

Figure 1 Genome-wide association results with PEG-IFN- α /RBV treatment in 142 Japanese patients with HCV (78 NVR and 64 VR samples). P values were calculated by using a χ^2 test for allele frequencies. The dots with arrows for chromosome 19 denote SNPs that showed significant genome-wide associations ($P < 8.05 \times 10^{-8}$) with response to PEG-IFN- α /RBV treatment.

kinetics during treatment, and amino acid pattern in the interferon sensitivity-determining region, have been reported to be significantly associated with the treatment outcome in a number of independent studies^{8–10}. Studies have also provided strong evidence that ~20% of patients with HCV genotype 1 and 5% of patients with genotype 2 or 3 have a null response to PEG-IFN- α /RBV. No definite predictor of this resistance is currently available that make it possible to bypass the initial 12–24 weeks' treatment before deciding whether treatment should be continued. If a reliable predictor of non-response were identified for use in patients before treatment initiation, then an estimated 20%, including those who have little or no chance to achieve SVR, could be spared the side effects and cost of treatment.

Host factors, including age, sex, race, liver fibrosis and obesity, have also been reported to be associated with PEG-IFN- α /RBV therapy outcome^{11,12}. However, little is known about the host genetic factors that might be associated with the response to therapy: thus far only

a few candidate genes, including those encoding type I interferon receptor-1 (*IFNAR1*) and mitogen-activated protein kinase-activated protein kinase 3 (*MAP3K3*), have been reported to be associated with treatment response^{13,14}. We describe here a GWAS for response to PEG-IFN- α /RBV treatment.

We conducted this GWAS to identify host genes associated with response to PEG-IFN- α /RBV treatment in 154 Japanese patients with HCV genotype 1 (82 with NVR and 72 with virologic response (VR), based on the selection criteria as described in Online Methods). We used the Affymetrix SNP 6.0 genome-wide SNP typing array for 900,000 SNPs. A total of 621,220 SNPs met the following criteria: (i) SNP call rate $\geq 95\%$, (ii) minor allele frequency (MAF) $\geq 1\%$ and (iii) deviation from Hardy-Weinberg equilibrium (HWE) $P \geq 0.001$ in VR samples. After excluding 4 NVR and 8 VR samples that showed quality control (QC) call rates of $< 95\%$, 78 NVR and 64 VR samples were included in the association analysis. **Figure 1** shows a genome-wide view of the single-point association data based on allele frequencies. Two SNPs located close to *IL28B* on chromosome 19 showed strong associations, with a minor allele dominant model (rs12980275, $P = 1.93 \times 10^{-13}$, and rs8099917, $P = 3.11 \times 10^{-15}$, respectively), with NVR to PEG-IFN- α /RBV treatment (**Table 1**). The rs8099917 lies between *IL28B* and *IL28A*, ~8 kb downstream from *IL28B* and ~16 kb upstream from *IL28A*. These associations reached genome-wide levels of significance for both SNPs in this initial GWAS cohort (Bonferroni criterion $P < 8.05 \times 10^{-8}$ ($0.05/621,220$)). The frequencies of minor allele-positive patients were much higher in the NVR group than in the VR group for both SNPs (74.3% in NVR, 12.5% in VR for rs12980275; 75.6% in NVR, 9.4% in VR for rs8099917). Notably, individuals homozygous for the minor allele were observed only in the NVR group. The VR group, as compared to the NVR group, showed genotype frequencies closer to those in the healthy Japanese population¹⁵, yet the minor allele frequencies were slightly higher in the transient virologic response (TVR) group (23.1%, 15.4%) than in the SVR group (9.8%, 7.8%) (**Table 1**). We applied the Cochran-Armitage test on all the SNPs and found a genetic inflation factor, λ , of 1.029 for the GWAS stage (**Supplementary Fig. 1**). We also carried out principal component analysis in 142 samples for the GWAS stage together with the HapMap samples (CEU, YRI, CHB and JPT) (**Supplementary Fig. 2**); this suggested that the effect of population stratification was negligible.

Table 1 Significant association of two SNPs (rs12980275 and rs8099917) with response to PEG-IFN- α /RBV treatment

dbSNP rsID	Nearest gene	MAF ^b (allele)	Allele (1/2)	Stage	Null responder (NVR ^a , n = 128)			Responder (VR ^a , n = 186)			Responder (SVR ^a , n = 140)			NVR vs. VR		NVR vs. SVR	
					11	12	22	11	12	22	11	12	22	OR (95% CI) ^c	P value ^d	OR (95% CI) ^c	P value ^d
rs12980275	<i>IL28B</i>	0.15 (G)	A/G	GWAS	20	54	4	56	8	0	46	5	0	20.3	1.93×10^{-13}	26.7	7.41×10^{-13}
					(25.6)	(69.2)	(5.1)	(87.5)	(12.5)	(0.0)	(90.2)	(9.8)	(0.0)	(8.3–49.9)		(9.3–76.5)	
					10	37	3	101	21	0	73	16	0	19.2	5.46×10^{-15}	18.3	8.37×10^{-13}
				Replication	(20.0)	(74.0)	(6.0)	(82.8)	(17.2)	(0.0)	(82.0)	(18.0)	(0.0)	(8.3–44.4)		(7.6–44.0)	
				Combined	30	91	7	157	29	0	119	21	0	17.7	2.84×10^{-27}	18.5	3.99×10^{-24}
					(23.4)	(71.1)	(5.5)	(84.4)	(15.6)	(0.0)	(85.0)	(15.0)	(0.0)	(10.0–31.3)		(10.0–34.4)	
rs8099917	<i>IL28B</i>	0.12 (G)	T/G	GWAS	19	56	3	58	6	0	47	4	0	30.0	3.11×10^{-15}	36.5	5.00×10^{-14}
					(24.4)	(71.8)	(3.8)	(90.6)	(9.4)	(0.0)	(92.2)	(7.8)	(0.0)	(11.2–80.5)		(11.6–114.6)	
					11	37	2	108	14	0	78	11	0	27.4	9.47×10^{-13}	25.1	1.00×10^{-14}
				Replication	(22.0)	(74.0)	(4.0)	(88.5)	(11.5)	(0.0)	(87.6)	(12.4)	(0.0)	(11.5–65.3)		(10.0–63.1)	
				Combined	30	93	5	166	20	0	125	15	0	27.1	2.68×10^{-32}	27.2	1.11×10^{-27}
					(23.4)	(72.7)	(3.9)	(89.2)	(10.8)	(0.0)	(89.3)	(10.7)	(0.0)	(14.6–50.3)		(13.9–53.4)	

^aNVR, null virologic response; VR, virologic response; SVR, sustained virologic response. The 186 VRs consisted of 46 transient virologic response (TVRs) and 140 SVRs. ^bMinor allele frequency and minor allele in 184 healthy Japanese individuals¹⁵. The MAF of the SNPs in SVR is similar to that of TVR group, whereas that of NVR is much higher (76.6%). ^cOdds ratio for the minor allele in a dominant model. ^d P value by χ^2 test for the minor allele dominant model.



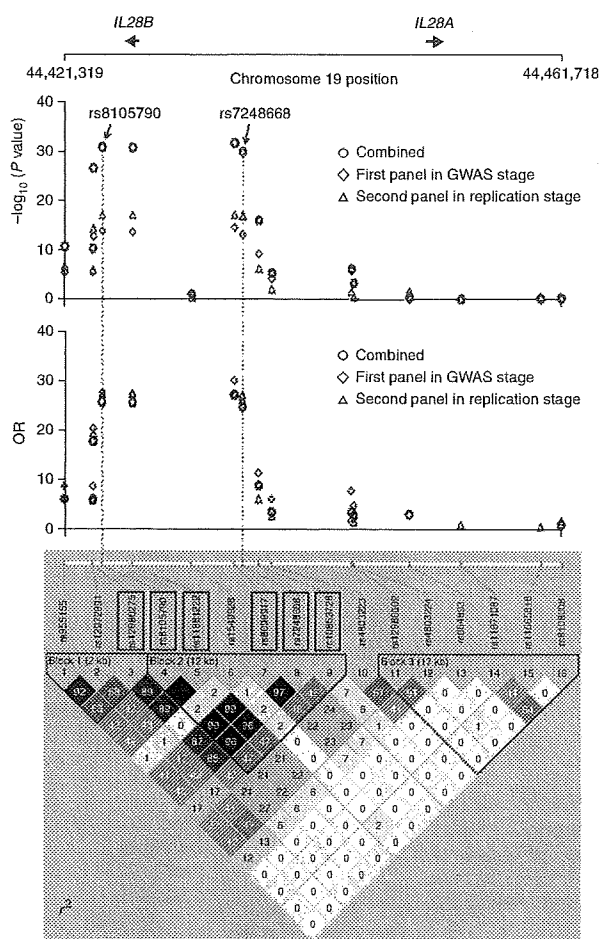


Figure 2 Genomic structure, P value and OR plots in association analysis and LD map around *IL28B* and *IL28A* (chr.19, nucleotide positions 44421319–44461718; build 35). P values by the χ^2 test for minor allele dominant effect model are shown for the first panel of 142 samples in the GWAS stage, the second panel of 172 samples in the replication stage, and the combined analysis. Below are estimates of pairwise r^2 for 16 SNPs selected in the replication study using a total of 314 Japanese patients with HCV treated with PEG-IFN- α /RBV. Boxes indicate the significantly associated SNPs with response to PEG-IFN- α /RBV treatment both in the GWAS stage and in the replication stage. Dotted lines indicate the region with the strongest associations from the positions of rs8105790 to rs7248668.

OR = 27.4 for rs8099917; Table 1). The combined P values for both stages reached 2.84×10^{-27} (OR = 17.7; 95% CI = 10.0–31.3) and 2.68×10^{-32} (OR = 27.1; 95% CI = 14.6–50.3), respectively (Table 1). Notably, when we compared the SVR ($n = 140$) with the NVR group ($n = 128$), the original two SNPs (rs12980275 and rs8099917) again showed strong associations: both P values and ORs were similar to those observed in the comparison between VR and NVR, and the combined P values for both stages reached 3.99×10^{-24} (OR = 18.5; 95% CI = 10.0–34.4) and 1.11×10^{-27} (OR = 27.2; 95% CI = 13.9–53.4), respectively (Table 1). Comparing SVR ($n = 140$) versus NVR plus TVR ($n = 174$), we again found that these SNPs were significantly associated ($P = 1.71 \times 10^{-16}$, OR = 8.8; 95% CI 5.1–15.4 for rs12980275; $P = 1.18 \times 10^{-18}$, OR = 12.1; 95% CI 6.5–22.4 for rs8099917, Supplementary Table 2), suggesting that these SNPs would predict NVR as well as SVR before PEG-IFN- α /RBV therapy.

Among the newly analyzed SNPs in the replication study, six (rs12980275, rs8105790, rs11881222, rs8099917, rs7248668 and rs10853728) showed significant associations both in the GWAS stage ($P < 8.05 \times 10^{-8}$) and in the replication stage ($P < 0.0031$ (0.05/16)) after Bonferroni correction. These SNPs are located within a 15.7-kb region that includes *IL28B* (Fig. 2 and Supplementary Table 1). In particular, the strongest associations with NVR were observed for four SNPs, rs8105790, rs11881222, rs8099917 and rs7248668, that are located in the downstream flanking region, the third intron and the upstream flanking region of *IL28B*. The combined P values for these polymorphisms were 1.98×10^{-31} (OR = 25.7; 95% CI = 13.9–47.6), 2.84×10^{-31} (OR = 25.6; 95% CI = 13.8–47.3), 2.68×10^{-32} (OR = 27.1; 95% CI = 14.6–50.3) and 1.84×10^{-30} (OR = 24.7; 95% CI = 13.3–45.8), respectively (Supplementary Table 1). We then sequenced this region to identify further variants and found three SNPs (rs8103142, rs28416813 and rs4803219) located in the third exon, the first intron and the upstream flanking region of *IL28B*, and a few infrequent variations. These SNPs also showed strong associations in the combined dataset of 128 NVR and 186 VR samples ($P = 1.40 \times 10^{-29}$, OR = 26.6 for rs8103142; $P = 5.52 \times 10^{-28}$, OR = 22.3 for rs28416813; $P = 2.45 \times 10^{-29}$, OR = 23.3 for rs4803219; Supplementary Table 3). We also performed LD and haplotype analyses with seven SNPs. These SNPs were in strong LD, and the risk haplotype showed a level of association similar to those of individual SNPs ($P = 1.35 \times 10^{-25}$, OR = 11.1; 95% CI = 6.6–18.6) (Table 2). These results suggest that the association with NVR was primarily driven by one of these SNPs.

We analyzed the region of ~40 kb (chr. 19, nucleotide positions 44421319–44461718; build 35) containing the significantly associated SNPs (rs12980275 and rs8099917) using Haploview software for linkage disequilibrium (LD) and haplotype structure based on the HapMap data for individuals of Japanese ancestry. The LD blocks were analyzed using the four-gamete rule, and four blocks were observed (Supplementary Fig. 3). We selected 16 SNPs for both replication study and high-density association mapping, including tagging SNPs estimated on the basis of the haplotype blocks, one SNP located within *IL28B* (rs11881222) and the significantly associated SNPs from the GWAS stage (rs12980275 and rs8099917) (Supplementary Table 1).

To validate the results of the GWAS stage, 16 SNPs selected for the replication stage, including the original SNPs, were genotyped using the DigiTag2 assay in an independent set of 172 Japanese patients with HCV treated with PEG-IFN- α /RBV treatment (50 NVR and 122 VR samples), together with the first panel of 142 samples analyzed in the GWAS stage (Supplementary Table 1). The associations of the original SNPs were replicated in the replication cohort of 172 patients ($P = 5.46 \times 10^{-15}$, OR = 19.2 for rs12980275; $P = 9.47 \times 10^{-18}$,

Table 2 Association analysis of response to treatment by *IL28B* haplotype

SNP	SNP							Frequencies		P value	OR (95% CI)
	rs8105790	rs11881222	rs8103142	rs28416813	rs4803219	rs8099917	rs7248668	NVR group	VR group		
T	A	T	C	C	T	G	0.543	0.942	1.81×10^{-32}	0.1 (0.04–0.12)	
C	G	C	G	T	G	A	0.387	0.054	1.35×10^{-25}	11.1 (6.6–18.6)	

Association analysis of haplotypes consisting of seven SNPs with response to PEG-IFN- α /RBV treatment in 314 Japanese patients with HCV. Boldface letters: rs11881222 (third intron); rs8103142 (third exon).

Table 3 Factors associated with NVR by logistic regression model

Factors	Odds ratio	95% CI	P value
rs8099917 (G allele)	37.68	16.71–83.85	<0.0001
Age	1.02	0.98–1.07	0.292
Gender (Female)	3.32	1.49–7.39	0.003
Re-treatment ^a	1.12	0.55–2.33	0.750
Platelet count	0.93	0.87–1.01	0.080
Aminotransferase level	1.00	0.99–1.00	0.735
Fibrosis stage ²⁰	1.10	0.73–1.66	0.658
HCV-RNA level	1.01	0.99–1.02	0.139

^aRe-treatment, non-response to previous treatment with interferon- α (plus RBV).

To examine the relative contribution of factors associated with NVR, we used a logistic regression model. One tagging SNP located within *IL28B* (minor allele of rs8099917) was the most significant factor for predicting NVR, followed by gender (Table 3). Clinically, viral factors such as HCV genotype and HCV RNA level are important for the outcome of PEG-IFN- α /RBV therapy. Indeed, mean HCV-RNA level was significantly lower in SVR (SVR versus TVR, $P = 0.002$; SVR versus NVR, $P = 0.016$; Supplementary Table 4). Mean platelet count and the proportion of mild fibrosis (F1–F2) were significantly higher in SVR than in NVR.

Real-time quantitative PCR assays in peripheral blood mononuclear cells revealed a significantly lower level of *IL28* mRNA expression in individuals with the minor alleles (Fig. 3), suggesting that variant(s) regulating *IL28* expression is associated with a response to PEG-IFN- α /RBV treatment. *IL28B* encodes a cytokine distantly related to type I (α and β) interferons and the interleukin (IL)-10 family. This gene and *IL28A* and *IL29* (encoding IL-28A and IL-29, respectively) are three closely related cytokine genes that encode proteins known as type III IFNs (IFN- λ s) and that form a cytokine gene cluster at chromosomal region 19q13 (ref. 16). The three cytokines are induced by viral infection and have antiviral activity^{16,17}. All three interact with a heterodimeric class II cytokine receptor that consists of IL-10 receptor beta (IL10R β) and IL-28 receptor alpha (IL28R α , encoded by *IL28RA*)^{16,17}, and they may serve as an alternative to type I IFNs in providing immunity to viral infection.

Notably, a recent report showed that the strong antiviral activity evoked by treating mice with TLR3 or TLR9 agonists was significantly reduced in both *IL28RA*^{-/-} and *IFNAR*^{-/-} mice, indicating that IFN- λ is important in mediating antiviral protection by ligands for TLR3 and TLR9 (ref. 18). IFN- λ induced a steady increase in the expression of a subset of IFN-stimulated genes, whereas IFN- α induced the same genes with more rapid and transient kinetics¹⁹. Therefore, it is possible that IFN- λ induces a slower but more sustained response that is important for TLR-mediated antiviral protection. This might be one of the ways that a genetic variant regulating *IL28* expression influences the response to PEG-IFN- α /RBV treatment. Further research will be required to fully understand the specific mechanism by which a genotype might affect the response to treatment.

In conclusion, the strongest associations with NVR were observed for seven SNPs, rs8105790, rs11881222, rs8103142, rs28416813, rs4803219, rs8099917 and rs7248668, that are located in the downstream flanking region, the third intron, the third exon, the first intron and the upstream flanking region of *IL28B*. Further studies following our report of this robust genetic association to NVR may make it possible to develop a pre-treatment predictor of which individuals are likely to respond to PEG-IFN- α /RBV treatment. This would remove the need for the initial 12–24 weeks of treatment that is currently used as a basis for a clinical decision about whether treatment should be continued. That would allow better targeting of PEG-IFN- α /RBV

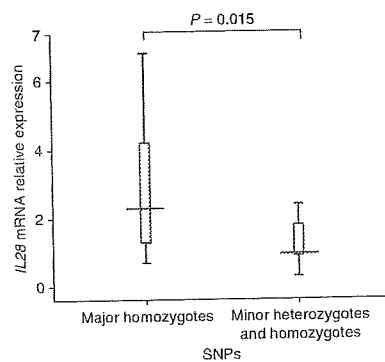


Figure 3 Quantification of *IL28* mRNA expression. The expression level of *IL28* genes was determined by real-time quantitative RT-PCR using RNA purified from peripheral blood mononuclear cells. Distribution of relative gene expression levels was compared between the individuals homozygous for major alleles ($n = 10$) and the heterozygous or homozygous individuals carrying minor alleles ($n = 10$) of rs8099917 by using the Mann-Whitney U -test. The bars indicate the median. All samples were obtained from HCV-infected patients before PEG-IFN- α /RBV therapy.

treatment, avoiding the unpleasant side effects that commonly accompany the treatment where it is unlikely to be beneficial, and reduce overall treatment costs. Because of the small number of samples in this study, we plan to conduct a further prospective multicenter study to establish these SNPs as a clinically useful marker.

METHODS

Methods and any associated references are available in the online version of the paper at <http://www.nature.com/naturegenetics/>.

Note: Supplementary information is available on the Nature Genetics website.

ACKNOWLEDGMENTS

This study was supported by a grant-in-aid from the Ministry of Health, Labour, and Welfare of Japan (H19-kannen-013). This study is based on 15 multicenter hospitals throughout Japan, in the Hokkaido area (Hokkaido University Hospital), Kanto area (Saitama University Hospital; Konodai Hospital; Musashino Red Cross Hospital; Tokyo Medical and Dental University Hospital), Koshin area (Shinshu University Hospital; Kanazawa University Hospital), Tokai area (Nagoya City University Hospital), Kinki area (Kyoto Prefectural University of Medicine Hospital; National Hospital Organization Osaka National Hospital; Hyogo College of Medicine Hospital) and Chugoku/Shikoku area (Tottori University Hospital; Ehime University Hospital; Yamaguchi University Hospital; Kawasaki Medical College Hospital). We thank Y. Uehara-Shibata, Y. Ogasawara, Y. Ishibashi and M. Yamaoka-Sageshima (Tokyo University) for technical assistance; A. Matsumoto (Shinshu), K. Naiki (Saitama), K. Nishimura (Kyoto), H. Enomoto (Hyogo), K. Oyama (Tottori) and the Ochanomizu Liver Conference Study Group for collecting samples; M. Watanabe (Tokyo Medical and Dental University), S. Kaneko (Kanazawa University) and M. Onji (Ehime University) for their advice throughout the study; and H. Ito (Aichi Cancer Center) for conducting statistical analyses.

AUTHOR CONTRIBUTIONS

Study design and discussion: Y.T., N.N., N.M., K.T., M.M.; sample collection: Y.T., M.K., K.M., N.S., M.N., M.K., K.H., S.H., Y.I., E.M., E.T., S.M., Y.M., M.H., A.S., Y.H., S.N., I.S., M.I., K.I., K.Y., F.S., N.I.; genotyping: N.N.; statistical analysis: N.N., A.K., K.I.; quantitative RT-PCR: M.S.; manuscript writing: Y.T., N.N., K.T., M.M.

Published online at <http://www.nature.com/naturegenetics/>.

Reprints and permissions information is available online at <http://npg.nature.com/reprintsandpermissions/>.

1. Ray Kim, W. Global epidemiology and burden of hepatitis C. *Microbes Infect.* 4, 1219–1225 (2002).
2. Manns, M.P. *et al.* Peginterferon alfa-2b plus ribavirin compared with interferon alfa-2b plus ribavirin for initial treatment of chronic hepatitis C: a randomised trial. *Lancet* 358, 958–965 (2001).



3. Fried, M.W. *et al.* Peginterferon alfa-2a plus ribavirin for chronic hepatitis C virus infection. *N. Engl. J. Med.* **347**, 975–982 (2002).
4. Hadziyannis, S.J. *et al.* Peginterferon-alpha2a and ribavirin combination therapy in chronic hepatitis C: a randomized study of treatment duration and ribavirin dose. *Ann. Intern. Med.* **140**, 346–355 (2004).
5. Bruno, S. *et al.* Peginterferon alfa-2b plus ribavirin for naive patients with genotype 1 chronic hepatitis C: a randomized controlled trial. *J. Hepatol.* **41**, 474–481 (2004).
6. Sezaki, H. *et al.* Poor response to pegylated interferon and ribavirin in older women infected with hepatitis C virus of genotype 1b in high viral loads. *Dig. Dis. Sci.* **54**, 1317–1324 (2009).
7. Fried, M.W. Side effects of therapy of hepatitis C and their management. *Hepatology* **36**, S237–S244 (2002).
8. Pascu, M. *et al.* Sustained virological response in hepatitis C virus type 1b infected patients is predicted by the number of mutations within the NS5A-ISDR: a meta-analysis focused on geographical differences. *Gut* **53**, 1345–1351 (2004).
9. Shirakawa, H. *et al.* Pretreatment prediction of virological response to peginterferon plus ribavirin therapy in chronic hepatitis C patients using viral and host factors. *Hepatology* **48**, 1753–1760 (2008).
10. Akuta, N. *et al.* Predictive factors of early and sustained responses to peginterferon plus ribavirin combination therapy in Japanese patients infected with hepatitis C virus genotype 1b: amino acid substitutions in the core region and low-density lipoprotein cholesterol levels. *J. Hepatol.* **46**, 403–410 (2007).
11. Walsh, M.J. *et al.* Non-response to antiviral therapy is associated with obesity and increased hepatic expression of suppressor of cytokine signalling 3 (SOCS-3) in patients with chronic hepatitis C, viral genotype 1. *Gut* **55**, 529–535 (2006).
12. Gao, B., Hong, F. & Radaeva, S. Host factors and failure of interferon-alpha treatment in hepatitis C virus. *Hepatology* **39**, 880–890 (2004).
13. Matsuyama, N. *et al.* The dinucleotide microsatellite polymorphism of the IFNAR1 gene promoter correlates with responsiveness of hepatitis C patients to interferon. *Hepatol. Res.* **25**, 221–225 (2003).
14. Tsukada, H. *et al.* A polymorphism in MAPKAPK3 affects response to interferon therapy for chronic hepatitis C. *Gastroenterology* **136**, 1796–1805 (2009).
15. Nishida, N. *et al.* Evaluating the performance of Affymetrix SNP Array 6.0 platform with 400 Japanese individuals. *BMC Genomics* **9**, 431 (2008).
16. Kotenko, S.V. *et al.* IFN- λ s mediate antiviral protection through a distinct class II cytokine receptor complex. *Nat. Immunol.* **4**, 69–77 (2003).
17. Sheppard, P. *et al.* IL-28, IL-29 and their class II cytokine receptor IL-28R. *Nat. Immunol.* **4**, 63–68 (2003).
18. Ank, N. *et al.* An important role for type III interferon (IFN-lambda/IL-28) in TLR-induced antiviral activity. *J. Immunol.* **180**, 2474–2485 (2008).
19. Marcello, T. *et al.* Interferons alpha and lambda inhibit hepatitis C virus replication with distinct signal transduction and gene regulation kinetics. *Gastroenterology* **131**, 1887–1898 (2006).
20. Desmet, V.J., Gerber, M., Hoofnagle, J.H., Manns, M. & Scheuer, P.J. Classification of chronic hepatitis: diagnosis, grading and staging. *Hepatology* **19**, 1513–1520 (1994).



Predictive values of amino acid sequences of the core and NS5A regions in antiviral therapy for hepatitis C: a Japanese multi-center study

Takeshi Okanoue · Yoshito Itoh · Hiroaki Hashimoto · Kohichiroh Yasui · Masahito Minami · Tetsuo Takehara · Eiji Tanaka · Morikazu Onji · Joji Toyota · Kazuaki Chayama · Kentaro Yoshioka · Namiki Izumi · Norio Akuta · Hiromitsu Kumada

Received: 31 March 2009 / Accepted: 20 April 2009 / Published online: 11 June 2009
© Springer 2009

Abstract

Background Chronic hepatitis C (CHC) genotype 1b patients with high viral load are resistant to peginterferon (PEG-IFN) and ribavirin (RBV) combination therapy, especially older and female patients.

Methods To elucidate the factors affecting early and sustained viral responses (EVR and SVR), 409 genotype 1b patients CHC with high viral loads who had received 48 weeks of PEG-IFN/RBV therapy were enrolled. The amino acid (aa) sequences of the HCV core at positions 70 and 91 and of the interferon sensitivity determining region (ISDR) were analyzed. Host factors, viral factors, and

treatment-related factors were subjected to multivariate analysis.

Results Male gender, low HCV RNA load, high platelet count, two or more aa mutations of ISDR, and wild type of core aa 70 were independent predictive factors for SVR. In patients with over 80% adherences to both PEG-IFN and RBV, male gender, mild fibrosis stage, and wild type of core aa 70 were independent predictors for SVR.

Conclusions Independent predictive factors for SVR were: no aa substitution at core aa 70, two or more aa mutations in the ISDR, low viral load, high values of platelet count, mild liver fibrosis and male gender.

T. Okanoue (✉)
Hepatology Center, Saiseikai Suita Hospital,
1-2 Kawazonocho, Suita 564-0013, Japan
e-mail: okanoue@suita.saiseikai.or.jp

T. Okanoue · Y. Itoh · H. Hashimoto · K. Yasui · M. Minami
Department of Gastroenterology and Hepatology,
Kyoto Prefectural University of Medicine,
Kawaramachi-Hirokoji Kamigyo-Ku,
Kyoto 602-8566, Japan

Y. Itoh
e-mail: yitoh@koto.kpu-m.ac.jp

H. Hashimoto
e-mail: road1820@yahoo.co.jp

K. Yasui
e-mail: yasui@koto.kpu-m.ac.jp

M. Minami
e-mail: minami@koto.kpu-m.ac.jp

T. Takehara
Department of Gastroenterology and Hepatology,
Osaka University Graduate School of Medicine,
2-2 Yamadaoka, Suita 565-0871, Japan
e-mail: takehara@gh.med.osaka-u.ac.jp

E. Tanaka
Department of Medicine, Shinshu University School
of Medicine, 3-1-1 Asahi, Matsumoto 390-8621, Japan
e-mail: etanaka@shinshu-u.ac.jp

M. Onji
Department of Gastroenterology, Ehime University,
454 Shizukawa, Tohon, Ehime 791-0295, Japan
e-mail: onjimori@m.med.ehime-u.ac.jp

J. Toyota
Department of Gastroenterology, Sapporo-Kosei General
Hospital, Kita Sanjyo, Chuo-ku, Sapporo 060-0033, Japan
e-mail: joji.toyota@ja_hokkaidoukouseiren.or.jp

K. Chayama
Department of Medicine and Molecular Science,
Graduate School of Science, Hiroshima University,
1-2-3 Kasumi, Minami-ku, Hiroshima,
Hiroshima 734-8551, Japan
e-mail: chayama@hiroshima-u.ac.jp

K. Yoshioka
Department of Hepato-Biliary-Pancreas, Fujita Health Science,
Kutsukake-cho, Toyoake 470-1192, Japan
e-mail: kyoshiok@fujita-hu.ac.jp

Keywords Chronic hepatitis C · Peginterferon and ribavirin · Core amino acid · Interferon sensitivity determining region

Abbreviations

CHC	Chronic hepatitis C
PEG-IFN	Peginterferon
RBV	Ribavirin
RVR	Rapid viral response
cEVR	Complete early viral response
LVR	Late viral response
ETR	End of treatment response
NR	Non response
SVR	Sustained viral response
ISDR	Interferon sensitivity determining region
Aa	Amino acid
ALT	Alanine aminotransferase
PLT	Platelet
HCC	Hepatocellular carcinoma

Introduction

A combination of pegylated interferon (PEG-IFN) and ribavirin (RBV) therapy for 48 weeks achieves a sustained viral response (SVR) rate of 40–50% in chronic hepatitis C (CHC) patients with a high viral load of genotype 1 [1–4]. The dose-reduction rate and the frequency of discontinuation of this treatment are high in aged patients [5]. The SVR rate of the therapy is lower in females than males, especially in older patients in Japan [6].

Around 30% of HCV carriers have serum alanine aminotransferase (ALT) levels within the upper limit of normal ranges [7, 8] and HCV carriers with persistently normal serum ALT (PNALT) and serum platelet (PLT) counts of over $15 \times 10^4/\text{mm}^3$ show low grade hepatic fibrosis and good prognosis [9]. Before treating HCV carriers, it is very important to predict non-response to PEG-IFN plus RBV therapy because of its medical cost, adverse effects, and its impact on the long term quality of life.

N. Izumi

Department of Gastroenterology and Hepatology,
Musashino Red Cross Hospital, Sakaiminamimachi,
Musashino 180-8610, Japan
e-mail: nizumi@musashino.jrc.or.jp

N. Akuta · H. Kumada

Department of Hepatology, Toranomon Hospital,
Kajigaya, Takatsu-ku, Kawasaki 213-8587, Japan

N. Akuta

e-mail: akuta-gi@umin.ac.jp

H. Kumada

e-mail: kumahiro@toranomon.gr.jp

There are many factors affecting response to IFN monotherapy and PEG-IFN/RBV therapy, including body mass index (BMI) [10, 11], steatosis [12, 13], insulin resistance [14], stage of liver fibrosis [15, 16], total cholesterol (T. Chol), triglyceride (TG), adherence to both PEG-IFN and RBV [17], race [18, 19], age [1, 2, 20], and viral factors including serum quantity of HCV RNA, HCV genotype and substitution of amino acids (aa) in the interferon sensitivity determining region (ISDR, 2209–2248) of the nonstructural protein 5A (NS5A) [21] and in the core protein [22, 23]. Early viral response is an important predictive factor in PEG-IFN/RBV therapy for CHC patients with genotype 1 and high viral loads [24–27].

The aim of this study was to elucidate the valuable predictive factors of SVR in Japanese patients with HCV genotype 1b high viral loads following 48 weeks of PEG-IFN/RBV therapy, focusing on the relationship between aa substitutions in the ISDR and at core aa 70 and 91 and early viral kinetics.

Patients and methods

Selection of patients

This retrospective study was conducted at 15 clinical sites in Japan which are part of the Study Group of Optimal Treatment of Viral Hepatitis supported by the Ministry of Health, Labor and Welfare, Japan. Eligible subjects were CHC patients, who (1) had received liver biopsy; (2) were genotype 1b with high viral load (≥ 100 KIU/ml by Cobas Amplicor Hepatitis C Virus Test, version 2.0) at the start of PEG-IFN/RBV therapy; (3) received weekly injections of PEG-IFN- α -2b (PEG-INTRON; Shering-Plough, Kenilworth, NJ) of 1.5 $\mu\text{g}/\text{kg}$ bw and oral administration of RBV (Rebetol; Shering-Plough) for 48 weeks. The amount of RBV was adjusted based on the subject's body weight; (600 mg for ≤ 60 kg bw, 800 mg for 60–80 kg bw, 1,000 mg for > 80 kg bw); (4) were examined serially for quantitative and qualitative HCV RNA; and (5) the aa sequences at positions 70 and 91 in the core region and of the ISDR in the NS5A had been determined in pretreatment sera.

Hepatitis B virus (HBV) infection, human immunodeficiency virus (HIV) infection, autoimmune hepatitis, primary biliary cirrhosis, hemochromatosis, and Wilson's disease were excluded. Histopathological diagnosis was based on the scoring system of Desmet et al. [28]. The definition of alcohol abuse included patients having a history of more than 100 kg of total ethanol intake. Complete blood counts, liver function tests, serum lipids, serum ferritin, serum fibrosis markers, fasting plasma glucose (FPG), and immune reactive insulin (IRI) were examined in most cases. Written informed consent was obtained from all

patients before treatment, and the protocol was approved by the ethics committees in each site.

Study design

Four hundred and nine patients who completed 48 weeks of treatment and were followed for more than 24 weeks after treatment were enrolled in the first study (*Study design 1*).

To elucidate the effect of aa substitution of HCV core and in the ISDR on HCV dynamics, including a rapid viral response (RVR), complete early viral response (cEVR), a late viral response (LVR) and SVR, according to gender and age (<60 years \geq 60 years), 201 of the 409 patients maintaining over 80% adherences to both PEG-IFN and RBV were enrolled in the second study (*Study design 2*).

Nucleotide sequencing of the core and NA5A gene

The nucleotide sequences encoding aa 1–191 (HCV core) and aa 2209–2248 (ISDR) were analyzed by direct sequencing as described by Akuta et al. [22, 27] and Enomoto et al. [21]. In brief, RNA was extracted from the sera and converted to cDNA and two nested rounds of polymerase chain reaction (PCR) were performed. Primers used in the PCR were as follows; (a) Nucleotide sequences of the core region: the first-round PCR was performed with CC11 (sense) and e14 (antisense) primers [22, 27], and the second-round PCR with CC9 (sense) and e14 (antisense) primers [22, 27]. (b) Nucleotide sequences of the ISDR in NS5A: the first-round PCR was performed with ISDR1 (sense) and ISDR2 (antisense) primers [21], and the second-round PCR with ISDR3 (sense) and ISDR4 (antisense) primers [21]. These sequences were compared with the consensus sequence of genotype 1b (HCV-J) [29]. Wild types virus encoded arginine and leucine at aa 70 and 91, respectively, and the aa substitutions were glutamine or histidine at aa 70 and methionine at aa 91.

Viral kinetic study

Serum HCV RNA levels were measured by PCR (Amplicor HCV RNA kit, version 2.0, Roche Diagnostics) using samples taken before treatment and at 4, 12, 24, and 48 weeks after the therapy. SVR was defined as HCV RNA negativity by qualitative analysis by PCR at 24 weeks after the treatment. RVR was defined as HCV RNA negativity at 4 weeks, cEVR as HCV RNA negativity at 12 weeks, LVR as HCV RNA negativity during 13–24 weeks and an end of treatment response (ETR) as HCV RNA negativity at the end of treatment. Patients who remained positive for HCV RNA at the end of the treatment and at 24 weeks after the therapy were defined as non-responders (NR).

Adherences to PEG-IFN and RBV

Adherences to PEG-IFN and RBV were assessed by separately calculating the actual doses of PEG-IFN and RBV received as percentages of the intended dosages. Adherences to PEG-IFN and RBV were divided into two groups; $80\% \leq$ and $<80\%$.

Statistical analysis

All data analyses were conducted using the SAS version 9.1.3 statistical analysis packages (SAS Institute, Cary, NC, USA). Individual characteristics between groups were evaluated by Mann–Whitney *U* test for numerical variables or Fisher's exact test for categorical variables. Variables exhibiting values of $p < 0.1$ in the univariate analysis were subjected to stepwise multivariate logistic regression analysis. The grade of steatosis and iron deposition in liver tissue, BMI, albumin (Alb), low density lipoprotein-cholesterol (LDL-C), homeostasis model assessment-insulin resistance (HOMA-IR), ferritin, and hyaluronic acid were excluded from multivariate logistic regression analysis because of the absence of those data in more than 10% of the patients. All *p* values of $p < 0.05$ by the two-tailed test were considered statistically significant.

Results

Study design 1

Baseline backgrounds, characteristics and adherences of peginterferon and ribavirin in males and females

The treatment outcome of PEG-IFN and RBV combination therapy depends on gender in Japanese patients, so in addition to aa substitutions in the ISDR in NS5A [21] or at HCV core 70 and 91 [22, 27], we compared the baseline characteristics according to gender (Table 1). Males were younger and the grade of hepatic inflammation was milder in males. The serum levels of LDL-C, PLT count, and aa substitutions of ISDR and at core 70 and 91 did not differ significantly different between males and females. The frequency of no alcohol abuse was significantly ($p < 0.0001$) higher in females than males (Some of them are not described in Table 1).

The rates of over 80% adherences to PEG-IFN and RBV were significantly lower ($p = 0.0066$, $p < 0.00001$, respectively) in females than males. Only in those above 60 years did the rate of over 80% adherence to PEG-IFN not differ significantly between males and females, but the rate of over 80% adherence to RBV was significantly lower ($p = 0.035$) in females than males (Table 1).

Table 1 Backgrounds and characteristics of male and female patients

Factors	Gender		<i>p</i> value
	Male	Female	
No. of patients	256 (62.6%)	153 (37.4%)	
Age			
Median (range)	53 (18–73)	59 (23–75)	0.00001
F stage			
F0–2	206 (80.5%)	119 (77.8%)	0.592
F3–4	50 (19.5%)	34 (22.2%)	
Grade (A factor)			
A0–1	163 (63.7%)	79 (51.6%)	0.026
A2–3	93 (36.3%)	74 (48.4%)	
HCV RNA load 0 week (KIU/mL)			
Median (range)	1500 (100–5000 <)	1280 (100–5000<)	0.384
ALT 0 week (IU/L)			
Median (range)	74.5 (16–504)	59 (19–391)	0.001
BMI			
Median (range)	23.6 (17.5–31.2)	22.1 (16.1–33.9)	0.00033
Alb (g/dL)			
Median (range)	4.0 (3.0–5.2)	3.8 (3.0–4.8)	0.011
LDL-C (mg/dL)			
Median (range)	97 (30–185)	90 (34–174)	0.612
T-Chol (mg/dL)			
Median (range)	167 (85–273)	176 (114–261)	0.0016
PLT count ($\times 10^6/\text{mm}^3$)			
Median (range)	17.0 (8.0–31.9)	16.4 (8.1–39.9)	0.350
Amino acid mutation of ISDR			
0–1	200 (78.1%)	121 (79.1%)	0.608
2 \leq	56 (21.9%)	32 (20.9%)	
Amino acid substitution of core 70			
Wild	177 (69.1%)	114 (74.5%)	0.261
Mutant	79 (30.9%)	39 (25.5%)	
Amino acid substitution of core 91			
Wild	153 (59.8%)	98 (64.1%)	0.403
Mutant	103 (40.2%)	55 (35.9%)	
PEG-IFN adherence			
<80%	41 (17.7%)	42 (30.4%)	0.0066
80% \leq	190 (82.3%)	96 (69.6%)	
Ribavirin adherence			
<80%	54 (23.6%)	73 (52.1%)	<0.00001
80% \leq	175 (76.4%)	67 (47.9%)	
Age: <60 years			
PEG adherence			
<80%	30 (17.8%)	23 (31.5%)	0.027
80% \leq	139 (82.2%)	50 (68.5%)	
Ribavirin adherence			
<80%	27 (16.2%)	31 (42.5%)	0.000029
80% \leq	140 (83.8%)	42 (57.5%)	
Age: 60 years \leq			
PEG adherence			
<80%	11 (17.7%)	19 (29.2%)	0.147
80% \leq	51 (82.3%)	46 (70.8%)	
Ribavirin adherence			
<80%	27 (43.5%)	42 (62.7%)	0.035
80% \leq	35 (56.5%)	25 (37.3%)	

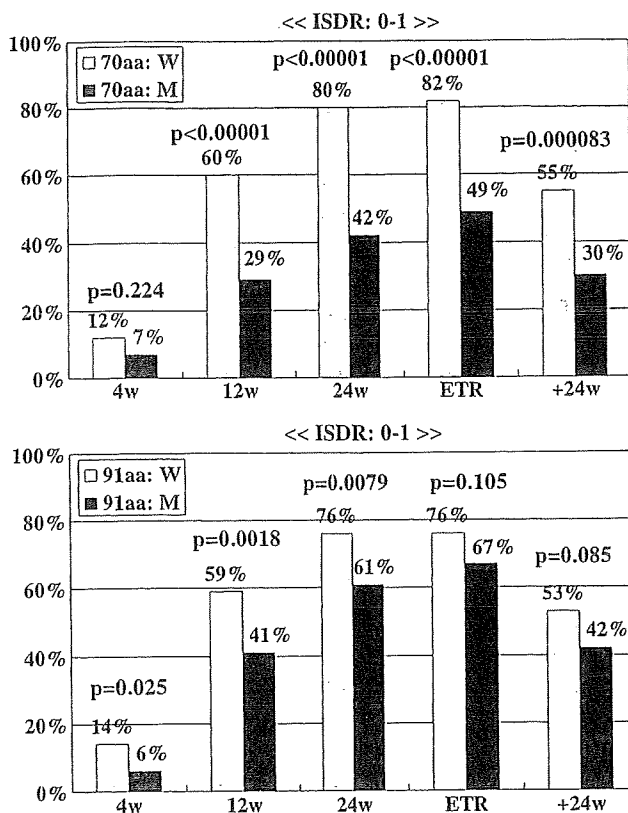


Fig. 1 Relationship between time course of serum HCV RNA negativity and amino acid substitutions in the ISDR and core amino acids 70 and 91. For cases with no or only one amino acid (aa) change in the ISDR, the rates of cEVR, LVR, ETR and SVR were significantly higher in patients with wild type core aa 70 but only the rates of RVR, cEVR, and LVR were significantly higher in patients with wild type core aa 91

Amino acid substitutions

There were no significant differences in the frequency of aa substitutions in the ISDR between males and females. Core aa substitutions at positions 70 and 91 were as follows; 291 (71.1%) were wild type and 118 (28.9%) were mutant at core aa 70, and 251 (61.4%) were wild type and 158 (38.6%) were mutant at core aa 91. There were no significant differences between males and females and between patients below and above 60 years of age.

Virological responses and aa substitutions

The rate of RVR did not differ significantly between males and females. However, more male patients showed HCV RNA negativity at 12 weeks (males vs. females; 60.7 vs. 48.4%, $p = 0.018$), 24 weeks (76.8 vs. 64.2%, $p = 0.0078$) and 48 weeks (78.2 vs. 68.6%, $p = 0.049$), and the proportion of male patients in SVR was significantly higher than that of females (61.3 vs. 37.3%, $p < 0.00001$).

RVR, cEVR and SVR rates were significantly higher in patients with two or more aa mutations in the ISDR compared to patients having no or one aa substitution in that region (20 vs. 11%, $p = 0.044$; 71 vs. 52%, $p = 0.0021$; 66 vs. 49%, $p = 0.0054$, respectively). AA substitution at core position 70 resulted in significantly lower rate of cEVR, LVR, ETR, and SVR (40 vs. 63%, $p = 0.000037$; 51 vs. 81%, $p < 0.00001$; 56 vs. 83%, 41 vs. 57%; $p < 0.00001$, $p = 0.0031$, respectively). Although the patients with the wild type aa at core 91 showed significantly higher rates of RVR and cEVR, the rate of SVR was not significantly higher in those patients ($p = 0.054$).

SVR rates were 30% for patients with no or one aa substitution in the ISDR and the core aa 70 substitution, and were significantly lower compared to those with the wild type aa core 70 (Fig. 1). These findings were not confirmed in patients with no or one aa substitution in the ISDR and the core aa 91 substitution (Fig. 1).

Factors affecting SVR by univariate analysis

Univariate analysis identified nine parameters that influenced non-SVR significantly: female gender, older age, advanced staged liver fibrosis, high viral load, low serum Alb level, low PLT count, no or one aa substitution in the ISDR, aa substitution at core aa 70, and low adherence to RBV (Table 2). The frequency of steatosis and HOMA-IR were significantly ($p = 0.0057$, $p < 0.00001$, respectively) lower in patients with SVR compared with non-SVR (data not shown). However, these factors were not entered in the multivariate analysis because of the absence of the data in many cases.

Factors affecting RVR, cEVR, and SVR by multivariate logistic regression analysis

Multivariate analysis identified four parameters that influenced RVR independently: low HCV RNA load, low serum ALT level, two or more aa mutations in the ISDR and the wild type aa at core position 91 (Table 3).

Concerning cEVR, male gender, mild fibrosis stage, low HCV RNA load, two or more aa mutations in the ISDR, and the wild type aa at core positions 70 and 91 were independent predictors (Table 3).

Concerning SVR, male gender ($p < 0.0001$), low HCV RNA load ($p = 0.013$), high PLT count ($p = 0.0019$), two or more aa mutations in the ISDR ($p = 0.024$), and wild type core aa 70 ($p = 0.0045$) were found to be independent predictors (Table 3).

The predictive values of the combination of gender, PLT count, ISDR and core aa 70 are shown in Fig. 2a. In male patients having PLT of $<15 \times 10^4/\text{mm}^3$, and, no or one aa substitution in the ISDR, the SVR rate was 68% when core 70

Table 2 Univariate analysis to identify the factors of SVR

Factors	Negative of HCV RNA after 24 weeks		<i>p</i> value
	(-)	(+)	
No. of patients	214 (52.3%)	195	
Gender			
Male	157 (61.3%)	99	<0.00001
Female	57 (37.3%)	96	
Age			
Median (range)	52.5 (18–75)	58 (20–74)	<0.00001
<60 years	155 (58.1%)	112	0.0018
60 years ≤	59 (41.5%)	83	
Age: <60 years			
Male	118 (63.4%)	68	0.010
Female	37 (45.7%)	44	
Age: 60 years ≤			
Male	39 (55.7%)	31	0.0011
Female	20 (27.8%)	52	
F stage			
F0–2	190 (58.5%)	135	0.000013
F3–4	25 (29.8%)	59	
Grade (A factor)			
A0–1	138 (56.8%)	104	0.130
A2–3	81 (48.5%)	86	
HCV RNA load 0 week (KIU/mL)			
Median (range)	1300 (100–5000<)	1700 (130–5000<)	0.016
ALT 0 week (IU/L)			
Median (range)	66 (16–391)	67 (19–504)	0.892
BMI			
Median (range)	23.0 (17.3–32.4)	23.25 (16.1–33.9)	0.714
Alb (g/dL)			
Median (range)	4.0 (3.2–5.2)	3.8 (3.0–4.8)	0.0088
LDL-C (mg/dL)			
Median (range)	94.5 (31–185)	97.5 (30–182)	0.611
T-Chol (mg/dL)			
Median (range)	169.5 (85–257)	170 (103–273)	0.511
PLT count ($\times 10^4/\text{mm}^3$)			
Median (range)	18.2 (8.7–39.9)	15.1 (8.0–31.9)	<0.00001
<15	54 (36.5%)	94	<0.00001
15 ≤	160 (61.3%)	101	
Amino acid mutation of ISDR			
0–1	156 (48.6%)	165	0.0054
2 ≤	58 (65.9%)	30	
Amino acid substitution of core 70			
Wild	166 (57.0%)	125	0.0031
Mutant	48 (40.7%)	70	
Amino acid substitution of core 91			
Wild	141 (56.2%)	110	0.054
Mutant	73 (46.2%)	85	
PEG-IFN adherence			
<80%	35 (42.2%)	48	0.063
80% ≤	154 (53.8%)	132	
Ribavirin adherence			
<80%	55 (43.3%)	72	0.048
80% ≤	132 (54.5%)	110	

Table 3 Multivariate logistic regression analysis to identify independent predictive factors of RVR, cEVR, and SVR

	Odds ratio	95% CI	<i>p</i> value
RVR factors selected by stepwise method			
F stage			
F0–2/F3–4	2.924	0.988–8.696	0.053
HCV RNA load 0 week (KIU/mL)			
<1000/1000≤	2.151	1.130–4.082	0.020
ALT 0 week (IU/L)			
<60/60≤	2.165	1.127–4.149	0.020
Amino acid mutation of ISDR			
2≤/0–1	2.371	1.187–4.735	0.014
Amino acid substitution of core 91			
W/M	2.137	1.021–4.464	0.044
cEVR factors selected by stepwise method			
Gender			
Male/female	1.912	1.209–3.021	0.0055
F stage			
F0–2/F3–4	2.079	1.133–3.817	0.018
HCV RNA load 0 week (KIU/mL)			
<1000/1000≤	1.608	1.002–2.577	0.049
PLT count ($\times 10^4/\text{mm}^3$)			
15≤/<15	1.427	0.882–2.309	0.148
Amino acid mutation of ISDR			
2≤/0–1	2.512	1.407–4.485	0.0018
Amino acid substitution of core 70			
W/M	2.513	1.508–4.184	0.0004
Amino acid substitution of core 91			
W/M	1.965	1.241–3.115	0.004
SVR factors selected by stepwise method			
Gender			
Male/female	3.704	2.132–6.410	<0.0001
F stage			
F0–2/F3–4	1.812	0.888–3.690	0.103
HCV RNA load 0 week (KIU/mL)			
<1000/1000≤	2.024	1.163–3.534	0.013
PLT count ($\times 10^4/\text{mm}^3$)			
15≤/<15	2.469	1.394–4.372	0.0019
Amino acid mutation of ISDR			
2≤/0–1	2.148	1.107–4.170	0.024
Amino acid substitution of core 70			
W/M	2.415	1.316–4.444	0.0045
Amino acid substitution of core 91			
W/M	1.433	0.828–2.481	0.199
PEG adherence (%)			
80≤/<80	1.562	0.834–2.926	0.164

W Wild, M Mutant

was a wild type but only 16% in patients with mutant at core 70. In female patients, no or one aa substitution in ISDR and $<15 \times 10^4/\text{mm}^3$ of PLT count, the SVR rates were as low as 10 or 8%, irrespective of aa substitution at core 70. SVR was

only 24% in patients with substitution of core aa 70 even when the PLT count was $\geq 15 \times 10^4/\text{mm}^3$. In this study, the combination analysis of PLT count, ISDR, and core aa substitution was useful for predicting non-SVR.

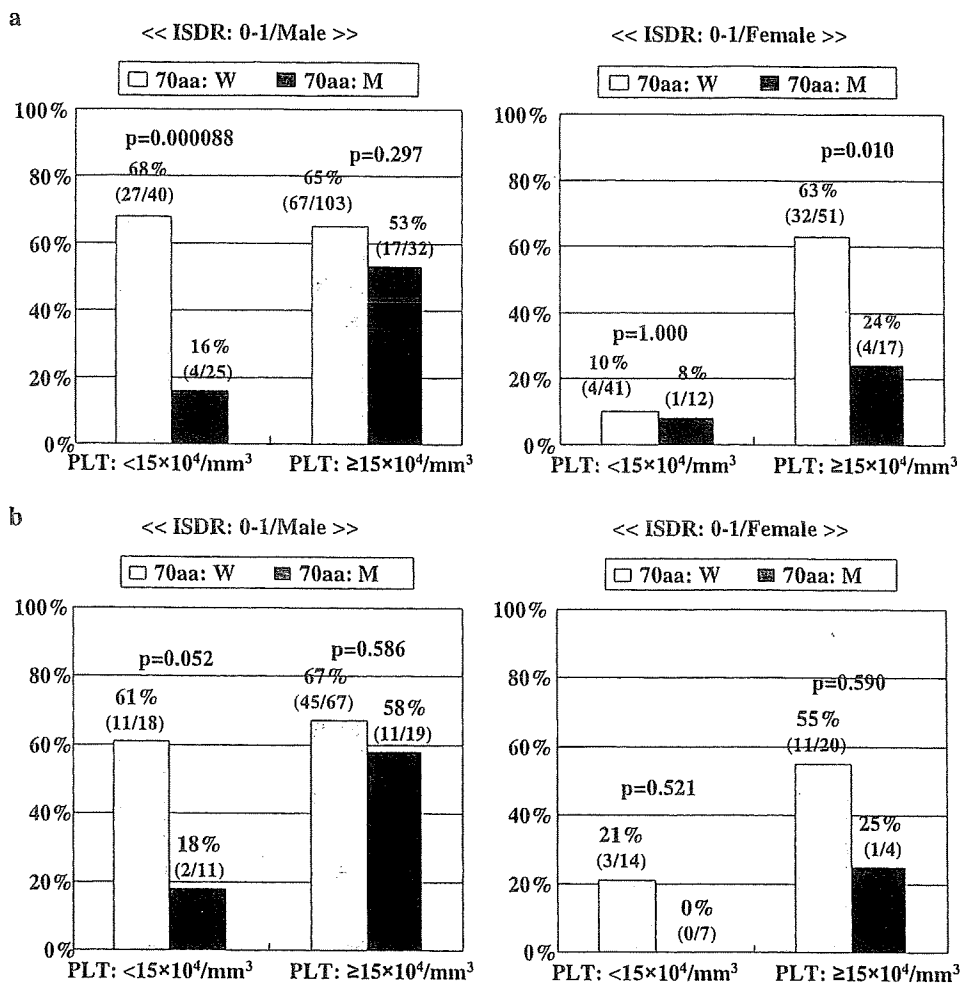


Fig. 2 Relationship between SVR rate and amino acid substitutions in the ISDR and core amino acids 70 and 91, PLT counts and gender difference. The two figures of a show the results of *Study 1* and the two figures of b show the results of *Study 2*. In male patients with no or only one amino acid (aa) substitution in the ISDR and PLT count of less than 15 × 10⁴/mm³, the SVR rate was 68% in those with wild type core aa 70, but only 16% in patients with mutant type of core aa 70, which is significantly different ($p = 0.000088$). There were no significant differences between wild type and mutant type of core aa 70 in the patients with no or one aa substitution in the ISDR and PLT count of over 15 × 10⁴/mm³. By contrast, in female patients with no or one aa substitution in ISDR, there were no significant differences between wild type and mutant type of core aa 70 with PLT

count of less than 15 × 10⁴/mm³, but there were significant differences between wild type and mutant type of core aa 70 with PLT counts of less than 15 × 10⁴/mm³ (a). For the patients maintaining over 80% adherences to both PEG-IFN and RBV, in males having no or one aa substitution in the ISDR and PLT counts of less than 15 × 10⁴/mm³, a wild type of core aa 70 could predict SVR with a positive predictive value (PPV) of 61% and negative predictive value (NPV) of 82% ($p = 0.052$). However, in male patients with PLT counts of over 15 × 10⁴/mm³, core aa 70 was not a useful marker for predicting SVR and non-SVR. The number of female patients with no or one aa substitution in ISDR was too small to reach a definite conclusion (b)

Study design 2

The basic features of 201 patients achieving 80% adherences to both PEG-IFN and RBV are as follows: the females were significantly ($p = 0.00006$) older than the males. Iron deposition in liver tissue, alcohol abuse, BMI, serum albumin level, serum ferritin level, and PLT count were significantly higher in males than females. Inflammatory activity was significantly ($p = 0.046$) higher in females than males (data not shown).

AA substitutions in the ISDR were as follows; in males 33 (22.3%) had two or more aa substitutions, in females 8 (15.1%) had two or more aa substitutions. The analysis of core aa position 70 and 91 sequences showed no significant differences in aa substitutions of either core aa 70 or 91 between males and females (data not shown).

In patients less than 60 years of age, SVR rate was significantly higher ($p = 0.0042$) in males than females, but no significant difference was noted between males and females over 60 years old. However, the number of patients over 60 years was small (Table 4).

Table 4 Univariate analysis to identify the significantly different factors between SVR and non-SVR (201 patients received over 80% adherences of both PEG-IFN and RBV)

Factors	Negative of HCV RNA after 24 weeks		<i>p</i> value
	(-)	(+)	
No. of patients	111 (55.2%)	90	
Gender			
Male	93 (62.8%)	55	0.00037
Female	18 (34.0%)	35	
Age			
Median (range)	51 (18–70)	56 (23–74)	0.00025
<60 years	91 (60.3%)	60	0.014
60 years ≤	20 (40.0%)	30	
Age: <60 years			
Male	79 (66.4%)	40	0.0042
Female	12 (37.5%)	20	
Age: 60 years ≤			
Male	14 (48.3%)	15	0.243
Female	6 (28.6%)	15	
F stage			
F0–2	103 (60.9%)	67	0.0012
F3–4	8 (25.8%)	23	
Grade (A factor)			
A0–1	80 (59.3%)	55	0.189
A2–3	31 (47.0%)	35	
HCV RNA load 0 week (KIU/mL)			
Median (range)	1300 (110–5000<)	1280 (130–5000<)	0.351
ALT 0 week (IU/L)			
Median (range)	74 (16–268)	67.5 (19–504)	0.752
BMI			
Median (range)	23.1 (17.3–31.0)	23.6 (16.1–33.9)	0.626
Alb (g/dL)			
Median (range)	3.95 (3.3–5.2)	3.9 (3.0–4.8)	0.079
LDL-C (mg/dL)			
Median (range)	96 (31–185)	97.5 (30–182)	0.865
T-Chol (mg/dL)			
Median (range)	170 (85–248)	170 (105–273)	0.624
PLT count ($\times 10^4/\text{mm}^3$)			
Median (range)	18.9 (8.7–30.9)	15.55 (7.2–28.4)	0.00003
<15	23 (35.9%)	41	0.00024
15 ≤	88 (64.2%)	49	
Amino acid mutation of ISDR			
0–1	84 (52.5%)	76	0.159
2 ≤	27 (65.9%)	14	
Amino acid substitution of core 70			
Wild	91 (61.5%)	57	0.0037
Mutant	20 (37.7%)	33	
Amino acid substitution of core 91			
Wild	73 (60.3%)	48	0.083
Mutant	38 (47.5%)	42	

Virological responses and aa substitution

The rates of RVR, cEVR, LVR, ETR and SVR in males and females were 12.5 versus 11.3% ($p = 1.000$), 59.6 versus 43.4% ($p = 0.053$), 74.3 versus 50.0% ($p = 0.0018$), 76.2 versus 66.7% ($p = 0.198$), and 62.8 versus 34.0% ($p = 0.00037$), respectively (data not shown). The backgrounds and characteristics of SVR and non-SVR patients are shown in Table 4. There were significant differences in gender (male vs. female; $p = 0.00037$), age (<60 years vs. ≥ 60 years; $p = 0.014$), F stage (F0-2 vs. F3,4; $p = 0.0012$), PLT count ($<15 \times 10^4/\text{mm}^3$ vs. $15 \times 10^4/\text{mm}^3 \leq$; $p = 0.00024$), and substitution of core aa 70 (wild type vs. mutant, $p = 0.0037$) between SVR and non-SVR patients. The distribution of fatty change in liver tissue ($\leq 10\%$ vs. 11–33% vs. $34\% \leq$; $p = 0.046$) and the grade of HOMA-IR (1.7 vs. 3.9, $p = 0.0018$) were significantly different between SVR and non-SVR (data not described in Table 4).

Factors affecting SVR by multivariate logistic regression analysis

Male gender ($p = 0.0006$), mild fibrosis stage ($p = 0.027$), and wild type of core aa 70 ($p = 0.043$) were independent predictors of SVR.

Valuable markers for predictions of sustained virological response to peginterferon and ribavirin therapy

Two or more aa mutations in the ISDR, wild type core aa 70, $\geq 15 \times 10^4/\text{mm}^3$ of PLT count, and male gender were selected statistically as independent predictors of SVR. We show here SVR rates of the patients having over 80% adherences to both PEG-IFN and RBV (Fig. 2b). In males having no or one aa substitution in the ISDR and PLT count of $<15 \times 10^4/\text{mm}^3$, wild type core aa 70 could predict SVR with a positive predictive value (PPV) of 61% and negative predictive value (NPV) of 82% ($p = 0.052$). In females, the SVR rate was very low in those who had substitution of core aa 70, but there was no significant difference between patients with wild type and substitution of core aa 70. The number of female patients was too small to provide a definite conclusion.

Discussion

The present multivariate logistic regression analysis revealed that male gender, low HCV RNA load, high PLT count, and two or more aa mutations in the ISDR and wild type core aa 70 were independent predictors for SVR. PLT

count significantly decreased corresponding to the progression to the stage of liver fibrosis in CHC [9, 30, 31].

It has been considered that the low adherence level to PEG-IFN/RBV is a major cause of a significantly lower SVR rate in females and older patients [32]. The percentage of patients having over 80% adherences to both PEG-IFN and RBV was significantly lower in females than males, however, differences in the adherence to PEG-IFN/RBV between males and females were not independent predictive factors of non-SVR.

A recent report from Japan showed six or more mutations in the variable region 3 (V3) of nonstructural protein 5A (NS5A) plus upstream flanking region NS5A (aa 2334–2379), referred to as the IFN/RBV resistance determining region (IRRDR), was a useful marker for predicting SVR, but the ISDR sequence was not valuable for predicting SVR [33]. However, the number of subjects in that study was too small ($n = 45$) to reach an acceptable conclusion.

To elucidate the factors affecting low SVR rate in older female patients, we performed a multivariate logistic regression analysis using patients who achieved $\geq 80\%$ adherence to both PEG-IFN and RBV. Male gender, stage of mild liver fibrosis, and wild type core aa 70 were independent predictors of SVR. In this study, blood concentration of RBV was determined in fewer than 50% of cases during treatment. Thus we cannot exclude the possibility of the effect of the blood concentration of RBV during treatment on the low SVR rate in females and older patients.

From the present analysis, it was clear that ALT, BMI, Alb, T. Chol, and adherence to RBV differed significantly between males and females, however, these factors were not independent predictors of SVR. There is a report that steatosis is an important cofactor that reduces the SVR rate in genotype 1 infected patients [34], however, such an effect was not seen in this study. Thus we could not identify the factors associated with a significantly lower SVR rate in females than males.

In the present multivariate logistic regression analyses, patients having wild type core aa 91 had significantly higher rates of RVR and cEVR, but not SVR, and patients with wild type core aa 70 had significantly higher rates of cEVR and SVR, but not RVR. Patients having two or more aa substitutions in the ISDR had significantly higher rates of RVR, cEVR, and SVR. Although several possibilities have been considered concerning the effects of aa substitutions of core protein on SVR in PEG-IFN/RBV therapy for CHC patients, the exact mechanisms have not yet been elucidated.

Recent reports have indicated that low serum IP-10 (interferon- γ inducible protein 10 kDa) [35], a higher HCV-specific CD8 cell proliferation potential [36], and a high ratio of Th1/Th2 [37] are good predictors of SVR to

PEG-IFN/RBV therapy. These results indicate the importance of immunological status and immunological response to treatment in patients difficult to treat with PEG-IFN/RBV therapy for CHC.

The present univariate analyses revealed that there were many factors relating to RVR, cEVR, and SVR including LDL-C, HOMA-IR, fatty change in liver tissue, and hyaluronic acid, however some of these factors had not been examined in some participating institutes. We consider that we must perform a prospective mass study using many factors including immunological aspects, viral factors, disease status, and therapeutic aspects to elucidate the reason that older female patients are resistant to a combination of PEG-IFN and RBV therapy in CHC with a high viral load genotype 1b.

In conclusion, our results demonstrated that wild type core aa 70, two or more aa mutations in the ISDR, low viral load, high PLT counts, and male gender are useful markers for predicting SVR.

Acknowledgments We express our thanks to other members of the Study Group of Optimal Treatment of Viral Hepatitis; Hideyuki Nomura, Shin-Kokura Hospital; Yoshiyuki Ueno, University of Tohoku; Hisataka Moriwaki, Gifu University; Makoto Oketani, Kagoshima University Graduate School of Medical and Dental Sciences; Masataka Seike, Oita University; Hiroshi Yotsuyanagi, The University of Tokyo. This study was supported in part by a Grant-in-Aid from the Ministry of Health, Labor and Welfare, Japan.

References

- Manns MP, McHutchinson JG, Gordon SC, Rustgi VK, Shiffman M, Reindollar R, et al. Peginterferon alfa-2b plus ribavirin for initial treatment of chronic hepatitis C: a randomized trial. *Lancet*. 2001;358:958–65.
- Fried MW, Shiffman ML, Reddy KR, Smith C, Marinos G, Gonzales FL, et al. Peginterferon alfa-2a plus ribavirin for chronic hepatitis C virus infection. *N Engl J Med*. 2002;347:975–82.
- Hadziyannis S, Sette H Jr, Morgan TR, Balan V, Diago M, Marcellin P, et al. Peginterferon-alfa-2a plus ribavirin combination therapy in chronic hepatitis C. a randomized study of treatment duration and ribavirin dose. *Ann Intern Med*. 2004;40:346–55.
- Hiramatsu N, Kurashige N, Oze T, Takehara T, Tamura S, Kasahara A, et al. Early decline of hemoglobin can predict progression of hemolytic anemia during pegylated interferon and ribavirin combination therapy in patients with chronic hepatitis C. *Hepatol Res*. 2008;38:52–9.
- Honda T, Katano Y, Urano F, Murayama M, Hayashi K, Ishigami M, et al. Efficacy of ribavirin plus interferon- α in patients aged 60 years with chronic hepatitis C. *J Gastroenterol Hepatol*. 2007;22:989–95.
- Sezaki H, Suzuki F, Kawamura Y, Yatsuji H, Hosaka T, Akuta N, et al. Poor response to pegylated interferon and ribavirin in older women infected with hepatitis C virus of genotype 1b in high viral load. *Dig Dis Sci* 2009;54:1317–24
- Puoti C, Castellacci R, Montagness F, Zaltron S, Stornaiuolo G, Bergami N, et al. Histological and virological features and follow-up of HCV carriers with normal aminotransferase levels: the Italian Study of the Asymptomatic C Carriers (ISACC). *J Hepatol*. 2002;37:117–23.
- Hui CK, Belaye T, Montegrando K, Wright TL. A comparison in the progression of liver fibrosis in chronic hepatitis C between persistently normal and elevated transaminase. *J Hepatol*. 2003;38:511–7.
- Okanoue T, Makiyama A, Nakayama M, Sumida Y, Mitsuyoshi H, Nakajima T, et al. A follow-up study to determine the value of liver biopsy and need for antiviral therapy for hepatitis C virus carriers with persistently normal serum aminotransferase. *J Hepatol*. 2005;43:599–605.
- Bressler BL, Guindi M, Tomlinson G, Heathcote J. High body mass index in an independent risk factor for non response to antiviral treatment in chronic hepatitis C. *Hepatology*. 2003;38:639–44.
- Walsh MJ, Jonsson JR, Richardson MM, Lipka GM, Purdi DM, Clouston AD, et al. Non-response to antiviral therapy is associated with obesity and increased hepatic expression of suppressor of cytokine signaling 3 in patients with chronic hepatitis C, viral genotype 1. *Gut*. 2006;55:604–9.
- Patton HM, Patel K, Behling C, Bylund C, Blatt LM, Vallee M, et al. The impact of steatosis on disease progression and early and sustained treatment response in chronic hepatitis C patients. *J Hepatol*. 2004;40:484–90.
- Asselah T, Rubbia-Brandt L, Marcellin M, Negro F. Steatosis in chronic hepatitis C: why does it really matter? *Gut*. 2006; 55:123–30.
- Romero-Gomez M, Del Mar Viloria M, Andrade RJ, Salmeron J, Diago M, Fernandez-Rodriguez CM, et al. Insulin resistance impairs sustained response rate to peginterferon plus ribavirin in chronic hepatitis C patients. *Gastroenterology*. 2005;128: 636–41.
- Bruno S, Camma C, Di Marco V, Rumi M, Vinci M, Cammozzi M, et al. Peginterferon alfa-2b plus ribavirin for native patients with genotype 1 chronic hepatitis C: a randomized controlled trial. *J Hepatol*. 2004;41:474–81.
- Everson GT, Hoefs JC, Seeff LB, Bonkovsky HL, Naishadham D, Shiffman ML, et al. Impact of disease severity on outcome of antiviral therapy for chronic hepatitis C: lessons from the HALT-C trial. *Hepatology*. 2006;44:1675–84.
- McHutchinson JG, Manns M, Patel K, Poynard T, Lindsay KL, Trepo C, et al. Adherence to combination therapy enhances sustained response in genotype-1-infected patients with chronic hepatitis C. *Gastroenterology*. 2002;123:1061–9.
- Jeffers LJ, Cassidy W, Howell CD, Hu S, Reddy R. Peginterferon alfa-2a (40kd) and ribavirin for black American patients with chronic HCV genotype 1. *Hepatology*. 2004;39:1702–6.
- Muir AJ, Bornstein JD, Killenberg PG, Atlantic Coast Hepatitis Treatment Group. Peginterferon alfa 2b and ribavirin for the treatment of chronic hepatitis C in blacks and non-Hispanic whites. *N Engl J Med*. 2004;350:2265–71.
- Poynard T, Marcellin P, Lee SS, Niederau C, Minuk GS, Ideo G, et al. Randomized trial of interferon alpha 2b plus ribavirin for 48 weeks or 24 weeks versus interferon alpha 2b plus placebo for 48 weeks for treatment for chronic infection with hepatitis C virus. International Hepatitis Interventional Therapy Group (IHIT). *Lancet*. 1998;352:1426–32.
- Enomoto N, Sakuma I, Asahina Y, Kurosaki M, Murakami T, Yamamoto C, et al. Mutations in the nonstructural protein 5 A gene and response to interferon in patients with chronic hepatitis C virus 1b infection. *N Engl J Med*. 1996;334:77–81.
- Akuta N, Suzuki F, Sezaki H, Suzuki Y, Hosaka T, Someya T, et al. Association of amino acid substitution pattern in core protein of hepatitis C virus genotype 1b high viral load and non-virological response in interferon-ribavirin combination therapy. *Intervirology*. 2005;48:372–80.
- Donlin MJ, Cannon NA, Yao E, Li J, Wahed A, Taylor MW, et al. Pretreatment sequence diversity differences in the

- full-length hepatitis C virus open reading frame correlate with early response to therapy. *J Virol*. 2007;81:8211–24.
24. Davis GL, Wong JB, McHutchison JG, Manns MP, Harvey J, Albrecht J. Early virologic response to treatment with peginterferon alfa-2b plus ribavirin in patients with chronic hepatitis C. *Hepatology*. 2003;38:645–52.
 25. Ferenci P, Fried MW, Shiffman ML, Smith CI, Marinos G, Goncalves FL, et al. Predicting sustained virological responses in chronic hepatitis C patients treated with peginterferon alfa-2a ribavirin. *J Hepatol*. 2005;43:425–33.
 26. Moucari R, Ripault M-P, Oules V, Martinot-Peignoux M, Asselah T, Boyer N, et al. High predictive value of early viral kinetics in retreatment with peginterferon and ribavirin of chronic hepatitis C patients non-responders to standard combination therapy. *J Hepatol*. 2007;46:596–604.
 27. Akuta N, Suzuki F, Kawamura Y, Yatsuji H, Sezaki H, Suzuki Y, et al. Predictive factors of early and sustained responses to peginterferon plus ribavirin combination therapy in Japanese patients infected with hepatitis C virus genotype 1a: amino acid substitutions in the core region and low-density lipoprotein cholesterol level. *J Hepatol*. 2007;46:403–10.
 28. Desmet VJ, Gerber M, Hoofnagle JH, Manna M, Scheuer PJ. Classification of chronic hepatitis: grading and staging. *Hepatology*. 1994;19:1513–20.
 29. Kato N, Hijikata M, Ootsuyama Y, Nakagawa M, Ohkoshi S, Sugimura T, et al. Molecular cloning of the human hepatitis C virus genome from Japanese patients with non-A, non-B hepatitis. *Proc Natl Acad Sci USA*. 1990;87:9524–8.
 30. Okanoue T, Itoh Y, Minami M, Sakamoto S, Yasui K, Sakamoto M, et al. Interferon therapy lowers the rate of progression to hepatocellular carcinoma in chronic hepatitis C but not significantly in an advanced stage: a retrospective study in 1148 patients. *J Hepatol*. 1999;30:653–9.
 31. Okanoue T, Itoh Y, Minami M, Hashimoto H, Yasui K, Yotsuyanagi H, et al. Guidelines for the antiviral therapy of hepatitis C virus carriers with normal serum aminotransferase based on platelet count. *Hepatol Res*. 2008;38:27–36.
 32. Iwasaki Y, Ikeda H, Araki Y, Osawa T, Kita K, Ando M, et al. Limitation of combination therapy of interferon and ribavirin for older patients with chronic hepatitis. *Hepatology*. 2006;43:54–63.
 33. El-Shamy A, Nagano-Fujii M, Sasase N, Imoto S, Kim SR, Hotta H. Sequence variation in hepatitis C virus nonstructural protein 5A predicts clinical outcome of pegylated interferon/ribavirin combination therapy. *Hepatology*. 2008;48:38–47.
 34. Patton HM, Patel K, Behling C, Bylund D, Blatt LM, Vallee M, et al. The impact of steatosis on disease progression and early and sustained treatment response in chronic hepatitis C patients. *J Hepatol*. 2004;40:484–90.
 35. Lagging M, Romero A, Westin J, Norkrans G, Dhillon AP, Palwlosky JM, et al. IP-10 predicts viral response and therapeutic outcome in difficult-to-treat patients with HCV genotype 1 infection. *Hepatology*. 2006;44:1617–25.
 36. Pilli M, Zerbini A, Penna A, Orlandini A, Lukasiewicz E, Pawlotsky JM, et al. HCV-specific T-cell response in relation to viral kinetics and treatment outcome (DITTO-HCV Project). *Gastroenterology*. 2007;133:1132–43.
 37. Shirakawa H, Matsumoto A, Joshita S, Komatsu M, Tanaka N, Umemura T, et al. Pretreatment prediction of virological response to peginterferon plus ribavirin therapy in chronic hepatitis C patients using viral and host factors. *Hepatology*. 2008;48:1753–60.

Original Article

Case-control study for the identification of virological factors associated with fulminant hepatitis B

Atsunori Kusakabe,^{1,2} Yasuhito Tanaka,¹ Satoshi Mochida,³ Nobuaki Nakayama,³ Kazuaki Inoue,⁴ Michio Sata,⁵ Norio Isoda,⁶ Jong-Hon Kang,⁷ Yasukiyo Sumino,⁸ Hiroshi Yatsuhashi,⁹ Yasuhiro Takikawa,¹⁰ Shuichi Kaneko,¹¹ Gotaro Yamada,¹² Yoshiyasu Karino,¹³ Eiji Tanaka,¹⁴ Junji Kato,¹⁵ Isao Sakaida,¹⁶ Namiki Izumi,¹⁷ Fuminaka Sugouchi,² Shunsuke Nojiri,² Takashi Joh,² Yuzo Miyakawa¹⁸ and Masashi Mizokami^{1,19}

¹Departments of Clinical Molecular Informative Medicine and ²Gastroenterology and Metabolism, Nagoya City University Graduate School of Medical Sciences, Nagoya, ³Division of Gastroenterology and Hepatology, Internal Medicine, Saitama Medical University, Saitama, ⁴Showa University Fujigaoka Hospital, Yokohama, ⁵Kurume University School of Medicine, Kurume, ⁶Jichi Medical University, Tochigi, ⁷Center for Gastroenterology, Teineikeijinkai Hospital, Sapporo, ⁸Toho University Omori Medical Center, Tokyo, ⁹National Hospital Organization Nagasaki Medical Center, Nagasaki, ¹⁰Iwate Medical University, Morioka, ¹¹Department of Signal Transduction, Cancer Research Institute, Kanazawa University, Kanazawa, ¹²Kawasaki Hospital, Okayama, ¹³Sapporo-Kosei General Hospital, Sapporo, ¹⁴Shinsyu University Graduate School of Medicine, Matsumoto, ¹⁵Sapporo Medical University Hospital, Sapporo, ¹⁶Yamaguchi University Hospital, Ube, ¹⁷Musashino Red Cross Hospital, Musashino, ¹⁸Miyakawa Memorial Research Foundation, Tokyo, and ¹⁹Research Center for Hepatitis and Immunology, International Medical Center of Japan, Kohnodai Hospital, Ichikawa, Japan

Background: Host and viral factors can promote the development of fulminant hepatitis B (FHB), but there have been no case-control studies for figuring out virological parameters that can distinguish FHB.

Methods: In a case-control study, virological factors associated with the development of FHB were sought in 50 patients with FH developed by transient hepatitis B virus (HBV) infection (FH-T) and 50 with acute self-limited hepatitis B (AHB) who were matched for sex and age. In addition, 12 patients with FH developed by acute exacerbation (AE) of asymptomatic HBV carrier (ASC) (FH-C) were also compared with 12 patients without FH by AE of chronic hepatitis B (AE-C).

Results: Higher HBV DNA levels, subgenotype B1/Bj, A1762T/G1764A, G1896A, G1899A and A2339G mutation were significantly more frequent ($P < 0.05$), while hepatitis B e-antigen was less frequent in the FH-T patients than AHB. In multivariate analysis, G1896A mutation (odds ratio [OR],

13.53; 95% confidence interval [CI], 2.75–66.64), serum HBV DNA more than 5.23 log copies/mL (OR, 5.14; 95% CI, 1.10–24.15) and total bilirubin more than 10.35 mg/mL (OR, 7.81; 95% CI, 1.77–34.51) were independently associated with a fulminant outcome by transient HBV infection. On the other hand, in comparison with the patients between FH-C and AE-C groups, there was no significant difference of virological factors associated with the development of FHB.

Conclusion: A number of virological factors have been defined that may distinguish FH-T from AHB in a case-control study. The pathogenic mechanism of FHB between transient HBV infection and AE of ASC would be different.

Key words: acute exacerbation of asymptomatic hepatitis B virus carrier, fulminant hepatitis, genotypes, transient hepatitis B virus infection

Correspondence: Dr Yasuhito Tanaka, Department of Clinical Molecular Informative Medicine, Nagoya, City University Graduate School of Medical Sciences, Kawasumi, Mizuho, Nagoya 467-8601, Japan. Email: ytanaka@med.nagoya-cu.ac.jp
Received 22 August 2008; revision 26 January 2009; accepted 24 February 2009.

INTRODUCTION

IN JAPAN, 634 patients with fulminant hepatitis (FH) were registered from 1998–2003. Of them, 41.8% were infected with hepatitis B virus (HBV) that is the most frequent cause of FH there.¹ HBV is classified into eight genotypes (A–H) based on a sequence divergence of more than 8% in the entire genome of approximately

3200 nucleotides.^{2–5} They have distinct geographical distributions and are associated with the severity of liver disease.^{6,7} Furthermore, subgenotypes have been reported for HBV/A, B and C, and they are named A1/Aa (Asian/African type) and A2/Ae (European type),⁸ B1/Bj (Japanese type) and B2/Ba (Asian type),⁹ and C1/Cs (Southeast Asian type) and C2/Ce (East Asian type).^{10,11} HBV genotypes/subgenotypes and mutations in the pre-core region and the core promoter can influence the viral replication and expression of hepatitis B e-antigen (HBeAg).^{6,12}

Acute HBV infection in adulthood resolves in the most cases by far, but can induce FH or go on to become chronic in some. It has been reported that host and viral factors may influence the development of fulminant hepatitis B (FHB), but the pathogenesis of FHB remains unclear. As for virological factors associated with FHB, mutations in the core promoter (A1762T/G1764A)¹³ and the pre-core region (G1896A)^{14–16} have been reported in association with the development of FHB in Asia and the Middle East. Additional mutations, including T1753V, T1754V and A2339G in the core gene are implicated, also.^{17,18} In regard of HBV genotypes, subgenotype B1/Bj is highly associated with the development of FHB in Japan.¹⁵ In contrast, an association of HBV genotypes with the fulminant outcome has not been reproduced in patients from the USA and Europe.^{19–22} Such a discrepancy would be attributed, at least in part, to distinct geographical distributions of HBV genotypes/subgenotypes over the world.

The original definition by Trey *et al.*²³ about fulminant hepatic failure is widely used all over the world. On the other hand, in Japan, the diagnosis of FH was contingent on a slight modification of Trey's original definition by the Inuyama Symposium (Aichi, Japan in 1981). Furthermore, the Intractable Liver Diseases Study Group of Japan modified the criteria for the etiology of FH and late-onset hepatic failure in 2002. According to the criteria of the Intractable Liver Diseases Study Group of Japan, there are two clinical entities of FHB that are induced, respectively, by transient HBV infection and acute exacerbation (AE) of an asymptomatic HBV carrier (ASC).¹

Recently, FH developing in ASC who undergo AE is increasing in Japan.¹ In patients with hematological malignancy, in particular, rituximab and/or glucocorticoid, can reactivate HBV for the development of FHB.²⁴ The outcome is poor for FHB precipitating in ASC who undergo acute exacerbation,¹ but it has been difficult to identify it by clinical examinations.

As there have been no case-control studies for figuring out virological parameters that can distinguish FHB,

a case-control study was conducted on the patients with FH by transient HBV infection and acute self-limited hepatitis B (AHB) in this study, for the identification of virological factors that influence a fulminant outcome. In addition, the patients with FH by AE of ASC, which is assumed as a different clinical condition from transient HBV infection, were also compared with the patients without FH by AE of chronic hepatitis B (CHB) in a case-control study.

METHODS

Patients

DURING 9 YEARS from 1998 to 2006, in twenty-six hospitals all over Japan, sera were obtained from the 50 FH patients by transient HBV infection (the FH-T group) and the 50 patients with AHB (the AHB group) who were controlled for age and sex. As the elder patients with FHB were enrolled in this study (mean age, 42.8 years), the mean age of AHB patients became relatively high (42.9 years, Table 1). Furthermore, the 12 FH patients developed by AE of ASC (the FH-C group) were also compared with the 12 patients without FH by AE of CHB who were matched by age and sex (the AE-C group).

All the serum samples tested for this study were collected at hospitalization. All 124 patients had hepatitis B surface antigen (HBsAg) in serum. Infection with hepatitis A virus and hepatitis C virus, as well as alcoholic hepatitis, were excluded in them.

The diagnosis of acute hepatitis B was based on sudden manifestation of clinical symptoms of hepatitis and detection of high-titered immunoglobulin (Ig)M anti-hepatitis B core (HBC). Patients with initial high-titered anti-HBC (>90% inhibition by a 1:200 diluted serum) were excluded. The diagnosis of FH was contingent on a slight modification by Inuyama Symposium (Aichi, Japan in 1981) of the original definition by Trey *et al.*²³ (i) coma of grade II or higher; and (ii) a prothrombin time less than 40% developing within 8 weeks after the onset of hepatitis. To exclude AE of ASC in FH-T and AHB groups, we confirmed the negativity of HBsAg before onset of FHB or AHB and no family histories of hepatitis were found among all the patients. Furthermore, serum HBsAg in all patients with FH-T or AHB became naturally seronegative within 24 weeks. AE of ASC or CHB was defined as the elevation of alanine aminotransferase (ALT >300 IU/L) or total bilirubin (T.bil >3.0 mg/dL).²⁵ All 24 patients with AE of ASC or CHB could be confirmed positive for serum HBsAg before the onset of acute liver injury.

Table 1 Baseline characteristics between fulminant hepatitis B patients by transient infection (FH-T) and acute self-limited hepatitis B (AHB) patients

Features	FH-T (<i>n</i> = 50)	AHB (<i>n</i> = 50)	Differences <i>P</i> -value
Age (years)	42.8 ± 16.1	42.9 ± 14.6	Matched
Men	25 (50%)	25 (50%)	Matched
ALT (IU/L)	3788 ± 2856	2170 ± 1350	<0.001
AST (IU/L)	3131 ± 3673	1676 ± 1851	<0.05
Total bilirubin (mg/dL)	14.8 ± 8.6	9.5 ± 9.8	<0.01
Prothrombin time (%)	16.9 ± 11.2	72.8 ± 26.0	<0.001
HBeAg positive	15 (30%)	28 (56%)	<0.01
Core protein (log U/mL)	3.21 ± 1.28	3.01 ± 1.00	NS
HBcrAg (log U/mL)	5.30 ± 1.32	5.95 ± 1.13	<0.01
HBV DNA (log copies/mL)	5.97 ± 1.87	4.98 ± 1.17	<0.005
Deceased	19 (38%)	0 (0%)	<0.001

AHB, acute self-limited hepatitis B; ALT, alanine aminotransferase; AST, aspartate aminotransferase; FH-T, fulminant hepatitis B by transient HBV infection; HBcrAg, hepatitis B core related antigen; HBeAg, hepatitis B e antigen; HBV, hepatitis B virus; NS, not significant.

Serological markers of HBV infection

Hepatitis B surface antigen, HBeAg and the corresponding antibody (anti-HBe) were determined by enzyme immunoassay (EIA) (AxSYM; Abbott Japan, Tokyo, Japan) or chemiluminescence enzyme immunoassay (CLEIA) (Fujirebio, Tokyo, Japan). Anti-HBc of IgM and IgG classes were determined by radioimmunoassay (Abbott Japan). Core protein constituting the viral nucleocapsid and HBV core-related antigen (HBcrAg), both of which correlate with HBV DNA in serum, were measured by CLEIA as described elsewhere.^{26,27}

Quantification of serum HBV DNA

Hepatitis B virus DNA sequences spanning the S gene were amplified by real-time detection polymerase chain reaction (RTD-PCR) in accordance with the previously described protocol²⁸ with a slight modification;⁸ it has a detection limit of 100 copies/mL.

Sequencing and molecular evolutionary analysis of HBV

Nucleic acids were extracted from serum samples (100 µL) using the QIAamp DNA extraction kit (Qiagen, Hilden, Germany) and subjected to PCR for amplifying genomic areas bearing enhancer II/core promoter/pre-core/core regions [nt 1628–2364], as described previously.²⁹ The target of PCR covered several mutations which were associated with FHB. Amplicons were sequenced directly with use of the ABI Prism Big Dye ver. 3.0 kit in the ABI 3100 DNA automated

sequencer (Applied Biosystems, Foster City, CA, USA). All sequences were analyzed in both forward and backward directions.

Hepatitis B virus genotypes were determined by molecular evolutionary analysis. Reference HBV sequences were retrieved from the DDBJ/EMBL/GenBank database and aligned by CLUSTAL X, then genetic distances were estimated with the 6-parameter method in the Hepatitis Virus Database (<http://s2as02.genes.nig.ac.jp/>).³⁰ Based on obtained distances, phylogenetic trees were constructed by the neighbor-joining (NJ) method with the mid-point rooting option. To confirm the reliability of the phylogenetic trees, bootstrap resampling tests were performed 1000 times.

Statistical analysis

Statistical differences were evaluated by the Mann-Whitney *U*-test, Fisher's exact probability test and χ^2 -test, where appropriate. Differences were considered to be statistically significant at $P < 0.05$. Multivariate analyses with logistic regression were utilized to sort out independent risk factors for FHB. STATA Software ver. 8.0 was employed for all analyses.

RESULTS

Baseline characteristics of the patients with FHB by transient HBV infection and AHB

TABLE 1 COMPARES baseline clinical characteristics of the 50 FH-T patients and the 50 AHB who

were matched for age and sex. The peak ALT, AST and T.bil levels were significantly higher (3788 ± 2856 vs 2170 ± 1350 IU/L, $P < 0.001$; 3131 ± 3673 vs 1676 ± 1851 IU/L, $P < 0.05$; and 14.8 ± 8.6 vs 9.5 ± 9.8 mg/dL, $P < 0.01$, respectively), while HBeAg was less frequent (30% vs 56%, $P < 0.01$) in the FH-T patients than AHB. The level of HBcrAg was significantly lower (5.30 ± 1.32 vs 5.95 ± 1.13 log U/mL, $P < 0.01$), while HBV DNA loads were higher (5.97 ± 1.87 vs 4.98 ± 1.17 log copies/mL, $P < 0.005$), in the FH-T patients than AHB. The level of core protein in sera tended to be higher in the FH-T patients than AHB (3.21 ± 1.28 vs 3.01 ± 1.00 log U/mL). Death occurred more often in the FH-T patients than AHB (38% vs 0%, $P < 0.001$).

HBV Genotypes and enhancer II/core promoter/pre-core/core Mutations in Patients with FHB by transient HBV infection and AHB

Figure 1(a) compares the distribution of HBV genotypes/subgenotypes between the FH-T and the AHB patients. The subgenotype C2/Ce was most prevalent in both patients with FH-T and AHB (66% and 62%, respectively), whereas B1/Bj was more frequent in the FH-T patients than AHB (22% vs 6%, $P < 0.05$). Likewise, mutations in enhancer II/core promoter/pre-core/core regions are compared between the FH-T and AHB patients in Figure 1(b). A1762T/G1764A, G1896A, G1899A and A2339G mutation were more frequent in the FH-T patients than AHB (48% vs 16%, $P < 0.001$; 62% vs 6%, $P < 0.001$; 24% vs 4%, $P < 0.001$; and 8% vs 0%, $P < 0.05$, respectively).

Figure 2(a) compares various mutations between the 11 FH-T patients and the three AHB patients who were infected with B1/Bj. Only G1896A was significantly more frequent (73% vs 0%, $P < 0.05$), while the lack of any mutations was less common (0% vs 33%, $P < 0.05$) in the FH-T patients than AHB. In comparison with the 33 FH-T patients and the 31 AHB patients who were infected with C2/Ce (Fig. 2b), A1762T/G1764A (70% vs 19%, $P < 0.001$), G1896A (61% vs 6%, $P < 0.001$) and the combination of all three mutations (A1762T/G1764A and G1896A) (45% vs 6%, $P < 0.001$) were significantly more frequent, while the lack of any mutations was less common (9% vs 70%, $P < 0.001$) in the FH-T patients than AHB. Interestingly, all the AHB patients with both G1896A and A1762T/G1764A mutations suffered acute severe hepatitis B that was defined by prothrombin time less than 40% but without coma of grade II or higher.

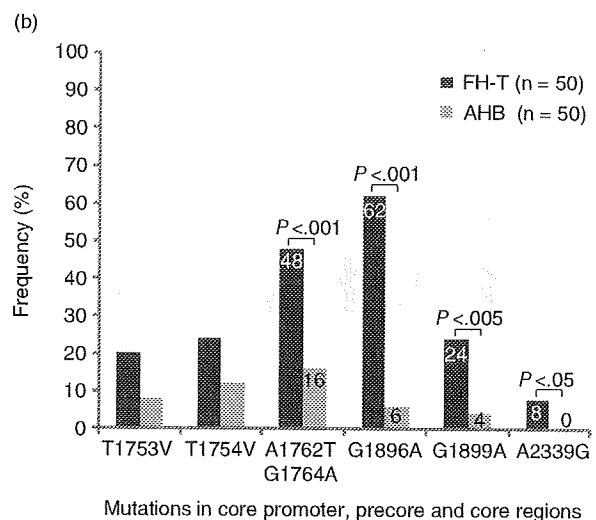
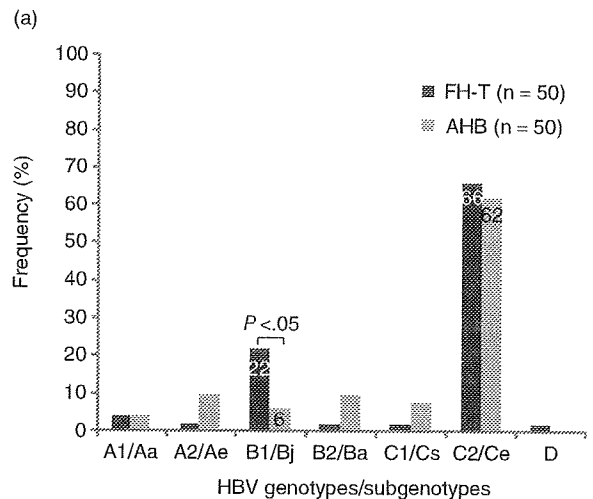


Figure 1 Genotypes/subgenotypes (a) and mutations in core promoter, pre-core and core regions (b) between the 50 transient hepatitis B virus infection (FH-T) and the 50 acute self-limited hepatitis B (AHB) patients.

Factors independently associated with the development of FHB by transient HBV infection

The following independent factors, promoting the development of FHB, were evaluated by multivariate analysis: ALT, AST, T.bil, HBeAg, HBV DNA, core protein, HBcrAg, genotypes/subgenotypes (B1/Bj or not) and mutations (T1753V, T1754V, A1762T/G1764A, G1896A, G1899A and A2339G). T.bil more than 10.35 mg/dL (OR, 7.81 [95% CI, 1.77-34.51], $P = 0.0067$), G1896A mutation (OR, 13.53 [95% CI,