

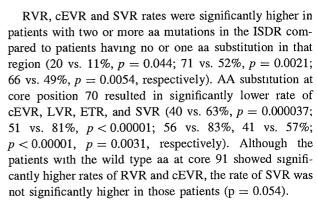
Fig. 1 Relationship between time course of serum HCV RNA negativity and amino acid substitutions in the ISDR and core amino acids 70 and 91. For cases with no or only one amino acid (aa) change in the ISDR, the rates of cEVR, LVR, ETR and SVR were significantly higher in patients with wild type core aa 70 but only the rates of RVR, cEVR, and LVR were significantly higher in patients with wild type core aa 91

## Amino acid substitutions

There were no significant differences in the frequency of aa substitutions in the ISDR between males and females. Core aa substitutions at positions 70 and 91 were as follows; 291 (71.1%) were wild type and 118 (28.9%) were mutant at core aa 70, and 251 (61.4%) were wild type and 158 (38.6%) were mutant at core aa 91. There were no significant differences between males and females and between patients below and above 60 years of age.

## Virological responses and aa substitutions

The rate of RVR did not differ significantly between males and females. However, more male patients showed HCV RNA negativity at 12 weeks (males vs. females; 60.7 vs. 48.4%, p=0.018), 24 weeks (76.8 vs. 64.2%, p=0.0078) and 48 weeks (78.2 vs. 68.6%, p=0.049), and the proportion of male patients in SVR was significantly higher than that of females (61.3 vs. 37.3%, p<0.00001).



SVR rates were 30% for patients with no or one aa substitution in the ISDR and the core aa 70 substitution, and were significantly lower compared to those with the wild type aa core 70 (Fig. 1). These findings were not confirmed in patients with no or one aa substitution in the ISDR and the core aa 91 substitution (Fig. 1).

## Factors affecting SVR by univariate analysis

Univariate analysis identified nine parameters that influenced non-SVR significantly: female gender, older age, advanced staged liver fibrosis, high viral load, low serum Alb level, low PLT count, no or one as substitution in the ISDR, as substitution at core as 70, and low adherence to RBV (Table 2). The frequency of steatosis and HOMA-IR were significantly (p=0.0057, p<0.00001, respectively) lower in patients with SVR compared with non-SVR (data not shown). However, these factors were not entered in the multivariate analysis because of the absence of the data in many cases.

Factors affecting RVR, cEVR, and SVR by multivariate logistic regression analysis

Multivariate analysis identified four parameters that influenced RVR independently: low HCV RNA load, low serum ALT level, two or more aa mutations in the ISDR and the wild type aa at core position 91 (Table 3).

Concerning cEVR, male gender, mild fibrosis stage, low HCV RNA load, two or more as mutations in the ISDR, and the wild type as at core positions 70 and 91 were independent predictors (Table 3).

Concerning SVR, male gender (p < 0.0001), low HCV RNA load (p = 0.013), high PLT count (p = 0.0019), two or more an mutations in the ISDR (p = 0.024), and wild type core aa 70 (p = 0.0045) were found to be independent predictors (Table 3).

The predictive values of the combination of gender, PLT count, ISDR and core aa 70 are shown in Fig. 2a. In male patients having PLT of  $<15 \times 10^4/\text{mm}^3$ , and, no or one aa substitution in the ISDR, the SVR rate was 68% when core 70



Table 2 Univariate analysis to identify the factors of SVR

Factors	Negative of HCV RNA after 24 weeks		p value
	(-)	(+)	
No. of patients	214 (52.3%)	195	
Gender			
Male	157 (61.3%)	99	< 0.00001
Female	57 (37.3%)	96	
Age			
Median (range)	52.5 (18-75)	58 (20–74)	< 0.00001
<60 years	155 (58.1%)	112	0.0018
60 years≤	59 (41.5%)	83	
Age: <60 years			
Male	118 (63.4%)	68	0.010
Female	37 (45.7%)	44	
Age: 60 years≤			
Male	39 (55.7%)	31	0.0011
Female	20 (27.8%)	52	
F stage			
F0-2	190 (58.5%)	135	0.000013
F3-4	25 (29.8%)	59	
Grade (A factor)			
A0-1	138 (56.8%)	104	0.130
A2-3	81 (48.5%)	86	
HCV RNA load 0 week (1	· · ·		
Median (range)	1300 (100–5000<)	1700 (130-5000<)	0.016
ALT 0 week (IU/L)	2000 (200 2000 3)	2000 (220 2000 4)	
Median (range)	66 (16–391)	67 (19–504)	0.892
BMI	35 (25 552)	27 (25 20 1)	****
Median (range)	23.0 (17.3-32.4)	23.25 (16.1–33.9)	0.714
Alb (g/dL)	23.0 (17.3 32.1)	25.25 (10.1 55.5)	0.721
Median (range)	4.0 (3.2-5.2)	3.8 (3.0-4.8)	0.0088
LDL-C (mg/dL)	4.0 (3.2 3.2)	3.6 (3.0 4.0)	0.0000
Median (range)	94.5 (31–185)	97.5 (30–182)	0.611
T-Chol (mg/dL)	)4.5 (31=165)	77.5 (50 TOZ)	0.011
Median (range)	169.5 (85–257)	170 (103–273)	0.511
PLT count (×10 <sup>4</sup> /mm <sup>3</sup> )	109.3 (83–237)	170 (103–273)	0.511
Median (range)	18.2 (8.7–39.9)	15.1 (8.0–31.9)	< 0.00001
<15	54 (36.5%)	94	< 0.00001
			<0.00001
15≤	160 (61.3%)	101	
Amino acid mutation of IS		165	0.0054
0-1	156 (48.6%)	165	0.0054
2≤	58 (65.9%)	30	
Amino acid substitution of		105	0.0021
Wild	166 (57.0%)	125	0.0031
Mutant	48 (40.7%)	70	
Amino acid substitution of			
Wild	141 (56.2%)	110	0.054
Mutant	73 (46.2%)	85	
PEG-IFN adherence			
<80%	35 (42.2%)	48	0.063
80%≤	154 (53.8%)	132	
Ribavırın adherence			
<80%	55 (43.3%)	72	0.048
80%≤	132 (54.5%)	110	



Table 3 Multivariate logistic regression analysis to identify independent predictive factors of RVR, cEVR, and SVR

	Odds ratio	95% CI	p value
RVR factors selected by stepwise method			
F stage			
F0-2/F3-4	2.924	0.988-8.696	0.053
HCV RNA load 0 week (KIU/mL)			
<1000/1000≤	2.151	1.130-4.082	0.020
ALT 0 week (IU/L)			
<60/60≤	2.165	1.127-4.149	0.020
Amino acid mutation of ISDR			
2 0-1</td <td>2.371</td> <td>1.187-4.735</td> <td>0.014</td>	2.371	1.187-4.735	0.014
Amino acid substitution of core 91			
W/M	2.137	1.021-4.464	0.044
cEVR factors selected by stepwise method			
Gender			
Male/female	1.912	1.209-3.021	0.0055
F stage			
F0-2/F3-4	2.079	1.133-3.817	0.018
HCV RNA load 0 week (KIU/mL)			
<1000/1000≤	1.608	1.002-2.577	0.049
PLT count (×10 <sup>4</sup> /mm <sup>3</sup> )			
15≤/<15	1.427	0.882-2.309	0.148
Amino acid mutation of ISDR			
2 01</td <td>2.512</td> <td>1.407-4.485</td> <td>0.0018</td>	2.512	1.407-4.485	0.0018
Amino acid substitution of core 70			
W/M	2.513	1.508-4.184	0.0004
Amino acid substitution of core 91			
W/M	1.965	1.241-3.115	0.004
SVR factors selected by stepwise method			
Gender			
Male/female	3.704	2.132-6.410	< 0.0001
F stage			
F0-2/F3-4	1.812	0.888-3.690	0.103
HCV RNA load0 week (KIU/mL)			
<1000/1000≤	2.024	1.163-3.534	0.013
PLT count ( $\times 10^4$ /mm <sup>3</sup> )			
15≤/<15	2.469	1.394-4.372	0.0019
Amino acid mutation of ISDR			
2≤/0–1	2.148	1.107-4.170	0.024
Amino acid substitution of core 70			
W/M	2.415	1.316-4.444	0.0045
Amino acid substitution of core 91			
W/M	1.433	0.828-2.481	0.199
PEG adherence (%)			
80 <80</td <td>1.562</td> <td>0.834-2.926</td> <td>0.164</td>	1.562	0.834-2.926	0.164

W Wild, M Mutant

was a wild type but only 16% in patients with mutant at core 70. In female patients, no or one as substitution in ISDR and  $<\!15\times10^4/\text{mm}^3$  of PLT count, the SVR rates were as low as 10 or 8%, irrespective of as substitution at core 70. SVR was

only 24% in patients with substitution of core aa 70 even when the PLT count was  $\geq 15 \times 10^4/\text{mm}^3$ . In this study, the combination analysis of PLT count, ISDR, and core aa substitution was useful for predicting non-SVR.



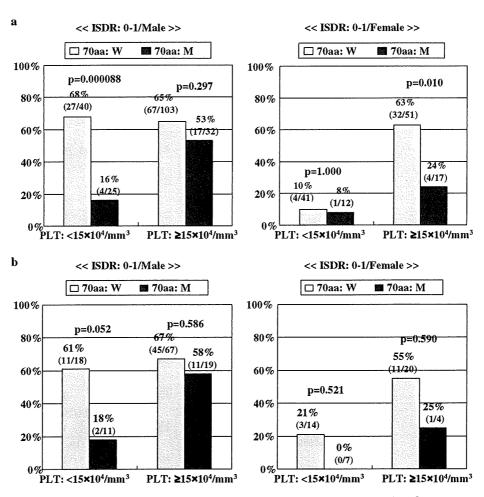


Fig. 2 Relationship between SVR rate and ammo acid substitutions in the ISDR and core amino acids 70 and 91, PLT counts and gender difference. The two figures of a show the results of Study 1 and the two figures of b show the results of Study 2. In male patients with no or only one amino acid (aa) substitution in the ISDR and PLT count of less than  $15 \times 10^4 \text{/mm}^3$ , the SVR rate was 68% in those with wild type core aa 70, but only 16% in patients with mutant type of core aa 70, which is significantly different (p = 0.000088). There were no significant differences between wild type and mutant type of core aa 70 in the patients with no or one aa substitution in the ISDR and PLT count of over  $15 \times 10^4 \text{/mm}^3$ . By contrast, in female patients with no or one aa substitution in the ISDR, there were no significant differences between wild type and mutant type of core aa 70 with PLT

count of less than  $15 \times 10^4/\text{mm}^3$ , but there were significant differences between wild type and mutant type of core aa 70 with PLT counts of less than  $15 \times 10^4/\text{mm}^3$  (a). For the patients maintaining over 80% adherences to both PEG-IFN and RBV. in males having no or one aa substitution in the ISDR and PLT counts of less than  $15 \times 10^4/\text{mm}^3$ , a wild type of core aa 70 could predict SVR with a positive predictive value (PPV) of 61% and negative predictive value (NPV) of 82% (p=0.052). However, in male patients with PLT counts of over  $15 \times 10^4/\text{mm}^3$ , core aa 70 was not a useful marker for predicting SVR and non-SVR. The number of female patients with no or one aa substitution in ISDR was too small to reach a definite conclusion (b)

## Study design 2

The basic features of 201 patients achieving 80% adherences to both PEG-IFN and RBV are as follows: the females were significantly (p=0.00006) older than the males. Iron deposition in liver tissue, alcohol abuse, BMI, serum albumin level, serum ferritin level, and PLT count were significantly higher in males than females. Inflammatory activity was significantly (p=0.046) higher in females than males (data not shown).

AA substitutions in the ISDR were as follows; in males 33 (22.3%) had two or more aa substitutions, in females 8 (15.1%) had two or more aa substitutions. The analysis of core aa position 70 and 91 sequences showed no significant differences in aa substitutions of either core aa 70 or 91 between males and females (data not shown).

In patients less than 60 years of age, SVR rate was significantly higher (p = 0.0042) in males than females, but no significant difference was noted between males and females over 60 years old. However, the number of patients over 60 years was small (Table 4).



Table 4 Univariate analysis to identify the significantly different factors between SVR and non-SVR (201 patients received over 80% adherences of both PEG-IFN and RBV)

Factors	Negative of HCV RNA after 24 weeks		p value
	(-)	(+)	
No. of patients	111 (55.2%)	90	
Gender			
Male	93 (62.8%)	55	0.00037
Female	18 (34.0%)	35	
Age			
Median (range)	51 (18-70)	56 (23–74)	0.00025
<60 years	91 (60.3%)	60	0.014
60 years≤	20 (40.0%)	30	
Age: <60 years			
Male	79 (66.4%)	40	0.0042
Female	12 (37.5%)	20	
Age: 60 years≤			
Male	14 (48.3%)	15	0.243
Female	6 (28.6%)	15	
F stage			
F0-2	103 (60.9%)	67	0.0012
F3-4	8 (25.8%)	23	
Grade (A factor)	• •		
A01	80 (59.3%)	55	0.189
A2-3	31 (47.0%)	35	
HCV RNA load 0 week (			
Median (range)	1300 (110–5000<)	1280 (130-5000<)	0.351
ALT 0 week (IU/L)	,		
Median (range)	74 (16–268)	67.5 (19–504)	0.752
BMI	,		
Median (range)	23.1 (17.3–31.0)	23.6 (16.1–33.9)	0.626
Alb (g/dL)	,		
Median (range)	3.95 (3.3-5.2)	3.9 (3.0-4.8)	0.079
LDL-C (mg/dL)		, ,	
Median (range)	96 (31–185)	97.5 (30–182)	0.865
T-Chol (mg/dL)	, t (e1 101)	,	
Median (range)	170 (85–248)	170 (105–273)	0.624
PLT count (×10 <sup>4</sup> /mm <sup>3</sup> )	1.0 (00 2.0)	( )	
Median (range)	18.9 (8.7–30.9)	15.55 (7.2–28.4)	0.00003
<15	23 (35.9%)	41	0.00024
15≤	88 (64.2%)	49	0.000
Amino acid mutation of	` ,	.,	
0–1	84 (52.5%)	76	0.159
	27 (65.9%)	14	0.105
2≤ Amino acid substitution of	, ,	17	
		57	0.0037
Wild	91 (61.5%)	33	0.0037
Mutant	20 (37.7%)	JJ	
Amino acid substitution		48	0.083
Wild	73 (60.3%)	48	0.065
Mutant	38 (47.5%)	42	



Virological responses and aa substitution

The rates of RVR, cEVR, LVR, ETR and SVR in males and females were 12.5 versus 11.3% (p = 1.000), 59.6 versus 43.4% (p = 0.053), 74.3 versus 50.0% (p = 0.0018), 76.2 versus 66.7% (p = 0.198), and 62.8 versus 34.0%(p = 0.00037), respectively (data not shown). The backgrounds and characteristics of SVR and non SVR patients are shown in Table 4. There were significant differences in gender (male vs. female; p = 0.00037), age (<60 years vs.  $\geq$ 60 years; p = 0.014), F stage (F0-2 vs. F3,4; p = 0.0012), PLT count ( $<15 \times 10^4/\text{mm}^3 \text{ vs. } 15 \times 10^4/\text{mm}^3 \le$ ; p =0.00024), and substitution of core aa 70 (wild type vs. mutant, p = 0.0037) between SVR and non-SVR patients. The distribution of fatty change in liver tissue (≤10% vs. 11-33% vs. 34%<; p = 0.046) and the grade of HOMA-IR (1.7 vs. 3.9, p = 0.0018) were significantly different between SVR and non-SVR (data not described in Table 4).

Factors affecting SVR by multivariate logistic regression analysis

Male gender (p = 0.0006), mild fibrosis stage (p = 0.027), and wild type of core as 70 (p = 0.043) were independent predictors of SVR.

Valuable markers for predictions of sustained virological response to peginterferon and ribavirin therapy

Two or more aa mutations in the ISDR, wild type core aa  $70, \geq 15 \times 10^4/\text{mm}^3$  of PLT count, and male gender were selected statistically as independent predictors of SVR. We show here SVR rates of the patients having over 80% adherences to both PEG-IFN and RBV (Fig. 2b). In males having no or one aa substitution in the ISRD and PLT count of  $<15 \times 10^4/\text{mm}^3$ , wild type core aa 70 could predict SVR with a positive predictive value (PPV) of 61% and negative predictive value (NPV) of 82% (p=0.052). In females, the SVR rate was very low in those who had substitution of core aa 70, but there was no significant difference between patients with wild type and substitution of core aa 70. The number of female patients was too small to provide a definite conclusion.

## Discussion

The present multivariate logistic regression analysis revealed that male gender, low HCV RNA load, high PLT count, and two or more aa mutations in the ISDR and wild type core aa 70 were independent predictors for SVR. PLT

count significantly decreased corresponding to the progression to the stage of liver fibrosis in CHC [9, 30, 31].

It has been considered that the low adherence level to PEG-IFN/RBV is a major cause of a significantly lower SVR rate in females and older patients [32]. The percentage of patients having over 80% adherences to both PEG-IFN and RBV was significantly lower in females than males, however, differences in the adherence to PEG-IFN/RBV between males and females were not independent predictive factors of non-SVR.

A recent report from Japan showed six or more mutations in the variable region 3 (V3) of nonstructural protein 5A (NS5A) plus upstream flanking region NS5A (aa 2334–2379), referred to as the IFN/RBV resistance determining region (IRRDR), was a useful marker for predicting SVR, but the ISDR sequence was not valuable for predicting SVR [33]. However, the number of subjects in that study was too small (n=45) to reach an acceptable conclusion.

To elucidate the factors affecting low SVR rate in older female patients, we performed a multivariate logistic regression analysis using patients who achieved ≥80% adherence to both PEG-IFN and RBV. Male gender, stage of mild liver fibrosis, and wild type core aa 70 were independent predictors of SVR. In this study, blood concentration of RBV was determined in fewer than 50% of cases during treatment. Thus we cannot exclude the possibility of the effect of the blood concentration of RBV during treatment on the low SVR rate in females and older patients.

From the present analysis, it was clear that ALT, BMI, Alb, T. Chol, and adherence to RBV differed significantly between males and females, however, these factors were not independent predictors of SVR. There is a report that steatosis is an important cofactor that reduces the SVR rate in genotype 1 infected patients [34], however, such an effect was not seen in this study. Thus we could not identify the factors associated with a significantly lower SVR rate in females than males.

In the present multivariate logistic regression analyses, patients having wild type core aa 91 had significantly higher rates of RVR and cEVR, but not SVR, and patients with wild type core aa 70 had significantly higher rates of cEVR and SVR, but not RVR. Patients having two or more aa substitutions in the ISDR had significantly higher rates of RVR, cEVR, and SVR. Although several possibilities have been considered concerning the effects of aa substitutions of core protein on SVR in PEG-IFN/RBV therapy for CHC patients, the exact mechanisms have not yet been elucidated.

Recent reports have indicated that low serum IP-10 (interferon-γ inducible protein 10 kDa) [35], a higher HCV-specific CD8 cell proliferation potential [36], and a high ratio of Th1/Th2 [37] are good predictors of SVR to



PEG-IFN/RBV therapy. These results indicate the importance of immunological status and immunological response to treatment in patients difficult to treat with PEG-IFN/RBV therapy for CHC.

The present univariate analyses revealed that there were many factors relating to RVR, cEVR, and SVR including LDL-C, HOMA-IR, fatty change in liver tissue, and hyaluronic acid, however some of these factors had not been examined in some participating institutes. We consider that we must perform a prospective mass study using many factors including immunological aspects, viral factors, disease status, and therapeutic aspects to elucidate the reason that older female patients are resistant to a combination of PEG-IFN and RBV therapy in CHC with a high viral load genotype 1b.

In conclusion, our results demonstrated that wild type core aa 70, two or more aa mutations in the ISDR, low viral load, high PLT counts, and male gender are useful markers for predicting SVR.

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# Enhanced ability of regulatory T cells in chronic hepatitis C patients with persistently normal alanine aminotransferase levels than those with active hepatitis

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SUMMARY. In hepatitis C virus (HCV) infection, the Th1-type immune response is involved in liver injury. A predominance of immunosuppressive regulatory T cells (Treg) is hypothesized in patients with persistently normal alanine aminotransferase (PNALT). Our aim was to clarify the role of Treg in the pathogenesis of PNALT. Fifteen chronically HCV-infected patients with PNALT. 21 with elevated ALT (CH) and 19 healthy subjects (HS) were enrolled. We determined naturally-occurring Treg (N-Treg) as CD4+CD25high+FOXP3+ T cells. The expression of FOXP3 and CTLA4 in CD4+CD25high+ cells was quantified by real-time reverse transcriptase-polymerase chain reaction. Bulk or CD25-depleted CD4+ T cells cultured with HCV-NS5 loaded dendritic cells were assayed for their proliferation and

cytokine release. We examined CD127–CD25–FOXP3+ cells as distinct subsets other than CD25+ N-Treg. The frequencies of N-Treg in patients were significantly higher than those in HS. The FOXP3 and CTLA4 transcripts were higher in PNALT than those in CH. The depletion of CD25+ cells enhanced HCV-specific T cell responses. showing that co-existing CD25+ cells are suppressive. Such inhibitory capacity was more potent in PNALT. The frequency of CD4+CD127–CD25–FOXP3+ cells was higher in CH than those in PNALT. Treg are more abundant in HCV-infected patients, and their suppressor ability is more potent in patients with PNALT than in those with active hepatitis.

Keywords: HCV. PNALT. regulatory T cell.

## INTRODUCTION

Hepatitis C virus (HCV) causes a wide range of chronic liver diseases in infected hosts, including chronic hepatitis (CH), liver cirrhosis and hepatocellular carcinoma (HCC).

Abbreviations: ALT, alanine aminotransferase; CH, chronic hepatitis; CTL, cytotoxic T lymphocyte; DC, dendritic cell; ELISA, enzymelinked immunosorbent assay; FACS, fluorescence-activated cell sorting; FBS, fetal bovine serum; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; HS, healthy subjects; IFN, interferon; IL, interleukin: IU, international units; MoDC, monocyte-derived dendritic cell; N-Treg, naturally occurring regulatory T cell; PNALT, persistently normal ALT; RT-PCR, reverse transcriptase-polymerase chain reaction; SLE, systemic lupus erythematosus; TGF, transforming growth factor; Treg, regulatory T cell

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One of the critical determinants promoting the development of HCV-induced liver disease is sustained liver inflammation. explaining the therapeutic rationale of alleviating this condition to help prevent liver cancer [1]. Among chronically infected individuals, approximately 20-30% display persistently normal serum alanine aminotransferase levels [2.3]. Although it is reported that 40-50% of them progress to the active stage of liver inflammation within 5 years of observation [4], the incidence of HCC in the remaining patients continues to be lower than in those with elevated serum ALT levels [5]. Cumulative studies have revealed that HCV is not directly cytopathic to hepatocytes. It has been demonstrated that a Th1-type or cytotoxic T lymphocyte (CTL) response is critically involved in HCV-mediated liver injury [6.7]. Therefore, it is conceivable that some suppressor mechanisms exist against Th1-type immune responses in patients with persistently normal ALT levels (PNALT), which may be distinct from those in patients with active liver inflammation.

Regulatory T cells (Treg) are a unique subset of T cells with inhibitory capacity against auto-reactive T cells [8]. Substantial data have been reported about the involvement of Treg in the pathogenesis of various diseases, including autoimmune, cancer or infectious diseases [9-13]. Currently, the existence of several types of Treg has been reported [14]. Naturally occurring Treg (N-Treg) are derived from the thymic stromal environment from progenitor cells and suppress auto-reactive T cells in antigen-specific and antigen-nonspecific manner. Forkhead/winged helix transcription factor (FOXP3) is one of the specific markers of N-Treg, the expression of which is well correlated with the gain of a suppressor function [15.16]. As cells with high expression of CD25 also display FOXP3. it is generally accepted that CD25+FOXP3+ is the most reliable marker for Treg. In HCV infection. several reports have described a higher frequency of N-Treg in the periphery and the liver [17-20], suggesting their active role in HCV persistence. It has also been demonstrated that CD25+FOXP3+ regulatory cells are inducible in the periphery [21]. Owing to the lack of a specific phenotypic marker of these induced regulatory cells, referred to as adaptive Treg, their role in the pathogenesis of HCV infection has not been clearly understood. A recent study has demonstrated that the expression of interleukin (IL)-7 receptor (CD127) is downregulated in Treg to a degree that is inversely correlated with FOXP3 expression [22]. These findings offer the possibility that adaptive Treg are traceable, not all but in part, by the combination of CD127 and FOXP3 independent of CD25 expression.

In this study, our aim was to elucidate whether or not Treg are involved in the pathogenesis of PNALT patients, by comparing the frequency and function of these cell subsets with those in active hepatitis patients or healthy subjects. A distinct equilibrium was found between N-Treg and CD127–CD25–FOXP3+ T cells according to differences in liver inflammation.

## MATERIALS AND METHODS

## Subjects

Among chronically HCV-infected patients who had been followed at Osaka University Hospital. 15 patients with PNALT levels and 21 patients with elevated or fluctuating ALT levels (the CH group) were enrolled in this study. As controls. 19 healthy subjects (HS) who were negative for HCV and hepatitis B virus (HBV) markers were examined. The study protocol was approved by the ethical committee of Osaka University Graduate School of Medicine. At enrolment, written informed consent was obtained from each subject. In this study, PNALT patients were defined as those whose ALT levels remained within the normal range (<30 IU/mL) without any medications for more than 1 year. At enrolment, the patients were confirmed to be positive for both serum anti-HCV and HCV RNA, but were negative for other viral infections, including HBV and human immunodeficiency virus. The presence of other causes of liver disease. such as autoimmune, alcoholic and metabolic disorders was excluded by the use of laboratory and imaging analyses. Liver biopsy was carried out in some of the patients. Histological examination was performed according to the METAVIR scoring system. In all patients, a combination of repetitive biochemical tests, ultrasonography or computed tomography scans ruled out the presence of cirrhosis and liver tumours. The clinical background of the subjects are shown in Table 1.

**Table 1** Baseline clinical characteristics of the patients

	Chronic hepatitis patients	Patients with PNALT	Healthy subjects*	
n	21	15	19	
Sex (M/F)	8/13	5/10	ND ·	NS
Age	$50.6 \pm 11.6$	$47.8 \pm 12.7$	ND	NS
ALT (IU/L)	$88.3 \pm 41.4$	$20.9 \pm 6.9$	ND	$P < 0.0001^{\dagger}$
Plt $(10^4/\mu L)$	$13.5 \pm 5.4$	$20.0 \pm 3.9$	ND	$P < 0.01^{\dagger}$
HCV RNA (Meq/mL)	8.6 ± 11.3	$9.7 \pm 7.8$	ND	NS

\*The background data of healthy subjects (blood donors) were not accessible owing to the confidentiality regulations of the blood centre, but their serum ALT levels were confirmed to be within the normal range.  $^{\dagger}$ Statistical significance was analysed by Mann–Whitney U test between chronic hepatitis patients and patients with PNALT. The values are expressed as mean  $\pm$  SD. PNALT. persistently normal alanine aminotransferase level; ND, not determined; NS, not significant; plt. platelet count.

## Frequency analyses of Treg cells

For the numerical analyses of Treg cells, heparinized venous blood was obtained from all subjects. Peripheral blood mononuclear cells were collected by density-gradient centrifugation on a Ficoll-Hypaque cushion. The cells were subsequently stained with a combination of various fluorescence-labelled anti-human mouse monoclonal antibodies for phenotypic markers. The antibodies for CD25 (clone B1.49.9) and CD4 (clone 13B8.2) were purchased from Beckman Coulter (Fullerton. CA. USA), that for CD127 (clone 40131) from R&D Systems (Minneapolis. MN, USA) and that for FOXP3-PE (clone PCH101) from eBioscience (San Diego, CA, USA), respectively. The cells were stained in phosphate-buffered saline containing 1% fetal bovine serum (FBS) with various antibodies or isotype controls for 15 min at room temperature. Intracellular staming of FOXP3 was performed using a human FOXP3 staining kit (eBioscience) according to the manufacturer's instructions. The cells were analysed by FACSCalibur (BD Biosciences. San Jose. CA. USA) and CellQuest software.

## Functional analysis of CD4+CD25+ T cells in HCV-specific CD4+ T cell response

We first examined the HCV-specific CD4+ T cell response in the presence or absence of CD4+CD25+ T cells. Monocytederived dendritic cells (MoDC) were generated from CD14+ cells as reported previously. In brief, CD14+ cells were cultured in Iscove's modified Dulbecco's medium (Gibco Laboratories. Grand Island. NY, USA) supplemented with 10% FBS. 50 IU/mL of penicillin. 50 mg/mL of streptomycin, 2 mM of L-glutamine. 10 mm of Hepes buffer. 10 mm of nonessential amino acids in the presence of 50 ng/mL of granulocyte/macrophage colony-stimulating factor (PeproTech. Rocky Hill, NJ, USA) and 10 ng/mL of IL-4 (PeproTech) for 7 days at 37 °C and 5% CO2. On day 6 of the culture. MoDC were pulsed with 10 µg/mL of recombinant HCV NS5 (amino acid position: NS5B 1-544: kindly provided by Japan Tobacco, Inc., Tokyo, Japan) and cultured for 24 h. The antigen-pulsed MoDC were then cultured with autologous bulk CD4+ T cells or CD4+CD25-T cells in 96-well flat-bottom plates (Corning, NY, USA) for 5 days. Enrichment of CD4+ T cells or CD4+CD25-T cells was performed using a CD4+CD25+ Regulatory T cell Isolation kit (Miltenyi Biotec. Auburn, CA. USA) according to the manufacturer's instructions. On day 6 of the co-culture, the cells were pulsed with 1  $\mu$ Ci of [3H]thymidine during the last 16 h of incubation. The supernatants were collected before pulsing with [3H]-thymidine and subjected to cytokine enzyme-linked immunosorbent assay (ELISA). The incorporation of [3H]-thymidine in CD4+ T cells was measured using a  $\beta$ -counter (Wallac-Perkin-Elmer, Wallac, Finland).

## Enzyme-linked immunosorbent assay

The concentrations of IL-10. TGF- $\beta$ 1 and interferon (IFN)- $\gamma$  in the culture supernatants were determined by ELISA. We used matched pairs of relevant monoclonal antibodies (Endogen. Woburn. MA, USA) for IL-10 and IFN- $\gamma$ . and the DuoSet ELISA development system (R&D Systems) for TGF- $\beta$ 1. according to the manufacturer's instructions. The detection thresholds of IL-10. TGF- $\beta$ 1 and IFN- $\gamma$  were 10. 10 and 16 pg/mL, respectively.

## Real time reverse transcriptase-polymerase chain reaction (RT-PCR)

In order to analyse the expression of FOXP3 and CTLA-4 in N-Treg, we collected CD4+CD25high T cells by using FACSAria. The purity of the isolated cells was more than 95% as determined by FACS. Total RNA was extracted from sorted CD4+CD25high T cells using the RNeasy Mini Kit (Qiagen. Valencia. CA. USA) according to the manufacturer's instructions. Complementary DNA was synthesized using the SuperScript III First-Strand synthesis system (Invitrogen, Carlsbad. CA. USA). Assays-on-demand primers and probes (PE Applied Biosystems. Foster City. CA. USA) were used to quantify FOXP3 and CTLA4 expression. The mRNA levels were evaluated using ABI PRISM 7900 Sequence Detection System (Applied Biosystems). The thermal cycling conditions for all genes were as follows: the reaction was started with a 10-min denaturing cycle at 95 °C. followed by 40 cycles of PCR performed with 15 s of denaturing at 95 °C, then 1 minute at 60 °C for annealing and extension. We identified a calibrator sample from the healthy volunteers. The expressions of molecules were given as the relative values to the calibrator samples. To standardize the amount of total RNA added to each reaction mixture, we quantified  $\beta$ -actin mRNA from each sample as a control of internal RNA and corrected all values with this.

## Statistical analysis

Statistical analyses were performed using StatView 5.0 software (SAS Institute Inc., Cary. NC. USA). Mann—Whitney *U*-test was used to compare differences in unpaired samples. For all analyses. a *P*-value of less than 0.05 was considered to be statistically significant.

## RESULTS

## Peripheral N-Treg are increased in HCV-infected patients

We compared the frequency of Treg between HCV-infected patients and healthy donors. In HCV-positive individuals, they were further categorized into PNALT and CH groups according to the difference in their serum ALT levels. The clinical backgrounds of these groups were not different except for

serum ALT levels and platelet counts (Table 1). N-Treg were defined as the cells with CD4+CD25high+FOXP3+ cells. As the cut-off value between CD25high+ and CD25intermediate+ cells is a critical determinant for Treg analyses, we defined CD4+CD25high+ as the cells with CD25 levels higher than those of CD4-CD25+ cells (Fig. 1a). We first compared the frequency of CD4+FOXP3+ T cells. The frequency of FOXP3+ cells in the CD4+ T cell population in HCV-infected patients was significantly higher than those in the HS (Fig. 1b). However, no difference was observed in FOXP3+ cells between the PNALT and CH patients (Fig. 1b). The frequency of CD4+CD25high+FOXP3+ T cells in CH or PNALT patients were significantly higher than those in HS, whereas those in HCV-positive patients did not differ regardless of their ALT levels (Fig. 1c). Similar results were obtained for the frequency of CD4+CD25-FOXP3+ T cells (Fig. 1d).

Next, we examined whether or not the frequency of N-Treg is correlated with clinical parameters. Among all HCV-infected patients, no correlation was observed between the frequency of N-Treg (CD4+CD25high+ FOXP3+ T cells) and serum ALT, HCV RNA levels, age or platelet counts (data not shown). In the analyses of patients who had undergone liver biopsy, the frequency of N-Treg was not correlated with METAVIR grade/stage scores (data not shown).

The expressions of FOXP3 and CTLA4 are higher in N-Treg from PNALT patients compared with those from the CH group

FOXP3 is the master gene of Treg in the development and gaining of suppressor functions. Alternatively, CTLA4 is one of the key molecules of Treg in exerting inhibitory function. We thus evaluated FOXP3 and CTLA4 mRNA expression in sorted N-Treg (CD4+CD25high+ T cells) by means of realtime RT-PCR. The expression of FOXP3 in PNALT or CH patients was significantly higher than those in HS (Fig. 2a). Of note is the higher expression of FOXP3 in N-Treg from the PNALT group than in those from the CH group (Fig. 2a). In contrast, the expression of CTLA4 in N-Treg from the PNALT was higher than those in the CH, while it did not differ between the CH and HS groups (Fig. 2b).

CD4+CD25+ T cells from PNALT patients have more suppressive capacity in the HCV-specific CD4+ T cell response than those from CH patients

In order to compare the ability of N-Treg to inhibit the antigen-specific CD4+ T cell response, we used autologous MoDC pulsed with HCV proteins as antigen-presenting cells. We examined CD4+ T cell proliferation or cytokine

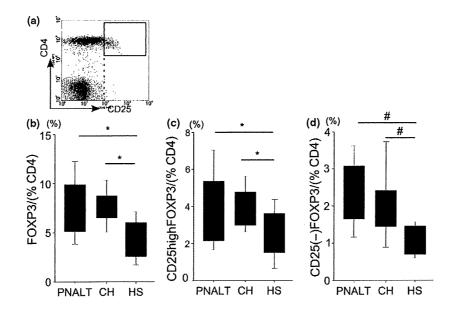


Fig. 1 Comparison of frequencies of naturally-occurring regulatory T cells (N-Treg) and FOXP3-positive cells among the groups. (a) Gating of CD4+CD25high+ T cells under FACS analysis. The cut-off value of CD25high expression is set at a level that is more than that of CD4-CD25+ cells (dotted line); CD4+CD25high+ T cells are shown in the rectangle drawn in the representative dot plot. (b) Frequencies of FOXP3+ cells, (c) N-Treg (CD25high+FOXP3+ cells) and (d) CD25-FOXP3+ cells in CD4+ T cells were compared among the groups. Boxes represent lower and upper quartiles with the median value (solid line) between boxes, while the whiskers represent the minimum and maximum values. \*, P < 0.005; , P < 0.0001 by Mann-Whitney U-test. Abbreviations: PNALT, hepatitis C virus (HCV)-infected patients with persistently normal alanine aminotransferase (ALT) levels; CH, HCV-infected patients with elevated ALT levels; HS, healthy subjects.

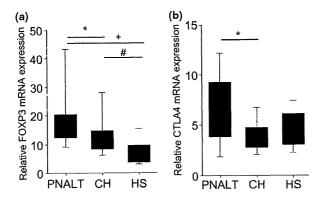
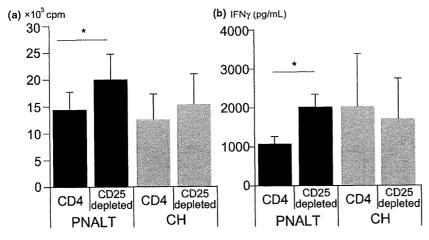


Fig. 2 Comparison of mRNA expression of FOXP3 and CTLA4 in CD4+CD25high+ T cells among the groups. The expression of FOXP3 (a) and CTLA4 (b) in separated CD4+CD25high+ T cells were analysed by real-time reverse transcriptase-polymerase chain reaction as described in Materials and methods. Boxes represent lower and upper quartiles with the median value (solid line) between boxes, while the whiskers represent the minimum and maximum values. \*. P < 0.05: P < 0.01: +, P < 0.001. For definitions of PNALT, CH and HS. see Fig. 1.

production stimulated with antigen-pulsed DC. We compared such responses between samples with or without CD4+CD25+ T cells. In PNALT patients. HCV NS5-specific T cell proliferation or IFN- $\gamma$  production of CD25-depleted CD4+ T cells was significantly higher than those of the bulk CD4+ T cells (Fig. 3a.b). In contrast, in CH patients, such restoration did not occur significantly even when CD4+CD25+ T cells had been depleted (Fig. 3a.b). There was no difference in the production of IL-10 and TGF- $\beta$  between bulk CD4+ T cells and CD25-depleted CD4+ T cells in both CH and PNALT patients (Fig. 3c.d). These results suggest that co-existing CD4+CD25+ T cells play an inhibitory role in the HCV-specific CD4+ T cell response, in which suppression was more potent in the PNALT than in the CH group.

CD127-FOXP3+ cells, regardless of their CD25 expression, are increased in patients with HCV infection

In the analyses of N-Treg, the frequency of CD4+CD25-FOXP3+ T cells in HCV-infected patients was higher than those in the healthy donors (Fig. 1d). These results suggest that CD4+FOXP3+ T cells, regardless of the degree of CD25



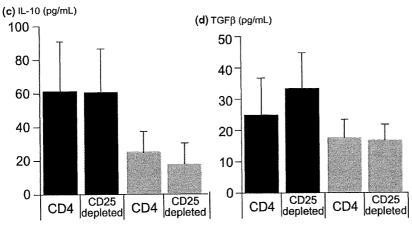


Fig. 3 Changes of hepatitis C virus (HCV)-specific CD4+ T cell responses with or without depletion of CD25+ T cells. Bulk CD4+ T cells or those depleted of CD25+ cells were cultured with autologous monocyte-derived dendritic cells in the presence of HCV-NS5 protein for 5 days as described in Materials and methods. (a) On day 4. [3H]-thymidine was pulsed and the thymidine incorporation was counted with a  $\beta$ -counter. Before the pulsing, the culture supernatants were harvested and subjected to enzyme-linked immunosorbent assay for interferon-y (b), interleukin-10 (c) and TGF- $\beta$  (d), respectively. \*. P < 0.05 by Mann-Whitney U-test. For definitions of PNALT and CH. see Fig. 1.

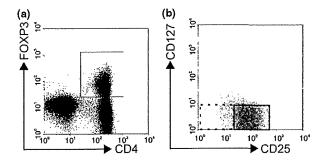


Fig. 4 Gating of CD4+CD127–FOXP3+ cells with variable CD25 expression under FACS analysis. After setting the gate on CD4+FOXP3+ cells [rectangle in the dot plot (a)], were displayed on the CD25 and CD127 axis (b). The presence of CD25+ (bold rectangle) and of CD25- cells (dotted rectangle) in CD4+FOXP3+ cells are shown in plot (b). The frequencies of these cells were analysed.

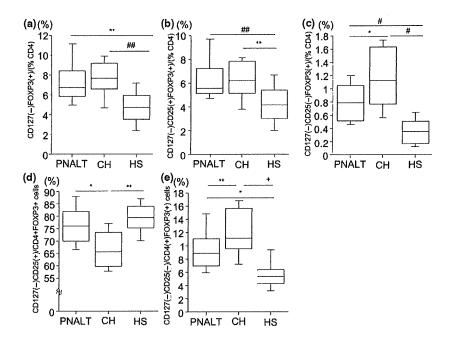
expression. increase in chronic HCV infection. Alternatively, it implies that higher expression of CD25 is not a universal marker for identifying FOXP3+ cells with regulatory activity. It has been reported that CD127 expression on CD4+ T cells is inversely correlated with FOXP3 expression. suggesting that CD127low/negative cells consist of those with regulatory activity. In order to analyse regulatory T cell subsets more precisely. we first examined FOXP3 expression on CD127- or CD127+ cells paired with CD25 expression in patients with HCV infection (Fig. 4). As a result, the majority of CD4+FOXP3+ T cells belonged to the CD127- population irrespective of CD25 expression (Fig. 4). Next. we compared the frequency of CD4+CD127-FOXP3+ cells, which consist

of CD25+ and CD25- cells, among the subject groups (Fig. 5a). The frequency of CD4+CD127-FOXP3+ cells was similar in the CH and the PNALT groups, both of which were significantly higher than those in the HS (Fig. 5a). Finally, in order to estimate the profile of CD4+CD127-FOXP3+ cells according to CD25 expression, we compared the percentage of CD25+CD127-FOXP3+ or CD25-CD127-FOXP3+ cells in CD4+ T cells among the groups. The percentage of CD25+CD127-FOXP3+ T cells in CD4+ T cells was comparable for PNALT and CH (Fig. 5b). In clear contrast, the percentage of CD25-CD127-FOXP3+ T cells in the PNALT was lower than those in the CH (Fig. 5c). The frequencies of these cells were higher in the HCV-infected patients than in HS (Fig. 5b,c). When we set the focus on the proportion of CD25+CD127- or CD25-CD127- cells in the FOXP3+ cells in the periphery as a whole, we found that the proportion of CD25+CD127- cells in the PNALT was higher than that in the CH group (Fig. 5d). On the other hand, the proportion of CD25-CD127- cells in FOXP3+ cells was lower in the PNALT than in the CH group (Fig. 5e). Therefore, the phenotypic profiles of FOXP3+ T cells are distinct between PNALT and CH patients. with regard to the expression of CD127 and CD25.

## DISCUSSION

Approximately 30–40% of chronically HCV-infected patients continue to display PNALT for decades. We previously reported the possible contribution of certain human leukocyte antigen haplotypes [23] or DC dysfunction in the maintenance of the PNALT state [24]. However, the precise mechanisms behind this important issue are yet to be

Fig. 5 Comparison in the frequencies of CD127- regulatory T cell subsets among the groups. Frequencies of CD127-FOXP3+ (a), CD127-CD25+FOXP3 (b) and CD127-CD25-FOXP3+ (c) cells among CD4+ T cells were determined by FACS analysis. The proportion of CD127-CD25+ (d) or CD127-CD25- (e) cells in CD4+FOXP3+ cells were also determined. Boxes represent lower and upper quartiles with the median value (solid line) between boxes. while the whiskers represent the minimum and maximum values. \*. P < 0.05; . P < 0.01: \*\*, P < 0.005: ##. P < 0.001; +, P < 0.0001 by Mann-Whitney U-test. For definitions of PNALT, CH and HS, see Fig. 1.



established. Cumulative reports have shown that Th1/Tc1 type responses are instrumental in HCV-induced liver inflammation [7,25,26]. We thus hypothesized that some suppressor mechanisms exist in PNALT patients especially against HCV-specific Th1 and/or CTL reactions.

The involvement of Treg cells in the pathogenesis of various diseases has been reported [9-13]. Most of the studies presented the possibility that N-Treg play substantial roles in the induction of tolerance against aetiological self or nonself antigens, thus leading to alleviation or exacerbation of the disease severity. With regard to HCV infection, several groups have shown that N-Treg are increased both in the periphery and in the liver and are able to inhibit HCV-specific CD4+ or CD8+ T cell responses in vitro [17,18,27]. In this study, we showed that the frequency of N-Treg in HCVinfected patients is higher than those in the controls, which is consistent with the previous reports. However, the frequencies of N-Treg are indistinguishable between the patient groups with different disease activities. As for the functional aspect, the deprivation of CD4+CD25+ cells enhanced the HCV NS5-specific CD4+ T cell response in the PNALT than in the CH group, suggesting that co-existing Treg in the PNALT are more suppressive. In addition, the expression of FOXP3 and CTLA4, which are key molecules of the suppressor function, is higher in PNALT than in those with active hepatitis. Venken et al. [28] demonstrated that the degree of FOXP3 expression at the single-cell level of N-Treg is well correlated with their suppressive ability, which is supportive of our results. In contrast, Bolacchi et al. [29] reported that the frequency of TGF- $\beta$ + N-Treg in the PNALT was higher than in the hepatitis group. Furthermore, their frequency was inversely correlated with the histological inflammatory grade, suggesting that TGF- $\beta$ + Treg play active roles in alleviating hepatitis. The reasons for the lack of correlation between N-Treg and serum ALT or HCV RNA quantity in the present study may be because of the difference in the target of analyses, such as either peripheral or intra-hepatic Treg, or either TGF- $\beta$ + or bulk Treg. Further analyses need to be performed on these important issues, as CD4+FOXP3+ Treg are reported to accumulate more in the portal tract of HCV-infected livers compared with those in the periphery [20].

During the observation period, about 30–40% of PNALT patients began to show elevated or fluctuating ALT abnormalities. What crucial factor triggers HCV-induced liver inflammation remains unknown. One of the plausible explanations is an antigenic shift accompanied by the occurrence of mutations in the HCV genome. In other words, hepatitis may flare up if the mutation raises HCV immunogenicity. Comprehensive analyses of HCV epitopes for CTL using overlapping peptides have shown that the HCV core and NS3 are more immunogenic than the remaining regions; however, the presence of an epitope hierarchy in Treg induction has been controversial. Li et al. [30] reported the possibility that Treg are expandable in response to

certain epitopes in HCV proteins. In two patients in whom we observed flare-up of hepatitis in this study, we were able to find that the expression of FOXP3 in N-Treg was high in the PNALT status, but declined in the active hepatitis stage (data not shown). Although it is difficult to state whether such phenotypic changes in N-Treg are the cause or the consequence of disease progression, these results suggest the involvement of N-Treg in the degree of HCV-mediated hepatitis. Further detailed study is needed to examine whether or not such changes in N-Treg are related to the sequence evolution in HCV genomes.

Recent research has disclosed that distinct types of Treg are present in humans. Currently, it is generally accepted that CD25+FOXP3+ is the most reliable marker for Treg, which is induced in parallel with the acquisition of suppressor ability. However, owing to the lack of phenotypic markers for specifically identifying adaptive Treg, their roles in clinical settings have been unclear. In this study, CD4+FOXP3+ cells increased in HCV-infected patients, who were either positive or negative for CD25. In contrast to thymus-derived N-Treg expressing a greater degree of CD25, adaptive Treg are presumed to be induced in the periphery with a lesser degree of CD25 expression. Thus, it is likely that CD4+CD25-FOXP3+ T cells in HCV infection contain some part of adaptive Treg.

Treg have been reported to express low levels of CD127 at their cell surface [31]. Furthermore, the expression of CD127 is inversely correlated with FOXP3 expression and with the suppressive function of CD25high+ Treg. Liu et al. [22] pointed out the possibility that adaptive Treg are grouped into CD127- cells, which also include FOXP3-negative Tr1 or Th3 cells. Alternatively, You et al. [32] reported that murine CD4+CD25lowFOXP3+ T cells might be adaptive Treg, which exert a  $TGF\beta$ -dependent suppressive function. Taking these reports into consideration, and in order to exclude activated CD25+ T cells, we examined CD4+ CD127-CD25-FOXP3+ cells tentatively determined as part of adaptive Treg. In order to confirm that CD4+CD127-cells possess suppressive capacity, we co-cultured sorted CD4+CD127-CD25- or CD4+CD127-CD25+ cells with allogeneic CD4+ T cells stimulated with anti-CD3 and anti-CD28 antibodies. As a result, we found that CD4+CD127cells, regardless of CD25 expression, significantly suppressed the proliferation of responder CD4+ T cells (manuscript in preparation). Of note is the finding that the frequency of CD127-CD25-FOXP3+ cells is higher in patients with active hepatitis than those in the PNALT group. One of the plausible explanations for such an increase of Treg is the compensatory mechanisms for the aggravation of liver inflammation. In support of this possibility, Bonelli et al. [33] reported that CD4+CD127-CD25- cells are increased in patients with systemic lupus erythematosus (SLE), the numbers of which are well correlated with disease activity. With regard to the ability of Treg in SLE patients, CD4+CD127-CD25- cells were potent in the inhibition of T

cell proliferation but not in IFN- $\gamma$  release. Such a defective suppressor capacity may result in the continuation of tissue inflammation regardless of the presence of abundant Treg. The other conceivable role of CD4+CD25-CD127-FOXP3+ cells in active hepatitis may be a peripheral reservoir of CD4+CD25+FOXP3+ cells in case of flare-up of liver inflammation. In mice, it has been reported that CD25-FOXP3+ cells revert to CD25+FOXP3+ cells upon activation signals, thus leading to the expansion of the Treg pool [34]. In order to reach a definite conclusion on the role of CD127-CD25-FOXP3+ cells, further analyses are needed to elucidate whether these cells are inhibitory to either HCV-specific or HCV-nonspecific T cell responses.

Large-scale studies with HCV-infected patients demonstrated that the cumulative incidence of HCC in the PNALT group is extremely low compared with that in patients with apparent hepatitis and liver cirrhosis [35]. The lesser HCC incidence is also evident in patients who attained a lasting biochemical response to IFN-based therapy; even if they had failed to achieve sustained virological response [36]. These results clearly indicate that the maintenance of the PNALT state is one of the surrogate therapeutic goals in chronic HCV infection. Therefore, it is necessary to clarify the mechanisms of Treg induction in HCV infection, whether they are naturally or adaptively introduced, and to establish a feasible modality for controlling Treg. Our study has shown the importance of subset-oriented analyses of Treg for gaining access to that goal.

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## CONFLICT OF INTEREST

All of the authors do not have any commercial or other association that might pose a conflict of interest.

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## McI-1 and BcI-xL Cooperatively Maintain Integrity of Hepatocytes in Developing and Adult Murine Liver

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Anti-apoptotic members of the Bcl-2 family, including Bcl-2, Bcl-xL, Mcl-1, Bcl-w and Bfl-1, inhibit the mitochondrial pathway of apoptosis. Bcl-xL and Mcl-1 are constitutively expressed in the liver. Although previous research established Bcl-xL as a critical apoptosis antagonist in differentiated hepatocytes, the significance of Mcl-1 in the liver, especially in conjunction with Bcl-xL, has not been clear. To examine this question, we generated hepatocyte-specific Mcl-1deficient mice by crossing mcl-1<sup>flox/flox</sup> mice and AlbCre mice and further crossed them with bcl-xflox/flox mice, giving Mcl-1/Bcl-xL-deficient mice. The mcl-1flox/flox AlbCre mice showed spontaneous apoptosis of hepatocytes after birth, as evidenced by elevated levels of serum alanine aminotransferase (ALT) and caspase-3/7 activity and an increased number of terminal deoxynucleotidyl transferase-mediated 2'-deoxyuridine 5'-triphosphate nick-end labeling (TUNEL)positive cells in the liver; these phenotypes were very close to those previously found in hepatocyte-specific Bcl-xL-deficient mice. Although mcl-1flox/+ AlbCre mice did not display apoptosis, their susceptibility to Fas-mediated liver injury significantly increased. Further crossing of Mcl-1 mice with Bcl-xL mice showed that bcl-xflox/+ mcl-1flox/+ AlbCre mice also showed spontaneous hepatocyte apoptosis similar to Bcl-xL-deficient or Mcl-1-deficient mice. In contrast, bcl-xflox/flox mcl-1flox/+ AlbCre, bcl-xflox/+ mcl-1flox/flox AlbCre, and bcl-xflox/flox mcl-1flox/flox AlbCre mice displayed a decreased number of hepatocytes and a reduced volume of the liver on day 18.5 of embryogenesis and rapidly died within 1 day after birth, developing hepatic failure evidenced by increased levels of blood ammonia and bilirubin. Conclusion: Mcl-1 is critical for blocking apoptosis in adult liver and, in the absence of Bcl-xL, is essential for normal liver development. Mcl-1 and Bcl-xL are two major anti-apoptotic Bcl-2 family proteins expressed in the liver and cooperatively control hepatic integrity during liver development and in adult liver homeostasis in a gene dose-dependent manner. (HEPATOLOGY 2009;50:1217-1226.)

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Abbreviations: ALT, alanine aminotransferase; PCR, polymerase chain reaction; RT-PCR, reverse transcription polymerase chain reaction; TNF-\alpha, tumor necrosis factor alpha; TUNEL, terminal deoxynucleotidyl transferase-mediated 2'-deoxynurdine 5'-triphosphate nick-end labeling.

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The mitochondrial pathway of apoptosis is regulated by the Bcl-2 family proteins.<sup>1,2</sup> They are functionally divided into two basic groups: proapoptotic and anti-apoptotic members. Pro-apoptotic members are further divided into multi-domain members, such as Bax and Bak, and BH3-only proteins. Bax/ Bak triggers release from mitochondria of cytochrome c, presumably by forming pores at the mitochondrial outer membrane. Cytochrome c released into the cytosol activates multiple caspases, which cut a variety of cellular substrates and dismantle the cell.3 The release of Bax/ Bak-mediated cytochrome c is considered to be a point of no return and a commitment to cell death.4 Killing by BH3-only proteins, such as Bid, Bim, or Puma, requires Bax or Bak, placing them upstream of Bak/Bax activation. BH3-only proteins are transcriptionally or posttranslationally activated by a variety of cellular stresses. They are considered to be sensors that transmit apoptotic stimuli to mitochondria. Anti-apoptotic members, including Bcl-2, Bcl-xL, Mcl-1, Bcl-w, and Bfl-1, inhibit the mitochondrial pathway of apoptosis either by directly blocking Bak/Bax activation or by sequestering BH3-only proteins from Bak or Bax.

Mcl-1 has increasingly attracted attention because of its role in liver disease. Several reports have shown that Mcl-1 is overexpressed in a subset of human hepatocellular carcinomas and provides apoptosis resistance. 5-7 The multi-kinase inhibitor sorafenib, which was recently approved by the Food and Drug Administration as a chemotherapeutic agent for hepatocellular carcinoma, 8 is capable of down-regulating Mcl-1 expression and producing apoptosis in hepatoma cells. 9 Cycloxygenase 2 or hepatocyte growth factor up-regulates Mcl-1 expression in hepatocytes and improves Fas-mediated liver injury. 10,11 Recently, enforced expression of Mcl-1 was reported to reduce liver injury induced by anti-Fas injection in mice. 12 However, little is known about the physiologic significance of Mcl-1 in hepatocytes.

We previously reported that hepatocyte-specific Bcl-xL knockout mice were born and grew up but developed spontaneous hepatocyte apoptosis, identifying Bcl-xL as a critical apoptosis antagonist in hepatocytes. 13 This raises a question of whether other antiapoptotic Bcl-2 family members, such as Mcl-1, have a significant role in regulating hepatocyte apoptosis and what the relationship is among those molecules. To this end, in the current study, we generated hepatocyte-specific Mcl-1 knockout as well as Bcl-xL/Mcl-1 double knockout mice and found that, like Bcl-xL, Mcl-1 is critical for maintaining hepatocyte integrity in adult liver, but not essential for liver development. However, both deficiencies cause a severe defect in liver development and lethality during the early neonatal period because of severe hepatic failure. The current study identifies Bcl-xL and Mcl-1 as two major antiapoptotic Bcl-2 family proteins in the liver and demonstrates their gene dose-dependent effects for controlling hepatic integrity.

## **Materials and Methods**

Mice. Mice carrying the mcl-1 gene encoding amino acids 1 through 179 flanked by 2 loxP (mcl-1flox/flox) were provided by Dr. You-Wen He of Duke University. 14 Mice carrying a bcl-x gene with two loxP sequencers at the promoter region and a second intron (bcl-xflox/flox) were described previously. 15 Heterozygous AlbCre transgenic mice expressing Cre recombinase gene under the promoter of the albumin gene were described previously. 13 We generated hepatocyte-specific Mcl-1 knockout mice (mcl-1flox/flox AlbCre) by mating mcl-1flox/flox and AlbCre

mice. We then used these knockout mice to generate hepatocyte-specific Bcl-xL/Mcl-1 knockout mice (bcl-xllox/flox mcl-1flox/flox AlbCre) by mating them with bcl-xflox/flox mice. Traditional Bid knockout mice were described previously. They were maintained in a specific pathogen—free facility and treated with humane care under approval from the Animal Care and Use Committee of Osaka University Medical School.

Genotyping. Genomic DNA was extracted from the tail and subjected for polymerase chain reaction (PCR) for genotyping mice. The primers used were as follows: 5'-GCCACCTCATCAGTCGGG-3' and 5'-TCA-GAAGCCGCAATATCCCC-3' for the bcl-x allele; 5'-GGTTCCCTGTCTCCTTACTTACTGTAG-3' and 5'-CTCCTAACCACTGTTCCTGACATCC-3' for the mcl-1 allele; 5'-GCGGTCTGGCAGTAAAAAC-TATC-3', 5'-GTGAAACAGCATTGCTGTCACTT-3', 5'CTAGGCCACAGAATTGAAAGATCT-3' 5'-GTAGGTGGAAATTCTAGCATCATCC-3' for the AlbCre allele; 5'-CCGAAA TGTCCCATAAGAG-3', 5'-GAGATGGACCACAACATC-3', and 5' TGC-TACTTCCATTTGTCACGTCCT-3' for the bid allele. PCR products were electrophoretically separated using 2% agarose gels. The expected sizes of the PCR products were as follows: 165 bp for the wild-type bcl-x allele, 195 bp for the floxed bcl-x allele, 200 bp for the wild-type mcl-1 allele, 300 bp for the floxed mcl-1 allele, 130 bp for the wild-type bid allele, and 350 bp for the bid knockout allele. AlbCre-negative mice showed a 350-bp band, and heterozygous AlbCre mice showed 100-bp and 350-bp double bands.

Apoptosis Assay. To measure serum ALT level and caspase-3/7 activity, blood was collected from the inferior vena cava of mice and centrifuged. Serum was stored at -20°C until use. Serum ALT levels were measured by a standard method at Oriental Kobo Life Science Laboratory (Nagahama, Japan), and serum caspase-3/7 activity was measured by a luminescent substrate assay for caspase-3 and caspase-7 (Caspase-Glo assay, Promega, Tokyo, Japan). For histological analysis, livers were formalin-fixed, embedded in paraffin, and thin sliced. The liver sections were stained with hematoxylin-eosin. To detect cells with oligonucleosomal DNA breaks, the sections were also subjected to terminal deoxynucleotidyl transferase-mediated 2'-deoxyuridine 5'-triphosphate nick-end labeling (TUNEL) staining, according to a previously reported procedure.<sup>17</sup> For Fas-stimulating study, anti-Fas antibody (Jo2 clone) (PharMingen, San Diego, CA) was intraperitoneally injected into mice 3 hours before sacrifice.

Western Blot Analysis. Approximately 25 mg liver tissues was lysed with a lysis buffer (1% NP-40, 0.5%

sodium deoxycholate, 0.1% sodium dodecyl sulfate and 1× protein inhibitor cocktail (Nacalai tesque, Kyoto, Japan), phosphate-buffered saline; pH 7.4). After incubation on ice for 15 minutes, the lysate was centrifuged at 10,000g for 15 minutes at 4°C. The protein content of the supernatants was determined using a bicinchoninic acid protein assay kit (Pierce, Rockford, IL). Equal amounts of protein were electrophoretically separated by sodium dodecyl sulfate polyacrylamide gels (8% or 12%) and transferred onto polyvinylidene fluoride membrane. For immunodetection, the following antibodies were used: anti-Bcl-xL antibody (Santa Cruz Biotechnology, Santa Cruz, CA), anti-Mcl-1 antibody (Rockland, Gilbertsville, PA), anti-Bax antibody (Cell Signaling Technology, Beverly, MA), anti-Bid antibody (Cell Signaling Technology), anti-albumin antibody (Affinity Bioreagents, Golden, CO), and antibeta actin antibody (Sigma-Aldrich, Saint Louis, MO). Detection of immunolabeled proteins was performed using a chemiluminescent substrate (Pierce).

Neonate Analysis. Neonatal mice delivered by cesarean section were suckled by a surrogate mother and sacrificed at 10 hours after birth. Blood from the neonatal mice was centrifuged, and the plasma was stored at -20°C until use. The levels of total bilirubin and ammonia were measured by Van den Bergh reaction and a standard enzymatic procedure, respectively, at Oriental Kobo Life Science Laboratory.

Real-Time Reverse-Transcription PCR. Total RNA was prepared from liver tissue using RNeasy kit (QIA-GEN, Tokyo, Japan). For complementary DNA synthesis, 1 µg total RNA was reverse-transcribed using the High Capacity RNA-to-DNA Master Mix (Applied Biosystems, Foster City, CA). Complementary DNA, equivalent to 40 ng RNA, was used as a template for realtime reverse-transcription PCR (RT-PCR) using an Applied Biosystems 7900HT Fast Real-Time PCR System (Applied Biosystems). The messenger RNA expressions of tumor necrosis factor alpha (TNF- $\alpha$ ), collagen-alpha1(I), and transthyretin were measured using TaqMan Gene Expression Assays (Assay ID: Mm00443260\_g1, Mm00801666\_g1, and Mm00443267\_m1, respectively), and were corrected with the quantified expression level of beta-actin messenger RNA measured using TaqMan Gene Expression Assays (Assay ID: Mm02619580\_g1).

Statistical Analysis. Data are presented as mean  $\pm$  standard deviation. Comparisons between two groups were performed by unpaired t test. Multiple comparisons were performed by analysis of variance followed by Scheffe post hoc correction. P < 0.05 was considered statistically significant.

## Results

Hepatocyte-Specific Mcl-1 Deficiency Leads to Spontaneous Hepatocyte Apoptosis in the Adult Liver. To generate hepatocyte-specific Mcl-1-deficient mice, floxed mcl-1 mice were crossed with heterozygous AlbCre mice. After mcl-1flox/+ AlbCre mice were mated with mcl-1flox/+ mice, and offspring were screened for genotyping and Mcl-1 expression. mcl-1flox/flox AlbCre mice were born and grew up. Their expression in the liver of Mcl-1 was greatly reduced compared with that of wild-type mice (Fig. 1A). The levels of Bcl-xL expression did not change in mcl-1flox/flox AlbCre liver. Bcl-xL and Mcl-1 proteins migrated as typical doublet bands of which the biochemical nature had been previously determined.18 The trace amount of Mcl-1 expression found in the knockout liver may have been attributable to expression in nonparenchymal cells, as previously observed in hepatocyte-specific Bcl-xL-deficient mice.13

To investigate the significance of Mcl-1 in the liver, mice were sacrificed 6 weeks after birth and subjected to analysis of serum ALT levels and caspase-3/7 activity as well as liver histology and TUNEL staining. mcl-1<sup>flox/flox</sup> AlbCre mice displayed significantly higher levels of serum ALT than control mice (AlbCre-negative or mcl-1+/+ AlbCre mice) (Fig. 1B). Hepatocytes with typical apoptosis morphology such as cellular shrinkage and nuclear condensation were frequently observed in the liver sections of mcl-1flox/flox AlbCre mice (Fig. 1C). Consistently, the number of cells with TUNEL positivity, a hallmark of apoptotic cell death, in the liver was significantly higher in mcl-Iflox/flox AlbCre mice than in control mice (Fig. 1C). Activity of caspase-3/7, executioners of apoptosis, was significantly higher in circulation of mcl-1flox/flox AlbCre mice than in control mice, which might reflect activation of those proteases in the knockout liver (Fig. 1D). Bax expression was clearly increased in mcl-1flox/flox AlbCre mice, suggesting Bax activation being involved in the apoptosis in mcl-Iflox/flox AlbCre mice (Fig. 1A). Furthermore, the expression of TNF-α and collagen-alpha1(I) was significantly increased in the mcl-1floxfflox AlbCre liver compared with the wild-type liver, as found in the Bcl-xL knockout liver (Fig. 1E). Taken together, hepatocyte-specific Mcl-1 knockout mice developed spontaneous apoptosis leading to sterile inflammation and fibrotic response in the liver, like hepatocyte-specific Bcl-xL knockout mice. 13

Heterozygous Deletion of the mcl-1 Gene Does Not Produce Apoptosis But Increases the Susceptibility to Fas Stimulation. Although the levels of Mcl-1 expression were significantly decreased in mcl-1flox/+ AlbCre liver (Fig. 1A, Supporting Fig. 1), mcl-1flox/+ AlbCre mice did not have apoptosis phenotypes in the liver (Fig. 1B-D).