

severe neutropenia in patients receiving 350 mg/m² of irinotecan (8). We extended this observation in patients receiving cisplatin and irinotecan to clarify the association between PTB and severe toxicity, including neutropenia and diarrhea, in these patients.

PATIENTS AND METHODS

TREATMENT SCHEDULE

The subjects consisted of consecutive lung cancer patients who had received cisplatin and irinotecan therapy at the National Cancer Centre Hospital between February 1999 and May 2004. Irinotecan, diluted in 500 ml of normal saline, was given intravenously over 90 min at a dose of 60 mg/m² on days 1 and 8 or on days 1, 8 and 15. Cisplatin was given intravenously over 60 min after the irinotecan infusion at a dose of 60 or 80 mg/m² on day 1 with at least 2500 ml of hydration. The first phase I trial of irinotecan and cisplatin showed that 80 mg/m² of cisplatin on day 1 and 60 mg/m² of irinotecan on days 1, 8, and 15 were the recommended dose for phase II trials (12), and this dose schedule was used for subsequent phase II and phase III trials of non-small cell lung cancer (NSCLC) (13,4,14). The second phase I trial of this combination showed that 60 mg/m² of cisplatin on day 1 and 80 mg/m² of irinotecan on days 1, 8, and 15 were the recommended dose (15). A phase II trial for small cell lung cancer, however, showed that this dose schedule was too toxic, and thereafter the dose of irinotecan was reduced from 80 to 60 mg/m² (16). From the above, we used 80 mg/m² of cisplatin and 60 mg/m² of irinotecan for patients with NSCLC, and 60 mg/m² of cisplatin and 60 mg/m² of irinotecan for the other patients. Administration of irinotecan was omitted if any of the following toxicities were noted on days 8 and 15: a white blood cell count <2.0 × 10⁹/l, a platelet count <75 × 10⁹/l, or grade 1–3 diarrhea. Each course was repeated every 3 or 4 weeks until the occurrence of unacceptable toxicity, disease progression, patient's refusal to continue treatment, or the investigator's medical decision to stop treatment. To control for cisplatin-induced emesis, a 5-HT₃ receptor antagonist and dexamethasone were given prior to cisplatin administration.

STUDY DESIGN

We retrospectively reviewed the patients' clinical records, including patient characteristics (age, sex, Eastern Cooperative Oncology Group performance status, histology of primary disease, clinical stage, prior treatment, evidence of liver metastasis), the dose and schedule of chemotherapy, and pre-treatment complete blood counts and serum chemistry profiles. We defined 'severe toxicity' as grade 4 neutropenia or grade 3–4 diarrhea during the first cycle of chemotherapy, in accordance with the NCI-CTC Version 2.0 criteria. All patients were treated as in-patients, and complete

Table 1. Patient characteristics

| | | No. of patients |
|----------------------------|---|-----------------|
| Sex | Male/female | 93/34 |
| Age | Median (range) | 61 (24–74) |
| Performance status | 0/1/2 | 34/91/2 |
| Histology | Non-small cell lung cancer | 57 |
| | Small cell lung cancer | 63 |
| | Others | 7 |
| Liver metastasis | Yes/no | 18/109 |
| Prior chemotherapy | Yes/no | 17/110 |
| PTB (mg/m ²) | Median (range) | 0.6 (0.2–2.4) |
| PNC (× 10 ⁹ /l) | Median (range) | 4.1 (1.8–8.5) |
| Chemotherapy | CDDP (60) day 1 + CPT-11 (60) days 1.8 q3w | 32 |
| | Regimens (mg/dl) | |
| Regimens (mg/dl) | CDDP (60) day 1 + CPT-11 (60) days 1.8.15 q4w | 39 |
| | CDDP (80) day 1 + CPT-11 (60) days 1.8 q3w | 24 |
| | CDDP(80) day1 + CPT-11 (60) days 1.8.15 q4w | 32 |

PTB, pre-treatment total bilirubin; PNC, pre-treatment neutrophil count.

blood counts and serum chemistry profiles were assessed at least once a week. PTB was defined as the serum total bilirubin level at fasting just prior to the administration of cisplatin and irinotecan.

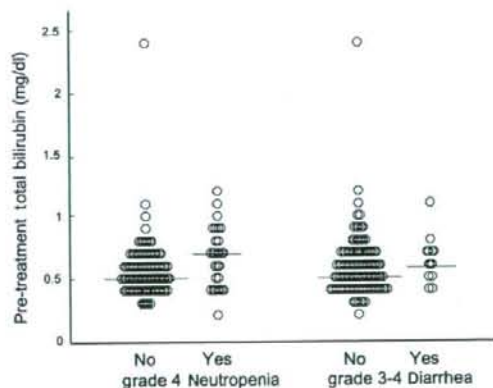


Figure 1. Association of PTB in patients who developed severe toxicity and in those who did not. The median PTB in patients who developed grade 4 neutropenia and those who did not was 0.7 (range, 0.2–1.2) mg/dl and 0.5 (range, 0.3–2.4) mg/dl, respectively ($P = 0.03$, Mann-Whitney U test). The median PTB in patients who developed grade 3–4 diarrhea and those who did not was 0.6 and 0.5 mg/dl, respectively ($P = 0.22$). The bars represent the median values.

Table 2. Univariate analysis of association between grade 4 neutropenia and pre-treatment clinical variables

| | Neutropenia grade | | Odds ratio (95% CI) |
|-------------------------------------|-------------------|------------------|---------------------|
| | Grade <4 (n = 98) | Grade 4 (n = 29) | |
| Sex | | | |
| Male | 70 | 23 | 1 |
| Female | 28 | 6 | 0.65 (0.24-1.77) |
| Age | | | |
| Median (range) | 61 (24-74) | 65 (38-73) | 1.04 (0.99-1.09) |
| Performance status | | | |
| 0 | 29 | 5 | 1 |
| 1, 2 | 69 | 24 | 2.02 (0.70-5.80) |
| Liver metastasis | | | |
| No | 82 | 27 | 1 |
| Yes | 16 | 2 | 0.38 (0.08-1.76) |
| Prior chemotherapy | | | |
| No | 84 | 26 | 1 |
| Yes | 14 | 3 | 0.69 (0.19-2.60) |
| Treatment schedule | | | |
| Every 3 weeks | 41 | 15 | 1 |
| Every 4 weeks | 57 | 14 | 0.67 (0.29-1.54) |
| Cisplatin dose (mg/m ²) | | | |
| 60 | 56 | 15 | 1 |
| 80 | 42 | 14 | 1.24 (0.54-2.86) |
| AST (IU/l) | | | |
| Median (range) | 22 (11-161) | 22 (11-56) | 0.98 (0.95-1.01) |
| ALT (IU/l) | | | |
| Median (range) | 18 (6-266) | 20 (5-67) | 0.99 (0.97-1.02) |
| PNC ($\times 10^9/l$) | | | |
| Median (range) | 4.4(2.0-8.5) | 3.9 (1.8-8.3) | 0.84 (0.61-1.14) |
| PTB (mg/dl) | | | |
| Median (range) | 0.5 (0.3-2.4) | 0.7 (0.2-1.2) | 3.74 (0.70-19.9) |
| ≤ 0.7 | 87 | 20 | 1 |
| > 0.7 | 11 | 9 | 3.56 (1.30-9.73) |

AST, aspartate aminotransferase; ALT, alanine aminotransferase; PNC, pre-treatment neutrophil count; PTB, pre-treatment total bilirubin.

STATISTICAL METHODS

The Mann-Whitney U test was used to compare the PTB levels of patients who developed severe toxicity and those who did not. Possible explanatory factors were compared using a logistic regression model. A PTB threshold of ≤ 0.7 mg/dl was selected to categorize this variable because a total bilirubin level higher than 0.7 mg/dl has been correlated with a mutated UGT1A1 genotype and the occurrence of grade 4 neutropenia (8). Furthermore, sex, performance status, liver metastasis, prior chemotherapy, treatment schedule and cisplatin dose were defined as categorized variables, and age, AST, ALT and pre-treatment neutrophil count

(PNC) were examined as continuous variables. Variables that seemed to be associated with severe toxicity ($P < 0.1$) were considered for inclusion in a multivariate analysis using a backward stepwise regression model. We performed these analyses using the SPSS statistical package (SPSS version 11.0 for Windows; SPSS Inc., Chicago, IL, USA).

RESULTS

A total of 127 consecutive patients with thoracic malignancy received cisplatin and irinotecan therapy. The patient characteristics are listed in Table 1. In all, two patients (1.5%) had

Table 3. Backward stepwise regression analysis of association between severe toxicity and pre-treatment clinical variables

| Variable | Co-efficient | P | Odds ratio (95% CI) |
|---------------------|--------------|-------|---------------------|
| Grade 4 neutropenia | | | |
| Cisplatin dose | 1.04 | 0.04 | 2.84 (1.03-7.81) |
| PNC | 0.42 | 0.04 | 1.53 (1.02-2.27) |
| PTB | 1.59 | 0.02 | 4.93 (1.37-17.7) |
| Grade 3-4 diarrhea | | | |
| Liver metastasis | 2.41 | 0.004 | 11.2 (2.18-57.4) |
| Cisplatin dose | 1.61 | 0.03 | 5.00 (1.18-21.3) |
| PNC | 0.67 | 0.03 | 1.96 (1.07-3.60) |

Adjusted for age and PS.

PNC, pre-treatment neutrophil count; PTB, pre-treatment total bilirubin.

stage IIA disease, seven patients (5.5%) had stage IIIA disease, 26 patients (20%) had stage IIIB disease and 85 patients (67%) had stage IV disease. The median PTB level was 0.6 (range, 0.2-2.4) mg/dl and the median PNC was 4.1 (range 1.8-8.5) $\times 10^9/l$. A total of 93 patients (73%) received the planned doses without skipping the irinotecan administrations on day 8 or 15. Among the remaining 34 patients, the irinotecan on day 8 or 15 was omitted in 27 of 164 (16.5%) planned doses in patients with PTB level ≤ 0.7 mg/dl, while in 11 of 34 (32.4%) planned doses in patients with PTB level > 0.7 mg/dl ($P = 0.053$). Thus, the actual irinotecan dose delivered was lower with marginal significance in patients with PTB level > 0.7 mg/dl. Grade 4 neutropenia occurred in 29 (23%) patients and grade 3-4 diarrhea occurred in 13 (10%) patients.

The median PTB level was higher in patients who developed grade 4 neutropenia than in those who did not (0.7 and 0.5 mg/dl, respectively; $P = 0.03$) (Fig. 1), but PTB was not correlated with the presence or absence of grade 3-4 diarrhea ($P = 0.22$).

In a univariate analysis, grade 4 neutropenia was associated with only the PTB level (≤ 0.7 versus > 0.7 mg/dl; $P = 0.01$, Table 2). When PTB level was analyzed as a continuous variable, the association was not significant (OR: 3.74; 95% CI: 0.70-19.9; $P = 0.12$). In a multivariate analysis, grade 4 neutropenia was associated with the PTB level (≤ 0.7 versus > 0.7 mg/dl; $P = 0.02$), the cisplatin dose ($P = 0.04$), and PNC ($P = 0.04$, Table 3). In a univariate analysis, grade 3-4 diarrhea was associated with only liver metastasis ($P = 0.01$, Table 4). We analyzed serum levels of PTB and pre-treatment AST and ALT between patients with ($n = 18$) or without ($n = 109$) liver metastasis. The median (range) PTB was 0.6 (0.4-2.4) mg/dl in patients with liver metastasis and 0.6 (0.2-1.2) mg/dl in patients without liver metastasis ($p = 0.19$). In contrast, the median (range) levels of pre-treatment AST and ALT were 30 (16-114) IU/l and 30 (11-84) IU/l, respectively, in patients with liver metastasis and 21 (11-161) IU/l and 17 (5-266) IU/l, respectively,

in patients without liver metastasis ($P = 0.0054$). In a multivariate analysis, grade 3-4 diarrhea was associated with liver metastasis ($P = 0.004$), the cisplatin dose ($P = 0.03$) and PNC ($P = 0.03$, Table 4).

DISCUSSION

This study showed that the PTB level was significantly associated with severity of neutropenia in patients treated with cisplatin and weekly irinotecan. Although irinotecan-induced toxicity can be reduced by skipping irinotecan on day 8, 15, or both, this dose modification is not enough to eliminate severe toxicity completely. In this study irinotecan was more frequently omitted on days 8 and 15 in patients with PTB level > 0.7 mg/dl, and therefore, the association between PTB and irinotecan-induced toxicity may be underestimated. Thus, the PTB level, a simple routine measure in clinical practice, can be a useful predictive marker for irinotecan-induced toxicity.

The most compelling evidence for a genetic marker of toxicity caused by irinotecan therapy is seen with the *UGT1A1* gene. In some retrospective pharmacogenetic studies, patients with at least one *UGT1A1**28 allele encountered severe irinotecan-induced toxicity, compared with those with the wild-type genotype who were homozygous for the 6 TA repeat allele (6,9,10). In a prospective study, the *UGT1A1* genotype was strongly associated with severe neutropenia in patients treated with irinotecan (8). More than 30 polymorphic variations have been reported to date for the *UGT1A1* gene (17). Novel polymorphisms (*1, *6, *28, *60 and so on) in *UGT1A1* and the functional characterization of known variants are helpful in elucidating the role of *UGT1A1* genetic variation in irinotecan toxicity (18). The FDA has approved a *UGT1A1* molecular assay test to detect polymorphisms in the *UGT1A1* gene in clinical practice, so that patients with particular *UGT1A1* gene variations that raise the risk of certain adverse effects can receive safer doses of irinotecan. This assay is intended to aid physicians to make decisions for individualized patient. Nevertheless, other important factors that affect dosing should also be considered, because severe toxicity sometimes occurs even in patients without particular *UGT1A1* gene variations that place them at risk.

The *UGT1A1* enzyme is responsible for hepatic bilirubin glucuronidation. A polymorphism in the *UGT1A1* promoter has been linked with reduced *UGT1A1* expression and is consequently associated with familial hyperbilirubinemia. Accordingly, bilirubin levels may be associated with *UGT1A1* function. The PTB level may reflect the total function of some polymorphisms in the *UGT1A1* region and may be used as a simple and available surrogate marker for *UGT1A1* function.

Recent studies have revealed that two major hepatic UGT, *UGT1A1* and *UGT1A9*, and extra-hepatic *UGT1A7* are involved in SN-38 glucuronidation (SN-38G) (7,19). The

Table 4. Univariate analysis of association between grade 3-4 diarrhea and pre-treatment clinical variables

| | Diarrhea grade | | Odds ratio (95% CI) |
|-------------------------------------|---------------------|--------------------|---------------------|
| | Grade 0-2 (n = 114) | Grade 3-4 (n = 13) | |
| Sex | | | |
| Male | 84 | 9 | 1 |
| Female | 30 | 4 | 1.24 (0.36-4.34) |
| Age | | | |
| Median (range) | 65 (24-74) | 65 (53-73) | 1.07 (0.99-1.16) |
| Performance status | | | |
| 0 | 29 | 5 | 1 |
| 1, 2 | 85 | 8 | 0.55 (0.17-1.80) |
| Liver metastasis | | | |
| No | 101 | 8 | 1 |
| Yes | 13 | 5 | 4.86 (1.38-17.1) |
| Prior chemotherapy | | | |
| No | 99 | 11 | 1 |
| Yes | 15 | 2 | 1.20 (0.20-7.04) |
| Treatment schedule | | | |
| Every 3 weeks | 50 | 6 | 1 |
| Every 4 weeks | 64 | 7 | 0.91 (0.29-2.88) |
| Cisplatin dose (mg/m ²) | | | |
| 60 | 66 | 5 | 1 |
| 80 | 48 | 8 | 2.20 (0.68-7.14) |
| AST (IU/l) | | | |
| Median (range) | 21 (11-161) | 23 (15-65) | 1.00 (0.98-1.03) |
| ALT (IU/l) | | | |
| Median (range) | 17 (5-266) | 21 (14-84) | 1.01 (0.99-1.02) |
| PNC ($\times 10^9/l$) | | | |
| Median (range) | 4.2 (1.8-8.5) | 3.5 (2.2-5.2) | 0.77 (0.49-1.20) |
| PTB (mg/dl) | | | |
| Median (range) | 0.55 (0.2-2.4) | 0.6 (0.4-1.1) | 1.95 (0.29-13.2) |
| ≤ 0.7 | 96 | 11 | 1 |
| > 0.7 | 18 | 2 | 0.97 (0.20-4.75) |

AST, aspartate aminotransferase; ALT, alanine aminotransferase; PNC, pre-treatment neutrophil count; PTB, pre-treatment total bilirubin.

efficacy of irinotecan is possibly affected by the activity of these genes. Thus, the product of some genetic polymorphisms in several genes may be a better pharmacogenetic marker for selecting patients who may not respond favorably to irinotecan-containing chemotherapy.

Cisplatin and irinotecan therapy is a standard regimen for both advanced non-small cell and small cell lung cancer (4). A randomized trial of irinotecan with or without cisplatin in patients with non-small cell lung cancer showed that grade 4 neutropenia was observed more frequently in the cisplatin-irinotecan arm (37%) than in the irinotecan-alone arm (8%), whereas grade 3 and 4 diarrhea was observed at the same

frequency in both arms. In the present study, a higher cisplatin dose was associated with both grade 4 neutropenia and grade 3 and 4 diarrhea. The addition of cisplatin to another anti-cancer agent aggravated diarrhea in phase III studies (20), although diarrhea was moderate in cisplatin monotherapy observed in clinical trials (21). Thus, a higher dose of cisplatin seems to be associated with diarrhea, but the mechanism for this association remains unclear.

In this study PTB level was associated with the severity of neutropenia, but not with severity of diarrhea. When SN-38G is excreted in the bile and intestines, the bacteria-derived enzyme beta-glucuronidase converts SN-38G back

into SN-38 (22,23). Presence of SN-38 in the stool is associated with the occurrence of severe diarrhea as a result of the direct enteric injury caused by SN-38 (24). This phenomenon probably occurs because UGT1A1 is not involved in this step.

Liver metastasis was associated with the development of grade 3–4 diarrhea in both univariate and multivariate analyses in this study. This may be explained by small, but statistically significant differences in the pre-treatment transaminase levels between patients with or without liver metastasis. However, in contradiction to this explanation are that: (1) neither the pre-treatment AST nor ALT level was associated with grade 3–4 diarrhea in this study, and (2) in dose-finding studies of irinotecan monotherapy in patients with liver dysfunction, patients were categorized into subgroups by the PTB and serum AST and ALT levels, criteria of which were three times or five times the upper limit of normal (25,26). Thus, the small difference in the AST and ALT levels in this study is unlikely to be significant from the medical point of view.

The PNC in patients who developed grade 3–4 diarrhea was slightly lower than that in the other patients and the PNC was associated with grade 3–4 diarrhea in the multivariate analysis. Neutrophils play an important role in maintaining the mucosal barrier of the intestine and inflammatory responses against mucosal damage (27). Thus, reduced number, dysfunction, or both, of neutrophils may lead to impairment of the mucosal integrity, rendering these patients prone to develop diarrhea. In addition, the decreased number of neutrophils in the blood is closely related to malnutrition associated with cancer (28), which may in turn be associated with enhanced toxicity during chemotherapy with irinotecan and cisplatin.

In conclusion, the PTB level was significantly associated with severity of neutropenia in patients treated with cisplatin and weekly irinotecan. This will provide a simple and useful marker required for individualized therapy to reduce the risk of harmful chemotherapy.

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Conflict of interest statement

None declared.

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Concurrent Chemoradiotherapy for Limited-disease Small Cell Lung Cancer in Elderly Patients Aged 75 Years or Older

Toshio Shimizu^{1,3}, Ikuo Sekine¹, Minako Sumi², Yoshinori Ito², Kazuhiko Yamada¹, Hiroshi Nokihara¹, Noboru Yamamoto¹, Hideo Kunitoh¹, Yuichiro Ohe¹ and Tomohide Tamura¹

¹Divisions of Internal Medicine and Thoracic Oncology and ²Radiation Oncology, National Cancer Center Hospital, Tokyo and ³Department of Medical Oncology, Kinki University Nara Hospital, Ikoma, Nara, Japan

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Background: The optimal treatment for limited-disease small cell lung cancer (LD-SCLC) in patients aged 75 years or older remains unknown.

Methods: Elderly patients with LD-SCLC who were treated with chemoradiotherapy were retrospectively reviewed to evaluate their demographic characteristics and the treatment delivery, drug toxicities and antitumor efficacy.

Results: Of the 94 LD-SCLC patients treated with chemotherapy and thoracic radiotherapy at the National Cancer Center Hospital between 1998 and 2003, seven (7.4%) were 75 years of age or older. All of the seven patients were in good general condition, with a performance status of 0 or 1. Five and two patients were treated with early and late concurrent chemoradiotherapy, respectively. While the four cycles of chemotherapy could be completed in only four patients, the full dose of radiotherapy was completed in all of the patients. Grade 4 neutropenia and thrombocytopenia were noted in seven and three patients, respectively. Granulocyte-colony stimulating factor support was used in five patients, red blood cell transfusion was administered in two patients and platelet transfusion was administered in one patient. Grade 3 or more severe esophagitis, pneumonitis and neutropenic fever developed in one, two and three patients, respectively, and one patient died of radiation pneumonitis. Complete response was achieved in six patients and partial response in one patient. The median survival time was 24.7 months, with three disease-free survivors for more than 5 years.

Conclusion: Concurrent chemoradiotherapy promises to provide long-term benefit with acceptable toxicity for selected patients of LD-SCLC aged 75 years or older.

Key words: elderly – small cell lung cancer – chemotherapy – radiotherapy

INTRODUCTION

Small cell lung cancer (SCLC) accounts for approximately 20% of all pulmonary neoplasms and 25–40% of patients with this disease are 70 years of age or older. The number of elderly patients with such disease are expected to increase with the growing geriatric population (1).

Because SCLC is highly sensitive to chemotherapy and radiotherapy, the standard treatment for limited-disease SCLC (LD-SCLC) has been a combination of platinum and etoposide with concurrently administered thoracic

radiotherapy, as long as the patients are in good general condition (2, 3). Such elderly patients, however, may show decreased clearance of the anticancer agents commonly used for the treatment of SCLC, including cisplatin and etoposide, because of the decrease of the lean body mass, hepatic blood flow and renal function that are associated with aging. In addition, myelotoxicity is sometimes more severe in this population than in younger populations, because the absolute area of hematopoietic marrow decreases with age (4). Retrospective subset analyses of patients with LD-SCLC treated with concurrent chemotherapy and radiotherapy in phase III trials have shown that the percentage of patients in whom the planned number of chemotherapy cycles can be completed is usually 10% lower in patients

For reprints and all correspondence: Ikuo Sekine, Division of Internal Medicine and Thoracic Oncology, National Cancer Center Hospital, Tsukiji 5-1-1, Chuo-ku, Tokyo, 104-0045, Japan. E-mail: isekine@ncc.go.jp

70 years of age or older as compared with that in younger patients (5). One study reported that myelotoxicity was more severe in elderly patients than in younger patients (5), while another reported no such difference between the patients of the two age groups (6). The delivery of thoracic radiotherapy was not influenced by age in these patients (7). However, 78–85% of patients in these analyses were aged between 70 and 75 years old and a few were over 80 years old. Thus, the most suitable treatment options for elderly patients with LD-SCLC aged 75 years or older still remain unknown.

The objective of this retrospective analysis was to evaluate the patient characteristics and the treatment delivery, toxicity and antitumor efficacy of the administered treatments in LD-SCLC patients 75 years of age or older who were treated with chemotherapy and thoracic radiotherapy.

PATIENTS AND METHODS

We retrospectively reviewed the medical charts, chest X-rays and computed tomography (CT) scans of LD-SCLC patients aged 75 years or older. To evaluate the thoracic irradiation field, the standard initial field was defined as follows: the field including the primary tumor and involved nodes with a short axis length of 1 cm or more on CT scans with a 1.0–1.5 cm margin, and the subclinical ipsilateral hilum and bilateral mediastinal lymph node regions with a 1.0 cm margin. The supraclavicular lymph node regions were included only if there was tumor involvement of these nodes. Toxicity was graded according to the Common Terminology Criteria for Adverse Events, version 3.0, Japanese edition (8). The objective tumor response was evaluated according to the WHO criteria issued in 1979 (9). The overall survival time was measured from day 1 of chemotherapy to the date of death as a result of any cause or the date of the last follow-up.

RESULTS

Of the 94 LD-SCLC patients treated with chemotherapy and thoracic radiotherapy at the National Cancer Center Hospital between 1998 and 2003, seven (7.4%) were 75 years of age or older (Table 1). During this period, we had three other patients with LD-SCLC who were aged 75 years or older. They were treated with chemotherapy alone because of complications in two patients and refusal of intensive therapy in one patient. There were five males and two females, and four patients were between 75 and 79 years of age and three patients were 80 years old or older. Three patients presented with persistent cough, while the remaining four patients complained of no symptoms and were diagnosed based on the detection of an abnormal shadow on a plain chest X-ray obtained during a mass screening or routine health examination program. All the patients were in good general condition. One patient had a history of inferior wall myocardial infarction suffered 9 years prior to this admission. However, echocardiography at this admission revealed normal heart function with an ejection fraction of 73%. One patient had stage I pulmonary emphysema with % FEV₁ predicted of 58%, but no abnormal findings on blood gas analysis. The % FEV₁ predicted in other four patients was within 98% and 116%, and was not measured in the other two patients. A median (range) PaO₂ level at the room air before treatment in the seven patients was 77.4 (66.9–87.2) Torr. A decreased creatinine clearance, 48.8 ml/min at a urine volume of 600 ml/day, was noted in one patient, while the other patients had a creatinine clearance of 78 ml/min or higher. Four and three patients had a performance status of 0 and 1, respectively, and five patients gave no history of loss of body weight. The diagnosis of small cell carcinoma was confirmed cytologically or histologically in all the patients.

The chemotherapy regimens used were cisplatin at 80 mg/m² on day 1 combined with etoposide at 100 mg/m² on days 1–3 in four patients aged between 75 and 79 years. For patients aged 80 years or older, carboplatin was dosed to a

Table 1. Patient characteristics

| n | Age (yr)/gender | Smoking history | Symptom | Weight loss (%) | Complications | Performance status | TNM stage |
|---|-----------------|-----------------|-------------------|-----------------|---|--------------------|-----------|
| 1 | 81/male | 6/day × 62 yr | None | 0 | Type 2 DM | 0 | T1N2M0 |
| 2 | 81/female | 20/day × 62 yr | None | 0 | OMI (inferior wall), thoracic aortic aneurysm | 0 | T1N1M0 |
| 3 | 80/female | 20/day × 50 yr | Cough | 11 | Hypertension | 1 | T4N3M0 |
| 4 | 78/male | 20/day × 46 yr | None | 0 | None | 0 | T2N2M0 |
| 5 | 77/male | 30/day × 50 yr | Cough | 7 | COPD, Hypertension | 1 | T4N3M0 |
| 6 | 75/male | 10/day × 55 yr | None | 0 | None | 0 | T1N2M0 |
| 7 | 75/male | 10/day × 55 yr | Cough, Hoarseness | 0 | None | 1 | T4N2M0 |

COPD, Chronic obstructive pulmonary disease; OMI, old myocardial infarction; DM, diabetes mellitus.

target AUC of 5 by Calvert's formula on day 1 combined with etoposide at 80 mg/m² on days 1–3 in two patients and cisplatin at 25 mg/m² on days 1–3 combined with etoposide at 80 mg/m² on days 1–3 in one patient (Table 2). These regimens have been reported to be used in a JCOG phase III trial for elderly patients with extensive SCLC (10). Four cycles of chemotherapy could be completed in four patients, whereas only three cycles could be completed in two patients and only one cycle could be completed in one patient. The reason for discontinuation of the chemotherapy in these patients was prolonged myelosuppression in two patients and patient refusal for continuation of treatment in one patient. The chemotherapy dose was reduced in the subsequent cycles in four patients. The reasons for the dose reduction were grade 4 thrombocytopenia in two patients, grade 4 leukopenia in one patient and both grade 4 thrombocytopenia and leukopenia in one patient. Thoracic radiotherapy was started concurrently with the chemotherapy in five patients (early concurrent chemoradiotherapy). Treatment began with chemotherapy alone in the remaining two patients, because of a mild cytology-negative pleural effusion in one patient and too large an irradiation volume in the other patient. Two cycles of chemotherapy reduced the tumor volume successfully in both the patients and thoracic radiotherapy was then added concurrently with the third and fourth cycles of chemotherapy (late concurrent chemoradiotherapy). Thoracic radiotherapy was delivered using photon beams from a linac or microtron accelerator with energy between 6 and 20 MV at a single dose of 2 Gy once daily up to a total dose of 50 Gy in four patients aged between 78 years or older and at a single dose of 1.5 Gy

twice daily up to a total dose of 45 Gy in three patients aged between 75 and 77 years. This selection of conventional or hyperfractionated radiotherapy was determined arbitrarily. The initial irradiation field was judged as the standard in six patients and reduced in one patient. A multi-leaf collimator and conventional lead blocks were used for shaping of the irradiation field. The median irradiation area was 169 cm² (range, 95–278 cm²). The projected total radiation dose was administered in all the patients, but a treatment delay of 5 days or longer was observed in three patients. The criteria of radiotherapy suspension were white blood cell count < 1.0 × 10⁹/L, platelet count < 20 × 10⁹/L, esophagitis ≥ grade 3, fever ≥ 38°C and performance status ≥ 3. The reason for the delay in the three patients was esophagitis, decreased platelet count and poor performance status.

The hematological toxicities observed in the patients are summarized in Table 3. Grade 4 leukopenia, neutropenia and thrombocytopenia were noted in four, seven and three patients, respectively. Granulocyte-colony stimulating factor support was used in five patients, red blood cell transfusion was administered in two patients and platelet transfusion was administered in one patient. The non-hematological toxicities included grade 3 or more severe esophagitis, pneumonitis and neutropenic fever in one, two and three patients, respectively. One patient died of radiation pneumonitis that developed 4 months after the end of radiotherapy (Case No. 6).

Of the seven patients, complete response was achieved in six patients and partial response in one patient (Table 3). However, prophylactic cranial irradiation was given in only one patient (Case No. 6). Three patients remained alive for

Table 2. Treatment and its delivery

| n | Chemotherapy | | | | Thoracic radiotherapy | | | |
|---|--|------------------|----------------|-------------------------------|-----------------------|-----------------------------|------------|--------------|
| | Regimen (mg/m ² if not specified) | Number of cycles | Dose reduction | Duration of one cycle (days)* | Timing | * Total dose (Gy)/fractions | Field size | Delay (days) |
| 1 | C (AUC = 5) d1 + E (80) ds1–3 | 3 | Yes | 30 | Early Co | 50/25 | S | 4 |
| 2 | P (25) ds1–3 + E (80) ds1–3 | 1 | NA | NA | Early Co | 50/25 | S | 7 |
| 3 | C (AUC = 5) d1 + E (80) ds1–3 | 4 | Yes | 23 | Late Co | 50/25 | S | 14 |
| 4 | P (80) d1 + E (100) ds1–3 | 4 | Yes | 26 | Late Co | 50/25 | R | 1 |
| 5 | P (80) d1 + E (100) ds1–3 | 4 | No | 28 | Early Co | 45/30 | S | 3 |
| 6 | P (80) d1 + E (100) ds1–3 | 4 | No | 27 | Early Co | 45/30 | S | 0 |
| 7 | P (80) d1 + E (100) ds1–3 | 3 | Yes | 35 | Early Co | 45/30 | S | 7 |

*Calculated as follows: Duration of one cycle (days) = (Day 1 of the 1st cycle – Day 1 of the last cycle)/(Number of cycles – 1). C, carboplatin; E, etoposide; NA, not applicable; P, cisplatin; Co, concurrent; S, standard; R, reduced.

Table 3. Toxicity, tumor response and survival

| n | Hematological toxicity (grade by CTC-AE v3.0) | | | | Blood transfusion | G-CSF support | Non-hematological toxicity \geq grade 2 (grade by CTC-AE v3.0) | Tumor response | Survival time (mo)/outcome |
|---|--|-----|----|-----|----------------------|------------------|--|-------------------|-------------------------------|
| | WBC | Neu | Hb | Plt | | | | | |
| 1 | 3 | 4 | 1 | 4 | Platelet | None | None | CR | 80.3/Alive |
| 2 | 3 | 4 | 1 | 2 | None | Used | Pneumoniti (3), esophagitis (2), anorexia (2) | CR | 21.3/Dead |
| 3 | 4 | 4 | 3 | 4 | RBC | Used | Neutropenic fever (3), esophagitis (3) | CR | 65.6/Alive |
| 4 | 4 | 4 | 2 | 1 | None | Used | None | CR | 97.4/Alive |
| 5 | 3 | 4 | 2 | 3 | None | Used | Neutropenic fever (3), esophagitis (2), anorexia (2) | CR | 13.1/Dead |
| 6 | 4 | 4 | 2 | 1 | None | None | Pneumoniti (5), neutropenic fever (3) | CR | 6.4/Dead |
| 7 | 4 | 4 | 4 | 4 | RBC | Used | None | PR | 24.7/Dead |

WBC, white blood cell count; Neu, neutrophil count; Hb, hemoglobin; Plt, platelet count; G-CSF, granulocyte-colony stimulating factor; CTC-AE, Common Terminology Criteria for Adverse Events; CR, complete response; RBC, red blood cell; PR, partial response.

more than 5 years without recurrence. The median survival of the seven patients was 24.7 months.

DISCUSSION

The antitumor effects of the treatment regimens were reasonably good, with six complete responses and one partial response and three long-term disease-free survivors in spite of discontinuation/dose reduction of chemotherapy. This is perhaps mainly attributable to the strict selection of patients in good general condition. Thus, we believe that the standard chemoradiotherapy can be applied to LD-SCLC patients aged 75 years or older as long as they are in good general condition.

The general condition of elderly patients, however, varies widely from patient to patient. Thus, in many elderly patients 75 years of age or older, it may be better to reduce the treatment intensity, although it may be difficult to establish the standard schedule applicable to all elderly patients. There are four possible ways to modify the intensity of therapy: (1) administer chemotherapy alone; (2) change the relative timing of chemotherapy and radiotherapy; (3) decrease the drug doses and number of cycles of chemotherapy, and (4) decrease the dose and intensity of thoracic radiotherapy.

Chemotherapy alone versus chemotherapy and thoracic radiotherapy for LD-SCLC were compared in many randomized trials between the 1970s and 1980s. A meta-analysis of these trials demonstrated survival benefit of radiotherapy added to chemotherapy in younger populations of patients less than 65 years of age, but the benefit is still unclear in older patients (11). Although the findings of this meta-analysis indicated that the standard treatment in elderly patients with LD-SCLC might be chemotherapy alone, the result based on the old trials using cyclophosphamide and doxorubicin-based chemotherapy cannot be applied in the

current medical setting, because chemotherapy regimens, irradiation delivery equipment and staging procedures have all evolved greatly over time.

The relative timing of chemotherapy and radiotherapy greatly influences the severity of toxicity. In late concurrent chemoradiotherapy that follows induction chemotherapy, the chemotherapy dose can be adjusted to suit each patient by evaluating the toxicity of the previous chemotherapy. In addition, the irradiation volume can be reduced by modifying the radiation treatment planning in accordance with the extent of tumor shrinkage during the induction phase. In the two patients treated by this approach in this study, the dose of the platinum drug during the concurrent chemoradiotherapy phase was reduced to 66–75% of the initial dose and that of etoposide was reduced to 50–75% of the initial dose. Sequential chemoradiotherapy consists of induction chemotherapy and subsequent radiotherapy. Because the two treatment modalities are administered separately, the treatment dose in each can be optimized for the elderly in this approach. A phase III study of concurrent versus sequential chemoradiotherapy in LD-SCLC patients younger than 75 years old revealed a 5-year survival rate of 24% in the concurrent arm and a 5-year survival rate of 18% with a lower incidence of toxicity in the sequential arm (2). The sequential schedule has not yet been evaluated in LD-SCLC patients 75 years of age or older.

A recent phase III trial showed that etoposide at 80 mg/m² on days 1–3 combined with either carboplatin at AUC = 5 by Carver's formula or cisplatin at 25 mg/m² on days 1–3 was feasible and effective in elderly patients with extensive-disease SCLC (10). These regimens may, therefore, be applied for the treatment of LD-SCLC as well. The standard number of chemotherapy cycles administered is four. In many elderly patients, however, all four cycles cannot be completed. In two phase II studies of two cycles

of chemotherapy and concurrent thoracic radiotherapy in elderly patients with LD-SCLC, 13–25% long-term survivors were noted (12,13). Thus, the optimal number of chemotherapy cycles in the elderly should be investigated in future trials.

Thoracic radiotherapy with accelerated hyperfractionation at a total dose of 45 Gy in 30 fractions, the standard schedule for LD-SCLC, was associated with grade 3–4 esophagitis in as high as 32% of the patients and grade 4 leukopenia in 44% of the patients (2,3,5). Thus, the conventional schedule at a total dose of 45–50 Gy in 25 fractions might be preferable in the elderly (3). The severity of esophagitis is also influenced by concomitant chemotherapy, the treatment schedule and the timing of thoracic radiotherapy.

In conclusion, concurrent chemoradiotherapy promises to offer long-term benefit with acceptable toxicity in selected patients of LD-SCLC aged 75 years or older. The optimal schedule and dose of chemotherapy and thoracic radiotherapy still remains to be established in this patient population.

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Conflict of interest statement

None declared.

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Multidisciplinary Treatment for Advanced Invasive Thymoma with Cisplatin, Doxorubicin, and Methylprednisolone

Kohei Yokoi, MD,* Haruhisa Matsuguma, MD,* Rie Nakahara, MD,* Tetsuro Kondo, MD,†
Yukari Kamiyama, MD,† Kiyoshi Mori, MD,† and Naoto Miyazawa, MD*

Background and Objectives: Advanced invasive thymomas are not usually manageable by surgical resection and radiotherapy. We reviewed our experience with a multidisciplinary approach and evaluated chemotherapy in the treatment of invasive thymoma.

Patients and Methods: Seventeen consecutive patients with invasive thymoma were treated with multimodality therapy consisting of chemotherapy, surgery, and/or radiotherapy. Four patients had stage III disease with superior vena cava invasion, nine had stage IVa disease, and four had stage IVb disease. The chemotherapy regimen consisted of cisplatin, doxorubicin, and methylprednisolone (CAMP). Chemotherapy was administered in a neoadjuvant setting to the 14 patients and in an adjuvant setting to the remaining three patients. Surgical resection was intended in all patients. After those treatments, chemotherapy and/or radiation therapy were performed.

Results: All but one of the 14 patients with induction chemotherapy responded to the CAMP therapy, and the response rate was 92.9%. Seven of these patients underwent complete remission after surgical resection and chemoradiotherapy, and the others underwent partial remission. All three patients treated with surgical resection and then chemotherapy with or without radiotherapy also achieved complete remission. Tumor progression after multimodality therapy occurred in 10 patients. After retreatment, eight of these patients were alive at the time of analysis, with a median survival time after recurrence of 30 months. The 5- and 10-year overall survival rates for all patients were both 80.7%. The major side effect of CAMP therapy was acceptable neutropenia.

Conclusions: CAMP therapy was highly effective for invasive thymomas, and the multimodality therapy containing this chemotherapy brought about good disease control in the majority of patients. We believe that this multidisciplinary treatment with CAMP therapy, surgery, and radiotherapy is a justifiable initial treatment for patients with advanced invasive thymoma. Furthermore, appropriate treatments are essential for the long-term survival of patients with recurrences after multimodality therapy.

Key Words: Thymoma, Chemotherapy, Multimodality treatment.

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In patients with thymoma, surgical resection with or without radiation therapy has been advocated as the treatment of choice for early-stage diseases.¹⁻³ Nevertheless, advanced-stage diseases such as tumors with great vessel invasion, pleural and/or pericardial dissemination, lymph node involvements, or distant metastases are difficult to manage by surgery and radiotherapy, and the treatment strategy for those diseases remains controversial.^{4,5}

Chemotherapy has been shown to have significant antitumor activity against unresectable, recurrent, or metastatic thymomas.⁶⁻⁹ Recently, multimodality therapy using chemotherapy has been examined in the treatment of advanced thymomas.¹⁰⁻¹² Investigators have demonstrated that combined-modality therapy can improve outcomes for advanced thymoma patients. Nevertheless, the chemotherapy regimens and treatment schedules in these studies were varied, and an optimal treatment strategy has not yet been determined. Furthermore, although it is well known that thymoma has a slow-growing nature and a late recurrent tendency, few reports contained longer follow-up data or results of retreatment of recurrences.¹³⁻¹⁵

To improve the outcome of patients with advanced invasive thymomas, we have conducted a study of multimodality therapy including chemotherapy. Here, we report the results with a longer follow-up.

PATIENTS AND METHODS

From February 1988 to September 2003, 38 patients with thymoma were referred to our hospital. Their clinical characteristics are shown in Table 1. Of these patients, 17 consecutive patients with advanced invasive thymoma, (four patients with stage III disease, nine with stage IVa disease, and four with stage IVb disease) including four patients with recurrent tumor, were enrolled in the study of multimodality therapy including chemotherapy, surgery, and/or radiotherapy. In all but three patients, pathologic diagnosis of thymoma was obtained by thoracotomy, transthoracic needle biopsy, or fiberoptic bronchoscopic biopsy before initiation of treatment. Among the patients without pretreatment histologic diagnosis, one patient had multiple recur-

Divisions of *Thoracic Surgery and †Thoracic Diseases, Tochigi Cancer Center, Utsunomiya, Tochigi, Japan.

Address for correspondence: Kohei Yokoi, M.D., Division of Thoracic Surgery, Nagoya University Graduate School of Medicine, 65 Tsurumai-cho, Showa-ku, Nagoya 466-8550, Japan; E-mail: k-yokoi@med.nagoya-u.ac.jp

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TABLE 1. Profile of Patients with Thymoma

| | |
|--------------------------------------|------------|
| Sex | |
| Male | 17 |
| Female | 21 |
| Age (yr) | |
| Median (range) | 57 (25–75) |
| World Health Organization tumor type | |
| A | 2 |
| AB | 6 |
| B1 | 3 |
| B2 | 22 |
| B3 | 5 |
| Masaoka stage | |
| I | 15 |
| II | 4 |
| III | 6 |
| IVa | 9 |
| IVb | 4 |

rent pleural tumors after surgical treatment and chemotherapy for thymoma, and the remaining two had anterior mediastinal mass suspected invasive thymoma on computed tomography (CT) that were located at unsuitable places for needle biopsy. Clinical staging was determined by the medical history and physical examination, chest radiography, and chest CT. Other imaging modalities such as magnetic resonance imaging, echocardiography, or venography were performed when indicated. The staging was based on the Masaoka staging system.¹⁶ All patients gave written informed consent for the study.

The treatment strategy of the multimodality therapy was as follows: (a) If a tumor of stage III with invasion to the great vessels or stage IV disease was distinctly demonstrated on diagnostic imaging at the initial staging, induction chemotherapy was conducted. After three or four cycles of the chemotherapy, surgical resection was attempted when the residual tumor was found, and consolidation chemotherapy and/or radiotherapy were given. (b) When stage IV disease was found on operation despite a clinically earlier stage, surgery for debulking the tumor was attempted. After that, chemotherapy was administered as a postsurgical adjuvant treatment, and then radiation therapy was applied if indicated.

The chemotherapy regimen consisted of cisplatin (20 mg/m² per day, continuous infusion on days 1 through 4), doxorubicin (40 mg/m² intravenously on day 1), and methylprednisolone (1000 mg/day intravenously on days 1 through 4 and 500 mg/day intravenously on days 5 and 6) (CAMP). Treatment cycles were repeated every 21 to 28 days. Prophylactic granulocyte colony stimulating factor was not routinely used. Surgery was intended through a median sternotomy in all patients. Resection was defined as complete (R0) if all gross disease was removed and if all surgical margins were free of the tumor. An incomplete resection meant that the surgical margins were microscopically positive (R1) or that gross residual tumors (R2) were left at the end of the operation. Radiation therapy was administered to the mediastinal

or residual tumor areas using opposite anterior and posterior parallel fields and doses of more than 50 Gy. When malignant pericardial effusion was noted during the operation, whole mediastinal irradiation was carried out.

The patients were evaluated with CT for response after induction chemotherapy and completion of the multimodality treatment. A complete remission (CR) was defined as the complete disappearance of all objective evidence of disease on CT for at least 4 weeks. A partial remission (PR) was defined as a decrease of at least 50% in the sum of the product of the perpendicular diameter of measurable lesions for at least 4 weeks. Disease progression was defined as an increase of at least 25% in tumor size or new lesions. All other circumstances were classified as no change (NC).

Survival was measured from the first day of treatment until death or the last date of the follow-up (March 31, 2004). The survival curves were calculated according to the Kaplan-Meier method, and comparisons among the curves were made by means of the log-rank test. The median follow-up time of all patients ($n = 17$) was 54 months (range, 2–193 mo), and median follow-up time of surviving patients ($n = 14$) was 62 months (range, 6–193 mo).

RESULTS

Of the 17 patients, eight were women and nine were men, ranging in age from 25 to 72 years (median, 51 yr) (Table 2). Pretreatment pathologic diagnoses were obtained in 14 patients, and the tumor histology of the remaining three patients (patients 15–17) was revealed after chemotherapy and surgical treatment. Histologic types of the thymoma were B2 tumor in 14 patients and B3 tumor in three patients, according to the World Health Organization classification.¹⁷ All four patients who were diagnosed as having stage III disease were found to have a tumor with superior vena cava invasion on diagnostic imaging. Nine patients with stage IVa disease had pleural tumor dissemination and/or pericardial effusion, and four with stage IVb disease had pulmonary metastasis or lymph node involvement.

A summary of treatments and outcomes is listed in Table 3. CAMP therapy was administered in a neoadjuvant setting to 14 patients (Figures 1 and 2). One complete response and 13 partial responses were obtained, with an overall response rate of 92.9% (95% confidence interval [CI], 66.1–99.8%). After chemotherapy, nine patients underwent surgical resection of the residual tumor with curative intent. However, R0 resection was performed in only two patients, R1 resection in one patient, and R2 resection in six patients. Postsurgical radiotherapy was performed in eight patients. Among the remaining four patients, one complete responder for CAMP therapy had no additional treatment. Two partial responders received radiotherapy because of the unresectable tumor, and the other one refused further treatment.

Three patients (patients 1, 2, and 11) who were categorized at the initial staging as having stage I to III disease were found on operation to have stage IVa disease with pleural dissemination or malignant pericardial effusion. The patients underwent resection of the main tumor and extended

TABLE 2. Characteristics of Patients with Advanced Invasive Thymoma

| Patient No. | Age (yr) | Sex | Histology | Disease Stage | Site of Disease |
|-------------|----------|-----|-----------|---------------|--|
| 1 | 40 | M | B2 | IVa | Pleural dissemination |
| 2 | 59 | F | B2 | IVa | Pericardial effusion, pericardium, aorta, lung |
| 3 | 72 | M | B2 | IVa | Pericardial effusion, pericardium, SVC, lung |
| 4 | 63 | M | B2 | IVb | Mediastinal lymph nodes, pleural effusion |
| 5 | 38 | F | B2 | III | SVC |
| 6 | 33 | M | B2 | IVa | Pleural dissemination, lung |
| 7 | 65 | F | B2 | IVb (rec) | Pulmonary metastasis, pleural dissemination |
| 8 | 66 | F | B2 | IVb (rec) | Pulmonary metastasis |
| 9 | 62 | F | B2 | III | SVC |
| 10 | 56 | M | B3 | IVa (rec) | Pleural dissemination |
| 11 | 29 | M | B2 | IVa | Pleural dissemination, pericardium, lung |
| 12 | 49 | M | B3 | IVa | Pleural dissemination, pericardium, pulmonary artery |
| 13 | 51 | F | B2 | III | SVC, lung |
| 14 | 62 | F | B3 | IVa | Pleural dissemination |
| 15 | 25 | M | B2 | IVa (rec) | Pleural dissemination |
| 16 | 29 | M | B2 | IVb | Pulmonary metastasis |
| 17 | 62 | F | B2 | III | SVC |

Rec, recurrent case; SVC, superior vena cava.

TABLE 3. Summary of Treatments

| Patient No. | Previous Treatment | Cycles of CAMP Therapy | Response to CAMP Therapy | Subsequent Treatment | Total Response | Sites of Tumor Progression | Progression-Free Survival (mo) | Treatment for Recurrences | Overall Survival (mo) |
|-------------|--------------------|------------------------|--------------------------|----------------------------|----------------|----------------------------|--------------------------------|---------------------------|-----------------------|
| 1 | S (R2) | 4 | NA | | CR | Pleura | 61 | S (R0) | 193+ |
| 2 | S (R2) | 4 | NA | RT | CR | | 180 | | 180+ |
| 3 | | 4 | PR | S (R1), CAMP × 2, RT | CR | Pleura, lung | 45 | RT | 180+ |
| 4 | | 4 | PR | S (R2), RT | PR | Pericardium | 11 | CT ¹ | 13 |
| 5 | | 4 | PR | S (R0), RT | CR | | 169 | | 169+ |
| 6 | | 2+CT ² | PR | S (R2), RT | PR | Pleura | 17 | CT ² | 18 |
| 7 | | 2 | PR | | PR | | 2 | | 2 |
| 8 | | 3 | CR | | CR | Pulmonary metastasis | 7 | S (R0) | 88+ |
| 9 | | 2 | NC | S (R2), RT | PR | Primary site | 42 | RT | 72+ |
| 10 | | 4 | PR | RT | CR | Pleura | 32 | RT | 67+ |
| 11 | S (R2) | 4 | NA | | CR | Pleura | 24 | CAMP × 2, S (R0) | 56+ |
| 12 | | 4 | PR | RT | PR | | 54 | | 54+ |
| 13 | | 4 | PR | S (R0) | CR | | 43 | | 43+ |
| 14 | | 4 | PR | S (R2), RT | CR | Pleura | 23 | CAMP × 4 | 37+ |
| 15 | | 4 | PR | | PR | Pleura | 18 | CAMP × 4, S (R0) | 29+ |
| 16 | | 4 | PR | S (R2), RT | CR | | 9 | | 9+ |
| 17 | | 4 | PR | S (R2), RT | PR | | 6 | | 6+ |

CR, complete remission; CT¹, CDDP+VLB+BLM; CT², CPA+ADM+VCR+prednisone; NA, not assessable; NC, no change; PR, partial remission; R0, complete resection; R1, microscopically incomplete resection; R2, macroscopically incomplete resection; RT, radiation therapy; S, surgery.

thymectomy combined with a partial resection of the pericardium, parietal pleura, and/or lung. Even after the resection, patients 1 and 11 retained numerous miliary pleural tumors in the hemithorax, and patient 2, with malignant pericardial

effusion, had a residual mass on the aortic arch. These patients received four cycles of CAMP therapy after surgery, and only patient 2 underwent subsequent whole mediastinal radiation therapy.

FIGURE 1. Patient 5 before chemotherapy. (A) CT scan showing a large anterior mediastinal tumor invading the superior vena cava. (B) Venous phlebogram illustrating an almost complete obstruction of the superior vena cava at the level of the junction of bilateral brachiocephalic veins.

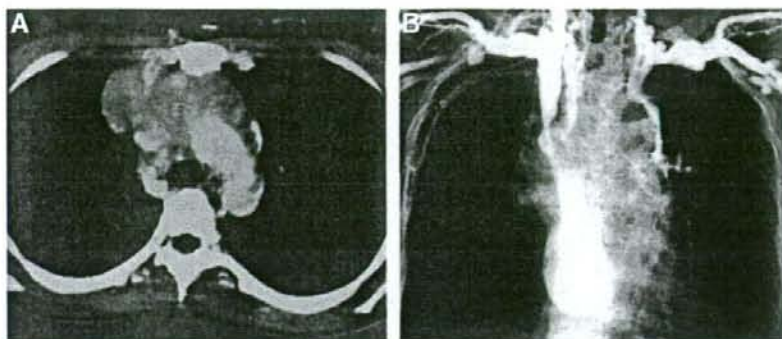
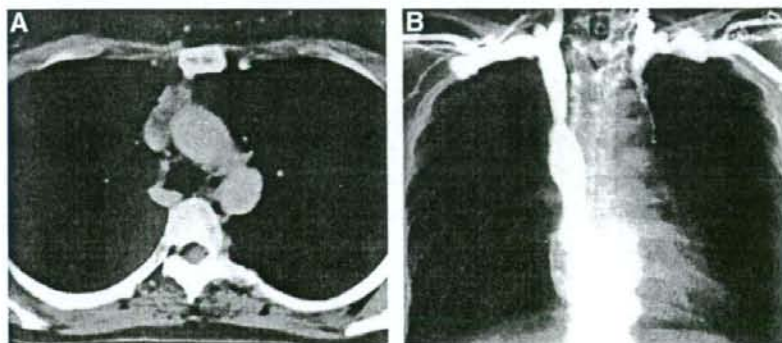


FIGURE 2. Patient 5 after four cycles of induction chemotherapy. (A) CT scan revealing considerable shrinkage of the tumor. (B) Venous phlebogram demonstrating the marked improvement of superior vena cava obstruction.



After completion of the multimodality therapy, 10 patients achieved CR and seven achieved PR; the overall remission rate was 100%. Tumor progression after treatment was observed in six (60%) of 10 CR patients and in four (57%) of seven PR patients, with a median progression-free survival of 24 months (range, 7–61 mo). The remaining six patients (four CR patients and two PR patients), 35% of the total population, had no tumor progression six to 180 months after the initiation of the multimodality therapy.

Treatment for recurrences was performed in all 10 patients. Complete surgical resection for the recurrences with or without preoperative CAMP therapy was accomplished in four patients. Patients 1 and 15 underwent an extrapleural pneumonectomy for pleural dissemination. Patient 8, who had recurrence after extrapleural pneumonectomy for the primary tumor, had a wedge lung resection for pulmonary metastasis, and patient 11 received a partial pleurectomy. For patients with unresectable recurrent tumors, radiotherapy was performed in three patients, and chemotherapy was performed in three patients whose tumors were unsuitable for radiotherapy. Two of the patients treated with chemotherapy died during the retreatment, one from recurrent tumor and the other from fulminant rhabdomyolysis.¹⁸

The 5- and 10-year overall survival rates of all patients were both 80.7% (95% CI, 60.9–100%) (Fig. 3). The survival curves according to stages of disease are shown in Figure 4. The 10-year survival rates of patients with stage III and stage IVa disease were 100 and 88.9% (95% CI, 68.4–100%),

respectively. In stage IVb, the 5-year survival rate was 37.5% (95% CI, 0–93.6%), and only patient 8 survived for more than 5 years after CAMP therapy and resection for recurrence. In the 10 patients with recurrence, the median survival time and 5-year survival rate after retreatment were 30 months (range, 1–132 mo) and 30.0% (95% CI, 1.6–58.4%), respectively.

Toxicity of CAMP Therapy and the Multidisciplinary Treatment

The side effects of CAMP therapy are shown in Table 4. Seventy-one cycles were administered (median, four cycles;

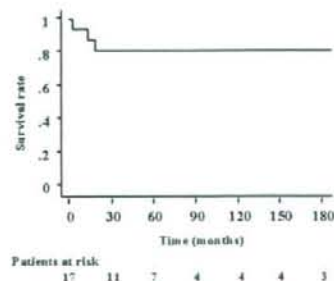


FIGURE 3. Overall survival of patients with advanced thymoma who were treated with the multimodality therapy.

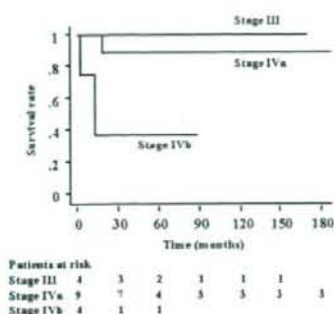


FIGURE 4. Survival according to the Masaoka staging system. In univariate analysis, there was a significant difference between stage IVa and stage IVb disease ($p = 0.036$), but there were no significant differences between stage III and stage IVa disease ($p = 0.564$) and stage III and IVb disease ($p = 0.123$).

TABLE 4. Toxic Effects of Cisplatin, Doxorubicin, and Methylprednisolone Therapy

| NCI-CTC grade (%) | 0 | 1 | 2 | 3 | 4 | 5 |
|-------------------|----|----|----|----|----|---|
| Leukocytes | 14 | 12 | 39 | 27 | 8 | |
| Neutrophils | 10 | 9 | 21 | 34 | 26 | |
| Hemoglobin | 75 | 12 | 11 | 3 | | |
| Platelets | 55 | 36 | 6 | 3 | | |
| Nausea/vomiting | 31 | 26 | 36 | 5 | 1 | |
| Infection | 92 | 3 | | 3 | 1 | 1 |

range, two to eight cycles), and the major adverse effects were leukopenia and neutropenia. Although 60% of cycles were associated with grade 3 or 4 neutropenia, almost all patients in the study received no granulocyte colony stimulating factors or no dose reduction of all three drugs. Treatment delays (median, 1 wk; range, 1–6 wk) were performed in eight patients because of neutropenia and patients' wishes. Chemotherapy-related death occurred in patient 7. She had multiple pulmonary metastases and pleural recurrences complicated with myasthenia gravis, pure red cell aplasia, and hypogammaglobulinemia. She died of pneumonia after the second cycle. Another peculiar complication of tumor lysis syndrome developed in patient 6, with a huge thymoma of predominantly lymphocytic type during the first cycle.¹⁸

After CAMP therapy and surgical treatment, mild cardiac dysfunction was observed in two patients (patients 2 and 3¹⁹) who received whole mediastinal irradiation because of malignant pericardial effusion. No other severe complications were encountered.

DISCUSSION

Complete surgical resection is considered essential in the treatment of thymomas, even for advanced diseases and recurrences.^{1–3} Nevertheless, 20 to 40% of patients who undergo surgery for thymoma receive incomplete resection or biopsy alone.^{1–3} Moreover, at the initial staging, some lesions

are regarded as unresectable; these are usually advanced stage III or stage IV diseases, which are treated with chemotherapy and/or radiotherapy.^{6–9}

We originated this aggressive multimodality therapy in February 1988 to improve the survival of patients with advanced or recurrent thymoma. In our study, eligible patients were limited to those with stage III lesions with great vessel invasion, stage IV lesions, or recurrences, because those tumors are not usually manageable by surgery and radiotherapy and are associated with unsatisfactory outcomes.^{1–5} Our original chemotherapy regimen for invasive thymoma was designed from single-agent responsiveness for thymoma, which showed that cisplatin, doxorubicin, and corticosteroids had been the most active drugs.²⁰ Chemotherapy was not only administered in a neoadjuvant setting but also in a postsurgical adjuvant setting, because the initial stagings have not always been accurately estimated, even with CT and magnetic resonance imaging.

Neoadjuvant chemotherapy for invasive thymoma has been attempted in the treatment of locally advanced diseases because of the effectiveness of combination chemotherapy.^{10–15} The chemotherapy regimens administered have been diverse, but almost all have included cisplatin and doxorubicin/epirubicin. The reported response rates have been documented to be 69 to 100%, and some patients receiving the treatment have had complete histologic remission. After induction chemotherapy for advanced tumors, the complete resection rates were around 70%. Of patients receiving the multimodality therapy using induction chemotherapy for locally advanced invasive thymoma, 5-year overall survival rates were reported to be between 55 and 95%,^{13–15} because the study populations and treatment strategies were different.

In our 14 patients with neoadjuvant therapy, the response rate of CAMP therapy was 92.9%, which was better than or comparable with those of previous reports.^{6–15} However, only two patients underwent complete resection, and seven underwent incomplete resection. The other tumors were interpreted as being unresectable after induction chemotherapy. Even after postsurgical radiotherapy, four patients without complete resection remained in PR, and two of them had a short survival. Our low complete resection rate is considered to be a result of the far advancement of the tumors: 13 of 17 patients had stage IV disease and/or recurrent tumors. Furthermore, CT was still incapable of predicting the possibility of performing a radical excision of the tumors after induction chemotherapy.

Patients undergoing incomplete resection or biopsy have been reported to show a significantly shorter survival than those with complete resection.^{1–3} Blumberg et al.² reported that survival rates in patients with partial resection had been documented at 70 and 28% for 5 and 10 years, and 38 and 24% for biopsy, respectively. All three of our patients who had stage IV disease and were treated with surgery and then adjuvant chemotherapy with or without radiotherapy had distinct residual tumors after the operation. After the adjuvant therapy, two patients had pleural recurrences, but only after disease-free intervals of more than 5 and 2 years, respectively. In the remaining patient, postoperative CAMP therapy

and irradiation have managed the residual disease for more than 10 years. From our available data of those patients with the adjuvant therapy, we think that aggressive postsurgical treatment including chemotherapy is useful to cure or control residual lesions in patients with incomplete resection of the primary tumors, effectively maintaining their quality of life for a longer period.

In the multimodality therapy, some complications were noted. With chemotherapy, fatal infection and tumor lysis syndrome were observed in peculiar patients with parathyroid syndrome of hypogammaglobulinemia and extensive lymphocytic thymoma associated with peripheral blood T-cell lymphocytosis,¹⁸ respectively. No mortality was encountered in surgical treatment. After radiation therapy, mild cardiac dysfunction was observed in two patients who had whole mediastinal irradiation for malignant pericardial effusion.¹⁹ This complication is probably caused by doxorubicin and radiation affecting the heart muscle synergistically. On the whole, we think that this multimodality therapy is tolerable as long as attention is paid to any peculiar conditions.

For the recurrent tumors in six patients exhibiting CR, we aggressively performed retreatment. Extrapleural pneumonectomy or partial pleurectomy was carried out in three patients with pleural recurrences, pulmonary metastasectomy was carried out in one patient who was in a postpneumonectomy state, and repetitive radiotherapy was carried out in two patients with mediastinal or diaphragmatic local recurrences. All six patients are still in good general condition 37 to 193 months after the initial treatment. From our experience, we consider that aggressive retreatment for recurrences even after the multimodality therapy is very important for controlling disease and maintaining good quality of life, as previous reports have also advocated.^{21,22}

The treatment of advanced thymoma is still controversial. However, investigators have recently advocated the necessity of multimodal approaches to therapy that introduce the enhancement of tumor resectability, cure rate, and/or long-term disease control.¹⁰⁻¹⁵ In studies of such multidisciplinary treatment, Shin et al.¹² and Kim et al.¹⁵ have reported excellent results in the survival of patients with stage III or IV thymoma. Their study protocol was considered a precise long-term treatment, which consisted of induction chemotherapy (cisplatin, doxorubicin, cyclophosphamide, and prednisone), surgical resection, postoperative radiotherapy, and consolidation chemotherapy. From our study, we also recognize the importance of postsurgical adjuvant therapy for patients with advanced disease and/or incomplete resection as well as the importance of retreatment for recurrences after the multimodality therapy. Future studies on the treatment of advanced invasive thymoma should follow a meticulous scheme of a primary multidisciplinary approach to therapy and retreatment of recurrences.

In conclusion, CAMP therapy was highly effective for invasive thymomas. Although this study was limited by its small number of patients and its nonrandomized clinical trial design, we believe that the multimodality therapy containing this chemotherapy is justifiable for the initial treatment of patients with advanced thymoma such as stage III disease

with major vessel invasion, stage IV disease, and recurrence. Further studies are warranted to determine the optimal treatment strategy.

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Phase II study of weekly chemotherapy with paclitaxel and gemcitabine as second-line treatment for advanced non-small cell lung cancer after treatment with platinum-based chemotherapy

Kiyoshi Mori · Yukari Kamiyama · Tetsuro Kondo ·
Yasuhiko Kano · Tetsuro Kodama

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Abstract

Purpose We evaluated the tolerability and activity of the combination of weekly paclitaxel (PTX) and gemcitabine (GEM) in second-line treatment of advanced non-small cell lung cancer (NSCLC) after treatment with platinum-based chemotherapy.

Patients and methods PTX (100 mg/m²) and GEM (1,000 mg/m²) were administered to patients with previous treated NSCLC on days 1 and 8 every 3 weeks.

Results A total of 40 patients (performance status 0/1/2, 7/27/6 pts) were enrolled. The response rate was 32.5% (95% confidence interval: 18.0–47.0%). The median survival time was 41.7 weeks (95% confidence interval: 28.5–54.7 weeks). The median time to disease progression was 19 weeks. Hematological toxicities (grade 3 or 4) observed included neutropenia in 60%, anemia in 15%, and thrombocytopenia in 12.5% of patients. Non-hematological toxicities were mild, with the exception of grade 3 diarrhea, pneumonitis, and

rash in one patient each. There were no deaths due to toxicity.

Conclusion The combination of weekly PTX and GEM is a feasible, well-tolerated, and active means of second-line treatment of advanced NSCLC.

Keywords Non-small cell lung cancer · Second-line chemotherapy · Weekly chemotherapy · Gemcitabine · Paclitaxel

Introduction

The clinical usefulness of second-line chemotherapy has been established for cases of advanced non-small cell lung cancer (NSCLC) in which tumor has recurred or exhibits resistance to treatment after first-line chemotherapy. The effectiveness of docetaxel, pemetrexed, and elrotinib for second-line chemotherapy for NSCLC has been demonstrated in phase III clinical studies [13, 23, 24]. Furthermore, paclitaxel (PTX) and gemcitabine (GEM) have been shown to be effective against NSCLC resistant to platinum preparations [5, 16, 20]. There appears to be partial non-cross-resistance between these drugs and platinum preparations.

In previous attempts at second-line chemotherapy for NSCLC, the response rate was 0–38% for patients treated with PTX alone at intervals of 3 weeks [12, 21, 25] and 8–37.5% for patients treated with low-dose weekly PTX therapy [5, 16, 26, 28]. On the other hand, the rate of response to uncombined GEM therapy was 6–21% [7, 11, 17, 20, 22].

In combined PTX and GEM therapy, the two drugs exhibit interactions with each other but no overlap or synergism of adverse reactions. When this combined

K. Mori (✉) · Y. Kamiyama · T. Kondo · Y. Kano ·
T. Kodama
Department of Thoracic Diseases, Tochigi Cancer Center,
4-9-13, Yonan, Utsunomiya, Tochigi 320-0834, Japan
e-mail: kmori@tcc.pref.tochigi.jp

Y. Kamiyama
e-mail: ykamiyam@tcc.pref.tochigi.jp

T. Kondo
e-mail: tkondo@tcc.pref.tochigi.jp

Y. Kano
e-mail: ykano@tcc.pref.tochigi.jp

T. Kodama
e-mail: tkodama@tcc.pref.tochigi.jp