic acid to induce *MARCKS*, and were collected as the samples for chromatin IP. PCR products from the chromatin IPs suggest that Dysbindin and NF-YB simultaneously interact with the promoter region of *MARCKS*, but control IgG experiments did not show this result (Figure 3C).

These findings indicate that the Dysbindin-NF-YB complex interacts with the promoter region of the *MARCKS* gene resulting in inhibition of *MARCKS* transcription.

The Transcriptional Level of the *MARCKS* Gene Is Regulated by Dysbindin via the NF-YB Binding Motif, CCAAT-2

As shown in Figure 4A, the 5'-UTR region of the *MARCKS* gene has two kinds of CCAAT sequences; one CCAAT motif located between UTR -1152 and -700 and the other located between UTR -700 and -614. In this study, we tentatively named the former CCAAT sequence "CCAAT-1" and the latter "CCAAT-2." It is well known that NF-YB binds to the CCAAT motif to regulate transcription of target genes. Thus, we examined whether CCAAT motifs are essential to the regulation of *MARCKS* transcription by means of a luciferase assay, using the following five vectors containing shorter RNA probes; UTR(1152)-Luc, UTR (953)-Luc, UTR(700)-Luc, UTR(614)-Luc, and UTR(462)-Luc (Figure 4A). These constructs were transiently transfected into SH-SY5Y cells which express Dysbindin and NF-YB endogenously, and luciferase activity in each cell line was measured 24 hours after stimulation with retinoic acid. As baseline, we used luciferase activity detected in the SH-SY5Y cells expressing the UTR(1152)-Luc after retinoic acid stimulation (Figure 4A). In the cells transfected with UTR (953)-Luc containing both CCAAT sequences and UTR(700)-Luc containing the CCAAT-1 sequence but lacking the CCAAT-2 sequence, luciferase activity remained at baseline level after stimulation with retinoic acid (Figure 4A). However, luciferase activity was markedly increased in the cells expressing UTR(614)-Luc after retinoic acid stimulation (Figure 4A). These results suggest that the CCAAT-2 motif plays an important role in inhibition of *MARCKS* transcription. Furthermore, the SH-SY5Y cells transfected with UTR(462)-Luc lacking CCAAT-1, CCAAT-2 and the Sp1 region showed very low luciferase activity (Figure 4A), indicating that Sp1 is indispensable for *MARCKS* transcription.

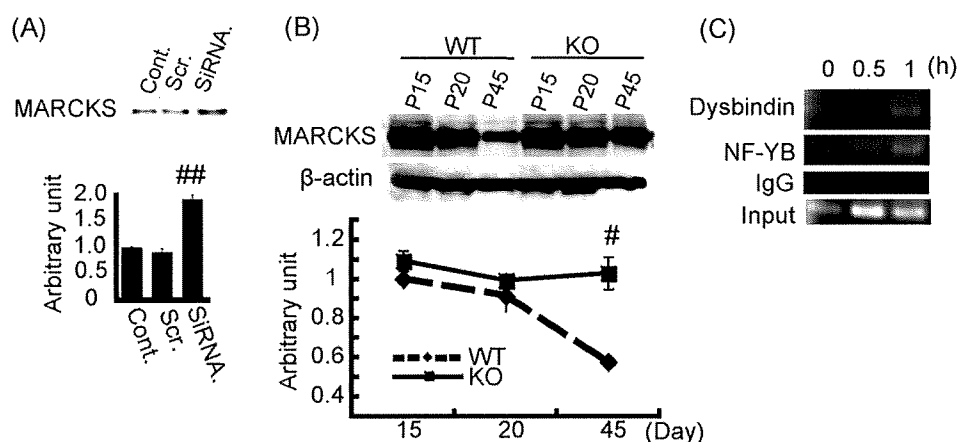


Figure 3. The effects of Dysbindin on MARCKS expression levels. (A) SH-SY5Y cells were transfected with scrambled siRNA or siRNA for Dysbindin. Cell lysate of non-treated cells (Cont.), scrambled RNAi-transfected cells (Scr.) and RNAi for Dysbindin-transfected cells (siRNA) were subjected to Western blot with anti-MARCKS antibody. Columns and vertical bars denote the means \pm SEM (triplicate independent experiments). Dysbindin knockdown cells exhibited significant reduction of MARCKS expression compared with control cells ($P < 0.001$, Student's *t*-test). (B) Hippocampus lysates were collected from wild-type mice or Dysbindin KO mice at P15, P20 and P45. The lysates were subjected to Western blot with anti-MARCKS antibody. Graphs and vertical bars denote the means \pm SEM (triplicate independent experiments). At P45, Wild-type mice showed significant decreased MARCKS expression, while Dysbindin KO mice showed a maintained MARCKS expression. These data were confirmed by triplicate independent experiments ($P < 0.01$, Student's *t*-test). (C) Chromatin IP (ChIP) was performed using SH-SY5Y cells under the stimulation of retinoic acid. The promoter region of *MARCKS* was detected both in the IPs of anti-Dysbindin antibody (1st panel) and those of anti-NF-YB antibody (2nd panel), but not in the IPs of IgG (3rd panel). doi:10.1371/journal.pone.0008773.g003

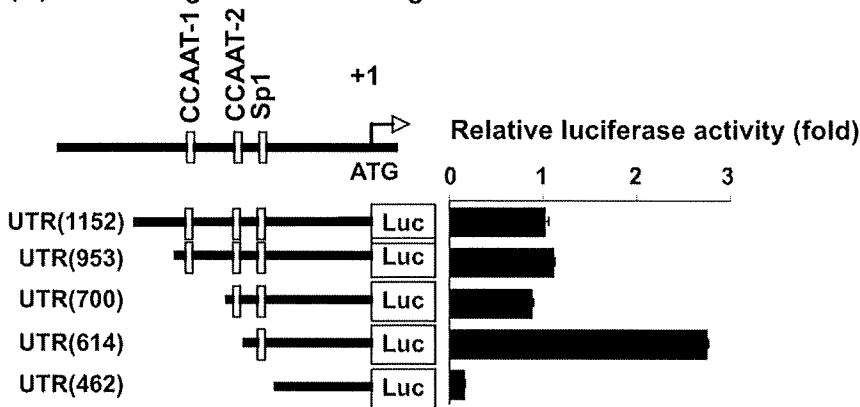
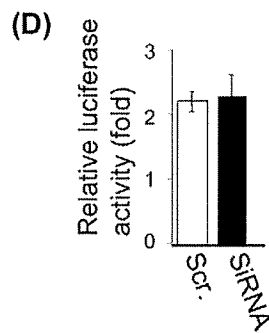
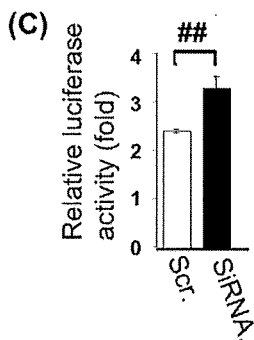
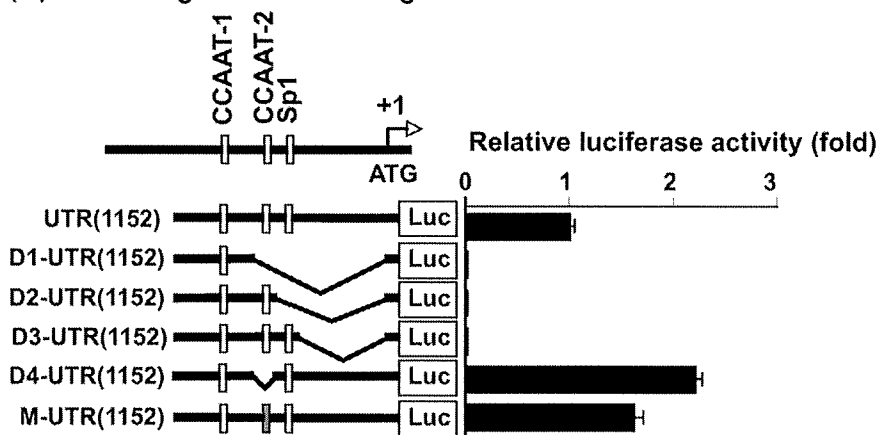
(A) 5'UTR region of MARCKS gene**(B) 5'UTR region of MARCKS gene**

Figure 4. Dysbindin regulates the transcription of MARCKS via the CCAAT2 sequence. (A) The following five vectors were used for luciferase assay, containing shorter DNA probes; UTR(1152)-Luc, UTR(953)-Luc, UTR(700)-Luc, UTR(614)-Luc, and UTR(462)-Luc, were transfected into SH-SY5Y cells and Luciferase activity was measured. UTR(614), which lacks CCAAT1, showed increased luciferase activity. The luciferase activity of UTR(1152) was used as control. Columns and vertical bars denote the means \pm SEM (triplicate independent experiments). (B) UTR(1152)-Luc vector and deleted or point mutation of UTR(1152)-Luc vectors, [D1-UTR(1152)-Luc], [D2-UTR(1152)-Luc], [D3-UTR(1152)-Luc], [D4-UTR(1152)-Luc] and [M-UTR(1152)-Luc], were transfected into SH-SY5Y cells and Luciferase activity was measured. [D4-UTR(1152)-Luc], which lacks CCAAT2, and [M-UTR(1152)-Luc], which has a point mutation in the CCAAT2 sequence, showed increased luciferase activity. The luciferase activity of UTR(1152) was used as the control. Columns and vertical bars denote the means \pm SEM (triplicate independent experiments). (C and D) Scrambled RNAi-transfected SH-SY5Y cells and Dysbindin RNAi-transfected SH-SY5Y cells were transfected with the UTR(1152)-Luc vector (C) or D4-UTR(1152)-Luc (D) and Luciferase activity was measured. UTR(1152)-Luc vector-expressing cells showed the effect of Dysbindin expression levels on luciferase activity, but D4-UTR(1152)-Luc expressing cells did not. Columns and vertical bars denote the means \pm SEM (triplicate independent experiments; $P < 0.001$, Student's t-test). doi:10.1371/journal.pone.0008773.g004

To confirm that the CCAAT-2 region is important in regulation of *MARCKS* transcription, we prepared several probes for the luciferase assay; D1-UTR(1152)-Luc which lacks the CCAAT-2 motif and its downstream region including Sp1 from UTR(1152)-

Luc, D2-UTR(1152)-Luc which lacks the Sp1 region and downstream sequence from UTR(1152)-Luc, D3-UTR(1152)-Luc which lacks only sequence downstream of the Sp1 region, D4-UTR(1152)-Luc which lacks only the CCAAT-2 motif from

UTR(1152)-Luc, and M-UTR(1152)-Luc which has a point mutation in the CCAAT-2 motif (Figure 4B). Luciferase activity was detected from the SH-SY5Y cells transfected with each probe, and luciferase activity detected in the cells transfected with UTR(1152)-Luc was used as the baseline value (Figure 4B). Cells transfected with M-UTR(1152)-Luc and those transfected with D4-UTR(1152)-Luc exhibited marked increases in luciferase activity (Figure 4B), showing that the CCAAT-2 motif plays a key role in inhibition of *MARCKS* transcription. Furthermore, cells expressing D1-UTR(1152)-Luc, D2-UTR(1152)-Luc or D3-UTR(1152)-Luc exhibited no luciferase activity. These findings suggest that the sequence downstream of the Sp1 region, as well as the Sp1 region itself, is indispensable for *MARCKS* transcription.

To confirm the involvement of Dysbindin in the altered *MARCKS* transcription levels *via* the CCAAT-2 motif, we compared the luciferase activity of UTR(1152)-Luc detected in Dysbindin knockdown cells with that of control cells. As shown in Figure 4C, knockdown of Dysbindin resulted in upregulation of luciferase activity in the UTR(1152)-Luc transfected cells. However, the effect of knockdown of Dysbindin on luciferase activity was not observed in the D1-UTR(1152)-Luc transfected cells (Figure 4D). These results suggest that Dysbindin regulates *MARCKS* transcription *via* the CCAAT2 motif; the NF-YB binding site. On the other hand, since negligible levels of luciferase activity were observed in cells transfected with any of the probes lacking the sequence downstream of the Sp1 region, the sequence downstream of Sp1 appears to be essential for *MARCKS* transcription (Figure 4A and 4B).

Discussion

Numerous reports support the role of Dysbindin in the etiology of schizophrenia [13,30,37,53–60]. Previous studies have reported a decrease in Dysbindin expression in the brains of schizophrenic patients both at the mRNA and protein levels [26,27]. However, the functional involvement of Dysbindin in the neural system is not yet well elucidated. In this study, we examined involvement of Dysbindin in neural transmission and neural formation *via* transcriptional regulation, because abnormalities in these neural processes are very important in the pathogenesis of schizophrenia.

Regulation of *MARCKS* Transcription by the Dysbindin/NF-YB Interaction

As a result of the yeast-two-hybrid assay and immunoprecipitation assay, we revealed an interaction between NF-YB and Dysbindin (Figure 1 and 2). In addition, we showed the binding of NF-YB and Dysbindin to the *MARCKS* promoter region (Figure 3C). These findings suggest involvement of this complex in transcriptional regulation of *MARCKS*. As shown in Figure 4, we found two CCAAT sequence motifs at the 5'-UTR of the *MARCKS* gene. Previous reports show that members of the NF-Y family including NF-YB bind to CCAAT sequences and can regulate transcription of a number of genes. Our results suggest that one of the CCAAT sequences, CCAAT-2, is important for *MARCKS* transcriptional regulation. On the other hand, our luciferase assay results suggest that both the Sp1 region and the sequence downstream of Sp1 are indispensable for *MARCKS* transcription (Figure 4A and 4B).

Dysbindin Knockdown Increases *MARCKS* Protein Levels *In Vivo* and *In Vitro*

In accordance with the enhanced *MARCKS* transcription mediated by the knockdown of Dysbindin, Dysbindin knockdown cells show increased *MARCKS* levels (Figure 3A). Next, we

examined the expression level of *MARCKS* in Dysbindin knockout mice. As shown in Figure 3b, in the wild-type mouse brain the peak in *MARCKS* expression is at postnatal day 15; thereafter decreasing markedly with advancing age until only low levels of *MARCKS* expression are seen in adults (P45). Comparable alternations in *MARCKS* expression were also observed in another mouse line, ICR (data not shown). These findings support the hypothesis that *MARCKS* plays an important role in brain development. However, in the Dysbindin knockout mice, there is no effect on *MARCKS* expression during the developmental stage, when *MARCKS* is abundantly expressed in wild-type mice. During this stage, *MARCKS* transcription may be regulated by multiple molecules, which compensate for the lack of Dysbindin. With increasing age of the mouse, *MARCKS* expression decreases gradually to a low level of expression in adults (Figure 3b). In contrast, a decrease in *MARCKS* expression was not observed in Dysbindin knockout mice (Figure 3b) and even in adult mice brains, a high level of expression of *MARCKS* was detected. These findings show that Dysbindin likely plays a major role in regulation of *MARCKS* expression in the adult brain, in contrast to in the developmental stage. Therefore, considering the results in Dysbindin knockout mice, it is likely that *MARCKS* is expressed at high levels in schizophrenic brains, compared with age-matched control brains.

MARCKS and Neural Transmission

It has been shown that *MARCKS* impacts on neurotransmission *via* F-actin and on vesicular transport *via* synaptic vesicles [46–48]. Furthermore, many reports indicate that dopaminergic transmission is increased in the brains of schizophrenics [1–4]. Dopamine D2 antagonists are an effective treatment in schizophrenia, and dopamine-enhancing drugs mimic psychotic symptoms of schizophrenia. In the schizophrenic brain, the expression of Dysbindin is decreased, resulting in an increase in *MARCKS* protein expression, which impacts on neurotransmission. Furthermore, we found that decreases in Dysbindin levels upregulate dopamine release [39]. Therefore, the enhanced dopaminergic transmission produced by the lower expression level of Dysbindin may be partially attributable to activation of *MARCKS*. Thus, the impairment of neural transmission in the schizophrenic brain may be caused by alterations of *MARCKS* expression levels *via* changes in Dysbindin.

Dysbindin May Regulate Neural Formation *via* Alteration of *MARCKS* Levels

Many studies support the hypothesis that schizophrenia is a neurodevelopmental disease. Disrupted-In Schizophrenia 1 (*DISC1*) is a gene disrupted by a (1;1)(q42.1;q14.3) translocation that segregates with major psychiatric disorders, including schizophrenia in a Scottish family [61,62]. Previously, we examined the physiological role of the molecular complex composed of *DISC1* and its interacting partners, Fasciculation and elongation protein zeta 1 (*Fez1*) [63] and *DISC1*-Binding Zinc finger protein (*DBZ*) [64]. Both the *DISC1*-*Fez1* interaction and the *DISC1*-*DBZ* interaction are involved in neurite extension. These reports suggest that abnormalities in the schizophrenia susceptibility genes, such as *DISC1*, likely cause an impairment of brain development resulting in schizophrenia. In addition, several reports suggest that the PKC signal is involved in psychiatric disorders, as well as other signals such as ERK, which play important roles in neural development. In addition, we previously showed the importance of Dysbindin for growth cone formation [40]. These previous reports suggest that abnormal neural formation could cause psychiatric disorders and that Dysbindin

may be one of the important factors in normal neural development. In this study, we demonstrate the transcriptional regulation of MARCKS *via* Dysbindin and the upregulation of MARCKS by downregulation of Dysbindin. Since MARCKS is involved not only in neural transmission⁴⁸ but also in neural developmental processes such as synaptogenesis and maintaining spine morphology [46,47], these results suggest that dysfunction of Dysbindin likely causes the upregulation of MARCKS and may induce abnormal development of the nervous system *via* alterations of MARCKS levels.

Thus, in this paper, we report the following findings; (1) Dysbindin interacts with NF-YB, (2) NF-YB and Dysbindin bind to the promoter region of MARCKS, (3) one of the CCAAT sequences is likely essential for the transcriptional regulation of MARCKS and (4) the downregulation of Dysbindin upregulates the expression of MARCKS *in vitro* and *in vivo*. On the other hand, we previously showed that Dysbindin knockout mice exhibit schizophrenia-like behavior and abnormalities of the dopaminergic system. These phenotypes may be at least partly attributable to over-activation of MARCKS *via* a decrease in Dysbindin levels.

In conclusion, these results may help shed some light on the causes of schizophrenia, and indicate that the transcriptional regulation of Dysbindin may contribute to schizophrenia. Further studies of Dysbindin and its association with MARCKS and with schizophrenia may reveal novel treatment targets for schizophrenia.

Materials and Methods

Antibodies

Monoclonal anti-Dysbindin antibody was produced. Briefly, GST-fused human Dysbindin was used as antigen and the Dysbindin protein for ELISA was made by thrombin digestion of GST-Dysbindin. High-titer clones for Dysbindin were selected by ELISA using the Dysbindin protein and the immunoreactivity of the clones was checked by Western blot. Antibodies of anti-GFP (Santa Cruz Biotechnology, Santa Cruz, CA), anti-Flag (Sigma-Aldrich, St Louis, MO), anti-V5 (Invitrogen), anti- β -actin (Chemicon International, Temecula, CA), anti-NF-YB (Santa Cruz Biotechnology), anti-MARCKS (Upstate), HRP-conjugated anti-mouse and Rabbit IgG (Cell Signaling Technology, Beverly, MA), and mouse normal IgG (Sigma-Aldrich) were purchased commercially.

Plasmids

We previously constructed the pEGFP-C1 expression vector (Clontech) carrying the full-length human *Dysbindin* cDNA (-GFP is tagged to N-terminal) [22]. The human Dysbindin-V5 (-V5 is tagged to C-terminal), Dysbindin-FLAG (-FLAG is tagged to N-terminal) and NF-YB moieties were amplified from a human brain cDNA library using PCR and subcloned into pcDNA3.1 (+) expression vector (Invitrogen, Carlsbad, CA). Dysbindin and NF-YB were amplified using rTaq DNA polymerase (Takara Bio Inc., Kyoto, Japan) with the following primer set: Dysbindin-V5, 5'-CTCGAGTTACGTAGAATCGAGACCCGAGGAGAGGG-TTAGGGATAGGCTTACCAGAGTCGCTGTCCTCACC-3' (forward) and 5'-GGTACCCGCCACCATGCTGGAGACCCCTTCGCGA-3' (reverse); NF-YB, 5'-GCTAGCGCCACCATGACAATGGATGGTGACAGTTCT-3' (forward) and 5'-GATATCTGAAAAGTGAATTTGCTGAAC-3' (reverse). The amplified fragments were TA cloned into the pGEM-T vector (Promega Corp.).

pMARCKS-Luc(-1152) was generated by subcloning promoters into pGL3-(R2.2) Basic (Promega). We generated 5' deletion

constructs of pMARCKS-Luc(-1152) and an internal deletion construct of the region -700~-1. Other deletion constructs of the region (-231~-150) and point mutation constructs of pMARCKS-Luc/dl(-204~-187), were generated by inserting double-stranded oligonucleotides (Figure 2B and 2D). The plasmid pMARCKS-Luc(-736/mt) was generated by site-directed mutagenesis, which changed the same nucleotides as those of mutant 5.

Cell Culture

Human neuroblastoma SH-SY5Y cells were obtained from the Human Science Research Resources Bank (HSRRB). These cells were maintained in tissue culture dishes (Nalge Nunc, Rochester, NY, USA) in 50% minimal essential medium (Invitrogen) /50% F-12 (Invitrogen) containing 15% heat-inactivated fetal bovine serum (Invitrogen) at 37°C in an atmosphere of 95% air /5% CO₂.

Animals

sdj mice (Dysbindin KO mice) and wild-type littermates were provided by the Takeda lab, Department of Psychiatry, Osaka University Graduate School of Medicine. The mice were deeply anesthetized with sodium pentobarbital. Brains (hippocampus) were dissected from each aged mouse. All animal experiments were carried out in accordance with a protocol approved by the Institutional Animal Care and Use Committee of Osaka University.

Immunocytochemistry

SY5Y cells were grown on poly-L-lysine-coated four-well chamber dishes at a density of 3×10^4 cells/cm². The cells were fixed in 2% paraformaldehyde in 0.1 M PBS, permeabilized, and blocked with 0.02 M PBS containing 0.3% Triton X-100, 3% BSA and 10% goat serum for 30 min at room temperature, and then incubated with antibodies specific for the individual protein. Confocal microscopy was performed using a Carl Zeiss LSM-510 confocal microscope.

Fractionation Assay

Cells were collected after washing with ice-cold PBS. Cells and brains were homogenized in Tris buffer (20 mM Tris-HCl, pH 7.8, 1 mM EDTA, 150 mM NaCl and protease inhibitor cocktail (Roche)). After homogenization, the homogenized proteins were lysed by the addition of 0.5% NP-40 for 30 min on ice and centrifuged at 500 $\times g$ for 10 min to collect the nuclear pellet. The supernatant was collected as the cytosolic fraction.

Immunoprecipitation (IP)

After washing cells with ice-cold PBS, cells were collected and resuspended in 1 mL lysis buffer (20 mM Tris-HCl, pH 7.8, 0.2% NP-40, 1 mM EDTA, 150 mM NaCl and protease inhibitor cocktail (Roche)). Cells were frozen in dry ice/EtOH and stored at -80°C. Cell lysates were incubated on ice for 30 min and then centrifuged for 5 min at 13,600 $\times g$. After centrifugation, the supernatants were precleared with protein Sepharose G beads and IP was carried out in lysis buffer with antibody/protein G Sepharose beads for 1 h at 4°C. After washing in lysis buffer, immunoprecipitated proteins were immunoblotted.

Immunoblotting

Aliquots of whole cell lysates or IP lysates separated by SDS-PAGE were blotted onto an Immobilon-P membrane (Millipore), and then incubated with antibodies specific for individual protein. Proteins were detected by ECL plus Western Blotting Detection

System (GE Healthcare), followed by exposure to X-ray films according to the manufacturer's protocol.

Knockdown Experiment Using Small Interfering RNA (siRNA)

Stealth siRNA against Dysbindin (5'-CCAAAGUACUCUG-CUGGAUUAGAAU-3' and 5'-GCUCCCAGCUUUA-AUCGCAGACUUA-3'), NF-YB 5'-UACUGAGGACAG-CAUGAAUGAUCAU-3', and negative control duplexes (scrambled siRNA for Dysbindin, 5'-CCATGATCTCGTTCGTTA-GAAAGAAA-3' and 5'-GCTACCGTTATTAGCACAGCC-CTTA-3'; and scrambled siRNA for NF-YB, 5'-UACGGAA-CAACGAGUGUAUAUGCAU-3') were provided by Invitrogen Corp. SY5Y cells were transfected with 100 pM of each siRNA and scrambled siRNA using Lipofectamine 2000 (Invitrogen Corp.) according to the manufacturer's instructions.

RNA Extracts and Microarray

Total RNA was extracted from cells using RNeasy columns (Qiagen) according to the manufacturer's instructions. Five hundred nanograms of total RNA from control and experimental cells was separately amplified and labeled with either Cy3- or Cy5-labeled CTP (Perkin Elmer) with an Agilent low input linear amplification kit (Agilent Technologies) according to manufacturer's instructions. After labeling and cleanup, amplified RNA was quantified by UV-vis spectroscopy. One microgram each of Cy3- and Cy5-labeled targets were combined and hybridized with a Whole Human Genome Oligo Microarray Kit (G4112F) according to the manufacturer's instructions. Three biological replicates were used at each time point with one of the replicates being a dye reversal of the other two. Microarrays were imaged on a Hitachi image scanner and data analyzed with GeneSpring 6 (Silicon Genetics).

Chromatin Immunoprecipitation (ChIP) Assay

ChIP analysis was performed using a Chromatin Immunoprecipitation Assay Kit (Upstate Biotechnology) according to the manufacturer's instructions. Briefly, protein-DNA complexes were crosslinked with 1% formaldehyde (10 min at room

temperature) and cells were harvested. DNA was sonicated to lengths of 500–1000 bp. Antibodies specific for individual protein were used for immunoprecipitating protein-DNA complexes overnight at 4°C. PCR was performed with individual specific primer sets for the MARCKS promoter: the proximal CCAAT region, 5'-GGTTTGCCTCTTTGATGCTCTTGAT-3' and 5'-ACTTTCGGGTGGGGTGTA-3'

Reporter Assay

Reporter plasmids were transfected into cells using Lipofectamine 2000 (Invitrogen) together with pHRG-TK (Renilla reporter for internal control) which monitored transfection efficiency. Luciferase activities were assayed using the Dual Luciferase Assay System (Promega). All assays were performed three times in duplicate and values are shown as means \pm SD.

Supporting Information

Figure S1 The preparation of mRNAs for microarray analysis. (A-(a) and B-(a)) To prepare RNAs for microarrays analysis, we transfected the siRNA for Dysbindin, NF-YB, or scrambled as a control. The effect of each RNAi was confirmed by Western blot using the antibody for Dysbindin or NF-YB. (A-(b) and B-(b)) The columns and vertical bars denote the means \pm SEM (triplicate independent experiments; $P < 0.001$, Student's t-test). Dysbindin or NF-YB was knocked-down significantly by transfection of the siRNA for Dysbindin or NF-YB, compared with the control. Found at: doi:10.1371/journal.pone.0008773.s001 (1.10 MB EPS)

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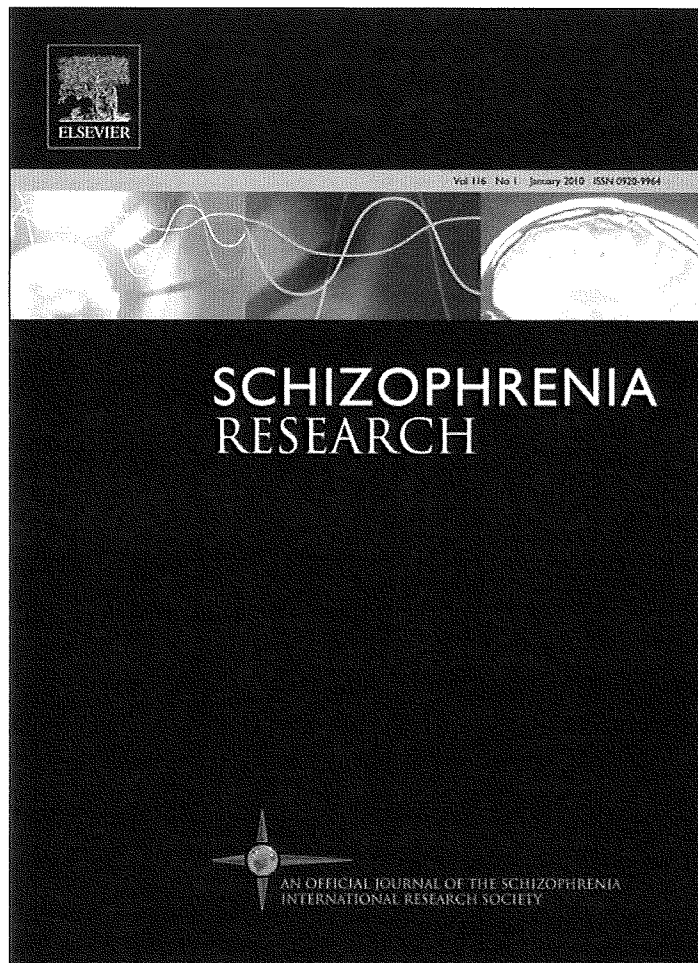
Author Contributions

Conceived and designed the experiments: HO SM SM MT. Performed the experiments: HO RK SM. Analyzed the data: HO RK SM SM NK TH RH TK MT. Contributed reagents/materials/analysis tools: SM NK SS KY KK RH MT TK. Wrote the paper: HO RK SM MT.

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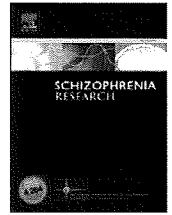


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The dopamine D3 receptor (*DRD3*) gene and risk of schizophrenia: Case–control studies and an updated meta-analysis

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ABSTRACT

The dopamine D3 receptor (*DRD3*) has been suggested to be involved in the pathophysiology of schizophrenia. *DRD3* has been tested for an association with schizophrenia, but with conflicting results. A recent meta-analysis suggested that the haplotype T–T–G for the SNPs rs7631540–rs1486012–rs2134655–rs963468 may confer protection against schizophrenia. However, almost all previous studies of the association between *DRD3* and schizophrenia have been performed using a relatively small sample size and a limited number of markers. To assess whether *DRD3* is implicated in vulnerability to schizophrenia, we conducted case–control association studies and performed an updated meta-analysis. In the first population (595 patients and 598 controls), we examined 16 genotyped single nucleotide polymorphisms (SNPs), including tagging SNPs selected from the HapMap database and SNPs detected through resequencing, as well as 58 imputed SNPs that are not directly genotyped. To confirm the results obtained, we genotyped the SNPs rs7631540–rs1486012–rs2134655–rs963468 in a second, independent population (2126 patients and 2228 controls). We also performed an updated meta-analysis of the haplotype, combining the results obtained in five populations, with a total sample size of 7551. No supportive evidence was obtained for an association between *DRD3* and schizophrenia in our Japanese subjects. Our updated meta-analysis also failed to confirm the existence of a protective haplotype. To draw a definitive conclusion, further studies using larger samples and sufficient markers should be carried out in various ethnic populations.

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1. Introduction

The dopamine D3 receptor (*DRD3*) has been suggested to be involved in the pathophysiology of schizophrenia (for a

review, Schwartz et al., 2000). *DRD3* has relatively strong affinity for both first- and second-generation antipsychotics (Sokoloff et al., 1990). Postmortem studies have revealed changes in the mRNA and protein levels of *DRD3* in the brains of patients with schizophrenia (Gurevich et al., 1997; Meador-Woodruff et al., 1997; Schmauss et al., 1993). Altered levels of *DRD3* mRNA in blood lymphocytes of patients with schizophrenia have also been reported (Ilani et al., 2001; Vogel et al., 2004). *DRD3* is located on 3q13.3 where some linkage analyses have suggested a region of susceptibility to schizophrenia (Brzustowicz et al., 2000; Kaneko et al., 2007). Therefore, *DRD3* is a promising functional and positional candidate gene for schizophrenia.

More than 60 studies have tested an association between *DRD3* and schizophrenia (Allen et al., 2008). The most extensively investigated *DRD3* polymorphism is Ser9Gly (rs6280) in exon 2 resulting in a serine to glycine substitution at codon 9. This polymorphism has been reported to be associated with altered dopamine binding affinity, suggesting that the Ser9Gly polymorphism may be functional (Lundstrom and Turpin, 1996). An initial study reported an association between homozygosity of this polymorphism and schizophrenia (Crocq et al., 1992). Some studies showed an association of the Ser allele with schizophrenia (Ishiguro et al., 2000; Shaikh et al., 1996), whereas others reported that the Gly allele was over-represented in patients with schizophrenia (Kennedy et al., 1995; Utsunomiya et al., 2008). However, two recent large meta-analyses did not provide evidence for an association between the Ser9Gly polymorphism and schizophrenia (Allen et al., 2008; Ma et al., 2008). Therefore, if *DRD3* is implicated in genetic susceptibility to schizophrenia, this cannot be wholly accounted for by the Ser9Gly polymorphism. This view has been supported by two studies using tagging single nucleotide polymorphisms (SNPs) based on linkage disequilibrium (LD) (Domínguez et al., 2007; Talkowski et al., 2006). A recent meta-analysis showed that the second most common haplotype (T–T–G) for the SNPs rs7631540–rs1486012–rs2134655–rs963468 was less frequent in patients with schizophrenia than in control subjects, suggesting that this haplotype may confer protection against schizophrenia (Costas et al., 2009).

Almost all previous studies on the association between *DRD3* and schizophrenia have been performed using a relatively small sample size and a limited number of markers. Here, we tried to increase the power by increasing the sample size and testing more markers, including tagging SNPs selected from the HapMap database and SNPs detected through resequencing of whole exon regions of *DRD3*. First, we conducted a moderate-scale case–control association study (595 patients and 598 controls) using 16 genotyped SNPs and 58 imputed SNPs that have not been directly genotyped. Second, we carried out an independent large-scale case–control association study (2126 patients and 2228 controls) to confirm the results of the first study, specifically to test the association of the haplotype T–T–G for the SNPs rs7631540–rs1486012–rs2134655–rs963468 with schizophrenia. Third, we performed an updated meta-analysis of this haplotype to assess the collective evidence across individual studies.

2. Materials and methods

The present study was approved by the Ethics Committee of each participating institute, and written informed consent

was obtained from all participants. All participants were unrelated Japanese subjects.

2.1. Subjects

The first population consisted of 595 patients with schizophrenia (313 men and 282 women; mean age, 40.2 [SD 14.1] years) and 598 control subjects (311 men and 287 women; mean age, 38.1 [SD 10.5] years). These subjects partially overlapped with those in the report of Tanaka et al. (1996). Case and control groups were matched for sex ($p=0.836$). Although the mean age of the patients was significantly higher than that of the control subjects ($p=0.004$), the difference in mean age between the groups was relatively small (2.1 years). The second population consisted of 2126 patients with schizophrenia (1137 men and 989 women; mean age, 47.3 [SD 14.3] years) and 2228 control subjects (1189 men and 1039 women; mean age, 46.6 [SD 13.9] years). Case and control groups were matched for sex ($p=0.940$) and age ($p=0.083$).

We conducted a psychiatric assessment of every participant, as described previously (Nunokawa et al., 2007). In brief, the patients were diagnosed according to the *Diagnostic and Statistical Manual of Mental Disorders Fourth Edition* (DSM-IV) criteria by at least two experienced psychiatrists, on the basis of all available sources of information, including unstructured interviews, clinical observations and medical records. The control subjects were mentally healthy subjects with no self-reported history of psychiatric disorders; they showed good social and occupational skills, but were not assessed using a structured psychiatric interview.

The subjects for resequencing of exon regions were six patients with schizophrenia from a Japanese single multiplex schizophrenia pedigree. In this pedigree, our previous linkage analysis revealed that 3q is one of the candidate regions for schizophrenia (Kaneko et al., 2007). These patients were diagnosed according to the DSM-IV criteria by two experienced psychiatrists, on the basis of all available sources of information, including direct interviews using the Structured Clinical Interview for DSM-IV Axis I disorders and Axis II disorders, medical records, and information from reliable relatives and psychiatric professionals.

2.2. Tagging SNP selection

Tagging SNPs for *DRD3*, covering gene region and the 5' and 3' flanking regions (chr3:115307882..115402406), were selected from the HapMap database (release#22, population: Japanese in Tokyo [JPT], minor allele frequency [MAF]: more than 0.05). We applied the criterion of an r^2 threshold greater than 0.8 in the 'aggressive tagging: use 2- and 3-marker haplotype' mode using the 'Tagger' program (de Bakker et al., 2005), as implemented in Haploview v4.0 (Barrett et al., 2005); rs6280 (Ser9Gly) was forced to be selected as a tagging SNP. To confirm the existence of a common protective haplotype (Costas et al., 2009), we also included rs963468.

2.3. Resequencing of exon regions

All seven exons of *DRD3* were screened for polymorphisms using direct sequencing of PCR products. The sequences