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2	Inhibitory effect of human TRIM5 $\alpha$ on HIV-1 production
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# 2 Abstract

Tripartite motif-containing 5 isoform- $\alpha$  (TRIM5 $\alpha$ ), a host restriction factor, blocks 3 infection of some retroviruses at a post-entry, pre-integration stage in a species-specific 4 manner. A recent report by Sakuma et al. describes a second antiretroviral activity of rhesus 5 macaque TRIM5 $\alpha$ , which blocks HIV-1 production through rapid degradation of HIV-1 Gag 6 poly-proteins. Here, we find that human TRIM5a limits HIV-1 production. Transient 7 expression of TRIM5a decreased HIV-1 production, whereas knockdown of TRIM5a in 8 human cells increased virion release. A single amino acid substitution (R437C) in the SPRY 9 domain diminished the restriction effect. Moderate levels of human wild-type TRIM5 $\alpha$  and a 10 little amount of R437C mutant were incorporated into HIV-1 virions. The R437C mutant also 11 lost restriction activity against N-tropic murine leukemia virus infection. However, the 12 corresponding R to C mutation in rhesus macaque TRIM5a had no effect on the restriction 13 ability. Our findings suggest human TRIM5 a is an intrinsic immunity factor against HIV-1 14 infection. The importance of arginine at 437 aa in SPRY domain for the late restriction is 15 species-specific. 16

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18 Key words: human TRIM5α; HIV-1 production; restriction factor; intrinsic immunity; MLV.
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#### 1 **1. Introduction**

The intrinsic host defense system has recently come to light as a key player in restricting 2 retroviral infection. Several intrinsic factors important in limiting HIV-1 infection have been 3 identified. APOBEC3G [1] and APOBEC3F [2] interfere with the replication of HIV-1 and 4 5 other retroviruses via their cytidine deaminase activity [3, 4]. The membrane protein Tetherin blocks the release of HIV-1 and other enveloped viruses by tethering them to the cellular 6 membrane [5]. TRIM5 $\alpha$  has been shown to be a post-entry restriction factor that confers 7 8 resistance to HIV-1 in a species-specific manner. Even though these restriction factors are thought to be constitutively expressed and active before pathogen invasion [6, 7], they are 9 induced by interferon and therefore may constitute innate immune defenses [5, 8, 9]. 10

11 TRIM5 $\alpha$  has been proposed to bind the incoming viral capsid and interfere with uncoating 12 [10, 11]. It has also been reported that TRIM5 $\alpha$  possesses E3 ubiquitin ligase activity and a 13 mutation in its RING finger domain decreases its restriction ability [12-14]. Other reports 14 suggest that TRIM5 $\alpha$  prevents late RT product formation and inhibits viral cDNA nuclear 15 import [15, 16].

16 Recently, another antiretroviral activity of TRIM5 $\alpha$  of rhesus monkey, TRIM5 $\alpha_{rh}$ , has 17 been described, which is inhibition of HIV-1 production at a post-translational stage by 18 degradation of the Gag protein [17]. SIV is resistant to this restriction. However, another 19 group argued against this effect of TRIM5 $\alpha_{rh}$  [18] although both showed that overexpression 20 of TRIM5 $\alpha_{rh}$  down-regulates HIV-1 production.

21 TRIM5 $\alpha$  is a member of the tripartite motif protein family and contains RING, B-box 2, 22 and coiled-coil domains, as well as a carboxy-terminal B30.2 (SPRY) domain. The 23 contribution of each domain to HIV-1 post-entry restriction had been well documented. The 24 RING domain contributes to the potency of restriction but is not absolutely essential. The B-25 box 2, coiled-coil and SPRY domains of TRIM5 $\alpha$  are necessary for antiretroviral activity.

The coiled-coil domain is indispensable for TRIM5a oligomerization, which increases the 1 avidity of TRIM5a for the retroviral capsid. Both the coiled-coil and SPRY domains are 2 essential for TRIM5 $\alpha$  to bind the virion core [19]. Variation in the SPRY domain is thought 3 to be responsible for species-specific differences in retroviral restriction [19, 20]. During the 4 study for the late-restriction, the RING structure and B-box 2, coiled-coil and ensuing linker 2 5 domains are all found to be responsible, while the N-teriminal region, RING and B-box2 6 domains have been shown to be essential for interaction between TRIM5 $\alpha_{rh}$  and HIV-1 Gag. 7 The coiled-coil domain and linker 2 region may play an effector function in late-restriction as 8 9 well as the known effect on formation of the cytoplasmic body including TRIM5 $\alpha_{rh}$  [21].

Human TRIM5 $\alpha$  (TRIM5 $\alpha_{hu}$ ) had been considered to have no antiviral activity on HIV-1 production. However, during the course of our study comparing the TRIM5s of various species including primate TRIM5 $\alpha$  and rodent TRIM5, we do found that TRIM5 $\alpha_{hu}$  potently blocked the release of HIV-1 when expressed at the same level with TRIM5 $\alpha_{rh}$ . Here, we provide the evidences that TRIM5 $\alpha_{hu}$  inhibits production of HIV-1 progeny at physiological concentrations, suggesting it plays an important role in intrinsic defense against HIV-1.

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#### 17 2. Materials and Methods

18 2.1 Cell culture

Human 293T, HeLa, HT1080, TZM-bl [22] and Plat-gp cells [23] were maintained in
DMEM containing 10% FCS and antibiotics. Jurkat E6-1 cells (ATCC number: TIB-152)
were cultured in RPMI1640 containing 10% FCS.

22 2.2 Plasmids

23 The plasmid pRhT5 $\alpha$ , which encodes C-terminal hemagglutinin (HA)-tagged TRIM5 $\alpha_{rh}$ , 24 was provided by Dr. Ikeda. The plasmid encoding HA-tagged Trim5 $\alpha_{hu}$  was obtained from 25 Dr. Shioda, and designated pHuT5 $\alpha$ WT. HA-tagged TRIM5 $\alpha_{hu}$  cDNA harboring the R437C

mutation was amplified by RT-PCR from human peripheral blood mononuclear cells (PBMC) 1 with a previously described primer pair [17], and kindly provided by Dr. Y. Ikeda. It was then 2 cloned into pcDNA3.1(Invitrogen), the same vector that was used to generate pRhT5a and 3 pHuT5aWT. The resultant plasmid was designated pHuT5aR437C. For construction of 4 pRhT5aR441C, a corresponding mutant of TRIM5ahuR437C, the plasmid pRhT5a was used 5 as a template for QuikChange site-directed mutagenesis (Stratagene). To generate TRIM5 $\alpha$ 6 7 encoding retroviruses, the retroviral vector pMX-puro [24] and Plat-gp packaging cells were used. The HA-tagged TRIM5 open reading frames (ORFs) described above were amplified 8 (5'huT5a-F-EcoRI 9 PCR using primers by GCGAATTCCACCATGGCTTCTGGAATCCTG-3'; Underline shows EcoRI site.) and 10 (5'-AGATAAGAATGCGGCCGCTCAAGCGTAATCTGGAACATCG-3'; 11 NotI-HA-R 12 Underline shows NotI site.) and then cloned into EcoRI and NotI sites of pMX-puro. The resulting plasmid was designated pMX-T5 $\alpha$ -HA-puro. All of the TRIM5 $\alpha$  proteins possess a 13 carboxy-terminal HA tag to allow detection of the expression level. The HIV-1 molecular 14 clone pNLApolEGFP was constructed by inserting the EGFP ORF in the Nef coding region of 15 16 pNLApol [25] that lacks a 328 base pair fragment in the polymerase coding region of pNL4-3 [26]. The resultant plasmid produces the p55 Gag precursor protein that is processed to p24 17 capsid protein. The plasmid of pYK-JRCSF [27], pNL4-3, pSIVmac239 [28], pSA212, 18 encoding full length genome of SIVagm [29] and p89.6 [30], were obtained from Dr. Y. 19 Koyanagi, Dr. Adachi, Dr. Mori, Dr. Miura, and AIDS Research and Reference Reagent 20 Program, respectively. The  $\beta$ -galactosidase ( $\beta$ -gal) expression plasmid pCDM- $\beta$ -gal [31] was 21 22 used.

23 2.3 Transfection and viral infection

Co-transfection of one of pNLΔpolEGFP, pYK-JRCSF, pNL4-3, p89.6, pSA212 and
 pSIVmac239 with TRIM5α expression plasmids (pRhT5α, pHuT5αWT, pHuT5αR437C) or

empty vector pcDNA3.1 into 293T cells was carried out using Lipofectamine and Plus reagent (Invitrogen) according to the manufacturer's instructions. Two days later, the culture supernatants were harvested and the p24 concentration was measured with p24 Gag ELISA assay kit (Zeptmatrix). The p24 concentration was divided by intracellular  $\beta$ -gal activity evaluated by standard colorimetric methods. The value of control pcDNA3.1-transfected cells was set as 1, and compared with the value of various TRIM5 $\alpha$  expression plasmid-transfected cells.

8 To measure the infectivity of progeny viruses, TZM-bl cells, the HeLa cell derivatives that express human CD4 and CCR5 and contain a luciferase construct under the control of the 9 HIV-1 promoter, were seeded in 24-well plate  $(5 \times 10^4$ /well). The next day, the medium was 10 removed and the cells were incubated with 250µl of HIV-1 or SIV harboring culture media 11 for 3.5 h followed by adding 750µl of fresh media. Forty-eight hours after infection, cells 12 were washed and lysed, and then luciferase activity was measured using Promega's BrightGlo 13 luciferase assay system. To calculate the production rate of infectious virus, the ratio of 14 luciferase activities against the  $\beta$ -gal activities in HIV-1/SIV producing 293T cell lysates was 15 calculated and the value of control pcDNA3.1-transfected sample was set as 1. 16

# 17 2.4 Preparation of viral like particle (VLP)

18 The culture supernatants (2 ml) were harvested 48h post-transfection, and cellular debris was removed by centrifugation at 2000 rpm (Himac CF 7D2, HITACHI) for 20 min, followed 19 by filtration of the supernatants through 0.45-µm pore size syringe filters (Millipore). The 20 supernatants (1ml) were then concentrated by ultracentrifugation through a 20% (w/v in PBS) 21 sucrose cushion for 2 h at 45,000 rpm (TLA100.4 rotor; Beckman) at 4°C. The pellets were 22 resuspended in 15 µl of lysis buffer (10mM Tris-HCl (pH 7.4), 1mM MgCl<sub>2</sub>, 140mM NaCl, 23 0.5% NP-40, and protease inhibitors (Roche)) and analyzed by Western blotting. 24 2.5 Generation of cells stably expressing TRIM5  $\alpha$  variants 25

1	Recombinant retroviruses encoding various TRIM5 $\alpha$ were produced in Plat-gp cells by
2	co-transfecting constructed retroviral vector plasmids which encoded a TRIM5 $\alpha$ ORF (pMX-
3	huT5αWT-HA-puro, pMX-huT5αR437C-HA-puro, pMX-rhT5α-HA-Puro, pMX-agmT5α-
4	HA-Puro) and pMD.G [32], a plasmid encoding the vesicular stomatitis virus (VSV) G
5	envelope glycoprotein. Forty-eight hour post-transfection, the culture supernatants were
6	harvested and then transduced to 293T or HeLa cells ( $2 \times 10^5$ ) in the presence of $10 \mu g/ml$ of
7	polybrene. Cells were then selected in medium containing $2\mu g/ml$ puromycin (Sigma).
8	2.6 Production and infection of pseudoviruses
9	VSV-G-coated HIV-1 pseudovirus expressing Venus (HIV-1-Venus) was prepared by
10	transfecting 293T cells with a combination of CSII-EF-MCS-IRES2-Venus, pMDLg/pRRE,
11	pMD.G and pRSV-Rev [32]. One day after transfection, culture medium was replaced with
12	fresh DMEM containing 10% FCS, 1% non-essential amino acid, 1mM sodium pyruvate,
13	$10\mu$ M forskolin and antibiotics. Two days later, the culture medium was collected, filtered
14	through 0.45 $\mu$ m filter membrane and frozen at -80 $$ . N-tropic and B-tropic murine leukemia
15	viruses (MLVs) were generated by co-transfecting 3 plasmids (pLNCX-GFP, pMD.G, and
16	pCIGS-N or pCIGS-B) [33] into 293T cells. Forty-eight hours post transfection, the culture
17	media was harvested and stored at -80 .
18	VSV-G-pseudotyped HIV-1-Venus was infected in the presence of $10\mu g/ml$ of polybrene
19	to HeLa cells that had been transduced with various TRIM5 $\alpha$ encoding retroviruses followed
20	by selection in the presence of puromycin for 3 days. Forty-eight hours post-infection, the
21	cells were harvested, washed once with PBS, fixed with PBS containing 1% formaldehyde
22	and then subjected to fluorescence-activated cell sorting (FACS) analysis with a FACScalibur
23	(Becton Dickinson).
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24 2.7 Immunoblotting

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1	The cells were harvested in the lysis buffer as described above. The primary antibody for
2	detection of the HA tag was anti HA 3F10 (Roche). HIV-1 p55/p24 Gag protein was detected
3	using a murine anti-p24 mAb (V107 [34], a kind gift of Dr. K. Ikuta). The amount of cell
4	lysates analyzed by SDS-PAGE was normalized by $\beta$ -gal activity. The bands were visualized
5	by ECL western blotting system (GE healthcare). The intensity of bands was quantified using
6	LAS 1000 mini (Fuji film) when necessary.
7	2.8 Reduction of Trim5 $\alpha$ expression by siRNA
8	A mixture of siRNAs (B-bridge international, Inc) against TRIM5 $\alpha_{hu}$ with the target
9	sequences 5'-CCUGAGAACAUACGGCCUAdTdT-3', 5'-
10	GAGAAAGCUUCCUGGAAGAdTdT-3' and 5'-CUGAAAAGCCUUACGAACUdTdT-3'
11	were co-transfected into $5 \times 10^4$ HT1080 and $1 \times 10^5$ 293T cells at a final concentration of
12	50nM together with 0.01µg of pNL∆polEGFP using Lipofectamine2000 (Invitrogen). Jurkat
13	E6-1 cells (2×10 <sup>6</sup> ) were electroporated with 50 pmol of anti-TRIM5 $\alpha_{hu}$ or control siRNA and
14	$0.2 \ \mu g$ of pNL $\Delta$ polEGFP by nucleofection (Amaxa) using cell line nucleofector kit V and the
15	program X-01.
16	2.9 <i>RT</i> - <i>PCR</i>
17	Total RNA from siRNA-transfected cells was isolated using the Absolutely RNA kit
18	(Stratagene) according to the manufacturer's instructions. First-strand cDNA was synthesized
19	using 0.5 $\mu$ g total RNA in a 20 $\mu$ l reaction volume with the ThermoScript First-Strand
20	Synthesis System (Invitrogen), and the product was subjected to PCR using primers for
21	TRIM5α (forward: 5'-TGGAAGACTCAAATACAGTATGACAA-3'; reverse: 5'-
22	CATCTAGTTTCAGAGTTCGTAAG-3') and for human G3PDH (forward: 5'- AAA TGA
23	GCT TGA CAA AGT GGT CG-3'; reverse: 5'- GTA TGA CAA CAG CCT CAA GAT CA-
24	3') as an internal control. In parallel, the TRIM5 $\alpha$ cDNA was quantified by lightCycler
25	(Roche) realtime PCR.

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### 1 3. Results

#### 2 3.1 Effect of TRIM5 $\alpha_{hu}$ on HIV-1 production

3 While investigating whether TRIM5 $\alpha$  from various species exhibited intrinsic immunity 4 against HIV-1 infection, we used two TRIM5 $\alpha_{hu}$  clones as controls. Unexpectedly 5 overexpression of one of TRIM5 $\alpha_{hu}$  clone in human 293T cells reduced HIV-1 p24 Gag 6 production and the other did not. After sequencing the two human TRIM5 $\alpha$ s, we found a 7 mutation from arginine to cysteine at amino acid (a.a.) 437 in the latter TRIM5 $\alpha$  clone (Fig. 8 1A, plasmid pHuT5 $\alpha$ R437C). 9 To directly compare the effect of wild type and mutant TRIM5 $\alpha_{hu}$  and TRIM5 $\alpha_{rh}$  on HIV-1 production, we transfected 293T cells with the HIV-1 molecular clone pNL∆polEGFP 10 11 together with varying amounts of C-terminal HA-tagged TRIM5α encoding plasmids (pHuT5aWT, pRhT5a and pHuT5aR437C). Then we evaluated the p24 levels in 12 13 the culture supernatants. As shown in Fig. 1B, the production of p24 was not blocked by expression of the TRIM5 $\alpha_{hu}$  R437C mutant, but was markedly suppressed by TRIM5 $\alpha_{hu}$  wild 14 15 type and TRIM5 $\alpha_{rh}$  in a dose dependent manner. TRIM5 $\alpha_{rh}$  looked more potent as an 16 inhibitor than TRIM5 $\alpha_{hu}$  wild type (Fig.1B). In parallel, intracellular expression of p24 was 17 reduced as levels of pHuT5aWT and pRhT5a increased, but was unaltered in cells transfected with pHuT5aR437C (Fig. 1C). Since all TRIM5a proteins were expressed 18 similarly at protein level in the transfected cells (Fig. 1C), these results suggest that 19 TRIM5 $\alpha_{hu}$  possesses the ability to restrict HIV-1 replication at late stage in its life cycle. Note 20 that we used a half amount of pRhT5 plasmid for transfection since we found a half amount 21 22 pRhT5 $\alpha$  expressed an equal amount of TRIM5 $\alpha$ -HA protein in 293T cells compared to pHuT5 $\alpha$ WT and pHuT5 $\alpha$ R437C. Since the vector for expression of TRIM5 $\alpha_{hu}$  and 23 TRIM5 $\alpha_{rh}$  are the same, the difference of the expression level of TRIM5 $\alpha$  was not due to the 24

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1	difference of the promoter. Probably, TRIM5 $\alpha_{rh}$ is more stable than TRIM5 $\alpha_{hu}$ in 293T cells.
2	To further confirm that TRIM5 $\alpha_{hu}$ has restriction effect on HIV-1 production, we transfected
3	293T cells with infectious HIV-1 or SIV molecular clones together with a plasmid encoding
4	various TRIM5 $\alpha$ . We measured the p24 concentration in culture medium and also evaluated
5	the viral titers by infection of progeny viruses to the TZM-bl indicator cells. As shown in Fig.
6	1D, TRIM5 $\alpha_{hu}$ as well as TRIM5 $\alpha_{rh}$ efficiently block the progeny virus production of JRCSF,
7	NL4-3 and 89.6 in a dose dependent manner. In contrast, TRIM5 $\alpha_{hu}$ R437C slightly limited
8	the progeny viral production only when the highest amount of pHuT5 $\alpha$ R437C was co-
9	transfected. Meanwhile, neither TRIM5 $\alpha_{hu}$ , TRIM5 $\alpha_{rh}$ nor TRIM5 $\alpha_{hu}$ R437C had significant
10	effect on production of SIVmac239 and SIVagm (SA212) (Fig. 1D, lower panel). These
11	observations clearly show the inhibitory effect of TRIM5 $\alpha_{hu}$ on HIV-1 production.
12	3.2 Incorporation of TRIM5 $\alpha$ into HIV-1 virions
13	Previous studies have shown that TRIM5 $\alpha_{rh}$ associates with HIV-1 precursor Gag and is
14	incorporated into HIV-1 virions [17]. Since our ELISA results indicated that p24 levels were
15	significantly lower in the medium of TRIM5 $\alpha_{rh}$ - as well as wild type TRIM5 $\alpha_{hu}$ -transfected
16	cells (see Fig. 1B), we analyzed the formation of virion like particles (VLPs) in the culture
17	media and the incorporation of TRIM5a. As shown in Fig. 2, p24 levels in the VLP fraction
18	were decreased in a dose dependent manner by TRIM5 $\alpha_{hu}$ and TRIM5 $\alpha_{rh}$ but not by
19	TRIM5 $\alpha_{hu}$ R437C, supporting the inhibitory effect of TRIM5 $\alpha_{hu}$ on virus production and
20	consistent with the results of the p24 ELISA (See Fig. 1B). However, while TRIM5 $\alpha_{rh}$ was
21	readily detected in VLP lysates and was inversely correlated with P24 levels, less amount of
22	wild type TRIM5 $\alpha_{hu}$ was detected in VLP fractions, and the mutant TRIM5 <sub>hu</sub> was only
23	marginally existent or even absent (Fig. 2A). These results suggest TRIM5 $\alpha_{hu}$ has weaker

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1	offinity for Gog then TPIM5g, which is consistent with our finding that TPIM5g, inhibited
1	affinity for Gag than TRIM5 $\alpha_{rh}$ , which is consistent with our finding that TRIM5 $\alpha_{rh}$ inhibited
2	HIV-1 more strongly than wild type TRIM5 $\alpha_{hu}$ (Fig. 1B).
3	3.3 The corresponding mutation in TRIM5 $\alpha_{rh}$ has no effect on its late restriction activity
4	An important question is that whether this arginine is also required for rhesus TRIM5 $\alpha$ ,
5	since it had been reported that SPRY domain of TRIM5 $\alpha_{rh}$ is dispensable to inhibit HIV-1
6	production [17, 21]. We mutated arginine residue to cysteine at 441a.a. of TRIM5 $\alpha_{rh}$ , which
7	corresponds 437a.a. of TRIM5 $\alpha_{hu}$ , and selected a clone that can express the HA-tagged
8	mutant TRIM5 $\alpha_{rh}$ protein at the same level as the wild type. We co-transfected the TRIM5 $\alpha_{rh}$
9	mutants with pNL $\Delta$ polEGFP to 293T cells, and then investigated the effect of the mutant on
10	HIV-1 production and encapsidation into virions. As shown in Fig. 2B, R441C TRIM5 $\alpha_{rh}$
11	mutant restricted HIV-1 production as severely as the wild type, and similar amounts of both
12	wild type and mutant TRIM5 $\alpha_{rh}$ proteins were incorporated into virions. These results are in
13	consistence with Sakuma's report [17, 21].
14	3.4 Knockdown of endogenous TRIM5 $\alpha$ restores HIV-1 production
15	To examine the inhibitory effect of TRIM5 $\alpha_{hu}$ under physiological conditions, siRNA was
16	used to suppress TRIM5 $\alpha_{hu}$ expression. Human HT1080 or 293T cells were co-transfected
17	with pNL $\Delta$ polEGFP and control siRNA or siRNA against TRIM5 $\alpha_{hu}$ . As shown in Fig. 3A,
18	p24 production was enhanced roughly 3 fold upon knockdown of TRIM5 $\alpha_{hu}$ in HT1080 cells
19	but was not altered in 293T cells in which TRIM5 $\alpha_{hu}$ was expressed at low levels. In parallel,
20	the amount of cellular TRIM5 $\alpha_{hu}$ mRNA was markedly reduced in HT1080 cells, but much
21	less in 293T cells (Fig. 3A left panel). It was noted that 293T cells produced over 170 times
22	more p24 (58.68 ng/ml and 0.34 ng/ml, respectively) and expressed 110 times higher $\beta$ -
23	galactosidase activities (data not shown) than HT1080 cells, probably because of the better
24	efficiency of transfection to 293T cells.

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1	Next, we examined the effect of TRIM5 $\alpha_{hu}$ knockdown on HIV-1 production in HIV-1
2	host cells. As a first step, we examined the level of expression of TRIM5 $\alpha_{hu}$ in several T and
3	macrophage cell lines (such as Jurkat, Molt4, MT-4, U937, and HL60) by quantitative RT-
4	PCR and found that Jurkat E6-1 cells express the highest level of TRIM5 $\alpha_{hu}$ mRNA
5	(supplemental information Fig. 1). We then transfected pNL∆polEGFP to these T cell lines by
6	electroporation and evaluated the p24 production in the culture media. While JurkatE6-1,
7	Molt4, and MT-4 showed similar transfection efficiency, MT-4 produced highest level of p24
8	(2.34 ng/ml) compared with Molt4 and JurkatE6-1 (250pg/ml and 114 pg/ml, respectively).
9	This is reverse relation to the levels of Trim $5\alpha_{hu}$ mRNA (supplemental information Fig. 2).
10	Next we examined the effect of TRIM5 $\alpha$ knockdown on HIV-1 production in JurkatE6-1
11	cells. siRNA against TRIM5 $\alpha_{hu}$ together with pNL $\Delta$ polEGFP were electroporated into the
12	cells. Forty-eight hours later, the abundance of TRIM5 $\alpha_{hu}$ mRNA was reduced to 35%, and
13	concomitantly the amount of p24 Gag in the culture media was increased about 2.5 times
14	compared to control siRNA (Fig. 3B). These results demonstrate that endogenous TRIM5 $\alpha_{hu}$
15	is able to restrict HIV-1 progeny production.
16	3.5 Effect of the TRIM5 $\alpha_{hu}$ R437C mutant on HIV-1 entry

The mutation R437C in TRIM5 $\alpha_{hu}$  is located in the SPRY domain, in which alteration of a 17 single amino acid has been reported to modulate TRIM5 restriction potency against HIV-1 18 infection at an early stage [35]. Therefore, we examined whether there was any difference 19 between wild type TRIM5 $\alpha_{hu}$  and the R437C mutant in restricting HIV-1 infection early in 20 infection. At first we examined the effects of various Trim $5\alpha s$ , which were transiently 21 expressed in 293T cells by transfection of pHuT5aWT, pRhT5a, and pHuT5aR437C, on the 22 efficiency of infection of HIV-1-Venus that had been pseudotyped with VSV-G glycoprotein. 23 24 The cells expressing TRIM5 $\alpha_{hu}$ WT and TRIM5 $\alpha_{hu}$ R437C showed slightly decreased rate of

1	HIV-1-Venus infection compared with the control vector pcDNA3.1-transfected cells,
2	whereas TRIM5 $\alpha_{rh}$ -expressing cells were markedly resistant (Fig. 4A). To confirm this result,
3	using a retrovirus vector we established stable HeLa cells that express TRIM5 $\alpha_{hu}WT$ ,
4	TRIM5 $\alpha_{hu}$ R437C, and TRIM5 $\alpha_{agm}$ . The cells were then infected with pesudotyped HIV-1-
5	Venus as above. Similarly, TRIM5 $\alpha_{agm}$ -expressing cells were markedly resistant to infection,
6	By contrast, the marginal inhibitory effect on HIV-1 infection was observed for the cells
7	expressing TRIM5 $\alpha_{hu}$ WT and TRIM5 $\alpha_{hu}$ R437C (Fig. 4C). Since all TRIM5 $\alpha$ s were
8	identically expressed (Fig. 4B and D), the difference in HIV-1 infection efficiency was not
9	due to differences in TRIM5 $\alpha$ levels. These results suggest that the mutation at residue 437 of
10	TRIM5 $\alpha_{hu}$ has subtle effect on HIV-1 infection at the post-entry stage.
11	3.6 Effect of TRIM5 $\alpha_{hu}$ R437C on N- and B-MLV infection
12	TRIM5 $\alpha_{hu}$ has been shown to restrict N-tropic but not B-tropic MLV infection [10].
13	Since the coiled-coil and the SPRY domains have been reported to be involved in this
14	processes, we investigated whether the amino acid substitution at residue 437 in the SPRY
15	domain alters the ability of TRIM5 $\alpha_{hu}$ to inhibit N-tropic MLV infection. Wild type and
16	mutant TRIM5 $\alpha$ expressing 293T cells were established and infected with VSV-G-
17	pseudotyped N-tropic and B-tropic MLV at various doses. In comparison with 293T cells
18	transduced with the empty MX-puro vector, cells expressing wild type TRIM5 $\alpha_{hu}$
19	or TRIM5 $\alpha_{agm}$ were markedly resistant to infection with N-MLV but susceptible to B-tropic
20	MLV, consistent with previous reports [36] (Fig. 5A). N-tropic MLV was infected to the
21	R437C mutant expressing cells as efficiently as cells transduced with the empty MX-puro
22	vector. Cells expressing TRIM5 $\alpha_{rh}$ were susceptible as well, which is consistent with the
23	published data [37]. These results indicate that the amino acid 437 in the SPRY domain is

involved in the ability of TRIM5 $\alpha_{hu}$  to suppress both HIV-1 production and N-MLV 1 2 infection. 3 4. Discussion 4 Sakuma et al. reported an inhibitory effect of TRIM5 $\alpha_{rh}$  at a late phase of HIV-1 5 replication [17]. In this study we extended this finding to TRIM5 $\alpha_{hu}$  and showed that this 6 effect is specific, using a loss of function point mutant (TRIM5 $\alpha_{hu}$ R437C). Although 7 TRIM5 $\alpha_{hu}$  has weaker ability to block the HIV-1 production than TRIM5 $\alpha_{rh}$ , the knockdown 8 experiment clearly showed that endogenous TRIM5 $\alpha_{hu}$  do inhibit the HIV-1 production. The 9 ability of TRIM50<sup>thu</sup> to reduce the production of HIV-1 some extent has also been reported 10

12 TRIM5 $\alpha_{hu}$  functions as an innate immunity molecule that reduces HIV-1 production.

previously [supplementary Fig.2 of 17, 18]. Accordingly our results suggest that endogenous

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The RING, B-box2 and coiled-coil motifs (RBCC) of TRIM5 $\alpha_{rh}$  have been reported to be 13 essential for blocking HIV-1 production. Particularly RING and B-box domains have been 14 identified to regulate the interaction between TRIM5 $\alpha_{rh}$  and HIV-1 Gag, while the coiled-coil 15 domain determines the late restriction activity [21]. Our results suggest that the SPRY domain 16 of TRIM5 $\alpha_{hu}$  is also involved in this restriction and that arginine at reside 437 is important, 17 since the arginine to cysteine mutation severely abolished HIV-1 inhibition (Fig. 1B and C). 18 However, its importance is limited to TRIM5 $\alpha_{hu}$ , as introduction of corresponding mutation 19 into TRIM5 $\alpha_{rh}$  did not alter the restriction activity of TRIM5 $\alpha_{rh}$  TRIM5 $\alpha_{hu}$  specific effect of 20 the Arg to Cys mutation is concordant with the reduction of affinity between TRIM5 $\alpha$  and 21 Gag, which was demonstrated by the encapsidation of TRIM5 $\alpha$  into VLP. Since the affinity 22 of TRIM5 $\alpha_{hu}$  to Gag was naturally weaker than that of TRIM5 $\alpha_{rh}$ , the effect of the mutation 23 in TRIM5 $\alpha_{hu}$ , but not TRIM5 $\alpha_{rh}$ , may become phenotypically apparent. 24

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1	The critical motif on the restriction at early stage of retroviral infection has been reported
2	to lie between residues 332-340 of TRIM5 $\alpha_{hu}$ , which shows the greatest sequence diversity
3	among human, rhesus and African green monkey TRIM5 $\alpha$ s [36, 38, 39]. For example, a
4	single amino acid substitution (R332P) conferred the ability to restrict HIV-1 to TRIM5 $\alpha_{hu}$
5	[39, 40]. A change of tyrosine 336 to alanine or lysine TRIM5 $\alpha_{hu}$ enabled restriction of B-
6	MLV, NB-tropic Moloney MLV and SIVmac [41, 42]. The arginine at residue 437 of
7	TRIM5 $\alpha_{hu}$ is located outside the motif and conserved among human, rhesus and rodent
8	Trim5s (accession numbers: NM_001014023.1, NM_175677.4, and NP_001014045).
9	Therefore, our results reveal that the C-terminal conserved region of the SPRY domain is also
10	involved in the interaction of TRIM5 $\alpha$ to HIV-1 Gag so as to play an important role in
11	restriction of both HIV-1 production and human resistance to MLV infection. Since rodents
12	are susceptible to MLV infection, the other portion besides Arg437a.a. of TRIM5 $\alpha_{hu}$ should
13	be also involved in the restriction effect on N-MLV infection.
14	Although Sakuma et al. reported that overexpression of TRIM5 $\alpha_{rh}$ reduced both HIV-1
15	p55 and p24 levels, we observed reduction only of p24, while p55 levels remained constant
16	(Fig. 1C). Instead, we noted TRIM5 $\alpha_{hu}$ dependent reduction of p38, a processing
17	intermediate of the HIV-1 Gag protein. This difference may be ascribed to more efficient Gag
18	processing in our system, or insensitive detection of p55 by the anti Gag monoclonal antibody
19	[34] used in this study. These results are not inconsistent with the proposed hypothesis that
20	TRIM5 $\alpha$ reduces HIV-1 production by degradation of HIV-1 Gag polyproteins. However, we
21	cannot rule out the possibility that TRIM5 $\alpha_{hu}$ may inhibit HIV-1 production by a different
22	
	mechanism, because only low incorporation of TRIM5 $\alpha_{hu}$ was observed in HIV-1 VLPs in
23	mechanism, because only low incorporation of TRIM5 $\alpha_{hu}$ was observed in HIV-1 VLPs in contrast to the abundant incorporation of TRIM5 $\alpha_{rh}$ . TRIM5 $\alpha_{hu}$ might be involved in the

.

1	Although HIV-1 is known to replicate well in human cells, HIV-1 infection generally
2	progresses to a latent stage that shows few or no symptoms and that can persist for decades.
3	Adaptive immune responses such as those mediated by cytotoxic T cells (CTL) and the
4	humoral system suppress viral replication during chronic infection. Aspects of the innate
5	immune system can also contribute to viral suppression during chronic infection. For
6	example, Apobec3G is induced in macrophages by IFN- $\alpha$ and reduces HIV-1 production even
7	if the virus expresses the anti-Apobec3G factor Vif [9]. The ability of TRIM5 $\alpha_{hu}$ to restrict
8	HIV-1 production suggests that it may also constitute an innate immunity factor that functions
9	to lower virus replication levels and elicit a long nonsymptom phase.
10	A considerable number of polymorphisms in TRIM5 $\alpha_{hu}$ have been documented. Although
11	the majority of them are not associated with susceptibility to HIV-1 infection [43, 44, 45], one
12	common nonsynonymous single nucleotide polymorphism (SNP), R136Q, affects acquisition
13	of HIV-1 infection [45]. A recent report by Torimiro et al. [46] revealed that about 4% of
14	Baka pygmies in Cameroon were heterozygous for a truncation mutant of TRIM5 $\alpha$ (R332X),
15	which completely loses the ability to restrict HIV-1 infection. The Trim $5\alpha_{hu}R437C$ mutant in
16	our study was amplified from the cDNA from peripheral blood mononuclear cells of an
17	individual, suggesting the existence of another SNP related to retrovirus infection
18	susceptibility although it cannot be ruled out that the mutation was introduced during PCR.
19	Taken together, our data provides evidence that endogenous human TRIM5 $\alpha$ possesses
20	suppressive activity at the step of HIV-1 progeny production, supporting the hypothesis that it
21	comprises part of the innate immune system that limits HIV-1 replication. This notion is in
22	line with data showing IFN- $\alpha$ treatment increases the levels of TRIM5 $\alpha_{hu}$ mRNA and
23	enhances antiviral activity against N-MLV infection [47]. IFN- $\alpha$ treatment also up-regulates
24	TRIM5 $\alpha$ mRNA in rhesus monkey cells, which correlates with enhanced TRIM5 $\alpha$ -mediated
25	pre- and post-integration restriction of HIV-1 replication [8]. Further investigation of the

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1	mech	anisms by which TRIM5 $\alpha_{hu}$ prevents production of HIV-1 may provide valuable
2	inforr	nation for antiviral immune therapy.
3		
4	5. Ac	knowledgements
5	W	e thank Dr. Y. Ikeda (Mayo clinic college of Medicine, USA) for the plasmid encoding
6	rhesu	s and human TRIM5 $\alpha$ harboring R437C mutation and for helpful advice. We are
7	gratef	ful to Dr. T. Shioda (Osaka University, Japan) for the gift of plasmid encoding human
8	and A	GM TRIM5α. We thank Dr. S. Okura and G. Towers (University college London, UK)
9	for th	e pLNCX-GFP, pCIG3N and pCIG3B plasmids, Dr. T. Kitamura (University of Tokyo,
10	Japan	) for MX-puro vector, Dr. K. Koyanagi (Kyoto University) for pYK-JRCSF, Dr. A.
11	Adac	hi (Tokushima University) for pNL4-3, Dr. Mori (National Institute of Infectious
12	Disea	se) for pSIVmac239, Dr. Miura (Kyoto University) for pSA212, AIDS Research and
13	Refer	ence Reagent Program for p89.6, and Dr. K. Ikuta (Osaka University, Japan) for the
14	mous	e anti-HIV-1 Gag antibody. This work was supported by grants from the Ministry of
15	Educa	ation, Culture, Sports, Science, and Technology and the Ministry of Health, Labor and
16	Welfa	are, Japan.
17		
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