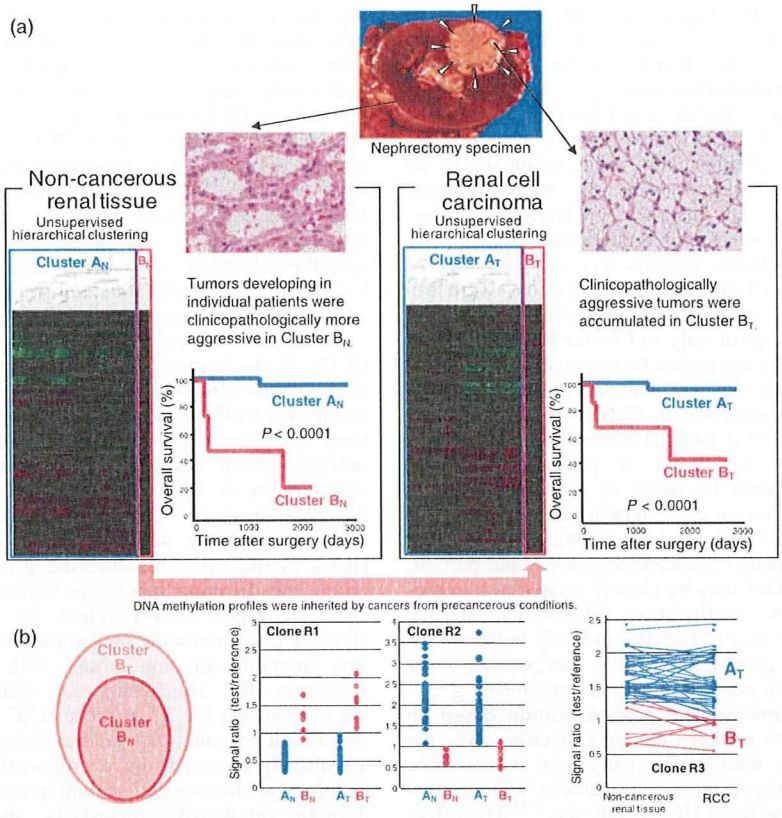

Fig. 2. DNA methylation profiles in precancerous conditions and renal cell carcinomas (RCCs). (a) Bacterial artificial chromosome array-based methylated CpG island amplification (BAMCA) data for tissue samples obtained from patients with RCCs (arrowheads). Using unsupervised hierarchical clustering analysis based on BAMCA data for samples of their non-cancerous renal tissue, patients with RCCs were clustered into two subclasses, Clusters A_N and B_N.[72] Clinicopathologically aggressive RCCs were accumulated in Cluster B_N, and the overall survival rate of patients in Cluster B_N was significantly lower than that of patients in Cluster A_N.[72] Using unsupervised hierarchical clustering analysis based on BAMCA data for their RCCs, patients were clustered into two subclasses, Clusters A_T and B_T.[72] Clinicopathologically aggressive clear cell RCCs were accumulated in Cluster B_T, and the overall survival rate of patients in Cluster B_T was significantly lower than that of patients in Cluster A_T.[72] (b) Correlation between DNA methylation profiles of precancerous conditions and those of RCCs. Cluster B_N was completely included in Cluster B_T (left panel). The majority of the bacterial artificial chromosome (BAC) clones, 724 in all, significantly discriminating Cluster B_N from Cluster A_N, also discriminated Cluster B_T from Cluster A_T.[72] In 311 of the 724 BAC clones, where the average signal ratio of Cluster B_N was higher than that of Cluster A_N, such as Clone R1 in the middle panel, the average signal ratio of Cluster B_T was also higher than that of Cluster A_T without exception.[72] In 413 of the 724 BAC clones, where the average signal ratio of Cluster B_N was lower than that of Cluster A_N, such as Clone R2 in the middle panel, the average signal ratio of Cluster B_T was also lower than that of Cluster A_T without exception.[72] As shown in the scattergram of the signal ratios in non-cancerous renal tissue samples and RCCs for all examined patients for a representative BAC clone, Clone R3, the DNA methylation status of the non-cancerous renal tissue was basically inherited by the corresponding RCC in individual patients (right panel).[72]

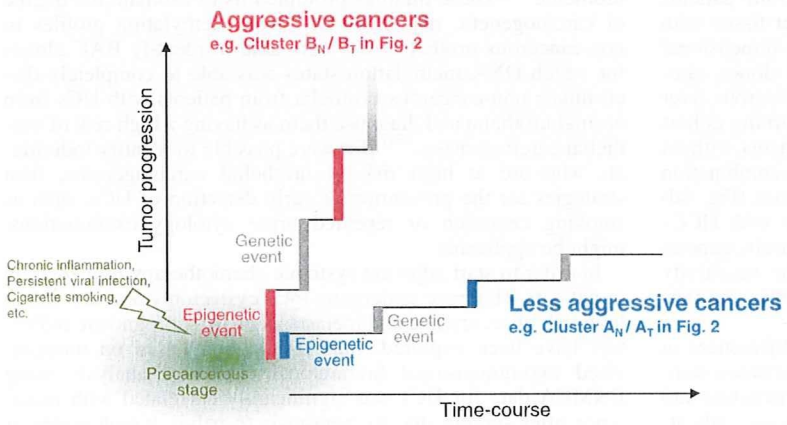

Fig. 3. Significance of DNA methylation alterations at the precancerous stage. Chronic inflammation, persistent infection with viruses or other pathogenic microorganisms, cigarette smoking, exposure to chemical carcinogens, and other unknown factors may participate in the establishment of particular DNA methylation profiles, such as Cluster B_N in Fig. 2. Such DNA methylation alterations in precancerous conditions may not occur randomly, but may be prone to further accumulation of epigenetic and genetic alterations (regional DNA hypermethylation of C-type CpG islands and copy number alterations were accumulated in Cluster B_T in Fig. 2),[72] thus generating more malignant cancers, such as the renal cell carcinomas in patients belonging to Cluster B_T.

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CpG islands was significantly higher in Cluster B_T based on BAMCA than in Cluster A_T. The frequency of CIMP in Cluster B_T was significantly higher than that in Cluster A_T. Genome-wide DNA methylation alterations consisting of both hypo- and hypermethylation revealed by BAMCA in Cluster B_T were associated with regional DNA hypermethylation of C-type CpG islands. For comparison with their DNA methylation status, we also examined copy number alterations by array-based comparative genomic hybridization. By unsupervised hierarchical clustering analysis based on copy number alterations, RCCs were clustered into the two subclasses, clusters A_{TG} and B_{TG}. Loss of chromosome 3p and gain of chromosomes 5q and 7 were frequent in both clusters A_{TG} and B_{TG}. Loss of chromosomes 1p, 4, 9, 13q, and 14q was frequent only in Cluster B_{TG}, and not in Cluster A_{TG}.[74] RCCs showing higher histological grades, renal vein tumor thrombi, vascular involvement and higher pathological TNM stages were accumulated in Cluster B_{TG}. The recurrence-free and overall survival rates of patients in Cluster B_{TG} were significantly lower than those of patients in Cluster A_{TG}.[74] A subclass of Cluster B_T based on BAMCA data was completely included in Cluster B_{TG} showing accumulation of copy number alterations. Genetic and epigenetic alterations are not mutually exclusive during renal carcinogenesis, and particular DNA methylation profiles may be closely related to chromosomal instability. DNA methylation alterations at the precancerous stage, which may not occur randomly but may foster further epigenetic and genetic alterations, can generate more malignant cancers and even determine patient outcome (Fig. 3).

Carcinogenetic risk estimation and prognostication based on DNA methylation status. In samples of non-cancerous liver tissue obtained from patients with HCCs, many BAC clones show DNA hypo- or hypermethylation in comparison with normal liver tissue from patients without HCCs (Fig. 4a).[75] The effectiveness of surgical resection for HCC is limited, unless the disease is diagnosed early at the asymptomatic stage. Therefore, surveillance at the precancerous stage is a priority for patients with HBV or HCV infection. To reveal the baseline liver histology, microscopic examination of liver biopsy specimens is carried out in patients with HBV or HCV infection prior to interferon therapy.[76,77] Carcinogenetic risk estimation using such liver biopsy specimens is advantageous for close follow-up of patients who are at high risk of HCC development. To establish an indicator for carcinogenetic risk estimation, we first omitted potentially insignificant BAC clones associated only with inflammation and/or fibrosis and focused on BAC clones for which DNA methylation status was altered at the precancerous stage in comparison to normal liver tissue and was inherited by HCCs themselves from the precancerous stage (Fig. 4b). Among the BAC clones studied, a bioinformatics approach further identified the top 25 for which DNA methylation status was able to discriminate non-cancerous liver tissue from patients with HCCs in the learning cohort from normal liver tissue with sufficient sensitivity and specificity.[75] By two-dimensional hierarchical clustering analysis using these 25 BAC clones, samples of normal liver tissue and samples of non-cancerous liver tissue obtained from patients with HCCs in the learning cohort were successfully subclassified into different subclasses without any error (Fig. 4c). The criteria established using a combination of the DNA methylation status of the 25 BAC clones (Fig. 4d) diagnosed non-cancerous liver tissue from patients with HCCs in the learning cohort as being at high risk of carcinogenesis with a sensitivity and specificity of 100%.[75] The sensitivity and specificity in the validation cohort were both 96%, and thus our criteria were successfully validated.[75]

It was confirmed that there were no significant differences in the number of BAC clones satisfying our criteria between samples of non-cancerous liver tissue showing chronic hepatitis and samples of non-cancerous liver tissue showing cirrhosis, indicating that our criteria were not associated with the degree of inflammation or fibrosis.[75] In addition, the average numbers of BAC clones satisfying our criteria were significantly lower in liver tissue samples from patients with HBV or HCV infection but without HCCs than in samples of non-cancerous liver tissue obtained from patients with HCCs.[75] Therefore, our criteria may be applicable for classifying liver tissue samples obtained from patients who are being followed up because of HBV or HCV infection, chronic hepatitis, or cirrhosis into those that may generate HCCs and those that will not. We intend to validate the reliability of such risk estimation prospectively using liver biopsy specimens obtained prior to interferon therapy from a large cohort of patients with HBV or HCV infection.

To establish criteria for prognostication of patients with HCCs, in the learning cohort, patients who had survived more than 4 years after hepatectomy and patients who had suffered recurrence within 6 months and died within a year after hepatectomy were defined as a favorable-outcome group and a poor-outcome group, respectively. Wilcoxon test revealed that the signal ratios of 41 BAC clones differed significantly between the two groups.[75] Two-dimensional hierarchical clustering analysis using the 41 BAC clones successfully subclassified HCCs in the favorable-outcome group and the poor-outcome group into different subclasses without any error (Fig. 5a). We also established cut-off values for the 41 BAC clones that allowed discrimination of samples between the poor-outcome and favorable-outcome groups with sufficient sensitivity and specificity (Fig. 5b). Multivariate analysis revealed that satisfying our criteria for 32 or more BAC clones was a predictor of overall patient outcome and was independent of parameters that are already known to have prognostic significance,[75] such as histological differentiation, and presence of portal vein tumor thrombi, intrahepatic metastasis, and multicentricity.[33] The cancer-free and overall survival rates of patients with HCCs satisfying the criteria for 32 or more BAC clones in the validation cohort were significantly lower than those of patients with HCCs satisfying the criteria for less than 32 BAC clones (Fig. 5c).[75] Such prognostication using liver biopsy specimens obtained before transarterial embolization, transarterial chemoembolization, and radiofrequency ablation may be advantageous even for patients who undergo such therapies.

As mentioned above, even non-cancerous urothelia showing no remarkable histological changes obtained from patients with UCs may be exposed to carcinogens in urine. In fact, genome-wide DNA methylation profiles of non-cancerous urothelia obtained from patients with nodular invasive UCs showing an aggressive clinical course were inherited by the nodular invasive UCs themselves, suggesting that DNA methylation alterations that were correlated with the development of more malignant invasive cancers had already accumulated in non-cancerous urothelia.[78] These findings prompted us to estimate the degree of carcinogenetic risk based on DNA methylation profiles in non-cancerous urothelia. We were able to identify BAC clones for which DNA methylation status was able to completely discriminate non-cancerous urothelia from patients with UCs from normal urothelia and diagnose them as having a high risk of urothelial carcinogenesis.[78] If it were possible to identify individuals who are at high risk of urothelial carcinogenesis, then strategies for the prevention or early detection of UCs, such as smoking cessation or repeated urine cytology examinations, might be applicable.

In order to start adjuvant systemic chemotherapy immediately in patients who have undergone total cystectomy and are still at high risk of recurrence and metastasis of UCs, prognostic indicators have been explored. Subclassification based on unsupervised two-dimensional hierarchical clustering analysis using BAMCA data for UCs was significantly correlated with recurrence after surgery due to metastasis to pelvic lymph nodes or

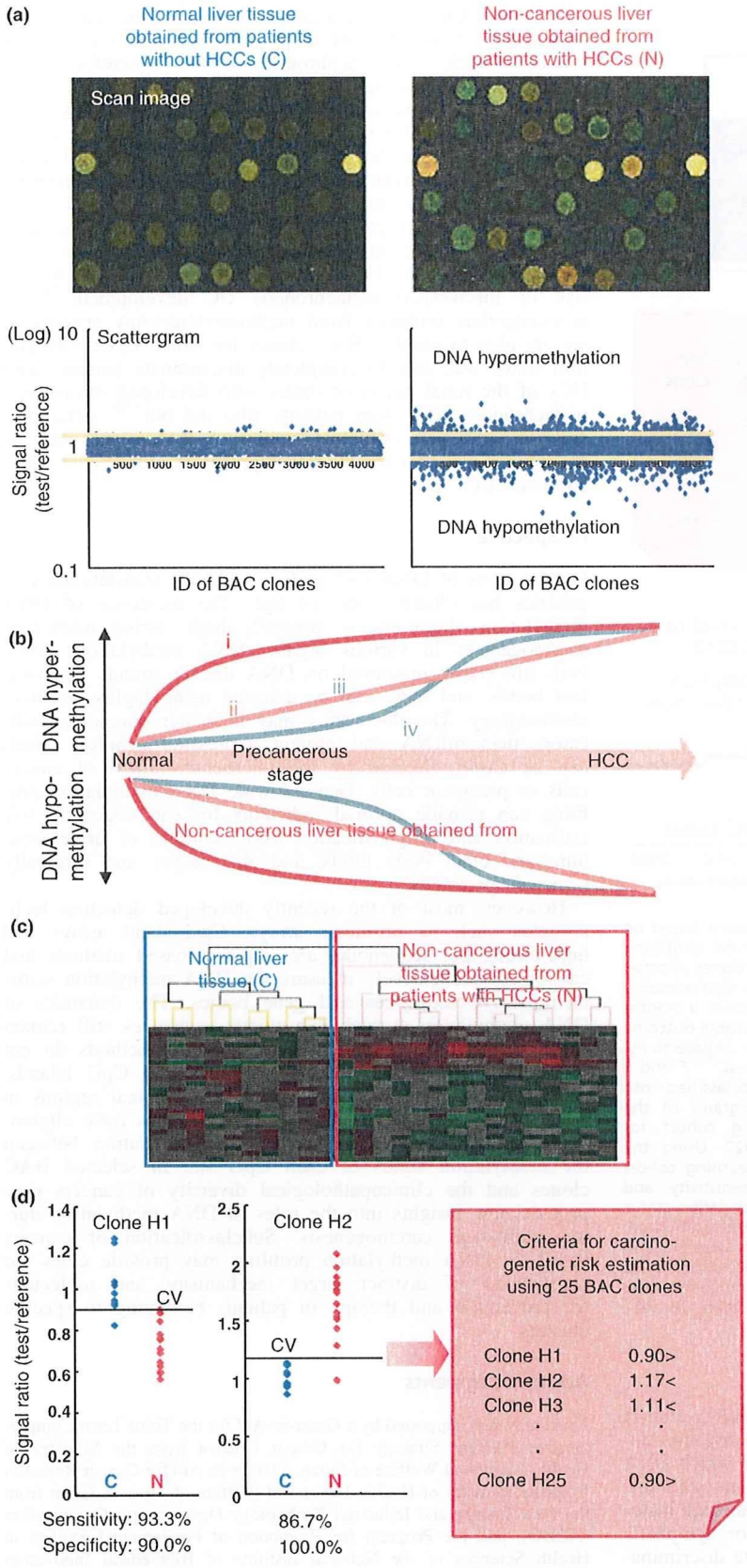

Fig. 4. Risk estimation of hepatocellular carcinoma (HCC) development based on DNA methylation status. (a) Examples of scan images and scattergrams of signal ratios in normal liver tissue obtained from patients without HCCs (C) and non-cancerous liver tissue obtained from patients with HCCs (N). In N samples, many bacterial artificial chromosome (BAC) clones showed DNA hypo- or hypermethylation compared to C samples.[75] (b) Four patterns of DNA methylation alterations seen in BAC clones during multistage hepatocarcinogenesis: (i) DNA methylation alterations occurred at the chronic hepatitis and liver cirrhosis stage, and DNA methylation status did not alter in HCCs from the chronic hepatitis and liver cirrhosis stage; (ii) DNA methylation alterations occurred at the chronic hepatitis and liver cirrhosis stage and further altered in HCCs; (iii) although DNA methylation alterations occurred at the chronic hepatitis and liver cirrhosis stage, the DNA methylation status returned to normal in HCCs; and (iv) DNA methylation alterations occurred only in HCCs. In order to establish criteria for carcinogenetic risk estimation, we focused on BAC clones whose DNA methylation status was inherited by HCCs from the precancerous stage (groups i and ii), whereas group iii may only reflect inflammation and/or fibrosis, and group iv may participate only in the malignant progression stage. (c) Two-dimensional hierarchical clustering analysis using BAC clones that were selected as the top 25 for which DNA methylation status was able to discriminate N from C with sufficient sensitivity and specificity by Wicoxon test and the support vector machine algorithm.[75] C and N samples in the learning cohort were successfully subclassified into different subclasses without any error.[75] (d) Scattergrams of the signal ratios in C and N samples in the learning cohort for representative BAC clones, Clone H1 and Clone H2. Using the cut-off values (CV) in each panel, N samples in the learning cohort were discriminated from C samples with sufficient sensitivity and specificity.[75] Based on a combination of DNA methylation status for the 25 BAC clones, the criteria for carcinogenetic risk estimation were established. Using these criteria, the sensitivity and specificity for diagnosis of N samples in the learning cohort as being at high risk of carcinogenesis were both 100%.[75] The sensitivity and specificity in the validation cohort were both 96%, and thus the criteria were successfully validated.[75]

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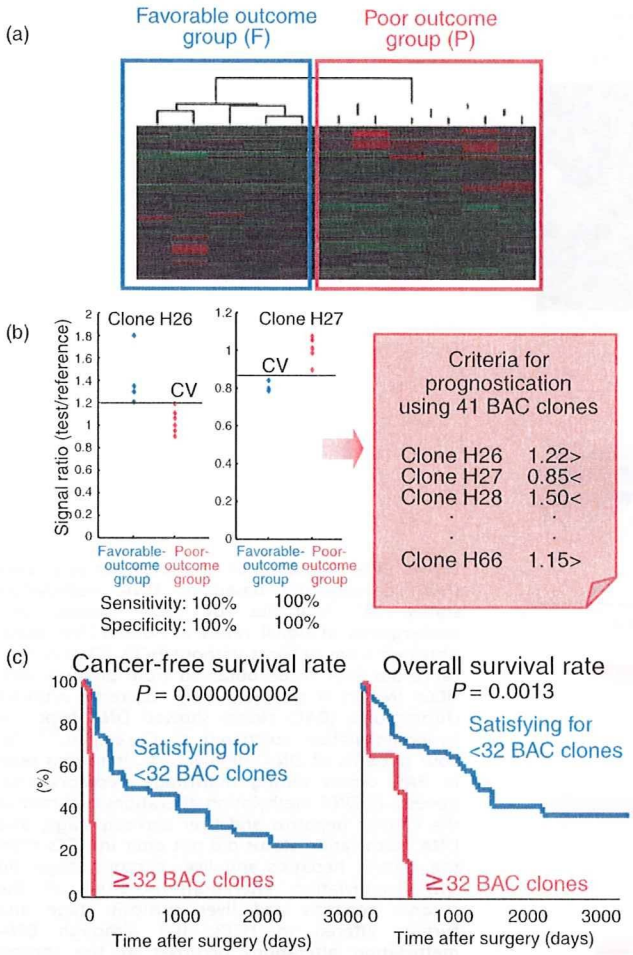

Fig. 5. Prognostication of patients with HCC development based on DNA methylation status. (a) Two-dimensional hierarchical clustering analysis using 41 bacterial artificial chromosome (BAC) clones selected as those for which DNA methylation status was able to discriminate a poor-outcome group (P), who suffered recurrence within 6 months and died within a year after hepatectomy, from a favorable-outcome group (F), who survived for more than 4 years after hepatectomy, with sufficient sensitivity and specificity by Wilcoxon test.[75] F and P patients in the learning cohort were successfully subclassified into different subclasses without any error.[75] (b) Scattergrams of the signal ratios in F and P patients in the learning cohort for representative BAC clones, Clone H26 and Clone H27. Using the cut-off values (CV) in each panel, P patients in the learning cohort were discriminated from F patients with 100% sensitivity and specificity.[75] Based on a combination of the DNA methylation status of the 41 BAC clones, criteria for prognostication were established. (c) The cancer-free and overall survival rates of patients with HCCs in the validation cohort. Patients with HCCs satisfying the criteria for 32 or more BAC clones showed significantly poorer outcome than patients with HCCs satisfying the criteria for less than 32 BAC clones.[75]

distant organs.[78] These data prompted us to establish criteria for predicting recurrence of UCs based on DNA methylation status, and we successfully identified BAC clones for which DNA methylation status completely discriminated patients who suffered recurrence from patients who did not, whereas high histological grade, invasive growth, and vascular or lymphatic involvement were unable to achieve such complete discrimination.[78]

It is well known that patients with UCs of the renal pelvis and ureter frequently develop metachronous UC in the urinary bladder after nephroureterectomy. Therefore, such patients need to undergo repeated urethrocystoscopic examinations for detection of intravesical metachronous UCs. To decrease the need for such invasive urethrocystoscopic examinations, indicators for intravesical metachronous UCs are needed. DNA methylation profiles of non-cancerous urothelia obtained by nephroureterectomy from patients with UCs of the renal pelvis or ureter, which may be exposed to the same carcinogens in the urine as non-cancerous urothelia from which metachronous UCs originate, were correlated with the risk of intravesical metachronous UC development.[78] In non-cancerous urothelia from nephroureterectomy specimens, we are able to identify BAC clones for which DNA methylation status was able to completely discriminate patients with UCs of the renal pelvis or ureter who developed intravesical metachronous UCs from patients who did not.[78] After prospective validation, combination of such BAC clones may be an optimal indicator for the development of intravesical metachronous UC.

Perspective

On the basis of DNA methylation profiling, translational epigenetics has clearly come of age. The incidence of DNA methylation alterations is generally high during multistage carcinogenesis in various organs. DNA methylation alterations are stably preserved on DNA double strands by covalent bonds, and these can be detected using highly sensitive methodology. Therefore, they may be better diagnostic indicators than mRNA and protein expression profiles, which can be easily affected by the microenvironment of cancer cells or precursor cells. Genome-wide DNA methylation profiling can provide optimal indicators for carcinogenetic risk estimation and prognostication using samples of urine, sputum, and other body fluids, and also biopsy and surgically resected specimens.

However, most of the recently developed detection technologies such as promoter arrays, CpG-island arrays and high-throughput sequencing are sequence-based methods and cannot comprehensively measure the DNA methylation status of repetitive sequences and gene bodies. The dynamics of DNA methylation at such non-unique sequences still remain to be determined.[79] Our BAC array-based methods do not focus only on specific promoter regions and CpG islands, and have successfully identified the chromosomal regions in which coordinated DNA methylation alterations have clinicopathological impact. Evaluation of the correlation between the methylation status of each CpG site in selected BAC clones and the clinicopathological diversity of cancers may provide new insights into the roles of DNA methylation during multistage carcinogenesis. Subclassification of cancers based on DNA methylation profiling may provide clues for clarification of distinct target mechanisms and molecules for prevention and therapy in patients belonging to specific clusters.

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Abbreviations

BAC	bacterial artificial chromosome
BAMCA	BAC array-based methylated CpG island amplification
CIMP	CpG island methylator phenotype
DNMT	DNA methyltransferase
HBV	hepatitis B virus
HCC	hepatocellular carcinoma
HCV	hepatitis C virus
LOH	loss of heterozygosity
PanIN	pancreatic intraductal neoplasia
PCNA	proliferating cell nuclear antigen
RCC	renal cell carcinoma
UC	urothelial carcinoma

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Genome-wide DNA methylation profiles in urothelial carcinomas and urothelia at the precancerous stage

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To clarify genome-wide DNA methylation profiles during multistage urothelial carcinogenesis, bacterial artificial chromosome (BAC) array-based methylated CpG island amplification (BAMCA) was performed in 18 normal urothelia obtained from patients without urothelial carcinomas (UCs) (C), 17 noncancerous urothelia obtained from patients with UCs (N), and 40 UCs. DNA hypo- and hypermethylation on multiple BAC clones was observed even in N compared to C. Principal component analysis revealed progressive DNA methylation alterations from C to N, and to UCs. DNA methylation profiles in N obtained from patients with invasive UCs were inherited by the invasive UCs themselves, that is DNA methylation alterations in N were correlated with the development of more malignant UCs. The combination of DNA methylation status on 83 BAC clones selected by Wilcoxon test was able to completely discriminate N from C, and diagnose N as having a high risk of carcinogenesis, with 100% sensitivity and specificity. The combination of DNA methylation status on 20 BAC clones selected by Wilcoxon test was able to completely discriminate patients who suffered from recurrence after surgery from patients who did not. The combination of DNA methylation status for 11 BAC clones selected by Wilcoxon test was able to completely discriminate patients with UCs of the renal pelvis or ureter who suffered from intravesical metachronous UC development from patients who did not. Genome-wide alterations of DNA methylation may participate in urothelial carcinogenesis from the precancerous stage to UC, and DNA methylation profiling may provide optimal indicators for carcinogenetic risk estimation and prognostication. (*Cancer Sci* 2010; 101: 231–240)

It is known that DNA hypomethylation results in chromosomal instability as a result of changes in chromatin structure, and that DNA hypermethylation of CpG islands silences tumor-related genes in cooperation with histone modification in human cancers.[1–5] Accumulating evidence suggests that alterations of DNA methylation are involved even in the early and the precancerous stages.[6,7] On the other hand, in patients with cancers, aberrant DNA methylation is significantly associated with poorer tumor differentiation, tumor aggressiveness, and poorer patient outcome.[6,7] Therefore, alterations of DNA methylation may play a significant role in multistage carcinogenesis.

With respect to urothelial carcinogenesis, we have reported accumulation of DNA methylation on C-type CpG islands in a cancer-specific but not age-dependent manner, and protein overexpression of DNA methyltransferase (DNMT) 1, a major DNMT, even in noncancerous urothelia with no apparent histological changes obtained from patients with urothelial carcinomas (UCs).[8,9] Moreover, accumulation of DNA methylation on C-type CpG islands associated with DNMT1 protein overexpression was more frequently evident in aggressive nodular invasive UCs[8–10] resulting in poorer patient outcome than in superficial papillary UCs, which usually remain noninvasive even after repeated urethrocystoscopic resection.[11,12] Since aberrant DNA methylation is one of the earliest molecular events during urothelial carcinogenesis and also participates in tumor aggressiveness, it may be possible to estimate the future risk of developing more malignant UCs. However, only a few previous studies focusing on UCs[13] have employed recently developed array-based technology for assessing genome-wide DNA methylation status,[14–16] and such studies have focused on identification of tumor-related genes that are silenced by DNA methylation.[13] DNA methylation profiles, which could become the optimum indicators for carcinogenetic risk estimation and prognostication of UCs, should therefore be explored using array-based approaches.

In this study, in order to clarify genome-wide DNA methylation profiles during multistage urothelial carcinogenesis, we performed bacterial artificial chromosome (BAC) array-based methylated CpG island amplification (BAMCA)[17–19] using a microarray of 4361 BAC clones[20] in normal urothelia obtained from patients without UCs, noncancerous urothelia obtained from patients with UCs, and UCs themselves.

Materials and Methods

Patients and tissue samples. Seventeen samples of noncancerous urothelia (N1–N17) and 40 samples of UCs (T1–T40) of the urinary bladder, ureter, and renal pelvis were obtained from specimens that had been surgically resected by radical cystectomy (12 patients) or nephroureterectomy (28 patients) at the National Cancer Center Hospital, Tokyo, Japan. The patients comprised 31 men and nine women whose mean age was 69.03 ± 9.77 (mean ± SD) years (range, 49–85 years). Microscopic examination revealed no remarkable histological changes in the noncancerous urothelia. The patients from whom noncancerous urothelia were obtained comprised 11 men and six women with a mean age of 70.41 ± 9.33 (mean ± SD) years (range, 49–85 years). There were 17 superficial UCs (two pTa and 15 pT1 tumors) and 23 invasive UCs (six pT2, 16 pT3, and one pT4 tumor) according to the criteria proposed by World Health Organization classification.[21] For comparison, 18 samples of normal urothelia obtained from patients without UCs (C1–C18) were used. Fourteen, three, and one patient underwent nephrectomy for renal cell carcinoma, nephrectomy for retroperitoneal sarcoma around the kidney, and partial cystectomy for urachal carcinoma, respectively. The patients from whom normal urothelia were obtained comprised 13 men and five women with a mean age of 61.17 ± 15.16 (mean ± SD) years (range, 27–82 years). This study was approved by the Ethics Committee of the National Cancer Center, Tokyo, Japan and has

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been performed in accordance with the Declaration of Helsinki in 1995. All patients gave their informed consent prior to their inclusion in this study.

BAMCA. High-molecular-weight DNA from fresh frozen tissue samples was extracted using phenol-chloroform, followed by dialysis. Because DNA methylation status is known to be organ-specific,[22] the reference DNA for analysis of the developmental stages of UCs should be obtained from the urothelium, and not from other organs or peripheral blood. Therefore, a mixture of normal urothelial DNA obtained from 11 male patients (C19–C29) and six female patients (C30–C35) without UCs was used as a reference for analyses of male and female test DNA samples, respectively. DNA methylation status was analyzed by BAMCA using a custom-made array (MCG Whole Genome Array-4500) harboring 4361 BAC clones located throughout chromosomes 1–22, X and Y,[20] as described previously.[17–19] Briefly, 5-μg aliquots of test or reference DNA were first digested with 100 units of the methylation-sensitive restriction enzyme Sma I and subsequently with 20 units of the methylation-insensitive Xma I. Adapters were ligated to the Xma I-digested sticky ends, and PCR was performed with an adapter primer set. Test and reference PCR products were labeled by random priming with Cy3- and Cy5-dCTP (GE Healthcare, Buckinghamshire, UK), respectively, and precipitated together with ethanol in the presence of Cot-I DNA. The mixture was applied to array slides and incubated at 43°C for 72 h. Arrays were scanned with a GenePix Personal 4100A (Axon Instruments, Foster City, CA, USA) and analyzed using GenePix Pro 5.0 imaging software (Axon Instruments) and Acue 2 software (Mitsui Knowledge Industry, Tokyo, Japan). The signal ratios were normalized in each sample to make the mean signal ratios of all BAC clones 1.0.

Statistics. Differences in the average number of BAC clones that showed DNA methylation alterations (DNA hypo- and hypermethylation) between groups of samples were analyzed using the Mann–Whitney *U*-test. Differences at $P < 0.05$ were considered significant. Principal component analysis based on BAMCA data was performed using the Expressionist software program (Gene Data, Basel, Switzerland). Unsupervised two-dimensional hierarchical clustering analysis of tissue samples and the BAC clones were performed using the Expressionist software program. Correlations between the subclassification of patients yielded by unsupervised hierarchical clustering analysis and clinicopathological parameters of UCs were analyzed using the χ^2-test. Differences at $P < 0.05$ were considered significant. BAC clones whose signal ratios yielded by BAMCA were significantly different between groups of samples were identified by Wilcoxon test ($P < 0.01$).

Results

Genome-wide DNA methylation alterations during multistage urothelial carcinogenesis. Figure 1(b,c) shows examples of scanned array images and scattergrams of the signal ratios (test signal/reference signal), respectively, for normal urothelium from a patient without UC (panel C), and both noncancerous urothelium (panel N) and cancerous tissue (panel T) from a patient with UC. In all normal urothelia (C1–C18), the signal ratios of 97% of the BAC clones were between 0.67 and 1.5 (red bars in Fig. 1c). Therefore, in noncancerous urothelia obtained from patients with UCs and UCs, DNA methylation status corresponding to a signal ratio of less than 0.67 and more than 1.5 was defined as DNA hypomethylation and DNA hypermethylation of each BAC clone compared to normal urothelia, respectively, as in our previous study.[23] In noncancerous urothelia obtained from patients with UCs, many BAC clones showed DNA hypo- or hypermethylation (panel N of Fig. 1c). In UCs themselves, more BAC clones showed DNA hypo- or hypermethylation, and the degree of DNA hypo- or hypermethylation, that is deviation of the signal ratio from 0.67 or 1.5, was increased (panel T of Fig. 1c) in comparison with noncancerous urothelia obtained from patients with UCs. The average number of BAC clones showing DNA hypomethylation increased significantly from noncancerous urothelia obtained from patients with UCs (24.53 ± 31.48) to UCs (236.78 ± 92.78, $P = 4.37e\text{-}9$). The average number of BAC clones showing DNA hypermethylation increased significantly from noncancerous urothelia obtained from patients with UCs (29.18 ± 39.84) to UCs (289.13 ± 82.42, $P = 7.35e\text{-}9$). Principal component analysis based on BAMCA data (signal ratios) revealed progressive DNA methylation alterations from normal urothelia, to noncancerous urothelia obtained from patients with UCs, and to UCs (Fig. 1d).

Clinicopathological significance of DNA methylation alterations in noncancerous urothelia obtained from patients with UCs. In order to clarify the clinicopathological significance of DNA methylation alterations in noncancerous urothelia obtained from patients with UCs, unsupervised two-dimensional hierarchical clustering analysis based on BAMCA data (signal ratios) for noncancerous urothelia was performed. Seventeen patients with UCs were clustered into two subclasses, Clusters A_N and B_N, which contained nine and eight patients, respectively, based on the DNA methylation status of the noncancerous urothelia (Fig. 2a). All eight patients (100%) belonging to Cluster B_N suffered from invasive UCs (pT2 or more), whereas five (55.6%) of the patients belonging to Cluster A_N did so ($P = 0.0311$).

The Wilcoxon test ($P < 0.01$) revealed that the signal ratios of 131 BAC clones differed significantly between noncancerous urothelia obtained from patients with superficial UCs (pTa and pT1) and noncancerous urothelia obtained from patients with invasive UCs (pT2 or more). If the average signal ratios in noncancerous urothelia obtained from patients with invasive UCs were significantly higher than those in noncancerous urothelia obtained from patients with superficial UCs (67 BAC clones), the average signal ratios in the invasive UCs themselves were even higher than (42 BAC clones, e.g. RP11-79K14 and RP11-29C11 in Fig. 2b) or not significantly different from (25 BAC clones, e.g. RP11-3A9 and RP11-73G16 in Fig. 2b) those in noncancerous urothelia obtained from patients with invasive UCs, without exception. If the average signal ratios in noncancerous urothelia obtained from patients with invasive UCs were significantly lower than those in noncancerous urothelia obtained from patients with superficial UCs (64 BAC clones), the average signal ratios in the invasive UCs themselves were even lower than (38 BAC clones, e.g. RP11-210F15 and RP11-368O13 in Fig. 2b) or not significantly different from (26 BAC clones, e.g. RP11-442N24 and RP11-65C22 in Fig. 2b) those in noncancerous urothelia obtained from patients with invasive UCs, without exception, that is DNA methylation status of the 131 BAC clones in noncancerous urothelia obtained from patients with invasive UCs was inherited by the invasive UCs themselves.

DNA methylation profiles discriminating noncancerous urothelia obtained from patients with UCs from normal urothelia. Our finding that DNA methylation alterations in noncancerous urothelia were correlated with the development of UCs, as described above, prompted us to estimate the degree of carcinogenetic risk based on DNA methylation profiles in noncancerous urothelia. We attempted to establish criteria for indicating that noncancerous urothelia obtained from patients with UCs, and not normal urothelia, were at high risk of carcinogenesis.

The Wilcoxon test ($P < 0.01$) revealed that the signal ratios on 201 BAC clones differed significantly between normal urothelia obtained from patients without UCs and noncancerous urothelia obtained from patients with UCs. Figure 3(a) shows

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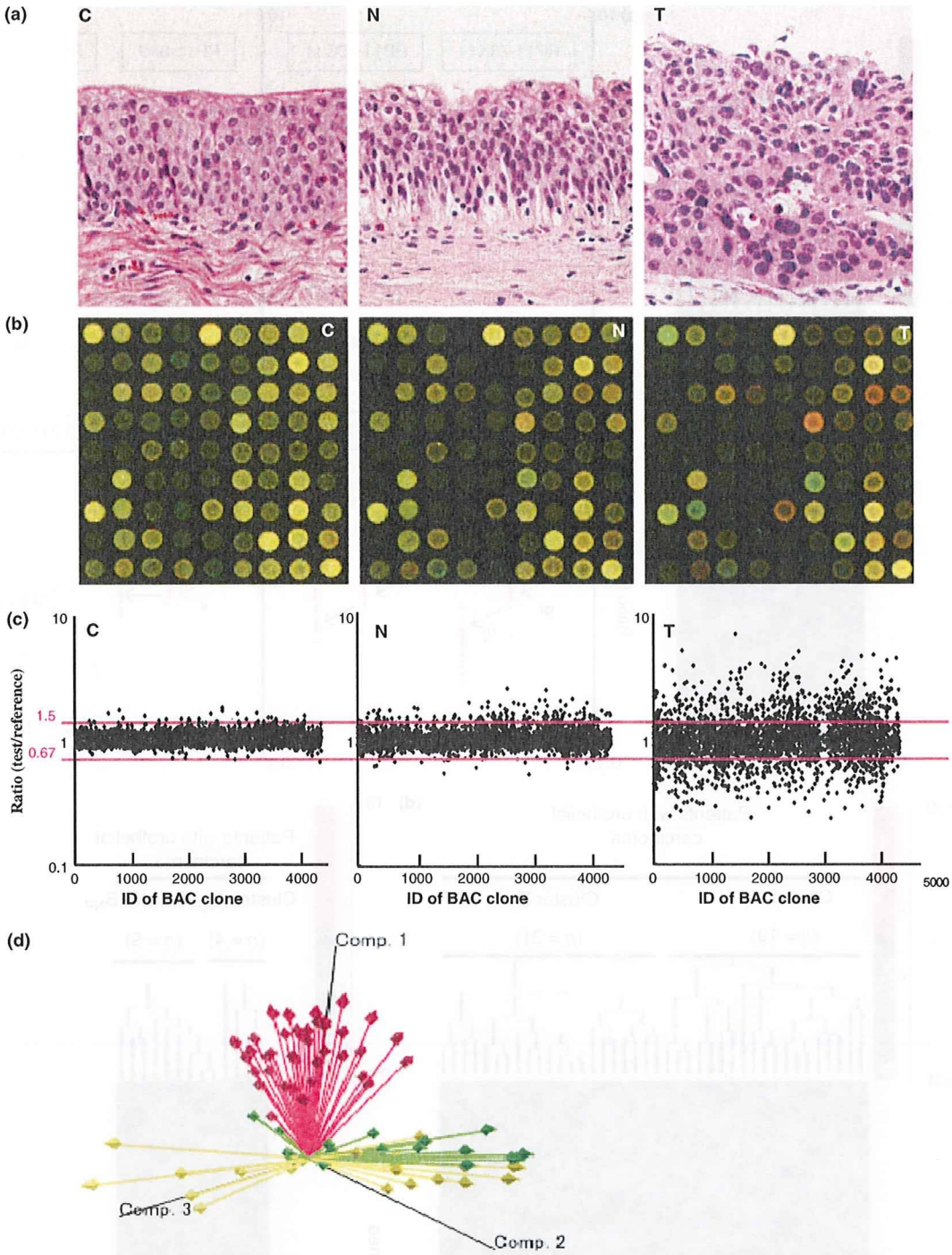

Fig. 1. DNA methylation alterations during multistage urothelial carcinogenesis. (a) Microscopic view of normal urothelium obtained from a patient without urothelial carcinoma (UC) (C), noncancerous urothelium obtained from a patient with UC (N), and UC (T). N shows no remarkable histological changes in comparison to C, that is no cytological or structural atypia is evident. Hematoxylin–eosin staining. Original magnification, ×20. (b) Scanned array images obtained by bacterial artificial chromosome (BAC) array-based methylated CpG island amplification (BAMCA) in C, N, and T. Co-hybridization was done with test and reference DNA labeled with Cy3 and Cy5, respectively. (c) Scattergrams of the signal ratios (test signal/reference signal) obtained by BAMCA in C, N, and T. In all 18 normal urothelia (C1–C18), the signal ratios of 97% of the BAC clones were between 0.67 and 1.5 (red bars). Therefore, in N and T, DNA methylation status corresponding to a signal ratio of less than 0.67 and more than 1.5 was defined as DNA hypomethylation and DNA hypermethylation on each BAC clone compared to C, respectively. Even though N did not show any marked histological changes in comparison to C (panels C and N in [a]), many BAC clones showed DNA hypo- or hypermethylation. In T, more BAC clones showed DNA hypo- or hypermethylation, whose degree, that is deviation of the signal ratio from 0.67 or 1.5, was increased in comparison to N. (d) Principal component analysis based on BAMCA data (signal ratios). Progressive alterations of DNA methylation status from normal urothelia (yellow arrows) to noncancerous urothelia obtained from patients with UCs (green arrows), and to UCs (red arrows) were observed.

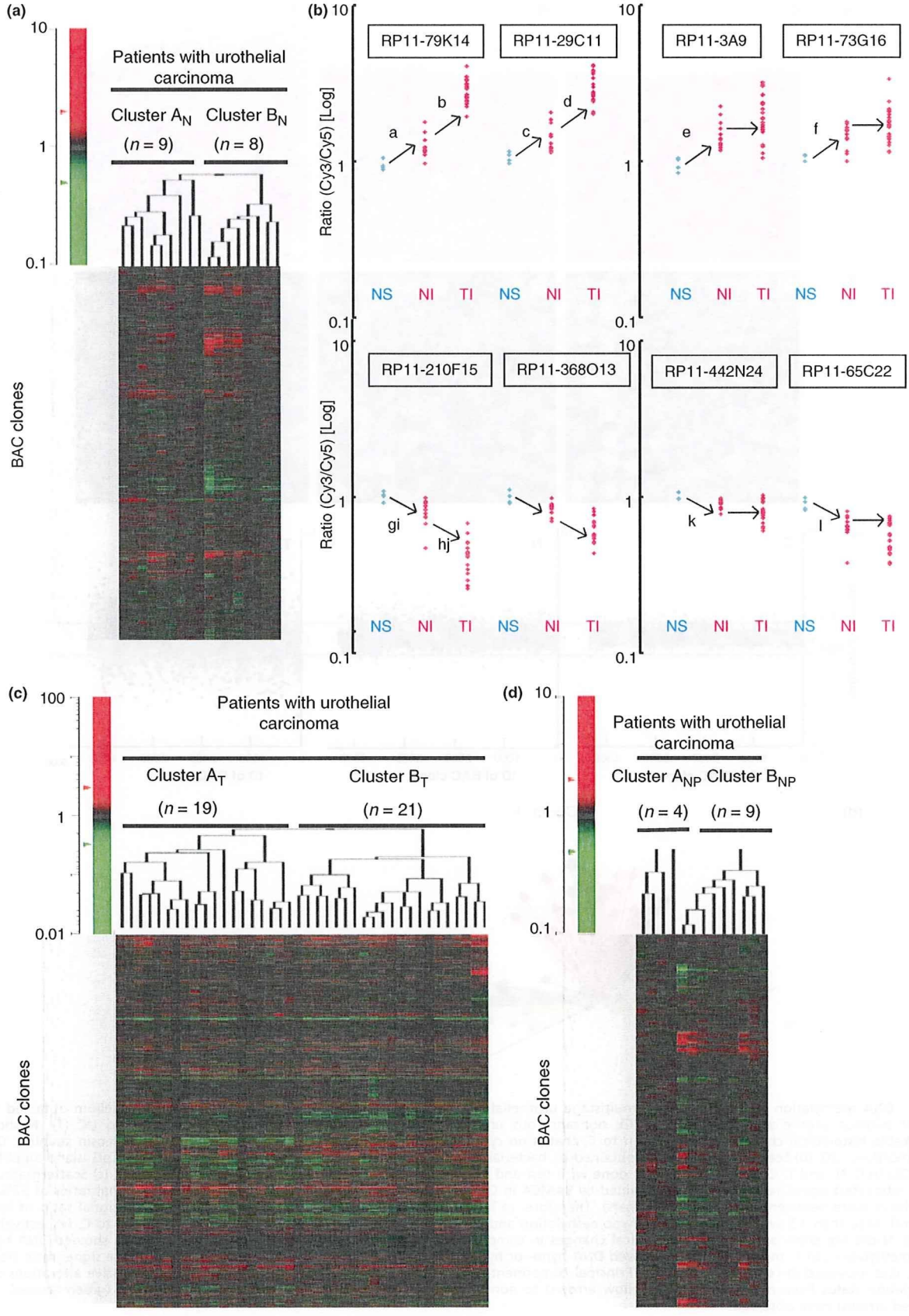

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Fig. 2. Correlations between DNA methylation status and clinicopathological parameters. (a) Unsupervised two-dimensional hierarchical clustering analysis based on bacterial artificial chromosome (BAC) array-based methylated CpG island amplification (BAMCA) data (signal ratios) in noncancerous urothelia obtained from patients with urothelial carcinomas (UCs). The signal ratio is shown in the color range map. Seventeen patients with UCs were hierarchically clustered into two subclasses, Clusters A_N (n = 9) and B_N (n = 8). Eight patients (100%) belonging to Cluster B_N developed invasive UCs (pT2 or more), whereas five patients (55.6%) belonging to Cluster A_N did so (P = 0.0311). (b) Scattergrams of the signal ratios in tissue samples. NS, noncancerous urothelia obtained from patients with superficial UCs. NI, noncancerous urothelia obtained from patients with invasive UCs. TI, invasive UCs. If the average signal ratios in NI were significantly higher than those in NS, the average signal ratios in TI themselves were even higher than (BAC clones RP11-79K14 and RP11-29C11), or not significantly different from (BAC clones RP11-3A9 and RP11-73G16), those in NI without exception. If the average signal ratios in NI were significantly lower than those in NS, the average signal ratios in TI themselves were even lower than (BAC clones RP11-210F15 and RP11-368O13), or not significantly different from (BAC clones RP11-442N24 and RP11-65C22), those in NI without exception. [a]P = 0.001680673, [b]P = 9.23504e-7, [c]P = 0.002197802, [d]P = 3.64223e-6, [e]P = 0.000840336, [f]P = 0.007692306, [g]P = 0.004395604, [h]P = 8.31509e-6, [i]P = 0.004395604, [j]P = 1.10173e-5, [k]P = 0.005882353, [l]P = 0.001098901. (c) Unsupervised two-dimensional hierarchical clustering analysis based on BAMCA data (signal ratios) in UCs. Forty patients with UCs were hierarchically clustered into two subclasses, Clusters A_T (n = 19) and B_T (n = 21). All four patients with recurrence belonged to Cluster B_T. (d) Unsupervised two-dimensional hierarchical clustering analysis based on BAMCA data (signal ratios) for noncancerous urothelia obtained from patients with UCs of the renal pelvis or ureter. Thirteen patients with UCs of the renal pelvis or ureter were hierarchically clustered into two subclasses, Clusters A_{NP} (n = 4) and B_{NP} (n = 9). All four patients who developed intravesical metachronous UC belonged to Cluster B_{NP}.

scattergrams of the signal ratios in normal urothelia and noncancerous urothelia obtained from patients with UCs for representative examples of the 201 BAC clones. Using the cut-off values described in Figure 3(a), noncancerous urothelia obtained from patients with UCs were discriminated from normal urothelia with sufficient sensitivity and specificity (Fig. 3a). From the 201 BAC clones, 83 for which such discrimination was performed with a sensitivity and specificity of 75% or more than 75% were selected (Table S1). The cut-off values of the signal ratios for the 83 BAC clones, and their sensitivity and specificity, are shown in Table S1.

A histogram showing the number of BAC clones satisfying the criteria listed in Table S1 for 18 normal urothelia (C1–C18) and 17 noncancerous urothelia obtained from patients

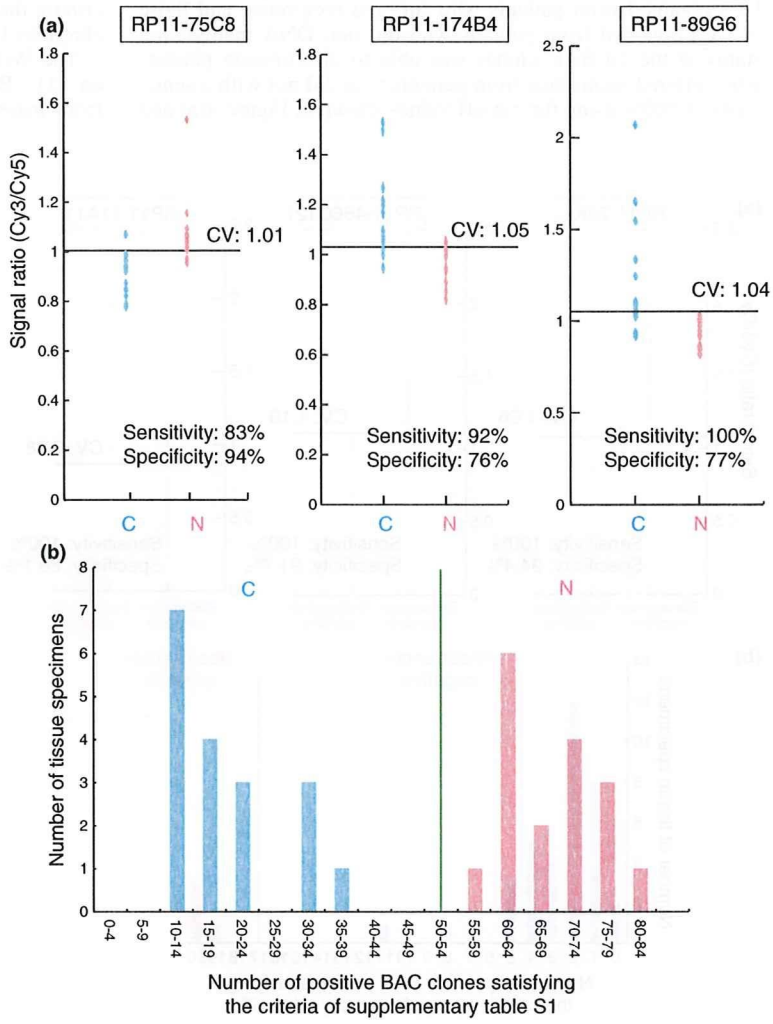

Fig. 3. DNA methylation profiles discriminating noncancerous urothelia obtained from patients with urothelial carcinomas (UCs) (N) from normal urothelia (C). (a) Scattergrams of the signal ratios in C and N on representative bacterial artificial chromosome (BAC) clones, RP11-75C8, RP11-174B4, and RP11-89G6. Using the cut-off values (CV) described in each panel, N in this cohort were discriminated from C with sufficient sensitivity and specificity. (b) Histogram showing the number of BAC clones satisfying the criteria listed in Table S1 in samples C1–C18 and N1–N17. Based on this histogram, we established a criterion that when the noncancerous urothelia satisfied the criteria in Table S1 for 50 (green bar) or more than 50 BAC clones, they were judged to be at high risk of carcinogenesis.

with UCs (N1–N17) is shown in Figure 3(b). Based on this figure, we finally established the following criteria: when noncancerous urothelia satisfied the criteria in Table S1 for 50 or more BAC clones (green bar in Fig. 3b), they were judged to be at high risk of carcinogenesis, and when noncancerous urothelia satisfied the criteria in Table S1 for less than 50 BAC clones, they were judged not to be at high risk of carcinogenesis. Based on these criteria, both the sensitivity and specificity for diagnosis of noncancerous urothelia obtained from patients with UCs in this cohort as being at high risk of carcinogenesis were 100%.

Association of DNA methylation profiles in UCs with recurrence. Unsupervised two-dimensional hierarchical clustering analysis based on BAMCA data (signal ratios) for UCs was able to group 40 patients into two subclasses, Clusters A_T and B_T, which contained 19 and 21 patients, respectively (Fig. 2c). Four patients (19.0%) belonging to Cluster B_T suffered recurrence after surgery (metastasis to the pelvic lymph nodes in three, and metastasis to the lung and bone in one), whereas none (0%) belonging to Cluster A_T did so ($P = 0.0449$). The mean observation period was 29.8 ± 28.0 months (mean ± SD). These data prompted us to establish criteria for predicting recurrence of UCs based on DNA methylation status.

The Wilcoxon test ($P < 0.01$) revealed that the signal ratios on 20 BAC clones in UCs differed significantly between the patients who suffered recurrence after surgery and patients who did not. Figure 4(a) shows scattergrams of the signal ratios in UCs obtained from patients who suffered recurrence and those in UCs obtained from patients who did not. DNA methylation status of the 20 BAC clones was able to discriminate patients who suffered recurrence from patients who did not with a sensitivity of 100% using the cut-off values shown in Figure 4(a) and Table S2. A histogram showing the number of BAC clones satisfying the criteria listed in Table S2 for all 40 UCs is shown in Figure 4(b). Satisfying the criteria in Table S2 for 17 or more BAC clones (green bar in Fig. 4b) discriminated patients who suffered recurrence from patients who did not with a sensitivity and specificity of 100%, whereas high histological grade,[21] invasive growth (pT2 or more), and vascular or lymphatic involvement were unable to achieve such complete discrimination (data not shown).

Association of DNA methylation profiles in noncancerous urothelia obtained from patients with UCs of the renal pelvis or ureter with intravesical metachronous UC development. It is well known that patients with UCs of the renal pelvis and ureter frequently suffer from metachronous UC development in the urinary bladder after nephroureterectomy.[24,25] Since such metachronous UC originates from the noncancerous urothelium of the urinary bladder, we focused on the DNA methylation status of noncancerous urothelia obtained by nephroureterectomy from patients with UCs of the renal pelvis or ureter. Unsupervised two-dimensional hierarchical clustering analysis based on BAMCA data (signal ratios) for noncancerous urothelia obtained from patients with UCs of the renal pelvis or ureter was able to group 13 patients into two subclasses, Clusters A_{NP} and B_{NP}, which contained four and nine patients, respectively (Fig. 2d). Four (44%) of the patients in Cluster B_{NP} developed intravesical metachronous UCs, whereas none (0%) belonging to Cluster A_{NP} did so. These data prompted us to establish criteria that could predict the development of intravesical metachronous UC based on DNA methylation status.

The Wilcoxon test ($P < 0.01$) revealed that the signal ratios on 11 BAC clones in noncancerous urothelia obtained from patients with UCs of the renal pelvis or ureter differed

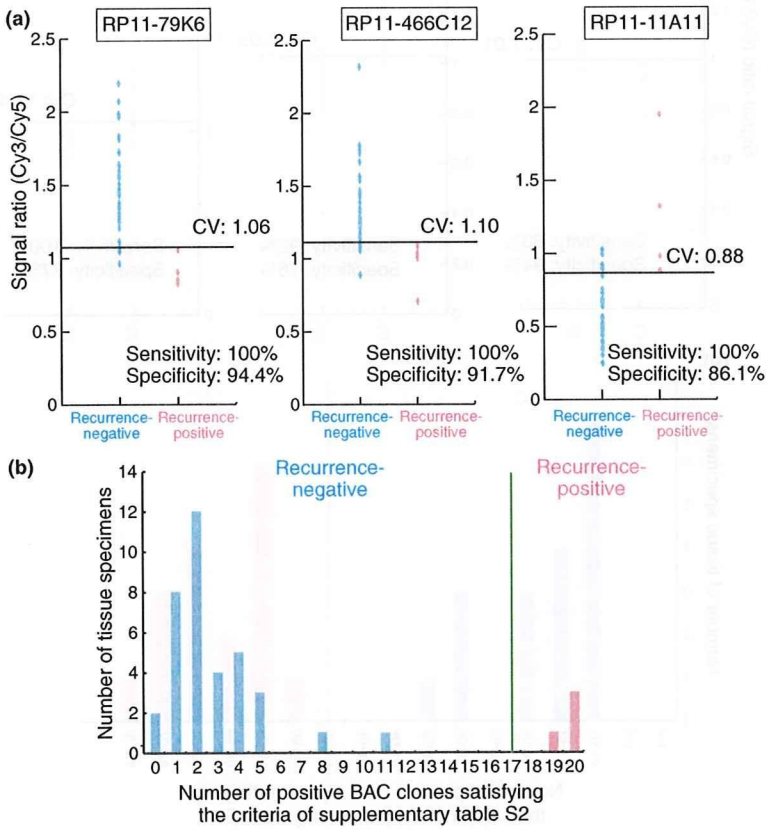

Fig. 4. DNA methylation profiles in urothelial carcinomas (UCs) associated with recurrence. (a) Scattergrams of the signal ratios in UCs from patients who did not develop recurrence ($n = 36$) and UCs from patients who developed recurrence ($n = 4$) on representative bacterial artificial chromosome (BAC), clones, RP11-79K6, RP11-466C12, and RP11-11A11. Using the cut-off values (CV) described in each panel, recurrence-positive patients were discriminated from recurrence-negative patients with 100% sensitivity. (b) Histogram showing the number of BAC clones satisfying the criteria listed in Table S2 in all 40 patients with UCs. Satisfying the criteria in Table S2 for 17 (green bar) or more than 17 BAC clones discriminated recurrence-positive patients from recurrence-negative patients with a sensitivity and specificity of 100%, whereas high histological grade (21), invasive growth (pT2 or more), and vascular or lymphatic involvement were unable to achieve such complete discrimination (data not shown).

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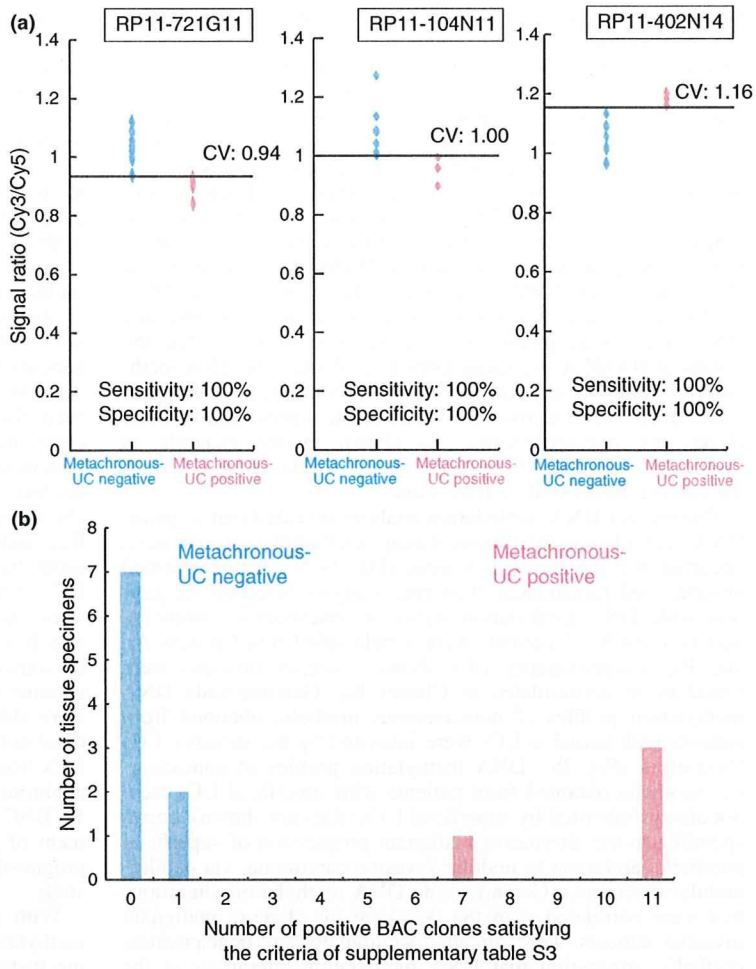

Fig. 5. DNA methylation profiles in noncancerous urothelia obtained from patients with urothelial carcinomas (UCs) of the renal pelvis or ureter associated with intravesical metachronous UC development. (a) Scattergrams of the signal ratios in noncancerous urothelia obtained from patients who did not develop intravesical metachronous UCs (n = 9) and noncancerous urothelia obtained from patients who developed intravesical metachronous UCs (n = 4) on representative bacterial artificial chromosome (BAC) clones, RP11-721G11, RP11-104N11, and RP11-402N14. Using the cut-off values (CV) described in each panel, metachronous UC-positive patients were discriminated from metachronous UC-negative patients with 100% sensitivity and specificity. (b) Histogram showing the number of BAC clones satisfying the criteria listed in Table S3 in all 13 patients with UCs of the renal pelvis or ureter from whom noncancerous urothelia were obtained. Patients who were negative and positive for metachronous UC were confirmed to show a marked difference in the DNA methylation status of the 11 BAC clones.

significantly between patients who developed intravesical metachronous UC after nephroureterectomy and patients who did not. DNA methylation status of nine of the 11 BAC clones was able to discriminate patients who suffered from intravesical metachronous UC development from patients who did not with a sensitivity and specificity of 100% using the cut-off values shown in Figure 5(a) and Table S3. A histogram showing the number of BAC clones satisfying the criteria listed in Table S3 for 13 noncancerous urothelia obtained from patients with UCs of the renal pelvis or ureter is shown in Figure 5(b).

Discussion

Urothelial carcinomas are clinically remarkable because of their multicentricity: synchronously or metachronously multifocal UCs often develop in individual patients. A possible mechanism for such multiplicity is the ''field effect,'' whereby carcinogenic agents in the urine cause malignant transformation of multiple urothelial cells.[26] Even noncancerous urothelia showing no remarkable histological features obtained from patients with UCs can be considered to be at the precancerous stage, because they may be exposed to carcinogens in the urine. On the other hand, UCs are classified as superficial papillary carcinomas or nodular invasive carcinomas according to their configuration. Superficial papillary carcinomas usually remain noninvasive, although patients need to undergo repeated urethrocystoscopic resections because of recurrences. In contrast, the clinical outcome of nodular invasive carcinomas is poor.[11,12]

In our previous study, accumulation of DNA methylation on C-type CpG islands associated with DNMT1 protein overexpression was observed even in noncancerous urothelia obtained from patients with UCs.[8,9] Aberrant DNA methylation was further increased, especially in nodular invasive carcinomas.[8–10] These previous data suggested that carcinogenetic risk estimation and prognostication of UCs based on DNA methylation status might be a promising strategy. Although optimal diagnostic indicators have never been explored using array-based genome-wide DNA methylation analysis, alterations of DNA methylation on several CpG islands in UCs have been reported separately.[27–31]

Many researchers in the field of cancer epigenetics have used promoter arrays to identify the genes that are methylated in cancer cells.[14–16] However, the promoter regions of specific genes are not the only target of DNA methylation alterations in human cancers. DNA methylation status in genomic regions that do not directly participate in gene silencing, such as the edges of CpG islands, may be altered at the precancerous stage before the alterations of the promoter regions themselves occur.[32] Genomic regions in which DNA hypomethylation affects chromosomal instability may not be contained in promoter arrays. Moreover, aberrant DNA methylation of large regions of chromosomes, which are regulated in a coordinated manner in

human cancers due to a process of long-range epigenetic silencing, has recently attracted attention.[33] Therefore, we used a custom-made BAC array[20] that may be suitable for gaining an overview of the DNA methylation status of individual large regions among all chromosomes (Table S4), and for obtaining reproducible diagnostic indicators. In fact we have successfully obtained optimal indicators for carcinogenetic risk estimation and prognostication of renal cell carcinomas[23] and hepatocellular carcinomas[34] by BAMCA using the same array as that employed in this study. On the other hand, we must pay attention to the quantitative accuracy of BAMCA, because it is a PCR-based method differing from other genome-wide DNA methylation analyses not using PCR, such as the methylated DNA immunoprecipitation-microarray. In order to validate the results of BAMCA, we quantitatively evaluated the DNA methylation status of each Xma I/Sma I site yielding labeled products which are effective in BAMCA on representative BAC clones, by pyrosequencing. As shown in the example in Figure S1 and Table S5, pyrosequencing validated the BAMCA data on the representative BAC clone.

The present DNA methylation analysis revealed that stepwise DNA methylation alterations during urothelial carcinogenesis occurred in a genome-wide manner (Fig. 1). We then performed unsupervised hierarchical clustering analysis based on the genome-wide DNA methylation status of noncancerous urothelia, and as a result, 17 patients were subclassified into Clusters A_N and B_N. Corresponding UCs showing deeper invasion were found to be accumulated in Cluster B_N. Genome-wide DNA methylation profiles of noncancerous urothelia obtained from patients with invasive UCs were inherited by the invasive UCs themselves (Fig. 2b). DNA methylation profiles of noncancerous urothelia obtained from patients with superficial UCs were not always inherited by superficial UCs (data not shown), corresponding to the alternative malignant progression of superficial papillary carcinoma to nodular invasive carcinoma, via papillonodular carcinoma. Genome-wide DNA methylation alterations that were correlated with the development of more malignant invasive cancers were already accumulated in noncancerous urothelia, suggesting that DNA methylation alterations at the precancerous stage may not occur randomly but are prone to further accumulation of genetic and epigenetic alterations and generate more malignant cancers.

The present genome-wide analysis revealed DNA methylation profiles that were able to completely discriminate noncancerous urothelia obtained from patients with UCs from normal urothelia and diagnose them as having a high risk of urothelial carcinogenesis with a sensitivity and specificity of 100%. We are currently attempting to develop methodology for assessing the tendency for DNA methylation in the 83 BAC regions in urine samples with a view to application for screening of healthy individuals. If it proves possible to identify individuals who are at high risk of urothelial carcinogenesis, then strategies for the prevention or early detection of UCs, such as smoking cessation or repeated urine cytology examinations, might be applicable.

Even after surgery with curative intent, some UCs relapse and metastasize to lymph nodes or distant organs.[35] Recently, new systemic chemotherapy and targeted therapy have been developed for treatment for UCs.[36] In order to start adjuvant systemic chemotherapy immediately in patients who have undergone surgery and are still at high risk of recurrence and metastasis, prognostic indicators have been explored. The present genome-wide analysis revealed DNA methylation profiles that were able to discriminate patients who suffered recurrence after surgery from patients who did not with a sensitivity and specificity of 100% (Fig. 4b), whereas a high histological grade,[21] invasive growth (pT2 or more), and vascular or lymphatic involvement, which are known to have a prognostic impact,[37,38] were incapable of such complete discrimination (data not shown). Therefore, a combination of the 20 BAC clones can have significant prognostic value for patients with UCs. Since a sufficient quantity of good-quality DNA can be obtained from each surgical specimen, our array-based analysis that overviews aberrant DNA methylation of each BAC region is immediately applicable to routine laboratory examinations for prognostication after surgery. The reliability of such prognostication will need to be validated in a prospective study.

As mentioned above, UCs are remarkable because of their multicentricity. Approximately 10–30% of patients with UCs of the renal pelvis and ureter develop intravesical metachronous UCs after nephroureterectomy.[24,25] Therefore, such patients have to undergo repeated urethrocystoscopic examinations to detect intravesical metachronous UCs. To decrease the need for invasive urethrocystoscopic examinations and assist close follow-up of such patients after nephroureterectomy, indicators for intravesical metachronous UCs have been needed. All of our patients who developed intravesical metachronous UCs after nephroureterectomy belonged to Cluster B_{NP}, indicating that DNA methylation profiles of noncancerous urothelia obtained by nephroureterectomy from patients with UCs of the renal pelvis or ureter, which may be exposed to the same carcinogens in the urine as noncancerous urothelia from which metachronous UCs originate, are correlated with the risk of intravesical metachronous UC development. The present genome-wide analysis revealed DNA methylation profiles that were able to completely discriminate patients with UCs of the renal pelvis or ureter who developed intravesical metachronous UCs from patients who did not, in noncancerous urothelia from nephroureterectomy specimens. A combination of the present 11 BAC clones may be an optimal indicator for the development of intravesical metachronous UC. The reliability of such prognostication will again need to be validated in a prospective study.

With respect to background factors of genome-wide DNA methylation alterations during urothelial carcinogenesis, smoking history did not correlate significantly with the numbers of BAC clones showing DNA hypo- or hypermethylation in noncancerous urothelia obtained from patients with UCs and in UCs, or with clustering (Cluster A_N *vs* Cluster B_N and Cluster A_T *vs* Cluster B_T) (Table S6). In addition, immunohistochemically examined DNMT1 protein expression levels did not correlate significantly with the numbers of BAC clones showing DNA hypo- or hypermethylation in noncancerous urothelia obtained from patients with UCs and in UCs, or with clustering (Cluster A_N *vs* Cluster B_N and Cluster A_T *vs* Cluster B_T) (Table S7), indicating that expression levels of DNMT1 did not by themselves simply determine DNA methylation profiles. However, our previous study revealed remarkable protein overexpression of DNMT1 in noncancerous urothelia obtained from patients with UCs as compared to normal urothelia.[8] Therefore, undefined cofactors may recruit DNMT1 or other proteins regulating DNA methylation status to aberrant target sequences and may participate in DNA methylation alterations in noncancerous urothelia obtained from patients with UCs. Further studies are needed to elucidate molecular mechanisms of DNA methylation alterations in such noncancerous urothelia.

Moreover, when the DNA methylation status for CpG islands of *p16*, human MutL homologue 1 (*hMLH1*), thrombospondin-1 (*THBS-1*), and death-associated protein kinase (*DAPK*) genes and the methylated in tumor (MINT)-1, -2, -12, -25, and -31 clones were examined in noncancerous urothelia obtained from patients with UCs and in UCs by methylation-specific PCR and combined bisulfite restriction enzyme analysis as in our previous study,[9,39] the incidence of DNA

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methylation on each CpG island and the average number of methylated CpG islands did not correlate significantly with the numbers of BAC clones showing DNA hypo- or hypermethylation in noncancerous urothelia obtained from patients with UCs and in UCs, or with clustering (Cluster A_N *vs* Cluster B_N and Cluster A_T *vs* Cluster B_T) (Table S8). Therefore, molecular mechanisms for alterations of genome-wide DNA methylation profiles may differ from those for regional DNA hypermethylation on CpG islands.

Although BAMCA mainly provides an overview of the DNA methylation status of individual large regions among all chromosomes as mentioned above, it may also be able to identify genes for which expressions are regulated by DNA methylation, since there are promoter regions of specific genes including CpG islands on BAC clones showing clinicopathologically significant DNA hypo- or hypermethylation (Table S4). Expression levels and the DNA methylation status of these genes, as well as the functions of the proteins coded by such genes, will be examined in a future investigation. If further studies identify tumor-related genes for which expression levels are regulated by DNA methylation among such candidates, these tumor-related genes may serves as targets for epigenetic prevention and therapy, along with the molecules causing alterations of genome-wide DNA methylation profiles.

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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Fig. S1. Examples of bacterial artificial chromosome (BAC) array-based methylated CpG island amplification (BAMCA) data validation by pyrosequencing.

Table S1. Eighty-three bacterial artificial chromosome (BAC) clones that were able to discriminate noncancerous urothelia obtained from patients with urothelial carcinomas (UCs) (N) from normal urothelia (C) with a sensitivity and specificity of 75% or more.

Table S2. Twenty bacterial artificial chromosome (BAC) clones that were able to discriminate urothelial carcinomas (UCs) in patients who developed recurrence (Pos) from those in patients who did not (Neg).

Table S3. Eleven bacterial artificial chromosome (BAC) clones that were able to discriminate noncancerous urothelia in patients with urothelial carcinomas (UCs) of the renal pelvis or ureter who developed intravesical metachronous UC (Pos) from those in patients who did not (Neg).

Table S4. Genes, CpG islands in the promoter regions, and repeat elements of bacterial artificial chromosome (BAC) clones in Tables S1, S2, and S3.

Table S5. Primer sets for validation study by pyrosequencing.

Table S6. Correlation between smoking history and DNA methylation status in noncancerous urothelia obtained from patients with urothelial carcinomas (UCs) and UCs.

Table S7. Correlation between protein expression levels of DNA methyltransferase (DNMT) 1 and DNA methylation status in noncancerous urothelia obtained from patients with urothelial carcinomas (UCs) and UCs.

Table S8. Correlation between regional DNA hypermethylation on CpG islands and the results of bacterial artificial chromosome (BAC) array-based methylated CpG island amplification (BAMCA) in noncancerous urothelia obtained from patients with urothelial carcinomas (UCs) and UCs.

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Intraductal carcinosarcoma with a heterologous mesenchymal component originating in intraductal papillary-mucinous carcinoma (IPMC) of the pancreas with both carcinoma and osteosarcoma cells arising from IPMC cells

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ABSTRACT

Carcinosarcoma of the pancreas is extremely rare and its histogenesis is still unclear. This is a report on a 64-year-old female patient with an intraductal carcinosarcoma arising from intraductal papillary-mucinous carcinoma (IPMC) in the pancreas tail. The carcinosarcoma grew as a polypoid mass within the main pancreatic duct. Histologically, the tumour consisted of adenocarcinoma covering the luminal surface of the lesion with minimal stromal invasion, and osteosarcoma occupying the stroma. Immunohistochemical and gene mutation analyses revealed that both the carcinomatous and sarcomatous tumour cells of the carcinosarcoma, as well as the IPMC cells, expressed TP53 and had identical mutations in *KRAS* and *TP53* genes, indicating that these two neoplastic components of the carcinosarcoma shared a common tumorigenesis and arose from the IPMC. This is the first report of a carcinosarcoma originating in IPMC. These findings imply that carcinosarcoma with a heterologous mesenchymal component is of ductal origin.

INTRODUCTION

Carcinosarcoma of the pancreas is a very rare tumour and only several cases have been reported hitherto.[1–6] These cases were diagnosed as carcinosarcoma histopathologically and immunohistochemically on the basis of the presence of both malignant epithelial and malignant mesenchymal components. Only two of the reported cases showed heterologous mesenchymal components.[1 2] The histogenesis of this tumour is still unclear, although there have been several hypotheses that it originates from epithelial cells, mesenchymal cells, undifferentiated precursor cells or stem cells. It has been difficult to assess its histogenesis, because pancreatic carcinosarcoma is extremely rare and is usually advanced at the time of diagnosis.

Here we present the first reported case of pancreatic intraductal carcinosarcoma with a heterologous mesenchymal component (osteosarcoma), which is located in an intraductal papillary-mucinous carcinoma (IPMC). This case is thought to be important for considering the histogenesis of pancreatic carcinosarcoma with a heterologous mesenchymal component.

CASE REPORT

A 64-year-old Japanese woman who attended our hospital for a health check-up was found by abdominal ultrasonography to have a cystic tumour in the tail of the pancreas. She had no symptoms and all clinical and laboratory data were normal. The serum concentrations of tumour markers were elevated (CA19-9, 87 U/ml; carcinoembryonic antigen (CEA), 2.7 ng/ml). She had been treated for diabetes mellitus for 11 years. Abdominal CT revealed a 2 cm cystic mass in the pancreatic tail (figure 1A). Within the cyst, there were irregular and solid nodules with calculus. The tail of the pancreas had been totally replaced by the tumour. No lymphadenopathy, ascites, liver metastasis or mass in the soft tissues was found. Distal pancreatectomy was performed under a preoperative diagnosis of invasive carcinoma originating in IPMC. The operation was uneventful, and 12 months after surgery, the patient is well without any tumour recurrence or metastasis.

PATHOLOGICAL AND GENETIC FINDINGS

A grossly elastic, hard, solid, spherical mass measuring 35×21×14 mm was present in the tail of the pancreas. At the cut surface, there was a papillary-to-polypoid projection located in the main and branch pancreatic ducts, which were cystically dilated and filled with clear yellowish mucinous fluid (figure 1B). These intraductal lesions were surrounded by yellowish-grey solid and nodular components of the tumour from the side of the pancreatic tail.

Histologically, the tumour comprised an intraductal neoplasm and a derivative invasive carcinoma (figure 2). The luminal surface of the dilated pancreatic ducts was covered with atypical mucin-secreting columnar epithelial cells showing papillary growth (figure 2A,B), indicating a diagnosis of IPMC. No ovarian-type stroma was evident. It was noteworthy that biphasic histological features were found in the polypoid lesion in the main pancreatic duct, which consisted of papillary proliferation of adenocarcinoma covering the luminal surface of the projecting mass with infrequent and minimal stromal invasion and an osteosarcoma occupying the stroma. The osteosarcoma showed invasive growth, but its extension was limited to the stroma of the IPMC, which was not beyond the duct wall

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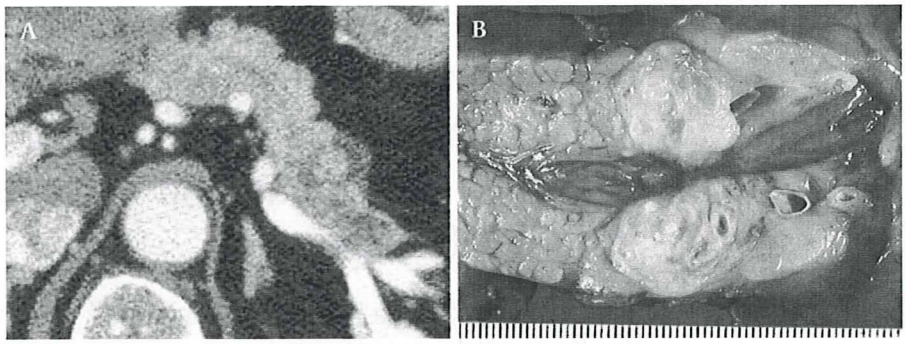

Figure 1 (A) Abdominal CT image showing a 2 cm cystic mass in the pancreatic tail. (B) Fresh cut view of the body and tail of the pancreas.

(figure 2C–E). The osteosarcoma was characterised by a dense proliferation of malignant spindle-shaped and pleomorphic cells with mononucleated and multinucleated giant cells that had atypical and bizarre nuclei and formed osteoid and bone (figure 2E). Occasional infiltration of osteoclast-like multinucleated giant cells without nuclear atypia was evident. This intraductally proliferating mixed epithelial and mesenchymal tumour was diagnosed as carcinosarcoma, which seemed to have originated in the IPMC. Formation of osteoid and/or bone is rare but possible in cases of undifferentiated carcinoma with osteoclast-like giant cells, although the osteoid and/or bone is a result of reactive stromal metaplasia without any atypia in such cases.[7]

In addition to the intraductal tumour, IPMC cells had infiltrated beyond the duct wall and reached the surrounding stroma, showing a marked desmoplastic reaction at the side of the pancreatic tail bearing the tumour (figure 2B). The infiltrating cancer cells proliferated with poorly formed glands and solid to nested growth, indicating poorly differentiated adenocarcinoma. The infiltrating adenocarcinoma formed a nodular mass measuring 25×21×14 mm, although the invasive adenocarcinoma was not connected to the intraductal carcinosarcoma.

Immunohistochemical examination revealed expression of cytokeratins (AE1/AE3 and CK7) and vimentin, which

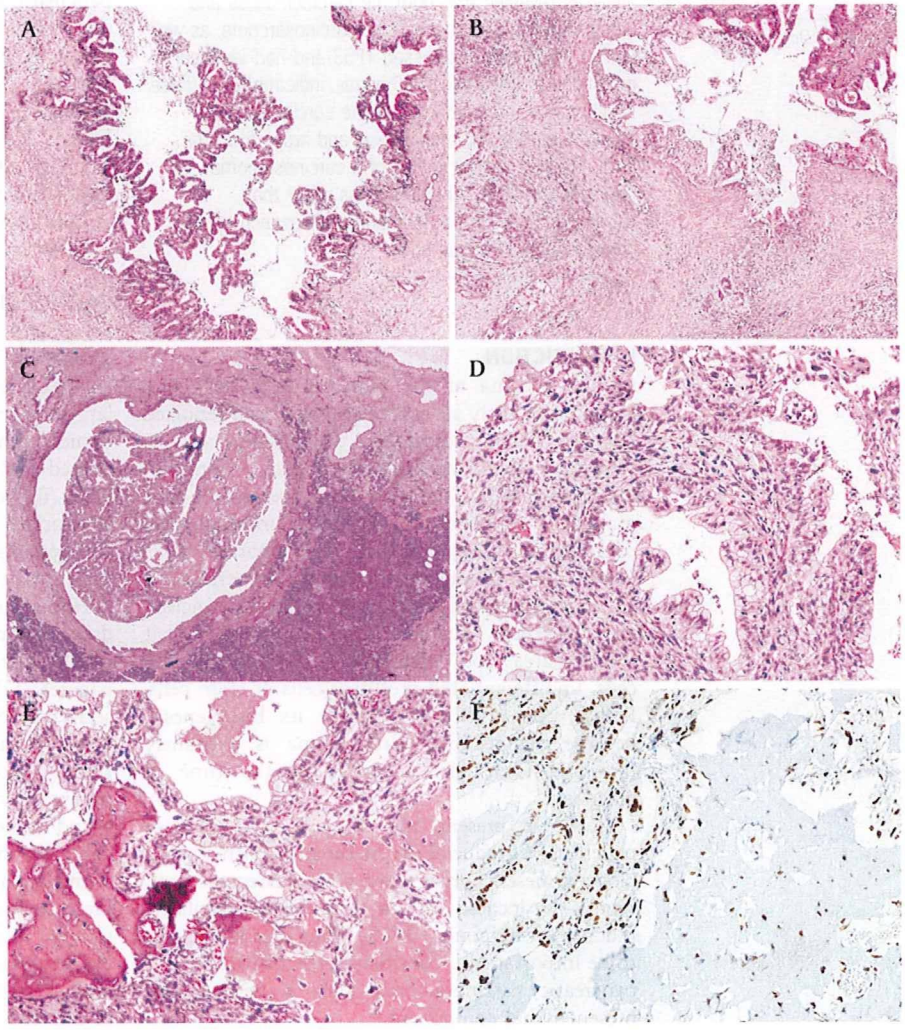

Figure 2 (A, B) Histopathological features of intraductal papillary-mucinous carcinoma (IPMC) (A) and invasive adenocarcinoma arising from IPMC (B). (C–E) Histopathological features of intraductal carcinosarcoma originating in IPMC. (C) A very-low-power view of the polypoid lesion in the main pancreatic duct. (D, E) Mid-power view of the polypoid lesion. (F) Immunohistochemical expression of TP53 in intraductal carcinosarcoma originating in IPMC.

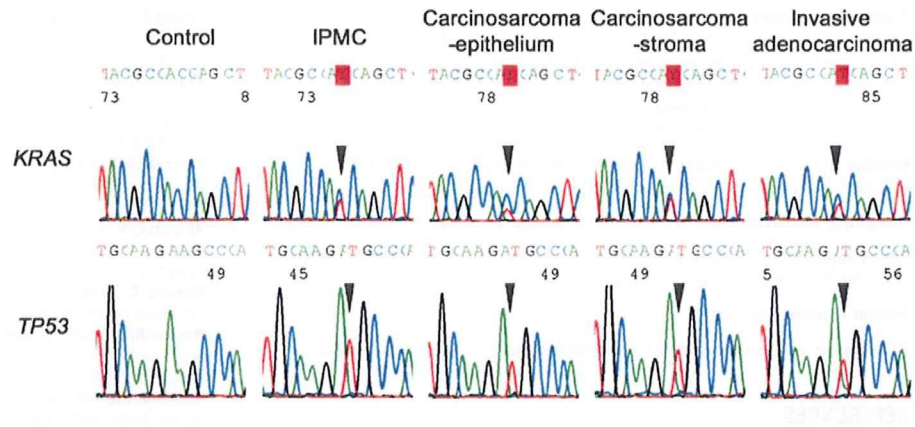

Figure 3 Mutations of *KRAS* and *TP53* genes in each tumour component. All four tumour components examined (epithelial and mesenchymal tumour cells in the carcinosarcoma, intraductal papillary-mucinous carcinoma (IPMC) cells and invasive adenocarcinoma cells), harboured identical *KRAS* and *TP53* mutations. The sequences were read with reverse primers. Triangles indicate locations of point mutations.

confirmed the epithelial and mesenchymal components of tumour cells detected histologically. TP53 was expressed in the nuclei of most of the intraductal and invasive epithelial tumour cells as well as the mesenchymal tumour cells (figure 2F). CD68 antigen was expressed in some of the multinucleated giant cells without nuclear atypia. These CD68-positive osteolclast-like giant cells did not express TP53.

Four distinct tumour components (epithelial and mesenchymal tumour cells in the carcinosarcoma, IPMC cells and invasive adenocarcinoma cells) were separately laser-microdissected and analysed for *KRAS* and *TP53* mutations. DNA samples extracted from the microdissected tissues were subjected to PCR with a pair of specific primers to amplify exon 1 of *KRAS* or exon 4 of *TP53*, and isolated PCR products were sequenced bidirectionally. The analysis revealed identical *KRAS* (G35A mutation in exon 1) and *TP53* (T337A mutation in exon 4) mutations in all four tumour components examined (figure 3). Non-neoplastic pancreatic parenchyma adjacent to the tumour exhibited wild-type sequences, confirming the somatic nature of the mutations.

DISCUSSION

Carcinosarcoma is a biphasic tumour consisting of an intimate admixture of malignant epithelial and mesenchymal components identifiable on the basis of their morphological, immunohistochemical and sometimes ultrastructural features. Nine cases of carcinosarcoma of the pancreas have been reported,[1–6] including two with heterologous mesenchymal components; one of the latter cases exhibited leiomyosarcoma, chondrosarcoma and rhabdomyosarcoma,[1] and the other showed malignant nerve sheath tumour as heterologous mesenchymal components.[2] No case of either carcinosarcoma arose from intraductal papillary-mucinous neoplasm (IPMN) and all were found at an advanced stage, with an average tumour diameter of 9.6 cm (range 2.5–19 cm).

To our knowledge, the present case of carcinosarcoma with a heterologous mesenchymal component originating in IPMN is the first of its kind to have been reported. Immunohistochemical and gene mutation analyses revealed that both the carcinomatous and sarcomatous tumour cells in the carcinosarcoma as well as the IPMC cells expressed TP53 and had common mutations in *KRAS* and *TP53* genes, indicating that these two neoplastic components of the carcinosarcoma had a common origin, IPMC. This case provides new findings supporting the hypothesis that carcinosarcoma with a heterologous mesenchymal component is of ductal origin and arises from IPMN.

The histogenesis of carcinosarcoma is still controversial, but the previously proposed hypotheses have now been combined as the following: (1) it is a combination tumour in which carcinomatous and sarcomatous elements arise from a multipotential stem cell; (2) it is a collision tumour in which two independent neoplasia, carcinoma and sarcoma, develop; (3) it is a carcinoma showing metaplastic changes to sarcoma components. The definition of carcinosarcoma in the WHO histological classification differs according to the organ in which the tumour develops. A mixed epithelial and mesenchymal tumour with heterologous mesenchymal components is defined as carcinosarcoma in the histological classification of tumours of many organs, including the colon and rectum, gallbladder and extrahepatic bile ducts, and lung.[8] In contrast, a mixed epithelial and mesenchymal tumour, regardless of the presence of heterologous mesenchymal components, is defined as carcinosarcoma in the histological classification of tumours of the breast and female genital tract. It is thought that most, but not all, of the mesenchymal components in carcinosarcoma of the female genital tract arise from the carcinoma through metaplastic change and that the small population of the carcinosarcoma left is formed by collision of carcinoma and sarcoma.[9] The present case suggests that carcinosarcoma of the pancreas arises from a carcinoma with metaplastic changes, although the WHO histological classification of pancreatic tumours includes no specific category for carcinosarcoma.[8] According to the previous report,[2] a sarcomatous component is speculated to arise from ovarian-type stroma characteristic of mucinous cystic neoplasm. In such a case, carcinosarcoma should be formed as a collision tumour.

In summary, we have presented a case of pancreatic intraductal carcinosarcoma originating in IPMC. Our morphological, immunohistochemical and genetic findings suggest that the carcinosarcoma with a heterologous mesenchymal component was ductal in origin.

Take-home messages

- Carcinosarcoma of the pancreas is extremely rare and its histogenesis is still unclear.
- We describe an intraductal carcinosarcoma arising from intraductal papillary-mucinous carcinoma (IPMC) in the pancreas tail.
- Both the epithelial component (adenocarcinoma) and heterologous mesenchymal component (osteosarcoma) of the carcinosarcoma, as well as the IPMC, expressed TP53 and had identical mutations in *KRAS* and *TP53* genes, indicating that these two neoplastic components of the carcinosarcoma shared a common tumorigenesis and arose from the IPMC. These findings imply that carcinosarcoma with a heterologous mesenchymal component is of ductal origin.

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