

ceptors. Nakajima et al. [36] reported that the number of IFN receptors on peripheral blood mononuclear cells in patients with chronic hepatitis B decreased to about 50% of the baseline with a 5-fold increase in 2',5'-oligoadenylate synthetase activity when the patients were treated with IFN for 2 or 4 weeks. These results suggest that the downregulation of the IFN receptor is not always associated with a decrease in the action of IFN. We chronologically examined the relationship between the antiproliferative effect and the expression of the IFNAR-2 subunit in HAK-1B cells up to 240 h after the addition of PEG-IFN- $\alpha$ 2b. The expression of the IFNAR-2 subunit was significantly downregulated at 3 h compared with the control and then significantly upregulated at 48 h. Expression decreased in a time-dependent manner after 72 h, and the viable cell number continuously decreased over time [17]. Similarly, IFNAR-2 expression in the tumor was lower in mice that received PEG-IFN- $\alpha$ 2b than in mice that received IFN- $\alpha$ 2b or in control mice as a result of the long-term continuous action of PEG-IFN- $\alpha$ 2b, but, in fact, the tumor size was smaller in mice that received PEG-IFN- $\alpha$ 2b than in mice that received IFN- $\alpha$ 2b or in control mice. The results suggest that, at least for the HCC cell line, HAK-1B, the IFNAR-2 subunit is downregulated, but an efficient antiproliferative effect is induced with continuous contact with PEG-IFN- $\alpha$ 2b in vitro and in vivo.

#### **Growth-Inhibitory Effects and Mechanism of Action of Combined IFN- $\alpha$ and 5-FU Treatment in Human Liver Cancer Cells in vitro**

Alterations in cell cycle progression via upregulation of p27<sup>kip1</sup> [37] or cyclin A [22], induction of apoptosis by downregulation of Bcl-x1 [38], modulation of the immune response via the TRAIL/TRAIL-R pathway [39] and Fas/Fas ligand pathway [40], and alteration of 5-FU metabolism (e.g., increase of 5-fluoro-2'-deoxyuridine-5'-monophosphate and decrease of thymidylate synthase) have been reported as the mechanism of synergistic antitumor action of the combined IFN- $\alpha$  and 5-FU treatment in HCC. We examined the growth-inhibitory effects of the combined IFN- $\alpha$  and 5-FU treatment in 6 HCC cell lines in vitro by using isobologram analysis and found that the cell lines could be divided into two groups: the S group (3 cell lines) showing synergistic effects and the A group (3 cell lines) showing additive effects. In addition, mRNA and protein expressions of type I IFN receptor subunits, IFNAR-1 and IFNAR-2, were specifically upregulated by

5-FU in all cell lines of the S group except IFNAR-2 in one cell line, but not in those of the A group. IFN- $\alpha$  modulated the protein expression levels of six enzymes (thymidylate synthase, dihydropyrimidine dehydrogenase, orotate phosphoribosyl transferase, thymidine phosphorylase, uridine phosphorylase, and thymidine kinase) regulating sensitivity to 5-FU, but none of them were altered in the same way in cells in the S or A group. We concluded that the 5-FU-induced modulation of type I IFN receptor expression, at least in part, contributes to the induction of synergistic effects of combined IFN- $\alpha$  and 5-FU therapy.

#### **Conclusions**

We show that (1) almost all liver cancer cell lines express type I IFN receptor, (2) each IFN- $\alpha$  preparation or subtype presents very different antiproliferative activities in different human liver cancer cell lines, (3) a common mechanism of in vitro growth suppression by type I IFN is cell cycle arrest with or without caspase-dependent apoptosis induction, and (4) the mechanism of in vivo growth inhibition by type I IFN is the induction of apoptosis with or without the inhibition of angiogenesis. These lines of evidence suggest that the direct antiproliferative action of type I IFN may be involved in the suppressive mechanisms of type I IFN on hepatocellular carcinogenesis. In addition, we would expect pegylated IFN- $\alpha$  preparations to produce more potent effects in the prevention and treatment of HCC than do nonpegylated IFN preparations.

#### **Disclosure Statement**

The author declares that he has no financial conflict of interest.

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## 肝癌の発生・進展とインターフェロンによる制御

矢野 博久

## Development and progression of hepatocellular carcinoma and chemoprevention of HCC by interferon

Hirohisa Yano

## Abstract

Most early hepatocellular carcinomas (HCCs) are well differentiated, with an ill-defined nodular appearance. When a well-differentiated HCC reaches a size of about 1.5 cm in diameter, less-differentiated cancerous tissues with greater proliferative activity evolve within it. Clonally-related HCC cell lines (HAK-1A and HAK-1B) established from a single HCC nodule with a variety in the histological grade suggest that less-differentiated cancerous tissues develop from clonal dedifferentiation of well-differentiated HCC tissues. Subsequently, moderately to poorly differentiated HCC tissues gradually replace the initial surrounding HCC.

HCC frequently occurs multicentrically whether synchronously or metachronously, defying complete cure by conventional therapies; therefore, chemoprevention of HCC is very important. Interferon (IFN)- $\alpha$  has been used for the treatment of chronic viral liver diseases to eradicate virus. Recently, IFN- $\alpha$  has been shown to possess highly suppressive effects on hepatocellular carcinogenesis. We found that type I IFN preparations inhibit the growth of 13 liver cancer cell lines at various degrees *in vitro* and *in vivo*, and the clinical dose of IFN preparations was effective *in vivo*. The data suggest that IFNs may inhibit the growth of clinically undetectable HCC cells and prevent or delay the development of HCC in patients with chronic viral liver diseases.

**Key words:** hepatocellular carcinoma, dedifferentiation, interferon, chemoprevention

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## 緒言

本稿では、肝細胞癌（肝癌）の発生と進展に関する病理形態学的研究、肝癌の脱分化機構やインターフェロン（IFN）の肝癌細胞に対する増殖抑制作用に関する培養肝癌細胞を用いた分子・実験病理学的研究について我々の施設で集積してきたデータの一部を紹介する。

### I. 肝癌の発生：早期肝癌の特徴

肝癌の多くは、ウイルス性慢性肝炎・肝硬変を背景に発生する。腫瘍径2cm前後までの小さな肝癌は、肉眼的に単純結節型と境界不明瞭型に大別される<sup>1)</sup>。単純結節型は明瞭な結節を形成し、その約60%に被膜形成と中分化型肝癌組織が認められ古典的な肝癌の像を呈する<sup>2)</sup>。一方、境界不明瞭型は単純結節型よりやや腫瘍径が小さいものが多く（1cm前後）、癌結節が不明瞭で被膜形成は認められず、脈管侵襲も転移も示さず、高分化型肝癌組織のみから構成される<sup>3)</sup>。「原発性肝癌取扱い規約、第4版」では小結節境界不明瞭型を「早期肝細胞癌」と定義している<sup>1)</sup>。この境界不明瞭型肝癌は、細胞異型・構造異型の比較的乏しいため、境界病変（前癌病変）といわれている異型腺腫様過形成（high grade dysplastic nodule）との鑑別が問題となることがあるが、その際には結節内部の門脈域への癌細胞の浸潤像の有無が鑑別の指標となる。浸潤があれば高分化癌、なければ異型腺腫様過形成と診断される<sup>2)</sup>。

### II. 肝癌の進展：組織多彩性の出現と高分化型肝癌細胞の脱分化

腫瘍径が1cm前後の境界不明瞭型肝癌が高分化型肝癌組織のみから構成されるのに対し、腫瘍径2～3cmの癌結節は約40%に分化度の異なる癌組織が混在し組織多彩性が認められる<sup>3)</sup>。この場合、分化度の低い癌組織が結節の内側に、高分化な癌組織が外側に位置している。腫瘍径の増大とともに外側に位置している高分化型肝癌組織は面積を減じ、3cmを超えるようになると中分化あるいは低分化型癌組織で置換される。このような形態推移の定型的なものは“nodule-in-nodule”像として肉眼的、画像的に認められる<sup>3)</sup>。我々は、中心部が低分化型肝癌で、周囲が脂肪化を伴う、あるいは伴わない高分化型肝

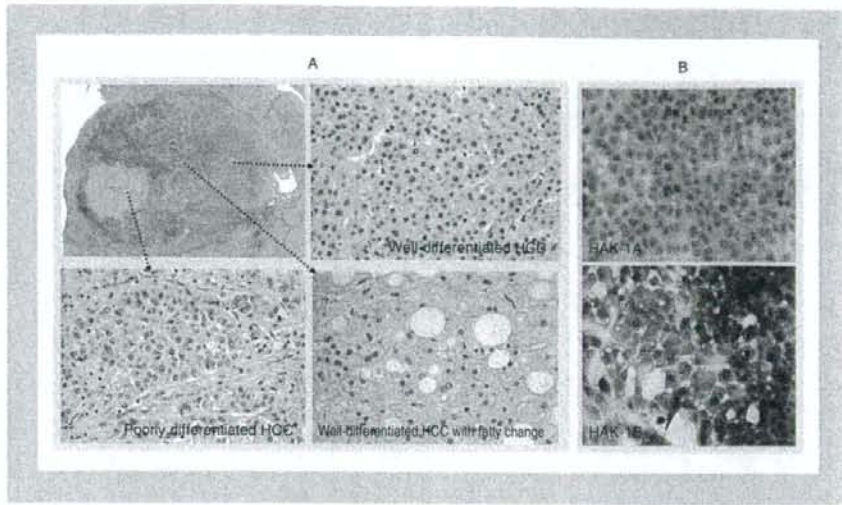
癌からなり“nodule-in-nodule”像を呈する肝癌結節から高分化型肝癌細胞株（HAK-1A）と低分化型肝癌細胞株（HAK-1B）の分離樹立に成功した（Fig. 1）<sup>4)</sup>。HAK-1Bは、HAK-1Aに比べ形態的に低分化で、生物学的悪性度も高い。しかし、これら2つの細胞株には、p53遺伝子の242番目のコドンに共通の変異を認めることから、2つの細胞株が同一起源であり、おそらく、低分化型癌細胞が高分化型癌細胞の脱分化に由来することが示唆される。このように肝癌は、高分化型癌として発生するが、高分化型肝癌細胞の脱分化により、より低分化で悪性度の高い細胞が発生することが肝癌の進展に深く関係する可能性が示唆される<sup>3)</sup>（Fig. 2）。

### III. 肝癌の多中心性発生とIFNによる発生・再発抑制

筆者らの施設で実施された切除肝癌の検討では、肝硬変を背景に肝癌を発症した場合、約35%の症例で同時性多中心性発生が認められ、また、2cm以下の肝癌を発症し切除治療を受けた症例で、術後5年までに異時性多中心性発生を認めた症例は約60%に上ることが判明した<sup>5)</sup>。多中心性発生は肝癌の1つの特徴であるが、このような患者は、背景病変にC型慢性肝炎や肝硬変を有するものが多い。このような患者にIFNを投与することにより、肝機能の改善や肝癌発症率の低下が誘導されることが報告されている<sup>6)~8)</sup>。また、同様に肝癌の切除術後の再発防止に対するIFN投与の有用性も報告されている<sup>9)</sup>。しかしながら、このようなIFNの発癌抑制の機序はまだまだ十分に解明されていない。IFNには細胞増殖抑制作用があることから、肝癌細胞に対して直接的に作用して、発癌抑制や抗癌作用を示している可能性も考えられる。そこで、我々は、培養肝癌細胞を使用しIFNの肝癌細胞に対する直接的作用の検討を行った。

### IV. IFN- $\alpha$ 製剤及びIFN- $\beta$ 製剤の肝癌細胞株に対する*in vitro*の増殖抑制作用と増殖抑制機序

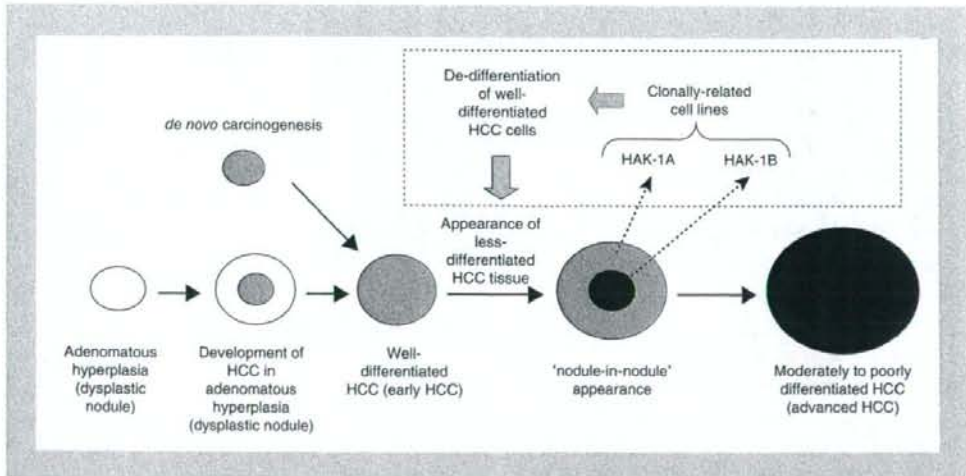
現在我が国において臨床的に使用されているI型IFN製剤には、天然型には、リンパ芽球由来の天然型IFN- $\alpha$ （オーアイエフ<sup>®</sup>）や線維芽細胞由来の天然型IFN- $\beta$ （フェロン<sup>®</sup>）がある。遺伝子組換え型のIFNとしては、IFN-



**Figure 1**

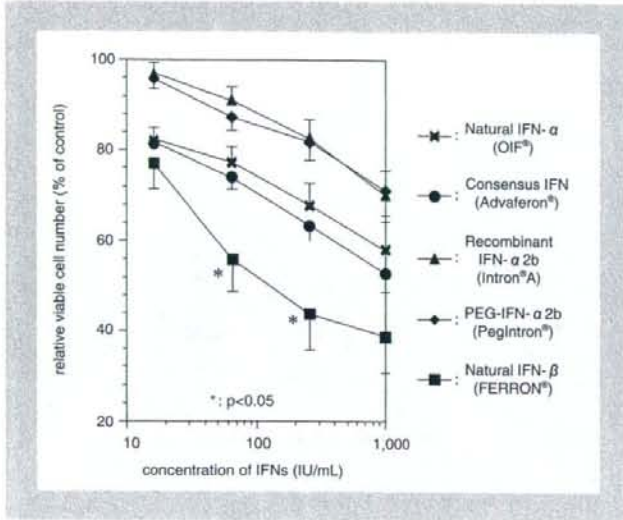
A: upper left, low-power photomicrograph showing the distinct three-layered pattern of the tumor and suggesting the presence of different histological features in each layer (Azan staining); upper right, cells in the outer layer show well-differentiated hepatocellular carcinoma (HCC) (H&E stain,  $\times 100$ ); lower left, cells in the inner layer show poorly differentiated HCC (H&E stain,  $\times 100$ ); lower right, cells in the intermediate layer show well-differentiated HCC with fatty change (H&E stain,  $\times 100$ ).

B: op, HAK-1A cells showing cobble stone-like arrangement of monomorphic cells; bottom, HAK-1B cells showing relatively large pleomorphic cells.



**Figure 2**

Development and progression of hepatocellular carcinoma (HCC). HCC is well differentiated and vaguely nodular in the early stage, and develops by dedifferentiation in a multistep fashion. Dedifferentiation of well-differentiated HCC cells produces less-differentiated and biologically aggressive HCC cells.



**Figure 3**

Antiproliferative effects of type I interferon (IFN) preparations, including natural IFN- $\alpha$ , consensus IFN, recombinant IFN- $\alpha$ 2b, PEG-IFN- $\alpha$ 2b, and natural IFN- $\beta$ . Thirteen liver cancer cell lines were cultured with or without culture medium containing 10-1,024 IU/mL of one of the 5 type I IFN preparations, and relative viable cell numbers (% of control) were examined. Average of relative viable cell numbers was assessed for each type I preparation and plotted. Antiproliferative effect was strongest in IFN- $\beta$ , followed by consensus IFN, natural IFN- $\alpha$ , and IFN- $\alpha$ 2b or PEG-IFN- $\alpha$ 2b.

$\alpha$ 2b (イントロン®A) や、特殊なものとして、IFN- $\alpha$  の13種類のサブタイプ遺伝子のそれぞれのアミノ酸配列について、各位置で最も出現頻度が高いアミノ酸を選択することによりアミノ酸配列を決定し、人工的に作製したコンセンサスIFN (rIFN- $\alpha$  Con1, アドバフェロン®) がある。最近開発されたIFNとしては、遺伝子組換え型IFN- $\alpha$  にメトキシポリエチレングリコール (PEG) を結合させることにより生物学的半減期を延長させ、少ない投与回数で高い効果が期待可能なPEG-IFN- $\alpha$ 2b (ペグイントロン®) やPEG-IFN- $\alpha$ 2a (ベガシス®) がある。これらのIFNのなかからPEG-IFN- $\alpha$ 2aを除く4種類のIFN- $\alpha$  製剤と、1種類のIFN- $\beta$  製剤を用いて13種類の肝癌細胞株に対する増殖抑制作用について比較検討を行った。1,024 IU/mLの各種IFN添加培地で96時間培養後、IFN非添加培養 (コントロール) と比べ生細胞数の割合が50%以下まで低下した細胞株の数は、ヒト天然型IFN- $\alpha$  では5株<sup>10)</sup>、コンセンサスIFNでは7株<sup>11)</sup>、IFN- $\alpha$ 2bとPEG-IFN- $\alpha$ 2bではいずれも2株<sup>12)</sup>、天然型IFN- $\beta$  では10株であった<sup>13)</sup>。IFN製剤別の増殖抑制作用を13株の平均値と比較すると、天然型IFN- $\beta$ 、コンセンサスIFN、天然型IFN- $\alpha$ 、PEG-IFN- $\alpha$ 2b・IFN- $\alpha$ 2bの順に強い作用を認めた (Fig. 3)。特に、天然型IFN- $\beta$  では、

経時的に増殖抑制作用が増大し、接触96時間後では、低濃度でも比較的強い増殖抑制効果が見られた。

各種IFNを肝癌細胞の培地に添加し、48~72時間培養し細胞形態を観察すると細胞質の縮小や核の濃縮、核の断片化など、アポトーシスに特徴的な細胞像の出現が認められた。アポトーシス誘導は、使用したIFNの種類、濃度、そして細胞株により差を認めるものの、最低でも13株中10株で認められた<sup>10)~13)</sup>。IFN- $\alpha$  誘導性アポトーシスでは、caspase-9、-8、-7、-3の活性化とともにcytochrome cやSmac/DIABLOのミトコンドリアから細胞質への放出が見られ、ミトコンドリア系のアポトーシス誘導経路の関与が示唆されるが<sup>14)</sup>、TRAILやTRAIL-R1、-R2などの発現亢進も見られており (未発表データ)、デスリガンド-デスレセプターを介した経路の関与も考えられ、今後更なる検討が必要である。アポトーシス誘導以外の増殖抑制の機序としてすべての細胞株で細胞周期の進行停止誘導が認められ、S期での停止誘導が11株、G<sub>2</sub>/M期での停止誘導が1株、G<sub>1</sub>期での停止誘導が1株で認められた<sup>10)~12)</sup>。

V. IFN- $\alpha$  製剤及びIFN- $\beta$  製剤の  
肝癌細胞株に対する *in vivo* の  
増殖抑制作用と増殖抑制機序

肝細胞癌細胞株HAK-1B<sup>1)</sup>をヌードマウスの皮下に接種し、約1週間後5~10mmの腫瘍径の腫瘍が形成された時点から、各種IFN製剤を投与し *in vivo* における増殖抑制作用の検討を行った。天然型IFN- $\alpha$ は、C型慢性肝炎患者の治療に使用される投与量にほぼ相当する量(臨床量)(4,000 IU/mouse,  $2.0 \times 10^5$  IU/kg)、その10倍量あるいは100倍量を14日間連日マウスの皮下に接種し、腫瘍の経時的な推定体積や、15日目に摘出された腫瘍の組織像を比較検討した。コンセンサスIFN- $\alpha$ は、臨床量の約1.4倍量(0.01  $\mu$ g/mouse, 0.5  $\mu$ g/kg)、その10倍量、100倍量を同様に投与し、天然型IFN- $\beta$ は、臨床量の約半量(1,000 IU/mouse,  $5.0 \times 10^4$  IU/kg)、その10倍量、100倍量を腹腔内に投与し同様に検討した。その結果、14日目の腫瘍体積は、最小量のIFN投与によりIFNを投与

しなかったマウス(コントロール)に比べ、天然型IFNで30%前後(未発表データ)、コンセンサスIFN- $\alpha$ で40%前後<sup>1)</sup>、天然型IFN- $\beta$ で15%前後<sup>12)</sup>減少した。このように、臨床量前後のIFN製剤の投与は、*in vivo*において肝癌細胞の増殖を抑制した。IFNを投与されたマウスの腫瘍組織では、IFNの濃度依存性に肝癌細胞のアポトーシス数の増加を認め、コンセンサスIFN- $\alpha$ を投与されたマウスの腫瘍では、腫瘍内血管の減少も認められた。

PEG-IFN- $\alpha$  2bは、前述のごとくPEG化により吸収・排泄速度が低下し、通常のIFNに比べ生物学的半減期が数倍延長する結果、長時間IFN- $\alpha$  2bの血液濃度が維持されるという特徴を有する。臨床量の1/3量(640 IU/mouse,  $3.2 \times 10^4$  IU/kg)、その10倍量、100倍量、1,000倍量を1週間に2回、合計4回皮下に投与し腫瘍の経時的な推定体積や、15日目に摘出された腫瘍の重量や組織像を比較した。その結果、臨床量の1/3量の投与でコントロールに比べ約50%前後の腫瘍の体積及び重量の減少が認められた<sup>12)</sup>(Fig. 4A)。増殖抑制機序としては、アポトー

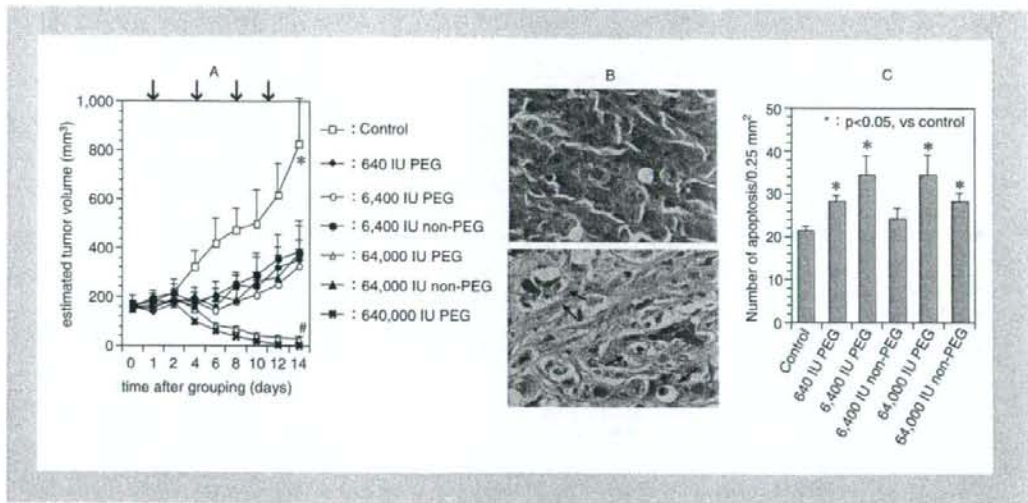


Figure 4

A: Time-course change in estimated tumor volumes of subcutaneously transplanted human hepatocellular carcinoma (HCC) tumors in nude mice. The mice received a subcutaneous injection of 640, 6,400, 64,000, or 640,000 IU of PEG-IFN- $\alpha$ 2b, or 6400 or 64,000 IU of IFN- $\alpha$ 2b, or medium alone (control). The arrows show the days of injection. \*:  $p < 0.001$ , vs the other groups, #:  $p < 0.0001$ , vs IFN- $\alpha$ 2b (64,000 IU).

B: Photomicrograph of subcutaneous human HCC tumor in nude mice. Top, a control mouse that received culture medium alone; bottom, a mouse that received a subcutaneous injection of 6,400 IU PEG-IFN- $\alpha$ 2b, showing some apoptotic tumor cells (arrows).

C: The numbers of apoptotic tumor cells in subcutaneous human HCC tumors in nude mice that received 6,400 IU or 64,000 IU of IFN- $\alpha$ 2b, or 640, 6,400, or 64,000 IU of PEG-IFN- $\alpha$ 2b, or medium alone (control).



シスの誘導を認めたが、血管新生抑制は確認できなかった (Fig. 4B)。PEG-IFN- $\alpha$  2bと同じ活性 (IU) のIFN- $\alpha$  2bを同様の方法で投与し、抗腫瘍作用をPEG-IFN- $\alpha$  2bを投与した場合と比較すると、PEG-IFN- $\alpha$  2bを投与した方が、腫瘍のアポトーシス誘導は高度であり、有意により強い抗腫瘍作用を認めた<sup>12)</sup> (Fig. 4C)。In vitroでは、PEG-IFN- $\alpha$  2bはIFN- $\alpha$  2bと同程度に増殖抑制効果が最も低いIFN- $\alpha$  製剤であったが、PEG化により長時間血中IFN- $\alpha$  2bの濃度が維持されたことにより、肝癌細胞に持続的に作用し、非PEG化IFN- $\alpha$  2bや他のIFN- $\alpha$  製剤より強い増殖抑制作用を発揮したと推察される。

## 結 語

肝癌の発生・進展に関して、肝癌の多くは、ウイルス性慢性肝炎・肝硬変を背景に高分化型肝癌として発生するが、腫瘍径の増大とともに増殖活性の高いより低分化な癌組織が高分化型癌細胞の脱分化により発生・増殖し、その結果、進行肝癌は、中・低分化型癌組織のみで占められるようになる。肝癌は、再発や多中心性発生を示す頻度も高いが、IFN製剤の投与はこれらを有意に抑制する。今回紹介したIFN製剤が肝癌細胞に対しアポトーシスなどを誘導し直接的に抗腫瘍作用を発揮するという実験結果は、IFN製剤による肝発癌抑制機序の1つとして、ごく初期のまだ不顕性な段階の肝癌細胞に対する直接的な増殖抑制作用が発癌抑制に寄与している可能性を支持している。

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## Growth inhibitory effects of pegylated IFN- $\alpha$ 2b and 5-fluorouracil in combination on renal cell carcinoma cell lines *in vitro* and *in vivo*

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**Abstract.** We investigated the effects of pegylated IFN- $\alpha$ 2b (PEG-IFN- $\alpha$ 2b) alone and PEG-IFN- $\alpha$ 2b plus 5-fluorouracil (5-FU) *in vitro* on the proliferation of renal cell carcinoma (RCC) cell lines. After the transplantation of RCC cells into nude mice, we administered IFN (PEG-IFN- $\alpha$ 2b or IFN- $\alpha$ 2b) alone, 5-FU alone, or IFN (PEG-IFN- $\alpha$ 2b or IFN- $\alpha$ 2b) plus 5-FU; and investigated tumor volume, tumor weight, the numbers of apoptotic cells and artery-like blood vessels, relative mRNA expression levels of enzymes which relate to 5-FU metabolism, angiogenesis factor, and type I interferon receptor. RCC cells *in vitro* were generally and relatively resistant to the anti-proliferative effects of PEG-IFN- $\alpha$ 2b, but the addition of 5-FU augmented IFN-induced anti-proliferative effects with the induction of apoptosis. PEG-IFN- $\alpha$ 2b *in vivo* presented stronger anti-tumor effects than IFN- $\alpha$ 2b, and its combination with 5-FU augmented the effects. The significant anti-tumor effect of the combination treatment was the increase in apoptotic cell number, but there were no significant differences in the suppression of angiogenesis, expression of IFN receptor, and the actions of metabolic enzymes of 5-FU. In conclusion, PEG-IFN- $\alpha$ 2b presents stronger anti-tumor effects than non-pegylated IFN, and the effects are augmented in the combination with 5-FU. Our findings suggest the clinical usefulness of PEG-IFN- $\alpha$ 2b in the treatment of RCC.

### Introduction

Renal cell carcinoma (RCC) is highly resistant to conventional chemotherapy. The objective response rate is 6-9% for vinblastine and 5-8% for 5-fluorouracil (5-FU) (1). The response rates of treatment regimens using interleukin-2 are 6-31% (2), and the therapeutic response rates of interferon (IFN)- $\alpha$  are 4-33% in patients with metastatic RCC (3). The response rates of immunochemical therapies that utilize chemotherapeutic agents with IFN- $\alpha$  or interleukin-2 range between 8 and 39% (4). Immunochemical therapy is the best treatment for advanced RCC, but potential synergetic effects of the medicines as well as their mechanisms remain to be elucidated.

Wadler and Wienik (5) for the first time proposed a combination therapy of IFN- $\alpha$  and 5-FU in 1988 in their study using colon cancer cell lines. Later, this combination therapy was applied to various types of human malignancies including RCC and hepatocellular carcinoma (HCC). 5-FU has two major anti-tumor mechanisms: one involves its active metabolite 5-fluoro-2'-deoxyuridine-5'-monophosphate (FdUMP), inhibiting the activity of thymidylate synthase (TS) and consequently DNA synthesis; the other is related to the incorporation of 5-FU metabolite into RNA and DNA, thereby disrupting normal RNA processing and function. The sensitivity of cancer cells to 5-FU is often influenced by the enzymes affecting 5-FU metabolism, including TS, dihydropyrimidine dehydrogenase (DPD), orotate phosphoribosyl transferase (OPRT), thymidine phosphorylase (TP), uridine phosphorylase (UP) and thymidine kinase (TK).

PEG-IFN- $\alpha$ 2b, a new interferon, is a covalent conjugate of recombinant IFN- $\alpha$ 2b with monomethoxy polyethylene glycol (PEG) in a 1:1 molar ratio that produces a 31,000-Da molecule (6). PEG conjugation increases the size of the molecule, therefore, the absorption of the pegylated molecule is slower, its serum half-life is longer, and its rate of clearance from the plasma is lower than that of the unmodified molecule. PEG-IFN- $\alpha$ 2b thereby increases patient exposure

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**Key words:** renal cell carcinoma, pegylated interferon- $\alpha$ 2b, 5-fluorouracil, combination therapy, apoptosis

to IFN- $\alpha$ 2b and requires less frequent administration (6). Clinical trials in chronic hepatitis C patients have suggested that PEG-IFN- $\alpha$  preparations produce more potent therapeutic effects than IFN- $\alpha$  preparations (6-10). Yano *et al.* (11) examined the *in vitro* and *in vivo* anti-tumor effects of PEG- and non-PEG-IFN- $\alpha$ 2b on human liver cancer cells, and they reported that the anti-tumor effect of PEG-IFN- $\alpha$ 2b was significantly more potent than that of non-PEG-IFN- $\alpha$ 2b. In addition, Motzer *et al.* (12) conducted a phase I study of PEG-IFN- $\alpha$ 2b on advanced renal cancer patients, and reported that partial response was obtained in 5 (19%) patients. Yet, there have been few basic studies evaluating the efficacy of PEG-IFN- $\alpha$ 2b on RCC *in vitro* and *in vivo*.

Our current study examined the *in vitro* and *in vivo* anti-tumor effects of PEG-IFN- $\alpha$ b, IFN- $\alpha$ 2b, 5-FU, on RCC cell lines, using PEG-IFN- $\alpha$ 2b concentrations close to the clinical dosage. We also examined the effects of the therapies on apoptotic cells, artery-like blood vessels, the enzymes affecting 5-FU metabolism, vascular endothelial growth factor (VEGF), and type I IFN receptor subunits in human RCC tumors which were developed in nude mice.

#### Materials and methods

**Cell lines and cell culture.** This study used 8 human RCC cell lines. KRC/Y (13) was established in our laboratory. KUR11 and KURM were donated by Professor K. Itoh of the Department of Immunology at our University. Caki-1, Caki-2, and ACHN were purchased from American Type Culture Collection. VMRC-RCW was purchased from Japan Health Sciences Foundation. OS-RC-2 was purchased from Riken Cell Bank (Tsukuba, Japan).

Culture medium for KRC/Y consisted of Dulbecco's modified Eagle's medium (Nissui Seiyaku Co., Tokyo, Japan) supplemented with heat-inactivated (56°C, 30 min) 5% fetal bovine serum (FBS, Bioserum, Vic, Australia), 100 U/ml penicillin and 100  $\mu$ g/ml streptomycin (Gibco BRL/Life Technologies Inc., Gaithersburg, MD). Culture medium for Caki-1, Caki-2, VMRC-RCW and ACHN consisted of modified Eagle's medium (Gibco); the medium for KUR11, KURM and OS-RC-2 consisted of RPMI-1640; and each medium was supplemented with 10% FBS, 100 U/ml penicillin and 100  $\mu$ g/ml streptomycin. Cells were cultured in an atmosphere of 5% CO<sub>2</sub> in air at 37°C. 5-FU was purchased from Kyowa Hakko K.K. (Tokyo, Japan).

**IFN and reagents.** PEG-IFN- $\alpha$ 2b (PEG Intron®) and IFN- $\alpha$ 2b (Intron® A) were provided by Schering-Plough K.K. (Osaka, Japan). The specific activity of PEG-IFN- $\alpha$ 2b was 6.4x10<sup>7</sup> IU/mg protein and that of IFN- $\alpha$ 2b was 2.6x10<sup>8</sup> IU/mg protein.

Rat antibody against mouse endothelial cells (anti-CD34, clone MEC14.7) was purchased from Serotec Co., Oxford, UK; and mouse monoclonal antibody against human  $\alpha$ -smooth muscle actin (SMA) that cross-reacts with mouse  $\alpha$ -SMA (clone 1A4), from Immunon (Pittsburgh, PA).

**Effects of PEG-IFN- $\alpha$ 2b on the proliferation of RCC cell lines *in vitro*.** The effects of PEG-IFN- $\alpha$ 2b or 5-FU on cell

proliferation were examined in colorimetric assays by using 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) cell growth assay kits (Chemicon International Inc.) as described elsewhere (14). Briefly, the RCC cells (1.5-5x10<sup>3</sup> cells per well) were seeded on 96-well plates (Nunc Inc., Roskilde, Denmark), cultured for 24 h, and the culture medium was changed to a new medium with or without PEG-IFN- $\alpha$ 2b (8, 32, 128, 512 or 2,048 IU/ml). After culturing for 24, 48, 72 or 96 h, the number of viable cells was measured with ImmunoMini NJ-2300 (Nalge Nunc International, Tokyo, Japan) by setting the test wavelength to 570 nm and the reference wavelength to 630 nm. To keep the optical density within linear range, all experiments were performed when the cells were in the logarithmic growth phase. The effects of IFN- $\alpha$ 2b on the growth of VMRC-RCW cells were also examined in the same manner.

**Effects of combination therapy of PEG-IFN- $\alpha$ 2b and 5-FU on the proliferation of RCC cell lines *in vitro*.** RCC cells (VMRC-RCW, 3,000 cells/well) were seeded on 96-well plates (Nunc Inc.), cultured for 24 h, and then the culture medium was changed to a new medium containing PEG-IFN- $\alpha$ 2b alone (0, 160, 317, 625, 1,250, 2,500, 5,000 or 10,000 IU/ml); 5-FU alone (0, 0.6, 1.25, 2.5, 5 or 10  $\mu$ M); or both 5-FU (0, 0.6, 1.25, 2.5, 5, 10  $\mu$ M) and PEG-IFN- $\alpha$ 2b (0, 160, 317, 625, 1,250, 2,500, 5,000 or 10,000 IU/ml). After 96 h of culture, the number of viable cells was examined by MTT assay as described above.

The synergy of cooperative cytotoxicity was determined by the median-effect principle as described by Chou and Talalay (15). Data from each sample were analyzed by using CalcuSyn ver. 2 (Biosoft, Cambridge, UK).

**Morphological observation.** For morphological observation by light microscopy, 8 RCC cell lines were seeded on Lab-Tek tissue culture chamber slides (Nunc Inc.), cultured with or without PEG-IFN- $\alpha$ 2b (1,024, 4,098 or 8,192 IU/ml) for 72 h, fixed for 30 min in Carnoy's solution, and stained with hematoxylin and eosin (H&E).

In another experiment, one RCC cell line (VMRC-RCW, 8,000 cells/chamber) was seeded on Lab-Tek tissue culture chamber slides (Nunc Inc.), cultured with PEG-IFN- $\alpha$ 2b alone (0, 160, 317, 625, 1,250, 2,500, 5,000 IU/ml); 5-FU alone (0, 0.6, 1.25, 2.5, 5.0  $\mu$ M); PEG-IFN- $\alpha$ 2b (0, 160, 317, 625, 1,250, 2,500, 5,000 IU/ml) plus 5-FU (0, 0.6, 1.25, 2.5, 5.0  $\mu$ M), or PBS, for 72 h, fixed for 30 min in Carnoy's solution and H&E stained.

**Effects of PEG-IFN- $\alpha$ 2b and IFN- $\alpha$ 2b on RCC cell proliferation *in nude mice*.** Cultured VMRC-RCW cells (1.0x10<sup>7</sup> cells/mouse) were subcutaneously (*s.c.*) injected into the backs of 4-week-old female BALB/c athymic nude mice (n=62) (Clea Japan, Inc, Osaka, Japan). One week later when the largest diameter of the tumor reached ~10 mm (day 0), the mice were divided into 7 groups (n=8 or 9 each) in a manner to equalize the mean tumor diameter of each group. Each mouse received a subcutaneous injection of 0.1 ml of medium alone (control group), medium containing 640, 6,400, 64,000 or 640,000 IU of PEG-IFN- $\alpha$ 2b, or medium containing 640 or 6,400 IU of IFN- $\alpha$ 2b, twice a week for 2 consecutive weeks (on day 1, 4,

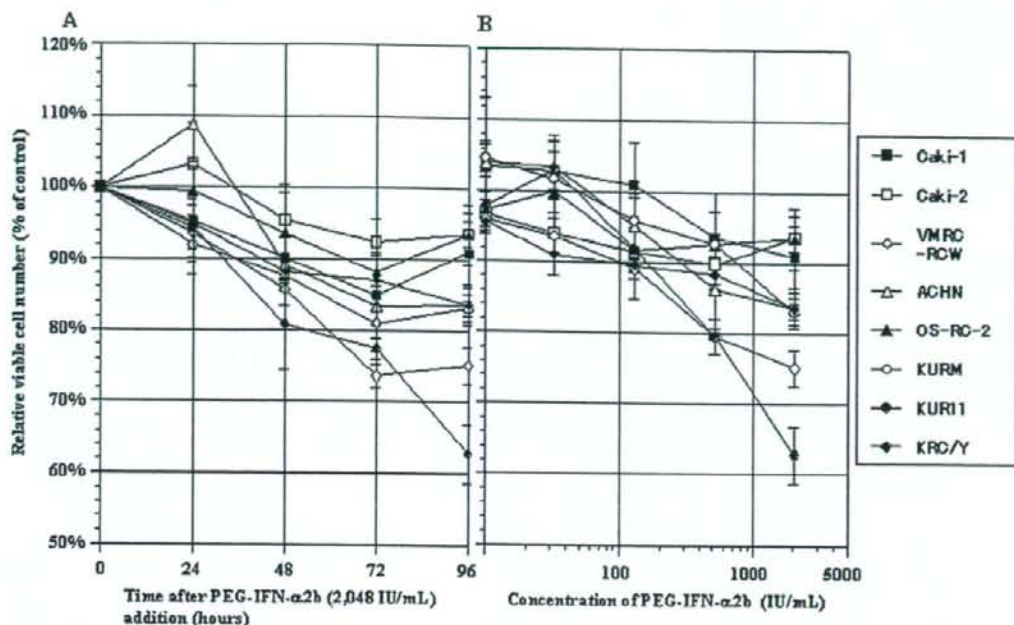


Figure 1. Anti-proliferative effects of PEG-IFN- $\alpha$ 2b. (A) Chronological changes in relative viable cell number (% of the control) after adding 2,048 IU/ml of PEG-IFN- $\alpha$ 2b. Growth was significantly suppressed over time in 2 cell lines (KUR11 and KRC/Y). (B) Ninety-six hours after adding 8, 32, 128, 512 or 2,048 IU/ml of PEG-IFN- $\alpha$ 2b. Cell proliferation was suppressed in a dose-dependent manner in 6 cell lines (all but Caki-2 and OS-RC-2). Eight samples were used in each experiment. The experiment was repeated at least three times for each cell line. The values represent the average  $\pm$  SE.

8 and 11). The clinical daily dose of IFN- $\alpha$ 2b for human RCC is  $600 \times 10^4$  IU/body ( $1.2 \times 10^5$  IU/kg), and this is approximately four times the lowest dose ( $3.2 \times 10^4$  IU/kg) used in this experiment. Tumor size was measured in two directions by using calipers on the first and second days of s.c. injection (day 1 and 2) and then once every 2 days until day 14, and tumor volume ( $\text{mm}^3$ ) was estimated by using the equation: Length  $\times$  (Width) $^2 \times 0.5$ . Mouse body weight was measured on day 0, 8 and 14. On day 15, all mice were sacrificed and the tumors were removed.

The animals received human care according to criteria outlined in the 'Guide for the Care and Use of Laboratory Animals' prepared by the National Academy of Sciences and published by the National Institutes of Health (NIH publication 86-23, revised 1985).

**Effects of combination therapy of PEG-IFN- $\alpha$ 2b and 5-FU on RCC cell proliferation in nude mice.** VMRC-RCW cells ( $7.5 \times 10^6$  cells/mouse) were subcutaneously injected into 4-week-old female BALB/c athymic nude mice ( $n=58$ ). The mice were divided into 7 groups ( $n=8$  or 9 each) on day 7 when tumor size reached  $\sim 10$  mm in diameter, and each group was assigned to one of the 7 treatments: i) PEG-IFN- $\alpha$ 2b alone (6,400 IU); ii) IFN- $\alpha$ 2b alone (6,400 IU); iii) low dose 5-FU alone (160  $\mu\text{g}$ ); iv) high dose 5-FU alone (320  $\mu\text{g}$ ); v) combination therapy of PEG-IFN- $\alpha$ 2b (6,400 IU) and low

dose 5-FU; vi) combination therapy of IFN- $\alpha$ 2b (6,400 IU) and low dose 5-FU; and (vii) control.

5-FU was administered intra-abdominally every day for 2 consecutive weeks. The dose of 5-FU (160  $\mu\text{g}$ /mouse, 8 mg/kg) is comparable to the clinical dose.

Tumor size measurement and IFN administration were performed in the same manner as described above. On day 15, all mice were sacrificed and each tumor was removed. After the tumor weight was measured, half of the obtained tumors were used for histological examination and the other half were used for quantitative real-time RT-PCR.

The number of cells showing characteristics of apoptosis such as cytoplasmic shrinkage, chromatin condensation and nuclear fragmentation was counted in ten  $0.25 \text{ mm}^2$  areas within an H&E-stained specimen, and the average number per area was obtained. The TUNEL technique (ApopTag<sup>®</sup> Peroxidase In Situ Apoptosis Detection Kits, Chemicon International, CA, USA) was also used to detect apoptotic cells. The average number of TUNEL-positive cells per area was obtained as described above.

**Immunohistochemistry.** Double immunohistochemical staining was performed by using anti-mouse endothelial cell (anti-CD34) antibody, anti-human  $\alpha$  smooth muscle actin ( $\alpha$ -SMA) antibody and histofine simple stain mouse Max-Po (Rat) kits (Nichirei, Tokyo, Japan) as described elsewhere (16). We

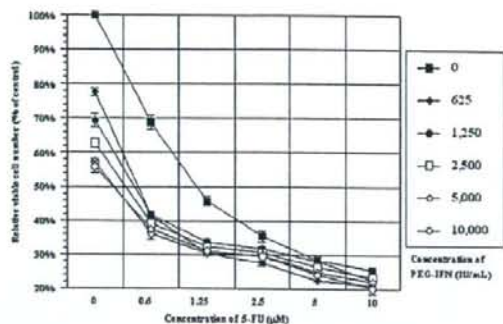


Figure 2. Anti-proliferative effects of the combination therapy of PEG-IFN- $\alpha$ 2b and 5-FU on VMRC-RCW cells in a 96-h culture. The relative viable cell number decreased dose-dependently. Two samples were used in each experiment. The experiment was repeated three times. The values represent the average  $\pm$  SE. PEG-IFN, PEG-IFN- $\alpha$ 2b.

calculated the number of artery-like blood vessels in the entire area of each section and obtained for each the mean number per  $\text{mm}^2$ .

**cDNA preparation and quantitative real-time RT-PCR.** Total RNA was extracted using RNA-Bee™ (Tel-Test, Inc., TX) and reverse transcribed using Superscript™ III First-Strand Synthesis System for RT-PCR (Invitrogen, CA) according to the manufacturer's instructions. Quantitative real-time RT-PCR was performed with an ABI PRISM 7300 (Applied Biosystems, Foster City, CA). We examined 6 enzymes related to 5-FU metabolism, i.e., TS, TP, DPD, OPRT, UP and

TK. The sequences of the primers and probes for the 6 enzymes are listed elsewhere (17). The sequences for VEGF were 5'-CCATGAACTTCTGCTGTCTTGG-3' as the forward primer, 5'-CTGCGCTGATAGACATCCATGA-3' as the reverse primer, and 5'-TGCTCTACCTCCACCATGC CAAGT-3' as the probe. The sequences of the primers and probes for VEGFR-1, IFNAR-1, IFNAR-2 and glyceraldehyde-3-phosphate dehydrogenase (GAPDH) were purchased from Applied Biosystems.

**Statistical analysis.** We used two-factorial ANOVA for the comparisons of tumor volume, tumor weight, number of apoptotic cells, number of artery-like blood vessels, and relative levels of mRNAs related to 5-FU metabolism.

## Results

**Effects of PEG-IFN- $\alpha$ 2b on the proliferation of RCC cell lines *in vitro*.** After adding 2,048 IU/ml of PEG-IFN- $\alpha$ 2b, the relative viable cell number of the cultured 8 cell lines was suppressed in a time-dependent manner until 72 h, but at 96 h, suppression was noted in only 2 cell lines (KRC/Y and KUR11). On the other hand, with different doses of PEG-IFN- $\alpha$ 2b, the relative viable cell number at 96 h was suppressed in the 6 cell lines, i.e., VMRC-RCW, KRC/Y, KURM, KUR11, ACHN and Caki-1. In the 8 cell lines, IC<sub>50</sub> was not reached for either time- and dose-dependent suppressions, but the most sensitive case was KUR11 with the dose of 2,048 IU/ml at 96 h, i.e., the relative viable cell number was 62.7% of the control (Fig. 1).

In the VMRC-RCW cell line, the anti-tumor effects of PEG-IFN- $\alpha$ 2b and IFN- $\alpha$ 2b were not markedly different.

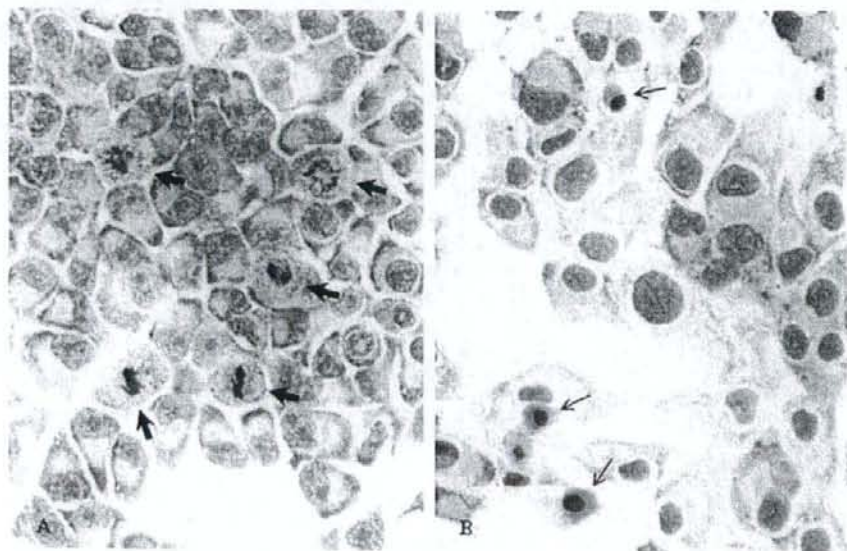


Figure 3. Photomicrograph of VMRC-RCW cells cultured for 72 h on a Lab-Tek Chamber Slide. (A) Without PEG-IFN- $\alpha$ 2b in culture medium. Mitotic figures (thick arrows) were noted. (B) With 2,500 IU/ml of PEG-IFN- $\alpha$ 2b and 2.5  $\mu$ M of 5-FU in culture medium. Apoptotic cells (thin arrows) characterized by cytoplasmic shrinkage and chromatic condensation were noted (H&E staining,  $\times$ 200).

Table I. Effects of PEG-IFN- $\alpha$ 2b and IFN- $\alpha$ 2b on RCC cell proliferation in nude mice.

Treatment group	Number	Tumor weight (g, mean $\pm$ SE)	Body weight (g, mean $\pm$ SE on day 15)
Control (culture medium)	9	1.835 $\pm$ 0.132	17.122 $\pm$ 0.362
IFN- $\alpha$ 2b (640 IU)	9	1.735 $\pm$ 0.177	16.089 $\pm$ 0.599
IFN- $\alpha$ 2b (6,400 IU)	9	1.455 $\pm$ 0.140	16.667 $\pm$ 0.420
PEG-IFN- $\alpha$ 2b (640 IU)	9	1.267 $\pm$ 0.072 <sup>a,c</sup>	16.156 $\pm$ 0.308
PEG-IFN- $\alpha$ 2b (6,400 IU)	9	1.160 $\pm$ 0.075 <sup>b</sup>	15.244 $\pm$ 0.313
PEG-IFN- $\alpha$ 2b (64,000 IU)	9	0.920 $\pm$ 0.126 <sup>b</sup>	16.922 $\pm$ 0.601
PEG-IFN- $\alpha$ 2b (640,000 IU)	8	0.444 $\pm$ 0.077 <sup>b</sup>	17.638 $\pm$ 0.717

Cultured VMRC-RCW cells were subcutaneously transplanted in each nude mouse ( $1.0 \times 10^7$ /mouse). Seven days later, when the largest diameter of the tumor reached  $\sim 10$  mm, mice were treated twice per week with s.c. injection of PEG-IFN- $\alpha$ 2b, IFN- $\alpha$ 2b, or culture medium. All mice were sacrificed on day 15. <sup>a</sup> $p < 0.01$  and <sup>b</sup> $p < 0.001$  vs. control; <sup>c</sup> $p < 0.05$  vs. the same concentration of IFN.

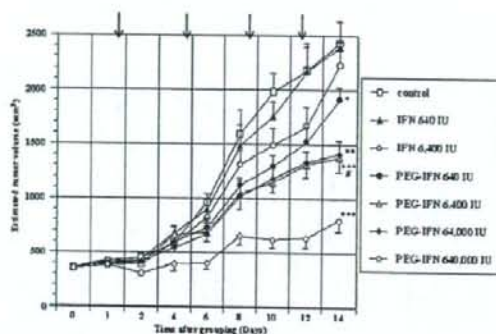


Figure 4. Chronological changes in the estimated volume of subcutaneously transplanted RCC tumors (VMRC-RCW cells,  $1.0 \times 10^7$ ) in nude mice according to the treatment dose. Seven days after the transplantation, when the largest tumor diameter reached  $\sim 10$  mm (day 0), mice were divided into 7 groups (n=8 or 9, each). The arrows show the days of treatment. <sup>a</sup> $p < 0.05$ , <sup>\*\*</sup> $p < 0.01$  and <sup>\*\*\*</sup> $p < 0.001$  vs. control; <sup>b</sup> $p < 0.01$  vs. the same dose of IFN- $\alpha$ 2b (6,400 IU). The values represent the average  $\pm$  SE. PEG-IFN, PEG-IFN- $\alpha$ 2b.

**Effects of the combination treatment of PEG-IFN- $\alpha$ 2b and 5-FU on the growth of the VMRC-RCW cell line in vitro.** Without 5-FU, the relative viable cell number did not decrease to 50% or lower of the control even when the highest dose of PEG-IFN- $\alpha$ 2b (5,000 IU/ml) was added to the culture. When 5-FU (0.6  $\mu$ M) was used in combination, the relative viable cell number was suppressed to 41.6% even when PEG-IFN- $\alpha$ 2b was at the lowest dose (625 IU/ml, Fig. 2). The anti-proliferative effect of these two agents was additive, not synergistic.

**Morphological examination in vitro.** The 8 cell lines presented such apoptotic features as cytoplasmic shrinkage and chromatin condensation in a varying degree and in a dose-dependent manner at 72 h after adding PEG-IFN- $\alpha$ 2b. For the combination treatment of PEG-IFN- $\alpha$ 2b and 5-FU, more apoptotic cells were observed than in the PEG-IFN- $\alpha$ 2b

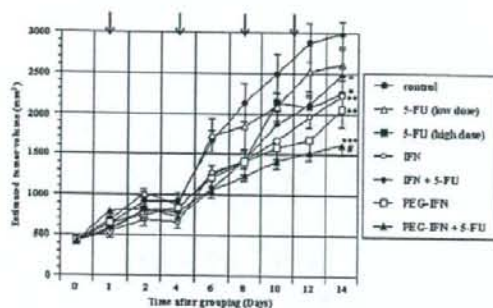


Figure 5. Chronological changes in the estimated volume of subcutaneously transplanted RCC tumors (VMRC-RCW cells,  $7.5 \times 10^6$ ) in nude mice according to the treatment method. Seven days after the transplantation, when the largest tumor diameter reached  $\sim 10$  mm (day 0), mice were divided into 7 groups (n=8 or 9, each), i.e., PEG-IFN- $\alpha$ 2b (6,400 IU) alone; IFN- $\alpha$ 2b (6,400 IU) alone; combination of 5-FU and PEG-IFN- $\alpha$ 2b (6,400 IU) or IFN- $\alpha$ 2b (6,400 IU); 5-FU alone (low or high dose); and culture medium alone (control). The arrows show the days of treatment. <sup>a</sup> $p < 0.05$ , <sup>\*\*</sup> $p < 0.01$  and <sup>\*\*\*</sup> $p < 0.001$  vs. control; <sup>b</sup> $p < 0.001$  vs. IFN- $\alpha$ 2b and 5-FU. The values represent the average  $\pm$  SE. PEG-IFN, PEG-IFN- $\alpha$ 2b.

alone-treated cells, and the apoptotic cells increased dose-dependently to PEG-IFN- $\alpha$ 2b plus 5-FU (Fig. 3).

**Effects of PEG-IFN- $\alpha$ 2b on RCC cell proliferation in nude mice.** Chronological changes in estimated tumor volume after IFN administration to nude mice are summarized in Fig. 4. Dose-dependent suppression of tumor volume was observed in mice receiving PEG-IFN- $\alpha$ 2b. The estimated tumor volume on day 14 in the mice receiving 6,400 IU of PEG-IFN- $\alpha$ 2b became 61.9% of the mice receiving the same dose of IFN- $\alpha$ 2b ( $p < 0.01$ ) and 56.8% of the control ( $p < 0.001$ ). The tumor weight on day 15 in the mice receiving 6,400 IU of PEG-IFN- $\alpha$ 2b became 63.2% of the control ( $p < 0.001$ , Table I).

Significant differences in the estimated tumor volume were observed between each PEG-IFN- $\alpha$ 2b group (640, 6,400,

Table II. Effects of combination therapy of PEG-IFN- $\alpha$ 2b and 5-FU on RCC cell proliferation in nude mice.

Treatment group	Number	Tumor weight (g, mean $\pm$ SE)	Body weight (g, mean $\pm$ SE on day 15)
Control (culture medium)	8	2.255 $\pm$ 0.102	17.188 $\pm$ 0.578
5-FU (low dose)	9	2.430 $\pm$ 0.185	16.778 $\pm$ 0.595
5-FU (high dose)	7	1.603 $\pm$ 0.107 <sup>c</sup>	15.686 $\pm$ 0.814
IFN- $\alpha$ 2b alone	8	1.812 $\pm$ 0.084 <sup>b</sup>	16.363 $\pm$ 0.692
IFN- $\alpha$ 2b + 5-FU	8	1.917 $\pm$ 0.170	16.344 $\pm$ 0.426
PEG-IFN- $\alpha$ 2b	8	1.771 $\pm$ 0.172 <sup>a</sup>	15.963 $\pm$ 0.459
PEG-IFN- $\alpha$ 2b + 5-FU	9	1.742 $\pm$ 0.194 <sup>a</sup>	15.767 $\pm$ 0.621

Cultured VMRC-RCW cells were subcutaneously transplanted in each nude mouse ( $7.5 \times 10^6$ /mouse). Seven days later, when the largest diameter of the tumor reached  $\sim 10$  mm, mice were treated with s.c. injection of IFNs and/or intraperitoneal injection of 5-fluorouracil (5-FU) daily. All mice were sacrificed on day 15. The concentration of both PEG-IFN- $\alpha$ 2b and IFN- $\alpha$ 2b was 6,400 IU/ml. <sup>a</sup> $p < 0.05$ , <sup>b</sup> $p < 0.01$  and <sup>c</sup> $p < 0.001$  vs. control.

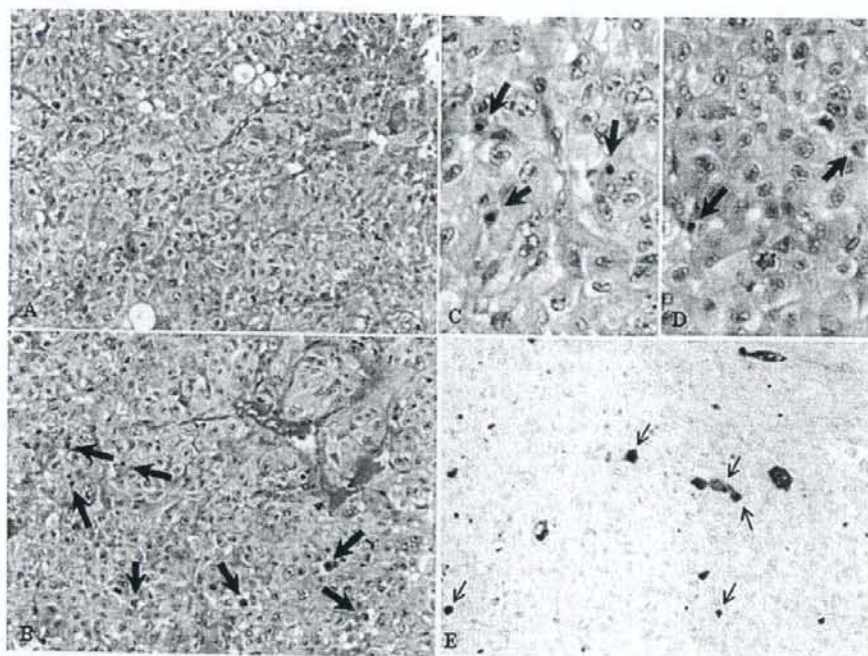


Figure 6. Photomicrograph of a subcutaneous human RCC tumor in nude mice, which developed after the injection of VMRC-RCW cells. (A) A control mouse that received culture medium alone. The tumor showed a thick trabecular arrangement of tumor cells and thin fibrous connective tissues and capillary vessels in the stroma. (B) A mouse that received PEG-IFN- $\alpha$ 2b and 5-FU. There were many apoptotic tumor-cells (thick arrows, H&E staining,  $\times 200$ ). (C and D) Higher magnifications of B ( $\times 400$ ). Apoptotic tumor-cells characterized by shrinkage and eosinophilic change in the cytoplasm and chromatin condensation are shown (thick arrows, H&E staining). (E) TUNEL-positive apoptotic cells showing brown nuclei (thin arrows, TUNEL staining,  $\times 200$ ).

64,000, 640,000 IU) and the control ( $p < 0.05$  to  $p < 0.001$ , Fig. 3). There was no significant difference between 640 or 6,400 IU of the IFN- $\alpha$ 2b group and the control. There were no significant differences in body weight of the mice among the groups.

*Effects of the combination therapy of PEG-IFN- $\alpha$ 2b and 5-FU on RCC cell proliferation in nude mice.* Chronological changes in estimated tumor volume are shown in Fig. 5. The tumor volume on day 14 for the combination therapy of PEG-IFN- $\alpha$ 2b and 5-FU was 54.2% of the control ( $p < 0.0001$ ).

Table III. Relative mRNA expression levels of the enzymes related with 5-FU metabolism, VEGF, VEGFR-1 and type I IFN receptor subunits.

Treatment group	DPD	TP	TK	TS	UP	OPRT	VEGF	VEGFR-1	IFNAR-1	IFNAR-2
5-FU (low dose)	129	101	68	182	59	48	116	135	122	94
5-FU (high dose)	72	50	52	40 <sup>a</sup>	86	41	30	93	59	90
IFN- $\alpha$ 2b	110	71	82	119	100	103	97	134	108	174
IFN- $\alpha$ 2b + 5-FU	95	80	85	74	53	106	56	111	63	44 <sup>a,d</sup>
PEG-IFN- $\alpha$ 2b	648 <sup>b,d</sup>	420 <sup>a</sup>	313	297 <sup>b,d</sup>	76	124	366 <sup>a,d</sup>	277	159	217
PEG-IFN- $\alpha$ 2b + 5-FU	159 <sup>c</sup>	143 <sup>c</sup>	162	129	129	86	251 <sup>a,c</sup>	138	91	141

mRNA levels were examined by quantitative real-time RT-PCR and normalized with GAPDH. The values of relative mRNA expression level represent the average of the ratio to the level of control in each group. <sup>a</sup> $p < 0.05$  and <sup>b</sup> $p < 0.01$  vs. control; <sup>c</sup> $p < 0.05$  vs. PEG-IFN- $\alpha$ 2b; <sup>d</sup> $p < 0.05$  vs. IFN- $\alpha$ 2b; and <sup>e</sup> $p < 0.01$  vs. IFN- $\alpha$ 2b plus 5-FU. DPD, dihydropyrimidine dehydrogenase; TP, thymidine phosphorylase; TK, thymidine kinase; TS, thymidylate synthase; UP, uridine phosphorylase; OPRT, orotate phosphoribosyl transferase; VEGF, vascular endothelial growth factor; VEGFR-1, VEGF receptor 1; IFNAR-1, type I interferon receptor subunit 1; and IFNAR-2, type I interferon receptor subunit 2.

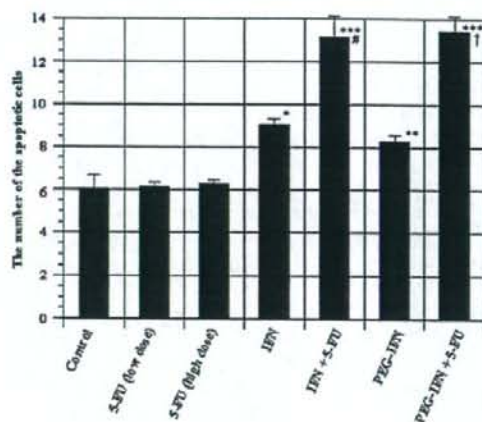


Figure 7. Number of apoptotic cells in the tumors. The number was counted in ten 0.25 mm<sup>2</sup> areas in each section, and the average number per area in each group was obtained. <sup>a</sup> $p < 0.05$ , <sup>b</sup> $p < 0.01$  and <sup>c</sup> $p < 0.001$  vs. control; <sup>d</sup> $p < 0.05$  vs. IFN- $\alpha$ 2b; <sup>e</sup> $p < 0.001$  vs. PEG-IFN- $\alpha$ 2b. PEG-IFN, PEG-IFN- $\alpha$ 2b.

The tumor weights of the mice on day 15 were significantly different between the control and the 5-FU high dose group, each IFN alone group, and the combination group of PEG-IFN- $\alpha$ 2b and 5-FU. The two types of IFNs and/or 5-FU did not affect the body weight of the mice (Table II).

Histological examination of the RCC tumor specimens stained with H&E revealed that the number of apoptotic cells was significantly higher in the mice treated with 6,400 IU of PEG-IFN- $\alpha$ 2b ( $p < 0.01$ ) or 6,400 IU of IFN- $\alpha$ 2b ( $p < 0.05$ ) in comparison to the control (Fig. 6A-D). The incidence of apoptosis in TUNEL-stained sections showed the same tendencies as those obtained in the H&E-stained sections (Fig. 6E). The number of apoptotic cells significantly increased in the mouse tumors treated with the combination

therapy in comparison to the control (for each IFN,  $p < 0.0001$ ). The number also significantly increased with the combination treatment of PEG-IFN- $\alpha$ 2b and 5-FU in comparison to PEG-IFN- $\alpha$ 2b alone ( $p < 0.0001$ ), and with the combination of IFN- $\alpha$ 2b and 5-FU in comparison to IFN- $\alpha$ 2b alone ( $p < 0.05$ , Fig. 7).

The results of quantitative real-time RT-PCR are shown in Table III. The VEGF mRNA levels increased significantly in the PEG-IFN- $\alpha$ 2b alone group ( $p < 0.05$  vs. control,  $p < 0.05$  vs. IFN- $\alpha$ 2b) and in the combination (PEG-IFN- $\alpha$ 2b plus 5-FU) group ( $p < 0.05$  vs. control,  $p < 0.01$  vs. IFN- $\alpha$ 2b plus 5-FU). There were also significant increases in the expression levels of DPD ( $p < 0.01$ ), TP ( $p < 0.05$ ), and TS ( $p < 0.05$ ) in the PEG-IFN- $\alpha$ 2b alone group in comparison to the control. On the other hand, significant decreases were observed in the expression levels of DPD ( $p < 0.05$ ) and TP ( $p < 0.05$ ) in the combination (PEG-IFN- $\alpha$ 2b plus 5-FU) group in comparison to the PEG-IFN- $\alpha$ 2b alone group. In addition, the TS mRNA levels in the PEG-IFN- $\alpha$ 2b group increased in comparison to the IFN- $\alpha$ 2b group ( $p < 0.05$ ). The relative mRNA levels of IFN- $\alpha$ 2b receptors in the combination group were lower than the levels of the IFN alone group.

The number of artery-like blood vessels increased slightly in comparison to the control in the groups receiving IFN- $\alpha$ 2b alone, PEG-IFN- $\alpha$ 2b alone, or the combination therapies; and there were no significant differences among the 7 groups (Fig. 8).

## Discussion

Shang *et al* (18) examined 5 RCC cell lines and reported that the greatest decrease in the viable cell number after adding 1,600 IU/ml of Sumiferon to the cultures was 42% (58% of the control). On the other hand, Vyas *et al* (19) comparatively examined the anti-tumor effects of PEG-IFN- $\alpha$ 2b and IFN- $\alpha$ 2b by using an RCC cell line, ACHN, and reported that the addition of 1,033 IU/ml of PEG-IFN- $\alpha$ 2b suppressed the viable cell number to 50% of the control. Our current



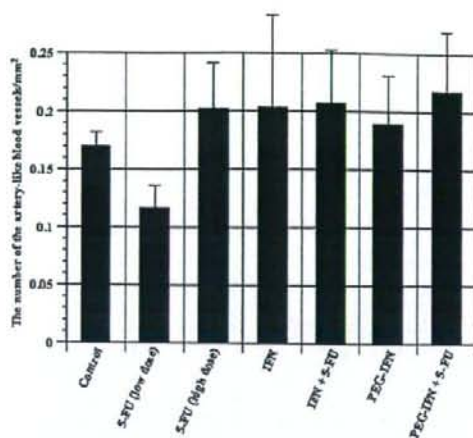


Figure 8. Number of artery-like blood vessels in the tumors. The number was counted in the whole area of each section, and mean number per mm<sup>2</sup> was obtained. Each figure shows the average  $\pm$  SE. PEG-IFN: PEG-IFN- $\alpha$ 2b.

experiment used concentration levels close to those of Shang *et al.*, and similar anti-tumor effects were obtained. However, the level of suppression in our study did not reach 50% of the control even though the concentrations were higher than those of Vyas *et al.* The reasons for these disparate findings are not clear, however, they may be related to the different cell density in the experiments, different measurement methods, and possible changes in cell characteristics due to cultures. Vyas *et al.* (19) also reported that the anti-tumor effects of PEG-IFN- $\alpha$ 2b and IFN- $\alpha$ 2b were not markedly different as we demonstrated in the present study.

Some medical institutions administer the combination therapy of IFN- $\alpha$  and 5-FU in the treatment for advanced RCC. Sella *et al.* (20) reported that the combination of IFN- $\alpha$  and chemotherapy (5-FU and mitomycin C) resulted in a significant clinical effect on RCC patients. Our results support the anti-tumor effects reported by Sella *et al.* regarding the combination of IFN- $\alpha$  and chemotherapy. Moreover, in the present study, the combination of PEG-IFN- $\alpha$ 2b and 5-FU exhibited enhanced anti-tumor effects in comparison to the combination of IFN- $\alpha$ 2b and 5-FU *in vivo*.

The induction of apoptosis is a mechanism of the anti-tumor effects of IFN- $\alpha$ 2b, and Vyas *et al.* (19) reported a dose-dependent increase in apoptotic cell number for PEG-IFN- $\alpha$ 2b in cell cultures. Shang *et al.* (18) found that apoptosis induction by IFN- $\alpha$ 2b was not significant even at a dose of 5,000 IU/ml. On the other hand, 5-FU induced apoptosis in a dose-dependent manner, and 50 and 100 IU/ml of IFN- $\alpha$ 2b was able to promote 5-FU-induced apoptosis in RCC cells. In our current study, the number of apoptotic cells *in vitro* increased proportionally to the dose of PEG-IFN- $\alpha$ 2b and to the doses of PEG-IFN- $\alpha$ 2b plus 5-FU in the combination treatment. The apoptotic cell number in the tumors also increased dose-dependently in the IFN- $\alpha$ 2b alone group and PEG-IFN- $\alpha$ 2b alone group, and the number in each group further increased with the combination of 5-FU. This indicates that PEG-IFN-

$\alpha$ 2b and IFN- $\alpha$ 2b induced apoptosis and the combination with 5-FU induced apoptosis more extensively. Comparing the two combination treatments, i.e., PEG-IFN- $\alpha$ 2b plus 5-FU vs. IFN- $\alpha$ 2b plus 5-FU, the estimated tumor volume was significantly smaller in the PEG-IFN- $\alpha$ 2b plus 5-FU group, but the number of apoptotic cells did not differ markedly between the groups. Shang *et al.* (18) revealed that IFN- $\alpha$ 2b caused cell cycle arrest at G1 in ACHN cells and at G2/M in Caki-1 cells in their flow cytometric analyses, and this suggests that cell cycle arrest could be the reason why there was no remarkable difference in the number of apoptotic cells in our results.

Anti-angiogenesis activity is a biological effect of IFN- $\alpha$ 2b, and it has been shown that IFN- $\alpha$ 2b inhibits angiogenesis by down-regulating angiogenesis factors. For example, Dinney *et al.* (21) systemically administered IFN- $\alpha$  in a nude mouse model of bladder tumor and reported a decrease in *in vivo* blood vessel density in the tumors, which then resulted in the shrinkage of the tumor size. On the other hand, Kojiro *et al.* (16) reported that there was no significant relation between the tumor shrinkage effects and angiogenesis factors or artery-like blood vessels when IFN- $\alpha$  and 5-FU were administered in combination to nude mice receiving transplantation of HCC cells. In our current study, the mRNA expression of VEGF and the number of artery-like blood vessels in the tumors were not suppressed in the PEG-IFN- $\alpha$ 2b alone group and the PEG-IFN- $\alpha$ 2b plus 5-FU group, but the estimated tumor volume of the PEG-IFN- $\alpha$ 2b plus 5-FU group was the most suppressed among the groups. The reason for these contrary findings is unclear. Angiogenesis plays an important role in the proliferation and metastasis of solid tumors such as renal cancer, therefore the relation between angiogenesis factors and anti-tumor effects should be investigated in future studies by using different IFN preparations and other RCC cell lines.

It has been reported that IFN directly suppresses tumor proliferation and at the same time augments the suppressive effects of 5-FU on tumor growth, including the induction of apoptosis (15,22). In regards to the mechanism of this augmentation, several researchers reported that IFN- $\alpha$  acts on the metabolic pathway of 5-FU (23,24). Low levels of TS and DPD and high levels of OPRT, TP, UP and TK render cancer cells sensitive to 5-FU. In our results, the enzymes related to 5-FU metabolism, except OPRT, slightly increased (not significantly) in comparison to the control. Therefore, the activity of 5-FU-related enzymes were not related to the anti-tumor effects shown in our PEG-IFN- $\alpha$ 2b plus 5-FU group.

IFN- $\alpha$ 2b exerts its actions through a specific cell surface receptor, Type I IFN receptor, which consists of two subunits IFNAR-1 and IFNAR-2. IFNAR-2 is the binding subunit and is more important than IFNAR-1 for the expression of IFN- $\alpha$ 2b activity (25-27). Oie *et al.* (17) examined the expression of type I IFN receptor mRNA in 6 HCC cell lines treated with 5-FU. They showed that the expression of type I IFN receptor was markedly increased in the 3 cell lines whose proliferation was suppressed synergistically by the administration of 5-FU and IFN- $\alpha$  than in the other 3 cell lines whose proliferation was suppressed in an additive manner. In our current study, expression of IFNAR-1 and IFNAR-2 increased in the IFN- $\alpha$ 2b alone and PEG-IFN- $\alpha$ 2b alone groups, whereas the expression levels were markedly lower

in the combination groups of IFN- $\alpha$  plus 5-FU than in the IFN alone groups. These findings differ from those of Oie *et al* which could be the reason why the effects of our combination treatment were additive and not synergistic.

Our results confirmed that in the treatment of RCC, PEG-IFN- $\alpha$ 2b presents more potent anti-tumor effects than conventional non-pegylated IFN- $\alpha$ 2b, and the effects are augmented when 5-FU is used in combination. The most probable mechanism of this potent effect is apoptosis induction, and the target molecules that induce apoptosis will be determined in future studies. We expect that the addition of another agent to the combination of IFN- $\alpha$ 2b and 5-FU would result in more potent anti-tumor effects in the treatment of RCC.

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## N-myc downstream regulated gene 1 (NDRG1)/Cap43 enhances portal vein invasion and intrahepatic metastasis in human hepatocellular carcinoma

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**Abstract.** N-myc downstream regulated gene 1 (NDRG1)/Cap43 is a 43 kDa protein that is widely distributed in the body. Its expression is regulated by nickel, cobalt, hypoxic condition and others; it is reported to be weaker in tumors than normal tissues; and NDRG1/Cap43 is considered to act suppressively to tumor metastasis. This current study immunohistochemically examined NDRG1/Cap43 expression in hepatocellular carcinoma (HCC), and analyzed its relationship to clinicopathologic factors and prognosis. The samples were 105 surgically resected HCC tissue blocks, i.e., 18 well-differentiated HCC, 61 moderately differentiated HCC, 10 poorly differentiated HCC, 9 'nodule-in-nodule' type HCC, and 7 sarcomatous HCC. In all cases, NDRG1/Cap43 was not expressed in normal liver cells. Strong expression was found in 65 of the 105 cases (62%), i.e., in 11.1% of well-differentiated HCC, 72.1% of moderately differentiated HCC, 80.0% of poorly differentiated HCC, and 71.4% of sarcomatous HCC. In the 'nodule-in-nodule' type, its expression was found in 55.6% of their well-differentiated component, and this frequency was significantly higher than that in well-differentiated HCC (11.1%). In the cases showing strong NDRG1/Cap43 expression, frequency of portal vein invasion and of intrahepatic metastasis was significantly high. No clear relationship between the expression and prognosis was observed. NDRG1/Cap43 expression that was found in advanced HCC was thought to accelerate tumor invasion and metastasis. NDRG1/Cap43 could act as a useful biomarker of HCC.

### Introduction

Hepatocellular carcinoma (HCC) is the fifth commonest malignancy worldwide and is the third most common cause of cancer related death. The geographic areas at highest risk are located in Eastern Asia, Middle Africa, and some countries of Western Africa. HCC most commonly develops in patients with chronic liver disease, the etiology of which includes alcohol, viral infection (hepatitis B and C), metabolic diseases and aflatoxin (1,2). Surgery, including transplantation, remains the only curative modality for HCC. The prognosis of HCC is generally poor, and even after surgery, the 5-year survival rate is limited to 25-29% (3). The ability to predict patients at higher risk of recurrence and with a poor prognosis would help to guide surgical and chemotherapeutic treatment. Efforts have been made to predict recurrence and poor prognosis in patients with HCC after hepatectomy using clinicopathological parameters. Tumor size, tumor number, vascular invasion and the presence of satellite lesion were reported to be useful predictors (4-6). With the development of molecular biology, many biomarkers related to invasion, metastasis, recurrence and survival have been explored.

N-myc downstream regulated gene 1 (NDRG1, also known as Drd-1, Cap43 or RTP) was identified as a homocysteine-responsive gene that was induced by sulfhydryl reagents such as cysteine and 2-mercaptoethanol in human umbilical vein endothelial cells (7). The NDRG1/Cap43 gene is mapped to chromosome 8q24 (8) and encodes a 3.0 kb mRNA that is translated into a protein with a molecular weight of 43 kDa (7,9). NDRG1/Cap43 expression is regulated with nickel, cobalt, oxidative stress, hypoxia, phorbol esters, vitamin A and D, steroids, histone deacetylase-targeting drugs, lysophosphatidylcholine, oncogene, and tumor suppressor gene (p53 and VHL) products (7,8,10-12). NDRG1/Cap43 acts in maintenance and differentiation (13) of myelin sheath; and in exocytosis (14), maturation (15) and degranulation (16) of mast cells. The NDRG1/CAP43 mRNA is widely expressed in the non-neoplastic tissues and its expression is especially high in the prostate (17), brain (18), kidney (13,19), placenta and

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intestine (1,3,4,7,9,10,14,15,20,21). Since NDRG1/Cap43 expression level in neoplastic cells of breast cancer, prostatic cancer and colon cancer is lower in comparison to that in non-neoplastic tissues, NDRG1/Cap43 is reported to be suppressive to tumor metastasis (8,9,17,22-24). On the other hand, NDRG1/Cap43 is also reported to be overexpressed in tumor tissue than normal tissue (25), and to act as an accelerating factor of metastasis (26). At present, actions of NDRG1/Cap43 in tumor remain elusive.

To date, NDRG1/Cap43 expression in the liver (25) and its relationship with hepatocellular carcinoma (HCC) were reported (27,28). Chua *et al* showed high NDRG1/Cap43 expression in HCC correlated with shorter overall survival, late tumor stage, vascular invasion, large tumor size, and high histological grade and NDRG1/Cap43 could be a useful predictor (27). In the current study we investigated NDRG1/Cap43 expression and its histopathological features on surgically resected HCC of humans, and evaluated NDRG1/Cap43 as a possible biomarker of HCC.

### Materials and methods

**Tissue samples.** Immunohistochemical examination was performed on formalin-fixed paraffin sections of cancerous and non-cancerous tissues of 105 HCC livers that were surgically resected at Kurume University Hospital in the period between 1989 and 2007. The 105 patients did not receive preoperative anticancer therapies such as a transcatheter arterial embolization and radiofrequency are summarized in Table I. Among them, 89 cases had a nodule

Table I. Clinicopathological characteristics of 105 HCC cases.

Clinicopathological factors	No. of cases (%)
Age (years, mean $\pm$ SD)	64.3 $\pm$ 8.5
Sex (M/F)	80/25
Tumor size (mm, mean $\pm$ SD)	38.2 $\pm$ 25.2
Differentiation	
Well-differentiated	18 (17.1)
Moderately differentiated	61 (58.1)
Poorly differentiated	10 (9.5)
HCC with sarcomatous change	7 (6.7)
Nodule-in-nodule appearance	9 (8.6)
Portal vein invasion	46 (43.8)
Venous invasion	7 (6.7)
Bile duct invasion	4 (3.8)
Intrahepatic metastasis	8 (26.7)
Virus marker	
Hepatitis B virus (HBV) associated	10 (9.5)
Hepatitis C virus (HCV) associated	75 (71.4)
HBV and HCV associated	9 (8.6)
HBV and HCV negative	6 (5.7)
Unknown	5 (4.8)

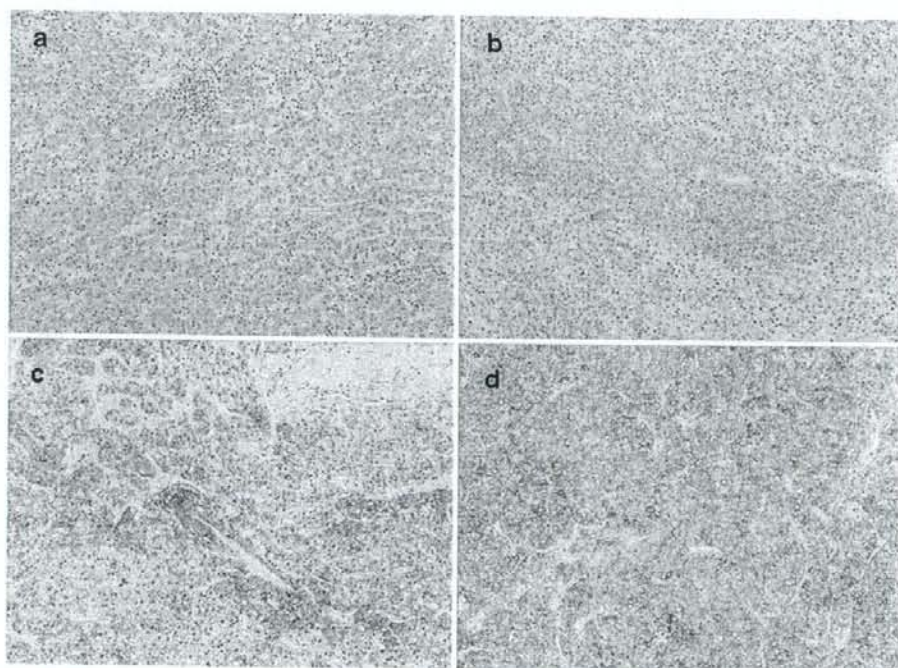


Figure 1. Grading of NDRG1/Cap43 staining distribution. (a) Grade 0: NDRG1/CAP43 positive cells were present <10% of the entire area. (b) Grade +1: the area was 10-40%. (c) Grade +2: the area was 40-70%. (d) Grade +3: the area was 70-100%.