

a) Block 1

Nucleotide change		459C>T	471T>G	516C>A	520G>A	590C>A	835T>C	IVS11+118T>A	IVS12+23A>G	215T>A	451A>G	474T>C	IVS5-115G>A	478A>G	603C>T	731A>T	IVS7+41T>C	748G>A	IVS9+91C>T	IVS9-128A>T	IVS10+14T>A	IVS10-15T>A	IVS10-15T>A	Number	Frequency
Haplotype	*1																							558	0.915
	*1a																							31	0.045
	*1b																							14	0.021
	*1c																							13	0.019
	*1d																							6	0.0085
	*1e																							6	0.0084
	*1f																							3	0.0041
	*1g																							1	0.0015
	*1h																							1	0.0015
	*1i																							1	0.0015
	*1j																							1	0.0015
	*1k																							1	0.0015
	*1l																							1	0.0015
	*1m																							1	0.0015

b) Block 2

Nucleotide change		IVS12-11G>A	IVS12-9A>G	1543G>A	1572T>G	1627A>G	1666A>C	IVS13+39C>T	IVS13+40G>A	Number	Frequency
Amino acid change				V51S1	F524L	I543V	S556R				
Haplotype	*1									361	0.529
	*1a									120	0.176
	*1b									5	0.0073
	*1c									167	0.245
	*5									26	0.038
	*5a									1	0.0015
*5151									1	0.0015	
*524L									1	0.0015	
*556R									1	0.0015	

c) Block 3

Nucleotide change		IVS13-47_48insTA	1752A>G	1774C>T	1896T>C	IVS14+19C>A	IVS14+100T>G	Number	Frequency
Amino acid change			T584T	R592W	F632F				
Haplotype	*1							578	0.848
	*1a							94	0.138
	*1b							6	0.0088
	*1c							1	0.0015
	*1d							1	0.0015
	*1e							1	0.0015
*592W							1	0.0015	

d) Block 4

Nucleotide change		IVS14-123C>A	IVS14-21C>A	IVS15+75A>G	Number	Frequency
Amino acid change						
Haplotype	*1				576	0.845
	*1a				105	0.154
	*1b				1	0.0015

e) Block 5

Nucleotide change		IVS16-127A>G	IVS16-94G>T	IVS17+34delT	IVS17+47C>T	2194G>A	Number	Frequency
Amino acid change						V732I		
Haplotype	*1						414	0.607
	*1a						255	0.374
	*1b						1	0.0015
	*1c						1	0.0015
	*1d						1	0.0015
	*1e						1	0.0015
*6							10	0.015

f) Block 6

Nucleotide change		IVS18-39G>A	2303C>A	2424T>C	2678A>G	IVS21+80C>G	IVS21+113T>A	IVS21+136G>C	IVS21+162T>G	IVS22+129A>G	IVS23-69G>A	IVS22-58G>C	Number	Frequency
Amino acid change			T768K	S808S	N893S									
Haplotype	*1												624	0.915
	*1a												22	0.032
	*1b												5	0.0073
	*1c												2	0.0029
	*1d												2	0.0029
	*1e												2	0.0029
	*1f												2	0.0029
	*1g												2	0.0029
	*1h												1	0.0015
	*1i												1	0.0015
	*1j												1	0.0015
*768K												19	0.028	
*893S												2	0.0029	

Fig. 3 Block haplotypes in DPYD of block 1 (a), block 2 (b), block 3 (c), block 4 (d), block 5 (e), and block 6 (f) in a Japanese population. The nucleotide positions were numbered based on the cDNA sequence (A of the translational start codon is +1) or from the

nearest exon. White cell wild-type, gray cell nucleotide alteration. <sup>§</sup>The haplotypes were inferred in only one patient and ambiguous except for marker SNPs

**Table 3** Linkages of haplotype-tagging SNPs with HapMap SNPs for *DPYD*

Haplotype-tagging SNPs in <i>DPYD</i>	dbSNP ID (NCBI)	Block haplotype in this paper	HapMap SNPs with close linkages ( $r^2 > 0.8$ ) <sup>a</sup>
85T>C (Cys29Arg)	rs1801265	Block 1 #9	rs10747488, rs7526108, rs4421623, rs4379706, rs4523551, rs11165921, rs9661794, rs6677116, rs6604093, rs17379561, rs10747491, rs10747492, rs12062845, rs7524038, rs10875112, rs4394693, rs10875113, rs4970722, rs9727548, rs10875118, rs9662719, rs12077442, rs4394694, rs9727976, rs4246515, rs6692580
496A>G (Met166Val)	rs2297595	Block 1 #166V	rs2786543, rs2811215, rs2811214, rs2786544, rs2248658, rs11165897, rs2786490, rs2811203, rs2811202, rs2811200, rs2811198, rs2786503, rs2811196, rs2786505, rs2811195, rs2811194, rs12073839, rs6663670, rs7512910, rs2151563, rs2786509, rs3790387, rs3790389
1627A>G (Ile543Val)	rs1801159	Block 2 #5	rs1415682, rs952501, rs2811187, rs2786778, rs2786774, rs2811183, rs17116806, rs2786780, rs1801159, rs2786771, rs2297780, rs2297779, rs12729863
1896T>C (Phe632Phe)	rs7556439	Block 3 #1b	rs12073650
IVS16-94G>T	rs7556439	Block 5 #1b	rs693680, rs827500, rs499009, rs7518848, rs553388, rs507170, rs628959, rs991544, rs526645, rs1609519
IVS18-39G>A	rs12137711	Block 6 #1b	rs12120068, rs12116905

<sup>a</sup> All SNPs are in the same block

Taken together, our data demonstrated considerable differences in the haplotype distributions in blocks 1, 3 and 6 between Japanese and Caucasians.

## Discussion

This study provides Japanese data on the genetic variations of *DPYD*, a gene encoding a key enzyme catalyzing degradation of the well-known anticancer drug 5-FU. Nine novel (Ala10Glu, Tyr109Asn, Asn151Asp, Ile245Phe, Glu265Lys, Val515Ile, Phe524Leu, Ser556Arg, and Asn893Ser) and seven known nonsynonymous variations (Cys29Arg, Met166Val, Val335Leu, Ile543Val, Arg592Trp, Val732Ile, and Thr768Lys) were found in our Japanese population (Table 2 and Fig. 1). The association analysis between the genotypes and 5-FU pharmacodynamics is now on-going.

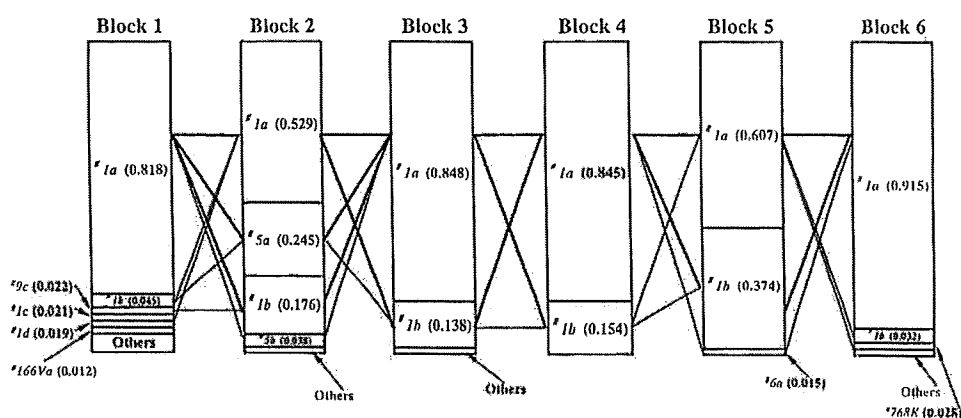
Uneven distributions of coding SNPs over 23 *DPYD* exons were pointed out in the previous review by van Kuilenburg (2004). The author indicated that 81% of all reported variations were confined to exons 2–14, representing 61% of the coding sequences, and typical hotspots of variation were localized in exons 2, 6, and 13. Our Japanese data also revealed that 17 out of 21 coding variations (81%) were localized in exons 1–14, and that more than three variations were detected in exons 5, 13, and 14 (Fig. 1). Recently, Hormozian et al. (2007) have reported that the common chromosomal fragile site on 1p21.2, *FRA1E*, spans 370 kb of genomic sequence between

introns 8 and 18 of *DPYD*, and that its core region with the highest fragility is located between introns 12 and 16. The instability at the core of *FRA1E* might be associated with the high mutational rates and recombinogenic nature from intron 12 to 14 of *DPYD* (Fig. 1).

To estimate potential functional consequences of the amino acid substitutions, we examined whether the positions of amino acid changes are located in highly conserved areas or potentially critical regions of the molecule (for example, substrate recognition sites or binding regions of prosthetic groups). We also considered the locations of the residues in a three-dimensional (3D) framework provided by the crystal structures of pig DPD, which have recently been determined in complexes with NADPH and substrate (5-FU) (Dobritzsch et al. 2001) or inhibitors (Dobritzsch et al. 2002). The amino acid sequences of pig and human DPD are 93% identical (Mattison et al. 2002), and the substituted residues and their neighboring residues are conserved between both enzymes. From these points of view, it is speculated that at least two substitutions (Glu265Lys and Arg592Trp) might impact the structure and function of DPD as discussed below.

Glu265 is located on the loop following to the third  $\beta$  sheet ( $\text{II}\beta 3$ ) in the FAD binding domain II (Dobritzsch et al. 2001). Glu265 is conserved among four mammalian species (human, mouse, rat, and pig), although it is replaced with aspartic acid in bovine and *Drosophila melanogaster* DPDs (Mattison et al. 2002). In the 3D structure of pig DPD (Fig. 5a), Glu265 is in close proximity to Lys259. The substitution, Lys259Glu, was

**Fig. 4** The combinations of block haplotypes in Japanese. *Thick lines* represent combinations with frequencies over 10%, and *thin lines* represent combinations with frequencies of 1.0–9.9%



detected in the patient exhibiting severe mucositis during cyclophosphamide/methotrexate/5-FU chemotherapy (Gross et al. 2003). Furthermore, the adjacent Leu261 interacts via the main chain atoms with the N6, N1, and N3 atoms of adenine of FAD, and has an important role in the proper orientation of the adenine moiety in the FAD-binding pocket (Dobritzsch et al. 2001). Moreover, the carboxyl group (Glu265-Oε) might form hydrogen bonds to the main chain nitrogen of Ser260 next to Leu261. Thus, the change in polarity from negative to positive by the novel Glu265Lys substitution is likely to cause structural changes affecting proper binding of FAD.

Arg592 is located at one (IVβc) of the additional four-stranded antiparallel β sheets (IVβc-βf) inserted at the top of a typical (α/β)<sub>8</sub> barrel fold in the FMN-binding domain IV (Dobritzsch et al. 2001). Arg592 is completely conserved among the above-mentioned six species (Mattison et al. 2002), suggesting its functional importance. Arg592 closely contacts Met599 (2.9 Å) and Gln604 (2.8 Å) in the same subunit and Ser994 (2.9 Å) in another subunit (Fig. 5B). The substitution of tryptophan for Arg592 is likely to weaken these interactions due to altered hydrophobicity and electrostatic changes. Arg592Trp was recently reported from a Korean population with an allele frequency of 0.004, although its functional significance remains to be confirmed (Cho et al. 2007).

As for known *DPYD* alleles, their distributions in several populations are becoming more evident by recent reports. For example, IVS14 + 1G>A (\*2) (van Kuilenburg 2004), 295\_298delTCAT (Phe100SerfsX15, \*7) (Seck et al. 2005), 1679T>G (Ile560Ser, \*13) (Collie-Duguid et al. 2000; Morel et al. 2006) 2846A>T (Asp949Val) (Seck et al. 2005; Morel et al. 2006), all of which are associated with decreased DPD activities, are detected in Caucasians with allele frequencies of 0.01–0.02, 0.003, 0.001 and 0.006–0.008, respectively. However, none of them were detected in our Japanese samples, while 1003G>T (Val335Leu, \*11) and 2303C>A (Thr768Lys) have been found only in Japanese, indicating

that variations with clinical relevance do not overlap between Caucasians and Japanese.

2303C>A (Thr768Lys), which was originally found in a Japanese female volunteer with very low DPD activity (Ogura et al. 2005), is relatively frequent in Japanese (allele frequency = 0.0279). Functional characterization in vitro revealed that 768Lys caused thermal instability of the variant protein without changing its affinity for NADPH or kinetic parameters toward 5-FU. Therefore, they might cause 5-FU-related toxicities in Japanese.

1003G>T (Val335Leu, \*11) was found in a Japanese family with decreased DPD activity by Kouwaki et al. (1998). By in vitro expression in *E. coli*, they demonstrated that the variant protein with Leu335 showed a significant loss of activity (about 17% of the wild-type protein). Dobritzsch et al. (2001) suggested from the 3D structure of pig DPD that Val335Leu, in spite of a conservative change, disturbs packing interactions in the hydrophobic core formed by IIIβ3 and IIIα3 within the Rossmann-motif, thereby affecting NADPH binding. In our study, heterozygous 1003G>T (Val335Leu) was found from a patient administrated 5-FU (allele frequency = 0.0015), who also has seven other variations: IVS12–11G>A, 1896T>C (Phe632Phe), and IVS16–94G>T are heterozygous, and 1627A>G (Ile543Val), IVS13 + 39C>T, IVS14–123C>A, and IVS15 + 75A>G are homozygous, indicating that at least Val335Leu is linked to Ile543Val (\*5).

On the other hand, Caucasians and Japanese share four variations: \*5 (Ile543Val), \*9 (Cys29Arg), Met166Val, and \*6 (Val732Ile), although their allele frequencies were different, especially for \*9 (Table 4). Because they have not necessarily correlated with phenotypic changes (e.g., differences in DPD enzyme activity, 5-FU pharmacokinetics and pharmacodynamics) (Collie-Duguid et al. 2000; Johnson et al. 2002; Zhu et al. 2004; Seck et al. 2005; Ridge et al. 1998a, 1998b; Hsiao et al. 2004), all of these variations are generally accepted as common polymorphisms that result in unaltered function. Consistent with this, van Kuilenburg et al. (2002) suggested that the

**Table 4** Allele frequencies of common *DPYD* SNPs in different populations

Nucleotide change (amino acid change)	Allele or tagged haplotypes	Population	Allele frequency	Number of subjects	Reference		
85T>C (Cys29Arg)	*9 (Block 1 #9)	Caucasian	0.194	157	Seck et al. 2005		
		French Caucasian	0.185	487	Morel et al. 2006		
		Japanese	0.037	107	Yamaguchi et al. 2001		
		Japanese	0.029	341	This study		
		Taiwanese	0.022	300	Hsiao et al. 2004		
496A>G (Met166Val)	Block 1 #166V	Caucasian	0.080	157	Seck et al. 2005		
		Japanese	0.022	341	This study		
IVS10-15T>C	Block 1 #166Va, #9d	Caucasian	0.127	157	Seck et al. 2005		
		Japanese	0.018	341	This study		
1627A>G (Ile543Val)	*5 (Block 2 #5)	Caucasian	0.140	157	Seck et al. 2005		
		Caucasian	0.275	60	Ridge et al. 1998a		
		Finnish	0.072	90	Wei et al. 1998		
		African-American	0.227	105	Wei et al. 1998		
		Japanese	0.352	50	Wei et al. 1998		
		Japanese	0.283	341	This study		
		Taiwanese	0.210	131	Wei et al. 1998		
		Taiwanese	0.283	300	Hsiao et al. 2004		
		1896T>C (Phe632Phe)	Block 3 #1b	Caucasian	0.035	157	Seck et al. 2005
				Japanese	0.098	107	Yamaguchi et al. 2001
Japanese	0.139			341	This study		
Han Chinese	0.133			45	HapMap		
IVS15 + 75A>G	Block 4 #1b	Caucasian	0.166	157	Seck et al. 2005		
		Japanese	0.155	341	This study		
IVS16-94G>T	Block 5 #1b	Caucasian	0.415	59	HapMap		
		Yorba	ND	60	HapMap		
		Japanese	0.455	44	HapMap		
		Japanese	0.378	341	This study		
		Han Chinese	0.333	45	HapMap		
		Caucasian	0.022	157	Seck et al. 2005		
2194G>A (Val732Ile)	*6 (Block 5 #6)	Caucasian	0.058	60	Ridge et al. 1998a		
		Finnish	0.067	90	Wei et al. 1998		
		African-American	0.019	105	Wei et al. 1998		
		Japanese	0.044	50	Wei et al. 1998		
		Japanese	0.015	341	This study		
		Taiwanese	0.014	131	Wei et al. 1998		
		Taiwanese	0.012	300	Hsiao et al. 2004		
		Caucasian	0.105	157	Seck et al. 2005		
		Caucasian	0.100	60	HapMap		
		Yorba	0.017	60	HapMap		
IVS18-39G>A	Block 6 #1b	Japanese	0.044	45	HapMap		
		Japanese	0.032	341	This study		
		Han Chinese	0.022	45	HapMap		
		Caucasian	0.183	60	HapMap		
		Yorba	0.400	60	HapMap		
		Japanese	ND	45	HapMap		
IVS22-69G>A	Block 6 #1f	Japanese	0.003	341	This study		
		Japanese	0.003	341	This study		
		Yorba	0.400	60	HapMap		
		Yorba	0.400	60	HapMap		
		Yorba	0.400	60	HapMap		
IVS22-69G>A	Block 6 #1f	Japanese	0.003	341	This study		
		Japanese	0.003	341	This study		
		Yorba	0.400	60	HapMap		
		Yorba	0.400	60	HapMap		
IVS22-69G>A	Block 6 #1f	Yorba	0.400	60	HapMap		
		Yorba	0.400	60	HapMap		
		Yorba	0.400	60	HapMap		
		Yorba	0.400	60	HapMap		

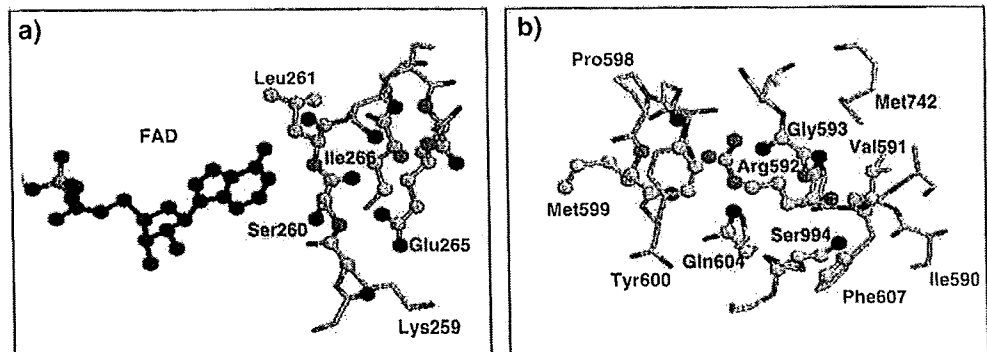
ND not detected

substitution Cys29Arg on the protein surface was unlikely to alter DPD activity. However, conflicting results were reported regarding \*9 (Vreken et al. 1997, van Kuilenburg et al. 2000), \*6 (van Kuilenburg et al. 2000), and Met166Val (van Kuilenburg et al. 2000; Gross et al. 2003). To interpret these inconsistencies, haplotype analysis of *DPYD* might be helpful. Especially for \*9 and Met166Val

in Japanese, functional involvement of -477T>G (block 1 #9c and #9e), -243G>A (block 1 #9d), IVS10-15T>C (block 1 #9d and #166Va) and many other HapMap SNPs linked to \*9 and Met166Val (Table 3) needs clarification.

The HapMap project provides genotype data of more than 1,000 sites located mostly in the intronic regions of *DPYD* for four different populations (Nigerian, Chinese,

**Fig. 5** Stereo view of the variation sites in pig DPD (accession code of the Protein Data Bank: 1gth). Glu265 (a), Arg592 (b) and their adjacent residues are shown as ball-and-stick models with oxygens in red, nitrogens in blue, carbons in gray and sulfur in yellow. The adenosine moiety of the cofactor FAD is also shown in pink (a)



Japanese and Caucasians). HapMap data on 44 unrelated Japanese subjects showed that 476 variations are polymorphic, whereas 529 are monomorphic, and the average density of polymorphic markers is 1 SNP per 1,772 bp. In contrast, our study focused on exons and surrounding introns to detect variations, and only nine variations overlapped with the HapMap data. Therefore, we could not utilize the HapMap data to further identify common subtypes of *I* to be discriminated by many intronic HapMap SNPs in each block. However, most of the frequent SNPs are unlikely to be associated with substantially decreased DPD activity because DPD activity in the healthy Japanese population ( $N = 150$ ) showed a unimodal Gaussian distribution (Ogura et al. 2005).

On the other hand, in 60 unrelated Caucasian subjects in the HapMap project, 617 are polymorphic, whereas 383 are monomorphic. LD profiles of these polymorphisms were compared between Caucasians and Japanese by using the program Marker (<http://www.gmap.net/marker>). Strong LD ( $|D'| > 0.75$ ) clearly decays within introns 11, 12, 13, 14, 16, 18, and 20 in Japanese, whereas, similar decays are observed within introns 13, 14, 18, and 20, but are not obvious within introns 11, 12, and 16 in Caucasians (data not shown). Moreover, strong LD decays within intron 3 in Caucasians. Therefore, the LD blocks are considerably different between Japanese and Caucasians. Along with the marked differences in allele frequencies of several variations (Table 4), these results suggest that the haplotype structures in *DPYD* are quite different between the two populations.

In conclusion, we found 55 variations, including 38 novel ones, in *DPYD* from 341 Japanese subjects. Nine novel nonsynonymous SNPs were found, some of which were assumed to have impact on the structure and function of DPD. As for known variations, we obtained their accurate allele frequencies in a Japanese population of a large size and showed that variations with clinical relevance do not overlap between Caucasians and Japanese. In Japanese, 2303C>A (Thr768Lys) and 1003G>T (Val335Leu) might play important roles in 5-FU-related toxicity. Along with

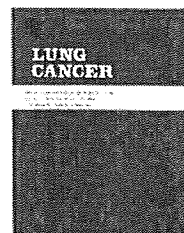
differences in haplotype structures between Japanese and Caucasians, these findings suggest that ethnic-specific tagging SNPs should be considered on genotyping *DPYD*. Thus, the present information would be useful for pharmacogenetic studies for evaluating the efficacy and toxicity of 5-FU in Japanese and probably in East Asians.

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## Randomized phase II trial of three intrapleural therapy regimens for the management of malignant pleural effusion in previously untreated non-small cell lung cancer: JCOG 9515

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### KEYWORDS

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Bleomycin;  
OK-432;  
Cisplatin plus etoposide

**Summary** To evaluate the efficacy and toxicity of three intrapleural therapy regimens consisting of bleomycin (BLM), OK-432 (a pulverized product of heat-killed *Streptococcus pyogenes*) or cisplatin plus etoposide (PE) for the management of malignant pleural effusion (MPE) in previously untreated non-small cell lung cancer. Eligible patients were randomized to the BLM arm: BLM 1 mg/kg (maximum 60 mg/body), the OK-432 arm: OK-432 0.2 Klinische Einheit units (KE)/kg (maximum 10 KE/body), or the PE arm: cisplatin (80 mg/m<sup>2</sup>) and etoposide (80 mg/m<sup>2</sup>). Pleural response was evaluated every 4 weeks according to the study-specific criteria. All responders received systemic chemotherapy consisting of PE every 3–4 weeks for two or more courses. Pleural progression-free survival (PPFS) was defined as the time from randomization to the first observation of pleural progression or death due to any cause. The primary endpoint was the 4-week PPFS rate. Of 105 patients enrolled, 102 were assessed for response. The 4-week PPFS rate for the BLM arm was 68.6%, 75.8% for the OK-432 arm, and 70.6% for PE arm. Median survival time (MST) for the BLM arm was 32.1 weeks, 48.1 weeks for the OK-432 arm, and 45.7 weeks

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for the PE arm. However, the outcomes did not differ significantly between groups. Toxicity was tolerable in all arms except for one treatment-related death due to interstitial pneumonia induced by BLM. We will select intrapleural treatment using OK-432 in the management of MPE in NSCLC for further investigation because it had the highest 4-week PPFs rate.  
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## 1. Introduction

Malignant pleural effusion (MPE) is a significant problem in the treatment of patients with advanced malignancies and is a major cause of poor prognosis [1]. The most widely used therapy for MPE is tube drainage with intrapleural instillation of sclerosing agents to prevent fluid reaccumulation [2].

Despite many reported trials of chemical pleurodesis, there has been no agreement as to the optimal treatment protocol for MPE [3–5]. The variety of response rates of individual agents among those studies has resulted from heterogeneous patient populations and differences in treatment procedures and response criteria [2,3,6]. To resolve these problems, we conducted a randomized phase II trial in which patient selection was limited to previously untreated patients with MPE due to non-small cell lung cancer (NSCLC) and, in view of adequate estimation of the efficacy of each intrapleural therapy regimen, single instillation of chemical agents and uncomplicated study-specific response criteria were applied. In this study, to select the most promising regimen for intrapleural therapy consisting of sclerosing or chemotherapeutic agents, we chose three regimens—BLM, OK-432 and cisplatin plus etoposide (PE). BLM was chosen because it is one of the most frequently used agents and is considered to have high efficacy, low toxicity and high availability [3,5,7,8]. OK-432 (a preparation of *Streptococcus pyogenes*, type A3, Chugai Pharmaceutical Co., Tokyo) has been used as an anti-tumor immunomodulator for lung cancer [9,10] and is reported to give superior responses for MPE compared to mitomycin C [11] and BLM [12]. At the beginning of this study, PE regimens were considered one of the standard combination chemotherapy regimens for NSCLC, and a phase II trial using this regimen for intrapleural therapy suggested potential survival benefit as well as local control effects [13].

## 2. Methods

### 2.1. Patient selection

The eligibility criteria were as follows: cytologically or histologically proven malignant pleural effusion associated with newly diagnosed NSCLC; no prior chemotherapy, thoracic radiotherapy or thoracic surgery; age of 75 years or less; Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 0–2 after tube thoracostomy; full lung reexpansion after tube thoracostomy; adequate bone marrow reserve (WBC count  $\geq 4000 \mu\text{L}^{-1}$ , hemoglobin  $\geq 9.5 \text{ g/dL}$ , and platelet count  $\geq 100,000 \mu\text{L}^{-1}$ ), and liver (total bilirubin  $\leq 1.5 \text{ mg/dL}$  and transaminase levels  $\leq$  twice the upper limit of the normal value) and renal (BUN  $\leq 25 \text{ mg/dL}$ , serum creatinine  $\leq 1.2 \text{ mg/dL}$ , and creatinine clearance  $\geq 50 \text{ mL/min}$ ) functions. All patients gave written, informed consent, and the protocol and the consent form were approved by the

Clinical Trial Review Committee of the Japan Clinical Oncology Group (JCOG) and by the institutional review boards of all participating institutions.

The exclusion criteria were bilateral pleural effusion or pericardial effusion, symptomatic brain metastases requiring whole-brain irradiation or administration of corticosteroids, an active synchronous cancer, interstitial pneumonitis, pulmonary fibrosis, uncontrolled angina pectoris or myocardial infarction within the preceding 3 months, uncontrolled diabetes mellitus or hypertension, pregnancy or breast-feeding, and penicillin allergy.

### 2.2. Treatment and monitoring

All patients were required to have either large-bore chest tubes or small-bore catheters placed, with radiographic evidence of reexpansion of the affected lung following suction or gravity drainage. Patients were stratified by institution and PS after tube drainage and then randomly assigned to the three treatment groups (Fig. 1). Intrapleural therapy was performed as follows. In the BLM and OK-432 arms, following instillation of either BLM (1 mg/kg, maximum 60 mg/body) or OK-432 (0.2 Klinische Einheit units (KE)/kg, maximum 10 KE/body), diluted in 100 ml of physiologic saline, the tube was clamped and the patient's position rotated for 3 h. Then the tube was unclamped and allowed to drain. In the PE arm, cisplatin (80 mg/m<sup>2</sup>) and etoposide (80 mg/m<sup>2</sup>) diluted in 100 ml of physiologic saline were simultaneously administered into the pleural cavity, the tube was clamped and the patient's position rotated for 3 h. Seventy-two hours later, the tube was unclamped and allowed to drain.

The tube was removed when the pleural effusion decreased to 100 ml or less per day. If more than 100 ml of drained fluid continued for 7 days or the pleural effusion increase by chest radiographs within 4 weeks, the patient was taken off the protocol and considered as a treatment failure.

### 2.3. Response criteria

The response criteria used were (i) response—disappearance or residual effusion with no need of local treatment (no greater than one quarter of the treated lung field nor remarkable increase compared to baseline chest radiographs) and (ii) pleural progression—a greater than one quarter of the treated lung field increase in pleural effusion compared to baseline chest radiographs.

### 2.4. Response evaluation and systemic chemotherapy

Pleural response was evaluated at the 4th, 8th, 12th and 24th week according to the study-specific criteria (see



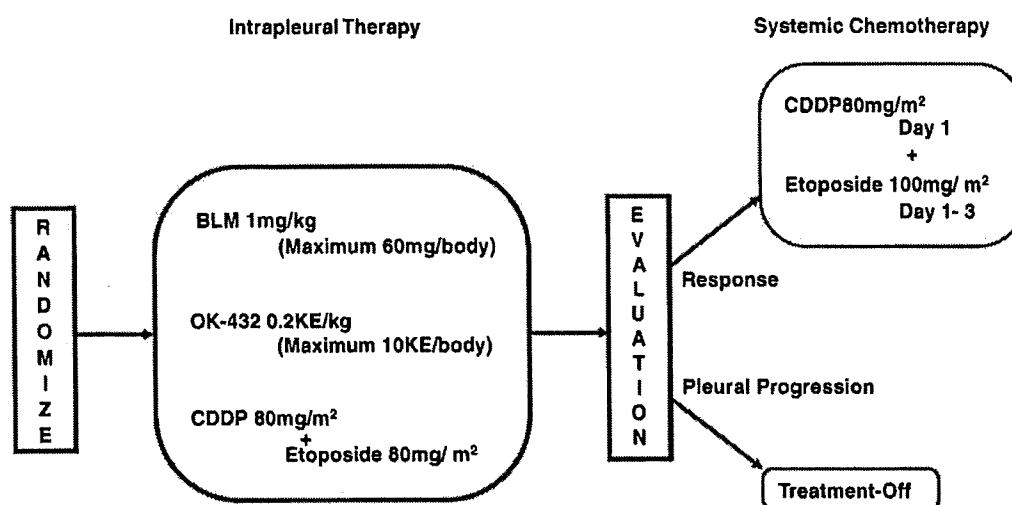


Fig. 1 Treatment schema.

above). A responder identified within 2 weeks after the first (4-week) evaluation received systemic chemotherapy consisting of cisplatin (80 mg/m<sup>2</sup>) on day 1 and etoposide (100 mg/m<sup>2</sup>) on days 1–3, which was repeated every 3–4 weeks for two or more courses.

## 2.5. Toxicity criteria and dose modification

Adverse reactions were graded according to the JCOG Toxicity Criteria [14], which are modifications of the National Cancer Institute's common toxicity criteria issued in 1991. The second or subsequent cycles of systemic chemotherapy were delayed if on day 1 the WBC count was less than 3000  $\mu\text{L}^{-1}$  or the platelet count was less than 75,000  $\mu\text{L}^{-1}$ . If grade 4 hematological toxicity occurred during the previous course, the dose of etoposide was reduced to 75%. Cisplatin was permanently discontinued at any time when the serum creatinine level was greater than 2.0 mg/dL. If the serum creatinine level was 1.5–2.0 mg/dL, the next cycle was delayed until it was 1.2 mg/dL or less, and the dose of cisplatin was then reduced to 75%.

## 2.6. Data management and statistical analysis

This study was designed as a multicenter randomized phase II trial among 21 participating centers in the Lung Cancer Study Group in the JCOG. Pleural progression-free survival (PPFS) was defined as the time from randomization to the first observation of pleural progression or death due to any cause. The primary endpoint of this study was 4-week PPFS rate. Assuming that the 4-week PPFS rate was at least 50% for these arms, the required number for each arm was 30 to select the better arm correctly with 90% probability if the better arm's 4-week PPFS rate was 70% or higher [15]. Planned accrual was set at 35 per arm. Secondary end-points were 8-, 12- and 24-week PPFS rates, overall survival (OS) and toxicity. The duration for OS was measured from the date of randomization to the date of death due to any cause or last follow-up. The mandated time to start treatment

following randomization was within a week. Survival distribution was estimated by the Kaplan–Meier method, and confidence intervals were based on Greenwood's formula [16].

Patient randomization and data management were performed by the JCOG Data Center (JCOG DC). In-house interim monitoring was performed by the JCOG Data and Safety Monitoring Committee semiannually. Central review of chest X-rays for all responses in all eligible cases was performed at regular study group meetings by an extramural panel. Statistical analysis was performed by the JCOG DC with SAS software version 6.12 for Windows (SAS Institute Inc., Cary NC).

## 3. Results

### 3.1. Patients

From May 1996 to August 1999, 105 patients were enrolled onto this study from the 21 participating institutions. The clinical characteristics of the patients are listed in Table 1. Three patients were later found to be ineligible (one patient per group): one had malignant pleural effusion secondary to colon cancer; one had no reexpansion of the affected lung after tube drainage; and one had poor renal function. Thus, 102 patients were assessable for response and survival. Four patients did not receive intrapleural therapy because of one self-removal of the drain, one obstruction of the drain, and two cases of intrapleural sclerosis. These four patients were excluded from the analysis of toxicity. The three treatment arms were well balanced for age, sex, and PS.

### 3.2. Treatment compliance and toxicity

Table 2 outlines the compliance with treatment. Fifty-one (50.0%) of the eligible patients completed intrapleural therapy and systemic chemotherapy as defined by the protocol. Forty-one (40.1%) of the eligible patients did not receive systemic chemotherapy because of disease progression. Two

**Table 1** Patient Characteristics

Characteristic	BLM	OK-432	PE
All patients	36	34	35
Eligible patients	35	33	34
Age (years)			
Median	64	60.5	61
Range	44-75	31-73	39-75
Sex			
Male	24	21	24
Female	12	13	11
PS (ECOG) <sup>a</sup>			
0	2	4	2
1	30	27	28
2	4	3	5
≥10% weight loss within 6 m			
No	33	27	31
Yes	3	7	4
Histology			
Adenocarcinoma	29	32	32
Squamous cell	4	1	3
Large cell	1	1	0
Other	1	0	0
TNM (N factor)			
N0	14	14	14
N1	2	0	2
N2	16	13	11
N3	3	7	8
Stage			
IIIB	23	17	25
IV	12	17	10

<sup>a</sup> At the time of reexpansion of the affected lung.

patients (5.7%) in the BLM arm had pneumonitis induced by BLM and one of them had treatment-related death. One patient in the PE group did not receive systemic chemotherapy due to elevation of serum creatinine. Other reasons for noncompletion of the protocol treatment were two

**Table 2** Treatment compliance

Variable	BLM	OK-432	PE
Eligible patients	35	33	34
No therapy	1	2	1
End of study protocol	18	19	14
Progressive disease	14	11	16
Toxicity	1	0	1
Death	1	0	0
Patient refusal	0	1	1
Insufficient drainage	0	0	1

patient refusals in each for the OK-432 and the PE arms, and one patient in the PE arm who could not receive sufficient drainage due to self-removal of the drain 48 h after intrapleural therapy.

Toxicities for intrapleural therapy in the three arms are listed in Table 3. Hematological toxic events were well tolerated in the three arms. Grade 4 nonhematological toxicity was not found in the three arms. Grade 2-3 chest pain occurred almost equally in the three arms. Grade 2-3 fever and nausea/vomiting occurred most frequently in the OK-432 arm (59.4%) and the PE arm (50.0%), respectively.

**3.3. PPFS and OS**

All eligible patients in the three arms were included in the survival analysis. PPFS and OS data are shown in Figs. 2 and 3, respectively. Median PPFS for the BLM arm was 20.9 weeks (95% confidence interval (CI), 4.7-25.9 weeks); for the OK-432 arm, 27.9 weeks (95% CI, 18.6-50.0 weeks); and for the PE arm, 18.4 weeks (95% CI, 4.4-41.4 weeks). The 4-week PPFS rate, which was the primary endpoint of this study, was 68.6% for the BLM arm (95% CI, 53.2-84.0%); 75.8% for the OK-432 arm (95% CI, 61.1-90.4%); and 70.6% for the PE arm (95% CI, 55.3-85.9%). The median survival time (MST) for the BLM arm was 32.1 weeks (95% CI, 21.6-37.9 weeks); 48.1 weeks for the OK-432 arm (95% CI, 26.7-58.4 weeks); and 45.7 weeks for the PE arm (95% CI, 34.4-57.1 weeks). The 48-week survival rate for the BLM arm was 29.9% (95% CI, 14.4-45.3%); 51.1% for the OK-432 arm (95% CI,

**Table 3** Toxicity (JCOG grade) for Intrapleural Therapy

	BLM (n=35)				OK-432 (n=32)				PE (n=34)			
	1	2	3	4	1	2	3	4	1	2	3	4
Leukocytes	3	3	0	1	1	0	1	0	8	3	2	1
Neutrophils	1	0	2	1	0	0	1	0	5	5	1	2
Hemoglobin	3	5	3	ND	3	6	1	ND	6	6	3	ND
Platelet	0	0	1	0	0	0	0	0	1	1	0	0
AST	8	0	0	0	15	2	0	0	6	0	0	0
ALT	11	0	0	0	14	7	0	0	10	2	0	0
Serum creatinine	1	0	0	0	0	0	0	0	4	1	0	0
Chest pain	10	5	4	0	15	8	1	0	13	6	1	0
Fever	12	13	0	0	6	18	1	0	9	7	2	0
Nausea/vomiting	7	3	0	ND	5	0	0	ND	10	13	4	ND

Abbreviation: ND, not defined.

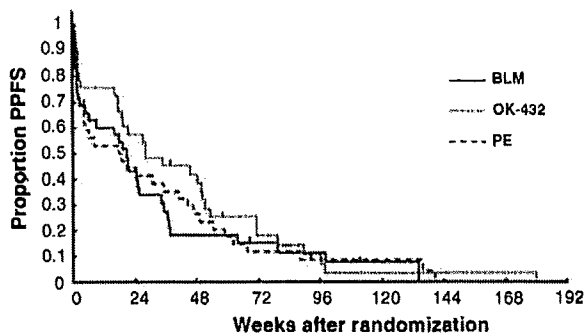


Fig. 2 Pleural progression-free survival (PPFS) in all eligible patients ( $n=102$ ).

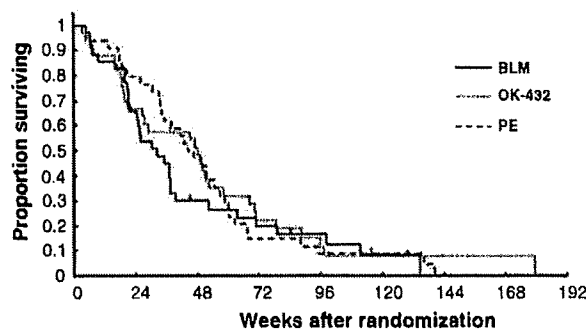


Fig. 3 Overall survival in all eligible patients ( $n=102$ ).

34.0–68.3%); and 47.1% for the PE arm (95% CI, 30.3–63.8%). Both the PPFS and OS for the OK-432 arm were superior to those for other two arms; however, the outcomes did not differ significantly between groups.

#### 4. Discussion

To date, numerous chemical agents for treatment of MPE have been studied. These were antibiotics, antineoplastic agents, biological response modifiers (BRMs) and others that showed varied degrees of chemical sclerosis. Among them, BLM and talc are most frequently used for the management of MPE [5,7,17,18]. BLM is an antineoplastic antibiotic used in sclerotherapy with a success rate of 63–85% [7,8,18–21]. Talc applied as either slurry or poudrage is superior to other commonly used sclerosing agents with a success rate of 71–100% [5,7,22–24]. Because talc has not been available commercially in Japan and the use of talc was considered controversial at the beginning of this study because of severe complications, such as acute respiratory distress syndrome [25,26], we selected BLM as the sclerosing agent. A recent report demonstrated that the safety of talc pleurodesis and that acute respiratory distress syndrome can be avoided by using large-particle talc applied as thoracoscopic poudrage [27]. The thoracoscopic pleurodesis with talc is now considered to be the gold standard treatment for MPE [28,29].

OK-432 has been used as a BRM for gastric and lung cancer [9,10,30,31]. OK-432 has been reported to be effective in controlling MPE in two prospective randomized trials. One study reported a 73% success rate with OK-432 compared to 41% with mitomycin C treatment ( $p=0.03$ ) [11]. The other

comparison found OK-432 70% effective compared to 46% in BLM subjects (statistical data not reported) [12]. OK-432 has been reported to induce various cytokines, such as tumor necrosis factor- $\alpha$ , interferon- $\gamma$ , interleukin (IL)-1, IL-8 and IL-12 [32] and also to enhance cytotoxicity against tumor cells [33,34]. It is suggested that the main therapeutic effects of OK-432 for malignant effusion depend on increased expression of intercellular adhesion molecule-1 on tumor cells induced by interferon- $\gamma$  [35].

Intrapleural combination chemotherapy is focused on achieving higher concentrations in the pleural cavity with less toxicity than systemic chemotherapy [36]. Two phase II studies with intrapleural cisplatin and cytarabine had success rates of 49% [2] and 73% [37]. Tohda et al. [13] reported that intrapleural instillation of cisplatin and etoposide for NSCLC with MPE resulted in a 46.2% overall response rate and the MST of 8 months was found to be improved, compared with previous reports for NSCLC with MPE of 3–6 months [11,18,38]. The reason for this was assumed to be that intrapleural combination chemotherapy of cisplatin and etoposide produced systemic as well as local effects. The overall response rates of intrapleural combination chemotherapy are variable and there are no prospective randomized studies compared modality of intrapleural combination chemotherapy with that of sclerotherapy.

There have been several special problems raised in the clinical trials for MPE, such as patient selection, response criteria, treatment procedures, short life expectancy, small sample sizes, and different endpoints [2–7,11,39]. To minimize the bias of patient selection, NSCLC patients with MPE who had received no prior therapy were entered into this study. Furthermore, justifiable and simplified response criteria and whether further treatment was required or not, as suggested by Ruckdeschel [18] and Rusch [40] were used and single intrapleural instillation of each agent was permitted to allow uniform estimation of responses. In many trials, successful pleurodesis was determined by assessing clinical and radiological findings. The positive response criteria have been defined generally as no pleural re-accumulation, 50% less effusion than that observed in the baseline radiograph taken immediately after the procedure, or no requirement for further thoracentesis. To determine the efficacy, we used the criterion that a decrease in effusion over one-quarter of the treated lung provides a stricter assessment of chemical pleurodesis that may relieve the symptoms of MPE. The position rotation after intrapleural instillation was recommended traditionally because it was thought to allow the agents to be distributed thoroughly throughout the entire pleural space. In contrast, studies using tetracycline and talc [41,42] demonstrated that rotation does not affect the overall intrapleural dispersion. It is unclear whether rotation is beneficial or not when applying the agents used in this study. Because a previous phase II study [13] showed that etoposide remains for a long period ( $\beta$ -phase half-life = 62.53 h) in intrapleural fluids, we applied the longer duration of clamping in the PE arm (72 h) than the other two arms (3 h) to provide enough exposure to the cancer cells. We found no major safety concerns such as excess pleural effusion as a result of the longer duration of clamping.

In this study, all three regimens were feasible. One treatment-related death occurred in the BLM arm 9 weeks after intrapleural instillation of BLM. Treatment compliance

rates for both intrapleural and systemic therapy was 50% (51 of the 102 eligible patients). This study lacks sufficient power to demonstrate differences between treatment arms; however, the OK-432 arm seemed to demonstrate modest benefit compared with the other two arms in terms of PPFS. It is assumed that the favorable efficacy in the OK-432 arm suggests that OK-432 has clinically meaningful activity for controlling MPE in NSCLC patients. NSCLC patients with MPE have been treated as patients with stage IV disease even when without metastasis, and systemic chemotherapy should be recommended when they have a good PS [43]. We prescribed systemic PE chemotherapy regimens, which were considered one of the standard regimens at the beginning of the study, following successful pleurodesis. However, we expect that platinum-based systemic combination chemotherapy regimens with several active new chemotherapeutic agents such as taxanes (paclitaxel and docetaxel), vinorelbine, gemcitabine and irinotecan, which are the current standard treatment options for patients with advanced NSCLC, should enhance the survival benefit more than PE regimens.

This is the first fully reported randomized study that has evaluated the efficacy of intrapleural therapy for previously untreated patients with NSCLC and compliance with sequential systemic chemotherapy. As the results of this study demonstrate that intrapleural therapy with OK-432 shows a tendency to be more effective than BLM or PE in the management of MPE in NSCLC, in terms of PPFS, further studies are needed to compare OK-432 with talc.

### Conflict of interest

None declared.

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## SNP Communication

### *Genetic Variations and Frequencies of Major Haplotypes in SLCO1B1 Encoding the Transporter OATP1B1 in Japanese Subjects: SLCO1B1\*17 is More Prevalent Than \*15*

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**Summary:** A liver-specific transporter organic anion transporting polypeptide 1B1 (OATP1B1, also known as OATP-C) is encoded by *SLCO1B1* and mediates uptake of various endogenous and exogenous compounds from blood into hepatocytes. In this study, 15 *SLCO1B1* exons (including non-coding exon 1) and their flanking introns were comprehensively screened for genetic variations in 177 Japanese subjects. Sixty-two genetic variations, including 28 novel ones, were found: 7 in the 5'-flanking region, 1 in the 5'-untranslated region (UTR), 13 in the coding exons (9 nonsynonymous and 4 synonymous variations), 5 in the 3'-UTR, and 36 in the introns. Five novel nonsynonymous variations, 311T>A (Met104Lys), 509T>C (Met170Thr), 601A>G (Lys201Glu), 1553C>T (Ser518Leu), and 1738C>T (Arg580Stop), were found as heterozygotes. The allele frequencies were 0.008 for 1738C>T (Arg580Stop) and 0.003 for the four other variations. Arg580Stop having a stop codon at codon 580 results in loss of half of transmembrane domain (TMD) 11, TMD12, and a cytoplasmic tail, which might affect transport activity. In addition, novel variations, IVS12-1G>T at the splice acceptor site and -3A>C in the Kozak motif, were detected at 0.003 and 0.014 frequencies, respectively. Haplotype analysis using -11187G>A, -3A>C, IVS12-1G>T and 9 nonsynonymous variations revealed that the haplotype frequencies for \*1b, \*5, \*15, and \*17 were 0.469, 0.000 (not detected), 0.037, and 0.133, respectively. These data would provide fundamental and useful information for pharmacogenetic studies on OATP1B1-transported drugs in Japanese.

**Key words:** *SLCO1B1*; direct sequencing; novel genetic variation; amino acid change

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## Introduction

Organic anion transporting polypeptide 1B1 (OATP1B1, also known as OATP-C, OATP2 and LST-1) is a liver-specific transporter expressed on the sinusoidal membrane and mediates uptake of various endogenous and exogenous compounds from blood into hepatocytes.<sup>1,2)</sup> Exogenous compounds include several 3-hydroxy-3-methylglutaryl-coenzyme A reductase inhibitors (such as pravastatin), an active metabolite of irinotecan SN-38, methotrexate, and rifampicin; endogenous substrates include bilirubin and bilirubin glucuronide, cholate, leukotriene C<sub>4</sub>, and estradiol-17 $\beta$ -glucuronide.<sup>1,2)</sup>

OATP1B1 protein (691 amino acid residues) is encoded by *SLCO1B1*, which consists of 15 exons (including non-coding exon 1) and spans approximately 109 kb on chromosome 12p12.2-p12.1. Similar to other OATP family members, this transporter is predicted to have 12 transmembrane domains (TMDs).<sup>1,3)</sup>

Several genetic polymorphisms and haplotypes with functional significance are already known in *SLCO1B1*. In Japanese, two haplotypes with nonsynonymous variations \*1b and \*15 have been frequently reported. The *SLCO1B1*\*1b haplotype with 388A>G (Asn130Asp) has been shown to have no altered transport activity from *in vitro* expression systems.<sup>2,4-7)</sup> Recently, however, an *in vivo* study has suggested that the area under the concentration-time curve (AUC) of pravastatin is significantly lower in \*1b/\*1b subjects than in \*1a/\*1a subjects, suggesting increased transport activity possibly through increased protein expression.<sup>9)</sup> Another major haplotype, *SLCO1B1*\*15 harboring both 388A>G (Asn130Asp) and 521T>C (Val174Ala), has been reported to show impaired plasma membrane expression<sup>6)</sup> and reduced transport activity *in vitro*,<sup>6,7)</sup> probably due to the Val174Ala substitution.<sup>2,4,6)</sup> The association of the \*15 haplotype with significant increases in AUC was reported for pravastatin,<sup>2,9)</sup> and irinotecan and SN-38.<sup>10)</sup> The haplotype frequencies of \*1b, \*5 (with 521T>C, Val174Ala), and \*15 were reported to be 0.46–0.54, 0.00–0.01, and 0.10–0.15, respectively, in Japanese.<sup>5,11)</sup>

Recently, the *SLCO1B1*\*17 haplotype having 388A>G (Asn130Asp), 521T>C (Val174Ala), and -11187G>A was also shown to increase the AUC of

pravastatin<sup>9)</sup> and likely reduces the pravastatin efficacy on cholesterol synthesis,<sup>12)</sup> although the effect of -11187G>A on transcriptional activity has not been clarified *in vitro*. The frequency of \*17 has not, however, been reported in Japanese.

In this study, all 15 exons and their surrounding introns were resequenced for comprehensive screening of genetic variations in *SLCO1B1*. Sequence analysis detected 62 variations including 5 novel nonsynonymous ones from 177 Japanese subjects. Haplotype frequencies of \*1b, \*5, \*15, and \*17 were also estimated.

## Materials and Methods

**Human genomic DNA samples:** One hundred seventy-seven Japanese cancer patients administered irinotecan participated in this study and provided written informed consent. The ethical review boards of the National Cancer Center and the National Institute of Health Sciences approved this study. Whole blood was collected from the patients prior to the administration of irinotecan, and genomic DNA was extracted from blood leukocytes by standard methods.

**PCR conditions for DNA sequencing and haplotype analysis:** First, two sets of multiplex PCR were performed to amplify all 15 exons of *SLCO1B1* from 100 ng of genomic DNA using 1.25 units of *Z-Taq* (Takara Bio. Inc., Shiga, Japan) with 0.2  $\mu$ M each of the mixed primers (Mix 1 and Mix 2) designed in the intronic regions as listed in Table 1 (1st PCR). Mix 1 contained primers for amplifying exons 1 and 2, and 12 to 14, and Mix 2 contained primers for exons 3 to 7, 8 to 11, and 15. The first PCR conditions consisted of 30 cycles of 98 °C for 5 sec, 55 °C for 10 sec, and 72 °C for 190 sec. Next, each exon was amplified separately by *Ex-Taq* (0.625 units, Takara Bio. Inc.) with appropriate primers (0.5  $\mu$ M) designed in the introns (Table 1, 2nd PCR). The conditions for the second round PCR were 94 °C for 5 min, followed by 30 cycles of 94 °C for 30 sec, 55 °C for 1 min, and 72 °C for 2 min, and then a final extension at 72 °C for 7 min. For amplification of exons 10 and 13, PCR was carried out under the following conditions: 94 °C for 5 min followed by 33 cycles of 94 °C for 30 sec, 55 °C for 1 min, and 72 °C for 30 sec, and then a final extension at 72 °C for 7 min. Following PCR, the products were treated with a PCR Product Pre-Sequencing Kit (USB Co., Cleveland, OH, USA) and directly sequenced on both strands using an ABI BigDye Terminator Cycle Sequencing Kit (Applied Biosystems, Foster City, CA, USA) with the sequencing primers listed in Table 1 (Sequencing). Excess dye was removed by a DyeEx96 kit (Qiagen, Hilden, Germany), and the eluates were analyzed on an ABI Prism 3700 DNA Analyzer (Applied Biosystems). All variations were confirmed by sequence analysis of PCR products generated by new amplification of the original genomic DNA templates.

As of July 18, 2007, the novel variations reported here are not found in the database of Japanese Single Nucleotide Polymorphisms (<http://snp.ims.u-tokyo.ac.jp/>), dbSNP in the National Center for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/SNP/>), or PharmGKB Database (<http://www.pharmgkb.org/>).

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Table 1. Primer sequences used in this study

		Amplified or sequenced region	Forward primer (5' to 3')	Reverse primer (5' to 3')	Amplified region <sup>a</sup>	Length (bp)
1st PCR	Mix 1	Exons 1 and 2	ACTCTGGGGCTAAAACCTATTGGAC	CTGCTTGCCATAACATCTTGAGGGT	14041049_14055679	14,631
		Exons 12 to 14	CTAGGGCTTTTATTGATAGGCAGGT	AAACTTCCAGACTGTCTTACCAT	14127745_14137752	10,008
	Mix 2	Exons 3 to 7	TTGTTGAGAAGAGACTGTTAGGCA	GGAAAAATGGATGAAGAAGCACTGGA	14083429_14092020	8,592
		Exons 8 to 11 Exon 15	AAGGACAGCACCAAGCAATGAAGGA CTGAGGAGAACTGTAATTGTATGTC	CATTCAACTCAGCAATCCCACTACC TTCCAGAGGCAAGCATTTACAAC	14106917_14119592 14148708_14152868	12,676 4,161
2nd PCR	Exon 1	TAACAGGCATAATCTTTGGTCT	AAGGGCTCAGAATGTAAGCG	14041915_14043281	1,367	
	Exon 2	TCCTTAGGCTAGAATTTGTGT	CAAAGTGAGTCTCAAGACATT	14053227_14053950	724	
	Exon 3	TGGCTGAGTAGTAGTACCTG	ATCCTCACTATCAACATTTTCA	14084394_14084961	568	
	Exon 4	TGAGTGGTCTAATGTAGGTGA	AGGTGTAAGTGTGAGGTCTT	14086324_14086946	623	
	Exon 5	ATCTTCTTGCTGGACACTTC	TATTAAGGAATTTGTTACAGGG	14088485_14089158	674	
	Exons 6 and 7	CATAAGAATGGACTAATACACC	GGGAGACATTTACATTTGGTT	14090310_14091202	893	
	Exon 8	TTCTAGACAGTATCTGTTGC	CTTCCACTGTTATGTGCTCA	14108658_14109289	632	
	Exon 9	AGTTACAAAACAGCACTTACG	TCAGGAACTCATCTAAAATAAG	14112156_14112798	643	
	Exon 10	CAGGGGTTAAAACCTAGATGA	ATCCATGTATTTCTCTAAGCC	14114169_14114904	736	
	Exon 11	TGGCAAAGATGGAGAGCGTA	AGTCAAATGAGGTGCTTCTTA	14117563_14118256	694	
	Exon 12	TTGCCAAAAGAGTATGTGCT	CAGCCTTGAGAGTTCATAGT	14128668_14129375	708	
	Exon 13	TTGACCCAGCAATCCAACAT	<u>CCTTTTTTTTTTCATCATACCTAGT</u> <sup>b</sup>	14133788_14134290	503	
	Exon 14	ATATTAACCAACATAACTTCCA	CCTTGAATCACAGTTTCTTCG	14136297_14136980	684	
	Exon 15	GATGGCTTAACAGGGCTTGA	TGCGGCAAATGATCTAGGAA	14150619_14151844	1,226	
	Sequencing	Exon 1	TAACAGGCATAATCTTTGGTCT	AAGGGCTCAGAATGTAAGCG		
TATGTGAGAGAAGGGTCTGTA			CTACAGGTTACATTGGCATT			
AAATGCCAATGTAACCTGTAG			CTGAAATAAAGTACAGACCCT			
TCCTTAGGCTAGAATTTGTGT			CAAAGTGAGTCTCAAGACATT			
TGGCTGAGTAGTAGTACCTG			ATCCTCACTATCAACATTTTCA			
TGAGTGGTCTAATGTAGGTGA			AGGTGTAAGTGTGAGGTCTT			
ATCTTCTTGCTGGACACTTC			TATTAAGGAATTTGTTACAGGG			
TTAAGAGTTTACAAGTAGTTAAA			AAGCAATTTACTAGATGCCAA			
CTCTTTGTATTTAGGTAATGTA			ATAGTATAAATAGGAGCTGGAT			
TTCTAGACAGTATCTGTTGC			CTTCCACTGTTATGTGCTCA			
AGTTACAAAACAGCACTTACG			TCAGGAACTCATCTAAAATAAG			
TTGATAGGTGCAGCAAACCAC			GGAAATAAAGAATGTGTTTGAG			
TCTTTTTGATATATGTCTATCAT			AGTCAAATGAGGTGCTTCTTA			
TTGTCCAAAAGAGTATGTGCT			CAGCCTTGAGAGTTCATAGT			
GTTCTAACCACTTCTCATAG			CCTTTTTTTTTTCATCATACCTAGT			
TCCTTTTACCATTTCAGGGCTTA	ACTAAAATGAGATACGAGATTG					
GATGGCTTAACAGGGCTTGA	TGCGGCAAATGATCTAGGAA					
CACATCTTTATGGTGAAGT	AGGCTTATTATACTTCCACC					

<sup>a</sup>The reference sequence is NT\_009714.16.

<sup>b</sup>Mismatched nucleotides at the 5' end are underlined.

Furthermore, rare SNPs found in single patients as heterozygotes were confirmed by sequencing the PCR fragments produced by the amplification with a high fidelity DNA polymerase KOD-Plus- (TOYOBO, Tokyo, Japan).

Hardy-Weinberg equilibrium was analyzed by SNPalyze version 3.1 (Dynacom Co., Yokohama, Japan). Estimation of *SLCO1B1* haplotypes was performed by an expectation-maximization based program, LDSUP-PORT software.<sup>13)</sup>

### Results and Discussion

Sequence analysis from 177 Japanese subjects resulted in the identification of 62 genetic variations, including 28 novel ones (Table 2). Of these variations, 7 were

located in the 5'-flanking region, 1 in the 5'-untranslated region (UTR), 13 in the coding exons (9 nonsynonymous and 4 synonymous variations), 5 in the 3'-UTR, and 36 in the introns. All detected variations were in Hardy-Weinberg equilibrium ( $p > 0.05$ ).

Of the 9 nonsynonymous variations, 5 variations were novel: 311T>A (Met104Lys), 509T>C (Met170Thr), 601A>G (Lys201Glu), 1553C>T (Ser518Leu), and 1738C>T (Arg580Stop). All of these variations were found as heterozygotes with frequencies of 0.008 for 1738C>T (Arg580Stop) and 0.003 for the four other variations. Arg580, residing in TMD11, is conserved among human, rat and mouse OATP families.<sup>1)</sup> The change from arginine residue to the immature termination codon leads to loss of this conserved amino acid



Table 2. Summary of *SLCO1B1* variations detected in this study

SNP ID			Position			Nucleotide change	Amino acid change	Allele frequency (n=354)
This Study	dbSNP (NCBI)	JSNP	Reference	Location	NT_009714.16			
MPJ6_SBI_001 <sup>a</sup>				5'-flanking	14042128	-11355 (-1078) <sup>b</sup>	ccaatactctcaA/Gtaataaccaag	0.003
MPJ6_SBI_002	rs4149015	ssj0003132	9, 15	5'-flanking	14042296	-11187 (-910) <sup>b</sup>	tatgtglatacaG/Agtaaaagtgtgt	0.153
MPJ6_SBI_003 <sup>a</sup>				5'-flanking	14042494	-10989 (-712) <sup>b</sup>	atctctactcaG/Aaaaactttaac	0.076
MPJ6_SBI_004 <sup>a</sup>				5'-flanking	14042530	-10953 (-676) <sup>b</sup>	cttcttctccA/Tcaagcaagtc	0.003
MPJ6_SBI_005	rs11835045			5'-flanking	14042793	-10690 (-413) <sup>b</sup>	atttgcctaaT/Ctatttctattt	0.076
MPJ6_SBI_006 <sup>a</sup>				5'-flanking	14042860	-10623 (-346) <sup>b</sup>	ttaaagaaaaA/-cttatgccacc	0.003
MPJ6_SBI_007 <sup>a</sup>				5'-flanking	14043018	-10465 (-188) <sup>b</sup>	aactagtttaT/Catgttgactag	0.003
MPJ6_SBI_008 <sup>a</sup>				Intron 1	14043209	IVS1+65	ttcacggaagG/Cattllgaggic	0.014
MPJ6_SBI_009	rs2010668	ssj0003141		Intron 1	14053267	IVS1-155	ctctactttgtG/Tccagcattgac	0.113
MPJ6_SBI_010 <sup>a</sup>				5'-UTR	14053480	-3	atctataattcaA/Ctcaggacc	0.014
MPJ6_SBI_011 <sup>a</sup>				Intron 2	14053635	IVS2+69	tagaaaaagcaG/Ctgtaaaaagaa	0.003
MPJ6_SBI_012 <sup>a</sup>				Intron 2	14053648	IVS2+82	tgtaaaaagaaG/Tattatggttaa	0.003
MPJ6_SBI_013 <sup>a</sup>				Intron 2	14053734	IVS2+168	aaaccagctttT/Caatcgattaag	0.008
MPJ6_SBI_014	rs4149021	ssj0003142	9	Intron 2	14053759	IVS2+193	tatttctggcG/Aaaattttgag	0.153
MPJ6_SBI_015	rs12812795		9	Intron 2	14053769	IVS2+203	ggcaattttgA/Ttgcctaaagt	0.003
MPJ6_SBI_016 <sup>a</sup>				Intron 2	14053807	IVS2+241	aaattagaataT/Ctttgatagctc	0.006
MPJ6_SBI_017	rs12303784			Intron 2	14053814	IVS2+248	aaattttgaaA/Gcttctcttgg	0.003
MPJ6_SBI_018 <sup>a</sup>				Intron 2	14084429	IVS2-129	aaagggaanaA/Gagtagtggttt	0.003
MPJ6_SBI_019 <sup>a</sup>				Intron 2	14084478	IVS2-80	aaagaagaagT/Cattataatcca	0.008
MPJ6_SBI_020	rs2291073	JST-043317		Intron 3	14084788	IVS3+89	actgggtaaaT/Gtatctctcacag	0.271
MPJ6_SBI_021	rs2291074	JST-043318		Intron 3	14084923	IVS3+224	atctataalgcA/Gcaagaatgatg	0.243
MPJ6_SBI_022 <sup>a</sup>				Exon 4	14086569	311	gttgttcattaT/Aggaaatgggg	Met104Lys
MPJ6_SBI_023	rs4149036	ssj0003160		Intron 4	14086714	IVS4+97	ataggcagttacC/Attttgaagag	0.427
MPJ6_SBI_024 <sup>a</sup>				Intron 4	14088523	IVS4-161	cacltttaccA/Ccacalctttaa	0.017
MPJ6_SBI_025	rs2306283	JST-063865	4, 5, 9, 11, 15	Exon 5	14088712	388	gaaactaatatA/Gattcatcagaaa	Asn130Asp
MPJ6_SBI_026	rs2306282	JST-063864	11	Exon 5	14088776	452	ttttacatcaA/Gtagagcatcacc	Asn151Ser
MPJ6_SBI_027	rs4149044	ssj0003170	9	Intron 5	14088970	IVS5+165	cacagttcgcccA/Ttaacaacacag	0.427
MPJ6_SBI_028	rs4149045	ssj0003171	9	Intron 5	14088994	IVS5+189	ggtttaaacG/Acgttttcacttc	0.429
MPJ6_SBI_029	rs4149046	ssj0003172	9	Intron 5	14088996	IVS5+191	tttaactacG/Attttcaactta	0.331
MPJ6_SBI_030	rs4149096	ssj0003230	9	Intron 5	14090372_14090377	IVS5-107_112	aaattactgtA/CTTGTA/-aattaaaaaaa	0.427
MPJ6_SBI_031 <sup>a</sup>				Intron 5	14090469	IVS5-15	aaatgaacactC/Gcttactatcat	0.003
MPJ6_SBI_032 <sup>a</sup>				Exon 6	14090511	509	ctgggtcacaT/Cgtggatatatgt	Met170Thr
MPJ6_SBI_033	rs4149056	ssj0003182	4, 5, 9, 11, 15	Exon 6	14090523	521	tggtgatataT/Cgttcatgggtaa	Val174Ala
MPJ6_SBI_034	rs4149057	ssj0003183	9, 11, 15	Exon 6	14090573	571	ccccatgtaccaT/Ctggggcttct	Leu191Leu
MPJ6_SBI_035 <sup>a</sup>				Exon 6	14090578	576	agtaaccattggG/Acttttcaatt	Gly192Gly
MPJ6_SBI_036	rs2291075	JST-043319	9, 11, 15	Exon 6	14090599	597	catgatgattC/Tgctaagaagga	Phe199Phe
MPJ6_SBI_037 <sup>a</sup>				Exon 6	14090603	601	gatgattctcA/Gaagaagacatt	Lys201Glu
MPJ6_SBI_038	rs2291076	JST-043320	9	Intron 7	14090961	IVS7+33	gtaccatgataA/Tgctttcttaagc	0.336
MPJ6_SBI_039			11	Exon 9	14112452	1007	lcctactaacC/Gcctgtatgtat	Pro336Arg
MPJ6_SBI_040 <sup>a</sup>				Intron 9	14114331	IVS9-68	ttgacataactC/Cgtttctctat	0.003
MPJ6_SBI_041	rs4149099	JST-080069	9	Intron 10	14117669_14117670	IVS10-106_-107	tttactacttt/-CTTtttcccttt	0.647
MPJ6_SBI_042			11	Intron 10	14117728_14117730	IVS10-46_-48	cttcttctctTTT/-cttctctctc	0.003
MPJ6_SBI_043	rs4149070	ssj0003204	9	Intron 11	14128857	IVS11-170	gaaagaataccaC/Gaaaactattta	0.280
MPJ6_SBI_044	rs4149071	ssj0003205	9	Intron 11	14128938	IVS11-89	agttgaacaagT/Cgagacttcaata	0.280
MPJ6_SBI_045	rs4149100	ssj0003234	9	Intron 11	14128952	IVS11-75	agacttcaataA/-tataatgcaatg	0.395
MPJ6_SBI_046	rs4149072	ssj0003206	9	Intron 11	14128959	IVS11-68	actaaataatG/Acaatgtattgg	0.280
MPJ6_SBI_047			11	Intron 11	14129015	IVS11-12	catattttataA/Ccaacgcttaag	0.014
MPJ6_SBI_048 <sup>a</sup>				Exon 12	14129082	1553	acagaattactC/Tagccatttggg	Ser518Leu
MPJ6_SBI_049	rs987839			Intron 12	14133812	IVS12-396	tccaactatggG/Atatctcaaaa	0.316
MPJ6_SBI_050 <sup>a</sup>				Intron 12	14134097	IVS12-111	ggggccattcaaC/Ttggagcttaat	0.020
MPJ6_SBI_051 <sup>a</sup>				Intron 12	14134207	IVS12-1	tgcttgttcaG/Taattgtcaacc	0.003
MPJ6_SBI_052 <sup>a</sup>				Exon 13	14134263	1738	tcaatggttataC/Tgagcactagta	Arg580Stop
MPJ6_SBI_053	rs4149080	ssj0003214	9	Intron 13	14136533	IVS13-97	ctcaaaattttG/Caactttattta	0.395
MPJ6_SBI_054	rs11045875		11	Intron 14	14136797	IVS14+50	gactatataatT/Gcctaaaaaat	0.011
MPJ6_SBI_055 <sup>a</sup>				Intron 14	14150655	IVS14-232	tataatttctcG/Atttatgaagaa	0.006
MPJ6_SBI_056 <sup>a</sup>				Intron 14	14150656	IVS14-231	atattttctcG/Tttatgaagaa	0.251
MPJ6_SBI_057 <sup>a</sup>				Exon 15	14151004	1983	tgcatcagaaaT/Cggaagtgtatg	Asn661Asn
MPJ6_SBI_058 <sup>a</sup>				3'-UTR	14151137	2116 (*40) <sup>c</sup>	tggtttccaaC/Gagcatlgaatg	0.011
MPJ6_SBI_059	rs4149085	ssj0003219		3'-UTR	14151264	2243 (*167) <sup>c</sup>	acaaactgtaggT/Cagaaaatgag	0.251
MPJ6_SBI_060	rs4149086	ssj0003220		3'-UTR	14151425	2404 (*328) <sup>c</sup>	aaacaaatgagtA/Gtcatacaggtag	0.025
MPJ6_SBI_061	rs4149087	ssj0003221	15	3'-UTR	14151536	2515 (*439) <sup>c</sup>	gaactataatcG/Taaggcctgaagt	0.333
MPJ6_SBI_062	rs4149088	ssj0003222		3'-UTR	14151560	2539 (*463) <sup>c</sup>	tctagcttggatG/Atatgctacaata	0.333

<sup>a</sup>Novel variations detected in this study.<sup>b</sup>Intron 1 is skipped for counting.<sup>c</sup>Positions are shown as \* and bases from the translational termination codon TAA.

along with the subsequent half of TMD11, TMD12 and the cytoplasmic tail,<sup>1)</sup> which very likely affects transport activity. Other variations 311T>A (Met104Lys), 509T>C (Met170Thr), 601A>G (Lys201Glu), and 1553C>T (Ser518Leu) are located in TMD3, TMD4, the short cytoplasmic loop between TMD4 and TMD5, and the large extracellular loop between TMD9 and TMD10, respectively.<sup>1)</sup> Using the PolyPhen program (<http://genetics.bwh.harvard.edu/pph/>) to predict the functional effects of the four amino acid substitutions, three substitutions, Met104Lys, Met170Thr and Ser518Leu, were expected to alter the protein function based on the PSIC (position-specific independent count) score differences derived from multiple alignments. The functional significance of these 5 novel nonsynonymous variations should be clarified in the future. In addition, a novel variation at the splice acceptor site, IVS12-1 G>T, was detected at a 0.003 frequency. This variation might cause aberrant splicing of *SLCO1B1* pre-mRNA and thus influence the expression level of active protein. Furthermore, -3A>C might reduce translational efficiency since this purine-to-pyrimidine alteration results in a deviation from the Kozak motif, where the purine nucleotide at position -3 from the translational initiation codon is important.<sup>14)</sup>

Four known variations, 388A>G (Asn130Asp), 452A>G (Asn151Ser), 521T>C (Val174Ala), and 1007C>G (Pro336Arg), were detected at 0.667, 0.034, 0.175, and 0.006 frequencies, respectively, which are similar to the Japanese data reported previously.<sup>5,11)</sup> The allele frequencies of 521T>C (Val174Ala) in Japanese (0.11–0.18) are comparable to those in other Asian populations (0.04–0.25) and Caucasians (0.14–0.22), but higher than that in African-Americans (0.02).<sup>10,15,16)</sup> The frequencies of 388A>G (Asn130Asp) in Japanese (0.63–0.67) are also similar to those in other Asians (0.57–0.88) and African-Americans (0.75), but higher than those in Caucasians (0.30–0.51).<sup>10,15,16)</sup> Variations 452A>G (Asn151Ser) and 1007C>G (Pro336Arg) have not been reported in other ethnic populations. Analysis of these four known variations with PolyPhen program showed that only Val174Ala was expected to alter protein function, which is consistent with the previous functional analysis.<sup>2,4,6)</sup> Variations 1454G>T (Cys485Phe) and 1628T>G (Leu543Trp) previously reported in Japanese were not detected in this study.<sup>11,17)</sup> Hepatocyte nuclear factor 1 $\alpha$  is known to transactivate *SLCO1B1* through binding to the promoter region (from -10432 to -10420 from the translational start codon);<sup>18)</sup> however, no variation was found in this region.

Using -11187G>A, -3A>C, IVS12-1G>T and 9 nonsynonymous variations, diplotype configuration was estimated for each subject. The configuration was estimated with >0.99 probabilities for all but four sub-

jects. The predicted haplotype frequencies for \*1b [harboring 388A>G (Asn130Asp)], \*5 [harboring 521T>C (Val174Ala)], \*15 [harboring 388A>G (Asn130Asp) and 521T>C (Val174Ala)] and \*17 [harboring -11187G>A, 388A>G (Asn130Asp), and 521T>C (Val174Ala)] were 0.469, 0.000 (not detected), 0.037 and 0.133, respectively. The haplotype frequencies for \*1b and \*5 are similar to those in the previous studies in Japanese.<sup>5,11)</sup> The \*17 frequency is higher than those in Chinese (0.085), Finnish Caucasians (0.069), Malay (0.029) and Indians (0.009).<sup>15,16)</sup> It should be noted that 76% (n=47 alleles) of 521T>C (Val174Ala)-bearing haplotypes were assigned as \*17, and 21% (n=13) of them as \*15. The remaining two (3%) was estimated to exist with 1007C>G (Pro336Arg) and \*17 variations [-11187G>A, 388A>G (Asn130Asp), and 521T>C (Val174Ala)] on the same chromosomes. The \*17 ratio in 521T>C (Val174Ala)-bearing haplotypes is similar to that in Chinese (65%), but higher than those in Finnish Caucasians (34%), Malay (26%) and Indians (14%).<sup>15,16)</sup> Variation 452A>G (Asn151Ser, n=12 alleles) or 1738C>T (Arg580Stop, n=3) were predicted to be on the \*1a background (no other variation).

In conclusion, 62 genetic variations were identified, including 28 novel ones, in *SLCO1B1*. One novel nonsynonymous variation results in a truncated protein and four novel nonsynonymous variations result in amino acids substitutions. In addition, novel variations IVS12-1 G>T at the splice acceptor site and -3A>C in the Kozak motif were detected. Approximately 76% of 521T>C (Val174Ala)-bearing haplotypes were assigned as \*17 and the majority of the remaining haplotypes were \*15. This information would be useful for pharmacogenetic studies to investigate the associations of *SLCO1B1* variations with interindividual differences in drug disposition.

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## SNP Communication

### *Genetic Variations of VDR/NR1I1 Encoding Vitamin D Receptor in a Japanese Population*

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Full text of this paper is available at <http://www.jstage.jst.go.jp/browse/dmpk>

**Summary:** The vitamin D receptor (VDR) is a transcriptional factor responsive to  $1\alpha,25$ -dihydroxyvitamin D<sub>3</sub> and lithocholic acid, and induces expression of drug metabolizing enzymes CYP3A4, CYP2B6 and CYP2C9. In this study, the promoter regions, 14 exons (including 6 exon 1's) and their flanking introns of *VDR* were comprehensively screened for genetic variations in 107 Japanese subjects. Sixty-one genetic variations including 25 novel ones were found: 9 in the 5'-flanking region, 2 in the 5'-untranslated region (UTR), 7 in the coding exons (5 synonymous and 2 nonsynonymous variations), 12 in the 3'-UTR, 19 in the introns between the exon 1's, and 12 in introns 2 to 8. Of these, one novel nonsynonymous variation, 154A > G (Met52Val), was detected with an allele frequency of 0.005. The single nucleotide polymorphisms (SNPs) that increase VDR expression or activity, -29649G > A, 2T > C and 1592(\*308)C > A tagging linked variations in the 3'-UTR, were detected at 0.430, 0.636, and 0.318 allele frequencies, respectively. Another SNP, -26930A > G, with reduced *VDR* transcription was found at a 0.028 frequency. These findings would be useful for association studies on *VDR* variations in Japanese.

**Key words:** *VDR*; SNPs; nonsynonymous variation; Japanese

#### Introduction

The vitamin D receptor (VDR) is a nuclear receptor, which acts as a transcriptional factor upon binding of the active form of vitamin D,  $1\alpha,25$ -dihydroxyvitamin D<sub>3</sub> [ $1,25(\text{OH})_2\text{D}_3$ ], and lithocholic acid.<sup>1-3)</sup> Ligand-acti-

vated VDR forms a heterodimer with retinoid X receptor, binds to the vitamin D responsive-element and induces expression of its target genes, resulting in partial arrest in G<sub>0</sub>/G<sub>1</sub> of the cell cycle, induction of differentiation, or control of calcium homeostasis and maintenance of bone. In addition, VDR has been shown to be involved in induction of drug metabolizing enzymes CYP3A4, CYP2B6 and CYP2C9 in human primary hepatocytes,<sup>2,4)</sup> and CYP3A4 in intestinal cell lines.<sup>2,5)</sup> VDR and pregnane X receptor share 63% amino acid sequence identity in their DNA binding domains.<sup>2)</sup> Like pregnane X receptor and constitutive androstane receptor, VDR transactivates *CYP3A4* through binding to its distal DR3 and proximal ER6 elements.<sup>4,5)</sup> Recently, *CYP3A4* has been shown to catalyze hydroxylation of

As of August 16, 2007, the novel variations reported here are not found in the database of Japanese Single Nucleotide Polymorphisms (<http://snp.ims.u-tokyo.ac.jp/>), dbSNP in the National Center for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/SNP/>), or PharmGKB Database (<http://www.pharmgkb.org/>).

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