

### §5. Genomic instability and cancer

Cells respond to DNA damage by activating a complex DNA-damage-response pathway that induces cell-cycle arrest, activation of DNA repair machinery, and, under some circumstances, apoptosis. However, an inability to respond properly to or to repair DNA damage can lead to genetic instability, which in turn increases the risk of cancer (Fig. 1). Two main forms of genomic instability are associated with tumors. The mutational instability phenotype is characterized by small sequence changes, including base substitutions and insertions/deletions of a few nucleotides. In contrast, the chromosomal instability phenotype is characterized by gross rearrangement of chromosomes, the loss or gain of whole chromosomes or chromosomal fragments, and amplification of fragments. Chromosomal aberrations are typically subdivided into chromatid breaks and gaps, chromosome breaks and gaps, triradials, quadriradials, and dicentric chromosomes. The loss of large regions of a chromosome may lead to inactivation of tumor suppressor genes, whereas amplification of chromosome regions can result in activation of proto-oncogenes or induction of multi-drug resistance after cytostatic drug treatment.<sup>26)</sup> These chromosomal aberrations can arise from defective repair of DSBs, from entry of cells into mitosis before DSBs are repaired, or from rejoining of DSBs on two different chromosomes. The following evidence for links among DSB repair systems, genomic instability and cancer predisposition syndromes has emerged: chromosomal instability induced by X-irradiation is exacerbated in DNA repair-deficient cells, many chromosomal translocations in lymphoid tumors include breakpoints in the immunoglobulin or T-cell receptor loci, and deficiencies in DSB-signaling proteins in patients and mouse models result in gross chromosomal instability.<sup>27)</sup>

One of the most important disease examples is ataxia telangiectasia (AT), which is caused by a deficiency of ATM kinase. This disorder is characterized by hypersensitivity to ionizing radiation, progressive neurodegeneration, immunodeficiency, a high incidence of chromosomal translocations, and frequent lymphoreticular malignancy (lymphomas and leukemias). AT carriers (ATM heterozygotes) constitute approximately 1% of the general population and are believed to have a 3- to 5-fold increased risk of developing breast cancer.<sup>28)</sup> The increased cancer susceptibility in AT patients is at least partly due to chromosomal instability. Furthermore, homozygous *ATM* knockout mice show a high incidence of lymphoid tumors and chromosomal instability with gaps, breaks and translocations at T-cell receptor loci, indicating that DSBs generated by the V(D)J recombination machinery are at least partly responsible for these chromosomal aberrations.<sup>29)</sup> Nijmegen breakage syndrome (NBS) is an AT-liked disorder that is caused by a deficiency in NBS1 protein in humans<sup>30)</sup> and characterized by developmental defects, hyperradiosensitivity, and predisposition to cancer. *MRE11* mutations have been found in patients with another AT-like disorder, AT-LD,<sup>31)</sup> and the overlap between the phenotypes of AT, NBS, and AT-LD indicates that ATM and the MRN complex function in the same signal transduction pathway.

Since HR is considered to be more accurate than NHEJ in DNA repair, unregulated HR can play a role in carcinogenesis. Bloom syndrome (BS) is a reces-

sive genetic disorder associated with genomic instability, and is characterized by growth retardation, immunodeficiency, and an increased risk of developing cancer. BS is caused by mutations in BLM helicases, which are members of a class of DNA-unwinding enzymes that participate in the migration of Holliday junctions in HR. Cells from Bloom syndrome patients show abnormally high levels of sister chromatid exchanges (SCE) through the HR pathway.<sup>32)</sup> Thus, the genomic instability and cancer predisposition phenotype in Bloom syndrome is at least partly due to an increase in the frequency of somatic recombination. The inheritance of germline mutations in *BRCA1* or *BRCA2* confers susceptibility to breast and ovarian cancers with a lifetime risk of up to 60%.<sup>33)</sup> In most breast cancers arising in *BRCA1/2* mutation carriers, inactivation of the wild-type allele has occurred by loss of heterozygosity, thus abolishing normal protein expression. The loss of *BRCA* functions causes a significant increase of genomic alterations, including chromosomal aberrations, which may be caused by a deficiency in the repair of DNA DSBs.<sup>34)</sup> *BRCA1*- and *BRCA2*-deficient cells are hypersensitive to agents that cross-link DNA, such as mitomycin C. An increase of genomic instability is particularly obvious in combination with a mutation in the tumor suppressor gene *p53*, because of abrogation of cell-cycle arrest or a reduced rate of apoptosis. In fact, familial-*BRCA1* and -*BRCA2* tumors carry a higher frequency of *p53* mutations than control sporadic cancers.<sup>35)</sup> The importance of the role of *p53* in maintaining genome stability is exemplified by findings that this molecule is mutated in approximately 50% of tumors. Li-Fraumeni syndrome is an inherited human cancer predisposition condition that is generally caused by heterozygous mutations in *p53*.

Deficiencies in NHEJ also lead to an increased risk of cancer with enhanced chromosomal instability. *Artemis* is mutated in a subset of human SCID (severe combined immunodeficiency) patients, with the condition referred to as RS-SCID.<sup>36)</sup> Such patients with hypomorphic mutations in the *Artemis* gene display hypersensitivity to ionizing radiation and have been found to develop thymic lymphomas.<sup>37)</sup> Cells from *Artemis* knockout mice exhibit significantly higher levels of spontaneous chromosomal aberrations such as chromosomal fusions and detached centromeres. Moreover, cells from patients with inherited hypomorphic mutations in *DNA ligase IV* are hyperradiosensitive, impaired in DSB repair, and display significantly elevated chromosomal breaks after ionizing radiation.<sup>38)</sup> The deficiency of *DNA ligase IV* functions has been linked to predisposition to multiple myeloma and leukemia.<sup>39)</sup> Since checkpoints serve to prevent cells with an excessive level of damage from entering mitosis, loss of a checkpoint can enhance chromosomal aberrations and the risk of cancer. *CHK1* haploinsufficiency results in three distinct phenotypes that can contribute to tumorigenesis: inappropriate S-phase entry, accumulation of DNA damage during replication, and failure to restrain mitotic entry.<sup>40)</sup> Homozygous inactivation of *CHK2* does not cause spontaneous development of tumors; however, a lack of *CHK2* enhances skin tumorigenesis induced by carcinogen exposure. Inherited mutations in one allele of *CHK2* have been found in some families with extremely cancer-prone Li-Fraumeni-like syndromes that do not carry mutations in *p53*.<sup>41)</sup>

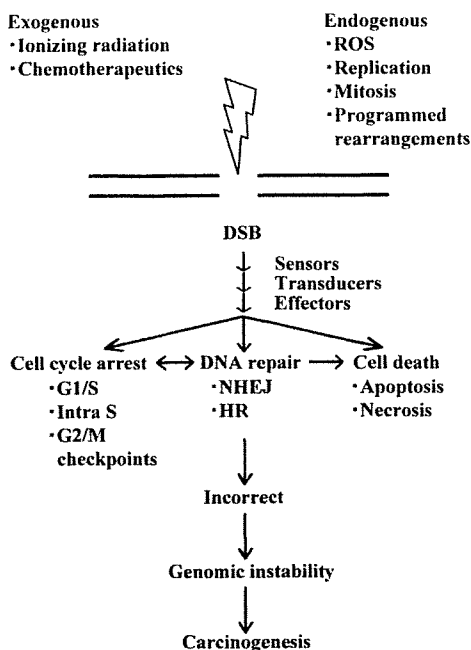


Fig. 1. General organization of the DNA-damage response pathway. DNA double-strand breaks (DSBs) result from exogenous stimuli such as ionizing radiation and also from various cellular processes including DNA replication and V(D)J recombination. Cells have evolved protective responses to cope with the constant attack on their DNA and to maintain genome stability. The presence of DSBs is recognized by a sensor, which transmits the signal to a series of downstream effector molecules through a transduction cascade to activate signaling mechanisms for cell cycle arrest, DNA repair or cell death. Extensive DNA damage may result in cell death, which is subdivided into two modes based on morphological criteria: necrosis or apoptosis. Necrosis is a passive form of cell death, and apoptosis is a form of highly regulated cell death. An alternative protective mechanism is provided by the combination of cell-cycle checkpoints and DNA-repair. Checkpoint arrest after DNA damage allows additional time for DNA repair to occur before cell cycle progression and this can prevent proliferation of severely damaged cells. DNA repair then restores the integrity of the genome during cell cycle arrest. There are two major pathways for repair of DSBs: non-homologous end joining (NHEJ) and homologous recombination (HR). NHEJ rejoins the two DNA ends in a sequence-independent fashion, but is the more error-prone mechanism. HR uses an undamaged sister homolog as a template, thereby providing an error-free process. The fidelity of repair is of great importance to the fate of the cell. Inaccurate repair leads to genomic instability via mutations and chromosomal aberrations, which in turn contribute to carcinogenesis.

## §6. DNA-damage-induced cell death

DNA-damage-induced cell death is classified into two types: interphase cell death and reproductive cell death.<sup>42)</sup> Interphase cell death is defined as 'cell death before reaching the first mitosis' and is often observed in thymocytes and lymphoid cells

after treatment with DNA-damaging agents. In contrast, reproductive cell death occurs after one or more divisions, and this process seems to be related to DNA-damage-induced chromosomal aberrations. Reproductive cell death often occurs following high doses of irradiation. DNA-damage-induced cell death is also classified into two modes by morphological criteria: apoptosis and necrosis.<sup>43)</sup> Apoptosis is a form of controlled cell death that has come to be used synonymously with the phrase 'programmed cell death'. Apoptosis is an important process in tissue homeostasis, and cells also undergo apoptosis in response to a variety of stimuli, including DNA damage, cytotoxic agents, and ligand binding.<sup>44)–47)</sup> The morphological features of apoptosis include nuclear condensation and fragmentation, cleavage of chromosomal DNA into internucleosomal fragments, loss of mitochondrial membrane potential, membrane blebbing, and cell shrinkage and disassembly into membrane-enclosed vesicles referred to as apoptotic bodies.<sup>48)</sup> Apoptotic bodies are recognized and removed by phagocytic cells, thus resulting in deletion of dying cells. Moreover, the execution of apoptosis requires energy in the form of adenosine triphosphate (ATP). In contrast, necrosis is thought of as a passive form of cell death and is usually considered to be unregulated. Necrosis is the end result of a bioenergetic catastrophe with ATP depletion and is initiated mainly by toxic insults or physical damage.<sup>49)</sup> A classical positive definition of necrosis based on morphological criteria includes early plasma membrane rupture and dilatation of cytoplasmic organelles, in particular mitochondria, resulting in release of cellular contents and proinflammatory molecules that induce inflammation. In necrosis, changes in nuclear morphology such as chromatin condensation and nuclear DNA fragmentation are not observed. The type of cell death that occurs depends on cell types, kinds of stimuli, oncogene expression, and the extent of damage.

### §7. Mitochondrial function in cell death

Mitochondria are often referred to as the powerhouse of the cell, because their function is to supply ATP produced by the respiratory chain in the mitochondrial inner membrane as a source of energy. However, in the last 10 years it has become increasingly clear that mitochondria play a central role in processes that lead to cell death.<sup>50)</sup> Exposure of cells to toxic agents induces various forms of mitochondrial damage and dysfunction, such as mitochondrial membrane permeabilization (MMP), loss of mitochondrial membrane potential, and release of apoptosis-promoting factors including cytochrome *c*.<sup>51)</sup> DNA DSBs induces the translocation of histone H1.2 from the nucleus into the cytosol, where histone H1.2 induces the release of cytochrome *c* from mitochondria.<sup>52)</sup> Cytochrome *c* normally functions as part of the respiratory chain to maintain the mitochondrial transmembrane potential, but when released into the cytosol it becomes a critical component of the apoptosis execution machinery. MMP leads to disruption of mitochondrial structure and function: outer MMP leads to leakage of intermembrane proteins from mitochondria and inner MMP is linked to bioenergetic failure caused by loss of the mitochondrial transmembrane potential. Such damage is generally considered to be the point of no return in programmed cell death.

### §8. Execution of apoptosis by caspases

Cells that harbor unrepaired DNA damage are removed from the population by apoptosis. Apoptotic cell death is not merely a result of inactivation of the genome, but rather is based on a series of complex enzymatic reactions in proteolytic cascades. Genetic studies of developmental programmed cell death in *C. elegans* have demonstrated that Ced-3 cysteine protease is required for execution of cell death.<sup>53)</sup> This then led to identification of its mammalian homolog, interleukin-1 $\beta$ -converting enzyme-like protease (ICE). Currently, at least 14 mammalian homologs of *ced-3* have been identified. These proteases are now termed caspases (cysteinyl aspartate-specific proteinase),<sup>54)</sup> and each contains a conserved QACXG pentapeptide surrounding the active site cysteine.<sup>55)</sup> In addition to their sequence similarity to Ced-3, the members of the caspase family of proteases have several unifying characteristics. Caspases are constitutively expressed as an inactive proenzyme composed of a variable-length amino-terminal prodomain, a large subunit, and a small subunit. Activation of caspases requires proteolytic processing of the proenzyme at specific aspartate residues, thereby resulting in removal of the prodomain and formation of a heterodimer containing one large and one small subunit.<sup>55)</sup> The active caspase is a tetramer composed of two such heterodimers. Caspases are classified into two types: initiator and effector caspases. Enzymatic activation of initiator caspases such as Caspase-8 and -9 leads to proteolytic activation of downstream effector caspases such as Caspase-3, -6 and -7. In particular, irradiation or DNA-damaging agents preferably activate Caspase-9 as an initiator caspase, and a deficiency of Caspase-9 results in resistance to apoptosis induced by radiation or DNA damage.<sup>56)</sup> The release of cytochrome *c* from mitochondria into the cytosol acts as a trigger for formation of the Caspase-9/Apoptotic protease activating factor-1 (Apaf-1)/cytochrome *c* complex (referred to as the apoptosome) in the presence of dATP or ATP, leading to initiation of the Caspase-9 cascade. Apaf-1 is the mammalian homolog of *C. elegans* Ced-4, and Ced-3-induced cell death requires the function of Ced-4.<sup>57)</sup> In contrast, Caspase-8 is an initiator caspase of death receptor-mediated apoptosis. Caspase-8 contains an N-terminus with a FADD (Fas-associating protein with Death Domain)-like death effector domain and provides a direct link between cell death receptors and caspases. Activated caspases precipitate an irreversible commitment to apoptotic cell death by cleaving a number of substrates, including activating caspases themselves through cleavage at an aspartate at the carboxy terminus (the P1 site). Caspases cleave a variety of important cellular proteins, such as apoptosis regulators and DNA repair proteins, leading to loss of function. At present, almost 300 proteins have been identified as substrates of caspases.<sup>58),59)</sup> For example, cleavage of the DNA-dependent protein kinase catalytic subunit (DNA-PK) and poly(ADP-ribose) polymerase (PARP) results in a loss of catalytic activity, and caspase-mediated cleavage of BCL-2 and BCL-X<sub>L</sub> abrogates their anti-apoptotic activities. Moreover, caspases are required for some morphological changes of cells undergoing apoptosis. For example, cleavage of the nuclear envelope protein Lamin results in nuclear lamina disassembly. In host defense, caspases serve to transmit

and amplify death signals through a proteolytic cascade.

### §9. BCL-2 related proteins: regulators of apoptosis

BCL-2 proteins are evolutionarily conserved regulators of apoptosis.<sup>60)</sup> More than 30 members of the BCL-2 family have been identified. Proteins of this family include both anti-apoptotic and pro-apoptotic members and directly regulate the release of mitochondrial apoptotic factors. The best-characterized anti-apoptotic proteins, BCL-2 and BCL- $X_L$ , which are composed of four BH (BCL-2-homology) domains, prevent interaction and activation of proapoptotic members of the family, and thereby inhibit release of cytochrome *c* and subsequent caspase activation. Pro-apoptotic members are divided into multidomain pro-apoptotic BCL-2 proteins such as BAX and BAK, which lack the BH4 domain necessary for an inhibitory effect on apoptosis, and BH3-only proteins such as BID, BAD, PUMA, NOXA, BIM and BMF, which share homology with the BCL-2 family only in the BH-3 domain.<sup>61)</sup> These multidomain- and BH3-only proteins function co-operatively to promote apoptosis. In unstimulated cells, BAX exists as a monomer either freely in the cytosol or loosely attached to the outer mitochondrial membrane. Activator BH-3-only proteins (BIM and BID) are widely thought to stimulate a conformational change of BAX into an active form, its translocation to mitochondria, and the BAX/BAK interaction, thereby promoting mitochondrial membrane permeabilization (MMP). Although a deficiency in either BAX or BAK does not affect apoptosis, a deficiency in both results in resistance to apoptosis induced by radiation or DNA-damaging agents.<sup>62)</sup> Moreover, mice that are genetically deficient in either BH-3-only protein PUMA or NOXA show resistance to apoptosis.<sup>63),64)</sup> These results indicate that BH-3-only proteins promote BAX/BAK interaction, leading to membrane permeabilization of mitochondria and the release of cytochrome *c*, thereby inducing apoptosis.

### §10. Signal transduction in DNA-damage-induced apoptosis

Unless DSBs are correctly repaired, they trigger apoptosis. However, it is unclear how signaling is transmitted downstream to executors of apoptosis. Recently, considerable information has been accumulated on signal transduction mechanisms in DNA-damaged-induced apoptosis. One of the most important signal transducers is the DSB sensor molecule, ATM. Once activated, ATM phosphorylates various downstream substrates such as checkpoint kinases CHK1/CHK2 and p53, a central player in DNA-damaged-induced apoptosis in mammalian cells. The p53 protein is a tumor suppressor gene product that acts primarily as a transcription factor. In response to DNA damage, p53 induces transcriptional activation of pro-apoptotic factors such as BAX, PUMA, NOXA, BID, APAF-1 and FAS,<sup>65)</sup> and silencing of each of these genes induces partial resistance to p53-dependent apoptosis.

The amount of p53 protein present in unstressed cells is low and this is determined by its rate of degradation, rather than by translation from mRNA. While the exact mechanism of stabilization of p53 remains unclear, it involves a series of post-translational modifications of both p53 and the ubiquitin ligase MDM2. Degradation

of p53 is ensured by auto-regulatory negative feedback loops in the form of ubiquitin ligases, which ubiquitinate substrates prior to proteasome degradation.<sup>66)</sup> MDM2 regulates the activity of p53 by ubiquitination, leading to transport of p53 to the cytoplasm and proteasomal degradation. DNA damage induces post-translational modifications of p53 and MDM2, including phosphorylation, which facilitates dissociation of the MDM2-p53 complex, and it has been suggested that phosphorylation of p53 protects it from degradation and enhances its stability and affinity for sequence-specific DNA binding sites. The most frequently described phosphorylation is at Ser 15, and this reaction is in part mediated by ATM and occurs rapidly in response to DSBs.<sup>67)</sup> Indeed, ATM mediates phosphorylation at multiple sites on p53 in response to ionizing radiation. On the other hand, MDM2 also self-ubiquitinates, and exposure of cells to DNA-damaging agents causes an increase in self-ubiquitination and degradation, thus favoring p53 stabilization. It is believed that at low levels of DSBs only a minor function of p53 that is sufficient to induce transcription of the *p21* gene is activated, causing cell cycle arrest. With higher levels of DSBs, p53 accumulates above a particular threshold and can activate transcription of pro-apoptotic genes such as *PUMA* or *NOXA*.<sup>61)</sup> The cellular response to p53 depends on cell type, the expression level of p53, and the extent of phosphorylation of p53.

Irradiation also causes various toxic events such as ROS production, lipid peroxidation and lipid second messenger ceramide generation. These events often induce apoptosis via a p53-independent pathway. Proteins in the mitogen-activated protein kinase (MAPK) signal transduction pathway, which is a conserved cascade of protein kinases, are critical mediators of the response of cells to a variety of extracellular changes and are involved in survival and cell death.<sup>68),69)</sup> To date, various MAPK family molecules have been identified, and each is activated by a distinct signal and appears to be coupled to different biological responses. The c-Jun N-terminal kinase (JNK), a member of the MAPK family, is strongly activated by a wide variety of stimuli including irradiation, chemotherapeutic agents, ROS, and ceramide.<sup>70)</sup> In mammals, there are 3 *JNK* genes: *JNK1*, *JNK2* and *JNK3*. In response to apoptotic stimuli, JNKs phosphorylate and activate transcription factors such as c-Jun and ATF-2, which induce transcription of target genes that include pro-apoptotic genes. JNK deficiency results in resistance to apoptosis induced by radiation- or DNA-damaging agents, indicating that JNKs play an important role in DNA-damage-induced apoptosis.<sup>71)</sup> Also, a recent report demonstrated that activated JNKs are translocated to mitochondria and directly phosphorylate pro-apoptotic BCL-2 family proteins, leading to induction of apoptosis.<sup>72)</sup> These results indicate that JNKs regulate apoptosis in both a transcriptional- and a non-transcriptional-dependent manner.

## §11. Apoptosis and cancer

Apoptosis is a fundamental cellular homeostasis mechanism that ensures correct development and roles of multi-cellular organisms. The failure of correct execution of apoptosis causes various diseases, including cancer. During cancer development, modifications and imbalances may arise in the apoptotic machinery. For instance,

human papilloma viruses (HPV), which have been implicated in cervical cancer, produce an oncoprotein (E6) that binds and inactivates p53. Epstein-Barr virus (EBV), which is associated with some lymphomas, produces two proteins (BALF1 and BHRF1) that are similar to the anti-apoptotic protein BCL-2,<sup>73)</sup> and some melanoma cells avoid apoptosis by down-regulating expression of apoptotic protease activating factor-1, APAF-1.<sup>74)</sup> These imbalances in the apoptotic machinery prevent cells from executing apoptosis, which ultimately leads to inappropriate cell proliferation and malignant progression. Moreover, chromosomal instability or tumor incidence is enhanced in combination with a mutation in the tumor suppressor gene *p53* because of abrogation of cell cycle arrest or a reduced apoptosis rate. Indeed, Artemis knockout mice display only a minor elevated incidence of cancer, but this is increased dramatically in a p53 knockout background.<sup>75)</sup> Bi-allelic disruption of *BRCA1* in mouse results in embryonic lethality, whereas increased mammary and lymphoma carcinogenesis has been observed in combination with p53 disruption.<sup>76)</sup> These results indicate that DNA repair defects confer genomic instability, which is synergistic with checkpoint and/or apoptotic defects.

### Concluding remarks

Advances in genetics coupled with increased understanding of DNA damage responses have led to identification of several genetic disorders that are conferred by mutations in genes that function in damage-response pathways. These disorders and animal models have identified the physiological roles of genes and the links with other pathways, and provided insights into the association between defects in DNA damage responses and cancer. Further elucidation of DNA damage response mechanisms will have important implications in cancer management and treatment.

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## SHORT REPORT

### Functional evidence for Emel as a marker of cisplatin resistance

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The ability to predict cisplatin sensitivity in tumors has been expected to greatly improve the outcome of cancer therapy, because the drug is frequently used in a variety of tumors. Although ERCC1 and other repair proteins have been investigated as markers of cisplatin resistance, reliable markers are still needed. Here, we demonstrate that Emel levels can predict cisplatin sensitivity more accurately than ERCC1 or Rad51 levels in a variety of human cancer cell lines. Emel forms a heterodimeric protein complex with Mus81 and functions as a structure-specific endonuclease. Haploinsufficiency of *Emel* led to hypersensitivity to cisplatin in the colon cancer cell line HCT116. On the basis of this finding, we examined the relationships between levels of proteins involved in the repair of interstrand cross-links and cisplatin sensitivity in human tumor cell lines with a variety of origins. Although ERCC1, Rad51 and Mus81 levels correlated with sensitivity to some extent, the clearest correlation was observed with Emel. Tumors with low Emel levels were more sensitive to the drug than tumors with high levels. This suggests that the measurement of Emel in tumors may be more informative for cisplatin-based chemotherapy than that of the currently available markers.

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**Key words:** Emel; Mus81; ERCC1; cisplatin sensitivity; DNA repair

Cisplatin and its analogues are chemotherapeutic drugs used widely in cancer treatment.<sup>1</sup> However, although these drugs benefit some patients, other patients suffer from their toxicity, such as nephrotoxicity, without experiencing their benefits. To resolve such clinical problems, the identification of markers that can predict who might benefit and who might not has been awaited since the introduction of cytotoxic chemotherapy for the treatment of cancer.

Cisplatin forms interstrand cross-links (ICL), which stall the progression of replication forks during DNA replication.<sup>2,3</sup> The DNA adducts formed by cisplatin are converted to DNA double-strand breaks (DSB). Subsequently, a single-strand DNA on the broken strand across the ICL is incised, resulting in the release of the lesion from the strand. The gapped DNA is assumed to be filled in by translesional synthesis. After the ICL is excised, the lesion is repaired by homologous recombination.

The XPF-ERCC1 complex is involved in the repair of ICL by incising DNA near the lesion.<sup>4</sup> Cells deficient in *ERCC1* are, therefore, hypersensitive to ICL-inducing agents.<sup>5</sup> On the basis of this finding, the relationship between levels of ERCC1 and sensitivity to cisplatin in tumors has been extensively examined.<sup>6</sup> These studies led to a large-scale clinical study demonstrating that non-small-cell lung cancer (NSCLC) patients with low ERCC1 levels benefit from cisplatin-based adjuvant chemotherapy.<sup>7</sup> Thus, ERCC1 is regarded as a marker of cisplatin resistance in tumors. However, ERCC1 levels alone cannot accurately predict sensitivity.

Rad51 plays a central role in homologous recombination at early stages. Because cells deficient in homologous recombination are hypersensitive to cisplatin, Rad51, like ERCC1, has been investigated as a marker of cisplatin resistance.<sup>8</sup> Recent evidence suggests that Rad51 is a potential marker of cisplatin resistance in NSCLC.<sup>9</sup> Despite these findings, it is still difficult to predict cisplatin sensitivity satisfactorily.

The Mus81-Emel complex was shown to induce DSB near ICL via structure-specific endonuclease activity, suggesting that the

complex plays a role in the repair of ICL.<sup>10</sup> Consistent with this finding, murine normal cells deficient in *Mus81* or *Emel* were shown to be hypersensitive to mitomycin C (MMC) and cisplatin.<sup>11–13</sup> Furthermore, the haploinsufficiency of *Mus81* led to hypersensitivity to MMC and cisplatin but not to other DNA-damaging agents in the human colon cancer cell line HCT116.<sup>14</sup> Additionally, the haploinsufficiency of *Emel* led to hypersensitivity to MMC in the same cells.<sup>14</sup> These findings suggest that Mus81 and Emel may be the potential markers of cisplatin resistance in human tumors.

We show here that Emel is an appropriate marker of cisplatin resistance in human tumors. First, we confirmed that the haploinsufficiency of *Emel* led to hypersensitivity to cisplatin in HCT116 cells. Second, Emel protein levels were examined in a variety of human tumor cell lines. They were well correlated with Mus81 levels but not with ERCC1 or Rad51 levels. Third, cell survival assays revealed that Emel protein levels predicted cisplatin sensitivity most accurately. These observations indicate the promise of Emel measurement in human tumors for individualized cancer therapy.

#### Material and methods

##### Cell culture

AN3CA, Da145, HeLa, HepG2, LS180, MCF7, T47D and A549 cells were cultured in DMEM with 10% fetal calf serum (FCS). HCT116 and SkBr3 cells were cultured in McCoy's 5A medium with 10% FCS. DLD1, Jurkat, K562, U937, KCL22, H358 and H522 cells were cultured in RPMI with 10% FCS. HT1080 cells were cultured in MEM with 10% FCS. These tumor cells were obtained from the American Type Culture Collection. Telomerase-immortalized retinal pigmented epithelial (RPE) cells were cultured in DMEM/F12 with 10% FCS, 2 mM L-glutamine and 0.348% sodium bicarbonate. Telomerase-immortalized human mammary epithelial (HME) cells were cultured in MCDB 170 medium with 52 µg/ml bovine pituitary extract, 0.5 µg/ml hydrocortisone, 10 ng/ml hEGF and 5 µg/ml insulin. RPE and HME cells were purchased from Clontech.

##### Western blot analysis

Whole cell extracts were prepared in lysis buffer (50 mM Tris-HCl pH 7.5, 100 mM NaCl, 5 mM EDTA pH 8.0, 0.5% Nonidet P-40, 1 mM PMSF, 50 nM cantharidin, 5 nM microcystin and 2 µg/ml aprotinin). After SDS-PAGE, the proteins were transferred

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to PVDF filters. Non-specific binding sites were then blocked by immersing the filters in 5% non-fat dried milk, 0.1% Tween 20 in Tris-buffered saline for 60 min. The primary antibodies were anti-Eme1 (MTA31 7h2/1, Santa Cruz Biotechnology), anti-Mus81 (ab14387, Abcam), anti-ERCC1 (FL-297, Santa Cruz Biotechnology), anti-Rad51 (Ab-1, Calbiochem) and anti-Cdk2 (M2, Santa Cruz Biotechnology). Horseradish peroxidase-labeled antibodies

were used as secondary antibodies (GE Healthcare). Blots were detected using ECL reagents (GE Healthcare).

#### Northern blot analysis

Poly(A)<sup>+</sup> RNAs were isolated using PolyATtract mRNA isolation systems (Promega). Hybridization was performed overnight at 65°C in hybridization buffer (0.5 M NaPO<sub>4</sub> pH 7.2, 1 mM EDTA, 7% SDS and 1% bovine serum albumin), followed by washing 3 times for 30 min at 65°C in washing buffer (40 mM NaPO<sub>4</sub> pH 7.2, 1 mM EDTA and 1% SDS). Probes were amplified by PCR from cDNA derived from normal human cells. The full-length Eme1 cDNA was amplified using primers 5'-AGTTGAAA GAGTGGCGGGA-3' and 5'-CTCATCCCTGAGGGCTAGAA-3'. The glyceraldehydes 3-phosphate dehydrogenase (GAPDH) fragment was amplified using primers 5'-ACCACAGTCCATGC CATCAC-3' and 5'-TCCACCACCCTGTTGCTGTA-3'. BAS-2500 (FUJIFILM) was used for quantitative analysis.

#### Sensitivity to cisplatin

Cells were treated with cisplatin for 1 hr, washed with phosphate-buffered saline 3 times and plated at a density of 2, 4 or 6 × 10<sup>5</sup> cells per 60 mm dish. The numbers of colonies were counted after 7 to 16 days of culture. D37 values were determined from a least squares regression fit to the linear portion of the dose response curve.

#### Results and discussion

##### *Eme1* haploinsufficiency leads to hypersensitivity to cisplatin in HCT116 cells

We previously showed increased sensitivity to MMC in *Eme1*<sup>+/-</sup> HCT116 cells.<sup>14</sup> To investigate Eme1's role in cisplatin sensitivity, the same cells were treated with the drug. Western blot analysis revealed that the Eme1 level in *Eme1*<sup>+/-</sup> cells was about half the wild-type level (Fig. 1a). The mutant cells exhibited mild hypersensitivity to cisplatin (2-fold), suggesting that Eme1 is involved in the regulation of cisplatin sensitivity (Fig. 1b). This observation is consistent with the role of the Mus81-Eme1 endonuclease complex in the repair of ICL.

##### Levels of proteins involved in the repair of ICL in human cancer cell lines

To investigate Eme1's role in the regulation of cisplatin sensitivity, levels of the protein were examined in 18 human tumor cell lines. The levels in RPE and HME cells were defined as moderate. Only 6 tumors exhibited moderate Eme1 levels, indicating that the levels varied among cell lines. High Eme1 levels were found in 7

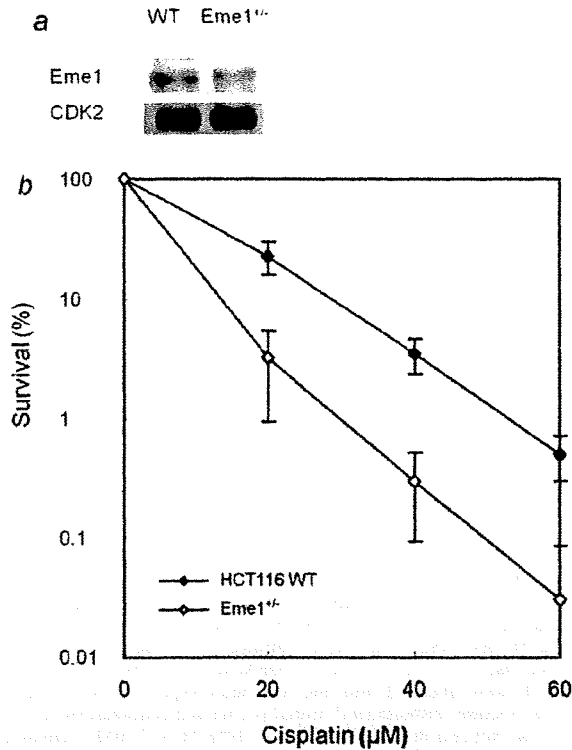


FIGURE 1 – Haploinsufficiency of *Eme1* leads to hypersensitivity to cisplatin in HCT116 cells. (a) Western blot analysis confirming *Eme1* levels. Western blotting for CDK2 was carried out to confirm equal loading. (b) Sensitivity to cisplatin in HCT116 cells. Values represent means ± standard error for 3 independent experiments.

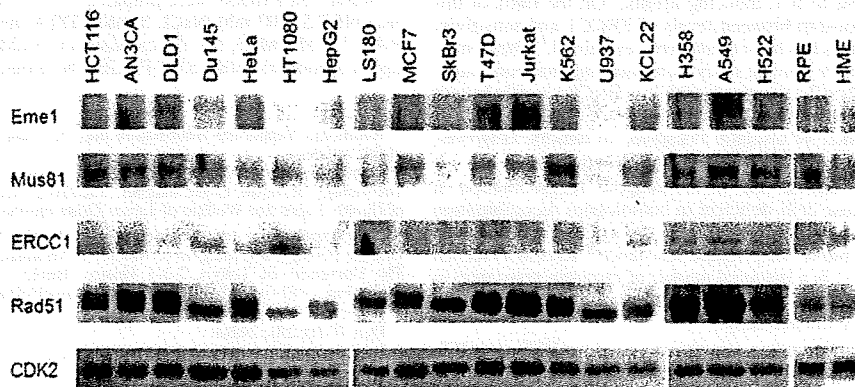


FIGURE 2 – Expression levels of proteins involved in the repair of ICL in human cancer cell lines. Western blot analysis shows the expression of Eme1, Mus81, ERCC1 and Rad51.

TABLE 1 - EXPRESSION LEVELS OF PROTEINS INVOLVED IN THE REPAIR OF ICL AND D37 VALUES OF SENSITIVITY TO CISPLATIN IN HUMAN CANCER CELL LINES

Cell line	Origin	Eme1	Mus81	ERCC1	Rad51	D37 (µM)
HCT116	Colorectal carcinoma	→	→	→	↑	16.6
AN3CA	Endometrial carcinoma	↑	↑	↓	↑	
DLD1	Colorectal carcinoma	↑	↑	↓	↑	48.2
Du145	Prostatic carcinoma	→	→	↓	↓	
HeLa	Cervical carcinoma	→	→	↓	↓	29.9
HT1080	Fibrosarcoma	↓	→	→	↓	18.5
HepG2	Hepatocellular carcinoma	↓	↓	↓	↓	
LS180	Colorectal carcinoma	↓	↓	↓	↓	17.0
MCF7	Breast carcinoma	↓	↓	↓	↓	
SKBr3	Breast carcinoma	↓	↓	↓	↓	12.9
T47D	Breast carcinoma	↑	↓	→	↑	43.1
Jurkat	Acute T cell leukemia	↑	↓	→	↓	
K562	Chronic myelogenous leukemia	→	↑	→	↑	
U937	Histiocytic lymphoma	↓	↓	↓	↓	
KCL22	Chronic myelogenous leukemia	→	↓	↓	↓	
H358	Lung carcinoma	↑	↓	→	↑	15.0
A549	Lung carcinoma	↑	↑	→	↓	31.6
H522	Lung carcinoma	→	→	→	→	13.6

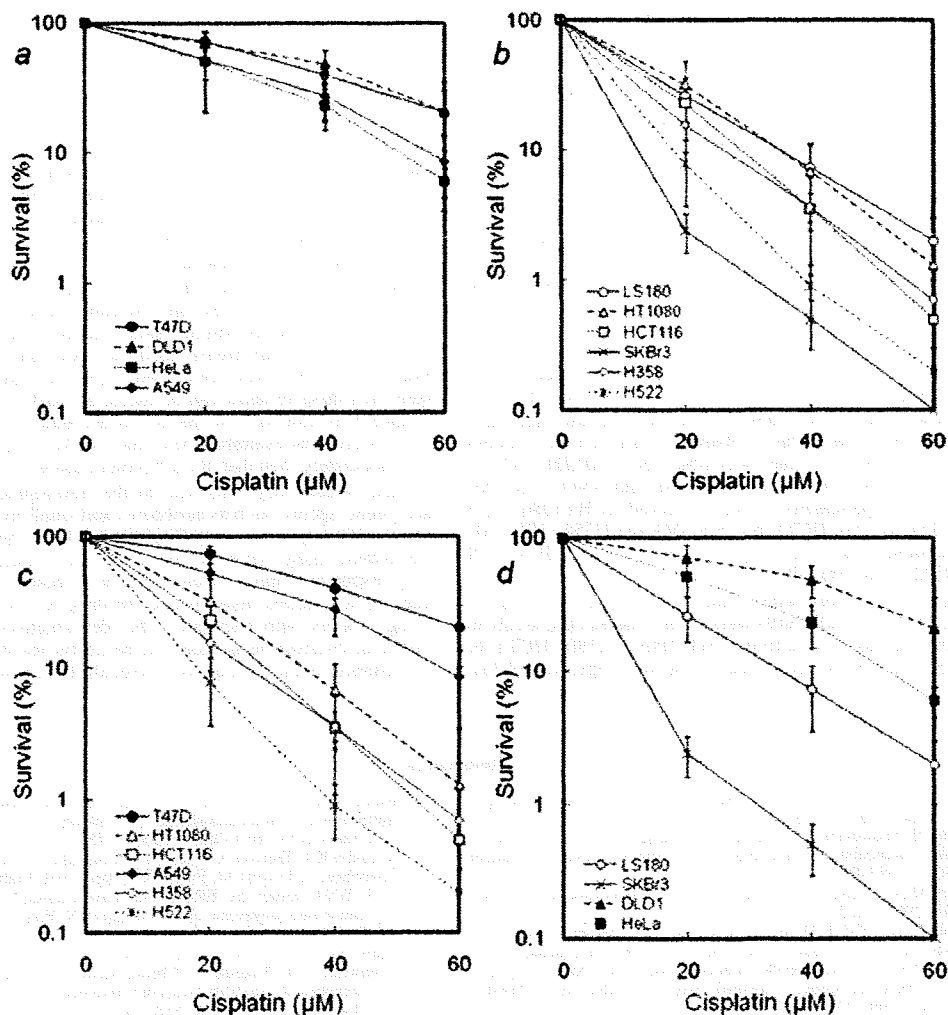


FIGURE 3 - Eme1 levels correlate well with cisplatin sensitivity. Values represent means ± standard error for 3 independent experiments. (a) Cisplatin sensitivity in cells with high Eme1 levels. (b) Cisplatin sensitivity in cells with moderate or low Eme1 levels. (c) Cisplatin sensitivity in cells with moderate ERCC1 levels. (d) Cisplatin sensitivity in cells with low ERCC1 levels.

cell lines and low levels in 5 cell lines (Fig. 2). We also examined whether Emel protein levels were affected by cisplatin treatment. Emel protein levels were not changed after cisplatin administration in DLD1 cells, indicating that cisplatin does not affect Emel expression levels (Supp. Info. Fig. 1).

Because, Emel forms a heterodimeric complex with Mus81, Emel levels are likely to correlate with Mus81 levels. Clear correlations were observed in 11 cell lines and less clear correlations were seen in the other 7 lines. It is of interest that the expression of both Emel and Mus81 was lost in U937 cells. Moreover, both were barely expressed in HepG2, LS180 and SkBr3 cells.

ERCC1 has been extensively studied as a marker of cisplatin sensitivity.<sup>6-8</sup> To investigate the feasibility of Emel levels serving as a marker, it is very important to compare the levels of Emel with those of ERCC1. Moderate ERCC1 levels were observed in 11 cell lines, but the levels were low in the other 7 lines (Fig. 2). In 8 cell lines, ERCC1 levels were correlated with both Mus81 and Emel levels (Table I). However, a negative or no correlation was observed in several tumors. In DLD1 cells, ERCC1 expression was hardly detectable, whereas Emel and Mus81 were highly expressed. In HeLa cells, ERCC1 expression was low, whereas that of Emel was high. In HT1080 cells, ERCC1 and Mus81 levels were moderate and that of Emel was low. In AN3CA, MCF7, T47D and Jurkat cells, Emel levels were high and ERCC1 and Mus81 levels were moderate. In K562 cells, ERCC1 and Emel were moderate and Mus81 was high. In KCL22 cells, ERCC1 was low whereas Emel and Mus81 were moderate. In A549 cells, Emel and Mus81 were high and ERCC1 was moderate. Thus, in more than half of the cell lines examined, the expression levels of the 3 proteins were not correlated.

In addition to ERCC1, Rad51 has been investigated as a marker of cisplatin sensitivity.<sup>9</sup> Unlike the other 3 proteins, Rad51 was overexpressed in 11 cell lines and only 2 samples showed low expression. There was no apparent correlation between Rad51 and any of the other proteins.

#### *Emel protein levels correlate well with cisplatin sensitivity*

To investigate the relationship between Emel and ERCC1 levels and cisplatin sensitivity, we chose 10 cell lines based on the levels of these proteins and examined cell survival after treatment with the drug (Fig. 3 and Table I). Reduced sensitivity to cisplatin represented by high *D37* values was observed in DLD1 (*D37* = 48.2  $\mu$ M), T47D (43.1  $\mu$ M), HeLa (29.9  $\mu$ M) and A549 (31.6  $\mu$ M) cells. Moderate hypersensitivity was observed in HT1080 (18.5  $\mu$ M), LS180 (17.0  $\mu$ M), HCT116 (16.6  $\mu$ M) and H358 (15.0  $\mu$ M) cells. Remarkable hypersensitivity was observed in SkBr3 (12.9  $\mu$ M) and H522 (13.6  $\mu$ M) cells.

Emel levels in tumors with reduced sensitivity to cisplatin (T47D, DLD1, HeLa and A549) were high, whereas the levels in tumors with increased sensitivity (HT1080, LS180, HCT116, SkBr3, H358 and H522) were moderate or low (Figs. 3a and 3b).

These results indicate that Emel levels correlate well with cisplatin resistance. Good correlation was also observed with Mus81. However, T47D and HeLa cells were exceptions, as they were resistant to cisplatin with no increase in Mus81 levels. In addition, we examined Emel mRNA levels by Northern blot analysis. In HCT116, HT1080, LS180, SkBr3 and T47D cells, Emel mRNA levels correlated with the protein levels (Supp. Info. Fig. 2). However, a negative or no correlation was observed in other tumors. In DLD1 cells, the protein level was high, whereas the mRNA level was low. In H358 and H522 cells, the protein levels were moderate, whereas the mRNA levels were high. These findings suggest that the Emel levels are regulated at post-transcriptional levels in some tumors. Similarly, no obvious relationship between ERCC1 protein levels and mRNA levels was reported.<sup>15</sup>

In tumors with moderate ERCC1 levels, cisplatin resistance was observed in T47D cells but not in HT1080 or HCT116 cells (Fig. 3c). In tumors with low ERCC1 levels, hypersensitivity to cisplatin was observed in SkBr3 and LS180 cells but not in DLD1 or HeLa cells (Fig. 3d). This observation indicates that the correlation between ERCC1 and cisplatin sensitivity was not so clear. Tumors with high Rad51 levels were resistant to cisplatin, except for HCT116 and H358 cells, which were moderately sensitive. Tumors with moderate or low Rad51 levels (LS180, SkBr3, HT1080 and H522) were hypersensitive to cisplatin.

These observations indicate that cisplatin sensitivity correlated well with Emel levels and to a lesser extent with Rad51 and Mus81 levels. The Mus81-Emel endonuclease complex plays a role in the repair of ICL upstream of the XPF-ERCC1 complex.<sup>10</sup> This epistasis may explain the superiority of Emel and Mus81 as markers of cisplatin sensitivity. Alternatively, other proteins might complement the reduced ERCC1 activity levels observed in tumors with cisplatin resistance.

ERCC1 levels were altered in primary tumor samples, including NSCLC, colorectal, gastric and ovarian cancers.<sup>16</sup> Also, Rad51 levels were altered in primary tumor samples including NSCLC, pancreatic, colorectal, breast, head and neck cancers.<sup>16</sup> Given that Emel and Mus81 levels are altered in primary tumors, it is highly likely that these proteins can be useful as markers of cisplatin resistance. It is also possible that the measurement of a combination of the 4 proteins examined here may predict cisplatin sensitivity more accurately than that of each protein alone.

These results may also lead to the development of potential therapeutic agents, such as antibodies and small molecules that inhibit the ICL repair proteins. Cisplatin has been shown to be effective against many types of cancers. All too often, however, initially responsive tumors recur, usually without sensitivity to the drug. Such acquired resistance to the drug is a major obstacle to curing cancers with cisplatin.<sup>1</sup> The identification of Emel as a marker of cisplatin resistance will be useful for the development of resistance modulators or new molecularly targeted drugs.

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## Systematic collection of tissue specimens and molecular pathological analysis of newly diagnosed solid cancers among atomic bomb survivors

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**Abstract.** To elucidate precise mechanism of radiation-induced cancers, it is important to analyze the genetic and epigenetic alterations in cancer cases among atomic bomb (A-bomb) survivors. Stored tissue samples are damaged in a certain extent, and fresh tissue samples are suitable for molecular analyses. We have established a network system comprising major hospitals in Hiroshima area, Hiroshima University and Radiation Effects Research Foundation (RERF) to conduct systematic collection and storage of fresh tissue samples of newly diagnosed solid cancers among A-bomb survivors. The project is carried out as “The Ministry of Health, Labor and Welfare of Japan Group Study on A-bomb Diseases.” The subjects of this study are RERF Life Span Study (LSS) cohort members (>0 dose) who have been diagnosed with cancer of the stomach, colorectum, esophagus, breast, or lung, and have undergone surgery. As controls, LSS cohort members (=0 dose) and non-LSS members matched by sex, age, and medical institution are selected. Using the tissue samples thus collected, we are searching for genetic and epigenetic events involved in the development of solid cancers. We have developed custom-made 3-dimension oligo-DNA microarray with a total of 207 genes including those related to DNA damage response and repair. We identified 10 genes whose expression levels in the tumors were significantly different between A-bomb survivors (LSS: >0 dose) and control subjects. They might be candidate genes which participate in radiation-induced carcinogenesis and possible genetic markers for radiation-induced solid cancer. © 2006 Elsevier B.V. All rights reserved.

*Keywords:* Systematic tissue collection; Solid cancer; Atomic bomb survivor; Molecular pathology

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## 1. Introduction

More than 60 years have passed since A-bombs were dropped on Hiroshima and Nagasaki. A prospective cohort study (Life Span Study: LSS) of 120,000 subjects has been conducted by Radiation Effects Research Foundation (RERF) [1]. With regard to the temporal pattern of excess cancer risks due to radiation exposure in the LSS, the excess relative risks of solid cancers including breast, colon, lung, and stomach have a long latency period, and the excess relative risks of solid cancers among those exposed when young remain high [1]. Although about half of the LSS members have already died, cancer mortality in the LSS has continued to increase with the aging of the population, and will reach its peak in 2015. Previous studies to elucidate mechanism of radiation-induced carcinogenesis mainly used formalin-fixed, paraffin-embedded archival tissues, which are not suitable for molecular analyses because of degradation of DNA, RNA and protein [2,3]. Therefore, now is the time for fresh tissue samples of these newly developed cancer cases to be systematically collected. The purpose of this project is to conduct systematic collection and storage of tissue specimens of newly diagnosed solid cancers among A-bomb survivors by constructing a network system comprising major hospitals in Hiroshima area, Hiroshima University and RERF, and to determine mechanisms of radiation-induced cancers by molecular methods. The project is carried out as “The Ministry of Health, Labor and Welfare of Japan (MHLW) Group Study on A-bomb Diseases.” Here, we describe the method of systematic collection of fresh tissue samples and introduces some of the results of molecular analyses on the collected samples.

## 2. Systematic collection of tissue specimens of newly diagnosed solid cancers among A-bomb survivors

### 2.1. Subjects

The subjects of this study are LSS cohort members ( $>0$  dose) who have been diagnosed with cancer of the stomach, colorectum, esophagus, breast, or lung, and have undergone surgery or endoscopic resection. As controls, LSS cohort members ( $=0$  dose) and non-LSS members matched by sex, age, and medical institution are selected.

### 2.2. Collection and storage of tissues

At 7 major hospitals in Hiroshima Prefecture, the MHLW Study Group collects tissue specimens of patients in the LSS cohort and those not in the LSS who have been newly diagnosed with cancer. These 7 hospitals are expected to handle about 70% of newly diagnosed cancer cases in Hiroshima city from the LSS cohort, although obtained surgical specimens are further reduced for some cancers such as lung cancer.

The procedures of acquiring informed consent from study patients and collecting tissue specimens are as follows (Fig. 1):

1. Members of the MHLW Study Group in hospitals ask patients who are candidates for the study, (i.e., birth date earlier than August 6, 1945 and with cancer sites

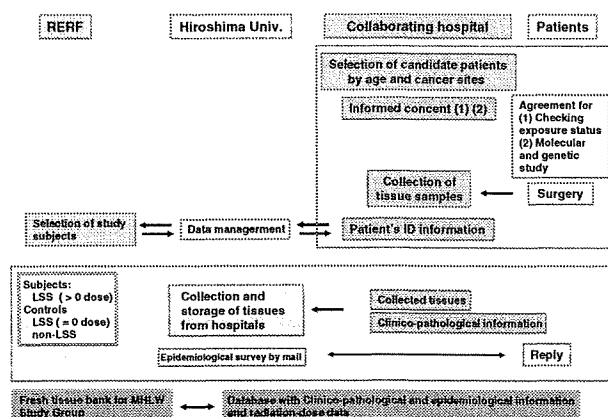


Fig. 1. Working chart for systematic collection of tissue specimens of newly diagnosed solid cancer.

described above) whether they agree to provide their name and other ID information to RERF for the purpose of selecting study patients for the MHLW Study Group (the first informed consent). At the same time, patients are asked whether they agree to the use of their tissue specimens for molecular study, using a standardized form of informed consent prepared by the MHLW Study Group (the second informed consent).

2. The lists of those candidates with agreement are sent to RERF through Department of Molecular Pathology, Hiroshima University Graduate School of Biomedical Sciences.
3. RERF then selects study patients on the basis of radiation-exposure data, and reports the selected study patients to members of the MHLW Study Group through the Department of Molecular Pathology, without any information on radiation-exposure data or LSS cohort status attached.
4. The tissue samples of those patients who give the second informed consent are collected at the hospitals, delivered to the Department of Molecular Pathology, and stored at  $-80^{\circ}\text{C}$  under strict privacy protection.
5. A mail survey on lifestyle of study patients will be conducted using a standardized self-administered questionnaire and the lifestyle data will be stored at the Department of Molecular Pathology.
6. The Department of Molecular Pathology asks RERF for the radiation-exposure data (categorized dose levels of target organs or adjacent ones) of study patients. RERF provides these data to the Department of Molecular Pathology upon their request, on the basis of the agreement of study patients.
7. Categorized dose levels of radiation-exposure, clinical and epidemiological data of study patients are collected and stored at the Department of Molecular Pathology.
8. The collected tissue samples are anonymized (linkable) and provided by the Department of Molecular Pathology to investigators for molecular analyses.

Up to now, about 500 frozen tissue samples have been collected and stored.

### 2.3. Ethical issues

In accordance with the ETHICAL GUIDELINES FOR HUMAN GENOME/GENE RESEARCH enacted by the Japanese government, tissue specimens are collected and used, based on the approval of the Ethical Review Committee of the Hiroshima University School of Medicine and of ethical review committees of collaborating organizations.

### 3. Collection of archival tissue specimens of solid cancers among A-bomb survivors

Archival tissue specimens which were surgically resected previously, formalin-fixed paraffin-embedded and stored in the collaborating hospitals are systematically collected. Principally, under the approval from ethical review committee of each hospital, pathologist members provide name and other ID information of candidates for the MHLW Group Study, (i.e., birth date earlier than August 6, 1945 and with cancer sites) to the Department of Molecular Pathology for the purpose of selecting study patients. Selection in RERF is the same as Procedures 2 and 3 described above. The collected tissue specimens with information of radiation-exposure and clinical data are anonymized (unlinkable) and provided to investigators for molecular analysis. Up to now, about 500 archival tissue samples have been collected and stored.

### 4. Molecular pathological analysis of newly diagnosed solid cancers among A-bomb survivors

Using tissue specimens thus collected, molecular analyses are subjected to be performed; those include global analysis of gene expression and genomic aberration, epigenetic deregulation, genetic abnormalities and so on. Representative research projects are as follows: Microsatellite instability (MSI) of colon cancer among A-bomb survivors; Loss of heterozygosity (LOH), mutations in p53 and K-ras, and methylation of p16 and tumor

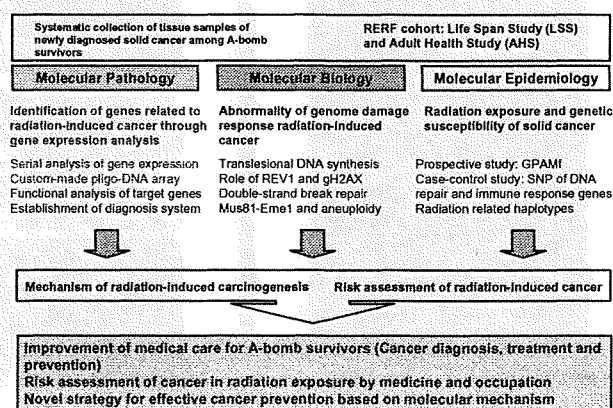


Fig. 2. Research strategy of Group Study on "Molecular analyses of radiation-induced carcinogenesis and their application to diagnosis and prevention" of MHLW Research Grant "Third Term Comprehensive 10-year Strategy for Cancer Control."

suppressor genes at 3p in lung cancer among A-bomb survivors; LOH and MSI of breast cancer among A-bomb survivors; Global analysis of gene expression in esophago-gastric cancer among A-bomb survivors; and Expression of molecules related to DNA damage response in gastric cancer among A-bomb survivors. The molecular analyses are in part carried out as Group Study on “Molecular analyses of radiation-induced carcinogenesis and their application to diagnosis and prevention” of MHLW Research Grant “Third Term Comprehensive 10-year Strategy for Cancer Control” (Fig. 2).

Genome-wide study of gene expression profile is of great advantage to uncover precise mechanism of radiation-induced carcinogenesis and identify novel genetic markers of radiation-induced cancer. Serial Analysis of Gene Expression (SAGE) is a powerful technique to allow global analysis of gene expression in a quantitative manner [4]. We have made the largest SAGE libraries of gastric cancer in the world and sequence data are publicly available at SAGEmap (GEO accession number GSE 545, SAGE Hiroshima gastric cancer tissue) [5]. Then, we have developed custom-made 3-dimension oligo-DNA microarray in collaboration with Mitsubishi Rayon Co., Ltd. with a total of 207 genes including specific genes identified by our SAGE analysis, known genes related to development and progression of cancer, and genes related to DNA damage response and repair such as base excision repair, homologous recombination, double-strand breaks repair and translesional DNA synthesis. Using this custom-made microarray, we examined the gene expression profile on freshly collected tissue samples of gastric cancers among

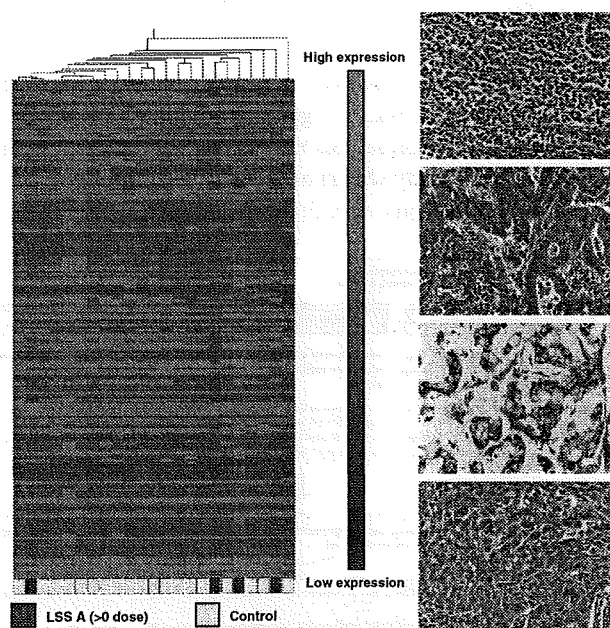


Fig. 3. Gene expression profile of gastric cancers among A-bomb survivors and controls using freshly collected tissue samples. Gene expression profiles were examined on 23 gastric cancer tissues including 4 cases of A-bomb survivor using custom-made 3-dimension oligo-DNA microarray after T7-based RNA amplification. Histological features of 4 gastric cancers among A-bomb survivor are shown.