Table 1. Summary of the genetic and epigenetic abnormalities in ATLL cell lines

		10p abnormalities			Treatment		
Cell line	Cell type		Point mutation	5-Aza-dC, fold ± SD	TSA, fold ± SD	5-Aza-dC+TSA, fold ± SD	
MOLT4	T-ALL	None	None	1.25 ± 0.46	1.65 ± 0.21	1.65 ± 0.22	
Jurkat	T-ALL	None	None	$11.17 \pm 0.59^{\star}$	10.04 ± 1.74*	$3.07\pm0.54^{\star}$	
MT2	HTLV-1 (+)	None	None	3.38 ± 1.17*	8.25 ± 1.76*	$4.58\pm0.90^{\star}$	
HUT102	HTLV-1 (+)	None	255A>C Asn78Thr	4.39 ± 0.49*	6.94 ± 0.16*	8.03 ± 1.05*	
ED	ATLL	None	None	4.32 ± 1.57*	7.48 ± 0.91*	$3.93 \pm 0.21*$	
ков	ATLL	10p del	None	3.26 ± 1.12*	$2.32 \pm 0.81$	$2.08 \pm 0.54$	
KK1	ATLL	10p del	None	9.92 ± 0.45*	6.76 ± 0.17*	$9.72 \pm 0.35^*$	
SO4	ATLL	10p del	None	$1.40 \pm 0.33$	1.57 ± 0.18	$0.59 \pm 0.06$	
SIT	ATLL	None	None	3.66 ± 0.21*	3.95 ± 0.75*	$2.32 \pm 1.63$	
Su9T	ATLL	None	None	3.91 ± 0.45*	9.66 ± 2.38*	1.42 ± 0.21	

Data are means plus or minus SD.

signals were detected in the 1-Mb region from RP11-135A24 to RP11-462L8 in ATL090, suggesting that a 10p11 region-specific homozygous deletion had occurred in this case (Figure 1B). Therefore, a minimal common region of chromosome deletions, including a region of homozygous deletion in ATL090, was mapped to a 2-Mb region from PR11-523J14 to RP11-342D11 (Figure 1B).

To confirm these results, we performed SNP array-CGH using DNA from 8 ATLL-related cell lines including KK1, KOB, SO4, and an additional 10 samples from acute-type ATLL patients. Deletions in 10p11.2, including the 2-Mb deletion region, were noted in 3 cell lines: KK1, KOB, and SO4, and an additional 4 patient samples (Figure 1B; Table S3). Using SNP array-CGH, the telomeric deleted regions in chromosome 10p11.2 in KOB and KK1 covered a wider area than those detected by FISH analysis, and each deleted region in the 3 cell lines and 4 patients samples covered the common deletion region. To combine these data, the same minimal common region of chromosome deletions, including regions of homozygous deletion in ATL090, was mapped to a 2-Mb region from PR11-523J14 to RP11-342D11 (Figure 1B), suggesting that a tumor suppressor gene possibly exists in this 2-Mb region in 10p11.2.

### Down-regulation of TCF8 mRNA in ATLL cells

We examined the mRNA expression profiles of all 12 genes within the commonly deleted region in 10p11.2, which were identified by NCBI and Celera gene maps (Rockville, MD). Since mRNA samples from the ATLL patients used for the deletion mapping were not available, we initially used the mRNA expression profiles of the other 8 leukemia cell samples from acute-type ATLL patients by semiguantitative reverse-transcription PCR (RT-PCR), which had been previously identified by DNA microarray.<sup>15</sup> Two leukemia samples from patients with ATLL had chromosome 10p11.2 abnormalities: t(10;15) (p11.2;q26) in ATL044 and monosomy 10 in ATL009 (Table S1). Expression levels of 8 genes (TCF8, ARHGAP12, KIF5B, CCDC7, EPC1, C10orf68, ITGB1 and NRP1) and HTLV-1 Tax as well as  $\beta$ -actin are shown in Figure 2A. The results showed that levels of TCF8 mRNA in ATLL cells had a tendency to be lower than those in CD4+ T lymphocytes from healthy volunteers, even though only 2 of 8 patients had chromosome 10p11.2 abnormalities. Other genes did not show any differences in expression level between the 2 groups. Expression profiles of the leukemia cells using a DNA microarray gave the same results (Figure S1), and quantitative real-time RT-PCR also showed that

the expression level of *TCF8* mRNA in ATLL cells was significantly lower than that in CD4<sup>+</sup> T lymphocytes (Figure 2B).

To confirm these results, 12 T-ALL cell lines containing 6 ATLL cell lines (ED, KOB, KK1, SO4, S1T, and Su9T), 2 HTLV-1–infected T-cell lines (MT2 and HUT102), and 4 HTLV-1–uninfected T-ALL cell lines (Jurkat, MOLT4, MKB1, and KAWAI) were used for an expression study. Three cell lines (KOB, KK1, and SO4) revealed the deletion of chromosome 10p11.2 with *TCF8*. Although no other genes except *TCF8* showed any change in expression level in these cell lines (Figure S2), the expression level of *TCF8* was specifically down-regulated in all of the ATLL cell lines along with Jurkat cells by quantitative real-time RT-PCR (Figure 2C). These data suggest that *TCF8* transcription might be down-regulated by epigenetic inactivation in most ATLL-related cell lines with Jurkat cells.

## Increased expression of *TCF8* by 5-aza-2'-deoxycytidine or trichostatin A in ATLL cell lines

To clarify whether DNA methylation and/or histone deacetylation of the TCF8 gene promoter were involved in the transcriptional repression of TCF8 in ATLL cell lines with Jurkat cells, 10 cell lines (2 T-ALL, 2 HTLV-1-infected, and 6 ATLL-derived cell lines) were cultured with (1) 10 μM 5-aza-2'-deoxycytidine (5-aza-dC), a DNA demethylating agent, for 72 hours, (2) 1.2 µM trichostatin A (TSA), an inhibitor of histone deacetylase, for 48 hours, or (3) 1.2 μM TSA for 48 hours following culture with 10 μM 5-aza-dC for 24 hours. After treatment with 5-aza-dC, TCF8 expression was up-regulated in 8 of 10 cell lines (Jurkat, MT2, HUT102, ED, KOB, KK1, S1T, and Su9T), with more than 3-fold activation (P < .05) as detected by real-time RT-PCR (Table 1). After treatment with TSA for 48 hours, the levels of TCF8 mRNA increased in 7 of 10 cell lines (Jurkat, MT2, HUT102, ED, KK1, S1T, and Su9T), also with more than 3-fold activation (P < .05). In addition, combination therapy induced TCF8 mRNA expression in 5 cell lines by more than 3-fold. Therefore, TCF8 mRNA expression was activated in 7 of 8 ATLL-related cell lines along with Jurkat cells by either 5-aza-dC or TSA treatment, suggesting that the down-regulation of TCF8 in most of the ATLL cell lines except SO4 cells with a chromosome 10p hemizygous deletion was dependent on epigenetic abnormalities.

### Unmethylated putative TCF8 promoter in ATLL cell lines

Next, we determined the methylation status of the *TCF8* promoter by bisulfite sequencing. A CpG island containing 50 CpGs was

<sup>\*</sup>P < .05 versus control (Dunnett test).

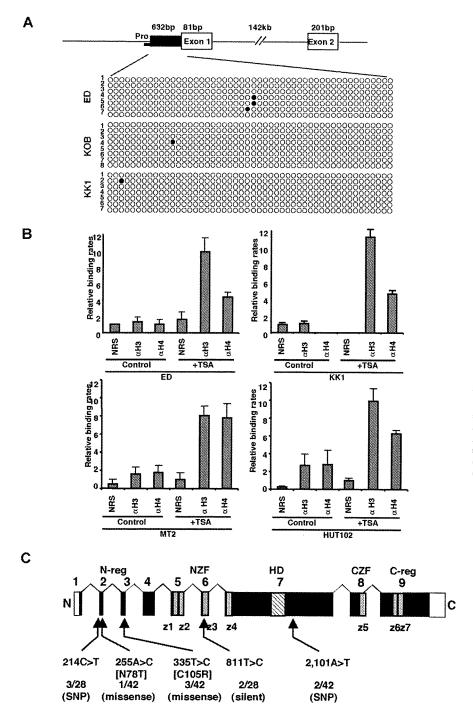


Figure 3. Genetic and epigenetic abnormalities of the TCF8 gene in ATLL cells. (A) Bisulfite genomic sequencing of the TCF8 promoter region in 3 ATLL cell lines: ED, KOB, and KK1. PCR products amplified from bisulfite-treated DNA were subcloned, and 8 clones in each cell line were sequenced. O indicate unmethylated CpGs (Thy), and • indicate methylated CpGs (Cyt). The sequenced region contains 50 CpGs in 632 bp, just upstream from exon 1. Pro indicates a region of the TCF8 promoter for chromatin immunoprecipitation. (B) Specific DNA binding of acetylated histone H3 or H4 to the TCF8 promoter region detected by chromatin immunoprecipitation (ChIP). Two genomic DNA fragments containing every possible DNA-binding site, TCF8 promoter, or β-actin promoter were amplified from the genomic DNA of fixed ATLL-related cell lines (MT2, HUT102, ED, and KK1) after immunoprecipitation with normal rabbit serum (NRS) or with antiacetylated histone H3 or H4 antibodies (aH3 or aH4). Quantitative PCR data calibrated to the TCF8 promoter/ B-actin ratio are shown in the NRS as a relative expression rate of 1. Data are the means plus or minus standard deviation of 2-44Ct in a duplicate assay. Cell lines were cultured in RPMI1640 medium containing 10% FCS with (+ TSA) or without (control) 1.2 uM TSA. (C) Genomic structure of the TCF8 gene with a missense mutation and single nucleotide polymorphisms. Locations of the mutations and the single nucleotide polymorphisms relative to the exons encoding the functional domains are shown. TCF8 encodes a homeodomain (HD) flanked by 2 zinc-finger clusters (z1 to z4 and z5 to z7) (NZF indicates N-terminal zinc finger repeats, CZF; C-terminal zinc finger repeats). The N-terminal transcriptional regulatory domain (Nreg) could bind to p300/CBP and the C-terminal transcriptional regulator domain (C-reg) is the region where acidic amino acids are clustered just after the last zinc-finger domain. Values represent the number of mutated cases per total number of tested cases. SNP indicates single nucleotide polymorphism. White boxes represent noncoding regions in exons 1 and 9.

amplified from a 632-bp region of the putative *TCF8* promoter adjacent to exon 1 using 2 pairs of PCR primers and bisulfite-treated genomic DNA from 3 ATLL cell lines: ED, KOB, and KK1. However, the *TCF8* promoter was not methylated in any of the 3 ATLL cell lines in which *TCF8* expression was induced by 5-aza-dC (Figure 3A), suggesting that the CpG island was not a direct target for DNA methylation in ATLL cells. Moreover, *TCF8* mRNA was up-regulated in various ATLL cell lines after treatment with hydralazine, which was reported to decrease DNA methyltransferase expression (Figure S3). This observation suggests that a transactivating regulator of *TCF8* may be modulated by methylation or the other regulatory elements are located outside the *TCF8* promoter. Such enhancer-related methylation events have been described for the imprinting of *H19* and *Igf2*, *p21WAF1* regulation

by p73, and Apaf-1. Therefore, further analyses will be needed to determine the exact regulatory element near the TCF8 gene or to find a transactivating regulator of TCF8, which is directly methylated in ATLL cells.

## Histone deacetylation is directly involved in down-regulation of *TCF8* mRNA expression in ATLL cells

To confirm the correlation between reduced *TCF8* mRNA expression and histone deacetylation, *TCF8* expression and histone acetylation status were analyzed in the ATLL-related cell lines (MT2, HUT102, ED, and KK1) by chromatin immunoprecipitation (ChIP) after treatment with or without TSA. After treatment with TSA for 48 hours, the chromosomal DNA precipitated by antiacetylated histone H3 or H4

antibody was amplified with 2 sets of primers for the TCF8 promoter region or for the human  $\beta$ -actin promoter region (Figure 3B). Band intensities of the TCF8 promoter region in 4 cell lines were amplified 3- to 6-fold after treatment with TSA, indicating that histone deacetylation of the TCF8 promoter region was directly involved in the down-regulation of TCF8 mRNA expression in ATLL cells.

### Identification of missense mutations in TCF8 in ATLL cells

We then searched for somatic *TCF8* mutations in DNA samples from 34 patients with acute-type ATLL and 10 T-cell leukemia cell lines. Genomic PCR did not detect any homozygous deletions in any of the 9 coding exons of *TCF8* in these samples. We detected 5 types of nucleotide substitutions, and all were heterozygous (Figure 3C). The 255A>C substitution in HUT102, creating a missense mutation (Asn78Thr) in exon 2, and the 335T>C substitution in the leukemia cells from 3 ATLL patients, creating a missense mutation (Cys105Arg) in exon 3, were likely to be somatic mutations (Table S4), since they were not detected in noncancerous cells from 95 Japanese volunteers.

The results of genomic and expression analysis indicate that the *TCF8* gene is altered by several mechanisms, including hemizygous deletion, epigenetic dysregulation, and intragenic mutations. Regarding the ATLL-related cell lines, 3 of 9 showed hemizygous deletions of 10p11.2; 8 of 9 showed epigenetic dysregulation of the *TCF8* gene; and 1 of 9 showed an intragenic mutation (Table 1). Therefore, *TCF8* is a strong candidate tumor suppressor gene for ATLL leukemogenesis and is initially inactivated by unbalanced translocations with heterozygous deletion in the 10p11.2 region in ATLL cells.

### Development of CD4+ T-cell lymphoma in TCF8 mutant mice

To determine whether down-regulation of the TCF8 gene could be a causative event for leukemogenic conversion of T lymphocytes to leukemia-lymphoma cells, we investigated  $\delta EFI$  (mouse homologue of TCF8) gene-targeted mutant mice, which lack the COOH-proximal zinc finger clusters ( $\delta EF1^{\Delta C\text{-}fin}$  allele) and were reported to have a defect in the thymic T-cell development. 28,29 Since 20% of the homozygous TCF8 mutant mice were born alive, we made their genetic backgrounds more heterogeneous by crossing the C57BL/6 background with the ICR or F1 (C57BL/6 × C3H) mice. Homozygous mice on a mixed genetic background were born with almost normal Mendelian frequencies (wild-type: heterozygote:homozygote = 60:91:42). After 4 months, almost half of the TCF8 homozygous mutant mice experienced enlargement of the abdomen due to ascites (27 [64.3%] of 42 mice), and many mice developed lymphomas with a median onset of disease of 30 weeks after birth and an earliest onset at 95 days after birth (Figure 4A). Half of the mice died within a year, and 84% of them developed fatal T-cell lymphomas. In TCF8 homozygous mutant mice, 2 types of lymphomas were observed: (1) peripheral lymphomas with or without ascites, and (2) thymic tumors. Typical pathological findings of 15 mice (no. 6 to no. 21) are shown in Table S5. In the peripheral lymphoma group, a large amount of bloody or milky ascitic fluid had collected in approximately 60% of the mice with invasion of various organs (Figure 4B,C). Numerous lymphoma cells with medium to large, cleaved or noncleaved nuclei were observed in the ascitic fluid (Figure 4D). Lymphoma cells had invaded various lymph nodes, including the thoracic, peripancreatic, mesenteric, perirenal, mesenteric, and other peripheral lymph nodes (Figure 4E). Fluorescence-activated cell sorter

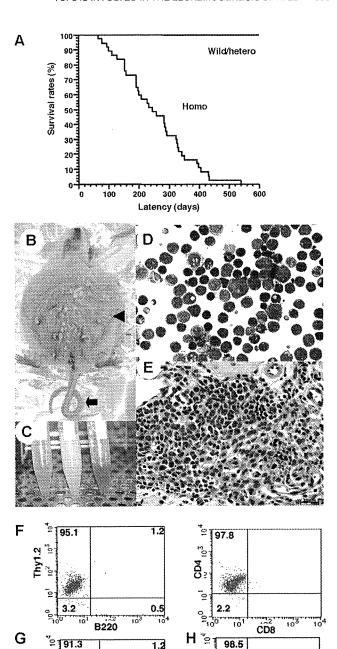


Figure 4. Survival rates and pathologic findings in *TCF8* mutant mice. (A) The survival rates of a cohort of wild-type (wild), *TCF8* heterozygous (hetero), and *TCF8* homozygous (homo) mutant mice were followed over the indicated period using Kaplan-Meier plots. (B) Gross photograph of *TCF8* mutant mice with ascites (◄). Approximately 30% of *TCF8*-homologous mutant mice showed curled tail (♠). (C) Bloody or milky ascites was pooled. (D) May-Giernsa staining of tumor cells in ascites. Original magnification ×400. (E) Many lymphoma cells with medium- to large-sized nuclei infiltrated in the mesentery. Cells were examined using an Axioskop 2 plus inverted microscope (Carl Zeiss, Rugby, United Kingdom) and digital images were aquired using AxioCam camera and AxioVision 2.05 software (Carl Zeiss). Original magnification ×400. (F) Tumor cells from ascitic fluids were analyzed by staining with a combination of monoclonal antibodies, either Thy1.2-PE with B220-FITC (left) or CD4-PE with CD8-FITC (right) and FACS. (G,H) The tumor cells that invaded liver (G) or spleen (H) were analyzed by staining with a combination of monoclonal antibodies, CD4-PE with CD8-FITC.

n

05

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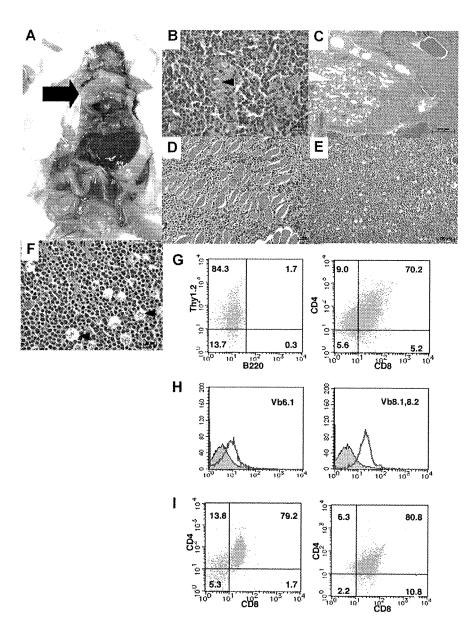


Figure 5. Pathological findings of TCF8 mutant mice. (A) Gross autopsy of TCF8 mutant mice with thymic tumors. A large thymic tumor (→) was observed at the mediastinum of the dissected mouse. (B) Hematoxylin and eosin staining of tumor sections from the mouse as indicated. The normal thymic cellular architecture in the TCF8 mutant mice is replaced with monotonous fields of large, highly mitotic lymphoblasts with small Hassall bodies (◄). The scale bar indicates 20 μm. Original magnification ×400. (C) The tumor cells invaded the lung, vascular tissues, and heart in the mouse. The scale bar indicates 500 µm. Original magnification ×25. (D) The tumor cells invaded the muscular tissues of the chest wall. The scale bar indicates 50 μm. Original magnification ×200. (E) Hematoxylin and eosin staining of peripheral lymph nodes. Tumor cells showed a diffuse proliferation of monomorphic lymphoma cells, focally mixed with tingible body macrophages ("starry-sky appearance") (◄). The scale bar indicates 100 μm. Original magnification ×100. (F) Hematoxylin and eosin staining of peripheral lymph nodes. The scale bar indicates 20 µm. Original magnification ×400. (G) Tumor cells from the thymic tumor were analyzed by staining with a combination of monoclonal antibodies, either Thy1.2-PE with B220-FITC (left) or CD4-PE with CD8-FITC (right) and FACS. (H) The tumor cells of the CD3+B220- population did not express VB6.1 TCR (left), but showed weak expression of Vβ8.1-8.2 TCR (right). (I) Tumor cells from the liver (left) or spleen (right) were analyzed by staining with a combination of monoclonal antibodies, CD4-PE and CD8-FITC.

(FACS) analysis of the tumor cells showed that most of the lymphoma cells in the ascitic fluid and those that had invaded various organs were CD4 $^+$  SPT cells (Figure 4F-H).

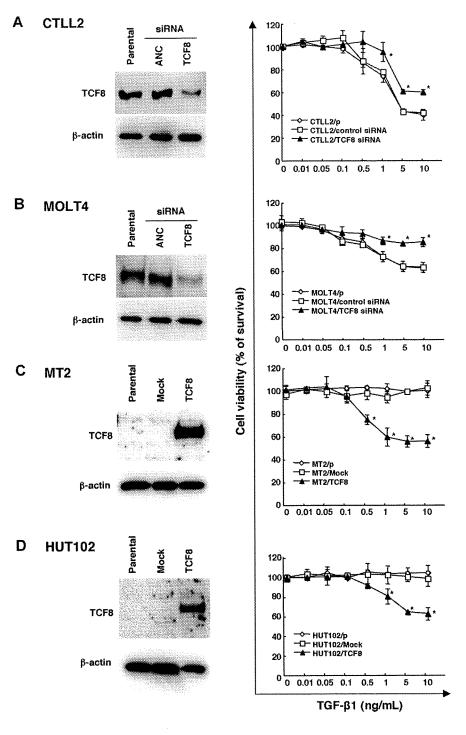
In the thymic tumor group, 16% of the homozygous mutant mice had large thymic tumors with a diameter of 1 to 3 cm (Figure 5A). Histologic analysis of the thymic tumors revealed that lymphoblastic lymphoma cells had densely proliferated in the cortex and medulla of the thymus (Figure 5B). Thymic lymphoma cells continuously invaded the lungs, chest wall, and pericardium (Figure 5C,D). In the peripheral lymph nodes, there was diffuse proliferation of monomorphic lymphoma cells focally mixed with tingible body macrophages, giving a "starry-sky" appearance (Figure 5E,F). In the thymic tumor group, surface marker analysis of thymic lymphoma cells revealed CD4+CD8+ DPT lymphoma cells (Figure 5G), which were negative for Vβ6.1 TCR and weakly positive for Vβ8.1-8.2 TCR with a single peak (Figure 5H). In this mouse, the mononuclear cells that invaded the liver, as well as a majority of the cells that invaded the spleen, were DPT lymphoma cells (Figure 5I). Therefore, in the same mouse, both tumor cells derived from the thymus and those that had invaded the organs were revealed to be DPT lymphoma cells. Moreover, the remaining

mice with large thymic tumors showed the same CD4+CD8+ DPT lymphoma cells. In total, 84% of the T-cell tumors in *TCF8* homologous mutant mice could be classified as CD4+ SP T-cell lymphoma, and 16%, as CD4+CD8+ DP thymic T-cell lymphoma. CD8+ SPT lymphomas were never observed. Thus, the histopathological and cellular findings revealed that CD4+ T-cell lymphoma/ leukemia developed in most *TCF8* mutant mice.

# Down-regulation of TCF8 expression is associated with TGF- $\!\beta 1$ resistance in ATLL cells

The TGF- $\beta$  superfamily is known to inhibit the lineage commitment of double-positive (DP) cells toward CD4+ T-cell differentiation. T-cell differentiation. Interestingly, the ATLL cells were found to be resistant to growth inhibition by TGF- $\beta$ 1, even with high levels of TGF- $\beta$ 1 expression, so a suggesting that ATLL cells may have developed several mechanisms of resistance to escape the antiproliferative and inactivating signal mediated by TGF- $\beta$ 1, including Tax through activation of the JNK/c-Jun pathway or MEL1S expression. Since TCF8 is reported to synergize with Smad

Figure 6. TGF-β1 responsiveness in various leukemia cell lines with the up- or down-regulation of TCF8 expression. (A,B) The down-regulation of the TCF8 protein by TCF8 siRNA. The CTLL2 (A) and MOLT4 (B) cell lines were transfected with either TCF8 or the AllStars Negative Control (ANC) siRNAs and then were incubated for 24 hours. The levels of TCF8 protein were examined in each cell line by Western blotting (left panel). After transfection with siRNAs, the cells were treated with the indicated concentrations of TGF-B1 for 72 hours. The degree of proliferation of each cell line was examined by MTT assay. The results are shown as percentages of the values obtained from the control TGF-β1-free culture (right panel). A ◊ represents parental cells, [ represents cells treated with ANC siRNA, and A represents cells treated with TCF8 siRNA. Student t test (P < .05) was used for the statistical analysis. (C,D) The enforced expression of TCF8 in HTLV-1-infected cell lines. The TCF8 protein levels were examined in each MT-2 (C) and HUT102 (D) cell transfected with a mock or TCF8 expression plasmid after 24 hours by Western blotting (left panel). The cells were treated with the indicated concentrations of TGF-B1 for 72 hours and the proliferation of each was examined by MTT assay. The results are shown as percentages of the values obtained from the control TGF-B1-free culture (right panel). Parental cells (◊), mock vector-transfected cells (□), and TCF8 expression plasmid-transfected cells (A). All data are the means plus or minus standard deviation in a duplicate assay. Student t test (P < .05) was used for the statistical analysis.



proteins to activate TGF-β1 signal transduction,<sup>40,41</sup> we investigated whether the down-regulation of TCF8 expression was associated with resistance to TGF-β1-mediated growth inhibition in ATLL cells. Thereafter, either *TCF8* or ANC siRNA was introduced into a murine IL2-dependent T-lymphoma cell line, CTLL2, and human T-ALL cell line, MOLT4. Western blot analyses revealed the TCF8 expression in the siRNA-treated cells to be less than half of that in the control cells, while the viable cell curves of both cell lines treated with *TCF8* siRNA exhibited a significantly higher resistance to TGF-β1 than those of the control cells (Figure 6A,B). Next, the TCF8 expression plasmid was transiently introduced into 2 HTLV-1-infected T-cell lines (MT2 and HUT102) and up to 40% of the TCF8 transfectants died after

TGF- $\beta$ 1 treatment in a dose-dependent manner, whereas the parental and transfectants with mock plasmid did not die with TGF- $\beta$ 1 treatment at all (Figure 6C,D). These results indicate that down-regulation of TCF8 expression is one of the mechanisms of TGF- $\beta$ 1 resistance in ATLL cells, suggesting that CD4<sup>+</sup> T lymphoma cells might escape from negative selection due to reduced TGF- $\beta$ 1 responsiveness.

### Discussion

We demonstrated that in ATLL cells, the *TCF8* gene was mainly epigenetically inactivated in a majority of ATLL cells. In addition,

TCF8 (or  $\delta EF1^{\Delta C\cdot fin}$  homozygous) mutant mice frequently developed CD4+ T-cell lymphoma and/or leukemia after a few months. These findings indicate that TCF8 has a tumor suppressor role in ATLL. Since the heterozygous TCF8 mutant mice did not develop any tumors and the level of TCF8 expression in some ATLL cells was approximately 30% to 40% of that observed in the control CD4 lymphocytes, TCF8 may therefore be involved in only some and not all of ATLL development. On the other hand, it is reported that TCF8 overexpressed in colorectal or breast cancer cells induces epithelial-mesenchymal transition (EMT) with the development of metastatic properties such as migration and invasion in vitro and in vivo. Therefore, TCF8 has dual functions in cancer progression, which are dependent on the type of the tumors, such as WT1 or TSLC1 tumor suppressor genes. The sum of TSLC1 tumor suppressor genes.

It was previously reported that TCF8 mutant mice had a defect in T-cell development in the first week of life. 28 At the early stage of development, intrathymic c-kit+ T precursor cells in these mice were depleted to just 1% of the level in normal mice, and the number of CD8<sup>+</sup> SPT cells was significantly reduced relative to the number of CD4<sup>+</sup> SPT cells. These observations indicate that TCF8 is involved in the regulation of T-cell development at multiple stages. Lymphoma cells in TCF8 mutant mice showed either CD4+ SPT cells or DPT cells after 6 months. Interestingly, TGF-\(\beta\)1 was important for regulating T-cell development in the thymus and for negative selection at the late stage of differentiation of DPT cells to CD4+ SP cells.34 Recently, DNA microarray analysis identified a higher level of TCF8 expression in DP thymocytes to CD4+ SP T cells,<sup>45</sup> suggesting that TCF8 enhanced negative selection due to TGF-β1 responsiveness. Moreover, TGF-β1-deficient mice had an increased number of CD4+ SPT cells and a decreased number of CD8<sup>+</sup> SP T cells. 46,47 By correlating the development of CD4<sup>+</sup> SP T-lymphoma cells in TCF8 mutant mice with the increase in the number of CD4<sup>+</sup> T cells in TGF-β1-deficient mice, we concluded that leukemogenesis in TCF8 mutant mice was partly dependent on resistance to TGF-β1.

TCF8 is an E-box-binding transcription factor reported to regulate many genes. We found that the transcription of CD4,  $\alpha 4$  integrin, and GATA-3, which was reported to be suppressed by TCF8,<sup>48</sup> was up-regulated in ATLL cells (data not shown). It was therefore suggested that impaired regulation of TCF8 expression in ATLL induced the increase in expression of CD4 and GATA-3, which was crucial for the establishment of the ATLL phenotype in CD4+ SP helper T lymphocytes. Moreover, TCF8 was reported to regulate p73, CCNG2, or p130.<sup>49,50</sup> Since these genes are very important for cell-cycle progression and apoptosis, further investi-

gation is needed to determine which ones are directly related to leukemogenesis among those regulated by TCF8.

The phenotypes of T-cell lymphomas in *TCF8* mutant mice were very similar to those of ATLL patients. In *TCF8* mutant mice, the tumor cells were mainly CD4+ SP or DPT cells, which invaded various organs, such as the liver, spleen, and lungs. In ATLL, the tumor cells were mainly CD4+ SPT cells that also invaded various organs. One difference, however, was that thymic lymphomas developed in the *TCF8* mutant mice, which has not been reported in ATLL cases. Another difference is that lymphoma cells in *TCF8* mutant mice did not have multilobulated nuclei. Such nuclei result from alterations in the PI3-kinase signaling cascades, <sup>51</sup> suggesting that down-regulation of TCF8 expression is not related to the PTEN signaling pathway and that other mutations are necessary for the development of ATLL. This is the first report illustrating the importance of the disruption of *TCF8* in leukemogenesis of ATLL.

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### **Authorship**

Contribution: T.H., S.N., and K.H. designed and performed experiments, analyzed data, and drafted the paper; M.H. performed experiments; T.K., Y.A., T.T., K.N., and M.T. performed experiments and data analysis; Y.A. and R.Y. performed the histopathology; K.Y., A.O., and H.T. collected case material and supervised the project; J.Y. and Y.H. supervised the project and drafted the paper; K.M. designed the experiments, analyzed data, and drafted the paper.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

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# Novel gain-of-function mutation in the extracellular domain of the *PDGFRA* gene in infant acute lymphoblastic leukemia with t(4;11)(q21;q23)

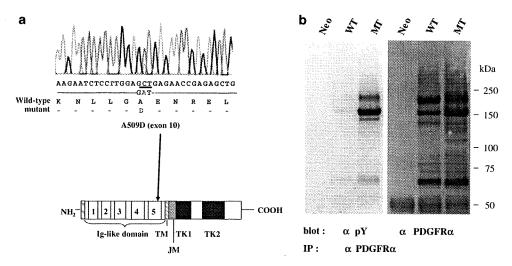
Leukemia (2008) **22**, 2279–2280; doi:10.1038/leu.2008.140; published online 12 June 2008

Platelet-derived growth factor receptors  $\alpha$  and  $\beta$  (PDGFRA and PDGFRB) belong to the class III receptor tyrosine kinases, which include c-KIT, colony stimulating factor-1 receptor and FLT3.1 PDGFRA and c-KIT are two related receptor tyrosine kinases showing similar structure, and mutations of these genes are detected in gastrointestinal stromal tumor<sup>2,3</sup> and myeloproliferative disorders.4 Recently, we reported a PDGFRA N870S mutation in a 13-year-old boy having acute myelogenous leukemia (AML)-M1 with t(8;21) and an F808L mutation in a 13-year-old girl having AML-M1 with inv(16).5 In addition to point mutations, the FIP1L1-PDGFRA fusion tyrosine kinase resulting from internal deletion of 4q12 locus was described in a subgroup of patients presenting hypereosinophilic syndrome.<sup>6</sup> Here, we investigated whether PDGFRA is also implicated in the pathogenesis of acute lymphoblastic leukemia (ALL), and found a mutation which renders a factor-dependent cell line factorindependent by its aberrant tyrosine phosphorylation.

One hundred and twenty seven childhood ALL and 40 infant ALL samples, including those from 13 patients with t(4;11)(q21;q23) (one patient was childhood ALL and the others were infant ALL), were analyzed for the expression and mutation of *PDGFRA*. *PDGFRA* gene was expressed in 38 (29.9%) of the 127 childhood ALL patient samples, 12 (30.0%) of the 40 infant ALL patients and 9 (36.0%) of the 25 ALL patients associated with *MLL* gene rearrangements. Sequence analyses of the samples expressing *PDGFRA* revealed a *PDGFRA* A509D mutation (Figure 1a) in a 4-month-old boy having ALL with t(4;11)(q21;q23). This mutation in the Ig5 domain of *PDGFRA* 

corresponds to those responsible for ligand-independent kinase activation of c-KIT in gastrointestinal stromal tumor.<sup>3</sup> Mutations on exon 9 encoding the extracellular domain near the transmembrane domain of *c-KIT* lead to ligand-independent activation of *c-KIT*,<sup>2,3</sup> and are associated with core binding factor AML and gastrointestinal stromal tumor. 1,3,7 We examined whether the mutation at Ig5 domain of the PDGFRA gene resulted in constitutive activation of the kinase activity by retroviral transduction<sup>8</sup> of the wild-type and mutated PDGFRA cDNAs into an IL-3 dependent mouse cell line, Ba/F3 and stable cell lines expressing each transgene were established. The whole coding region of PDGFRA cDNA was sequenced directly or after subcloning into a retroviral vector pMXs-IN(IRES-Neo).8 The empty vector as a negative control was also introduced into Ba/F3 cells. As expected, the wild-type PDGFRA was not significantly phosphorylated on tyrosine residues in the absence of PDGF (Figure 1b). In contrast, the PDGFRA with the Ig5 domain A509D mutation was phosphorylated in the absence of PDGF (Figure 1b). Because the signal transduction pathway of PDGFRA is comparable with that of c-KIT,<sup>2</sup> the gain-of-function mutations of PDGFRA by themselves may transform Ba/F3 cells. In fact, Ba/F3 cells expressing the A509D mutant PDGFRA grew in the absence of IL-3 and PDGF whereas those expressing the wild-type did not (data not shown). It is possible that the mutant PDGFRA may contribute to leukemogenesis with t(4;11).

In conclusion, we reported the frequency of *PDGFRA* mutations in a large series of Japanese pediatric and infantile ALL patients. This is the first report showing a constitutively active *PDGFRA* mutation found in an ALL patient that may be associated with leukemic cell growth.



**Figure 1** cDNA sequencing and position of the mutation of the *platelet-derived growth factor receptor*  $\alpha$  (*PDGFRA*) gene at the Ig5 domain and tyrosine phosphorylation of the PDGFRA mutant. (a) Direct sequencing of the PCR product for the *PDGFRA* from an ALL patient with t(4;11) demonstrates the wild-type (WT) (top), and the A509D mutant (bottom) alleles. (b) Functional analysis of the WT PDGFRA and the A509D mutant derived from an ALL with t(4:11) patient. The mutant (MT), but not the WT PDGFRA was phosphorylated on tyrosine residues in Ba/F3 cells after retroviral transduction. Ba/F3 cells transduced with the empty vector (Neo) and those with the WT expressor were cultured with IL-3, and those with the MT expressor were cultured without IL-3. Lysates were prepared from cultured cells, immunoprecipitated with a polyclonal antibody to PDGFRA (sc-338, Santa Cruz Biotechnology, Santa Cruz, CA, USA), and immunoblotted with an anti-phosphotyrosine (pY) monoclonal antibody (Upstate Biotechnology, Inc., Lake Placid, NY, USA) (left panel), and with the anti-PDGFRA antibody (sc-338) (right panel). There was no detectable phosphorylation of WT PDGFRA. IP, immunoprecipitation. Ig, immunoglobulin; TM, transmembrane domain; JM, juxtamembrane domain; TK1 and TK2, two intracellular kinase domains.

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### Clinical and biological significance of RAS mutations in multiple myeloma

Leukemia (2008) **22**, 2280–2284; doi:10.1038/leu.2008.142; published online 5 June 2008

Primary genetic abnormalities in myeloma (MM) such as trisomies of chromosomes 3, 5, 7, 9, 11, 15, 19 and 21 associated with hyperdiploid MM and translocations involving the immunoglobulin heavy chain (IgH) locus on chromosome 14q32 and three main recurrent partners: MMSET/FGFR3, CCND1 and c-MAF are already present in the pre-malignant monoclonal gammopathy of undetermined significance (MGUS) stage. Some patients with these genetic abnormalities may remain as MGUS for many years without transforming to MM, suggesting that they are involved in clonal initiation but do not mediate malignant transformation.

One of the recurrent differences between MGUS and MM is the presence of *RAS* mutations in the latter. *RAS* mutations may, therefore, play an important role in malignant transformation of clonal plasma cells and myeloma pathogenesis. However, the clinical and biological significance of *RAS* mutation in MM has not been clearly established as most of the previous studies have involved small numbers of heterogeneously treated patients.

To establish the clinical and biological significance of *RAS* mutation in MM, we studied the association of *RAS* mutation with a comprehensive spectrum of clinical parameters including the newly established ISS staging and survival, as well as a panel of known recurrent genetic abnormalities in MM such as t(4;14), t(14;16), t(11;14), chromosome 13 and 17p13 deletion detected by fluorescent *in situ* hybridization<sup>2</sup> and ploidy assessed by DNA content measurement using flow cytometry,<sup>3</sup> in a large

cohort of newly diagnosed patients enrolled in the Eastern Cooperative Group (ECOG) clinical trial E9486/E9487 (*N*=561).<sup>4</sup> A total of 439 patients, based on sample availability, were included (The ECOG Cohort). For this cohort, DNA from unsorted whole bone marrow was used for *RAS* mutation studies. We also studied 14 MGUS patients and 82 MM patients (60 newly diagnosed and 22 relapsed) from the Mayo Clinic (Mayo Cohort). Bone marrow samples were obtained after informed consent according to the Declaration of Helsinki. The study was approved by the Mayo Clinic Institution Review Board. CD138 positive plasma cells were enriched using immuno-magnetic beads (AutoMACS; Miltenyi-Biotec, Auburn, CA, USA). RNA and DNA from these enriched cells were used for gene expression and *RAS* mutation studies, respectively.

Conformation sensitive gel electrophoresis was used to screen samples for KRAS (codons 12, 13 and 61) and NRAS (codons 12, 13 and 61) mutations (Supplementary Methods). RAS mutation was detected in 102 (23%) patients in the ECOG cohort. Seventy-four (17%) patients had mutations in NRAS. The majority of these mutations were in codon 61 (64 of the 74), with 5 mutations detected in codons 12 and 13. We also found mutations in codon 64 (n=1) and codon 86 (n=4). Twentyeight (6%) patients had mutations in K-RAS. Twenty-two of these are in codons 12 and 13 and three in codon 61. In addition, we also found one mutation each in codon 16, 22 and 24. In the Mayo Clinic cohort, RAS mutation was detected in 1 of 14 MGUS patients (7%), 15 of 60 newly diagnosed MM patients (25%) and 10 of 22 relapsed MM patients (45%). Once again N-RAS mutations were more common that K-RAS mutations. Our study confirms the low incidence of RAS mutation in MGUS compared to MM found in a previous study,5 consistent with

### A Novel FLT3 Inhibitor FI-700 Selectively Suppresses the Growth of Leukemia Cells with FLT3 Mutations

Hitoshi Kiyoi, Yukimasa Shiotsu, Kazutaka Ozeki, Satomi Yamaji, Hiroshi Kosugi, Hiroshi Umehara, Makiko Shimizu, Hitoshi Arai, Kenichi Ishii, Shiro Akinaga, and Tomoki Naoe

### **Abstract**

Purpose: The aim of this study was to evaluate the antileukemia activity of a novel FLT3 kinase inhibitor, Fl-700.

Experimental Design: The antileukemia activity of FI-700 was evaluated in human leukemia cell lines, mutant or wild-type (Wt)-FLT3-expressing mouse myeloid precursor cell line, 32D and primary acute myeloid leukemia cells, and in xenograft or syngeneic mouse leukemia models.

Results: FI-700 showed a potent IC<sub>50</sub> value against FLT3 kinase at 20 nmol/L in an *in vitro* kinase assay. FI-700 showed selective growth inhibition against mutant FLT3-expressing leukemia cell lines and primary acute myeloid leukemia cells, whereas it did not affect the FLT3 ligand (FL)-driven growth ofWt-FLT3-expressing cells. These antileukemia activities were induced by the significant dephosphorylations of mutant FLT3 and STAT5, which resulted in G<sub>1</sub> arrest of the cell cycle. Oral administration of FI-700 induced the regression of tumors in a s.c. tumor xenograft model and increased the survival of mice in an i.v. transplanted model. Furthermore, FI-700 treatment eradicated FLT3/ITD-expressing leukemia cells, both in the peripheral blood and in the bone marrow. In this experiment, the depletion of FLT3/ITD-expressing cells by FI-700 was more significant than that of Ara-C, whereas bone marrow suppression by FI-700 was lower than that by Ara-C. Conclusions: FI-700 is a novel and potent FLT3 inhibitor with promising antileukemia activity.

FLT3 (FMS-like receptor tyrosine kinase) also referred to as fetal liver kinase 2 or stem cell tyrosine kinase 1 is a class III receptor tyrosine kinase together with KIT, FMS, and platelet-derived growth factor receptor (1, 2). Class III receptor tyrosine kinases share several structural characteristics including five immunoglobulin-like domains in the extracellular region, a juxtamembrane domain, a tyrosine kinase domain separated by a kinase insert domain, and a COOH-terminal domain in the intracellular region (3). Several mutations of receptor tyrosine kinases, found in human and murine malignancies, have been implicated in the constitutive activation of kinases (4). In 1996, a unique mutation of the *FLT3* gene was first identified in acute myeloid

(FLT3/KDM) have been found (7). To date, a number of studies, involving >5,000 individuals, have shown that FLT3/ITD and FLT3/KDM occur in 15% to 35% and 5% to 10% of adults with AML, respectively (8). Mutations of the *FLT3* gene are, therefore, the most frequent genetic alterations thus far reported as involved in AML. Several large-scale studies in well-documented patients published to date have shown the effect on the clinical outcome in patients with *FLT3* mutations (8). Interestingly, FLT3/ITD is far less common in patients with ALL, whereas FLT3/KDM is recurrently found in patients with ALL, especially in those harboring an *MLL* gene rearrangement or hyperdiploidy (9–11). It is notable that FLT3 is highly expressed in *MLL* gene rearranged ALL, leading to the constitutive activation of wild-type FLT3 kinase, and that primary ALL cells, which strongly

leukemia (AML) cells (5). This mutation (FLT3/ITD) is formed

when a fragment of the juxtamembrane domain-coding

sequence is duplicated in a direct head-to-tail orientation (6).

Subsequently, a missense point mutation at the D835 residue

and point mutations, deletions, and insertions in the codons

surrounding D835 within a tyrosine kinase domain of FLT3

express FLT3, but do not carry FLT3 mutations, have the same

sensitivity to a potent FLT3 inhibitor as leukemia cells with

FLT3 mutations (12). Recently, remarkably high levels of FLT3

transcripts have been shown in a proportion of AML patients

without FLT3 mutations, which are associated with a poor

prognosis for overall survival (13). These results indicate that

FLT3 is greatly involved in the pathophysiology both of AML and

ALL. However, the optimal treatment strategy for patients with

FLT3 mutations should be further evaluated because it remains

unclear whether high-dose chemotherapy and/or myeloablative

therapy supported by hematopoietic stem cell transplantation

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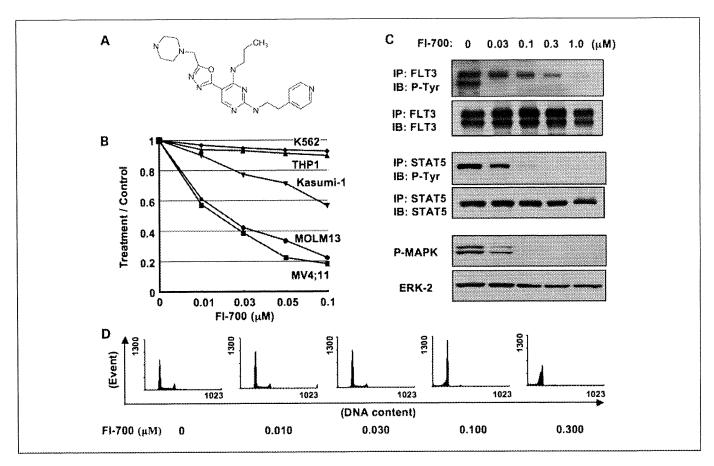


Fig. 1. Effects of FI-700 on cell growth and mutant FLT3-mediated signals. *A*, chemical structure of FI-700. *B*, growth inhibition effect on human leukemia cell lines was evaluated by measuring viable cells after treatment with FI-700 for 72 h. FI-700 selectively and sensitively inhibits the growth of FLT3/ITD-carrying leukemia cell lines MV4;11 and MOLM13 with IC<sub>50</sub> values of 0.014 and 0.016 μmol/L, respectively. FI-700 is also potent against Kasumi-1, whereas its growth-inhibitory activity was ~ 10 times lower than that against MV4;11 or MOLM13. *C*. MOLM13 cells were treated with increasing concentrations of FI-700 for 6 h. Phosphorylation status of FLT3, STAT5, and MAPK were examined by Western blot analysis. FI-700 dose-dependently inhibits the constitutive phosphorylation of mutant FLT3 as well as its downstream molecules STAT5 and MAPK. *D*, MOLM13 cells were treated with increasing concentrations of FI-700 for 24 h. After treatment, the cells showed an increase in the percentage of G<sub>1</sub> cells and a reciprocal reduction in the percentage of the S phase. Apparent increase of sub-G<sub>1</sub> apoptotic cells was also observed at >0.10 μmol/L of FI-700.

could conquer the adverse effects of FLT3 mutations (14). Therefore, mutated or overexpressed FLT3, which leads to constitutive active kinase, serves as an important molecular target in the treatment of leukemia (15, 16). To date, several inhibitors, such as PKC412, CEP-701, MLN-518, or SU-11248 have been subjected to clinical trials in several hematologic malignancies including AML (17-20). However, the clinical efficacy of these FLT3 inhibitors in patients with AML seems unimpressive, possibly because of the potency, adverse events, or protein binding of each drug (21, 22). In addition, most of these kinase inhibitors, which are currently in development, were not originally screened for sensitivity and selectivity against the activated FLT3 kinase. Therefore, the discovery and clinical development of second generation novel FLT3 inhibitors is required. In this study, we evaluated the effects of FI-700, a novel FLT3 kinase inhibitor, on leukemia cells both in vitro and in vivo.

### **Materials and Methods**

*Drugs.* Drugs such as FI-700 and MLN-518 were synthesized at the Pharmaceutical Research Center of Kyowa Hakko Kogyo. Ara-C (cytarabine) was purchased from Sankyo Pharmaceuticals. Drugs were

prepared as a 10 mmol/L DMSO solution and stored at  $-20\,^{\circ}$ C until use and freshly diluted with cell culture medium.

Kinase assays for FI-700. To evaluate the kinase inhibitory activities of FI-700 against FLT3 in a cell-free system, a commercially available FLT3 enzyme (recombinant human COOH-terminal 564-end amino acids; Upstate Biotechnology) and its substrate biotinylated poly-(Glu/Tyr 4:1) substrate (CISbio International) were used. FI-700 inhibition of substrate phosphorylation by a series of tyrosine and serine/threonine kinases was analyzed as previously described (23).

Cell lines and cell culture. Human leukemia cell line, MOLM-13, was obtained from DSMZ (German Resource Centre for Biological Material); MV4:11, THP1, K562, and HL60 were from the American Type Culture Collection; Kasumi-1 was from Hiroshima University; whereas MegO1 was established at Nagoya University. MV4;11 was maintained in Iscove's modified Dulbecco's medium (Life Technologies) supplemented with 10% FCS, and the other human leukemia cell lines were in RPMI 1640 supplemented with 10% FCS. It was found that MOLM-13 and MV4;11 have FLT3/ITD, THP1 expresses wild-type (Wt)-FLT3 protein on their surfaces, K562 and MegO1 have a BCR/ABL translocation, Kasumi-1 has a RUNX1/MTG8 translocation and a c-KIT mutation, and that HL60 does not express FLT3 protein. A murine IL-3 - dependent myeloid progenitor cell line, 32D, was obtained from the RIKEN Cell Bank, and maintained in RPMI 1640 (Life Technologies) supplemented with 10% FCS (Life Technologies) and 1 ng/mL murine IL-3 (R&D Systems). Wt-FLT3-, FLT3/ITD-, and FLT3/D835Y-expressing 32D cells were previously reported (7, 24, 25). Wt-FLT3 - expressing 32D cells were maintained in

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RPMI 1640 supplemented with 10% FCS and 1 ng/mL of murine IL-3. FLT3/ITD- and FLT3/D835Y – expressing 32D cells were maintained in RPMI 1640 supplemented with 10% FCS without IL-3. Furthermore, we cloned human FLT3/ITD cDNA into the pMX – internal ribosomal entry site – green fluorescent protein (GFP) vector (kindly provided by Professor Toshio Kitamura, Tokyo University, Japan), transduced into 32D cells as previously described, and established stable FLT3/ITD-GFP – expressing 32D cells (FLT3/ITD-GFP-32D). FLT3/ITD-GFP-32D cells revealed autonomous proliferation at the same level as FLT3/ITD- and FLT3/D835Y – expressing 32D cells, and were maintained in RPMI 1640 supplemented with 10% FCS without IL-3.

Primary patient samples. Bone marrow (BM) samples from patients with AML were subjected to Ficoll-Hypaque (Pharmacia LKB) density gradient centrifugation. All samples were morphologically confirmed to contain >90% leukemia cells after centrifugation on May-Grünwald Giemsa-stained cytospin slides, and then cryopreserved in liquid nitrogen before use. Informed consent was obtained from all patients for the use of their samples for the present study, as well as banking and molecular analysis. Approval for this study was obtained from the ethical committees of Nagoya University and Ogaki Municipal Hospital. Each karyotype was determined by standard G-banding analysis. Mutations of the FLT3 gene were examined as previously reported (7, 26, 27).

Growth inhibition and cell cycle analyses. Cell lines and primary AML cells were suspended in RPMI 1640 (Life Technologies) containing 10% FCS, and  $2 \times 10^4$  cells per well were seeded in 96-well culture plates with or without FI-700. Cell viability was measured using the

Table	1. Kinase	inhibitor	y profile	and g	rowth
	on effects of				

Enzyme	Inhibitory activity at 1 $\mu$ mol/L ( $^{ m c}$
Tyrosine kinase	
FLT3	95
KIT	90
FMS	75
LYN	52
LCK	47
MET	25
EPHB2	23
BTK	14
TIE2	14
ALK	1
TRKB	1
ABL	0
EGFR	0
FES	0
Serine/threonine kinase	
GSK3β	15
Aurora A	11
MEK1	8
CDK2/Cyclin E	2
Cell line	IC <sub>50</sub> value for growth (μmol/L)

0.014

0.016

3.1

6.8

5.2

6.1

>10

0.780

0.057

0.070

0.18

CellTiter<sup>96</sup> Proliferation Assay (Promega) according to the instructions of the manufacturer. These procedures were done thrice independently.

For cell cycle analysis, MOLM-13 cells ( $3 \times 10^4$ ) were treated with increasing concentrations of Fl-700 for 24 h. DNA contents were analyzed as previously described (28).

Western blot analysis. Anti-phospho-MAPK and anti-ERK2 anti-bodies were purchased from New England Biolabs. Anti-FLT3 and anti-STAT5A antibodies were from Santa Cruz Biotechnology. Anti-phosphotyrosine antibody 4G10 was from Upstate Biotechnology. Anti-phospho-STAT5 mouse monoclonal antibody was prepared in the Pharmaceutical Research Center of Kyowa Hakko Kogyo. Cell lysates were extracted, separated by SDS-PAGE, and electroblotted onto Immobilon PVDF membranes (Millipore), as previously described (24). FLT3 proteins were immunoprecipitated with anti-FLT3 antibodies. The precipitated samples were subjected to immunoblot analysis to detect the tyrosine phosphorylation using an antiphosphotyrosine antibody (4G10). The membranes were incubated with stripping buffer, and then reprobed with anti-FLT3 antibody.

In vivo antileukemia effects on xenograft transplantation. combined immunodeficiency (SCID) mice (Fox Chase C.B-17/IcrscidJcl, male, 5 weeks old) were purchased from CLEA Japan. Mice were treated with an i.p. injection of anti-asialo-GM1 antibody (0.3 mg/ mouse; Wako Pure Chemical Industries). The day after anti-asialo-GM1 antibody treatment, all mice were s.c. inoculated in the shaved area with 1  $\times$  10<sup>7</sup> of MOLM-13. Five days after inoculation, tumor volume was measured using the Antitumor test system II (Human Life), the computer operation system with the software program and instruments. Twenty-five mice with tumors ranging from 90 to 130 mm<sup>3</sup> were selected and randomized using the Antitumor test system II. The selected mice were divided into five groups (five mice each), and FI-700 (100 or 200 mg/kg b.i.d.) was given orally (p.o.) for 5 days from the 7th day after inoculation. Because our preliminary study showed that the administration of 400 mg/kg b.i.d. for 5 days induced body weight loss in SCID mice, we selected this treatment schedule (data not shown). The tumor volume was measured every 2 days for 10 days. Tumor volume was calculated by the Antitumor test system II as follows: tumor volume =  $D_L \times D_S \times D_S \times 1/2$  ( $D_L$ , long diameter;  $D_S$ , short diameter). Relative tumor volume was represented as  $V/V_0$  ( $V_0$ , initial tumor volume; V, tumor volume after dosing). The relative  $V/V_0$  value on each day was represented as T/C (C,  $V/V_0$  of vehicle-treated control mouse: T,  $V/V_0$  of FI-700 – treated mouse). We also compared the antileukemia effects between FI-700 and other potent FLT3 inhibitors, MLN-518 and PKC-412, in the same xenograft model. The MOLM-13 inoculated SCID mice were administered with MLN-518 (160 mg/kg b.i.d.) or PKC-412 (400 mg/kg q.d.) for 5 days. These doses of MLN-518 and PKC-412 were selected as the maximum tolerated dose according to previous reports (29, 30) and our preliminary examinations.

In the other xenograft model, SCID mice, treated with anti-asialo-GM1 antibody, were i.v. inoculated with  $1\times10^7$  of MOLM-13 cells. Thirteen days after inoculation, mice were randomized and divided into three groups (five mice each). From the day after randomization, mice were given FI-700 at 200 mg/kg (p.o.) b.i.d., Ara-C at 75 mg/kg (i.v.) b.i.d., or vehicle for 3 days. In our preliminary study, the i.v. administration of Ara-C at 150 mg/kg q.d. for 5 days induced severe body weight loss and occasionally (not always) killed animals in leukemia-transplanted status, whereas that of Ara-C at 75 mg/kg b.i.d. for 5 days was tolerable. We, therefore, decided that 75 mg/kg/d was the maximum tolerated dose in our experiment model.

In vivo antileukemia effects on syngeneic transplantation. C3H/Hej mice were purchased from Charles River Japan. Fifteen mice were i.v. inoculated with  $2 \times 10^6$  of FLT3/ITD-GFP-32D cells, then randomly divided into three groups (five mice each). On the 7th day after inoculation, peripheral blood (PB) was collected from the mice. From the 10th day after inoculation, mice were given FI-700 at 200 mg/kg (p.o.) b.i.d., Ara-C at 150 mg/kg (i.v.) q.d., or vehicle for 4 days. Six hours after the last administration, PB were collected. Total RNA was extracted from each PB using a QIAamp RNA Blood Mini Kit (Qiagen

MV4:11

THP1

HL<sub>60</sub>

K562

MegO1

FLT3/ITD

FLT3/D835Y

32D Transfectant

32D (1 ng/mL IL-3)

Wt-FLT3 (100 ng/mL FL)

MOLM13

Kasumi-1

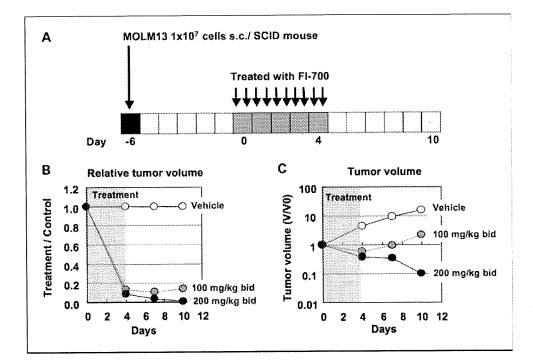


Fig. 2. Inhibitory effect on s.c. tumor in MOLM13/SCID-mice xenotransplant model. A, MOLM13 cells ( $1 \times 10^7$ /mouse) were s.c. inoculated into SCID mice on day -6. Fl-700 (100 or 200 mg/kg b.i.d.) or vehicle was given orally from days 0 to 4. B, the ratio of tumor volume in the treated to control mice. C, the relative tumor volume to the initial tumor volume on day 0 ( $V/V_0$ ).

Inc.). cDNA was synthesized from each RNA using a random primer and Moloney murine leukemia virus reverse transcriptase (Super-Script II; Life Technologies) according to the manufacturer's recommendations. The expression level of the human FLT3 transcript was quantitated using a real-time fluorescence detection method on an ABI Prism 7000 sequence detection system (Applied Biosystems) as previously reported (13, 31). After the collection of PB, mice were sacrificed, and then femora and spleens were collected. BM cells were collected from femora and the total cell number from each femur was counted using a cell counter. In order to discriminate the FLT3/ITD-GFP-32D leukemia cells and normal BM cells, all collected cells were subjected to flow cytometry analysis after PE-conjugated anti-human FLT3 monoclonal antibody (DAKO) staining. In this flow cytometry analysis, GFP-positive cells were defined as residual leukemia in the femur. The weight of each collected spleen was measured.

Statistical analysis. Differences in continuous variables were analyzed with the unpaired t test for distribution between two groups. Survival probabilities were estimated by the Kaplan-Meier method, and differences in survival distributions were evaluated using the log-rank test. Differences in therapeutic effects were analyzed with the repeated-measures ANOVA method. These statistical analyses were done with StatView-J 5.0 (Abacus Concepts Inc.). For all analyses, the P values were two-tailed, and P < 0.05 was considered statistically significant.

### Results

Selective kinase inhibition by FI-700. A novel small-molecule FLT3 kinase inhibitor, FI-700, was identified by screening the chemical libraries of Kyowa Hakko Kogyo. The chemical structure of FI-700 is shown in Fig. 1A. FI-700 inhibited FLT3 kinase with an IC<sub>50</sub> of 0.02  $\mu$ mol/L for in vitro kinase assays. The selectivity of FI-700 was examined against a wide range of kinases (Table 1). Although FI-700 at 1  $\mu$ mol/L showed >50% inhibition against KIT, FMS, or LYN kinases, it did not possess

Growth-inhibitory effects. To evaluate the selectivity and sensitivity of FI-700 in the cellular system, we examined its growth-inhibitory effects on FLT3-transfected 32D cells as well as on several human leukemia cell lines. Cells were treated with increasing concentrations of FI-700 for 72 h, and then viable cells were determined by the CellTiter96 Proliferation Assay. FI-700 selectively inhibited the growth of FLT3/ITD- and FLT3/ D835Y-expressing 32D cells with IC50 values of 0.057 and 0.070 µmol/L, respectively, but not that of parental 32D cells with 1 ng/mL of IL-3 (Table 1). Notably, the IC<sub>50</sub> value against Wt-FLT3 - expressing 32D cells with 100 ng/mL of FL was more than 10 times lower than that against mutant FLT3-expressing 32D cells. Furthermore, growth inhibition against FLT3/ITDand FLT3/D835Y-expressing 32D cells was cancelled by the addition of IL-3, indicating the selective inhibitory profile of FI-700 against constitutively active FLT3 kinases.

Consistent with the results from mutant FLT3-expressing 32D cells, FI-700 inhibited the growth of human leukemia cell lines harboring FLT3/ITD in a dose-dependent manner (Fig. 1B). As shown in Table 1, the IC $_{50}$  values of FI-700 against MV4;11 and MOLM-13 were 0.014 and 0.016 µmol/L, respectively, whereas those against Wt-FLT3 expressing human leukemia were 10- to 100-fold higher. The IC $_{50}$  value against Kasumi-1, which has an activating mutation in the *cKIT* gene, was 0.18 µmol/L, indicating the inhibitory potency of FI-700 against mutant cKIT kinase. In addition, the presence of FL did not affect the sensitivity of FI-700 against all human leukemia cell lines regardless of the expression of FLT3 protein (data not shown).

Inhibitory effects of FI-700 on FLT3 and downstream signals. MOLM13 cells were treated with increasing concentrations of FI-700 for 6 h. Cell lysates were subjected to Western blot analysis to detect the phosphorylation status of FLT3, signal transducers and activators of transcription 5 (STAT5), and mitogen-activated protein kinase (MAPK). FI-700 suppressed

potent kinase inhibitory activity against ALK, TRKB, ABL, EGFR, FES, TIE2, MEK1 or CDK2.

<sup>&</sup>lt;sup>5</sup> Manuscript in preparation.

Table 2. Treatment groups and dosing schedules used

	Dose (mg/kg)	Route	Schedule	T/C <sub>min</sub> (on day)	Regression <i>V/V</i> 0 <sub>min</sub> (on day)	Body weight loss, g (on day)	Mortality
Control				1.00		0,6 (10)	0/5
FI-700	100	p.o.	b.i.d. $\times$ 5	0.10(7)	0.571 (4)	0.7 (4, 7)	0/5
	200	p.o.	b.i.d. $\times$ 5	0.006 (10)	0.098 (10)		0/5
MLN-518	160	p.o.	b.i.d. $\times$ 5	0.48 (7)	_` ´	_	0/5
PKC-412	400	p.o.	q.d. $\times$ 5	0.20 (7)		_	0/5

NOTE: FI-700 dosing at 100 and 200 mg/kg showed growth inhibition of tumors with  $T/C_{min}$  values of 0.10 (on day 7) and 0.006 (on day 10), respectively. Although the regrowth of MOLM-13 tumors was observed at 100 mg/kg after stopping FI-700 treatment, continuous regression of tumors was observed in mice treated with 200 mg/kg until day 10. No significant suppression of body weight gain or mortality was observed in the FI-700 – treated group during the experiments.  $T/C_{min}$  values of MLN-518 and PKC-415 were 0.45 and 0.20 on day 7, respectively. However, tumor regression was not observed in either MLN-518- or PKC-412 – treated mice.

the autophosphorylation of FLT3 as well as downstream molecules, such as STAT5 and MAPK, in a dose-dependent manner (Fig. 1C). Dephosphorylation of FLT3, STAT5, and MAPK was observed at concentrations above the IC $_{50}$  value against MOLM-13 cells. Similar down-regulation of phosphorylated FLT3 and STAT5 was also observed in MV4;11, FLT3/ITD-, and FLT3/D835Y-expressing 32D cells (data not shown). These results indicated that FI-700 inhibited the growth of mutant FLT3-expressing cells by the dephosphorylation of constitutively active FLT3 kinase.

Cell cycle effects of FI-700. After treatment with increasing concentrations of FI-700 for 24 h, MOLM-13 cells exhibited an increase in the percentages of  $G_1$  cells in comparison with controls. Simultaneously, a reciprocal reduction in the percentage of cells in the S- $G_2$  phase was observed. An apparent increase of sub- $G_1$  apoptotic cells was also observed at >0.10  $\mu$ mol/L of FL-700 (Fig. 1D). These results indicated that dephosphorylation of FLT3 by FI-700 could induce cell cycle arrest and eventually cause apoptosis at concentrations above the IC50 value against MOLM-13 cells.

Antileukemia effect of FI-700 against s.c. MOLM-13 tumor xenografts in SCID mice. MOLM13 cells  $(1 \times 10^7 / \text{mouse})$ were s.c. inoculated into SCID mice on day -6. The mean initial tumor volume on day 0 ( $V_0$ ) was 149.2  $\pm$  22.8 mm<sup>3</sup>. The mice were orally given vehicle or FI-700. The ratio of tumor volume in the treated to control mice (T/C) and the relative tumor volume to  $V_0$  ( $V/V_0$ ) in each group were evaluated until day 10. In the MOLM-13 tumor xenograft model, oral administration of FI-700 at 100 or 200 mg/kg b.i.d. for 5 days showed a potent and significant antitumor effect in a dose-dependent manner (Fig. 2A). FI-700 dosing at 100 and 200 mg/kg showed growth inhibition of tumors with T/C minimum values (T/Cmin) of 0.10 (on day 7) and 0.006 (on day 10), respectively (Fig. 2B). In addition, FI-700 dosing showed tumor regression, giving  $V/V_0$  (0.571) on day 7 at 100 mg/kg and  $V/V_0$  (0.098) on day 10 at 200 mg/kg, respectively. Although the regrowth of MOLM-13 tumors was observed at 100 mg/kg after stopping FI-700 treatment, continuous regression of tumors was observed in mice treated with 200 mg/kg until day 10 (Fig. 2C). No significant suppression of body weight gain or mortality was observed in the FI-700-treated group during the experiments (Table 2). On the other hand, MLN-518 and PKC-412 at the maximum tolerated dose on day 7 showed growth inhibition of tumors with  $T/C_{\min}$  of 0.45 and 0.20, respectively.

However, no tumor regression was observed both in MLN-518-or PKC-412-treated animals (Table 2).

In the other xenograft model, in which MOLM-13 cells were i.v. inoculated into SCID mice, FI-700 – treated mice showed a significantly longer survival time than Ara-C – treated mice (P = 0.019, log-rank test; Fig. 3).

Inhibitory effects of FI-700 on leukemia cells in a syngeneic transplantation model. FLT3/ITD-GFP-32D cells were i.v. inoculated into syngeneic C3H/Hej mice, and FI-700, Ara-C, or vehicle was administered to the mice 11 days after inoculation. In this model, the *in vivo* efficacy of FI-700 against FLT3/ITD-expressing cells was compared with the conventional antileukemic agent Ara-C (Fig. 4A). By quantitating the human FLT3 transcripts, we first compared the number of FLT3/ITD-GFP-32D cells in PB between before and after treatment with each drug. At the 7th day after inoculation, mean FLT3 transcript levels were 24.4  $\pm$  6.7, 157.3  $\pm$  139.4, and 42  $\pm$  12.5 copy/µgRNA in vehicle-, FI-700-, and Ara-C-treated mice, respectively. In all vehicle-treated mice, FLT3 transcript levels increased, and the mean FLT3 transcript level on day 13 was

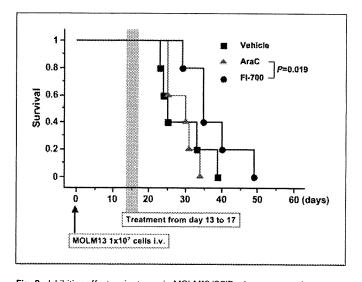


Fig. 3. Inhibition effect on i.v. tumor in MOLM13/SCID mice xenotransplant model. MOLM-13 cells were i.v. inoculated into SCID mice. From the 13th day after inoculation, mice were given FI-700 at 200 mg/kg (p.o.) b.i.d., Ara-C at 75 mg/kg (i.v) b.i.d., or vehicle for 5 d. FI-700 – treated mice showed a significantly longer survival time than Ara-C – treated mice (P = 0.019, log-rank test).

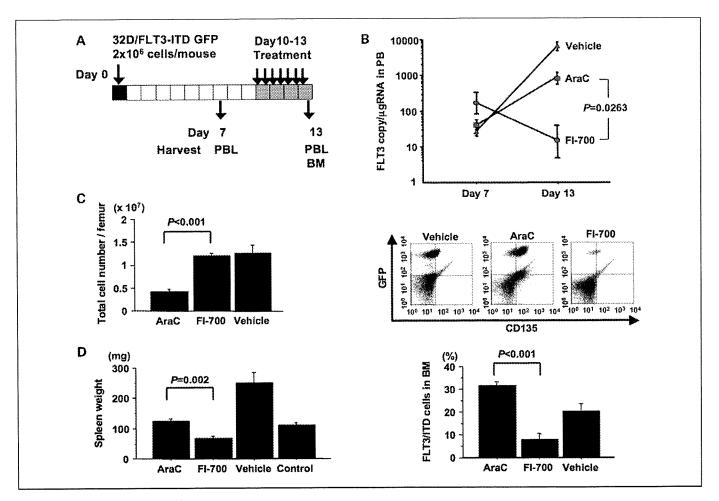


Fig. 4. Inhibition effects on FLT3/ITD-GFP-32D leukemic cells in C3H-Hej mice syngeneic transplantation model. *A,* C3H-Hej mice were inoculated with  $2 \times 10^6$  of FLT3/ITD-GFP-32D cells on day 0. From the 10th day after inoculation, mice were given FI-700 at 200 mg/kg (p.o.) b.i.d., Ara-C at 150 mg/kg (i.v.) q.d., or vehicle for 4 d. PB was collected from each mouse on days 7 and 13. BM was collected on day 13. B, human FLT3 transcripts in PB were quantitated by a real-time fluorescence detection method. FI-700 treatment significantly represses the expansion of FLT3/ITD-GFP-32D cells compared with Ara-C treatment (P = 0.0263; top). The percentage of residual BM FLT3/ITD-GFP-32D cells in the femur was compared among vehicle-, FI-700-, and Ara-C - treated mice using flow cytometry. Representative results of flow cytometry (*middle*). Mean percentage of residual FLT3/ITD-GFP-32D cells were decreased to  $8.0 \pm 2.4\%$  in the FI-700- treated group, whereas that of Ara-C - treated mice was  $31.6 \pm 1.6\%$  (P = 0.0143; bottom). C, mean total cell numbers in the femur following treatment. The total number of nuclear cells in the BM of Ara-C - treated mice was significantly decreased when compared with that of FI-700- treated mice [ $(0.42 \pm 0.06) \times 10^7$  and  $(1.19 \pm 0.06) \times 10^7$  cells/femur, respectively; P < 0.0001], whereas the total cell number of FI-700 - treated mice was the same as that of healthy control mice [ $(1.53 \pm 0.23) \times 10^7$  cells/femur]. D, mean spleen weights of each treated mouse. The mean spleen weight of FI-700 - treated mice ( $(67.1 \pm 4.9 \text{ mg})$ ) was significantly lighter than that of Ara-C - or vehicle - treated mice ( $(1.22.2 \pm 9.1 \text{ mg})$ ; P = 0.0017;  $2.49.7 \pm 3.9$ ; P = 0.0021, respectively).

5,869.6  $\pm$  1,640 copy/µgRNA. In contrast, FI-700 treatment repressed the expansion of FLT3/ITD-GFP-32D cells as the decrease of FLT3 transcript levels was observed in all mice, and the mean FLT3 transcript level was 13.5  $\pm$  10.6 copy/µgRNA on day 13. The increased level of FLT3 transcripts in all Ara-C-treated mice was lower than that in vehicle-treated mice,

although the effect of Ara-C treatment was limited as the mean FLT3 transcript level was 882.7  $\pm$  305.5 copy/µgRNA on day 13. These results showed that the repressive effects of FI-700 on the expansion of FLT3/ITD-GFP-32D cells were significantly stronger than those by Ara-C (P = 0.0263, repeated-measures ANOVA; Fig. 4B). We next compared the percentage of residual

Table 3. Clinical characteristics of each case					
Case	French-American-British classification	Age (y)	Karyotype		
Wt-1	M <sub>2</sub>	55	46,XX, t(8;21)(q22;q22)		
Wt-2	$M_1$	54	46,XY		
KDM	$M_3$	41	46,XX, t(15;17)(q22;q21)		
ITD-1	$M_O$	45	46,XX		
ITD-2	$M_1$	50	46,XY		

BM FLT3/ITD-GFP-32D cells in the femur between Fl-700 and Ara-C treatment using flow cytometry analysis. As shown in Fig. 4B, the mean percentage of FLT3/ITD-GFP-32D cells in BM was 20.2  $\pm$  3.4% in vehicle-treated mice. In contrast, the mean percentage of FLT3/ITD-GFP-32D cells was decreased to 8.0  $\pm$ 2.4% in the FI-700-treated group, whereas that of Ara-Ctreated mice was 31.6  $\pm$  1.6% (P = 0.0143; Fig. 4B). Notably, the total number of nuclear cells in the BM of Ara-C-treated mice was significantly decreased when compared with that of FI-700 – treated mice  $[(0.42 \pm 0.06) \times 10^7]$  and  $(1.19 \pm 0.06) \times 10^7$  $10^7$  cells/femur, respectively, P < 0.0001], whereas the total cell number of FI-700 - treated mice was the same as that of healthy control mice  $[(1.53 \pm 0.23) \times 10^7 \text{ cells/femur; Fig. 4C}]$ . Furthermore, the mean spleen weight of FI-700-treated mice  $(67.1 \pm 4.9 \text{ mg})$  was significantly lighter than that of Ara-C- or vehicle-treated mice (122.2  $\pm$  9.1 mg; P = 0.0017; 249.7  $\pm$ 33.9; P = 0.0021, respectively; Fig. 4D). These results indicate that FI-700 has the potential to eradicate leukemia cells harboring FLT3 mutations without severe BM suppression.

Growth-inhibitory effects on primary AML cells. We finally analyzed the *in vitro* growth-inhibitory effects of FI-700 on five primary AML cells consisting of two Wt-FLT3, two FLT3/ITD, and one FLT3/D835Y case. The characteristics of these AML cases are shown in Table 3. Because the viability of primary AML cells drastically decreased even when cultured without FI-700 for >3 days, we evaluated the viable AML cells after treatment with or without 100 nmol/L of FI-700 for 48 h. FI-700 did not affect the growth of two AML cells with Wt-FLT3, whereas it reduced the growth of all FLT3/ITD- or FLT3/D835Y-AML cells (Fig. 5). Furthermore, the addition of FL (100 ng/mL) did not affect the growth-inhibitory activity of FI-700 against primary AML cells regardless of *FLT3* mutations (Fig. 5).

### Discussion

FLT3 kinase is thought to be a promising therapeutic target for acute leukemia, and it is highly expected that the development of FLT3-selective small-molecule tyrosine kinase inhibitors will make a more efficacious therapeutic strategy for leukemia therapy. To date, several tyrosine kinase inhibitors have been shown to have the potency to inhibit the FLT3 kinase, whereas no FLT3 kinase – specific inhibitor has been approved as an antileukemia agent. FI-700 has been discovered and developed as an orally available and selective kinase inhibitor against FLT3. In this study, we evaluated the antileukemia activity and proof of concept of FI-700 as a FLT3 kinase inhibitor both *in vitro* and *in vivo*.

FI-700 showed potent and selective inhibitory activity against FLT3 kinase by *in vitro* kinase assays. In addition, it showed little inhibitory activity against a wide variety of tyrosine and serine/threonine kinases. This kinase inhibition profile was also confirmed by the cellular system as potent and selective growth inhibition against both FLT3/ITD- and FLT3/D835Y-carrying cells. It was further shown that growth inhibition by FI-700 was dependent on the dephosphorylation of constitutively active mutant FLT3 kinases as well as STAT5 and MAPK. In addition, cell cycle analysis revealed that FI-700 induced  $G_1$  arrest over the concentration of  $IC_{50}$  value against mutant FLT3-expressing leukemia cells, resulting in apoptosis. On the other hand, its potency against constitutively active FLT3 kinase was >10 times higher than Wt-FLT3 kinase. Because hematopoietic progeni-

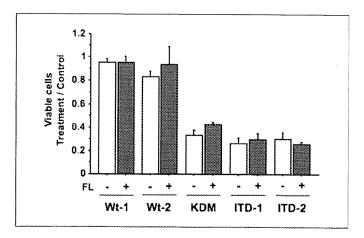


Fig. 5. Inhibitory effects on primary human AML cells. Growth inhibition effects of FI-700 at 100 nmol/L for 48 h on five primary AML cells. FI-700 did not affect the growth of two AML cells with Wt-FLT3, although it reduced the growth of all FLT3/ITD- or FLT3/D835Y-AML cells (white columns). The addition of FL (100 ng/mL) did not affect the growth inhibition activity of FI-700 against primary AML cells regardless of FLT3 mutations (gray columns).

tors express Wt-FLT3, the lower potency against it seemed an advantage to avoid BM suppression in clinical use. These characteristics of FI-700 obtained from *in vitro* analyses might be favorable characteristics of FI-700 for patients with leukemia.

To evaluate the antileukemia efficacy of FI-700 in vivo, we employed two distinct s.c. or i.v. inoculated xenograft model. In the s.c. inoculated model, oral treatment of mice with Fl-700 for 5 days showed tumor regression without body weight loss. In this model, whereas tumor regression was transient and tumor regrowth was observed after stopping FI-700 at 100 mg/kg, continuous tumor regression was observed in mice treated with 200 mg/kg, suggesting the curative potency of FI-700. When we compared the efficacy of FI-700 with those of MLN-518 or PKC-412 at the maximum tolerated dose, oral treatment of FI-700 showed superior antileukemia activity in the MOLM-13 inoculated model. Furthermore, in the i.v.inoculated model, 5-day treatment with FI-700 prolonged the life span of leukemia-inoculated mice and its efficacy was more potent than that of conventional therapeutics, Ara-C. However, all mice eventually died even in the FI-700-treated group, indicating that longer treatment with FI-700 was necessary for the complete eradication of leukemia cells. Our preliminary study showed that 14-day treatment with FI-700 at 200 mg/kg b.i.d. could prolong the survival compared with 5-day treatment, suggesting that longer treatment with FI-700 might improve the efficacy of the drug. Therefore, a longer treatment schedule could be necessary to provide a cure for leukemia.

The efficacy of FI-700 was further confirmed in the other syngeneic i.v. transplanted model. In this model, we compared the potency against leukemic FLT3/ITD-expressing cells between FI-700 and Ara-C. As shown in Fig. 4, it was quantitatively shown that FI-700 significantly eradicated more FLT3/ITD-expressing cells both from PB and BM compared with Ara-C. The most important finding was that BM suppression by FI-700 was significantly less than that by Ara-C. This lower BM suppression might depend on the selective kinase inhibition profile of FI-700. The treatment schedule of Ara-C used here almost corresponds with the high-dose Ara-C

regimen, which is clinically used for patients with leukemia. Although it remains unclear whether high-dose chemotherapy, such as the high-dose Ara-C regimen, conquers the adverse effect of FLT3 mutations, two large-scale studies raised the possibility that therapeutic regimens employing high-dose Ara-C might contribute towards a better outcome in patients with AML (32, 33). The high-dose Ara-C regimen, a dose-intensified regimen, is an established treatment strategy both for newly diagnosed and relapsed or refractory acute leukemia patients, whereas severe BM suppression is known to be an unavoidable adverse effect of this regimen (34). In the present mice model, BM suppression was consistently induced by Ara-C treatment, although the antileukemia effect was limited. Therefore, the selective antileukemia activity of FI-700 against FLT3/ITDexpressing cells without severe BM suppression could be ideal for the treatment of patients with leukemia.

It has been suggested that AML is the consequence of two broad complementation classes of mutations: those that confer a proliferative and/or survival advantage to hematopoietic progenitors (class I) including activating mutations in tyrosine

kinases or their downstream effectors, and those that impair hematopoietic differentiation and confer properties of selfrenewal (class II) including rearrangements or point mutations of core-binding factor genes and PML-RARA (35). FLT3 mutations have been frequently found in AML patients with PML-RARA translocation, whereas they have also been preferentially found in AML patients with a normal karyotype, suggesting that additional genetic alterations are involved in the pathogenesis of AML with FLT3 mutations (8). Therefore, it is thought to be difficult to cure leukemia by monotherapy with a FLT3 inhibitor. This is also supported by recent results from clinical trials of potent FLT3 inhibitors, such as MLN-518, PKC-412, or CEP-701. Although FI-700 showed a growth-inhibitory effect on primary AML cells with FLT3 mutations, combination effects with conventional chemotherapeutic agents should be further evaluated.

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(Figures 1c and d). The re-evaluation of G-banding results revealed hardly visible small paired particles in some of the metaphases, which may be small dmins (Figure 1e). Small extrachromosomal amplicons are described either as episomes (<1 Mb in size) or dmins (usually several Mb), depending on visualization under the microscope. Array CGH makes it easier to detect these small structures and reports of other amplicons in leukemia can be expected in the near future.

In conclusion, although mutations in *FLT3*, *NPM1* or other genes are known minimal residual disease follow up-markers in karyotypically normal AML, alterations detected by aCGH may also serve as markers, especially in cases where mutations are not detected. Furthermore, the altered genes, we detected using oligonucleotide aCGH may be potential oncogenes or tumor suppressors contributing to leukemogenesis.

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Supplementary Information accompanies the paper on the Leukemia website (http://www.nature.com/leu)

# JAK3 mutations occur in acute megakaryoblastic leukemia both in Down syndrome children and non-Down syndrome adults

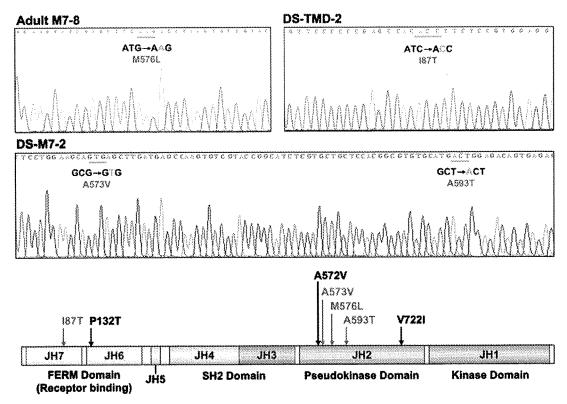
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Acute myeloid leukemia (AML) is thought to be the consequence of two broad complementation classes of mutations: those that confer a proliferative and/or survival advantage to hematopoietic progenitors, and those that impair hematopoietic differentiation and confer properties of self-renewal. AML in Down syndrome (DS) children shows unique characteristics of the predominance of acute megakaryoblastic leukemia (AMKL) and a preceding history of transient myeloproliferative disorder (TMD), whose blasts are morphologically and phenotypically indistinguishable from those of AMKL. TMD develops in about 10% of DS infants and resolves spontaneously in most cases, while 20% of TMD cases develop AMKL within 3 years. Somatic mutations of the GATA1 gene are reportedly associated with AMKL in DS children.<sup>2</sup> In addition, subsequent reports demonstrated that GATA1 mutations are an early event in DS leukemogenesis and contribute to the pathogenesis of both TMD and AMKL, suggesting that the acquisition of additional genetic alterations might be necessary for progression from TMD

to AMKL;3 however, it remains unclear which mutations are involved in the progression of TMD to AMKL. Furthermore, little is known about which genetic alterations are involved in the pathogenesis of non-DS AMKL. Recently, Walters et al.4 reported activating mutations of the JAK3 gene in each of 16 non-DS and three DS AMKL patients as well as an AMKL cell line CMK derived from a DS AMKL patient. These mutations consisted of A572V and V722I substitutions in the JH2 pseudokinase domain, and a P132T substitution in the JH6 domain of the receptor-binding domain. Although the mutated positions were different, all mutated JAK3 products were constitutively activated and conferred autonomous proliferation of Ba/F3 cells. These results suggested that activating JAK3 mutations involved in the pathogenesis of AMKL both with and without DS, whereas it remains unclear how JAK3 mutations cooperate with other genetic alterations during leukemogenesis. In this study, we analyzed JAK3 mutations in non-DS adults with AMKL and DS children with AMKL or TMD in comparison with mutations in GATA1, p53, FLT3 and N-RAS genes.

The study population included eight non-DS adults with AMKL, and 11 AMKL and two TMD children with DS. The diagnosis of AMKL and TMD was based on morphology,





**Figure 1** *JAK3* mutations found in AMKL and TMD patients. We found four novel *JAK3* mutations (187T, A573V, M576L and A593T indicated by red letters) in AMKL and TMD patients. A573V and A593T mutations were found in the same allele. All *JAK3* mutations including those previously found by Walters *et al.* (P132T, A572T and V722I indicated by black letters) were located in the JH2 pseudokinase domain or the receptor-binding domain.

Table 1 Mutations of JAK3, GATA1, p53, FLT3 and N-RAS genes in AMKL and TMD patients

						***************************************
UPN	Sex	JAK3	GATA1	p53	FLT3	N-RAS
Adult M7-1	M	Wild	Wild	Wild	Wild	Wild
Adult M7-2	M	Wild	Wild	Mutation	Wild	Wild
Adult M7-3	M	Wild	Wild	Wild	Wild	Wild
Adult M7-4	M	Wild	Wild	Wild	Wild	Wild
Adult M7-5	F	Wild	Wild	Wild	Wild	Wild
Adult M7-6	M	Wild	Wild	Mutation	Wild	Wild
Adult M7-7	F	M576L	Wild	Mutation	Wild	Wild
Adult M7-8	F	Wild	Wild	Wild	Wild	Wild
DS-M7-1	M	Wild	Mutation	Wild	Wild	Wild
DS-M7-2	M	A573V and A593T	Mutation	Wild	Wild	Wild
DS-M7-3	F	Wild	Mutation	Mutation	Wild	Wild
DS-M7-4	F	Wild	Mutation	Wild	Wild	Wild
DS-M7-5	F	Wild	Mutation	Wild	Wild	Wild
DS-M7-6	F	Wild	Mutation	Wild	Wild	Wild
DS-M7-7	F	Wild	Mutation	Wild	Wild	Wild
DS-M7-8	F	Wild	Mutation	Wild	Wild	Wild
DS-M7-9	М	Wild	Mutation	Wild	Wild	Wild
DS-M7-10	F	Wild	Mutation	Mutation	Wild	Wild
DS-M7-11	M	Wild	Mutation	Wild	Wild	Wild
DS-TMD-1	F	Wild	Mutation	Wild	Wild	Wild
DS-TMD-2	М	187T	Mutation	Wild	Wild	Wild

JAK3 mutations were found in three patients. In DS-M7-2, two distinct JAK3 mutations were found in the same allele. GATA1 mutations were found in all AMKL and TMD patients with DS, but not in adult non-DS AMKL patients.

histopathology, the expression of leukocyte differentiation antigens and/or the French-American-British (FAB) classification. The diagnosis of DS was confirmed by conventional cytogenetic analysis. Bone marrow (BM) samples were obtained from patients with AMKL and TMD at diagnosis after obtaining informed consent for banking and molecular analyses from all adult patients or parents of children. High-molecular-weight DNA was extracted from the samples by the standard method. For screening of *JAK3* mutations, we amplified genomic DNA corresponding to all 23 exons of the *JAK3* gene by polymerase



chain reaction (PCR) using 19 primer pairs. Amplified products were subjected to denaturing HPLC (DHPLC) analysis using the WAVE Maker System (Transgenomic Inc., San Jose, CA, USA). DHPLC gradients and temperatures were determined using WAVE Maker System software. When heterozygous profiles were identified by visual inspection of the chromatograms, amplified products were cloned into pGEM-T Easy vector (Promega, Madison, WI, USA) and sequenced on a DNA sequencer (310; Applied Biosystems, Foster City, CA, USA) using a BigDye terminator cycle-sequencing kit (Applied Biosystems). *GATA1* mutations of exon 2, *FLT3* mutations, *N-RAS* mutations of codons 12, 13 and 61 and *p53* mutations of exons 5–8 were examined as previously reported.<sup>5,6</sup>

Mutations of JAK3 were found in each adult with non-DS AMKL, DS AMKL and DS TMD; a M576L substitution in the JH2 pseudokinase domain in adult non-DS AMKL (UPN: adult M7-8); A573V and A593T substitutions in DS AMKL (UPN: DS-M7-2) and an I87T substitution in the JH7 domain of the receptor-binding domain in DS TMD (UPN: DS-TMD-2) (Figure 1). In the DS-M7-2 patient, A573V and A593T substitutions were found in the same allele. We therefore sequenced 20 independent clones to examine for the existence of single mutant alleles, and all mutant alleles had both mutations, indicating that most leukemia cells had both mutations at diagnosis, GATA1 mutations were observed in all DS AMKL and DS TMD patients, but not in non-DS AMKL adults. p53 Mutations were found in three of eight non-DS AMKL and two of eleven DS AMKL patients, but not in two TMD patients. No FLT3 and N-RAS mutations were found in any AMKL and TMD patients (Table 1).

In this study, we found four types of JAK3 mutations in AMKL and TMD patients. These mutations were different from those previously found in AMKL patients and the megakaryoblastic cell line CMK, while it was notable that all JAK3 mutations uniformly occurred in the pseudokinase domain or receptorbinding domain. JAK3 consists of seven Janus homology (JH) domains, JH1-JH7. The JH2 pseudokinase domain lies adjacent to the JH1 C-terminal kinase domain. Although the pseudokinase domain itself lacks catalytic activity, it has been demonstrated that a number of mutations in this domain derived from severe combined immunodeficiency (SCID) patients abrogate kinase activity indicating its essential regulatory activity for the kinase domain.<sup>7</sup> Therefore, it is an important question why JAK3 mutations identified in AMKL are activating mutations in contrast to loss-of-function mutations identified in SCID patients. Walters et al.4 speculated the possible autoinhibitory function of the pseudokinase domain against the kinase domain by structural modeling analysis. Since the activating mutation in the JH2 pseudokinase domain of JAK2 (JAK2<sup>V617F</sup>) was preferentially found in myeloproliferative disorders,8 JAK3 mutations in this region might be involved in the proliferation mechanism of megakaryoblastic cells. However, in contrast to the JAK2 mutation, mutations of JAK3 were observed in a variety of residues within the JH2 pseudokinase domain. Further analysis is therefore necessary to examine whether each JAK3 mutation identified in this study is an activating mutation. The important aspect of this study was the JAK3 mutation in TMD patients. This I87T mutation was found in the JH7 domain of the receptor-binding domain. N-terminal JH5-JH7 domains of JAK3, which has homology to the band four-point-one, ezrin, radixin, moesin (FERM) domain-containing proteins, mediates binding to

the common  $\gamma$ -subunit ( $\gamma_c$ ), which is a common receptor subunit for IL-2, IL-4, IL-7, IL-9, IL-15 and IL-21 cytokines. Since mutations in this domain identified in SCID patients are also demonstrated to abrogate the binding capacity to  $\gamma_c$  and catalytic activity, it is necessary to examine whether the I87T mutation is an activating mutation like the P132T mutation found in non-DS AMKL patients. The fact that the *JAK3* mutation was found in a TMD patient indicated that it is an early event during the development of AMKL in DS patients, whereas its pathological significance should be carefully examined. Furthermore, since we could not find any relationship between the *JAK3* mutation and *GATA1*, *p53*, *FLT3* and *N-RAS* mutations, it should be further examined which genetic alterations collaborate with *JAK3* mutations, and how *JAK3* mutations are involved in the development and/or progression of AMKL.

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