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# Experimental verification of proton beam monitoring in a human body by use of activity image of positron-emitting nuclei generated by nuclear fragmentation reaction

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**Abstract** Proton therapy is a form of radiotherapy that enables concentration of dose on a tumor by use of a scanned or modulated Bragg peak. Therefore, it is very important to evaluate the proton-irradiated volume accurately. The proton-irradiated volume can be confirmed by detection of pair-annihilation gamma rays from

positron-emitting nuclei generated by the nuclear fragmentation reaction of the incident protons on target nuclei using a PET apparatus. The activity of the positron-emitting nuclei generated in a patient was measured with a PET-CT apparatus after proton beam irradiation of the patient. Activity measurement was performed in patients with tumors of the brain, head and neck, liver, lungs, and sacrum. The 3-D PET image obtained on the CT image showed the visual correspondence with the irradiation area of the proton beam. Moreover, it was confirmed that there were differences in the strength of activity from the PET-CT images obtained at each irradiation site. The values of activity obtained from both measurement and calculation based on the reaction cross section were compared, and it was confirmed that the intensity and the distribution of the activity changed with the start time of the PET imaging after proton beam irradiation. The clinical use of this information about the positron-emitting nuclei will be important for promoting proton treatment with higher accuracy in the future.

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## 1 Introduction

Proton therapy has allowed the dose to be concentrated only on a tumor. The use of proton therapy is spreading throughout the world as a highly accurate method of radiation therapy [1]. In the future, proton therapy will be expected to become one of the main forms of radiation therapy because of its high utility. On the other hand, the diagnosis of an initial or small tumor has become

possible with developments in imaging methods that provide high resolution and contrast. In particular, positron emission tomography (PET) has advanced rapidly, and its use has become widespread. PET-computed tomography (CT) combines PET and CT and is now readily available. The fusion of PET and CT images can be achieved with high precision by use of a PET-CT apparatus. As a result, the location of activity can be determined with high accuracy.

In this study, the activity of positron-emitting nuclei generated by the nuclear fragmentation reaction of incident protons and nuclei constituting of a patient body was measured with a PET-CT apparatus (beam OFF-LINE PET system), and the proton-irradiated volume was confirmed. So far most researches were limited to phantom studies using a PET apparatus (no combined with CT apparatus) [2–13]. Verification of activity measurement was performed in patients with tumors of the brain, head and neck, liver, lungs, and sacrum. By use of a fusion imaging obtained with a combined PET-CT apparatus, the irradiated volume was confirmed immediately after proton therapy with higher accuracy than that the use of fusion of images obtained from the separate PET apparatus and CT apparatus.

We are researching dose-volume delivery-guided proton therapy (DGPT) for confirmation of the proton-irradiated volume and dose distribution by using a beam ON-LINE PET system (BOLPs) in the proton treatment room [13]. The activity image of each treatment site obtained with the PET-CT apparatus will be used for the simulation and estimation of the activity image acquired from the BOLPs immediately after proton irradiation to a patient.

This paper is organized as follows. Experimental procedures are described in Sect. 2. Measurement and analysis results and discussion are presented in Sect. 3. Section 4 discusses the conclusions of this study regarding proton therapy.

## 2 Materials and methods

### 2.1 Nuclear fragmentation reaction of incident protons and target nuclei

The nuclear fragmentation reaction occurs in the human body by high-energy proton beam irradiation during proton therapy. Many kinds of nuclei, including positron-emitting nuclei, are generated by the reaction.

The activity  $N_{\beta+}$  of the positron-emitting nuclei  $Y$  generated from each type of tissue composition by the nuclear fragmentation reaction is expressed as the following equation [11]:

$$\begin{aligned}
 N_{\beta+}(tissue; E_p) & [\text{kBq/cc/GyE}] \\
 &= \Phi_p(tissue; E_p) \\
 &\cdot \sum_X \sum_Y \left[ \frac{1}{T_m} \cdot \{1 - \exp(-\sigma_{X \rightarrow Y}(E_p) \cdot n_{tissue}(X) \cdot \Delta_{tissue})\} \right. \\
 &\quad \times \left. \left\{ \frac{T_{1/2}(Y)}{T_i \cdot \ln 2} \cdot (1 - 2^{-T_i/T_{1/2}(Y)}) \right\} \right. \\
 &\quad \times \left. 2^{-T_0/T_{1/2}(Y)} \times (1 - 2^{-T_m/T_{1/2}(Y)}) \right] \quad (1)
 \end{aligned}$$

Here,  $X$  denotes the target nuclei in the tissue,  $z$  the depth,  $T_m$  the time of the activity measurement,  $T_i$  the time of the proton irradiation,  $T_0$  the interval between the start of the activity measurement and the discontinuation of proton irradiation, and  $T_{1/2}$  the half life of the generated positron-emitting nuclei. The reaction cross section of  $\sigma_{X \rightarrow Y}$ , which determines the rate of generation in the nuclear fragmentation reaction  $X(p, x)Y$ , depends on the kind of target nucleus (mass number  $A_x$ , atomic number  $Z_x$ ) and the relative kinetic energy of  $E_p$ .  $n_{tissue}$  denotes the number per unit volume of the nucleus in the tissue, and  $\Delta_{tissue}$  the target thickness. Data of human body composition are based on ICRU Report 46 [14]. The number of incident protons per the dose and the volume  $\Phi_p$ , is expressed as follows:

$$\begin{aligned}
 \Phi_p(tissue; E_p) & [\text{protons/cc/GyE}] \\
 &= 1 \times 10^{-3} / \left\{ \left( \frac{dE_p}{dx} [\text{J/cm}] \right) \cdot RBE \right\} \\
 &= \left[ 1.671 \times 10^{-11} \cdot \left\{ \frac{\ln(1.363 \times 10^4 \cdot (\gamma(E_p)^2 - 1))}{\beta(E_p)^2} - 1 \right\} \right]^{-1} \quad (2)
 \end{aligned}$$

Here,  $RBE$  is the relative biological effectiveness.  $\beta$  and  $\gamma$  are expressed by use of the kinetic energy of the proton, in the following equation:

$$\begin{aligned}
 \beta(E_p) &= \sqrt{1 - \frac{1}{(1 + 1.066 \times 10^{-3} \cdot E_p)^2}} \\
 \gamma(E_p) &= 1 + 1.066 \times 10^{-3} \cdot E_p \quad (3)
 \end{aligned}$$

The  $^{12}\text{C}$ ,  $^{14}\text{N}$ ,  $^{16}\text{O}$ , and  $^{40}\text{Ca}$  nuclei are main chemical elements of the human body [14]. For proton therapy, the number of each positron-emitting nuclei, generated in the human body depends on the target nuclei and on the incident proton beam energy.

Some the experimental data of the reaction for  $^{12}\text{C}(p, x)Y$ ,  $^{14}\text{N}(p, x)Y$ ,  $^{16}\text{O}(p, x)Y$  have been reported [15]. The mean values of the reaction cross sections of the  $^{11}\text{C}$ ,  $^{13}\text{N}$ , and  $^{15}\text{O}$  nuclei generated from the  $^{12}\text{C}$  and  $^{16}\text{O}$  nuclei are especially expressed as follows [11]:



$$\sigma_{X \rightarrow Y}(E_p) = \frac{a}{1 + \exp\left(\frac{b-E_p}{c}\right)} \cdot \left\{ 1 - d \cdot \left( 1 - e \cdot \exp\left(-\frac{E_p-f}{g}\right) \right)^h \right\},$$

| X               | Y               | a    | b    | c   | d   | e   | f    | g    | h   |
|-----------------|-----------------|------|------|-----|-----|-----|------|------|-----|
| $^{12}\text{C}$ | $^{11}\text{C}$ | 96.0 | 21.4 | 0.9 | 0.5 | 1.2 | 39.0 | 34.5 | 2.0 |
| $^{16}\text{O}$ | $^{15}\text{O}$ | 71.0 | 26.0 | 2.8 | 0.6 | 1.1 | 41.0 | 36.0 | 6.0 |
| $^{16}\text{O}$ | $^{13}\text{N}$ | 66.0 | 10.4 | 0.4 | 0.9 | 0.8 | 11.6 | 6.8  | 1.0 |
| $^{16}\text{O}$ | $^{11}\text{C}$ | 18.8 | 43.6 | 3.6 | 0.5 | 1.0 | 49.0 | 35.0 | 4.0 |

(4)

Here, the reaction cross section of  $\sigma_{X \rightarrow Y}$  and the relative kinetic energy  $E_p$  have units of mb and MeV, respectively. The letters  $a, \dots, h$  are constant parameters for the calculation of the reaction cross section in each reaction channel. The data of the reaction for  $^{40}\text{Ca}(p,x)Y$  is mainly calculated with the INTENSITY code [16, 17] because there is no experiment value.

## 2.2 Proton therapy at each treatment site

The proton radiotherapy facility of the National Cancer Center, Kashiwa has a small normal-conducting AVF cyclotron (C235) for medical purposes, two rotating gantry ports, and one horizontal fixed port [18, 19]. For obtaining laterally uniform irradiation fields, the dual-ring double scattering method is used in one rotating gantry port and the horizontal fixed port; the wobbler method is used with the other rotating port. The uniform proton dose distribution during proton treatment is controlled by a simple feed back control system equipped with an automatic fine adjustment of the beam axis and a mechanism for moving the second dual-ring scatter of the double scatters to the optimal position [20]. Using this system, we achieved uniform dose distribution in the irradiation field during proton radiotherapy, with symmetry within  $\pm 1\%$  and flatness within 2%. The accuracy of the calculated dose is similarly proportional to the accuracy of the measured and calculated activities.

Verification of the activity measurement was performed in about 20 cases with tumors of the brain, head and neck, liver, lungs, and sacrum. Proton beam irradiation to the liver and lung was performed with synchronization to the respiratory motion of the target organ. The position uncertainty of the target organ is within 5 mm. The proton treatment planning system, PTPLAN/ndose, developed in our facility [21] was used

for planning of the proton treatment. The accuracy of the proton range is estimated within 3 mm in conversion of Hounsfield units (HU) of the planning CT image to water equivalent length. The accuracy of the dose calculation will be within 5% for the homogeneous or simply inhomogeneous body (e.g., prostate, liver, lung), and be greater than 10% at the boundary of the inhomogeneous tissue (e.g., head and neck). The dose calculation was performed with the margin of the 3 mm for the brain and the head and neck, and 5 mm for the liver, the lung, and the prostate.

## 2.3 Measurement of activity with PET-CT apparatus

The activity of the positron-emitting nuclei generated in the patients by proton beam irradiation was measured with the PET-CT apparatus (Discovery ST (GE Medical Systems, Milwaukee, Wisconsin, U.S.A.)) at our institution. The PET-CT apparatus was a detection system with 10,080 BGO (Bismuth-Germanium-Oxide) with a crystal size of  $6.2 \times 6.2 \times 30 \text{ mm}^3$  arranged on a circumference of a circle with a diameter of 88.6 cm. 3D reconstruction algorithm of OSEM (Ordered Subsets Expectation Maximization) was employed with a position resolution of 5.0–6.7 mm, which was position-dependent. The axial size of the field of view (FOV) was 15.7 cm. The accuracy of the absolute activity measured with the commercial PET-CT apparatus has been reported to be commonly about 10% [22].

The distance between the room for proton treatment and the room with the PET-CT apparatus was about 40 m. Therefore, PET scanning was started about 7 min after irradiation, and the image was acquired over 5 min. Therefore, the biological washout effect in the metabolism of a living tissue is important for the verification of the absolute activity and the activity distribution of the positron-emitting nuclei induced by the proton irradiation. In studies in which the radioactive ion beam ( $^{11}\text{C}, ^{10}\text{C}$ ) to a rabbit was irradiated, the decay curve has three components of a fast decay (decay constant  $\sim 2\text{--}10 \text{ s}$ ), medium decay (decay constant  $\sim 100\text{--}200 \text{ s}$ ), and slow decay (decay constant  $\sim 3,000\text{--}10,000 \text{ s}$ ) [23, 24]. The 50–65% of total activity is the fast and medium components.

The proton beam was irradiated to the tumor in the liver and lungs with the beam synchronized to respiratory motion. However, the activity of the positron-emitting nuclei generated in the patient was measured without synchronizing to respiratory motion of the target organ. The corresponding tumor movement will be a few cm.

### 3 Results and discussion

#### 3.1 Visual verification of PET-CT image at each treatment site

The measured activity distribution and the calculated dose distribution on CT image for proton treatment of a tumor in the sacrum as one of the site studies are shown in Fig. 1. Proton beam irradiation was performed with a gantry angle of 180 degrees and a dose of 2.5 GyE [= [Gy] x *RBE* (= 1.1 = constant)]. Moreover, the width of the spread-out Bragg peak (SOBP) was 70 mm. The activity fitted on the area of proton irradiation was visually confirmed by comparison with the proton dose distribution. The activity observed in the proton irradiated area of subcutaneous adipose tissue and bone tissue was higher than that in the surrounding area.

Figure 2 shows the results for prostate tumor. Proton beam irradiation was performed with a gantry angle of 90°, a SOBP width of 60 mm, and a dose of 2.0 GyE. Similarly, high activity was observed in the subcutaneous adipose tissue and in the femur.

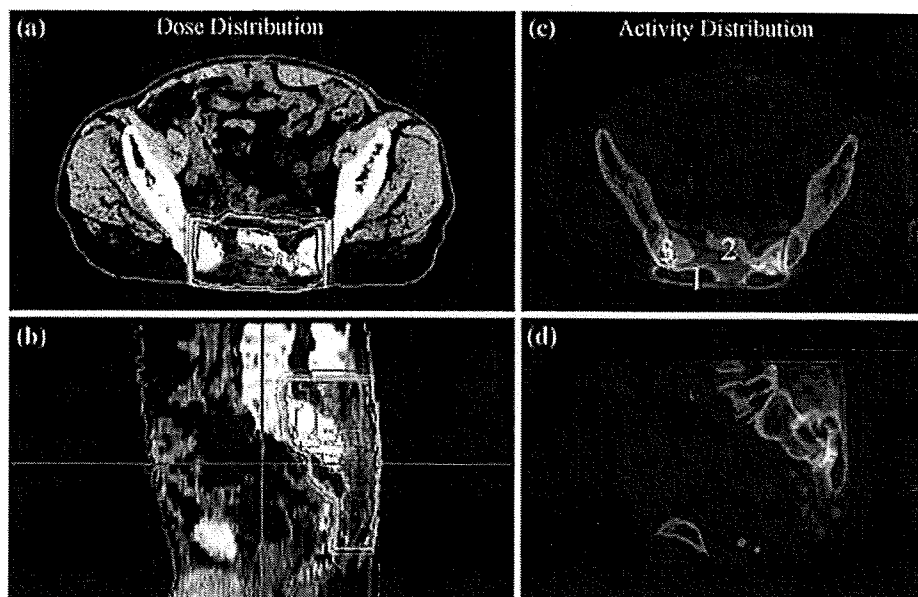
Figure 3 shows the results for a tumor of the head and neck. Proton beam irradiation was performed twice with each dose of 2.0-GyE, and a gantry angle of 230° for the initial exposure, followed by 330° for the second one. The respective widths of the SOBP were 80 and 70 mm. The interval between the two irradiation procedures was about 9 min. Therefore, the activity of the 330° proton beam was higher than that of the 230° beam. High activity was similarly observed in the areas of adipose tissue and maxilla irradiated by the proton beam.

Figure 4 shows the results for a liver tumor. Proton beam irradiation was performed with a 3.8-GyE dose and 80 mm SOBP from a gantry angle of 290°. During treatment, the proton beam irradiation was synchronized to the respiratory motion of the target organ. However, during the acquisition of PET-CT image data, there was no synchronization to the respiratory motion. Similarly, high activity was observed in the area of subcutaneous adipose tissue. The findings of activity during proton treatment after a transarterial chemoembolization therapy (TACE) procedure using lipiodol for a liver tumor are shown in Fig. 5. The CT value of 80–350 HU in area including the lipiodol is considerably higher than 70 HU in a normal liver. Proton beam irradiation was performed with a 3.8-GyE dose and 80 mm SOBP at a gantry angle of 180°. The activity in the liver tumor was high. We speculated that this was because many positron-emitting nuclei were generated from the iodine nuclei contained in the lipiodol.

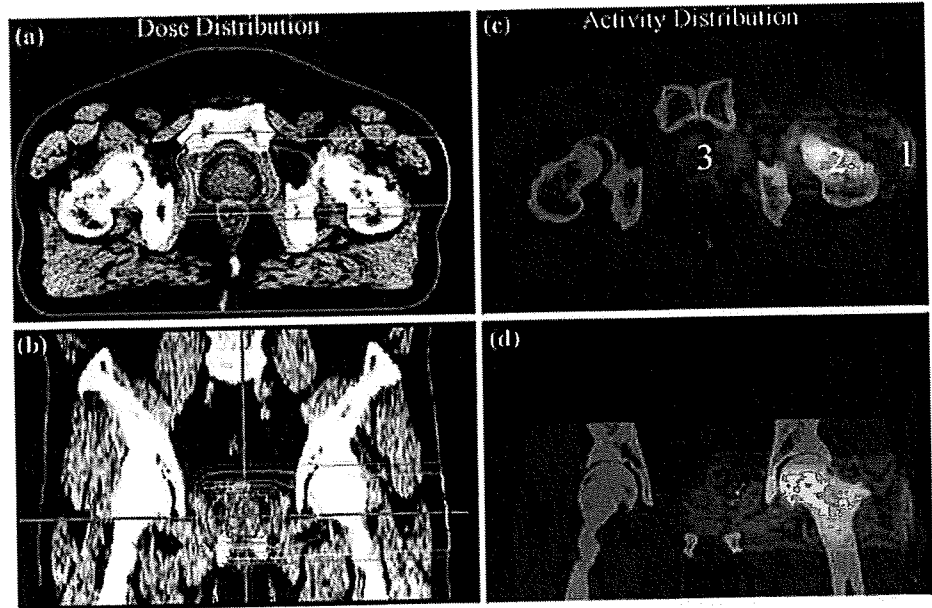
#### 3.2 Specificity of activity generated in each body tissue

The activity in each tissue and the interval between beam-stop time and start-time of activity measurement was calculated from Eq. 1. A beam irradiation time of 2 min and the beam energy in each tissue are used in the calculation. The reaction cross sections of  $^{12}\text{C}(p,x)^{11}\text{C}$ ,  $^{16}\text{O}(p,x)^{15}\text{O}$ ,  $^{16}\text{O}(p,x)^{13}\text{N}$ , and  $^{16}\text{O}(p,x)^{11}\text{C}$  reactions were calculated from Eq. 4 at each proton energy. The reaction cross sections of  $^{12}\text{C}(p,x)^{10}\text{C}$ ,  $^{16}\text{O}(p,x)^{14}\text{O}$ ,  $^{40}\text{Ca}(p,x)^{38}\text{K}$ ,  $^{40}\text{Ca}(p,x)^{30}\text{P}$ ,  $^{40}\text{Ca}(p,x)^{15}\text{O}$ ,  $^{40}\text{Ca}(p,x)^{13}\text{N}$ , and  $^{40}\text{Ca}(p,x)^{11}\text{C}$  reactions were calculated with the INTENSITY code. For

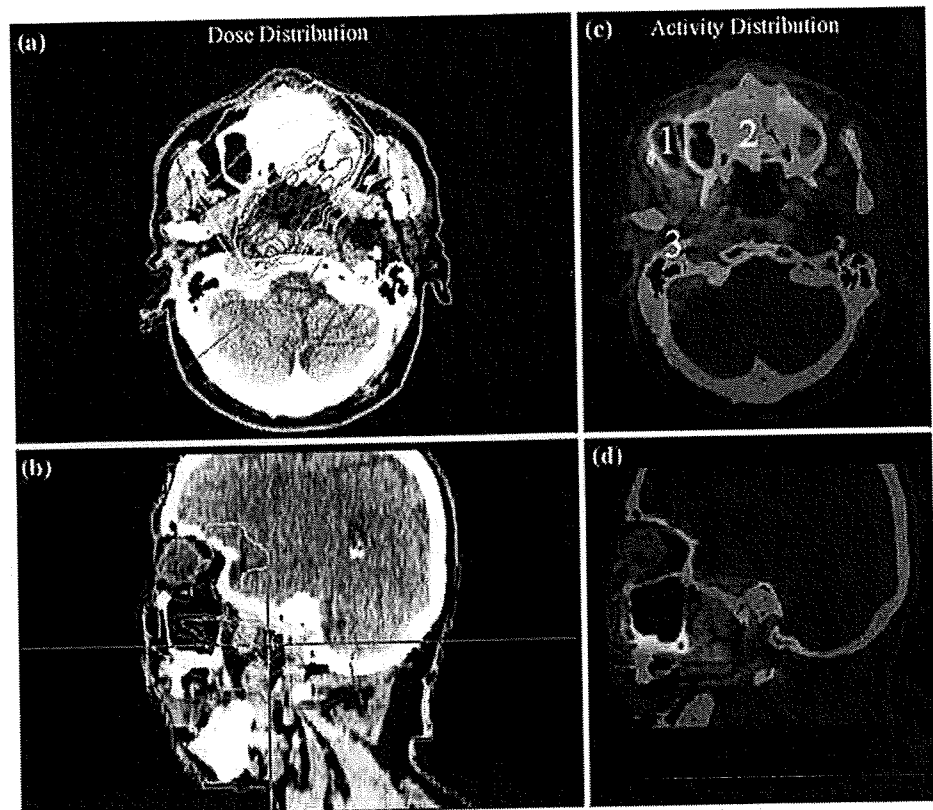
Fig. 1 Dose distribution calculated with the proton treatment planning system and activity measured with the PET-CT apparatus on CT image after proton treatment of tumor in the sacrum. The iso-dose line of 100% is red, 80% yellow green, 50% light blue, and 20% purple. The activity line of 5 kBq/cc is red, 3 kBq/cc green, and 1 kBq/cc blue. Proton beam irradiation was performed with an SOBP of 70 mm, gantry angle of 180°, and dose of 2.5 GyE. The dose distributions on each CT image in axial and coronal planes are shown in figures (a) and (b), and the activity are shown in figures (c) and (d)



**Fig. 2** Dose distribution calculated with the proton treatment planning system and activity measured with the PET-CT apparatus on CT image after proton treatment of tumor in the prostate. The iso-dose line of 100% is red, 80% yellow green, 50% light blue, and 20% purple. The activity line of 5 kBq/cc is red, 3 kBq/cc green, and 1 kBq/cc blue. Proton beam irradiation was performed with an SOBP of 60 mm, gantry angle of 90°, and dose of 2.0 GyE. The dose distributions on each CT image in axial and coronal planes are shown in figures (a) and (b), and the activity are shown in figures (c) and (d)



**Fig. 3** Dose distribution calculated with the proton treatment planning system and activity measured with the PET-CT apparatus on CT image after proton treatment of tumor in the head and neck. The iso-dose line of 100% is red, 80% yellow green, 50% light blue, and 20% purple. The activity line of 5 kBq/cc is red, 3 kBq/cc green, and 1 kBq/cc blue. Proton beam irradiation was performed with an SOBP of 70 mm, gantry angle of 330°, and dose of 2.0 GyE after irradiation with an SOBP of 80 mm, gantry angle of 230°, and dose of 2.0 GyE. The dose distributions on each CT image in axial and coronal planes are shown in figures (a) and (b), and the activity are shown in figures (c) and (d)

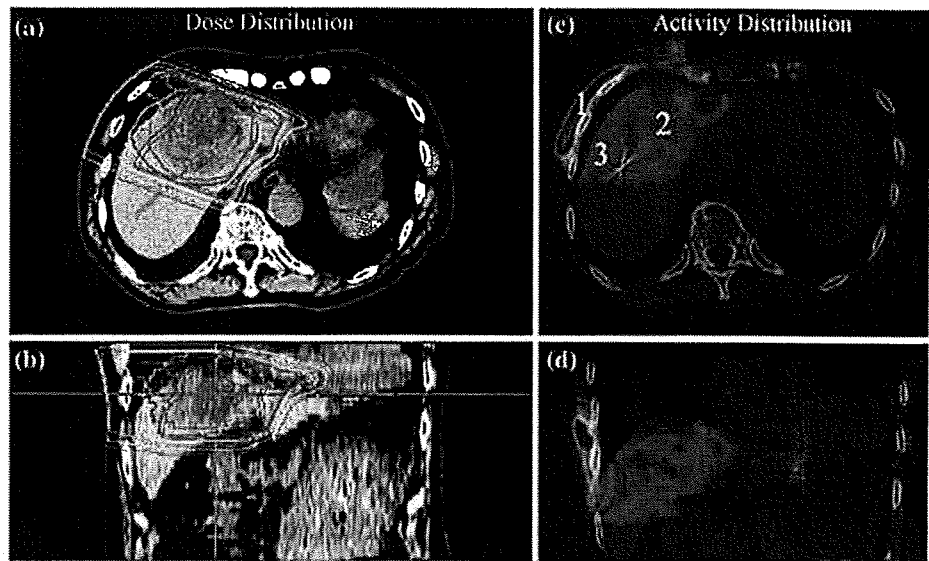


$^{14}\text{N}(p,x)^{13}\text{N}$ ,  $^{14}\text{N}(p,x)^{11}\text{C}$ , and  $^{14}\text{N}(p,x)^{10}\text{C}$  reactions, experimental data [15] were used. The results are shown in Fig. 6. Data of each human body composition and the proton energy used for the calculation of the time dependent activity in various tissues are shown in Table 1. The activity of adipose tissue was higher than that in the liver more than

6 min after proton beam irradiation. The same tendency was shown for activity measurements with use of the PET-CT apparatus after proton treatment for liver cancer.

The calculated decay curve is approximated with two components of short ( $^{15}\text{O}$ ,  $^{14}\text{O}$ , ...) and long ( $^{13}\text{N}$ ,  $^{11}\text{C}$ , ...) half life, and is expressed as the following equation:

**Fig. 4** Dose distribution calculated with the proton treatment planning system and activity measured with the PET-CT apparatus on CT image after proton treatment of tumor in the liver. The iso-dose line of 100% is red, 80% yellow green, 50% light blue, and 20% purple. The activity line of 7 kBq/cc is red, 5 kBq/cc green, and 3 kBq/cc blue. The proton beam irradiation was performed with an SOBP of 80 mm, gantry angle of 290°, and dose of 3.8 GyE. The dose distributions on each CT image in axial and coronal planes are shown in figures (a) and (b), and the activity are shown in figures (c) and (d)



$$N_{\beta+}(Tissue; T_0) [kBq/cc/GyE] = \begin{cases} 2.2 \times 2^{-T_0[\text{min}]/1.9} + 0.6 \times 2^{-T_0[\text{min}]/17.7} & \text{(Tumor)} \\ 3.1 \times 2^{-T_0[\text{min}]/2.0} + 0.5 \times 2^{-T_0[\text{min}]/16.8} & \text{(Liver)} \\ 1.4 \times 2^{-T_0[\text{min}]/1.9} + 0.8 \times 2^{-T_0[\text{min}]/18.6} & \text{(Adipose Tissue)} \\ 5.9 \times 2^{-T_0[\text{min}]/2.0} + 1.6 \times 2^{-T_0[\text{min}]/17.8} & \text{(Skeleton Cranium)} \\ 3.0 \times 2^{-T_0[\text{min}]/1.9} + 1.4 \times 2^{-T_0[\text{min}]/17.8} & \text{(Skeleton Femur)} \\ 4.3 \times 2^{-T_0[\text{min}]/1.9} + 1.3 \times 2^{-T_0[\text{min}]/17.4} & \text{(Skeleton Ribs)} \end{cases} \quad (5)$$

The value of short or long half life in each tissues was consistent within 5% accuracy, and was equal to the our study using a dead rabbit [13]. This result showed that the activity at  $T_0 = 0$  (condition of the measurement in the BOLPs) was higher five times than that at  $T_0 = 7$  min (condition of this work in the commercial PET-CT apparatus).

Figure 7 shows the ratio  $R$  of the calculated activity normalized to one at  $T_0 = 0$ . It is expressed as the following equation:

$$R(tissue; T_0) = \frac{N_{\beta+}(Tumor; T_0 = 0)}{N_{\beta+}(Tissue; T_0 = 0)} \cdot \frac{N_{\beta+}(Tissue; T_0)}{N_{\beta+}(Tumor; T_0)} \quad (6)$$

The results showed that the image of the activity changed during  $T_0 = 0 \sim 10$  min. Therefore, the observed image of off-line PET (commercial PET-CT apparatus) will be different from that of the on-line PET (BOLPs).

The value of the activity at points 1, 2, and 3 on the axial activity images are shown in Figs. 1, 2, 3, 4 and 5. The points were selected on the soft tissue (tumor), the subcutaneous adipose tissue, and the bone tissue. The reaction cross sections, the kinetic energies of the proton beam at

each point, and the half lives of the positron-emitting nuclei are shown in Table 2. The irradiation dose, irradiation time, interval between discontinuing the beam and acquiring the PET image, and the measured, the calculated value (Calculation: B) and the differences of activity at the point are summarized in Table 3.

It was estimated that the measured activity had a statistical accuracy of 9% (2 kBq/cc at 10 cm path length in the human body, 5 min measurement, each cubic voxel with a perimeter of 4 mm), and the image reconstruction accuracy was 10%. The accuracy of the measured activity in the biological washout effect is estimated to be very large, and is difficult to show the correspondence quantitatively. Moreover, the coefficient of the effect is always smaller than one. In the calculated activity, the accuracy of the reaction cross sections and the number of incident protons were estimated to be 20 and 5%, respectively. In the soft tissue and the liver, the measurement and the calculation activity were consistent within the error bar. On the other hand, the measured activity was about two to four times as large as the calculated activity in the adipose tissue, and about two times that in the femur. In the high activity of the adipose tissue, the accuracy of the attenuation correction factor of the 511-keV gamma ray based on the CT value of the subcutaneous adipose tissue under the adjacent body surface will partly influence the discrepancy in the activity measurement. The high activity of the femur was probably due to the accuracy of the calculation based on the fragmentation reaction cross section of  $^{40}\text{Ca}$ . In the liver tumor after a TACE procedure with lipiodol, the measured activity was about four times as large as the calculated activity in the case without the lipiodol. It is noted that the nuclear fragmentation reaction of the iodine contained in the lipiodol is unknown well.