

Fig. 3. In vitro transfection analysis to examine viral replicative competence and susceptibility to the treatment with lamivudine and/or adefovir. Huh-7 cells were transfected with pHBC, pHBC-C1753 and pHBC-C2189, and treated with lamivudine alone, adefovir alone, lamivudine plus adefovir, or left untreated. The HBV DNA replicative intermediate in the cytoplasmic fraction of the cells was detected by Southern blot analysis. A: Representative result of Southern blot analysis to detect the HBV DNA replicative intermediate. SS, single-stranded HBV DNA. DL, double-stranded linear HBV DNA. RC, relaxed circular HBV DNA. B: Quantitative analysis of the HBV DNA replicative intermediate in cells transfected with pHBC, pHBC-C1753 and pHBC-C2189 without nucleos(t)ide analog treatment. The level of

the HBV DNA replicative intermediate in the case of transfection with pHBC was considered as 1, and its fold activity in the case of transfection with the mutant HBV-expressing plasmid was calculated. The experiment was done three times, and the results are presented as the mean  $\pm$  SD. \* $P < 0.001$  versus pHBC and pHBC-C1753 groups. C: Degree of reduction in the HBV DNA replicative intermediate after treatment with lamivudine and/or adefovir in cells transfected with pHBC, pHBC-C1753 and pHBC-C2189. The level of the HBV DNA replicative intermediate in untreated cells was considered as 1, and its fold activity in cells treated with lamivudine and/or adefovir was calculated. The experiment was done three times, and the results are presented as the mean  $\pm$  SD.

regimen for lamivudine-resistant patients with type B chronic hepatitis. In the present study, the viral mutations associated with the effect of this regimen were investigated by screening the whole HBV genome via sequencing analysis of full-length viral DNA. Two mutations, V1753 and C2189, were identified as significant determinants of the therapeutic efficacy. Using adefovir dipivoxil added to lamivudine treatment, HBV DNA tended to decline to the undetectable level more frequently in patients with the V1753 or C2189 mutation than in those without it. In univariate analysis, only the presence of the V1753 or C2189 mutation was shown to be a factor contributing to sustained clearance of HBV DNA during adefovir dipivoxil therapy. Multivariate analysis also revealed that the V1753 and C2189 mutations, as well as high ALT and low HBV DNA at baseline, were independent factors associated with a better antiviral effect. Reports from the United States and European countries have revealed that female gender, high ALT, low viral load, absence of HBeAg and genotype D rather than

genotype A were related to a better outcome of adefovir dipivoxil therapy in nucleoside-naïve and lamivudine-resistant patients with type B chronic hepatitis [Lampertico et al., 2005; Fung et al., 2006; Buti et al., 2007]. The findings of the present study from Japan, a genotype C HBV-endemic area, agreed in part with these reports. Of particular interest is the finding that the therapeutic efficacy of adefovir dipivoxil added to lamivudine may be affected not only by clinical factors but also the genomic background of HBV such as the presence of the V1753 or C2189 mutation in lamivudine-resistant patients with type B chronic hepatitis. In addition, serial sequencing analysis revealed that both the V1753 and C2189 mutations tended to be selected during lamivudine therapy associated with the establishment of lamivudine resistance, although they have been shown to be mutations which occur naturally during the course of HBV infection [Ehata et al., 1991; Bozkaya et al., 1996; Takahashi et al., 1999; Imamura et al., 2003; Ozasa et al., 2006; Tanaka et al., 2006].

The findings of the present study suggest higher sensitivity to adefovir dipivoxil therapy of the V1753 and C2189 mutant viruses compared to the wild-type virus *in vivo*. However, *in vitro* transfection analysis showed no differences in susceptibility to adefovir, as well as to lamivudine, among the wild-type virus and the C1753 and C2189 mutant viruses. This indicates that the V1753 and C2189 mutant viruses may be eradicated more efficiently by adefovir dipivoxil therapy than the wild-type virus regardless of a direct antiviral effect of adefovir dipivoxil. The V1753 and C2189 mutant viruses may induce stronger immune responses against the viral pathogens than the wild-type virus, which might result in more frequent viral eradication under adefovir dipivoxil therapy in patients having the V1753 or C2189 mutant virus compared to those with the wild-type virus.

Of the 1421 HBV strains, whose nucleotide sequences of the BCP, precore and core regions had been identified and registered in the Hepatitis Virus Database (<http://s2as02.genes.nig.ac.jp>), there were 259 (18%) strains with the V1753 mutation and 127 (9%) strains with the C2189 mutation. The V1753 mutation was found in strains of all HBV genotypes, whereas the C2189 mutation was found in strains of genotypes A, B, C, and E. Thus, the V1753 and C2189 mutations were not specific for genotype C but common in other HBV genotypes.

The V1753 mutation occurring in the BCP not only influences the core promoter activity but also causes the I127T/N/S amino acid change of the overlapping X gene. This mutation has been detected in a considerable proportion of chronic HBV carriers, especially coupled with the adjacent T1762/A1764 mutation [Kidd-Ljunggren et al., 1997; Takahashi et al., 1999]. Indeed, all 11 patients with the V1753 mutation possessed the T1762/A1764 mutation in the current study. It has also been shown that, among patients with type B chronic hepatitis of genotype C, the V1753 mutation was found more frequently in patients with HCC than in those without it [Tanaka et al., 2006]. In acute HBV infection, the frequency of mutation has been reported to be higher in patients with fulminant hepatitis than in those with non-fulminant hepatitis [Imamura et al., 2003; Ozasa et al., 2006]. *In vitro* transfection assay revealed that the C1753 mutant virus possessed similar replicative competence to the wild-type virus, though viruses having the G1753 and A1753 mutation were not examined. Also, the *in vitro* replicative competence did not differ between the wild-type and C1753 mutant viruses when the T1762/A1764 mutation was introduced into the backbone HBV structure (data not shown). According to these observations, the serious disease course and better response to adefovir dipivoxil therapy caused by the V1753 mutation, as suggested by the present study and other previous investigations [Imamura et al., 2003; Ozasa et al., 2006; Tanaka et al., 2006], may not be due to the modification of the viral replicative competence. Further studies should be done to clarify why the V1753 mutation is involved in the active liver disease and the

better outcome of adefovir dipivoxil therapy in patients with HBV infection.

The C2189 mutation, which leads to the I97L amino acid change in the core gene, has also been shown to be detected frequently in patients with type B chronic hepatitis [Ehata et al., 1991; Bozkaya et al., 1996], although the relevance of the mutation to a particular disease course has not been elucidated fully. Previous *in vitro* transfection studies have suggested that the virus with the C2189 mutation resulted in excessive secretion of the immature virion and enhanced viral replication [Yuan et al., 1999; Suk et al., 2002]. This does not agree with the present result showing lower replicative competence of the C2189 mutant virus than the wild-type virus. This discrepancy may be due to the usage of HBV-expressing plasmids of different viral strains. The virological and clinical significance of the C2189 mutant virus should be assessed by further detailed investigation.

In summary, the results of the present study indicate that the presence of the two viral mutations, V1753 and C2189, may be associated with a better therapeutic effect of adefovir dipivoxil added to lamivudine based on the results of screening of the full-length HBV genome obtained from lamivudine-resistant patients with type B chronic hepatitis. As the present study examined a limited number of patients with HBV of genotype C, further studies with a larger number of patients with different genotypes should lead to a better understanding of how identifying these mutations can be useful in a clinical setting.

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## A novel therapeutic strategy with anti-CD9 antibody in gastric cancers

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### Abstract

**Background** CD9 is a member of the tetraspanins, and has been shown to be involved in a variety of cellular activities such as motility, cell signaling, proliferation, adhesion, and metastasis. However, very little is known about the involvement of CD9 in the process of development of primary tumors. In the present study, we investigated whether anti-CD9 monoclonal antibody (ALB6) has antitumor effects in human gastric cancer cell xenografts. **Methods** Human gastric cancer cell lines (MKN-28) ( $5 \times 10^6$  cells/animal) were inoculated subcutaneously into the dorsal region of SCID mice (five mice in each group). After a tumor was visualized, animals were assigned to either the ALB6 treatment group or the control IgG treatment group (100  $\mu$ g/body/time, intravenous, three times per week. Day 1, 4, and 7 of first week). Then tumor volumes were monitored every day. Proliferation of tumor was analyzed by 5-bromo-2'-deoxyuridine (BrdU) immunostaining,

apoptosis was determined by terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick-end labeling (TUNEL) methods, and angiogenesis was assessed by counting the number of CD34-positive endothelial cells.

**Results** Tumor volume was significantly suppressed ( $1,682 \pm 683$  mm<sup>3</sup> versus  $4,507 \pm 1,012$  mm<sup>3</sup>;  $P = 0.049$ ), the BrdU labeling indexes were significantly decreased ( $10.9 \pm 1.1\%$  versus  $17.2 \pm 1.4\%$ ;  $P = 0.009$ ), the apoptotic indexes were significantly increased ( $1.98 \pm 0.48\%$  versus  $0.72 \pm 0.09\%$ ;  $P = 0.034$ ), and tumor microvessel densities were significantly suppressed ( $671,922 \pm 34,505$  pixels/mm<sup>2</sup> versus  $1,135,043 \pm 36,086$  pixels/mm<sup>2</sup>;  $P = 0.037$ ) in the ALB6 treatment group compared with the control IgG treatment group.

**Conclusions** These results suggest that administration of anti-CD9 antibody to mice bearing human gastric cancer cells successfully inhibits tumor progression via antiproliferative, proapoptotic, and antiangiogenic effects.

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**Keywords** CD9 · Tetraspanin · Proliferation · Tumorigenicity

### Abbreviations

BrdU	5-Bromo-2'-deoxyuridine
JNK/SAPK	c-Jun NH <sub>2</sub> -terminal kinase/stress-activated protein kinase
MAPK	Mitogen-activated-protein kinase
TUNEL	Terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick-end labeling
ABC	Avidin-biotin peroxidase complex
DAB	3,3'-Diaminobenzidine tetrahydrochloride
FCS	Fetal calf serum
PBS	Phosphate-buffered saline
EGFR	Epidermal growth factor receptor
SEM	Standard error of the mean
cDNA	complementary Deoxyribonucleic acid

### Introduction

Gastric cancer is one of the most common malignancies. The World Health Organization estimates that stomach cancer is the second most common (10.4%) cause of death from cancer, with annual deaths of almost 1,000,000 [1]. The 5-year survival rate remains less than 30% in patients with gastric cancer in the USA [2]. Although various treatment modalities have been developed to treat this disorder, many of them have failed to provide complete reduction of gastric carcinoma in patients [3].

Tetraspanins have four putative membrane-spanning domains, short N- and C-terminal cytoplasmic domains, a small intracellular loop, and two extracellular loops [4–6]. Members of this family are involved in many physiological and pathological processes such as fertilization, cellular adhesion, motility, and tumor invasion [4–7]. Tetraspanins can act as molecular facilitators or adaptors, which organize a network of interactions among the cell surface molecules, known as the “tetraspanin web” or tetraspanin-enriched microdomains [7, 8].

The CD9 protein is a member of the tetraspanin family and has been identified as a suppressor of cancer spread [9]. Several clinical studies have also shown an important prognostic value in which reduced MRP-1/CD9 expression is associated with poor prognosis in various cancers, including non-small-cell lung cancers [10], breast cancers [11], colon cancers [12], pancreatic cancers [13], and ovarian carcinomas [14]. The expression of CD9 is closely related to metastasis of gastrointestinal carcinomas. For example, reduced CD9 expression is significantly associated with venous vessel invasion and liver metastasis in patients with colon cancers [12, 15]. The amount of CD9 is

inversely correlated to lymph node status in gastric cancers and in esophageal squamous cell carcinomas [16, 17]. Therefore, these findings strongly suggest that CD9 plays indispensable roles in the progression of malignant tumors [10–15]. Moreover, CD9 is highly expressed in gastrointestinal carcinomas (Table 1). Thus, CD9 might be considered as a potential biomarker of these malignancies.

We previously reported one possible mechanism in which the treatment of gastrointestinal cancer cell lines with anti-CD9 antibody induced apoptosis via the selective activation of c-Jun NH<sub>2</sub>-terminal kinase/stress-activated protein kinase (JNK/SAPK), p38 mitogen-activated-protein kinase (MAPK), caspase-3, and the p46 Shc isoform [19]. Thus, CD9 associates with not only intra-signaling molecules but also integrins, a proform of HB-EGF, and co-receptor molecules. Moreover, we recently reported that CD9 enhances the internalization of EGFR and reduces EGF-EGFR-induced signals, which mediate proliferation and survival of gastrointestinal cancer cells [20]. However, very little is known about the involvement of CD9 in the process of development of primary tumors. In the present study, we investigated the antitumor activity of anti-CD9 antibody ALB6 *in vivo* in a mouse xenograft model of human gastric cancer.

### Materials and methods

#### Reagents and antibodies

An anti-human CD9 monoclonal antibody (mAb), ALB6, was purchased from MBL (Nagoya, Japan). The control purified mouse IgG1 (MOPC-21) and 5-bromo-2'-deoxyuridine (BrdU) for the injection were purchased from Sigma-Aldrich (Tokyo, Japan), and anti-BrdU mAb for the immunohistochemistry was purchased from GE Healthcare Bio-Sciences (Tokyo, Japan). The anti-CD34 mAb was purchased from Cedarlane (Hornby, Ontario, Canada).

#### Cell culture

The human gastric cancer cell line, MKN-28 (#JCRB-0253), was supplied by the Japanese Cancer Research Bank (Tokyo, Japan). The cells were cultured in RPMI 1640

**Table 1** CD9 expression in gastrointestinal cancer

	CD9 positive/cases (%)	Author	Reference
Gastric cancer	26/36 (72.2)	Murayama	[17]
	45/78 (57.7)	Hori	[18]
Colon cancer	56/82 (68.3)	Mori	[12]
Pancreatic cancer	18/40 (45)	Sho	[13]

medium (Gibco BRL, Grand Island, NY, USA) supplemented with 10% heat-inactivated fetal calf serum (FCS; Whittaker Bioproducts, Walkersville, MD, USA), 100 units/ml penicillin, and 100 µg/ml streptomycin in a humidified atmosphere of 5% CO<sub>2</sub> and 95% air at 37°C.

#### Xenograft analysis

Animal experiments were performed according to the guidelines of the Committee on Experimental Animals of Osaka University. We purchased 30 female athymic mice (BALB/c nu/nu; 5 weeks old; 18–22 g) from CLEA JAPAN, Inc. (Tokyo, Japan). The animals were maintained under specific pathogen-free conditions using a laminar air-flow rack and had continuous free access to sterilized food (gamma-ray-irradiated food, CL-2; CLEA JAPAN) and autoclaved water. Experiments were started after more than 1 week of acclimatization.

Each mouse was inoculated with MKN-28 cells ( $5 \times 10^6$  cells/animal) subcutaneously on the left side of the back on day 1. The cell viability of the injected MKN-28 cells was more than 90%. Nine days thereafter, the xenografts were identifiable as a mass of more than 6 mm in maximal diameter in all recipients. The animals were randomly assigned to two groups. The ALB6 group was injected intravenously at 100 µg/body/time of ALB6, three times at 3-day intervals for a week. The control group was injected at 100 µg/body/time of nonimmunized IgG1, three times at 3-day intervals for a week, and tumorigenesis was monitored every day. Tumor size was measured daily and tumor volumes (mm<sup>3</sup>) from each group were assessed using the formula width<sup>2</sup> × length × 0.52 (mm<sup>3</sup>) according to a previously published method [21].

#### Histopathological and immunohistochemical examinations

To examine the mitotic activity, on the 36th day, mice were intraperitoneally injected with BrdU (10 mg/ml; 100 mg/kg body weight). Six hours after injection of BrdU, each mouse was anesthetized with pentobarbital (Schering-plough, Tokyo Japan). All tumors were dissected from the body and divided along the longest diameter with a surgical knife.

Half of each specimen was embedded in a cryocompound (Tissue-Tek OCT-compound; Sakura Finetech, Tokyo, Japan), and immediately immersed in liquid nitrogen. The other half of each specimen was fixed in 10% phosphate-buffered formalin, embedded in paraffin, and sectioned at a thickness of 4 µm. The sections were deparaffinized and gradually hydrated, and examined by histology of hematoxylin and eosin (H&E) staining, BrdU immunostaining, terminal deoxynucleotidyl transferase-

mediated dUTP-biotin nick-end labeling (TUNEL) assay, and immunohistochemical staining of CD34. The sections were observed by microscope (PROVIS Ax 80 TR; Olympus, Tokyo, Japan).

#### Proliferation analysis

Specimens were deparaffinized and incubated with a primary antibody against BrdU (1:100). The specimens were treated with the peroxidase anti-mouse IgG 2a, followed by an avidin–biotin peroxidase complex (ABC) method using a Vecstatin kit (Vector Laboratories, Burlingame, CA, USA), and visualized by 3,3'-diaminobenzidine tetrahydrochloride (DAB) (Zymed Laboratories, South San Francisco, CA, USA). The number of BrdU-positive cells was counted in five fields randomly selected from each section, and three sections at multiple layers for each sample were examined. The BrdU labeling index was calculated as follows: labeling index (%) = (number of cells labeled with BrdU/total cell numbers) × 100.

#### Apoptosis analysis

Apoptotic cells in tumors were determined by the TUNEL assay using an in situ apoptosis detection kit (Takara Biotechnology, Shiga, Japan). In brief, formalin-fixed sections were processed according to the manufacturer's instruction. The number of apoptotic cells positive for TUNEL staining was counted in five fields randomly selected from each section, and three sections at multiple layers for each sample were examined. The apoptotic index was calculated as follows: apoptotic index (%) = (apoptotic cell number/total cell number) × 100.

#### Tumor angiogenesis

Immunohistochemical staining of CD34 was used for the analysis of tumor vasculature. Specimens were deparaffinized and incubated with a primary antibody against CD34 (1:500) overnight at 4°C. After rinsing with phosphate-buffered saline (PBS), they were incubated with the biotinylated anti-rat IgG, followed by the ABC method and visualized by DAB. To evaluate tumor vessel formation in each tumor, the area of CD34-positive endothelial cells was quantified by image analysis (Adobe Systems, San Jose, CA, USA).

#### Statistical analysis

Data are expressed as the mean ± standard error of the mean (SEM). Statistical comparisons between groups were performed with Student's *t* test. Differences were considered statistically significant at *P* < 0.05.

## Results

### CD9 is involved in inhibition of tumor growth

We evaluated the antitumor effect of anti-human CD9 mAb ALB6 on the growth of MKN-28 xenografts in SCID mice. The isotype-matched mouse IgG had no significant effect and was used as a negative control in our previous experiment [19, 20]. We measured serum ALB6 concentration after ALB6 was injected intravenously to mice. The serum ALB6 concentration was kept more than 10 µg/body, and its half life was about 8 days (data not shown). We previously examined the specific recognition against CD9 by ALB6 using another anti-CD9 mAb TP82 (Nichirei, Tokyo, Japan). Similarly to ALB, the treatment of MKN-28 cells with TP82 induced apoptosis. Of importance, pretreatment with the TP82 antibody completely blocked the binding of ALB6 to MKN-28 cells. These results suggest that ALB6 really recognizes human CD9 [18]. A representative tumor after the 36th-day treatment is shown in Fig. 1a, where the reduction in tumor size induced by ALB6 treatment can be clearly observed. On the 36th day, the tumor volume of the xenograft in the groups treated with ALB6 was significantly suppressed by approximately 37% compared with that in the control group treated with isotype-matched mouse IgG ( $P < 0.05$ ) (Fig. 1a, b). Thus, these results demonstrated that anti-CD9 antibody ALB6 dramatically reduced the tumorigenicity of MKN-28 xenografts in SCID mice.

### Antibody ligation of CD9 inhibits proliferation in gastric cancer cells

At first, we further investigated whether the ALB6-induced inhibition of tumor growth is correlated with cell proliferation of the tumors by immunostaining for BrdU in each group. There were more BrdU-positive cells treated with mouse IgG than those treated with ALB6 (Fig. 2A). The BrdU labeling index in the group treated with ALB6 was significantly decreased by approximately 63% compared with that in the group treated with mouse IgG ( $P < 0.01$ ) (Fig. 2B). Thus, these results demonstrated that anti-CD9 antibody ALB6 dramatically suppressed the cell proliferation of MKN-28 xenografts in SCID mice.

### Antibody ligation of CD9 induces apoptosis in gastric cancer cells

We then investigated whether the ALB6-induced inhibition of tumor growth is correlated with cell apoptosis of the tumors. Apoptotic cells in the tumor were determined by TUNEL assay, and apoptotic indexes of the tumors were investigated in each group. TUNEL-positive cells were

evident in the cells treated with ALB6, but only a few such cells were observed in cells treated with mouse IgG (Fig. 3A). The apoptotic index in the groups treated with ALB6 was significantly increased by approximately 280% compared with that in the groups treated with mouse IgG ( $P < 0.05$ ) (Fig. 3B). Thus, these results demonstrated that anti-CD9 antibody ALB6 dramatically induced the apoptosis of MKN-28 xenografts in SCID mice.

### Antibody ligation of CD9 inhibits angiogenesis in gastric cancer cells

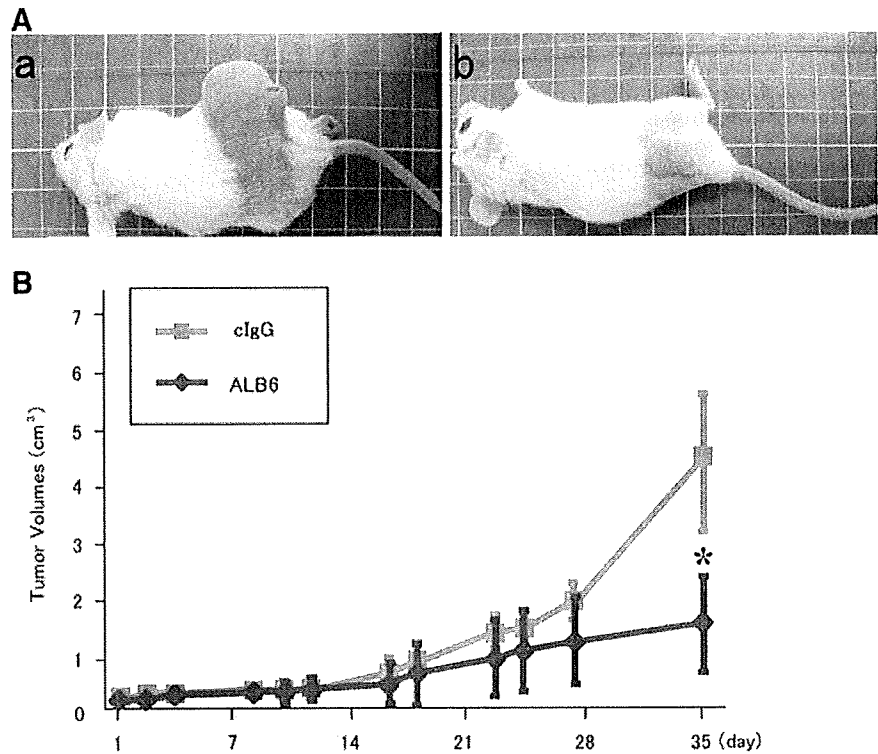
Tumor angiogenesis plays an important role in tumor promotion and progression [22]. Thus, in order to evaluate the effect of ALB6 on tumor angiogenesis, CD34 was immunohistochemically stained and CD34-positive endothelial cells were counted as tumor microvessel density to measure tumor angiogenesis. Tumor microvessel densities in the group treated with ALB6 were significantly suppressed by approximately 60% compared with that in the group treated with mouse IgG ( $P < 0.05$ ) (Fig. 4A, B). Therefore, these results suggest that anti-CD9 antibody ALB6 might inhibit angiogenesis.

## Discussion

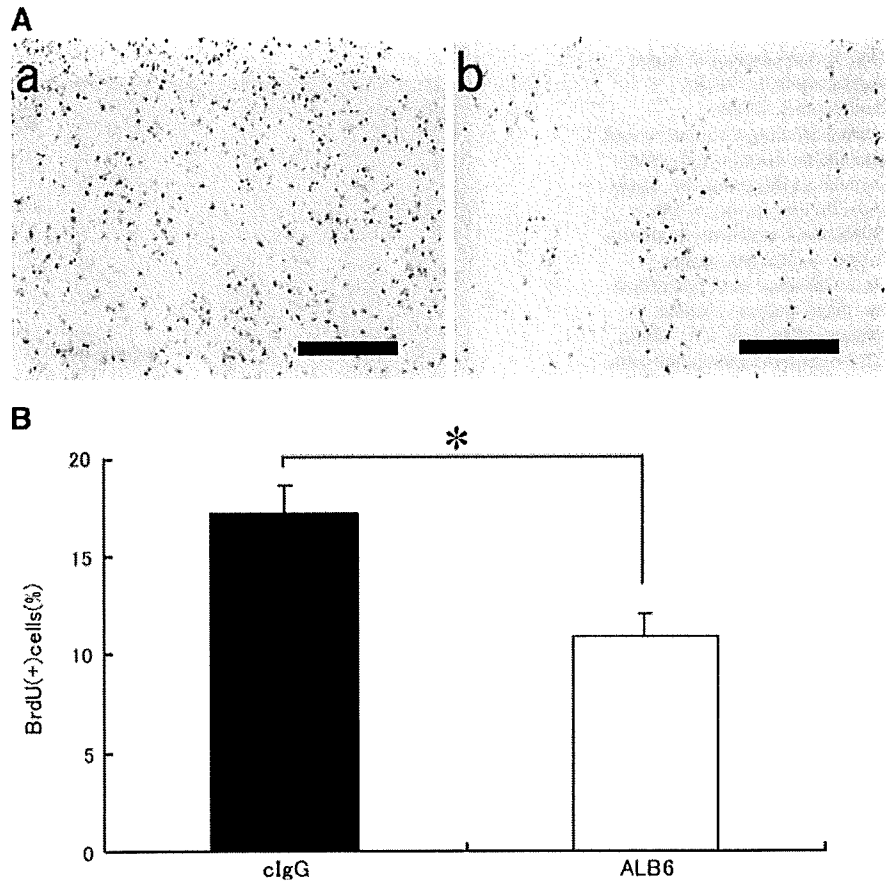
The incidence and mortality rate of gastric cancer have decreased dramatically over the past several decades [23]. Nonetheless, the disease remains a major public health issue as the fourth most common cancer and the second leading cause of cancer death worldwide [24]. A number of experiments using transfection and Abs specific for CD9 have revealed that this tetraspanin can modify cell motility and migration as well as signals from cell surface receptors [25–29]. Many investigators have suggested that CD9 provides a bridge among cell surface proteins to generate functional complexes, which enhance cell adhesion among tumor cells as well as inhibit tumor cell migration through extracellular layers [4–6]. We have previously reported that the treatment of gastrointestinal cancer cell lines with anti-CD9 Ab induced apoptosis via the selective activation of JNK/SAPK, p38 MAPK, caspase-3, and the p46 Shc isoform, indicating that ALB6 acts as a ligand-like molecule [19]. In addition, many investigators believe that the treatment of cells with ALB6 induces signals for adhesion, migration, and cell survival [7, 25–27], and that ALB6 does not cross-react with the mouse CD9. Based on these observations and literature, we designed an experiment to determine whether CD9 is involved in the tumorigenic process of the development of primary tumors.

In the present study, we have shown the first evidence that anti-CD9 antibody ALB6 inhibited the tumor growth

**Fig. 1** The antitumor effects of ALB6 on the growth of xenografts in SCID mice. MKN-28 cells were inoculated subcutaneously into the left side of the back of SCID mice (five mice in each group). After visualizing the tumor, 100 µg/body/time ALB6 or isotype-matched mouse IgG was injected intravenously three times at 3-day intervals for the first week (on first, fourth, and seventh day). **A** The photographs show representative tumors of IgG group (a) and ALB6 group (b) on the 36th day. **B** Tumor volumes (mm<sup>3</sup>) expressed as width<sup>2</sup> × length × 0.52 (mm<sup>3</sup>). Data from each treatment group are represented as mean ± SEM of the results in five mice. Squares denote control groups, and diamonds denote ALB6 groups. \**P* < 0.05 versus control

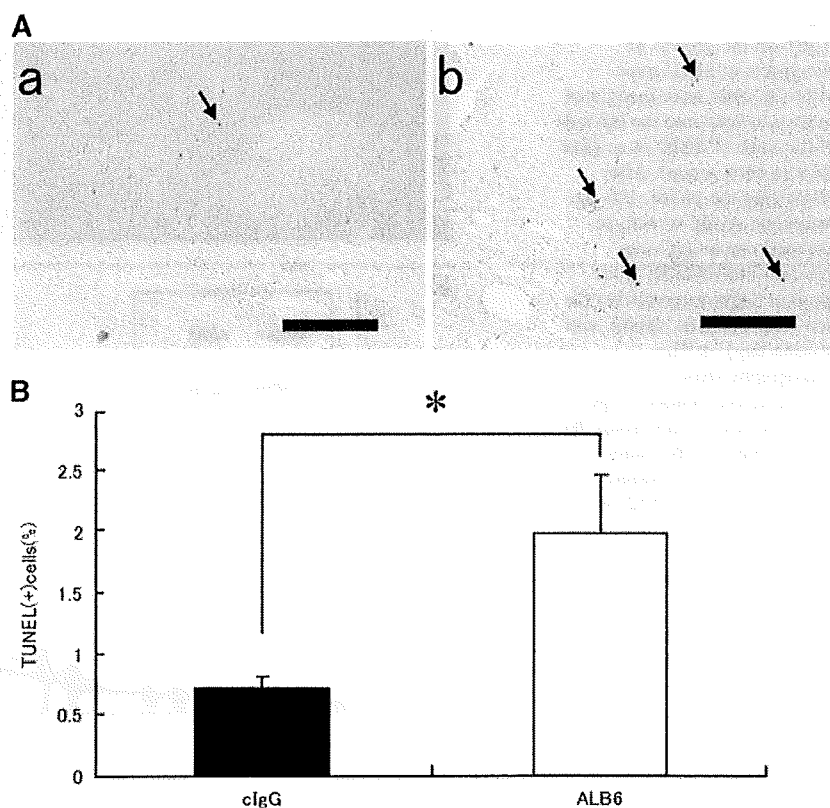


**Fig. 2** Inhibition of the cell proliferation in tumors by ALB6 treatment. **A** BrdU-positive cells in tumors in control group (a) and ALB6 group (b) were visualized as brown staining. Scale bars 200 µm (a, b), × 100. **B** In subcutaneous tumors, the number of proliferating cells was quantified by counting BrdU-positive cells in five different fields randomly selected in three sections at multiple layers for each sample under × 100 magnification. Data from each treatment group are represented as mean ± SEM. \**P* < 0.05 versus control

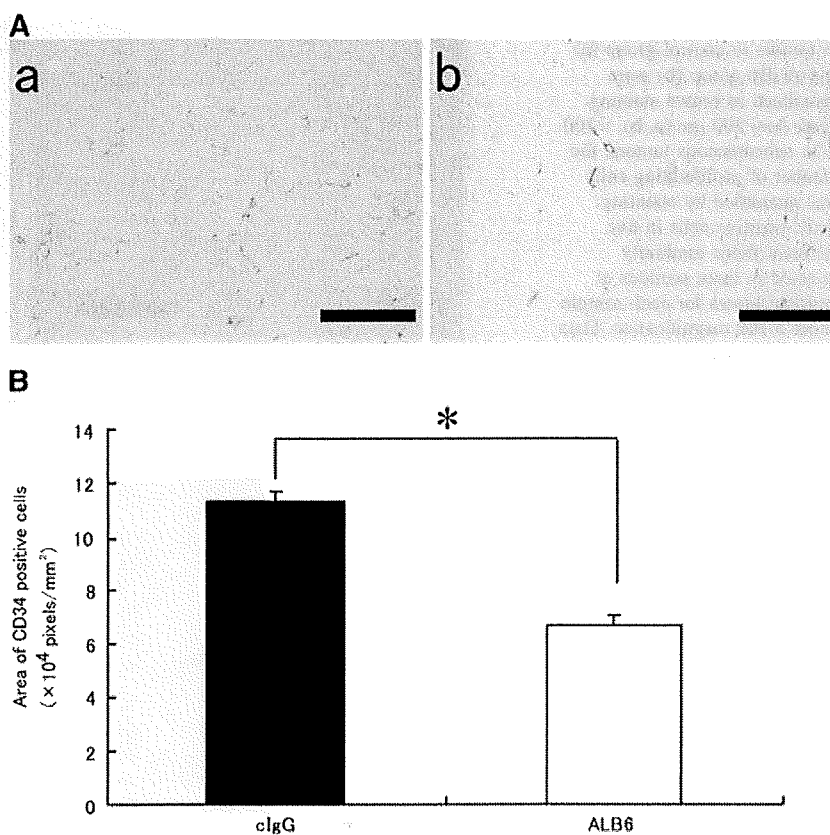




**Fig. 3** Induction of apoptosis cells in tumors by ALB6 treatment. **A** Apoptotic cells (arrow) in tumors treated with IgG (a) or ALB6 (b) were visualized as brown staining. Scale bars 200  $\mu$ m (a, b),  $\times 100$ . **B** In subcutaneous tumors, apoptotic cells were immunohistochemically detected by the TUNEL method and the number of apoptotic cells was quantified by counting TUNEL-positive cells in five different fields randomly selected in three sections at multiple layers for each sample. Data from each treatment group are represented as mean  $\pm$  SEM. \* $P < 0.05$  versus control



**Fig. 4** Suppression of tumor angiogenesis by ALB6 treatment. **A** CD34 immunostaining of tumor vessel endothelial cells in IgG group (a) and ALB6 group (b). Scale bars 200  $\mu$ m (a, b),  $\times 100$ . **B** Microvessel density in tumors in IgG and ALB6 groups. Vascular areas were quantified by image analysis (Adobe Systems, San Jose, CA, USA). CD34-positive endothelial cells in 15 fields in tumors randomly selected under  $\times 100$  magnification. Data from each treatment group are represented as mean  $\pm$  SEM. \* $P < 0.05$  versus control



of MKN-28 xenografts in SCID mice. As for the possible functional mechanism, we demonstrated that ALB6 might have therapeutic potential in inducing antiproliferative, apoptotic, and antiangiogenic effects in gastric cancers. We have previously reported that CD9 associated with EGFR tyrosine kinase caused accelerated ligand-induced clearance of EGFR from the cell surface, resulting in attenuation of EGFR signaling [20]. Thus, this result might help to explain the tumor suppressor activity of CD9. In addition to the antiproliferation effect, in this present study, we have also shown that ALB6 induced some apoptosis in gastric cancer cells. The molecular mechanism of apoptosis induced by ALB6 in gastric cancer cells *in vivo* needs to be elucidated more clearly in the future.

Tumor growth is dependent on angiogenesis, the formation of new blood vessels [22]. Targeting tumor vessels provides several advantages over traditional antitumor approaches. To further examine the effect of ALB6 on angiogenesis in gastric cancer cells, we estimated tumor microvessel density by counting the number of CD34-positive endothelial cells. Tumor microvessel densities in the ALB6 treatment group were significantly suppressed compared with that in the control IgG treatment group. VEGF-A is a growth factor associated with angiogenesis [30]. Many previous studies have revealed that over-expression of VEGF-A is associated with tumor angiogenesis, nodal metastasis, and a poor prognosis in cancer patients [31]. It has been previously reported that CD9 gene transduction could downregulate VEGF-A expression [32]. Thus, ALB6 might inhibit tumor growth by suppressing angiogenesis by modulating VEGF-A expression. Taken together, in this present study, we have shown a possible beneficial use of CD9 in the treatment of human gastric cancer cells.

Recently, it has been reported that anti-CD9 mAbs inhibited the proliferation of human colon carcinoma cells [33] and ectopic expression of CD9 in small-cell lung carcinoma cells that resulted in inhibition of their proliferation [34], and that adenoviral transduction of CD9 inhibited lymph node metastasis in an orthotopic lung cancer model [35]. These findings strongly suggest that CD9 plays indispensable roles in malignant tumor progression. In addition to its role as a metastasis-suppressor molecule, CD9 might act as a negative regulator of some types of carcinomas.

An abundance of recent evidence suggests that targeting tetraspanins, for example, by monoclonal antibodies, soluble large-loop proteins or RNAi technology, or adenoviral transduction methods, should be therapeutically beneficial [36]. In addition, therapeutic monoclonal antibodies (mAbs) are currently being developed for the treatment of cancer and other diseases. The clinical use of mAb as cancer therapeutics has been realized in the last few years

with the approval and successful commercialization of antibody products, such as trastuzumab, rituximab, cetuximab, and bevacizumab [37]. Therefore, CD9 might be an appealing target for antibody therapy for gastric cancer.

We previously reported that CD9 was highly expressed in gastric cancer as compared with the adjacent noncancerous gastric mucosa [17]. In addition, it is reported that CD9 is one of the genes upregulated in gastric cancer by complementary DNA (cDNA) expression microarray [38]. These results suggest that CD9 expression in noncancerous tissues is lower than in gastric cancer tissues. Thus, the side-effects of anti-CD9 antibody treatment on normal gastrointestinal tissues might be tolerable. However, data concerning this issue will be needed in the future.

In this study, we demonstrated that anti-CD9 antibody ALB6 suppressed tumor growth and proliferation, induced apoptosis, and inhibited angiogenesis in human gastric cancer xenografts. These results suggest that CD9 might be a new attractive targeting molecule in gastric cancer therapy.

**Acknowledgments** This work was supported in part by grants-in-aid (grant 10359846 to S. Tsutsui) from the Ministry of Education, Science, Sports, and Culture of Japan.

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## Deficiency of GMDS Leads to Escape from NK Cell-Mediated Tumor Surveillance Through Modulation of TRAIL Signaling

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See editorial on page 36.

**BACKGROUND & AIMS:** Tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) promotes apoptosis in cancer cells, but not normal cells, and is critically involved in tumor rejection through natural killer (NK) cell-mediated immune surveillance. Oligosaccharides are involved in various aspects in carcinogenesis, and fucosylation is one of the most important oligosaccharide modifications in cancer. Here, we report for the first time mutations of the GDP-mannose-4,6-dehydratase (*GMDS*) gene, which plays a pivotal role in fucosylation, in human colon cancer. The mutations resulted in resistance to TRAIL-induced apoptosis followed by escape from immune surveillance. **METHODS:** The mock and *GMDS*-rescued HCT116 cells were investigated in terms of NK cell-mediated tumor surveillance by TRAIL signaling both in vitro and in vivo. The mutational analysis for *GMDS* was performed with kinds of cancer cell lines and tissues. **RESULTS:** The mutation found here led to a virtually complete deficiency of cellular fucosylation, and transfection of the wild-type *GMDS* into HCT116 cells restored the cellular fucosylation. When mock and *GMDS*-rescued cells were transplanted into athymic mice, tumor growth and metastasis of the *GMDS*-rescued cells were dramatically suppressed through NK cell-mediated tumor surveillance. Furthermore, the *GMDS*-rescued cells showed high susceptibility to TRAIL-induced apoptosis, and anti-TRAIL blocking antibody suppressed the accelerated direct cell lysis of the *GMDS*-rescued cells by splenocytes. Similar mutations of the *GMDS* were found in certain human cancer tissues and other cell lines. **CONCLUSIONS:** This pathway by *GMDS* mutation could be a novel type of cancer progression through cellular fucosylation and NK cell-mediated tumor surveillance.

Glycosylation has been found to be involved in tumor malignancy, developmental processes, and the immune system, which has led to new insights into many human diseases.<sup>1-3</sup> In cases of colon cancer, many reports

have suggested that changes in glycosylation are involved in the carcinogenesis and metastasis.<sup>4,5</sup> The prognosis of colorectal cancer in stage I or II has been reported relatively good, and 5-year survival rates are approximately 90% or 80%, respectively.<sup>6</sup> However, certain cases of colorectal cancer develop distant metastasis or peritoneal dissemination rapidly, which would depend on the abnormality of apoptosis signaling, the resistance to immune system, and unknown mechanisms.<sup>7,8</sup> Oligosaccharides are involved in various aspects in carcinogenesis, and fucosylation is one of the most important oligosaccharide modifications in cancer and inflammation.<sup>9-12</sup> Fucosylation is catalyzed by several kinds of fucosyltransferases, which require guanosine diphosphate (GDP)-fucose as a donor substrate. Most GDP-fucose is synthesized by the de novo pathway, in which GDP-mannose is transformed into GDP-fucose through 3 steps that are catalyzed by GDP-mannose-4,6-dehydratase (*GMDS*)<sup>13,14</sup> and GDP-4-keto-6-deoxymannose-3,5-epimerase-4-reductase (FX)<sup>15</sup> (Figure 1). FX knockout mice showed an embryonic lethality phenotype because of a virtually complete deficiency of cellular global fucosylation.<sup>16</sup> This phenomenon enabled us to conclude that fucosylated oligosaccharides are involved in early growth and development as well as many pathologic conditions, especially cancer and inflammation. Recently, it was reported that up-regulation of fucosylation was observed at the early stage of colon carcinogenesis.<sup>17</sup>

Tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) is a type II transmembrane protein that belongs to the tumor necrosis factor superfamily.<sup>18</sup>

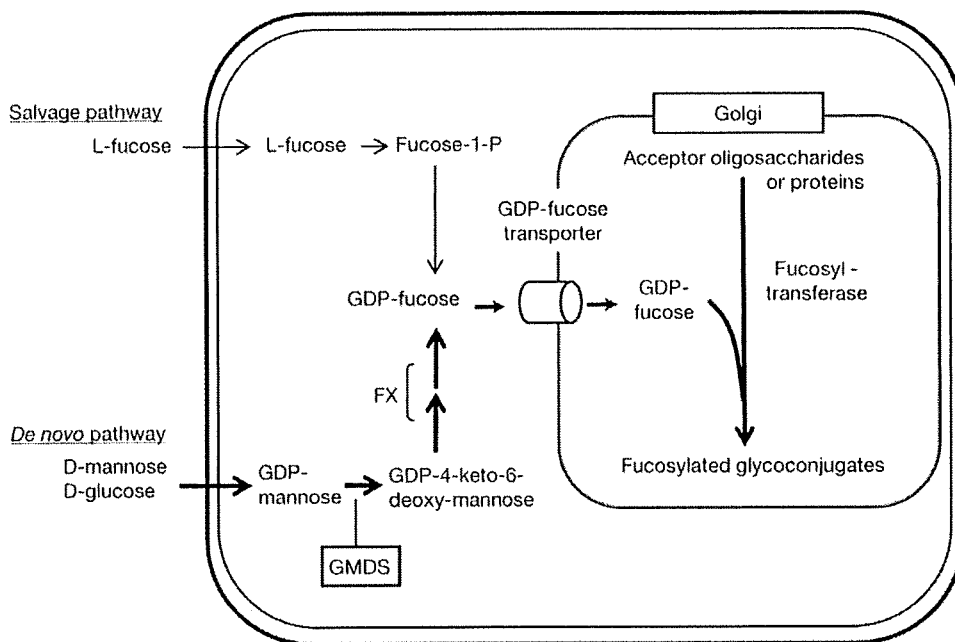
Abbreviations used in this paper: AAL, *Aleuria aurantia* lectin; bp, base pair; CMA, concanamycin A; FX, GDP-4-keto-6-deoxymannose-3,5-epimerase-4-reductase; GDP, guanosine diphosphate; *GMDS*, GDP-mannose-4,6-dehydratase; IgG, immunoglobulin G; NK, natural killer; PARP, poly(ADP-ribose) polymerase; RT-PCR, reverse transcription-polymerase chain reaction; siRNA, small interfering RNA; TRAIL, tumor necrosis factor-related apoptosis-inducing ligand; WT, wild-type.

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**Figure 1.** Fucose metabolism. GDP-fucose is mainly synthesized through the de novo pathway by 3 reactions catalyzed by *GMDS* and FX. Free L-fucose is converted to GDP-fucose through the salvage pathway, which is a minor pathway. GDP-fucose is subsequently transported from the cytosol to the Golgi lumen by GDP-fucose transporter, and then transferred to acceptor oligosaccharides and proteins by fucosyltransferases.



TRAIL can initiate apoptosis in a wide variety of tumor cells, but not normal cells, through interaction with TRAIL receptors. The engagement of TRAIL receptors by the ligand leads to the recruitment of a cytoplasmic adaptor protein, such as Fas-associated death domain, which in turn activates caspase-8 and -10. Caspase-8 either directly activates downstream effector caspase-3, -6, and -7, or the intrinsic pathway through Bid cleavage, leading to apoptosis.<sup>19,20</sup> TRAIL-deficient mice were more sensitive to experimental and spontaneous tumor metastasis and to chemical carcinogenesis.<sup>21</sup> The administration of anti-TRAIL functional blocking antibody significantly increased experimental liver metastases of TRAIL-sensitive tumor cells through inhibition of natural killer (NK) cell-mediated tumor surveillance.<sup>22</sup> TRAIL receptor-deficient mice also showed high sensitivity to tumorigenesis, chronic inflammation,<sup>23</sup> and tumor metastasis.<sup>24</sup> These reports substantiate that TRAIL is an important natural effector molecule against transformed cells in host defense. From the aspect of a clinical approach, optimized soluble recombinant human TRAIL or agonistic antibodies targeting TRAIL receptors are promising proapoptotic antitumor therapeutic agents currently undergoing phase 1 or 2 clinical evaluation in patients with several types of tumors.<sup>25</sup> However, it has now become clear that many types of tumor cells are resistant to TRAIL.<sup>26-28</sup> Thus, it is critical to clarify the biologic determinants of susceptibility to TRAIL-mediated apoptosis.

In the present study, we found a virtually complete deficiency of fucosylation resulting from an alteration of *GMDS* transcripts in a human colon cancer cell line, HCT116, which resulted in escape from the immune surveillance system because of resistance to TRAIL-in-

duced cell death. This alteration of *GMDS* would be a novel trigger of the progression of colon cancer by escape from TRAIL-mediated cell death.

## Materials and Methods

### Cell Lines and Materials

Human colon cancer cell lines, DLD-1, WiDr, and HCT116, were provided by the American Type Culture Collection (Manassas, VA), and NCI-H716 and LS174T cells were kindly provided by Dr Y. Nakamura. SCH cells were provided by the Human Science Research Resources Bank (Osaka, Japan). SCH cells, synthesize human chorionic gonadotropin, were established by Kameya et al<sup>29</sup> from a metastatic omental lesion of gastric choriocarcinoma derived from a 46-year-old man. These cells were cultured in RPMI1640 supplemented with 100 U/mL penicillin, 100  $\mu$ g/mL streptomycin, and 10% fetal calf serum. Both human and mouse recombinant TRAIL were obtained from BIOMOL (Plymouth Meeting, PA). All colorectal cancer tissues and corresponding noncancerous tissues were obtained with informed consent from surgical specimens of patients who underwent surgery.

### Lectin Blot and Western Blot Analysis

Lectin blot and Western blot analysis was performed as described previously.<sup>30</sup> Rabbit anti-*GMDS* antibody was established as described previously.<sup>30</sup> Rabbit anti-cleaved poly(ADP-ribose) polymerase (PARP),  $\beta$ -actin, and horseradish peroxidase-conjugated anti-rabbit immunoglobulin G (IgG) antibody as a second antibody were purchased from Cell Signaling (Beverly, MA).

### Measurement of the Cytosolic GDP-Fucose Concentration

Measurement of the cytosolic GDP-fucose concentration was performed as described previously.<sup>31</sup>

### Transfection of the Wild-Type and Mutant GMDS

pcDNA3.1/Hyg (Invitrogen, Carlsbad, CA) vector carrying human wild-type (WT) *GMDS* was transfected into HCT116 cells with the FuGENE 6 transfection reagent (Roche, Basel, Switzerland) according to the manufacturer's protocol. Selection was performed by the addition of Hygromycin B (Calbiochem, Darmstadt, Germany) at 150  $\mu\text{g}/\text{mL}$ . Each mutation was introduced by polymerase chain reaction (PCR) amplification with the use of PrimeSTAR HS DNA polymerase (TAKARA BIO, Shiga, Japan) and an above vector as template. The PCR primers are summarized in Supplementary Table 1. All mutations were verified by DNA sequencing.

### Cell Growth Assay

Cells were seeded onto 96-well plates at 2500 cells/well and then cultured. After the addition of a WST-8 solution (Dojindo Laboratory, Kumamoto, Japan), the cells were reacted for 3 hours. WST is a highly water-soluble disulfonated tetrazolium salt. Then the absorbance of the formazan product formed was detected at 450 nm. The results are represented as the means of 3 experiments.

### Animal Experiments

Cells ( $6.0 \times 10^6$ ) were subcutaneously injected into 5-week-old female BALB/c nu/nu athymic nude mice (Japan SLC Inc, Shizuoka, Japan). Tumor weight and size were determined. In some experiments, mice were administered 200  $\mu\text{g}$  of anti-asialo GM1 antibody (Wako, Osaka, Japan) or control rat IgG (Sigma, St Louis, MO) at 1 day before and 1, 6, and 11 days after tumor inoculation for the depletion of NK cells. For experimental metastasis,  $1.0 \times 10^6$  cells were injected into the spleens of 5-week-old female BALB/c nu/nu athymic nude mice. At 4 weeks after injection, the mice were killed under anesthesia. Tumor formation in the liver and peritoneum was evaluated and also histologically examined after H&E staining. These mice were maintained under specific pathogen-free conditions in the Institute of Experimental Animal Sciences, Osaka University Medical School. All of these animal experiments were approved by the ethical committee of Osaka University Graduate School of Medicine.

### FACS Analysis

To determine the sub-G<sub>1</sub> DNA content, both adherent and floating cells were collected and washed twice with phosphate-buffered saline, and then fixed in ice-cold 50% ethanol. After the addition of RNase, the cells were stained with propidium iodide (Sigma). The cells were

sorted according to their DNA content with FACSCalibur and analyzed with Modifit software (Becton Dickinson, San Diego, CA). Cell debris and fixation artifacts were gated out. The cells containing subG<sub>1</sub> DNA were calculated as a percentage of the total cells. The results are represented as the means of 3 experiments.

### Cytotoxicity Assay

A standard <sup>51</sup>Cr release assay was performed. <sup>51</sup>Cr-labeled target cells and effector cells were mixed in the U-bottom wells of a 96-well microtiter plate at the indicated E:T ratios. After 8 hours of incubation, cell-free supernatants were collected, and radioactivity was measured with a gamma counter. The results are represented as the means of 3 experiments. In some experiments, the effector cells were pretreated with 50 nmol/L concanmycin A (CMA; Sigma), 10  $\mu\text{g}/\text{mL}$  anti-mouse Fas ligand antibody (MFL3; eBioscience, San Diego, CA), or 10  $\mu\text{g}/\text{mL}$  anti-mouse TRAIL antibody (N2B2; Becton Dickinson) for 2 hours. Armenian hamster and rat IgG were used as isotype-matched controls for anti-Fas ligand and TRAIL antibody, respectively.

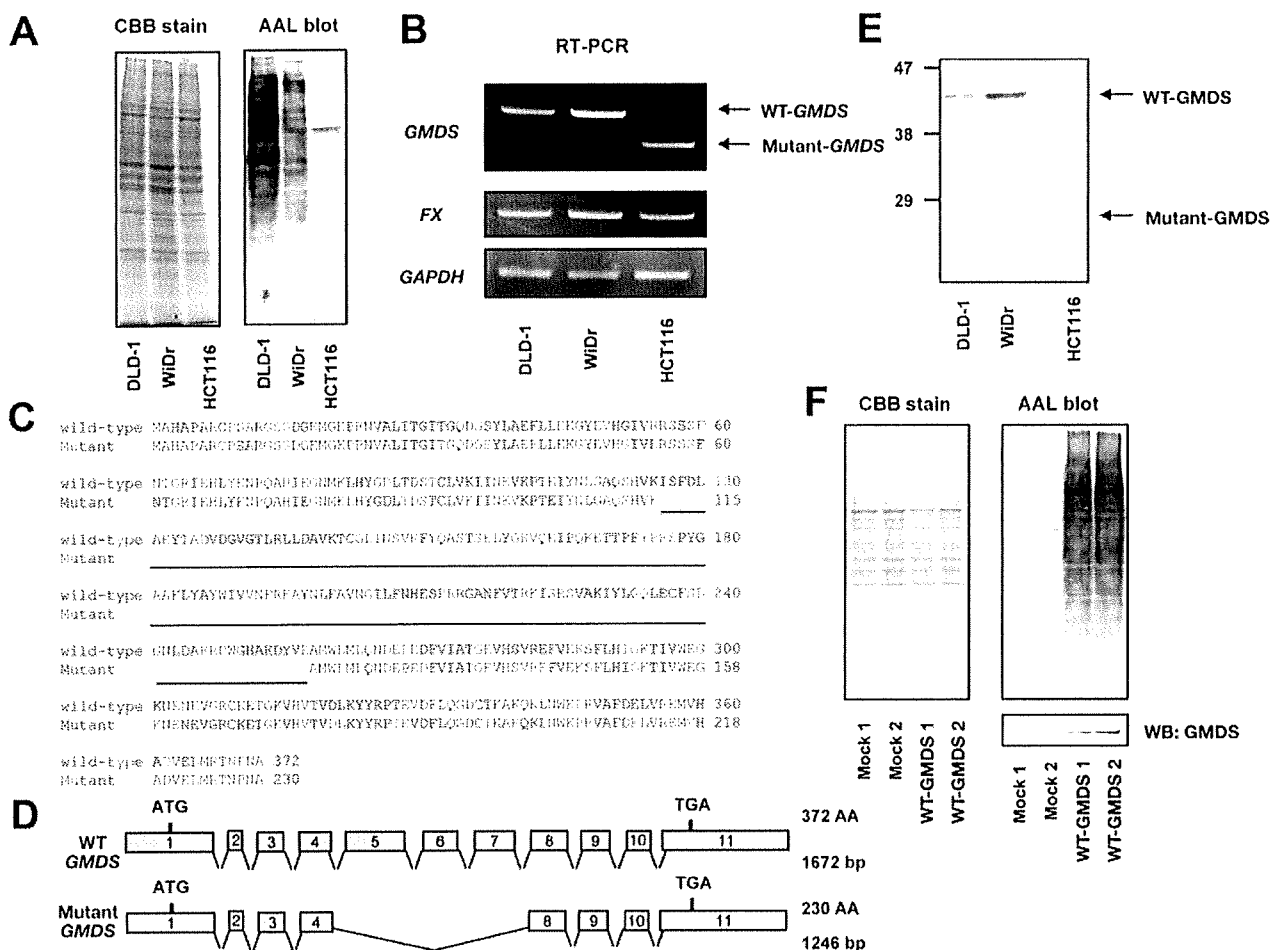
### Clonogenic Survival Assay

Tumor cells were plated in 6-well plate at 1200 cells/well. After overnight attachment, cells were treated with various concentrations of TRAIL (BIOMOL) for 2 days. The medium was subsequently changed every 2 days, and the resulting colonies were stained with crystal violet and photographed after 10 days.

## Results

### Identification of Aberrant Transcripts of GMDS in HCT116 Cells That Exhibit a Deficiency of Cellular Fucosylation

When we performed Western blotting with *Aleuria aurantia* lectin (AAL), which binds to fucosylated oligosaccharides,<sup>32</sup> to examine the fucosylation levels in several colon cancer cell lines, a marked decrease in the fucosylation level was found in HCT116 cells (Figure 2A). The level of cellular fucosylation depends more on the GDP-fucose level than the activity of fucosyltransferases.<sup>9</sup> When GDP-fucose in these cell lines was assayed by the method we reported previously,<sup>31</sup> it was found to be at an undetectable level in HCT116 cells. A sensitivity limit for GDP-fucose in this assay is <15 pmol/mg. The levels of GDP-fucose in DLD-1 and WiDr cells were 419.2 and 390.8 pmol/mg, respectively. Both *GMDS* and *FX* are rate-limiting enzymes for the synthesis of GDP-fucose (Figure 1). Reverse transcription (RT)-PCR analyses of both genes showed that the *GMDS* mRNA in HCT116 cells was shorter by approximately 400 base pairs (bp) than that of the wild-type *GMDS* in other colon cancer cells (Figure 2B). The sizes and amounts of *FX* mRNA in all cells examined were almost the same. Sequence analysis showed a deletion of 426 bp in the cDNA of HCT116



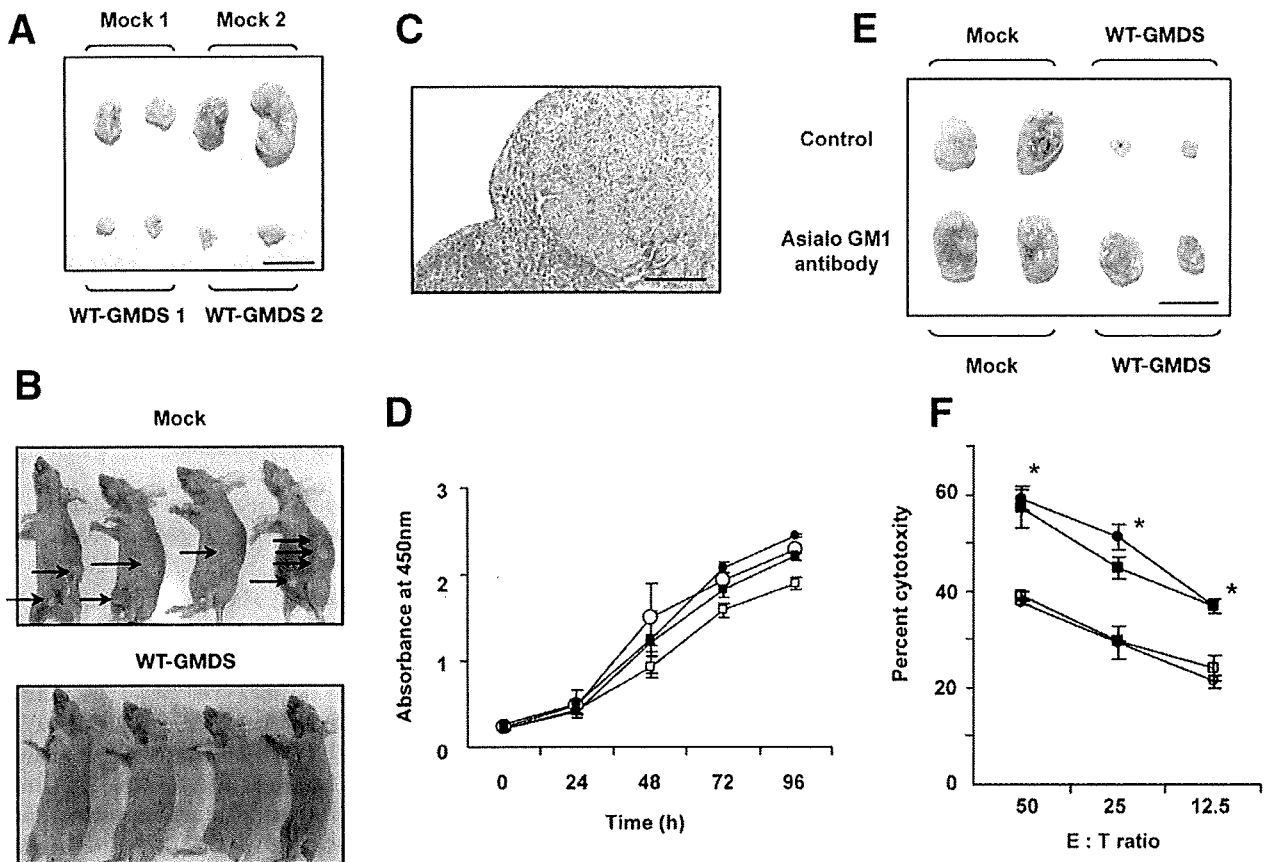
**Figure 2.** Identification of spontaneous aberrant transcripts of *GMDS* in HCT116 cells. (A) The expression patterns of fucosylated glycoproteins in 3 colon cancer cell lines, DLD-1, WiDr, and HCT116, were investigated by AAL blot analysis. Coomassie brilliant blue (CBB) staining indicated that equal amounts of protein were loaded in each lane. (B) The expression patterns of *GMD* and *FX* mRNA in DLD-1, WiDr, and HCT116 cells were investigated by RT-PCR analysis. The primers used in this experiment were summarized in Supplementary Table 1. Alignment of the protein sequences (C) and genomic organization (D) of the WT *GMDS* and mutant *GMDS* in HCT116 cells. (E) Western blotting with anti-*GMDS* antibody was performed in DLD-1, WiDr, and HCT116 cells. The truncated *GMDS* protein was not detected in HCT116 cells. (F) After transfection of an expression vector for WT *GMDS* into HCT116 cells, Western blot analysis of *GMDS* and AAL blot analysis were performed. The expression of the WT *GMDS* protein and strong binding to AAL were observed in 2 transfected cells (WT-*GMDS* 1 and 2).

cells, which corresponds to exons 5, 6, and 7 encoding functionally critical domains for *GMDS* enzyme activity (Figure 2C and D). This deletion does not cause a frameshift or the appearance of a premature stop codon. Although this aberrant *GMDS* transcript should produce a shorter form of mutant protein, we could not detect the mutant *GMDS* protein of the corresponding molecular weight in HCT116 cells on Western blot analysis (Figure 2E). The *GMDS* protein translated from the aberrant transcripts might be very unstable and rapidly degraded because of misfolding with a deletion of 142 amino acids. Transfection of the WT *GMDS* into HCT116 cells restored cellular fucosylation, which was confirmed on AAL blotting (Figure 2F). These results indicate that HCT116 cells express abnormal *GMDS* mRNA, leading to inacti-

vation of the de novo pathway for GDP-fucose synthesis followed by a deficiency of cellular fucosylation.

**Suppression of Tumor Growth and Metastasis In Vivo on the Restoration of Fucosylation**

To investigate the tumor growth of fucosylation-negative (mock cells) or -positive (*GMDS*-rescued cells) colon cancer cells in vivo, we subcutaneously injected mock and *GMDS*-rescued cells into athymic mice. We found that the tumor growth was dramatically decreased in the *GMDS*-rescued cells compared with the mock cells (Figure 3A). Furthermore, we evaluated the effect of fucosylation on tumor metastasis. When these cells were injected into the spleens of athymic mice, the metastatic tumor formation in the liver and peritoneum was also



**Figure 3.** Contribution of NK cells to suppression of tumor growth on the complementation of WT *GMDS*. (A) Tumors grown on the backs of athymic mice were excised at 20 days after subcutaneous injection and photographed. The bar indicates 10 mm. (B) At 4 weeks after injecting tumor cells into the spleens of athymic mice, the mice were killed and photographed. Arrowheads indicate large peritoneal metastases. The results of these experiments are given in Table 1. (C) Tumor formation in the liver was histologically examined after H&E staining. The bar indicates 200  $\mu$ m. (D) The *in vitro* growth rate was investigated by WST assay. Bars indicate SD. (E) Athymic mice were treated with either anti-asialo GM1 or control rabbit IgG. Mice were killed at 17 days to examine tumor growth *in vivo*. The bar indicates 10 mm. (F) The sensitivities of tumor cells to the direct cytotoxicity of splenocytes from athymic mice were investigated by  $^{51}\text{Cr}$ -releasing assay. E indicates effector cells (splenocytes); T, target cells (tumor cells); open circles, mock 1; open squares, mock 2; filled circles, WT-*GMDS* 1; and filled squares, WT-*GMDS* 2. Asterisks indicate significant difference between mock and WT-*GMDS* cells ( $P < .05$ , unpaired *t* test). Bars indicate SD.

decreased in the case of the *GMDS*-rescued cells (Figure 3B). Histologic examination of tumors in the livers from the mice injected with the mock cells showed liver metastasis (Figure 3C). The results of *in vivo* experiments are summarized in Table 1. In contrast, the cell growth rate

*in vitro* did not differ between mock and *GMDS*-rescued cells (Figure 3D). These results indicate that the deficiency of fucosylated oligosaccharides resulted in the acceleration of tumor growth and metastasis *in vivo*, which was independent of the cell growth rate.

**Table 1.** The Suppression of Subcutaneous or Metastatic Tumor Formation by the *GMDS*-Rescued Cells in Athymic Mice

Cells	Subcutaneous tumor formation <sup>a</sup>			Metastatic tumor formation		
	Tumor weight (g)	Major axis (mm)	Minor axis (mm)	Liver tumor	Peritoneum tumor	Body weight (g) (n = 8)
Mock	0.23 $\pm$ 0.13	9.19 $\pm$ 4.00	5.29 $\pm$ 2.24	12/16	15/16	15.66 $\pm$ 1.62
WT- <i>GMDS</i>	0.06 $\pm$ 0.02 <sup>b</sup>	4.00 $\pm$ 1.41 <sup>b</sup>	2.03 $\pm$ 0.50 <sup>b</sup>	1/16 <sup>c</sup>	3/16 <sup>c</sup>	18.60 $\pm$ 1.83 <sup>c</sup>

<sup>a</sup>The results are presented as mean values  $\pm$  SDs (n = 9).

<sup>b</sup> $P < .01$ , compared with mock cells with an unpaired *t* test.

<sup>c</sup> $P < .01$ , compared with mock cells with Fisher's test.



### ***NK Cells Critically Contribute to the Suppression of Tumor Growth Through the Restoration of Fucosylation***

Balb/c athymic mice are defective in T-cell-dependent immune responses. Therefore, NK cells play a critical role in the rejection of implanted cancer cells by the immune surveillance system in the mice. To determine whether NK cells are involved in the suppression of tumor growth of the *GMDS*-rescued cells, NK cells were depleted by injecting anti-asialo GM1 antibody. The rate of depletion of NK cells was approximately 85% in anti-asialo GM1 antibody-injected athymic mice compared with control IgG-injected mice, which was confirmed by investigation of DX5-positive cells with flow cytometry (data not shown). As shown in Figure 3E, depletion of NK cells stimulated tumor growth of the *GMDS*-rescued cells that had been subcutaneously injected. Subsequently, to determine the sensitivity to cell lysis by splenocytes from athymic mice, splenocytes were isolated from athymic mice, followed by stimulation with mouse interleukin-2 for 48 hours, and then the cytotoxicity assay was performed for mock and *GMDS*-rescued cells. As shown in Figure 3F, the *GMDS*-rescued cells showed higher sensitivity to cell lysis by the isolated splenocytes compared with the mock cells. These results indicate that NK cells critically contribute to the suppression of tumor growth of the *GMDS*-rescued cells. Therefore, the deficiency of fucosylation in HCT116 cells leads to escape from NK cell-mediated immune surveillance.

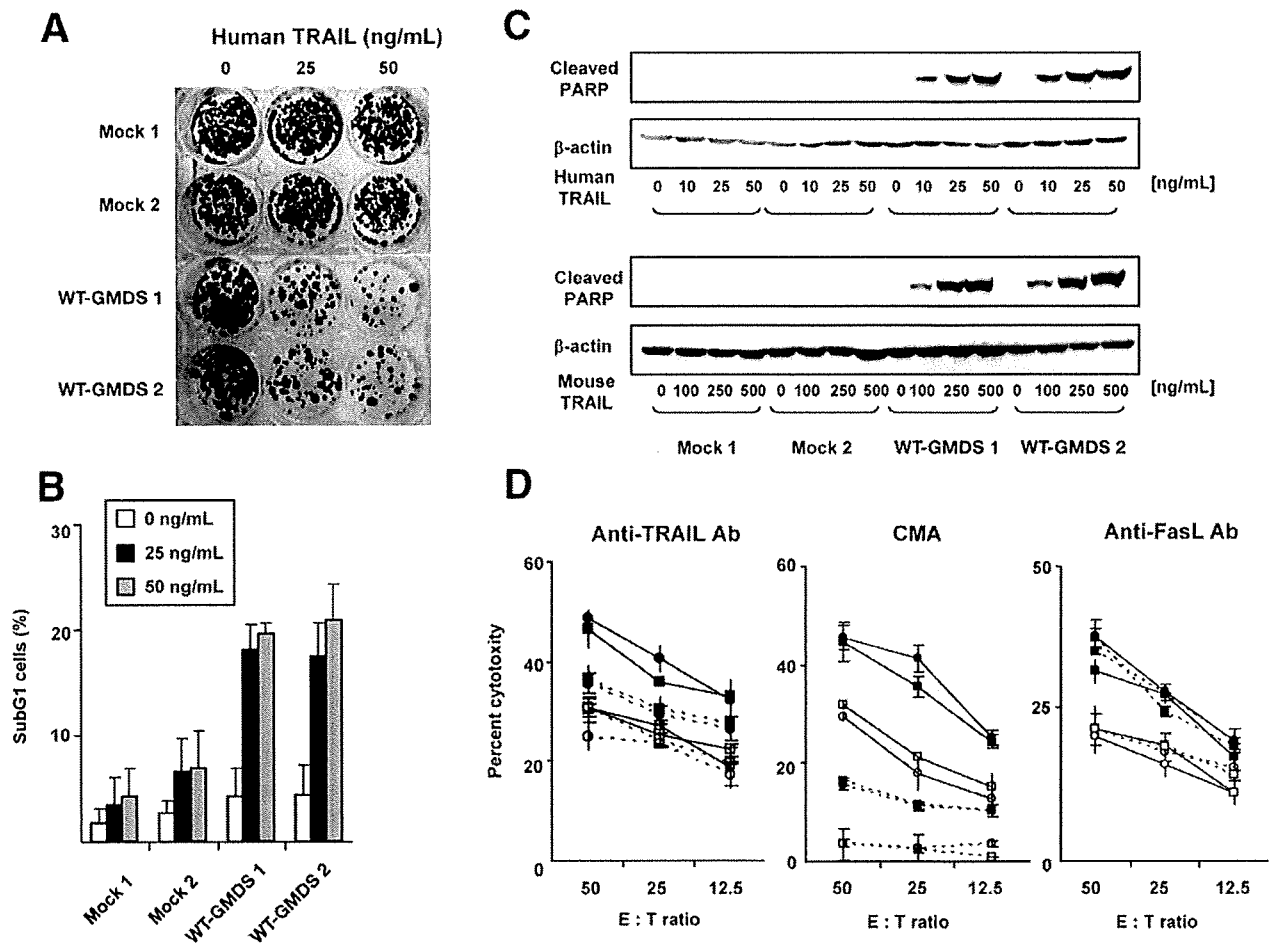
### ***Increased Tumor Rejection in GMDS-Rescued Cells Because of NK Cell-Mediated Immune Surveillance Depends on Increased Susceptibility to TRAIL***

NK cells exert their lytic function through the perforin-granzyme, Fas ligand, and TRAIL pathways. Fas ligand and TRAIL induce tumor apoptosis through the corresponding transmembrane death receptors, which are modified by oligosaccharides. It is possible that changes in the fucosylation of these death receptors affect their signaling. The susceptibility to TRAIL was investigated for mock and *GMDS*-rescued cells. Clonogenic survival on treatment with TRAIL was dramatically decreased for the *GMDS*-rescued cells compared with the mock cells (Figure 4A). A significant increase in the sub-G<sub>1</sub> population, which is a hallmark of apoptosis, of the *GMDS*-rescued cells after the treatment with TRAIL was also observed (Figure 4B). Western blotting of cleaved PARP, which serves as a marker of cells undergoing apoptosis, showed a higher level of apoptosis in the *GMDS*-rescued cells, in a dose-dependent manner, on human or mouse TRAIL treatment (Figure 4C). Murine TRAIL is active toward human cells, as reported previously.<sup>18</sup> These results indicated that the *GMDS*-rescued cells showed higher susceptibility to TRAIL than the mock cells and that a deficiency of fucosylation in cancer

cells resulted in resistance to TRAIL-mediated apoptosis. To determine whether the increased susceptibility to TRAIL of the *GMDS*-rescued cells was involved in the increase in tumor rejection by NK cells, anti-TRAIL blocking antibody was used in a cytotoxicity assay. As shown in Figure 4D, cell lysis of the *GMDS*-rescued cells by splenocytes was markedly suppressed in the presence of anti-TRAIL blocking antibody. In contrast, treatment with anti-Fas blocking antibody or CMA, which inhibits perforin-mediated killing, did not affect the difference in cell lysis by splenocytes between mock and *GMDS*-rescued cells. These results indicate that the increased susceptibility to TRAIL after the restoration of fucosylation contributes to the increase in tumor rejection caused by NK cell-mediated immune surveillance.

### ***Aberrant Transcripts in Cancer Cell Lines and Somatic Mutations in Human Colon Cancer Tissues***

To determine whether aberrant transcripts of *GMDS*, like those in HCT116 cells, are expressed in other cell lines, we performed RT-PCR analysis with RNA from an additional 10 colon cancer cell lines, including LS174T, SNUC4, SNUC5, C2A, HT29, HCT15, LoVo, SW948, NCI-H747, and NCI-H716. As a result, short transcripts were detected in two colon cancer cell lines, LS174T and NCI-H716 (Figure 5A and Supplementary Figure 1). Further sequencing analysis showed deletions of exons 2-4 and 5-7 of *GMDS* in the cDNA of LS174T and NCI-H716 cells, respectively. Transfection with a vector carrying each mutant *GMDS* into HCT116 cells caused no change of fucosylation (Figure 5B), indicating that the two mutant genes did not yield the enzymatic activity. However, expression of the WT *GMDS* protein and fucosylation were observed in these two cell lines because the cells expressed WT transcripts (Figure 5A and C). This finding indicates that these mutant *GMDS*s were not dominant-negative mutants. In a gastric choriocarcinoma cell line, SCH, a short transcript with deletion of exons 2-4 was found (Figure 5D). This cell line showed a marked decrease in the fucosylation level like HCT116 cells (Figure 5E). The transfection of WT *GMDS* into this cell line rescued cellular fucosylation, but those of other fucosylation-related genes such as FX, GDP-fucose transporter (GDP-fuc Tr), and  $\alpha$ 1-6 fucosyltransferase (FUT8) did not (Figure 5F). To examine whether genetic alterations of *GMDS* are involved in human carcinogenesis, we searched mutations of this gene in human colon cancer tissues. PCR and subsequent direct sequencing analysis identified mutations in 2 of 100 tumor tissues. One of the two was c.739 C>T (p.R247X) in exon 7, and the other was c.345+5 G>A (IVS4+5) in intron 4 (Supplementary Figure 2). These mutations were heterozygous, and no mutation was observed in the corresponding noncancerous tissues adjacent to the tumors, suggesting that the mutations were somatic events. As expected, the



**Figure 4.** The effect on the susceptibility to TRAIL on the complementation of WT *GMDS*. (A) Clonogenic survival assay was performed. Detailed procedure is described in "Materials and Methods." (B) At 24 hours after the addition of TRAIL, the population of sub-G<sub>1</sub> cells was investigated with fluorescence-activated cell sorting. Data are represented as the ratio to total cells. Bars indicate SD. (C) At 2.5 hours after the addition of TRAIL, the expression level of cleaved PARP was determined by Western blotting. Western blotting of  $\beta$ -actin indicated that equal amounts of protein were loaded in each lane. (D) The effects of concanamycin A, anti-mouse Fas ligand, and anti-mouse TRAIL blocking antibody on the sensitivity of tumor cells to splenocytes was investigated by <sup>51</sup>Cr-releasing assay. Open circles indicate mock 1; open squares, mock 2; filled circles, WT-*GMDS* 1; and filled squares, WT-*GMDS* 2. Bars indicate SD. The dotted lines show the addition of concanamycin A, anti-mouse Fas ligand, or anti-mouse TRAIL blocking antibody.

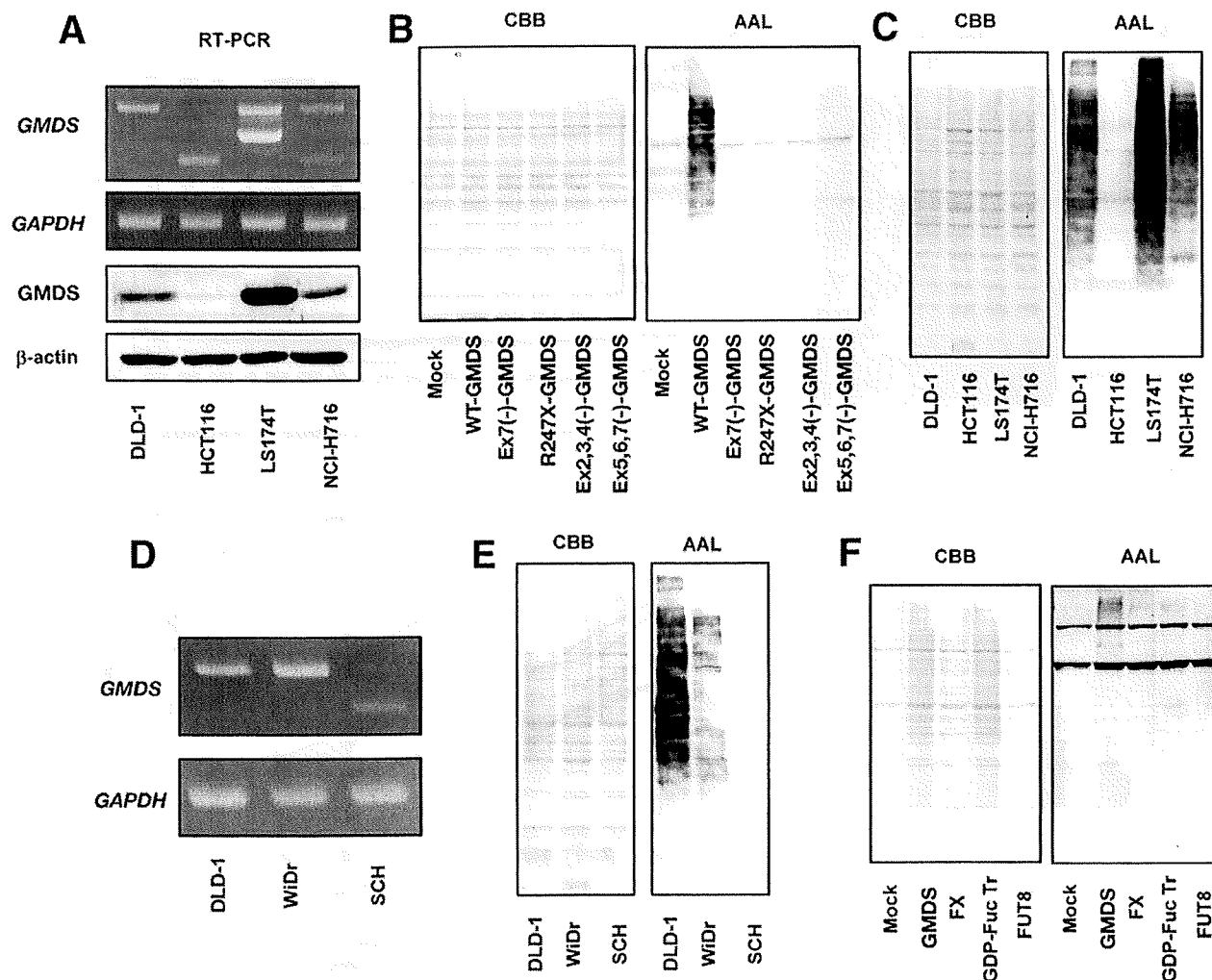
nonsense mutation of c.739 C>T did not exhibit the enzymatic activity of *GMDS* (Figure 5B). The alterations of *GMDS* in the cell lines and colon cancer tissues are summarized in Table 2.

## Discussion

Genomic instability is one of the hallmarks of cancer, in which an increased rate of genomic aberrations is directly involved in multistep carcinogenesis and cancer progression. The mutation identified in this study spontaneously arose in cells derived from human colon cancer. The suppression of tumor growth and metastasis *in vivo* on the complementation of the WT *GMDS* in *GMDS*-deficient cancer cells indicates that loss of function of *GMDS* facilitates cancer progression. Recently, it was reported that fucosylation was significantly higher in

human colon cancers without lymph node metastasis than in ones with it, and higher in the early stage than in the advanced one.<sup>17</sup> The increases in fucosylation would be important at an early stage of carcinogenesis, and de-fucosylation would lead to cancer progression, including metastasis, which is a result of a dysfunction of the fucosylation pathway (Figure 6).

In this study, we have shown for the first time mutations of *GMDS* in human cancer. We first showed that HCT116 cells express aberrant *GMDS* transcripts. Although we searched mutations in the *GMDS* with DNA from the cells by PCR and direct sequencing, we did not find any mutations in the exons 4, 5, 6, 7, and 8 or their flanking intronic regions, suggesting that mutation in splice regulatory regions may not be involved in the generation of aberrant transcripts. Future identification



**Figure 5.** Additional mutations of the *GMDS* gene in cancer cell lines and tissues. (A) The expression patterns of *GMDS* in LS174T and NCI-H716 cells were investigated by RT-PCR and Western blot analysis. (B) After the expression vector carrying the WT or mutant *GMDS*, which were found in LS174T [Ex2,3,4(-)], NCI-H716 [Ex5,6,,7(-)], ovarian [Ex7(-)], and colon (R247X) cancer tissues, had been transfected into HCT116 cells, AAL blot analysis was performed. (C) The levels of fucosylation in these colon cancer cells were investigated by AAL blot analysis. (D) The expression pattern of *GMDS* mRNA in SCH cells was investigated by RT-PCR analysis. (E) AAL blot analysis of DLD-1, WiDr, and SCH cells. (F) After the expression vector carrying each fucosylation-related gene, *GMDS*, FX, GDP-fuc Tr, and FUT8, had been transfected into SCH cells, AAL blot analysis was performed.

of the breakpoint(s) will facilitate the understanding of mechanism of aberrant transcripts. Additional RT-PCR analysis unveiled that at least 3 of 13 colon cancer cell lines express aberrant transcripts. The mutant lines, LS174T and NCI-H716, expressed both mutant and WT transcripts. Taken together, loss of heterozygosity in *GMDS* may play a role in colon carcinogenesis. Our mutation search across the entire coding region of the *GMDS* identified two point mutations in colon cancer tissues. One of the two, a nonsense mutation of c.739 C>T, abolished enzymatic activity of *GMDS*. The other mutation (c.345+5 G>A) in the splice donor site of exon 4 has not been shown to lead deregulated splicing because RNA of the patient was unavailable. However, because G at the position of +5 in splice donor site is conserved and

associates with U1snRNA in U2-type splicesomal complex, the substitution of G to A will be deleterious for the proper recognition by the complex.<sup>33</sup> Consistent with this view, all 3 computer algorithms for exon prediction, including NNSPLICE<sup>34</sup> ([http://www.fruitfly.org/seq\\_tools/splice.html](http://www.fruitfly.org/seq_tools/splice.html)), NetGene2<sup>35</sup> (<http://www.cbs.dtu.dk/services/NetGene2/>), and Automated Splice Site Analysis<sup>36</sup> (<https://splice.uwo.ca/>), failed to predict the splice donor site with the mutant sequence, although they successfully predicted the natural donor site with the WT sequence (data not shown). Therefore, this mutation is likely to result in aberrant splicing such as exon 4 skipping or activation of cryptic splice site. In addition to the alteration in colon cancer cells, we showed that SCH cells expressed transcripts with a deletion of exons 2–4 in

**Table 2.** Status of the *GMDS* Gene in Cancer Cell Lines and Tissues<sup>b</sup>

Cell lines/tissues	Transcripts <sup>a</sup>	Genomic DNA	Classification
HCT116	Deletion of exons 5, 6, and 7	NA	Colon cancer cells
LS174T	Deletion of exons 2, 3, and 4	NA	Colon cancer cells
SNUC4	NAD	NA	Colon cancer cells
SNUC5	NAD	NA	Colon cancer cells
C2A	NAD	NA	Colon cancer cells
HT29	NAD	NA	Colon cancer cells
WiDr	NAD	NA	Colon cancer cells
HCT15	NAD	NA	Colon cancer cells
LoVo	NAD	NA	Colon cancer cells
DLD1	NAD	NA	Colon cancer cells
SW948	NAD	NA	Colon cancer cells
NCI-H747	NAD	NA	Colon cancer cells
NCI-H716	Deletion of exons 5, 6, and 7	NA	Colon cancer cells
SCH	Deletion of exons 2, 3, and 4	NA	Gastric choriocarcinoma cells
CRC79T	NA	c.345+5 G>A	Colon cancer tissue (T4, N2, M0)
LIN171T	NA	c.739 C>T	Colon cancer tissue (T2, NX, M0)

NOTE. NA, not analyzed; NAD, no abnormal transcripts detected.

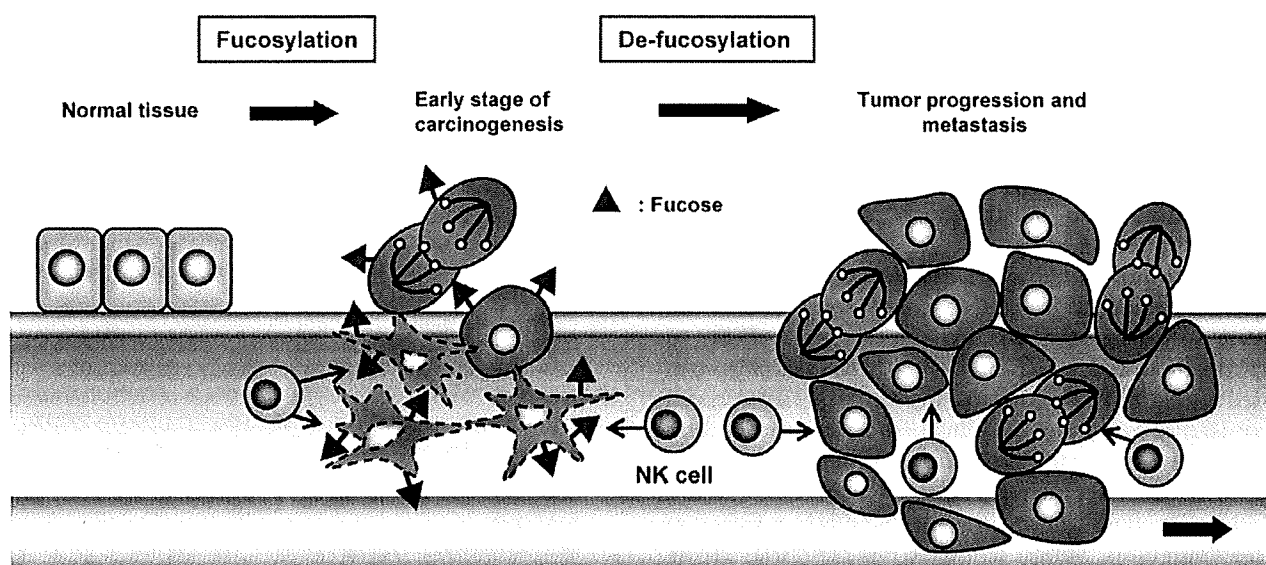
<sup>a</sup>RT-PCR products were analyzed.

<sup>b</sup>Union Internationale Contra la Cancer tumor-node-metastasis (TNM) stage is included in the parentheses.

*GMDS*, and that SCH cells were also resistant to TRAIL-induced apoptosis, like HCT116 cells (data not shown). Furthermore RT-PCR analysis identified aberrant transcripts in 5 of 10 microdissected ovarian cancer tissues (Supplementary Figure 3). The transcripts lacking exon 7 are assumed to truncate *GMDS* protein through the appearance of a stop codon in exon 8. This *GMDS* protein did not exhibit the enzymatic activity (Figure 5B). These data suggest that de-fucosylation as a result of *GMDS* mutation would be possible in other types of cancer, and that RT-PCR analysis is helpful for the evaluation of large deletions and splicing abnormalities. Although fur-

ther studies are necessary to identify gross genetic alterations in ovarian cancer tissues as well as colon cancer tissues, alteration of *GMDS* transcripts should be involved in human carcinogenesis.

The gene encoding *GMDS* is located in chromosome region 6p25, in which a high LOH frequency was previously reported in invasive cervical cancer,<sup>37</sup> mantle cell lymphoma,<sup>38</sup> prostate cancer,<sup>39</sup> and ovarian cancer.<sup>40</sup> The two colon tumors harboring *GMDS* mutation were at advanced stages. Although we have not examined LOH in the tumors, inactivation of both *GMDS* alleles, a phenomenon of typical tumor suppressor genes representing



**Figure 6.** Schematic model of the biological functions of fucosylation and de-fucosylation in colon carcinogenesis. The level of fucosylation is not so high in normal colon tissues, but it is increased at an early stage of colon cancer. The cancer cells represented by the dotted line are apoptotic cells, which are attacked by NK cells. In certain types of advanced cancer, de-fucosylation through genetic mutation leads to escape from NK cell-mediated tumor surveillance and acquiring of more malignant characteristics.