

than in adenoma malignum. The glands in adenoma malignum often have a lobular appearance with large central glands surrounded by smaller glands. Cervical adenomyoma also lacks stromal desmoplastic reaction, foci of cytologic atypia, vascular space invasion, and perineural invasion, which are all commonly seen in adenoma malignum.<sup>5-7</sup> In our case, there were no features indicating a stromal desmoplastic reaction. The result of histochemical analyses and immunoreactivity should therefore be combined with macro- and microscopic findings, in order to avoid confusing this rare tumour with adenoma malignum. Familiarity with the characteristic features of endocervical adenomyoma in MR imaging and histochemical findings should facilitate accurate diagnosis and distinction from adenoma malignum.

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## Cap43/NDRG1/Drg-1 is a molecular target for angiogenesis and a prognostic indicator in cervical adenocarcinoma

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### Abstract

Cap43 is a nickel- and calcium-inducible gene that plays important roles in the primary growth of malignant tumors, as well as in invasion and metastasis, most likely through its ability to induce cellular differentiation. This study investigated associations of Cap43 expression with angiogenesis and other clinicopathological factors in cervical adenocarcinoma. The clinical records of 100 women who underwent surgery for cervical adenocarcinoma were reviewed retrospectively. Microvessel density and the expression of Cap43 and VEGF in the surgical specimens were evaluated immunohistochemically. The Cap43 expression level was significantly associated with angiogenesis, tumor diameter, stromal invasion, lymphovascular space invasion, lymph node metastasis, and histopathological differentiation. Kaplan–Meier analysis showed a significant association between the Cap43 expression level and survival: high Cap43 expression was related to poor survival. Our results suggest that increased expression of Cap43 is associated with angiogenesis and may be a poor prognostic indicator in women with cervical adenocarcinoma.

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**Keywords:** Cap43; Cervical adenocarcinoma; Angiogenesis; Prognosis

### 1. Introduction

The Cap43 gene is a nickel- and calcium-inducible gene [1], identical to the previously described N-myc downstream-regulated gene 1 (NDRG1). It

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is one of the four closely related genes (NDRG1-4) whose expression is down-regulated by c-myc or the N-myc/Max complex [2–5]. Cap43 is also identical to the homocysteine-inducible gene, whose expression is reduced in tumor cells (RTP/rit42) [6], and to the differentiation-related gene-1 (Drg-1) [7]. The protein encoded by Cap43 has a molecular weight of 43 kDa. It has three unique 10-amino acid tandem-repeat sequences at its carboxyl terminal and is phosphorylated by protein kinase A [8].

The functions of Cap43 remain poorly understood. Expression of the Cap43 gene has been strongly associated with nickel, cobalt, oxidative stress, hypoxia, phorbol esters, vitamins A and D, steroids, histone deacetylase-targeting drugs, homocysteine,  $\beta$ -mercaptoethanol, tunicamycin, and lysophosphatidylcholine, as well as with oncogenes (N-myc and c-myc) and the products of tumor-suppressor genes (p53 and VHL) [1,2,6,9,10]. Cap43 is expressed in most organs, most prominently in the prostate, ovary, colon, and kidney. Its expression in the kidney, brain, liver, and gut is actively modulated during postnatal development [2,3,11,12], suggesting that Cap43 plays a key role in organ maturation.

Transfection studies by Kurdistani and colleagues demonstrated that Cap43 inhibits the primary growth of human breast, prostate, and bladder cancer cell lines and suppresses the anchorage-independent growth of these cell lines in soft agar [6]. Moreover, the overexpression of Cap43 markedly promotes the growth of human pancreatic cancer xenografts in mice, but not of pancreatic cancer cells in culture [13]. The survival rate of patients with pancreatic cancer whose tumors expressed high levels of Cap43 was found to be significantly higher than that of patients whose tumors expressed low levels of Cap43 [13]. In another study, low tumor Cap43 expression was strongly associated with poor outcomes in women with breast cancer [14]. Chua and colleagues recently reported that Cap43 overexpression significantly correlates with tumor differentiation, vascular invasion, and overall survival in patients with hepatocellular carcinoma, suggesting that increased Cap43 expression may be a useful indicator of tumor aggressiveness and prognosis [15]. Taken together, the above findings suggest that Cap43 may have tissue-of-origin-specific functions in human malignancies [16].

The incidence of invasive cervical cancer has decreased in developed countries, presumably because of intensive national screening programs.

This declining incidence is attributed primarily to a decrease in squamous cell carcinoma, whereas the incidence of adenocarcinoma has remained stable or risen slightly [17,18]. The prevalence of adenocarcinoma among women with cervical cancer has increased from 5% to 13% in the 1950s to 20% in the 1990s [19,20]. Recent studies attribute this rise to an increased incidence of cervical adenocarcinoma among young women [21,22]. Cervical adenocarcinoma is associated with unfavorable outcomes, attributed to late detection on Papanicolaou smears, a poorer response to radiotherapy than squamous cell carcinoma, or the inclusion of subtypes with particularly poor outcomes, such as clear cell carcinoma [23]. New tumor markers that can be used to predict outcomes predictors have been identified by numerous studies, including immunohistochemical analyses [24–26].

Angiogenesis is an important pathological aspect of tumor growth and chronic inflammatory diseases [27]. Of the various angiogenesis factors identified to date, vascular endothelial growth factor (VEGF)-A plays a key role in pathological angiogenesis, including that required for the rapid growth of solid tumors. Antiangiogenesis agents targeting VEGF-A and VEGF-receptor 2 have been developed and are currently used clinically [28,29]. In a previous study, we demonstrated that higher tumor Cap43 expression is associated with higher tumor microvessel density (MVD) than lower tumor Cap43 expression in patients with pancreatic cancer [13]. Angiogenesis in cervical carcinoma has been shown to be inversely related to survival [30,31]. Kaku and colleagues [32] demonstrated a significant correlation of MVD with both progression-free survival and overall survival in cervical adenocarcinoma.

In this study we immunohistochemically evaluated the intensity of Cap43 expression in patients with stage I or II cervical adenocarcinoma according to the staging system of the International Federation of Gynecology and Obstetrics (FIGO). We also examined correlations of Cap43 staining intensity with angiogenesis and other clinicopathological factors.

## 2. Materials and methods

### 2.1. Patients and treatment

Between 1990 and 2005, a total of 100 patients with stage I or II cervical adenocarcinoma underwent surgery at Kurume University Hospital and National Cancer

Center Hospital. The procedure was radical hysterectomy in 93 patients and simple abdominal hysterectomy in the other seven. Pelvic lymphadenectomy and para-aortic lymph node biopsy were performed in all patients. Patients with deep stromal invasion, lymph node metastasis, or both were considered candidates for postoperative adjuvant therapy. After surgery, 23 patients received postoperative adjuvant radiotherapy, and 5 received adjuvant platinum-containing chemotherapy. For external beam radiotherapy, a dose of 50.4 Gy was delivered to the entire pelvis. Intracavitary brachytherapy was performed if the surgical margin in the vaginal cuff was histologically positive or if the free margin was <1 cm.

## 2.2. Immunohistochemical staining

All specimens were fixed in 10% formalin and embedded in paraffin wax. Tissue sections 4 µm thick were mounted on slides, deparaffinized, rehydrated, and heated in a microwave oven for 60 min in CCI buffer. Immunohistochemical staining was performed using a Ventana NX automated immunohistochemistry system (Ventana Medical Systems, Tucson, AZ, USA) and polyclonal primary antibodies to Cap43 (produced in our laboratory), [10,33] VEGF (upstate, Cosmo Bio Co. Ltd., Lake Placid, NY, USA; dilution 1:50), and CD34 (Nichirei, Tokyo, Japan; dilution 1:1). A preliminary study of Cap43 immunohistochemical staining of cervical adenocarcinoma revealed that only the membrane of tumor cells stained positively; normal glands and the nuclei and cytoplasm of tumor cells stained negatively. Since the staining intensity varied, we considered the staining intensity of the tumor cell membranes to represent the expression intensity of Cap43 in the cervical adenocarcinoma specimens. The intensity of membrane staining was scored as follows: no staining, 0; dotted pattern staining, 1+; weak or moderate circumferential staining in >10% of the tumor cells, 2+; strong circumferential staining in >10% of the tumor cells, 3+ (see Fig. 1A–D). To statistically analyze the patients' survival curves (data not shown), we classified Cap43 expression scores of 0, 1+, and 2+ as low Cap43 expression, and scores of 3+ as high Cap43 expression. VEGF expression in the tumor cells was evaluated according to the following semiquantitative scoring system: no staining at all or staining in <10% of the tumor cells, 0; light staining in >10% of the tumor cells, 1+; moderate staining in >10% of the tumor cells, +2; and dark staining in >10% of the tumor cells, +3. Staining of the tumor stroma was ignored in this assessment (see Fig. 1E–H). All procedures were performed by one gynecological oncologist and two pathologists who were blinded to clinical outcomes in this series of patients. Discordant results among the investigators were re-evaluated. MVD was calculated on the basis of the immunohistochemical expression of CD34. For each sample, the mean number of microvessels was calculated for five vascular

hotspots to assess the MVD for each case. Only CD34 staining in tumor areas was reviewed, and endothelial cell clusters of two or more cells were considered a single, countable microvessel (see Fig. 1I and J). All counts were made by three independent observers who had no knowledge of the corresponding clinicopathological data.

## 2.3. Statistical analysis

Statistical calculations were performed with the SAS version 9.1.3 (SAS Institute, Cary, NC, USA) software package. The Kaplan–Meier method was used to calculate the progression-free survival rate and overall survival rate; prognostic significance was evaluated by the log-rank test. The Mann–Whitney *U*-test was used to compare continuous variables. *P* values for correlations of Cap43 expression with VEGF expression and other clinicopathological factors were calculated with Fisher's exact test. Differences were considered significant at *P* < 0.05.

## 3. Results

### 3.1. Patient characteristics

The patients' characteristics are shown in Table 1. The median follow-up time was 51.3 months. At the time of the analysis, tumor recurrence had been diagnosed in 30 patients, and 25 patients had died. Table 2 shows the Cap43 expression, VEGF expression, and microvessel density.

### 3.2. Correlation between Cap43 expression and angiogenesis

High Cap43 expression correlated with high VEGF expression (Table 3). Immunohistochemical staining analysis showed that median MVD was 39.4 in the specimens with high Cap43 expression and 26.1 in the specimens with low Cap43 expression. MVD correlated with the intensity of Cap43 expression (*P* < 0.0001, Fig. 2). These results suggested that high Cap43 expression was closely associated with high angiogenic activity in cervical adenocarcinoma.

### 3.3. Correlation between Cap43 expression and clinicopathological factors

High Cap43 expression significantly correlated with tumor diameter, stromal invasion, lymphovascular space invasion, lymph node metastasis, and histopathological differentiation, but not with FIGO stage (Table 4).

### 3.4. Correlation between Cap43 expression and survival time

The median progression-free survival time was 52.4 months in patients with tumors showing low Cap43

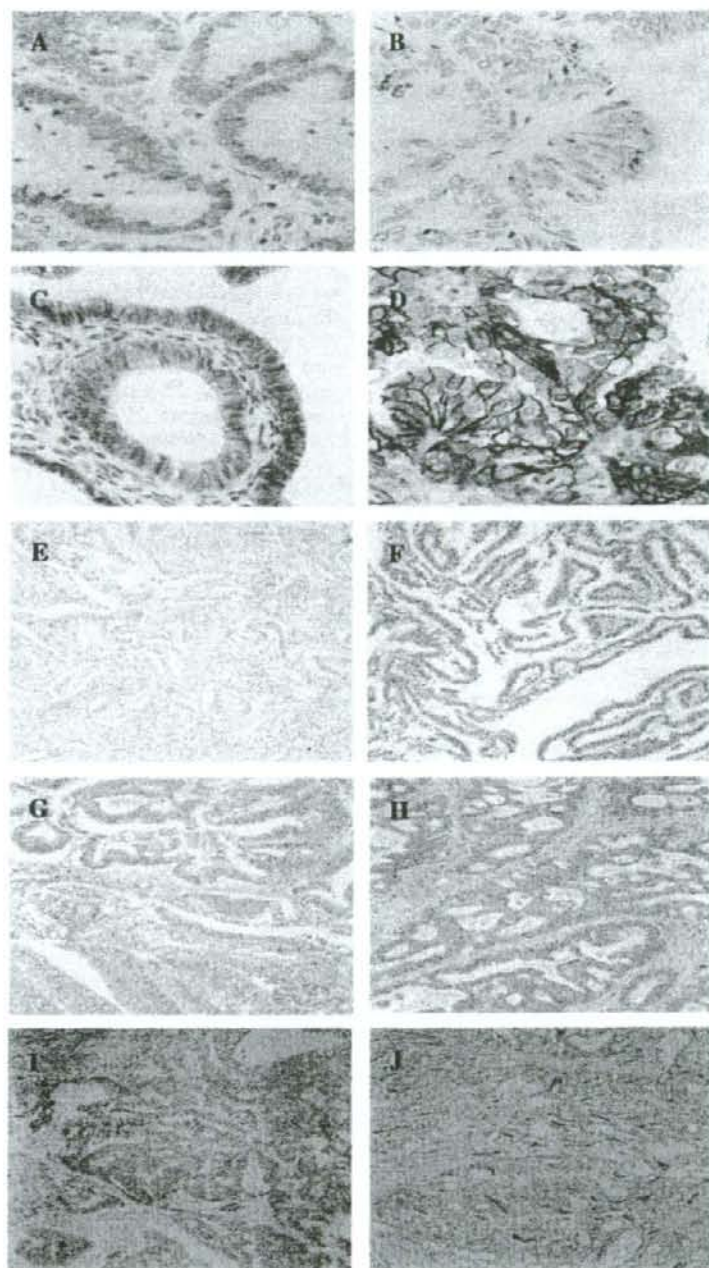


Fig. 1. Representative examples of Cap43 staining in cervical adenocarcinoma. (A) No staining; (B) dotted pattern staining (1+); (C) weak or moderate circumferential staining (2+); (D) strong circumferential staining (3+) (original magnification A–D  $\times 400$ ). Immunohistochemical staining for VEGF expression: (E) no immunostaining (0); (F) light staining (1+); (G) moderate staining (+2); (H) dark staining (+3) (original magnification E–H  $200\times$ ). Immunohistochemical staining for anti-CD34 antibody. Tumor areas with low vessel density (I) and high vessel density (J) are shown (original magnification I, J  $100\times$ ).

Table 1  
Patients Characteristics (n = 100)

Age (years) median (range)	49 (29–74)
<35	10
35–50	45
≥ 50	45
FIGO stage	
I	82
II	18
Tumor diameter (mm) median (range)	30 (4–118)
Depth of stromal invasion (mm) median (range)	11 (1–21)
Differentiation	
Well	80
Moderate	11
Poorly	9
Histopathology	
Endocervical type	60
Endometrioid type	33
Intestinal type	2
Serous	3
Clear cell	2

Table 2  
Cap43 expression, VEGF expression and MVD

	No. of patients
Immunohistochemical expression of Cap43 score	
0	13
1+	20
2+	35
3+	32
Immunohistochemical expression of VEGF score	
0	13
1+	23
2+	40
3+	24
Microvessel density median (range)	30.2 (8.4–68.1)

Table 3  
Correlation between Cap43 expression and VEGF expression

Factor	Cap43 expression		P value
	Low	High	
VEGF expression			
Low	56	20	0.0439
High	12	12	

expression, as compared with 27.3 months in those with tumors showing high Cap43 expression ( $P = 0.0017$ ). The median overall survival time was 54.1 months in patients with tumors showing low Cap43 expression, as compared with 36.4 months in those with tumors showing high Cap43 expression ( $P = 0.0018$ ). Kaplan–Meier analysis showed that the intensity of Cap43 expression was significantly associated with survival; high Cap43 expression was associated with unfavorable outcomes (Fig. 3).

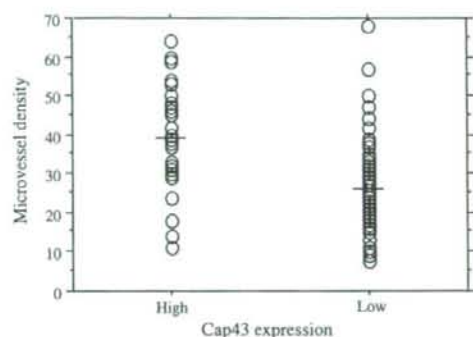


Fig. 2. Correlation between Cap43 expression and microvessel density (MVD). Median MVD was 39.4 in tumors with high Cap43 expression and 26.1 in tumors with low Cap43 expression ( $P < 0.0001$ ).

Table 4  
Clinicopathological significance of Cap43 expression

Factor	Cap43 expression		P value
	Low	High	
Stage			
I	57	25	0.5788
II	11	7	
Tumor diameter			
<40 mm	51	14	0.0034
≥40	17	18	
Stromal invasion			
<2/3	42	8	0.0011
≥2/3	26	24	
Lympho vascular space invasion			
Negative	40	9	0.0053
Positive	28	23	
Lymph node metastasis			
Negative	55	16	0.0022
Positive	13	16	
Differentiation			
Well	60	20	0.0060
Moderate, poorly	8	12	

#### 4. Discussion

Our study showed that the intensity of Cap43 expression was significantly associated with tumor angiogenesis and other poor prognostic factors in cervical adenocarcinoma. Survival analysis showed that high tumor expression of Cap43 was associated with poor progression-free survival and overall survival.

The controversy surrounding the relevance of Cap43 expression in cancer may be attributed in

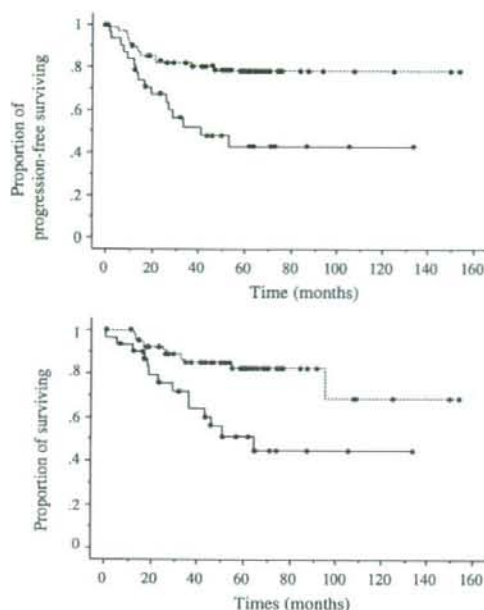


Fig. 3. Kaplan-Meier analysis of progression-free survival (above) and overall survival (bottom) according to Cap43 expression levels in cervical adenocarcinoma. High Cap43 expression (solid line) was associated with significantly poorer outcomes ( $P = 0.0017$  and  $P = 0.0018$ , respectively) than was low Cap43 expression (dotted line). Bold dots indicate censored cases.

part to the fact that Cap43 expression is highly influenced by pleiotropic factors and stimuli, including various metal ions, hypoxia, oncogenes, tumor-suppressor genes, hormones, and vitamins. The expression of Cap43 in patients with cancer thus depends on which factor predominates in a particular case [16]. For example, Cap43 expression in prostate cancer cells is influenced by androgens [34], whereas that in breast cancer cells depends primarily on estradiols [14]. Cap43 expression may vary greatly according to the presence or absence of hormone dependence in hormone-susceptible cancers such as prostate cancer and breast cancer. However, confirmation of possible roles of Cap43 in human malignancies must await the results of future studies that comprehensively evaluate various biologic factors intrinsically related to different types of cancer.

We immunohistochemically studied the intensity of Cap43 expression in surgical specimens. Three patterns of Cap43 expression were observed, consistent with the results of Caruso and colleagues [35]:

intense, predominantly membranous staining; intense nucleocytoplasmic localization; and low or undetectable expression. These different patterns of Cap43 expression might be attributed to differences among tumors in factors that either stimulate or inhibit its expression.

Recent advances in cancer research have revealed the importance of angiogenesis to cancer progression. Among the various angiogenic factors identified to date, VEGF and MVD are known to have a pivotal role in tumor angiogenesis and to participate in neovascularization by promoting the differentiation of vascular endothelial cells and increasing capillary permeability. Correlations between neovascularization and metastasis or poor outcomes have been reported in various cancers [36–39]. Previous retrospective studies have reported finding that VEGF and MVD are independent prognostic factors in cervical adenocarcinoma [32]. Our results showed that the significant association of VEGF and MVD with the intensity of Cap43 expression was closely related to high angiogenic activity in cervical adenocarcinoma.

We evaluated Cap43 expression on the basis of the intensity of cellular membrane staining. Cap43 is most often localized in the nucleus, cytoplasm, cell membrane, and intracellular organelles [11]. During the differentiation of various organs, localization of Cap43 may vary between the nuclear membrane and cytoplasm [12,40]. However, Cap43 proteins appear to have no transmembrane domain, signal sequence, or endoplasmic reticulum retention sequence [9]. Cap43 has more than seven phosphorylation sites, two of which are susceptible to protein kinase A and calmodulin kinase II [8]. Recent studies have clearly demonstrated which sites bind to each kinase [16]. These findings suggest that Cap43 has a regulatory role in cells. This regulatory role as well as the cellular localization of Cap43 may be controlled at least in part by phosphorylation. The Cap43 gene is localized in the nucleus of some cell types, but its protein structure has no apparent nuclear localization signals, suggesting that interactions with other protein(s) are required for its nuclear localization [11]. Cap43 protein interacts with a nucleocytoplasmic transport protein, heat-shock cognate protein 70, in mast cells [41,42]. Whether this interaction is required for the nuclear localization of Cap43 remains unclear. In cervical adenocarcinoma, Cap43 was localized primarily in tumor cell membranes. The reasons for this localization pattern are unknown. Further studies are

required to determine the function of this membrane-associated Cap43.

Our study had several limitations. We studied only patients with early-stage cervical adenocarcinoma treated by surgery. Because of the selection bias, the results cannot be directly extrapolated to larger populations of women with cervical adenocarcinoma (e.g., women with stage III or IV cervical adenocarcinoma). Cervical adenocarcinoma continues to have unfavorable outcomes. Assessment of Cap43 expression in cervical adenocarcinomas may provide a useful biomarker for the prediction of outcomes, independently of conventional clinical variables.

In conclusion, our study demonstrated, for the first time to our knowledge, that high expression of Cap43 in patients with cervical adenocarcinoma is associated with tumor angiogenesis and poor outcomes. Our findings remain preliminary and should be confirmed in prospective clinical trials. Moreover, further basic research is required to identify the pathways by which Cap43 protein modulates the malignant characteristics of tumors and to delineate the mechanisms underlying tumor progression in cervical adenocarcinoma.

#### Disclosure/conflict of interest

The authors declare no potential conflict of interest.

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## Phase III Trial of Upfront Debulking Surgery Versus Neoadjuvant Chemotherapy for Stage III/IV Ovarian, Tubal and Peritoneal Cancers: Japan Clinical Oncology Group Study JCOG0602

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On the basis of promising results of neoadjuvant chemotherapy (NAC) in our previous study (JCOG0206), we have been performing a Phase III study of treatment starting with NAC versus standard treatment starting with primary debulking surgery (PDS) for Stage III/IV müllerian carcinomas (ovarian, tubal and peritoneal carcinomas) since November 2006. The purposes are to prove the non-inferiority of the efficacy and to show the decrease in adverse effects resulting from reduced surgical invasiveness of treatment starting with NAC. Three hundred patients with advanced müllerian carcinomas will be randomized during 3 years. NAC arm patients undergo four cycles of NAC with paclitaxel plus carboplatin followed by interval debulking surgery and an additional four cycles of postsurgical chemotherapy. Standard arm patients undergo PDS and eight cycles of postsurgical chemotherapy with or without interval debulking surgery. The primary endpoint is overall survival. The major secondary endpoints are the incidence of adverse events and parameters representing surgical invasiveness.

*Key words: ovarian neoplasms – neoadjuvant therapy – interval debulking surgery – primary debulking surgery*

### INTRODUCTION

The current standard treatment for advanced müllerian cancer is primary debulking surgery (PDS) followed by post-surgical chemotherapy. Better prognosis can be expected in cases in which optimal debulking can be achieved. Unfortunately, optimal debulking in the primary surgery can be achieved in only 30–60% of Stage III/IV müllerian cancers in average institutions (1,2), and the prognosis of patients with advanced müllerian cancers is poor. Neoadjuvant chemotherapy (NAC) has been recognized as a possible approach to improve the prognosis of these patients. In initial studies, NAC was chosen for patients with apparently unresectable bulky tumors or poor performance status

as an alternative treatment to primary surgical debulking. Retrospective analyses (3–7) revealed that progression-free and overall survival were comparable between patients treated with NAC followed by interval debulking surgery (IDS) and those treated with PDS, though the former group had more advanced disease and poorer performance status. On the basis of these favorable results of NAC for patients with advanced disease or poor performance status, the target disease was extended to all cases of advanced disease, including patients without apparently unresectable tumors and good performance status in prospective studies. The European Organization for Research and Treatment of Cancer (EORTC) is conducting a Phase III study comparing neoadjuvant setting treatment with standard treatment for advanced müllerian cancers (8). We conducted a Phase II study of NAC with paclitaxel plus carboplatin followed by IDS and postsurgical chemotherapy as the study of the Japan

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Clinical Oncology Group (JCOG0206) (9). In the study, we assessed the safety and efficacy of NAC treatment, and also assessed whether we can accurately diagnose advanced müllerian cancer based on clinical findings, including imaging studies, cytologic findings and tumor markers. Although the final survival results of this Phase II study are awaited, we have started the Phase III trial on the basis of the efficacy and diagnostic accuracy shown in the study (10). Our study is basically similar to the EORTC study, with the aim of comparing NAC treatment with standard treatment for advanced müllerian cancer. One of the distinct points of our study is omitting the diagnostic surgical procedure, such as laparoscopy or laparotomy, based on the results of our above-mentioned previous study. This means the elimination of an extra procedure for the purpose of the clinical trial in both treatment arms and it has the advantage of making it possible to start NAC treatment earlier. In our study, it is possible to compare the two treatment protocols under clinically relevant conditions. Another distinct point is the number of cycles of chemotherapy. Since the study subjects are patients with evidently advanced disease according to clinical findings, we administer a total of eight cycles of chemotherapy in both treatment arms instead of the standard of six cycles.

The study protocol was designed by the Gynecologic Cancer Study Group (GCSG) of the Japan Clinical Oncology Group (JCOG), approved by the Protocol Review Committee of JCOG on 18 October 2006 and activated on 17 November 2006. This trial was registered at the UMIN Clinical Trials Registry as UMIN000000523 (<http://www.umin.ac.jp/ctr/index.htm>).

## PROTOCOL DIGEST OF THE JCOG0602

### PURPOSE

The purposes are to prove the non-inferiority of the efficacy and to show the decrease in adverse effects due to reduced surgical invasiveness of treatment starting with NAC with paclitaxel plus carboplatin compared with standard treatment starting with PDS for stage III/IV müllerian carcinomas.

### STUDY SETTING

A multi-institutional (30 centers) randomized Phase III trial.

### RESOURCES

Health Sciences Research Grants for the Third Term Comprehensive Control Research for Cancer (Nos. h16-035, h19-028) and Grants-in Aid for Cancer Research (Nos. 17S-1, 17S-5, 17-12), from the Ministry of Health, Labor and Welfare, Japan.

### ENDPOINTS

The primary endpoint is overall survival among all eligible patients. Secondary endpoints concerning the efficacy of

the treatments are as follows: (i) proportion of clinical complete remission (%cCR) among all eligible patients, (ii) progression-free survival among all eligible patients, (iii) response rate to NAC among patients assigned to the NAC arm. Clinical complete remission is defined as the disappearance of all lesions by computed tomography (CT) or magnetic resonance imaging (MRI), no pleural effusions by chest radiography and normal serum CA125 level ( $<20$  U/ml) after completion of the protocol treatment. Secondary endpoints concerning the safety and surgical invasiveness of the treatments are as follows: (i) adverse events, (ii) number of times of surgery, (iii) total duration of the surgery, (iv) total amount of blood loss, (v) amount of blood transfusion during protocol treatment, (vi) amount of blood plasma, plasma expander and albumin infusion during protocol treatment, among all treated patients.

### ELIGIBILITY CRITERIA

#### INCLUSION CRITERIA

The study subjects are patients diagnosed with Stage III or IV ovarian, tubal or peritoneal carcinoma. The diagnosis is based on both imaging studies (CT or MRI, and chest radiography) and cytology/histology of ascites, pleural effusion or fluid/tissue obtained by tumor centesis. Malignancies of other origins, such as breast and digestive tract, should be excluded by endoscopy, opaque enema, or ultrasonography when these malignancies are suspected from symptoms, physical examination or imaging diagnosis. To rule out malignancies of digestive tract origin, the criteria for tumor markers are set to be CA125  $>200$  U/ml and CEA  $<20$  ng/ml.

Further inclusion criteria are (i) the patient is clinically deemed to be a candidate for debulking surgery without evidence of brain, bone or bone marrow metastases, (ii) previously untreated for these malignancies and have no history of treatment with chemotherapy or radiotherapy for other diseases, (iii) age 20–75 years, (iv) Eastern Cooperative Oncology Group (ECOG) performance status of 0–3, (v) adequate bone marrow, hepatic, renal, cardiac and respiratory functions and (vi) written informed consent.

#### EXCLUSION CRITERIA

Exclusion criteria are (i) synchronous or metachronous (within 5 years) malignancy other than carcinoma *in situ*, (ii) pregnant or nursing, (iii) severe mental disorder, (iv) systemic and continuous use of steroidal drugs, (v) positive for serum hepatitis B surface antigen, (vi) active infections, (vii) uncontrolled hypertension, (viii) diabetes mellitus, (ix) uncontrolled or controlled with insulin, (x) history of cardiac failure, unstable angina, myocardial infarction within 6 months prior to registration, (xi) intestinal occlusion requiring surgical treatment, (xii) hypersensitivity to polyoxyethylated castor oil and (xiii) hypersensitivity to alcohol.

## TREATMENT METHODS

## STANDARD TREATMENT ARM

**Primary debulking surgery.** PDS is performed within 4 weeks of study enrollment. Standard procedures for PDS consist of total abdominal hysterectomy, bilateral salpingo-oophorectomy, omentectomy and maximal debulking of metastatic tumors. Systematic pelvic and/or aortic lymphadenectomies are allowed.

**Postsurgical chemotherapy.** Eight cycles of a combination of paclitaxel (175 mg/m<sup>2</sup>, Day 1) and carboplatin (AUC = 6, Day 1), namely the TC regimen, are administered every 3 weeks. Postsurgical chemotherapy is initiated within 3–5 weeks after PDS, according to the invasiveness of the surgery.

**Interval debulking surgery.** IDS is required when any of the standard procedures is not completed at PDS. IDS is allowed, as an option, when residual tumor larger than 1 cm is left at PDS. In such cases, IDS is performed 4–7 weeks after administration of the fourth cycle of postsurgical chemotherapy unless there is disease progression. The standard goal of IDS is completion of all standard procedures of PDS and maximal debulking of metastatic tumors. Systematic pelvic and/or aortic lymphadenectomies are allowed, but not included in the standard goal of IDS. Following IDS, four additional cycles of chemotherapy (TC regimen) is administered (eight cycles of chemotherapy in total). The chemotherapy is initiated within 3–5 weeks after IDS, according to the invasiveness of the surgery.

## NAC ARM

**Neoadjuvant chemotherapy.** Four cycles of a combination of paclitaxel (175 mg/m<sup>2</sup>, Day 1) and carboplatin (AUC = 6, Day 1) are administered every 3 weeks. NAC is initiated within 2 weeks of study enrollment.

**Interval debulking surgery.** IDS is performed 4–7 weeks after administration of the fourth cycle of NAC unless disease progression occurs during NAC. Standard procedures of IDS consist of total abdominal hysterectomy, bilateral salpingo-oophorectomy, omentectomy and maximal debulking of metastatic tumors. Systematic pelvic and/or aortic lymphadenectomies are allowed, but not included in standard procedures.

**Postsurgical chemotherapy.** Four additional cycles of chemotherapy (TC regimen) are administered (8 cycles of chemotherapy in total). Postsurgical chemotherapy is initiated within 3 to 5 weeks after IDS, according to the invasiveness of the surgery.

## STUDY DESIGN AND STATISTICAL METHODS

The study is designed as a randomized Phase III non-inferiority study. Patients are randomized to each treatment arm by a minimization method with institution, Stage (III or IV), PS (0, 1 or 2, 3) and age (<60 or ≥60) as balancing

factors at the JCOG Data Center. The planned accrual period is 3 years and the follow-up period is set as 5 years after completion of accrual. The hazard ratio between treatment arms and its confidence interval, estimated by the Cox proportional hazard model stratified with stage, PS and age is used to test the non-inferiority of the NAC treatment regarding overall survival. The significance level is set as 0.05 in a one-sided test because of the non-inferiority design of the study. Two hundred seventy-six events would provide a statistical power of 80%, to conclude that the NAC arm is not inferior to the standard arm in 3-year overall survival with a non-inferiority margin of 5%, and non-inferiority is concluded if the upper limit of the confidence interval of the hazard ratio does not exceed the limit of 1.161, which is in accord with the non-inferiority margin. A sample size of 298 patients is necessary to observe 276 events, considering the accrual and follow-up period, an estimated 3-year overall survival of 25% in the standard treatment arm and an expected 3-year overall survival of 30.3% in the NAC arm. Thus, the target sample size of 300 patients (150 patients per regimen) was set. Interim analysis is planned after half of the patients are enrolled, taking into account the multiplicity with the O'Brien Fleming type alpha spending function.

## STUDY MONITORING

In-house interim monitoring is performed by the JCOG Data Center to ensure data submission, patient eligibility, protocol compliance, safety and on-schedule study progress according to standard JCOG procedures. Monitoring reports are submitted to the investigators in GCSG and the JCOG Data and Safety Monitoring Committee every 6 months.

## PARTICIPATING INSTITUTIONS

Hokkaido University, Sapporo Medical University, Tohoku University, University of Tsukuba, National Defense Medical College, Saitama Cancer Center, Saitama Medical Center, National Cancer Center Hospital, The Jikei University School of Medicine, Cancer Institute Hospital, University of Tokyo, Juntendo University, Kitasato University, Niigata Cancer Center Hospital, Shinshu University, Aichi Cancer Center, National Hospital Organization Nagoya Medical Center, Kinki University, Osaka Medical Center for Cancer and Cardiovascular Diseases, Osaka City General Hospital, Osaka City University, Hyogo Cancer Center, Tottori University, National Hospital Organization Kure Medical Center, National Hospital Organization Shikoku Cancer Center, National Hospital Organization Kyushu Cancer Center, University of Kurume, Kyushu University, Saga University and Kagoshima City Hospital.

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## Phase II Clinical Trial of Pegylated Liposomal Doxorubicin (JNS002) in Japanese Patients with Müllerian Carcinoma (Epithelial Ovarian Carcinoma, Primary Carcinoma of Fallopian Tube, Peritoneal Carcinoma) Having a Therapeutic History of Platinum-based Chemotherapy: A Phase II Study of the Japanese Gynecologic Oncology Group

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**Objective:** This study was conducted to evaluate the efficacy and safety of pegylated liposomal doxorubicin (PLD) in Japanese patients with Müllerian carcinoma having a therapeutic history of platinum-based chemotherapy.

**Methods:** Patients who were diagnosed with Müllerian carcinoma (epithelial ovarian carcinoma, primary carcinoma of fallopian tube and peritoneal carcinoma) by histological examination and had received the initial platinum-based chemotherapy were included in the study. The study drug was administered to the patients at 50 mg/m<sup>2</sup> every 4 weeks.

**Results:** Seventy-four patients were enrolled in the study. All patients had received platinum-based chemotherapy as first-line regimen and more than 90% of patients had also received taxanes. The overall response rate was 21.9% (95% confidence interval, 13.1–33.1%) and 38.4% of patients had stable disease. The median time to progression was 166 days. The major non-haematological toxicities were hand-foot syndrome (Grade 3; 16.2%) and stomatitis (Grade 3; 8.1%). Myelosuppression such as leukopenia (Grade 3; 52.7%, Grade 4; 6.8%), neutropenia (Grade 3; 31.1%, Grade 4; 36.5%) and decreased haemoglobin (Grade 3; 14.9%, Grade 4; 2.7%) were the most common haematological toxicities.

**Conclusion:** We confirmed that a 50 mg/m<sup>2</sup> every 4 weeks regimen of PLD was active in Japanese patients with Müllerian carcinoma having a therapeutic history of platinum-based chemotherapy and toxicity was manageable by dose modification of PLD or supportive care.

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*Key words: pegylated liposomal doxorubicin – Müllerian carcinoma – ovarian carcinoma – hand-foot syndrome – chemo-gynaecology – chemo-phase I-II-III – gynaecology*

## INTRODUCTION

Approximately 8000 cases of ovarian cancer are newly diagnosed in Japan and more than 4000 women die of this disease (1). From an embryologic perspective, epithelial ovarian carcinoma, primary carcinoma of fallopian tube and peritoneal carcinoma are generally recognized as a similar disease group, which is known as Müllerian carcinoma. In patients with primary carcinoma of the fallopian tube and peritoneal carcinoma, the experience with chemotherapeutic agents is largely limited to case reports and small studies due to the rarity of disease type (2,3). However, the overall experience closely parallels that of ovarian cancer, so treatment of primary carcinoma of the fallopian tube and peritoneal carcinoma is conducted according to that of ovarian cancer (2,3).

Advanced epithelial ovarian cancer is a highly chemosensitive solid tumour with response rates to first-line chemotherapy of ~80%. The majority of patients, however, eventually relapse and treatment with second-line agents becomes necessary. Furthermore, patients with recurrent ovarian cancer ultimately die of chemoresistant disease. Therefore, it is very important to recognize recurrent ovarian cancer therapy as palliative therapy and therapeutic agents are required to show efficacy as well as favourable toxicity profile. However, there are not many drugs approved in Japan for ovarian carcinoma, or recommended by the Japanese clinical practice guideline for as second-line treatment except platinum, taxane and irinotecan.

Pegylated liposomal doxorubicin (PLD) is a formulation of doxorubicin hydrochloride encapsulated in long circulating STEALTH<sup>®</sup> liposomes and formulated for intravenous administration. STEALTH<sup>®</sup> liposomes have liquid membranes coated with polyethylene glycol, which attracts water and renders resistance to mononuclear phagocytosis (4). The liposome's small diameter (~100 nm) and their persistence in the circulation allow their penetration into altered and often compromised, leaky tumour vasculature with entry into the interstitial space in malignant tissues (5). Therefore, pegylated liposomes are suitable for prolonged delivery of doxorubicin and have a prolonged circulation time (6,7). At these tumour sites, the accumulating liposomes gradually break down, releasing doxorubicin to the surrounding tumour cells (8,9). PLD has been designed to enhance the efficacy and to reduce the toxicities of doxorubicin such as myelosuppression, alopecia and cardiotoxicity by altering the plasma pharmacokinetics and tissue distribution of the drug.

Based on the data from the Phases II and III clinical trials in Europe and the USA, it is evident that PLD possesses

promising activity and a favourable toxicity profile in the second-line treatment of ovarian cancer (10–15). Currently, PLD is provided as one of the standard treatment options in recurrent ovarian cancer treatment guidelines (16–18).

The result of the Phase I clinical trial in Japan was reported (19). In that study, recommended PLD dose was evaluated in 15 Japanese patients with solid tumours and resulted in 50 mg/m<sup>2</sup> every 4 weeks. In addition, one partial response (PR) and one normalization of CA125 were observed among six ovarian cancer patients enrolled in that study, and further trials with Japanese ovarian cancer patients were encouraged.

Based on the result from a Phase I clinical trial in Japan, we conducted the Phase II clinical trial of PLD in patients with recurrent or relapsed Müllerian carcinoma (epithelial ovarian carcinoma, primary carcinoma of fallopian tube, peritoneal carcinoma) having a therapeutic history of platinum-based chemotherapy.

We conducted a multicentre, non-randomized, open-label study to evaluate efficacy and safety of a PLD 50 mg/m<sup>2</sup> every 4-week regimen in Japanese patients with Müllerian carcinoma who had previously been treated with platinum-based chemotherapy.

## PATIENT AND METHODS

### STUDY DESIGN

This study was a multicentre non-randomized, open-label trial to evaluate efficacy and safety of PLD in Japanese patients with Müllerian carcinoma previously treated with platinum-based chemotherapy. The primary endpoint was the best overall response (response rate) and secondary endpoints included adverse events and adverse drug reactions (incidence, severity, seriousness and causality), time to response and duration of response. The final evaluation of the antitumour effect was performed by the independent radiological review committee. The study protocol was approved by the institutional review board at each site. This study was conducted based on ethical principles in the Declaration of Helsinki and in compliance with Good Clinical Practice.

### PATIENTS

This study included patients who met all the following inclusion criteria: (i) having histological confirmation of Müllerian carcinoma (epithelial ovarian carcinoma, primary fallopian tube carcinoma and peritoneal carcinoma);

(ii) receiving first-line platinum-based chemotherapy and who would receive PLD as a second-line therapy if time to progression was within 12 months from the date of final administration of platinum therapy, excluding patients whose best response to first-line platinum-based chemotherapy was progressive disease (PD), or who received PLD as a third-line therapy; (iii) receiving 1 or 2 regimens with prior chemotherapy; (iv) having measurable lesions that conformed to the Response Evaluation Criteria in Solid Tumours (RECIST) criteria; (v) ECOG performance status (PS) grade of 0-2; (vi) adequate functions of principal organs, defined by white blood cell (WBC) counts  $3.0 \times 10^3$ - $12.0 \times 10^3/\text{mm}^3$ , neutrophil counts not less than  $1.5 \times 10^3/\text{mm}^3$ , haemoglobin not less than 9.0 g/dl, platelet count not less than  $10.0 \times 10^4/\text{mm}^3$ , serum AST, ALT and AP not more than 2.5 times the institutional upper limit of normal, total bilirubin not more than the institutional upper limit of normal, serum creatinine not more than 1.5 times the institutional upper limit of normal, left ventricular ejection fraction (LVEF) not less than 50%, electrocardiography (ECG) normal or minor change without symptoms that required any therapeutic intervention, and no evidence of cardiac disorder or Class I in New York Heart Association (NYHA) functional classification; (vii) no colony stimulating factor (CSF) agent or blood transfusion received within 2 weeks before the date of blood tests for screening; (viii) no previous treatment with hormonal agents, oral antimetabolic or immunotherapeutic agents for at least 2 weeks, with nitrosourea or mitomycin C at least 6 weeks, or with surgical therapy, radiation therapy or other chemotherapy for 4 weeks or more; (ix) abilities to stay in hospital for 4 consecutive weeks from the initial administration of PLD; (x) survival expectancy 3 months or longer; (xi) 20-79 of age years at enrolment in the trial; and (xii) received an explanation of this trial from the physicians with written informed consent forms and other relevant information and freely provided informed consent before the trial.

Patients who met any of the following exclusion criteria were excluded from the trial: (i) requiring drainage of pericardial fluid; (ii) having experienced myocardial infarction or angina attack within 90 days before the start of trial; (iii) receiving prior therapy with anthracycline (total anthracycline dose of more than  $250 \text{ mg}/\text{m}^2$  as doxorubicin); and (iv) having known hypersensitivity to doxorubicin or any component of PLD.

#### MEDICATION

PLD was intravenously administered to each subject at a dose of  $50 \text{ mg}/\text{m}^2$  as doxorubicin hydrochloride on Day 1 of each cycle, followed by a treatment-free interval of 28 days including Day 1. This was repeated for at least two cycles if the subject did not meet the withdrawal criteria. PLD was administered at a rate of 1.0 mg/min from the start of infusion to completion, using an infusion pump in consideration of risks of development of infusion-related reactions. PLD was used by diluting with 250 ml of 5% glucose injection

for a dose of less than 90 mg as doxorubicin hydrochloride or with 500 ml for a dose of 90 mg or more as doxorubicin hydrochloride.

After administration, PLD would be discontinued in subjects who met any of the following withdrawal criteria: (i) desiring to discontinue the study treatment or withdrawing consent; (ii) having LVEF decreased to less than 45% after administration of PLD or decreased by 20% or more than baseline; (iii) having no possibility for a subsequent cycle to be started within 6 weeks from the planned injection date because of adverse reactions or after 8 weeks for hand-foot syndrome (HFS) or stomatitis; (iv) having bilirubin increased to 3.0 mg/dl or more; (v) requiring a repeated reduction in the dose; (vi) the anticipated total dose of anthracycline antibiotics including PLD would exceed  $500 \text{ mg}/\text{m}^2$  as doxorubicin hydrochloride (including doses from prior chemotherapy and pre/postoperative treatment); (vii) being judged by the physician to have difficulties continuing the trial due to serious (or significant) adverse events; (viii) being assessed to have difficulty continuing the trial due to concurrent illnesses (e.g. complications); (ix) having obvious progression of the underlying disease or development of new lesions (PD); (x) having any of the exclusion criteria which was discovered after enrolment; and (xi) being judged as unfavourable to continue the trial by the physician.

Prior to administration of the study drug in the next cycle, all the subjects were confirmed to meet all the following criteria: (i) HFS or stomatitis  $\leq$  Grade 1; (ii) neutrophil counts  $\geq 1.5 \times 10^3/\text{mm}^3$ ; (iii) WBC counts  $\geq 3.0 \times 10^3/\text{mm}^3$ ; (iv) platelet counts  $\geq 7.5 \times 10^4/\text{mm}^3$ ; (v) bilirubin  $\leq 1.5 \text{ mg}/\text{dl}$ ; and (vi) other adverse drug reactions  $\leq$  Grade 2 (excluding fatigue, nausea, vomiting, anorexia, hypokalemia, hyponatremia and lymphopenia). If any of these criteria was not met, the scheduled administration of the study drug for the next cycle would be delayed for 2 weeks at the maximum. If any of the above criteria was still not met after a 2-week delay from the scheduled initial date of each cycle, the trial for the subjects would be discontinued. In case Grade 2 HFS or stomatitis was observed at 6 weeks from the initial date of each cycle, the scheduled administration of the test drug for the next cycle would be delayed for 2 weeks. As a result, when the subjects met all the above criteria, the next cycle would be started. Even if the subjects met all the criteria, the scheduled initial date could be delayed for a maximum of 2 weeks at the investigator's discretion.

As the subjects met any of the following dose reduction criteria, the previous dose would be reduced by 25% ( $37.5 \text{ mg}/\text{m}^2$ ) for the next cycle: (i) HFS or stomatitis  $\geq$  Grade 3; (ii) neutrophil count  $< 500/\text{mm}^3$  or WBC count  $< 1000/\text{mm}^3$  that was maintained for at least 7 days; (iii) neutrophil counts  $< 1000/\text{mm}^3$  with  $38.0^\circ\text{C}$  or higher fever; (iv) platelet reduction  $< 2.5 \times 10^4/\text{mm}^3$ ; (v) other adverse drug reactions  $\geq$  Grade 3 (excluding fatigue, nausea, vomiting, anorexia, hypokalemia, hyponatremia, lymphopenia and other adverse events associated with infusion-related reactions); and (vi) the physician judged that the dose should be



decreased. Dose reduction was permitted only once, and it was prohibited to increase the dose after the dose was reduced. If a further dose reduction was required after the dose was reduced, the trial for the subject would be discontinued.

Administration of CSF was admitted when patients met any of the following criteria: (i) neutrophil counts  $<1000/\text{mm}^3$  with fever ( $\geq 38^\circ\text{C}$ ); (ii) neutrophil counts  $<500/\text{mm}^3$ ; (iii) experience of either (i) or (ii) in the prior cycle and neutrophil counts  $<1000/\text{mm}^3$  in the following cycle.

#### EVALUATION OF RESPONSE AND SAFETY

Tumour response evaluation was performed according to the RECIST guidelines. Confirmed duration of stable disease (SD) was defined as the duration of 8 consecutive weeks or longer after the start of administration.

Severity of adverse events was assessed according to the Common Terminology Criteria for Adverse Events (CTCAE) Version 3.0.

#### SAMPLE SIZE AND STATISTICAL ANALYSIS

Among the subjects enrolled in this trial, those who received platinum-based chemotherapy as the first-line chemotherapy and experienced disease progression between 6 and 12 months after the completion of the platinum regimen were classified as the platinum-sensitive group, and those who had progression during the first-line chemotherapy, received platinum-based chemotherapy as the first-line chemotherapy and experienced progression less than 6 months after the completion of the platinum regimen, or who would receive PLD as a third-line therapy were classified as the platinum-resistant group. A sample size to produce the expected response rate of 30 and 15% for the platinum-sensitive and platinum-resistant groups, respectively, with the threshold response rate of 5%, a significance level of 5% and power of 80% was determined to be 80 patients in total (20 and 60 patients for the platinum-sensitive and platinum-resistant groups, respectively).

For the response evaluation, statistical analysis was performed based on the evaluation for the full analysis set (FAS) by the independent radiological review committee. The primary endpoint was the response rate, the proportion of patients with complete response (CR) or PR in the response analysis set, and the point estimate and two-sided 95% confidence interval (CI) were calculated. The secondary endpoints included the duration of overall response, time to response and time to progression, and the progression-free survival was analysed using the Kaplan-Meier method, and descriptive statistics (median, minimum and maximum) were calculated. The safety of PLD was evaluated for all the subjects treated with PLD. Statistical analyses were performed using the SAS System for Windows release 8.02.

## RESULT

Demographics and baseline characteristics of patients are shown in Table 1. Seventy-four patients were enrolled into the trial between January and December 2005, and 73 patients (11 for the platinum-sensitive group and 62 for the platinum-resistant group), excluding one patient who was confirmed to be ineligible after enrolment, were eligible for the trial, and defined as the FAS. All 74 patients who received PLD were defined as the safety analysis set. Although the targeted number of patients for the platinum-sensitive group was 20, only 11 patients were enrolled. That was because the study was closed at the end of 2005 when the patient enrolment in the platinum-resistant group reached the target number due to slow enrolment.

The median of patients' age was 57.0 years (range, 32–76). Among 74 patients enrolled, 62 had epithelial ovarian carcinoma and 12 had peritoneal carcinoma. Histological, 49 patients had serous carcinoma, eight had endometrioid carcinoma, eight had clear cell carcinoma, one had mucinous carcinoma and eight had other types of carcinoma. All 74 patients had received first-line chemotherapy including platinum regimen, 70 (94.6%) had also received taxanes as the first-line chemotherapy, and only three had received anthracycline in the prior chemotherapy. A total of 334 cycles of PLD was administered to 74 patients, and the median number of cycles administered was 4.0 (range, 1–10 cycles). Administration of PLD was completed or discontinued in all 74 patients before statistical analysis. The dose of PLD was reduced to  $37.5\text{ mg/m}^2$  in 26 of 74 patients (35.1%). The scheduled administration of PLD was delayed in 49 of 74 patients (66.2%) and in 154 of 334 cycles (46.1%).

#### RESPONSE

The antitumour effect (best overall response) and response rate are shown in Table 2. The best overall response in 73 patients of FAS was CR in two patients, PR in 14, SD in 28, PD in 27 and not evaluable (NE) in two patients. The response rate was 21.9% (16 of 73) (95% CI: 13.1–33.1%). The response rate (two-sided 95% CI) by patient group was 27.3% (3 of 11) (95% CI: 6.0–61.0%) in the platinum-sensitive group and 21.0% (13 of 62) (95% CI: 11.7–33.2%) in the platinum-resistant group. The proportion of patients with CR, PR or SD was 60.3% (44 of 73) in FAS, and 54.5% (6 of 11) in the platinum-sensitive group and 61.3% (38 of 62) in the platinum-resistant group.

The results from subgroup analysis sets by platinum-free interval were as follows. In a subgroup analysis set where patients received PLD as a second-line therapy, the response rate by platinum-free intervals was 8.3% (1 of 12) and 27.3% (3 of 11) in patients with the platinum-free interval of within 6 months and of 6–12 months, respectively. In another subgroup analysis set where patients received PLD as a third-line therapy, the response rate was 7.1% (1 of 14),

Table 1. Demographics and baseline characteristics of patients

Characteristics	Total (n = 74)	Platinum sensitive (n = 11)	Platinum resistant (n = 63)
Age, years			
Median (range)	57.0 (32–76)	55.0 (40–72)	58.0 (32–76)
Primary cancer (%)			
Epithelial ovarian carcinoma	62 (83.8)	11 (100.0)	51 (81.0)
Peritoneal carcinoma	12 (16.2)	0 (0.0)	12 (19.0)
Tumour histology (%)			
Serous	49 (66.2)	6 (54.5)	43 (68.3)
Endometrioid	8 (10.8)	3 (27.3)	5 (7.9)
Clear cell	8 (10.8)	1 (9.1)	7 (11.1)
Mucinous	1 (1.4)	0 (0.0)	1 (1.6)
Other	8 (10.8)	1 (9.1)	7 (11.1)
Initial FIGO stage (%)			
I	7 (9.5)	1 (9.1)	6 (9.5)
II	1 (1.4)	1 (9.1)	0 (0.0)
III	50 (67.6)	6 (54.5)	44 (69.8)
IV	16 (21.6)	3 (27.3)	13 (20.6)
Previous chemotherapy (%)			
1 regimen	23 (31.1)	11 (100.0)	12 (19.0)
2 regimen	50 (67.6)	0 (0.0)	50 (79.4)
3 regimen	1 (1.4)	0 (0.0)	1 (1.6)
Previous chemotherapy with anthracycline (%)			
Yes	3 (4.1)	0 (0.0)	3 (4.8)
No	71 (95.9)	11 (100.0)	60 (95.2)
Platinum-free interval (days)			
Median (range)	263 (28–2792)	315 (216–441)	235 (28–2792)
CA-125 at baseline (U/ml)			
Median (range)	243.6 (5.8–7809.8)	192.1 (22.2–808.0)	261.0 (5.8–7809.8)

FIGO, Federation Internationale de Gynecologie et d'Obstetrique.

Table 2. Response rate

	Total	Platinum sensitive	Platinum resistant
Number of patients	73	11	62
Best overall response: n (%)			
CR	2 (2.7)	0 (0.0)	2 (3.2)
PR	14 (19.2)	3 (27.3)	11 (17.7)
SD	28 (38.4)	3 (27.3)	25 (40.3)
PD	27 (37.0)	4 (36.4)	23 (37.1)
NE	2 (2.7)	1 (9.1)	1 (1.6)
Response rate			
n (%) (95% CI)	16 (21.9) (13.1–33.1)	3 (27.3) (6.0–61.0)	13 (21.0) (11.7–33.2)

CR, complete response; PR, partial response; SD, stable disease; PD, progression disease; NE, not evaluable; 95% CI, confidence interval.

15.4% (2 of 13) and 36.8% (7 of 19) in patients with the platinum-free interval of within 6 months, of 6–12 months and more than 12 months, respectively.

The response rate by histological type was 29.2% (14 of 48) and 25.0% (2 of 8) in patients with serous carcinoma and with endometrioid carcinoma, respectively. In patients

Table 3. Time to response, duration of response and time to progression

	Total	Platinum sensitive	Platinum resistant
Number of patients	73	11	62
Time to response (day)			
Patient (%) <sup>a</sup>	16 (21.9)	3 (27.3)	13 (21.0)
Median (range)	54.0 (20-162)	56.0 (54-59)	52.0 (20-162)
Duration of response (day)			
Patient (%) <sup>a</sup>	16 (21.9)	3 (27.3)	13 (21.0)
Median (range)	149.0 (56-309)	- (92-159)	149.0 (56-309)
Withdrawal (%)	11 (68.8)	2 (66.7)	9 (69.2)
Time to progression (day)			
Patient (%) <sup>b</sup>	71 (97.3)	10 (90.9)	61 (98.4)
Median (range)	166.0 (14-358)	159.0 (16-217)	168.0 (14-358)
Withdrawal (%)	30 (42.3)	4 (40.0)	26 (42.6)

<sup>a</sup>Responder only. <sup>b</sup>Excluded two patients due to unable calculation for time to progression.

with clear cell carcinoma, SD was observed in two of eight patients, and the time to progression in the two patients was 350+ and 87+ days, respectively. In patients with mucinous carcinoma, SD was observed in one of one patient and the time to progression was 135+ days.

The median and range of the duration of response, time to response and time to progression are shown in Table 3.

The median time to response (CR or PR) was 54.0 days. The median time to response was 56.0 days in the platinum-sensitive group and 52.0 days in the platinum-resistant group.

The median duration of overall response was 149.0 days. The median duration of overall response in the platinum-resistant group was 149.0 days, however, that in the platinum-sensitive group could not be calculated. The Kaplan-Meier curve for time to progression is shown in Fig. 1. The median time to progression was 166.0 days: 159.0 days in the platinum-sensitive group and 168.0 days in the platinum-resistant group. The median survival could not be calculated.

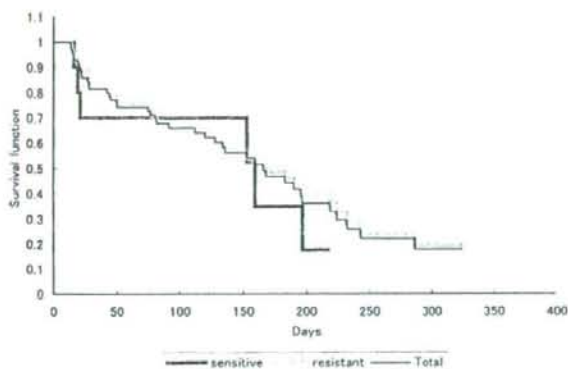


Figure 1. Kaplan-Meier estimates of time to progression.

#### SAFETY

Adverse drug reactions were reported from all 74 patients treated with PLD. The major adverse drug reactions observed in the study are shown in Table 4.

The most common Grade 3 or 4 adverse reactions were due to haematological toxicity: neutropenia in 50 patients (67.6%), leukopenia in 44 (52.7%), lymphopenia in 35 (47.3%), decreased haemoglobin in 13 (17.6%), thrombocytopenia in five (6.8%) and erythropenia in three patients (4.1%). The median time to nadir for neutrophils, WBCs, haemoglobin and platelets from the start of administration in the first cycle was 21.0 days, 21.0, 15.0 and 22.0 days, respectively. The median time to recovery to the level at which the administration of PLD in the next cycle was permitted was 7.0-8.0 days for any haematological event.

Grade 3 or 4 adverse drug reactions due to non-haematological toxicity included: HFS in 12 patients (16.2%), stomatitis in six (8.1%), febrile neutropenia, nausea, ALT (GPT) increased and blood potassium decreased in two each (2.7%) and deep venous thrombosis rash, herpes zoster, infection, upper respiratory tract infection, impaired glucose tolerance, diarrhoea, small intestinal obstruction, vomiting, fatigue, AST (GOT) increased, decreased blood sodium and increased  $\gamma$ -GTP in one each (1.4%). Only deep venous thrombosis was Grade 4. The median time to occurrence of HFS, rash and stomatitis from the start of administration was 34.0 days (2.0 cycles), 33.0 days (2.0 cycles) and 16.0 days (1.0 cycle), respectively. The median time to the Grade 2, 3 or 4 adverse reactions (Grade 3 or 4 for rash), which required delay of next administration, was 64.5 (3.0 cycles), 84.0 (3.0 cycles) and 43.0 (2.0 cycles), respectively and the median duration for those reactions was 15.0, 8.0 and 8.0 days, respectively.

Infusion-related reactions were seen in 14 patients (18.9%) only during the first cycle. Serious reactions were not seen.

Table 4. Grades 3 and 4 adverse drug reactions

Adverse Reaction (MedDRA/J Ver9.0)	Number of patients (n = 74)			
	Grade 1 (%)	Grade 2 (%)	Grade 3 (%)	Grade 4 (%)
Neutropenia	8 (10.8)	11 (14.9)	23 (31.1)	27 (36.5)
Lymphocytopenia	15 (20.3)	16 (21.6)	29 (39.2)	6 (8.1)
Leukopenia	5 (6.8)	20 (27.0)	39 (52.7)	5 (6.8)
Haemoglobin decreased	23 (31.1)	27 (36.5)	11 (14.9)	2 (2.7)
Thrombocytopenia	27 (36.5)	13 (17.6)	4 (5.4)	1 (1.4)
Deep vein thrombosis	0 (0)	0 (0)	0 (0)	1 (1.4)
Hand-foot syndrome	20 (27.0)	26 (35.1)	12 (16.2)	0 (0)
Stomatitis	29 (39.2)	22 (29.7)	6 (8.1)	0 (0)
Erythropenia	42 (56.8)	11 (14.9)	3 (4.1)	0 (0)
Nausea	37 (50.0)	6 (8.1)	2 (2.7)	0 (0)
ALT (GPT) increased	16 (21.6)	1 (1.4)	2 (2.7)	0 (0)
Blood potassium decreased	10 (13.5)	0 (0)	2 (2.7)	0 (0)
Febrile neutropenia	0 (0)	0 (0)	2 (2.7)	0 (0)
Rash	17 (23.0)	19 (25.7)	1 (1.4)	0 (0)
Fatigue	28 (37.8)	5 (6.8)	1 (1.4)	0 (0)
Vomiting	11 (14.9)	5 (6.8)	1 (1.4)	0 (0)
$\gamma$ -GTP increased	13 (17.6)	4 (5.4)	1 (1.4)	0 (0)
Diarrhoea	12 (16.2)	4 (5.4)	1 (1.4)	0 (0)
AST (GOT) increased	18 (24.3)	2 (2.7)	1 (1.4)	0 (0)
Upper respiratory tract infection	0 (0)	2 (2.7)	1 (1.4)	0 (0)
Blood sodium decreased	15 (20.3)	0 (0)	1 (1.4)	0 (0)
Small intestinal obstruction	0 (0)	0 (0)	1 (1.4)	0 (0)
Herpes zoster	0 (0)	0 (0)	1 (1.4)	0 (0)
Infection	0 (0)	0 (0)	1 (1.4)	0 (0)
Glucose tolerance impaired	0 (0)	0 (0)	1 (1.4)	0 (0)

Of these patients, one patient had Grade 2 events and other patients had Grade 1 events. Symptoms associated with infusion-related reactions included hot flushes, facial flushing and hot feeling. These symptoms were restored on the day of occurrence or the following day. PLD was discontinued in one patient who had nausea, low back pain, chest tightness and facial flushing as Grade 2 infusion-related reactions. These symptoms were rapidly restored by supportive care with drip infusion of physiological saline. Although slow-down in the PLD infusion rate was required in two patients, the other 11 patients completed the infusion without any intervention. Among 14 patients with infusion-related reactions, 11 patients received the next cycle without recurrence of infusion-related reactions.

Cardiac toxicity was seen in 17 of 74 patients (23.0%), all of which were Grade 1. Increase in the incidence of cardiac

toxicity associated with accumulation of PLD was not observed. Alopecia was seen in 18 patients (24.3%), which was Grade 1 in all of them.

There was no death due to adverse events reported during the trial period. Fourteen serious adverse reactions were seen in 11 patients (14.9%): two events each of nausea, HFS, small intestinal obstruction and stomatitis; and one event each of neutropenia, leukopenia, vomiting, pneumonitis, deep venous thrombosis and anorexia.

PLD was discontinued due to adverse reactions in 16 (21.6%). Common adverse reactions that required the discontinuation of PLD included: decreased haemoglobin in six patients (8.1%), leukopenia in four (5.4%) and HFS and neutropenia in three each (4.1%). The PLD dose was reduced in 24 patients (32.4%) due to adverse drug reactions such as HFS in 10 patients (13.5%), decreased haemoglobin and stomatitis in five each (6.8%) and neutropenia in three patients (4.1%). Administration of PLD was delayed in 49 patients (66.2%) in 111 cycles of 334 cycles due to adverse reactions mainly including leukopenia in 68 cycles (20.4%), neutropenia in 56 cycles (16.8%), HFS in 40 cycles (12.0%) and stomatitis in eight cycles (2.4%).

## DISCUSSION

We evaluated the efficacy and safety of PLD in Japanese patients with Müllerian carcinoma (epithelial ovarian carcinoma, primary fallopian tube carcinoma and peritoneal carcinoma) previously treated with platinum-based chemotherapy.

Currently, platinum and taxane therapies are used for the standard first-line chemotherapy for treatment of ovarian carcinoma, though the results of Phase III clinical trials conducted in the US and Europe demonstrated the effectiveness of PLD, gemcitabine and topotecan in patients resistant to these drugs (13,14,20). However, these drugs have not been approved and the results from prospective studies of their use in patients with ovarian carcinoma previously treated with platinum and taxane therapy have not been reported in Japan. Our study was intended to provide the outcome in patients who had recurrent Müllerian carcinoma after the standard first-line chemotherapy (90% of patients in our study had received first-line chemotherapy with platinum and taxane).

In this trial, the response rate was 21.9% (95% CI: 13.1–33.1%) for all patients in FAS. The response rate in the platinum-sensitive and platinum-resistant groups was 27.3% (95% CI: 6.0–61.0%) and 21.0% (95% CI: 11.7–33.2%), respectively. Better response was obtained in patients with longer platinum-free interval when PLD was administered as second- or third-line chemotherapy. Clinical studies conducted in the US and Europe showed that the response rate of PLD was 28.4% in the platinum-sensitive group and 6.5–18.3% in the platinum-resistant group (11,12,13). These response rates were similar to those obtained in our trial.