

Fig. 2. Geometric schema illustrating the accelerator head components. X jaws are rotated 90° and are shown on the y coordinate.

into 1.0 cm, 2.0 cm, and 0.5 cm in the x, y, and z directions, respectively. The voxel deviation was small enough not to average the dose in a voxel. The jaws were set to produce  $10 \times 10$ ,  $20 \times 20$ , and  $30 \times 30$  cm<sup>2</sup> open fields at the surface of the phantom, and the source-to-surface distance was 100 cm. The stored PSDs were used as source inputs for the calculation of dose distributions in the phantom. A total of  $2.5 \times 10^9$  histories were simulated in the DOSXYZnrc calculation, recycling the particles in the PSD file about 50 to 250 times to reduce statistical uncertainties. Depth-dose curves were calculated along the central axis, while y axis lateral dose profile curves were calculated at depths of 5, 10, and 20 cm in the phantom.

A history-by-history method was used to estimate uncertainties in BEAMnrc and DOSXYZnrc (20). This method involves grouping scored quantities (*e.g.*, doses, energy depositions) according to the primary history during a run and then determining the root mean square standard deviation for the mean of the groupings.

#### CT-based patient modeling

For in-patient MC dose calculation, treatment planning CT images were used to develop a voxel-based patient model. The process of converting CT data to an MC model (*i.e.*, materials and densities) was performed with a software program, as well as with CTCREATE software, distributed by the National Research Council. For the transformation of data from CT Hounsfield units into materials, four discrete intervals were defined corresponding to air, lung, soft tissue, and bone, which were obtained from the ICRU report (21). The mass densities were allocated at a range of 0 to 2.0 for discrete intervals.

#### Parallel calculation

The in-house-developed cluster consists of three calculation nodes which include two central processing units (CPUs)/node of an Intel Xeon processor with a speed of 3.4 GHz. The dual processors on each node are configured with 1.5 GB of random

Table 1. Speedup and efficiency of the in-house-developed cluster by total CPU time for the run\*

No. of CPUs	Time (hr)	Speedup	Efficiency (%)
1	44.1	1.00	100.0
2	22.4	1.97	98.6
3	14.8	2.98	99.5
4	11.2	3.93	98.4
5	8.9	4.96	99.2
6	7.4	5.95	99.2

*Abbreviations:* Speedup = ratio of execution time on a single processor to the execution time using  $N$  processors. Efficiency = ratio of the speedup to the number of processors.

\* The jobs were distributed to different processors.

access memory and therefore can operate as symmetrical multi-processors on the node. The cluster uses the gigabyte-sized Ethernet network for the interconnection of nodes. In parallel processing, the EGSnrc/DOSXYZnrc code splits a job into smaller jobs which can be distributed to different processors, and all the split jobs use the original input file. The calculation results are collected and accumulated by a portable batch system. In this process, several files are transported via a network file system, which is a file sharing system. All nodes' home directories share the master node's home directory via the network file system. We used the indices of speedup and efficiency to evaluate the performance of the cluster. The speedup,  $S_N$ , can be defined as the ratio of execution time on a single processor,  $T_1$ , to the execution time using  $N$  processors, or  $S_N = T_1/T_N$ . The efficiency of the speedup can also be defined as the ratio of the speedup to the number of processors, or  $E_N = S_N/N$ . Ideal speedup is achieved when  $S_N = N$  and  $E_N = 100\%$ .

### GUI application

We developed an interface based on a computational environment for radiotherapy research (CERR) software version 3.0.2 using MATLAB software version 7.0.4 with an Image Processing Toolbox (The MathWorks, Natick, MA). CERR is a software platform developed at Washington University for the review and analysis of radiotherapy planning data (22, 23). Importing and displaying radiotherapy planning data from a wide variety

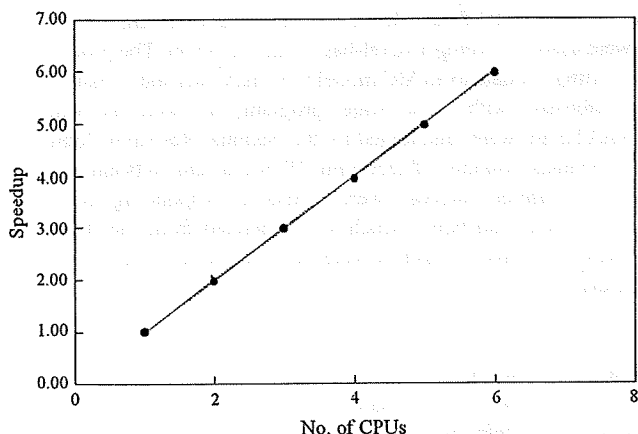


Fig. 3. Number of processors (CPUs) versus speedup (dots), using the cluster developed inhouse and theoretical figure (solid line).

of commercial or academic treatment planning systems, for example, RTOG and DICOM-RT formats, can be done with CERR. We developed certain features to analyze and display MC calculation dose data. Some of the programs were newly developed and others were developed by modifying the CERR program. Specifically, the MCVS GUI auto-creates patient phantom data for EGSnrc/DOSXYZnrc and the input files for EGSnrc/BEAMnrc and EGSnrc/DOSXYZnrc MC calculation parameters. These parameters were extracted from the plan data which contained the beam configuration (*i.e.*, the opening of jaws and the MLC, gantry angles, couch angles, and collimator angles). The GUI also imports the MC-calculated dose data to analyze these results in detail. These features were integrated into CERR.

### Verification of the benchmarks under homogeneous conditions

The depth doses and lateral doses of the MCVS were benchmarked for comparison with the measurements and the calculated dose. The microionization chamber was used for measurements in the water phantom for a Varian Clinac 23EX linear accelerator installed at Osaka Medical Center for Cancer and Cardiovascular Diseases. Central axis depth-dose curves and lateral dose profile curves at depths of 5, 10, and 20 cm were obtained at a source-to-surface distance of 100 cm. Both the calculated and the measured depth-dose curves and the lateral dose profile curves were normalized to the maximum dose ( $D_{max}$ ) value of the central axis dose for a  $10 \times 10$  cm<sup>2</sup> open field. Therefore, the MC-calculated results were given in the absolute dose per monitor unit (MU) (cGy/MU), converted from the dose per source particle (24). The dose differences were then determined for comparison of the calculated depth-dose curves or the lateral dose profile curves with the actual measurements.

### Validation of the clinical treatment plan

The MC dose calculation for a realistic clinical plan was performed, and the results were compared with those of Eclipse in order to verify the configuration of the beam and the patient/phantom in the MCVS. We also computed dose distributions with MC for a lung SBRT treatment plan. Four fractions of 12 Gy were prescribed to the isocenter by using an Eclipse/Helios system (Varian Medical Systems, Palo Alto, CA) for 6-MV photon beams with seven noncoplanar beams. The dose calculation algorithm employed in Eclipse was the pencil-beam convolution algorithm with modified Batho inhomogeneity corrections. Dose distributions were computed with the MCVS using treatment plan data transferred from Eclipse, and isodose curves and DVHs for the structures of interest were compared.

## RESULTS

### Parallel calculation

Table 1 shows the computation time, speedup, and efficiency values calculated for the cluster. The computation time decreased as the number of CPUs increased, while the speedup increased in proportion to the number of CPUs. The efficiency could be maintained at more than 98%. Figure 3 shows the relationship between the speedup and number of CPUs used in the simulation, indicating that the CPU time was shortest when six CPUs were used. The efficiency was 99.2%, so that these results were quite similar to those of the ideal situation.

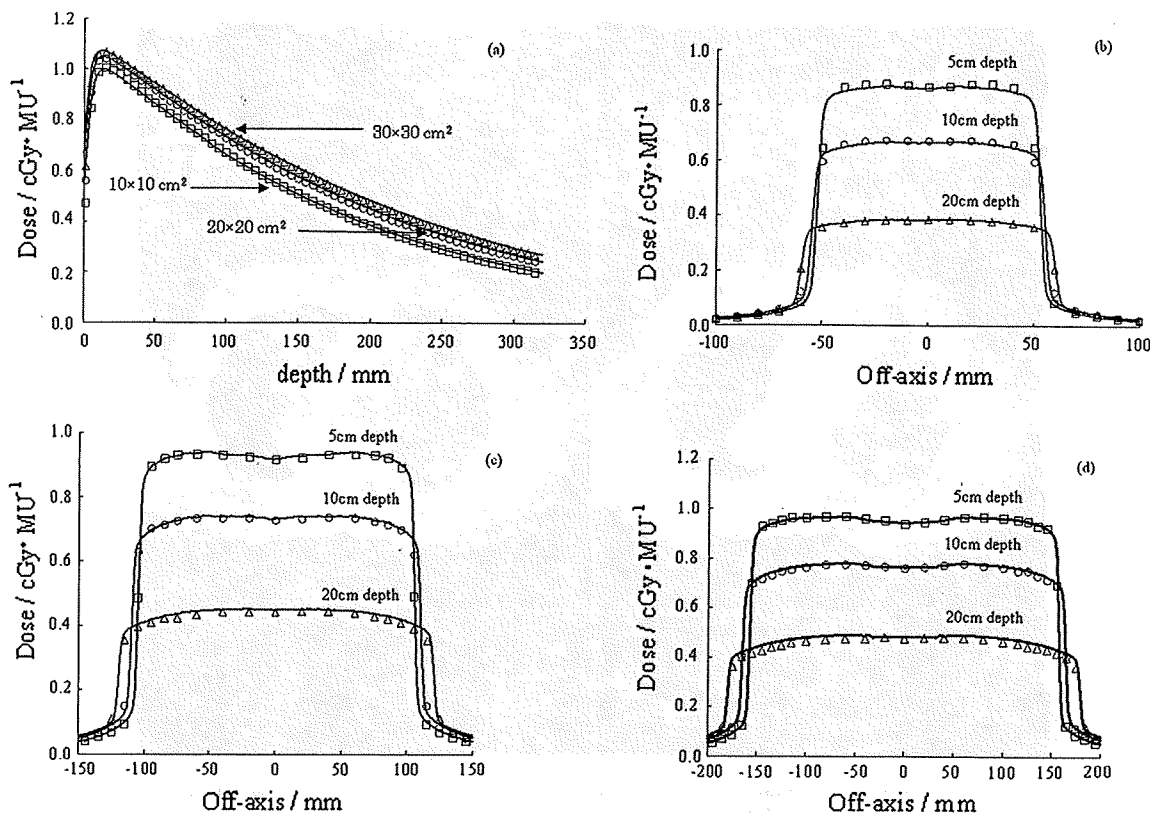


Fig. 4. Comparisons of measured (solid lines) and MC-calculated (symbols) 6-MV photon beam for  $10 \times 10$ ,  $20 \times 20$ , and  $30 \times 30$  cm<sup>2</sup> open fields. (a) Central axis depth-dose curves; (b) lateral dose profile curves for a  $10 \times 10$  cm<sup>2</sup> open field; (c) lateral dose profile curves for a  $20 \times 20$  cm<sup>2</sup> open field; (d) lateral dose profile curves for a  $30 \times 30$  cm<sup>2</sup> open field.

#### Dose calculations under homogeneous conditions

Figure 4a shows depth-dose curves at the central axis for  $10 \times 10$ ,  $20 \times 20$ , and  $30 \times 30$  cm<sup>2</sup> open fields. The calculated depth doses beyond the buildup region showed agreement of within 1% with the measurements for the depth-dose curves for all field sizes. Figure 4b to d shows lateral dose profile curves at 5-cm, 10-cm, and 20-cm depths for  $10 \times 10$ ,  $20 \times 20$ , and  $30 \times 30$  cm<sup>2</sup> open fields. The calculated lateral doses within the region of flatness agreed to within 1% with the measurements for lateral dose profile curves for all field sizes except the penumbra region. The MC-calculated dose profile curves for large fields yielded less steep dose gradients than measurements obtained at a greater depth. The statistical uncertainties for the simulated dose values at the edge of the lateral dose profile curves were 2.5%, and, except for the edge, the uncertainties including those for the depth-dose curves were within 1%.

#### Validation of the clinical treatment plan calculation

Figure 5 shows a comparison of the 6-MV photon beam dose distributions for a lung SBRT treatment plan calculated by MC and by Eclipse. For both calculations, the isodose lines show the absolute dose. The seven beams calculated by the MC method generated a dose distribution similar to that of Eclipse, which indicates that the configuration of the beams and the patient/phantom were satisfactorily implemented in the MCVS. There were some dose differences of

about 5% between the MC and the Eclipse calculations within or near the lung anatomy. The  $1\sigma$  statistical uncertainty for the MC results was generally 2%.

Figure 6 shows dose volume histograms (DVHs) for MC and Eclipse calculations on the MCVS GUI. In this figure, the DVHs for internal target volume and lung are shown, and the same data as that of the radiotherapy planning were used for these structure data. The dose index could be shown as the output next to DVHs, such as  $D_{\text{mean}}$ ,  $D_{\text{max}}$ , and  $D_{\text{min}}$ , and furthermore, the dose which was irradiated at a certain percent volume, such as  $D_{95}$ , and the percent volume at which the dose exceeding a certain dose was irradiated for a certain structure, such as  $V_{20}$ , could also be shown as output with the MCVS GUI.

#### DISCUSSION

Several academic and commercial MC dose calculation systems have been developed (4, 25-39). These systems are summarized in Table 2 for comparison. The PEREGRINE system has been used with multiple processors and several variance reduction techniques to reduce the CPU time of the simulations (26). Several fast MC codes, such as VMC/xVMC, VMC++, DPM, MCV, and MCDOSE, have been developed (27-35). These codes employ a variety of variance reduction techniques and achieve reduction of CPU time by factors ranging from 2 to 48 compared with EGS4/PRESTA/DOSXYZ calculations,



Fig. 5. Comparison of the 6-MV photon beam dose distributions for a lung SBRT treatment plan calculated by Eclipse (left panel) and MC (right panel) on the MCVS GUI. Four fractions of 12 Gy were prescribed to the isocenter. Isodose lines from 8 Gy to 48 Gy at intervals of 8 Gy are shown.

which corresponds approximately to EGSnrc (40). The MC method with its accurate dose computations is now becoming fast enough to be used in clinical settings. While the fast MC codes use various variance reduction techniques to reduce computation time, the results are only approximate, but with the cluster system, the MCVS could reduce

computation time without such approximations. Parallel computation with the in-house-developed cluster achieved good performance at a computation efficiency of more than 98%. In Japan, the MCVS of Osaka University and the MCRTV of Kyoto University are the only two systems in existence, while several MC research groups in other

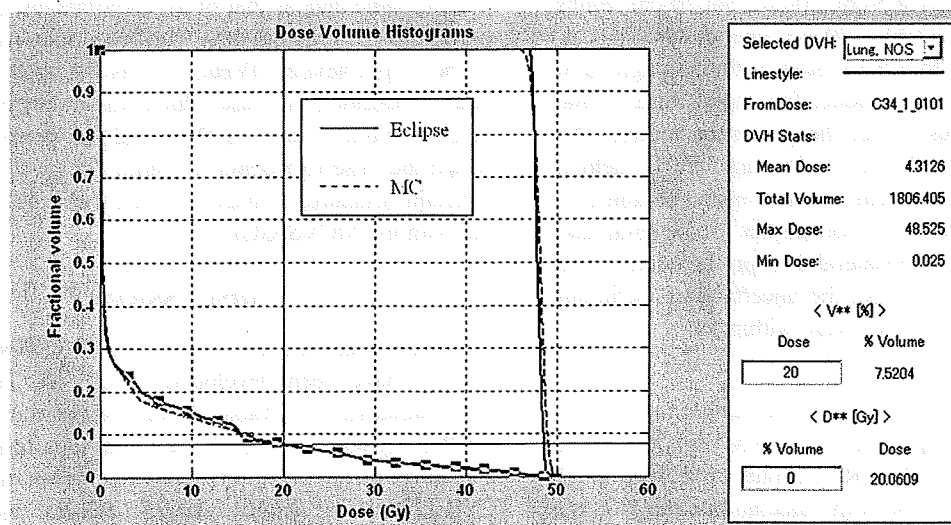


Fig. 6. The DVHs of internal target volume and lung calculated by DOSXYZnrc (dashed line) and by Eclipse (solid line) are shown. On the MCVS GUI, these structure data are identical to those of radiotherapy planning. The dose index can be displayed next to DVHs, such as  $D_{\text{mean}}$ ,  $D_{\text{max}}$ , and  $D_{\text{min}}$ . Furthermore, the dose which was irradiated at a certain percent volume, such as D95, and the percent volume at which the dose exceeding a certain level was irradiated to a structure volume such as V20 can also be calculated on the MCVS GUI.

Table 2. Survey of academic and commercial MC dose calculation systems

Location or company (MC system)	MC code	Type of MC code	Calculation time (min)	Reference
<b>Academic</b>				
Osaka U (MCVS)	EGSnrc	Full	42.9 (7.2*)	
Kyoto U (MCRTV)	EGS4	Full	42.9	38
MSKCC	EGS4	Full		39
UCLA (RTMCNP)	MCNP	Full	60	4
McGill U (MMCTP)	EGSnrc + XVMC	Full + fast		37
Stanford U/FCDC (MCDOSE, MCSIM)	modified EGS4	Fast	1.6	35, 36
Virginia Commonwealth U (MCV)	modified EGS4	Fast	21.8	34
U of Tübingen	XVMC	Fast		28
U of Leipzig	VMC	Fast		27
U of Michigan (RT_DPM)	modified DPM	Fast	7.3	33
U of California	PEREGRINE	Fast		26
<b>Commercial</b>				
BrainLAB, CMS and Elekta	XVMC	Fast	1.1	25
Nucletron and Varian	VMC++	Fast	0.9	25
NOMOS	PEREGRINE	Fast	43.3	25

*Abbreviations:* MCTP = Monte Carlo treatment planning system; Full = full Monte Carlo not using variance reduction technique (VRT) to keep the accuracy; Fast = fast Monte Carlo using VRT to reduce the calculation time.

\* Calculation time with the in-house cluster system.

countries have developed in-house MC calculation systems, such as MCDOSE at Stanford University and Fox Chase Cancer Center, MCV at Virginia Commonwealth University, and MMCTP at McGill University (35-38). MCRTV was developed for clinical treatment plan verification, especially for routine quality assurance of IMRT plans. MCVS was originally developed for all of the high-precision radiotherapy treatment plans including the noncoplanar treatment plans for SBRT. All of the high-precision radiotherapy treatment plans should be verified with MC because of their complexity, but few institutions, especially in Japan, are able to do it.

We commissioned our clinical 6-MV photon beam phase-space data by determining, on the basis of the incident electron beam parameters, that MC calculations showed the best match with the measurements. Due to the inability to accurately correlate the ionization chamber reading with the dose in the build-up region and outside the region of flatness, accuracy of the estimation of the dose difference at these regions remains questionable (38). The differences were determined from the depth-dose curves for the region corresponding to each depth beyond the build-up region and from the lateral dose profile curves for each point of the off-axis within the region of flatness. Excellent agreements to within 1% between measurements and MC-calculated doses were obtained for the water phantom, except for the surface. There were wide variations among the incident electron beam parameters for MC models of 6-MV photon beams from the Varian linear accelerators (mean energy ranging from 5.7 MeV to 6.2 MeV and FWHM of radial intensity spread ranging from 0.10 cm to 0.20 cm, using a Gaussian beam model), even though many investigators determined on the basis of the incident electron beam parameters that MC showed the best match with the individual measurements. These variations can be partly attributed to

several factors, such as individual differences among the accelerators and methods to model the treatment head components. Our results for the benchmarks under homogeneous conditions were consistent with these variations (41-44).

Relatively large differences between the dose distributions calculated with the MCVS and the commercial treatment planning systems were observed at the boundary of the tumor and the lung structures. This can be explained by inaccuracy of the conventional dose calculation algorithm due to heterogeneities. Dose perturbations at the interface between soft tissue and high- or low-density medium are due to a number of complex effects, which lead to the errors in dose computation associated with the conventional dose algorithms (5).

With the MCVS, high-precision radiotherapy treatment plans, such as 3DCRT, SBRT, and IMRT can be simulated. However, several aspects of the MCVS need to be explored, such as the tongue-and-groove effect and leaf leakage radiation through the Varian Millennium 120-leaf MLC. Actual measurements and those obtained with simulation need to be compared for validation of the MCVS.

## CONCLUSIONS

Development of the MCVS was successful for performing MC simulations, including those for high-precision radiotherapy, 3DCRT, SBRT, and IMRT, as well as for analysis of calculated dose distributions. In this paper, we have presented the key features of the MCVS. The phase-space data of a 6-MV photon beam was developed, and several benchmarks were established under homogeneous conditions. The MC results showed good agreements with the actual measurements with discrepancies of 1% or less. However, measurements and simulations of the MLC-specific effects need to be compared for validation of the MCVS.

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# Radiotherapy for patients with localized hormone-refractory prostate cancer: results of the Patterns of Care Study in Japan

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Study Type – Therapy (cohort)  
Level of Evidence 2b

## OBJECTIVE

To evaluate the clinical results of radiotherapy (RT) for patients with regionally localized hormone-refractory prostate carcinoma (HRPC).

## PATIENTS AND METHODS

As part of a Patterns of Care Study in Japan, a nationwide survey was conducted of RT for patients with prostate adenocarcinoma. We reviewed the detailed information of 140 patients with regionally localized HRPC who received RT between 1996 and 1998, and between 1999 and 2001, in 117 randomly selected institutes in Japan. The median

(range) age of the patients was 74 (51–94) years, and their tumours were defined as well (14), moderately (51) or poorly (54) differentiated, or of unknown differentiation (21). The median (range) interval between hormonal therapy (HT) and RT was 32.5 (1.1–168.4) months. Ninety-five patients had T3–4 tumours and 28 had regional lymph node metastases before treatment. The median (range) prostate-specific antigen levels before the initial HT and before RT were 35.0 (1.5–276) and 10.0 (0.06–760.3) ng/mL, respectively. External beam RT was administered, with a median total dose of 66 Gy; 70 patients (50%) received pelvic irradiation.

## RESULTS

At a median follow-up of 20.7 months, the 5-year overall and clinical progression-free

survival rates (95% confidence interval) were 48.1 (36–60)% and 36.7 (26–47)%, respectively. Although there were distant metastases in 46 patients, only six had local progression. There was late morbidity of grade  $\geq 3$  in six patients.

## CONCLUSION

To the best of our knowledge, this study comprises the largest series of regionally localized HRPC treated with RT reported to date. RT might have a limited role for HRPC, because in most patients RT failed, with distant metastasis.

## KEYWORDS

hormone-refractory prostate cancer, Patterns of Care Study, radiotherapy

## INTRODUCTION

Although hormonal therapy (HT) is an effective treatment for patients with prostate cancer, many relapse and become resistant to further hormone manipulation within a few years. The androgen-dependent period in patients with metastatic disease lasts for a median of 14–30 months [1]. For patients with nonmetastatic prostate cancer treated with continuous androgen deprivation, the cause-specific survival rates at 5 years have

been reported to be 70–92% [2–4]. However, despite the favourable clinical outcome in the short term, the median time to biochemical progression is only 19–36 months for patients with regionally localized advanced prostate cancer [5]. Thus, HT has been used in Europe and North America primarily to provide temporary relief for advanced cancer. On the other hand, the CaPSURE data, which was reported in 2003 and comprises analyses of 3439 cases, recently showed that the rate of primary HT on localized prostate cancer

increased remarkably, from 4.6% in 1989 to 14.2% in 2001 [6].

By contrast, HT has been commonly used in Japan for those patients with high-risk prostate cancer, based on the clinical experience of the treating physicians [7–9]. According to the Japanese Prostate Cancer survey, 75% of 16 147 patients who were newly diagnosed with prostate cancer in 395 institutes in Japan from 2001 to 2002 were treated with HT in some form (HT alone,



**TABLE 1**  
*The characteristics of the 140 patients*

Variable	Median (range), n or n (%)
Age, years	74 (51-94)
Observation period, months	20.7 (1-103)
Reason for RT	
Clinical failure	55
PSA failure	85
Differentiation of tumours	
Well	14 (10.9)
Moderately	51 (39.5)
Poorly	54 (41.9)
Unknown	10 (7.8)
Missing data	11
T stage	
0-1	2 (1.5)
2	21 (15.8)
3	59 (44.4)
4	36 (27.1)
Unknown	15 (11.3)
Missing data	7
N stage	
0	84 (65.1)
1	28 (21.7)
Unknown	17 (13.2)
Missing data	11
PSA level, ng/mL	
Before treatment	35.0 (1.5-276)
<10	11 (12.2)
10.0-19.9	11 (12.2)
≥20	68 (75.6)
Missing data	50
Before radiotherapy	10.0 (0.06-760.3)
<10	59 (48.8)
10.0-19.9	30 (24.8)
≥20	32 (26.4)
Missing data	19

neoadjuvant or adjuvant settings) [10]. Furthermore, the survey showed that 66% of the patients with localized early prostate cancer were treated with HT alone. Although the prevalence of prostate cancer in Japan has been remarkably lower than that in Europe and North America, in Japan there has been an overwhelming increase in morbidity and mortality from prostate cancer over the last 40 years [11].

Therefore, a substantial number of patients with localized disease before HT will develop hormone-refractory prostate cancer (HRPC) in terms of increasing PSA levels or overt clinical disease. Zagars *et al.* [12] showed that local progression is one of the most common types of disease progression in patients with HRPC, but there are only a few reports to date on the efficacy of radiotherapy (RT) in the

management of regionally localized HRPC in small series of patients [13-16]. Patients with HRPC can be treated with RT in Japan [17], even though the role of RT for patients with localized HRPC has not yet been well established.

The Patterns of Care Study (PCS), a type of study developed in the USA as a quality-assurance programme, was conducted in Japan in an attempt to obtain data on the national standards of the use of RT for several diseases, including prostate cancer [18]. The Japanese PCS Working Group on Prostate Cancer conducted the first and second nationwide process surveys of patients with prostate cancer who received RT between 1996 and 1998 (PCS96-98) and between 1999 and 2001 (PCS99-01). Our group previously reported the preliminary outcomes of RT for

patients with localized HRPC in Japan, based on the results from PCS96-98 [16], and documented that RT had a high rate of local control, but that it failed in some patients who developed distant metastasis. In the present report, we provide an analysis of both PCS96-98 and PCS99-01 to evaluate the outcome of patients with HRPC who received RT, and to assess the role of RT in patients with localized HRPC.

## PATIENTS AND METHODS

The standard methods used in data collection for a national process survey were described previously in detail [16,18]. Briefly, the PCS survey used a stratified two-stage cluster sampling method. An external audit team of radiation oncologists surveyed 84 institutes in PCS96-98 and 76 institutes in PCS99-01, respectively [19]. PCS96-98 and PCS99-01 stratified these institutions into either academic (university hospital or cancer centre) or non-academic institutions (other hospitals) according to a facility master list created by the Japanese Society of Therapeutic Radiation Oncology in 1997 and 2001, respectively. Search criteria were as follows: (i) the patients had adenocarcinoma of the prostate with no distant metastases; (ii) the patients received RT during either 1996-1998 or 1999-2001; and (iii) the patients had not been diagnosed with any other malignancy or treated with RT previously [17].

The detailed information of 839 patients treated with RT was collected in PCS96-98 and PCS99-01. For the purposes of the present study, we selected the 140 patients (16.7%) from the two surveys who had regionally localized HRPC according to the following definition: (i) patients who had not received surgical treatment for prostate cancer; (ii) patients who had received HT initially; (iii) patients who had consecutive increasing PSA levels or had clinical loco-regional failure after initial HT. A DRE and diagnostic imaging, e.g. CT, MRI or bone scintigraphy were assessed before HT for staging and before RT for re-staging, according to the TNM staging system (1997).

The characteristics of the patients are shown in Table 1. Before RT, 55 patients had clinical progression and the other 85 had PSA failure alone. The median (range) interval between HT and RT was 32.5 (1.1-168.4) months. Biopsy Gleason scores were not available for most

Treatment	Median (range) n/N or n (%)	TABLE 2 Treatment characteristics
<b>HT</b>		
Interval between HT and RT, months	32.5 (1.1-168.4)	
<b>Method of androgen ablation*†</b>		
Orchidectomy	39/140	
Oestrogen agent	43/140	
LH-RH agonist	113/140	
Antiandrogen	102/140	
<b>RT</b>		
<b>Beam energy, MV</b>		
Cobalt 60	1 (0.8)	
Photons <10	27 (22.9)	
Photons ≥10 to <18	83 (70.3)	
Photons ≥18	7 (5.9)	
Missing data	22	
<b>Technique</b>		
AP/PA or LR/RL only	25 (21.2)	
≥3 fields	59 (50.0)	*37 patients (26.4%) were also treated with chemotherapy including estramustine. †Some patients had more than one treatment: AP/PA, anterior- posterior; LR/RL, left-right.
Moving beam/dynamic conformal	26 (22.0)	
Others/unknown	8 (6.8)	
Missing data	22	
<b>Pelvic irradiation</b>		
Yes	70 (50.0)	
No	70 (50.0)	

FIG. 1. Overall and clinical progression-free survival curves of patients with HRPC after RT.

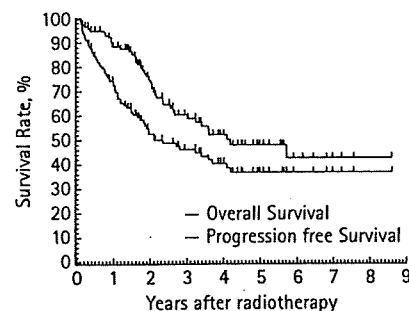


TABLE 3. Patterns of recurrence in 54 patients

Pattern	n (%)
Local	3 (6)
Regional	4 (7)
Local + regional	1 (2)
Local + distant	2 (4)
Regional + distant	6 (11)
Distant	38 (70)
Others*	12

\*including patients who died from prostate cancer but details of disease progression were unknown.

patients in this series, but the percentage of patients with poorly differentiated adenocarcinomas, considered to be an approximation to Gleason 8–10 tumours, was >40%. The HT and RT methods are shown in Table 2. Chemotherapy was administered in 37 patients (26.4%), 12 of whom received estramustine, although the chemotherapy regimens varied, including cisplatin, 5-fluorouracil, etoposide, etc. The total RT doses varied, and the median (range) dose was 66 (10–90) Gy; the median dose per fraction was 2 (1.5–3) Gy.

The outcome measure used in the present analysis was defined as the interval from the first day of RT to clinical progression and to death, using the Kaplan-Meier product-limit method. Distributions were compared using a univariate analysis, with a log-rank statistic, and multivariate analysis with Cox's proportional hazard model, using the Statistical Analysis System at the PCS data centre at Osaka University [20]. In all tests,  $P \leq 0.05$  was considered to indicate significance. Acute and late morbidities were graded using the National Cancer Institute Common Toxicity Criteria for Adverse Events (NCI-CTC AE) version 3; late morbidities occurring >3 months after RT are described.

RESULTS

With a median (range) follow-up of 20.7 (1–103) months after RT, 41 patients died from prostate cancer and three died from intercurrent disease; the cause of death was unknown in one patient. Sixty-six patients were identified as having clinical progression, including 12 who died from prostate cancer with no detailed information on their clinical progression. The sites of recurrence are shown in Table 3. Local failure occurred in only six of the patients who had disease relapse. One of the patients with local recurrence had regional lymph node metastasis, and the other two had distant metastasis. Forty-six patients had distant metastasis, including two with local failure and six with regional lymph node recurrence. Twelve patients received irradiation of <50 Gy, only one of whom had local failure. Sixteen patients had a continuous increase in PSA level with no clinical progression after RT. The Kaplan-Meier estimates of the overall and clinical progression-free survival rates (95% CI) at 5 years were 48.1 (36–60)% and 36.7 (26–47)%, respectively (Fig. 1).

Patients with grade ≥2 toxicity according to NCI-CTC AE are shown in Table 4; although

TABLE 4. The rates of morbidity (NCI-CTC AE v3)

Morbidity	Grade	
	2	3
<b>Rectal toxicity, n</b>		
Bleeding	4	5
Stricture	0	1
<b>Urinary toxicity, n</b>		
Ureteric obstruction	1	0
Urethral stricture	4	0
Incontinence	2	0

none had late toxicity of grade ≥4, five had rectal bleeding and were treated with transfusion or laser coagulation. One patient received surgical treatment because of a severe rectal stricture. No patients had genitourinary toxicity of grade ≥3.

Univariate analysis showed that Karnofsky performance status (KPS,  $P = 0.004$ ), T stage ( $P = 0.023$ ), N stage ( $P < 0.001$ ) and total dose ( $P = 0.001$ ) were statistically significant factors for overall survival, while a multivariate analysis showed that age

TABLE 5 Uni- and multivariate analyses for prognostic factors of overall survival

Factor	P		
	Univariate	Multivariate	Hazard ratio
Clinical failure before RT	0.09	0.30	5.183
Yes vs no			
KPS: <80 vs ≥80	0.004*	0.60	1.356
Age, years: <70 vs ≥70	0.92	0.046*	4.662
T stage, T0-2 vs T3-4	0.02*	0.07	3.326
N stage, N0 vs N1	<0.001*	0.01*	4.953
Differentiation of tumours	0.39	0.92	0.953
Well/moderately vs poorly			
PSA level, ng/mL: <20 vs ≥20			
Before treatment	0.62	0.30	0.505
Before RT	0.50	0.36	1.791
Chemotherapy, yes vs no	0.09	0.06	0.304
Pelvic irradiation, yes vs no	0.10	0.75	1.175
Total dose, Gy: <60 vs ≥60	0.001*	0.54	0.630

\*Statistically significant.

( $P = 0.046$ ) and N stage ( $P = 0.01$ ), were significant prognostic factors (Table 5).

## DISCUSSION

In the present study we assessed the clinical results of RT for patients with regionally localized HRPC, and compared the results with those from previous analyses [13–15]. Lankford *et al.* [13] retrospectively analysed the results of RT for 29 patients with HRPC, and reported that the actuarial local failure rate at 4 years after locoregional RT was 39%, although 80% of patients had disease progression or an increasing PSA level, and the actuarial survival at 4 years was 39%. They concluded that RT was useful to obtain long-term local control, in addition to relief of symptoms [13]. Akimoto *et al.* [15] showed the usefulness of external RT for 53 patients with node-negative, localized HRPC. These patients were treated with external RT using the oblique four-field technique, at a total dose of 69 Gy (the fractional dose was 3 Gy three times weekly). In their study, only two patients had local failure at the first recurrent site, in contrast to 13 with bone or lymph node metastases, and the 5-year cause-specific survival rate was 87%. Sanguineti *et al.* [14] assessed the results of external RT (median dose 70 Gy) in 29 patients with prostate-confined HRPC, with mean (SD) estimates of locoregional control rate, actuarial incidence of distant metastasis and

overall survival at 5 years being 89 (7)%, 68 (9)% and 28 (9)%, respectively; they concluded that external RT gave excellent local control, although most patients developed distant metastases within a few years of RT. In the present series, only six patients had local failure and 46 had distant metastasis. The overall survival rate at 5 years was 48.1%. However, Oefflein *et al.* [21] showed that the median survival after HRPC developed in patients initially staged with and without bone metastasis, who did not receive definitive RT or surgery, was 40 and 68 months, respectively. Thus, RT might have only a palliative role in patients with localized HRPC because in most it failed, with distant metastasis.

However, a significant percentage of patients with HRPC who are treated with RT were well controlled, both in the previous and in the present analyses. It is important to accurately identify patients with no subclinical distant metastasis for definitive success with RT. Sanguineti *et al.* [14] investigated predictors of distant metastasis, and reported that patients with a low Gleason score at diagnosis, lower PSA level at RT, and advanced age, were less likely to develop distant metastasis. Akimoto *et al.* [15] found, in a univariate analysis, that the PSA doubling time (DT), PSA level before RT and Gleason score were significantly associated with clinical relapse, almost of which were distant metastasis, while only the PSA level before RT

was significant in a multivariate analysis, leading them to conclude that RT should be started before the PSA level reaches  $\geq 15$  ng/mL, or at least  $< 20$  ng/mL, to obtain the maximum benefit of RT. Furthermore, other previous analyses showed that the PSADT, with an increasing PSA level after prostatectomy, HT and RT is associated with disease relapse, indicating that patients with a shorter PSADT have a greater incidence of systemic progression or distant metastasis than those with a slowly increasing PSA level [22–24]. These patients with a low risk of distant failure should receive definitive RT.

Lankford *et al.* [13] found that RT doses of  $>60$  Gy were associated with symptom-free local control, and Sanguineti *et al.* [14] recommend total doses of least 60–66 Gy at 2 Gy per fraction, although they found that further dose increase was not worthwhile. In the present analysis, although the symptoms for each patient were not available, a total dose of  $>60$  Gy was also a significant prognostic factor for overall survival in the univariate analysis. However, Kawakami *et al.* [8] stated that palliative doses of 27–38 Gy, in 10 patients with HRPC presenting with urinary retention and/or gross haematuria, were effective for local control, with low invasiveness and minimal complications. They recommended that, if local progression is symptomatic, palliative irradiation should be initiated as soon as possible. Furthermore, Kraus *et al.* [25] reported that 33 patients with locally invasive prostate cancer, including HRPC, who received 4000–5000 rad of irradiation with palliative intent, were free of their symptoms. In the present series, 12 patients received doses of  $<50$  Gy, only one of whom had local failure, indicating that a relatively low dose might be sufficient for local control in patients with HRPC. Further study is necessary to establish appropriate irradiation doses for patients with HRPC.

In conclusion, to the best of our knowledge the present study on the efficacy of RT is the largest series reported to date of patients with regionally localized HRPC, although there are some shortcomings, i.e. the lack of data on patient symptoms, Gleason scores, and varying RT techniques and doses. RT for patients with localized HRPC seems to have a limited role for prolonging overall survival because in most patients it failed, with distant metastasis. Further examination is required to establish the appropriate role of RT.

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CONFLICT OF INTEREST

None declared.

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Abbreviations: HRPC, hormone-refractory prostate cancer; RT, radiotherapy; HT, hormone therapy; KPS, Karnofsky performance status; NCI-CTC AE, National Cancer Institute Common Toxicity Criteria for Adverse Events; PCS, Patterns of Care Study; DT, doubling time.



CLINICAL INVESTIGATION

Prostate

EXTERNAL BEAM RADIOTHERAPY FOR CLINICALLY LOCALIZED HORMONE-REFRACTORY PROSTATE CANCER: CLINICAL SIGNIFICANCE OF NADIR PROSTATE-SPECIFIC ANTIGEN VALUE WITHIN 12 MONTHS

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TERUKI TESHIMA, M.D.,# AND THE JAPANESE PATTERNS OF CARE STUDY WORKING  
SUBGROUP OF PROSTATE CANCER

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**Purpose:** To analyze retrospectively the results of external beam radiotherapy for clinically localized hormone-refractory prostate cancer and investigate the clinical significance of nadir prostate-specific antigen (PSA) value within 12 months (nPSA12) as an early estimate of clinical outcomes after radiotherapy.

**Methods and Materials:** Eighty-four patients with localized hormone-refractory prostate cancer treated with external beam radiotherapy were retrospectively reviewed. The total radiation doses ranged from 30 to 76 Gy (median, 66 Gy), and the median follow-up period for all 84 patients was 26.9 months (range, 2.7–77.3 months).

**Results:** The 3-year actuarial overall survival, progression-free survival (PFS), and local control rates in all 84 patients after radiotherapy were 67%, 61%, and 93%, respectively. Although distant metastases and/or regional lymph node metastases developed in 34 patients (40%) after radiotherapy, local progression was observed in only 5 patients (6%). Of all 84 patients, the median nPSA12 in patients with clinical failure and in patients without clinical failure was 3.1 ng/mL and 0.5 ng/mL, respectively. When dividing patients according to low (<0.5 ng/mL) and high (≥0.5 ng/mL) nPSA12 levels, the 3-year PFS rate in patients with low nPSA12 and in those with high nPSA12 was 96% and 44%, respectively ( $p < 0.0001$ ). In univariate analysis, nPSA12 and pretreatment PSA value had a significant impact on PFS, and in multivariate analysis nPSA12 alone was an independent prognostic factor for PFS after radiotherapy.

**Conclusions:** External beam radiotherapy had an excellent local control rate for clinically localized hormone-refractory prostate cancer, and nPSA12 was predictive of clinical outcomes after radiotherapy. © 2009 Elsevier Inc.

Hormone-refractory, Prostate cancer, nPSA12, Radiotherapy, Prognostic factor.

INTRODUCTION

Androgen ablation is an effective treatment approach for prostate cancer and has been used as one of the primary treatments for localized disease or palliative treatment for systemic disease (1, 2). In Japan in particular, androgen abla-

tion has frequently been used because most Japanese patients with prostate cancer have had high-risk disease and hormonal therapy is frequently preferred as the primary therapy (3, 4). Although almost all prostate cancers initially respond well to hormonal therapy, the majority eventually lose their hormone

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Conflict of interest: none.

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Table 1. Patient characteristics

Age (y) (median, 73.3)	
<75	51
≥75	33
KPS (%)	
≤80	45
>80	35
Unknown	4
T stage (1997 UICC)	
T0-2	18
T3-4	66
N stage (1997 UICC)	
N0	58
N1	10
Unknown	16
Pretreatment PSA (ng/mL)	
Median (range)	9.7 (0.06-760.3)
<4	14
≥4	69
Unknown	1
Gleason combined score	
≤6	5
>6	13
Unknown	66
Differentiation	
Well/moderately	38
Poorly	31
Unknown	15

Abbreviations: KPS = Karnofsky performance status; UICC = International Union Against Cancer; PSA = prostate-specific antigen.

sensitivity and progress (5). In the absence of an effective therapy for hormone-refractory prostate cancer, patients will die within approximately 12-18 months after the diagnosis of hormone-refractory prostate cancer (6). Among these patients, however, some will develop local progression without systemic diseases. Although the optimal treatment approach for clinically localized hormone-refractory prostate cancer has not yet been established, radiotherapy may be considered the treatment of choice to treat local progression with curative intent or to release urinary obstructive symptoms as a palliative treatment (7-9). However, little information exists on the efficacy of radiotherapy for localized hormone-refractory disease. Moreover, there is also minimal information regarding the clinically useful markers of recurrence risk for localized hormone-refractory prostate cancer treated with radiotherapy.

For patients with untreated prostate cancer, prostate-specific antigen (PSA) has been used as an important tool for prostate cancer screening and as a marker for treatment response and disease recurrence (10, 11). The PSA nadir (nPSA) after radiotherapy has been shown to predict biochemical failure (12, 13), distant metastases (14, 15), cause-specific mortality (16, 17), and overall mortality (17). However, the nPSA usually takes several years to occur, even as long as 8-10 years in some patients, and as a consequence nPSA has little practical clinical value. It would be ideal to identify a surrogate nPSA that describes the lowest PSA value achieved during a well-defined, relatively short interval after completion of radiotherapy. Recently, time-

limited survey of PSA, such as nPSA value within 12 months (nPSA12), has been reported to be an early predictor of biochemical failure, distant metastases, and mortality that is independent of radiotherapy dose and other determinants of outcome after radiotherapy for previously untreated localized prostate cancer (10, 11).

Because nPSA12 has been shown to be a useful predictor of treatment outcome for untreated localized prostate cancer treated with radical radiotherapy, we hypothesized that nPSA12 may also have potential applications in the monitoring of localized hormone-refractory prostate cancer treated with radiotherapy. In the present study we analyzed the treatment results of external beam radiotherapy for localized hormone-refractory prostate cancer. Next, we examined the nPSA12 in patients with hormone-refractory prostate cancer treated with radiotherapy and investigated whether nPSA12 could be a prognostic factor of clinical outcomes for these patients.

## METHODS AND MATERIALS

We used detailed data from patients with clinically localized hormone-refractory prostate cancer who were included in the Japanese Patterns of Care Study (PCS). The PCS, which has been developed in the United States as a quality assurance program, was conducted in Japan in an attempt to obtain data on the national standards of radiotherapy for several diseases, including prostate cancer (18). The Japanese PCS Working Subgroup of Prostate Cancer initiated a nationwide process survey for patients who underwent radiotherapy between 1996 and 1998. Subsequently, a second PCS of Japanese patients treated between 1999 and 2001 was conducted. We have previously reported the results of the first and second PCS surveys with respect to external beam radiotherapy for prostate cancer patients (19-24).

The PCS methodology has been described previously (18, 25, 26). In brief, the PCS surveys were extramural audits that used a stratified two-stage cluster sampling design. The PCS surveyors consisted of 20 radiation oncologists from academic institutions, and one radiation oncologist collected data by reviewing patients' charts from each institution. Patients with a diagnosis of adenocarcinoma of the prostate were eligible for inclusion in the present study unless they had one or more of the following: evidence of distant metastasis, concurrent or prior diagnosis of any other malignancy, or prior radiotherapy. The PCS data used in the present study are from two Japanese national surveys conducted to evaluate prostate cancer patients treated with radiotherapy in the 1996-1998 and 1999-2001 PCS surveys. Of the 839 patients constituting the 1996-1998 and 1999-2001 PCS survey populations, a total of 154 patients with regionally localized hormone-refractory prostate cancer were identified. Of these, 70 patients with insufficient nPSA12 data were excluded; a total of 84 patients with measurable nPSA12 were subjected to this analysis. The disease characteristics of these 84 patients, such as tumor stage and pretreatment PSA levels, were not significantly different compared with those of the 70 patients having insufficient data for nPSA12. All 84 patients received androgen ablation alone initially, followed by radiotherapy for local or biological progression in the absence of distant metastases.

Table 1 shows the patient characteristics for all 84 patients. Most patients had advanced disease at initial treatment. Pretreatment PSA value was defined as the PSA value before initial hormonal

Table 2. Treatment characteristics

Treatment	n (%)
Hormonal therapy	
Orchiectomy	19 (12)
Estrogen agent	24 (28)
LHRH agonist	78 (92)
Antiandrogen	60 (71)
Chemotherapy	
Yes	23 (27)
No	58 (69)
Unknown	3 (4)
Radiotherapy	
Radiation field	
WP plus boost	34 (40)
Prostate only	50 (60)
Total radiation dose (Gy)	
<60	12 (14)
>60	72 (86)
CT-based treatment planning	
Yes	17 (20)
No	49 (59)
Unknown	18 (21)
Conformal therapy	
Yes	23 (27)
No	44 (53)
Unknown	17 (20)

Abbreviations: LHRH = luteinizing hormone-releasing hormone; WP = whole pelvis.

treatment, and preradiotherapy PSA value was defined as the PSA value just before radiotherapy.

Methods of treatment are shown in Table 2. Hormonal therapy was administered alone or in combination with orchiectomy, estrogen agent, luteinizing hormone-releasing hormone agonist, or antiandrogen. The median duration of hormonal therapy before radiotherapy was 34.4 months (range, 0.2–164.8 months). Regarding chemotherapy, 23 patients (28%) were also treated with chemotherapy, such as estramustine and 5-fluorouracil, but no patients received docetaxel or paclitaxel-containing chemotherapy.

Regarding radiotherapy, most of the patients were treated with  $\geq 10$  MV linear accelerator and also treated with four or more portals. The median radiation dose delivered to the prostate was 66 Gy (range, 30–76 Gy), and the median dose per fraction was 2.0 Gy (range, 1.5–3.0 Gy). In the present study there were no definitive treatment policies for hormone-refractory prostate cancer, and radiation field was determined by the respective physicians at each institution. Thirty-four patients (40%) received treatment to the pelvic nodes in addition to prostate, and the remaining 50 patients (60%) received irradiation only to the prostate. Regarding lymph node status, 8 of 10 patients (80%) with clinically positive lymph nodes received treatment to the pelvic nodes in addition to prostate.

The nPSA12 was defined as the lowest PSA level achieved during the first year after completion of radiotherapy. The median number of PSA evaluations within 12 months after radiotherapy was 4 (range, 1–12) in all 84 patients. Median follow-up of all patients was 26.9 months (range, 2.7–77.3 months), and all patients without clinical failure had at least 1 year of follow-up. Patients were categorized as having progression after radiotherapy if they developed local, pelvic nodal, or distant failure.

Statistical analyses were performed using the Statistical Analysis System (SAS Institute, Tokyo, Japan) at the PCS statistical center (27). Overall and progression-free survival (PFS) rates were calcu-

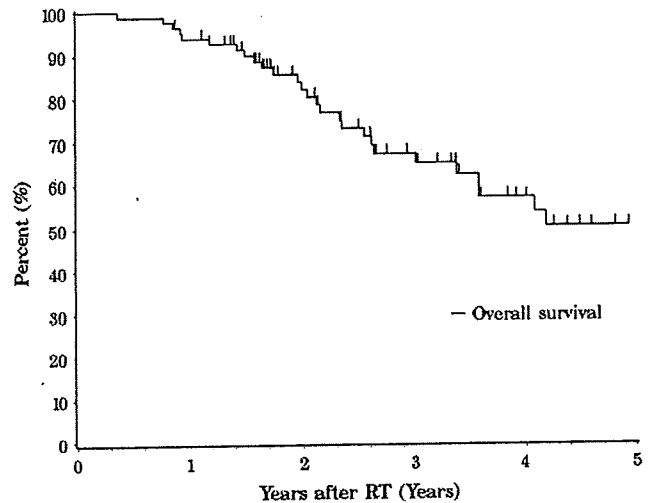


Fig. 1. Actuarial overall survival curves for 84 patients with clinically localized hormone-refractory prostate cancer treated with radiotherapy (RT).

lated actuarially according to the Kaplan-Meier method (28) and were measured from the start of radiotherapy. Differences between groups were estimated using the  $\chi^2$  test, the Student's *t* test, and the log-rank test (29). Multivariate analysis was performed using the Cox regression model (30). A probability level of 0.05 was chosen for statistical significance. The Radiotherapy Oncology Group (RTOG) late toxicity scales were used to assess the late morbidity (31).

## RESULTS

Of 84 patients, 27 (32%) died during the period of this analysis. Of these 27 patients, 24 died of prostate cancer, and the remaining 3 died without any sign of clinical recurrence (2 died of intercurrent disease, 1 died of unknown cause). The 3-year actuarial overall survival rate for all 84 patients was 67% (Fig. 1). With regard to the site of recurrence, 37 patients had clinical failure (local only in 3 patients, local with regional in 1 patient, local with distant metastases in 1 patient, regional in 3 patients, distant metastases in 24 patients, and regional and distant metastases in 5 patients). The 3-year actuarial PFS and local control rates in all 84 patients after radiotherapy were 61% and 93%, respectively (Fig. 2). Although distant metastases and/or regional lymph node metastases were seen in 34 patients (40%), local progression was observed in only 5 patients (6%), including 2 patients with simultaneous regional/distant metastases. The total dose and radiation field treated were tested for correlation with local control (Table 3). Ten of 12 patients (83%) treated with <60 Gy achieved local control, whereas 54 of 55 patients (98%) treated with  $\geq 66$  Gy achieved local control ( $p = 0.024$ ). Thirty-three of 34 patients (97%) treated with whole-pelvis irradiation with boost and 46 of 50 patients (92%) treated with local-field irradiation achieved local control; this difference was not statistically significant ( $p = 0.34$ ). Table 4 indicates regional control according to N stage and radiation field. Twenty-eight of 34 patients (82%) treated

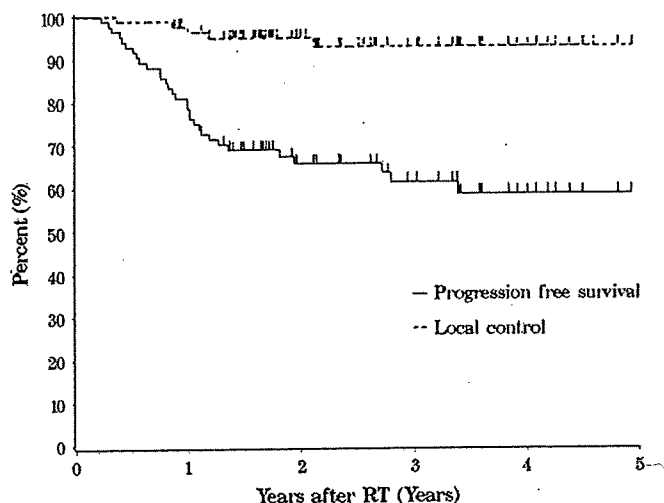


Fig. 2. Actuarial progression-free survival and local control curves for 84 patients with clinically localized hormone-refractory prostate cancer treated with radiotherapy (RT).

with whole-pelvis irradiation with boost and 47 of 50 patients (94%) treated with local-field irradiation achieved regional control; this difference was not statistically significant ( $p = 0.09$ ).

Of all 84 patients, the median nPSA12 in patients with clinical failure after radiotherapy and in those without clinical failure was 3.10 ng/mL (range, 0.36–1400 ng/mL) and 0.50 ng/mL (range, 0–50.39 ng/mL), respectively. Figure 3 shows the distribution of nPSA12 according to the achievement of clinical control. More than half of patients with clinical control (27 of 52 patients, 52%) had nPSA12 of <0.5 ng/mL, whereas only 1 of 32 patients (3%) with clinical failure had nPSA of <0.5 ng/mL ( $p < 0.0001$ ). For the 27 patients who achieved an nPSA12 <0.5 ng/mL and who did not experience clinical failure, the median time from the completion of radiotherapy to achievement of nPSA12 <0.5 ng/mL was 6.4 months (range, 0.07–11.7 months).

In the present study, patients with nPSA12 <0.5 ng/mL were assigned to the low nPSA12 group ( $n = 28$ ), whereas those with nPSA12  $\geq 0.5$  ng/mL were assigned to the high nPSA12 group ( $n = 56$ ). The 3-year actuarial PFS rate in pa-

Table 3. Local control according to radiation dose and field

Total dose (Gy)	n	Patients with LC	Incidence of LC	
			WP + B	Local
<60	12	10 (83)	5/5	5/7
60–62	15	15 (100)	10/10	5/5
62–64	2	0	0	0/2
64–66	2	2	1/1	1/1
66–68	17	16 (94)	7/8	9/9
68–70	14	14 (100)	2/2	12/12
$\geq 70$	22	22 (100)	8/8	14/14
Total	84	79 (94)	33/34 (97)	46/50 (92)

Abbreviations: LC = local control; WP = whole pelvis; B = boost. Values in parentheses are percentages.

Table 4. Regional control according to N stage and radiation field

N stage	n	Patients with LC	Incidence of LC	
			WP + B	Local
N0	74	68 (92)	23/26	45/48
N1	10	7 (70)	5/8	2/2
Total	84	75 (89)	28/34 (82)	47/50 (94)

Abbreviations as in Table 3.

Values in parentheses are percentages.

tients with high nPSA12 and in patients with low nPSA12 was 96.4% and 43.9%, respectively (Fig. 4). The difference between these two groups was statistically significant ( $p < 0.0001$ ). In a univariate analysis, nPSA12 and pretreatment PSA value had a statistically significant impact on PFS (Table 5). No significant differences in PFS were seen with respect to other factors. In a multivariate analysis, nPSA12 alone was a significant prognostic factor for PFS (Table 6).

Late morbidity of RTOG Grade 2–3 was observed in 11 patients (13%). A total of 8 patients experienced late rectal toxicity, 3 patients had late urinary toxicity, and 1 patient had multiple late rectal and urinary toxicities (Grade 3 rectal stricture, Grade 2 incontinence, and Grade 2 urethral stricture). There were no cases of Grade 4 toxicity (Table 7). Regarding 7 patients who had Grade 3 late complications, CT-based treatment planning was done in only 1 patient (14%), and conformal therapy was supplemented in 2 patients (29%).

## DISCUSSION

The present study indicated that external beam radiotherapy had an excellent local control rate for clinically localized hormone-refractory prostate cancer. Several reports have also indicated that radical radiotherapy had an excellent local control rate for these tumors (20, 32). Akimoto *et al.* (32) treated

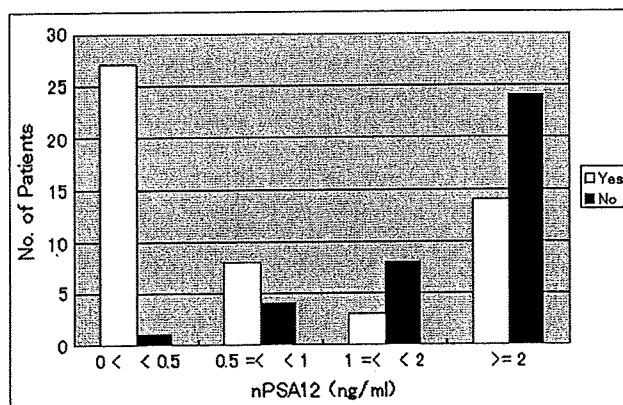


Fig. 3. Distribution of nPSA12 according to clinical control. More than half of patients with clinical control had a prostate-specific antigen nadir at 12 months (nPSA12) <0.5 ng/mL, whereas only 1 of 32 patients who experienced clinical failure had an nPSA12 <0.5 ng/mL.



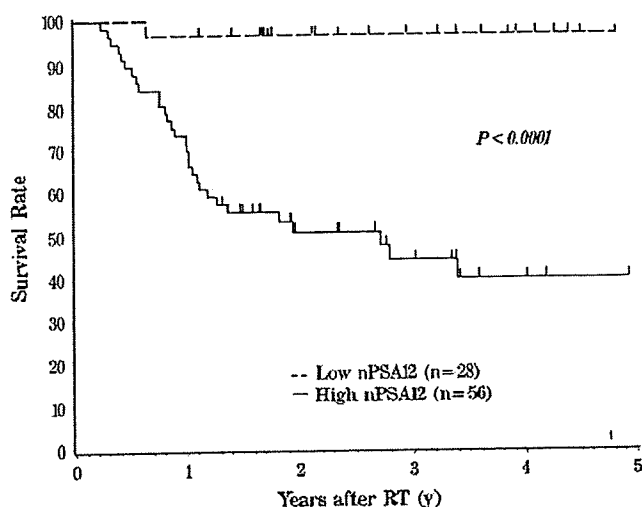


Fig. 4. Actuarial progression-free survival (PFS) curves according to the level of prostate-specific antigen nadir at 12 months (nPSA12). There were significant differences in PFS between patients with a low nPSA12 (<math>< 0.5</math> ng/mL) and those with a high nPSA12 (>math>\geq 0.5</math> ng/mL).

53 patients with localized hormone-refractory prostate cancer with external beam radiotherapy, and only 2 patients (4%) had local failure as the first site of recurrence (32). Similarly, our initial report indicated that local progression was observed in only 1.6% of patients with hormone-refractory prostate cancer when treated with radiotherapy (20). In the present study, only 5 of 84 patients (6%) developed local failure after radiotherapy. These results indicate that external beam radiotherapy is effective in preventing local recurrence of these tumors.

Although the dose-response relationship in patients who undergo irradiation for localized hormone-refractory prostate cancer has not yet been clearly established, higher doses with curative intent can result in fairly prolonged survival in some patients. Furuya *et al.* (8) treated 11 patients with local progression by external radiotherapy at a dose of 50–66.6 Gy, and no patients suffered from local progression. Lankford *et al.* (9) examined 29 patients with localized hormone-refractory prostate cancer treated with radiotherapy and showed that the 3-year local control rate after irradiation of >60 Gy was 90%, compared with only 29% for those receiving  $\leq 60$  Gy. In the present study, the 3-year local control in 84 patients treated with a median dose of 66 Gy was 93%, and 52 of 53 patients (98%) treated with  $\geq 66$  Gy achieved local control. Therefore, radiation doses of  $\geq 66$  Gy seem to be appropriate for localized hormone-refractory prostate cancer patients when treated with external beam radiotherapy. However, it is important to note that in the present study almost all patients who had Grade 3 late complications were treated without CT-based treatment planning and/or conformal therapy. Therefore, CT-based treatment planning and/or conformal therapy should be required to reduce late complications. Concerning radiation field, we did not find significant differences in both local and regional control between patients treated with whole-pelvis irradiation with boost and localized

Table 5. Univariate analysis of various potential prognostic factors for PFS in patients with hormone-refractory prostate cancer treated with external beam radiotherapy

Variable	n	Univariate analysis	
		3-y PFS (%)	p
nPSA12 (ng/mL)			0.0029*
<math>< 0.5</math>	28	96	
$\geq 0.5$	56	44	
Pretreatment PSA (ng/mL)			0.0260*
<math>< 20</math>	14	93	
$\geq 20$	45	47	
N stage			0.0737
N0	58	67	
N1	10	50	
Preradiotherapy PSA (ng/mL)			0.0997
<math>< 4</math>	14	86	
$\geq 4$	69	57	
Age (y)			0.1102
<math>< 75</math>	51	54	
$\geq 75$	33	74	
Differentiation			0.1398
Well/moderately	38	51	
Poor	31	70	
KPS (%)			0.4603
$\leq 80$	45	60	
>80	35	62	
Pelvic irradiation			0.6006
Yes	34	60	
No	50	63	
T stage			0.6886
T0–2	18	60	
T3–4	66	63	
Total radiation dose (Gy)			0.6939
<math>< 60</math>	12	53	
$\geq 60$	72	62	
Use of chemotherapy			0.7089
Yes	23	64	
No	58	62	
Gleason combined score			0.9972
$\leq 6$	5	100	
>6	13	69	

Abbreviation: PFS = progression-free survival; nPSA12 = prostate-specific antigen nadir within 12 months. Other abbreviations as in Table 1.

\*  $p < 0.05$ .

field only. Therefore, localized field irradiation may be sufficient in this patient population. Further studies are required to determine whether localized field irradiation can be sufficient for these patients.

The present study also indicated that patients with a high nPSA12 had a significantly lower PFS rate than patients with a low nPSA12. Moreover, nPSA12 was an independent prognostic factor for PFS in patients with localized hormone-refractory prostate cancer treated with radiotherapy. To our knowledge, this is the first report to demonstrate the utility of nPSA12 in determining prognosis in patients with localized hormone-refractory prostate cancer treated with radiotherapy. Concerning previously untreated prostate cancer, Alcabtare *et al.* (10) indicate that nPSA12 is independent of radiation dose, T stage, Gleason score, pretreatment initial

Table 6. Multivariate analysis of potential prognostic factors for PFS in patients with hormone-refractory prostate cancer treated with external beam radiotherapy

Variable	RR (95% CI)	p
nPSA12 ( $<0.5$ vs. $\geq 0.5$ ng/mL)	10.965 (1.454–82.671)	0.0202*
Pretreatment PSA ( $<5$ vs. $\geq 5$ ng/mL)	6.489 (0.854–49.430)	0.0706

Abbreviations: RR = relative risk; CI = confidence interval. Other abbreviations as in Tables 1 and 5.

\*  $p < 0.05$ .

PSA value, age, and PSA doubling time, and dichotomized nPSA12 ( $\leq 2$  vs.  $>2$  ng/mL) was independently related to distant metastases and cause-specific mortality. Ray *et al.* (11) indicated that patients with nPSA12  $\leq 2.0$  ng/mL had significantly higher 8-year PSA failure-free survival and overall survival rates than patients with nPSA12  $>2.0$  ng/mL, and nPSA12 was an independent prognostic factor for prostate cancer patients treated with radiotherapy alone. These results suggest that nPSA12 may be a useful marker for localized hormone-refractory prostate cancer patients treated with radiotherapy, as well as for patients with previously untreated prostate cancer treated with radiotherapy. Because nearly all of the patients in the present study achieved local control, nPSA12 levels may largely reflect the recurrence risk for both regional and distant metastases.

Several previous studies have suggested other potential factors associated with the risk of prostate cancer recurrence, such as preradiotherapy PSA value, PSA doubling time, and Gleason score (9, 32, 33). Our results indicated that pretreatment PSA value has a significant impact on PFS, although multivariate analyses failed to confirm the significance (Table 4). Further studies are required to evaluate the influence of additional factors, such as pretreatment PSA value, on clinical outcomes for localized hormone-refractory patients treated with radiotherapy.

Patients with hormone-refractory prostate cancer generally have poor prognoses, even if the disease is regionally localized. The most common cause of failure in patients treated with radiotherapy is distant metastases (9, 20, 32). Akimoto *et al.* (32) indicated that 15 of 53 patients (28%) showed

Table 7. Late complications ( $n = 84$ )

Complication	Toxicity grade			Total dose (Gy) (Grade 3)
	2	3	4	
Rectal				
Bleeding	3	5	0	60–71*
Stricture	0	1	0	66
Urinary				
Incontinence	1	0	0	
Stricture	2	1	0	50

\* Median total dose, 70 Gy.

locoregional and/or distant metastases; the sites of the first recurrence were bone metastasis in 10, lymph node in 3, and local failure in 2 patients (32). Lankford *et al.* (9) demonstrated that there were 6 local and 14 regional or distant failures after locoregional radiotherapy in 29 patients with localized hormone-refractory prostate cancer, with a 4-year survival rate of 39%. In the present study, 34 of 84 patients (40%) developed distant metastases with or without local/regional recurrence after radiotherapy. Therefore, new treatment approaches for preventing distant metastases should be explored. Recently, a survival benefit of treatment with docetaxel-containing chemotherapy for patients with advanced prostate cancer was demonstrated in two large Phase III clinical trials (34, 35). Therefore, optimal adjuvant chemotherapy combined with radiotherapy may be a treatment of choice for high-risk patients.

In conclusion, our results indicated that external beam radiotherapy had an excellent local control rate for localized hormone-refractory prostate cancer and should be considered the treatment of choice for these tumors. Our results also indicate that nPSA12 is an early predictor of clinical failure that is independent of radiotherapy dose and other determinants of outcome after radiotherapy for patients with localized hormone-refractory prostate cancer. Because the majority of clinical failures are distant metastases, nPSA12 could potentially help identify patients at high risk who might benefit from earlier application of adjuvant systemic therapy. However, this study is a retrospective study with various treatment modalities, and further prospective studies are required to confirm our results.

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## Patterns of Radiation Treatment Planning for Localized Prostate Cancer in Japan: 2003–05 Patterns of Care Study Report

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**Objective:** The purpose of this study is to identify the treatment planning process for Japanese patients with localized prostate cancer.

**Methods:** The Patterns of Care Study conducted a random survey of 61 institutions nationwide. Detailed information was collected on prostate cancer patients without distant metastases who were irradiated during the periods 2003–05. Radiation treatment planning and delivery were evaluated in 397 patients who were treated radically with external photon beam radiotherapy.

**Results:** Computed tomography data were used for planning in ~90% of the patients. Contrast was rarely used for treatment planning. Simulations and treatments were performed in the supine position in almost all patients. Immobilization devices were used in only 15% of the patients. Verification of the treatment fields using portal films or electric portal imaging devices was performed in most of the patients. However, regular or multiple verifications in addition to initial treatment and/or portal volume changes were performed in only 30% of the patients. Typical beam arrangements for treatment of the prostate consisted of a four-field box. Three-dimensional conformal techniques were applied less frequently in non-academic hospitals than in academic ones. Modernized multileaf collimators with leaf widths  $\leq 10$  mm were used in about two-thirds of the patients. Although the total doses given to the prostate were affected by the leaf widths, there were no significant differences between leaf widths of 5 and 10 mm.

**Conclusions:** The results of the survey identified certain patterns in the current treatment planning and delivery processes for localized prostate cancer in Japan.

*Key words:* prostate cancer – treatment planning – Patterns of Care Study

### INTRODUCTION

Recent years have seen rapid modernization in the development of new radiotherapy equipments and techniques, and great growth in their availability in Japan. Accordingly, radical radiotherapy has been accepted as an option for the curative treatment of prostate cancer (1,2), and a number of

patients with prostate cancer have been treated with not only three-dimensional conformal radiotherapy (3DCRT), but also with intensity-modulated radiotherapy (IMRT). However, as with any newly arrived medical technology, the treatment planning process and methods are critical factors to affect the treatment results. Therefore, it was deemed very important to examine the structures and processes of treatment planning and delivery for localized prostate cancer in Japan.

The Japanese Patterns of Care Study (PCS) national survey is a retrospective study designed to investigate the

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