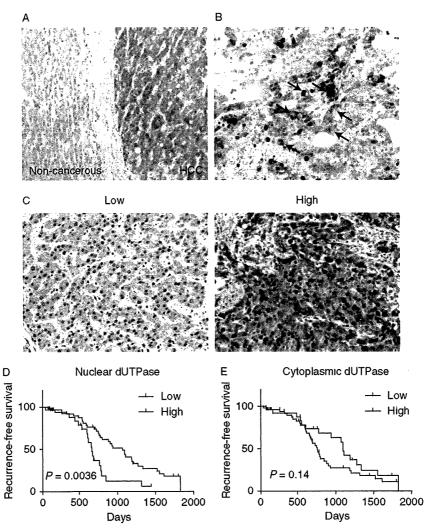**Fig. 3.** Immunohistochemistry analysis of dUTP pyrophosphatase (dUTPase) expression in hepatocellular carcinoma (HCC). (A) A representative photomicrograph of dUTPase staining in an HCC and adjacent non-cancerous liver tissue. (B) A representative photomicrograph of dUTPase staining in an HCC. Both nuclear (red arrows) and cytoplasmic (blue arrows) forms of dUTPase were detected. (C) Representative photomicrographs of HCC tissues with low (0–50%) and high (≥50%) frequencies of nuclear and cytoplasmic dUTPase-positive cells. (D and E) Kaplan–Meier survival analysis of HCC tissues with nuclear (D) or cytoplasmic (E) dUTPase expression. High percentages of nuclear dUTPase-positive tumour cells significantly correlated with poor clinical outcome in recurrence-free survival.

HCC and nuclear dUTPase expression, implicating the potential effectiveness of nuclear dUTPase level as a biomarker for predicting the survival of HCC patients after surgical resection.

Discussion

Here, using a global gene expression profiling approach (18), we have identified the activation of the nucleotide/nucleoside metabolism-related gene *DUT* (encoding dUTPase) in HCC. Notably, an intense dUTPase expression was detected in a subset of HCC with a poor prognosis. To the best of our knowledge, this is the first

report describing the correlation between dUTPase activation and poor survival outcome in HCC patients.

In normal cells, dUTPase is known to catalyse the hydrolysis of dUTP to dUMP in order to maintain the dUMP pool at a certain level for thymidylate synthesis (26). Interestingly, dUTPase mutations in *Escherichia coli* increased dUTP levels, leading to dUTP misincorporation into DNA during replication, which resulted in DNA fragmentation and apoptosis (27). Furthermore, introduction of *E. coli* dUTPase into human tumour cells resulted in the induction of resistance to fluorodeoxyuridine cytotoxicity (28), suggesting a pivotal role of dUTPase in the prevention of DNA damage. Thus, dUTPase activation in the nucleus appears to be critical

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Table 2. Clinicopathological characteristics and dUTP pyrophosphatase expression in hepatocellular carcinoma (*n* = 82)

dUTPase expression (nuclear)	Low (*n* = 52)	High (*n* = 30)	*P*-value
Age (< 60 years/≥ 60 years)	19/33	8/22	0.36
Sex (male/female)	36/16	23/7	0.47
Virus (HBV/HCV/B + C/NBNC)	15/33/1/3	10/20/0/0	0.48
Cirrhosis (yes/no)	33/19	22/8	0.36
AFP (< 20 ng/ml/≥ 20 ng/ml)	32/20	15/15	0.31
Histological grade*			
I–II	14	3	
II–III	36	20	
III–IV	2	7	0.0099
Tumour size (< 3 cm/≥ 3 cm)	31/21	19/11	0.74
TNM classification† (I, II/III, IV)	43/9	25/5	0.94

dUTPase expression (cytoplasmic)	Low (*n* = 27)	High (*n* = 55)	*P*-value
Age (< 60 years/≥ 60 years)	10/17	17/38	0.58
Sex (male/female)	19/8	40/15	0.82
Virus (HBV/HCV/B + C/NBNC)	8/17/1/1	17/36/0/2	0.56
Cirrhosis (yes/no)	17/10	38/17	0.58
AFP (< 20 ng/ml/≥ 20 ng/ml)	16/11	31/24	0.80
Histological grade*			
I–II	7	10	
II–III	20	36	
III–IV	0	9	0.077
Tumour size (< 3cm/≥ 3 cm)	17/10	33/22	0.80
TNM classification† (I, II/III, IV)	21/6	47/8	0.39

*Edmondson–Steiner grades.

†UICC TNM classification of liver cancer, 6th edition (2002).

AFP, α-fetoprotein; dUTPase, dUTP pyrophosphatase; HBV, hepatitis B virus; HCV, hepatitis C virus.

Table 3. Cox regression analysis of recurrence-free survival rate relative to dUTP pyrophosphatase expression and clinicopathological parameters (*n* = 82)

Variables (*n*)	Univariate		Multivariate	
	HR (95% CI)	*P*-value	HR (95% CI)	*P*-value
Child–Pugh				
A	1			
B	1.73 (0.50–5.97)	0.38		
Tumour size				
< 3 cm (*n* = 50)	1			
≥ 3 cm (*n* = 32)	1.58 (0.69–3.63)	0.28		
TNM stage*				
I, II (*n* = 68)	1		1	
III, IV (*n* = 14)	2.57 (1.05–6.29)	0.039	2.75 (1.11–6.79)	0.027
Serum AFP				
< 20 ng/ml (*n* = 49)	1			
≥ 20 ng/ml (*n* = 38)	1.54 (0.66–3.56)	0.31		
Microvascular invasion				
No	1			
Yes	1.98 (0.89–4.44)	0.095		
BCLC stage				
A	1			
B/C	2.16 (0.93–5.00)	0.07		
Cytoplasmic dUTPase				
Low (*n* = 27)	1			
High (*n* = 55)	1.15 (0.50–2.62)	0.73		
Nuclear dUTPase				
Low (*n* = 52)	1		1	
High (*n* = 30)	2.47 (1.08–5.66)	0.032	2.61 (1.13–6.05)	0.024

*UICC TNM classification of liver cancer, 6th edition (2002).

AFP, α-fetoprotein; CI, confidence intervals; dUTPase, dUTP pyrophosphatase; HR, hazard ratio.

for preventing DNA damage possibly at the S phase. Specifically, this activation may prevent dUTP misincorporation in various cancers and thus avert DNA damage and apoptosis induction. Indeed, dUTPase activation has recently been reported in colorectal and brain cancer (29, 30), and dUTPase accumulation might correlate with 5-FU-based chemotherapy resistance and poor prognosis in colorectal cancer (26).

If dUTPase activation plays a central role in the development of resistance to thymidylate synthase inhibitors in order to prevent a DNA damage response, dUTPase inhibition may facilitate the eradication of cancer cells by sensitizing these cells to such inhibitors. Indeed, a recent study suggested a drastic sensitization of colon cancer cells to 5-FU by siRNAs-mediated dUTPase suppression (31, 32), which is consistent with our current observation. Because all HCC samples used in this study were surgically resected, we could not evaluate the effect of dUTPase expression on clinical HCC patients' outcome in relation to chemosensitivity to thymidylate synthase inhibitors. Nevertheless, intense nuclear dUTPase expression may be a good biomarker

for predicting the response to thymidylate synthase inhibitors, and its usefulness should be further evaluated in the future.

In conclusion, comprehensive gene expression profiling shed new light on the role of dUTPase in HCC. Nuclear dUTPase accumulation is potentially a good biomarker for predicting poor prognosis in HCC patients, and the development of a dUTPase inhibitor may promote the possibility of tumour eradication in HCC patients.

Acknowledgements

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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Fig. S1. Subcellular localization of genes detected in each SAGE library.

Fig. S2. Microarray analysis of *DUT* and *TS* gene expression in 238 HCC cases publicly available (GSE5975). *DUT* was overexpressed more than 2-fold in 121 of 238 HCC tissues (median: 2.03), whereas *TS* was overexpressed more than 2-fold in 54 of 238 HCC tissues (median: 1.41) compared with the non-cancerous liver tissues.

Fig. S3. (A) Transfection of siRNAs targeting *DUT* (DUT2) decreased *DUT* expression compared with the control (scrambled sequence). Gene expression was evaluated in triplicates 72 hours after transfection (mean ± SD). (B) *DUT* gene knockdown sensitized HuH7 cells to low-dose 5-FU (0.25 mg/ml) (mean ± SD).

Fig. S4. Nuclear and cytoplasmic dUTPase expression and cell proliferation in HCC. PCNA indexes in nuclear dUTPase-high HCC were higher than those in - low HCC with statistical significance ($P = 0.01$). Cytoplasmic dUTPase expression was not associated with PCNA indexes in HCC.

Table S1. A summary of constructed SAGE libraries.

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CD14 ⁺ monocytes are vulnerable and functionally impaired under ER stress in patients with type 2 diabetes

A short running title: Diabetic monocyte is attenuated under ER stress

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Objective—While patients with diabetes suffer from increased infections and a higher incidence of cancer due to impaired immune function, details on diabetes-induced decrease in immunity are lacking. We assessed how immune-mediating peripheral blood mononuclear cells (PBMCs) are affected in diabetes.

Research designs and methods—We obtained PBMCs from 33 patients with type 2 diabetes and 28 healthy volunteers, and investigated their susceptibility to apoptosis and functional alteration.

Results—In a subpopulation of PBMCs, monocytes derived from patients with diabetes were more susceptible to apoptosis than monocytes from healthy volunteers. Monocytes from patients with diabetes had decreased phagocytotic activity and were less responsive to Toll-like receptor (TLR) ligands, although the expression of TLRs did not differ significantly between the two groups. Furthermore, monocytes from patients with diabetes had a distinctly different gene expression profile compared to monocytes from normal volunteers as assessed with DNA microarray analysis. Specifically, quantitative real-time detection PCR measurements showed an elevated expression of the markers of endoplasmic reticulum (ER) stress in diabetic monocytes, and electron microscopic examination of monocytes revealed morphological alterations in the ER of cells derived from patients with diabetes. Consistently, the ER stress inducer tunicamycin increased apoptosis of otherwise healthy monocytes and attenuated the pro-inflammatory responses to TLR ligands.

Conclusions—These data suggest that monocytes comprise a substantially impaired subpopulation of PBMCs in patients with diabetes and that ER stress is involved in these pathological changes mechanistically. This implies that the affected monocytes should be investigated further to better understand diabetic immunity.

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Type 2 diabetes is the most frequent metabolic disease and the leading cause of human morbidity and mortality (1, 2). Based on epidemiological data, patients with diabetes are immunocompromised and have an increased incidence of infections in the respiratory tract, urinary tract, and skin (3–5). The high incidence of colorectal, breast, and pancreatic malignancies in patients with diabetes is also considered to be a consequence of diabetes-associated defects in immune function (6, 7).

Although studies on immune cells and circulating cytokines have shed some light on this diabetic immunological phenomenon, conflicting results have been reported and do not adequately explain the perturbed immune function in patients with diabetes. Controversial results concerning the phagocytotic activity of polymorphonuclear neutrophils and monocytes are in part due to differences in the patients themselves, insufficient numbers in the study populations, or inconsistencies in the collection of the cell populations under investigation (8–11). Therefore, further studies are needed to explain the decreased immune function of patients with diabetes.

We previously investigated the gene expression signatures of peripheral blood mononuclear cells (PBMCs) in patients with diabetes and observed transcriptional expression features that were distinct from those of healthy volunteers (12). Apoptosis-related genes were up-regulated in the PBMCs of patients with diabetes. Based on this result, we investigated apoptotic activity and immunological function in PBMCs from patients with type 2 diabetes.

We observed that the CD14$^+$ monocyte fraction was the most affected subpopulation of PBMCs from these patients; these cells were especially vulnerable to apoptosis compared to other cell subpopulations. We also found that CD14$^+$ monocytes demonstrated attenuated phagocytotic activity and deficient Toll-like receptor (TLR) signaling, both of which are important for innate immunity (13, 14). Transcriptional analysis and electron microscopic examination of monocytes from patients with diabetes showed evidence of endoplasmic reticulum (ER) stress, which may underlie the functional defects in these cells. Collectively, the data presented herein show that CD14$^+$ monocytes are a vulnerable cell population under ER stress in these patients that could contribute to decreases in immune function in diabetes.

RESERCH DESIGN AND METHODS

Patients: Thirty-three patients with type 2 diabetes (male/female, 15/18; age, 62.0 ± 8.6 years; HbA$_{1c}$, 9.2 ± 2.0%) and 28 healthy volunteers (male/female, 15/13; age, 58.2 ± 10.2 years; HbA$_{1c}$, 5.4 ± 0.7%) were enrolled consecutively for the apoptosis assay (Table 1). The groups were not significantly different in terms of their clinical parameters, except for the fasting plasma glucose (FPG) and HbA$_{1c}$ levels. The patients with diabetes ($n = 16$) from whom adequate numbers of monocytes were obtained were enrolled for additional experiments along with 17 other patients with diabetes (male/female, 8/9; age, 60.5 ± 7.2 years; HbA$_{1c}$ 8.8 ± 1.8) whose clinical profiles fit the diabetic profile (Table 1). Informed consent for this study was obtained from all subjects. The experimental protocol was carried out in accordance with the Declaration of Helsinki.

Isolation of subpopulations of PBMCs and flow cytometric analysis: PBMCs were freshly isolated from heparinized venous blood using Ficoll–Hypaque (Sigma–Aldrich, St. Louis, MO) as previously described (12). CD4$^+$ T cell and CD14$^+$ monocyte subpopulations were isolated using a magnetic cell sorting

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system in accordance with the manufacturer's protocol (Miltenyi Biotec, Bergisch Gladbach, Germany). Isolated cells were purified by > 90 % as measured by flow cytometric analysis using FACSCalibur ™ flow cytometer (BD Biosciences, San Jose, CA). To assess the expression of TLRs on monocytes, PBMCs were incubated with phosphatidylethanolamine (PE)-labeled anti-TLR2, TLR3, or TLR4 (eBioscience, San Diego, CA) and fluorescein isothiocyanate (FITC)-labeled anti-CD14 antibodies (BD Biosciences) and analyzed by flow cytometry. Data were analyzed using CELLQuest™ Software (BD Biosciences).

Quantitative real-time detection PCR (RTD-PCR): RTD-PCR was performed as previously described (15). Briefly, total RNA obtained from cells using a MicroRNA isolation kit (Stratagene, La Jolla, CA) was reverse-transcribed using 1 μg oligo (dT) primer and Super Script II Reverse transcriptase (Invitrogen, Carlsbad, CA). The relative quantities of mRNA expression were analyzed by RTD-PCR using ABI PRISM 7900 HT Sequence Detection System (Applied Biosystems, Foster City, CA). All primer pairs and probes were obtained from the TaqMan assay reagents library. Expression levels of genes were calculated with the $2^{-\Delta\Delta Ct}$ method using either β-actin or GAPDH as internal control genes.

Apoptotic cell detection assay: Freshly isolated PBMCs were incubated with AIM-V (Invitrogen) serum-free culture media containing 5 or 30 mM glucose at 37°C with 5% CO_2 for up to 24 h. The cells were incubated with FITC-labeled anti-CD4, CD14, or CD56 antibodies (BD Biosciences) and with PE-labeled Annexin-V and 7-amino-actinomycin D (7-AAD) (BD Biosciences) in PBS containing 2% BSA (Sigma–Aldrich). Apoptotic cells were determined by flow cytometry as the fraction of cells labeled with Annexin-V that were

7-AAD negative. At least 10,000 cells per sample were analyzed.

Phagocytosis assay: Phagocytotic activity was assessed using Phagotest Kit (Orpegen Pharma, Heidelberg, Germany) and FITC-labeled opsonized *E. coli* in accordance with manufacturer's protocol. Briefly, heparinized whole blood obtained from the 33 patients with diabetes and 28 healthy volunteers was incubated with FITC-labeled *E. coli* for 10 min at 37°C. After removing the erythrocytes, the remaining cells were incubated with propidium iodide to detect viable leukocytes by flow cytometry. Monocyte populations were assessed based on cellular granularity and size as side scatter and forward scatter, respectively, and FITC-positive cells were assessed as monocytes with phagocytosed FITC-labeled *E. coli*.

TLR ligand stimuli and expression of pro-inflammatory cytokine genes: Peptidoglycan (PGN) (1 μg/ml) from *Streptomyces sp.* (Sigma–Aldrich), Poly (I:C) (5 μg/ml) (Sigma–Aldrich), and Lipopolysaccharide (LPS) (2 μg/ml) from *E. coli* (Sigma–Aldrich), which are TLR2, TLR3, and TLR4 ligands, respectively, were added to monocytes (3 × 10^5cells) freshly isolated from the 33 patients and 28 healthy volunteers in AIM-V media. Before and 3 h after incubation, the expression of tumor necrosis factor-α (TNF-α) and interleukin-1β (IL-1β) was analyzed by RTD-PCR.

Analysis of gene expression by DNA microarray: Total RNA was obtained from $CD14^+$ monocytes using MicroRNA isolation kit (Stratagene, La Jolla, CA), and the mRNA was amplified twice using the Amino-allyl MessageAmp aRNA Kit (Ambion, Austin, TX). The reference RNA sample was isolated from $CD14^+$ monocytes from a 30-year-old healthy male volunteer and amplified in the same manner. Amplified mRNA was labeled with Cyanine (Cy) 5 or Cy3 (Amersham, Buckinghamshire, UK).

Equal amounts of the amplified mRNAs were hybridized to an oligo-DNA chip (AceGene®Human Oligo Chip 30K; Hitachi Software Engineering Co., Yokohama, Japan) overnight and washed prior to image scanning.

The fluorescence intensity of each spot on the oligo-DNA chip was obtained using cDNA Microarray Scan Array G (PerkinElmer, Wellesley, MA). The obtained images were quantified using DNAsis array v2.6 software (Hitachi Software Engineering Co.). For normalization, the intensity of each spot with oligo DNA was subtracted from that of spots without oligo DNA in the same block. The spot was validated when the intensity was within the intensity plus or minus a 2-fold range of standard deviation within each block. By calibrating the median as the base value, the intensities of all spots were adjusted for normalization between Cy5 and Cy3. Hierarchical clustering of gene expression was calibrated using the method described above using BRB Array Tools (http://linus.nci.nih.gov/BRB-ArrayTools.htm l). The non-filtered data were log-transformed and applied to the average linkage clustering with centered correlation. For the functional analysis of the 813 upregulated genes, we used GenMAPP (http://www.genmapp.org), a computer program designed for viewing and analyzing genome-scale data on MAPPs representing biological pathways and any other groups of genes.

Electron microscopy: Monocytes obtained from 3 healthy volunteers and 3 patients with diabetes were fixed with 2.5% glutaraldehyde, and then post-fixed in 1% (v/v) cacodylate-buffered osmium tetroxide. Samples were dehydrated in a graded series of ethanol, transferred to propylene oxide, and embedded in Epon-Araldite. Ultrathin sections were obtained and observed under a Hitachi H-7500 electron microscope (Hitachi High-Technologies Co., Hitachinaka, Japan).

Caspase-3 assay and enzyme-linked immunosorbent assay (ELISA) of cytokines: Monocytes from a healthy volunteer were harvested and treated with tunicamycin (1 or 5 µg/ml) in AIM-V media. Every 3 h up to 12 h after tunicamycin treatment, we assessed apoptosis by flow cytometry as described above. After 12 h of incubation, the expression levels of BCL-2, C/EBP homologous protein (CHOP) and (immunoglobulin heavy chain binding protein) BiP were assessed by RTD-PCR. The DEVD-cleaving activity of active caspase-3 was measured using labeled Asp-Glu-Val-Asp-p-nitroanilide (DEVD-pNA) as the substrate and the Caspase-3 Colorimetric Assay Kit (Promega, Madison, WI) in accordance with manufacturer's protocol. The pNA light emission was quantified using a microtiter plate reader at a wavelength of 405 nm. In addition, we measured the production of pro-inflammatory cytokines by RTD-PCR 6 h after treatment of monocytes (3×10^5 cells) with tunicamycin (1 or 5 µg/ml) or the TLR ligands PGN (1 µg/ml), Poly (I:C) (5 µg/ml), and LPS (2 µg/ml). The concentrations of TNF-α, IL-1β, and IL-6 in the culture supernatants were measured using ELISA kit (eBioscience).

Statistical analysis: Data are expressed as means ± SEM. The Mann–Whitney U test was applied to assess the significant differences between the two groups. Statistical significance was determined as $* P < 0.05$, $** P < 0.01$, $*** P < 0.001$.

RESULTS

Increased apoptosis of CD14$^+$ monocytes from patients with diabetes: We first assessed the frequency of apoptosis in the PBMC fractions from 33 patients with diabetes and 28 non-diabetic, healthy volunteers. Apoptosis of the isolated cells was assessed after 3 h incubation in AIM-V

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serum-free media containing 5 mM glucose (physiological concentration in blood). As shown in Fig. 1A, a significant difference in the frequency of apoptosis was observed in the PBMCs isolated from patients with diabetes and healthy volunteers. Adding serum to AIM-V serum-free media did not affect the difference in apoptosis (data not shown). The numbers of whole PBMCs, $CD4^+$, $CD14^+$, and $CD56^+$ cells were similar in both diabetic and healthy subjects (data not shown). $CD14^+$ monocytes were observed to be the major contributor to the increased apoptosis measured in the PBMCs. In contrast, apoptosis of $CD4^+$ T cells and $CD56^+$ NK cells were not significantly different between the two groups (Fig. 1A). When the incubation period in culture media with or without serum was extended to 24 h, about 20% of the $CD56^+$ NK cells of both patients with diabetes and healthy volunteers were induced to undergo apoptosis. When incubation period was extended to 5 days, about 5% of $CD4^+$ T cells of both patients with diabetes and healthy volunteers were induced to undergo apoptosis; there was no significant difference in cell viability of $CD56^+$ NK cells and $CD4^+$ T cells between the two groups (data not shown). BCL-2 expression of $CD4^+$ T cells was not different between the two groups (data not shown). Apoptosis of PBMC subpopulations incubated in culture media containing 30 mM glucose was not different from cells incubated in 5 mM glucose-containing media (data not shown). Moreover, the susceptibility of PBMCs from patients with diabetes to apoptosis was not related to clinical features such as vascular complications, insulin treatment, and FPG concentrations (data not shown).

However, among the 33 patients with diabetes, the frequency of apoptotic $CD14^+$ monocytes from those with poor glycemic control (HbA$_{1c}$ ≥ 9.0) was elevated compared to patients with fair glycemic control (HbA$_{1c}$ < 9.0) (Fig. 1B). Furthermore, after 3 h incubation, the increased ratio of the expression of the anti-apoptotic gene, BCL-2, was substantially lower in monocytes from the 15 patients with HbA$_{1c}$ ≥ 9.0 compared to the 18 patients having HbA$_{1c}$ < 9.0, as assessed by RTD-PCR (Fig. 1C). These data suggest that the monocytes of patients with diabetes are susceptible to apoptosis, especially under conditions of poor glycemic control.

Attenuated function of monocytes from patients with diabetes: To determine if functional alterations exist in monocytes isolated from the 33 patients with diabetes, we cocultured the monocytes with FITC-labeled *E. coli* and counted the number of fluorescent monocytes indigeting the labeled *E. coli* by flow cytometry. The ratio of monocytes that phagocytosed *E. coli* to all monocytes in patients with diabetes was higher than in the healthy volunteers (Fig. 2A and B). No significant correlation was observed between the ratio of phagocytosed *E. coli* and HbA$_{1c}$ levels among the patients (data not shown).

Next, we assessed the responsiveness of monocytes to external pathogenic stimuli *in vitro*. Monocytes typically express pattern-recognition molecules such as the TLRs that are important for innate immunity against various pathogens (13, 14). The expression levels of TLR2, TLR3, and TLR4 were not significantly different between monocytes from patients with diabetes and those from healthy volunteers, as assessed by RTD-PCR (Fig. 3A) and flow cytometry (data not shown). We also found that transcriptional expression of TLR signal molecules (MyD88, IRAK1, and TRAF6 for TLR2 and TLR4 signaling and TRIF for TLR3 signaling) was not altered in diabetic monocytes compared to non-diabetic monocytes (data not shown). Next, we exposed the monocytes from the patients with diabetes and healthy volunteers to the TLR ligands, PGN (a TLR2 ligand),

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Poly (I:C) (a TLR3 ligand), and LPS (a TLR4 ligand) and measured the expression of the pro-inflammatory cytokine genes, TNF-α and IL-1β. After incubation, the expression of the cytokines was not significantly different between the groups (Fig. 3B), but the responsiveness to PGN, Poly (I:C), and LPS was significantly attenuated in monocytes from patients with diabetes compared to those from healthy volunteers as assessed by RTD-PCR (Fig. 3C and D). These results demonstrate that the monocytes of patients with diabetes are functionally impaired, which implies that they could contribute to immune deficiency in diabetes.

ER stress is a molecular feature of impaired monocytes: To elucidate the molecular features of the diabetic monocytes that were distinctly susceptible to apoptosis, DNA microarray analysis was performed on CD14⁺ cells isolated from five randomly selected patients with diabetes and five healthy volunteers. These subjects demonstrated clinical features near the median of all study subjects. Unsupervised hierarchical clustering analysis was performed to assess the gene expression profiles of monocytes obtained from patients with diabetes and healthy volunteers; 17,184 filtered genes were evaluated after excluding genes that were not expressed or those with low expression levels that prevented their analysis in 50 % of the cases. As shown in Fig. 4A, two completely discernible clusters formed between the patients with diabetes and the healthy volunteers.

We identified 813 genes that were up-regulated in the monocytes from patients with diabetes compared to those of healthy volunteers ($P < 0.05$, student's t test). Analysis of the biological processes concerning these genes was performed using GenMAPP. The identified genes were shown to be involved in posttranslational protein modification systems occurring in the Golgi-apparatus or were involved in ER stress

(Table 2 and Supplemental Table 1 which can be found in an online appendix at http://diabetes.diabetesjournals.org). The elevated expression of genes related to ER stress, such as CHOP and BiP, was confirmed using RTD-PCR; the expression of these genes was significantly higher in the monocytes from the 33 patients with diabetes than in those from the 28 healthy volunteers (Fig. 4B). In contrast, no significant difference in the expression of these genes was observed in CD4⁺ T cells from patients with diabetes and healthy volunteers (Fig. 4C).

Electron microscopy further confirmed ER stress in the monocytes derived from patients with diabetes. As shown in Fig. 4D, morphological alterations of the ER such as corruption of concentric, continuous and regular layer structure and a decreased number of ribosomes on the ER membrane were evident from the electron photomicrographic images.

ER stress-induced apoptosis and attenuation of TLR signaling in human monocytes: The results described above indicated that the monocytes from patients with diabetes have compromised immunological function and that ER stress is a distinct feature in these cells. To determine whether ER stress could be a mechanism underlying the observed increase in apoptosis and decreased responsiveness to TLR ligands, CD14⁺ cells isolated from a healthy volunteer were treated with the ER stress inducer, tunicamycin (1 μg/ml), in AIM-V media. As shown in Fig. 5A and B an increased number of apoptotic cells was observed among monocytes treated with tunicamycin compared to untreated monocytes after more than 6 h incubation. Treatment of monocytes with a higher concentration of tunicamycin (5 μg/ml) induced more apoptosis (Fig. 5A and B), and when monocytes were treated with tunicamycin for 12 h, the activity of the pro-apoptotic protease, caspase-3,

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significantly increased (Fig. 5*C*). Treatment with tunicamycin coordinately decreased the expression of BCL-2 (Fig. 5*D*) and increased the expression of the ER stress markers, CHOP and BiP (Fig. 5*E*). These results suggest that ER stress promotes apoptosis of human monocytes.

Next, we investigated how tunicamycin-induced ER stress affected the responsiveness of human monocytes to TLR ligands. Treatment of monocytes with tunicamycin for 6 h did not affect the transcriptional and translational expression of TLR2 and TLR4 (data not shown). As shown in Fig. 6*A–C*, however, the expression of the pro-inflammatory cytokines TNF-α, IL-1β, and IL-6 were down-regulated after stimulation with TLR2 and TLR4 ligands. Furthermore, the production of TNF-α, IL-1β, and IL-6 in media was measured by ELISA and found to decrease after treatment of human monocytes with tunicamycin and after stimulation with TLR2 or TLR4 ligands (Fig. 6*D–F*). However, tunicamycin-induced ER stress did not affect these expression after treatment of monocytes with the TLR3 ligand, Poly (I:C) (data not shown).

DISCUSSION

In the present study, we observed that PBMCs from patients with diabetes were more susceptible to apoptosis compared to PBMCs from healthy volunteers and that CD14⁺ monocytes comprised the primary PBMC subpopulation undergoing apoptosis. We also found that CD14⁺ monocytes from patients with diabetes were hypo-responsive to TLR ligands and that they had attenuated phagocytotic activity. Transcriptional analysis and electron microscopy revealed the presence of ER stress in the affected diabetic monocytes. Consistently, monocytes isolated from non-diabetic patients showed a similar increase in apoptosis and a weakened response to TLR ligands, when they were treated with tunicamycin, indicating that ER

stress may be a pivotal mechanism underlying the decreased immunological function observed in patients with diabetes.

As innate immune-defense mediators, monocytes are capable of ingesting exogenous pathogens to protect the host from infectious diseases. Previous studies have shown that phagocytosis in diabetic neutrophils and monocytes is attenuated (10, 11). Similarly, in our study population, monocytes from patients with diabetes were less capable of phagocytosing *E. coli* pathogens compared to monocytes derived from healthy volunteers. This novel finding might explain, at least in part, the decrease in immune function characteristic of patients with diabetes (16). Nevertheless, the detailed mechanisms underlying diabetes-induced decreases in phagocytotic activity remain unclear, since simple high glucose concentration did neither affect the phagocytotic activity and TLR expression, nor induce ER stress in non-diabetic monocytes *in vitro* (data not shown).

The TLRs are pattern recognition receptors that are important for recognizing pathogens, inducing pro-inflammatory responses, and preventing the host from acquiring infectious diseases (17–20). The expression of TLR2, TLR3, and TLR4 in CD14⁺ monocytes was similar between patients with diabetes and healthy volunteers. The administration of a high dose of insulin downregulates TLRs expression (21). Transformed monocyte-lineage blastoma cells showed increased TLR expression under hyperglycemic conditions in vitro (22). Type 2 diabetes is characterized as a state of inadequately controlled glycemia associated with hyperinsulinemia due to peripheral insulin resistance (1). Taken together, the TLRs expression may be affected by hyperglycemia and hyperinsulinemia in a complex manner. In contrast to the previous finding that monocytes from patients with diabetes were hypersensitive to the TLR

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ligand, LPS (23, 24), we observed that the TNF-α and IL-1β expression from monocytes derived from patients with type 2 diabetes diminished after exposure to PGN, Poly I:C, and LPS—ligands of the TLR2, TLR3, and TLR4 receptors, respectively. These data suggest that diabetes perturbs signaling downstream of the TLRs. In this study, we collected CD14$^+$ monocytes from PBMCs via enrichment using magnetic beads; this protocol was used to remove T cells, NK cells, B cells, dendritic cells, and basophils from the PBMC mixture. This is in contrast to the methodology used to isolate these cells in many other studies, in which monocytes were obtained as adherent cells in the culture dish or by a rosetting technique (25, 26). CD14$^+$ cells have been shown to be composed of multiple subtypes of activated states; the classical monocyte-isolation methods used in the other studies might unknowingly remove the fraction of monocytes that are susceptible to apoptosis (27). Over half of the CD14$^+$ diabetic monocytes isolated in this study were dead for 12 h culture even in media containing physiological concentration of glucose (data not shown). Our current data showing attenuation of TLR responsiveness to ligands in diabetic monocytes suggests that initial immune responses that are normally triggered by viruses, bacteria, and parasites could be impaired in diabetes, which is consistent with epidemiological data showing a high incidence of infection in patients with diabetes (3–5).

Gene expression and electron microscopic analysis of monocytes derived from patients with diabetes showed active signatures of ER stress; this is important because ER is an organelle essential for the proper folding and glycosylation of proteins after protein synthesis (28). When cells are under ER stress, protein kinase R-like ER kinase, inositol requiring enzyme 1, and activating transcription factor 6 are activated and function in the adaptation to stress, proper

folding of proteins, and removal of harmful unfolded proteins, respectively (29, 30). However, prolonged ER stress leads to apoptotic cell death, which is mediated by CHOP (31). CHOP is a crucial and specific molecule for ER stress-induced apoptosis and alters the transcription of the BCL-2 gene family members (32). The current study showed that diabetic monocytes had increased levels of ER stress-related apoptotic molecules. Moreover, non-diabetic monocytes treated with tunicamycin, an ER stress inducer, underwent apoptosis in a manner similar to monocytes derived from patients with diabetes. From these data, we conclude that ER stress contributes to the susceptibility of diabetic monocytes to apoptosis.

We also observed that tunicamycin-induced ER stress diminished TLR2 and TLR4 signaling without altering expression of TLRs. Tunicamycin induces ER stress by disturbing N-linked glycosylation (33), and previous reports suggest that perturbations in this glycosylation attenuates TLR2 and TLR4 signaling *in vitro* (34, 35). Hence, these data collectively indicate that ER stress may underlie decreases in TLR2 and TLR4 signaling and affect immune function in patients with diabetes.

TLR3 signaling is different from the other TLR signaling pathway; for example, it is independent of MyD88. TLR2 and TLR4 are expressed on the cell surface, whereas TLR3 is expressed in intracellular compartments such as endosomes (13), and its ligands require internalization before signaling occurs. This suggests that disturbances in TLR3 signaling in diabetic monocytes may be due to reasons other than ER stress. Further investigations are needed to elucidate the detailed mechanisms of attenuated TLR signaling in monocytes from patients with diabetes.

ER stress has been shown to be a mainstay of the diabetic condition. Its pathological importance in diabetes is

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especially important in pancreatic β-cells, in which glucose toxicity results in ER stress and insufficient insulin secretion (36–38). The current study suggests that monocytes are yet another population of cells vulnerable to hyperglycemia-induced ER stress and dysfunction. Nevertheless, the mechanisms that render pancreatic β-cells and monocytes vulnerable to ER stress in patients with diabetes remain uncertain.

Diabetes is considered a chronic inflammatory disease. Activated macrophages that produce pro-inflammatory cytokines such as TNF-α, IL-1β and IL-6 are thought to contribute to insulin resistance in muscle and adipose tissues (39, 40). Furthermore, the atherosclerotic complications in patients with diabetes have a basis in inflammation; local inflammatory foci in atherosclerotic lesions are commonly composed of foam cells derived from activated macrophages (41, 42). Further studies are needed to determine whether different subpopulations of monocyte-derived cells, for example, systemically circulating and locally residing inflammatory cells, are susceptible to hyperglycemia-induced ER stress and dysfunction.

In conclusion, our findings show that CD14$^+$ monocytes are susceptible to ER stress-induced alterations in inflammatory signaling and apoptosis, which may play a role in the decreased immune function observed in patients with diabetes. Further investigations are needed to discern the mechanisms of diabetes-induced ER stress and perturbations in inflammatory signaling in CD14$^+$ monocytes.

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Table 1 Characteristics of the study subjects

	Diabetic patients (*n*=33)	Healthy volunteers (*n*=28)	*P* value
Age (years)	62.0±8.6	58.2±10.2	N.S
Gender (male/female)	15/18	15/13	N.S
Body mass index	23.5±4.2	23.6±4.8	N.S
White blood cell counts (/ml)	4800±1700	5600±1900	N.S
Lymphocytes (%)	23.5±3.5	22.7±2.5	N.S
Monocytes (%)	5.2±1.6	6.1±2.3	N.S
Hemoglobin (g/dl)	14.1±1.3	13.6±1.6	N.S
Total cholesterol (mg/dl)	182±24	180±35	N.S
Triglyceride (mg/dl)	138±37	163±33	N.S
FPG (mg/dl)	185±38	86±7.4	<0.001
HbA$_{1c}$ (%)	9.2±2.0	5.4±0.7	<0.001
Diabetic complications (+/-) *	19/14	N.A	
Insulin treatment (+/-)	10/23	N.A	

Data are expressed as means±SD.
* Diabetic complications: nephropathy, neuropathy, retinopathy, macroangiopathy

Table 2 Biological processes for up-regulated genes in monocytes of diabetic patients

MAPP Name	Z Score	Permute *P*
Golgi-apparatus	3.383	0.000
Ribosomal Proteins	3.691	0.002
Unfold protein binding	2.471	0.026
Intracellular protein transport	2.310	0.029
Enzyme liked receptor protein signaling pathway	2.175	0.042
Nuclear Receptor	2.316	0.043
Gametogenesis	-1.998	0.049

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FIGURE LEGENDS

FIG. 1

Monocytes contributed to the vulnerability of the PBMCs in patients with diabetes. (A)PBMCs were obtained from 33 patients with diabetes and 28 healthy volunteers. Isolated PBMCs were harvested in AIM-V serum-free culture media supplemented with 5 mM glucose for 3 hours and incubated with FITC-labeled anti-CD4, CD14, or CD56 antibodies, together with PE-labeled Annexin-V to assess the frequency of apoptotic cells in each subpopulation of PBMCs. Apoptotic cells were identified by double-staining with PE-labeled Annexin-V and 7-AAD by flow cytometry. The frequencies of apoptotic cells determined as the Annexin-V-positive and 7-AAD-negative population are expressed as mean ± SEM with statistical comparisons for both groups. The non-parametric Mann-Whitney U test was used to calculate the P value. $*P < 0.05$ $***P < 0.01$ $***P < 0.001$. The PBMCs of patients with diabetes were more susceptible to apoptosis than those of healthy volunteers, and $CD14^+$ monocytes were contributors. (B) Among the 33 patients with diabetes, those with poor glycemic control reflected as $HbA_{1c} \geq 9.0$ were more susceptible to apoptosis in $CD14^+$ monocytes. Data are expressed as mean ± SEM with a statistical comparison of both groups. $*P < 0.05$. (C) Monocytes were isolated from 15 patients with $HbA_{1c} \geq 9.0$ and 18 patients with $HbA_{1c} < 9.0$. The expression of the BCL-2 gene in their monocytes before and after incubation in AIM-V serum-free media was assessed by RTD-PCR. After 3 h incubation, the expression of BCL-2 was not upregulated in the poor glycemic control group ($HbA_{1c} \geq 9.0$), as compared to the fair control group ($HbA_{1c} < 9.0$). Data are expressed as mean ± SEM with statistical comparisons of both groups. $*P < 0.05$.

FIG. 2

Attenuated phagocytosis activity in diabetic monocytes. Whole PBMCs were incubated with FITC-labeled *E. coli* for 10 minutes followed by PI staining and flow cytometric analysis. (A) Gated PI-positive populations were viable leukocyte populations (upper panel). The monocyte population was assessed using granularity (SSC) and size (FSC) (middle panel). For the gated cells indicating viable monocytes, FITC-positive cells were assessed as monocytes containing phagocytosed FITC-labeled *E. coli*. (lower panel). (B) The frequency of monocytes containing phagocytosed *E. coli* in patients with diabetes was less than that in healthy volunteers. Data are expressed as means ± SEM. $*P < 0.05$.

FIG. 3

Hyporesponsiveness to TLR ligand stimuli by the monocytes of patients with diabetes. (A)-(D) Isolated $CD14^+$ monocytes from 33 patients with diabetes and 28 healthy volunteers were cultured in AIM-V serum-free media supplemented with each TLR ligand: PGN, Poly (I:C), and LPS. After 3 h incubation, RNA was isolated from the monocytes and the expression levels of the TNF-α and IL-1β genes were analyzed by RTD-PCR. The basal (pre-stimuli) expression of (A) TLR2, TLR3, and TLR4 and (B) TNF-α and IL-1β did not differ significantly between the two groups. The TLR ligand-induced expression of (C) TNF-α and (D) IL-1β was downregulated in the monocytes of patients with diabetes. Data are expressed as means ± SEM. $*P < 0.05$, $**P < 0.01$.

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FIG. 4

Monocytes of patients with diabetes were under ER stress. (A) The gene expression profiles of representative vulnerable CD14$^+$ monocytes obtained from five patients with diabetes and five healthy volunteers were analyzed using a DNA microarray. Unsupervised hierarchical clustering using 17,184 filtered genes produced two clusters that separated the patients with diabetes from the healthy volunteers without exception. (B)–(C), The gene expression levels of the ER stress markers, such as CHOP and BiP, on CD14$^+$ monocytes and CD4$^+$ T cells obtained from 33 patients with diabetes and 28 healthy volunteers was analyzed using RTD-PCR. (B) The expression levels of CHOP and BiP in monocytes of patients with diabetes were significantly upregulated, as compared to the monocytes of healthy volunteers. Data are expressed as means ± SEM. *P < 0.05, **P < 0.01. (C) The expression levels of CHOP and BiP in T cells of patients with diabetes were similar to those of healthy volunteers. Data are expressed as mean ± SEM. (D) Monocytes were obtained from three healthy volunteers and three patients with diabetes (Healthy volunteer 1: 64-year-old man, HbA$_{1c}$ 5.7%; Healthy volunteer 2: 66-year-old man, HbA$_{1c}$ 4.9%; Healthy volunteer 3: 68-year-old woman, HbA$_{1c}$ 5.6%; Diabetic patient 1: 56-year-old man, HbA$_{1c}$ 9.1%; Diabetic patient 2: 64-year-old woman, HbA$_{1c}$ 8.2%; Diabetic patient 3: 71-year-old man, HbA$_{1c}$ 10.2%) and examined them using electron microscopy. In the three patients with diabetes, the concentric, continuous, and regular layer structures of the ER were corrupted, with fewer ribosomes on the ER membrane compared to the ER of the healthy volunteer. ER, endoplasmic reticulum; N, nucleus; M, mitochondrion. Scale bars indicate 100 nm.

FIG. 5

ER stress enhanced the susceptibility of human monocytes to apoptosis. (A)–(B) Human CD14$^+$ monocytes obtained from a healthy volunteer were incubated in AIM-V culture media supplemented with tunicamycin (1 or 5 μg/ml). The frequency of apoptotic cells was analyzed by flow cytometry every 3 h for 12 h. More apoptotic cells were observed among monocytes treated with tunicamycin for more than 6 h incubation, as compared to untreated monocytes. (A) Representative scattergram of Annexin-V and 7-AAD for monocytes treated with tunicamycin. The numbers in each quadrant indicate the percentage of apoptotic cells. (B) Apoptotic cells were assessed in triplicate for each condition. Data are expressed as means ± SEM. (C) Caspse-3 activity in monocytes treated with tunicamycin increased significantly at 12 h incubation. (D) The BCL-2 expression in monocytes incubated with tunicamycin for 12 h was down-regulated. (E) The expression levels of the ER stress markers CHOP and BiP in monocytes incubated with tunicamycin for 12 h were significantly up-regulated. Data are expressed as means ± SEM of three independent experiments. Open bars, no treatment; shaded bar, treatment with tunicamycin (1 μg/ml); solid bar, treatment with tunicamycin (5 μg/ml). TM, tunicamycin.

FIG. 6

Expression of pro-inflammatory cytokines in response to TLR ligand stimuli decreased in human monocytes treated with tunicamycin. Isolated human CD14$^+$ monocytes were incubated in AIM-V culture media with tunicamycin (1 or 5 μg/ml) and stimulated using TLR ligands, PGN, and LPS for 6 h. A–C, RTD-PCR analysis showed that the expression of TNF-α (A), IL-1β (B),

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