

overlook small-volume tumor deposits. Currently, many investigators accept that SLNs should be confirmed as malignant by a more detailed pathological examination rather than a rough routine examination. Pathological diagnostic approaches, including serial sectioning and IHC, have been developed for the optimal assessment of metastatic involvement of the SLN. However, the pathological examination of SLNs varies considerably and is not standardized. Cserni et al.²⁶ reported a high degree of variability among European pathologists in the methods they used.

The clinical significance of micrometastases is best addressed by a prospective study with a defined initial lymph node evaluation strategy that includes slicing the lymph nodes as close to 2.0 mm in the greatest thickness as possible prior to embedding them in paraffin. Serial sectioning and the use of IHC have led to the increased detection of minimal lymph node involvement, classified as micrometastases (pN1mi) and ITCs (pN0(i+)), as occurring in 4%–62% of patients in protocols not using IHC consecutively.^{27–32} There is no doubt that IHC is more sensitive than conventional H&E.

Stage migration from pN0 to pN1mi will be encountered in some patients by the use of IHC. The use of serial sectioning of the SLN has been established, but it still leaves the question open as to whether routine evaluation of SLNs by IHC is necessary³³ and whether the detection of metastasis using IHC can have an impact on the prognosis.³¹ The routine use of IHC may increase the detection of false-positive tumor deposits and the detection of metastases of no biological relevance.

Molecular techniques

Pathologists can also use currently established highly sensitive molecular techniques, such as the polymerase chain reaction (PCR), that are capable of detecting trace amounts of keratin messenger RNA (mRNA) produced by epithelial cells. Published studies show that PCR-based assays will detect evidence of epithelial cells in SLNs that appear negative for metastatic carcinoma by routine H&E and IHC.³⁴ However, it is not clear what exactly is the biological and clinical significance of an SLN whose only evidence of metastatic involvement is a positive PCR, because there can be no morphologic assessment of the cells responsible for the positive reaction.

We must remember that the positive PCR reaction can result from any epithelial cells; metastatic carcinoma or benign epithelial cells carried into the lymph node by chance or by intraoperative contamination. Furthermore, many investigators using PCR for SLNs divide the SLNs in half, with half submitted for routine H&E and IHC, and the other half submitted for PCR.^{34–39} When tumor foci exist in only one half of an SLN specimen, a discrepancy will occur between the morphologic assessment and the molecular assessment.⁴⁰ Therefore, the results are difficult to interpret regarding whether a positive PCR result means a “true” metastasis in the SLN. The problem is not the detection rate and sensitivity, but the specificity. The clinical value of

histologically negative but PCR-positive SLNs can only be determined with long-term follow up.

Frequency of sentinel lymph node micrometastases and nonsentinel lymph node metastasis

Frequency of micrometastases

Table 1 shows the reported frequency in the literature of SLN micrometastases, and the prevalence of nonSLN metastasis with micrometastatic involvement of SLNs.^{29,30,35,41–59} SLNs were positive in 21% to 47% of patients in various series. Of these positive patients, the frequency of SLN micrometastases was 18% to 59% (average, 38%). Of these micrometastatic patients, the prevalence of nonSLN metastasis showed a relatively wide range, of 0% to 57%. Several investigators (Table 1) showed a relatively high-rate of nonSLN macrometastases in spite of micrometastatic involvement of the SLN.

Lymph node metastasis is a multifactorial event. Several variables have been described as predictors of lymph node metastasis in breast cancer. The size of lymph node metastasis was significantly correlated with other prognostic features, such as the presence of vascular invasion, high grade, and large tumor size. Other studies have already reported the correlation of the degree of lymph node metastasis with other unfavorable prognostic factors. In particular, a significant correlation between micrometastases and large tumor size or the presence of vascular invasion has been reported.^{7,60}

Prediction of nonSLN metastasis

In 32%–66% of patients with positive SLNs, the SLN is the sole site of regional node metastasis.^{41–43,61–63} The risk of nonSLN metastasis is related to the size of disease in the SLN, being greatest for macrometastases, intermediate for micrometastases, and least for ITCs, which are usually detected by IHC.⁵⁴ Needless to say, the risk depends on the method of detection of the SLN metastasis. This should be taken into account when assessing the risk of omission of ALND after a positive SNB yielding micrometastatic or IHC-positive SLNs.⁶⁴

Thus, it has become clear that the size of the metastasis in the SLN is one of the most powerful predictors of the nonSLN metastasis. Some investigators have reported that other risk factors (such as primary tumor size, lymphovascular invasion, and the number of positive and negative SLNs) were also potentially associated with nonSLN metastases on multivariate analyses.^{41–43,61–63} Van Zee et al.,⁶⁵ at Memorial Sloan-Kettering Cancer Center, developed a nomogram to determine the probability of additional positive nonSLNs. Some validation studies have suggested that the nomogram may help predict an individual's risk and assist in patient decision-making regarding the benefit of ALND.^{66–68}

Table 1. Frequency of SLN micrometastasis, and prevalence of nonSLN metastasis with micrometastatic involvement of SLNs

No.	Author	Year	Detected SLN	Positive SLN	Positive SLN/ detected SLN (%)	SLN with micro	Micro/positive SLN (%)	Performed ALND with micro	Positive nonSLN	Positive nonSLN/ with micro (%)	NonSLN macro/ SLN micro (%)
1	Reynolds ⁴¹	1999	220	60	27%	27	45%	27	6	22%	NA
2	Chu ⁴²	1999	422	158	37%	69	44%	69	5	7%	NA
3	Turner ⁴³	2000	514	214	42%	111	52%	89	20	22%	8%
4	Liang ⁴⁴	2001	226	82	36%	15	18%	11	0	0%	NA
5	Viale ⁴⁵	2001	684	250	37%	86	34%	110	24	22%	NA
6	Mignotte ⁴⁶	2002	277	129	47%	76	59%	68	15	22%	NA
7	den Bakker ⁴⁷	2002	NA	NA	NA	32	NA	32	11	34%	6%
8	Fant ⁴⁸	2003	360	102	28%	27	26%	0	NA	NA	NA
9	Nos ⁴⁹	2003	800	263	33%	123	47%	123	8	7%	NA
10	Hwang ⁵⁰	2003	627	131	21%	30	23%	30	17	57%	NA
11	Fournier ⁵¹	2004	194	48	25%	21	44%	16	1	6%	NA
12	Giard ⁵²	2004	525	142	27%	55	39%	40	6	15%	0%
13	Fau ⁵³	2005	390	114	29%	45	39%	18	3	17%	NA
14	Langer ⁵³	2005	224	101	45%	30	30%	0	NA	NA	NA
15	Viale ⁵⁴	2005	4207	1228	29%	434	35%	434	85	20%	13%
16	Leidenius ³⁰	2005	NA	NA	NA	84	NA	84	22	26%	10%
17	Schrenk ⁵⁵	2005	966	379	39%	138	36%	122	22	18%	18%
18	Rutledge ⁵⁶	2005	358	89	25%	29	33%	29	1	3%	3.4%
19	Nagashima ⁵⁷	2006	314	73	23%	19	26%	NA	NA	NA	NA
20	Gipponi ⁵⁸	2006	1284	NA	NA	117	NA	116	16	14%	NA
21	Houvenaeghel ⁵⁹	2006	NA	NA	NA	700	NA	700	94	13%	NA
22	van Rijk ³³	2006	2150	649	30%	253	39%	106	20	19%	NA

SLN, Sentinel lymph node; micro, micrometastasis; macro, macrometastasis; ALND, axillary lymph node dissection; NA, not available
Isolated tumor cells were included in the microcategory

SLNs in all studies were examined by multisections and by using immunohistochemistry

Sentinel lymph node micrometastases and locoregional control

It is standard practice that positive SLNs mandate complete ALND. In patients with SLN macrometastases (>2.0 mm), which can be easily detected even by routine pathological examination, a high frequency (39%–79%) of nonSLN metastases has been observed.^{29,44,46,49,50,55,56} This justifies the idea that patients with SLN macrometastasis are the best candidates for ALND.

What should we do about minimal SLN involvement? Certainly, in approximately 80% of patients with SLN micrometastases, the SLN is the only involved axillary lymph node. These patients are unlikely to benefit from further axillary surgery. The guidelines of the ASCO panel recommend routine ALND for patients with SLN micrometastases found on SNB, regardless of the method of detection, but they make no recommendations regarding the significance of ITCs.⁷ It remains unclear whether ITCs or micrometastases detected with H&E or IHC represent an adverse prognostic indicator and whether ALND should be carried out in all of such patients.

Omission of ALND for patients with SLN micrometastases

Recent retrospective studies of selected patients with micrometastases without further ALND suggest that this subset of patients will not suffer from a higher incidence of regional recurrence. Some authors have reported on the prognosis of patients with micrometastases without ALND. Fan et al.²⁹ demonstrated that 1 patient (with a single micrometastasis) of 27 patients without ALND developed regional and systemic failure 17 months after mastectomy. Nagashima et al.⁵⁷ reported that axillary relapse occurred in only 1 patient with micrometastasis 24 months after the surgery.

However, some limitations of these studies should be pointed out. Usually, ALND was not performed because of patients' preference after consultation with their physicians. Several investigators reported no local failure without ALND in a short follow-up period.^{44,48,51,53,69,70} Systemic recurrence and local failure associated with residual axillary disease in SLN-positive patients electing to have no further axillary surgery has not been observed over a long-term period.

Radiation instead of ALND for patients with positive SLN

For several decades, breast-conserving surgery plus radiotherapy has been recognized as an acceptable alternative to mastectomy in patients with early-stage breast cancer. The management of regional lymph nodes in these patients, however, remains a highly complicated and controversial issue. A major subject of concern is the relative benefit of ALND versus axillary radiotherapy for SLN-positive patients. For axillary radiotherapy a portion of the axilla is

covered in the radiation field for some patients. The majority of level I and level II axilla can be included in a breast-conserved radiation field when a high-tangent technique is utilized.⁷¹ Pejavar et al.⁷² reported that none of 16 SLN-positive patients treated with radiotherapy without ALND had nodal failure.

In the Axillary Lymphatic Mapping Against Nodal Axillary Clearance (ALMANAC) trial, which is a multicenter, randomized clinical trial to compare quality-of-life outcomes between patients with SNB and patients who received ALND, patients were randomized to undergo either SNB or ALND (or four-node axillary sampling). Patients with a positive SLN (based on paraffin section histology) were offered a choice of either delayed ALND or axillary radiotherapy, after discussion with the patient and the multidisciplinary team. Of the 121 patients with a positive SLN for whom axillary treatment information was available, 33 patients received axillary radiotherapy. At 12 months after surgery, there was no axillary local recurrence in this group.^{6,73} Nodal radiotherapy may also be an effective treatment for SLN-positive patients.

Prognostic impact of micrometastasis

In general, current information on the prognostic value of lymph node metastasis is largely dependent on the results of a series of older retrospective studies collected over several years. Numerous studies have investigated the impact of occult metastasis. It is thought that almost all occult metastases detected by re-examination of serial sections and/or IHC were micrometastases or smaller. Historical and retrospective studies of occult metastases do not have controlled initial node sampling and therefore cannot be directly compared with modern SLN evaluation, in which nodes have been thinly sliced and totally embedded. Nevertheless, we may be able to assess the significance of micrometastasis in SLN from such studies.

Dowlatshahi et al.⁷⁴ produced a review (1948–1996) which attempted to evaluate the role of occult lymph node micrometastases and their relevance to disease recurrence. Recent published studies (1999–2007) of occult (micro-) metastases and their prognostic significance are summarized in Table 2.^{27,75–79} The recent studies that showed survival differences had larger patient populations, a relatively long follow-up period (4–25 years), or the detection of occult lymph node metastases by multi-section and/or IHC. These various studies suggest that the prognosis of breast cancer patients with micrometastases should not be considered the same as that of truly node-negative patients.

The International Breast Cancer Study Group reassessed the axillary nodal status (negative for metastases by routine histology) of 921 patients (International Breast Cancer Study Group Trial V) after re-evaluation by serial sectioning and staining with H&E; they showed that the presence of micrometastases in patients was associated with an increased chance of relapse when compared with patients

Table 2. Recent published studies of occult metastases and their prognostic significance

No.	Author	Year	No. of occult metastases	No. of occult pN0	Detection methods	Size of occult metastasis	Follow-up period (years)	DFS	OS	Comments
1	Grabau ⁷⁵	2007	427	4767	H&E, IHC, not serial	≤2.0 mm	10	NA	$P = 0.04$ (premenopausal)	IHC was used when H & E was not clear
2	Kahn ⁷⁶	2006	27	186	H&E, IHC	>0.2 mm to 2.0 mm	8	$P = 0.32$	$P = 0.67$	95% of patients did not receive adjuvant systemic therapy
3	Colleoni ²⁷	2005	232	1400	H&E, IHC	≤2.0 mm	4	$P = 0.047$	$P = 0.037$ for DM	By multivariate analysis
4	Kuijt ⁷⁷	2005	87	4263	H&E, single section	≤2.0 mm	25 (Maximum)	NA	$P = 0.09$	Only patients without adjuvant systemic therapy
5	Susnik ⁷⁸	2004	21	75	H&E, IHC, serial section	≤2.0 mm	15	NA	$P = 0.004$ for DM	21 Patients, only with occult micrometastases, by multiple logistic regression model
6	Cote ⁷⁹	1999	148	588	H&E, IHC, serial section	Various sizes	12	$P = 0.09$	$P = 0.10$	All patients
			53	290				$P = 0.01$	$P = 0.003$	Only postmenopausal women

DFS, Disease-free survival; OS, overall survival; H&E, hematoxylin and eosin; IHC, immunohistochemical staining; NA, not available; DM, distant metastasis

found to have no micrometastases on reassessment.⁸⁰ Furthermore, Cote et al.⁷⁹ showed that similar results, in terms of worse disease-free survival (DFS) and overall survival (OS), were reported when the analysis was conducted with immunohistochemistry, although the results were statistically significant only in postmenopausal patients.

Recently, Colleoni et al.²⁷ published a large prospective study testifying to the significance of micrometastasis in breast cancer patients as assessed by multivariate analysis. This study demonstrated that a statistically significant difference in DFS and risk of distant metastases was also observed for patients with minimal lymph node involvement versus patients with node-negative disease (hazard ratio [HR], 1.58; 95% confidence interval [CI], 1.01 to 2.47; $P = 0.047$ for DFS; HR, 1.94; 95% CI, 1.04 to 3.64; $P = 0.037$ for distant metastases). Minimal lymph node involvement was associated with poorer DFS independently of whether it was detected in SLN or after ALND.

Another large retrospective study showing similar results was also recently reported. Kuijt et al.⁷⁷ analyzed 10 111 patients with invasive breast cancer over a nearly 25-year period ending in 2002. This study showed the effect of adjuvant systemic treatment in patients with a single axillary lymph node micrometastasis. Among the patients without adjuvant systemic treatment, patients with micrometastases had a significantly higher risk of dying as compared to patients with node-negative breast cancer (HR, 1.51; 95% CI, 1.11–2.06; $P = 0.009$). Lymph node micrometastases remained a significant independent predictor of mortality on multivariate analysis.

The study by Grabau et al.⁷⁵ is the largest study to date showing population-based national figures for incidence rates and the prognostic value of micrometastases, on multivariate analysis. In this study, of patients with three or fewer metastatic axillary lymph nodes, patients with micrometastases ($n = 427$) experienced a significantly worse OS compared with node-negative patients ($n = 4767$), irrespective of menopausal status. (relative risk, 1.20; 95% CI, 1.01–1.43; $P = 0.04$ in premenopausal women and $P = 0.03$ in postmenopausal women).

On the other hand, Kahn et al.⁷⁶ suggest that breast cancer patients with occult micrometastases (not included in macrometastasis) in axillary lymph nodes have a prognosis similar to those with no micrometastases in a median 8-year follow-up.

Although data from randomized controlled trials are lacking, it is surprising that most studies have documented a poorer prognosis for patients with micrometastasis compared with that for node-negative patients, suggesting that such minimal lymph node involvement cannot be safely overlooked. Patients with micrometastases should receive some adjuvant systemic therapy.

Prospective randomized controlled trials

Ongoing or completed/closed randomized trials will help resolve the above-mentioned issue of whether further axil-

lary treatment is mandatory when the SLN is positive, with risk stratification based on the metastatic load.

The International Breast Cancer Study Group (IBCSG) 23-01 study⁸¹ is designed to determine the prognostic significance of minimal (2.0 mm or less) metastatic involvement of SLNs in breast cancer. The nodes are examined extensively by multisection staining with H&E; IHC is used only when the H&E findings are not clear. Patients with SLN micrometastases were randomized to either SNB alone or ALND.

The National Surgical Adjuvant Breast and Bowel Project (NSABP) Protocol B-32^{82,83} is a randomized phase III clinical trial that compares SNB alone to ALND in clinically node-negative breast cancer patients. This large multicenter study is designed to examine the long-term survival effect of SNB alone and its morbidity compared with these parameters for axillary dissection. This trial will also determine whether the survival of patients who have occult tumor cells is worse than that of patients who are negative by both H&E and IHC.

By contrast, the American College of Surgeons Oncology Group (ACOSOG) Z0010 trial^{84,85} is a prospective multicenter trial designed to evaluate the prognostic significance of micrometastases in the SLNs and bone marrow aspirates of patients with early-stage breast cancer. Patients with negative SLN by H&E should not have an ALND. Patients with positive SLN may be eligible for registration and randomization to the Z0011 trial. The objective of the Z0010 trial is to evaluate the hazard rate for regional recurrence in women whose SLNs are negative by H&E and to estimate the prevalence and to evaluate the prognostic significance of SLN micrometastases detected by IHC. In the ACOSOG Z0011 trial,⁸⁶ SLN-positive patients, irrespective of tumor size in the SLN, were randomized into either an ALND or a no-further-axillary-therapy group. The objective of the Z0011 trial was to evaluate the differences in axillary recurrence and overall survival between the two groups. Unfortunately, this trial has now been stopped as a result of poor accrual.

To investigate the effects of nodal radiotherapy for patients with positive SLN, the following randomized trial is ongoing. The European Organization for Research and Treatment of Cancer (EORTC) 10981-22023 AMAROS (After Mapping of the Axilla: Radiotherapy or Surgery) trial⁸⁷ is a phase III study comparing a complete ALND with radiotherapy of the axilla in SLN-positive patients, whereas SLN-negative patients are followed for the endpoints of the study as well. The main objective of the trial is to prove equivalent local regional control for patients with proven axillary lymph node metastasis by SNB if treated with axillary radiotherapy instead of ALND, with reduced morbidity.

ALND will provide maximum staging information and minimize the risk of leaving residual nodal disease in the axilla. This is important for good local regional control of disease, systemic treatment decisions, and possibly patients' overall survival. However, it should be noted that ALND may represent overtreatment for some patients, if the nodal micrometastases prove to have limited biological and clinical

significance. These trials will answer the question of the appropriate treatment for minimal involvement in SLN in the near future.

Conclusions

This article provides an overview of SLN micrometastases for breast cancer. SNB has been established as an excellent surgical and staging procedure developed to enhance the detection of minimal lymph node involvement. For pathological examination, it is recommended to obtain serial SLN slices as close to 2.0 mm as possible prior to embedding the specimen in paraffin, but the prognostic value of the routine use of IHC is not clear. The substantial increase in the number of patients with micrometastases discovered using multi-section has resulted in new problems, especially the question of whether complete ALND and adjuvant systemic therapy are really required for these patients.

On consideration, ALND may be omitted in patients with micrometastases because of the low prevalence of nonSLN metastasis. Undissected axillary lymph nodes, which may contain undetected axillary metastases, could potentially give rise to future local relapse or systemic metastases. Some argue that surgical removal of subclinical nodal disease is associated with a small but non-zero survival benefit, while others argue that current adjuvant systemic and/or radiotherapy would likely treat the majority of patients adequately. The clinical relevance of resecting additional nodal disease remains unknown.

Micrometastases will most likely have some role in influencing the prognosis and management of breast cancer. Ongoing randomized clinical trials will help to resolve questions about the treatment of micrometastasis over the next few years.

References

1. Giuliano AE, Kirgan DM, Guenther JM, et al. (1994) Lymphatic mapping and sentinel lymphadenectomy for breast cancer. *Ann Surg* 220:391-401
2. Krag D, Weaver D, Ashikaga T, et al. (1998) The sentinel node in breast cancer—a multicenter validation study. *N Engl J Med* 339: 941-946
3. Peintinger F, Reitsamer R, Stranzl H, et al. (2003) Comparison of quality of life and arm complaints after axillary lymph node dissection vs sentinel lymph node biopsy in breast cancer patients. *Br J Cancer* 89:648-652
4. Blanchard DK, Donohue JH, Reynolds C, et al. (2003) Relapse and morbidity in patients undergoing sentinel lymph node biopsy alone or with axillary dissection for breast cancer. *Arch Surg* 138:482-488
5. Purushotham AD, Upponi S, Klevesath MB, et al. (2005) Morbidity after sentinel lymph node biopsy in primary breast cancer: results from a randomized controlled trial. *J Clin Oncol* 23: 4312-4321
6. Mansel RE, Fallowfield L, Kissin M, et al. (2006) Randomized multicenter trial of sentinel node biopsy versus standard axillary treatment in operable breast cancer: the ALMANAC Trial. *J Natl Cancer Inst* 98:599-609
7. Lyman GH, Giuliano AE, Somerfield MR, et al. (2005) American Society of Clinical Oncology guideline recommendations for

- sentinel lymph node biopsy in early-stage breast cancer. *J Clin Oncol* 23:7703-7720
8. Rosen PP, Saigo PE, Braun DW, et al. (1981) Prognosis in stage II (T1N1M0) breast cancer. *Ann Surg* 194:576-584
 9. McGuckin MA, Cummings MC, Walsh MD, et al. (1996) Occult axillary node metastases in breast cancer: their detection and prognostic significance. *Br J Cancer* 73:88-95
 10. Nasser IA, Lee AK, Bosari S, et al. (1993) Occult axillary lymph node metastases in "node-negative" breast carcinoma. *Hum Pathol* 24:950-957
 11. Allred DC, Elledge RM (1999) Caution concerning micrometastatic breast carcinoma in sentinel lymph nodes. *Cancer* 86:905-907
 12. Page DL, Anderson TJ, Carter BA (1999) Minimal solid tumor involvement of regional and distant sites: when is a metastasis not a metastasis? *Cancer* 86:2589-2592
 13. Sedmak DD, Meineke TA, Knechtges DS, et al. (1989) Prognostic significance of cytokeratin-positive breast cancer metastases. *Mod Pathol* 2:516-520
 14. Trojani M, de Mascarel I, Coindre JM, et al. (1987) Micrometastases to axillary lymph nodes from invasive lobular carcinoma of breast: detection by immunohistochemistry and prognostic significance. *Br J Cancer* 56:838-839
 15. Redding WH, Coombes RC, Monaghan P, et al. (1983) Detection of micrometastases in patients with primary breast cancer. *Lancet* II:1271-1274
 16. Dearnaley DP, Ormerod MG, Sloane JP (1991) Micrometastases in breast cancer: long-term follow-up of the first patient cohort. *Eur J Cancer* 27:236-239
 17. Rahusen FD, Meijer S, van Diest PJ (2000) Re: Chu et al "Do all patients with sentinel node metastasis from breast carcinoma need complete axillary node dissection?". *Ann Surg* 231:615-616
 18. Black RB, Roberts MM, Stewart HJ, et al. (1980) The search for occult metastases in breast cancer: does it add to established staging methods? *Aust N Z J Surg* 50:574-579
 19. Fisher ER, Palekar A, Rockette H, et al. (1978) Pathologic findings from the National Surgical Adjuvant Breast Project (Protocol No. 4). V. Significance of axillary nodal micro- and macrometastases. *Cancer* 42:2032-2038
 20. Fleming ID, Cooper JS, Henson DE, et al. (1997) Breast. In: *AJCC Cancer Staging Manual*. 5th ed. Lippincott-Raven, New York, pp 171-180
 21. Huvos AG, Hutter RV, Berg JW (1971) Significance of axillary macrometastases and micrometastases in mammary cancer. *Ann Surg* 173:44-46
 22. Singletary SE, Allred C, Ashley P, et al. (2002) Revision of the American Joint Committee on Cancer staging system for breast cancer. *J Clin Oncol* 20:3628-3636
 23. Singletary SE, Allred C, Ashley P, et al. (2003) Staging system for breast cancer: revisions for the 6th edition of the AJCC Cancer Staging Manual. *Surg Clin North Am* 83:803-819
 24. Singletary SE, Greene FL, Sobin LH. (2003) Classification of isolated tumor cells: clarification of the 6th edition of the American Joint Committee on Cancer Staging Manual. *Cancer* 98:2740-2741
 25. Weaver DL (2003) Sentinel lymph nodes and breast carcinoma: which micrometastases are clinically significant? *Am J Surg Pathol* 27:842-845
 26. Cserni G, Amendoeira I, Apostolikas N, et al. (2004) Discrepancies in current practice of pathological evaluation of sentinel lymph nodes in breast cancer. Results of a questionnaire based survey by the European Working Group for Breast Screening Pathology. *J Clin Pathol* 57:695-701
 27. Colleoni M, Rotmensz N, Peruzzotti G, et al. (2005) Size of breast cancer metastases in axillary lymph nodes: clinical relevance of minimal lymph node involvement. *J Clin Oncol* 23:1379-1389
 28. Davidson NE, Morrow M, Kopans DB, et al. (2005) Case records of the Massachusetts General Hospital. Case 35-2005. A 56-year-old woman with breast cancer and isolated tumor cells in a sentinel lymph node. *N Engl J Med* 353:2177-2185
 29. Fan YG, Tan YY, Wu CT, et al. (2005) The effect of sentinel node tumor burden on non-sentinel node status and recurrence rates in breast cancer. *Ann Surg Oncol* 12:705-711
 30. Leidenius MH, Vironen JH, Riihela MS, et al. (2005) The prevalence of non-sentinel node metastases in breast cancer patients with sentinel node micrometastases. *Eur J Surg Oncol* 31:13-18
 31. Klevesath MB, Bobrow LG, Pinder SE, et al. (2005) The value of immunohistochemistry in sentinel lymph node histopathology in breast cancer. *Br J Cancer* 92:2201-2205
 32. Schreiber RH, Pendas S, Ku NN, et al. (1999) Microstaging of breast cancer patients using cytokeratin staining of the sentinel lymph node. *Ann Surg Oncol* 6:95-101
 33. van Rijk MC, Peterse JL, Nieweg OE, et al. (2006) Additional axillary metastases and stage migration in breast cancer patients with micrometastases or submicrometastases in sentinel lymph nodes. *Cancer* 107:467-471
 34. Schoenfeld A, Luqmani Y, Smith D, et al. (1994) Detection of breast cancer micrometastases in axillary lymph nodes by using polymerase chain reaction. *Cancer Res* 54:2986-2990
 35. Van der Velde-Zimmermann D, Roijers JF, Bouwens-Rombouts A, et al. (1996) Molecular test for the detection of tumor cells in blood and sentinel nodes of melanoma patients. *Am J Pathol* 149:759-764
 36. Shivers SC, Wang X, Li W, et al. (1998) Molecular staging of malignant melanoma: correlation with clinical outcome. *JAMA* 280:1410-1415
 37. Hatta N, Fujimoto A, Takehara K, et al. (1999) Mapping of occult melanoma micrometastases in the inguinal lymph node basin by immunohistochemistry and RT-PCR. *Melanoma Res* 9:401-406
 38. Bieligk SC, Ghossein R, Bhattacharya S, et al. (1999) Detection of tyrosinase mRNA by reverse transcription-polymerase chain reaction in melanoma sentinel nodes. *Ann Surg Oncol* 6:232-240
 39. Blaheta HJ, Schitteck B, Breuninger H, et al. (1999) Detection of melanoma micrometastasis in sentinel nodes by reverse transcription-polymerase chain reaction correlates with tumor thickness and is predictive of micrometastatic disease in the lymph node basin. *Am J Surg Pathol* 23:822-828
 40. Smith PA, Harlow SP, Krag DN, et al. (1999) Submission of lymph node tissue for ancillary studies decreases the accuracy of conventional breast cancer axillary node staging. *Mod Pathol* 12:781-785
 41. Reynolds C, Mick R, Donohue JH, et al. (1999) Sentinel lymph node biopsy with metastasis: can axillary dissection be avoided in some patients with breast cancer? *J Clin Oncol* 17:1720-1726
 42. Chu KU, Turner RR, Hansen NM, et al. (1999) Do all patients with sentinel node metastasis from breast carcinoma need complete axillary node dissection? *Ann Surg* 229:536-541
 43. Turner RR, Chu KU, Qi K, et al. (2000) Pathologic features associated with nonsentinel lymph node metastases in patients with metastatic breast carcinoma in a sentinel lymph node. *Cancer* 89:574-581
 44. Liang WC, Sickle-Santanello BJ, Nims TA. (2001) Is a completion axillary dissection indicated for micrometastases in the sentinel lymph node? *Am J Surg* 182:365-368
 45. Viale G, Maiorano E, Mazzarol G, et al. (2001) Histologic detection and clinical implications of micrometastases in axillary sentinel lymph nodes for patients with breast carcinoma. *Cancer* 92:1378-1384
 46. Mignotte H, Treilleux I, Faure C, et al. (2002) Axillary lymph-node dissection for positive sentinel nodes in breast cancer patients. *Eur J Surg Oncol* 28:623-626
 47. den Bakker MA, van Weezenberg A, de Kanter AY, et al. (2002) Non-sentinel lymph node involvement in patients with breast cancer and sentinel node micrometastasis; too early to abandon axillary clearance. *J Clin Pathol* 55:932-935
 48. Fant JS, Grant MD, Knox SM, et al. (2003) Preliminary outcome analysis in patients with breast cancer and a positive sentinel lymph node who declined axillary dissection. *Ann Surg Oncol* 10:126-130
 49. Nos C, Harding-MacKean C, Freneau P, et al. (2003) Prediction of tumour involvement in remaining axillary lymph nodes when the sentinel node in a woman with breast cancer contains metastases. *Br J Surg* 90:1354-1360
 50. Hwang RF, Krishnamurthy S, Hunt KK, et al. (2003) Clinicopathologic factors predicting involvement of nonsentinel axillary nodes in women with breast cancer. *Ann Surg Oncol* 10:248-254
 51. Fournier K, Schiller A, Perry RR, et al. (2004) Micrometastasis in the sentinel lymph node of breast cancer does not mandate completion axillary dissection. *Ann Surg* 239:859-855

52. Giard S, Baranzelli MC, Robert D, et al. (2004) Surgical implications of sentinel node with micrometastatic disease in invasive breast cancer. *Eur J Surg Oncol* 30:924-929
53. Langer I, Marti WR, Guller U, et al. (2005) Axillary recurrence rate in breast cancer patients with negative sentinel lymph node (SLN) or SLN micrometastases: prospective analysis of 150 patients after SLN biopsy. *Ann Surg* 241:152-158
54. Viale G, Maiorano E, Prunerì G, et al. (2005) Predicting the risk for additional axillary metastases in patients with breast carcinoma and positive sentinel lymph node biopsy. *Ann Surg* 241:319-325
55. Schrenk P, Konstantiniuk P, Wolff S, et al. (2005) Prediction of non-sentinel lymph node status in breast cancer with a micrometastatic sentinel node. *Br J Surg* 92:707-713
56. Rutledge H, Davis J, Chiu R, et al. (2005) Sentinel node micrometastasis in breast carcinoma may not be an indication for complete axillary dissection. *Mod Pathol* 18:762-768
57. Nagashima T, Sakakibara M, Nakano S, et al. (2006) Sentinel node micrometastasis and distant failure in breast cancer patients. *Breast Cancer* 13:186-191
58. Gipponi M, Canavese G, Lionetto R, et al. (2006) The role of axillary lymph node dissection in breast cancer patients with sentinel lymph node micrometastases. *Eur J Surg Oncol* 32:143-147
59. Houvenaeghel G, Nos C, Mignotte H, et al. (2006) Micrometastases in sentinel lymph node in a multicentric study: predictive factors of non-sentinel lymph node involvement - Groupe Des Chirurgiens De La Federation Des Centres De Lutte Contre Le Cancer. *J Clin Oncol* 24:1814-1822
60. Dabbs DJ, Fung M, Landsittel D, et al. (2004) Sentinel lymph node micrometastasis as a predictor of axillary tumor burden. *Breast J* 10:101-105
61. Abdessalam SF, Zervos EE, Prasad M, et al. (2001) Predictors of positive axillary lymph nodes after sentinel lymph node biopsy in breast cancer. *Am J Surg* 182:316-320
62. Weiser MR, Montgomery LL, Tan LK, et al. (2001) Lymphovascular invasion enhances the prediction of non-sentinel node metastases in breast cancer patients with positive sentinel nodes. *Ann Surg Oncol* 8:145-149
63. Wada N, Imoto S, Yamauchi C, et al. (2006) Predictors of tumour involvement in remaining axillary lymph nodes of breast cancer patients with positive sentinel lymph node. *Eur J Surg Oncol* 32:29-33
64. Cserni G, Gregori D, Merletti F, et al. (2004) Meta-analysis of non-sentinel node metastases associated with micrometastatic sentinel nodes in breast cancer. *Br J Surg* 91:1245-1252
65. Van Zee KJ, Manasseh DM, Bevilacqua JL, et al. (2003) A nomogram for predicting the likelihood of additional nodal metastases in breast cancer patients with a positive sentinel node biopsy. *Ann Surg Oncol* 10:1140-1151
66. Lambert LA, Ayers GD, Hwang RF, et al. (2006) Validation of a breast cancer nomogram for predicting nonsentinel lymph node metastases after a positive sentinel node biopsy. *Ann Surg Oncol* 13:310-320
67. Soni NK, Carmalt HL, Gillett DJ, et al. (2005) Evaluation of a breast cancer nomogram for prediction of non-sentinel lymph node positivity. *Eur J Surg Oncol* 31:958-964
68. Bevilacqua JL, Kattan MW, Fey JV, et al. (2007) Doctor, what are my chances of having a positive sentinel node? A validated nomogram for risk estimation. *J Clin Oncol* 25:3670-3679
69. Hwang RF, Gonzalez-Angulo AM, Yi M, et al. (2007) Low locoregional failure rates in selected breast cancer patients with tumor-positive sentinel lymph nodes who do not undergo completion axillary dissection. *Cancer* 110:723-730
70. Jeruss JS, Winchester DJ, Sener SF, et al. (2005) Axillary recurrence after sentinel node biopsy. *Ann Surg Oncol* 12:34-40
71. Schlembach PJ, Buchholz TA, Ross MI, et al. (2001) Relationship of sentinel and axillary level I-II lymph nodes to tangential fields used in breast irradiation. *Int J Radiat Oncol Biol Phys* 51:671-678
72. Pejavar S, Wilson LD, Haffty BG (2006) Regional nodal recurrence in breast cancer patients treated with conservative surgery and radiation therapy (BCS + RT). *Int J Radiat Oncol Biol Phys* 66:1320-1327
73. Clarke D, Khonji NI, Mansel RE (2001) Sentinel node biopsy in breast cancer: ALMANAC trial. *World J Surg* 25:819-822
74. Dowlatsahi K, Fan M, Snider HC, et al. (1997) Lymph node micrometastases from breast carcinoma: reviewing the dilemma. *Cancer* 80:1188-1197
75. Grabau D, Jensen MB, Rank F, et al. (2007) Axillary lymph node micrometastases in invasive breast cancer: national figures on incidence and overall survival. *APMIS* 115:828-837
76. Kahn HJ, Hanna WM, Chapman JA, et al. (2006) Biological significance of occult micrometastases in histologically negative axillary lymph nodes in breast cancer patients using the recent American Joint Committee on Cancer breast cancer staging system. *Breast J* 12:294-301
77. Kuijt GP, Voogd AC, van de Poll-Franse LV, et al. (2005) The prognostic significance of axillary lymph-node micrometastases in breast cancer patients. *Eur J Surg Oncol* 31:500-505
78. Susnik B, Frkovic-Grazio S, Bracko M (2004) Occult micrometastases in axillary lymph nodes predict subsequent distant metastases in stage I breast cancer: a case-control study with 15-year follow-up. *Ann Surg Oncol* 11:568-572
79. Cote RJ, Peterson HF, Chaiwun B, et al. (1999) Role of immunohistochemical detection of lymph-node metastases in management of breast cancer. International Breast Cancer Study Group. *Lancet* 354:896-900
80. International (Ludwig) Breast Cancer Study Group (1990) Prognostic importance of occult axillary lymph node micrometastases from breast cancers. International (Ludwig) Breast Cancer Study Group. *Lancet* 335:1565-1568
81. Galimberti V (2006) International Breast Cancer Study Group Trial of sentinel node biopsy. *J Clin Oncol* 24:210-211
82. Krag D (2001) Why perform randomized clinical trials for sentinel node surgery for breast cancer? *Am J Surg* 182:411-413
83. Harlow SP, Krag DN (2001) Sentinel lymph node—why study it: implications of the B-32 study. *Semin Surg Oncol* 20:224-229
84. Giuliano AE, Haigh PI, Brennan MB, et al. (2000) Prospective observational study of sentinel lymphadenectomy without further axillary dissection in patients with sentinel node-negative breast cancer. *J Clin Oncol* 18:2553-2559
85. Wilke LG, McCall LM, Posther KE, et al. (2006) Surgical complications associated with sentinel lymph node biopsy: results from a prospective International Cooperative Group Trial. *Ann Surg Oncol* 13:491-500
86. Lucci A, McCall LM, Beitsch PD, et al. (2007) Surgical complications associated with sentinel lymph node dissection (SLND) plus axillary lymph node dissection compared with SLND alone in the American College of Surgeons Oncology Group Trial Z0011. *J Clin Oncol* 25:3657-3663
87. Rutgers EJ, Meijnen P, Bonnefoi H. (2004) Clinical trials update of the European Organization for Research and Treatment of Cancer Breast Cancer Group. *Breast Cancer Res* 6:165-169



Sentinel node biopsy in primary breast cancer: Radioactive detection and metastatic disease

N. Wada ^{a,*}, N. Sakemura ^a, S. Imoto ^a, T. Hasebe ^b,
A. Ochiai ^c, N. Moriyama ^d

^a Breast Surgery Division, National Cancer Center Hospital East, 6-5-1, Kashiwanoha, Kashiwa, Chiba 277-8577, Japan

^b Surgical Pathology Section, Clinical Laboratory Division, National Cancer Center Hospital East, 6-5-1, Kashiwanoha, Kashiwa, Chiba 277-8577, Japan

^c Pathology Division, Center for Clinical Oncology, National Cancer Center Hospital East, 6-5-1, Kashiwanoha, Kashiwa, Chiba 277-8577, Japan

^d Research Center for Cancer Prevention and Screening, National Cancer Center, 5-1-1 Tsukiji, Chuo-ku, Tokyo 104-0045, Japan

Accepted 3 October 2006

Available online 26 January 2007

Abstract

Aim: To examine the relationship between the intensity of the radioactive counts and the presence of tumor metastasis in sentinel lymph nodes (SLNs) in order to correctly identify the number of SLNs to be removed.

Patients and methods: Five hundred three breast cancer patients with successful radioisotope localization of SLNs using the combined blue dye and radioisotope method were analyzed. SLN biopsy was continued until all the blue-stained and radioactive nodes were removed.

Results: The mean number of harvested SLNs was 1.7 ± 0.9 , and the number of radioactive SLNs among the harvested nodes was 1.6 ± 0.8 . SLN metastasis was found in 123 of the 503 cases. The metastasis was detected in the SLN with the highest radioactive count (the hottest SLN) in 94 of the 123 cases with positive SLNs. The positive rate in the hottest SLN was 89% in 61 cases with a single radioactive SLN, and 65% in 62 cases with multiple radioactive SLNs. Of the 29 cases with positivity in other than the hottest SLNs, the metastasis was detected in the second hottest SLN in 16 cases, in the third hottest SLN in one case, in a mixture of negative radioactive SLNs and blue-dye-stained in four cases, and in the negative SLNs and positive non-SLNs (false-negative) in eight cases. Of 123 node-positive cases, 111 cases had metastasis that was detected within the first three hottest SLNs.

Conclusions: These data suggest that lymph node metastasis may not always be detected in the hottest SLN. Thus, in practice, all radioactive and/or blue-dye-stained nodes should be removed for further examination.

© 2006 Elsevier Ltd. All rights reserved.

Keywords: Breast neoplasms; Sentinel lymph node biopsy; Lymph node metastasis; Radioisotope; Gamma-probe

Introduction

Sentinel lymph node biopsy (SNB) is fast emerging as the preferred alternative to standard axillary lymph node dissection (ALND) in breast cancer patients, because it offers information on the axillary lymph node status with little morbidity as compared to the significant morbidity associated with ALND. Numerous validation studies^{1,2,3,4} have demonstrated that SNB is a highly sensitive and minimally invasive technique for the detection of lymph node metastasis. However, the diverse approaches used for

sentinel lymph node (SLN) mapping have raised controversies regarding the most appropriate definition of a SLN.^{5,6,7} At present, it is considered that the combined use of vital blue dye and radioisotope (RI) may be superior to the use of either tracer alone for accurate nodal staging.⁸

The development of SLN detection techniques has contributed significantly to the accuracy of detection of metastases, however, some problems have also emerged that require further investigation. These issues are mainly related to the intensity of the radioactive counts in the lymph nodes. When multiple SLNs are detected using a gamma probe, the metastatic disease is often encountered not in the most radioactive, or the “hottest” node, but in the less radioactive nodes. It is not clear how many radioactive

* Corresponding author. Tel.: +81 4 7133 1111; fax: +81 4 7131 4724.
E-mail address: nowada@east.ncc.go.jp (N. Wada).

nodes should be harvested and examined to accurately predict the nodal status. Therefore, we conducted a study to verify the relationship between the intensity of radioactivity and the presence of tumor metastasis in SLNs.

Patients and methods

Patients

The outcomes of 551 breast cancer patients with a clinical tumor size of <5.0 cm and a clinically node-negative axilla (T1–2, N0, M0, clinical stage I or IIA), who underwent SNB between January 1999 and December 2003 were reviewed. This study was approved by National Cancer Center Hospital East's Institutional Review Board. Informed consent for the SNB had been obtained prior to the surgery in all the patients.

Lymphatic mapping and surgical technique

The technique employed for the SNB has been described in detail elsewhere.^{9,10} In brief, 30–60 MBq of a radioactive tracer was injected subcutaneously one day prior to the surgical procedure at one or two sites over the primary tumor, but not into the tumor or the biopsy cavity. We used 99m technetium (Tc)-human serum albumin and 99mTc-tin colloid (Nihon Medi-Physics, Tokyo, Japan) or 99mTc-phytate (Daiichi Radioisotope Laboratories, Tokyo, Japan) as the tracer, and the tracers were not filtered. A preoperative lymphoscintigraphy was performed using a large-field scintillation camera. Then, at the beginning of the surgery, 4–5 ml of the dye indigocarmine (4 mg/ml) (Daiichi Pharmaceutical, Tokyo, Japan) was injected subcutaneously at the same site as that of injection of the radioactive tracer. SNB was then performed based on a combination of the presence of blue-dye-staining and radioactivity as detected by a hand-held gamma ray detection probe (Navigator; USSC, Norwalk, CT USA). Successful blue dye localization was defined as a lymph node with visible blue staining, directly contiguous blue-stained afferent lymphatics, or both. Any lymph node that showed radioactivity was removed. No specific SLN-to-background ratio was applied for defining the SLNs in this study, because the background counts can be quite variable depending on the tracer particle size, location of the primary tumor, and site of placement of the probe. All the SLNs were individually and separately checked for the maximum radioactivity count *ex vivo* with a gamma probe for a period of five seconds. A harvested SLN that showed any radioactivity *ex vivo* was considered to be a RI success.

Histological analysis

Intraoperatively, all of the SLNs were evaluated by frozen section analysis. Patients with positive frozen sections immediately underwent Level I–II, or higher-level ALND.

The SLNs and other dissected non-SLNs were later examined in permanent single sections by routine hematoxylin-eosin staining, without immunohistochemical analysis.

Statistical analysis

All values were expressed as mean \pm standard deviation (SD). The amount of radioactivity was expressed as count per second (CPS). Statistical analysis was performed using the Kruskal–Wallis ANOVA or the chi-squared test. Differences between groups were considered to be significant when the *p* values were <0.05.

Results

Results of sentinel node biopsy

In total, 551 patients underwent SNB. The numbers of cases with harvested SLNs as showing both blue-dye-staining and radioactivity, radioactivity alone, and blue-dye-staining alone were 453, 55, and 36, respectively. No SLNs were identified in seven cases. Our concern in this study was cases with radioactive SLNs. Therefore, cases in which the SLNs were identified by the presence of blue-dye-staining alone and those in which the SLNs were not identified at all were excluded from this study. Five cases in which the radioactivity count could not be recorded were also excluded. The eight cases showing involvement of non-SLNs in which the metastasis could not be identified in the SLNs (false-negative cases) were included in this study. Among these false-negative cases, the SLNs were identified by the presence of both blue-dye-staining and radioactivity in five cases and by the presence of radioactivity alone in three cases. Finally, a total of 503 cases with radioactive SLNs were eligible for the analysis in this study.

Patient characteristics

The characteristics of the patients are listed in Table 1. A total of 498 patients underwent SNB for 503 axillary nodal basins. Five patients had bilateral breast cancer. Nine cases had both axillary and internal mammary SLNs. Besides that in the patients with positive SLNs, ALND was also performed in 53 other cases as part of a feasibility study and in keeping with the patients' wishes. As the relationship between the number of harvested SLNs and the number of radioactive SLNs, the number of which all harvested SLNs had radioactivity was 443. The remaining cases had a mixture of radioactive SLNs plus blue-dye-stained SLNs alone.

Features of SLNs

Table 2 shows the features of the SLNs according to the number of radioactive SLNs. Only a single radioactive SLN

Table 1
Patient characteristics

Case	503
Age median years (range)	54 (24–85)
Dominant primary site	
UOQ	257
UIQ	115
Central	42
LOQ	57
LIQ	32
Prior excisional biopsy	
Yes	52
No	443
Mean tumor size cm (range)	2.1 (0.4–5.0)
Clinical T stage	
T1a	5
T1b	44
T1c	221
T2	233
Clinical stage	
I	270
IIA	233
Surgery for breast	
Total mastectomy	138
Partial mastectomy	365
Surgery for axilla	
SNB alone	361
SNB + ALND	142
Mean number of harvested SLNs	1.7 ± 0.9
Mean number of radioactive SLNs in harvested SLNs	1.6 ± 0.8
Histopathological type	
IDC	412
ILC	26
Other	65
Lymphatic invasion	
Present	139
Absent	364
Vascular invasion	
Present	242
Absent	261
Estrogen/Progesterone Receptor	
+/+	210
-/+	50
+/-	87
-/-	113
Unknown	43

U, upper; L, lower; O, outer; I, inner; Q, quadrant; IDC, invasive ductal carcinoma; ILC, invasive lobular carcinoma.

was identified in 282 of all cases, whereas two or more (multiple) radioactive SLNs were identified in the remaining 221 cases. The greater the number of radioactive SLNs harvested, the greater the radioactive count of the hottest SLN was. Metastatic lymph nodes were found in 123 cases. As the number of radioactive SLNs increased, the rate of positive nodes also increased (22–44%). Of the 123 cases with positive SLNs, 94 cases corresponded to the node with the highest radioactivity count (“hottest SLN”). The positive rate of the hottest SLN was 89% in the 61 cases with a single radioactive SLN, but only 65% in the 62 cases with multiple radioactive SLNs; the

difference between the two was significant ($p = 0.022$). Of the 29 cases with positivity in other than the hottest SLNs, metastasis was found in the second hottest SLN in 16 cases, and in the third hottest SLN in only one case. The radioactive counts in the hottest-SLN-negative and less than the-hottest-SLN-positive cases were more than 10% of that in the hottest SLN (so-called 10% rule). Moreover, four cases had a mixture of negative radioactive SLNs and at least one positive blue-dye-stained SLN. The remaining eight cases were false-negative (negative SLNs and positive non-SLNs). Finally, Of 123 node-positive cases, 111 cases had metastasis that was detected within the first three hottest SLNs.

Discussion

In general, most surgeons have the experience of detecting more than one SLN, irrespective of the technique used.¹¹ However, using the blue dye method alone, it might be difficult to find additional nodes after the first SLN is dissected, and there may be a tendency to be satisfied with the detection of just one SLN. When the RI injection method is used in combination with the blue dye injection method, it is possible to easily localize the SLNs based on high radioactive counts even after the detection of a SLN by the blue dye method. The use of an intraoperative gamma probe with the RI injection method facilitates the identification of SLNs.^{12,13,14} Utilization of the RI injection method greatly increases the chances of detection multiple SLNs. In about half of the cases in this study, multiple SLNs were harvested. However, we cannot distinguish between the true first draining lymph node and the positive node among the SLNs. This could be attributable to the agents passing through the true SLN into other nodes, or an anatomic variation with more than one SLN draining a tumor. When multiple radioactive nodes are identified, which ones and how many should be removed? There is no clear consensus on which radioactive nodes should be removed or which may be true sentinel nodes.

In this study, we attempted to clarify the relationship between the radioactive counts and the presence of metastasis among the SLNs identified. We examined as many lymph nodes showing radioactivity as possible in this analysis, and calculated the ratio of the radioactivity counts in the SLNs to that in the hottest SLN, and not the axillary background, because the counts in some of the SLNs were nearly as low as that of the background. In our method, the injection of a RI a day before the surgery might lead to migration of the RI from the true SLNs into additional axillary nodes and reduction of the radioactivity because of the long time interval from the injection to the detection. Among the cases with multiple radioactive SLNs, 35% showed metastases in nodes other than the hottest SLN. If only the RI injection method without the blue dye method were used, with examination of only the hottest SLNs, 29 of the 123 patients with positive axillary lymph nodes

Table 2
Features of SLNs

	Total	Number of radioactive SLNs in harvested SLNs				<i>p</i>
		1	2	3	≥4	
Number of cases	503	282	163	49	9	
Mean CPS of the hottest SLN	36 ± 68	31 ± 64	39 ± 71	43 ± 70	107 ± 102	<0.001
All removed lymph nodes negative	380	221	119	35	5	
Metastatic rate (%)	123/503 (24)	61/282 (22)	44/163 (27)	14/49 (29)	4/9 (44)	0.242
The hottest SLN positive	94	54	28	9	3	
The hottest SLN negative, the second hottest SLN positive	16	—	12	4	—	
The first two hottest SLNs negative, the third hottest SLN positive	1	—	—	—	1	
Radioactive SLNs negative, dye alone SLN positive	4	3	1	—	—	
Radioactive SLNs negative, non-SLNs positive*	8	4	3	1	—	
Positive rate of the hottest SLN in cases with lymph node metastasis (%)	94/123 (76)	54/61 (89)	28/44 (64)	9/14 (64)	3/4 (75)	0.022

* False-negative cases. However, all cases do not performed back-up axially lymph node dissection; SLN, sentinel lymph node; CPS, count per second.

would have been falsely negative. These data suggest that the metastasis is not always detected in the hottest SLN. Moreover, if back-up ALND was performed in all the cases, the false-negative rate might increase.

Camp et al. reported similar results;¹⁵ they found that in 24% (8/33) of the cases, the metastasis was detected in other than the hottest node. Martin et al. conducted a detailed investigation on the significance of the hottest node by determining the count ratio of the SLN to the axillary background^{16,17}, and showed that in 369 of the 463 cases with positive SLNs, the metastasis was contained in the SLN with the highest count, whereas in the remaining 94 cases, the SLN with the highest count was benign, and SLN biopsy required the removal of all nodes containing the RI, the blue dye, and also of all clinically suspicious non-SLNs for maximum accuracy.

MacMasters et al. advocated the so-called “10% rule”, in which SLNs are defined as lymph nodes showing an ex vivo count of 10% or more relative to that of the hottest SLN.⁸ Reduction of the false-negative rate to 5.8% was accomplished in a multi-institutional study using a combination of this rule and the additional use of the blue dye method. In relation to our study results also, the “10% rule” seemed to be reliable. However, four cases with blue-dye-stained nodes showing no radioactivity were falsely excluded. In addition, we also demonstrated that the blue dye tracer could also efficiently detect SLNs with metastases. Thus, the use of the blue dye method combined with the RI method may probably minimize the rate of missing of SLNs.¹⁸

In conclusion, among the cases with multiple radioactive SLNs, the metastasis was detected in other than the hottest SLN in 35% of the cases. Furthermore, in 90% of the cases, the metastasis was detected in the first three hottest SLNs. However, the results were obtained using the RI injection

method combined with blue dye injection, and measurement of the radioactive count was performed “ex vivo”. In practice, we recommend that all nodes containing RI and/or showing positive staining with the blue dye should be removed.

Acknowledgments

This work was supported in part by a Grant for Scientific Research Expenses for Health Labour and Welfare Programs, and the Foundation for the Promotion of Cancer Research and by the 3rd-Term Comprehensive 10-year Strategy for Cancer Control.

References

1. Krag D, Weaver D, Ashikaga T, et al. The sentinel node in breast cancer—a multicenter validation study. *N Engl J Med* 1998;**339**: 941–6.
2. Veronesi U, Paganelli G, Viale G, et al. Sentinel lymph node biopsy and axillary dissection in breast cancer: results in a large series. *J Natl Cancer Inst* 1999;**91**:368–73.
3. Giuliano AE, Jones RC, Brennan M, Statman R. Sentinel lymphadenectomy in breast cancer. *J Clin Oncol* 1997;**15**:2345–50.
4. Noguchi M. Sentinel lymph node biopsy as an alternative to routine axillary lymph node dissection in breast cancer patients. *J Surg Oncol* 2001;**76**:144–56.
5. Krag DN, Weaver DL, Alex JC, Fairbank JT. Surgical resection and radiolocalization of the sentinel lymph node in breast cancer using a gamma probe. *Surg Oncol* 1993;**2**:335–9 [discussion 340].
6. Giuliano AE, Kirgan DM, Guenther JM, Morton DL. Lymphatic mapping and sentinel lymphadenectomy for breast cancer. *Ann Surg* 1994;**220**:391–8 [discussion 398–401].
7. Albertini JJ, Lyman GH, Cox C, et al. Lymphatic mapping and sentinel node biopsy in the patient with breast cancer. *JAMA* 1996;**276**: 1818–22.

8. McMasters KM, Tuttle TM, Carlson DJ, et al. Sentinel lymph node biopsy for breast cancer: a suitable alternative to routine axillary dissection in multi-institutional practice when optimal technique is used. *J Clin Oncol* 2000;**18**:2560–6.
9. Imoto S, Hasebe T. Initial experience with sentinel node biopsy in breast cancer at the National Cancer Center Hospital East. *Jpn J Clin Oncol* 1999;**29**:11–5.
10. Imoto S, Fukukita H, Murakami K, Ikeda H, Moriyama N. Pilot study on sentinel node biopsy in breast cancer. *J Surg Oncol* 2000;**73**:130–3.
11. McCarter MD, Yeung H, Fey J, Borgen PI, Cody 3rd HS. The breast cancer patient with multiple sentinel nodes: when to stop? *J Am Coll Surg* 2001;**192**:692–7.
12. Cody 3rd HS. Sentinel lymph node mapping in breast cancer. *Oncology* 1999;**13**:25–34 [discussion 35–6, 39, 43].
13. Cox CE, Haddad F, Bass S, et al. Lymphatic mapping in the treatment of breast cancer. *Oncology* 1998;**12**:1283–92 [discussion 1293–4, 1297–8].
14. McMasters KM, Giuliano AE, Ross MI, et al. Sentinel-lymph-node biopsy for breast cancer—not yet the standard of care. *N Engl J Med* 1998;**339**:990–5.
15. Camp ER, Cendan JC, Feezor R, Lind DS, Wilkinson E, Copeland EM. The hottest sentinel lymph node is not always the positive node. *Am Surg* 2004;**70**:475–8 [discussion 478].
16. Martin RC, Fey J, Yeung H, Borgen PI, Cody 3rd HS. Highest isotope count does not predict sentinel node positivity in all breast cancer patients. *Ann Surg Oncol* 2001;**8**:592–7.
17. Martin 2nd RC, Edwards MJ, Wong SL, et al. Practical guidelines for optimal gamma probe detection of sentinel lymph nodes in breast cancer: results of a multi-institutional study. For the University of Louisville Breast Cancer Study Group. *Surgery* 2000;**128**:139–44.
18. Wada N, Imoto S, Yamauchi C, Hasebe T, Ochiai A, Ebihara S. Correlation between concordance of tracers, order of harvest, and presence of metastases in sentinel lymph nodes with breast cancer. *Ann Surg Oncol* 2005;**12**:497–503.



Original contribution

Accurate assessment of lymph vessel tumor emboli in invasive ductal carcinoma of the breast according to tumor areas, and their prognostic significance

Chisako Yamauchi^{a,c,d}, Takahiro Hasebe MD^a, Motoki Iwasaki^b, Shigeru Imoto^c, Noriaki Wada^c, Masashi Fukayama^d, Atsushi Ochiai MD^{a,*}

^aPathology Division, National Cancer Center Research Institute East, Kashiwa, Chiba 277-0882, Japan

^bEpidemiology and Prevention Division, Research Center for Cancer Prevention and Screening, National Cancer Center, Tsukiji, Tokyo 104-0045, Japan

^cBreast Surgery Division, National Cancer Center Hospital East, Kashiwa, Chiba 277-0882, Japan

^dDepartment of Human Pathology, Graduate School of Medicine, Tokyo University, Bunkyo-ku, Tokyo 113-0033, Japan

Received 20 May 2006; revised 10 July 2006; accepted 27 July 2006

Keywords:

Breast cancer;
Lymph vessel;
Lymph vessel density;
Prognosis;
D2-40

Summary Lymph vessel tumor emboli (LVTEs) within tumors are difficult to distinguish from stroma-invasive tumor foci. The purpose of this study was to evaluate staining of LVTEs with hematoxylin-eosin (HE) and with D2-40 to determine whether LVTEs identified by HE staining alone are D2-40-positive LVTE and whether the presence of LVTE identified by HE or D2-40 staining is an accurate predictor of outcome in 151 patients with invasive ductal carcinoma (IDC) of the breast. We first attempted to identify LVTE in the stroma-invasive tumor area (intratumor area), the advance area, and the nontumor area by HE staining alone, and then LVTE identified by HE staining was confirmed by D2-40 staining. The number of LVTE identified by HE staining and D2-40 staining successively increased from the intratumor area to the nontumor area. Although D2-40 staining detected larger numbers of LVTE than HE staining in all tumor areas, the highest positive predictive value of LVTE was observed in the intratumor area, and the next was in the advance area, and then the nontumor area, and significant correlations were found between the numbers of LVTE stained by HE and D2-40 in the same tumor areas. LVTE identified by HE staining or D2-40 staining in the intratumor area or nontumor area significantly increased the risk for tumor recurrence or death of patients with IDC, independent of hormone receptor status or nodal status. The results of this study demonstrate that the existence of intratumoral LVTE and that the presence of intratumoral LVTE identified by HE staining or D2-40 staining are accurate predictors of the outcome of patients with IDC of the breast.

© 2007 Elsevier Inc. All rights reserved.

1. Introduction

Artifactual spaces often form around the nests of stroma-invasive tumor cells within an invasive carcinoma as a result of tissue shrinkage during processing, making it very

* Corresponding author.

E-mail address: aochiai@east.ncc.go.jp (A. Ochiai).

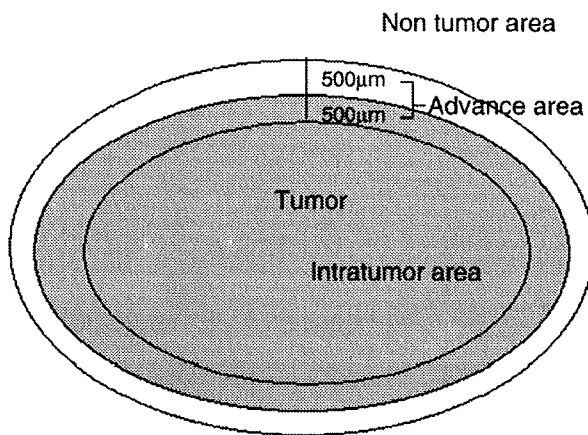


Fig. 1 The tumor areas examined for LVTEs and lymph vessel density. The advance area is defined as extending from 500 μm inside the tumor to 500 μm outside the tumor. The intratumor area consists of the area inside the advance area, and the nontumor area consists of normal mammary tissue surrounding the advance area. Tumor, the area shown in gray; nontumor, the area shown in white.

difficult to distinguish the artifacts from true intratumoral lymph vessel spaces. As a result, pathologists generally examine tumors for the presence of lymph vessel tumor emboli (LVTEs) at or beyond the border of the stroma-invasive tumor area. However, because lymph vessels within the invasive tumor area are surrounded by many stroma-invasive tumor cells that may give rise to nodal or lymphogenous distant organ metastasis, the detection of LVTEs in the invasive tumor area has a very important prognostic significance in patients with breast carcinoma.

Several putative lymphatic endothelial markers have recently been proposed [1-5], and D2-40 is a novel monoclonal antibody to an M_r 40000 O-linked sialoglycoprotein that reacts with a fixation-resistant epitope on the lymphatic endothelium. It does not stain the endothelium of blood vessels, including arteries, veins, and capillaries, and its usefulness for detecting intratumoral lymph vessels has been reported in several tumors [6-11].

The purpose of this study was to evaluate (1) whether LVTEs exist within the stroma-invasive tumors of invasive

ductal carcinomas (IDCs) of the breast, (2) whether LVTEs identified by hematoxylin-eosin (HE) staining alone in stroma-invasive tumor area and LVTEs at or beyond the border of the stroma-invasive tumor area are true LVTEs, and (3) whether the presence of LVTEs identified by HE or D2-40 staining or D2-40 lymph vessel density is an accurate predictor of nodal metastasis or the outcome of patients with IDC. The results showed that intratumoral LVTEs do exist, and that the presence of intratumoral LVTEs identified by HE or D2-40 staining is an important accurate predictor of the outcome of patients with IDC of the breast.

2. Materials and methods

2.1. Cases

The subject of this study was 151 consecutive cases of IDC of the breast surgically treated at the National Cancer Center Hospital East, Chiba, Japan, between 1993 and 1995. Clinical information was obtained from the patients' medical records after complete histologic examination of all IDCs. All patients were Japanese women, and they ranged from 28 to 84 years old (mean age, 53 years). All patients had a solitary lesion. There were no cases of inflammatory breast cancer in this series.

For pathologic examination, the surgically resected tumor specimen was fixed in 10% formalin overnight at room temperature, and the entire tumor was cut into slices at intervals of 0.5 to 0.7 cm. The size and gross appearance of the tumors were recorded, and tumor size was confirmed by comparison with tumor size on the histologic slides. Multiple histologic sections were taken from each tumor to measure maximal tumor diameter and area. The sections were processed routinely and embedded in paraffin. Serial sections having the largest tumor surface area in each case were cut from the paraffin blocks, and 1 section was stained with HE and examined histologically to confirm the diagnosis. All tumors were classified according to the guidelines of the World Health Organization [12]. Histologic grade was assigned according to the modification of the Bloom and Richardson classification [13].

Fig. 2 Lymph vessels, LVTEs, and lymph vessel density in IDCs. A and B, Lymph vessel stained for D2-40. C, Seven lymph vessels positive for D2-40 are observed within the intratumor area at mid power magnification ($\times 20$), and the lymph vessel density of the tumor is 7. D and E, Three tumor emboli in the nontumor area are observed in lymph vessels positive for D2-40 (arrows), and the angiovesSEL has not stained for D2-40 (arrowhead). F, LVTEs in the intratumor area. G and H, The space around the tumor embolus is lined by endothelial cells (arrows), and the lymph vessels containing the tumor emboli assessed by HE staining exhibit linear staining for D2-40 (arrows). I, LVTE in the advanced area. J and K, The space around the tumor embolus is lined by endothelial cells (arrows), and the lymph vessels containing the tumor embolus identified by HE staining show linear staining for D2-40 (arrow). L, LVTEs in the nontumor area in the vicinity of adipose tissue. M and N, The space around the tumor embolus is lined by endothelial cells (arrows), and the large lymph vessels containing the tumor emboli identified by HE staining show linear staining for D2-40, whereas the adipose tissue has not stained for D2-40. O and P, LVTEs in the intratumor area in the vicinity of adipose tissue. Although the 6 tumor cell nests were concluded to be intratumoral fat-invasive foci when stained with HE (O, arrows), 3 of them were located in the lymph vessel positive for D2-40 (P, black arrows). Although 1 of the 6 is located in a vessel-like lumen and faintly stained for D2-40, it was concluded not to be an LVTE in this study (P, blue arrow). The angiovesSEL adjacent to the LVTEs is negative for D2-40 (P, arrowhead), and the 2 tumor nests in adipose tissue are also negative for D2-40 (P).

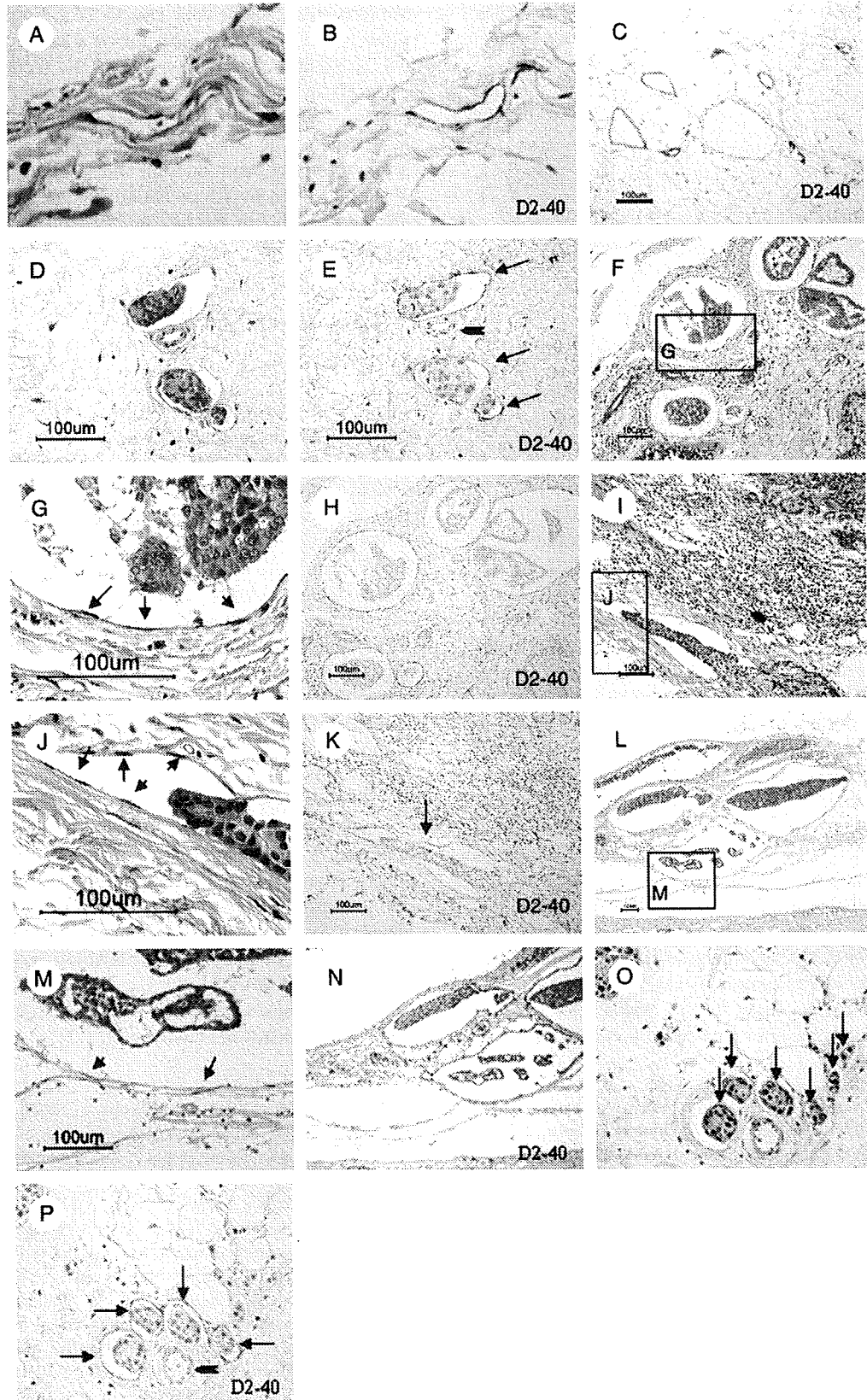


Table 1 Maximum, minimum, median, and mean number of LVTEs detected by HE staining and by D2-40 staining, and D2-40 lymph vessel density according to tumor area

Areas	Cases	Positive cases (%)	Maximum	Minimum	Median	Mean \pm SD
No. of LVTEs identified by HE staining						
Intratumor	151	19 (13)	84	0	0	1.1 \pm 7.6
Advance	151	52 (34)	158	0	0	4.0 \pm 18.8
Nontumor	151	35 (23)	243	0	0	7.3 \pm 30.6
No. of LVTEs identified by D2-40 staining						
Intratumor	151	30 (20)	120	0	0	2.8 \pm 14.2
Advance	151	69 (46)	247	0	0	6.1 \pm 26.4
Nontumor	151	36 (24)	396	0	0	9.6 \pm 42.4
Lymph vessel density determined by D2-40 staining						
Intratumor	151	48 (32)	27	0	0	3.3 \pm 5.2
Advance	151	138 (91)	30	0	6.0	7.6 \pm 5.6
Nontumor	151	148 (98)	27	0	9.0	9.4 \pm 4.8

2.2. Immunohistochemical examination

Paraffin sections 5 μ m thick were dewaxed and hydrated, and after inactivation of endogenous peroxidase with 3% hydrogen peroxidase for 20 minutes, antigen retrieval was performed by microwave oven heating for 20 minutes in tris buffer. Slides were incubated for 3 hours at room temperature with the D2-40 monoclonal antibody, a selective marker of lymphatic endothelium that does not react with blood endothelium [6-11] (1:200 dilution; Signet Laboratories, Dedham, MA). Immunohistochemical staining was performed using the EnVision + HRP DAB system (DAKO Cytomation, Carpinteria, CA).

2.3. Histologic assessment of LVTEs and lymph vessel density according to tumor area

In this study, we used HE staining to determine whether LVTEs were present and to count the actual number of LVTEs in 3 tumor areas: (1) the intratumor area, (2) the advance area, and (3) the nontumor area (Fig. 1). The

advance area, the area at the border of stroma-invasive tumors, was defined as an area extending 500 μ m inside and 500 μ m outside the tumor area under high-power magnification (\times 400). The intratumor area was defined as the area of the stroma-invasive tumor consisting of the inner portion of the advance area, and the nontumor area as the area beyond the border of the stroma-invasive tumor, consisting of normal mammary tissue surrounding the advance area.

In this study, we defined "lymph vessel invasion by tumor cells" as tumor cell nests in spaces (Fig. 2D, F, I, and L) and around the clump of tumor cell nests that were lined by endothelium with no supporting smooth muscle or elastica, and/or that were filled with lymphatic fluid (Fig. 2G, J, and M). Tumor cell nests in spaces that were either not lined by endothelial cells or were lined by endothelial-like cells, probably tumor-stromal fibroblasts, were classified as stroma-invasive tumor cell nests. We first identified LVTEs in the 3 tumor areas by HE staining alone and then counted the numbers of LVTEs in each tumor area as the total number of LVTEs identified by HE staining.

Table 2 Cumulative actual numbers of LVTE identified by HE staining according to tumor area confirmed as true LVTE by D2-40 staining in all cases

	Tumor area			
	Total	Intratumor	Advance	Nontumor
No. of H-LVTE	1868	167	598	1103
No. of D-LVTE	2801	428	925	1448
Positive predictive value		95% (159/167)	88% (532/598)	88% (976/1103)
Sensitivity		37% (159/428)	58% (532/925)	67% (976/1448)
False-negative rate		63% (269/428)	42% (393/925)	33% (472/1448)
No. of H-LVTE undetected by HE staining according to tumor area (%)	1134	269	393	472
Reason for not being detected				
1. AngiovesSEL (%)	567	8 (3)	180 (45)	379 (80)
2. Stromal invasion (%)	453	261 (97)	173 (44)	19 (4)
3. Adipose invasion (%)	94	0	30 (8)	64 (14)
4. Error (%)	20	0	10 (3)	10 (2)

Abbreviations: H-LVTE, LVTEs identified by HE staining; D-LVTE, LVTEs identified by D2-40 staining.

NOTE. The actual numbers of LVTE are the numbers of H-LVTE confirmed as true LVTE by D2-40 staining.

Next, LVTEs in the 3 tumor areas identified by HE staining were stained with D2-40 to determine whether they were true LVTEs to compare the positive predictive value of LVTEs identified by HE staining and by D2-40 staining (Fig. 2E, H, K, N, and P).

To determine the lymph vessel density, we searched for 4 to 5 D2-40-positive lymph vessel hot spots in each tumor area under low-power magnification ($\times 40$) and then counted the D2-40-positive lymph vessels in the highest D2-40-positive lymph vessel hot spot as the lymph vessel density, that is, as number per unit area of the tumor (Fig. 2C).

Consecutive histologic sections were cut from the HE- and D2-40-stained specimens to confirm that the LVTEs identified by HE staining in each tumor area were also D2-40 positive. Two investigators (CY and TH) used HE and D2-40 staining to identify the LVTEs and determine lymph vessel density in each tumor area of all IDCs, and whenever there was a discrepancy, they reexamined the slides together to reach a consensus.

2.4. Prognosis and statistical analysis

Survival was evaluated by follow-up for a median period of 101 months as of January 2005. The tumor

recurred in 50 patients, and 39 patients had died of their disease.

We used D2-40 staining to confirm that the number of LVTEs identified by HE staining in each tumor area was the true number and analyzed the positive predictive value, sensitivity, and false-negative rates of the numbers of LVTEs based on the results of D2-40 staining. We also investigated the reason for failure to detect LVTEs by HE staining in each tumor area. We then analyzed the sensitivity, specificity, and positive and negative predictive value of the presence of LVTEs detected by HE staining in each tumor area of the IDCs in comparison with the LVTEs detected by D2-40 staining. In addition, correlations between the numbers of LVTEs identified by HE or D2-40 staining in the intratumor area and in the advance area or nontumor area were analyzed by calculating Pearson linear correlation coefficients, and the numbers of LVTEs identified by HE staining and D2-40 staining in corresponding tumor areas were also analyzed for calculations by Pearson linear correlation coefficient.

Univariate analyses for a significant association with nodal metastasis, tumor recurrence, or death were performed by using the following parameters: (1) presence of at least

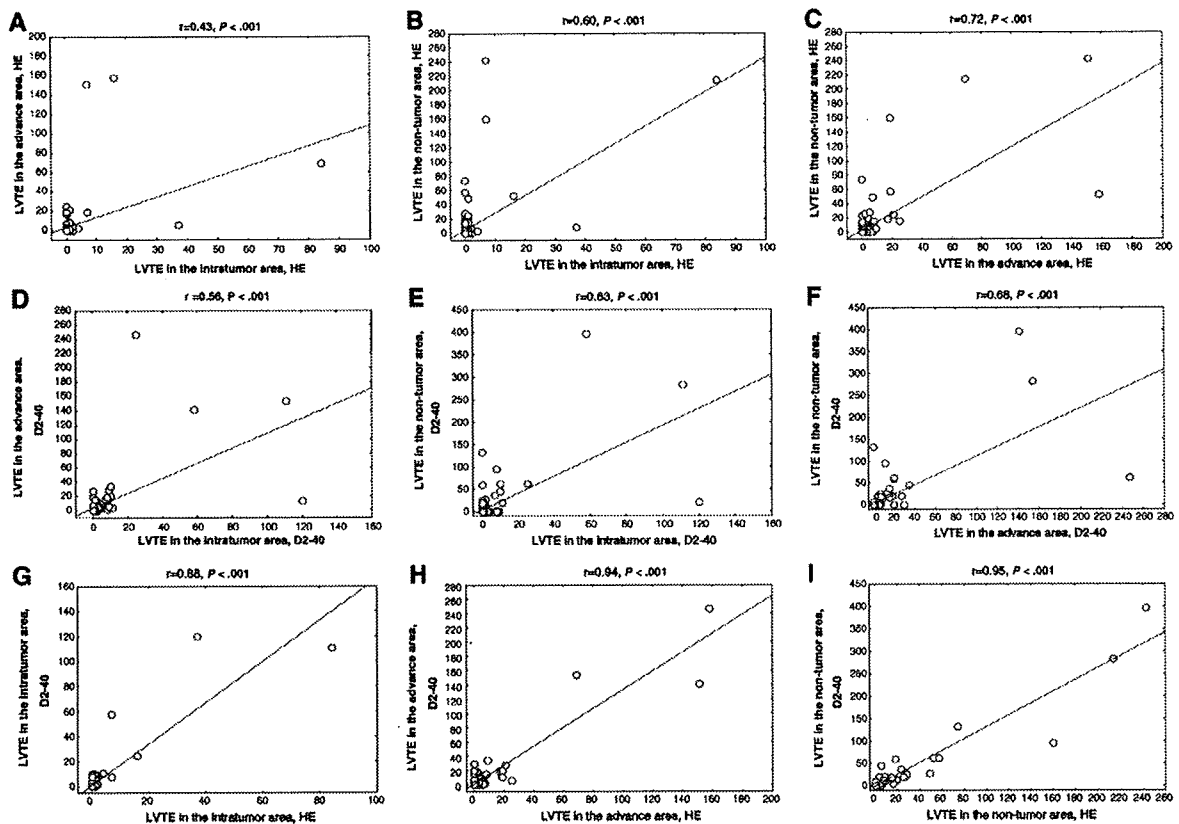


Fig. 3 Pearson coefficient for correlations between the numbers of LVTEs identified by HE staining and by D2-40 staining in the intratumor area, in the advance area, and in the nontumor stroma area of IDCs (A-I). The numbers of LVTEs in the 3 tumor areas were significantly correlated with each other, independent of the staining method used (A-F). The numbers of LVTEs in the corresponding tumor areas were also significantly correlated with each other (G-I).

Table 3 Number of cases with a true LVTE according to tumor area

	Total	No. of cases with a D-LVTE					
	151	Intratumor		Advance		Nontumor	
No. of cases with an H-LVTE		30	121				
Intratumor area		+ (%)	- (%)	+ (%)	- (%)	+ (%)	- (%)
+	19	16 (84)	3 (16)				
-	132	14 (11)	118 (89)				
Sensitivity, 54%; specificity, 98%; positive predictive value, 84%; negative predictive value, 89%							
Advance area				69	82		
+	52			44 (85)	8 (15)		
-	99			25 (25)	74 (75)		
Sensitivity, 64%; specificity, 90%; positive predictive value, 85%; negative predictive value, 75%							
Nontumor area						36	115
+	35					30 (86)	5 (14)
-	116					6 (5)	110 (95)
Sensitivity, 83%; specificity, 95%; positive predictive value, 86%; negative predictive value, 95%							

Mean no. of H- or D-LVTE in the advance area or in the nontumor area in cases with an LVTE identified by the intratumor area in HE staining or D2-40 staining

	Cases with an H-LVTE in the intratumor area			Cases with a D-LVTE in the intratumor area		
	Absent	Present	<i>P</i>	Absent	Present	<i>P</i>
	Mean ± SD	Mean ± SD		Mean ± SD	Mean ± SD	
No. of LVTE in the advance area	5.4 ± 3.3	23.9 ± 48.7	<.001	1.7 ± 4.2	36.6 ± 67.5	<.001
No. of LVTE in the nontumor area	5.9 ± 9.1	42.4 ± 75.6	<.001	3.0 ± 14.1	55.1 ± 105.0	<.001

Abbreviations: H-LVTE, an LVTE identified by HE staining; D-LVTE, an LVTE identified by D2-40 staining; +, number of cases with an LVTE identified by HE or D2-40 staining; -, number of cases in which no LVTEs were identified by HE or D2-40 staining.

1 LVTE detected by HE or D2-40 staining, (2) D2-40 lymph vessel density, (3) age (≤ 40 versus > 40 years), (4) menopausal status (premenopause versus postmenopause), (5) invasive tumor size (≤ 20 versus > 20 mm), (6) histologic grade (grade 1 or 2 versus grade 3) [13], (7) fibrotic focus (absent versus present) [14,15], (8) blood vessel invasion (absent versus present), (9) lymph node status (negative versus positive), (10) estrogen receptor (ER)/progesterone receptor (PR) status (either or both positive versus both negative), and (11) pTNM stages [16]. The median lymph vessel density value was used as the cutoff value in each tumor area, and because the median value in the intratumor

area was "0," "1" was used as the cutoff value of the intratumor area. Clinicopathologic parameters, the presence or the absence of an LVTE identified by HE or D2-40, and D2-40 lymph vessel density, which were significantly associated with nodal metastasis or patient outcome in the univariate analyses, were then entered into the multivariate analyses using the logistic regression model [17] or the Cox proportional hazard regression model [18] by the step-down method until all remaining factors were significant at a *P* value of less than .05. Because only 6 patients with IDC without nodal metastasis died of their disease, we could not perform a multivariate analysis. In addition, because vessel

Table 4 Multivariate analyses for lymph node metastasis in all cases (151 cases)

Model 1: LVTEs identified by HE staining					Model 2: LVTEs identified by D2-40 staining				
Parameter	Cases	LNMR (%)	RR (95% CI)	<i>P</i>	Parameter	Cases	LNMR (%)	RR (95% CI)	<i>P</i>
LVTEs in the nontumor stroma area					LVTEs in the nontumor stroma area				
Absent	116	53 (46)	Referent		Absent	115	54 (47)	Referent	
Present	35	30 (86)	3.5 (3.0-24.4)	<.001	Present	36	29 (81)	6.5 (2.5-17.0)	.004
Fibrotic focus					Fibrotic focus				
Absent	65	27 (42)	Referent		Absent	65	27 (42)	Referent	
Present	86	56 (65)	2.6 (1.2-5.5)	.008	Present	86	56 (65)	3.0 (1.4-6.3)	.003
Blood vessel invasion					Blood vessel invasion				
Absent	127	64 (50)	Referent		Absent	127	64 (50)	Referent	
Present	24	19 (79)	4.7 (1.5-14.4)	.008	Present	24	19 (79)	4.6 (1.5-13.9)	.006

Abbreviations: LNMR, lymph node metastasis rate; RR, relative risk; CI, confidence interval.

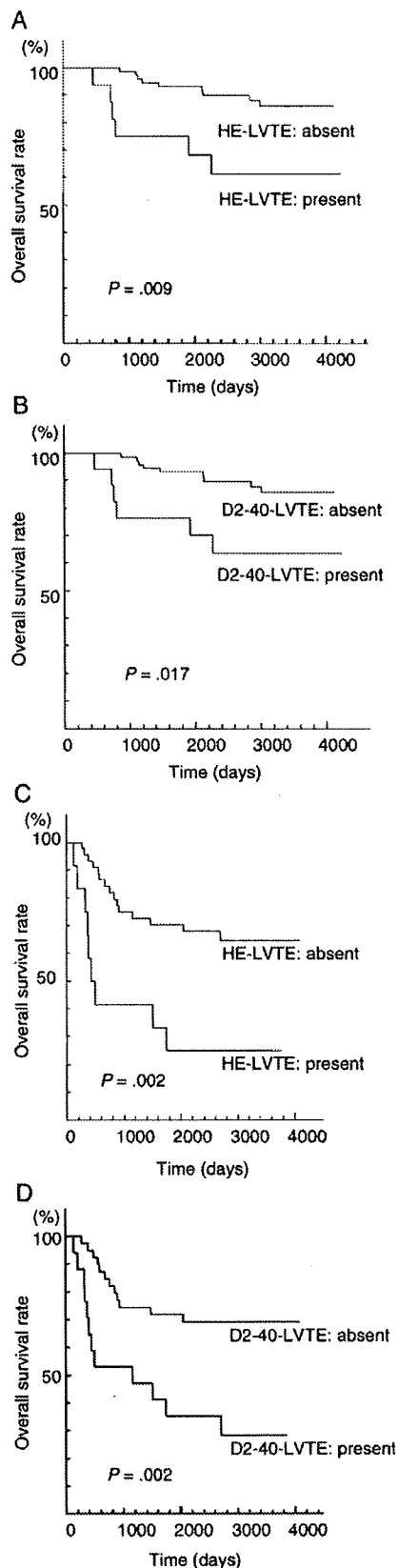
Table 5 Multivariate analyses for tumor recurrence and death according to ER and PR status

Model 1: LVTEs identified by HE staining					Model 2: LVTEs identified by D2-40 staining				
ER or PR positive or both positive (90 cases)									
Parameter	Cases	TRR (%)	HR (95% CI)	P	Parameter	Cases	TRR (%)	HR (95% CI)	P
LVTEs in the nontumor stroma area					LVTEs in the nontumor stroma area				
Absent	73	15 (21)	Referent		Absent	72	34 (47)	Referent	
Present	17	11 (65)	3.9 (1.8-8.6)	<.001	Present	18	15 (83)	3.2 (1.4-7.1)	.004
Tumor size (mm)					Tumor size (mm)				
≤20	32	4 (13)	Referent		≤20	32	4 (13)	Referent	
>20	58	22 (38)	3.2 (1.1-9.5)	.032	>20	58	22 (38)	3.8 (1.3-11.0)	.015
Parameter	Cases	MR (%)	HR (95% CI)	P	Parameter	Cases	MR (%)	HR (95% CI)	P
Histologic grade					Histologic grade				
1 and 2	68	7 (10)	Referent		1 and 2	68	7 (10)	Referent	
3	22	8 (36)	3.0 (1.5-5.7)	.001	3	22	8 (36)	3.3 (1.7-6.4)	<.001
Lymph node metastasis					Lymph node metastasis				
Absent	41	1 (2)	Referent		Absent	41	1 (2)	Referent	
Present	49	14 (29)	3.6 (1.5-8.3)	.003	Present	49	14 (29)	2.7 (1.1-6.6)	.033
ER- and PR-negative cases (57 cases)									
Parameter	Cases	TRR (%)	HR (95% CI)	P	Parameter	Cases	TRR (%)	HR (95% CI)	P
LVTEs in the intratumor area					LVTEs in the advance area				
Absent	45	15 (33)	Referent		Absent	29	6 (21)	Referent	
Present	12	9 (75)	2.7 (1.1-6.6)	.031	Present	28	18 (64)	3.7 (1.4-9.7)	.009
Histologic grade					Histologic grade				
1 and 2	34	10 (29)	Referent		1 and 2	34	10 (29)	Referent	
3	23	14 (61)	5.4 (2.0-14.3)	.001	3	23	14 (61)	5.9 (2.2-16.3)	.001
Lymph node metastasis					Lymph node metastasis				
Absent	23	5 (22)	Referent		Absent	23	5 (22)	Referent	
Present	34	19 (56)	6.6 (2.0-21.2)	.002	Present	34	19 (56)	5.4 (1.8-16.4)	.003
Fibrotic focus					Fibrotic focus				
Absent	20	4 (20)	Referent		Absent	20	4 (20)	Referent	
Present	37	20 (54)	3.8 (1.2-11.9)	.021	Present	37	20 (54)	3.7 (1.1-12.1)	.029
Tumor size (mm)					Tumor size (mm)				
≤20	23	4 (17)	Referent		≤20	23	4 (17)	Referent	
>20	34	20 (59)	3.2 (1.0-10.1)	.043	>20	34	20 (59)	2.7 (1.1-6.6)	.031
Parameter	Cases	MR (%)	HR (95% CI)	P	Parameter	Cases	MR (%)	HR (95% CI)	P
LVTEs in the intratumor area					LVTEs in the intratumor area				
Absent	45	15 (33)	Referent		Absent	40	12 (30)	Referent	
Present	12	9 (75)	3.0 (1.2-7.4)	.015	Present	17	12 (71)	4.1 (1.7-9.9)	.002
Histologic grade					Histologic grade				
1 and 2	34	10 (29)	Referent		1 and 2	34	10 (29)	Referent	
3	23	14 (61)	3.8 (1.5-9.2)	.009	3	23	14 (61)	3.8 (1.5-9.2)	.004
Tumor size (mm)					Tumor size (mm)				
≤20	23	4 (17)	Referent		≤20	23	4 (17)	Referent	
>20	34	20 (59)	3.2 (1.0-10.1)	.043	>20	34	20 (59)	4.6 (1.4-15.0)	.010

Abbreviations: TRR, tumor recurrence rate; MR, mortality rate; CI, confidence interval.

invasion (the combined assessment of lymph vessel invasion and blood vessel invasion) has recently been reported to be a very important prognostic factor for patients with IDC [19], we also analyzed the prognostic power of vessel invasion in the 3 tumor areas in the multivariate analyses. Because of the possibility of several IDCs with an

LVTE identified by HE staining also containing LVTEs identified by D2-40 staining, the presence of at least 1 LVTE identified by HE and by D2-40 stain was analyzed separately in the multivariate analyses (model 1, HE staining; model 2, D2-40 staining). Crude disease-free survival curves and overall survival curves were drawn by



the Kaplan-Meier method [20]. All *P* values reported are 2 sided, and the significance level was set at $P < .05$. All analyses were performed with Statistica/Windows software (StatSoft, Tulsa, Okla).

3. Results

3.1. Maximum, minimum, median, and mean numbers of LVTEs identified by HE staining and D2-40 staining according to tumor area

The largest number of cases in which an LVTE was detected by HE or D2-40 staining was observed in the advance area, and the smallest number of cases that had an LVTE was observed in the intratumor area (Table 1). The highest maximum number of LVTEs identified by HE or D2-40 staining was in the nontumor area, and it was followed by the advance area and then the intratumor area, and the mean numbers of LVTEs showed a similar tendency.

The highest maximum D2-40 lymph vessel density was in the advance area, but the highest mean and median D2-40 lymph vessel density was in the nontumor area.

3.2. Cumulative actual numbers of LVTEs identified by HE and D2-40 staining according to tumor area

The cumulative actual numbers of LVTEs identified by HE and D2-40 increased from the intratumor area to the nontumor area, and D2-40 detected larger numbers of LVTEs than HE staining in every tumor area (Table 2). The sensitivity of HE staining for detection of LVTEs increased from the intratumor area to the nontumor area, and the results of this study clearly demonstrated LVTEs identified by HE staining in each tumor area had a very high positive predictive value, with the highest positive predictive value being in the intratumor area. The results of this study clearly demonstrated that almost all the true LVTEs in the intratumor area were detected as stroma-invasive tumor nests by HE staining, whereas the largest numbers of true LVTEs in the nontumor areas were detected in the form of angiovascular tumor emboli. In the HE-stained specimens true LVTEs in the advanced area were misdiagnosed as stroma-invasive tumor nests or angiovascular tumor emboli.

Fig. 4 A and B, Disease-free survival curves of patients with IDC with HE- and D2-40-stained LVTEs, respectively, that is, with ER or PR positive or positive for both. The IDC group with an LVTE in the nontumor area identified by HE or D2-40 staining had a shorter disease-free survival time than the IDC group without any LVTEs in the nontumor area ($P = .009$ and $P = .017$). C and D, Overall survival curves according to LVTE detection by HE staining and D2-40 staining in patients with IDC that is both ER and PR negative. The IDC group with an LVTE in the intratumor area identified by HE or D2-40 staining had a shorter overall survival time than the IDC group with no LVTEs in the intratumor area ($P = .002$ and $P = .002$).