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Pattern recognition analysis for ^1H NMR spectra of plasma from hemodialysis patients

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Abstract ^1H NMR spectroscopic and pattern recognition-based methods (NMR-PR) were applied to the metabolic profiling studies on hemodialysis (HD). Plasma samples were collected from 37 patients before and after HD and measured by 600 MHz NMR spectroscopy. Each spectrum was data-processed and subjected to principal component analysis for pattern recognition. Spectral patterns of plasma between pre- and post-dialyses were clearly discriminated, together with significant fluctuations in the levels of creatinine, trimethylamine-*N*-oxide, glucose, lactate, and acetate, which

were quantitated. We have first observed the significant elevation of lactate levels in post-dialysis plasma. The present study has demonstrated the high feasibility of NMR-PR method for monitoring the dialysis condition and comprehensive profiling of the change of low-molecular-weight metabolites in HD.

Keywords Lactate · Acetate · TMAO · PCA · Renal failure · Metabolomics

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Introduction

NMR spectroscopy of biofluids has introduced a new chemistry analysis tool to life science and clinical medicine with the high field NMR spectrometers [1]. The technique has been applied to various disease characterization and diagnostic or prognostic researches on cardiovascular diseases [2], cancer [3], inborn errors of metabolism [4], diabetes [5, 6], arthritis [7], liver disease [8], etc. [9]. The studies on renal failure [10–13] and HD therapy [14] have been performed as well. The previous metabolomic study using ^1H NMR spectroscopy in HD patients under the HD buffer containing acetate showed an accumulation of acetate and metabolism during the course of the dialysis and demonstrated changes in the relative concentrations of endogenous plasma components [15]. A subsequent ^1H , ^{13}C , and ^{14}N NMR study of the plasma and urine from renal failure patients showed that the plasma levels of TMAO (trimethylamine-*N*-oxide) correlated with those of urea and creatinine, suggesting that the presence of TMAO is closely related to the degree of renal failure [16]. In these studies, however, the subject number was limited.

NMR metabolomics combined with multivariate pattern recognition would give an advantage over other conventional

analyses. The method of principal component analysis (PCA) simplifies the multivariate data into two or three dimensions that can be readily understood and evaluated [17]. This analysis allows one to discriminate between different subgroups existent in the total population by their characteristic patterns of spectra [18, 19]. It is also possible to identify the relevant biomarkers [20]. PCA does not require any identification of signals but the processed numerical data from spectra intensity (non-targeted analysis) [21]. The score plot can depict classification of groups by the characteristic of spectral patterns [22].

The purpose of our study was to assess the utility of ^1H NMR metabolomics using pattern recognition analysis of plasma in HD. In the present study, plasma from 37 patients were measured by ^1H NMR spectroscopy and subjected to PCA. The analysis has revealed some metabolites behaviors: elevation of acetate, glucose, and lactate in post-dialysis plasma, of which levels were quantitated by ^1H NMR spectroscopy.

Methods

Patients Thirty-seven patients had been studied. After the approval of the study protocol by the ethical committee (Koujinkai Central Hemodialysis Clinic, Sendai, Japan), informed consents have been obtained. The characteristic were age between 39 and 93 years; gender, 48% women; and cause of renal failure, diabetic nephropathy diabetes mellitus 34%, chronic glomerulonephritis or nephropathy 34%, and others (nephrosclerosis, congenital renal disease, etc.). Their hemodialysis conditions were stable, and the duration of hemodialysis was between 2 and 32 years (average, 10.5 years). Their metabolic findings such as levels of blood glucose and lipids were not significantly different in the patients.

Samples Venous blood samples from subjects undergoing HD using bicarbonate dialysis buffers (containing acetate of 8–12 mM and glucose of 5–8 mM) were collected into lithium heparin tubes. After centrifuging, the plasma was stored at $-20\text{ }^\circ\text{C}$. It was thawed at room temperature immediately before use, and any precipitation was removed by centrifugation. For NMR, 200 μl of plasma and 400 μl so-called NMR cocktail (saline with 5 mM TSP (sodium 3-(trimethylsilyl) propionic 2, 2, 3, 3- d_4) as both concentration and chemical shift reference, NaN_3 for preventing and D_2O 50 μl for the internal field-frequency lock) was placed into 5-mm NMR tube.

NMR spectroscopy Single-pulse ^1H NMR spectra were recorded at $35\text{ }^\circ\text{C}$ internal probe temperature using 600 MHz NMR spectrometer (JEOL ECA) quipped with a sample changer. Each spectrum consisted of 64 K complex data points with a spectrum width 6 kHz, where

each spectrum was accumulated by 64 scans with an acquisition time of 1.75 s and a recycle delay of 5 s per scan. The detection pulse flip angle was set to 45° . A pre-saturation sequence was used to suppress the water signal.

NMR data reduction procedures Each NMR spectrum was segmented into 225 regions of 0.04 ppm width over the range of 0.5 to 9.5 ppm, and each segment of the spectral regions was integrated. Integrated regions from 4.3 to 5.2 ppm, which contained residual water resonance, were eliminated from the data table, and then data were reduced to 202 variable regions. The remaining integral values of each spectrum were normalized for 100 of the total summed integrals in order to compensate for any differences of the plasma samples. These processing and successive PCA was performed by ALICE2 for Metabolome[®] software package (version 1.0; JEOL, Tokyo, Japan).

Quantitation of metabolites Serial additions of lactate (0.5, 1.0, 1.5, 2.0, 3.0, and 5.0 mM) were performed to the randomly selected three-paired samples of pre- and post-plasma. Every result of six experiments exhibited the linear relation between the signal area of each addition and the concentration lactate added. The chemical shift and line-width did not change on each experiment. The sensitivity of signal area vs. concentration was not exactly same in each sample. However, six sensitivities revealed to be within $\pm 10\%$ deviation from the average. In the present study, the practical approximation was applied for use of this average sensitivity to calibrate the absolute lactate concentration of signal areas. The values of concentrations for glucose and acetate were calculated in the same way by using corresponding proton numbers [15].

Results

Metabolic profiling by ^1H NMR

Figure 1 shows the representative plasma spectra of pre- and post-dialysis using bicarbonate buffer containing acetate and the spectrum of initial waste fluid from dialyser. In Fig. 1a of the pre-dialysis spectrum, the resonances of methyl (3.04 ppm) and methylene (4.05 ppm) of creatinine and methyls (3.28 ppm) of TMAO were significantly increased comparative with that of post-dialysis in which acetate (1.92 ppm) signal appeared [23]. Glucose exhibited many sharp signals between creatinine peaks in both spectra in Fig. 1a and b. Every pre-dialysis spectrum had little signal of acetate. The waste solution measured was taken 15 min after the start of dialysis treatment, and the spectrum exhibited not only signals of acetate and glucose

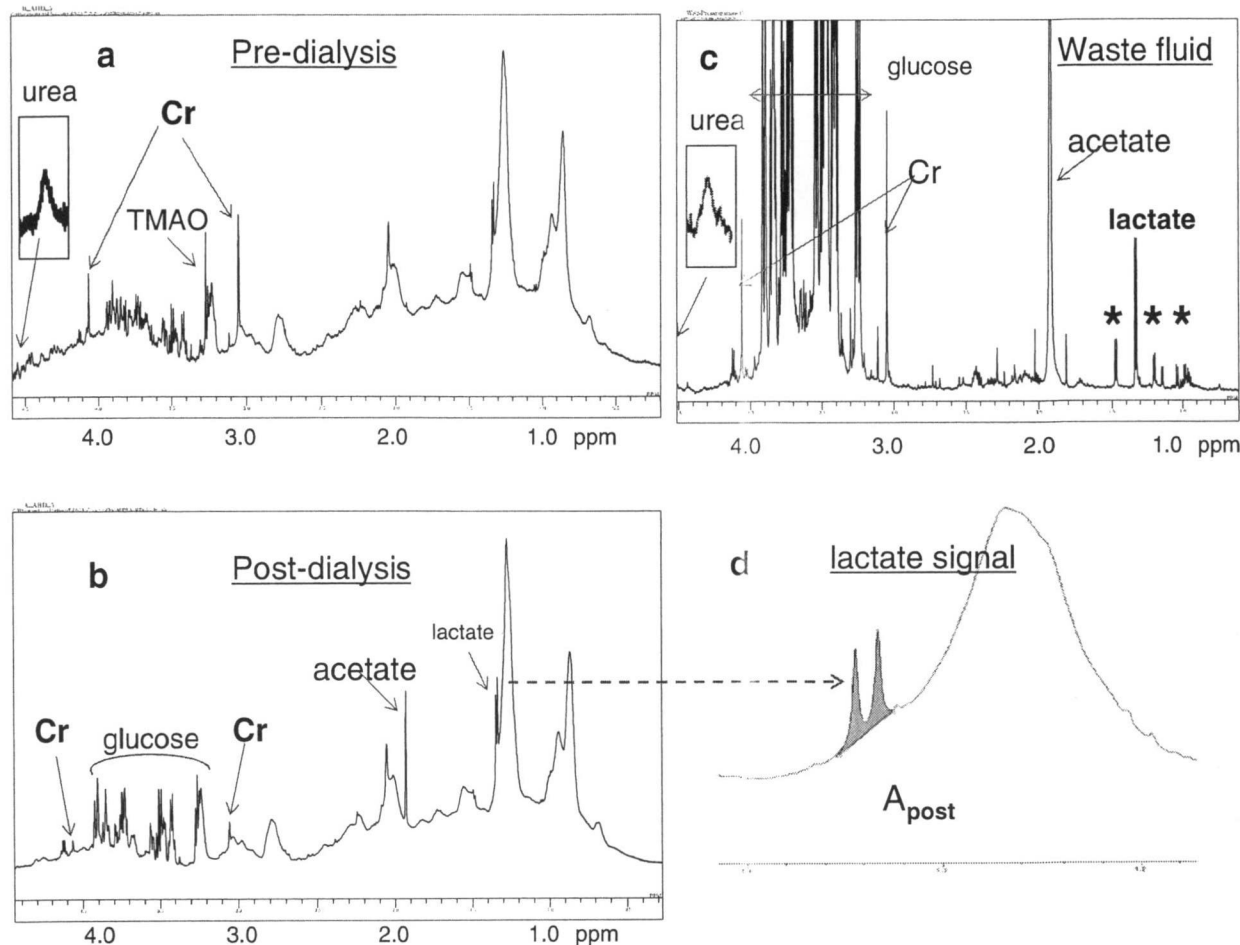


Fig. 1 ¹H NMR spectra (600 MHz) of plasma from pre- and post-dialysis (**a**, **b**) and of waste fluid (**c**) in the higher field, respectively. Cr indicates creatinine signal. In each spectra (**a**, **c**), there was a signal of urea at 5.78 ppm inserted to the figure with enlargement, respectively. In **c**, the asterisk-marked signals indicate valine,

threonine, and alanine from the right, respectively. **d** The enlargement of lactate signal. The signal areas, A_{post} and A_{pre} , were measured after adequate baseline correction indicated as a shaded area, respectively, and used for calculation of Δ

in dialysate fluid constituents but also those of creatinine, lactate, amino acids, and organic acids that were filtered out of plasma already.

¹H NMR spectra of 37 pairs (pre- and post-dialysis plasma) were measured and processed as mentioned above and submitted to PCA. As shown in Fig. 2a, the two groups were clearly separated. The PC1 axis successfully extracted dialysis effect dominantly. Loading plot is shown in Fig. 2b; the distribution of variables corresponds to that of samples in score plot. The lower group reflects the increase level of acetate; samples of right side have larger signals of lipid so on. Figure 2c shows distribution of contribution of variables to PCs; every bar (variable) consists of contributions to PC1 (red), PC2 (blue), PC3 (green), and the residuals (cyan). The variables of lipid and glucose in Fig. 2c have large contribution to PC2, which corresponds

to PC2, i.e., the horizontal axis of score plot in Fig. 2a. The variable of 3.28 ppm (TMAO) has the big contribution to PC3 nearly the same ratio as that to PC1.

Figure 2d showed also the loading depicted in the cross-sectional graph of Fig. 2b along PC1 axis. This profile of all the metabolites was responsible for making PC1 axis to characterize and discriminate the two groups. The upper bars, including creatinine, TMAO, threonine, alanine, etc., are large in the upper group samples (pre-), and the lower bars, including glucose, acetate, and lactate, are large in the lower group samples (post-) in Fig. 2a, respectively. This figure illustrates the quantitative fluctuation of all the metabolites simultaneously. The lower big bar of acetate and glucose were considered to be derived from dialysis fluid constituents; however, elevated post-plasma level of lactate was unexpectedly observed.

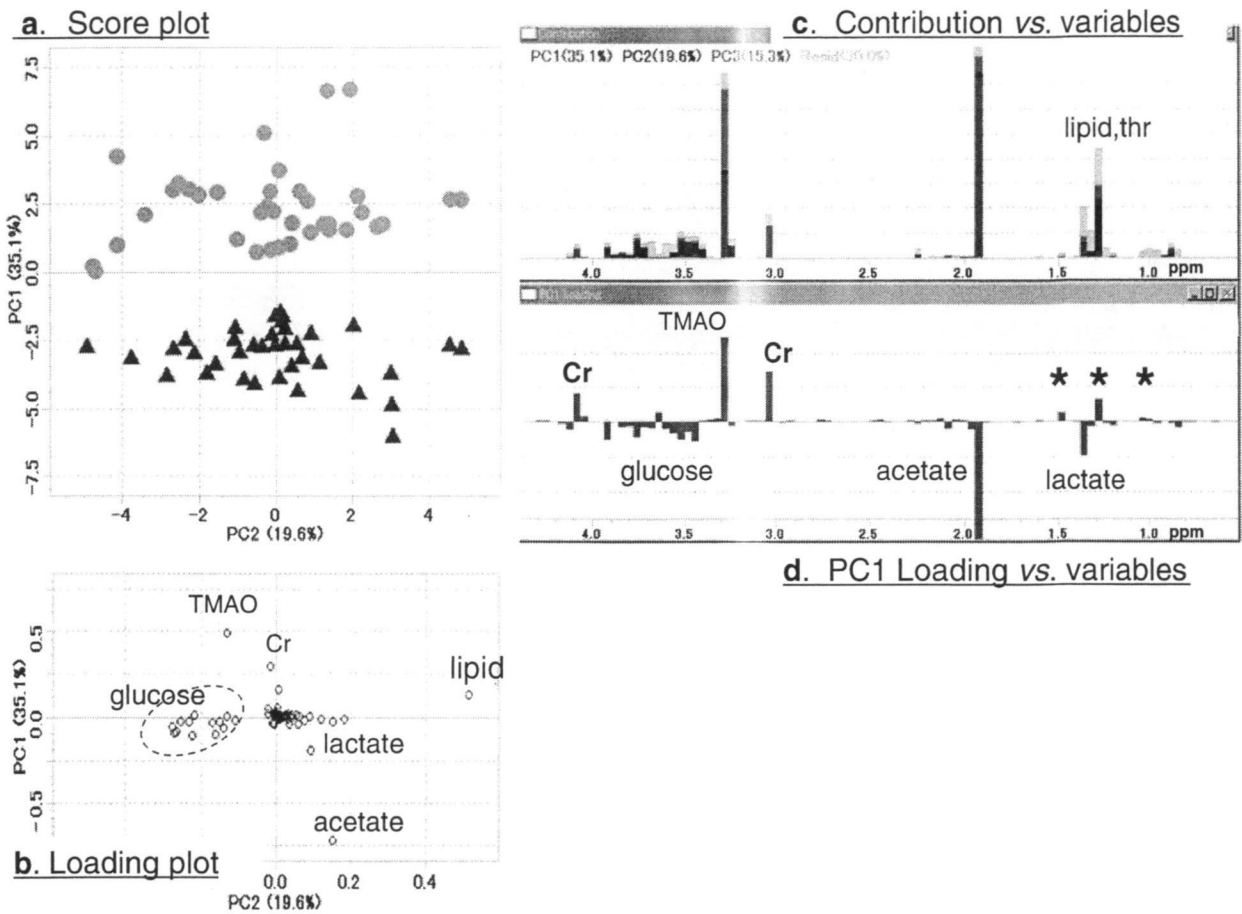


Fig. 2 a PCA score plot of PC1-PC2; orange circle and purple triangle dots indicate spectra from pre- and post-dialysis subjects, respectively. PC1 and PC2 explained 31.5% and 19.8% of total variance, respectively. b Loading plot; major metabolites were indicated. c Contribution quantities of variables in higher field

showing that responsible for PCA classification. The red, blue, green, and cyan bars correspond to the contribution to PC1, PC2, PC3, and the residuals, respectively. d A plot of PC1 loading vs. variables. The asterisk-marked variables are valine, threonine, and alanine corresponding to Fig. 1

Quantitation of creatinine, TMAO, acetate, glucose, and lactate

For further investigation, we tried to quantify metabolites that changed greatly, creatinine, TMAO, acetate, glucose, and lactate, between pre- and post-treatment.

We have quantitated by integration of signal areas from small metabolites [24] after adequate baseline correction like as in Fig. 1d. For the quantitation of differences between pre- and post-resonances of the metabolites, the subtraction pre-signal area A_{pre} from post-signal area A_{post} , that is, $\Delta = A_{post} - A_{pre}$, was calculated for each paired

Fig. 3 Correlation of the concentration between pre- and difference of 37 subjects in mM, respectively. A red line indicates 0 value of Δ on each graph. Linear regression line was depicted on graphs of creatinine and TAMO

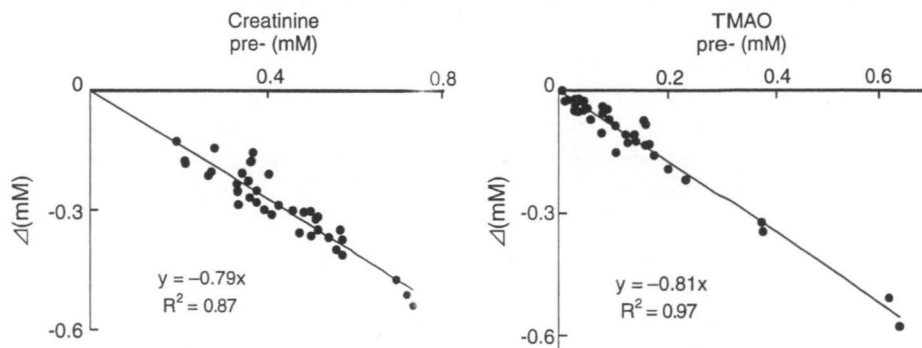
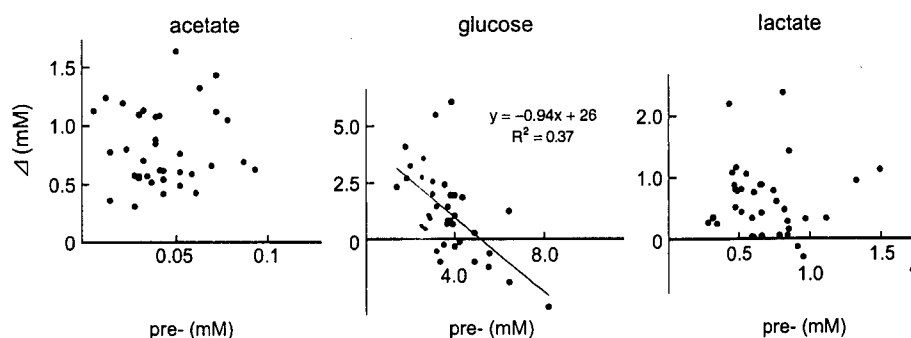


Fig. 4 Correlations of concentration between pre- and difference of 37 subjects for acetate, glucose, and lactate, in mM, respectively. A red line indicates 0 value of Δ on each graph



spectra. We determined graded several concentrations of lactate added to samples for the transformation signal areas to concentration, as mentioned in the previous section. Thus, the concentrations of pre-dialysis vs. the difference (Δ) for metabolites of 37 were plotted in mM in Figs. 3 and 4.

In Fig. 3, differences of creatinine and TMAO were revealed to have all negative values, which show successful clearance by dialysis, and to be greatly dependent upon the initial accumulated values of each. The linear regression graphs showed the following relation,

$$\Delta = A_{\text{post}} - A_{\text{pre}} \approx -0.8 A_{\text{pre}}$$

Then $A_{\text{post}} \approx 0.2 A_{\text{pre}}$

Values of post- became 1/5 that of pre-; it meant the treatment cleared 80% of accumulated ureic toxins.

As shown in Fig. 4, the differences (Δ) of acetate showed all positive values with much variance, that of glucose presented mostly positive values with a negative correlation to its pre- values, and the most values of that for lactate were significantly positive with much variance. These concentrations of average and maximum of all 37 samples were summarized in Table 1.

Discussion

NMR method requires little or no pretreatment of the sample, and it allows a simultaneous quantitation of metabolites in a spectrum [15]. NMR-PR method has no specific target metabolites to be analyzed but it reveals whole spectral pattern, and therefore, the statistical analysis of PCA leads to comprehensive profile, including not only creatinine, TMAO, glucose, and acetate but also lactate and others. The present analysis clearly extracted the balance change.

We have showed that creatinine, TMAO, alanine, threonine, and other low-molecule metabolites were cleared from plasma by dialysis, while acetate, glucose, and lactate levels were elevated in post-dialysis plasma. TMAO

accumulation in the end-stage-kidney disease [16] and its clearance by dialysis have already been reported [25]. We here observed a weak correlation between pre-dialysis plasma levels of TMAO and creatinine, although the levels of TMAO vary, probably depending on the amount of dietary intake which digest TMAO, such as fish meat [24]. The dialysate constituents could cause acetate level increment, as expected. The variance of Δ acetate values shown in Fig. 4 was due to individual variety. As for glucose, the values were varied probably due to the balance between the plasma levels of glucose and dialysis buffer or the meal intake during HD

In the post-HD, plasma levels of lactate were significantly increased. There has been no report on the observation. As shown in Fig.1c, lactate is a small molecule to be filtered continuously with the same ratio as creatinine and TMAO; the increment plasma level of lactate (its average of 0.7 mM as shown in Table 1) was due to a de novo synthesis during HD. The cause of lactate increase is unknown. In HD, dehydration would lower blood perfusion to tissues, leading to the peripheral hypoxemia resulting in anaerobic glycolysis causing lactate synthesis, or the change of pH during HD may influence the degradation of lactate by lactate dehydrogenase [26].

In conclusion, NMR-PR method has promising scope for a monitoring HD treatment together with comprehensive and visual metabolic profiling.

Table 1 The values of mean and maximum of 37 samples (mM)

		mean±SD	max
lactate	pre	0.9±0.4	2.1
	post	1.6±0.6	3.9
	Δ	0.7±0.6	1.8
glucose	pre	3.6±1.4	7.9
	post	4.9±1.6	10.5
	Δ	1.3±2.0	6.6
acetate	pre	0.04±0.02	0.1
	post	0.9±0.3	1.7
	Δ	0.8±0.3	1.6

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ORIGINAL ARTICLE

Construction of a prediction model for type 2 diabetes mellitus in the Japanese population based on 11 genes with strong evidence of the association

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Prediction of the disease status is one of the most important objectives of genetic studies. To select the genes with strong evidence of the association with type 2 diabetes mellitus, we validated the associations of the seven candidate loci extracted in our earlier study by genotyping the samples in two independent sample panels. However, except for *KCNQ1*, the association of none of the remaining seven loci was replicated. We then selected 11 genes, *KCNQ1*, *TCF7L2*, *CDKAL1*, *CDKN2A/B*, *IGF2BP2*, *SLC30A8*, *HHEX*, *GCKR*, *HNF1B*, *KCNJ11* and *PPARG*, whose associations with diabetes have already been reported and replicated either in the literature or in this study in the Japanese population. As no evidence of the gene–gene interaction for any pair of the 11 loci was shown, we constructed a prediction model for the disease using the logistic regression analysis by incorporating the number of the risk alleles for the 11 genes, as well as age, sex and body mass index as independent variables. Cumulative risk assessment showed that the addition of one risk allele resulted in an average increase in the odds for the disease of 1.29 (95% CI=1.25–1.33, $P=5.4 \times 10^{-53}$). The area under the receiver operating characteristic curve, an estimate of the power of the prediction model, was 0.72, thereby indicating that our prediction model for type 2 diabetes may not be so useful but has some value. Incorporation of data from additional risk loci is most likely to increase the predictive power. *Journal of Human Genetics* (2009) 54, 236–241; doi:10.1038/jhg.2009.17; published online 27 February 2009

Keywords: gene–gene interaction; genome-wide association study; prediction model; single nucleotide polymorphism (SNP); type 2 diabetes mellitus

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INTRODUCTION

Genome-wide association studies (GWASs) have identified novel susceptibility genes for type 2 diabetes mellitus in Caucasians.^{1–5} *TCF7L2*, *CDKAL1*, *CDKN2A/B*, *IGF2BP2*, *SLC30A8* and *HHEX* have been widely replicated as susceptibility genes for type 2 diabetes in Asian populations^{6–12} as well as in populations of European ancestry.^{13,14} We recently identified *KCNQ1* as a novel susceptibility gene, as well as seven other candidate susceptibility loci in a multistage GWAS for type 2 diabetes in the Japanese population, in which a total of 1612 cases and 1424 controls and 100 000 single nucleotide polymorphisms (SNPs) were included.¹⁵ *KCNQ1* was found to confer risk of type 2 diabetes with a relatively large effect size in Asian populations (odds ratio (OR) for Japanese, Chinese and Korean individuals of 1.42),¹⁵ which was similar to that demonstrated earlier for *TCF7L2* in the Japanese population.⁶

Follow-up of GWASs includes analysis of second-tier genes, meta-analysis for specific populations, as well as analysis of gene–gene or gene–environment interactions. A large-scale meta-analysis¹⁶ and an analysis of gene–gene interaction for susceptibility genes¹⁷ have been performed for type 2 diabetes in populations of European ancestry.

In this study, we attempted to confirm in independent subject panels of Japanese and Hong Kong Chinese individuals the associations of the seven candidate susceptibility loci that we identified in addition to *KCNQ1* in our GWAS of type 2 diabetes.¹⁵ However, as described in this article, we failed to replicate the associations of the seven loci with diabetes. We then attempted to extract genes with strong evidence of the associations with diabetes, and selected 11 genes, including *KCNQ1*. As we did not detect any gene–gene interaction between the 11 genes, we then attempted to construct a prediction model for this disease by using the data from the 11 genes, as well as age, gender and body mass index (BMI) as independent variables to obtain a comprehensive understanding of the genetic background of diabetes in the Japanese population.

MATERIALS AND METHODS

Validation of the results from a multistage GWAS in the Japanese population

Study subjects. We assembled two independent subject panels for our replication study: replication-Japanese and replication-Chinese. The 1000 cases and 1000 controls for the replication-Japanese panel were recruited by the Study Group of the Millennium Genome Project for Diabetes Mellitus. The inclusion criteria for diabetic patients were (i) an age at disease onset of 30–60 years and (ii) the absence of antibodies to GAD. Types of diabetes other than type 2 were excluded on the basis of clinical data. The criteria for controls included (i) an age of >50 years, (ii) no past history of a diagnosis of diabetes and (iii) an HbA_{1c} content of <5.8%.

For the replication-Chinese panel, subjects of southern Han Chinese ancestry, who resided in Hong Kong, were recruited. The cases consisted of 1416 individuals with type 2 diabetes selected from the Prince of Wales Hospital Diabetes Registry,^{5,18} 626 of these subjects had early-onset diabetes (age at diagnosis of <40 years) and a positive family history, whereas the remaining 790 patients were randomly selected from the registry. Patients with classic type 1 diabetes with acute ketotic presentation or a continuous requirement for insulin within 1 year of diagnosis were excluded. The controls consisted of 1577 subjects with normal glucose tolerance (fasting plasma glucose concentration of <6.1 mmol l⁻¹); 596 of these individuals were recruited either from the general population participating in a community-based screening program for cardiovascular risk or from hospital staff, whereas the remaining 981 subjects were recruited from a population-based screening program for cardiovascular risk in adolescents.¹⁹ The clinical characteristics of the subjects in each panel are summarized in Supplementary Table 1A. The study protocol was approved by the local ethics committee of each institution. Written informed consent was obtained from each subject.

Study design and statistical analysis. For the validation of the results from our earlier multistage GWAS,¹⁵ seven SNPs (rs2250402, rs2307027, rs3741872, rs574628, rs2233647, rs3785233 and rs2075931) were genotyped in the two panels either by sequence-specific primer–PCR analysis followed by fluorescence correlation spectroscopy²⁰ or by real-time PCR analysis with TaqMan probes (Applied Biosystems, Foster City, CA, USA). Differences in allele frequency between cases and controls for each SNP were evaluated by χ^2 with one degree of freedom. Meta-analysis was performed by the Mantel–Haenszel method (fixed-effects models) with the ‘meta’ package of the R-Project (<http://www.r-project.org>). A P-value of <0.05 was considered statistically significant.

Examination of gene–gene interaction and construction of a prediction model

Study subjects. In total, 2424 cases and 2424 controls of the Japanese population obtained by combining the second and third screening panels in our original study¹⁵ and the replication-Japanese panel of this study were included in this analysis (analysis-panel). The criteria for the second and third screening panels were described in the earlier report.¹⁵ The clinical characteristics of the subjects are summarized in Supplementary Table 1B.

Selection of the loci included in this study. Prediction of the phenotypes on the basis of genetic polymorphisms should include the genetic data from the loci with strong evidence of the association. Starting from 15 genes described in earlier reports, we selected 11 genes with strong evidence of the association on the basis of the data in the literature and on the results of the replication experiments in this study. Process of the selection of the 11 genes will be described in detail in Results.

Statistical methods. Multiplicative gene–gene interaction was evaluated for each pair of the 11 genes using an interaction term in addition to the terms for the pair of the genes in the logistic regression model. The genotypes for each locus were coded by 0, 1 and 2. Correction for multiple testing was performed by Bonferroni’s method.

As there was no evidence for the presence of gene–gene interactions, we attempted to construct a phenotype prediction model by incorporating the number of risk alleles for the 11 loci as an independent variable in addition to age, gender and BMI. The Cochran–Armitage test was used to examine the trend of the increase in the odds by increasing the number of the risk alleles. To construct a prediction model, the log of odds was expressed by the linear combination of the independent variables. Coefficients for the variables were estimated by the logistic regression analysis after making disease (cases) or nondisease (controls) as the dependent variable. Using the coefficients estimated by the logistic regression analysis, we constructed a phenotype prediction model. To evaluate the prediction model, receiver operating characteristic (ROC) curves²¹ for the sensitivity and specificity of the prediction model with or without adjustment for age, sex and BMI were generated, and the area under the curve (AUC) was calculated from the ROC curve.

RESULTS

Validation of the results from a multistage GWAS in the Japanese population

We identified earlier 10 loci associated with type 2 diabetes by three-staged GWAS starting from 100 000 SNPs. Among the 10 loci, 3 SNPs were located in an intron of *KCNQ1*, and the association of this gene with diabetes was confirmatory.¹⁵ To validate the other seven loci for the association with type 2 diabetes, we analyzed them in two independent replication panels of Japanese and Han-Chinese individuals (Table 1, Supplementary Table 2). Only one SNP, rs2250402, which is located in *EIF2AK4*, was found to be significantly associated in the replication-Japanese panel ($P=0.039$, OR=1.17, 95% CI=1.01–1.36). However, neither this SNP ($P=0.41$, OR=1.05) nor any of the other six SNPs showed such an association in the replication-Chinese panel. Meta-analyses for these SNPs showed that rs2307027 in *KRT4* and rs3785233 in *A2BP1* yielded P-values of <0.05 and ORs between 1.12 and 1.13 (Table 1). When the original second and third screening

Table 1 Association study for the candidate susceptibility genes for type 2 diabetes selected by multistage screening in the Japanese population

SNP ID	Chr	Gene	Risk allele	Panel	RAF (DM)	RAF (NC)	P	OR	95% CI
rs2250402	15	EIF2AK4	C	Replication-Japanese	0.23	0.20	0.04	1.17	1.01–1.36
				Replication-Chinese	0.24	0.23	0.41	1.05	0.93–1.19
				Meta-analysis			0.05	1.10	1.00–1.20
rs2307027	12	KRT4	C	Replication-Japanese	0.18	0.17	0.17	1.12	0.95–1.32
				Replication-Chinese	0.14	0.13	0.16	1.11	0.96–1.29
				Meta-analysis			0.05	1.12	1.00–1.25
rs3741872	12	FAM60A	C	Replication-Japanese	0.25	0.24	0.18	1.11	0.96–1.28
				Replication-Chinese	0.23	0.22	0.21	1.08	0.96–1.22
				Meta-analysis			0.07	1.09	0.99–1.20
rs574628	20	ANGPT4	G	Replication-Japanese	0.60	0.61	0.46	0.95	0.84–1.08
				Replication-Chinese	0.65	0.65	0.59	1.03	0.93–1.15
				Meta-analysis			0.96	1.00	0.92–1.08
rs2233647	6	SPDEF	G	Replication-Japanese	0.86	0.87	0.70	0.97	0.81–1.16
				Replication-Chinese	0.94	0.93	0.54	1.07	0.87–1.31
				Meta-analysis			0.90	1.01	0.88–1.16
rs3785233	16	A2BP1	C	Replication-Japanese	0.18	0.16	0.19	1.12	0.95–1.32
				Replication-Chinese	0.13	0.12	0.10	1.14	0.97–1.34
				Meta-analysis			0.04	1.13	1.01–1.27
rs2075931	1	Intergenic	A	Replication-Japanese	0.67	0.66	0.85	1.01	0.89–1.16
				Replication-Chinese	0.73	0.74	0.27	0.94	0.84–1.05
				Meta-analysis			0.48	0.97	0.89–1.06

Abbreviations: Chr, chromosome; OR, odds ratio for risk allele frequency. Assignment of risk alleles was based on the original study.¹⁵ Numbers of cases versus control subjects in the replication-Japanese and replication-Chinese panels were 1000 versus 1000 and 1416 versus 1577, respectively. RAF (DM) and RAF (NC) denote risk allele frequencies in cases and controls, respectively. P values were calculated for allele frequency. Meta-analysis was performed by the Mantel-Haenszel method (fixed-effects models). P-values for the test of heterogeneity among panels joined in the Mantel-Haenszel tests were all >0.05.

panels were included in the meta-analyses, these two loci, as well as the SNPs in *EIF2AK4* (rs2250402) and *FAM60A* (rs3741872), gave P-values of <0.001 and ORs between 1.15 and 1.18 (Supplementary Table 3). However, the P-values did not reach the proposed significance of GWAS ($=5 \times 10^{-7}$).

Selection of polymorphisms for the prediction model

To construct a reliable prediction model for diabetes, polymorphisms with strong evidence of association should be used. From the previous literature, we selected 15 genes (including one intergenic marker), that is, *SLC30A8*, *HHEX*, *LOC387761*, *EXT2*, *CDKN2A/B*, *GCKR*, *IGF2BP2*, *CDKAL1*, *FTO*,^{1–5} *TCF7L2*,²² *KCNJ11*,²³ *PPARG*,²⁴ *WFS1*,²⁵ *HNF1B*²⁶ and *KCNQ1*,¹⁵ as candidate genes to be included in both gene–gene interaction analysis and construction of a prediction model. Starting from 23 SNPs in these 15 genes, we selected 11 SNPs in 11 genes according to the following process. There is sufficient evidence of the associations of *KCNQ1* and *TCF7L2* genes with diabetes as supported by replication studies in the Japanese population.^{6,15,27} In addition, *SLC30A8*, *HHEX*, *CDKN2A/B*, *IGF2BP2* and *CDKAL1* associated with the disease in the European population were found in our earlier study to be associated with the disease in the Japanese population as well.^{7–9}

To further extract genes with strong evidence of the association with diabetes, we attempted to replicate the associations reported earlier using our own data (analysis panel with 2424 cases and 2424 controls). For the 19 SNPs in *SLC30A8*, *HHEX*, *LOC387761*, *EXT2*, *CDKN2A/B*, *GCKR*, *IGF2BP2*, *CDKAL1*, *FTO*, *TCF7L2*, *KCNJ11*, *PPARG* and *KCNQ1*, we extracted genotyping data from our earlier studies^{6–9,15,27–29} and, if necessary, genotyped additional subjects to obtain a data set for 2424 cases and 2424 controls of the Japanese population (analysis panel). The SNPs in *WFS1* (rs6446482, rs734312)

and *HNF1B* (rs7501939, rs4430796) were genotyped for this study in the same individuals. SNPs with P-values for the test of deviation from the Hardy–Weinberg equilibrium of <0.01 were excluded for further analysis. When two SNPs were located in the same genomic region, the one with the lower P-value for the association test was selected for further analysis. *GCKR*, for which we earlier reported the marginal association with type 2 diabetes,⁷ was found to be associated with the disease in this enlarged Japanese panel ($P=1.7 \times 10^{-5}$; Supplementary Table 4). *KCNJ11* and *PPARG*, which have been included in the genes associated with diabetes in Caucasians, showed marginal associations ($P=0.066$ and $P=0.075$, respectively; Supplementary Table 4) in our panel. Two SNPs in *WFS1* and two SNPs in *HNF1B* were newly genotyped in the analysis panel. Although no association was apparent between *WFS1* and type 2 diabetes, both SNPs in *HNF1B* exhibited P-values of <0.05 (Supplementary Table 4). From these data, we included 11 SNPs in 11 genes as described above for the source of genotype data to be analyzed in both the examination of gene–gene interaction and the prediction of phenotypes.

Gene–gene interaction

We evaluated multiplicative gene–gene interaction for each pair of the 11 loci as described in Materials and methods. Two combinations, rs1801282 (*PPARG*) \times rs1470579 (*IGF2BP2*) (nominal $P=0.0025$) and rs1801282 \times rs3802177 (*SLC30A8*) (nominal $P=0.018$), showed P-values of less than 0.05 (Supplementary Figure 1). However, these P-values were not significant when Bonferroni's correction for multiple testing was applied (significance level, $0.05/55=9.1 \times 10^{-4}$). Although *PPARG* and *IGF2BP2* are located on the same chromosome (3p25 and 3q28, respectively), it is unlikely that loci on different arms of the same chromosome show significant linkage disequilibrium. *SLC30A8* is located on a different chromosome (8q24.11) from

PPARG. The reason why nominal *P*-values of these combinations showed less than 0.05 may be because of the low minor allele frequency of rs1801282.

Cumulative risk assessment for type 2 diabetes on the basis of susceptibility genes

As there was no evidence of gene–gene interaction between 11 SNPs of 11 genes, *SLC30A8*, *HHEX*, *CDKN2A/B*, *GCKR*, *IGF2BP2*, *CDKAL1*, *TCF7L2*, *KCNJ11*, *PPARG*, *KCNQ1* and *HNF1B*, they were included in the prediction model as independent variables with the additive effect (additive effect in the liability and multiplicative effect in the odds) without interaction terms. Effective numbers of cases and controls whose genotypes for the 11 loci were successfully obtained were 2316 and 2370, respectively. The Cochran–Armitage trend test gave a *P*-value of 4.7×10^{-56} for the trend in the increase in the odds for cases relative to controls with an increasing number of risk alleles for the 11 susceptibility loci (Supplementary Table 5). We then estimated ORs for type 2 diabetes in subjects with different numbers of risk alleles on the basis of the multiplicative model by logistic regression analysis with adjustment for age, sex and BMI. The ORs for type 2 diabetes in subjects with 7–18 risk alleles in comparison with those harboring 0–6 risk alleles are shown in Figure 1. An increase of one risk allele resulted in an average increase in the odds of 1.29 (95% CI=1.25–1.33, $P=5.4 \times 10^{-53}$, logistic regression analysis).

To predict disease status for type 2 diabetes in a given individual, we constructed a prediction model on the basis of the number of risk alleles or the liability value calculated from the number of risk alleles as well as age, sex and BMI. The coefficients to calculate the liability value were estimated with the logistic regression model. To estimate the predictive power of the model, we generated ROC curves as described in Materials and methods. The AUC was 0.63 when only the number of risk alleles was used for the prediction. When age, sex and BMI were also included, the AUC increased to 0.72 (Figure 2). Meanwhile, an AUC value for the ROC curve based on only age, sex and BMI was 0.68, which was better than that based on only the number of risk alleles (data now shown). The model incorporating age, sex and BMI as well as the number of risk alleles thus showed moderate power for the prediction of type 2 diabetes. The best

accuracy was 0.66 at the threshold between non-diabetic and diabetic status of 0.52 (non-diabetic status=0, diabetic status=1), for which the specificity and the sensitivity were 0.71 and 0.61, respectively.

DISCUSSION

By the validation of the results from our multistage GWAS, we detected only marginal associations of *EIF2AK4*, *KRT4* and *A2BP1* with type 2 diabetes in meta-analyses with two subject panels of Japanese or Chinese individuals. Relations of *KRT4* (keratin 4 gene) and *A2BP1* (ataxin-2-binding protein 1 gene, also known as *FOX1*) to glucose or lipid metabolism are unknown. Deletion of *EIF2AK4* (eukaryotic translation initiation factor 2 alpha kinase 4 gene, also known as *GCN2*) in mice resulted in liver steatosis during leucine deprivation as a result of unrepressed expression of lipogenic genes.³⁰ The functionally related gene, *EIF2AK3* (also known as *PERK* or *PEK*), has been shown to cause diabetes mellitus both in humans (Wolcott–Rallison syndrome, OMIM604032) and in rodent models.^{31,32} Taken together, *EIF2AK4* may be a good candidate for the diabetes susceptibility gene. The sample size required for a statistical power of 0.80 with equal numbers of cases and controls is 10 505 when the frequency of the risk allele, OR and type I error probability are assumed to be 0.20, 1.10 (the value for *EIF2AK4* in the meta-analysis in Table 1) and 0.05, respectively. Further studies of these genes in other Asian populations as well as in other ethnic groups are needed for confirmation of their association with type 2 diabetes. Given this uncertainty, we did not include these genes in the assessments of cumulative risk and gene–gene interaction.

Among tens of type 2 diabetes susceptibility genes identified by recent GWASs in Caucasians, the associations of six genes, that is, *TCF7L2*, *CDKAL1*, *CDKN2A/B*, *IGF2BP2*, *SLC30A8* and *HHEX*, have been replicated in Asian populations as well as in populations of European ancestry. A recent meta-analysis in Japanese subjects also supported the associations.¹² In this study, we performed replication study, and, on the basis of the results, we added five more genes, that is, *KCNJ11*, *PPARG*, *GCKR*, *KCNQ1* and *HNF1B*, for the cumulative risk assessment for type 2 diabetes. Thus, the SNPs of *HNF1B*, which were earlier associated with type 2 diabetes in Chinese as well as in Caucasians,²⁶ showed the association with the disease in the Japanese

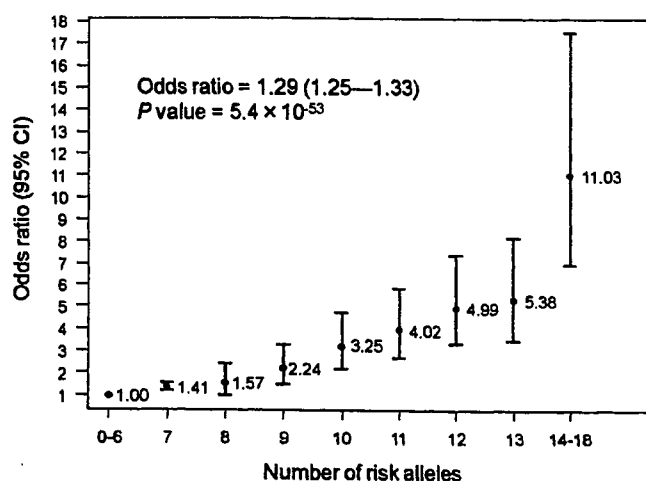


Figure 1 Odds ratios for subjects with different numbers of risk alleles for 11 susceptibility loci for type 2 diabetes. The cumulative effect of the 11 loci on type 2 diabetes was tested by counting the number of risk alleles associated with type 2 diabetes with a logistic regression model with adjustment for age, sex and BMI. The ORs for subjects with each number of risk alleles are expressed relative to individuals with 0–6 risk alleles.

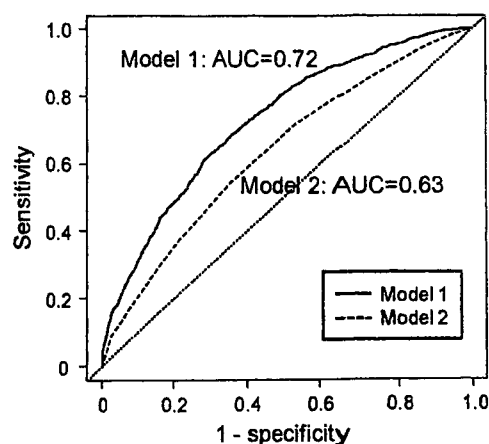


Figure 2 ROC curves for the prediction model on the basis of the number of risk alleles for 11 susceptibility loci for type 2 diabetes. The prediction model for type 2 diabetes was constructed using the logistic regression model, and ROC curves for the model were generated. In model 1, the number of risk alleles was used as an independent variable together with age, sex and BMI as covariates, whereas only the number of risk alleles was used as an independent variable in model 2.

population in this study. In addition, the C allele of rs780094 in *GCKR* was associated with increased risk of type 2 diabetes in this study, which is consistent with a recent study in Caucasians.³³ The associations of *KCNJ11* and *PPARG* with diabetes were marginal in this study; however, they were included for the prediction model, as the associations were replicated in some studies of Caucasians.

Our gene-gene interaction analysis showed no significant interaction for any of the 55 possible pairs of genes when corrected for multiple testing. When the significance level was set at 0.05, two pairs were judged to be significant. However, such gene-gene interactions were not supported from the functional point of view. A large-scale study may provide more convincing evidence for such interactions.

As no confirmatory evidence for gene-gene interaction was observed, we treated the 11 genes as independent variables in the prediction model. The addition of one risk allele was estimated to increase the odds by an average of 1.29 according to the multiplicative model. This value is similar to that (1.24) estimated for type 2 diabetes in Caucasians.¹⁷ Two earlier cumulative risk assessments for type 2 diabetes in Asian populations with relatively small numbers of associated loci yielded values of 1.17 and 1.24 for the fold increase in risk for each additional risk allele.^{11,34} In our prediction model for type 2 diabetes, the AUC for the ROC curve was lower than that in the earlier study¹⁷ based on 15 loci in Caucasians (0.72 and 0.86, respectively). However, the number of loci in our study (11 loci) was lower than that in the study for Caucasians. The inclusion of additional loci in our model should improve its ability to predict type 2 diabetes in Asian populations. Several reports of the prediction of type 2 diabetes using ~18 loci were recently described for populations of European ancestry.³⁵⁻³⁸ A prediction based on 18 loci gave an AUC value of 0.80 for the ROC curve,³⁵ whereas the corresponding values for a population-based prospective study were 0.68,³⁶ 0.615³⁷ and 0.75.³⁸ They concluded that genetic variations associated with diabetes had a small effect on the ability to predict the development of type 2 diabetes as compared with clinical characteristics alone. In fact, the AUC value (0.72) based on both the genetic variations and the clinical characteristics was slightly better than that based on only the clinical characteristics (0.68). We admit that the evidence of the association with diabetes is a little weaker for *KCNJ11* and *PPARG* in the Japanese population than for the other nine genes. If *KCNJ11* and *PPARG* were excluded from the analysis, the AUC for the ROC curve in the prediction model incorporating age, sex and BMI remained unchanged at 0.72, probably because of the relatively large effects of *KCNQ1* and *TCF7L2*.

Finally, our prediction model for type 2 diabetes achieved limited success even though it has some value. Given that GWASs for diabetes in Asians have not been as extensive as those in Caucasians, many risk loci for diabetes in Asians remain most likely to be undiscovered. Considering that the average increase in OR conferred by each additional risk allele was similar between Caucasians and Japanese, incorporation of data from additional risk loci is most likely to increase the predictive power.

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Peginterferon (PEG-IFN) Plus Ribavirin Combination Therapy, but neither Interferon nor PGE-IFN Alone, Induced Type 1 Diabetes in a Patient with Chronic Hepatitis C

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Abstract

Interferon (IFN) therapies, including IFN, peginterferon (PEG-IFN) and ribavirin (RBV) plus PEG-IFN combination, are widely used for patients with chronic hepatitis C. We encountered a patient with chronic hepatitis C in whom previous IFN or PEG-IFN alone had not induced type 1 diabetes (T1D), while the addition of RBV to PEG-IFN did induce T1D. The patient had HLA types conferring highly susceptibility to T1D. Thus, adding RBV to PEG-IFN may render chronic hepatitis C patients, with T1D-susceptible HLA types, more prone to developing T1D than IFN or PEG-IFN alone. To prevent T1D development, we recommend HLA typing prior to initiating RBV plus PEG-IFN administration.

Key words: human leukocyte antigen, anti-glutamate acid decarboxylase (GAD) antibody, anti-insulinoma-associated antigen (IA)-2 antibody, autoimmune disease

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Introduction

Interferon (IFN) is used for chronic hepatitis C and B. Until recently, IFN α was the main option for treating chronic hepatitis C (1). Now, peginterferon (PEG-IFN, polyethylene glycol-binding IFN) combined with ribavirin (RBV), which augments IFN action, is a standard anti-viral therapy for chronic hepatitis C (2). However, IFN therapy can adversely impact the immune system and induce autoimmune diseases including type 1 diabetes (T1D) (3, 4). Not only IFN, but also PEG-IFN (5) and PEG-IFN plus RBV therapy (6, 7), can reportedly induce T1D. We encountered a patient with chronic hepatitis C in whom neither IFN nor PEG-IFN alone induced T1D, while RBV plus PEG-IFN did induce T1D with elevated anti-glutamate acid decarboxylase (GAD) and anti-insulinoma-associated antigen (IA)-2 anti-

bodies. Herein, we emphasize the risk of T1D development with PEG-IFN plus RBV therapy.

Case Report

A woman was diagnosed as having chronic hepatitis C at the age of 53 and received IFN α 1 million IU/week for 6 months, and subsequently became negative for viral marker (HCV-RNA). At age 60, she was diagnosed as having type 2 diabetes; fasting plasma glucose (FPG) was 199 mg/dL and HbA1c 6.9%. Her HbA1c improved with glimepiride 1 mg/day. Because HCV-RNA was again increased, PEG-IFN α 180 μ g/week was started at age 61. Due to a taste disorder, the dose was decreased to 90 μ g/week two months later and continued for 9 months. During PEG-IFN administration, blood glucose control worsened, but adding buformin (150 mg/day) to her treatment regimen decreased HbA1c from

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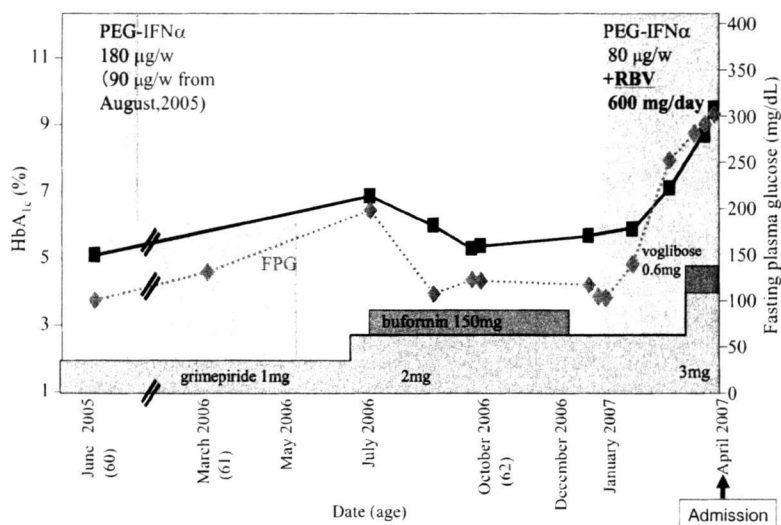


Figure 1. Clinical course: HbA_{1c} and fasting plasma glucose.

Table 1. Data on Admission

WBC	1600 /µL	T-Bil	0.9 mg/dL	BUN	11 mg/dL	Glu	303 mg/dL
Seg	53 %	AST	53 IU/L	Cr	0.4 mg/dL	HbA _{1c}	9.5 %
Eos	2 %	ALT	60 IU/L	UA	2.3 mg/dL	Anti-GAD antibody	27.0 U/mL (0-1.4)
Baso	0 %	ALP	213 IU/L	Na	135 mEq/L	Anti-IA-2 antibody	3.0 U/mL (0-0.3)
Lym	34 %	γ-GTP	40 IU/L	K	4.1 mEq/L	Anti-insulin antibody	6.5 % (0-10)
Mon	11 %	LDH	195 IU/L	Cl	102 mEq/L	Fasting IRI	4.3 µU/mL (1.84-12.2)
RBC	326 × 10 ⁴ /µL	ZTT	10.2 U	Ca	8.7 mg/dL	Blood C-peptide	0.6 ng/mL (1.5-3.5)
Hb	10.7 g/dL	TTT	6.1 U	TG	54 mg/dL	Urinary C-peptide	25.0 µg/day (41-145)
Ht	31.3 %	CHE	304 IU/L	T-Cho	78 mg/dL	Free T3	2.6 pg/mL (2.5-4.3)
Plt	78 × 10 ⁴ /µL	CPK	25 IU/L	HDL-C	27 mg/dL	Free T4	0.91 ng/mL (1.76-1.65)
TP	6.7 g/dL			LDL-C	39 mg/dL	TSH	6.72 µIU/mL (0.31-4.69)
A/G	1.48					TRAb	2.1 % (<15%)
						TgAb	138.2 IU/mL (0-44)
						TPOAb	149.9 IU/mL (<0.72)

Normal ranges are in parenthesis

7.0% to 5.0%. At age 62, because HCV-RNA levels had not decreased, PEG-IFN (80 µg/week) plus RBV (600 mg/day) combination therapy was started. Glycemic control rapidly deteriorated; FPG and HbA_{1c} were increased to 280 mg/dL and 8.8%, respectively, two months after the initiation of RBV therapy (Fig. 1). One month later, the patient was admitted to our hospital for blood glucose control. On admission, her body mass index was 20.8 kg/m², with no remarkable physical findings. Laboratory data included high blood glucose (FPG 303 mg/dL, HbA_{1c} 9.5%) with slightly elevated hepatic transaminases (AST/ALT 53/60 IU/L). It was noteworthy that she was positive for both anti-GAD and anti-IA2 antibodies. Thyroid hormone levels were normal with slightly elevated TSH. Anti-thyroglobulin antibody (TbAb) and anti-thyroid peroxidase antibody (TPOAb) were positive (Table 1), suggesting autoimmune thyroiditis with subclinical hypothyroidism. Her HLA types included A24, DRB1*0405/0901, DQA1*0302 and DQB1*0401/0303, which confer high susceptibility to T1D. Based on positive autoantibodies against pancreatic islets, T1D was diagnosed.

The PEG-IFN and RBV combination therapy was stopped and intensive insulin therapy was started, resulting in gradual improvement of blood glucose control with 35 units/day of insulin. Five months later, anti-GAD antibody remained positive (31.7 U/mL) with fair blood glucose control (HbA_{1c} 5.5%) using 27 units/day of insulin.

Discussion

Since IFN was first reported to be effective for HCV infection in 1986 (8), IFN has been widely used for patients with chronic hepatitis C. However, autoimmune diseases, such as autoimmune thyroiditis (9), rheumatoid arthritis (10), autoimmune hepatitis (11), systemic lupus erythematosus (12) and T1D (13), reportedly develop with IFN therapy. In particular, several reports have documented the development of thyroid autoimmune disorders in cases receiving IFN plus RBV combination therapy (14, 15) and the present patient is likely such a case.

T1D is at least in part an autoimmune disease character-

ized by loss of pancreatic β cells with T lymphocyte infiltration of islets (16). IFN α activates T-helper (Th)1 lymphocytes which are CD4⁺ and secrete interleukin-2, IFN γ and tumor necrosis factor β . These cytokines facilitate the generation of CD8⁺ cytotoxic T cells which injure pancreatic β cells (17). In fact, IFN α is significantly up-regulated in patients with T1D (18). These findings suggest that IFN α is involved in β cell destruction and thereby in T1D development.

In 1992, it was documented for the first time that IFN therapy for chronic hepatitis C can induce T1D (13), and this was followed by similar case reports (reviewed in (19)). Subsequently, PEG-IFN therapy was also reported to induce T1D (5). Therefore, IFN administration is likely to affect Th1 immune reactions, leading to the development of T1D, as discussed above.

The present case was first diagnosed as having type 2 diabetes 7 years after IFN therapy. IFN therapy reportedly worsens insulin resistance, resulting in deterioration of glucose tolerance (20). In our case as well, blood glucose control deteriorated slightly during PEG-IFN therapy, though fair control of blood glucose was achieved with biguanide treatment but no insulin, indicating that the diabetes in this case was not clinically insulin-dependent T1D during this period. In contrast, after RBV was added to PEG-IFN, glu-

ucose control rapidly worsened with positive autoantibodies, i.e. anti-GAD and anti-IA2 antibodies, suggesting T1D onset. Although the possibility that IFN or PEG-IFN alone had induced T1D several years or months earlier can not be completely excluded in this patient, her clinical course (see Fig. 1) strongly suggests that the RBV addition was a trigger for T1D development.

It is likely that previous administrations of IFN and PEG-IFN alone had not induced T1D, while adding RBV to PEG-IFN had induced T1D in the same patient. These three anti-viral strategies for chronic hepatitis C can all reportedly induce T1D (3-7). To our knowledge, however, no studies have compared these three therapies in terms of the likelihood of T1D induction. The clinical course of our case strongly suggests that adding RBV renders patients, who have T1D-susceptible HLA, more prone to T1D development than either IFN or PEG-IFN alone. RBV is a guanosine analog which exerts immunological effects on Th1-like activation (21). Therefore, adding RBV to IFN therapy might augment the autoimmune response to IFN. We emphasize the importance of HLA typing, particularly prior to RBV addition, since the combination of PEG-IFN with RBV is now established as the first line therapy for chronic hepatitis C (22, 23). RBV administration should be avoided in patients with T1D-susceptible HLA.

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Identification of a novel mechanism regulating β -cell mass

Neuronal relay from the liver to pancreatic β -cells

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Recent studies have demonstrated that β -cell replication plays a central role in maintaining adult β -cell mass. β -cell proliferative activity changes dynamically to meet systemic needs throughout life. One condition in which β -cell proliferation is enhanced is obesity-related insulin resistance. However, the mechanism underlying this compensatory β -cell response is not well understood. We have identified a neuronal relay, originating in the liver, which enhances both insulin secretion and pancreatic β -cell proliferation. Blockade of this neural relay in murine obesity models inhibited pancreatic islet expansion during obesity development, showing this inter-organ communication system to be physiologically involved in compensatory β -cell proliferation. While there is controversy about which mechanism, proliferation of pre-existing β -cells or production of new β -cells from progenitor cells, plays the dominant role in maintaining or regulating β -cell mass, we herein provide an example that proliferation of pre-existing β -cells contributes to a β -cell increment in obesity-related insulin resistance. Furthermore, we have shown the potential for clinical application of this inter-organ system as a therapeutic target for insulin-deficient diabetes.

according to metabolic demand throughout life.² Proliferation of β -cells occurs at a high rate during the late embryonic stage, but begins to decline postnatally. During adulthood, though β -cells continue proliferating, the rate of proliferation gradually declines with age.³ However, even during adulthood, proliferation rates of β -cells can increase considerably under specific conditions such as pregnancy or obesity. Enhancement of β -cell proliferation under such conditions is regarded as a compensatory mechanism in response to increasing systemic insulin demand. Although important roles of insulin⁴ and glucose⁵ in this β -cell compensation have been suggested, the mechanism underlying this process is not well understood. In fact, insulin-resistant animals^{6,7} and human subjects⁸ reportedly exhibit islet hyperplasia and/or hyperinsulinemia prior to the onset of detectable hyperglycemia, suggesting the existence of as yet unknown mechanisms enhancing compensatory β -cell proliferation in response to obesity-related insulin resistance.

Metabolism does not occur independently in multiple organs, but rather in a coordinated and regulated manner. This coordinated metabolic regulation requires inter-organ metabolic communication. During this decade, growing evidence has suggested an essential role of this inter-organ communication system in maintaining systemic metabolism, and that impairment of this system apparently contributes to the pathogenesis of metabolic disorders.⁹ While humoral factors such as cytokines or nutrients are known to be important mediators of inter-organ

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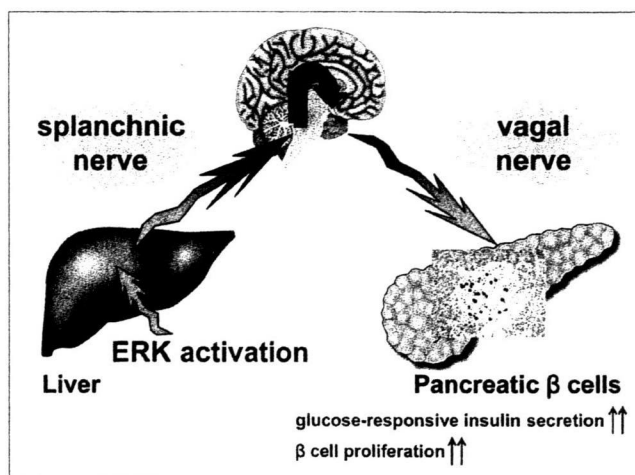


Figure 1. Schematic model of the neuronal relay originating in the liver.

communication, recent reports, including ours, have identified that neuronal signaling, consisting of both afferent and efferent autonomic nerves, also plays important roles in this system.¹⁰ For instance, neuronal afferent signals from visceral adipose tissue modulate food intake,¹¹ those from the liver regulate systemic energy expenditure,¹² and sympathetic nerves regulate adiponectin synthesis in white adipose tissues.¹³ In addition to these mechanisms, we have further unraveled that pancreatic β -cells are also regulated by in the nerve-mediated inter-organ communication system.¹⁴

To elucidate the mechanisms underlying these compensatory responses of β -cells, we expressed several genes, known to be upregulated or activated in the livers of obesity models, in the livers of lean mice. Among them, we found that hepatic extracellular signal-regulated kinase (ERK), phosphorylation of which is reportedly enhanced in the liver of a murine obesity model,^{15,16} plays an important role in compensatory β -cell responses. To elucidate the metabolic roles of hepatic ERK activation, we expressed the constitutively active mutant of mitogen-activated protein kinase/ERK kinase (MEK-1) in the liver using an adenoviral gene transduction system.¹⁷ Intriguingly, liver-selective ERK activation induced insulin hypersecretion and β -cell proliferation. These pancreatic effects of hepatic ERK activation were inhibited by splanchnic afferent blockade, pancreatic vagus dissection or midbrain transection. These results indicate that a neuronal relay

system, consisting of the afferent splanchnic nerve, the central nervous system and the efferent vagus, mediates inter-organ (liver-to-pancreas) communication (Fig. 1). In addition, blockade of this neuronal relay at each of several steps in murine obesity models inhibited pancreatic islet expansion during obesity development, showing that this novel inter-organ communication system is physiologically involved in compensatory β -cell proliferation. Furthermore, it is noteworthy that, when applied to murine models of insulin-deficient diabetes, hepatic activation of ERK signaling induced β -cell regeneration and thereby improved diabetes.

This inter-organ machinery has been shown to physiologically elicit compensatory β -cell responses to obesity-related insulin resistance, and may thus function as a diabetes prevention system during obesity development. When systemic insulin demand is increased, it may be reasonable for animals to enhance glucose-responsive insulin secretion from preexisting β -cells in the early period and, subsequently to augment β -cell mass to later sustain insulin secretion. It is noteworthy that these sequential responses of β -cells are very similar to responses observed after activation of the hepatic ERK pathway, enhancing insulin secretion and augmenting β -cell mass during the early and late periods after hepatic ERK activation, respectively. Thus, activation of this inter-organ machinery is a possible candidate for the currently unknown trigger initiating compensatory β -cell responses.

We do not deny the possibility that progenitor cells contribute to the β -cell increment under certain conditions. In fact, we reported that bone marrow transplantation following pharmacological β -cell injury increased pancreatic islets in the vicinity of pancreatic ducts with a substantial increment in proliferating ductal cells,¹⁸ suggesting generation of new islets from ductal progenitor cells. However, after activation of the hepatic ERK pathway, β -cell proliferation was tremendously increased within islets, suggesting that self-duplication of pre-existing β -cells is the main source of β -cell increments in this system. We performed further experiments to explore whether new β -cells were derived from progenitor cells or pre-existing β -cells proliferating in the context of inter-organ communication. We activated the hepatic ERK pathways of mice in which β -cells had been more thoroughly obliterated than in the experiments described in our original article, by administering a higher dose of streptozotocin. In these mice, pancreatic insulin contents were only slightly increased (from 6 to 9 ng/mg pancreas), indicating that the therapeutic effect of hepatic ERK activation depends on the remaining β -cell mass. Thus, increments in pancreatic insulin contents appear to be attributable to self-duplication of pre-existing β -cells. There is controversy about which mechanism, proliferation of pre-existing β -cells^{1,19} or the production of new β -cells from progenitor cells,²⁰ plays the major role in regulating β -cell mass under both physiological and pathological conditions. We have provided an example, supporting the notion that proliferation of pre-existing β -cells contributes to β -cell increments, at least under one major pathological condition, obesity-related insulin resistance.

We would like to emphasize the potential therapeutic application of this inter-organ communication to insulin-deficient diabetes. Type 1 diabetes mellitus is characterized by progressive loss of β -cells, leading to a life-long dependence on insulin treatments. Recently, β -cells were also reported to be decreased in type 2 diabetes.²¹ In such patients, one potential underlying mechanism is β -cell apoptosis induced by endoplasmic reticulum (ER) stress.²²⁻²⁴ In this study, we succeeded in improving both types of insulin-deficient