

vasodilator,<sup>15</sup> modulates hypoxic pulmonary vasoconstriction.<sup>10</sup> Recent studies using genetically engineered mice have shown that CGRP knockout mice exhibit increased blood pressure and overactivation of their sympathetic nervous system.<sup>16</sup> In terms of its physiological role in the lung, it has been reported that CGRP potently constricts airway smooth muscle in humans<sup>17</sup> and guinea pigs.<sup>18</sup> In addition, it has been shown that CGRP may have a significant role in eosinophilia in allergic inflammation.<sup>19,20</sup> Based on these observations, it is assumed that CGRP might be involved in other inflammatory responses in the lung.

The current study investigated whether  $\alpha$ CGRP might have pathophysiological roles in a murine model of acid-induced lung injury due to acid aspiration. CGRP gene-disrupted mice were used in the study.<sup>16</sup>

## METHODS

### Mice

$\alpha$ CGRP-null mice were established as previously reported.<sup>16</sup> Briefly, the mouse *CT/ $\alpha$ CGRP* genomic DNA was cloned from a BALB/c mouse genomic library in EMBL3 using synthetic oligonucleotide probes derived from the mouse *CT/ $\alpha$ CGRP* cDNA sequence. A 7.0-kb fragment containing exons 3–5 of the mouse *CT/ $\alpha$ CGRP* gene was subcloned into pBlue-script (Stratagene, Santa Clara, CA, USA). A targeting vector was constructed by replacing the 1.6-kb *Xba*I–*Xba*I fragment encompassing exon 5, which is specific for  *$\alpha$ CGRP*, with the neomycin-resistance gene, and by flanking the thymidine kinase gene. This plasmid was linearized with *Not*I and introduced into 129/Sv-derived SM-1 ES cells by electroporation, after which the cells were selected in medium containing G418 and ganciclovir. Homologous recombinants were identified by PCR and Southern blot analysis. Targeted ES cell clones were injected into C57BL/6 mouse blastocysts to generate chimeric mice. Male chimeras were then crossed with C57BL/6 females, and germline transmission was achieved. Mice heterozygous for  *$\alpha$ CGRP* mutant allele with the genetic background of the 129/Sv  $\times$  C57BL/6 hybrid were mated. Offspring were genotyped at 4 weeks of age. For genotyping, genomic DNAs were isolated from biopsied tail and subjected to PCR amplification. Mice were fed with a standard laboratory diet and water *ad libitum*. CGRP knockout mice ( *$\alpha$ CGRP*<sup>-/-</sup>) and their littermate wild-type mice ( *$\alpha$ CGRP*<sup>+/+</sup>) were used in the current study.

### Protocols

Animals were anaesthetized with pentobarbital sodium (25 mg/kg, ip) and ketamine hydrochloride (25 mg/kg, ip) in combination and then paralysed with pancuronium bromide (0.3 mg/kg, ip). Anaesthesia and paralysis were maintained by supplemental administration of 10% of the initial dose every

hour. After tracheostomy, an endotracheal metal tube (inside diameter of 1 mm, length of 8 mm) was inserted in the trachea. Animals were mechanically ventilated (model 683, Harvard Apparatus, South Natick, MA, USA) with tidal volumes of 10 mL/kg and frequencies of 2.5 Hz. The thorax was widely opened by means of midline sternotomy, and a PEEP of 2 cm H<sub>2</sub>O was applied by placing the expiratory line underwater.

One minute prior to aspiration challenge, two deep inhalations (3 times tidal volume) were delivered to standardize volume, and measurements were made to obtain baseline values. Then, the anaesthetized and mechanically ventilated mice received 2 mL/kg HCl (pH = 1.5) intratracheally, followed by a bolus of air (30 mL/kg). In the control group, animals received saline instead of HCl in the same manner. We therefore studied four groups: saline-treated wild-type, saline-treated knockout, HCl-treated wild-type, and HCl-treated knockout groups ( $n = 5$ , respectively, for each group). In all groups, measurements were made at 30-min intervals for 2 h. To assess the development of physiological lung injury, elastance (EL, a reciprocal of lung compliance) was measured as previously described.<sup>21–27</sup> Briefly, we measured the tracheal pressure (Ptr), flow and volume (V). EL and lung resistance (RL) were calculated by adjusting the equation of motion:  $Ptr = EL \cdot V + RL \cdot (dV/dt) + K$ , where K was a constant. Changes in EL reflect lung parenchymal alterations and stiffening of the lungs.<sup>28,29</sup> At the end of the experiment, animals were sacrificed by exsanguination.

### Assessment of respiratory failure

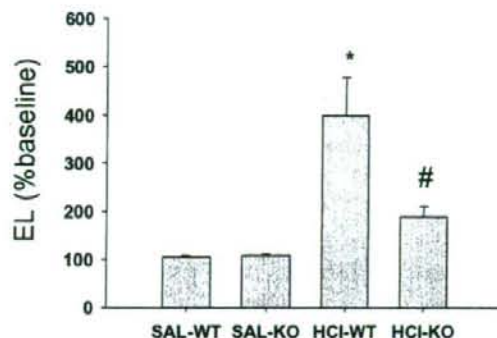
At the end of the experiment, blood samples from the left ventricle were used to obtain measurements of PaO<sub>2</sub>, PaCO<sub>2</sub> and pH.

### Assessment of pulmonary oedema

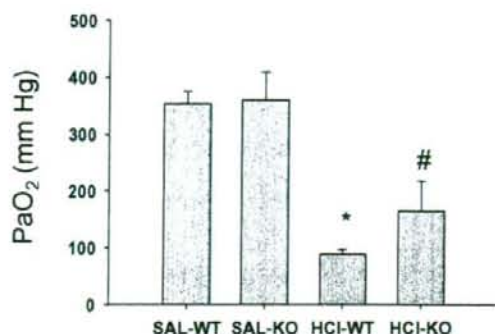
At the end of the experiment, the lung wet-to-dry weight ratios were calculated to assess pulmonary oedema. After the trapped blood was drained from the excised lungs, the lung wet weight was measured. The lungs were then heated at 90°C to constant weight in a gravity convection oven for 72 h, and the residuum was taken as the lung dry weight.

### BALF

At the end of the experiment, BAL was performed (1 mL of PBS  $\times$  5) in each group. The BALF was centrifuged at 450 g for 10 min, and the total and differential cell counts of the BALF were determined from the cell fraction. The supernatant was stored at -70°C until the protein was determined. The concentration of protein was measured by Lowry's method using BSA as standard.



**Figure 1** Roles of the CGRP gene in acid-induced lung injury. Changes in lung elastance (EL) in CGRP knockout mice ( $\alpha\text{CGRP}^{-/-}$ ) and wild-type mice ( $\alpha\text{CGRP}^{+/+}$ ) 2 h after aspiration of either HCl or saline ( $n=5$ ). \* $P < 0.01$  versus saline-treated groups. # $P < 0.01$  versus HCl-treated wild-type group and saline-treated groups. HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.



**Figure 2** Roles of the CGRP gene in acid-induced hypoxaemia. PaO<sub>2</sub> level 2 h after aspiration ( $n=4$ ). Responses following the administration of HCl or saline are shown. \* $P < 0.01$  versus saline-treated groups. # $P < 0.05$  versus HCl-treated wild-type group and saline-treated groups. HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.

### Myeloperoxidase (MPO) activity assay

At the end of experiments, the left lungs of the mice were removed in each group. Measurements of MPO activity were performed as reported.<sup>30,31</sup>

### Data analysis

Comparisons of experimental groups were carried out using the Kruskal-Wallis test. Data are expressed as mean  $\pm$  SD.  $P$ -values of  $<0.05$  were considered significant.

## RESULTS

HCl-induced changes in EL and RL in  $\alpha\text{CGRP}^{-/-}$  mice were significantly less than the wild-type controls ( $n=5$  for each group; Fig. 1 and Table 1). Figure 1 demonstrates the responses in lung elasticity in the HCl- and saline-treated groups.

HCl-treated groups showed deterioration of gas exchange; however, HCl-induced hypoxaemia was significantly attenuated in CGRP mutant mice ( $n=4$  for each group; Fig. 2). HCl treatment induced significant increases in PaCO<sub>2</sub> and decreases in pH in the wild-type mice compared with the knockout mice (Table 1).

To assess the severity of lung oedema, lung wet-to-dry weight ratios were measured in each group ( $n=3-4$  for each group; Fig. 3). Lung wet-to-dry weight ratio in HCl-treated  $\alpha\text{CGRP}^{-/-}$  mice was significantly decreased compared with wild-type HCl-treated mice.

HCl administration increased the amount of protein in BALF, indicating HCl-induced protein leakage ( $n=4$  for each group; Fig. 4). As shown, HCl-

**Table 1** Lung resistance (RL), PaCO<sub>2</sub> and pH levels (mean  $\pm$  SD) 2 h after aspiration in the experimental and control groups of mice

	RL (%baseline)	PaCO <sub>2</sub> (mm Hg)	pH
SAL-WT	100.3 $\pm$ 1.8	32.8 $\pm$ 7.6	7.46 $\pm$ 0.10
SAL-KO	100.3 $\pm$ 3.6	29.3 $\pm$ 7.7	7.50 $\pm$ 0.05
HCl-WT	128.5 $\pm$ 5.2*	45.8 $\pm$ 11.0*	7.17 $\pm$ 0.12*
HCl-KO	108.6 $\pm$ 5.9**	36.8 $\pm$ 2.2**	7.34 $\pm$ 0.09**

\* $P < 0.05$  versus saline-treated groups; \*\* $P < 0.05$  versus HCl-treated wild-type group, not significant versus saline-treated groups.

HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.

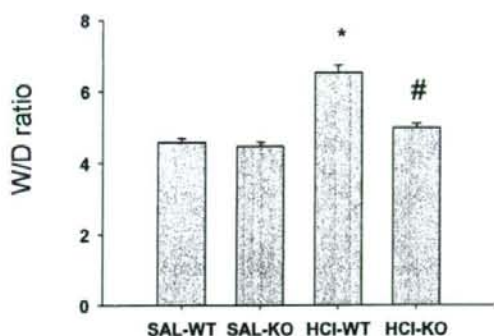
induced protein leakage was significantly attenuated in CGRP mutant mice. Total cell counts and differential cell fractions are summarized in Table 2. HCl administration increased the total cell counts and the number of PMN in BALF. However, HCl aspiration elicited no significant differences in PMN infiltration between the wild-type and  $\alpha\text{CGRP}^{-/-}$  mice ( $n=4$  for each group; Fig. 5).

To assess the PMN infiltration in the lung, MPO activity assay was performed. Figure 6 shows the results of MPO activity in lung tissue. No significant difference in lung MPO activity was observed between the wild-type and  $\alpha\text{CGRP}^{-/-}$  mice ( $n=3-4$  for each group).

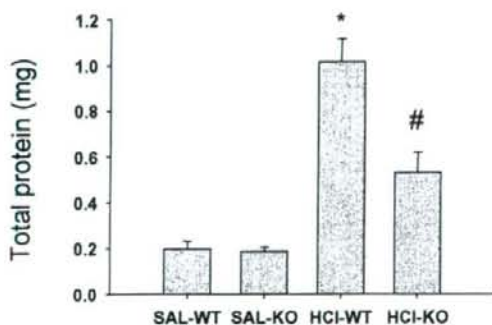
## DISCUSSION

The results of the current study suggest that CGRP is involved in the development of acid-induced lung





**Figure 3** Roles of the CGRP gene in acid-induced lung oedema. The lung wet-to-dry weight (W/D) ratio 2 h after aspiration ( $n=3-4$ ). Responses following the administration of HCl or saline are shown. \* $P < 0.05$  versus saline-treated groups. # $P < 0.05$  versus HCl-treated wild-type group. HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.



**Figure 4** Roles of the CGRP gene in acid-induced protein leakage. Total protein amounts of BALF 2 h after aspiration ( $n=4$ ). Responses following the administration of HCl or saline are shown. \* $P < 0.01$  versus saline-treated groups. # $P < 0.01$  versus HCl-treated wild-type group and saline-treated groups. HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.

injury. Disruption of the CGRP gene significantly attenuated lung water and presumably tissue oedema—and respiratory failure. However, PMN sequestration was not regulated by CGRP. These observations indicate that CGRP may mediate certain key features of acid-induced lung injury.

CGRP belongs to the calcitonin family of peptides, including calcitonin, amylin and adrenomedullin. The calcitonin-receptor-like receptor functions as a CGRP receptor in the presence of receptor-activity-modifying protein 1.<sup>32</sup> CGRP has pleiotropic and pathophysiological effects on various cells and

organs,<sup>10</sup> and exerts trophic effects on skeletal muscle and vascular smooth muscle.<sup>4</sup> CGRP also modulates some macrophage functions, including antigen presentation.<sup>33,34</sup> In the respiratory system, CGRP is synthesized by sensory C-fibres in the respiratory tree.<sup>3</sup> Based on the biological effects of CGRP, it has been suggested that CGRP might be related to the pathogenesis of inflammatory diseases, including bronchial asthma and acute lung injury. Recent studies using genetically engineered mice have shown that CGRP knockout mice exhibit attenuation of antigen-induced airway hyperresponsiveness.<sup>35</sup> It has been demonstrated that CGRP potentially constricts human airway smooth muscle.<sup>17</sup> Regarding eosinophil chemotaxis, Numao and Agrawal<sup>20</sup> have reported that neuropeptides, including CGRP, may play a significant role in eosinophil infiltration by priming cells in allergic inflammation. In the present study, it was hypothesized that CGRP could play a significant role in the mechanism underlying acid-induced lung injury. To test this hypothesis, acid-induced pulmonary responses using  $\alpha$ CGRP gene-disrupted mice, which have been recently established by Oh-hashii *et al.*,<sup>16</sup> were studied.

Aspiration of gastric contents is reported to be associated with an ARDS incidence of 26–36%.<sup>36</sup> Acute lung injury induced by massive acid aspiration is characterized by increased permeability in the lung, decreased lung compliance, enhanced PMN sequestration and respiratory failure. In this study, acid-induced lung oedema and respiratory failure were significantly attenuated in the  $\alpha$ CGRP-deficient mice, suggesting that the presence of CGRP *per se* is associated with acid-induced lung injury. This is the first report that CGRP could be involved in the acute lung injury using a murine model. Based on the report that CGRP knockout mice exhibit increased blood pressure and overactivation of the sympathetic nervous system,<sup>16</sup> disruption of the CGRP gene could affect pulmonary vascular permeability with consequent attenuated lung oedema induced by acid challenge. However, the exact mechanism to explain the involvement of CGRP in acid-induced lung injury is unclear.

One possible mechanism is that CGRP might affect pulmonary inflammation, including PMN infiltration, after acid challenge. Neutrophil sequestration is a common feature of ARDS subjects. In the current study, however, no significant difference in BALF PMN count or lung MPO activity was observed between the wild-type and CGRP-deficient mice. These results suggest that the disruption of the CGRP gene has little effect on acid-induced neutrophilia in mice.

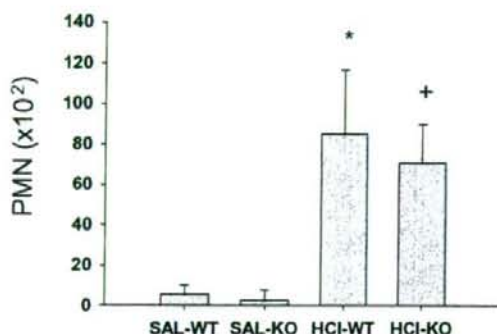
Acid-induced alterations in lung elastance,  $\text{PaO}_2$  and BALF protein were not completely eliminated in CGRP mutant mice, although they were markedly attenuated compared with the HCl-treated wild-type group. These observations suggest that factors other than CGRP also play a role and contribute to physiological alteration in the development of acute lung injury. It has been postulated that platelet-activation factor (PAF), eicosanoids, adhesion molecules and cytokines are also involved in this mechanism.<sup>21,37</sup> Considering that there are as yet no drugs to manage

**Table 2** Total cell counts and cell fractions (mean  $\pm$  SD) in BALF 2 h after aspiration in the experimental and control groups

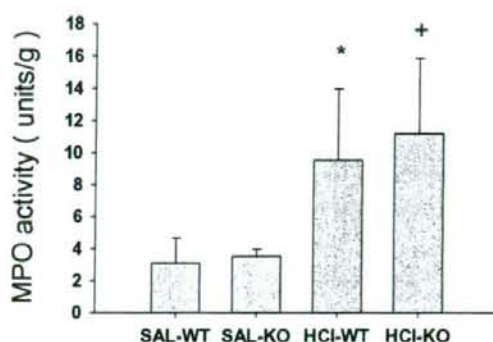
	Total cell counts ( $\times 10^5$ )	Macrophage (%)	PMN (%)	Lymphocyte (%)
SAL-WT	1.11 $\pm$ 0.89	95.5 $\pm$ 0.3	0.5 $\pm$ 0.4	4.0 $\pm$ 0.7
SAL-KO	1.03 $\pm$ 0.68	95.0 $\pm$ 0.4	0.3 $\pm$ 0.5	4.8 $\pm$ 0.5
HCl-WT	3.55 $\pm$ 0.34*	94.0 $\pm$ 0.6	2.4 $\pm$ 1.0*	3.6 $\pm$ 1.4
HCl-KO	3.37 $\pm$ 0.30**	95.1 $\pm$ 0.3	2.1 $\pm$ 0.6**	2.8 $\pm$ 0.6

\* $P < 0.01$  versus saline-treated groups; \*\* $P < 0.01$  versus saline-treated groups, not significant versus HCl-treated wild-type group.

HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; PMN, polymorphonuclear neutrophil; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.



**Figure 5** Roles of the CGRP gene in acid-induced neutrophil infiltration. Polymorphonuclear neutrophil (PMN) counts of BALF 2 h after aspiration ( $n = 4$ ). Responses following the administration of HCl or saline are shown. \* $P < 0.01$  versus saline-treated groups. + $P < 0.01$  versus saline-treated groups, not significant versus HCl-treated wild-type group. HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.



**Figure 6** Roles of the CGRP gene in acid-induced neutrophil infiltration. Myeloperoxidase (MPO) activity assay in the lung 2 h after aspiration ( $n = 3-4$ ). Responses following the administration of HCl or saline are shown. \* $P < 0.01$  versus saline-treated groups. + $P < 0.01$  versus saline-treated groups, not significant versus HCl-treated wild-type group. HCl-KO, HCl-treated knockout mice; HCl-WT, HCl-treated wild-type mice; SAL-KO, saline-treated knockout mice; SAL-WT, saline-treated wild-type mice.

pulmonary oedema and improve survival rates, these mediators are possible targets for the development of therapeutic agents. The CGRP mutant mice used in this study may be useful to study the role of CGRP in inflammatory disorders and may provide novel insights into the pathophysiological roles of CGRP and the CGRP gene *in vivo*.

An important shortcoming of our studies is that we did not perform detailed studies of mouse lung tissue responses to acid. This would have made it possible to verify vascular changes and tissue oedema, and would have provided information on the numbers of inflammatory cells present.

In summary, the disruption of the CGRP gene significantly attenuated acid-induced lung damage and respiratory failure, but did not affect PMN infiltration in a mouse model of ARDS. The current observations suggest that CGRP might be involved in the pathogenesis of acute lung injury caused by acid aspiration. CGRP mutant mice may provide appropriate models to study molecular and pathophysiological mechanisms underlying diseases related to CGRP.

## ACKNOWLEDGEMENT

This work was supported in part by grants-in-aid for Scientific Research from the Ministry of Education, Science, Sports, Culture and Technology of Japan; a grant to the Respiratory Failure Research Group from the Ministry of Health, Labour and Welfare, Japan; and grants-in-aid for Comprehensive Research on Aging and Health from the Ministry of Health, Labour and Welfare, Japan. T. Aoki-Nagase is a Research Resident of Japan Foundation for Aging and Health.

## REFERENCES

- Eijking EP, Gommers D, So KL, Vergeer M, Lachmann B. Surfactant treatment of respiratory failure induced by hydrochloric acid aspiration in rats. *Anesthesiology* 1993; **78**: 1145-51.
- Pittet JF, Mackersie RC, Martin TR, Matthay MA. Biological markers of acute lung injury: prognostic and



- pathogenetic significance. *Am. J. Respir. Crit. Care Med.* 1997; **155**: 1187–205.
- 3 Amara SG, Jonas V, Rosenfeld MG, Ong ES, Evans RM. Alternative RNA processing in calcitonin gene expression generates mRNAs encoding different polypeptide products. *Nature* 1982; **298**: 240–4.
  - 4 Brain SD, Williams TJ, Tippins JR, Morris HR, MacIntyre I. Calcitonin gene-related peptide is a potent vasodilator. *Nature* 1985; **313**: 54–6.
  - 5 Lundberg JM, Anders FC, Hua X, Hökfelt T, Fischer JA. Coexistence of substance P and calcitonin gene-related peptide-like immunoreactivities in sensory nerves in relation to cardiovascular and bronchoconstrictor effects of capsaicin. *Eur. J. Pharmacol.* 1985; **108**: 315–19.
  - 6 Lu B, Fu WM, Greengard P, Poo MM. Calcitonin gene-related peptide potentiates synaptic responses at developing neuromuscular junction. *Nature* 1993; **363**: 76–9.
  - 7 Rosenfeld MG, Mermod JJ, Amara SG, Swanson LW, Sawchenko PE *et al.* Production of a novel neuropeptide encoded by the calcitonin gene via tissue-specific RNA processing. *Nature* 1983; **304**: 129–35.
  - 8 Amara SG, Arriza JL, Leff SE, Swanson LW, Evans RM *et al.* Expression in brain of a messenger RNA encoding a novel neuropeptide homologous to calcitonin gene-related peptide. *Science* 1985; **229**: 1094–7.
  - 9 Terada M, Iwanaga T, Iwanaga HT, Adachi I. Calcitonin gene-related peptide (CGRP)-immunoreactive nerves in the tracheal epithelium of rats: an immunohistochemical study by means of whole mount preparations. *Arch. Histol. Cytol.* 1992; **55**: 219–33.
  - 10 Solway J, Leff AR. Sensory neuropeptides and airway function. *J. Appl. Physiol.* 1991; **71**: 2077–87.
  - 11 Cadieux A, Monast NP, Pomerleau F, Fournier A, Lanoue C. Bronchoprotector properties of calcitonin-gene related peptide in guinea pig and human airways. *Am. J. Respir. Crit. Care Med.* 1999; **159**: 235–43.
  - 12 Nagase T, Ohga E, Katayama H, Sudo E, Aoki T *et al.* Roles of calcitonin-gene related peptide (CGRP) in hyperpnea-induced constriction in guinea pigs. *Am. J. Respir. Crit. Care Med.* 1996; **154**: 1551–6.
  - 13 Merighi A, Polak JM, Gibson SJ, Gulbenkian S, Valentino KL *et al.* Ultrastructural studies on calcitonin gene-related peptide-, tachykinins-, and somatostatin-immunoreactive neurons in rat dorsal root ganglia: evidence for the colocalization of different peptides in single secretory granules. *Cell Tissue Res.* 1988; **254**: 101–9.
  - 14 Janssen PL, Tucker A. Calcitonin gene-related peptide modulates pulmonary vascular reactivity in isolated rat lungs. *J. Appl. Physiol.* 1994; **77**: 142–6.
  - 15 McCormack D, Mak J, Coupe M, Barnes PJ. Calcitonin gene-related peptide vasodilation of human pulmonary vessels. *J. Appl. Physiol.* 1989; **67**: 1265–70.
  - 16 Oh-hashii Y, Shindo T, Kurihara Y, Imai T, Wang Y *et al.* Elevated sympathetic nervous activity in mice deficient in  $\alpha$ CGRP. *Circ. Res.* 2001; **89**: 983–90.
  - 17 Palmer JBD, Cuss FMC, Mulderry PK, Ghatei MA, Springall DR *et al.* Calcitonin-gene related peptide is localized to human airway nerves and potently constricts human airway smooth muscle. *Br. J. Pharmacol.* 1987; **91**: 95–101.
  - 18 Pinto A, Sekizawa K, Yamaya M, Ohru T, Jia YX *et al.* Effects of adrenomedullin and calcitonin gene-related peptide on airway and pulmonary vascular smooth muscle in guinea-pigs. *Br. J. Pharmacol.* 1996; **119**: 1477–83.
  - 19 Davies D, Medeiros MS, Keen J, Turner AJ, Haynes LW. Endopeptidase-24.11 cleaves a chemotactic factor from  $\alpha$ -calcitonin gene-related peptide. *Biochem. Pharmacol.* 1992; **43**: 1753–6.
  - 20 Numao T, Agrawal DK. Neuropeptides modulate human eosinophil chemotaxis. *J. Immunol.* 1992; **149**: 3309–15.
  - 21 Nagase T, Fukuchi Y, Matsuse T, Sudo E, Matsui H *et al.* Antagonism of ICAM-1 attenuates airway and tissue responses to antigen in sensitized rats. *Am. J. Respir. Crit. Care Med.* 1995; **151**: 1244–9.
  - 22 Nagase T, Matsui H, Aoki T, Ouchi Y, Fukuchi Y. Lung tissue behaviour in the mouse during constriction induced by methacholine and endothelin-1. *J. Appl. Physiol.* 1996; **81**: 2373–8.
  - 23 Nagase T, Kurihara H, Kurihara Y, Aoki T, Fukuchi Y *et al.* Airway hyperresponsiveness to methacholine in mutant mice deficient in endothelin-1. *Am. J. Respir. Crit. Care Med.* 1998; **157**: 560–4.
  - 24 Nagase T, Ishii S, Shindou H, Ouchi Y, Shimizu T. Airway hyperresponsiveness in transgenic mice overexpressing platelet-activating factor receptor is mediated by an atropine-sensitive pathway. *Am. J. Respir. Crit. Care Med.* 2002; **165**: 200–5.
  - 25 Nagase T, Ishii S, Katayama H, Fukuchi Y, Ouchi Y *et al.* Airway responsiveness in transgenic mice overexpressing platelet-activating factor receptor: roles of thromboxanes and leukotrienes. *Am. J. Respir. Crit. Care Med.* 1997; **156**: 1621–7.
  - 26 Ishii S, Nagase T, Tashiro F, Ikuta K, Sato S *et al.* Bronchial hyperreactivity, increased endotoxin lethality and melanocytic tumorigenesis in transgenic mice overexpressing platelet-activating factor receptor. *EMBO J.* 1997; **16**: 133–42.
  - 27 Ishii S, Kuwaki T, Nagase T, Maki K, Tashiro F *et al.* Impaired anaphylactic responses but intact sensitivity to endotoxin in mice lacking a platelet-activating factor receptor. *J. Exp. Med.* 1998; **187**: 1779–88.
  - 28 Nagase T, Uozumi N, Ishii S, Kume K, Izumi T *et al.* Acute lung injury by sepsis and acid aspiration: a key role for cytosolic phospholipase A2. *Nat. Immunol.* 2000; **1**: 42–6.
  - 29 Nagase T, Uozumi N, Ishii S, Kita Y, Yamamoto H *et al.* A pivotal role of cytosolic phospholipase A2 in bleomycin-induced pulmonary fibrosis. *Nat. Med.* 2002; **8**: 480–4.
  - 30 Nagase T, Uozumi N, Aoki-Nagase T, Terawaki K, Ishii S *et al.* A potent inhibitor of cytosolic phospholipase A2, arachidonyl trifluoromethyl ketone, attenuates LPS-induced lung injury in mice. *Am. J. Physiol. Lung Cell. Mol. Physiol.* 2003; **284**: L720–6.
  - 31 Blackwell TS, Lancaster LH, Blackwell TR, Venkatakrishnan A, Christman JW. Chemotactic gradients predict neutrophilic alveolitis in endotoxin-treated rats. *Am. J. Respir. Crit. Care Med.* 1999; **159**: 1644–52.
  - 32 McLatchie LM, Fraser NJ, Main MJ, Wise A, Brown J *et al.* RAMPs regulate the transport and ligand specificity of the calcitonin-receptor-like receptor. *Nature* 1998; **393**: 333–9.
  - 33 Hosoi J, Murphy GF, Egan CL, Lerner EA, Grabbe S *et al.* Regulation of Langerhans cell function by nerves containing calcitonin-gene related peptide. *Nature* 1993; **363**: 159–63.

- 34 Nong YH, Titus RG, Ribeiro JMC, Remold HG. Peptides encoded by the calcitonin gene inhibit macrophage function. *J. Immunol.* 1989; **143**: 45-9.
- 35 Aoki-Nagase T, Nagase T, Oh-hashii Y, Shindo T, Kurihara Y *et al.* Attenuation of antigen-induced airway hyperresponsiveness in CGRP-deficient mice. *Am. J. Physiol. Lung Cell. Mol. Physiol.* 2002; **283**: L963-70.
- 36 Hudson LD, Milberg JA, Anardi D, Maunder RJ. Clinical risks for development of the acute respiratory distress syndrome. *Am. J. Respir. Crit. Care Med.* 1995; **151**: 293-301.
- 37 Folkesson HG, Matthay MA, Hebert CA, Broaddus VC. Acid aspiration-induced lung injury in rabbits is mediated by interleukin-8-dependent mechanisms. *J. Clin. Invest.* 1995; **96**: 107-16.



resolve some of these questions with regard to the different therapeutic properties of ACE inhibitors and ARBs. Until the results of this trial are available, there is space for individual weighting of data and personal opinions, which is nicely expressed by this discussion.

We declare that we have no conflict of interest other than that stated in the original paper.

\*R E Schmieder, K F Hilgers,  
M P Schlaich MP, B M Schmidt  
roland.schmieder@rmail.uni-erlangen.de

Department of Nephrology and Hypertension,  
University of Erlangen-Nuremberg, 91054 Erlangen,  
Germany

- 1 Dickstein K, Kjekshus J: OPTIMAAL Steering Committee of the OPTIMAAL Study Group. Effects of losartan and captopril on mortality and morbidity in high-risk patients after acute myocardial infarction: the OPTIMAAL randomised trial. *Lancet* 2002; 360: 752-60
- 2 Pflaffer MA, McMurray JJ, Velazquez EJ, et al. Valsartan in Acute Myocardial Infarction Trial Investigators. Valsartan, captopril, or both in myocardial infarction complicated by heart failure, left ventricular dysfunction, or both. *N Engl J Med* 2003; 349: 1893-906
- 3 Demers C, McMurray JJ, Swedberg K, et al. CHARM Investigators. Impact of candesartan on nonfatal myocardial infarction and cardiovascular death in patients with heart failure. *JAMA* 2005; 294: 1794-98
- 4 Pourdabbas A, Lapointe N, Rouleau JL. Angiotensin receptor blockers: powerful evidence with cardiovascular outcomes? *Can J Cardiol* 2002; 18 (suppl A): 7A-14A

## Prevention of postextubation laryngeal oedema

Bruno François and colleagues (March 31, p 1083)<sup>1</sup> describe a simple and safe intervention that could decrease the incidence of post-extubation laryngeal oedema in critically ill patients. However, before recommending routine steroid treatment, more information is needed.

First, a more detailed description of the study population would help clinicians determine if the groups were balanced and whether extrapolation to other intensive-care units is warranted. In particular, it would be useful to know how many patients had signs and symptoms

associated with reintubation,<sup>2</sup> such as depressed mental status, excess secretions, and weak cough.<sup>2</sup> Each of these could present with upper airway findings and be confused with laryngeal oedema, particularly if laryngoscopy is not done.

Second, François and colleagues should reconcile "planned extubation" with current practice. Standard recommendations do not include advanced planning for extubation but, rather, encourage clinicians to test candidates for extubation with daily spontaneous breathing trials.<sup>4</sup> Patients who prove to be capable of spontaneous breathing are considered for prompt extubation. This practice does not include a 12-h delay for steroids to take effect. Even if steroids were given in advance of the trials, how clinicians could reliably identify patients who will prove incapable of spontaneous breathing is unclear; for such patients, steroids would be inappropriate.

The move to "routine use of this treatment", as suggested in the accompanying Comment by Rupert Pearse and Duncan Young,<sup>5</sup> must be considered premature, pending answers to these questions.

I declare that I have no conflict of interest.

Mark D Siegel  
Mark.Siegel@yale.edu

Yale University School of Medicine, 333 Cedar  
Street, New Haven, CT 06510, USA

- 1 François B, Bellissant E, Gissot V, et al. 12-h pretreatment with methylprednisolone versus placebo for prevention of postextubation laryngeal oedema: a randomised double-blind trial. *Lancet* 2007; 369: 1083-89
- 2 Epstein SK, Ciubotaru RL. Independent effects of etiology of failure and time to reintubation on outcome for patients failing extubation. *Am J Respir Crit Care Med* 1998; 158: 489-93
- 3 Salam A, Tillockdharry L, Amoateng-Adjepong Y, Manthous CA. Neurologic status, cough, secretions and extubation outcomes. *Intensive Care Med* 2004; 30: 1334-39
- 4 McIntyre NR. Evidence-based guidelines for weaning and discontinuing ventilatory support. *Chest* 2001; 120: 3755-965
- 5 Pearse RM, Young JD. Steroids to prevent postextubation laryngeal oedema. *Lancet* 2007; 369: 1060-61

In their useful study, Bruno François and colleagues<sup>1</sup> report successful treatment with methylprednisolone for the prevention of postextubation laryngeal oedema.

However, since severe oedema requiring reintubation is rare but potentially fatal, the proportion of patients excluded in this study (1727 of 2488 patients) is a concern. In particular, whether the duration of intubation is a risk factor for oedema remains controversial,<sup>2,3</sup> and the number of patients who were excluded from this study but who might have benefited from the use of corticosteroids before extubation could be significant.

Although screening for postextubation laryngeal oedema by use of the cuff-leak test is not a sufficient test because of the low positive predictive value, its high negative predictive value and low rate of complications suggest that this test might have been used to identify high-risk patients among the population excluded from this study.<sup>4</sup>

The incidence of adverse events in this population is also informative.

We declare that we have no conflict of interest.

\*Yasushi Goto, Takahide Nagase  
ygoto-ty@umin.net

Department of Respiratory Medicine, University of  
Tokyo, Graduate School of Medicine, Hongo 7-3-1,  
Bunkyo-ku, Tokyo 113-8655, Japan

- 1 François B, Bellissant E, Gissot V, et al. 12-h pretreatment with methylprednisolone versus placebo for prevention of postextubation laryngeal oedema: a randomised double-blind trial. *Lancet* 2007; 369: 1083-89
- 2 Chung YH, Chao TY, Chiu CT, Lin MC. The cuff-leak test is a simple tool to verify severe laryngeal edema in patients undergoing long-term mechanical ventilation. *Crit Care Med* 2006; 34: 409-14
- 3 Stauffer JL, Olson DE, Petty TL. Complications and consequences of endotracheal intubation and tracheotomy: a prospective study of 150 critically ill adult patients. *Am J Med* 1981; 70: 65-76

I commend Bruno François and colleagues<sup>1</sup> for having successfully completed their challenging clinical trial of methylprednisolone to prevent postextubation laryngeal oedema.

Although I found the primary efficacy data compelling, I am left



Source: Photo Library

# Homozygosity Haplotype Allows a Genomewide Search for the Autosomal Segments Shared among Patients

Hitoshi Miyazawa,\* Masaaki Kato,\* Takuya Awata, Masakazu Kohda, Hiroyasu Iwasa, Nobuyuki Koyama, Tomoaki Tanaka, Huqun, Shunei Kyo, Yasushi Okazaki, and Koichi Hagiwara

A promising strategy for identifying disease susceptibility genes for both single- and multiple-gene diseases is to search patients' autosomes for shared chromosomal segments derived from a common ancestor. Such segments are characterized by the distinct identity of their haplotype. The methods and algorithms currently available have only a limited capability for determining a high-resolution haplotype genomewide. We herein introduce the homozygosity haplotype (HH), a haplotype described by the homozygous SNPs that are easily obtained from high-density SNP genotyping data. The HH represents haplotypes of both copies of homologous autosomes, allowing for direct comparisons of the autosomes among multiple patients and enabling the identification of the shared segments. The HH successfully detected the shared segments from members of a large family with Marfan syndrome, which is an autosomal dominant, single-gene disease. It also detected the shared segments from patients with model multigene diseases originating with common ancestors who lived 10–25 generations ago. The HH is therefore considered to be useful for the identification of disease susceptibility genes in both single- and multiple-gene diseases.

Current genetic approaches focus on the identification of disease susceptibility genes (hereafter referred to as "disease genes") by exploiting the cosegregation of the disease phenotype over generations with a disease gene as well as a set of polymorphic marker types in its neighborhood (i.e., the haplotype). In a large family including multiple patients with a specific disease, the disease gene is usually derived from a single ancestor. On the basis of this assumption, haplotype analysis<sup>1</sup> or linkage analysis<sup>2</sup> has been used to find the gene. In affected-sib-pair analysis, a sib pair affected with the same disease is considered to share the same disease gene, inherited from their parents. The gene is searched for by looking at the genetic markers shared by the pair.<sup>1,3</sup> In whole-genome association studies, researchers try to capture segments containing disease-risk alleles derived from a limited number of very ancient ancestors where a haplotype block is the ultimate unit of search.<sup>4–6</sup> Because the haplotype contains the canonical information for every approach, determination of the haplotype is considered to greatly simplify the analyses.<sup>7</sup> However, the haplotype is not easy to identify in diploid organisms such as humans, because the genotypes of polymorphic markers are obtained as a mixture of those of the two alleles. Although many methods have been developed to reconstruct the haplotype,<sup>1,7–9</sup> their capabilities are limited. It is currently not possible, at least on a genomewide basis, to obtain haplotype information from an arbitrary subject or to compare two unrelated subjects in order to

search for chromosomal segments sharing the same haplotype. In this study, we introduce the homozygosity haplotype (HH), which overcomes a part of this problem. The HH is a form of haplotype described by the homozygous SNPs, and, therefore, it is easily obtained genomewide. Using a family affected with Marfan syndrome (MIM 154700) and patients with model multigene diseases, we demonstrate how HH analysis allows the identification of the location of disease genes.

## Material and Methods

### Definition of Terms

**Homozygosity haplotype (HH).**—An HH is a haplotype described by only homozygous SNPs and is obtained by the deletion of heterozygous SNPs (fig. 1A*i*), leaving only the homozygous SNPs (fig. 1A*ii*). At this point, the haplotype of each chromosome is uniquely determined, because all SNPs are homozygous (fig. 1A*iii*). Note that both copies of homologous autosomes have the same HH over their entire length.

**Comparable SNP (compSNP).**—A compSNP is a SNP that is homozygous in two subjects (fig. 1B). We can compare the HHs between two subjects by use of the compSNPs (fig. 1C).

**Region with a conserved HH (RCHH).**—An RCHH is a run of compSNPs matched for allelic type, the genetic length of which is longer than the cutoff value (fig. 1C). An RCHH is bounded by either a mismatched compSNP(s) or by the end(s) of an autosome. The RCHHs shared by multiple subjects are the overlap of the RCHHs for each subject pair (fig. 1D).

**Region from a common ancestor (RCA).**—An RCA is an autosomal

Department of Respiratory Medicine (H.M.; N.K.; T.T.; H.; K.H.), Department of Cardiovascular Surgery (M. Kato; S.K.), Division of Endocrinology and Diabetes, Department of Medicine (T.A.), Division of Radiolotope Laboratory, Biomedical Research Center (T.A.), Division of Functional Genomics and Systems Medicine, Research Center for Genomic Medicine (M. Kohda; H.I.; Y.O.), and Division of Translational Research, Research Center for Genomic Medicine (M. Kohda; H.I.; Y.O.), Saitama Medical University

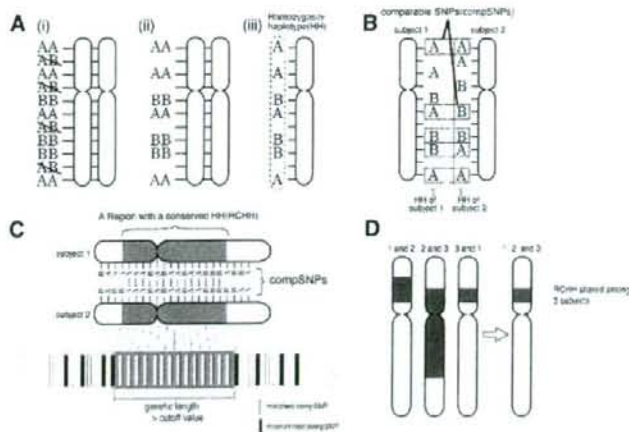
Received January 23, 2007; accepted for publication March 16, 2007; electronically published May 2, 2007.

Address for correspondence and reprints: Prof. Koichi Hagiwara, Department of Respiratory Medicine, Saitama Medical University, 38 Morohongo, Moroyama-machi, Saitama 350-0495, Japan. E-mail: hagiwark@saitama-med.ac.jp

\* These two authors contributed equally to this work.

Am. J. Hum. Genet. 2007;80:1090–1102. © 2007 by The American Society of Human Genetics. All rights reserved. 0002-9297/2007/8006-0090\$15.00  
DOI: 10.1086/518176





**Figure 1.** HH analysis. *A*, “A” denotes the major allelic type for each SNP. “B” denotes the minor allelic type for each SNP. *B*, Definition of a compSNP. *C*, Definition of an RCHH. An RCHH has a genetic length longer than the cutoff value. *D*, The definitions of an RCHH shared among multiple patients.

region where subjects share a chromosomal segment derived from a common ancestor (i.e., a segment identical by descent) (fig. 2*A*). In an RCA, subjects share the same segment on one or both copies of their homologous autosomes and thus share the same HH. Conversely, when subjects have the HH in a region, it suggests the presence of an RCA. Note that the RCA is unknown, and its presence is merely predicted through the RCHHs (see the section entitled “The RCHHs, False Negatives, Type A False Positives, and Type B False Positives”).

The average genetic length of the RCAs decreases over generations. Figure 2*B* is a model pedigree with common ancestors (A and B). Two descendants (M and N), who are *m* and *n* generations removed from their common ancestors, share the RCAs derived from A and B. Assuming that the spouses (shown in gray shapes in fig. 2*B*) are not the descendants of A or B, then  $RCA(m, n)$  is the ratio of the total genetic length of the A- or B-derived RCA to the entire length of the autosomes. It is expressed as

$$RCA(m, n; m \geq n) = \begin{cases} 2^{-m+1} & m \geq 1, n = 0 \\ \frac{3}{4} & m = 1, n = 1 \\ 2^{-m-n+2} & \text{otherwise.} \end{cases} \quad (1)$$

A detailed description of the deduction of equation (1) is given in appendix A. Note that  $RCA(1, 0)$  is equal to 1, indicating that a parent and a child (i.e.,  $m = 1, n = 0$ ) share the RCAs over the entire lengths of their autosomes.

#### Crossover Model and Data Analysis

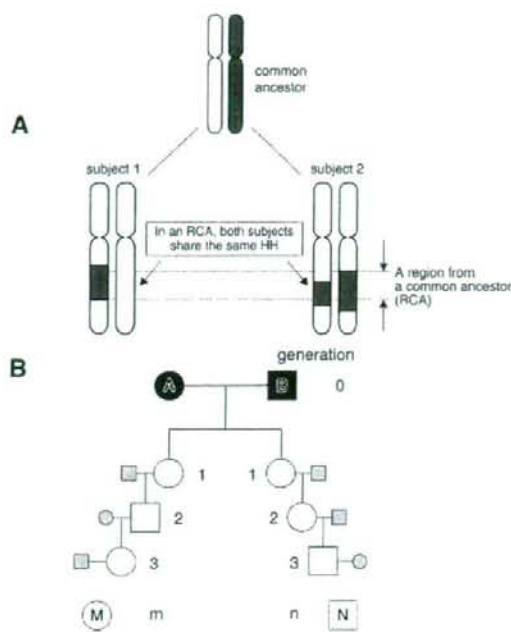
We used the Haldane’s Poisson process model<sup>16</sup> for the occurrence of crossovers and performed all calculations on the basis of this model. Information on SNPs used by the 500K GeneChips Mapping Array Set (Affymetrix) was summarized in the GeneChip

annotation files (4/13/2006 version; see Affymetrix Web site), where, for each SNP, the genetic distance from the telomere of the short arm of the chromosome was obtained by interpolation from the sex-averaged data by deCODE Genetics.<sup>11</sup> The genetic length of an RCHH is the genetic distance between its bounding compSNPs.

We restricted our analysis to a total of 492,554 SNPs that had assigned dbSNP refIDs (see National Center for Biology Information Web site). The computer programs were written in the C programming language and were compiled by the GNU C compiler 4.0 (see the GNU Compiler Collection Web site). The program is available from our Web site and from the Saitama Medical University Web site.

#### The RCHHs, False Negatives, Type A False Positives, and Type B False Positives

When subjects who have common ancestors suffer from the same disease, the RCAs are the candidate regions in which to look for the disease gene. Because many RCAs are contained in the RCHHs, we established an algorithm that detects the RCHHs, thereby allowing us to identify the disease gene. As described, an RCHH is defined when a run of type-matched compSNPs is longer than the cutoff value (fig. 3*A*). Many RCHHs contain the RCAs; however, some do not. We defined three types of errors (fig. 3*B*). The false negatives are the RCAs that are not contained in the RCHHs. The type A false positives are the RCHHs that do not contain the RCAs. The type B false positives are the spaces between a containing RCHH and a contained RCA. The equations to calculate each of these errors are given in appendix A. Before the analysis, we calculated the ratios of the false negatives to the total length of the RCAs and of the type A and type B false positives to the entire length of the autosomes for a range of cutoff values, and we selected a value that minimizes the influence of errors.



**Figure 2.** The RCA. **A**, The definition of an RCA. Gray regions are those derived from a single ancestral autosome from a single ancestor (segments identical by descent). A subject may have two copies of the segments in case inbreeding exists. **B**, A model pedigree. "A" and "B" denote the common ancestors. M and N are  $m$  and  $n$  generations away from the common ancestors. Direct offspring are shown by unfilled shapes. The spouse of each offspring is shown as a gray shape.

### Human Subjects

This study was approved by the institutional review board of Saltama Medical University. All DNA samples were purified from peripheral blood drawn after written informed consent had been obtained. A family that included multiple patients with Marfan syndrome was genotyped, as were 46 unrelated subjects. In addition, the genotyping data from 45 unrelated Japanese subjects who had been enrolled in the International HapMap project (see International HapMap Web site) were obtained from the Affymetrix Web site (an average of 199,400 SNPs per subject had confidence values  $< .05$ ).

### Genotyping

Genomewide SNP genotypings were performed using the 500K GeneChips Mapping Array Set (i.e., the GeneChip Human Mapping 250K Nsp Array plus the GeneChip Human Mapping 250K Sty Array) (Affymetrix) or either of the two arrays. (Hereafter, the 500K GeneChips Mapping Array Sets will be abbreviated as "500K GeneChips" and the GeneChip Human Mapping 250K Nsp Array as "250K GeneChips.") 500K GeneChips was used for the analysis of the family with Marfan syndrome. 250K GeneChips was used for the multigene disease simulation.

### Pools of Subjects

In the multigene disease simulations, genotyping data of patients who share an RCA at a specific position were constructed by replacing that part of their genotyping data with the genotyping data of a specific subject who acts as a common ancestor. The length of the replaced segment ( $x$ , in centimorgans) was taken at random from an exponential distribution with a probability density function of

$$f(x) = \lambda e^{-\lambda x},$$

$$\lambda = \frac{m}{100}, \quad (2)$$

where  $m$  is the age, in generations, of the common ancestor.

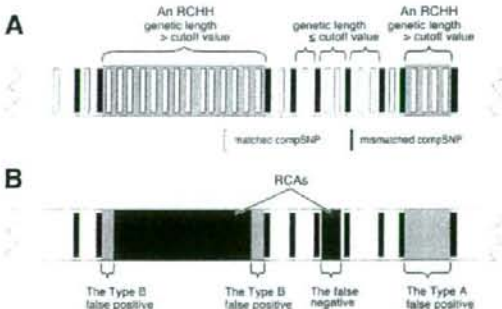
### Statistical Analysis

The numbers of subjects who share an RCHH at a given position on an autosome were compared between the patient pool and the control pool. The assumption was made that

$$u_0 = \frac{\hat{P}_1 - \hat{P}_2}{\sqrt{\hat{P}^*(1 - \hat{P}^*)\left(\frac{1}{n_1} + \frac{1}{n_2}\right)}}$$

has a standard normal distribution, where  $\hat{P}_1 = \frac{x_1 + 0.5}{n_1 + 1}$ ,  $\hat{P}_2 = \frac{x_2 + 0.5}{n_2 + 1}$ ,  $\hat{P}^* = \frac{x_1 + x_2 + 0.5}{n_1 + n_2 + 1}$ ,  $x_1$ , and  $x_2$  are the numbers of subjects sharing RCHHs in the patient pool and the control pool, respectively, and  $n_1$  and  $n_2$  are the total numbers of subjects in the patient pool and the control pool, respectively. The  $P$  value was calculated by

$$P = \int_{u_0}^{\infty} \frac{1}{\sqrt{2\pi}} e^{-\frac{x^2}{2}} dx.$$



**Figure 3.** RCHHs, false negatives, type A false positives, and type B false positives. **A**, Detection of an RCHH. An RCHH has a genetic length longer than the cutoff value. **B**, Relationship of an RCHH and an RCA. The RCAs are overlaid and shown by dark gray boxes, and the RCHHs are shown by light gray boxes. Three types of errors are defined.



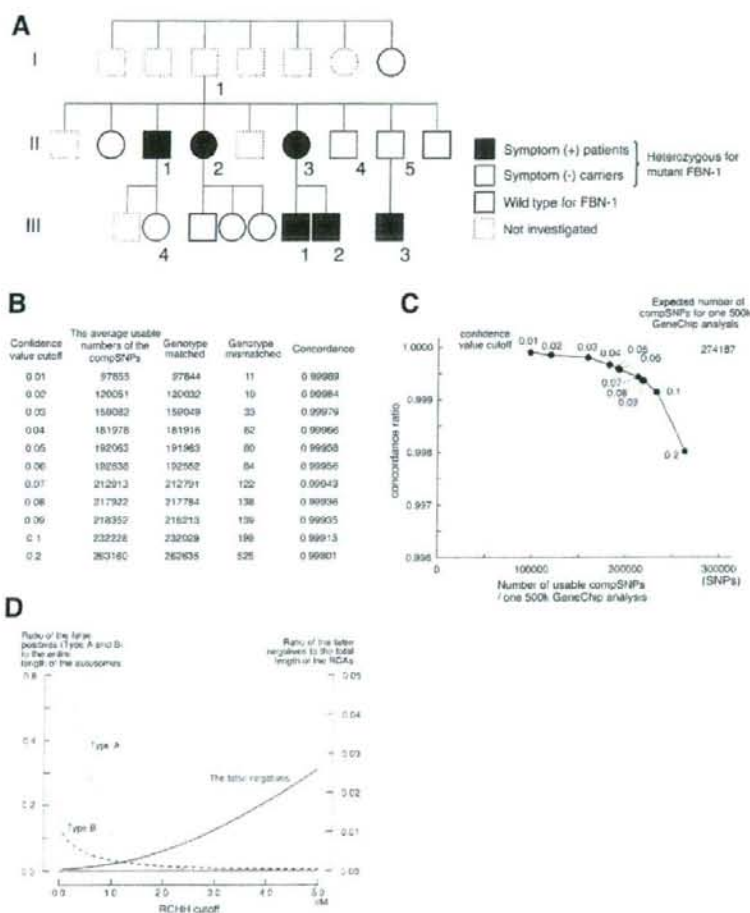
## Results

### Analysis of a Family with Marfan Syndrome

To investigate the utility of the HH in a family analysis, we studied a family that has multiple patients with Marfan syndrome. Marfan syndrome is an autosomal dominant disease characterized by an abnormality in the connective tissue. Mutations of either the fibrillin-1 gene (*FBN-1*) on 15q21.1 or of the TGF- $\beta$  type II receptor gene (*TGFBR2*) on 3p24.2 are known to be the cause of this syndrome.<sup>12</sup> The family had been studied, and six symptomatic members and three asymptomatic carriers had a heterozygous 1879C>T (R627C) mutation in *FBN-1* (fig. 4A). Subject I-1 is considered to be the common ancestor for the disease

gene. The questions we posed were as follows: (i) Could the HH identify the region containing *FBN-1* by the data from six symptomatic members? and (ii) Could the HH further narrow the region with the inclusion into the analysis of three asymptomatic carriers?

Before beginning our analysis, we checked the accuracy of the genotyping data. Equation (1) indicates that a parent and a child share the same HH along the entire length of their autosomes. Therefore, the ratio of the number of the matched compSNPs to the total number of compSNPs indicates the accuracy of genotyping. We studied three pairs: II-1 and III-4, II-3 and III-1, and II-5 and III-3. In a GeneChip analysis, the genotyping result for each SNP is



**Figure 4.** Accuracy of the GeneChip data. **A**, Pedigree of a family with Marfan syndrome. **B**, Relationship between the confidence-value cutoffs and the concordance ratios. **C**, Schematic presentation of the data in panel B. **D**, False negatives between two subjects in generation III and the average ratio of the type A false positives and the type B false positives to the entire length of the autosomes for all combinations of subjects were plotted for a range of RCHH cutoffs.

accompanied by a confidence value; the smaller the confidence values, the more reliable the data. The concordance ratios of the compSNPs in three parent-child pairs for a range of confidence-value cutoffs are shown in figure 4B and 4C. We chose a confidence-value cutoff of .05.

Secondly, we determined the cutoff value for defining the RCHHs (hereafter, an "RCHH cutoff"). Figure 4D shows the relationship of the RCHH cutoffs and three types of errors. We chose 3.0 cM because it gave small rates of type A and type B false positives with an acceptable value for the false negatives.

We then analyzed six symptomatic patients. In figure 5, we present the result stepwise. Patients II-3 and III-1 are a parent-child pair who share RCHHs over the entire length of the autosomes (fig. 5A). II-1 and II-2 are siblings whose RCHHs occupy 81% of the autosomes (eq. [1] predicted 75%) (fig. 5B). II-2 and III-1 are an aunt and nephew whose RCHHs occupy 56% of the autosomes (eq. [1] predicted 50%) (fig. 5C). III-2 and III-3 are first cousins whose RCHHs occupy 39% of the autosomes (eq. [1] predicted 25%) (fig. 5D). The RCHHs conserved among all symptomatic members contained 96% of the total length of the RCA (calculated from table A1), and they did indeed contain *FBN-1* (fig. 5E). The inclusion of asymptomatic carriers (II-4, II-5, and III-4) (see fig. 4A) did further narrow the RCHHs (fig. 5F). These results demonstrate that HH analysis is both efficient and intuitive for identifying the location of disease genes in a large family.

#### Simulation of a Multigene Disease

Each multigene disease has a specific genetic structure. Some are considered to be a collection of single-gene diseases of which the phenotypes are indistinguishable from each other. In others, several genes working together are required to produce symptoms.<sup>12</sup> In either case, a subgroup of patients may share a disease gene from a common ancestor.

To investigate the utility of the HH in multigene diseases, we investigated a model multigene disease (fig. 6A). Here, SNP *rs16823424* (the 100,000th SNP on 500k GeneChip) is the location of the disease gene. In this region, 15 patients share an RCA derived from a common ancestor who lived 10 generations ago. The genotyping data around *rs16823424* in these 15 patients were replaced with the genotyping data at the corresponding position from a specific person who, in this analysis, acts as the common ancestor (fig. 6B). The lengths of the replacements were taken at random from an exponential distribution (eq. [2];  $m = 10$ ). Therefore, comparison of two patients corresponds with the situation  $m = n = 10$  in fig. 2B. The patient pool included these 15 subjects together with 30 unrelated subjects (fig. 6C). The control pool consists of 45 unrelated Japanese samples obtained from the Affymetrix Web site. Our aim was to identify the *rs16823424* region. The strategy was as follows. In step 1, we divided autosomal regions into minute regions. In step

2, using the patient pool, we identified the HH shared by the greatest number of subjects for each region (i.e., the most common HH). We then concatenated the most common HHs for each region into a virtual HH for the entire autosome. A virtual subject who has this virtual HH was named "the representative" (step 1 in fig. 6C). The subjects were counted who shared the RCHHs with "the representative" in both the patient pool and the control pool for each region (step 2 in fig. 6C). Finally, the differences between the pools were expressed by  $P$  values. The candidate region for the disease gene is the region that has the lowest  $P$  value (i.e., the greatest  $-\log_{10}(P)$  value) in the entire autosome.

Before the analysis, we determined an appropriate RCHH cutoff (fig. 6D). Here, the false negatives were plotted for several ages of common ancestors (the ages are expressed by  $m$  and  $n$ ). As the number of generations increases, the length of the RCA shortens, increasing the difficulty of its detection with increasingly high  $m$  and  $n$  values. Because an RCHH cutoff of 5 cM was considered suitable for  $m = n = 10$ , this value is used hereafter. The false negatives to the total length of the RCAs decreases as we include more SNPs in the analysis. This will be discussed later.

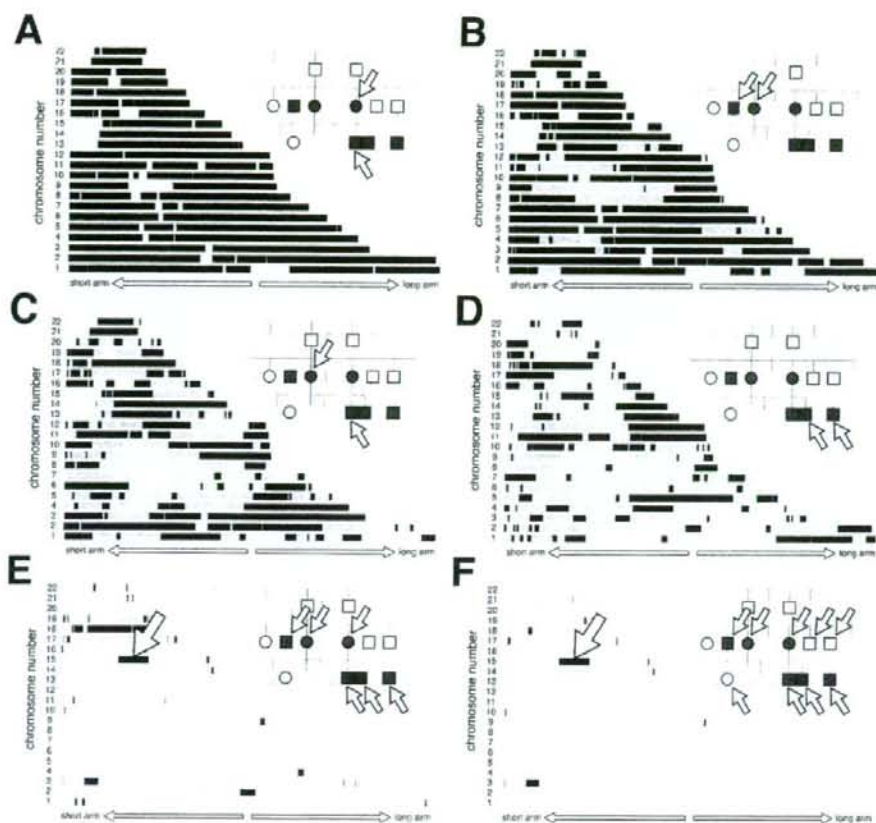
We then performed the analysis. Figure 6E is a densitogram of the  $-\log_{10}(P)$  value; the denser the areas are, the higher the significance. The *rs16823424* region provided a  $-\log_{10}(P)$  of 4.48, and was the only region with a  $-\log_{10}(P) > 3.0$  (i.e.,  $P < .001$ ). The greatest  $-\log_{10}(P)$  outside of the *rs16823424* region was 2.92, which provides the background of the analysis.

Next, we investigated the detection limit. For each number from 7 to 15, we constructed 100 patient pools in which that number of patients, out of 45, shared an RCA at the *rs16823424* region. When the number is  $< 9$ , the background value overwhelmed the signal in most of the analyses (fig. 6F). Therefore, 10 of 45 patients (22%) was the detection limit of this analysis.

#### Detection of Multiple Targets and the Effect of Age of Common Ancestors

We next simulated a multigene disease with three different causative genes: one at *rs16823424* (the 100,000th SNP on 500k GeneChips), one at *rs4473885* (the 200,000th SNP), and one at *rs11200928* (the 300,000th SNP). The ages of the common ancestors were  $m = n = 15, 20$ , and 25. We generated 100 sets of 45 subjects. Subjects 1–15 had a segment replaced with that of a specific person (the common ancestor) for a length taken at random from an exponential distribution corresponding to  $m = n = 15$ . Subjects 16–30 had a segment replaced corresponding to  $m = n = 20$ . Subjects 31–45 had a segment replaced corresponding to  $m = n = 25$  (fig. 7A). The analysis was performed with an RCHH cutoff of 5.0 cM. Figure 7B demonstrates the detection of three targets simultaneously. The detection limits were 10 (22%) ( $m = n = 15$ ), 13





**Figure 5.** Family analysis. Identification of the candidate regions for the disease gene for a family with Marfan syndrome. The RCHHs are shown in black, whereas the other autosomal regions are shown in gray. *A*, The RCHHs between a parent and child, indicated by arrows. *B*, The RCHHs between siblings. *C*, The RCHHs between an aunt and nephew. *D*, The RCHHs between first cousins. *E*, The RCHHs for all symptomatic members. The *FBN-1* gene is located in the RCHH (19.6 cM in length) indicated by a large arrow. *F*, The RCHHs for all nine members (six symptomatic members and three asymptomatic carriers) who have a mutation in the *FBN-1* gene.

(29%) ( $m = n = 20$ ) and 13 (29%) ( $m = n = 25$ ) of 45 patients.

## Discussion

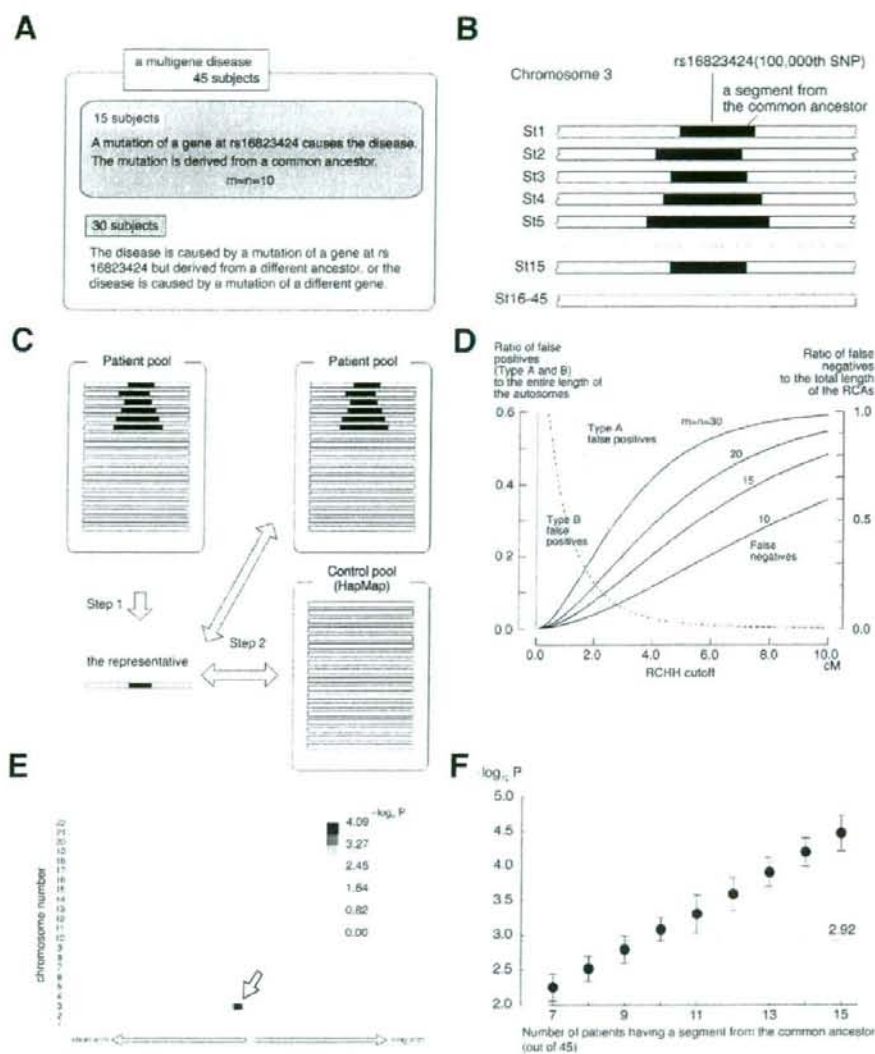
In this study, we introduce HH analysis. Both copies of the homologous autosomes have the same HH and thus can be handled as if they were a single chromosome with a single HH. This enables the direct comparison of the autosomes between two individuals and thereby enables a search for a shared ancestral segment.

Because HH analysis looks for the ancestral segments, both dominant and recessive genes can be detected. The analysis is nonparametric—that is, it does not require the information from the pedigree. The patient pool contains only affected subjects, and therefore information on pen-

etrance is not necessary. All these characteristics make the design and the interpretation of analyses simple.

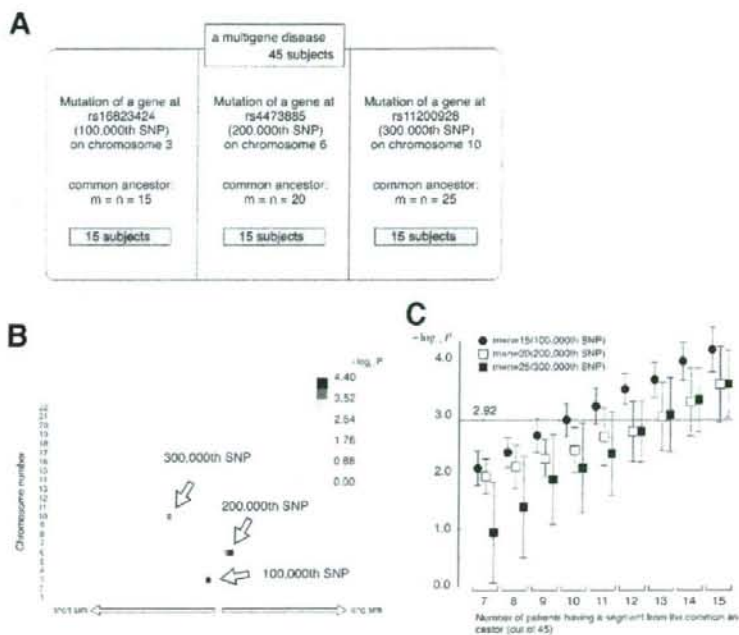
Another characteristic of HH analysis is the simplicity of the algorithm, and therefore the calculation may be performed on many personal computers. The calculation for a family with Marfan syndrome that contains nine subjects (fig. 5) is completed in 6 s on our laptop computer. The analysis composed of two pools containing 45 subjects each (fig. 6E) took 5 min. The calculation time is proportional to the square of the number of subjects, and thus analyses with a larger number of subjects are not difficult to perform.

We used the classical Haldane's Poisson model for the calculations. In an actual situation, the crossovers do not occur randomly along the length of the autosomes. One of the major causes of the deviation from the model is



**Figure 6.** Simulation of a multigenic disease. *A*, The structure of a model multigenic disease. In 15 patients, a causative mutation of the gene at *rs16823424* is derived from a common ancestor. In the remaining 30 patients, the mutation may be from a different ancestor, or the mutations may occur in a different disease gene(s). *B*, Construction of a patient pool. Black bars indicate segments at the *rs16823424* region taken from the common ancestor. St = subject. *C*, Analysis procedure. *D*, The average ratios of the type A false positives and the type B false positives to the entire length of the autosomes for all combinations of the subjects in the control pool. The ratio of the false negatives to the total length of the RCAs for several values of  $m$  and  $n$  are simultaneously shown. *E*, Densitogram of  $-\log_{10}(P)$  value for each region of the autosomes. A region that is 2.92 cM in length and contains *rs16823424* (indicated by a white arrow) gave the greatest  $-\log_{10}(P)$  value, 4.09. *F*, The distribution of  $-\log_{10}(P)$  from the analyses using 100 patient pools for each number of patients is shown as mean  $\pm$  SD. The highest background value is 2.92 (i.e., the greatest  $-\log_{10}(P)$  value outside of the *rs16823424* region).





**Figure 7.** Multiple targets and the effect of the numbers of generations. **A**, Structure of the disease. **B**, An example of simultaneous detection of three regions. White arrows indicate the position of individual SNPs. A 2.56-cM-length region containing 100,000th SNP on chromosome 3, a 0.94-cM-length region containing the 200,000th SNP on chromosome 6 and a 2.55-cM-length containing the 300,000th SNP on chromosome 10 present the greatest  $-\log_{10}(P)$  values in their neighborhood, and the values were 4.09, 4.39, and 3.49, respectively. **C**, The distribution of  $-\log_{10}(P)$  from the analyses using 100 patient pools. The graph is similar to fig. 6F, and the data for three targets (100,000th SNP, 200,000th SNP, and 300,000th SNP) are simultaneously shown. The highest background value is 2.92.

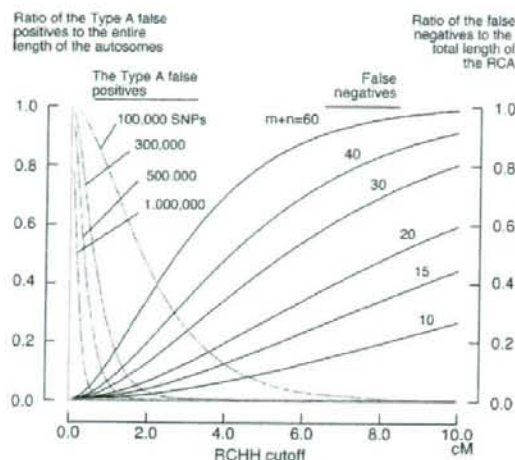
crossover interference.<sup>14</sup> However, the crossover interference suppresses the production of short RCAs and favors the RCHHs in detecting true RCAs, so we made no adjustments for crossover interference in our calculation. For more-detailed discussion, see appendix A. If inbreeding exists in the pedigree, the segments from the common ancestor may be located on both copies of homologous autosomes, as in subject 2 in fig. 2A. This increases the average size of the RCAs and reduces the false negative rates. However, the rate of false positives may rise. The detailed information on inbreeding that occurred in previous generations is most often unknown. The practical approach to handling this is to calculate the false positives by use of the actual genotyping data, as illustrated in figure 6D, and to determine the RCHH cutoff. This compensates for the lack of information on inbreeding.

The numbers of SNPs used in this study were not sufficient to detect ancestral segments with an age of  $m + n > 30$  (fig. 6D). The number of type A false positives is reduced as the number of SNPs increases (fig. 8). (The rate of type B false positives is heavily dependent on the actual

genotyping data and thus was not plotted.) A larger number of SNPs will allow us to use a smaller RCHH cutoff. Figure 8 suggests that the genotyping data of 1,000,000 SNPs may expand the range of analysis to  $m + n > 60$ .

In the model multigene diseases, we used the patient pool containing 45 subjects. However, smaller numbers of subjects worked fine as well. For example, a pool of 18 subjects containing 6 subjects sharing an RCA clearly provided sufficient signal, although with a higher background (data not shown).

The four major methods for the identification of disease genes are the haplotype analysis, the linkage analysis, the sib-pair analysis and the whole-genome association studies.<sup>15</sup> The former two methods target single-gene diseases occurring in families (usually  $m + n < 6$ ), whereas the latter two methods target both single-gene and multigene diseases occurring in the general population. When  $m + n < 3$ , HH analysis does not work well. In fact, the HH cannot distinguish parents from children, as shown in figure 5A, whereas haplotype analysis or linkage analysis may be able to identify a disease gene from pedigrees com-



**Figure 8.** The effect of the numbers of SNPs used and the target generations of the analysis. The type A false positives were plotted for a range of numbers of the SNPs genotyped. The false negatives were plotted for a range of  $m+n$  values.

posed of only two generations.<sup>16</sup> For families containing subjects with  $m+n \geq 3$ , HH analysis works well, as shown in figure 5. HH analysis may provide an advantage when  $6 \leq m+n \leq 50$  where the haplotype analysis or the linkage analysis are difficult to perform. HH analysis is considered applicable to sib-pair analysis, where one sib pair provides 3/4 of the entire autosomes as shared regions (see eq. [1]). One attractive application may be for affected-relative-pair analyses.<sup>17</sup> Equation (1) indicates that one second-cousin pair may narrow the candidate autosomal region to 1/16 of the entire length of the autosomes, and three second-cousin pairs may narrow it further to  $(1/16)^3 = 1/4,096$ .

The simulation results presented in this study suggest that HH analysis demonstrates advantages and may complement whole-genome association studies by detecting genes for common diseases in the following situations. (1) The target population is genetically isolated. (2) The relative risk of the disease gene is moderate to high, and thus the frequencies of the disease-associated HH are expected to be significantly different between the patient pool and the control pool. (3) The common ancestors who brought the disease gene into the population are assumed to have existed within the last several hundred years, thus enabling the detection of the RCAs as RCHHs. (4) The number of the common ancestors who brought the disease gene was small, which limits the number of the disease-associated HHs in the population, and thus the frequencies of some of them may exceed the detection limit shown in figures 6*F* and 7*C*. When these conditions are met, the inclusion of only a few dozen patients may be

required to identify the location of the disease gene (figs. 6 and 7). However, the identified regions may be 1–3 cM in length and require more-detailed investigation. Ethnically, geographically, or culturally isolated populations may fulfill these requirements for many diseases. For example, consider the French-Canadian population in Quebec.<sup>18,19</sup> It is known that two-thirds of the genetic pool of the current population of 6 million people is derived from only 2,600 settlers who arrived during the 17th century (i.e.,  $m=n=20$ , given 20 years per generation). The causative genes for diseases with an incidence of  $\leq 0.01$  may be derived from only one or two dozen common ancestors. In other words, the number of the disease-associated HH was limited to one or two dozen because of the bottleneck effect caused by the immigration. If random genetic drift is taken into consideration, some of the disease-associated HH may exceed the detection limit of 29% in the patient pool (fig. 7*B*). Therefore, moderate- to high-risk genes for diseases with an incidence of  $\leq 0.01$  in Quebec fulfills all four requirements and is therefore worth studying by HH analysis, whether the gene is for a single-gene disease or a multigenic disease. If any one of the four conditions is not met, HH analysis should not be considered a good choice. The selection of the target population and the target disease are crucial.

In this study, we describe the introduction of the HH and its applications. The HH is easy to obtain and the results are intuitive. Although modern society promotes the movement of people, many countries have a history in which the transfer of people was politically or geographically limited. Patients with a specific disease clustered in a geographical region therefore may inherit a common ancestral disease gene. In such regions, HH analysis may provide a distinct benefit. We believe that HH analysis will therefore facilitate the identification of disease genes both for single-gene and multigenic diseases.

#### Acknowledgments

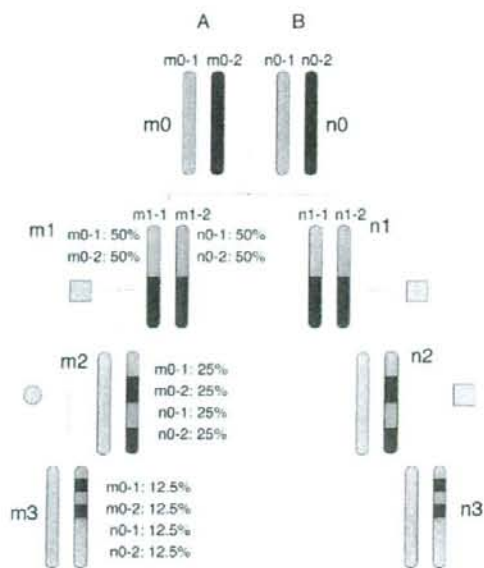
We thank Prof. Hideshi Kawakami, Hiroshima University, Japan, for his critical review of the manuscript, and Ms. Yukiko Yatsuka, for her valuable technical assistance. This work was supported in part by the following grants-in-aid: (1) for scientific research (No. 18390242) from the Japan Society for the Promotion of Science, (2) for Comprehensive Research on Aging and Health from the Ministry of Health, Labor, and Welfare, Japan, and (3) from the Ministry of Education, Culture, Sports, Science, and Technology of Japan, in particular by a Ministry Grant to the Saitama Medical University Research Center for Genomic Medicine.

#### Appendix A

##### Deduction of Equation (1)

The calculation to obtain RCA(1,1) is presented as an example (fig. A1). A and B are the common ancestors.  $m1-$





**Figure A1.** Deduction of equation (1). Gray circles and boxes indicate spouses. Gray areas of the chromosomes come from spouses and do not contain segments from the common ancestors (i.e., A and B).

1 and m1-2 are two copies of homologous chromosomes for subject m1, and n1-1 and n1-2 are two copies of homologous chromosomes for subject n1. One half of m1-1 is from m0-1, and the other half is from m0-2. One half of n1-1 is from n0-1, and the other half is from n0-2. Between subjects m1 and n1, the ratio of the RCA to the entire chromosome is calculated as the probability that m1 and n1 share the same chromosomal segment at a specific position on chromosomes. It can be obtained by subtracting from 1 the probability that m1-1, m1-2, n1-1, and n1-2 all have segments derived from different chromosomes. Therefore,

$$\text{RCA}(1,1) = 1 - \frac{1}{2} \times \frac{1}{2} = \frac{3}{4}.$$

RCA( $m,n$ ) for other values of  $m$  and  $n$  were similarly obtained and summarized in equation (1).

#### Calculation of False Negatives, Type A False Positives, and Type B False Positives

**Step 1: Ratio of False Negatives to Total Length of RCAs ( $R_{\text{false negatives}}$ ).**—According to the Haldane's Poisson model, the length ( $x$ , in centimorgans) of the chromosomal segment derived from an ancestral chromosome

in generation  $m$  (see fig. 2B) has an exponential distribution that has the probability density function

$$f(x) = \lambda e^{-\lambda x},$$

$$\lambda = \frac{m}{100}. \quad (\text{A1})$$

First, the union of ancestral chromosomal segments on two homologous chromosomes are taken for each subject. Next, the RCAs are the intersections of these unions between the two subjects. From equation A1, when  $m+n$  (see fig. 2B) is large enough,  $R_{\text{false negatives}}$  for an RCHH cutoff  $c$  is approximated by

$$R_{\text{false negatives}} \approx \frac{\int_0^c x f(x) dx}{\int_0^{\infty} x f(x) dx}$$

$$= 1 - e^{-\lambda c} (1 + \lambda c), \quad (\text{A2})$$

where

$$f(x) = \lambda e^{-\lambda x}$$

$$\lambda = \frac{m+n}{100}.$$

However, when  $m+n$  is small, the  $R_{\text{false negatives}}$  deviates from the value calculated by equation (A2). We therefore obtained  $R_{\text{false negatives}}$  for small values of  $m+n$  by the Monte Carlo method, with use of 100,000 pedigrees (table A1). We found that equation (A2) provides good approximations when  $m+n > 12$  (see table A1; compare the values for  $m+n = 12$ ).

**Step 2: Ratio of the Type A False Positives to the Entire Autosome ( $R_{\text{Type A false positives}}$ ).**—Given that  $N_{\text{SNP}}$  is the total number of SNPs on a genotyping chip, and  $P_n$  and  $Q_n$  are the frequencies of the major and minor alleles for the  $n$ th SNP, respectively, the average frequencies of the major alleles ( $\bar{F}_{\text{major allele}}$ ) and the minor alleles ( $\bar{F}_{\text{minor allele}}$ ) are

$$\bar{F}_{\text{major allele}} = \frac{\sum_{n=1}^{N_{\text{SNP}}} P_n}{N_{\text{SNP}}}$$

and

$$\bar{F}_{\text{minor allele}} = \frac{\sum_{n=1}^{N_{\text{SNP}}} Q_n}{N_{\text{SNP}}}.$$

respectively. The number of mismatched compSNPs ( $N_{\text{mismatched compSNP}}$ ) is approximated by

$$N_{\text{mismatched compSNP}} \approx \frac{2(\bar{F}_{\text{major allele}})^2 (\bar{F}_{\text{minor allele}})^2 N_{\text{Pt1}} N_{\text{Pt2}}}{N_{\text{SNP}}}$$

where  $N_{\text{Pt1}}$  and  $N_{\text{Pt2}}$  are the numbers of SNPs successfully genotyped for Pt1 and Pt2, respectively.  $N_{\text{mismatched compSNP}}$  is not a large number. For example, with use of the 500k GeneChips from Affymetrix,  $N_{\text{mismatched compSNP}}$  is 22,000 at maximum, spaced at 0.16 cM on average. This spacing is larger in size than most of the haplotype blocks and thus is assumed to be randomly distributed over the entire autosome. The length between two mismatched compSNPs is considered to have an exponential distribution with a density probability function of

$$f(x) = \lambda e^{-\lambda x},$$

$$\lambda = \frac{N_{\text{mismatched compSNP}}}{L_{\text{autosome}}},$$

where  $L_{\text{autosome}}$  is the entire genetic length of the autosomes. Therefore, for the cutoff value  $c$ ,

$$R_{\text{Type A false positives}} = \frac{\int_0^c x f(x) dx}{\int_0^{\infty} x f(x) dx} = [1 + \lambda c] e^{-\lambda c}.$$

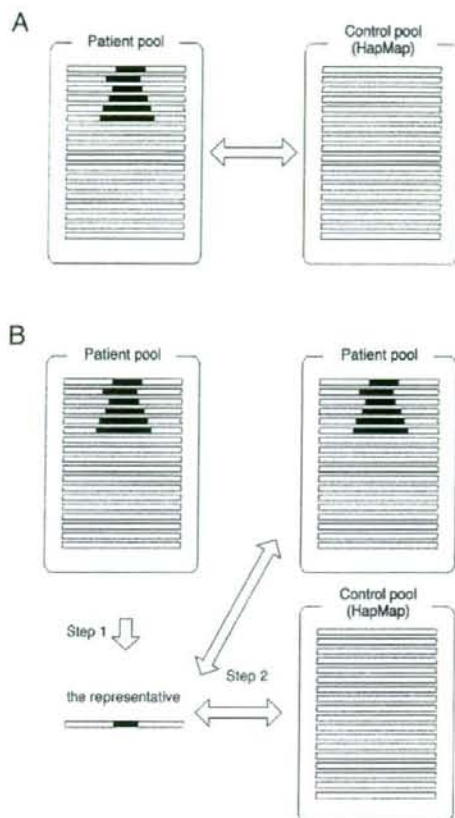
### Step 3: Ratio of the Type B False Positives to the Entire Length of the Autosomes ( $R_{\text{Type B false positives}}$ ).—An RCHH

containing an RCA is expected to have the type B false positives with a length of  $\frac{\text{cut off value}}{2}$  on each end. It is impossible to distinguish RCHHs that contain the RCAs from those that do not (i.e., the type A false positives). We calculated  $R_{\text{Type B false positives}}$  under the assumption that every RCHH contains an RCA. Therefore, the  $R_{\text{Type B false positives}}$  calculation results in an overestimation, which we consider to be more appropriate than an underestimation when the appropriate RCHH cutoff is being determined.

### The Representative

The easiest way to compare the patient pool and the control pool is to directly compare the number of patients sharing the RCHHs at the given position (fig. A2A). This algorithm usually works fine, but actually this reduces the sensitivity. Assume that, at a specific position, the patient pool has 4 subjects sharing HH1 and has 0 subject sharing HH2. The control pool has 0 subject sharing HH1 and 4 subjects sharing HH2. Although two pools are different in their frequency of HH1, the algorithm shown in figure A2A does not detect it.

One of the ways to solve this problem is to have a rep-



**Figure A2.** Two strategies for comparing the patient pool with the control pool.

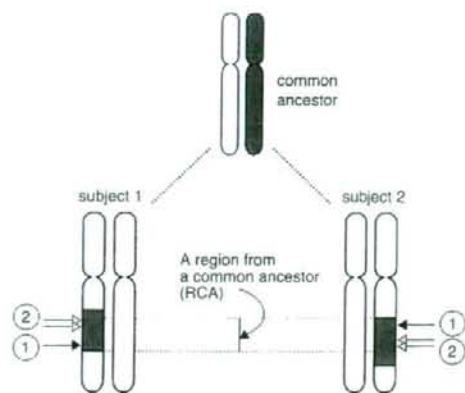
representative, as shown in fig. A2B, as we did in this study. For the actual algorithm, please see the program source code. This algorithm may have difficulty picking up the most common HHs in a region where there is no dominant HH but only many kinds of HHs with low frequencies, which we think does not cause any major problems. We have also provided the source code for an alternative algorithm. The source may be modified according to your uses.

### Crossover Interference and the Size of the RCAs

Crossover interference increases the average size of the RCA, and favors the RCHHs in detecting the RCA, which reduces the false negatives. This results in a better performance in HH analysis. Figure A3 shows an RCA in one generation. The size of RCA may be reduced in size in the next generation. The reduction occurs by two processes: (1) crossover occurs in one or both subjects and (2) mul-







**Figure A3.** Effects of crossover interference. Process numbers are enclosed in the circle. Processes 1 and 2 both reduce the length of the RCAs in the next generation. Process 1 is independent of and process 2 is dependent on the crossover interference. Gray area, shared segments derived from a common ancestor.

multiple crossovers occur in one or both subjects. Although occurrence of process 2 may be suppressed by crossover interference, process 1 is independent of the interference and is not suppressed. Moreover, as the size of the shared segments from the common ancestor (shown in gray in fig. A3) shortens over generations, multiple crossovers in a single RCA become less frequent, even without crossover interference, and process 1 becomes the main determinant of the size of the RCAs. Therefore, crossover interference has a limited effect on HH analysis, and so we chose not to make any adjustment in the algorithm.

#### Web Resources

URLs for data presented herein are as follows:

- Affymetrix, <http://www.affymetrix.com/index.aff>  
 GCC, the GNU Compiler Collection, <http://gcc.gnu.org/>  
 K.H.'s Web site, <http://homepage.mac.com/hagiwark/FileSharing1.html> (for HH analysis program)  
 International HapMap Project, <http://www.hapmap.org/>  
 National Center for Biotechnology Information, <http://www.ncbi.nlm.nih.gov/>  
 Online Mendelian Inheritance in Man (OMIM), <http://www.ncbi.nlm.nih.gov/Omim/>  
 Saitama Medical University, [http://www.saitama-med.ac.jp/genome/TR/hh\\_analysis\\_program\\_and\\_manual.zip](http://www.saitama-med.ac.jp/genome/TR/hh_analysis_program_and_manual.zip) (for HH analysis program and manual)

#### References

- Long JC, Williams RC, Urbanek M (1995) An E-M algorithm and testing strategy for multiple-locus haplotypes. *Am J Hum Genet* 56:799–810

- Morton NE (1955) Sequential tests for the detection of linkage. *Am J Hum Genet* 7:277–318
- Kruglyak L, Lander ES (1995) Complete multipoint sib-pair analysis of qualitative and quantitative traits. *Am J Hum Genet* 57:439–454
- International HapMap Consortium (2003) The International HapMap Project. *Nature* 426:789–796
- Di Rienzo A, Hudson RR (2005) An evolutionary framework for common diseases: the ancestral-susceptibility model. *Trends Genet* 21:596–601
- Carlson CS, Eberle MA, Kruglyak L, Nickerson DA (2004) Mapping complex disease loci in whole-genome association studies. *Nature* 429:446–452
- Gillanders EM, Pearson JV, Sorant JM, Trent JM, O'Connell JR, Bailey-Wilson JE (2006) The value of molecular haplotypes in a family-based linkage study. *Am J Hum Genet* 79:458–468
- Amos CI, Dawson DV, Elston RC (1990) The probabilistic determination of identity-by-descent sharing for pairs of relatives from pedigrees. *Am J Hum Genet* 47:842–853
- Zhang K, Zhao H (2006) A comparison of several methods for haplotype frequency estimation and haplotype reconstruction for tightly linked markers from general pedigrees. *Genet Epidemiol* 30:423–437
- Haldane JBS (1919) The combination of linkage values, and the calculation of distances between the loci of linked factors. *J Genet* 8:299–309
- Kong A, Gudbjartsson DF, Sainz J, Jonsson GM, Gudjonsson SA, Richardson B, Sigurdardottir S, Barnard J, Hallbeck B, Masson G, et al (2002) A high-resolution recombination map of the human genome. *Nat Genet* 31:241–247
- Hayward C, Brock DJ (1997) Fibrillin-1 mutations in Marfan syndrome and other type-1 fibrillinopathies. *Hum Mutat* 10:415–423
- Pritchard DJ, Korf BR (2003) *Medical genetics at a glance*. Blackwell Publishing, Birmingham, United Kingdom
- Sturtevant AH (1915) The behavior of chromosomes as studied through linkage. *Z Indukt Abstammungs-Vererbungslehre* 13:234–287
- Strachan T, Read A (2003) *Human molecular genetics*. Garland Science/Taylor & Francis Group, Oxfordshire, United Kingdom
- Shore EM, Xu M, Feldman GJ, Fenstermacher DA, Brown MA, Kaplan FS (2006) A recurrent mutation in the BMP type I receptor ACVR1 causes inherited and sporadic fibrodysplasia ossificans progressiva. *Nat Genet* 38:525–527
- Risch N (1990) Linkage strategies for genetically complex traits. II. The power of affected relative pairs. *Am J Hum Genet* 46:229–241
- Heyer E, Tremblay M (1995) Variability of the genetic contribution of Quebec population founders associated to some deleterious genes. *Am J Hum Genet* 56:970–978
- Laberge AM, Michaud J, Richter A, Lemyre E, Lambert M, Brais B, Mitchell GA (2005) Population history and its impact on medical genetics in Quebec. *Clin Genet* 68:287–301